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EXTENDED TO AUGUST 17, 2015
Return of Private Foundation

Form **990-PF**

OMB No 1545-0052

2014

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation
MARA W. BREECH FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O DOCS, 2120 WILSHIRE BL #400

City or town, state or province, country, and ZIP or foreign postal code
SANTA MONICA, CA 90403

G Check all that apply:
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ **7,601,014.**

J Accounting method: Cash Accrual
 Other (specify) _____

A Employer identification number
95-4474892

B Telephone number
310-828-4748

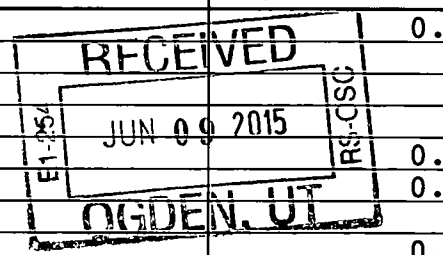
C If exemption application is pending, check here

D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	699,360.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	156,181.	156,181.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	235,164.			
b	Gross sales price for all assets on line 6a	1,813,608.			
7	Capital gain net income (from Part IV, line 2)		235,164.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	-59,411.	-59,411.		STATEMENT 2
12	Total Add lines 1 through 11	1,031,294.	331,934.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	5,250.	5,250.		0.
c	Other professional fees STMT 4	8,049.	8,049.		0.
17	Interest				
18	Taxes STMT 5	6,346.	6,261.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 6	29,208.	29,208.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	48,853.	48,768.		0.
25	Contributions, gifts, grants paid	349,000.			349,000.
26	Total expenses and disbursements. Add lines 24 and 25	397,853.	48,768.		349,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	633,441.			
b	Net investment income (if negative, enter -0-)		283,166.		
c	Adjusted net income (if negative, enter -0-)			N/A	



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		1.		
	2	Savings and temporary cash investments		187,034.	622,871.	622,871.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		567,896.	444,969.	458,121.
	b	Investments - corporate stock STMT 8		2,570,902.	2,846,983.	4,040,750.
	c	Investments - corporate bonds STMT 9		2,405,410.	2,450,061.	2,479,272.
	11	Investments - land, buildings, and equipment: basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,731,243.	6,364,884.	7,601,014.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		5,731,243.	6,364,884.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		5,731,243.	6,364,884.		
31	Total liabilities and net assets/fund balances		5,731,243.	6,364,884.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,731,243.
2	Enter amount from Part I, line 27a	2	633,441.
3	Other increases not included in line 2 (itemize) NON-TAXABLE DIVIDEND DISTRIBUTIONS	3	200.
4	Add lines 1, 2, and 3	4	6,364,884.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,364,884.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OPPENHEIMER...7489 VAR TRADES	P	05/05/06	11/17/14
b OPPENHEIMER...8889 VAR TRADES	P	01/14/14	12/30/14
c OPPENHEIMER...8889 VAR TRADES	P	06/17/11	12/17/14
d OPPENHEIMER...8889 VAR TRADES	P	12/23/09	12/30/14
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 866,772.		862,052.	4,720.
b 170,801.		165,493.	5,308.
c 625,415.		457,169.	168,246.
d 146,391.		93,730.	52,661.
e 4,229.			4,229.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			4,720.
b			5,308.
c			168,246.
d			52,661.
e			4,229.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2	235,164.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	305,167.	6,299,054.	.048446
2012	257,709.	4,987,103.	.051675
2011	249,961.	4,900,257.	.051010
2010	233,283.	4,184,379.	.055751
2009	154,899.	3,538,229.	.043779

2 Total of line 1, column (d)	2	.250661
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050132
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	7,137,918.
5 Multiply line 4 by line 3	5	357,838.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,832.
7 Add lines 5 and 6	7	360,670.
8 Enter qualifying distributions from Part XII, line 4	8	349,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,663.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,663.
6 Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,440.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	9,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	11,440.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	39.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,738.
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		X
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of JOHNSON FOSTER FITZGERALD & DARLING Telephone no. 310-454-6545 Located at 15200 SUNSET BL. #203, PACIFIC PALISADES, CA ZIP+4 90272
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW L. BREECH 2120 WILSHIRE BL. #400 SANTA MONICA, CA 90403	PRESIDENT 0.00	0.	0.	0.
DEBRA N. BREECH 2120 WILSHIRE BL. #400 SANTA MONICA, CA 90403	TREASURER 0.00	0.	0.	0.
DEBRA N. BREECH 2120 WILSHIRE BL. #400 SANTA MONICA, CA 90403	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a Average monthly fair market value of securities		1a	7,246,617.
b Average of monthly cash balances		1b	
c Fair market value of all other assets		1c	
d Total (add lines 1a, b, and c)		1d	7,246,617.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		0.
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	7,246,617.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	108,699.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4		5	7,137,918.
6 Minimum investment return. Enter 5% of line 5		6	356,896.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	356,896.
2a Tax on investment income for 2014 from Part VI, line 5	2a		5,663.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	5,663.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	351,233.
4 Recoveries of amounts treated as qualifying distributions		4	0.
5 Add lines 3 and 4		5	351,233.
6 Deduction from distributable amount (see instructions)		6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	351,233.

Part XII

Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26		1a	349,000.
b Program-related investments - total from Part IX-B		1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a Suitability test (prior IRS approval required)		3a	
b Cash distribution test (attach the required schedule)		3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		4	349,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b		5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4		6	349,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				351,233.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011	4,993.			
d From 2012	13,870.			
e From 2013				
f Total of lines 3a through e	18,863.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 349,000.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				349,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	2,233.			2,233.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,630.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015 Subtract lines 7 and 8 from line 6a	16,630.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011	2,760.			
c Excess from 2012	13,870.			
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ASSISTANCE LEAGUE OF SOUTHERN CA 1370 NORTH ST. ANDREWS PLACE HOLLYWOOD, CA 90028	N/A	PUBLIC CHARITY	TEACHER TRAINING	5,000.
BLIND CHILDRENS' CENTER 4120 MARATHON ST LOS ANGELES, CA 90029	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	7,000.
BOY SCOUTS OF AMERICA 16525 SHERMAN WAY #C-8 VAN NUYS, CA 914068700	N/A	PUBLIC CHARITY	TEACHER TRAINING	31,000.
CENTER FOR CIVIC EDUCATION 1743 CONNECTICUT AVE NW WASHINGTON, DC 20009	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	1,000.
CHARTERED EDUCATION PARTNERSHIP 1025 CONNECTICUT AVE, NW #1011 WASHINGTON, DC 20036-5548	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	5,000.
Total	SEE CONTINUATION SHEET(S)			349,000.
b Approved for future payment				
NONE				
Total				
				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with columns Yes and No. Rows 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), 1c. All 'No' boxes are checked (X).

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A'.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee: [Signature] Date: 5/29/15 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes [] No

Paid Preparer Use Only Print/Type preparer's name: STEVEN B. DARLING, CPA Preparer's signature: [Signature] Date: MAY 27 2015 Check [] if self-employed PTIN: P00395074 Firm's name: JOHNSON, FOSTER, FITZGERALD & DARLING, LL Firm's EIN: 95-1759331 Firm's address: 15200 W. SUNSET BLVD. # 203 PACIFIC PALISADES, CA 90272-3619 Phone no. (310) 454-6545

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

Employer identification number

MARA W. BREECH FOUNDATION**95-4474892**

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization MARA W. BREECH FOUNDATION	Employer identification number 95-4474892
--	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARA BREECH CHARITABLE LEAD ANNUITY TRUST 2120 WILSHIRE BL #400 SANTA MONICA, CA 90403	\$ 699,360.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

MARA W. BREECH FOUNDATION

95-4474892

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization

Employer identification number

MARA W. BREECH FOUNDATION

95-4474892

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LESS: BOND AMORTIZATION OPPENHEIMER...7489	-46,240.	0.	-46,240.	-46,240.	
ACC INT PAID OPPENHEIMER...7489	-3,708.	0.	-3,708.	-3,708.	
DIVIDENDS OPPENHEIMER...7489	33.	0.	33.	33.	
INTEREST OPPENHEIMER...8889	143,083.	0.	143,083.	143,083.	
DIVIDENDS	67,242.	4,229.	63,013.	63,013.	
TO PART I, LINE 4	160,410.	4,229.	156,181.	156,181.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAINS TAX TIMING DIFFERENCE	7,231.	7,231.	
AMORTIZATION OF BOND PREMIUM	-67,001.	-67,001.	
DIV TAX TIMING DIFFERENCE	359.	359.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-59,411.	-59,411.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,250.	5,250.		0.
TO FORM 990-PF, PG 1, LN 16B	5,250.	5,250.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE SERVICES	8,049.	8,049.		0.
TO FORM 990-PF, PG 1, LN 16C	8,049.	8,049.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES--ATTORNEY GENERAL	75.	0.		0.
FOREIGN TAXES ON INVESTMENT INCOME	1,713.	1,713.		0.
STATE TAX	10.	0.		0.
FEDERAL EXCISE TAX EXPENSE	4,548.	4,548.		0.
TO FORM 990-PF, PG 1, LN 18	6,346.	6,261.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	29,148.	29,148.		0.
BANK CHARGES	60.	60.		0.
TO FORM 990-PF, PG 1, LN 23	29,208.	29,208.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT BONDS	X		51,523.	55,574.
MUNICIPAL BONDS		X	393,446.	402,547.
TOTAL U.S. GOVERNMENT OBLIGATIONS			51,523.	55,574.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			393,446.	402,547.
TOTAL TO FORM 990-PF, PART II, LINE 10A			444,969.	458,121.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PREFERRED AND COMMON STOCK	2,846,983.	4,040,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,846,983.	4,040,750.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	2,450,061.	2,479,272.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,450,061.	2,479,272.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MICHELLE DOHERTY
2120 WILSHIRE BL #400
SANTA MONICA, CA 90403

TELEPHONE NUMBER

310-828-4748

FORM AND CONTENT OF APPLICATIONS

NAME AND MISSION OF ORGANIZATION; CONTACT INFORMATION; INDICATION OF TAX-EXEMPT STATUS; BRIEF DESCRIPTION OF PROJECT, TIME FRAME, TOTAL COST, PORTION REQUESTED FROM THE FOUNDATION; SUMMARY OF PROPOSED RESULTS

ANY SUBMISSION DEADLINES

OCTOBER 31 FOR FUNDING IN THE SAME CALENDAR YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Oppenheimer & Co. Inc. Account G581508889
 2014-1099-B OMB No. 1545-0715

