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Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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2014

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION
2049 CENTURY PARK EAST #1150
LOS ANGELES, CA 90067

A Employer identification number
95-4031664

B Telephone number (see instructions)
800-509-2251

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return	☐ Initial return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 865,942.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (attach schedule)				
	2 ☒ If the foundn is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	16,485.	16,485.	16,485.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	88,393.			
	b Gross sales price for all assets on line 6a	759,003.			
	7 Capital gain net income (from Part IV, line 2)		88,393.		
	8 Net short-term capital gain			24,538.	
	9 Income modifications				
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
SEE STATEMENT 1		982.			
12 Total. Add lines 1 through 11		105,860.	104,878.	41,023.	
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)	SEE ST 2	1,695.		1,695.
	c Other prof fees (attach sch)	SEE ST 3	24,471.		24,471.
	17 Interest				
	18 Taxes (attach schedule) (see instrs)	SEE STM 4	2,591.		2,591.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (attach schedule)					
SEE STATEMENT 5		2.		2.	
24 Total operating and administrative expenses. Add lines 13 through 23		28,759.		28,759.	
25 Contributions, gifts, grants paid	STMT 6	86,600.			86,600.
26 Total expenses and disbursements. Add lines 24 and 25		115,359.	0.	28,759.	86,600.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-9,499.			
b Net investment income (if negative, enter -0-)			104,878.		
c Adjusted net income (if negative, enter -0-)				12,264.	

P 14

SCANNED JUN 16 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		39,298.	71,208.	71,208.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		2,000.	2,800.	2,800.
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) STATEMENT 7		705,000.	659,694.	791,934.
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		746,298.	733,702.	865,942.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 8)		8,179.	5,082.	
	23	Total liabilities (add lines 17 through 22)		8,179.	5,082.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			X		
	27	Capital stock, trust principal, or current funds		738,119.	728,620.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		738,119.	728,620.	
	31	Total liabilities and net assets/fund balances (see instructions)		746,298.	733,702.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	738,119.
2	Enter amount from Part I, line 27a	2	-9,499.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	728,620.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.	6	728,620.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a VIA MERRILL LYNCH - SEE ATTACHED		P	VARIOUS	VARIOUS
b VIA MERRILL LYNCH - SEE ATTACHED		P	VARIOUS	VARIOUS
c VIA MERRILL LYNCH - SEE ATTACHED		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 492,774.		468,236.	24,538.
b 246,332.		189,827.	56,505.
c 19,897.		12,547.	7,350.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			24,538.
b			56,505.
c			7,350.
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	88,393.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8.		3	24,538.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013			
2012			
2011			
2010			
2009			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☐ and enter 1% of Part I, line 27b		1	2,098.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,098.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,098.
6 Credits/Payments:			
a 2014 estimated tax prmts and 2013 overpayment credited to 2014	6a	2,800.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	702.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax 702. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MERRILL LYNCH TRUST COMPANY</u> Telephone no. <u>800-509-2251</u> Located at <u>2049 CENTURY PARK EAST, STE 1150 LOS ANGELES</u> ZIP + 4 <u>90067</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If 'Yes,' enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

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Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6 b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

7 b N/A

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACK SURBER 350 S. GRAND AVENUE, 27TH FLR LOS ANGELES, CA 90071	TRUSTEE 0	0.	0.	0.
RODNEY HAGENBUCH 16826 MONTE HERMOSO DRIVE PACIFIC PALISADES, CA 90272	TRUSTEE 0	0.	0.	0.
JOHN QUINN 3033 EDGEWICK ROAD GLENDALE, CA 91206	TRUSTEE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
Total number of others receiving over \$50,000 for professional services	.	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	NOT APPLICABLE - THE FOUNDATION DOES NOT ADMINISTER OR MAINTAIN ANY FORMAL PROGRAMS. THE FOUNDATION ISSUES CASH DIRECTLY TO CHARITABLE INSTITUTIONS.	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	
b Average of monthly cash balances	1 b	
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	0.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0.
6 Minimum investment return. Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	
2a Tax on investment income for 2014 from Part VI, line 5	2 a	2,098.
b Income tax for 2014. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	2,098.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	-2,098.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	-2,098.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	86,600.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	86,600.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	86,600.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2014.			0.	
a Enter amount for 2013 only		0.		
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2014:				
a From 2009	7,226.			
b From 2010	23,998.			
c From 2011	24,310.			
d From 2012	54,368.			
e From 2013	80,605.			
f Total of lines 3a through e	190,507.			
4 Qualifying distributions for 2014 from Part XII, line 4: \$ 86,600.			0.	
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			0.
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus	86,600.			0.
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	277,107.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	7,226.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	269,881.			
10 Analysis of line 9.				
a Excess from 2010	23,998.			
b Excess from 2011	24,310.			
c Excess from 2012	54,368.			
d Excess from 2013	80,605.			
e Excess from 2014	86,600.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a 'Assets' alternative test – enter:

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization.

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Total				3 a
b Approved for future payment				
Total				3 b

Part XVI-A. Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	16,485.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					88,393.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue.					
a	LITIGATION SETTLEMENT			1	156.	
b	NON DIVIDEND DISTRIBUTION			1	826.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				17,467.	88,393.
13	Total. Add line 12, columns (b), (d), and (e)				13	105,860.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2014

FEDERAL STATEMENTS

PAGE 1

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

95-4031664

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION SETTLEMENT	\$ 156.		
NON DIVIDEND DISTRIBUTION	826.		
TOTAL	<u>\$ 982.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,695.		\$ 1,695.	
TOTAL	<u>\$ 1,695.</u>	<u>\$ 0.</u>	<u>\$ 1,695.</u>	<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNT FEE	\$ 150.		\$ 150.	
INVESTMENT FEES	24,321.		24,321.	
TOTAL	<u>\$ 24,471.</u>	<u>\$ 0.</u>	<u>\$ 24,471.</u>	<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CA REGISTRY OF CHARITABLE TRUSTS	\$ 50.		\$ 50.	
FOREIGN TAX PAID	45.		45.	
FRANCHISE TAX BOARD	25.		25.	
INTERNAL REVENUE SERVICE	2,471.		2,471.	
TOTAL	<u>\$ 2,591.</u>	<u>\$ 0.</u>	<u>\$ 2,591.</u>	<u>\$ 0.</u>

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

95-4031664

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CERTIFICATE FEE	\$ 2.		\$ 2.	
TOTAL	\$ 2.	\$ 0.	\$ 2.	\$ 0.

STATEMENT 6
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTSCASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: L.A. COUNTY MUSEUM OF ART
 DONEE'S ADDRESS: 5905 WILSHIRE BLVD.
 LOS ANGELES, CA 90036
 RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: NON-PROFIT
 AMOUNT GIVEN: \$ 10,000.

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: TACOMA ART MUSEUM
 DONEE'S ADDRESS: 1123 PACIFIC AVENUE
 TACOMA, WA 98402
 RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: NON-PROFIT
 AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: BLUE SKIES FOR CHILDREN
 DONEE'S ADDRESS: 13 PROSPECT ST., SUITE 200
 BELLINGHAM, WA 98225, WA 98225
 RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: NON-PROFIT
 AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: AMERICAN HEART ASSOCIATION
 DONEE'S ADDRESS: 7272 GREENVILLE AVE.
 DALLAS, TX 75231
 RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
 AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: SOLAR CIRCLE
 DONEE'S ADDRESS: 4709 WOODCRAFT
 OKEMOS, MI 48864
 RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
 AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY: DONATION
 DONEE'S NAME: COMMUNITY FOUND OF WESTERN MAS
 DONEE'S ADDRESS: 1500 MAIN ST.

