



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation AUGUSTYN FOUNDATION TRUST		A Employer identification number 95-3673855
Number and street (or P.O. box number if mail is not delivered to street address) 2058 N. MILLS AVENUE, #245	Room/suite	B Telephone number (909) 624-1366
City or town, state or province, country, and ZIP or foreign postal code CLAREMONT, CA 91711		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,552,199.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		43,071.	43,071.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		26,694.			
b Gross sales price for all assets on line 6a 272,111.					
7 Capital gain net income (from Part IV, line 2)			26,694.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,944.	581.		STATEMENT 3
12 Total Add lines 1 through 11		71,710.	70,347.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		7,595.	7,595.		7,595.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1,153.	458.		0.
24 Total operating and administrative expenses Add lines 13 through 23		8,748.	458.		7,595.
25 Contributions, gifts, grants paid		131,250.			131,250.
26 Total expenses and disbursements Add lines 24 and 25		139,998.	458.		138,845.
27 Subtract line 26 from line 12.		-68,288.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			69,889.		
c Adjusted net income (if negative, enter -0-)				N/A	

423501
11-24-14

LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2014)

84

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash - non-interest-bearing		27,539.	27,540.	27,540.	
	2	Savings and temporary cash investments		75,575.	42,916.	42,916.	
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock STMT 6	790,709.	772,237.	864,745.		
	c	Investments - corporate bonds STMT 7	1,570,191.	1,550,318.	1,531,651.		
	11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other STMT 8	85,206.	85,206.	85,347.			
14	Land, buildings, and equipment: basis ▶						
	Less: accumulated depreciation ▶						
15	Other assets (describe ▶)						
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,549,220.	2,478,217.	2,552,199.			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
23	Total liabilities (add lines 17 through 22)	0.	0.				
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	0.	0.			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
	29	Retained earnings, accumulated income, endowment, or other funds	2,549,220.	2,478,217.			
30	Total net assets or fund balances	2,549,220.	2,478,217.				
31	Total liabilities and net assets/fund balances	2,549,220.	2,478,217.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,549,220.
2	Enter amount from Part I, line 27a	2	-68,288.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,480,932.
5	Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	2,715.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,478,217.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	12/01/14	12/31/14
b NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	12/01/12	12/31/14
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,928.		29,606.	2,322.
b 237,124.		215,811.	21,313.
c 3,059.			3,059.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,322.
b			21,313.
c			3,059.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	26,694.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	206,182.	2,714,333.	.075960
2012	102,380.	1,976,045.	.051811
2011	271,074.	2,005,198.	.135186
2010	215,057.	2,144,817.	.100268
2009	115,992.	2,108,067.	.055023

2 Total of line 1, column (d)	2	.418248
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.083650
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,630,015.
5 Multiply line 4 by line 3	5	220,001.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	699.
7 Add lines 5 and 6	7	220,700.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	138,845.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,398.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,398.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,398.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,400.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 2. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>GRETCHEN AUGUSTYN</u> Telephone no. ► <u>626-969-8011</u> Located at ► <u>2058 N. MILLS AVENUE, #245, CLAREMONT, CA</u> ZIP+4 ► <u>91711</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRETCHEN J. AUGUSTYN	TRUSTEE			
2058 N. MILLS AVENUE, #245	1.00	0.	0.	0.
CLAREMONT, CA 91711				
JEFFREY AUGUSTYN	TRUSTEE			
1974 AVENIDA MONTE VISTA	1.00	0.	0.	0.
SAN DIMAS, CA 91773				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,567,035.
b Average of monthly cash balances	1b	103,031.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,670,066.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,670,066.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	40,051.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,630,015.
6 Minimum investment return. Enter 5% of line 5	6	131,501.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	131,501.
2a Tax on investment income for 2014 from Part VI, line 5	2a	1,398.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,398.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	130,103.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	130,103.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	130,103.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	138,845.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	138,845.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	138,845.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				130,103.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	12,654.			
b From 2010	109,170.			
c From 2011	173,224.			
d From 2012	6,143.			
e From 2013	83,230.			
f Total of lines 3a through e	384,421.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	138,845.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				130,103.
e Remaining amount distributed out of corpus	8,742.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	393,163.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	12,654.			
9 Excess distributions carryover to 2015 Subtract lines 7 and 8 from line 6a	380,509.			
10 Analysis of line 9				
a Excess from 2010	109,170.			
b Excess from 2011	173,224.			
c Excess from 2012	6,143.			
d Excess from 2013	83,230.			
e Excess from 2014	8,742.			