2-SHORT-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ATWOOD OCEANICS INC / CUSIP: 050095108 / Symbol: ATW	315,000	9,151.80	15,402.71	04/28/14	...		-6,250.91 Sale
BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol: BBBY	18,000	1,188.57	1,255.50	05/02/13	..		-66.93 Sale
BELLE INTL. HLDGS LTD ADR / CUSIP: 078454105 / Symbol: BELLY							
5 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.							
	57,000	575.11	954.75	04/24/13	..		-379.64 Sale
	61,000	615.47	1,021.75	04/24/13	..		-406.28 Sale
	26,000	262.33	423.80	05/22/13	..		-161.47 Sale
	35,000	353.14	570.50	05/22/13	..		-217.36 Sale
	92,000	928.26	1,301.80	06/27/13	..		-373.54 Sale
	271,000	2,734.31	4,272.60	VARIOUS	..		-1,538.29 Total of 5 lots
CALIFORNIA RES CORP / CUSIP: 13057Q107 / Symbol: CRC							
12/01/14	0,000	5.89	0.00	12/01/14	..		5.89 Cash in lieu
12/10/14	15,000	83.43	127.96	02/19/14	..		-44.54 Sale
12/12/14	1,000	5.51	8.53	02/19/14	..		-3.02 Sale
		94.83	136.49		..		-41.67 Sale
SECURITY TOTAL							
COMPASS GROUP PLC SPONSORED ADR NE / CUSIP: 20449X302 / Symbol: CMPGY							
07/10/14	0,000	7.71	0.00	07/10/14	..		7.71 Cash in lieu
DEUTSCHE TELEKOM AG SPONSORED ADR / CUSIP: 251566105 / Symbol: DTEGY							
05/22/14	322,000	5,432.01	4,192.44	08/16/13	..		1,239.57 Sale
E M C CORP MASS / CUSIP: 268648102 / Symbol: EMC							
5 tax lots for 01/14/14. Total proceeds (and cost when required) reported to the IRS.							
	21,000	537.17	504.61	05/16/13	..		32.56 Sale
	25,000	639.48	600.73	05/16/13	..		38.75 Sale
	17,000	434.85	433.33	07/18/13	..		1.52 Sale
	118,000	3,018.39	3,007.82	07/18/13	..		10.57 Sale
	38,000	972.02	912.00	10/29/13	..		60.02 Sale
	219,000	5,601.91	5,458.49	VARIOUS	..		143.42 Total of 5 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.



Oppenheimer & Co. Inc.

Account: G581508889

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- SHORT-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
EBAY INC / CUSIP: 278642103 / Symbol: EBAY	73 000	3,492.70	12/02/13	3,759.12	...		-266.41 Sale
2 tax lots for 10/24/14. Total proceeds (and cost when required) reported to the IRS.							
	11,000	561.04	12/02/13	566.44	...		-5.40 Sale
	88,000	4,488.33	04/10/14	4,765.19	...		-276.86 Sale
	99,000	5,049.37	VARIOUS	5,331.63	...		-282.26 Total of 2 lots
Security total:		8,542.07		9,090.75	..		-548.67
ENERGY CORP NEW / CUSIP: 29364G103 / Symbol: ETR	50 000	3,992.41	09/11/13	3,137.00	...		855.41 Sale
FRANKLIN RES INC / CUSIP: 354613101 / Symbol: BEN							
3 tax lots for 04/17/14. Total proceeds (and cost when required) reported to the IRS.							
	42,000	2,254.43	09/03/13	1,952.34	..		302.10 Sale
	70,000	3,757.38	09/03/13	3,253.89	...		503.49 Sale
	70,000	3,757.39	12/26/13	4,002.33	...		-244.94 Sale
04/17/14	182,000	9,769.20	VARIOUS	9,208.56	..		560.65 Total of 3 lots
HALYARD HEALTH INC / CUSIP: 40650V100 / Symbol: HYH	0 000	24.22	11/03/14	0.00	..		24.22 Cash in lieu
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITYBY	10,000	740.86	12/05/13	750.00	...		-9.14 Sale
02/12/14							
6 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000	160.02	12/05/13	150.00	...		10.02 Sale
	4,000	320.03	12/05/13	300.00	..		20.03 Sale
	9,000	720.07	12/05/13	675.00	...		45.07 Sale
	17,000	1,360.14	12/05/13	1,275.00	..		85.14 Sale
	17,000	1,360.15	01/10/14	1,247.12	...		113.03 Sale
	9,000	720.08	02/19/14	716.76	...		3.32 Sale
02/25/14	58,000	4,640.49	VARIOUS	4,363.88	..		276.61 Total of 6 lots
Security total:		5,381.35		5,113.88	...		267.47

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

Oppenheimer & Co. Inc. Account: G581508889
2014 1099-B* (continued) OMB No. 1545-0715

5- COVERED tax lots 3- Basis is reported to the IRS**

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
INVESTORS BANCORP INC NEW / CUSIP: 46146L101 / Symbol: ISBC	0.000	3.14	3.14	05/14/14	0.00	...	3.14	Cash in lieu
JOHNSON CTLS INC / CUSIP: 478366107 / Symbol: JCI	62.000	2,931.20	2,931.20	03/07/13	2,060.88	...	870.32	Sale
04/29/14	58.000	2,557.74	2,557.74	05/02/13	1,998.04	...	559.70	Sale
Security total:		5,488.94	5,488.94		4,058.92	..	1,430.02	
JONES LANG LASALLE INC / CUSIP: 48020Q107 / Symbol: JLL	50.000	5,250.87	5,250.87	09/12/13	4,309.00	...	941.87	Sale
08/20/14	49.000	6,616.84	6,616.84	09/12/13	4,222.82	...	2,394.02	Sale
Security total:		11,867.71	11,867.71		8,531.82	...	3,335.89	
JUNIPER NETWORKS INC / CUSIP: 48203R104 / Symbol: JNPR	116.000	2,274.04	2,274.04	01/14/14	2,935.25	..	-661.21	Sale
10/27/14	54.000	3,771.29	3,771.29	08/12/13	3,476.29	...	295.00	Sale
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA	0.000	17.34	17.34	06/02/14	0.00	...	17.34	Cash in lieu
08/15/14	30.000	957.57	957.57	05/16/14	976.48	..	-18.91	Sale
Security total:		974.91	974.91		976.48	...	-1.57	
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC	58.000	4,198.19	4,198.19	07/30/13	3,691.54	...	506.65	Sale
02/12/14	139.000	6,594.11	6,594.11	01/21/14	5,731.62	...	862.49	Sale
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: PDCO	112.000	8,707.81	8,707.81	05/13/14	7,423.36	...	1,284.45	Sale
12/10/14	2.000	2,317.76	2,317.76	08/15/13	1,885.45	...	432.31	Sale
PRICELINE GRP INC COM NEW / CUSIP: 741503403 / Symbol: PCLN	2.000	2,317.76	2,317.76					

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Oppenheimer & Co. Inc.

Proceeds from Broker and Barter Exchange Transactions

Account: G581508889

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/gross (N)/net	1d- Proceeds & Symbol: PEG	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
PUBLIC SVC ENTERPRISE GROUP / CUSIP. 744573106 / Symbol: PEG	136,000	5,706.43	12/13/13	4,337.46	...	1,368.97	Sale	
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL	33,000	4,932.30	10/16/13	5,206.74	...	-274.44	Sale	
Security total	7,000	1,079.93	10/16/13	1,104.46	...	-24.53	Sale	
Security total	6,012.23	6,311.20	-298.97	...	
TRW AUTOMOTIVE HLDGS CORP / CUSIP: 87264S106 / Symbol: TRW	88,000	8,920.68	02/14/14	6,995.31	...	1,925.37	Sale	
Security total	134,000	13,404.32	02/14/14	10,651.94	...	2,752.38	Sale	
Security total	22,325.00	17,647.25	4,677.75	...	
TIME INC NEW / CUSIP: 887228104 / Symbol: TIME	0,000	11.23	06/09/14	0.00	..	11.23	Cash in lieu	
VECTRUS INC / CUSIP: 92242T101 / Symbol: VEC	0,000	2.15	09/29/14	0.00	..	2.15	Cash in lieu	
3 tax lots for 10/09/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	144.90	05/07/14	140.06	...	4.84	Sale	
	10,000	207.00	05/07/14	200.08	...	6.92	Sale	
	15,000	310.50	07/09/14	292.13	...	18.37	Sale	
	32,000	662.40	VARIOUS	632.27	...	30.13	Total of 3 lots	
Security total	3,000	61.96	07/09/14	58.43	...	3.53	Sale	
Security total	726.51	690.70	35.81	...	
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ	0,000	7.49	02/24/14	0.00	...	7.49	Cash in lieu	
Security total	84,000	3,857.25	02/24/14	3,924.06	...	-66.81	Sale	
Security total	3,864.74	3,924.06	-59.32	...	
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR / CUSIP: 92857W308 / Symbol: VOD	0,000	22.53	03/06/14	0.00	..	22.53	Cash in lieu	

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Oppenheimer & Co. Inc. Account: G581508889
 2014. 1099-B* OMB No. 1545-0715
Proceeds from Broker and Barter Exchange Transactions
 (continued)

2- SHORT-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1d- Proceeds & Reported (G)ross (N)et	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
WALGREEN CO / CUSIP: 931422109 / Symbol:								
3 tax lots for 08/06/14. Total proceeds (and cost when required) reported to the IRS.								
	16,000	940.52	03/19/14	1,071.37				-130.85 Sale
	103,000	6,054.64	03/19/14	6,896.94				-842.30 Sale
	16,000	940.53	03/25/14	1,058.73				-118.21 Sale
08/06/14	135,000	7,935.69	VARIOUS	9,027.04				-1,091.36 Total of 3 lots
WHIRLPOOL CORP / CUSIP: 963320106 / Symbol: WHR								
11/17/14	33,000	5,803.15	08/29/14	5,028.61				774.54 Sale
YUM BRANDS INC / CUSIP: 988498101 / Symbol: YUM								
07/21/14	67,000	4,973.98	03/12/14	5,167.80				-193.82 Sale
2 tax lots for 07/31/14. Total proceeds (and cost when required) reported to the IRS.								
	41,000	2,842.88	03/12/14	3,162.38				-319.51 Sale
	11,000	762.72	04/10/14	824.94				-62.22 Sale
07/31/14	52,000	3,605.60	VARIOUS	3,987.32				-381.73 Total of 2 lots
3 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS.								
	32,000	2,258.48	04/10/14	2,399.84				-141.36 Sale
	11,000	776.35	06/20/14	882.75				-106.40 Sale
	45,000	3,175.98	06/20/14	3,611.25				-435.27 Sale
08/01/14	88,000	6,210.81	VARIOUS	6,893.84				-683.03 Total of 3 lots
		14,790.39		16,048.96				-1,258.58
Security total.								
NOBLE CORP PLC SHS USD / CUSIP: G65431101 / Symbol: NE								
07/22/14	166,000	5,484.53	11/08/13	6,498.90				-1,014.37 Sale
Totals:		170,800.72		165,493.33				5,307.38

