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Form 990-PF

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

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2014

Open to Public  
Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation THE CAROL MOSS FOUNDATION		A Employer identification number 95-4076344
Number and street (or P O box number if mail is not delivered to street address) 175 HOMEWOOD RD		B Telephone number (see instructions) (310) 472-3081
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90049		
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>C</b> If exemption application is pending, check here ► <input type="checkbox"/> <b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 3,433,722		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc , received (attach schedule)	350,000			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	24,648	24,648	24,648	
	4 Dividends and interest from securities.	28,319	28,319	28,319	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-11,557			
	b Gross sales price for all assets on line 6a 534,569				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	<b>12 Total.</b> Add lines 1 through 11	391,410	52,967	52,967	
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,849	4,450		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	722	722		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,903	2,403		
	<b>24 Total operating and administrative expenses.</b>	11,474	7,575	0	
	Add lines 13 through 23	140,011		140,011	
	<b>25 Contributions, gifts, grants paid.</b>	151,485	7,575	140,011	
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25				
	<b>27 Subtract line 26 from line 12</b>	239,925			
	<b>a Excess of revenue over expenses and disbursements</b>		45,392		
	<b>b Net investment income</b> (if negative, enter -0-)				
	<b>c Adjusted net income</b> (if negative, enter -0-)		52,967		

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing . . . . .				
2	Savings and temporary cash investments . . . . .		1,755,328	2,006,272	2,003,995
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable . . . . .				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use . . . . .				
9	Prepaid expenses and deferred charges . . . . .				
10a	Investments—U S and state government obligations (attach schedule)		340,208	317,550	341,707
b	Investments—corporate stock (attach schedule) . . . . .		348,900	348,900	738,379
c	Investments—corporate bonds (attach schedule) . . . . .		347,073	358,712	349,641
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►) . . . . .				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		2,791,509	3,031,434	3,433,722
Liabilities					
17	Accounts payable and accrued expenses . . . . .				
18	Grants payable . . . . .				
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ►) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				0
Net Assets or Fund Balances					
24	Unrestricted . . . . .		2,791,509	3,031,434	
25	Temporarily restricted . . . . .				
26	Permanently restricted . . . . .				
27	<b>Foundations that follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
28	Capital stock, trust principal, or current funds . . . . .				
29	Paid-in or capital surplus, or land, bldg , and equipment fund				
30	Retained earnings, accumulated income, endowment, or other funds				
31	<b>Total net assets or fund balances</b> (see instructions) . . . . .		2,791,509	3,031,434	
	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		2,791,509	3,031,434	

**Part III. Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,791,509
2	Enter amount from Part I, line 27a . . . . .	2	239,925
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3 . . . . .	4	3,031,434
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,031,434

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
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1a


(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-11,557
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-11,557

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	105,136	2,926,627	0 03592
2012	68,549	2,567,771	0 02670
2011	171,579	2,293,738	0 07480
2010	93,389	2,101,815	0 04443
2009	79,453	1,756,357	0 04524
2 Total of line 1, column (d).			2 0 22709
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 04542
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.			4 3,232,475
5 Multiply line 4 by line 3.			5 146,816
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 454
7 Add lines 5 and 6.			7 147,270
8 Enter qualifying distributions from Part XII, line 4.			8 140,011

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>		1	908
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	
3	Add lines 1 and 2. . . . .	3	908
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	908
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <input type="checkbox"/>	9	908
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <input type="checkbox"/>	10	
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

		<b>Yes</b>	<b>No</b>
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .	1b	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	Yes	

Website address ► N/A

14 The books are in care of ► CAROL MOSS Telephone no ► (310) 472-3081  
Located at ► 175 HOMEWOOD ROAD LOS ANGELES CA ZIP +4 ► 90049

