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AS AMENDED

EXTENDED TO NOVEMBER 16, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation EDMUND AND MARY SHEA FAMILY FOUNDATION		A Employer identification number 95-4107214
Number and street (or P.O. box number if mail is not delivered to street address) 655 BREA CANYON ROAD		B Telephone number (909) 594-0941
City or town, state or province, country, and ZIP or foreign postal code WALNUT, CA 91789		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input checked="" type="checkbox"/> Amended return SEE STATEMENT A <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 19,691,417.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		211,352.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,260.	1,260.		STATEMENT 1
4 Dividends and interest from securities		469,694.	469,694.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,398,153.			
b Gross sales price for all assets on line 6a		3,798,595.			
7 Capital gain net income (from Part IV, line 2)			3,398,153.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		15,620.	15,620.		STATEMENT 3
12 Total. Add lines 1 through 11		4,096,079.	3,884,727.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		5,750.	5,750.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		81,245.	5,789.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		128,538.	128,538.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		215,533.	140,077.		0.
25 Contributions, gifts, grants paid		398,665.			398,665.
26 Total expenses and disbursements. Add lines 24 and 25		614,198.	140,077.		398,665.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		3,481,881.			
b Net investment income (if negative, enter -0-)			3,744,650.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see Instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	
		(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing	1,537,537.	353,327.	353,327.
	2 Savings and temporary cash investments	1,002,620.	4,174,182.	4,174,182.
	3 Accounts receivable ▶ 4,451.			
	Less: allowance for doubtful accounts ▶	119,147.	4,451.	4,451.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,030.	30,572.	30,572.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	6,585,575.	7,124,518.	11,572,459.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		2,559,967.	3,592,711.	3,556,426.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		11,805,876.	15,279,761.	19,691,417.
17 Accounts payable and accrued expenses		75,000.	41.	
18 Grants payable			50,000.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	75,000.	50,041.	
	24 Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	25 Unrestricted			
	26 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	11,730,876.	15,201,583.		
30 Total net assets or fund balances	11,730,876.	15,201,583.		
31 Total liabilities and net assets/fund balances	11,805,876.	15,251,624.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	11,730,876.
2 Enter amount from Part I, line 27a	3,481,881.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	15,212,757.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	11,174.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	15,201,583.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,798,595.		400,442.	3,398,153.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,398,153.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,398,153.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes ☐ No ☒

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	350,200.	14,502,160.	.024148
2012	711,950.	8,615,402.	.082637
2011	611,449.	6,567,076.	.093108
2010	610,170.	6,197,751.	.098450
2009	394,330.	5,378,232.	.073320

2 Total of line 1, column (d)	2	.371663
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.074333
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	18,434,899.
5 Multiply line 4 by line 3	5	1,370,321.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	37,447.
7 Add lines 5 and 6	7	1,407,768.
8 Enter qualifying distributions from Part XII, line 4	8	398,665.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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CONTINUATION FOR 990-PF, PART IV