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Oppenheimer & Co. Inc. Account G581508889
 2014 1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AT&T INC / CUSIP: 00206R102 / Symbol: T	01/29/14	198,000	6,625.81	6,773.38	12/03/12	6,773.38	...		-147.57 Sale
AIR PRODS & CHEMS INC / CUSIP: 009158106 / Symbol: APD	09/25/14	26,000	3,458.74	2,123.08	12/03/12	2,123.08	...		1,335.66 Sale
ALLERGAN INC / CUSIP: 018490102 / Symbol: AGN									
2 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS.									
	04/23/14	8,000	1,311.17	699.76	02/24/12	699.76	...		611.41 Sale
		8,000	1,311.17	699.28	07/19/12	699.28	...		611.89 Sale
		16,000	2,622.34	1,399.04	VARIOUS	1,399.04	...		1,223.30 Total of 2 lots
3 tax lots for 08/27/14. Total proceeds (and cost when required) reported to the IRS.									
	08/27/14	2,000	323.79	174.82	07/19/12	174.82	...		148.97 Sale
		2,000	323.80	195.94	01/04/13	195.94	...		127.86 Sale
		33,000	5,342.69	3,233.01	01/04/13	3,233.01	...		2,109.68 Sale
		37,000	5,990.28	3,603.77	VARIOUS	3,603.77	...		2,386.51 Total of 3 lots
		Security total	8,612.62	5,002.81		5,002.81	...		3,609.81
ALLIANZ SE SP ADR 1/10 SH / CUSIP: 018805101 / Symbol: AZSEY	10/07/14	58,000	914.05	799.82	02/28/13	799.82	...		114.23 Sale
ALLISON TRANSMISSION HLDGS INC / CUSIP: 01973R101 / Symbol: ALSN									
	02/11/14	219,000	6,475.73	5,059.69	02/06/13	5,059.69	..		1,416.04 Sale
	05/29/14	161,000	4,995.71	3,719.68	02/06/13	3,719.68	..		1,276.03 Sale
2 tax lots for 11/13/14. Total proceeds (and cost when required) reported to the IRS.									
	11/13/14	23,000	764.15	531.98	02/06/13	531.98	...		232.77 Sale
		132,000	4,385.57	3,013.54	08/07/13	3,013.54	..		1,372.02 Sale
		155,000	5,149.72	3,544.92	VARIOUS	3,544.92	...		1,604.79 Total of 2 lots
2 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS.									
	12/10/14	29,000	944.17	662.07	08/07/13	662.07	...		282.10 Sale
		265,000	8,627.75	6,449.89	11/12/13	6,449.89	..		2,177.86 Sale
		294,000	9,571.92	7,111.96	VARIOUS	7,111.96	...		2,459.96 Total of 2 lots
		Security total	26,193.08	19,436.25		19,436.25	..		6,756.82

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Account: G581508889
 OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(Continued)

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AMADEUS IT HLDG S A ADS / CUSIP: 02263T104 / Symbol: AMADY							
01/10/14	46.000	1,946.22	12/09/11	784.30	...		1,161.92 Sale
03/03/14	16.000	676.30	12/09/11	272.80	...		403.50 Sale
Security total:		2,622.52		1,057.10	...		1,565.42
AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC							
03/19/14	86.000	5,785.09	09/14/12	3,217.59	...		2,567.50 Sale
11/20/14	57.000	5,059.62	09/14/12	2,132.59	...		2,927.03 Sale
Security total:		10,844.71		5,350.18	...		5,494.53
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR / CUSIP: 03524A108 / Symbol: BUD							
01/13/14	34.000	3,496.67	12/03/12	3,006.62	..		490.05 Sale
ASHLAND INC NEW / CUSIP: 044209104 / Symbol: ASH							
06/16/14	52.000	5,464.09	04/09/12	3,103.80	...		2,360.29 Sale
BAIDU INC SPON ADR REP A / CUSIP: 056752108 / Symbol: BIDU							
2 tax lots for 01/03/14. Total proceeds (and cost when required) reported to the IRS.							
	9.000	1,580.81	07/25/12	1,034.99	...		545.82 Sale
	2.000	351.29	10/11/12	217.92	..		133.37 Sale
01/03/14	11.000	1,932.10	VARIOUS	1,252.91	..		679.19 Total of 2 lots
07/29/14	9.000	1,987.60	10/11/12	980.64	...		1,006.96 Sale
Security total:		3,919.70		2,233.55	...		1,686.15
BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol: BBBY							
3 tax lots for 02/07/14. Total proceeds (and cost when required) reported to the IRS.							
	5.000	319.72	06/17/11	262.70	..		57.02 Sale
	21.000	1,342.83	06/17/11	1,103.33	...		239.50 Sale
	25.000	1,598.62	06/17/11	1,313.49	...		285.13 Sale
02/07/14	51.000	3,261.17	VARIOUS	2,679.52	...		581.65 Total of 3 lots
BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol: BBBY							
7 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.							
	2.000	132.06	06/17/11	105.08	...		26.98 Sale
	5.000	330.15	06/17/11	262.70	...		67.45 Sale
	14.000	924.44	01/09/12	834.26	..		90.18 Sale

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Account G581508889

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)/et	1d- Proceeds & BBY (cont'd)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol	19,000	BBBY (cont'd)	1,254.60	01/09/12	1,132.21	...	122.39	Sale
	6,000		396.19	02/24/12	362.70	...	33.49	Sale
	12,000		792.38	01/29/13	695.87	...	96.50	Sale
	53,000		3,499.68	01/29/13	3,073.45	...	426.23	Sale
02/14/14	111,000		7,329.50	VARIOUS	6,466.27	..	863.22	Total of 7 lots
	Security total		10,590.67		9,145.79	...	1,444.87	
BIODEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB	19,000		5,759.06	11/16/12	2,693.97	...	3,065.09	Sale
01/28/14								
	9,000		2,734.59	11/16/12	1,276.09	...	1,458.50	Sale
	16,000		4,861.49	01/04/13	2,336.30	...	2,525.19	Sale
11/21/14	25,000		7,596.08	VARIOUS	3,612.39	...	3,983.69	Total of 2 lots
	Security total		13,355.14		6,306.36	.	7,048.78	
CALIFORNIA RES CORP / CUSIP: 13057Q107 / Symbol: CRC	8,000		44.50	03/01/13	63.36	...	-18.87	Sale
	12,000		66.74	05/30/13	99.05	..	-32.30	Sale
	18,000		100.11	10/04/13	146.57	...	-46.45	Sale
12/10/14	38,000		211.35	VARIOUS	308.98	..	-97.62	Total of 3 lots
	Security total		44.50		63.36	...	-18.87	
CARLISLE COS INC / CUSIP: 142339100 / Symbol: CSL	32,000		2,508.79	08/16/11	1,197.31	..	1,311.48	Sale
	57,000		4,468.79	08/16/11	2,192.71	..	2,336.08	Sale
	1,000		78.40	02/24/12	50.01	...	28.39	Sale
	15,000		1,176.00	07/19/12	807.00	...	369.00	Sale
01/06/14	105,000		8,231.98	VARIOUS	4,187.03	...	4,044.95	Total of 4 lots

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Oppenheimer & Co. Inc.

Account: G581508889

2014 1099-B*

(continued)

OMB No: 1545-0715

Proceeds from Broker and Barter Exchange Transactions

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)net	1d- Proceeds & Reported (G)net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHINA MOBILE LIMITED SPONSORED ADR / CUSIP: 16941M109 / Symbol: CHL								
7 tax lots for 03/24/14. Total proceeds (and cost when required) reported to the IRS.								
	4,000	172.36	172.36	08/15/11	192.68	...	-20.32	Sale
	5,000	215.45	215.45	08/15/11	240.85	...	-25.40	Sale
	10,000	430.90	430.90	08/15/11	481.70	...	-50.80	Sale
	18,000	775.62	775.62	08/15/11	867.06	...	-91.44	Sale
	10,000	430.90	430.90	12/08/11	481.60	...	-50.70	Sale
	4,000	172.36	172.36	01/09/12	198.16	...	-25.80	Sale
	5,000	215.45	215.45	07/19/12	281.80	...	-66.35	Sale
03/24/14	56,000	2,413.04	2,413.04	VARIOUS	2,743.85	...	-330.81	Total of 7 lots

COCA COLA CO / CUSIP 191216100 / Symbol: KO

4 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.

	9,000	345.23	345.23	02/24/12	310.01	...	35.22	Sale
	9,000	345.23	345.23	02/24/12	310.01	...	35.22	Sale
	19,000	728.81	728.81	07/19/12	734.83	...	-6.01	Sale
	19,000	728.81	728.81	07/19/12	734.83	...	-6.01	Sale
04/02/14	56,000	2,148.08	2,148.08	VARIOUS	2,089.68	...	58.42	Total of 4 lots

CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP

113,000 9,676.09 6,451.06

COSTCO WHSL CORP NEW / CUSIP: 22160K105 / Symbol: COST

3 tax lots for 05/28/14. Total proceeds (and cost when required) reported to the IRS.

	9,000	1,032.11	1,032.11	01/09/12	714.69	...	317.42	Sale
	3,000	344.04	344.04	01/11/12	239.67	...	104.37	Sale
	25,000	2,866.99	2,866.99	01/11/12	1,997.24	...	869.75	Sale
05/28/14	37,000	4,243.14	4,243.14	VARIOUS	2,951.60	...	1,291.54	Total of 3 lots

DISH NETWORK CORP CL A / CUSIP: 25470M109 / Symbol: DISH

60,000 3,686.26 2,190.60

1,495.66 Sale

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Oppenheimer & Co. Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

2014 - 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed Quantity 6- Reported (G)Gross (N)Net 1b- Date acquired 1e- Cost or other basis 1g- Adjustments & 1f- Code(s), if any 7- Loss not allowed(X) Gain or loss(-) & Additional information

EBAY INC / CUSIP: 278642103 / Symbol EBAY

3 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS.

36,000	1,789.89	03/13/12	1,332.72	457.17	Sale
44,000	2,187.64	03/13/12	1,628.88	558.76	Sale
44,000	2,187.64	04/10/12	1,560.23	627.41	Sale
124,000	6,165.17	VARIOUS	4,521.83	1,643.34	Total of 3 lots

2 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS

41,000	2,021.67	04/10/12	1,453.86	567.81	Sale
24,000	1,183.41	05/07/12	962.88	220.54	Sale
65,000	3,205.08	VARIOUS	2,416.74	788.35	Total of 2 lots

4 tax lots for 08/15/14. Total proceeds (and cost when required) reported to the IRS

30,000	1,586.96	05/07/12	1,203.60	383.36	Sale
36,000	1,904.36	05/07/12	1,444.32	460.04	Sale
15,000	793.48	07/19/12	663.30	130.18	Sale
29,000	1,534.07	05/02/13	1,556.11	-22.04	Sale
110,000	5,818.87	VARIOUS	4,867.33	951.54	Total of 4 lots

2 tax lots for 10/16/14. Total proceeds (and cost when required) reported to the IRS.