Part XIV Private Operating Foundations (see instructions and Part VII A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

GRETCHEN AUGUSTYN, ~~(626) 969-8011, HC23@NTRS.COM~~
2058 N. MILLS AVENUE, #245, CLAREMONT, CA 91711

2058 N. MILLS AVENUE, #245, CLAREMONT, CA 91711

-624-1306
teamaugustyn@ntrs.com

b The form in which applications should be submitted and information and materials they should include.

CHARITABLE ORGANIZATION LETTERHEAD WITH APPROPRIATE SUPPORT DATA

c Any submission deadlines

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 1 VARIOUS VARIOUS, CA 91711			SUPPORT OF PUBLIC CHARITY	131,250.
Total			▶ 3a	131,250.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1.	
4 Dividends and interest from securities			14	43,071.	
5 Net rental income (or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income (or (loss) from personal property					
7 Other investment income			14	581.	
8 Gain or (loss) from sales of assets other than inventory			18	26,694.	
9 Net income (or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a 2013 FEDERAL OVERPAYMENT					1,363.
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		70,347.	1,363.
13 Total. Add line 12, columns (b), (d), and (e)					71,710.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Bret Allen J. Augustyn

Da

OFFICER
Title

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

NATE ROTHBAUER

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

P01061382

Firm's name ► MCGLADREY LLP

Firm's EIN ► 42-0714325

Firm's address ► 600 UNIVERSITY STREET, SUITE 1100
SEATTLE, WA 98101-3119

Phone no (206) 281-4444

Form 990-PF (2014)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	46,130.	3,059.	43,071.	43,071.	
TO PART I, LINE 4	46,130.	3,059.	43,071.	43,071.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	581.	581.	
2013 FEDERAL OVERPAYMENT	1,363.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,944.	581.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,595.	0.		7,595.
TO FORM 990-PF, PG 1, LN 16B	7,595.	0.		7,595.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	458.	458.			0.
ASSOCIATION OF SMALL FOUNDATIONS	695.	0.			0.
TO FORM 990-PF, PG 1, LN 23	1,153.	458.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
NORTHERN TRUST BANK - SEE ATTACHED	772,237.		864,745.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	772,237.		864,745.	

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
NORTHERN TRUST BANK - SEE ATTACHED	1,550,318.		1,531,651.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,550,318.		1,531,651.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
NORTHERN TRUST BANK - SEE ATTACHED	COST	85,206.	85,347.	
TOTAL TO FORM 990-PF, PART II, LINE 13		85,206.	85,347.	