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

95-4031664

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE: SPRINGFIELD, MA 01115
ORGANIZATIONAL STATUS OF DONEE: NONE
AMOUNT GIVEN: NON PROFIT \$ 10,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: UNIV OF WASHINGTON FOUNDATION
DONEE'S ADDRESS: 1900 COMMERCE ST.
TACOMA, WA 98402
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 7,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: MCCALLUM THEATER
DONEE'S ADDRESS: 73000 FRED WARING DR
PALM DESERT, CA 92260
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 10,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: UNITED CEREBRAL PALSY
DONEE'S ADDRESS: 6430 INDEPENDENCE AVE
WOODLAND HILLS, CA 91367
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 3,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: TOWN HALL, LOS ANGELES
DONEE'S ADDRESS: 515 S. FLOWER ST, STE 1650
LOS ANGELES, CA 90071
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 2,500.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: GROWTOWN, INC.
DONEE'S ADDRESS: 33203 BIDDESTONE LN.
FARMINGTON HILLS, MI 48334
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 5,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: BOYS & GIRLS CLUB OF WATCOM COUNTY
DONEE'S ADDRESS: 635 8TH STREET
BLAINE, WA 98230
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: NON PROFIT
AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY: DONATION
DONEE'S NAME: ANIMALS AS NATURAL THERAPY
DONEE'S ADDRESS: 721 VAN WYCK RD
BLAINE, WA 98226

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

95-4031664

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		\$ 5,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	BLAINE SCHOOL BOOSTER CLUB	
DONEE'S ADDRESS:	PO BOX 1849 BLAINE, WA 98231	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		1,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	MOUNT BAKER THEATER	
DONEE'S ADDRESS:	104 N. COMMERCIAL ST BELLINGHAM, WA 98225	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		10,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	BELLINGHAM SAILING FOUNDATION	
DONEE'S ADDRESS:	4230 HOFF RD BELLINGHAM, WA 98225	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		3,600.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	PINK BOAT REGATTA	
DONEE'S ADDRESS:	4230 HOFF RD BELLINGHAM, WA 98225	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,500.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	POOPIE FOUNDATION	
DONEE'S ADDRESS:	12238 VENTURA BLVD STE B STUDIO CITY, CA 91604	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	THE GREENING OF DETROIT	
DONEE'S ADDRESS:	1418 MICHIGAN AVE DETROIT, MI 48216	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		5,000.

TOTAL \$ 86,600.

2014

FEDERAL STATEMENTS

PAGE 5

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

95-4031664

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED STATEMENTS	COST	\$ 659,694.	\$ 791,934.
	TOTAL	<u>\$ 659,694.</u>	<u>\$ 791,934.</u>

STATEMENT 8
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DEFERRED STOCK PURCHASES	\$ 5,082.
TOTAL	<u>\$ 5,082.</u>

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)								
AMER EAGLE OUTFITTERS REDEEMED			CUSIP Number 02553E106					
✓ 191,0000 Sale	09/25/14	12/09/14	2,370.67	2,748.95		0.00	(378.28)	
✓ 442,0000 Sale	09/29/14	12/09/14	5,486.07	6,624.78		0.00	(1,138.71)	
✓ 210,0000 Sale	10/08/14	12/09/14	2,606.51	3,002.85		0.00	(396.34)	
Security Subtotal			10,463.25	12,376.58		0.00	(1,913.33)	
ALCOA INC REDEEMED			CUSIP Number 013817101					
✓ 739,0000 Sale	10/18/13	01/09/14	7,857.94	6,370.40		0.00	1,487.54	
ALTERA CORP REDEEMED			CUSIP Number 021441100					
✓ 23,0000 Sale	03/01/13	01/29/14	760.37	800.20		0.00	(39.83)	
✓ 46,0000 Sale	07/01/13	06/11/14	1,563.99	1,538.71		0.00	25.28	
✓ 73,0000 Sale	07/01/13	06/12/14	442.03	434.85		0.00	7.18	
✓ 46,0000 Sale	08/19/13	06/12/14	1,564.12	1,613.68		0.00	(49.56)	
✓ 44,0000 Sale	08/19/13	06/13/14	1,496.64	1,543.52		0.00	(46.88)	
✓ 3,0000 Sale	10/16/13	06/13/14	102.04	111.00		0.00	(8.96)	
✓ 5,0000 Sale	02/03/14	06/13/14	170.08	163.45		0.00	6.63	
Security Subtotal			6,099.27	6,205.41		0.00	(106.14)	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
AETNA INC NEW REDEEMED								
✓ 4.0000 Sale	10/16/13	09/22/14	332.26	261.90		0.00	70.36	
ANTERO RES CORP REDEEMED								
✓ 10.0000 Sale	01/06/14	08/13/14	555.69	587.10		0.00	(31.41)	
✓ 40.0000 Sale	01/06/14	10/21/14	2,003.43	2,348.41		0.00	(344.98)	
✓ 17.0000 Sale	01/06/14	10/22/14	850.06	998.07		0.00	(148.01)	
✓ 31.0000 Sale	01/07/14	10/22/14	1,550.13	1,820.94		0.00	(270.81)	
✓ 50.0000 Sale	01/07/14	10/28/14	2,499.98	2,936.99		0.00	(437.01)	
✓ 15.0000 Sale	01/14/14	10/28/14	749.99	820.03		0.00	(70.04)	
✓ 33.0000 Sale	02/03/14	10/28/14	1,650.00	1,897.50		0.00	(247.50)	
Security Subtotal			9,859.28	11,409.04		0.00	(1,549.76)	
AMERICAN AIRLS GROUP INC REDEEMED								
✓ 54.0000 Sale	06/06/13	01/29/14	1,787.91	883.43		0.00	904.48	
ARCHER DANIELS MIDLD REDEEMED								
✓ 14.0000 Sale	02/13/13	01/06/14	4,952.40	3,516.35		0.00	1,436.05	
✓ 42.0000 Sale	07/19/13	06/18/14	1,866.02	1,525.65		0.00	340.37	
Security Subtotal			6,818.42	5,042.00		0.00	1,776.42	
BORG WARNER INC COM REDEEMED								
✓ 53.0000 Sale	12/20/13	07/29/14	3,421.17	2,911.13		0.00	510.04	
✓ 26.0000 Sale	02/03/14	07/29/14	1,678.32	1,339.52		0.00	338.80	
✓ 71.0000 Sale	02/03/14	07/30/14	4,956.43	3,967.03		0.00	989.40	
Security Subtotal			10,055.92	8,217.68		0.00	1,838.24	
BARD C R INC REDEEMED								
✓ 11.0000 Sale	07/30/13	04/28/14	1,504.89	1,255.19		0.00	249.70	
✓ 19.0000 Sale	08/05/13	04/28/14	2,599.38	2,201.62		0.00	397.76	
✓ 37.0000 Sale	08/05/13	04/29/14	5,061.50	4,287.37		0.00	774.13	
✓ 4.0000 Sale	08/06/13	04/29/14	547.19	463.48		0.00	83.71	
Security Subtotal			9,712.96	8,207.66		0.00	1,505.30	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
BHP BILLITON LTD ADR	CUSIP Number	088606108						
REDEEMED								
✓1.0000 Sale	10/16/13	10/13/14	57.08	68.02		0.00	(10.94)	
✓10.0000 Sale	10/25/13	10/13/14	3,995.67	5,032.61		0.00	(1,036.94)	
✓10.0000 Sale	10/28/13	10/13/14	570.81	717.73		0.00	(146.92)	
✓34.0000 Sale	10/29/13	10/13/14	1,940.76	2,437.25		0.00	(496.49)	
Security Subtotal			6,564.32	8,255.61		0.00	(1,691.29)	
BANK NEW YORK MELLON CORP	CUSIP Number	064058100						
REDEEMED								
✓42.0000 Sale	07/08/13	01/29/14	1,343.98	1,231.39		0.00	112.59	
BEAM INC COM	CUSIP Number	073730103						
REDEEMED								
✓5.0000 Sale	01/08/14	01/29/14	417.07	329.67		0.00	87.40	
✓127.0000 Sale	01/08/14	03/06/14	10,562.44	8,373.74		0.00	2,188.70	
Security Subtotal			10,979.51	8,703.41		0.00	2,276.10	
CARNIVAL CORP PAIRED SHS	CUSIP Number	143658300						
REDEEMED								
✓21.0000 Sale	05/01/13	01/29/14	838.28	711.79		0.00	126.49	
CARPENTER TECHNOLOGY	CUSIP Number	144285103						
REDEEMED								
✓7.0000 Sale	10/09/13	01/29/14	409.98	411.64		0.00	(1.66)	
✓5.0000 Sale	10/09/13	08/13/14	269.54	294.02		0.00	(24.48)	
Security Subtotal			679.52	705.66		0.00	(26.14)	
CATERPILLAR INC DEL	CUSIP Number	149123101						
REDEEMED								
✓101.0000 Sale	11/12/13	06/02/14	10,406.57	8,473.84		0.00	1,932.73	
CA INC	CUSIP Number	12673P105						
REDEEMED								
✓182.0000 Sale	07/01/13	01/29/14	5,896.81	5,206.96		0.00	689.85	
✓23.0000 Sale	07/19/13	01/29/14	745.20	678.46		0.00	66.74	
✓2.0000 Sale	10/16/13	01/29/14	64.81	59.34		0.00	5.47	
Security Subtotal			6,706.82	5,944.76		0.00	762.06	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
CME GROUP INC	CUSIP Number	12572Q105						
REDEEMED								
✓13.0000 Sale	05/15/13	01/29/14	946.12	834.52		0.00	111.60	
CALPINE CORP	CUSIP Number	131347304						
REDEEMED								
✓16.0000 Sale	04/07/14	08/13/14	347.19	331.83		0.00	15.36	
✓58.0000 Sale	04/07/14	11/24/14	1,351.66	1,202.89		0.00	148.77	
✓137.0000 Sale	04/07/14	11/25/14	3,192.06	2,841.30		0.00	350.76	
✓121.0000 Sale	04/07/14	11/26/14	2,784.30	2,509.46		0.00	274.84	
Security Subtotal			7,675.21	6,885.48		0.00	789.73	
CLIFFS NATURAL RESOURCES INC	CUSIP Number	18683KT01						
REDEEMED								
✓16.0000 Sale	09/27/13	01/29/14	325.91	334.28		0.00	(8.37)	
✓57.0000 Sale	09/27/13	03/31/14	1,167.42	1,190.86		0.00	(23.44)	
✓118.0000 Sale	09/30/13	03/31/14	2,416.76	2,418.33		0.00	(1.57)	
✓1.0000 Sale	10/09/13	03/31/14	20.48	20.19		0.00	0.29	
✓1.0000 Sale	10/16/13	03/31/14	143.37	157.15		0.00	(13.78)	
✓3.0000 Sale	02/03/14	03/31/14	61.45	56.96		0.00	4.49	
Security Subtotal			4,135.39	4,177.77		0.00	(42.38)	
COVIDIEN PLC SHS NEW	CUSIP Number	G2554F113						
REDEEMED								
✓8.0000 Sale	12/10/13	01/29/14	545.11	542.64		0.00	2.47	
✓52.0000 Sale	12/10/13	05/05/14	3,728.32	3,527.18		0.00	201.14	
✓5.0000 Sale	12/10/13	08/13/14	432.74	339.15		0.00	93.59	
✓64.0000 Sale	12/10/13	10/01/14	5,634.01	4,341.14		0.00	1,292.87	
Security Subtotal			10,340.18	8,750.11		0.00	1,590.07	
COMERICA INC	CUSIP Number	200340107						
REDEEMED								
✓9.0000 Sale	07/19/13	01/29/14	887.09	800.61		0.00	86.48	
✓3.0000 Sale	02/03/14	12/18/14	138.65	133.58		0.00	5.07	
✓9.0000 Sale	05/23/14	12/18/14	415.96	421.13		0.00	(5.17)	
✓15.0000 Sale	05/27/14	12/19/14	696.59	708.34		0.00	(11.75)	
✓21.0000 Sale	05/23/14	12/19/14	975.21	982.63		0.00	(7.42)	
Security Subtotal			3,113.50	3,046.29		0.00	67.21	

