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**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

**2014**

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

Name of foundation: **ARTHUR R DUBS FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **PO BOX 4765**

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: **MEDFORD, OR 97501**

A Employer identification number: **93-1192741**

B Telephone number: **541-773-9407**

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

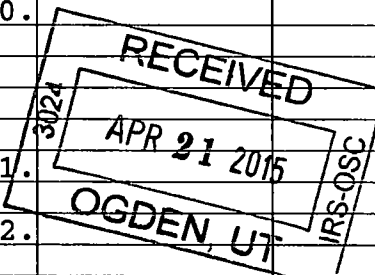
G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 12,529,196.** (Part I, column (d) must be on cash basis)

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	6,399,994.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	208,245.	208,245.		STATEMENT 1
	4 Dividends and interest from securities	108,013.	108,013.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-98,724.			
	b Gross sales price for all assets on line 6a	4,219,203.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	183,237.	183,237.		STATEMENT 3	
12 Total Add lines 1 through 11	6,800,765.	499,495.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 4	7,091.	7,091.		0.
	17 Interest				
	18 Taxes STMT 5	5,102.	5,102.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	895.	895.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	13,088.	13,088.		0.
	25 Contributions, gifts, grants paid	424,059.			424,059.
26 Total expenses and disbursements Add lines 24 and 25	437,147.	13,088.		424,059.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	6,363,618.				
b Net investment income (if negative, enter -0-)		486,407.			
c Adjusted net income (if negative, enter -0-)			N/A		



APR 28 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	1,952,010.	7,408,997.	7,408,997.
	2	Savings and temporary cash investments	4,197,005.		
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 7	0.	2,387,818.	2,387,914.
	b	Investments - corporate stock STMT 8	25,157.	2,252,401.	2,208,880.
	c	Investments - corporate bonds STMT 9	95,765.	233,303.	214,354.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other STMT 10	0.	348,936.	306,951.	
14	Land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ▶				
15	Other assets (describe ▶ RECEIVABLE-OTHER )	0.	2,100.	2,100.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,269,937.	12,633,555.	12,529,196.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	6,269,937.	12,633,555.		
30	<b>Total net assets or fund balances</b>	6,269,937.	12,633,555.		
31	<b>Total liabilities and net assets/fund balances</b>	6,269,937.	12,633,555.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,269,937.
2	Enter amount from Part I, line 27a	2	6,363,618.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	12,633,555.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	12,633,555.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b <b>SEE ATTACHED STATEMENTS</b>				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	4,219,203.	4,317,927.	-98,724.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			-98,724.	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-98,724.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	371,884.	5,030,436.	.073927
2012	244,284.	4,841,205.	.050459
2011	235,426.	4,940,310.	.047654
2010	217,393.	4,771,941.	.045557
2009	185,781.	4,428,955.	.041947
2 Total of line 1, column (d)			2 .259544
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .051909
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 7,154,484.
5 Multiply line 4 by line 3			5 371,382.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,864.
7 Add lines 5 and 6			7 376,246.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 424,059.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,864.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,864.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,864.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	4,784.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,784.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		80.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OR		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

STMT 11

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X		
14	The books are in care of ▶ <u>DAVID P. HYATT CPA, PC</u> Telephone no. ▶ <u>541-773-9407</u> Located at ▶ <u>3551 E BARNETT RD #105, MEDFORD, OR</u> ZIP+4 ▶ <u>97504</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶		Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ▶ <input type="checkbox"/>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
<b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <u>N/A</u>		
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**  Yes  No **N/A**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID P. HYATT 3551 E. BARNETT RD, #105 MEDFORD, OR 97504	PRESIDENT 4.00	0.	0.	0.
ARN S. WIHTOL 401 CRATER LAKE AVE STE 2 MEDFORD, OR 97504	TREASURER 1.00	0.	0.	0.
MICHELE A. PILLON 3801 N. FOOTHILL ROAD MEDFORD, OR 97504	SECRETARY 1.00	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses
N/A	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	Amount
N/A	
All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.