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FROM PASS-THROUGHS	P		
b FROM PASS-THROUGHS	P		
c JP MORGAN - HCP INC - CAPITAL GAIN DISTRIBUTION	P		
d MORGAN STANLEY - THAI FUND CAPITAL GAIN DISTRIBUTION	P		
e MORGAN STANLEY - HCP INC - CAPITAL GAIN DISTRIBUTION	P		
f LOSS ON TERMINATION OF AG GECC PUBLIC PRIVATE INV	P	01/01/09	12/31/14
g 7500 SHS KKR FINANCIAL HLDGS LLC	P	12/14/11	04/30/14
h 6000 SHS KKR FINANCIAL HLDGS LLC	P	12/18/12	04/30/14
i 7972 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
j 2500 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
k 7500 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
l 40000 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
m 10000 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
n 2580 SHS ARTHROCARE CORP	D	05/23/14	05/30/14
o 5000 SHS YONGYE INTERNATIONAL INC COMMON	D	12/18/12	07/18/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,827.			32,827.
b 162,089.			162,089.
c 2,582.			2,582.
d 4,285.			4,285.
e 819.			819.
f		544.	-544.
g 86,866.		106,431.	-19,565.
h 69,493.		67,864.	1,629.
i 384,649.		24,082.	360,567.
j 120,625.		7,187.	113,438.
k 361,875.		22,500.	339,375.
l 1,930,000.		120,000.	1,810,000.
m 482,500.		29,875.	452,625.
n 124,485.		7,708.	116,777.
o 35,500.		14,251.	21,249.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			32,827.
b			162,089.
c			2,582.
d			4,285.
e			819.
f			-544.
g			-19,565.
h			1,629.
i			360,567.
j			113,438.
k			339,375.
l			1,810,000.
m			452,625.
n			116,777.
o			21,249.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,398,153.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	74,893.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	74,893.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	74,893.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6a	88,934.
6 Credits/Payments:		6b	
a 2014 estimated tax payments and 2013 overpayment credited to 2014		6c	15,000.
b Exempt foreign organizations - tax withheld at source		6d	
c Tax paid with application for extension of time to file (Form 8868)		7	103,934.
d Backup withholding erroneously withheld		8	2.
7 Total credits and payments. Add lines 6a through 6d		9	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		10	29,039.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		11	563.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax 28,476. Refunded 28,476.			

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XIV</i>	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> STMT 10	10	X	

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A			
14 The books are in care of ▶ RON LAKEY		Telephone no. ▶ (909) 594-0941	
Located at ▶ 655 BREÁ CANYON ROAD, WALNUT, CA		ZIP+4 ▶ 91789	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		16	Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- | | | | |
|---|------------------------------|--|--|
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY S. SHEA	PRESIDENT			
655 BREA CANYON ROAD				
WALNUT, CA 91789	2.00	0.	0.	0.
COLLEEN SHEA MORRISSEY	TREASURER			
655 BREA CANYON ROAD				
WALNUT, CA 91789	1.00	0.	0.	0.
RONALD L. LAKEY	ASSISTANT SECRETARY			
655 BREA CANYON ROAD				
WALNUT, CA 91789	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	10,907,652.
b Average of monthly cash balances	1b	4,122,051.
c Fair market value of all other assets	1c	3,685,931.
d Total (add lines 1a, b, and c)	1d	18,715,634.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	18,715,634.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	280,735.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,434,899.
6 Minimum investment return. Enter 5% of line 5	6	921,745.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		921,745.
2a Tax on investment income for 2014 from Part VI, line 5	2a	74,893.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	74,893.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	846,852.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	846,852.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	846,852.

Part XII

Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	398,665.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	398,665.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	398,665.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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14) **Undistributed Income**

eExcess from 20
423581
11-24-14

(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
			846,852.
		0.	
	0.		
1,029,870.			
		0.	
	0.		
0.			398,665.
0.			448,187.
448,187.			
581,683.			
	0.		
		0.	
		0.	
		0.	
			0.
			0.
0.			
0.			
581,683.			
279,643.			
302,040.			

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N/A

- | | | |
|---------------|--|------------|
| 4942(i)(3) or | | 4942(i)(5) |
|---------------|--|------------|

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AID AFRICA 3916 PENNSYLVANIA AVENUE LA CRESCENTA, CA 91214	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
AMAZING SURF ADVENTURES P.O. BOX 1581 SAN LUIS OBISPO, CA 93406	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,500.
ARMORY CENTER FOR THE ARTS 145 N RAYMOND AVE PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
ART CENTER COLLEGE OF DESIGN 1700 LIDA ST PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250.
AUGIE'S QUEST 1351 CALLE AVANZADO STE 204 SAN CLEMENTE, CA 92673	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	15,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				398,665.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