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

GRETCHEN J. AUGUSTYN

JEFFREY AUGUSTYN

Augustyn Foundation Trust95-3673855

<u>Name</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
American Cancer Society	None	Support of Public Charity	\$ 1,000.00
American Institute for Roman Culture	None	Support of Public Charity	\$ 3,000.00
American Red Cross	None	Support of Public Charity	\$ 2,000.00
Archealical Conservancy	None	Support of Public Charity	\$ 1,000.00
Best Friends Animal Society	None	Support of Public Charity	\$ 1,000.00
Betty Brinn Children's Museum	None	Support of Public Charity	\$ 1,000.00
Boy Scouts Crater Lake Council	None	Support of Public Charity	\$ 1,000.00
Boys Town	None	Support of Public Charity	\$ 1,000.00
Braille Institute	None	Support of Public Charity	\$ 2,000.00
Capuchin Franciscan "House of Peace"	None	Support of Public Charity	\$ 5,000.00
Casa Colina Foundation	None	Support of Public Charity	\$ 1,000.00
Catholic Answers	None	Support of Public Charity	\$ 10,000.00
Damien High School	None	Support of Public Charity	\$ 1,000.00
David & Margaret Youth and Family Services	None	Support of Public Charity	\$ 1,000.00
Defenders of Wildlife	None	Support of Public Charity	\$ 2,000.00
Doctors Without Borders	None	Support of Public Charity	\$ 3,000.00
Dominican Sisters of Mary	None	Support of Public Charity	\$ 1,000.00
Dominican Sisters of Mission San Jose	None	Support of Public Charity	\$ 1,000.00
Ettie Lee Youth and Family Services	None	Support of Public Charity	\$ 1,000.00
Flintridge Sacred Heart Academy	None	Support of Public Charity	\$ 500.00
Girl Scouts of Los Angeles	None	Support of Public Charity	\$ 1,000.00
Greater Los Angeles Zoo Association	None	Support of Public Charity	\$ 1,500.00
Greenpeace Fund	None	Support of Public Charity	\$ 2,000.00
Grohmann Museum	None	Support of Public Charity	\$ 1,000.00
Guide Dogs of the Desert	None	Support of Public Charity	\$ 1,000.00
Immaculate Conception School	None	Support of Public Charity	\$ 10,000.00
Inland Hospice Association	None	Support of Public Charity	\$ 500.00
International Life Services	None	Support of Public Charity	\$ 500.00
LeRoy Haynes Center	None	Support of Public Charity	\$ 1,000.00
Maryvale Daughters of Charity	None	Support of Public Charity	\$ 1,000.00
McKeever Environmental Center	None	Support of Public Charity	\$ 5,000.00
Nation Audubon Society, Inc	None	Support of Public Charity	\$ 500.00
National Wildlife Federation	None	Support of Public Charity	\$ 2,500.00
Nature Conservancy	None	Support of Public Charity	\$ 3,000.00
Rancho Santa Ana Botanical Gardens	None	Support of Public Charity	\$ 250.00
Raymond Alf Museum	None	Support of Public Charity	\$ 11,000 00
Rockford Institute	None	Support of Public Charity	\$ 1,000 00
Save the Redwoods League	None	Support of Public Charity	\$ 1,000.00
Schlitz Audubon Nature Center	None	Support of Public Charity	\$ 2,500.00
Smile Train	None	Support of Public Charity	\$ 1,000 00
Special Operations Fund	None	Support of Public Charity	\$ 10,000.00
St. Anne's	None	Support of Public Charity	\$ 1,000 00
St. Joseph's Indian School (cash)	None	Support of Public Charity	\$ 1,000.00
St. Lawrence Seminary	None	Support of Public Charity	\$ 1,000 00
St. Lucy Priory High School	None	Support of Public Charity	\$ 500 00
St. Michael's Abbey Foundation	None	Support of Public Charity	\$ 5,000 00
St. Monica School	None	Support of Public Charity	\$ 5,000 00
St. Scholastica Priory	None	Support of Public Charity	\$ 1,000.00
The Salvation Army	None	Support of Public Charity	\$ 2,000.00

Triumvirate Theatre	None	Support of Public Charity	\$ 5,000.00
University of Laverne	None	Support of Public Charity	\$ 1,000.00
Virginia Interment College	None	Support of Public Charity	\$ 1,000.00
Vision Forward	None	Support of Public Charity	\$ 2,000.00
Webb Schools	None	Support of Public Charity	\$ 5,000.00
World Wildlife Fund	None	Support of Public Charity	\$ 2,000.00
Wounded Warrior Project	None	Support of Public Charity	\$ 2,000.00
			<u>\$ 131,250.00</u>



