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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation Roth Family Foundation		A Employer identification number 88-0352682	
Number and street (or P O box number if mail is not delivered to street address) 12021 Wilshire Boulevard Room 505		B Telephone number (see instructions) (213) 880-8528	
City or town, state or province, country, and ZIP or foreign postal code Los Angeles, CA 90025		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 13,727,515		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	208	208		
	4 Dividends and interest from securities.	647,580	647,580		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	5,455			
	b Gross sales price for all assets on line 6a <u>354,817</u>				
	7 Capital gain net income (from Part IV, line 2) . . .		5,455		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	653,243	653,243		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) 	205			205
	b Accounting fees (attach schedule) 	1,200	480		720
	c Other professional fees (attach schedule) 	29,085	285		28,800
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . . 	6,327	1,827		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,452			1,452
	22 Printing and publications				
	23 Other expenses (attach schedule) 	11,849	900		10,949
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	50,118	3,492		42,126
	25 Contributions, gifts, grants paid	745,146			745,146
	26 Total expenses and disbursements. Add lines 24 and 25	795,264	3,492		787,272
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-142,021			
	b Net investment income (if negative, enter -0-)		649,751		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	26,909	23,782	23,782
	2	Savings and temporary cash investments	60,068	157,518	157,518
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	12,261,486	13,174,821	13,174,821
	c	Investments—corporate bonds (attach schedule).	706,246	371,394	371,394
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,054,709	13,727,515	13,727,515	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	13,054,709	13,727,515	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	13,054,709	13,727,515	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	13,054,709	13,727,515	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	13,054,709
2	Enter amount from Part I, line 27a	-142,021
3	Other increases not included in line 2 (itemize) ▶ _____	814,827
4	Add lines 1, 2, and 3	13,727,515
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	13,727,515

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	32,318 732 Pimco Total Return Fund	P		
b	In-lieu from spinoffs	P		
c	Capital gain distributions	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 350,981		349,362	1,619
b 73			73
c 3,763			3,763
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,619
b			73
c			3,763
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	5,455
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	626,517	12,496,342	000 050136
2012	558,783	11,393,270	000 049045
2011	508,834	10,743,904	000 047360
2010	457,758	9,605,885	000 047654
2009	400,382	8,161,653	000 049056

2	Total of line 1, column (d).	2	000 243251
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 048650
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	13,424,648
5	Multiply line 4 by line 3.	5	653,109
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	6,498
7	Add lines 5 and 6.	7	659,607
8	Enter qualifying distributions from Part XII, line 4.	8	787,272

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,498
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3	6,498
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,498
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	7,407	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	7,407
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	909
11		Enter the amount of line 10 to be Credited to 2015 estimated tax 909 Refunded		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of Sukey Garcetti Telephone no (213) 880-8528 Located at 12021 Wilshire Boulevard Los Angeles CA ZIP+4 90025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. If "Yes," list the years 20 , 20 , 20 , 20 ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	13,292,958
b	Average of monthly cash balances.	1b	336,126
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,629,084
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	13,629,084
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	204,436
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,424,648
6	Minimum investment return. Enter 5% of line 5.	6	671,232

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	671,232
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	6,498
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,498
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	664,734
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	664,734
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	664,734

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 .	1a	787,272
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	787,272
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,498
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	780,774

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				664,734
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009. 6,195				
b From 2010.				
c From 2011.				
d From 2012. 3,611				
e From 2013. 11,086				
f Total of lines 3a through e.	20,892			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 787,272				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				664,734
e Remaining amount distributed out of corpus	122,538			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	143,430			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	6,195			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	137,235			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . . 3,611				
d Excess from 2013. . . . 11,086				
e Excess from 2014. . . . 122,538				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	745,146
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

d Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.		1a(1)	No
(2) Other assets.		1a(2)	No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.		1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)	No
(3) Rental of facilities, equipment, or other assets.		1b(3)	No
(4) Reimbursement arrangements.		1b(4)	No
(5) Loans or loan guarantees.		1b(5)	No
(6) Performance of services or membership or fundraising solicitations.		1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Form **990-PF** (2014)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael P Roth	President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Sukey Garcetti	Treasurer 020 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Gil Garcetti	Vice President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Dana Boldt	Secretary 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Eric Garcetti	Director 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Andrea Roth	Vice President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Sarah Roth	Director 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Rachael Roth	Director 030 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A Place Called Home 2830 S Central Avenue Los Angeles, CA 90011	None	PC	Music Department	10,000
Adopt the Arts 249 N Croft Avenue Los Angeles, CA 90048	None	PC	General Operating Support	10,000
Advocates for Youth 2000 M Street NW Suite 750 Washington, DC 20036	None	PC	Cultural Advocacy/Mobilization	15,000
Asian and Pacific Islanders with Disabilities of California 1137 Wilshire Boulevard Oakland, CA 90017	None	PC	General Operating Support	1,000
Aurelia Foundation 30765 Pacific Coast Highway Malibu, CA 90265	None	PC	General Operating Support	500
Beauty Bus Foundation 2716 Ocean Park Boulevard Santa Monica, CA 90405	None	PC	General Operating Support	4,500
Bellingham Music Festival PO Box 818 Bellingham, WA 98227	None	PC	Festival Support 2014	2,500
California Community Foundation 221 S Figueroa Street Los Angeles, CA 90012	None	PC	Summer Night Lights 2014	25,000
California Community Foundation 221 S Figueroa Street Los Angeles, CA 90012	None	PC	Hire LA Youth 2014	25,000
California Dance Institute 3131 Olympic Boulevard Santa Monica, CA 90404	None	PC	General Operating Support	5,000
Coalition for Engaged Education 3131 Olympic Boulevard Santa Monica, CA 90404	None	PC	General Operating Support	15,000
Communities for a Better Environment 6325 Pacific Boulevard Suite 300 Huntington Park, CA 90255	None	PC	General Operating Support	15,000
Community Partners 1000 N Alameda Street 2nd Floor Los Angeles, CA 90012	None	PC	Boyle Heights Community Youth Orchestra	5,000
Council on Foundations 2121 Crystal Drive Suite 700 Arlington, VA 22202	None	PC	General Operating Support	620
Desert AIDS Project 1695 N Sunrise Way Palm Springs, CA 92262	None	PC	General Operating Support	1,000
Total  3a				745,146

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Diavolo Dance Theater 616 Moulton Avenue Los Angeles, CA 90031	None	PC	General Operating Support	1,000
Direct Relief 27 S La Patera Lane Goleta, CA 93117	None	PC	Ebola Response	10,000
Education Through Music Los Angeles 847 N Hollywood Way Suite 206 Burbank, CA 91505	None	PC	General Operating Support	7,500
Enrich LA 2173 Cedarhurst Drive Los Angeles, CA 90027	None	PC	General Operating Support	15,000
Foundation Center 79 5th Avenue New York, NY 10003	None	PC	General Operating Support	500
Friends of LA Animal Services 2044 Cotner Avenue Los Angeles, CA 90025	None	PC	LA Love and Leashes	1,000
Friends of McIntyre Hall 2501 E College Way Mount Vernon, WA 98273	None	PC	General Operating Support	1,000
Friends of the Open School 5540 W 7th Street Los Angeles, CA 90045	None	PC	General Operating Support	750
Gentle Barn 15825 Sierra Highway Santa Clarita, CA 91390	None	PC	General Operating Support	5,000
Grand Performances 350 S Grand Avenue A-4 Los Angeles, CA 90071	None	PC	General Operating Support	1,000
Harmony Project 817 Vine Street Los Angeles, CA 90038	None	PC	General Operating Support	7,500
Harvard Westlake School 700 N Faring Road Los Angeles, CA 90077	None	PC	General Operating Support	5,000
Heart of Los Angeles 2701 Wilshire Boulevard Los Angeles, CA 90057	None	PC	Heart of LA Music Program	10,000
Immigration Center for Women and Children 634 S Spring Street Los Angeles, CA 90014	None	PC	General Operating Support	2,500
InnerCity Struggle 720 N Townsend Avenue Los Angeles, CA 90063	None	PC	General Operating Support	10,000
Total  3a				745,146