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423611
11-24-14

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EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAROLINAS HEALTHCARE FOUNDATION 1221 E MOREHEAD ST CHARLOTTE, NC 28204	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	4,000.
CHANDLER SCHOOL 1005 ARMADA DR PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250.
CHILDREN'S HOSPITAL OF L.A. (LAS MADRINAS) 313 E CALDWELL AVE VISALIA, CA 93277	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	30,000.
COUNCIL FOR CHILDREN'S RIGHTS INC. 601 E. 5TH ST., SUITE 510 CHARLOTTE, NC 28202	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	5,000.
DOLLIES MAKING A DIFFERENCE 440 TOYOPA DRIVE PACIFIC PALISADES, CA 90272	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000.
FORT HOPE P.O. BOX 132 ARROYO GRANDE, CA 93421	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000.
GEORGETOWN UNIVERSITY 3700 O ST NW WASHINGTON, DC 20057	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	10,000.
HARBOR DAY SCHOOL 3443 PACIFIC VIEW DRIVE CORONA DEL MAR, CA 92625	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	28,000.
HOUSE OF SERENITY 1320 VANBEURDEN LOS OSOS, CA 93402	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
HUNTINGTON MEDICAL RESEARCH INSTITUTES 99 N EL MOLINO AVE PASADENA, CA 91101	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250.
Total from continuation sheets				379,915.

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EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HUNTINGTON MEMORIAL HOSPITAL POB 7013, 100 W. CALIFORNIA BLVD PASADENA, CA 91109	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000.
JANE GOODALL INSTITUTE 1595 SPRING HILL RD STE 550 VIENNA, VA 22182	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	3,200.
JOHN AND COLLEEN MORRISSEY FOUNDATION 655 BREA CANYON RD. WALNUT, CA 91789	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	120,000.
JOHN TRACY CLINIC 806 W. ADAMS BLVD. LOS ANGELES, CA 90007	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	30,000.
LOYOLA HIGH SCHOOL 1901 VENICE BLVD. LOS ANGELES, CA 90006	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	5,000.
MARINERS ELEMENTARY SCHOOL FOUNDATION 2100 MARINERS DR NEWPORT BEACH, CA 92660	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000.
MAYFIELD JUNIOR SCHOOL 405 S. EUCLID AVE. PASADENA, CA 91101	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	26,000.
MAYFIELD SENIOR SCHOOL 500 BELLEFONTAINE ST. PASADENA, CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	20,000.
MAYFIELD SENIOR SCHOOL - MAUREEN SHEA SCHOLARSHIP FUND 500 BELLEFONTAINE ST. PASADENA, CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	800.
MIDDLE HOUSE 2939 AUGUSTA STREET SAN LUIS OBISPO, CA 93401	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MOUNTAINBROOK COMMUNITY CHURCH 1775 CALLE JOAQUIN SAN LUIS OBISPO, CA 93405	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	4,805.
NATIONAL MS SOCIETY - GEORGIA CHAPTER 1117 PERIMETER CENTER WEST ROAD #E101 ATLANTA, GA 30338	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000.
NORTHERN TAHOE FIREFIGHTERS ASSOCIATION P.O. BOX 5879 TAHOE CITY, CA 96145	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	25.
PASADENA ART ALLIANCE 464 E. WALNUT ST. #226 PASADENA, CA 91101	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,500.
PASADENA HUMANE SOCIETY 361 S. RAYMOND AVE. PASADENA, CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000.
POLYTECHNIC SCHOOL 1030 E. CALIFORNIA BLVD. PASADENA, CA 91106	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	13,250.
SAN LUIS OBISPO CHRISTIAN SCHOOL POB 385, 525 CERRO ROMAULDO AVE. SAN LUIS OBISPO, CA 93406-0385	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	8,500.
SEMESTER AT SEA POB 400885 CHARLOTTESVILLE, VA 22904	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000.
ST. ANDREW CATHOLIC CHURCH 311 N. RAYMOND PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	48,975.
ST. ANDREW CATHOLIC SCHOOL 311 N. RAYMOND PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
Total from continuation sheets				