Account Statement

December 1, 2014 - December 31, 2014

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary

Equity Securities	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Large Cap	\$ 385,579.90	(\$ 2,863.45)	\$ 280,460.11	\$ 104,414.31	\$ 6,990.27	1.8%	15.3%
Mid Cap	23,215.32	(\$ 422.93)	17,588.99	5,607.71	369.30	1.6%	0.9%
Small Cap	28,420.55	488.88	25,502.71	2,917.84	315.78	1.1%	1.1%
International Developed	283,022.37	(\$ 23,289.85)	295,036.76	(12,014.39)	11,417.09	4.0%	11.2%
International Emerging	120,035.15	(\$ 7,815.66)	127,984.43	(7,949.28)	2,863.49	2.4%	4.8%
Other Equity	24,472.00	(\$ 360.00)	25,664.00	(1,192.00)	872.80	3.6%	1.0%
Total Equity Securities	\$ 864,745.29	(\$ 34,263.01)	\$ 772,237.00	\$ 91,784.19	\$ 22,828.73	2.6%	34.3%
Fixed Income Securities							
Corporate/Government	1,383,369.19	(\$ 6,215.01)	1,396,355.64	(13,495.63)	20,410.03	1.5%	54.8%
Other Bonds	148,281.66	(\$ 2,388.34)	153,962.20	(5,793.20)	1,262.70	0.9%	5.9%
Total Fixed Income Securities	\$ 1,531,650.85	(\$ 8,603.35)	\$ 1,550,317.84	(\$ 19,288.83)	\$ 21,672.73	1.4%	60.7%
Real Estate							
Real Estate Funds	38,132.07	(\$ 1,063.49)	34,000.00	3,986.46	1,464.99	3.9%	1.5%
Total Real Estate	\$ 38,132.07	(\$ 1,063.49)	\$ 34,000.00	\$ 3,986.46	\$ 1,464.99	3.9%	1.5%
Commodities							
Commodities	47,214.88	(\$ 1,700.12)	51,205.85	(5,275.85)	1,285.50	2.8%	1.9%
Total Commodities	\$ 47,214.88	(\$ 1,700.12)	\$ 51,205.85	(\$ 5,275.85)	\$ 1,285.50	2.8%	1.9%
Cash and Short Term Investments							

Continued on next page



Account Statement

December 1, 2014 - December 31, 2014

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary (continued)

	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Cash	42,915.84	20,357.92	42,914.52	0.00	4.29	0.0%	1.7%
Total Cash and Short Term Investments	\$ 42,915.84	\$ 20,357.92	\$ 42,914.52	\$ 0.00	\$ 4.29	0.0%	1.7%
Total Portfolio	\$ 2,524,658.93	(\$ 25,272.05)	\$ 2,450,675.21	\$ 71,205.97	\$ 47,256.24	1.9%	100.0%



Northern Trust

2014 Tax Information Statement

Page 7 of 31
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code, if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
100 0	OMNICOM GROUP								
681919106	05/13/2014	09/16/2013	6,849.86	6,569.00		0.00	280.86	0.00	0.00
75 0	PETSMART INC								
716768106	08/19/2014	05/13/2014	5,261.13	4,942.50		0.00	318.63	0.00	0.00
975 0	MFC ALPS ETF TR ALERIAN MLP ETF								
00162Q866	09/26/2014	Various	18,485.59	16,860.58		0.00	1,625.01	0.00	0.00
50 0	CISCO SYS INC								
17275R102	11/18/2014	08/19/2014	1,331.47	1,233.50		0.00	97.97	0.00	0.00
Total Short Term Sales			31,928.05	29,605.58		0.00	2,322.47	0.00	0.00
Long Term Sales									
31 47	GNMA POOL #004078 5% 02-20-2023 BEO								
36202EQ77	01/21/2014	09/10/2010	31.47	31.47		0.00	0.00	0.00	0.00
298 52	GNMA 4 25% DUE 07-20-2037 4 25 07-20-2037								
38375PKA8	01/21/2014	09/10/2010	298.52	298.52		0.00	0.00	0.00	0.00
32 65	GNMA POOL #004078 5% 02-20-2023 BEO								
36202EQ77	02/20/2014	09/10/2010	32.65	32.65		0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Page 8 of 31
 Ref: VBR

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired								
314.98	GNMA 4 25% DUE 07-20-2037	4.25 07-20-2037		314.98	314.98		0.00	0.00	0.00	0.00
38375PKA8	02/20/2014	09/10/2010								
32 81	GNMA POOL #004078 5% 02-20-2023 BEO			32 81	32.81		0.00	0.00	0.00	0.00
36202EQ77	03/20/2014	09/10/2010								
256 29	GNMA 4 25% DUE 07-20-2037	4.25 07-20-2037		256 29	256.29		0.00	0.00	0.00	0.00
38375PKA8	03/20/2014	09/10/2010								
32 96	GNMA POOL #004078 5% 02-20-2023 BEO			32 96	32.96		0.00	0.00	0.00	0.00
36202EQ77	04/21/2014	09/10/2010								
293 2	GNMA 4 25% DUE 07-20-2037	4.25 07-20-2037		293 20	293.20		0.00	0.00	0.00	0.00
38375PKA8	04/21/2014	09/10/2010								
45 0	BHP LTD SPONSORED ADR			3,202 12	3,545.10		0.00	342.98	0.00	0.00
088606108	05/13/2014	02/28/2012								
50 0	CHEVRONTEXACO CORP COM			6,282 36	5,587.30		0.00	695.06	0.00	0.00
166764100	05/13/2014	Various								
25 0	EXXON MOBIL CORP			2,549 19	2,198.25		0.00	350.94	0.00	0.00
30231G102	05/13/2014	08/07/2012								
50 0	PROCTER & GAMBLE CO			4,097 41	3,315.50		0.00	781.91	0.00	0.00
742718109	05/13/2014	08/07/2012								

