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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
JAMES FAMILY FOUNDATION

A Employer identification number
87-0637968

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
416 SOUTH COMMERCE RD

B Telephone number (see instructions)
(801) 229-6200

City or town, state or province, country, and ZIP or foreign postal code
OREM, UT 84058

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 156,945

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule)	3,000			
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	1	1		
4	Dividends and interest from securities	3,028	3,028		
5a	Gross rents				
b	Net rental income or (loss) ________				
6a	Net gain or (loss) from sale of assets not on line 10	2,083			
b	Gross sales price for all assets on line 6a 30,551				
7	Capital gain net income (from Part IV, line 2)		2,329		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	8,112	5,358		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	565			
c	Other professional fees (attach schedule)	1,309	1,309		
17	Interest				
18	Taxes (attach schedule) (see instructions)	176	176		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	29			
24	**Total operating and administrative expenses.** Add lines 13 through 23	2,079	1,485		0
25	Contributions, gifts, grants paid	10,930			10,930
26	**Total expenses and disbursements.** Add lines 24 and 25	13,009	1,485		10,930
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-4,897			
b	**Net investment income** (if negative, enter -0-)		3,873		
c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	492	866	866
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	145,210	139,939	156,079
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	145,702	140,805	156,945
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	145,702	140,805	
	30	**Total net assets or fund balances** (see instructions)	145,702	140,805	
	31	**Total liabilities and net assets/fund balances** (see instructions)	145,702	140,805	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	145,702
2	Enter amount from Part I, line 27a	2	-4,897
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	140,805
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	140,805

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,329
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	1,100	143,076	0 007688
2012	1,400	127,370	0 010992
2011	2,600	126,566	0 020543
2010		110,553	
2009	14,929	98,688	0 151275

2	**Total** of line 1, column (d)	2	0 190498
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047625
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	155,302
5	Multiply line 4 by line 3	5	7,396
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	39
7	Add lines 5 and 6	7	7,435
8	Enter qualifying distributions from Part XII, line 4	8	10,930

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	39
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	39
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	39
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	39
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶UT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NA

14 The books are in care of ▶MICHAEL JAMES Telephone no ▶(801) 229-6200

Located at ▶462 NORTH 800 WEST LINDON UT ZIP+4 ▶84042

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MICHAEL JAMES 416 SOUTH COMMERCE RD OREM, UT 84058	TRUSTEE 1 00	0	0	0
JULI JAMES 416 SOUTH COMMERCE RD OREM, UT 84058	TRUSTEE 1 00	0	0	0
JOSEPH JAMES 416 SOUTH COMMERCE RD OREM, UT 84058	TRUSTEE 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 HELP INTERNATIONAL - WORLDWIDE HUMANITARIAN PROJECTS FOR CHILDREN TO FIGHT AGAINST POVERTY	7,185
2 ANASAZI FOUNDATION - BEHAVIORAL THERAPY FOR TROUBLED TEENS	3,745
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	156,189
b	Average of monthly cash balances	1b	1,478
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	157,667
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	157,667
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,365
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	155,302
6	**Minimum investment return.** Enter 5% of line 5	6	7,765

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	7,765
2a	Tax on investment income for 2014 from Part VI, line 5	2a	39		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	39
3	Distributable amount before adjustments Subtract line 2c from line 1			3	7,726
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	7,726
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	7,726

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	10,930
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	10,930
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	39
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	10,891

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				7,726
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			3,784	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 10,930				
a Applied to 2013, but not more than line 2a			3,784	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				7,146
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				580
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANASAZI FOUNDATION 1424 S STAPELY DR MESA,AZ 85204	N/A	501(C)	MENTAL HEALTH FOR YOUTH	3,745
HELP INTERNATIONAL 455 N UNIVERSITY AVE PROVO,UT 84601	NONE	501(C)	WORLDWIDE HUMANITARIAN PROJECTS	7,185
Total . . . . ▶ **3a**				10,930
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1	
4 Dividends and interest from securities. . . .			14	3,028	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	2,329	-246
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				5,358	-246

13 Total. Add line 12, columns (b), (d), and (e). 13 5,112

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2015-05-05 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
D ERIC NUTTALL		2015-05-12	☑	P00140984

Firm's name ▶ HAWKINS CLOWARD & SIMISTER LC — Firm's EIN ▶ 87-0503232

Firm's address ▶ 1095 S 800 E STE 1 OREM, UT 840971810 — Phone no (801) 224-1900

TY 2014 Accounting Fees Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	565			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
RAYMOND JAMES - SEE ATTACHED	2014-04	PURCHASE	2014-12		28,222	28,468			-246	

TY 2014 Investments - Other Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
	AT COST	139,939	156,079

TY 2014 Other Expenses Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
CHECK PRINTING FEE	29			

TY 2014 Other Professional Fees Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	1,309	1,309		

TY 2014 Taxes Schedule

Name: JAMES FAMILY FOUNDATION

EIN: 87-0637968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	105	105		
FOREIGN TAX PAID	21	21		
FOREIGN TAX PAID	50	50		

RAYMOND JAMES®

2014 COMPOSITE STATEMENT OF 1099 FORMS

Michael B & Joseph M
& Juli J James TTEE
U/A Dtd Sep 7, 1999
James Family Foundation
462 N 800 W
Lindon, UT 84042-1219

STEPHEN W. JOHNSON
Raymond James Financial Services
166 East 14000 South
Suite 110
Draper, UT 84020
stephen.johnson@raymondjames.com
Office # 80T
FA # 8522

Advisor Phone
801-553-8999

Online Account Access
raymondjames.com/investoraccess

Important Information

This is important tax information that is reported to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not be reported.

ATTENTION

Raymond James will report adjusted cost basis of securities currently covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. For noncovered securities, cost basis displayed is provided for informational purposes only and will not be reported to the IRS by Raymond James. Cost basis, for noncovered securities, may have been estimated by you or your advisor, or may have been obtained from third-party sources. In these instances, Raymond James cannot guarantee its accuracy.

IMPORTANT NOTICE - TIME CRITICAL TAX INFORMATION

Please read this important message before filing your tax return.

POTENTIAL ADJUSTMENTS TO ORIGINAL FORM 1099
Mutual funds, real estate investment trusts, unit investment trusts, royalty and grantor trusts, HOLDRs, some mortgage-backed securities, and corporations may not notify us of changes to the tax character of previously reported income in a timely manner, which may result in an amended Form 1099. Additionally, due to the Emergency Economic Stabilization Act of 2008, adjustments to cost basis may also result in an amended Form 1099. Please be aware that Raymond James is required by the IRS to produce an amended tax statement if notice of an adjustment is received after the original Form 1099 has been produced. There is no cut-off or deadline for amending Form 1099. If you hold any of these securities, we strongly suggest that you contact a tax advisor regarding when to file your tax return.

NEW LAYOUT FOR TAX YEAR 2014
The layout of this document has been updated in response to fixed income cost basis legislation enacted for tax year 2014. For information regarding your Form 1099 and the 2014 changes please visit the Tax Year 2014 Resources section at www.Raymondjames.com/taxreporting.

Please contact your financial advisor or Raymond James Client Services at 800-647-7378 if you have any questions. Thank you for allowing us to serve your investment needs.

2014 INFORMATION PROVIDED FOR TAX PREPARATION

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RAYMOND JAMES®	Tax Information Account 49936437	Statement Date: 03/02/2015 Document ID: 823J433W603	2014
880 Carillon Parkway P O Box 12749 Saint Petersburg, FL 33733-2479 Customer Service 800-647-7378 PAYER'S Federal ID No 59-1237041	Michael B & Joseph M & Juli J James TTEE U/A Dtd Sep 7, 1999 James Family Foundation 462 N 800 W Lindon, UT 84042-1219 RECIPIENT'S ID No XX-XXX7968	STEPHEN W JOHNSON 801-553-8999 stephen johnson@raymondjames com Office Code 80T Rep Code 8522	

Summary Information

DIVIDENDS AND DISTRIBUTIONS 2014 1099-DIV* OMB No 1545-0110

1a- Total ordinary dividends (includes line 1b)		2,101 08
1b- Qualified dividends		909 77
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		2,086 92
2b- Unrecaptured Section 1250 gain		0 42
2c- Section 1202 gain		0 00
2d- Collectibles (28%) gain		0 00
3- Nondividend distributions		16 01
4- Federal income tax withheld		**0.00**
5- Investment expenses		0 00
7- Foreign country or US possession Various	6- Foreign tax paid	21 12
8- Cash liquidation distributions		0 00
9- Noncash liquidation distributions		0 00
10- Exempt-interest dividends (includes line 11)		0 00
11- Specified private activity bond interest dividends (AMT)		0 00

MISCELLANEOUS INCOME 2014 1099-MISC* OMB No 1545-0115

2- Royalties	0 00
3- Other income	0 00
4- Federal income tax withheld	**0.00**
8- Substitute payments in lieu of dividends or interest	0 00