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EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. MARY'S COLLEGE OF CALIFORNIA 1928 ST MARYS RD. MORAGA, CA 94556	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,860.
SUSAN G. KOMEN FOR THE CURE 5005 LBJ FREEWAY, STE 250 DALLAS, TX 75244	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,500.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089-7799	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	3,000.
USC MARSHALL DEAN'S STRATEGIC FUND UNIVERSITY PARK LOS ANGELES, CA 90089-7799	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
VILLA ESPERANZA SERVICES 2060 E VILLA ST. PASADENA, CA 91107	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
YOUNG & HEALTHY 37 N. HOLLISTON AVE. PASADENA, CA 91106	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500.
Total from continuation sheets				

AS AMENDED

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount			
1 Program service revenue:						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments		14	1,260.			
4 Dividends and interest from securities		14	469,694.			
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	523920	15,620.				
8 Gain or (loss) from sales of assets other than inventory			18	3,398,153.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a						
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)		15,620.		3,869,107.	0.	
13 Total. Add line 12, columns (b), (d), and (e)					3,884,727.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

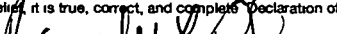
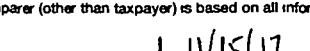
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		1c	X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee 	Date 11/15/17	Title ASSISTANT SECRETARY		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	RONALD L. LAKEY		11/15/17		P01251459
	Firm's name ▶ J.F. SHEA CO., INC.	Firm's EIN ▶ 94-1530032			
	Firm's address ▶ 655 BREA CANYON RD. WALNUT, CA 91789			Phone no. 909-594-9500	

AS AMENDED

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

Employer identification number

EDMUND AND MARY SHEA FAMILY FOUNDATION

95-4107214

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

AS AMENDED

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

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Name of organization	Employer identification number
EDMUND AND MARY SHEA FAMILY FOUNDATION	95-4107214

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SURVIVOR'S TRUST U/ARTICLE EIGHTH OF THE E&M SHEA REVOCABLE TRUST 4/7/09 655 BREA CANYON ROAD WALNUT, CA 91789	\$ 7,708.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	DESCENDANTS TRUST U/ARTICLE TENTH OF THE E&M SHEA REVOCABLE TRUST 655 BREA CANYON ROAD WALNUT, CA 91789	\$ 203,644.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

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Name of organization

Employer identification number

EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2,580 SHS ARTHROCARE CORP STOCKS (COMMON)	\$ 125,285.	05/23/14
2	67,972 SHS ARTHROCARE CORP STOCKS (COMMON)	\$ 3,300,720.	05/23/14
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

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Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

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Name of organization

Employer identification number

EDMUND AND MARY SHEA FAMILY FOUNDATION

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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990- PF

Statement A

Amended Tax Return Changes:

Correction of 7,500 shares of KKR FINANCIAL HOLDINGS LLC's cost basis. The cost basis as originally filed was inadvertently overstated by \$28,139.

	As Amended	As Originally Filed	Change
<u>Form 990-PF, Part I, Column (b):</u>			
Line 7 - Capital Gain Net Income	3,398,153	3,426,292	(28,139)
Line 27 - Net Investment Income	3,744,650	3,772,789	(28,139)
 <u>Form 990-PF, Part VI:</u>			
Line 5 - Tax Based on Investment Income	74,893	75,456	(563)