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Page 9 of 31
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
75 0	ST JUDE MEDICAL INC									
790849103		05/13/2014	03/12/2013	4,813.39	3,198.75		0.00	1,614.64	0.00	0.00
45 0	SIMON PPTY GROUP INC NEW									
828806109		05/13/2014	02/28/2012	7,938.72	6,142.05		0.00	1,796.67	0.00	0.00
150 0	UNITEDHEALTH GROUP INC									
91324P102		05/13/2014	Various	11,674.23	6,634.14		0.00	5,040.09	0.00	0.00
100 0	#REORG/COVIDIEN PLC CASH & STK MERGER MEDTRONIC HLDG									
G2554F113	291SAC1 1-27-2015	05/13/2014	03/19/2013	7,246.84	5,901.62		0.00	1,345.22	0.00	0.00
33 11	GNMA POOL #004078 5% 02-20-2023 BEO									
36202EQ77		05/20/2014	09/10/2010	33 11	33 11		0.00	0.00	0.00	0.00
307 91	GNMA 4 25% DUE 07-20-2037 4 25 07-20-2037									
38375PKA8		05/20/2014	09/10/2010	307 91	307 91		0.00	0.00	0.00	0.00
0 75	NOW INC COM									
67011P100		06/02/2014	11/23/2012	25 16	21.24		0.00	3.92	0.00	0.00
33 88	GNMA POOL #004078 5% 02-20-2023 BEO									
36202EQ77		06/20/2014	09/10/2010	33.88	33.88		0.00	0.00	0.00	0.00
387 13	GNMA 4.25% DUE 07-20-2037 4 25 07-20-2037									
38375PKA8		06/20/2014	09/10/2010	387 13	387.13		0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Page 10 of 31
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Adjustments		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any						
30000 0	BELLSOUTH CORP 5.2% DUE 09-15-2014	20140915 5 2 DUE 09-15-2014	BEO								
079860AG7	07/15/2014	01/13/2010	30,247 20	32,688 90			0.00	-2,441.70	0.00		0.00
33 42	GNMA POOL #004078 5% 02-20-2023	BEO									
36202EQ77	07/21/2014	09/10/2010	33 42	33 42			0.00	0.00	0.00		0.00
269 07	GNMA 4.25% DUE 07-20-2037	4 25 07-20-2037									
38375PKA8	07/21/2014	09/10/2010	269 07	269 07			0.00	0.00	0.00		0.00
13 0	NOW INC COM										
67011P100	07/24/2014	11/23/2012	431.98	368 11			0.00	63 87	0.00		0.00
50 0	CITRIX SYS INC										
177376100	08/19/2014	03/19/2013	3,475.92	3,559.00			0.00	-83 08	0.00		0.00
175 0	US BANCORP										
902973304	08/19/2014	11/23/2012	7,309 58	5,647.25			0.00	1,662.33	0.00		0.00
50 0	UNITED TECHNOLOGIES CORP										
913017109	08/19/2014	03/19/2013	5,419 13	4,654.50			0.00	764.63	0.00		0.00
45 0	PARAGON OFFSHORE COMMON STOCK										
G6S01W108	08/19/2014	11/23/2012	418 04	569.08			0.00	-151.04	0.00		0.00
33 57	GNMA POOL #004078 5% 02-20-2023	BEO									
36202EQ77	08/20/2014	09/10/2010	33.57	33.57			0.00	0.00	0.00		0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Page 11 of 31
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Cusip Number											
255 67	GNMA 4.25% DUE 07-20-2037 4 25 07-20-2037				255.67	255.67		0.00	0.00	0.00	0.00
38375PKA8	08/20/2014 09/10/2010										
33.72	GNMA POOL #004078 5% 02-20-2023 BEO										
36202EQ77	09/22/2014 09/10/2010				33.72	33.72		0.00	0.00	0.00	0.00
234 45	GNMA 4.25% DUE 07-20-2037 4 25 07-20-2037										
38375PKA8	09/22/2014 09/10/2010				234.45	234.45		0.00	0.00	0.00	0.00
7338 55	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD										
665162467	09/26/2014 Various				75,000.00	74,999.98		0.00	0.02	0.00	0.00
33 88	GNMA POOL #004078 5% 02-20-2023 BEO										
36202EQ77	10/20/2014 09/10/2010				33.88	33.88		0.00	0.00	0.00	0.00
248 88	GNMA 4.25% DUE 07-20-2037 4 25 07-20-2037										
38375PKA8	10/20/2014 09/10/2010				248.88	248.88		0.00	0.00	0.00	0.00
45.0	APPLE COMPUTER INC										
037833100	11/18/2014 09/10/2009				5,189.28	1,108.46		0.00	4,080.82	0.00	0.00
100.0	CISCO SYS INC										
17275R102	11/18/2014 03/19/2013				2,662.94	2,151.00		0.00	511.94	0.00	0.00
50.0	EMERSON ELECTRIC CO										
291011104	11/18/2014 03/12/2013				3,213.42	2,877.00		0.00	336.42	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Page 12 of 31