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . ► **15** and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . ► **16** Yes No  
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	► Yes	✓ No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	► Yes	✓ No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	► Yes	✓ No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	► Yes	✓ No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	► Yes	✓ No	
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	► Yes	✓ No	
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . ► <b>1b</b> Yes No Organizations relying on a current notice regarding disaster assistance check here. . . . .			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . . ► <b>1c</b> Yes No			
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . . ► Yes ✓ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . . ► <b>2b</b> Yes No			
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . ► Yes ✓ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) . . . . . ► <b>3b</b> Yes No			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . ► <b>4a</b> Yes No			
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? . . . . . ► <b>4b</b> Yes No			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .		5b	No	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ►				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .		6b	No	
	<i>If "Yes" to 6b, file Form 8870.</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		7b	No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL MOSS 175 HOMEWOOD RD LOS ANGELES, CA 90049	President 5 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	

All other program-related investments See instructions

**3** \_\_\_\_\_  
\_\_\_\_\_  
**Total.** Add lines 1 through 3

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,399,831
b	Average of monthly cash balances. . . . .	1b	1,881,870
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	3,281,701
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	3,281,701
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	49,226
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,232,475
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	161,624

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	161,624
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	908
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	908
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	160,716
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	160,716
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	160,716

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	140,011
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	140,011
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	140,011
	<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years		

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				160,716
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only. . . . .			131,638	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2014				
<b>a</b> From 2009. . . . .				
<b>b</b> From 2010. . . . .				
<b>c</b> From 2011. . . . .				
<b>d</b> From 2012. . . . .				
<b>e</b> From 2013. . . . .				
<b>f Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4 ► \$ _____ 140,011			131,638	
<b>a</b> Applied to 2013, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2014 distributable amount. . . . .			8,373	
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .			152,343	
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2015.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2010. . . . .				
<b>b</b> Excess from 2011. . . . .				
<b>c</b> Excess from 2012. . . . .				
<b>d</b> Excess from 2013. . . . .				
<b>e</b> Excess from 2014. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CAROL MOSS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	140,011
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions )	
		(a) Business code	(b) Amount		(c) Exclusion code
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .				14	24,648
<b>5</b> Net rental income or (loss) from real estate				14	28,319
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					-11,557
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . . . .					
<b>11</b> Other revenue a					
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .				52,967	-11,557
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .				13	41,410

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization or

## **b** Other transactions

- (1) Sales of assets to a noncharitable exempt organization. . . . .
- (2) Purchases of assets from a noncharitable exempt organization. . . . .
- (3) Rental of facilities, equipment, or other assets. . . . .
- (4) Reimbursement arrangements. . . . .
- (5) Loans or loan guarantees. . . . .
- (6) Performance of services or membership or fundraising solicitations. . . . .

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2015-04-30

\* \* \* \* \*

**Signature of officer or trustee**

Date

### Title

May the IRS discuss this return with the preparer shown below (see instr.)?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Craig A Hartzheim	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01386531
	Firm's name ► Moss Levy & Hartzheim CPAs			Firm's EIN ►	
	Firm's address ► 433 N Camden Dr Ste 730 Beverly Hills, CA 90210			Phone no (310) 670-2745	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
UNION RESCUE MISSION 545 S SAN PEDRO STREET LOS ANGELES, CA 90013	NONE	PUBLIC	PROGRAM SUPPORT	1,500
THE JEWISH FEDERATION OF GREATER LA 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC	PROGRAM SUPPORT	7,000
THE SALVATION ARMY 180 E OCEAN BLVD 500 LONG BEACH, CA 90802	NONE	PUBLIC	PROGRAM SUPPORT	2,500
GUIDE DOGS OF THE DESERT P O BOX 1686 PALM SPRINGS, CA 92263	NONE	PUBLIC	PROGRAM SUPPORT	20,000
JVS SCHOLARSHIP PROGRAM 6505 WILSHIRE BLVD 200 LOS ANGELES, CA 90048	NONE	PUBLIC	PROGRAM SUPPORT	5,000
WOUNDED WARRIOR PROJECT 4899 BELFORT RD 32256 JACKSONVILLE, FL 32256	NONE	PUBLIC	PROGRAM SUPPORT	20,000
UCLA - DEPARTMENT OF NEUROLOGY 710 WESTWOOD PLAZA LOS ANGELES, CA 90095	NONE	PUBLIC	PROGRAM SUPPORT	15,000
ST JUDE CHILDRENS HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NONE	PUBLIC	PROGRAM SUPPORT	5,000
OPERATION GRATITUDE 16444 REFUGIO RD ENCINO, CA 91436	NONE	PUBLIC	PROGRAM SUPPORT	20,000
LEUKEMIA & LYMPHOMA SOCIETY 6033 W CENTURY BLVD 300 LOS ANGELES, CA 90045	NONE	PUBLIC	PROGRAM SUPPORT	2,500
AMERICAN SOCIETY PREV CRUELTY TO ANIMALS 6033 W CENTURY BLVD 300 LOS ANGELES, CA 90045	NONE	PUBLIC	PROGRAM SUPPORT	1,500
LA TIMES FAMILY FUND 202 W FIRST STREET LOS ANGELES, CA 90012	NONE	PUBLIC	PROGRAM SUPPORT	2,500
MOTHERS AGAINST DRUNK DRIVING 5757 W Century Blvd LOS ANGELES, CA 90045	NONE	PUBLIC	PROGRAM SUPPORT	500
SMILE TRAIN PREMIER CIRCLE 41 MADISON AVENUE 28TH FLR NEW YORK, NY 10010	NONE	POF	PROGRAM SUPPORT	1,500
CHILDRENS HOSPITAL LOS ANGELES 4650 SUNSET BLVD 29 LOS ANGELES, CA 90027	NONE	PUBLIC	PROGRAM SUPPORT	5,000
<b>Total . . . . .</b>				140,011
			► 3a	