REGULATED FUTURES CONTRACTS 2014 1099-B* OMB No 1545-0715

8- Profit or (loss) realized in 2014 on closed contracts	0 00
9- Unrealized profit or (loss) on open contracts-12/31/2013	0 00
10- Unrealized profit or (loss) on open contracts-12/31/2014	0 00
11- Aggregate profit or (loss) on contracts	0 00

If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	28,220 18	28,468 36	0 00	1 93	-246 25
Short	B (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Short-term	**28,220.18**	**28,468.36**	**0.00**	**1.93**	**-246.25**
Long	D (basis reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Long	E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Long	F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Long-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Undetermined	B or E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Undetermined-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
	Grand total	**28,220.18**	**28,468.36**	**0.00**	**1.93**	**-246.25**

Withholding from Proceeds

Federal income tax withheld	0 00

Changes to dividend tax classifications processed after your original tax form is issued for 2014 may require an amended tax form

Raymond James & Associates, Inc. Account 49936437

Summary Information

2014 **(continued)**

INTEREST INCOME 2014 1099-INT* OMB No 1545-0112

1- Interest income (not included in line 3)	0 13
2- Early withdrawal penalty	0 00
3- Interest on US Savings Bonds & Treasury obligations	0 00
4- Federal income tax withheld	**0.00**
5- Investment expenses	0 00
7- Foreign country or US possession 6- Foreign tax paid	0 00
8- Tax-exempt interest (includes line 9)	0 00
9- Specified private activity bond interest (AMT)	0 00
10- Market discount (covered lots)	0 00
11- Bond premium (total for covered lots, categorized below)	0 00
Non Treasury obligations	0 00
Treasury obligations	0 00
Tax-exempt obligations	0 00
Tax-exempt private activity obligations (AMT)	0 00
12- Tax-exempt bond CUSIP number (see instructions)	

The following amounts of tax-exempt original issue discount are not reported to the IRS

Tax-exempt original issue discount (includes the line below)	0 00
Tax-exempt original issue discount private activity bonds (AMT)	0 00

ORIGINAL ISSUE DISCOUNT INCOME SUMMARY

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s) The amounts shown in this section are presented for your reference when preparing your tax return(s)

Original issue discount for 2014	0 00
Other periodic interest	0 00
Early withdrawal penalty	0 00
Federal income tax withheld	0 00
Market discount (covered lots)	0 00
Acquisition premium (total for covered lots, categorized below)	0 00
Non Treasury obligations	0 00
Treasury obligations	0 00
Original issue discount on Treasury obligations	0 00
Investment expenses	0 00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your tax return(s) The amounts shown in this section are presented for your reference

1099-DIV total withheld	0 00
1099-INT total withheld	0 00
1099-OID total withheld	0 00
1099-MISC total withheld	0 00
1099-B total withheld	0 00

ADJUSTMENTS TO INTEREST AND ORIGINAL ISSUE DISCOUNT

The amounts in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Taxable accrued interest paid	0 00
Taxable accrued Treasury interest paid	0 00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid (AMT)	0 00
Taxable accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid (AMT)	0 00
Nonqualified interest	0 00
Tax-exempt nonqualified interest	0 00
Tax-exempt nonqualified interest (AMT)	0 00
Interest shortfall on contingent payment debt	0 00
Bond premium- Non Treasury obligations (noncovered lots)	0 00
Bond premium- Treasury obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0 00
Acquisition premium- Non Treasury obligations (noncovered lots)	0 00
Acquisition premium- Treasury obligations (noncovered lots)	0 00
Acquisition premium- Tax-exempt obligations (all lots)	0 00
Acquisition premium- Tax-exempt obligations (AMT, all lots)	0 00
Market discount (noncovered lots)	0 00

NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Other receipts- Partnership distributions	0 00
Other receipts- Foreign tax paid on partnership distributions	0 00
Other receipts- Return of principal distributions	0 00
Other receipts- Deferred income payment	0 00
Expenses- Margin interest	0 00
Expenses- Dividends paid on short position	0 00
Expenses- Interest paid on short position	0 00
Expenses- Non reportable distribution expense	0 00
Expenses- Other expenses	0 00
Expenses- Severance tax	0 00
Opening transactions- Securities & options purchased	22,657 13
Opening transactions- Installment payments	0 00
Opening transactions- Short sales & options written	0 00
Fees and payments received- Deemed premium	0 00
Fees and payments received- Organizational expense	0 00
Fees and payments received- Income accrual- UIT	0 00
Fees and payments received- Miscellaneous fees	1,308 71
Fees and payments received- Basis adjustments	0 00
Fees and payments received- Tax-exempt investment expense	0 00
Fees and payments received- Foreign tax in excess of treaty	0 00
Income and adjustments- Foreign currency gain/loss	0 00

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

Raymond James & Associates, Inc. Account 49936437

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AQR DIVERSIFIED ARBITRAGE FUND CLASS N N/L / CUSIP 00203H107 / Symbol ADANX							
04/30/14	14 067	153 75	12/04/13	156 85		-3 10	Sale
CHAMPLAIN MID CAP FUND ADVISOR CLASS N/L / CUSIP 00764Q744 / Symbol CIPMX							
04/30/14	15 485	225 46	12/04/13	237 54		-12 08	Sale
09/23/14	17 024	257 41	12/04/13	261 15		-3 74	Sale
	Security total	482 87		498 69		-15 82	
AMERICAN MUTUAL FUND CLASS F2 - AMERICAN FUNDS N/L / CUSIP 027681824 / Symbol AMRFX							
04/30/14	14 742	524 23	12/04/13	511 70		12 53	Sale
09/23/14	11 610	427 12	12/04/13	402 98		24 14	Sale
	Security total	951 35		914 68		36 67	
ARTISAN INTERNATIONAL FUND INVESTOR CLASS N/L / CUSIP 04314H204 / Symbol ARTIX							
04/30/14	5 442	163 27	12/04/13	159 56		3 71	Sale
09/23/14	4 927	149 92	12/04/13	144 46		5 46	Sale
	Security total	313 19		304 02		9 17	
DODGE & COX INCOME FUND N/L / CUSIP 256210105 / Symbol DODIX							
04/30/14	21 243	293 37	12/04/13	288 91		4 46	Sale
09/23/14	15 730	218 65	12/04/13	213 93		4 72	Sale
	Security total	512 02		502 84		9 18	
EUROPACIFIC GROWTH FUND CLASS F1 - AMERICAN FUNDS N/L / CUSIP 298706409 / Symbol AEGFX							
09/23/14	1 927	94 35	12/04/13	91 51		2 84	Sale
PERKINS MID CAP VALUE FUND CLASS A M/F - JANUS / CUSIP 47103C266 / Symbol JDPAX							
	2 tax lots for 02/10/14 Total proceeds (and cost when required) reported to the IRS						
	26 596	*606 65*	*VARIOUS*	*606 92*		*-0 27*	*Sale*
	180 391	*4,114 72*	*12/04/13*	*4,710 00*		*-595 28*	*Sale*
02/10/14	206 987	4,721 37	VARIOUS	5,316 92		-595 55	Total of 2 lots
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I N/L / CUSIP 47803W406 / Symbol JVMIX							
09/23/14	13 573	261 15	02/10/14	241 65		19 50	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**
**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS

Raymond James & Associates, Inc. Account 49936437

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* **(continued)** OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS A M/F / CUSIP 47803W505 / Symbol JVMAX							
04/30/14	11 009	197 61	02/10/14	189 79		7 82	Sale
JPMORGAN U S LARGE CAP CORE PLUS FUND SELECT CLASS N/L / CUSIP 4812A2389 / Symbol JLPSX							
04/30/14	20 240	568 14	12/04/13	592 02		-23 88	Sale
09/23/14	26 687	810 23	12/04/13	780 59		29 64	Sale
	Security total	1,378 37		1,372 61		5 76	
JPMORGAN CORE BOND FUND CLASS A M/F / CUSIP 4812C0357 / Symbol PGBOX							
04/30/14	113 932	1,328 45	12/04/13	1,322 75		5 70	Sale
09/23/14	8 243	96 36	12/04/13	95 70		0 66	Sale
	Security total	1,424 81		1,418 45		6 36	
CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F / CUSIP 52469H636 / Symbol SOPAX							
04/30/14	23 167	434 85	12/04/13	412 37		22 48	Sale
09/23/14	15 241	296 29	12/04/13	271 29		25 00	Sale
	Security total	731 14		683 66		47 48	
LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR / CUSIP 543487110 / Symbol LSGRX							
04/30/14	37 724	355 74	12/04/13	344 80		10 94	Sale
09/23/14	58 656	590 08	12/04/13	536 12		53 96	Sale
	Security total	945 82		880 92		64 90	
MFS RESEARCH FUND CLASS A M/F / CUSIP 552981102 / Symbol MFRFX							
04/30/14	14 420	532 37	12/04/13	525 32		7 05	Sale
09/23/14	14 908	580 37	12/04/13	543 10		37 27	Sale
	Security total	1,112 74		1,068 42		44 32	
METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I N/L / CUSIP 592905509 / Symbol MWTIX							
09/23/14	9 232	99 80	08/19/14	100 26	0 06 W	-0 40	Sale
NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS A M/F / CUSIP 64128K702 / Symbol NHIAX							
04/30/14	27 348	259 53	12/04/13	262 81	0 60 W	-2 68	Sale
08/19/14	108 504	1,027 53	12/04/13	1,042 72	0 66 W	-14 53	Sale
09/23/14	13 491	125 60	12/04/13	129 65	0 61 W	-3 44	Sale
	Security total	1,412 66		1,435 18	1 87 W	-20 65	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS

Raymond James & Associates, Inc. Account 49936437

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* **(continued)** OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PIMCO UNCONSTRAINED BOND FUND CLASS P N/L / CUSIP 72201M453 / Symbol PUCPX							
09/23/14	18 487	209 09	12/04/13	206 84		2 25	Sale
	2 tax lots for 10/27/14 Total proceeds (and cost when required) reported to the IRS						
	5 835	*65 59*	*VARIOUS*	*65 47*		*0 12*	*Sale*
	377 227	*4,240 03*	*12/04/13*	*4,220 58*		*19 45*	*Sale*
10/27/14	383 062	4,305 62	VARIOUS	4,286 05		19 57	Total of 2 lots
	Security total	4,514 71		4,492 89		21 82	
PIMCO TOTAL RETURN FUND CLASS P N/L / CUSIP 72201M552 / Symbol PTTPX							
04/30/14	15 404	166 98	12/04/13	166 48		0 50	Sale
	2 tax lots for 08/19/14 Total proceeds (and cost when required) reported to the IRS						
	10 131	*111 34*	*VARIOUS*	*109 58*		*1 76*	*Sale*
	496 230	*5,453 57*	*12/04/13*	*5,363 18*		*90 39*	*Sale*
08/19/14	506 361	5,564 91	VARIOUS	5,472 76		92 15	Total of 2 lots
	Security total	5,731 89		5,639 24		92 65	
T ROWE PRICE SMALL CAP VALUE FUND ADVISOR CLASS N/L / CUSIP 77957Q202 / Symbol PASVX							
04/30/14	4 230	208 33	12/04/13	210 32		-1 99	Sale
08/19/14	19 648	973 38	12/04/13	976 90		-3 52	Sale
	Security total	1,181 71		1,187 22		-5 51	
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L / CUSIP 880208400 / Symbol TGBAX							
04/30/14	102 415	1,336 52	12/04/13	1,330 37		6 15	Sale
09/23/14	10 962	145 57	12/04/13	142 40		3 17	Sale
	Security total	1,482 09		1,472 77		9 32	
VIRTUS EMERGING MKTS OPPORTUNITIES FUND CLASS I N/L / CUSIP 92828T889 / Symbol HIEMX							
04/30/14	20 050	196 29	12/04/13	190 88		5 41	Sale
WF ADVANTAGE INTL VALUE FD ADMIN CL N/L / CUSIP 949917108 / Symbol WFVDX							
04/30/14	21 057	320 49	12/04/13	308 91		11 58	Sale
	Totals:	**28,220.18**		**28,468.36**	**1.93 W**	**-246.25**	

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS

Raymond James & Associates, Inc.

Account 49936437

Detail for Dividends and Distributions

2014

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AQR DIVERSIFIED ARBITRAGE	00203H107 ADANX		12/23/14	50 29	Qualified dividend	03
FUND CLASS N N/L			12/23/14	34 43	Nonqualified dividend	03
	Dividends and Distributions			84 72		
CHAMPLAIN MID CAP FUND	00764Q744 CIPMX		12/24/14	47 24	Qualified dividend	03
ADVISOR CLASS N/L			12/24/14	372 00	Long-term capital gain	
			12/24/14	50 48	Short-term capital gain	03
	Dividends and Distributions			469 72		
AMERICAN MUTUAL FUND CLASS F2	027681824 AMRFX		03/17/14	39 23	Qualified dividend	
- AMERICAN FUNDS N/L			06/16/14	38 08	Qualified dividend	
			09/22/14	40 33	Qualified dividend	
			12/22/14	44 14	Qualified dividend	
			12/22/14	243 85	Long-term capital gain	
	Dividends and Distributions			405 63		
ARTISAN INTERNATIONAL FUND INVESTOR CLASS N/L	04314H204 ARTIX		11/20/14	32 16	Qualified dividend	03
DODGE & COX INCOME FUND N/L	256210105 DODIX		03/28/14	45 20	Nonqualified dividend	
			03/28/14	17 67	Long-term capital gain	
			06/27/14	39 42	Nonqualified dividend	
			09/29/14	42 05	Nonqualified dividend	
			12/23/14	40 91	Nonqualified dividend	
			12/23/14	21 16	Long-term capital gain	
			12/23/14	4 70	Short-term capital gain	
	Dividends and Distributions			211 11		
EUROPACIFIC GROWTH FUND CLASS	298706409 AEGFX		12/29/14	88 91	Qualified dividend	03
F1 - AMERICAN FUNDS N/L			12/29/14	-10 72	Foreign tax withheld-Various	03
	Dividends and Distributions			88 91		
	Foreign tax withheld			-10 72		
JOHN HANCOCK DISCIPLINED	47803W406 JVMIX		12/17/14	54 60	Qualified dividend	03
VALUE MID CAP FUND CLASS I			12/17/14	73 22	Long-term capital gain	
N/L			12/17/14	1 65	Nonqualified dividend	03
			12/17/14	2 21	Short-term capital gain	03
	Dividends and Distributions			131 68		

Raymond James & Associates, Inc. Account 49936437

Detail for Dividends and Distributions

2014 **(continued)**

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JPMORGAN U S LARGE CAP CORE	4812A2389 JLPSX		12/15/14	605 50	Long-term capital gain	
PLUS FUND SELECT CLASS N/L			12/22/14	58 52	Qualified dividend	03
	Dividends and Distributions			664 02		
JPMORGAN CORE BOND FUND CLASS	4812C0357 PGBOX		02/03/14	11 55	Nonqualified dividend	
A M/F			03/03/14	12 72	Nonqualified dividend	
			04/01/14	14 48	Nonqualified dividend	
			05/01/14	11 61	Nonqualified dividend	
			06/02/14	11 23	Nonqualified dividend	
			07/01/14	12 19	Nonqualified dividend	
			08/01/14	11 74	Nonqualified dividend	
			09/02/14	10 36	Nonqualified dividend	
			10/01/14	10 66	Nonqualified dividend	
			11/03/14	10 21	Nonqualified dividend	
			12/01/14	10 23	Nonqualified dividend	
			12/15/14	6 38	Long-term capital gain	
			12/15/14	0 53	Short-term capital gain	
			12/31/14	13 06	Nonqualified dividend	
	Dividends and Distributions			146 95		
CLEARBRIDGE EQUITY INCOME	52469H636 SOPAX		03/31/14	31 77	Qualified dividend	03
FUND CLASS A M/F			06/30/14	23 29	Qualified dividend	03
			10/01/14	20 10	Qualified dividend	03
			12/24/14	20 13	Qualified dividend	03
	Dividends and Distributions			95 29		
LOOMIS SAYLES GROWTH FUND	543487110 LSGRX		12/19/14	49 35	Qualified dividend	03
CLASS Y N/L - NATIXIS ADVISOR						
MFS RESEARCH FUND CLASS A M/F	552981102 MFRFX		12/19/14	103 71	Qualified dividend	03
			12/19/14	361 10	Long-term capital gain	03
			12/19/14	11 72	Short-term capital gain	03
	Dividends and Distributions			476 53		
METROPOLITAN WEST TOTAL	592905509 MWTIX		09/02/14	2 66	Nonqualified dividend	
RETURN BOND FUND CLASS I N/L			10/01/14	10 27	Nonqualified dividend	
			11/03/14	8 86	Nonqualified dividend	
			12/01/14	8 91	Nonqualified dividend	