7,000	334.92	05/02/13	375.61	-40.70	Sale
22,000	1,052.59	05/02/13	1,180.50	-127.91	Sale
29,000	1,387.51	VARIOUS	1,556.11	-168.61	Total of 2 lots

Security total

3,214.62

ENERGY CORP NEW / CUSIP: 29364G103 / Symbol ETR

05/13/14 78,000 5,697.01 06/22/12 5,206.98 490.03 Sale

2 tax lots for 06/18/14. Total proceeds (and cost when required) reported to the IRS.

6,000	479.09	06/22/12	400.54	78.55	Sale
16,000	1,277.57	07/19/12	1,145.44	132.13	Sale
22,000	1,756.66	VARIOUS	1,545.98	210.68	Total of 2 lots

Security total

700.71

EXELIS INC / CUSIP: 30162A108 / Symbol XLS

01/27/14 298,000 5,890.61 01/20/12 2,992.25 2,898.36 Sale

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Oppenheimer & Co. Inc.

Account: G581508889

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed

6- Reported (Gross (Net)

1b- Date acquired

1e- Cost or other basis

1g- Adjustments & if- Code(s), if any

Gain or loss(-) & 7- Loss not allowed(X) Additional Information

EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD

11/18/14 142,000 6,244.89 05/08/13 5,542.02 ... 702.87 Sale

FIFTH THIRD BANCORP / CUSIP: 316773100 / Symbol: FITB

03/24/14 132,000 3,079.50 06/18/12 1,717.32 ... 1,362.18 Sale

GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE

3 tax lots for 01/17/14. Total proceeds (and cost when required) reported to the IRS.

30,000 794.08 01/26/12 571.17 ... 222.91 Sale

43,000 1,138.19 01/26/12 818.68 ... 319.51 Sale

131,000 3,467.50 02/24/12 2,515.20 ... 952.30 Sale

204,000 5,399.77 VARIOUS 3,905.05 ... 1,494.72 Total of 3 lots

01/17/14

3 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS.

13,000 340.33 02/24/12 249.60 ... 90.73 Sale

17,000 445.05 04/27/12 336.94 ... 108.11 Sale

111,000 2,905.92 04/27/12 2,200.01 ... 705.91 Sale

141,000 3,691.30 VARIOUS 2,786.55 ... 904.75 Total of 3 lots

01/21/14

4 tax lots for 12/15/14. Total proceeds (and cost when required) reported to the IRS.

48,000 1,183.19 04/27/12 951.35 ... 231.83 Sale

103,000 2,538.92 06/13/12 2,002.31 ... 536.61 Sale

33,000 813.44 07/19/12 652.05 ... 161.39 Sale

59,000 1,454.33 11/12/12 1,238.41 ... 215.92 Sale

243,000 5,989.88 VARIOUS 4,844.12 ... 1,145.75 Total of 4 lots

12/15/14

Security total: 15,080.95 11,535.72 ... 3,545.22

GILEAD SCIENCES INC / CUSIP: 375558103 / Symbol: GILD

08/25/14 3,000 320.45 08/08/13 179.86 ... 140.59 Sale

11/06/14 28,000 3,009.93 08/08/13 1,678.70 ... 1,331.23 Sale

Security total: 3,330.38 1,858.56 ... 1,471.82

GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG

3 tax lots for 04/10/14. Total proceeds (and cost when required) reported to the IRS.

3,000 1,625.07 01/09/12 940.09 ... 684.97 Sale

04/10/14

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Oppenheimer & Co. Inc. Account G581508889
 2014 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions
 (continued)

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GOOGLE INC CL C / CUSIP 38259P706 / Symbol GOOG (cont'd)	2 000	1,083.38	02/24/12	610.90	...	472.48	Sale
	2 000	1,083.38	07/23/12	615.77	...	467.60	Sale
04/10/14	7 000	3,791.83	VARIOUS	2,166.76	..	1,625.05	Total of 3 lots
2 tax lots for 04/14/14. Total proceeds (and cost when required) reported to the IRS.							
	3 000	1,595.24	07/23/12	923.66	...	671.58	Sale
	5 000	2,658.74	02/01/13	1,937.45	...	721.29	Sale
04/14/14	8 000	4,253.98	VARIOUS	2,861.11	...	1,392.87	Total of 2 lots
3 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS.							
	1 000	524.37	02/01/13	387.49	...	136.88	Sale
	5 000	2,621.85	04/22/13	2,005.11	..	616.74	Sale
	9 000	4,719.34	09/03/13	3,882.02	..	837.31	Sale
10/21/14	15 000	7,865.56	VARIOUS	6,274.62	...	1,590.93	Total of 3 lots
		15,911.37		11,302.49	...	4,608.85	
Security total:							
GREAT PLAINS ENERGY INC / CUSIP: 391164100 / Symbol: GXP	37 000	930.03	04/09/12	736.60	..	193.43	Sale
3 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS.							
	12 000	305.27	04/09/12	238.90	..	66.37	Sale
	181 000	4,604.53	04/09/12	3,603.36	..	1,001.17	Sale
	35 000	890.38	07/19/12	784.00	..	106.38	Sale
08/28/14	228 000	5,800.18	VARIOUS	4,626.26	...	1,173.92	Total of 3 lots
		6,730.21		5,362.86	..	1,367.35	
Security total:							
HALYARD HEALTH INC / CUSIP: 40650V100 / Symbol: HYH	16 000	600.50	12/03/12	470.67	...	129.83	Sale
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITYBY							
	11 000	814.95	07/19/12	867.46	...	-52.51	Sale
INTERNATIONAL BUSINESS MACHS / CUSIP 459200101 / Symbol: IBM							
	8 000	1,516.44	12/03/12	1,519.02	...	-2.58	Sale
10/13/14	19 000	3,531.26	12/03/12	3,607.68	...	-76.42	Sale
		5,047.70		5,126.70	...	-79.00	

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Oppenheimer & Co. Inc. Account G581508889
 2014-1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/gross (N)/net	1d- Proceeds & Reported (G)/gross (N)/net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
INTERNATIONAL FLAVORS&FRAGRANC / CUSIP: 459506101 / Symbol: IFF								
5 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS.								
	18,000		1,723.53	11/28/11	956.97	..		766.56 Sale
	29,000		2,776.79	11/28/11	1,541.78	..		1,235.01 Sale
	62,000		5,936.59	11/28/11	3,296.22	..		2,640.37 Sale
	18,000		1,723.53	07/19/12	1,002.60	..		720.93 Sale
	29,000		2,776.79	06/25/13	2,191.53	..		585.26 Sale
09/25/14	156,000		14,937.23	VARIOUS	8,989.10	..		5,948.13 Total of 5 lots
JOHNSON CTLS INC / CUSIP: 478366107 / Symbol: JCI								
01/06/14	76,000		3,832.61	11/28/12	2,086.45	..		1,746.16 Sale
2 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS.								
	28,000		1,323.77	11/28/12	768.69	..		555.08 Sale
01/28/14	62,000		2,931.19	11/28/12	1,702.11	..		1,229.08 Sale
	90,000		4,254.96	VARIOUS	2,470.80	..		1,784.16 Total of 2 lots
04/29/14	108,000		4,762.69	03/07/13	3,589.92	..		1,172.77 Sale
			12,850.26		8,147.17	..		4,703.09
JONES LANG LASALLE INC / CUSIP: 48020Q107 / Symbol: JLL								
2 tax lots for 11/07/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000		139.64	09/12/13	86.18	..		53.46 Sale
11/07/14	30,000		4,189.14	09/27/13	2,642.68	..		1,546.46 Sale
	31,000		4,328.78	VARIOUS	2,728.86	..		1,599.92 Total of 2 lots
JUNIPER NETWORKS INC / CUSIP: 48203R104 / Symbol: JNPR								
3 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS.								
	71,000		1,651.14	04/27/12	1,511.31	..		139.83 Sale
	218,000		5,069.69	04/27/12	4,640.37	..		429.32 Sale
	71,000		1,651.14	05/21/12	1,276.58	..		374.56 Sale
07/24/14	360,000		8,371.97	VARIOUS	7,428.26	..		943.71 Total of 3 lots
4 tax lots for 08/04/14. Total proceeds (and cost when required) reported to the IRS.								
	44,000		1,018.58	05/21/12	791.12	..		227.46 Sale

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Oppenheimer & Co. Inc.

Account: G581508889

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed Quantity 6- Reported (G)/gross (N)/net 1b- Date acquired 1e- Cost or other basis 1g- Adjustments & 1f- Code(s), if any 7- Loss not allowed(X) Gain or loss(-) & Additional information

JUNIPER NETWORKS INC / CUSIP: 48203R104 / Symbol: JNPR (cont'd)	1,041.72		05/21/12	809.10	...		232.62	Sale
45,000	532.44		07/19/12	364.32	...		168.12	Sale
23,000	925.98		07/23/12	605.16	...		320.82	Sale
40,000	3,518.72		VARIOUS	2,569.70	...		949.02	Total of 4 lots
08/04/14								

2 tax lots for 10/27/14. Total proceeds (and cost when required) reported to the IRS.

146,000	2,862.15		07/23/12	2,208.83	..		653.32	Sale
147,000	2,881.75		01/04/13	2,981.98	...		-100.23	Sale
293,000	5,743.90		VARIOUS	5,190.81	...		553.09	Total of 2 lots
Security total:	17,634.59			15,188.77	...		2,445.82	

KLA-TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC

07/03/14	235,000		03/22/13	12,155.47	...		5,315.24	Sale
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KROGER CO / CUSIP: 501044101 / Symbol: KR

05/27/14	104,000		06/18/12	2,371.19	.		2,507.34	Sale
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4 tax lots for 09/12/14. Total proceeds (and cost when required) reported to the IRS.

19,000	983.22		06/18/12	433.20	.		550.02	Sale
17,000	879.73		07/19/12	368.05	...		511.68	Sale
31,000	1,604.21		07/19/12	671.15	..		933.06	Sale
31,000	1,604.21		09/10/13	1,157.54	.		446.67	Sale
98,000	5,071.37		VARIOUS	2,629.94	...		2,441.43	Total of 4 lots
Security total:	9,949.90			5,001.13	.		4,948.77	

LAUDER ESTEE COS INC CL A / CUSIP: 518439104 / Symbol: EL

11/19/14	54,000		04/16/13	3,655.20	...		260.83	Sale
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LOEWS CORP / CUSIP 540424108 / Symbol L

12/12/14	194,000		12/03/12	7,942.17	...		-238.42	Sale
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MARKEL CORP / CUSIP 570535104 / Symbol. MKL

05/09/14	12,000		11/22/11	4,671.07	...		2,941.89	Sale
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3 tax lots for 11/05/14 Total proceeds (and cost when required) reported to the IRS.