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ST ANNES 155 N OCCIDENTAL BLVD LOS ANGELES, CA 90026	NONE	PUBLIC	PROGRAM SUPPORT	2,500
GUIDE DOGS FOR THE BLIND 350 LOS RANCHITOS RD SAN RAFAEL, CA 94915	NONE	PUBLIC	PROGRAM SUPPORT	20,000
THE MIA FOUNDATION 3177 Latta Road 188 ROCHESTER, NY 14612	NONE	PUBLIC	PROGRAM SUPPORT	5,000
CHARITY BUZZ 437 Fifth Avenue NEWYORK, NY 10016	NONE	PUBLIC	PROGRAM SUPPORT	3,011
<b>Total . . . . .</b>			<b>► 3a</b>	<b>140,011</b>

## Schedule of Contributors

OMB No 1545-0047

2014

- Attach to Form 990, 990-EZ, or 990-PF.
- Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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**Name of the organization**

THE CAROL MOSS FOUNDATION

**Employer identification number**

95-4076344

**Organization type (check one)**

## Filers of

## Section:

Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation <input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation <input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

## Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ► \$

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**

THE CAROL MOSS FOUNDATION

**Employer identification number**

95-4076344

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAROL MOSS 175 HOMewood RD  LOS ANGELES, CA 90049	\$ 350,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
		\$	(Complete Part II for noncash contributions)
		\$	(Complete Part II for noncash contributions)
		\$	(Complete Part II for noncash contributions)
		\$	(Complete Part II for noncash contributions)
		\$	(Complete Part II for noncash contributions)

Name of organization THE CAROL MOSS FOUNDATION			Employer identification number 95-4076344
Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received

Name of organization THE CAROL MOSS FOUNDATION			Employer identification number 95-4076344
<b>Part III</b> <b>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.</b> Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► Use duplicate copies of Part III if additional space is needed			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
Transferee's name, address, and ZIP 4		(e) Transfer of gift	
		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
Transferee's name, address, and ZIP 4		(e) Transfer of gift	
		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
Transferee's name, address, and ZIP 4		(e) Transfer of gift	
		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
Transferee's name, address, and ZIP 4		(e) Transfer of gift	
		Relationship of transferor to transferee	

## TY 2014 Accounting Fees Schedule

**Name:** THE CAROL MOSS FOUNDATION

**EIN:** 95-4076344

**Software ID:** 14000265

**Software Version:** 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,849	4,450	0	0

## TY 2014 Other Expenses Schedule

**Name:** THE CAROL MOSS FOUNDATION

**EIN:** 95-4076344

**Software ID:** 14000265

**Software Version:** 2014v5.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	150	150		
FILING FEES	85	85		
INVESTMENT ADVISORY FEES	2,168	2,168		
OFFICE SUPPLIES	1,500			