Raymond James & Associates, Inc. Account 49936437

Detail for Dividends and Distributions

2014 **(continued)**

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
METROPOLITAN WEST TOTAL	592905509 MWTIX		12/15/14	4 35	Long-term capital gain	
RETURN BOND FUND CLASS I N/L			12/15/14	9 21	Short-term capital gain	
(Cont'd)			01/02/15	8 88	Nonqualified dividend	
	Dividends and Distributions			53 14		
NEUBERGER BERMAN HIGH INCOME	64128K702 NHIAX		02/03/14	24 32	Nonqualified dividend	
BOND FUND CLASS A M/F			03/03/14	24 49	Nonqualified dividend	
			04/01/14	23 58	Nonqualified dividend	
			05/01/14	24 13	Nonqualified dividend	
			06/02/14	22 60	Nonqualified dividend	
			07/01/14	23 04	Nonqualified dividend	
			08/01/14	23 02	Nonqualified dividend	
			09/02/14	21 23	Nonqualified dividend	
			10/01/14	18 58	Nonqualified dividend	
			11/03/14	18 29	Nonqualified dividend	
			12/01/14	18 74	Nonqualified dividend	
			12/18/14	24 84	Long-term capital gain	
			12/18/14	4 46	Short-term capital gain	
			01/02/15	18 85	Nonqualified dividend	
	Dividends and Distributions			290 17		
PIMCO UNCONSTRAINED BOND FUND	72201M453 PUCPX		02/03/14	0 01	Qualified dividend	03
CLASS P N/L			02/03/14	1 57	Nonqualified dividend	03
			02/03/14	1 44	Nondividend distribution	03
			03/03/14	0 01	Qualified dividend	03
			03/03/14	1 45	Nonqualified dividend	03
			03/03/14	1 34	Nondividend distribution	03
			04/01/14	0 01	Qualified dividend	03
			04/01/14	1 98	Nonqualified dividend	03
			04/01/14	1 81	Nondividend distribution	03
			05/01/14	0 02	Qualified dividend	03
			05/01/14	4 35	Nonqualified dividend	03
			06/02/14	0 02	Qualified dividend	03
			06/02/14	5 20	Nonqualified dividend	03
			07/01/14	0 02	Qualified dividend	03
			07/01/14	4 99	Nonqualified dividend	03
			08/01/14	0 03	Qualified dividend	03
			08/01/14	6 71	Nonqualified dividend	03
			09/02/14	0 03	Qualified dividend	03

Raymond James & Associates, Inc.

Account 49936437

Detail for Dividends and Distributions

2014

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PIMCO UNCONSTRAINED BOND FUND CLASS P N/L (Cont'd)	72201M453 PUCPX		09/02/14	7 77	Nonqualified dividend	03
			10/01/14	0 03	Qualified dividend	03
			10/01/14	6 94	Nonqualified dividend	03
			11/03/14	0 03	Qualified dividend	03
			11/03/14	7 50	Nonqualified dividend	03
	Dividends and Distributions			53 26		
PIMCO TOTAL RETURN FUND CLASS P N/L	72201M552 PTTPX		02/03/14	0 01	Qualified dividend	03
			02/03/14	3 67	Nonqualified dividend	03
			02/03/14	3 35	Nondividend distribution	03
			03/03/14	0 01	Qualified dividend	03
			03/03/14	4 08	Nonqualified dividend	03
			03/03/14	3 72	Nondividend distribution	03
			04/01/14	0 01	Qualified dividend	03
			04/01/14	4 78	Nonqualified dividend	03
			04/01/14	4 35	Nondividend distribution	03
			05/01/14	0 02	Qualified dividend	03
			05/01/14	9 71	Nonqualified dividend	03
			06/02/14	0 02	Qualified dividend	03
			06/02/14	12 21	Nonqualified dividend	03
			07/01/14	0 02	Qualified dividend	03
			07/01/14	9 87	Nonqualified dividend	03
			08/01/14	0 02	Qualified dividend	03
			08/01/14	10 94	Nonqualified dividend	03
			09/02/14	0 01	Qualified dividend	03
			09/02/14	6 14	Nonqualified dividend	03
	Dividends and Distributions			72 94		
PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Z N/L	74441J829 PADZX		11/03/14	0 94	Nonqualified dividend	
			11/28/14	12 65	Nonqualified dividend	
			12/01/14	9 07	Nonqualified dividend	
			01/02/15	9 54	Nonqualified dividend	
	Dividends and Distributions			32 20		
T ROWE PRICE SMALL CAP VALUE FUND ADVISOR CLASS N/L	77957Q202 PASVX		12/16/14	19 45	Qualified dividend	03
			12/16/14	320 04	Long-term capital gain	03
			12/16/14	0 42	Unrecaptured section 1250 gain	03
	Dividends and Distributions			339 91		

Raymond James & Associates, Inc. Account 49936437

Detail for Dividends and Distributions

2014 **(continued)**

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L	880208400 TGBAX		01/16/14	18 39	Nonqualified dividend	
			02/19/14	18 32	Nonqualified dividend	
			03/18/14	18 47	Nonqualified dividend	
			04/16/14	14 19	Nonqualified dividend	
			05/16/14	10 91	Nonqualified dividend	
			06/17/14	10 94	Nonqualified dividend	
			07/16/14	11 03	Nonqualified dividend	
			08/18/14	14 24	Nonqualified dividend	03
			08/18/14	-3 32	Foreign tax withheld-Various	03
			09/16/14	11 08	Nonqualified dividend	
			10/16/14	10 65	Nonqualified dividend	
			11/18/14	10 68	Nonqualified dividend	
			12/16/14	162 42	Nonqualified dividend	
			12/16/14	9 20	Short-term capital gain	
	Dividends and Distributions			320 52		
	Foreign tax withheld			-3 32		
VIRTUS EMERGING MKTS OPPORTUNITIES FUND CLASS I N/L	92828T889 HIEMX		06/23/14	11 16	Qualified dividend	03
			06/23/14	30 55	Long-term capital gain	
			06/23/14	-0 53	Foreign tax withheld-Various	03
			12/23/14	5 84	Long-term capital gain	
			12/23/14	24 13	Qualified dividend	03
			12/23/14	-1 17	Foreign tax withheld-Various	03
	Dividends and Distributions			71 68		
	Foreign tax withheld			-1 70		
WF ADVANTAGE INTL VALUE FD ADMIN CL N/L	949917108 WFVDX		12/31/14	112 85	Qualified dividend	03
			12/31/14	1 27	Nonqualified dividend	03
			12/31/14	-5 38	Foreign tax withheld-Various	03
	Dividends and Distributions			114 12		
	Foreign tax withheld			-5 38		
	Total Dividends and Distributions:			**4,204.01**		
	Total Foreign tax withheld:			**-21.12**		

Raymond James & Associates, Inc.

Account 49936437

Detail for Interest Income

2014

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
RJ BDP INTEREST		01/31/14	0 01	Credit interest	
RJ BDP INTEREST		02/28/14	0 01	Credit interest	
RJ BDP INTEREST		03/31/14	0 01	Credit interest	
RJ BDP INTEREST		04/30/14	0 01	Credit interest	
RJ BDP INTEREST		05/30/14	0 01	Credit interest	
RJ BDP INTEREST		06/30/14	0 01	Credit interest	
RJ BDP INTEREST		07/31/14	0 01	Credit interest	
RJ BDP INTEREST		08/29/14	0 01	Credit interest	
RJ BDP INTEREST		09/25/14	0 01	Credit interest	
RJ BDP INTEREST		10/31/14	0 02	Credit interest	
RJ BDP INTEREST		11/28/14	0 01	Credit interest	
RJ BDP INTEREST		12/31/14	0 01	Credit interest	
	Interest Income		0 13		
	Total Interest Income:		**0.13**		

Raymond James & Associates, Inc.

Account 49936437

Opening Transactions

2014

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
AQR DIVERSIFIED ARBITRAGE FUND CLASS N N/L	00203H107 ADANX	12/23/14	8 396	-84 72	Purchase	
CHAMPLAIN MID CAP FUND ADVISOR CLASS N/L	00764Q744 CIPMX	12/24/14	6 824	-97 72	Purchase	
		12/24/14	25 978	-372 00	Purchase	
	Security Total:			**-469.72**		
AMERICAN MUTUAL FUND CLASS F2 - AMERICAN FUNDS N/L	027681824 AMRFX	03/17/14	1 138	-39 23	Purchase	
		06/16/14	1 049	-38 08	Purchase	
		09/22/14	1 082	-40 33	Purchase	
		12/22/14	1 180	-44 14	Purchase	
		12/22/14	6 518	-243 85	Purchase	
	Security Total:			**-405.63**		
ARTISAN INTERNATIONAL FUND INVESTOR CLASS N/L	04314H204 ARTIX	11/20/14	1 056	-32 16	Purchase	
DODGE & COX INCOME FUND N/L	256210105 DODIX	03/28/14	1 290	-17 67	Purchase	
		03/28/14	3 299	-45 20	Purchase	
		06/27/14	2 844	-39 42	Purchase	
		08/19/14	85 858	-1,196 00	Purchase	
		09/29/14	3 043	-42 05	Purchase	
		12/23/14	0 342	-4 70	Purchase	
		12/23/14	1 538	-21 16	Purchase	
		12/23/14	2 973	-40 91	Purchase	
	Security Total:			**-1,407.11**		
EUROPACIFIC GROWTH FUND CLASS F1 - AMERICAN FUNDS N/L	298706409 AEGFX	04/30/14	39 253	-1,923 00	Purchase	
		12/29/14	1 650	-78 19	Purchase	
	Security Total:			**-2,001.19**		
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I N/L	47803W406 JVMIX	12/17/14	1 310	-25 00	Purchase	
		12/17/14	1 754	-33 46	Purchase	
		12/17/14	3 838	-73 22	Purchase	
	Security Total:			**-131.68**		