AS AMENDED

EDMUND AND MARY SHEA FAMILY FOUNDATION

95-4107214

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE PRIME BROKER	38.	38.	
MORGAN STANLEY BROKER	1,221.	1,221.	
SCOTTRADE BROKER	1.	1.	
TOTAL TO PART I, LINE 3	1,260.	1,260.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM PARTNERSHIPS					
K-1	197,810.	0.	197,810.	197,810.	
JP MORGAN - ALTRIA GROUP	16,856.	0.	16,856.	16,856.	
JP MORGAN - HCP INC.	57,977.	0.	57,977.	57,977.	
JP MORGAN - KRAFT FOODS GROUP INC	1,764.	0.	1,764.	1,764.	
JP MORGAN - MONDELEZ INT.	1,420.	0.	1,420.	1,420.	
JP MORGAN - PHILIP MORRIS INT.	26,358.	0.	26,358.	26,358.	
JP MORGAN - US GOV'T MMKT	21.	0.	21.	21.	
MORGAN STANLEY - ALLEGiant TRAVEL CO	5,625.	0.	5,625.	5,625.	
MORGAN STANLEY - ALTRIA GROUP INC	5,880.	0.	5,880.	5,880.	
MORGAN STANLEY - ARTIO INTERNATIONAL	16,607.	0.	16,607.	16,607.	
MORGAN STANLEY - BROCADE COMMUNICATIONS	1,400.	0.	1,400.	1,400.	
MORGAN STANLEY - CENOVUS ENERGY	7,209.	0.	7,209.	7,209.	
MORGAN STANLEY - DEVON ENERGY CORP	940.	0.	940.	940.	
MORGAN STANLEY - ENCANA CORP	2,114.	0.	2,114.	2,114.	

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MORGAN STANLEY -				
FORD MOTOR CO	2,000.	0.	2,000.	2,000.
MORGAN STANLEY -				
HCP INC	18,393.	0.	18,393.	18,393.
MORGAN STANLEY -				
ISHARES MSCI				
BRAZIL	3,806.	0.	3,806.	3,806.
MORGAN STANLEY -				
ISHARES MSCI JAPAN	258.	0.	258.	258.
MORGAN STANLEY -				
ISHARES MSCI SOUTH				
KOREA	405.	0.	405.	405.
MORGAN STANLEY -				
LORILLARD INC	22,140.	0.	22,140.	22,140.
MORGAN STANLEY -				
MORGAN STANLEY	140.	0.	140.	140.
MORGAN STANLEY -				
PETROLEO				
BRASILEIRO	2,400.	0.	2,400.	2,400.
MORGAN STANLEY -				
PHILIP MORRIS INT.	59,974.	0.	59,974.	59,974.
MORGAN STANLEY -				
THAI FUND INC	67.	0.	67.	67.
MORGAN STANLEY -				
VANGUARD INTL				
EQUITY INDEX FUND	4,248.	0.	4,248.	4,248.
MORGAN STANLEY -				
VANGUARD PACIFIC				
STOCK INDEX FUND	5,982.	0.	5,982.	5,982.
MORGAN STANLEY -				
WELLS FARGO	6,750.	0.	6,750.	6,750.
MORGAN STANLEY -				
XTEP INT.	1,062.	0.	1,062.	1,062.
WELLS FARGO BANK	88.	0.	88.	88.
TO PART I, LINE 4	469,694.	0.	469,694.	469,694.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AG REALTY III	-218.	-218.	
PINNACLE NATURAL RESOURCE LP	16.	16.	
KKR FINANCIAL HOLDINGS LLC	1,117.	1,117.	
AG ENERGY PARTNERS LP	-1,256.	-1,256.	
KKR & CO LP	1,286.	1,286.	
ROYALTY INCOME FROM PASSTHROUGH			
K-1S	514.	514.	
OTHER INCOME FROM PASSTHROUGH K-1S	1,102.	1,102.	

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PORTFOLIO INCOME FROM PASSTHROUGH

K-1S	215.	215.
ORDINARY GAIN FROM SALE OF KKR FINANCIAL HLDGS LLC	12,844.	12,844.
TOTAL TO FORM 990-PF, PART I, LINE 11	15,620.	15,620.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL AUDIT FEES	5,750.	5,750.		0.
TO FORM 990-PF, PG 1, LN 16B	5,750.	5,750.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	5,779.	5,779.		0.
FRANCHISE TAX BOARD	10.	10.		0.
INTERNAL REVENUE SERVICE	75,456.	0.		0.
TO FORM 990-PF, PG 1, LN 18	81,245.	5,789.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES FROM PSHIPS	5,210.	5,210.		0.
MISCELLANEOUS	6,955.	6,955.		0.
OTHER INVESTMENT LOSS FROM PSHIPS	116,373.	116,373.		0.
TO FORM 990-PF, PG 1, LN 23	128,538.	128,538.		0.