## TY 2014 Taxes Schedule

**Name:** THE CAROL MOSS FOUNDATION**EIN:** 95-4076344**Software ID:** 14000265**Software Version:** 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	722	722		

Schwab One® Account of  
THE CAROL MOSS FOUNDATION

**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss)**

		Accounting Method		Realized	
		Mutual Funds: Average		Gain or (Loss)	
		All Other Investments: First In First Out (FIFO)		Cost Basis	
Long-Term		Acquired/ Opened	Sold/ Closed	Total Proceeds	Adjusted
FFCB 0.83%17	DUE 09/21/17:	12,000 0000	09/21/12 06/18/14	\$11,874.24	\$12,010.56
<b>Security Subtotal</b>				<b>\$11,874.24</b>	<b>(\$136.32)</b>
FFCB 1.625%14	DUE 11/19/14:	12,000.0000	08/05/11 11/19/14	\$12,000.00	\$12,327.80
31331KHW3					(\$327.80)
<b>Security Subtotal</b>				<b>\$12,000.00</b>	<b>\$12,327.80</b>
FHLMC 2%16	DUE 08/25/16:	12,000.0000	02/27/12 06/25/14	\$12,364.44	\$12,555.72
3137EACW7					(\$191.28)
<b>Security Subtotal</b>				<b>\$12,364.44</b>	<b>(\$191.28)</b>
FNMA 1.625%15	DUE 10/26/15:	3,000.0000	10/19/11 12/23/14	\$3,031.50	\$3,061.55
31398A4M1					(\$30.05)
<b>Security Subtotal</b>				<b>\$3,031.50</b>	<b>\$3,061.55</b>
					(\$30.05)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

## 2014 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Realized Gain or (Loss) (continued)						
Acquired/ Opened		Sold/ Closed		Cost Basis		Realized Gain or (Loss)
				Adjusted	Adjusted	Adjusted
Quantity/Par						
<b>Long-Term (continued)</b>						
GOLDMAN SACHS GRO 1.6%15 11/23/15: 38141EB32	DUE	9,000.0000	03/14/13 12/03/14	\$9,064.08	\$9,105.93	(\$41.85)
<b>Security Subtotal</b>				<b>\$9,064.08</b>	<b>\$9,105.93</b>	<b>(\$41.85)</b>
INTER-AMERN DEV BK 3%14 04/22/14: 4581X0BD9	DUE	1,000.0000	05/07/10 04/22/14	\$1,000.00	\$1,003.86	(\$3.86)
INTER-AMERN DEV BK 3%14 04/22/14: 4581X0BD9	DUE	8,000.0000	06/09/10 04/22/14	\$8,000.00	\$7,000.00	\$0.00
INTER-AMERN DEV BK 3%14 04/22/14: 4581X0BD9	DUE	2,000.0000	07/08/10 04/22/14	\$2,000.00	\$8,000.00	(\$299.81)
INTER-AMERN DEV BK 3%14 04/22/14: 4581X0BD9	DUE	1,000.0000	04/18/11 04/22/14	\$1,000.00	\$2,000.00	(\$2,000.00)
<b>Security Subtotal</b>				<b>\$12,000.00</b>	<b>\$12,453.76</b>	<b>(\$453.76)</b>



Schwab One® Account of  
**THE CAROL MOSS FOUNDATION**

2014 Year-End Schwab Gain/Loss Report

## Realized Gain or (Loss) (continued)

Realized Gain or (Loss) (continued)						
Long-Term (continued)						
	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted	
PNC FUNDING COR 3.625%15 02/08/15: 693476BH5	8,000,0000	03/22/12 01/28/14	\$8,254.48	\$8,507.20	(\$252.72)	
<b>Security Subtotal</b>			<b>\$8,254.48</b>	<b>\$8,507.20</b>	<b>(\$252.72)</b>	
TEVA PHARM FIN II 3%15F 06/15/15TEVA PHARM F: 88166CAA6	1,000,0000	02/28/12 09/10/14	\$1,018.71	\$1,061.67	(\$42.96)	
TEVA PHARM FIN II 3%15F 06/15/15TEVA PHARM F: 88166CAA6	8,000,0000	02/28/12 09/10/14	\$8,149.68	\$8,495.52	(\$345.84)	
<b>Security Subtotal</b>			<b>\$9,168.39</b>	<b>\$9,557.19</b>	<b>(\$388.80)</b>	
UNITEDHEALTH GR 4.875%15 03/15/15: 91324PAM4	6,000,0000	03/06/12 07/16/14	\$6,177.78	\$6,706.44	(\$528.66)	
UNITEDHEALTH GR 4 875%15 03/15/15: 91324PAM4	1,000,0000	03/23/12 07/16/14	\$1,029.63	\$1,110.09	(\$80.46)	