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Inner-City Arts 720 Kohler Street Los Angeles, CA 90021	None	PC	Music Program	5,000
Inner-City Arts 720 Kohler Street Los Angeles, CA 90021	None	PC	General Operating Support	1,000
International Medical Corps 12400 Wilshire Boulevard Suite 1500 Santa Monica, CA 90025	None	PC	Midwife Training Programs in Afghanistan	6,750
Japan Foundation 5700 Wilshire Boulevard Suite 100 Los Angeles, CA 90036	None	PC	General Operating Support	1,000
Japanese Garden Trust Fund 6100 Woodley Avenue Van Nuys, CA 91406	None	PC	Pieces of 14th-15th Century Teahouse	5,000
Jewish Big Brothers & Big Sisters Association of Los Angeles 6505 Wilshire Boulevard Los Angeles, CA 90048	None	PC	Witherbee Wilderness Backpacking Program	10,000
Jovenes Inc 1208 Pleasant Avenue Los Angeles, CA 90033	None	PC	Employment Preparedness Program	15,000
Jumpstart for Young Children 1625 W Olympic Boulevard Suite 1050 Los Angeles, CA 90015	None	PC	Jumpstart LA General Operating Support	10,000
KCRW Foundation 1900 Pico Boulevard Santa Monica, CA 90405	None	PC	Independent Producers Project	10,000
LACER Afterschool Programs 1277 Wilcox Avenue Suite 2 Hollywood, CA 90038	None	PC	Music Rocks	7,500
LAANE 464 Lucas Avenue Suite 202 Los Angeles, CA 90017	None	PC	General Operating Support	20,000
LA's Promise 1035 S Grand Avenue Suite 2 Los Angeles, CA 90015	None	PC	General Operating Support	15,000
Le Lycee Francais de Los Angeles 3261 Overland Avenue Los Angeles, CA 90034	None	PC	General Operating Support	5,000
Liberty Hill Foundation 6420 Wilshire Boulevard Suite 700 Los Angeles, CA 90048	None	PC	KMG-DA Fund	30,000
Liberty Hill Foundation 6420 Wilshire Boulevard Suite 700 Los Angeles, CA 90048	None	PC	General Operating Support	1,000
Total  3a				745,146

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LIFT Inc 1910 Magnolia Avenue Los Angeles, CA 90039	None	PC	General Operating Support	20,000
Los Angeles Center of Photography 1515 Wilcox Avenue Los Angeles, CA 90033	None	PC	Afterschool Programs	1,000
Los Angeles Education Partnership 1055 W 7th Street Suite 200 Los Angeles, CA 90017	None	PC	General Operating Support	10,000
Los Angeles Film Forum 143 N Commonwealth Avenue Suite 3 Los Angeles, CA 90004	None	PC	Shelter Me	2,500
Los Angeles Music and Art School 3630 E 3rd Street Los Angeles, CA 90063	None	PC	Music Program	5,000
Los Angeles River Revitalization Corporation 570 W Avenue 26 Suite 475 Los Angeles, CA 90065	None	PC	General Operating Support	30,000
Lulu Washington Dance Theatre 3773 Crenshaw Boulevard Los Angeles, CA 90016	None	PC	Student Scholarships	5,000
Meeting Each Need with Dignity 10641 San Fernando Road Pacoima, CA 91331	None	PC	Home Harvest Program	10,000
MK Level Playing Field Institute 2201 Broadway Oakland, CA 94612	None	PC	Math and Science Honors Academy	10,000
Motivating Our Students Through Experience 645 W 9th Street Suite 110-376 Los Angeles, CA 90015	None	PC	General Operating Support	10,000
Mr Holland's Opus Foundation 4370 Tujunga Avenue Studio City, CA 91604	None	PC	The Michael Kamen Grant	7,500
Museum of Northwest Art 121 1st Street La Connor, WA 98257	None	PC	Education Programs	2,500
My Friend's Place 5850 Hollywood Boulevard Hollywood, CA 90028	None	PC	General Operating Support	1,000
National Center for Family Philanthropy 1101 Connecticut Avenue NW Washington, DC 20036	None	PC	General Operating Support	1,000
National Public Radio 9909 Jefferson Boulevard Culver City, CA 90232	None	PC	NPR West Interns	16,000
Total  3a				745,146