Raymond James & Associates, Inc. Account 49936437

Opening Transactions
(continued)

2014

Security Description	CUSIP and/or symbol		Opening date	Quantity	Amount	Transaction type	Notes
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS A M/F	47803W505	JVMAX	02/10/14	269 780	-4,651 00	Purchase	
JPMORGAN U S LARGE CAP CORE PLUS FUND SELECT CLASS N/L	4812A2389	JLPSX	12/15/14	21 061	-605 50	Purchase	
			12/22/14	1 983	-58 52	Purchase	
	Security Total:				**-664.02**		
JPMORGAN CORE BOND FUND CLASS A M/F	4812C0357	PGBOX	01/02/14	1 504	-17 27	Purchase	
			02/03/14	0 994	-11 55	Purchase	
			03/03/14	1 092	-12 72	Purchase	
			04/01/14	1 248	-14 48	Purchase	
			05/01/14	0 996	-11 61	Purchase	
			06/02/14	0 956	-11 23	Purchase	
			07/01/14	1 040	-12 19	Purchase	
			08/01/14	1 005	-11 74	Purchase	
			09/02/14	0 880	-10 36	Purchase	
			10/01/14	0 913	-10 66	Purchase	
			11/03/14	0 870	-10 21	Purchase	
			12/01/14	0 868	-10 23	Purchase	
			12/15/14	0 045	-0 53	Purchase	
			12/15/14	0 540	-6 38	Purchase	
			12/31/14	1 111	-13 06	Purchase	
	Security Total:				**-164.22**		
CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F	52469H636	SOPAX	03/31/14	1 731	-31 77	Purchase	
			06/30/14	1 207	-23 29	Purchase	
			10/01/14	1 044	-20 10	Purchase	
			12/24/14	0 984	-20 13	Purchase	
	Security Total:				**-95.29**		
LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR	543487110	LSGRX	12/19/14	4 678	-49 35	Purchase	
MFS RESEARCH FUND CLASS A M/F	552981102	MFRFX	12/19/14	1 492	-57 09	Purchase	
			12/19/14	1 525	-58 33	Purchase	
			12/19/14	9 438	-361 11	Purchase	
	Security Total:				**-476.53**		

Raymond James & Associates, Inc. Account 49936437

Opening Transactions

2014 **(continued)**

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I N/L	592905509 MWTIX	08/19/14	510 091	-5,539 59	Purchase	
		09/02/14	0 244	-2 66	Purchase	
		10/01/14	0 950	-10 27	Purchase	
		11/03/14	0 814	-8 86	Purchase	
		12/01/14	0 815	-8 91	Purchase	
		12/15/14	0 398	-4 35	Purchase	
		12/15/14	0 843	-9 21	Purchase	
	Security Total:			**-5,583.85**		
NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS A M/F	64128K702 NHIAX	01/02/14	1 925	-18 04	Purchase	
		02/03/14	2 596	-24 32	Purchase	
		03/03/14	2 570	-24 49	Purchase	
		04/01/14	2 487	-23 58	Purchase	
		05/01/14	2 543	-24 13	Purchase	
		06/02/14	2 374	-22 60	Purchase	
		07/01/14	2 413	-23 04	Purchase	
		08/01/14	2 465	-23 02	Purchase	
		09/02/14	2 242	-21 23	Purchase	
		10/01/14	2 017	-18 58	Purchase	
		11/03/14	1 971	-18 29	Purchase	
		12/01/14	2 048	-18 74	Purchase	
		12/18/14	0 510	-4 46	Purchase	
		12/18/14	2 839	-24 84	Purchase	
	Security Total:			**-289.36**		
PIMCO UNCONSTRAINED BOND FUND CLASS P N/L	72201M453 PUCPX	01/02/14	0 256	-2 84	Purchase	
		02/03/14	0 271	-3 02	Purchase	
		03/03/14	0 250	-2 80	Purchase	
		04/01/14	0 340	-3 80	Purchase	
		05/01/14	0 389	-4 37	Purchase	
		06/02/14	0 462	-5 22	Purchase	
		07/01/14	0 443	-5 01	Purchase	
		08/01/14	0 599	-6 74	Purchase	
		09/02/14	0 689	-7 80	Purchase	
		10/01/14	0 618	-6 97	Purchase	
	Security Total:			**-48.57**		
PIMCO TOTAL RETURN FUND CLASS P N/L	72201M552 PTTPX	01/02/14	0 592	-6 33	Purchase	

Raymond James & Associates, Inc.

Account 49936437

Opening Transactions
(continued)

2014

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
PIMCO TOTAL RETURN FUND CLASS P N/L (Cont'd)	72201M552 PTTPX	02/03/14	0 650	-7 03	Purchase	
		03/03/14	0 719	-7 81	Purchase	
		04/01/14	0 848	-9 14	Purchase	
		05/01/14	0 898	-9 73	Purchase	
		06/02/14	1 117	-12 23	Purchase	
		07/01/14	0 902	-9 89	Purchase	
		08/01/14	1 006	-10 96	Purchase	
	Security Total:			**-73.12**		
PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Z N/L	74441J829 PADZX	10/27/14	438 176	-4,294 12	Purchase	
		11/03/14	0 096	-0 94	Purchase	
		11/28/14	1 291	-12 65	Purchase	
		12/01/14	0 926	-9 07	Purchase	
	Security Total:			**-4,316.78**		
T ROWE PRICE SMALL CAP VALUE FUND ADVISOR CLASS N/L	77957Q202 PASVX	12/16/14	0 046	-2 05	Purchase	
		12/16/14	0 390	-17 40	Purchase	
		12/16/14	7 188	-320 46	Purchase	
	Security Total:			**-339.91**		
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L	880208400 TGBAX	01/16/14	1 411	-18 39	Purchase	
		02/19/14	1 427	-18 32	Purchase	
		03/18/14	1 442	-18 47	Purchase	
		04/16/14	1 090	-14 19	Purchase	
		05/16/14	0 830	-10 91	Purchase	
		06/17/14	0 824	-10 94	Purchase	
		07/16/14	0 829	-11 03	Purchase	
		08/18/14	0 823	-10 92	Purchase	
		09/16/14	0 836	-11 08	Purchase	
		10/16/14	0 816	-10 65	Purchase	
		11/18/14	0 812	-10 68	Purchase	
		12/16/14	0 749	-9 20	Purchase	
		12/16/14	13 216	-162 42	Purchase	
	Security Total:			**-317.20**		
VIRTUS EMERGING MKTS OPPORTUNITIES FUND CLASS I N/L	92828T889 HIEMX	06/23/14	1 034	-10 63	Purchase	
		06/23/14	2 972	-30 55	Purchase	
		08/19/14	81 354	-877 00	Purchase	

Raymond James & Associates, Inc.

Account 49936437

Opening Transactions

2014 **(continued)**

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
VIRTUS EMERGING MKTS	92828T889 HIEMX	12/23/14	0 591	-5 84	Purchase	
OPPORTUNITIES FUND CLASS I		12/23/14	2 324	-22 96	Purchase	
N/L (Cont'd)	**Security Total:**			**-946.98**		
WF ADVANTAGE INTL VALUE FD ADMIN CL N/L	949917108 WFVDX	12/31/14	8 061	-108 74	Purchase	
	Total Opening Transactions:			**-22,657.13**		

Raymond James & Associates, Inc.