2,000	1,375.98		11/22/11	778.51	.		597.47	Sale
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Oppenheimer & Co. Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
MARKEL CORP / CUSIP: 570535104 / Symbol: MKL (cont'd)	7,000	4,815.94	02/24/12	2,864.47	...		1,951.47 Sale
	2,000	1,375.98	07/19/12	859.56	...		516.42 Sale
11/05/14	11,000	7,567.90	VARIOUS	4,502.54	...		3,065.36 Total of 3 lots
Security total:		15,180.86		9,173.61	..		6,007.25
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD							
2 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS.							
	14,000	1,329.97	12/12/12	1,253.98	...		75.99 Sale
	42,000	3,989.93	12/12/12	3,761.94	..		227.99 Sale
02/27/14	56,000	5,319.90	VARIOUS	5,015.92	..		303.98 Total of 2 lots
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA							
05/30/14	70,000	5,060.33	10/03/11	4,029.03	...		1,031.30 Sale
7 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS.							
	10,000	698.38	10/03/11	575.58	...		122.80 Sale
	14,000	977.74	11/16/11	844.55	..		133.19 Sale
	50,000	3,491.94	11/16/11	3,016.26	...		475.68 Sale
	1,000	69.84	02/24/12	63.05	...		6.79 Sale
	15,000	1,047.58	07/19/12	1,038.30	...		9.28 Sale
	24,000	1,676.13	06/03/13	1,575.09	...		101.04 Sale
	26,000	1,815.80	06/03/13	1,706.34	...		109.46 Sale
08/01/14	140,000	9,777.41	VARIOUS	8,819.17	..		958.24 Total of 7 lots
Security total:		14,837.74		12,848.20	...		1,989.54
MOTOROLA SOLUTIONS INC COM NEW / CUSIP: 620076307 / Symbol: MSI							
05/16/14	27,000	1,781.96	12/03/12	1,465.79	..		316.17 Sale
NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY							
3 tax lots for 05/23/14. Total proceeds (and cost when required) reported to the IRS.							
	20,000	1,559.97	01/27/11	1,088.60	...		471.37 Sale
	2,000	156.00	01/09/12	114.76	...		41.24 Sale

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Oppenheimer & Co. Inc

Account: G581508889

Proceeds from Broker and Barter Exchange Transactions

2014 - 1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed Quantity 6- Reported (G)/ross (N)/et / Symbol, NSRGY (cont'd) 1d- Proceeds & 1b- Date 1e- Cost or 1g- Adjustments & 7- Loss not allowed(X) Gain or loss(-) & Additional information
 disposed 11c- Code(s), if any

NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY (cont'd)

05/23/14	33,000	2,573.95	07/19/12	668.80	...	189.18	Sale
			VARIOUS	1,872.16	...	701.79	Total of 3 lots

NIKE INC CL B / CUSIP: 654106103 / Symbol: NIKE

2 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS.							
01/21/14	34,000	2,511.19	VARIOUS	1,327.02	...	1,184.17	Total of 2 lots
				39.03	..	34.83	Sale
			04/12/11	2,437.33	...	1,149.34	Sale

6 tax lots for 04/03/14. Total proceeds (and cost when required) reported to the IRS.

04/03/14	50,000	3,699.89	VARIOUS	2,499.93	...	1,199.98	Total of 6 lots
			04/12/11	117.09	..	104.90	Sale
			02/24/12	159.52	..	62.47	Sale
			02/24/12	221.99	...	62.47	Sale
			07/19/12	665.98	..	236.37	Sale
			07/19/12	665.98	..	236.37	Sale
			01/08/13	1,701.96	...	497.40	Sale

3 tax lots for 06/12/14. Total proceeds (and cost when required) reported to the IRS.

06/12/14	64,000	4,789.65	VARIOUS	3,500.14	...	1,289.50	Total of 3 lots
			01/08/13	157.12	..	67.39	Sale
			01/08/13	3,068.37	...	921.11	Sale
			03/22/13	1,496.77	...	301.00	Sale
			03/22/13	4,789.65	...	1,289.50	Total of 3 lots
			03/22/13	4,097.05	..	928.28	Sale
			Security total:	15,097.78	...	4,601.93	

OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY

07/08/14	36,000	3,673.39	10/04/11	2,532.23	...	1,141.15	Sale
			10/04/11	633.06	...	157.03	Sale
			02/24/12	351.15	..	-64.44	Sale
			05/18/12	3,950.46	...	329.79	Sale
			07/19/12	877.88	..	8.98	Sale

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Proceeds from Broker and Barter Exchange Transactions
(continued)

Oppenheimer & Co. Inc. Account G581508889
2014 1099-B* OMB No. 1545-0715

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	14- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY (cont'd)	20,000	1,755.76	1,447.65	03/01/13	1,652.20	...	103.56	Sale
10/28/14	88,000	7,725.34	1,881.95	VARIOUS	7,190.42	..	534.92	Total of 5 lots
Security total:		11,398.73	651.44		9,722.65	...	1,676.07	
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC			1,158.12			...		
6 tax lots for 02/12/14. Total proceeds (and cost when required) reported to the IRS			2,388.62			...		
02/12/14	146,000	10,567.85	3,040.07	VARIOUS	2,029.85	...	3,990.95	Total of 6 lots
OPPENHEIMER DEVELOPING MARKETS FD CL A / CUSIP: 683974109 / Symbol: ODMAX			10,567.85			...		
04/22/14	83,737	3,172.79	1,447.65	10/04/11	739.20	...	708.45	Sale
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: PDCO			1,881.95	10/04/11	960.96	...	920.99	Sale
2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.			651.44	07/19/12	450.72	..	200.72	Sale
11/24/14	2,000	94.88	1,158.12	07/19/12	801.28	..	356.84	Sale
	23,000	1,091.11	2,388.62	11/02/12	1,594.89	...	793.74	Sale
	25,000	1,185.99	3,040.07	11/02/12	2,029.85	...	1,010.21	Sale
			10,567.85	VARIOUS	6,576.90	...	3,990.95	Total of 6 lots
PEOPLES UNITED FINANCIAL INC / CUSIP: 712704105 / Symbol: PBCT						...		
5 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS.						...		
01/28/14	79,000	1,152.58	1,447.65	10/04/11	739.20	...	708.45	Sale
	134,000	1,955.03	1,881.95	10/04/11	960.96	...	920.99	Sale
	290,000	4,231.02	651.44	07/19/12	450.72	..	200.72	Sale
	79,000	1,152.59	1,158.12	07/19/12	801.28	..	356.84	Sale
	290,000	4,231.03	2,388.62	11/02/12	1,594.89	...	793.74	Sale
	872,000	12,722.25	3,040.07	11/02/12	2,029.85	...	1,010.21	Sale
			10,567.85	VARIOUS	6,576.90	...	3,990.95	Total of 5 lots
OPPENHEIMER DEVELOPING MARKETS FD CL A / CUSIP: 683974109 / Symbol: ODMAX						...		
04/22/14	83,737	3,172.79	1,447.65	10/04/11	739.20	...	708.45	Sale
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: PDCO						...		
2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.						...		
11/24/14	2,000	94.88	1,158.12	07/19/12	801.28	..	356.84	Sale
	23,000	1,091.11	2,388.62	11/02/12	1,594.89	...	793.74	Sale
	25,000	1,185.99	3,040.07	11/02/12	2,029.85	...	1,010.21	Sale
			10,567.85	VARIOUS	6,576.90	...	3,990.95	Total of 2 lots
PEOPLES UNITED FINANCIAL INC / CUSIP: 712704105 / Symbol: PBCT						...		
5 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS.						...		
01/28/14	79,000	1,152.58	1,447.65	10/04/11	739.20	...	708.45	Sale
	134,000	1,955.03	1,881.95	10/04/11	960.96	...	920.99	Sale
	290,000	4,231.02	651.44	07/19/12	450.72	..	200.72	Sale
	79,000	1,152.59	1,158.12	07/19/12	801.28	..	356.84	Sale
	290,000	4,231.03	2,388.62	11/02/12	1,594.89	...	793.74	Sale
	872,000	12,722.25	3,040.07	11/02/12	2,029.85	...	1,010.21	Sale
			10,567.85	VARIOUS	6,576.90	...	3,990.95	Total of 5 lots

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 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.



Oppenheimer & Co. Inc. Account G581508889
2014-1099-B* (continued) OMB No. 1545-0715
Proceeds from Broker and Barter Exchange Transactions

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
PEPSICO INC / CUSIP: 713448108 / Symbol: PEP 02/21/14	27,000	2,115.41	2,115.41	05/02/11	1,869.99	...		245.41 Sale
PERKINELMER INC / CUSIP: 714046109 / Symbol: PKI								
5 tax lots for 01/15/14. Total proceeds (and cost when required) reported to the IRS.								
	39,000	1,674.17	1,674.17	08/04/11	848.64	...		825.53 Sale
	51,000	2,189.30	2,189.30	08/04/11	1,109.76	...		1,079.54 Sale
	39,000	1,674.17	1,674.17	10/19/11	737.88	...		936.29 Sale
	100,000	4,292.74	4,292.74	10/19/11	1,892.00	...		2,400.74 Sale
	39,000	1,674.17	1,674.17	07/19/12	984.75	...		689.42 Sale
	268,000	11,504.55	11,504.55	VARIOUS	5,573.03	...		5,931.52 Total of 5 lots
PFIZER INC / CUSIP 717081103 / Symbol PFE 08/01/14	262,000	7,569.04	7,569.04	12/03/12	6,578.56	...		990.48 Sale
PHILIP MORRIS INTL INC / CUSIP 718172109 / Symbol: PM								
5 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	582.80	582.80	07/20/12	619.89	..		-37.09 Sale
	10,000	832.58	832.58	07/20/12	885.55	..		-52.97 Sale
	25,000	2,081.46	2,081.46	07/20/12	2,213.88	..		-132.42 Sale
	27,000	2,247.98	2,247.98	08/09/12	2,469.96	..		-221.98 Sale
	2,000	166.52	166.52	10/02/12	182.82	..		-16.30 Sale
	71,000	5,911.34	5,911.34	VARIOUS	6,372.10	..		-460.76 Total of 5 lots
3 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS								
	16,000	1,268.55	1,268.55	10/02/12	1,462.56	..		-194.01 Sale
	48,000	3,805.65	3,805.65	10/02/12	4,387.67	..		-582.02 Sale
	48,000	3,805.66	3,805.66	12/03/12	4,327.63	..		-521.98 Sale
	112,000	8,879.86	8,879.86	VARIOUS	10,177.86	..		-1,298.01 Total of 3 lots
	Security total.	14,791.20	14,791.20		16,549.96	..		-1,758.77
PRICELINE GRP INC COM NEW / CUSIP. 741503403 / Symbol PCLN 11/04/14	5,000	5,473.57	5,473.57	08/15/13	4,713.63	..		759.94 Sale