**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,556,494.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	3,706,942.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	7,263,436.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	7,263,436.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	108,952.
<b>5</b>	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	7,154,484.
<b>6</b>	<b>Minimum investment return</b> Enter 5% of line 5	<b>6</b>	357,724.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	357,724.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	4,864.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,864.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	352,860.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	352,860.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	352,860.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	424,059.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	424,059.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	4,864.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	419,195.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				352,860.
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only			115,953.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009				
<b>b</b> From 2010				
<b>c</b> From 2011				
<b>d</b> From 2012				
<b>e</b> From 2013				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ <u>424,059.</u>				
<b>a</b> Applied to 2013, but not more than line 2a			115,953.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount				308,106.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				44,754.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010				
<b>b</b> Excess from 2011				
<b>c</b> Excess from 2012				
<b>d</b> Excess from 2013				
<b>e</b> Excess from 2014				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c** "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 12**

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ACCESS INC 3630 AVIATION WAY MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
APOSTOLIC CHURCH MEDFORD 3112 SECKEL STREET MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	150,000.
ASANTE FOUNDATION 2600 SISKIYOU BLVD, STE 100 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
CASA OF JACKSON COUNTY 613 MARKET STREET MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
CONSERVATION FORCE INC 3240 S. I-10 SERVICE RD WEST, STE 200 METAIRIE, LA 70001-6911	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	3,000.
<b>Total SEE CONTINUATION SHEET(S)</b>				<b>▶ 3a 424,059.</b>
<b>b Approved for future payment</b>				
<b>NONE</b>				
<b>Total</b>				<b>▶ 3b 0.</b>



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:		
<b>(1)</b> Cash		<b>X</b>
<b>(2)</b> Other assets		<b>X</b>
<b>b</b> Other transactions:		
<b>(1)</b> Sales of assets to a noncharitable exempt organization		<b>X</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization		<b>X</b>
<b>(3)</b> Rental of facilities, equipment, or other assets		<b>X</b>
<b>(4)</b> Reimbursement arrangements		<b>X</b>
<b>(5)</b> Loans or loan guarantees		<b>X</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations		<b>X</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees		<b>X</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.		<b>X</b>

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

*Michelle Tella* 13-10-15 TRUSTEE  
 Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)?  Yes  No

**Paid Preparer Use Only**

Print/Type preparer's name <b>DAVID P. HYATT</b>	Preparer's signature <i>D.P. Hyatt</i>	Date <b>3/9/15</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00883351</b>
Firm's name ▶ <b>DAVID P HYATT CPA, PC</b>			Firm's EIN ▶ <b>26-1932063</b>	
Firm's address ▶ <b>3551 E BARNETT ROAD, SUITE #105 MEDFORD, OR 97504</b>			Phone no. <b>(541) 773-9407</b>	

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

**ARTHUR R DUBS FOUNDATION**

Employer identification number

**93-1192741**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)**

Name of organization <b>ARTHUR R DUBS FOUNDATION</b>	Employer identification number <b>93-1192741</b>
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**Part I. Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>1</b>	<b>ARTHUR R. DUBS ESTATE</b>  <b>3551 EAST BARNETT RD, STE #105</b>  <b>MEDFORD, OR 97504</b>	\$ <u>6,399,859.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization

Employer identification number

**ARTHUR R DUBS FOUNDATION**

**93-1192741**

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization <b>ARTHUR R DUBS FOUNDATION</b>	Employer identification number <b>93-1192741</b>
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BEAL BANK CD .450 1-09-14		12/31/12	01/09/14
b AMEX CENTURION BK 3 1/2 3-04-14		02/27/09	03/04/14
c CAPMARK BANK CD 3 1/2 3-04-14		02/27/09	03/04/14
d CIT BANK CD 3.450 3-04-14		02/27/09	03/04/14
e REPUBLIC BK CD 3.300 3-03-14		02/27/09	03/03/14
f SALLIE MAE BK CD 3 1/2 3-18-14		03/12/09	03/18/14
g SALLIE MAE BK CD 3.400 4-02-14		03/26/09	04/02/14
h TOWER BANK CD 1 1/2 2-21-23		01/31/13	05/21/14
i 60,787SH SEACO LTD F		VARIOUS	06/27/14
j ALLY BANK CD 1 3/4 10-29-14		10/26/10	06/27/14
k ALLY BANK CD 1.950 10-26-16		10/18/11	06/27/14
l BMO HARRIS BAN CD 2.000 8-21-24		02/14/12	06/27/14
m BMO HARRIS BK 2.000 9-26-24		09/17/12	06/27/14
n BMW BANK NA CD .850 7-13-15		01/03/13	06/27/14
o CIT BANK CLT CD 1.550 4-27-15		10/18/11	06/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 240,000.		240,000.	0.
b 95,000.		95,000.	0.
c 95,000.		95,000.	0.
d 95,000.		95,000.	0.
e 95,000.		95,000.	0.
f 95,000.		95,000.	0.
g 50,000.		50,000.	0.
h 100,000.		100,000.	0.
i 11.			11.
j 90,165.		90,000.	165.
k 156,572.		155,000.	1,572.
l 131,877.		141,000.	-9,123.
m 92,535.		100,000.	-7,465.
n 239,115.		240,000.	-885.
o 150,375.		150,000.	375.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			11.
j			165.
k			1,572.
l			-9,123.
m			-7,465.
n			-885.
o			375.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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05-01-14

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CITIBANK, NA CD 2.550 3-24-15		03/18/10	06/27/14
b DISCOVER BK 1 1/2 9-26-17		09/17/12	06/27/14
c FIRST AMERM BK CD 1.850 6-07-23		05/20/13	06/27/14
d GATEWAY BK FLA CD 1 1/2 2-24-23		02/20/13	06/27/14
e GE CAP BK CD 1.200 7-06-15		06/29/12	06/27/14
f GE CAPITAL FIN CD 2.950 3-27-17		03/18/10	06/27/14
g GOLDMAN SACHS BK 2.350 6-02-15		05/26/10	06/27/14
h GOLDMAN SACHS CD 2.000 10-26-16		10/18/11	06/27/14
i GOLDMAN SACHS CD 2.400 1-25-19		01/18/12	06/27/14
j 40,000 HCA 7.190 11-15-15		04/18/07	06/27/14
k HSBC BANK USA CD 2.000 1-23-24		09/02/09	06/27/14
l HSBC BK USA CD 2.000 7-22-25		01/04/13	06/27/14
m NATL REP BANK CVD 1 1/4 3-30-17		03/21/12	06/27/14
n SHORE BK CD 1.000 9-16-16		02/28/12	06/27/14
o 646SH SPECTRUM BRANDS HLDGS INC COM		09/02/09	06/27/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 95,895.		95,000.	895.
b 99,015.		100,000.	-985.
c 108,953.		120,000.	-11,047.
d 92,535.		100,000.	-7,465.
e 144,987.		145,000.	-13.
f 97,928.		95,000.	2,928.
g 50,501.		50,000.	501.
h 96,071.		95,000.	1,071.
i 75,273.		75,000.	273.
j 42,687.		35,905.	6,782.
k 97,212.		100,000.	-2,788.
l 130,525.		140,000.	-9,475.
m 236,412.		240,000.	-3,588.
n 98,505.		100,000.	-1,495.
o 52,928.		14,212.	38,716.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			895.
b			-985.
c			-11,047.
d			-7,465.
e			-13.
f			2,928.
g			501.
h			1,071.
i			273.
j			6,782.
k			-2,788.
l			-9,475.
m			-3,588.
n			-1,495.
o			38,716.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6); If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ST BK OF INDIA CD 2 1/4 1-25-23		01/10/13	06/27/14
b SUTTON BK CD 3 1/2 2-26-15		02/27/09	06/27/14
c TOWER BANK CD 1 1/4 1-25-23		01/23/13	06/27/14
d TOYOTA FICL SVGS 2.800 3-28-24		03/21/12	06/27/14
e UNITED CMNTY BK 2.200 2-28-28		02/20/13	06/27/14
f 174SH VISTEON CORP		10/05/10	06/27/14
g WELLS FARGO BK CD 2 1/4 11-22-21		11/08/11	06/27/14
h SAFRA NAT BANK 1/2 1-15-15		01/03/13	06/27/14
i SEA CONTAINER WF		VARIOUS	06/27/14
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 91,075.		100,000.	-8,925.
b 41,605.		41,005.	600.
c 114,425.		125,000.	-10,575.
d 226,560.		240,000.	-13,440.
e 68,655.		75,000.	-6,345.
f 16,115.		10,945.	5,170.
g 236,412.		240,000.	-3,588.
h 179,279.		180,000.	-721.
i		59,860.	-59,860.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-8,925.
b			600.
c			-10,575.
d			-13,440.
e			-6,345.
f			5,170.
g			-3,588.
h			-721.
i			-59,860.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-98,724.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DOGS FOR THE DEAF 10175 WHEELER RD CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
FRIENDS OF THE FAIR 3551 E. BARNETT RD, STE 105 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
HOPE EQUESTRIAN CENTER PO BOX 396 EAGLE POINT, OR 97524	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	15,000.
JACKSON COUNTY 4-H & FFA JUNIOR LIVESTOCK AUCTION COMMITTEE, INC. 12100 AGATE RD EAGLE POINT, OR 97524	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	8,809.
JACKSON COUNTY FAIR 1 PENNINGER STREET CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	16,250.
MEDFORD SCHOOLS EDUCATION FOUNDATION PO BOX 1128 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
OVIS PO BOX 310727 BIRMINGHAM, AL 35231	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
PHOENIX SCHOOL FOUNDATION PO BOX 698 PHOENIX, OR 97535	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	1,000.
ROGUE VALLEY HABITAT FOR HUMANITY PO BOX 688 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	25,000.
SHERIFF LOPEY FOUNDATION 206 HIDDEN MEADOW DRIVE WEED, CA 96094	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
<b>Total from continuation sheets</b>				<b>211,059.</b>