Ref: VBR

23-10509

XX-XXX3855

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Account Number:
 Recipient's Tax ID Number:
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Date		Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Cusp Number	Date Sold or Disposed	Acquired									
400.0	MFC FLEXSHARES TR	IBOX 3 YR TARGET DURATION TIPS INDEX FD									
33939L506	11/18/2014	02/01/2013			9,875.78	10,176.95			-301.17	0.00	0.00
54.0	INTL BUSINESS MACHINES CORP										
459200101	11/18/2014	06/13/2005			8,762.92	4,091.04			4,671.88	0.00	0.00
1435.69	MFB NORTHN INTL EQTY INDEX FD										
665130209	11/18/2014	Various			17,300.00	15,963.24			1,336.76	0.00	0.00
522.51	MFB NORTHN FDS SMALL CAP INDEX FD										
665162723	11/18/2014	05/03/2013			6,500.00	5,460.20			1,039.80	0.00	0.00
135.0	NOBLE CORP PLC COMMON STOCK										
G65431101	11/18/2014	11/23/2012			2,803.88	4,051.97			-1,248.09	0.00	0.00
371.69	MFO DFA INVT DIMENSIONS GROUP INC EMERGING MKTS CORE EQUITY										
233203421	11/19/2014	10/30/2013			7,300.00	7,515.57			-215.57	0.00	0.00
34.03	GNMA POOL #004078 5% 02-20-2023 BEO										
36202EQ77	11/20/2014	09/10/2010			34.03	34.03			0.00	0.00	0.00
240.84	GNMA 4.25% DUE 07-20-2037 4 25 07-20-2037										
38375PKA8	11/20/2014	09/10/2010			240.84	240.84			0.00	0.00	0.00
34.19	GNMA POOL #004078 5% 02-20-2023 BEO										
36202EQ77	12/22/2014	09/10/2010			34.19	34.19			0.00	0.00	0.00

This is important tax information and is being furnished to you.

2014 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
23-10509
Recipient's Tax ID Number:
XX-XXX3855

☐ Corrected

☐ 2nd TIN notice

Page 13 of 31
Ref: VBR
Recipient's Name and Address:
AUGUSTYN FOUNDATION TRUST
C/O GRETCHEN AUGUSTYN, TRUSTEE
2058 N. MILLS AVE. # 517
CLAREMONT, CA 91711-2812

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code, if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
207 97	GNMA 4 25% DUE 07-20-2037	4 25 07-20-2037	207.97	207.97		0.00	0.00	0.00	0.00
38375PKA8	12/22/2014	09/10/2010	237,454.09	216,140.80		0.00	21,313.29	0.00	0.00
Total Long Term Sales									

This is important tax information and is being furnished to you.