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Oppenheimer & Co. Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG							
4 tax lots for 06/27/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	157.69	03/24/11	121.96	...		Sale 35.73
	11,000	867.33	01/09/12	731.93	...		Sale 135.40
	41,000	3,232.78	01/09/12	2,728.10	...		Sale 504.68
	11,000	867.33	06/26/12	653.30	...		Sale 214.03
06/27/14	65,000	5,125.13	VARIOUS	4,235.29	...		Total of 4 lots 889.84
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM							
08/29/14	2,000	152.00	01/09/12	111.69	...		Sale 40.30
3 tax lots for 09/04/14. Total proceeds (and cost when required) reported to the IRS.							
	44,000	3,306.70	01/09/12	2,457.26	...		Sale 849.44
	10,000	751.52	02/24/12	635.90	...		Sale 115.62
	3,000	225.46	07/19/12	175.32	...		Sale 50.14
09/04/14	57,000	4,283.68	VARIOUS	3,268.48	...		Total of 3 lots 1,015.20
2 tax lots for 11/06/14. Total proceeds (and cost when required) reported to the IRS.							
	23,000	1,576.85	07/19/12	1,344.12	...		Sale 232.73
	27,000	1,851.08	08/09/13	1,787.67	...		Sale 63.41
11/06/14	50,000	3,427.93	VARIOUS	3,131.79	...		Total of 2 lots 296.14
		Security total:		6,511.96	...		1,351.64
SHIRE PLC SPONSORED ADR / CUSIP: 82481R106 / Symbol: SHPG							
2 tax lots for 10/07/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000	512.60	02/24/12	210.80	...		Sale 301.80
	9,000	2,306.69	06/04/13	884.84	...		Sale 1,421.86
10/07/14	11,000	2,819.29	VARIOUS	1,095.64	...		Total of 2 lots 1,723.66
SMITH & NEPHEW PLC SPDN ADR NEW / CUSIP: 83175M205 / Symbol: SNN							
2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS.							
	14,000	497.85	05/13/11	315.06	...		Sale 182.79
	20,000	711.21	05/13/11	450.08	...		Sale 261.13
11/26/14	34,000	1,209.06	VARIOUS	765.14	...		Total of 2 lots 443.92

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Oppenheimer & Co. Inc.
Proceeds from Broker and Barter Exchange Transactions
 Account G581508889
 OMB No. 1545-0715
 2014 1099-B* (continued)

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
STATE STR CORP / CUSIP. 857477103 / Symbol. STT								
01/29/14	66,000		4,468.12	12/03/12	2,964.00	..		1,504.12 Sale
02/28/14	79,000		5,197.29	12/03/12	3,547.81	.		1,649.48 Sale
03/28/14	46,000		3,169.79	12/03/12	2,065.81	...		1,103.98 Sale
04/21/14	64,000		4,160.90	12/03/12	2,874.18	.		1,286.72 Sale
	Security total		16,996.10		11,451.80	...		5,544.30
STRYKER CORP / CUSIP. 863667101 / Symbol. SYK								
4 tax lots for 07/25/14 Total proceeds (and cost when required) reported to the IRS								
	7,000		563.14	01/09/12	361.65	.		201.48 Sale
	27,000		2,172.10	01/09/12	1,394.95	.		777.15 Sale
	13,000		1,045.83	02/24/12	712.40	.		333.43 Sale
	18,000		1,448.07	07/19/12	940.14	...		507.93 Sale
07/25/14	65,000		5,229.14	VARIOUS	3,409.14	...		1,819.99 Total of 4 lots
SUNCOR ENERGY INC NEW / CUSIP. 867224107 / Symbol. SU								
05/01/14	1,000		38.66	07/12/11	39.63	.		-0.97 Sale
SWEDBANK A B SPD ADR / CUSIP. 870195104 / Symbol. SWDBY								
7 tax lots for 02/11/14 Total proceeds (and cost when required) reported to the IRS								
	8,000		218.15	03/09/11	140.48	...		77.67 Sale
	21,000		572.66	03/09/11	368.76	.		203.90 Sale
	8,000		218.15	07/27/11	138.40	.		79.75 Sale
	46,000		1,254.39	07/27/11	795.80	...		458.59 Sale
	8,000		218.16	05/24/12	115.92	...		102.24 Sale
	64,000		1,745.24	05/24/12	927.36	...		817.88 Sale
	8,000		218.16	07/19/12	134.88	.		83.28 Sale
02/11/14	163,000		4,444.91	VARIOUS	2,621.60	..		1,823.31 Total of 7 lots
SYNOPSIS INC / CUSIP. 871607107 / Symbol. SNPS								
01/07/14	167,000		6,733.25	01/17/12	4,679.61	.		2,053.64 Sale
TJX COS INC NEW / CUSIP. 872540109 / Symbol. TJX								
04/15/14	25,000		1,469.46	02/24/11	625.61	.		843.86 Sale

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Oppenheimer & Co. Inc.

Account: G581508889

2014-1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

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5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/Gross (N)/net	1d- Proceeds & Reported (G)/Gross (N)/net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
2 tax lots for 05/20/14. Total proceeds (and cost when required) reported to the IRS.								
05/20/14	34,000	1,845.95	850.82	02/24/11	850.82	995.13 Sale
	50,000	2,714.63	1,251.21	02/24/11	1,251.21	1,463.42 Sale
	84,000	4,560.58	2,102.03	VARIOUS	2,102.03	2,458.55 Total of 2 lots
4 tax lots for 08/14/14. Total proceeds (and cost when required) reported to the IRS.								
	19,000	1,024.90	475.46	02/24/11	475.46	549.44 Sale
	15,000	809.13	490.50	01/09/12	490.50	318.64 Sale
	15,000	809.13	490.50	01/09/12	490.50	318.64 Sale
	12,000	647.31	431.99	02/24/12	431.99	215.32 Sale
08/14/14	61,000	3,290.47	1,888.45	VARIOUS	1,888.45	1,402.04 Total of 4 lots
	Security total:	9,320.51	4,616.09		4,616.09	4,704.45
TIME INC NEW / CUSIP: 887228104 / Symbol: TIME								
07/09/14	38,000	948.07	594.83	12/03/12	594.83	353.24 Sale
TOTAL S SPONSORED ADR / CUSIP: 89151E109 / Symbol: TOT								
07/10/14	32,000	2,206.35	1,571.37	07/08/13	1,571.37	634.98 Sale
08/06/14	31,000	2,014.65	1,522.27	07/08/13	1,522.27	492.38 Sale
2 tax lots for 11/25/14. Total proceeds (and cost when required) reported to the IRS.								
	17,000	1,014.36	834.79	07/08/13	834.79	179.57 Sale
	8,000	477.35	430.14	08/01/13	430.14	47.21 Sale
11/25/14	25,000	1,491.71	1,264.93	VARIOUS	1,264.93	226.78 Total of 2 lots
2 tax lots for 12/17/14. Total proceeds (and cost when required) reported to the IRS.								
	25,000	1,288.61	1,344.18	08/01/13	1,344.18	-55.58 Sale
	15,000	773.16	853.67	09/13/13	853.67	-80.51 Sale
12/17/14	40,000	2,061.77	2,197.85	VARIOUS	2,197.85	-136.09 Total of 2 lots
	Security total:	7,774.48	6,556.42		6,556.42	1,218.05
TRANSCANADA CORP / CUSIP: 89353D107 / Symbol: TRP								
08/27/14	1,000	53.70	43.94	07/19/12	43.94	9.76 Sale

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Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

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5- COVERED tax lots 3- Basis is reported to the IRS**

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UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS								
4 tax lots for 08/08/14 Total proceeds (and cost when required) reported to the IRS.								
	3 000		287.21	01/24/11	219.30	...		67.91 Sale
	18,000		1,723.28	01/24/11	1,315.80	...		407.48 Sale
	22,000		2,106.23	01/24/11	1,608.20	...		498.03 Sale
	13,000		1,244.59	03/21/11	950.69	...		293.90 Sale
08/08/14	56 000		5,361.31	VARIOUS	4,093.99	...		1,267.32 Total of 4 lots
UNITED TECHNOLOGIES CORP / CUSIP 913017109 / Symbol. UTX								
11/28/14	69,000		7,626.83	12/03/12	5,519.86	...		2,106.97 Sale
UNIVERSAL HLTH SVCS INC CL B / CUSIP: 913903100 / Symbol: UHS								
09/15/14	36,000		4,017.54	07/02/12	1,543.71	...		2,473.83 Sale
VECTREN CORP / CUSIP 92240G101 / Symbol VVC								
05/02/14	145,000		5,838.34	05/25/11	4,071.41	...		1,766.93 Sale
3 tax lots for 10/24/14 Total proceeds (and cost when required) reported to the IRS								
	87 000		3,811.22	05/25/11	2,442.85	...		1,368.37 Sale
	30 000		1,314.21	07/19/12	899.40	...		414.81 Sale
	38 000		1,664.67	09/09/13	1,231.76	...		432.91 Sale
10/24/14	155 000		6,790.10	VARIOUS	4,574.01	...		2,216.09 Total of 3 lots
	Security total		12,628.44		8,645.42	...		3,983.02
VECTRUS INC / CUSIP 92242T101 / Symbol. VEC								
7 tax lots for 10/09/14. Total proceeds (and cost when required) reported to the IRS								
	3 000		62.09	01/20/12	37.89	...		24.20 Sale
	5 000		103.50	01/20/12	63.15	...		40.35 Sale
	4,000		82.80	02/24/12	44.28	...		38.52 Sale
	1 000		20.70	07/19/12	10.72	...		9.98 Sale
	3,000		62.10	07/19/12	32.17	...		29.93 Sale
	10 000		207.00	07/30/12	110.25	...		96.75 Sale
	15,000		310.50	07/30/12	165.38	...		145.12 Sale
10/09/14	41 000		848.69	VARIOUS	463.84	...		384.85 Total of 7 lots