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE SALVATION ARMY 304 BEATTY STREET MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
WILDLIFE IMAGES REHABILITATION & EDUCATION CENTER PO BOX 36 MERLIN, OR 97532	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
YOUTH FOR CHRIST 529 EDWARDS STREET MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
Total from continuation sheets				

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB MM 7590-0011	99.	99.	
FROM K-1: ARTHUR R. DUBS ESTATE & ADMIN TRUST	129,764.	129,764.	
GENWORTH 1889	11,979.	11,979.	
GENWORTH 2868	12,202.	12,202.	
MORGAN STANLEY 116930	53,587.	53,587.	
PEOPLE'S BANK 65700	614.	614.	
TOTAL TO PART I, LINE 3	208,245.	208,245.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB 7590-0011	64,749.	0.	64,749.	64,749.	
FROM K-1: ARTHUR R. DUBS ESTATE & ADMIN TRUST	530.	0.	530.	530.	
FROM K-1: ARTHUR R. DUBS ESTATE & ADMIN TRUST -	42,346.	0.	42,346.	42,346.	
MORGAN STANLEY 116930	388.	0.	388.	388.	
TO PART I, LINE 4	108,013.	0.	108,013.	108,013.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1: ARTHUR R. DUBS ESTATE & ADMIN TRUST	277.	277.	
FROM K-1: ARTHUR R. DUBS ESTATE & ADMIN TRUST - OTHER RENTAL INCOME	182,960.	182,960.	
TOTAL TO FORM 990-PF, PART I, LINE 11	183,237.	183,237.	



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**FORM 990-PF** **OTHER PROFESSIONAL FEES** **STATEMENT 4**


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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CHARLES SCHWAB ADVISOR FEE	7,091.	7,091.		0.
TO FORM 990-PF, PG 1, LN 16C	7,091.	7,091.		0.