BANK OF AMERICA (MLTC)

Account No.
230-74E44

Taxpayer No.
95-4031664

Page
11 of 36

2014 ANNUAL STATEMENT SUMMARY 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
CORNING INC								
REDEEMED								
✓ 3.0000 Sale	06/06/14	08/13/14	60.95	64.60		0.00	(3.65)	
✓ 401.0000 Sale	06/06/14	11/21/14	8,346.47	8,634.77		0.00	(288.30)	
Security Subtotal			8,407.42	8,699.37		0.00	(291.95)	
DOMINION RES INC NEW VA								
REDEEMED								
✓ 124.0000 Sale	01/29/14	06/18/14	8,661.41	8,208.27		0.00	453.14	
✓ 127.0000 Sale	10/02/14	10/29/14	8,912.23	8,806.95		0.00	105.28	
✓ 59.0000 Sale	10/02/14	11/19/14	4,268.51	4,091.42		0.00	177.09	
✓ 65.0000 Sale	10/02/14	11/21/14	4,734.09	4,507.50		0.00	226.59	
✓ 51.0000 Sale	10/03/14	11/21/14	3,714.45	3,534.88		0.00	179.57	
Security Subtotal			30,290.69	29,149.02		0.00	1,141.67	
DOW CHEMICAL CO								
REDEEMED								
✓ 36.0000 Sale	05/16/13	01/29/14	1,609.17	1,275.54		0.00	333.63	
✓ 27.0000 Sale	05/16/13	02/25/14	1,279.90	956.65		0.00	323.25	
✓ 48.0000 Sale	06/21/13	02/25/14	2,275.38	1,566.32		0.00	709.06	
✓ 13.0000 Sale	06/24/13	02/25/14	616.25	418.72		0.00	197.53	
✓ 20.0000 Sale	02/03/14	12/17/14	879.41	898.50		0.00	(19.09)	
Security Subtotal			6,660.11	5,115.73		0.00	1,544.38	
E M C CORPORATION MASS								
REDEEMED								
✓ 7.0000 Sale	07/19/13	01/29/14	174.17	178.46		0.00	(4.29)	
✓ 84.0000 Sale	04/24/14	08/25/14	2,501.84	2,184.95		0.00	316.89	
Security Subtotal			2,676.01	2,363.41		0.00	312.60	
EATON CORP PLC								
REDEEMED								
✓ 84.0000 Sale	05/17/13	01/10/14	6,407.41	5,587.87		0.00	819.54	
✓ 17.0000 Sale	05/17/13	01/29/14	1,252.88	1,130.88		0.00	122.00	
Security Subtotal			7,660.29	6,718.75		0.00	941.54	

BANK OF AMERICA (MLTC)