Account 49936437

Fees & Payments Received

2014

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
1Q Fees for 090/365 Days		01/22/14	-333 63	Management fee	
1Q14 Mutual Fund Fee Offset		01/31/14	10 90	Adj- Miscellaneous fee	
		02/28/14	8 04	Adj- Miscellaneous fee	
		03/14/14	1 03	Adj- Miscellaneous fee	
		03/28/14	7 36	Adj- Miscellaneous fee	
	Fees & Payments Received		0 00		
	Miscellaneous fee		27 33		
2Q Fees for 091/365 Days		04/15/14	-339 96	Management fee	
2Q14 Mutual Fund Fee Offset		04/11/14	0 91	Adj- Miscellaneous fee	
		04/25/14	17 24	Adj- Miscellaneous fee	
		05/09/14	1 05	Adj- Miscellaneous fee	
		05/30/14	7 95	Adj- Miscellaneous fee	
		06/13/14	1 00	Adj- Miscellaneous fee	
		06/27/14	8 05	Adj- Miscellaneous fee	
	Fees & Payments Received		0 00		
	Miscellaneous fee		36 20		
3Q Fees for 092/365 Days		07/16/14	-338 72	Management fee	
3Q14 Mutual Fund Fee Offset		07/11/14	0 99	Adj- Miscellaneous fee	
		07/25/14	17 00	Adj- Miscellaneous fee	
		08/08/14	0 99	Adj- Miscellaneous fee	
		08/29/14	7 97	Adj- Miscellaneous fee	
		09/12/14	1 04	Adj- Miscellaneous fee	
		09/26/14	6 92	Adj- Miscellaneous fee	
	Fees & Payments Received		0 00		
	Miscellaneous fee		34 91		
4Q Fees for 029/365 Days		01/22/14	-104 99	Management fee	
4Q Fees for 092/365 Days		10/22/14	-321 21	Management fee	
4Q14 Mutual Fund Fee Offset		10/10/14	1 03	Adj- Miscellaneous fee	
		10/31/14	15 65	Adj- Miscellaneous fee	

Raymond James & Associates, Inc. Account 49936437

Fees & Payments Received

2014 **(continued)**

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
4Q14 Mutual Fund Fee Offset		11/14/14	0 99	Adj- Miscellaneous fee	
(Cont'd)		11/28/14	6 35	Adj- Miscellaneous fee	
		12/12/14	0 96	Adj- Miscellaneous fee	
		12/26/14	6 38	Adj- Miscellaneous fee	
	Fees & Payments Received		0 00		
	Miscellaneous fee		31 36		
	Total Fees & Payments Received:		**0.00**		
	Total Management fee:		**-1,438.51**		
	Total Miscellaneous fee:		**129.80**		

Raymond James & Associates, Inc. Account 49936437

Mutual Fund and UIT Supplemental Information

2014

The following information may be useful in the preparation of your federal and state tax return(s), if applicable ***This information represents what was available at the time your 1099 was prepared and may not be all inclusive and is subject to change.*** *Please contact your mutual fund or UIT directly if you need to verify or supplement this information Not all Federal Source Income is state tax-exempt For advice on your tax situation please consult a tax advisor, the IRS or your state tax authority*

Symbol	ADANX	AMRFX	DODIX	AEGFX
CUSIP	00203H107	027681824	256210105	298706409
State				
Alabama	–	–	–	–
Alaska	–	–	–	–
Arizona	–	–	–	–
Arkansas	–	–	–	–
California	–	–	–	–
Colorado	–	–	–	–
Connecticut	–	–	–	–
Delaware	–	–	–	–
Dist. Columbia	–	–	–	–
Florida	–	–	–	–
Georgia	–	–	–	–
Hawaii	–	–	–	–
Idaho	–	–	–	–
Illinois	–	–	–	–
Indiana	–	–	–	–
Iowa	–	–	–	–
Kansas	–	–	–	–
Kentucky	–	–	–	–
Louisiana	–	–	–	–
Maine	–	–	–	–
Maryland	–	–	–	–
Massachusetts	–	–	–	–
Michigan	–	–	–	–
Minnesota	–	–	–	–
Mississippi	–	–	–	–
Missouri	–	–	–	–
Montana	–	–	–	–
Nebraska	–	–	–	–
Nevada	–	–	–	–
New Hampshire	–	–	–	–
New Jersey	–	–	–	–

Symbol	ADANX	AMRFX	DODIX	AEGFX
CUSIP	00203H107	027681824	256210105	298706409
State continued				
New Mexico	–	–	–	–
New York	–	–	–	–
North Carolina	–	–	–	–
North Dakota	–	–	–	–
Ohio	–	–	–	–
Oklahoma	–	–	–	–
Oregon	–	–	–	–
Pennsylvania	–	–	–	–
Rhode Island	–	–	–	–
South Carolina	–	–	–	–
South Dakota	–	–	–	–
Tennessee	–	–	–	–
Texas	–	–	–	–
Utah	–	–	–	–
Vermont	–	–	–	–
Virginia	–	–	–	–
Washington	–	–	–	–
West Virginia	–	–	–	–
Wisconsin	–	–	–	–
Wyoming	–	–	–	–
U.S.Possessions	–	–	–	–
Fgn Source Inc Tot	–	–	–	86.97%
Fgn Source Inc Qual	–	–	–	86.97%
Fgn Source Inc Adj	–	–	–	–
Fed Source Total*	0.02%	0.09%	2.90%	0.15%
U S Treasury	0.02%	–	–	–
Fed Home Loan	–	–	–	–
Fed Farm Credit	–	–	–	–
Student Loan	–	–	–	–
TN Valley Auth	–	–	–	–
Other Dir. Fed	–	0.09%	2.90%	0.15%

* A detailed breakdown for Fed Source Total is listed when available For more information, contact the mutual fund or UIT directly

Raymond James & Associates, Inc. Account 49936437

Mutual Fund and UIT Supplemental Information

2014

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Symbol	PGBOX	MWTIX	PUCPX	PTTPX
CUSIP	4812C0357	592905509	72201M453	72201M552
State				
Alabama	-	-	-	-
Alaska	-	-	-	-
Arizona	-	-	-	-
Arkansas	-	-	-	-
California	-	-	-	-
Colorado	-	-	-	-
Connecticut	-	-	-	-
Delaware	-	-	-	-
Dist. Columbia	-	-	-	-
Florida	-	-	-	-
Georgia	-	-	-	-
Hawaii	-	-	-	-
Idaho	-	-	-	-
Illinois	-	-	-	-
Indiana	-	-	-	-
Iowa	-	-	-	-
Kansas	-	-	-	-
Kentucky	-	-	-	-
Louisiana	-	-	-	-
Maine	-	-	-	-
Maryland	-	-	-	-
Massachusetts	-	-	-	-
Michigan	-	-	-	-
Minnesota	-	-	-	-
Mississippi	-	-	-	-
Missouri	-	-	-	-
Montana	-	-	-	-
Nebraska	-	-	-	-
Nevada	-	-	-	-
New Hampshire	-	-	-	-
New Jersey	-	-	-	-

Symbol	PGBOX	MWTIX	PUCPX	PTTPX
CUSIP	4812C0357	592905509	72201M453	72201M552
State continued				
New Mexico	-	-	-	-
New York	-	-	-	-
North Carolina	-	-	-	-
North Dakota	-	-	-	-
Ohio	-	-	-	-
Oklahoma	-	-	-	-
Oregon	-	-	-	-
Pennsylvania	-	-	-	-
Rhode Island	-	-	-	-
South Carolina	-	-	-	-
South Dakota	-	-	-	-
Tennessee	-	-	-	-
Texas	-	-	-	-
Utah	-	-	-	-
Vermont	-	-	-	-
Virginia	-	-	-	-
Washington	-	-	-	-
West Virginia	-	-	-	-
Wisconsin	-	-	-	-
Wyoming	-	-	-	-
U.S.Possessions	-	-	-	-
Fgn Source Inc Tot	-	-	-	-
Fgn Source Inc Qual	-	-	-	-
Fgn Source Inc Adj	-	-	-	-
Fed Source Total*	21.15%	16.40%	7.30%	15.32%
U S Treasury	20.80%	16.10%	7.16%	15.00%
Fed Home Loan	0.07%	0.30%	-	-
Fed Farm Credit	0.02%	-	-	-
Student Loan	-	-	0.14%	0.32%
TN Valley Auth	0.26%	-	-	-
Other Dir. Fed	-	-	-	-

* A detailed breakdown for Fed Source Total is listed when available For more information, contact the mutual fund or UIT directly

Raymond James & Associates, Inc. Account 49936437

Mutual Fund and UIT Supplemental Information

2014

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Symbol	PADZX	PASVX	TGBAX	HIEMX
CUSIP	74441J829	77957Q202	880208400	92828T889
State				
Alabama	-	-	-	-
Alaska	-	-	-	-
Arizona	-	-	-	-
Arkansas	-	-	-	-
California	-	-	-	-
Colorado	-	-	-	-
Connecticut	-	-	-	-
Delaware	-	-	-	-
Dist. Columbia	-	-	-	-
Florida	-	-	-	-
Georgia	-	-	-	-
Hawaii	-	-	-	-
Idaho	-	-	-	-
Illinois	-	-	-	-
Indiana	-	-	-	-
Iowa	-	-	-	-
Kansas	-	-	-	-
Kentucky	-	-	-	-
Louisiana	-	-	-	-
Maine	-	-	-	-
Maryland	-	-	-	-
Massachusetts	-	-	-	-
Michigan	-	-	-	-
Minnesota	-	-	-	-
Mississippi	-	-	-	-
Missouri	-	-	-	-
Montana	-	-	-	-
Nebraska	-	-	-	-
Nevada	-	-	-	-
New Hampshire	-	-	-	-
New Jersey	-	-	-	-