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## 2014 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Realized Gain or (Loss) (continued)						
Acquisition/			Cost Basis		Gain or (Loss)	
Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Adjusted	Adjusted	Realized
<b>Long-Term (continued)</b>						
UNITEDHEALTH GR 4.875%15 03/15/15: 91324PAM4	2,000.0000	04/09/12 07/16/14	\$2,059.26	\$2,220.26	(\$161.00)	
<b>Security Subtotal</b>			<b>\$9,266.67</b>		<b>\$10,036.79</b>	<b>(\$770.12)</b>
WESTPAC BANKING 0.95%16F 01/12/16WESTPAC BANK: 961214BYB	9,000.0000	01/17/13 11/12/14	\$9,036.99	\$8,993.97		\$43.02
<b>Security Subtotal</b>			<b>\$9,036.99</b>		<b>\$8,993.97</b>	<b>\$43.02</b>
<b>Total Long-Term</b>			<b>\$96,060.79</b>		<b>\$98,610.47</b>	<b>(\$2,549.68)</b>
<b>Total Realized Gain or (Loss)</b>					<b>\$95,863.36</b>	<b>\$197.43 b</b>

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions. Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

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## 2014 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss)

Realized Gain or (Loss)						
Accounting Method		Mutual Funds: Average All Other Investments: First In First Out [FIFO]				
Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
FHLMC Q17639 313248420	1,000.0000	09/16/13	02/07/14	\$929.10	\$905.71	\$23.39
<b>Security Subtotal</b>				\$929.10	\$905.71	\$23.39
FNMA P LAE0949 31419BBT1	3,000.0000	05/14/14	10/14/14	\$1,544.70	\$1,533.93	\$10.77
<b>Security Subtotal</b>				\$1,544.70	\$1,533.93	\$10.77
FNMA P LAJ4050 3138AVQC2	1,000.0000	04/18/13	04/07/14	\$581.66	\$596.01	(\$14.35)
<b>Security Subtotal</b>				\$581.66	\$596.01	(\$14.35)
JAFPLAJ4726 3138AWHCO	4,000.0000	05/14/14	10/14/14	\$1,822.64	\$1,809.65	\$12.99
<b>Security Subtotal</b>				\$1,822.64	\$1,809.65	\$12.99
FNMA P LAL3424 3138EKYW9	1,000.0000	07/10/13	04/07/14	\$898.11	\$887.78	\$10.33
<b>Security Subtotal</b>				\$898.11	\$887.78	\$10.33