1099-B **2014 ANNUAL STATEMENT SUMMARY** **2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
FLUOR CORP NEW DEL COM	CUSIP Number	343412102						
REDEEMED ✓ 4,000 Sale	08/29/13	01/06/14	316.50	255.59		0.00	60.91	
✓ 67,000 Sale	08/29/13	01/07/14	5,327.90	4,281.10		0.00	1,046.80	
✓ 33,000 Sale	08/29/13	01/08/14	2,612.79	2,108.60		0.00	504.19	
✓ 18,000 Sale	08/30/13	01/08/14	1,425.16	1,150.17		0.00	274.99	
✓ 1,000 Sale	10/16/13	01/08/14	79.18	74.07		0.00	5.11	
Security Subtotal			9,761.53	7,869.53		0.00	1,892.00	
GENERAL ELECTRIC	CUSIP Number	369604103						
REDEEMED ✓ 5,000 Sale	06/13/14	08/13/14	129.46	134.75		0.00	(5.29)	
✓ 140,000 Sale	06/13/14	10/15/14	3,360.54	3,773.00		0.00	(412.46)	
✓ 160,000 Sale	06/16/14	10/15/14	3,840.62	4,310.30		0.00	(469.68)	
Security Subtotal			7,330.62	8,218.05		0.00	(887.43)	
HALLIBURTON COMPANY	CUSIP Number	406216101						
REDEEMED ✓ 1,000 Sale	10/16/13	01/30/14	49.03	51.88		0.00	(2.85)	
✓ 101,000 Sale	03/18/13	01/30/14	4,951.58	4,117.19		0.00	834.39	
✓ 46,000 Sale	04/03/13	01/30/14	2,255.18	1,806.62		0.00	448.56	
✓ 29,000 Sale	07/19/13	01/30/14	1,421.74	1,318.92		0.00	102.82	
Security Subtotal			8,677.53	7,294.61		0.00	1,382.92	
INTEL CORP	CUSIP Number	458140100						
REDEEMED ✓ 48,000 Sale	12/10/13	01/29/14	1,189.98	1,189.70		0.00	0.28	
✓ 46,000 Sale	12/10/13	08/13/14	1,543.84	1,140.13		0.00	403.71	
Security Subtotal			2,733.82	2,329.83		0.00	403.99	
INTL PAPER CO	CUSIP Number	460146103						
REDEEMED ✓ 174,000 Sale	02/27/14	06/04/14	8,280.10	8,492.10		0.00	(212.00)	
INVESCO LTD	CUSIP Number	G491BT108						
REDEEMED ✓ 26,000 Sale	07/19/13	02/11/14	890.53	843.12		0.00	47.41	
✓ 93,000 Sale	09/23/13	02/11/14	3,185.36	2,994.42		0.00	190.94	
✓ 74,000 Sale	09/23/13	03/12/14	2,626.36	2,382.65		0.00	243.71	
✓ 50,000 Sale	09/24/13	03/12/14	1,774.57	1,609.91		0.00	164.66	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
INVESCO LTD								
REDEEMED								
✓ 57.0000 Sale	09/24/13	04/04/14	2,040.87	1,835.29		0.00	205.58	
✓ 32.0000 Sale	10/16/13	04/04/14	1,145.75	1,024.24		0.00	121.51	
✓ 18.0000 Sale	10/22/13	04/04/14	2,792.79	2,526.64		0.00	266.15	
✓ 33.0000 Sale	10/22/13	05/07/14	1,176.36	1,068.96		0.00	107.40	
✓ 37.0000 Sale	10/24/13	05/07/14	1,318.97	1,207.70		0.00	111.27	
✓ 6.0000 Sale	10/24/13	08/13/14	233.45	195.84		0.00	37.61	
Security Subtotal			17,185.01	15,688.77		0.00	1,496.24	
JOY GLOBAL INC DEL COM								
REDEEMED								
✓ 2.0000 Sale	02/21/14	08/13/14	121.89	113.17		0.00	8.72	
✓ 68.0000 Sale	02/21/14	12/03/14	3,484.97	3,847.91		0.00	(362.94)	
✓ 12.0000 Sale	02/24/14	12/03/14	615.00	692.60		0.00	(77.60)	
✓ 68.0000 Sale	02/24/14	12/08/14	3,422.99	3,924.71		0.00	(501.72)	
✓ 81.0000 Sale	03/03/14	12/08/14	4,077.39	4,404.30		0.00	(326.91)	
✓ 45.0000 Sale	08/01/14	12/08/14	2,265.22	2,645.76		0.00	(380.54)	
✓ 39.0000 Sale	08/01/14	12/09/14	1,949.32	2,292.99		0.00	(343.67)	
Security Subtotal			15,936.78	17,921.44		0.00	(1,984.66)	
JOHNSON AND JOHNSON COM								
REDEEMED								
✓ 62.0000 Sale	01/28/14	05/05/14	6,200.93	5,577.32		0.00	623.61	
✓ 10.0000 Sale	01/28/14	05/06/14	1,000.18	899.57		0.00	100.61	
✓ 38.0000 Sale	01/28/14	05/07/14	3,800.97	3,418.35		0.00	382.62	
✓ 5.0000 Sale	01/28/14	08/13/14	508.99	449.78		0.00	59.21	
✓ 45.0000 Sale	01/28/14	11/14/14	4,866.25	4,048.05		0.00	818.20	
✓ 19.0000 Sale	01/28/14	11/25/14	2,032.96	1,709.18		0.00	323.78	
✓ 100.0000 Sale	01/28/14	11/26/14	10,701.16	8,995.67		0.00	1,705.49	
Security Subtotal			29,111.44	25,097.92		0.00	4,013.52	
KOHL'S CORP WISC PV 1CT								
REDEEMED								
✓ 13.0000 Sale	09/30/14	12/18/14	2,558.51	2,619.15		0.00	(60.64)	
✓ 16.0000 Sale	09/30/14	12/19/14	951.99	974.57		0.00	(22.58)	
Security Subtotal			3,510.50	3,593.72		0.00	(83.22)	

BANK OF AMERICA (MLTC)

1099-B **2014 ANNUAL STATEMENT SUMMARY** **2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
LAUDER ESTEE COS INC A								
REDEEMED								
✓ 116.0000 Sale	10/18/13	02/14/14	7,896.92	8,334.74		0.00	(437.82)	
ELI LILLY & CO								
REDEEMED								
✓ 67.0000 Sale	02/07/14	07/18/14	4,244.57	3,540.99		0.00	703.58	
✓ 68.0000 Sale	02/07/14	11/13/14	4,624.54	3,593.85		0.00	1,030.69	
✓ 17.0000 Sale	02/10/14	11/13/14	1,156.13	896.69		0.00	259.44	
✓ 56.0000 Sale	03/10/14	11/13/14	3,808.45	3,281.46		0.00	526.99	
✓ 26.0000 Sale	03/10/14	11/19/14	1,745.89	1,523.53		0.00	222.36	
Security Subtotal			15,579.58	12,836.52		0.00	2,743.06	
M&T BANK CORPORATION								
REDEEMED								
✓ 1.0000 Sale	06/04/14	08/13/14	120.44	122.25		0.00	(1.81)	
METLIFE INC								
COM								
REDEEMED								
✓ 11.0000 Sale	10/02/13	01/06/14	594.01	514.27		0.00	79.74	
✓ 82.0000 Sale	10/02/13	01/13/14	4,344.32	3,833.62		0.00	510.70	
✓ 5.0000 Sale	10/02/13	01/29/14	246.34	233.76		0.00	12.58	
✓ 2.0000 Sale	10/02/13	08/13/14	105.13	93.50		0.00	11.63	
Security Subtotal			5,289.80	4,675.15		0.00	614.65	
MITSUBISHI UFJ FINL GRP INC								
REDEEMED								
✓ 73.0000 Sale	09/18/13	01/29/14	453.32	486.57		0.00	(33.25)	
✓ 68.0000 Sale	09/18/13	08/13/14	394.40	453.25		0.00	(58.85)	
✓ 18.0000 Sale	10/16/13	09/22/14	104.20	116.42		0.00	(12.22)	
✓ 5.0000 Sale	02/03/14	09/22/14	28.95	29.39		0.00	(0.44)	
Security Subtotal			980.87	1,085.63		0.00	(104.76)	
MERCK AND CO INC SHS								
REDEEMED								
✓ 94.0000 Sale	12/18/13	11/24/14	5,553.32	4,488.52		0.00	1,064.80	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
MCDERMOTT INTL INC	CUSIP Number	580037109						
REDEEMED	11/21/13	01/29/14	437.31	410.78		0.00	26.53	
✓ 52.0000 Sale	11/21/13	08/13/14	15.06	15.80		0.00	(0.74)	
✓ 2.0000 Sale	12/02/13	08/13/14	572.28	601.82		0.00	(29.54)	
✓ 16.0000 Sale	03/11/14	12/29/14	1,909.93	4,932.37		0.00	(3,022.44)	
✓ 636.0000 Sale	09/04/14	12/29/14	786.79	1,887.37		0.00	(1,100.58)	
✓ 262.0000 Sale	10/28/14	12/29/14	249.25	319.09		0.00	(69.84)	
✓ 83.0000 Sale	10/29/14	12/29/14	873.89	1,129.98		0.00	(256.09)	
✓ 291.0000 Sale	10/29/14	12/30/14	1,479.41	1,914.37		0.00	(434.96)	
✓ 493.0000 Sale			6,323.92	11,211.58		0.00	(4,887.66)	
Security Subtotal								
MICROSOFT CORP	CUSIP Number	594918104						
REDEEMED	05/27/14	08/13/14	219.55	199.50		0.00	20.05	
✓ 5.0000 Sale	05/27/14	10/13/14	4,360.21	3,950.10		0.00	410.11	
Security Subtotal			4,579.76	4,149.60		0.00	430.16	
NEXTERA ENERGY INC SHS	CUSIP Number	65339F101						
REDEEMED	12/31/13	05/07/14	7,326.56	6,327.40		0.00	999.16	
✓ 14.0000 Sale	12/31/13	07/24/14	2,772.22	2,394.15		0.00	378.07	
✓ 28.0000 Sale	02/04/14	07/24/14	495.03	449.30		0.00	45.73	
✓ 5.0000 Sale	02/05/14	07/24/14	990.09	898.99		0.00	91.10	
✓ 10.0000 Sale	02/05/14	07/28/14	396.38	359.60		0.00	36.78	
✓ 4.0000 Sale	04/04/14	07/28/14	3,765.65	3,626.63		0.00	139.02	
Security Subtotal			15,745.93	14,056.07		0.00	1,689.86	
NEWMONT MINING CORP	CUSIP Number	651639106						
REDEEMED	06/30/14	08/13/14	136.34	126.36		0.00	9.98	
✓ 5.0000 Sale	06/30/14	11/05/14	9,395.73	13,192.09		0.00	(3,796.36)	
✓ 522.0000 Sale	07/01/14	11/05/14	791.98	1,096.65		0.00	(304.67)	
✓ 44.0000 Sale	07/03/14	11/05/14	2,447.94	3,394.89		0.00	(946.95)	
✓ 136.0000 Sale			12,771.99	17,809.99		0.00	(5,038.00)	
Security Subtotal								