Symbol	PADZX	PASVX	TGBAX	HIEMX
CUSIP	74441J829	77957Q202	880208400	92828T889
State continued				
New Mexico	-	-	-	-
New York	-	-	-	-
North Carolina	-	-	-	-
North Dakota	-	-	-	-
Ohio	-	-	-	-
Oklahoma	-	-	-	-
Oregon	-	-	-	-
Pennsylvania	-	-	-	-
Rhode Island	-	-	-	-
South Carolina	-	-	-	-
South Dakota	-	-	-	-
Tennessee	-	-	-	-
Texas	-	-	-	-
Utah	-	-	-	-
Vermont	-	-	-	-
Virginia	-	-	-	-
Washington	-	-	-	-
West Virginia	-	-	-	-
Wisconsin	-	-	-	-
Wyoming	-	-	-	-
U.S.Possessions	-	-	-	-
Fgn Source Inc Tot	-	-	53.73%	100.00%
Fgn Source Inc Qual	-	-	-	-
Fgn Source Inc Adj	-	-	-	-
Fed Source Total*	1.00%	0.11%	-	-
U S Treasury	0.90%	-	-	-
Fed Home Loan	-	-	-	-
Fed Farm Credit	-	-	-	-
Student Loan	-	-	-	-
TN Valley Auth	-	-	-	-
Other Dir. Fed	0.10%	0.11%	-	-

* A detailed breakdown for Fed Source Total is listed when available For more information, contact the mutual fund or UIT directly

Raymond James & Associates, Inc. Account 49936437

Mutual Fund and UIT Supplemental Information

2014

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Symbol	**WFVDX**		**WFVDX**
CUSIP	**949917108**		**949917108**
State		**State continued**	
Alabama	-	New Mexico	-
Alaska	-	New York	-
Arizona	-	North Carolina	-
Arkansas	-	North Dakota	-
California	-	Ohio	-
Colorado	-	Oklahoma	-
Connecticut	-	Oregon	-
Delaware	-	Pennsylvania	-
Dist. Columbia	-	Rhode Island	-
Florida	-	South Carolina	-
Georgia	-	South Dakota	-
Hawaii	-	Tennessee	-
Idaho	-	Texas	-
Illinois	-	Utah	-
Indiana	-	Vermont	-
Iowa	-	Virginia	-
Kansas	-	Washington	-
Kentucky	-	West Virginia	-
Louisiana	-	Wisconsin	-
Maine	-	Wyoming	-
Maryland	-	U.S.Possessions	-
Massachusetts	-	**Fgn Source Inc Tot**	89.84%
Michigan	-	**Fgn Source Inc Qual**	-
Minnesota	-	**Fgn Source Inc Adj**	-
Mississippi	-	**Fed Source Total***	-
Missouri	-	U S Treasury	-
Montana	-	Fed Home Loan	-
Nebraska	-	Fed Farm Credit	-
Nevada	-	Student Loan	-
New Hampshire	-	TN Valley Auth	-
New Jersey	-	Other Dir. Fed	-

* A detailed breakdown for Fed Source Total is listed when available For more information, contact the mutual fund or UIT directly

03 Transaction has been adjusted for income reallocations

RAYMOND JAMES®

COST BASIS INFORMATION

This document provides valuable information for preparing your tax return(s) but should not be considered tax advice. We strongly encourage you to consult a qualified tax professional regarding the appropriate tax reporting as this statement is not a substitute for an Internal Revenue Service Form 1040, Schedule D, and form 8949. Cost basis data may have been estimated from several sources including, but not limited to, you and your Financial Advisor. Please thoroughly review this historical data. Should there be any discrepancy between this review and your personal records, please contact your Financial Advisor. This document takes into account specific requirements enacted under the Economic Stabilization Act of 2008. As a result securities that fall under these regulations will have cost basis and/or proceeds adjusted accordingly, and that adjusted cost basis will be reported to the IRS. Generally, securities that do not fall under these regulations will display cost basis for informational purposes, as the cost basis may have been estimated by you or your advisor, or may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy; this cost basis data will not be passed to the IRS. Please note designations on the 1099B indicate items that are reported to the IRS.

Please read the following information as it may pertain to one or more of your investments: Realized gains/losses are calculated assuming that the oldest purchase was liquidated first (first in-first out accounting, the default at Raymond James) unless otherwise specified by you. You should consult a qualified tax professional to decide which method you should apply to your accounting. Municipal Original Issue Discount bonds, most Corporate, Government, and Municipal bonds purchased at a discount or at a premium are adjusted for bond discount accretion or bond premium amortization. Realized gains/losses are calculated for zero coupon discount bonds using the adjusted cost. Reinvested dividends and capital gains are combined and shown with a purchase date of "various" wherever possible.

Recipient's identification number
For your protection this form may show only the last four digits of your social security number (SSN) individual taxpayer identification number (ITIN) adoption taxpayer identification number (ATIN) or employer identification number (EIN) However the issuer has reported your complete identification number to the IRS and where applicable to state and/or local governments

Account number

May show an account or other unique number the payer assigned to distinguish your account

Nominees

If this form includes amounts belonging to another person you are considered a nominee recipient You must file Forms 1099-DIV 1099-B 1099-INT and/or 1099-OID as appropriate with the IRS for each of the other owners to show their share of the income and you must furnish the appropriate Form 1099 to each owner File Copy A of the form with the IRS Furnish Copy B to each owner List yourself as the "payer" and the other owner(s) as the "recipient " File the new Form 1099 with Form 1096 Annual Summary and Transmittal of U S Information Returns with the Internal Revenue Service Center for your area On Form 1096 list yourself as the "filer " A spouse is not required to file a nominee return to show amounts owned by the other spouse See the 2014 General Instructions for Certain Information Returns
Form 1099-OID If you bought or sold an obligation during the year and you are not a nominee you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation

1099-DIV Instructions for Recipient

Line 1a Shows total ordinary dividends that are taxable Include this amount on line 9a of Form 1040 or 1040A Also report it on Schedule B (1040A or 1040) if required
Line 1b Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates See Form 1040/1040A instructions for how to determine this amount Report the eligible amount on line 9b Form 1040 or 1040A
The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP) Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution not as investment income for any other purpose
Line 2a Shows total capital gain distributions from a regulated investment company or real estate investment trust Report the amounts shown on line 2a on Schedule D (Form 1040) line 13 But if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D See the Form 1040/1040A instructions
Line 2b Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property Report this amount on the Unrecaptured Section 1250 Gain Worksheet Line 19 in the Schedule D instructions (Form 1040)
Line 2c Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion See the Schedule D (Form 1040) instructions
Line 2d Shows 28% rate gain from sales or exchanges of collectibles If required use this amount when completing the 28% Rate Gain Worksheet Line 18 in the instructions for Schedule D (Form 1040)
Line 3 Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis) You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock But if you get back all your cost (or other basis) report future distributions as capital gains See Pub 550 Investment Income and Expenses
Line 4 See "Backup Withholding" section
Line 5 Shows your share of expenses of a nonpublicly offered regulated investment company generally a nonpublicly offered mutual fund If you file Form 1040 you may deduct these expenses on the Other expenses line on Schedule A (Form 1040) subject to the 2% limit This amount is included on line 1a
Line 6 Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 See the Form 1040 instructions
Line 7 This line should be left blank if a regulated investment company reported the foreign tax shown on line 6
Lines 8 and 9 Shows cash and noncash liquidation distributions
Line 10 Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest This amount may be subject to backup withholding See line 4
Line 11 Shows exempt-interest dividends subject to the alternative minimum tax This amount is included on line 10 See the Instructions for Form 6251

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities For a description of covered securities see the Instructions for Form 8949 For a covered security acquired at a premium unless you notified the payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to amortize the premium under section 171 your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization for the year or (2) a gross amount for both the interest paid to you and the premium amortization for the year If you did notify your payer that you did not want to amortize the premium on a covered security then your payer will only report the gross amount of interest paid to you For a noncovered security acquired at a premium your payer is only required to report the gross amount of interest paid to you