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 2014-1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked
 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)Net / Symbol. VZ	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & if- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol. VZ	78,000	3,601.18	12/03/12	3,449.08	...		152.10 Sale
2 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS							
	6,000	275.51	12/03/12	265.31	...		10.20 Sale
	46,000	2,112.30	12/03/12	2,034.07	..		78.23 Sale
	52,000	2,387.81	VARIOUS	2,299.38	..		88.43 Total of 2 lots
Security total:		5,988.99		5,748.46	...		240.53
VOLKSWAGEN AG SPON ADR PREF / CUSIP: 928662402 / Symbol: VLKPY							
6 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS							
	2,000	102.94	05/04/12	73.30	...		29.64 Sale
	21,000	1,080.84	05/04/12	769.65	...		311.19 Sale
	2,000	102.94	06/07/12	62.40	...		40.54 Sale
	20,000	1,029.37	06/07/12	624.00	...		405.37 Sale
	21,000	1,080.84	07/19/12	719.88	...		360.96 Sale
	24,000	1,235.25	01/10/13	1,102.80	...		132.45 Sale
	90,000	4,632.18	VARIOUS	3,352.03	...		1,280.15 Total of 6 lots
WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC							
03/27/14	36,000	1,757.48	06/11/12	1,121.40	...		636.08 Sale
4 tax lots for 06/20/14. Total proceeds (and cost when required) reported to the IRS.							
	29,000	1,527.39	06/11/12	903.35	...		624.05 Sale
	36,000	1,896.08	08/23/12	1,220.76	...		675.32 Sale
	66,000	3,476.14	08/23/12	2,238.05	...		1,238.08 Sale
	20,000	1,053.37	11/05/12	678.60	...		374.77 Sale
06/20/14	151,000	7,952.98	VARIOUS	5,040.76	..		2,912.22 Total of 4 lots
2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS.							
	33,000	1,564.66	11/05/12	1,119.69	..		444.97 Sale
	65,000	3,081.91	11/05/12	2,205.45	...		876.46 Sale
10/15/14	98,000	4,646.57	VARIOUS	3,325.14	...		1,321.43 Total of 2 lots
Security total:		14,357.03		9,487.30	..		4,869.73

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Oppenheimer & Co., Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
WPX ENERGY INC / CUSIP- 98212B103 / Symbol. WPX	347 000	7,989 63	03/21/13	5,549 01	...		2,440.62 Sale
ZEBRA TECHNOLOGIES CORP CL A / CUSIP. 989207105 / Symbol: ZBRA							
5 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS.							
	20 000	1,318 22	06/18/12	648 66	...		669.56 Sale
	32 000	2,109.15	06/18/12	1,037 85	...		1,071.30 Sale
	14 000	922 75	07/19/12	498.68	...		424.07 Sale
	20 000	1,318.22	07/19/12	712 40	...		605 82 Sale
	32 000	2,109 15	01/30/13	1,371 93	...		737.22 Sale
02/21/14	118 000	7,777 49	VARIOUS	4,269.52	.		3,507.97 Total of 5 lots
NOBLE CORP PLC SHS USD / CUSIP. G65431101 / Symbol: NE							
5 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS							
	61 000	1,876.43	11/15/12	2,072 41	..		-195.97 Sale
	104 000	3,199 16	11/15/12	3,533 29	..		-334 13 Sale
	166 000	5,106 36	11/15/12	5,639.67	...		-533 31 Sale
	172 000	5,290 93	12/03/12	5,933.83	..		-642 90 Sale
	98 000	3,014 60	03/08/13	3,456 95	..		-442.35 Sale
04/23/14	601 000	18,487.48	VARIOUS	20,636 15	.		-2,148 66 Total of 5 lots
07/22/14	6 000	198.24	03/08/13	211 65	...		-13.41 Sale
	Security total	18,685.72		20,847 80	.		-2,162 07
AVAGO TECHNOLOGIES LTD / CUSIP Y0486S104 / Symbol AVGO							
	234.000	13,605 90	02/12/13	8,223 42	..		5,382 48 Sale
03/06/14	22.000	1,397 63	02/12/13	773 14	...		624 49 Sale
04/02/14	20.000	1,283 37	02/12/13	702.86	.		580 51 Sale
07/24/14	23.000	1,668 15	02/12/13	808 28	..		859 87 Sale
	Security total.	17,955.05		10,507.70	...		7,447.35

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Oppenheimer & Co. Inc.

Account G581508889

2014 1099-B

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
Totals:	625,414.78	457,168.82	...	168,245.93

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
6 tax lots for 04/10/14. Total proceeds (and cost when required) reported to the IRS.							
04/10/14	7,000	260.04	06/08/09	149.05	111.00 Sale
	7,000	260.04	07/01/09	156.86	103.18 Sale
	12,000	445.79	07/01/09	268.91	176.88 Sale
	35,000	1,300.22	07/01/09	784.32	515.90 Sale
	76,000	2,823.33	12/23/09	1,971.09	852.25 Sale
	17,000	631.53	07/13/10	389.60	241.93 Sale
	154,000	5,720.95	VARIOUS	3,719.83	2,001.14 Total of 6 lots
ADIDAS AG ADR / CUSIP: 00687A107 / Symbol: ADDYY							
01/13/14	29,000	1,757.94	12/23/10	958.45	799.49 Sale
ALLERGAN INC / CUSIP: 018490102 / Symbol: AGN							
04/02/14	21,000	2,623.69	04/30/10	1,333.71	1,289.98 Sale
3 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS.							
	3,000	353.89	04/30/10	190.53	163.36 Sale
	14,000	1,651.49	04/30/10	889.14	762.35 Sale
	30,000	3,538.90	07/13/10	1,978.80	1,560.10 Sale
04/08/14	47,000	5,544.28	VARIOUS	3,058.47	2,485.81 Total of 3 lots
2 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS.							
	4,000	655.59	07/13/10	263.84	391.75 Sale
	2,000	327.79	12/23/10	138.91	188.88 Sale
04/23/14	6,000	983.38	VARIOUS	402.75	580.63 Total of 2 lots
	Security total:	9,151.35		4,794.93	4,356.42

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Oppenheimer & Co. Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et / Symbol. BZLFY	1d- Proceeds & Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
<i>2 tax lots for 09/08/14. Total proceeds (and cost when required) reported to the IRS</i>							
	66,000		1,733.49 12/23/10	755.04	.	978.45	Sale
	73,000		1,917.35 12/23/10	835.12	..	1,082.23	Sale
09/08/14	139,000		3,650.84 VARIOUS	1,590.16	..	2,060.68	Total of 2 lots
12/30/14	102,000		2,784.92 12/23/10	1,166.88	..	1,618.04	Sale
	Security total:		6,435.76	2,757.04		3,678.72	
<i>CNOOC LTD SPONSORED ADR / CUSIP: 126132109 / Symbol: CEO</i>							
04/08/14	16,000		2,592.54 12/23/10	3,762.08	..	-1,169.54	Sale
04/14/14	11,000		1,836.62 12/23/10	2,586.43	..	-749.81	Sale
	Security total:		4,429.16	6,348.51	..	-1,919.35	
<i>CARLISLE COS INC / CUSIP: 142339100 / Symbol: CSL</i>							
01/06/14	3,000		235.20 10/11/10	95.71	.	139.49	Sale
<i>COCA COLA CO / CUSIP: 191216100 / Symbol: KO</i>							
01/29/14	127,000		4,818.48 12/23/09	3,635.38	..	1,183.10	Sale
02/20/14	176,000		6,575.24 12/23/09	5,038.00	..	1,537.24	Sale
<i>5 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.</i>							
	84,000		3,222.12 12/23/09	2,404.50	..	817.62	Sale
	92,000		3,528.99 12/23/09	2,633.50	..	895.49	Sale
	127,000		4,871.55 12/23/09	3,635.37	..	1,236.17	Sale
	42,000		1,611.06 07/13/10	1,106.49	..	504.57	Sale
	42,000		1,611.06 07/13/10	1,106.49	..	504.57	Sale
04/02/14	387,000		14,844.78 VARIOUS	10,886.35	..	3,958.42	Total of 5 lots
	Security total:		26,238.50	19,559.73		6,678.76	
<i>COMPASS GROUP PLC SPON ADR NEW / CUSIP: 20449X203 / Symbol:</i>							
05/22/14	100,000		1,687.96 12/23/10	930.00	..	757.96	Sale
<i>COMPASS GROUP PLC SPONSORED ADR NE / CUSIP: 20449X302 / Symbol: CMPGY</i>							
08/26/14	104,000		1,684.76 12/23/10	1,029.25	..	655.51	Sale
10/20/14	88,000		1,348.68 12/23/10	870.90	..	477.78	Sale
	Security total:		3,033.44	1,900.15		1,133.29	

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Oppenheimer & Co. Inc.

Account G581508889

Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & Reported (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COSTCO WHSL CORP NEW / CUSIP: 22160K105 / Symbol: COST	1.000	114.68	114.68	12/23/10	72.06	...	42.62	Sale
DST SYS INC DEL / CUSIP: 233326107 / Symbol: DST	51.000	5,022.43	5,022.43	09/16/10	2,170.62	..	2,851.81	Sale
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL	2 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS.							
	1.000	1,162.22	1,162.22	07/13/10	490.25	..	671.97	Sale
	7.000	8,135.57	8,135.57	07/13/10	3,431.75	..	4,703.82	Sale
	8.000	9,297.79	9,297.79	VARIOUS	3,922.00	..	5,375.79	Total of 2 lots
GREAT PLAINS ENERGY INC / CUSIP: 391164100 / Symbol: GXP	2 tax lots for 08/26/14. Total proceeds (and cost when required) reported to the IRS.							
	37.000	930.02	930.02	09/16/10	694.33	..	235.69	Sale
	179.000	4,499.31	4,499.31	09/16/10	3,359.08	..	1,140.24	Sale
	216.000	5,429.33	5,429.33	VARIOUS	4,053.41	..	1,375.93	Total of 2 lots
GRUPO TELEvisa SA SPON ADR REP ORD / CUSIP: 40049J206 / Symbol: TV	42.000	1,423.77	1,423.77	12/23/10	1,086.12	..	337.65	Sale
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITYBY	2 tax lots for 02/12/14. Total proceeds (and cost when required) reported to the IRS.							
	7.000	518.61	518.61	12/23/09	436.03	..	82.57	Sale
	10.000	740.86	740.86	12/23/09	622.90	..	117.96	Sale
	17.000	1,259.47	1,259.47	VARIOUS	1,058.93	..	200.53	Total of 2 lots
KROGER CO / CUSIP 501044101 / Symbol: KR	11.000	515.99	515.99	11/19/10	251.57	..	264.42	Sale
NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY	2 tax lots for 05/23/14. Total proceeds (and cost when required) reported to the IRS.							
	17.000	1,325.97	1,325.97	07/13/10	850.00	..	475.97	Sale

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Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY (cont'd)	30 000		2,339.95	07/13/10	1,500.00	...	839.95	Sale
05/23/14	47 000		3,665.92	VARIOUS	2,350.00	...	1,315.92	Total of 2 lots
NOVO-NORDISK A S ADR / CUSIP: 670100205 / Symbol: NVO								
2 tax lots for 10/03/14. Total proceeds (and cost when required) reported to the IRS.								
	8 000		380.82	12/23/10	178.06	...	202.75	Sale
10/03/14	15 000		714.03	12/23/10	333.87	...	380.16	Sale
	23 000		1,094.85	VARIOUS	511.93	...	582.91	Total of 2 lots
OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY								
2 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS.								
	7 000		715.87	07/13/10	576.38	..	139.49	Sale
06/25/14	36 000		3,681.63	08/12/10	2,768.75	...	912.88	Sale
	43 000		4,397.50	VARIOUS	3,345.13	...	1,052.37	Total of 2 lots
OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY								
3 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS.								
	7 000		714.27	08/12/10	538.37	...	175.90	Sale
07/08/14	8 000		816.31	08/12/10	615.28	...	201.03	Sale
	2 000		204.08	12/23/10	195.16	..	8.92	Sale
	17 000		1,734.66	VARIOUS	1,348.81	...	385.85	Total of 3 lots
			6,132.16		4,693.94	...	1,438.22	
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: PDCO								
2 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS.								
	104 000		4,809.94	12/23/09	2,896.39	...	1,913.55	Sale
11/17/14	9 000		416.24	11/23/10	261.63	...	154.61	Sale
	113 000		5,226.18	VARIOUS	3,158.02	...	2,068.16	Total of 2 lots
11/24/14	77 000		3,652.85	11/23/10	2,238.39	...	1,414.46	Sale
			8,879.03		5,396.41	.	3,482.62	

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Oppenheimer & Co. Inc.