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**FORM 990-PF** **TAXES** **STATEMENT 5**


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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OREGON DEPT OF JUSTICE	827.	827.		0.
OREGON SECRETARY OF STATE	50.	50.		0.
DEPT OF THE TREASURY-2014 EST TAX	3,500.	3,500.		0.
DEPT OF THE TREASURY-2013 EXTENSION	725.	725.		0.
TO FORM 990-PF, PG 1, LN 18	5,102.	5,102.		0.

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**FORM 990-PF** **OTHER EXPENSES** **STATEMENT 6**


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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	31.	31.		0.
POSTAGE AND DELIVERY	864.	864.		0.
TO FORM 990-PF, PG 1, LN 23	895.	895.		0.

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FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 13		X	2,387,818.	2,387,914.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			2,387,818.	2,387,914.
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,387,818.	2,387,914.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 13	2,252,401.	2,208,880.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,252,401.	2,208,880.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 13	233,303.	214,354.
TOTAL TO FORM 990-PF, PART II, LINE 10C	233,303.	214,354.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 13	COST	348,936.	306,951.
TOTAL TO FORM 990-PF, PART II, LINE 13		348,936.	306,951.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 11

NAME OF CONTRIBUTOR

ADDRESS

ARTHUR R DUBS ADMINISTRATIVE TRUST  
& ESTATE

3551 EAST BARNETT ROAD; STE#105  
MEDFORD, OR 97504

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CARRIE HANSON  
PO BOX 4765  
MEDFORD, OR 97501

TELEPHONE NUMBER

541-773-9407

EMAIL ADDRESS

ARDUBSFOUNDATION @GMAIL.COM

FORM AND CONTENT OF APPLICATIONS

LETTER FORMAT WITH DETAILED REQUEST, INFORMATION, PROOF OF EXEMPT STATUS,  
SUBMITTED IN TRIPLICATE. COPY OF FEDERAL FORM 990 OR 990 PF.

ANY SUBMISSION DEADLINES

AUGUST 31

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

FORM 990-PF

STATEMENT 12

PART II - BALANCE SHEETS

<u>SOURCE</u>	<u>End of Year</u>	
	<u>(b) Book Value</u>	<u>(c) Fair Market Value</u>
Cash - non-interest-bearing		
People's Bank - Business Plus Account	6,186,944	6,186,944
Charles Schwab - Money Market	1,222,050	1,222,050
<b>TOTAL TO FORM 990-PF, PART II, LINE 1, COLUMN B &amp; C</b>	<b>7,408,994</b>	<b>7,408,994</b>