Line 1 Shows taxable interest paid to you during the calendar year by the payer This does not include interest shown on line 3 May also show the total amount of the credits from clean renewable energy bonds new clean renewable energy bonds qualified energy conservation bonds qualified zone academy bonds qualified school construction bonds and build America bonds that must be included in your interest income These amounts were treated as paid to you during 2014 on the credit allowance dates (March 15 June 15 September 15 and December 15) For more information see Form 8912 Credit to Holders of Tax Credit Bonds See the instructions above for a covered security acquired at a premium
Line 2 Shows interest or principal forfeited because of early withdrawal of time savings You may deduct this amount to figure your adjusted gross income on your income tax return See the instructions for Form 1040 to see where to take the deduction
Line 3 Shows interest on US Savings Bonds Treasury bills Treasury bonds and Treasury notes This may or may not all be taxable See Pub 550 This interest is exempt from state and local income taxes This interest is not included on line 1 See the instructions above for a covered security acquired at a premium
Line 4 See "Backup Withholding" section
Line 5 Any amount shown is your share of investment expenses of a single-class REMIC If you file Form 1040 you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit This amount is included on line 1
Line 6 Shows foreign tax paid You may be able to claim this tax as a deduction or a credit on your Form 1040 See your Form 1040 instructions
Line 7 Shows the country or US possession to which the foreign tax was paid
Line 8 Shows tax-exempt interest paid to you during the calendar year by the payer Report this amount on line 8b of Form 1040 or Form 1040A This amount may be subject to backup withholding See line 4 See the instructions above for a covered security acquired at a premium
Line 9 Shows tax-exempt interest subject to the alternative minimum tax This amount is included on line 8 See the Instructions for Form 6251 See the instructions above for a covered security acquired at a premium
Line 10 For a covered security if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election shows the market discount that accrued on the debt instrument during the year while held by you Report this amount on your income tax return as directed in the instructions for Form 1040 or 1040A
Line 11 For a covered security shows the amount of premium amortization for the year unless you notified the payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported on this line see the instructions for Form 1040 (Schedule B) If an amount is not reported on this line for a covered security acquired at a premium the payer has reported a net amount of interest on lines 1 3 8 or 9 whichever is applicable If the amount on this line is greater than the amount of interest paid on the covered security please see Regulations section 1 171-2(a)(4)
Line 12 Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported on line 8 If blank no CUSIP number was issued for the bond(s)

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon) OID is taxable as interest over the life of the obligation If you are the holder of an OID obligation generally you must include an amount of OID in your gross income each year you hold the obligation Obligations that may have OID include a bond debenture note certificate or other evidence of indebtedness having a term of more than 1 year For example the OID rules may apply to certificates of deposit (CDs) time deposits bonus savings plans and other deposit arrangements especially if the payment of interest is deferred until maturity In addition the OID rules apply to Treasury inflation-protected securities See Pub 550 Investment Income and Expenses for more information
The information provided may be different for covered and noncovered securities For a description of covered securities see the Instructions for Form 8949 For a covered security acquired with acquisition premium your payer may report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year or (2) a gross amount for both the OID and the acquisition premium amortization for the year For a noncovered security acquired with acquisition premium your payer is only required to report the gross amount of OID
Column 1 Shows the OID on the obligation for the part of the year you owned it Report the amount in column 1 as interest income on your income tax return However depending on the type of debt instrument the issue or acquisition date and other factors (for example if you paid

acquisition or bond premium or the obligation is a stripped bond or coupon) you may have to figure the correct amount of OID to report on your return See Pub 1212 Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID See the instructions above for a covered security acquired with acquisition premium

Column 3 Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation such as from a CD You may deduct this amount to figure your adjusted gross income on your income tax return See the instructions for Form 1040 to see where to take the deduction

Line 4 See "Backup Withholding" section

Column 5 For a covered security if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election shows the market discount that accrued on the debt instrument during the year while held by you Report this amount on your income tax return as directed in the instructions for Form 1040 or 1040A

Column 6 For a covered security shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return If an amount is reported in this column see the instructions for Form 1040 (Schedule B) If an amount is not reported in this column for a covered security acquired with acquisition premium the payer has reported a net amount of OID that reflects the offset of OID that is included in income See the instructions above for a covered security acquired with acquisition premium

Line 7 Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange issuer coupon rate and year of maturity)

Column 8 Shows OID on a US Treasury obligation for the part of the year you owned it Report this amount as interest income on your federal income tax return and see Pub 1212 to figure any appropriate adjustments to this amount This OID is exempt from state and local income taxes and is not included in column 1 See the instructions above for a covered security acquired with acquisition premium

Column 9 Any amount shown is your share of investment expenses of a single-class REMIC If you file Form 1040 you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit This amount is included in column 2

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases basis for) transactions to you and the IRS on Form 1099-B Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure You may be required to recognize gain from the receipt of cash stock or other property that was exchanged for the corporation's stock If your broker reported this type of transaction to you the corporation is identified in column 1a

CUSIP Number Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number

Applicable check box on Form 8949 Indicates where to report this transaction on Form 8949 and Schedule D and which check box is applicable See the instructions for your Schedule D and/or Form 8949

Column 1a Shows a brief description of the item or service for which amounts are being reported For regulated futures contracts and forward contracts "RFC" or other appropriate description may be shown For Section 1256 option contracts "Section 1256 option" or other appropriate description may be shown For a corporation that had a reportable change in control or capital structure this column may show the class of stock as C (common) P (preferred) or O (other)

Column 1b This column may be blank if line 5 is Noncovered or if the securities sold were acquired on a variety of dates For short sales the date shown is the date you acquired the security delivered to close the short sale

Column 1c Shows the trade date of the sale or exchange For short sales the date shown is the date the security was delivered to close the short sale For aggregate reporting on lines 8 through 11 no entry will be present

Column 1d Shows the cash proceeds reduced by any commissions or transfer taxes related to the sale for transactions involving stocks debt commodities forward contracts non-Section 1256 option contracts or securities futures contracts May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation Losses on forward contracts or non-Section 1256 option contracts are shown as negative proceeds This column does not include proceeds from regulated futures contracts or Section 1256 option contracts Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D

Column 1e Shows the cost or other basis of securities sold If the securities were acquired through the exercise of a non-compensatory option granted or acquired on or after January 1 2014 the basis has been adjusted to reflect your option premium If the securities were acquired through the exercise of a non-compensatory option granted or acquired before January 1 2014 your broker is permitted but not required to adjust the basis to reflect your option premium If the securities were acquired through the exercise of a compensatory option the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2 If line 5 is Noncovered Column 1e may be blank See the Instructions for Form 8949 Instructions for Schedule D or Pub 550 for details

Column 1f Shows W for wash sale C for collectibles or D for market discount

Column 1g Shows the amount of nondeductible loss in a wash sale transaction or the amount of accrued market discount For details on wash sales and market discount see Schedule D (Form 1040) instructions and Pub 550

Line 3 If "Basis is reported to the IRS" the amount in column 1e has been reported to the IRS and line 2 indicates either Short or Long-term If NO adjustment is required see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D

Line 4 See "Backup Withholding" section

Line 5 If the securities sold were Noncovered columns 1b and 1e may be blank and line 2 may be Undetermined Generally a noncovered security means stock purchased before 2011 stock in most mutual funds purchased before 2012 stock purchased in or transferred to a dividend reinvestment plan before 2012 debt acquired before 2014 options granted or acquired before 2014 and securities futures contracts entered into before 2014

Column 6 If the exercise of a non-compensatory option resulted in a sale of a security indicates whether the amount in column 1d was adjusted for premium

Column 7 If checked you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d See the Form 8949 and Schedule D instructions The broker should advise you of any losses on a separate statement

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8 Shows the profit or (loss) realized on regulated futures foreign currency or Section 1256 option contracts closed during 2014

Line 9 Shows any year-end adjustment to the profit or (loss) shown on line 8 due to open contracts on December 31 2013

Line 10 Shows the unrealized profit or (loss) on open contracts held in your account on December 31 2014 These are considered closed out as of that date This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2014 in 2015

Line 11 Lines 8 9 and 10 are all used to figure the aggregate profit or (loss) on regulated futures foreign currency or Section 1256 option contracts for the year Include this amount on your 2014 Form 6781

Columns 14-15 and Line 16 Shows state(s)/local income tax information

1099-MISC Instructions for Recipient

Line 2 Report royalties from oil gas or mineral properties copyrights and patents on Schedule E (Form 1040) However report payments for a working interest as explained in the line 7 instructions For royalties on timber coal and iron ore see Pub 544

Line 3 Generally report this amount on the Other income line of Form 1040 (or Form 1040NR) and identify the payment The amount shown may be payments received as the beneficiary of a deceased employee prizes awards taxable damages Indian gaming profits or other taxable income See Pub 525 If it is trade or business income report this amount on Schedule C or F (Form 1040)

Line 4 See "Backup Withholding" section

Line 8 Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities Report on the Other income line of Form 1040 (or Form 1040NR)

Backup Withholding

Line/Column 4 Shows backup withholding Generally a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer See Form W-9 Request for Taxpayer Identification Number and Certification for information on backup withholding Include this amount on your income tax return as tax withheld

State Tax Withholding

Any state tax withheld on income reported on Forms 1099-DIV 1099-INT 1099-OID and/or 1099-MISC is shown in a dedicated section of Summary Information with additional detail on a separate State Tax Withholding page(s)

*** End of Statement ***