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BANK OF AMERICA (MLTC)

1099-B 2014 ANNUAL STATEMENT SUMMARY 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
NUCOR CORPORATION	CUSIP Number	670346105						
REDEEMED	07/30/13	01/29/14	434.87	421.32		0.00	13.55	
✓ 9,000 Sale	07/30/13	05/07/14	3,295.47	2,949.28		0.00	346.19	
✓ 63,000 Sale	07/30/13	06/06/14	2,057.11	1,872.56		0.00	184.55	
✓ 40,000 Sale	07/30/13	06/09/14	976.58	889.46		0.00	87.12	
✓ 19,000 Sale	07/30/13	06/17/14	1,075.26	983.09		0.00	92.17	
✓ 21,000 Sale	07/30/13	06/17/14	1,126.47	1,030.44		0.00	96.03	
✓ 22,000 Sale	07/31/13	06/17/14	51.20	49.63		0.00	1.57	
✓ 1,000 Sale	10/16/13	06/17/14	102.42	94.06		0.00	8.36	
✓ 2,000 Sale	02/03/14					0.00		
Security Subtotal			9,119.38	8,289.84		0.00	829.54	
OCCIDENTAL PETE CORP CAL	CUSIP Number	674599105						
REDEEMED	05/06/13	01/29/14	615.57	632.78		0.00	(17.21)	
✓ 1,000 Sale								
PALL CORP PV \$0.10	CUSIP Number	696429307						
REDEEMED	07/12/13	01/29/14	563.56	493.55		0.00	70.01	
✓ 1,000 Sale	10/16/13	10/03/14	166.40	152.42		0.00	13.98	
✓ 2,000 Sale						0.00		
Security Subtotal			729.96	645.97		0.00	83.99	
PIONEER NATURAL RES CO	CUSIP Number	723787107						
REDEEMED	03/18/13	01/13/14	3,145.52	2,431.16		0.00	714.36	
✓ 19,000 Sale	07/19/13	01/13/14	993.33	923.61		0.00	69.72	
✓ 6,000 Sale						0.00		
Security Subtotal			4,138.85	3,354.77		0.00	784.08	
PARKER HANNIFIN CORP	CUSIP Number	701094104						
REDEEMED	07/19/13	01/29/14	574.79	506.38		0.00	68.41	
✓ 5,000 Sale	07/19/13	02/25/14	2,588.79	2,228.08		0.00	360.71	
✓ 22,000 Sale	08/06/13	04/16/14	1,353.24	1,107.69		0.00	245.55	
✓ 11,000 Sale	10/16/13	04/16/14	369.07	316.62		0.00	52.45	
✓ 3,000 Sale	08/05/13	04/16/14	8,365.51	7,133.05		0.00	1,232.46	
✓ 68,000 Sale	02/03/14	04/16/14	123.03	110.02		0.00	13.01	
✓ 1,000 Sale						0.00		
Security Subtotal			13,374.43	11,401.84		0.00	1,972.59	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
PEABODY ENERGY CORP COM REDEEMED ✓ 9,000 Sale ✓ 437,000 Sale	05/02/14 05/02/14	08/13/14 09/15/14	142.10 6,160.64	171.12 8,308.63		0.00 0.00	(29.02) (2,147.99)	
Security Subtotal			6,302.74	8,479.75		0.00	(2,177.01)	
PNC FINCL SERVICES GROUP REDEEMED ✓ 10,000 Sale ✓ 8,000 Sale ✓ 28,000 Sale ✓ 44,000 Sale ✓ 1,000 Sale	11/18/13 11/18/13 11/18/13 11/19/13 11/20/13	01/29/14 08/13/14 10/17/14 10/17/14 10/17/14	803.39 661.75 2,240.01 3,520.02 80.01	752.50 602.00 2,107.00 3,310.58 75.24		0.00 0.00 0.00 0.00 0.00	50.89 59.75 133.01 209.44 4.77	
Security Subtotal			7,305.18	6,847.32		0.00	457.86	
POTASH CORP SASKATCHEWAN REDEEMED ✓ 43,000 Sale ✓ 166,000 Sale ✓ 40,000 Sale	02/03/14 02/03/14 02/03/14	05/07/14 05/08/14 05/12/14	1,560.87 6,025.87 1,451.97	1,357.38 5,240.10 1,262.68		0.00 0.00 0.00	203.49 785.77 189.29	
Security Subtotal			9,038.71	7,860.16		0.00	1,178.55	
ROWAN COMPANIES PLC CL A REDEEMED ✓ 12,000 Sale ✓ 7,000 Sale ✓ 36,000 Sale ✓ 33,000 Sale ✓ 149,000 Sale ✓ 4,000 Sale	07/29/13 07/29/13 09/13/13 09/13/13 09/13/13 10/16/13	01/29/14 07/01/14 07/01/14 07/02/14 07/09/14 07/09/14	384.71 223.99 1,152.00 1,056.08 4,685.49 125.79	406.80 237.30 1,360.14 1,246.79 5,629.46 145.16		0.00 0.00 0.00 0.00 0.00 0.00	(22.09) (13.31) (208.14) (190.71) (943.97) (19.37)	
Security Subtotal			7,628.06	9,025.65		0.00	(1,397.59)	
SOUTHERN COMPANY REDEEMED ✓ 200,000 Sale	01/29/14	06/05/14	8,807.79	8,212.50		0.00	595.29	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
STAPLES INC								
REDEEMED	CUSIP Number	855030102						
✓21.0000 Sale	07/19/13	01/29/14	281.39	343.74		0.00	(62.35)	
✓124.0000 Sale	07/19/13	03/06/14	1,409.44	2,029.68		0.00	(620.24)	
✓389.0000 Sale	08/21/13	03/06/14	4,421.57	5,757.94		0.00	(1,336.37)	
✓4.0000 Sale	02/03/14	03/06/14	45.47	51.02		0.00	(5.55)	
Security Subtotal			6,157.87	8,182.38		0.00	(2,024.51)	
TARGET CORP COM								
REDEEMED	CUSIP Number	87612E106						
✓4.0000 Sale	05/06/14	08/13/14	233.31	231.66		0.00	1.65	
TEREX CORP DEL NEW COM								
REDEEMED	CUSIP Number	880779103						
✓19.0000 Sale	10/14/13	01/29/14	741.18	639.37		0.00	101.81	
✓6.0000 Sale	10/14/13	08/13/14	218.87	201.91		0.00	16.96	