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Neighborhood Music School 358 S Boyle Avenue Los Angeles, CA 90033	None	PC	General Operating Support	7,500
Network for Teaching Entrepreneurship 350 S Bixel Street Los Angeles, CA 90017	None	PC	General Operating Support	10,000
Northeast Valley Health Corporation 1600 San Fernando Road San Fernando, CA 91340	None	PC	Teen Pregnancy Prevention Program	15,000
Peer Health Exchange 1730 W Olympic Boulevard Suite 370 Los Angeles, CA 90015	None	PC	General Operating Support	20,000
Planned Parenthood Los Angeles 400 W 30th Street Los Angeles, CA 90007	None	PC	General Operating Support	20,000
RowLA 629 Alta Avenue Santa Monica, CA 90402	None	PC	General Operating Support	10,000
Santa Cecilia Orchestra 2759 W Broadway Los Angeles, CA 90041	None	PC	Discovering Music Violin Classes	5,000
Southern California Grantmakers 1000 N Alameda Street Suite 230 Los Angeles, CA 90012	None	PC	General Operating Support	1,500
Southern California Grantmakers 1000 N Alameda Street Suite 230 Los Angeles, CA 90012	None	PC	General Operating Support	1,651
Southern California Public Radio 474 S Raymond Avenue Pasadena, CA 91105	None	PC	Investigative News Unity	10,000
Spark Program Inc 145 S Spring Street Suite 410 Los Angeles, CA 90012	None	PC	Spark LA General Operating Support	15,000
Special Service for Groups 605 W Olympic Boulevard Suite 600 Los Angeles, CA 90015	None	PC	MotherRight Project	10,000
St John's Wellchild and Family Center 808 W 58th Street Los Angeles, CA 90037	None	PC	Peer2Peer Program	10,000
Strive Foundation 9124 S Main Street Los Angeles, CA 90003	None	PC	General Operating Support	10,000
Sustainable Conservation 98 Battery Street San Francisco, CA 94111	None	PC	General Operating Support	10,000
Total  3a				745,146

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Taking the Reins 3919 Rigali Avenue Los Angeles, CA 90039	None	PC	General Operating Support	3,500
The Advot Project 1033 S Stanley Avenue Los Angeles, CA 90019	None	PC	General Operating Support	10,000
UCLA Foundation 10920 Wilshire Boulevard Los Angeles, CA 90024	None	PC	General Operating Support	2,500
UCLA Foundation 10920 Wilshire Boulevard Los Angeles, CA 90024	None	PC	Bicycling Asia Minor	275
UMMA Community Clinic 5849 Crocker Street Unit K Los Angeles, CA 90003	None	PC	Peer Sexual Health Education Program	15,000
United Friends of the Children 1055 Wilshire Boulevard Suite 1955 Los Angeles, CA 90017	None	PC	Educational Success for Foster Youth	15,000
Wells Bring Hope 16563 Park Lane Circle Los Angeles, CA 90049	None	PC	General Operating Support	10,000
Wells Bring Hope 16563 Park Lane Circle Los Angeles, CA 90049	None	PC	Support for One Well	5,600
West Los Angeles Symphony 10880 Wilshire Boulevard Suite 900 Los Angeles, CA 90024	None	PC	General Operating Support	1,000
Westside Family Health Center 1711 Ocean Park Boulevard Santa Monica, CA 90405	None	PC	Mobile Creating Awareness	20,000
WriteGirl 1330 Factory Place F-104 Los Angeles, CA 90013	None	PC	General Operating Support	10,000
Youth Speak Collective 444 S Brand Street Suite 201 San Fernando, CA 91340	None	PC	General Operating Support	10,000
Total  3a				745,146

TY 2014 Accounting Fees Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 14000292**Software Version:** 14.4.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax return preparation	1,200	480		720

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
32,318 732 Pimco Total Return Fund		P			350,981	349,362			1,619	
In-lieu of spinoffs		P			73				73	
Capital gain distributions		P			3,763				3,763	

TY 2014 General Explanation Attachment

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

**TY 2014 Investments Corporate
Bonds Schedule**

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Publicly traded mutual funds	371,394	371,394

**TY 2014 Investments Corporate
Stock Schedule**

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Publicly traded securities	13,174,821	13,174,821

TY 2014 Legal Fees Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney	205			205

TY 2014 Other Expenses Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 14000292**Software Version:** 14.4.1.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing fees	185			185
Bank charges	50			50
Insurance	2,250	900		1,350
Dues and subscriptions	1,950			1,950
Office expenses	6,169			6,169
Postage	300			300
Telephone	945			945

TY 2014 Other Increases Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 14000292

Software Version: 14.4.1.0

Description	Amount
Unrealized gains	814,827

TY 2014 Other Professional Fees Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 14000292**Software Version:** 14.4.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Grants manager	28,800			28,800
Broker fees	285	285		

TY 2014 Taxes Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 14000292**Software Version:** 14.4.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal excise tax	4,500			
Foreign excise tax	1,827	1,827		