Account G581508889

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

Quantity 6- Reported (G)/gross (N)/net 1d- Proceeds & Reported (G)/gross (N)/net Date acquired Cost or other basis Adjustments & Code(s), if any 7- Loss not allowed(X) Gain or loss(-) & Loss not allowed(X) Additional information

PEPSICO INC / CUSIP: 713448108 / Symbol: PEP

4 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS.

18,000	1,410.28	12/23/09	1,089.36	..	320.91	Sale
27,000	2,115.41	12/23/09	1,634.04	..	481.37	Sale
18,000	1,410.27	07/13/10	1,142.10	..	268.17	Sale
18,000	1,410.28	07/13/10	1,142.10	..	268.18	Sale
81,000	6,346.24	VARIOUS	5,007.60	..	1,338.63	Total of 4 lots

QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM

2 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS.

7,000	518.20	07/13/10	250.53	..	267.67	Sale
57,000	4,219.62	11/08/10	2,719.46	..	1,500.16	Sale
64,000	4,737.82	VARIOUS	2,969.99	..	1,767.83	Total of 2 lots
38,000	2,887.93	11/08/10	1,812.97	..	1,074.96	Sale
Security total:	7,625.75		4,782.96	..	2,842.79	

REED ELSEVIER P L C SPONS ADR NEW / CUSIP: 758205207 / Symbol: RUK

27,000	1,646.07	12/23/09	874.20	..	771.87	Sale
33,000	2,149.47	12/23/09	1,068.46	..	1,081.01	Sale
Security total:	3,795.54		1,942.66	..	1,852.88	

SHIRE PLC SPONSORED ADR / CUSIP: 82481R106 / Symbol: SHPG

17,000	4,288.15	12/23/10	1,199.86	..	3,088.29	Sale
10,000	2,541.64	12/23/10	705.80	..	1,835.84	Sale
2,000	512.60	12/23/10	141.16	..	371.44	Sale
Security total:	7,342.39		2,046.82	..	5,295.57	

SMITH & NEPHEW PLC SPDN ADR NEW / CUSIP: 83175M205 / Symbol: SNN

2 tax lots for 11/26/14. Total proceeds (and cost when required) reported to the IRS.

5,000	177.80	12/23/10	108.10	..	69.70	Sale
7,000	248.92	12/23/10	151.35	..	97.58	Sale
12,000	426.72	VARIOUS	259.45	..	167.28	Total of 2 lots

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Oppenheimer & Co. Inc. Account G581508889
 2014 1099-B* (continued) OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
2 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS.							
	38,000	2,998.31	05/21/10	2,008.38	..	989.94	Sale
04/16/14	22,000	1,735.87	07/13/10	1,166.66	..	569.21	Sale
	60,000	4,734.18	VARIOUS	3,175.04	..	1,559.15	Total of 2 lots
04/29/14	45,000	3,516.43	07/13/10	2,386.35	..	1,130.08	Sale
3 tax lots for 07/25/14 Total proceeds (and cost when required) reported to the IRS.							
	6,000	482.69	07/13/10	318.18	..	164.51	Sale
	12,000	965.37	12/23/10	644.04	..	321.33	Sale
	27,000	2,172.09	12/23/10	1,449.09	..	723.00	Sale
07/25/14	45,000	3,620.15	VARIOUS	2,411.31	..	1,208.84	Total of 3 lots
		11,870.76		7,972.70	..	3,898.07	
					..		
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP: 874039100 / Symbol: TSM	52,000	1,174.75	12/23/10	636.47	..	538.28	Sale
TRANSCANADA CORP / CUSIP 89353D107 / Symbol: TRP							
2 tax lots for 08/27/14 Total proceeds (and cost when required) reported to the IRS.							
	1,000	53.69	12/23/10	38.03	..	15.66	Sale
	116,000	6,229.06	12/23/10	4,411.47	..	1,817.59	Sale
08/27/14	117,000	6,282.75	VARIOUS	4,449.50	..	1,833.25	Total of 2 lots
Totals:		146,390.58		93,729.50	..	52,661.09	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 ** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

Oppenheimer & Co Inc.	Account G581497489
Proceeds Not Reported to the IRS	
2014	

LONG-TERM TRANSACTIONS*NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FEDERAL FARM CR BKS B/E 4 9% DUE 04/29/14 / CUSIP 31331TJ51 / Symbol 04/29/14	50,000 000	50,000 00	05/05/06	50,000 00		0 00	Redemption Note 56 Original basis \$48,375 00
FEDERAL HOME LN BKS B/E 5 5% DUE 08/13/14 / CUSIP 3133XLJP9 / Symbol 08/13/14	50,000 000	50,000 00	01/20/09	50,000 00		0 00	Redemption Note 56 Original basis \$57,833 79
FORD MOTOR CREDIT CO LLC B/E 8 125% DUE 01/15/20 / CUSIP 345397VM2 / Symbol 2 tax lots for 09/24/14 09/24/14	230,000 000 100,000 000 330,000 000	286,634 02 124,623 48 411 257 50	05/14/13 07/26/13 VARIOUS	283,805 86 120,484 99 404,290 85		2,828 16 4,138 49 6,966 65	Sale Note 56 Original basis \$297,625 00 Sale Note 56 Original basis \$124,975 00 Total of 2 lots
FORD MOTOR CREDIT CO LLC B/E 4 25% DUE 09/20/22 / CUSIP 345397WF6 / Symbol 09/24/14	220,000 000	229,180 00	12/13/12	231,760 77		-2,580 77	Sale Note 56 Original basis \$234,388 60
KINDER MORGAN ENERGY PARTNERS B/E 5 125% DUE 11/15/14 / CUSIP 494550AS5 / Symbol 11/17/14	51,000 000	51,000 00	06/23/08	51,000 00		0 00	Redemption Note 56 Original basis \$48,564 14
STANFORD LELAND JR UNIV BOARD B/E 3 625% DUE 05/01/14 / CUSIP 854403AA0 / Symbol 05/01/14	50,000 000	50,000 00	12/16/09	50,000 00		0 00	Redemption Note 56 Original basis \$52,808 00

Oppenheimer & Co. Inc. Account G581497489
Proceeds Not Reported to the IRS
 2014 (continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*
 Report on Form 8949, Part II, with Box F checked

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
YALE UNIV MTN BE B/E 2.9% DUE 10/15/14 / CUSIP 98458PAB1 / Symbol							
	2 tax lots for 04/15/14 500 000	506 69	12/16/09	500 00		6 69	Redemption Note 56
	24,500 000	24,827 96	12/16/09	24,500 00		327 96	Original basis \$513 00 Redemption Note 56
04/15/14	25,000 000	25,334 65	VARIOUS	25,000 00		334 65	Original basis \$25,137 00 Total of 2 lots
	Totals	866,772 15		862,051 62		4,720 53	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S ART VILLAGE 1100 GEORGINA SANTA MONICA, CA 90402	N/A	PUBLIC CHARITY	TEACHER TRAINING	22,000.
CHIME INSTITUTE P O BOX 280310 NORTHRIDGE, CA 91328-0310	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	12,000.
CLAREMONT GRADUATE UNIVERSITY 165 EAST TENTH ST CLAREMONT, CA 917116186	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	20,000.
COMMUNITY PARTNERS 1000 NO ALAMEDA ST. STE 240 LOS ANGELES, CA 90012-1804	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	-10,000.
CSUN FOUNDATION 18111 NORDHOFF ST NORTHRIDGE, CA 91330	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	12,000.
EARTH EXPLORERS 3827 PASEO DEL PRADO BOULDER, CO 80301	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	9,500.
FROSTIG CENTER 971 NO ALTADENA DR PASADENA, CA 91107	N/A	PUBLIC CHARITY	TEACHER TRAINING	5,000.
JOHN TRACY CLINIC 806 WEST ADAMS BL LOS ANGELES, CA 90007	N/A	PUBLIC CHARITY	TEACHER TRAINING	25,000.
LEAP 31 JEFFERSON ST NEW HAVEN, CT 06511	N/A	PUBLIC CHARITY	TEACHER TRAINING	20,000.
MIND RESEARCH INSTITUTE 3631 SO HARBOR BLVD, STE 200 SANTA ANA, CA 92704	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	12,000.
Total from continuation sheets				300,000.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NETWORK FOR TEACHING ENTREPRENEURSHIP 120 WALL ST NEW YORK, NY 10005	N/A	PUBLIC CHARITY	TEACHER TRAINING	5,000.
NO LIMITS 9801 WASHINGTON BLVD., 2ND FLOOR CULVER CITY, CA 90232	N/A	PUBLIC CHARITY	TEACHER TRAINING	5,000.
OVERLAND AVENUE ELEMENTARY SCHOOL 10850 ASHBY AVE LOS ANGELES, CA 90064	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	20,000.
SCRIPPS COLLEGE 1030 COLUMBIA AVE CLAREMONT, CA 91711	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	20,000.
ST JOHN BOSCO HIGH SCHOOL 13640 BELLFLOWER BLVD BELLFLOWER, CA 90706	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	8,000.
STANFORD CENTER TO SUPPORT EXCELLENCE IN TEACHING 520 GALVEZ MALL STANFORD, CA 94304-3084	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	20,000.
STAR EDUCATION 10101 W. JEFFERSON BL CULVER CITY, CA 90232	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	7,500.
TEACH FOR AMERICA 315 W. 9TH ST, #950 LOS ANGELES, CA 90015	N/A	PUBLIC CHARITY	TEACHER TRAINING	20,000.
UC BERKELEY, GRADUATE SCHOOL OF EDUCATION 1501 TOLMAN HALL, BERKELEY, CA 94720	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	10,000.
UC SAN DIEGO-MATH FOR AMERICA 9500 GILLMAN DR, DEPT 0036 LA JOLLA, CA 92093	N/A	EDUCATIONAL INSTITUTION	TEACHER TRAINING	30,000.
Total from continuation sheets				