✓7.0000 Sale	02/03/14	12/18/14	189.04	275.97		0.00	(86.93)	
✓50.0000 Sale	07/25/14	12/18/14	1,350.33	1,827.50		0.00	(477.17)	
✓10.0000 Sale	07/28/14	12/18/14	270.06	365.49		0.00	(95.43)	
✓103.0000 Sale	10/28/14	12/18/14	2,781.69	3,137.94		0.00	(356.25)	
✓37.0000 Sale	10/28/14	12/19/14	1,004.50	1,127.22		0.00	(122.72)	
✓30.0000 Sale	10/29/14	12/19/14	814.46	914.69		0.00	(100.23)	
Security Subtotal			7,370.13	8,490.09		0.00	(1,119.96)	
UNITEDHEALTH GROUP INC								
REDEEMED	CUSIP Number	91324P102						
✓16.0000 Sale	02/19/13	01/29/14	1,148.62	894.31		0.00	254.31	
UNITED STS STL CORP NEW								
REDEEMED	CUSIP Number	912909108						
✓3.0000 Sale	05/09/14	08/13/14	107.90	75.00		0.00	32.90	
UNITED CONTL HLDGS INC								
REDEEMED	CUSIP Number	910047109						
✓141.0000 Sale	04/15/13	01/03/14	5,499.98	4,142.14		0.00	1,357.84	
✓104.0000 Sale	05/08/13	01/03/14	4,056.73	3,508.93		0.00	547.80	
✓53.0000 Sale	06/24/13	01/03/14	2,067.38	1,552.11		0.00	515.27	
✓16.0000 Sale	06/24/13	01/29/14	729.75	468.56		0.00	261.19	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
UNITED CONTL HLDGS INC								
REDEEMED								
✓ 2.0000 Sale	07/19/13	01/29/14	91.22	68.58		0.00	22.64	
✓ 95.0000 Sale	07/19/13	06/06/14	4,531.42	3,257.74		0.00	1,273.68	
Security Subtotal			16,976.48	12,998.06		0.00	3,978.42	
WHITEWAVE FOODS CO								
COMMON STOCK								
REDEEMED								
✓ 17.0000 Sale	12/17/13	01/29/14	407.48	373.22		0.00	34.26	
✓ 53.0000 Sale	12/17/13	06/09/14	1,721.53	1,163.57		0.00	557.96	
✓ 152.0000 Sale	12/18/13	06/09/14	4,937.23	3,396.12		0.00	1,541.11	
✓ 4.0000 Sale	12/18/13	08/13/14	130.99	89.37		0.00	41.62	
✓ 157.0000 Sale	12/18/13	12/17/14	5,346.45	3,507.84		0.00	1,838.61	
✓ 110.0000 Sale	12/20/13	12/17/14	3,745.93	2,418.74		0.00	1,327.19	
Security Subtotal			16,289.61	10,948.86		0.00	5,340.75	
WAL-MART STORES INC								
REDEEMED								
✓ 10.0000 Sale	01/07/14	01/29/14	744.79	786.02		0.00	(41.23)	
✓ 20.0000 Sale	01/07/14	05/07/14	1,559.97	1,572.03		0.00	(12.06)	
✓ 87.0000 Sale	01/07/14	05/08/14	6,793.06	6,838.34		0.00	(45.28)	
✓ 27.0000 Sale	01/07/14	05/09/14	2,137.53	2,122.24		0.00	15.29	
✓ 78.0000 Sale	01/08/14	05/09/14	6,175.10	6,110.93		0.00	64.17	
✓ 3.0000 Sale	02/03/14	05/09/14	237.51	218.84		0.00	18.67	
Security Subtotal			17,647.96	17,648.40		0.00	(0.44)	
Covered Short Term Capital Gains and Losses Subtotal								
			492,773.54	468,236.37		0.00	24,537.17	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
			492,773.54	468,236.37		0.00	24,537.17	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)								
ALTERA CORP COM	CUSIP Number	021441100						
REDEEMED								
✓120.0000 Sale	03/01/13	06/09/14	4,084.30	4,174.97		0.00	(90.67)	
✓54.0000 Sale	03/01/13	06/11/14	1,835.98	1,878.74		0.00	(42.76)	
Security Subtotal			5,920.28	6,053.71		0.00	(133.43)	
AETNA INC NEW	CUSIP Number	00817Y108						
REDEEMED								
✓7.0000 Sale	07/09/12	01/29/14	481.59	266.88		0.00	214.71	
✓5.0000 Sale	07/09/12	08/13/14	384.89	190.63		0.00	194.26	
✓117.0000 Sale	07/09/12	09/22/14	9,718.48	4,460.69		0.00	5,257.79	
Security Subtotal			10,584.96	4,918.20		0.00	5,666.76	
AMERICAN AIRLS GROUP INC	CUSIP Number	02376R102						
REDEEMED								
✓12.0000 Sale	06/06/13	08/13/14	459.11	196.32		0.00	262.79	
ARCHER DANIELS MIDLD	CUSIP Number	039483102						
REDEEMED								
✓8.0000 Sale	02/13/13	06/18/14	355.43	246.76		0.00	108.67	
✓33.0000 Sale	04/17/13	06/18/14	1,466.15	1,052.61		0.00	413.54	
✓38.0000 Sale	04/25/13	06/18/14	1,688.29	1,251.96		0.00	436.33	
✓80.0000 Sale	05/16/13	06/18/14	3,554.30	2,751.47		0.00	802.83	
Security Subtotal			7,064.17	5,302.80		0.00	1,761.37	
BHP BILLITON LTD ADR	CUSIP Number	088606108						
REDEEMED								
✓10.0000 Sale	07/31/12	01/29/14	639.29	665.34		0.00	(26.05)	
✓8.0000 Sale	07/31/12	08/13/14	568.31	532.27		0.00	36.04	
✓33.0000 Sale	07/31/12	10/13/14	1,883.66	2,195.61		0.00	(311.95)	
✓25.0000 Sale	08/29/12	10/13/14	1,427.02	1,696.70		0.00	(269.68)	
✓31.0000 Sale	09/20/12	10/13/14	1,769.50	2,156.31		0.00	(386.81)	
✓10.0000 Sale	07/19/13	10/13/14	570.80	626.96		0.00	(56.16)	
Security Subtotal			6,858.58	7,873.19		0.00	(1,014.61)	

BANK OF AMERICA (MLTC)