see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

Schwab One® Account of  
THE CAROL MOSS FOUNDATION

2014 Year-End Schwab Gain/Loss Report

**Realized Gain or (Loss) (continued)**

		Accounting Method			
		Mutual Funds-Average			
		All Other Investments: First In First Out [FIFO]			
Acquired/ Opened	Sold/ Closed	Cost Basis	Gain or (Loss)	Realized Gain or (Loss)	Adjusted
<b>Short-Term (continued)</b>					
FNMA PLAT0181 3%43 DUE 03/01/43:	1,000,0000	12/16/13 02/07/14	\$927.97	\$912.97	\$15.00
<b>Security Subtotal</b>			<b>\$927.97</b>	<b>\$912.97</b>	<b>\$15.00</b>
FNMA PLAT6306 4%43 DUE 06/01/43:	1,000,0000	12/16/13 04/07/14	\$1,023.00	\$1,011.58	\$11.42
<b>Security Subtotal</b>			<b>\$1,023.00</b>	<b>\$1,011.58</b>	<b>\$11.42</b>
FNMA PLAT8873 4%43 DUE 06/01/43:	1,000,0000	09/12/14 10/14/14	\$994.00	\$980.76	\$13.24
<b>Security Subtotal</b>			<b>\$994.00</b>	<b>\$980.76</b>	<b>\$13.24</b>
FNMA PLAU5069 4%43 DUE 11/01/43: 3138X4T34	1,000,0000	02/12/14 10/14/14	\$948.75	\$929.93	\$18.82
<b>Security Subtotal</b>			<b>\$948.75</b>	<b>\$929.93</b>	<b>\$18.82</b>
FNMA PLAU7303 4%43 DUE 08/01/43: 3138X7DH3	1,000,0000	11/08/13 04/07/14	\$1,026.22	\$1,026.14	\$0.08
<b>Security Subtotal</b>			<b>\$1,026.22</b>	<b>\$1,026.14</b>	<b>\$0.08</b>
US TREASU NT 1.125%12/119UST NOTE DUE 12/31/19: 912828UF5	1,000,0000	12/03/13 09/25/14	\$962.89	\$959.96	\$2.93

Schwab One® Account of  
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**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

		Accounting Method			
		Mutual Funds Average			
		All Other Investments. First In First Out [FIFO]			
Short-Term (continued)		Acquired/ Opened	Sold/ Closed	Total Proceeds	Realized Gain or (Loss) Cost Basis Adjusted
US TREASU NT 1.125%12/119UST NOTE	12/31/19: 912828UJF5	1,000 0000	02/18/14 09/25/14	\$962.89	\$962.19
US TREASU NT 1.125%12/119UST NOTE	12/31/19: 912828UJF5	1,000.0000	04/22/14 09/25/14	\$962.89	\$953.67
<b>Security Subtotal</b>				<b>\$2,888.67</b>	<b>\$12.85</b>
US TREASUR NT 0.75%01/17UST NOTE	01/15/17: 912828A91	1,000.0000	02/26/14 06/12/14	\$1,000.55	\$1,002.46
TREASUR NT 0.75%01/17UST NOTE	01/15/17: 912828A91	2,000.0000	02/26/14 06/12/14	\$2,001.09	\$1,002.27
US TREASUR NT 0.75%01/17UST NOTE	01/15/17: 912828A91	4,000.0000	02/26/14 06/12/14	\$4,002.66	\$2,004.42
<b>Security Subtotal</b>				<b>\$7,004.30</b>	<b>\$12.93</b>
US TREASURY 2%02/23UST NOTE	02/15/23: 912828UN8	1,000.0000	03/05/13 02/11/14	\$949.45	\$1,009.45

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**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

**Short-Term (continued)**

Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
1,000.0000	03/19/13	02/11/14	\$949.45	\$1,009.53	(\$60.08)
1,000.0000	04/02/13	02/11/14	\$949.45	\$1,012.19	(\$62.74)
2,000.0000	04/02/13	02/11/14	\$1,898.91	\$1,011.22	(\$61.77)
4,000.0000	04/02/13	02/11/14	\$3,797.82	\$2,024.38	(\$125.47)
1,000.0000	07/09/13	02/11/14	\$949.45	\$4,044.87	(\$247.05)
1,000.0000	08/20/13	02/11/14	\$949.45	\$953.23	(\$3.78)
1,000.0000			\$936.56	\$936.56	\$0.00
					\$15.82

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Accounting Method  
Mutual Funds: Average  
All Other Investments: First In First Out [FIFO]

Schwab One® Account of  
**THE CAROL MOSS FOUNDATION**

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2014 Year-End Schwab Gain/Loss Report

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## Realized Gain or (Loss) (continued)

Accounting Method  
Mutual Funds: Average  
All Other Investments: First In First Out (FIFO)