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FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
NONDEDUCTIBLE EXPENSES	29.
PRIOR PERIOD ADJUSTMENT	11,145.
TOTAL TO FORM 990-PF, PART III, LINE 5	11,174.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NOCURT LLC	500,000.	500,000.
PD-LD, INC	0.	662.
AES CORP/THE	318,612.	605,770.
ALIBABA GROUP HOLDING LTD	52,360.	80,034.
ALLEGIANT TRAVEL COMPANY	187,950.	375,825.
ALTRIA GROUP INC	217,284.	571,532.
ARTIO INTERNATIONAL EQUITY FUND II	312,553.	327,253.
BERKSHIRE HATHAWAY INC	135,225.	226,000.
BROCADE COMMUNICATIONS SYSTEMS INC	105,600.	236,800.
CENOVUS ENERGY INC	249,353.	155,681.
CREATIVE REALITIES, INC	32,077.	3,360.
CUTERA INC	8,034.	10,680.
DEVON ENERGY CORP	53,235.	61,210.
ENCANA CORP	151,676.	104,719.
FORD MOTOR CO	46,160.	62,000.
GILEAD SCIENCES INC	93,443.	1,018,008.
HCP INC	918,269.	1,681,946.
ISHARES MSCI BRAZIL INDEX FUND	141,375.	91,425.
ISHARES MSCI JAPAN INDEX FUND	14,235.	16,860.
ISHARES MSCI SOUTH KOREA INDEX FUND	31,037.	27,645.
JDS UNIPHASE CORP	11,348.	12,005.
KRAFT FOODS GROUP INC	13,582.	52,008.
LORILLARD INC	325,110.	566,460.
MONDELEZ INTERNATIONAL INC	25,124.	90,486.
MORGAN STANLEY	6,024.	15,520.
PETROLEO BRASILEIRO SA	124,150.	36,500.
PHILIP MORRIS INTERNATIONAL INC	1,534,578.	1,840,770.
PMC - SIERRA INC	52,594.	453,420.
PORTFOLIO RECOVERY ASSOCIATES INC	517,073.	1,042,740.
THAI FUND INC/THE	23,771.	14,312.
VANGUARD PACIFIC STOCK INDEX FUND	346,141.	366,312.
VIASAT INC	387,850.	630,300.
WELLS FARGO & CO	174,175.	274,100.
XTEP INTERNATIONAL HOLDINGS	14,520.	20,116.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,124,518.	11,572,459.

AS AMENDED

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FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PARTNERSHIP	COST	3,592,711.	3,556,426.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,592,711.	3,556,426.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 10
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
SURVIVOR'S TRUST U/ARTICLE EIGHTH OF THE E&M SHEA REVOCABLE TRUST 4/7/09	655 BREA CANYON RD WALNUT, CA 91789
DESCENDANTS TRUST U/ARTICLE TENTH OF THE E&M SHEA REVOCABLE TRUST	655 BREA CANYON RD WALNUT, CA 91789

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GENERAL EXPLANATION

STATEMENT 11

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART I, LINE 11 OTHER INCOME - IRC SECTION 751 STATEMENT

EXPLANATION:

THE TAXPAYER HAS REPORTED ORDINARY INCOME UPON THE DISPOSITION OF KKR FINANCIAL HOLDINGS LLC COMMON SHARES BASED ON INFORMATION PROVIDED BY THE MANAGER. THE AMOUNT WAS DETERMINED IN ACCORDANCE WITH INTERNAL REVENUE CODE SECTION 751 AND THE DETAILED INFORMATION IS AVAILABLE IN OFFICES OF THE MANAGER UPON REQUEST.