1099-B **2014 ANNUAL STATEMENT SUMMARY** **2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
BANK NEW YORK MELLON CORP	CUSIP Number	064058100						
REDEEMED								
✓ 5.0000 Sale	07/08/13	08/13/14	192.34	146.59		0.00	45.75	
✓ 172.0000 Sale	07/08/13	10/02/14	6,540.32	5,042.84		0.00	1,497.48	
✓ 125.0000 Sale	07/09/13	10/02/14	4,753.15	3,619.96		0.00	1,133.19	
Security Subtotal			11,485.81	8,809.39		0.00	2,676.42	
CARNIVAL CORP PAIRED SHS	CUSIP Number	143658300						
REDEEMED								
✓ 13.0000 Sale	05/01/13	08/13/14	481.77	440.63		0.00	41.14	
CME GROUP INC	CUSIP Number	12572Q105						
REDEEMED								
✓ 11.0000 Sale	05/15/13	08/13/14	807.60	706.14		0.00	101.46	
CITIGROUP INC COM NEW	CUSIP Number	172967424						
REDEEMED								
✓ 19.0000 Sale	07/09/12	01/29/14	923.57	493.14		0.00	430.43	
✓ 31.0000 Sale	07/09/12	08/13/14	1,513.22	804.59		0.00	708.63	
✓ 316.0000 Sale	07/09/12	10/02/14	16,116.08	8,201.65		0.00	7,914.43	
Security Subtotal			18,552.87	9,499.38		0.00	9,053.49	
CISCO SYSTEMS INC COM	CUSIP Number	17275R102						
REDEEMED								
✓ 18.0000 Sale	11/14/11	08/13/14	451.15	343.08		0.00	108.07	
✓ 31.0000 Sale	11/14/11	09/22/14	775.03	590.86		0.00	184.17	
✓ 85.0000 Sale	11/18/11	09/22/14	2,125.11	1,579.01		0.00	546.10	
✓ 50.0000 Sale	11/28/11	09/22/14	1,250.06	901.46		0.00	348.60	
✓ 28.0000 Sale	11/29/11	09/22/14	950.05	679.09		0.00	270.96	
✓ 17.0000 Sale	12/07/11	09/22/14	425.03	321.00		0.00	104.03	
✓ 16.0000 Sale	12/07/11	10/02/14	400.00	302.12		0.00	97.88	
✓ 45.0000 Sale	01/13/12	10/02/14	1,125.02	854.55		0.00	270.47	
✓ 44.0000 Sale	01/31/12	10/02/14	1,100.02	865.42		0.00	234.60	
✓ 26.0000 Sale	03/20/12	10/02/14	650.01	529.75		0.00	120.26	
✓ 30.0000 Sale	05/09/12	10/02/14	750.01	564.83		0.00	185.18	
✓ 33.0000 Sale	06/27/12	10/02/14	825.01	552.93		0.00	272.08	
✓ 151.0000 Sale	07/09/12	10/02/14	3,775.09	2,509.74		0.00	1,265.35	
Security Subtotal			14,601.59	10,593.84		0.00	4,007.75	

2014 ANNUAL STATEMENT SUMMARY
2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
COMERICA INC COM	CUSIP Number	200340107						
REDEEMED								
✓ 3.0000 Sale	07/19/13	08/13/14	147.41	126.41		0.00	21.00	
✓ 175.0000 Sale	07/19/13	12/18/14	8,087.90	7,374.07		0.00	713.83	
✓ 60.0000 Sale	11/01/13	12/18/14	2,772.99	2,576.77		0.00	196.22	
✓ 30.0000 Sale	11/12/13	12/18/14	1,386.50	1,343.99		0.00	42.51	
Security Subtotal			12,394.80	11,421.24		0.00	973.56	
DOW CHEMICAL CO	CUSIP Number	260543103						
REDEEMED								
✓ 86.0000 Sale	06/24/13	07/18/14	4,478.13	2,770.02		0.00	1,708.11	
✓ 10.0000 Sale	06/24/13	12/17/14	439.69	322.10		0.00	117.59	
✓ 37.0000 Sale	07/15/13	12/17/14	1,626.87	1,272.79		0.00	354.08	
✓ 35.0000 Sale	07/16/13	12/17/14	1,538.93	1,201.61		0.00	337.32	
✓ 67.0000 Sale	07/19/13	12/17/14	2,945.96	2,309.32		0.00	636.64	
✓ 56.0000 Sale	08/05/13	12/17/14	2,462.29	2,046.04		0.00	416.25	
✓ 2.0000 Sale	10/16/13	12/17/14	87.93	82.00		0.00	5.93	
Security Subtotal			13,579.80	10,003.88		0.00	3,575.92	
E M C CORPORATION MASS	CUSIP Number	268648102						
REDEEMED								
✓ 6.0000 Sale	07/19/13	08/13/14	176.93	152.97		0.00	23.96	
✓ 18.0000 Sale	07/19/13	08/25/14	3,514.48	3,008.41		0.00	506.07	
✓ 65.0000 Sale	07/26/13	08/25/14	1,935.94	1,715.27		0.00	220.67	
✓ 12.0000 Sale	07/29/13	08/25/14	2,144.43	1,896.40		0.00	248.03	
Security Subtotal			7,771.78	6,773.05		0.00	998.73	
EATON CORP PLC	CUSIP Number	G29183103						
REDEEMED								
✓ 4.0000 Sale	05/17/13	08/13/14	274.99	260.21		0.00	14.78	→ 8.01
HALLIBURTON COMPANY	CUSIP Number	406216101						
REDEEMED								
✓ 16.0000 Sale	12/13/12	01/24/14	783.99	540.30		0.00	243.69	
✓ 182.0000 Sale	12/13/12	01/30/14	8,922.64	6,145.86		0.00	2,776.78	
Security Subtotal			9,706.63	6,686.16		0.00	3,020.47	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY
2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
INVESCO LTD REDEEMED	CUSIP Number	G491BT108						
✓42.0000 Sale	07/09/12	01/29/14	1,387.66	918.07		0.00	469.59	
✓38.0000 Sale	07/09/12	02/11/14	1,301.54	830.63		0.00	470.91	
✓89.0000 Sale	11/05/12	02/11/14	3,048.34	2,175.27		0.00	873.07	
✓36.0000 Sale	11/06/12	02/11/14	1,233.04	888.70		0.00	344.34	
Security Subtotal			6,970.58	4,812.67		0.00	2,157.91	
LYONDELLBASELL INDUSTRIE REDEEMED	CUSIP Number	N53745100						
✓14.0000 Sale	07/10/12	01/29/14	1,084.70	565.14		0.00	519.56	
✓55.0000 Sale	07/10/12	02/25/14	4,742.45	2,220.18		0.00	2,522.27	
✓8.0000 Sale	07/10/12	08/13/14	875.10	322.94		0.00	552.16	
✓12.0000 Sale	07/10/12	09/30/14	1,313.39	484.40		0.00	828.99	
✓26.0000 Sale	10/03/12	09/30/14	2,845.70	1,342.12		0.00	1,503.58	
✓84.0000 Sale	06/07/13	09/30/14	9,193.80	5,450.73		0.00	3,743.07	
Security Subtotal			20,055.14	10,385.51		0.00	9,669.63	
LINCOLN NTL CORP IND NPV REDEEMED	CUSIP Number	534187109						
✓16.0000 Sale	07/09/12	01/29/14	756.15	329.42		0.00	426.73	
✓30.0000 Sale	07/09/12	08/13/14	1,554.57	617.66		0.00	936.91	
Security Subtotal			2,310.72	947.08		0.00	1,363.64	
MONSANTO CO NEW DEL COM REDEEMED	CUSIP Number	61166W101						
✓6.0000 Sale	07/09/12	08/13/14	697.78	496.36		0.00	201.42	
✓40.0000 Sale	07/09/12	10/20/14	4,480.50	3,309.05		0.00	1,171.45	
✓19.0000 Sale	03/19/13	10/20/14	2,128.24	1,934.12		0.00	194.12	
✓8.0000 Sale	10/09/13	10/20/14	896.10	832.62		0.00	63.48	
✓2.0000 Sale	10/16/13	10/20/14	224.03	210.61		0.00	13.42	
Security Subtotal			8,426.65	6,782.76		0.00	1,643.89	
MITSUBISHI UFJ FINL GRP INC REDEEMED	CUSIP Number	606822104						
✓926.0000 Sale	09/18/13	09/22/14	5,360.67	6,172.16		0.00	(811.49)	
✓185.0000 Sale	09/19/13	09/22/14	1,070.98	1,226.88		0.00	(155.90)	
Security Subtotal			6,431.65	7,399.04		0.00	(967.39)	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
MERCK AND CO INC SHS	CUSIP Number	589333Y105						
REDEEMED								
✓ 13,000 Sale	05/03/11	01/29/14	678.46	475.60		0.00	202.86	
✓ 8,000 Sale	05/03/11	08/13/14	461.29	292.68		0.00	168.61	
✓ 7,000 Sale	05/18/11	08/13/14	403.64	262.62		0.00	141.02	
✓ 29,000 Sale	05/18/11	11/17/14	1,729.79	1,088.02		0.00	641.77	
✓ 21,000 Sale	06/01/12	11/17/14	1,252.60	780.94		0.00	471.66	
✓ 102,000 Sale	03/12/13	11/17/14	6,084.09	4,579.43		0.00	1,504.66	
✓ 28,000 Sale	03/13/13	11/17/14	1,670.15	1,250.65		0.00	419.50	
✓ 50,000 Sale	03/13/13	11/24/14	2,953.88	2,233.29		0.00	720.59	
✓ 1,000 Sale	10/16/13	11/24/14	59.07	47.09		0.00	11.98	
Security Subtotal			15,292.97	11,010.32		0.00	4,282.65	
MCDERMOTT INTL INC	CUSIP Number	580037109						
REDEEMED								
✓ 268,000 Sale	12/02/13	12/26/14	774.03	2,043.03		0.00	(1,269.00)	
✓ 417,000 Sale	12/03/13	12/26/14	1,251.07	3,284.21		0.00	(2,033.14)	
✓ 264,000 Sale	12/03/13	12/29/14	792.80	2,079.21		0.00	(1,286.41)	
Security Subtotal			2,817.90	7,406.45		0.00	(4,588.55)	
OCCIDENTAL PETE CORP CAL	CUSIP Number	674599105						
REDEEMED								
✓ 5,000 Sale	05/06/13	08/13/14	497.79	451.98		0.00	45.81	
✓ 40,000 Sale	05/06/13	11/03/14	3,563.02	3,615.87		0.00	(52.85)	
✓ 3,000 Sale	05/06/13	11/07/14	264.29	271.19		0.00	(6.90)	
✓ 33,000 Sale	06/24/13	11/07/14	2,907.24	2,915.56		0.00	(8.32)	
✓ 24,000 Sale	07/16/13	11/07/14	2,114.36	2,157.20		0.00	(42.84)	
✓ 23,000 Sale	07/19/13	11/07/14	2,026.27	2,116.69		0.00	(90.42)	
Security Subtotal			11,372.97	11,528.49		0.00	(155.52)	
PALL CORP PV \$0.10	CUSIP Number	696429307						
REDEEMED								
✓ 4,000 Sale	07/12/13	08/13/14	318.27	282.03		0.00	36.24	
✓ 90,000 Sale	07/12/13	10/03/14	7,487.62	6,345.69		0.00	1,141.93	
✓ 13,000 Sale	07/19/13	10/03/14	1,081.54	915.92		0.00	165.62	
Security Subtotal			8,887.43	7,543.64		0.00	1,343.79	