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## 2014 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Short-Term (continued)	Acquired/ Opened		Sold/ Closed		Total Proceeds	Cost Basis	Gain or (Loss) Realized Adjusted
	Quantity/Par	Acquired/ Opened	Closed	Quantity/Par	Acquired/ Opened		
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	1,000.0000	10/23/13	07/10/14		\$1,526.50	\$1,496.18	\$30.32
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	2,000.0000	10/23/13	07/14/14		\$3,048.15	\$2,992.37	\$55.78
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	1,000.0000	12/03/13	07/14/14		\$1,524.08	\$1,453.19	\$70.89
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	1,000.0000	12/30/13	07/14/14		\$1,524.08	\$1,432.90	\$91.18
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	1,000.0000	02/18/14	07/14/14		\$1,524.08	\$1,467.63	\$56.45
~T INFL IDX 2.375%01/25INFL INDEX DUE 1/5/25: 912810FR4	1,000.0000	04/01/14	07/14/14		\$1,524.08	\$1,459.18	\$64.90
UST INFL IDX 2.375%01/25INFL INDEX DUE 01/15/25: 912810FR4	1,000.0000	06/17/14	07/14/14		\$1,524.07	\$1,500.81	\$23.26
<b>Security Subtotal</b>					<b>\$12,195.04</b>	<b>\$11,802.26</b>	<b>\$392.78</b>
<b>Total Short-Term</b>					<b>\$63,876.82</b>	<b>\$63,937.50</b>	<b>(\$60.68)</b>
							<b>(\$64.88) b</b>
							<b>\$63,941.70</b>

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**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

Accounting Method					
Mutual Funds: Average All Other Investments: First In First Out [FIFO]					
Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Realized Gain or (Loss)
					Adjusted Gain or (Loss)
FIXED INCOME SHARES	195,0000	04/22/10	06/16/14	\$2,402.40	\$120.83
<b>Security Subtotal</b>				\$2,402.40	\$120.83
FNMA PL AB4119	4%41	DUE 12/01/41:	1,000,0000	04/09/12 04/07/14	\$475.96
31417ASH3					\$481.65
FNMA PL AB4119	4%41	DUE 12/01/41:	14,000,0000	04/09/12 10/14/14	\$6,346.45
31417ASH3					\$6,309.17
<b>Security Subtotal</b>					\$37.28
FNMA PL AB6493	3%42	DUE 10/01/42:	3,000,0000	11/07/12 02/07/14	\$2,699.84
31417DGB3					\$2,926.12
FNMA PL AB6493	3%42	DUE 10/01/42:	6,000,0000	11/07/12 02/07/14	\$5,399.65
31417DGB3					\$5,852.22
<b>Security Subtotal</b>					\$452.57
FNMA PL AB7079	3%42	DUE 11/01/42:	1,000,0000	11/07/12 02/07/14	\$915.94
31417D2M4					\$992.35
<b>Security Subtotal</b>					\$76.41
FNMA PL AE0049	4%41	DUE 02/01/41:	1,000,0000	02/13/13 04/07/14	\$542.69
31419BBT1					\$552.87
<b>Security Subtotal</b>					\$10.18

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**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

		Acquisition Method			Realized Gain or (Loss)		
		Mutual Funds: Average Cost Basis			Adjusted		
		All Other Investments: First In First Out [FIFO]					
Long-Term (continued)		Acquired/ Opened	Sold/ Closed	Total Proceeds			
FNMA PL AE2847	4%40	DUE 10/01/40:	1,000,0000	07/18/12 04/07/14	\$578.51	\$593.52	(\$15.01)
<b>Security Subtotal</b>					\$578.51	\$593.52	(\$15.01)
FNMA PL AL1949	4%42	DUE 06/01/42:	11,000,0000	08/07/12 10/14/14	\$7,515.15	\$7,657.31	(\$142.16)
<b>Security Subtotal</b>					\$7,515.15	\$7,657.31	(\$142.16)
FNMA PL AS0415	4%43	DUE 09/01/43:	2,000,0000	09/18/13 10/14/14	\$1,952.45	\$1,907.56	\$44.89
<b>Security Subtotal</b>					\$1,952.45	\$1,907.56	\$44.89
FNMA PL 932848	4%40	DUE 12/01/40:	1,000 0000	09/07/12 04/07/14	\$478.65	\$472.65	\$6.00
<b>Security Subtotal</b>					\$478.65	\$472.65	\$6.00
US TREASU NT 1.125%12/119UST NOTE		DUE	2,000,0000	01/09/13 08/19/14	\$1,940.07	\$1,982.03	(\$41.96)
US TREASU NT 1.125%12/119UST NOTE		DUE	4,000,0000	01/09/13 08/19/14	\$3,880.16	\$3,964.06	(\$83.90)
US TREASU NT 1.125%12/119UST NOTE		DUE	3,000,0000	01/09/13 09/25/14	\$2,888.67	\$2,973.05	(\$84.38)