## FORM 990-PF

## STATEMENT 13

## PART II - BALANCE SHEETS, Cont'd

SOURCE	End of Year	
	(b) Book Value	(c) Fair Market Value
Investments - U.S. and state government obligations		
Atlantic City NJ UT 3%16 Due 05/01/16 CUSIP:048352EX2	229,096	228,507
AZ BD Regents U 6.643%44 Due 08/01/44 CUSIP. 040484DY0	343,141	350,247
Des Plaines IL 1.9%18 Due 12/01/18 CUSIP.250217J49	261,608	258,827
Fannin County Tex 7 1%36 Due 10/01/36 CUSIP:307149AN4	154,647	159,042
Mntgry AL 5.7%40 Due 02/01/40 CUSIP:613035F25	194,686	195,390
NJ ST trans TR 6 875%39 Due 12/15/39 CUSIP.646136WV9	110,648	112,449
NY ST Mun BD BK 6.406%33 Due 5/15/33 CUSIP.64988VDK6	138,571	140,801
Patriots Engy S 7.509%40 Due 06/01/20 CUSIP:70338JAC8	227,241	221,942
Placentia-ETC CA 6.65%34 Due 08/01/34 CUSIP.725894FY0	159,625	154,528
Porterville CA U 7.25%27 Due 07/01/27 CUSIP:73639PAU4	225,250	227,372
Sacramento CN CA 5.73%23 Due 08/15/23 CUSIP 786091AD0	110,968	108,528
San Luis AZ FDC 6.95%27 Due 05/01/27 CUSIP:79854SBB4	232,338	230,281
<b>TOTAL TO FORM 990-PF, PART II, LINE 10a, COLUMN B &amp; C</b>	<b>2,387,818</b>	<b>2,387,914</b>
Investments - Corporate Stock		
A T & T NEW - 1,042 shares	36,913	35,001
Abbvie Inc - 654 shares	37,047	42,798
Air Prod & Chemicals Inc - 14 shares	1,866	2,019
Altria Group Inc - 47 shares	1,930	2,316
Apple Inc - 68 shares	6,502	7,506
Ares capital Corp - 2,689 shares	43,829	41,962
Auto Data Processing - 70 shares	4,975	5,836
Baxter International Inc - 56 shares	4,187	4,104
Blackrock Inc - 6 shares	1,852	2,145
Booz Allen Hamiltobn - 636 shares	14,508	16,873
BP PLC ADR F - 39 shares	1,918	1,487
Ç N A Financial Corp - 933 shares	35,932	36,116
Cardinal Health Inc - 45 shares	3,252	3,633
CDK Global Inc - 23 shares	709	937
Century Link Inc - 48 shares	1,888	1,900
Chevron Corporation - 29 shares	3,803	3,253
Cisco Systems Inc - 1,435 shares	35,818	39,915
Clear channel Outdr Hldg - 2,033 shares	14,313	21,529
Coca cola Company - 48 shares	1,907	2,027
Conocophillips - 23 shares	1,915	1,588
Corrections CP Amer NEW - 1,024 shares	36,029	37,212
Covanta Holding Corp - 1,685 shares	36,179	37,087
Domtar Corporation NEW F - 953 shares	35,976	38,330
Donnelley R R & Sons Co - 444 shares	7,743	7,461
Dover Corporation - 88 shares	7,572	6,311
Edison International - 69 shares	3,818	4,518
Emerson Electric Co - 632 shares	40,814	39,013
Exxon Mobil Corporation - 28 shares	2,823	2,589
Ford Motor company NEW - 2,152 shares	35,913	33,356
Fulton Financial Corp - 1,243 shares	14,489	15,363
Gallagher Arthur J & Co - 789 shares	35,899	37,146