BANK OF AMERICA (MLTC)

Account No.
230-74E44

Taxpayer No.
95-4031664

Page
25 of 36

2014 ANNUAL STATEMENT SUMMARY 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
PIONEER NATURAL RES CO	CUSIP Number	723787107						
REDEEMED								
✓ 3.0000 Sale	12/28/12	01/10/14	510.59	311.58		0.00	199.01	
✓ 38.0000 Sale	12/28/12	01/13/14	6,291.04	3,946.68		0.00	2,344.36	
Security Subtotal			6,801.63	4,258.26		0.00	2,543.37	
RANGE RESOURCES CORP DEL	CUSIP Number	75281A109						
REDEEMED								
✓ 8.0000 Sale	07/10/12	01/29/14	688.63	490.21		0.00	198.42	
✓ 56.0000 Sale	07/10/12	02/19/14	4,906.38	3,431.49		0.00	1,474.89	
✓ 3.0000 Sale	07/10/12	08/13/14	223.40	183.83		0.00	39.57	
Security Subtotal			5,818.41	4,105.53		0.00	1,712.88	
SANDISK CORP INC	CUSIP Number	80004C101						
REDEEMED								
✓ 42.0000 Sale	07/09/12	01/29/14	2,914.77	1,518.71		0.00	1,396.06	
✓ 7.0000 Sale	07/09/12	08/13/14	649.24	253.12		0.00	396.12	
✓ 3.0000 Sale	07/09/12	10/17/14	1,098.28	470.07		0.00	628.21	
✓ 52.0000 Sale	07/18/13	10/17/14	4,393.16	3,115.75		0.00	1,277.41	
Security Subtotal			9,055.45	5,357.65		0.00	3,697.80	
TEREX CORP DEL NEW COM	CUSIP Number	880779103						
REDEEMED								
✓ 89.0000 Sale	11/06/13	12/18/14	2,403.58	3,195.02		0.00	(791.44)	
✓ 133.0000 Sale	10/14/13	12/18/14	3,591.86	4,475.59		0.00	(883.73)	
✓ 15.0000 Sale	10/25/13	12/18/14	405.09	531.80		0.00	(126.71)	
✓ 14.0000 Sale	10/28/13	12/18/14	378.09	493.86		0.00	(115.77)	
✓ 64.0000 Sale	10/29/13	12/18/14	1,728.41	2,254.06		0.00	(525.65)	
Security Subtotal			8,507.03	10,950.33		0.00	(2,443.30)	
UNITEDHEALTH GROUP INC	CUSIP Number	91324P102						
REDEEMED								
✓ 2.0000 Sale	02/19/13	08/13/14	979.06	670.74		0.00	308.32	
✓ 49.0000 Sale	02/19/13	11/05/14	4,681.40	2,738.84		0.00	1,942.56	
✓ 51.0000 Sale	02/20/13	11/05/14	4,872.47	2,849.09		0.00	2,023.38	
✓ 23.0000 Sale	03/28/13	11/05/14	2,197.40	1,302.30		0.00	895.10	
Security Subtotal			12,730.33	7,560.97		0.00	5,169.36	

BANK OF AMERICA (MLTC)

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
UNITED CONTL HLDGS INC REDEEMED ✓	07/19/13	08/13/14	308.20	240.04		0.00	68.16	
Covered Long Term Capital Gains and Losses Subtotal			246,331.80	189,826.88		0.00	56,504.92	

NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)

JPMORGAN CHASE & CO REDEEMED ✓	06/11/08	01/29/14	2,110.86	1,427.75		0.00	683.11	
✓38.0000 Sale	06/11/08	02/04/14	4,301.11	2,930.64		0.00	1,370.47	
✓8.0000 Sale	06/20/08	02/04/14	330.86	226.05		0.00	104.81	
✓6.0000 Sale	06/20/08	08/13/14	1,419.47	941.85		0.00	477.62	
✓25.0000 Sale	06/20/08	12/17/14	1,134.55	715.81		0.00	418.74	
✓19.0000 Sale	09/12/08	12/17/14	4,179.92	2,875.27		0.00	1,304.65	
✓10.0000 Sale	12/01/08	12/17/14	3,761.93	1,858.86		0.00	1,903.07	
✓63.0000 Sale	09/22/10	12/17/14	298.57	199.55		0.00	99.02	
Security Subtotal			17,537.27	11,175.78		0.00	6,361.49	
REGIONS FINL CORP REDEEMED ✓	09/30/09	01/29/14	249.69	149.15		0.00	100.54	
✓24.0000 Sale	10/14/09	01/29/14	665.86	386.24		0.00	279.62	
✓4.0000 Sale	10/14/09	08/13/14	108.34	66.39		0.00	41.95	
✓11.0000 Sale	01/27/10	08/13/14	275.80	172.71		0.00	103.09	
Security Subtotal			1,299.69	774.49		0.00	525.20	
WELLS FARGO & CO NEW DEL REDEEMED ✓	12/31/09	01/29/14	458.94	271.12		0.00	187.82	
✓10.0000 Sale	12/31/09	08/13/14	601.07	325.34		0.00	275.73	
Security Subtotal			1,060.01	596.46		0.00	463.55	
Noncovered Long Term Capital Gains and Losses Subtotal			19,896.97	12,546.73		0.00	7,350.24	

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
NET LONG TERM CAPITAL GAINS AND LOSSES								
			266,228.77	202,373.61		0.00	63,855.16	
SALES PROCEEDS AND NET GAINS AND LOSSES								
			759,002.31				88,392.33 *	
COVERED SHORT TERM GAINS/LOSSES							24,537.17	
COVERED LONG TERM GAINS/LOSSES							56,504.92	
NONCOVERED LONG TERM GAINS/LOSSES							7,350.24	