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**2014 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

		Accounting Method			
		Mutual Funds: Average			
		All Other Investments		First In First Out [FIFO]	
Acquired/ Opened	Sold/ Closed	Cost Basis	Gain or (Loss)	Cost Basis	Gain or (Loss)
		Adjusted	Adjusted	Adjusted	Adjusted
<b>Long-Term (continued)</b>					
US TREASU NT 1.125%12/19UST NOTE DUE 12/31/19: 912828UF5	1,000 00000	08/20/13 09/25/14	\$962.89	\$944.92	\$17.97
US TREASU NT 1.125%12/19UST NOTE DUE 12/31/19: 912828UF5	1,000.00000	09/17/13 09/25/14	\$962.89	\$954.43	\$8.46
<b>Security Subtotal</b>					
TREASUR NT 0.75%10/11UST NOTE DUE 31/17: 912828TWO	1,000.00000	11/28/12 04/10/14	\$989.06	\$1,005.74	(\$16.68)
US TREASUR NT 0.75%10/17UST NOTE DUE 10/31/17: 912828TWO	2,000.00000	12/13/12 04/10/14	\$1,978.13	\$1,004.16	(\$51.10)
US TREASUR NT 0.75%10/17UST NOTE DUE 10/31/17: 912828TWO	6,000.00000	12/13/12 04/10/14	\$5,934.37	\$2,008.12	(\$29.99)



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## 2014 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

		Accounting Method		Realized	
		Mutual Funds: Average All Other Investments: First In First Out [FIFO]		Gain or (Loss) Adjusted	
		Acquired/ Opened	Sold/ Closed	Cost Basis Adjusted	Total Proceeds
<b>Long-Term (continued)</b>					
US TREASUR NT 0.75%10/17UST NOTE DUE 10/31/17: 912828TWO	6,000.000	12/13/12	04/10/14	\$5,934.38	\$6,024.38
<b>Security Subtotal</b>				\$14,835.94	\$15,062.62
UST INFL IDX 2.5%01/29INFL INDEX DUE 01/15/29: 912810PZ5	2,000.000	07/13/12	02/19/14	\$2,626.88	\$3,062.20
UST INFL IDX 2.5%01/29INFL INDEX DUE 01/15/29: 912810PZ5	1,000.000	12/26/12	02/19/14	\$1,313.44	\$1,550.21
UST INFL IDX 2.5%01/29INFL INDEX DUE 01/15/29: 912810PZ5	2,000.000	01/15/13	02/19/14	\$2,626.89	\$3,058.09
<b>Security Subtotal</b>				\$6,567.21	\$7,670.50
UST INFL IDX 1.75%01/28INFL INDEX DUE 01/15/28: 912810PV4	1,000.000	01/22/13	02/19/14	\$1,229.09	\$1,421.63
<b>Security Subtotal</b>				\$1,229.09	\$1,421.63
UST INFL IDX 0.125%07/22INFL INDEX DUE 07/15/22: 912828TE0	2,000.000	07/24/12	07/08/14	\$2,069.64	\$2,168.05
<b>Security Subtotal</b>					
UST INFL IDX 0.125%07/22INFL INDEX DUE 07/15/22: 912828TE0	2,000.000	07/24/12	07/08/14	\$2,069.32	\$2,168.05



Schwab One® Account of  
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2014 Year-End Schwab Gain/Loss Report

## Realized Gain or (Loss) (continued)

Accounting Method  
Mutual Funds: Average  
All Other Investments: First In First Out [FIFO]

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**Schwab has provided realized gain/loss information wherever possible for most investments** Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Definitions.

## Endnotes For Your Account

**Symbol** **Endnote Legend** **When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations.**

**b**