## FORM 990-PF

## STATEMENT 13

## PART II - BALANCE SHEETS, Cont'd

SOURCE	End of Year	
	(b) Book Value	(c) Fair Market Value
Investments - Corporate Stock, cont'd		
Gamestop Corp CL A NEW - 824 shares	36,127	27,851
General Electric Company - 1,376 shares	35,888	34,772
General Motors Co - 415 shares	14,276	14,488
Glaxosmithkline PLC ADRF - 119 shares	5,769	5,086
Hollyfrontier Corp - 812 shares	38,463	30,434
Illinois Tool Works Inc - 23 shares	1,913	2,178
International Paper Co - 791 shares	38,817	42,382
Johnson & Johnson - 38 shares	3,845	3,974
JPMorgan Chase & Co - 593 shares	35,955	37,110
Kinder Morgan Inc - 206 shares	7,487	8,716
Las Vegas Sands Corp - 597 shares	37,359	34,722
Lily Eli & Company - 31 shares	1,908	2,139
Linco LLC - 1,456 shares	42,958	15,099
Lyondellbasell Inds F - 26 shares	2,767	2,064
Mattel Incorporated - 447 shares	15,703	13,832
Mc Donalds Corp - 20 shares	1,911	1,874
Medtronic Inc - 61 shares	3,769	4,404
Merck & Co Inc NEW - 34 shares	1,954	1,931
Microchip Technology Inc - 793 shares	37,839	35,772
P P G Industries Inc - 15 shares	3,002	3,467
PBF Energy Inc - 1,443 shares	36,988	38,442
Pepsico Incorporated - 43 shares	3,823	4,066
Pfizer incorporated - 1,217 shares	36,305	37,910
Pinnacle Foods Inc - 898 shares	29,099	31,699
PPL Corporation - 116 shares	3,838	4,214
Proctor & Gamble - 49 shares	3,822	4,463
Regal entertainment Grp - 1,785 shares	36,209	38,128
Rowe T Price Group Inc - 24 shares	1,884	2,061
Sherwin Williams Co - 9 shares	1,873	2,367
Six Flags Entertainment - 1,042 shares	36,135	44,962
Target Corporation - 31 shares	1,874	2,353
Time Warner Inc NEW - 91 shares	7,645	7,773
Tupperware Brands Corp - 192 shares	14,480	12,096
Unitedhealth Group Inc - 23 shares	1,869	2,325
Verizon Communications - 711 shares	35,638	33,261
Waste Management Inc Del - 764 shares	36,028	39,208
Wells Fargo & Co NEW - 702 shares	36,918	38,484
3M Company - 13 shares	1,845	2,136
Dodge & Cox Global Stk FD - 50,293.378 shares	600,025	594,971
Dodge & Cox Intl Stk FD - 1,191.465 shares	56,047	50,173
Fidelity Mid Cap Stock Fund - 2,373.639 shares	93,047	91,124
Goldman Sachs Focused Intl Eqty Inst - 2,779.501 shares	56,047	48,725
Goldman Sachs MLP Energy Infrastructure Inst - 872 047 shares	11,100	10,151
PIMCO Commodity Real Return Strat Inst - 1,932 81 shares	11,100	8,659
Vanguard Mid Cap Growth Investor Share - 5,983.809 shares	154,693	144,928
Vanguard Selected Value Fund - 2,134 486 shares	64,046	60,577
<b>TOTAL TO FORM 990-PF, PART II, LINE 10b, COLUMN B &amp; C</b>	<b>2,252,401</b>	<b>2,208,880</b>

FORM 990-PF

STATEMENT 13

## PART II - BALANCE SHEETS, Cont'd

<u>SOURCE</u>	<u>End of Year</u>	
	<u>(b) Book Value</u>	<u>(c) Fair Market Value</u>
Investments - Corporate Bonds		
Continental Res 5% 22 Due 9/15/22 CUSIP:212015AH4	131,328	118,035
SAIC INC. 4.45%20 Due 12/01/20 CUSIP.78390XAA9	101,975	96,319
TOTAL TO FORM 990-PF, PART II, LINE 10c, COLUMN B & C	<u>233,303</u>	<u>214,354</u>
Investments - Other		
Alliance Holdings GP LP - 80 shares	5,587	4,879
Breitbart Energy Ptnr LP - 2,040 shares	43,567	14,280
C B L & Assoc Properties - 761 shares	14,387	14,779
Cedar Fair L P Dep UTS - 77 shares	4,042	3,683
Columbia PPTY TR Inc - 560 shares	14,529	14,196
Ishares ETF MSCI Frontier 100 Fund - 1,444 shares	55,949	44,475
KKR & Co LP - 316 shares	7,277	7,334
MFA Financial Inc - 5,505 shares	45,030	43,985
Natl Health Investors REIT - 127 shares	7,645	8,885
Proshares ETF DJ Brookfield global Infra - 331 shares	14,576	14,448
Proshares ETF Global Listed Private Equity - 250 shares	11,036	10,005
Proshares Hedge ETF Replication - 175 shares	7,366	7,400
Proshares RAFI L/S ETF RAFI Long/Short - 172 shares	7,389	7,250
SCH US REIT ETF - 308 shares	10,974	11,997
Two Harbors Investment - 4,282 shares	43,852	42,906
Vanguard Extended Mkt - 643 shares	55,729	56,449
TOTAL TO FORM 990-PF, PART II, LINE 13, COLUMN B & C	<u>348,936</u>	<u>306,951</u>