



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation RDH Foundation c/o David Stabel		A Employer identification number 84-0894512
Number and street (or P O box number if mail is not delivered to street address) 24 Merrill Drive	Room/suite	B Telephone number 760-409-3564
City or town, state or province, country, and ZIP or foreign postal code Palm Desert, CA 92260-0614		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,078,546.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		6,045.	6,045.		Statement 1
4 Dividends and interest from securities		57,309.	57,309.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		39,640.			
b Gross sales price for all assets on line 6a 245,916.					
7 Capital gain net income (from Part IV, line 2)			39,640.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		10.	10.		Statement 3
12 Total. Add lines 1 through 11		103,004.	103,004.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 4		900.	0.		0.
c Other professional fees Stmt 5		3,068.	0.		0.
17 Interest					
18 Taxes Stmt 6		693.	0.		0.
19 Depreciation and depletion		789.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		7,701.	0.		0.
22 Printing and publications					
23 Other expenses Stmt 7		538.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		13,689.	0.		0.
25 Contributions, gifts, grants paid		98,900.			98,900.
26 Total expenses and disbursements. Add lines 24 and 25		112,589.	0.		98,900.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<9,585.>			
b Net investment income (if negative, enter -0-)			103,004.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			<1.>	<1.>
	2	Savings and temporary cash investments		24,165.	80,456.	80,456.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock Stmt 8	868,302.	857,499.	1,087,214.	
	c	Investments - corporate bonds Stmt 9	859,233.	755,091.	786,658.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶	1,391.		
		Less accumulated depreciation ▶	789.	602.	602.	
12		Investments - mortgage loans				
13		Investments - other Stmt 10	130,975.	179,443.	123,617.	
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,882,675.	1,873,090.	2,078,546.	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	1,882,675.	1,873,090.		
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.			
30	Total net assets or fund balances	1,882,675.	1,873,090.			
31	Total liabilities and net assets/fund balances	1,882,675.	1,873,090.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,882,675.
2	Enter amount from Part I, line 27a	2	<9,585.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,873,090.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,873,090.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Sale of Securities - see attached schedules	P	Various	Various
b Sale of Securities - see attached schedules	P		
c Capital Gains Dividends			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 125,024.		118,118.	6,906.
b 89,106.		88,158.	948.
c 31,786.			31,786.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			6,906.
b			948.
c			31,786.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	39,640.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	102,955.	1,994,309.	.051624
2012	93,490.	2,094,489.	.044636
2011	89,863.	1,886,159.	.047643
2010	80,460.	1,833,790.	.043876
2009	87,794.	1,654,864.	.053052

2 Total of line 1, column (d)	2	.240831
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048166
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,079,185.
5 Multiply line 4 by line 3	5	100,146.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,030.
7 Add lines 5 and 6	7	101,176.
8 Enter qualifying distributions from Part XII, line 4	8	98,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,060.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,060.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,060.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	880.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	880.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,180.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CO</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► David Stabel Telephone no. ► 760-409-3564 Located at ► 24 Merwill Drive, Palm Desert, CA ZIP+4 ► 92260-0614			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

423551
11-24-14

7

15550511 784802 761.1

2014.03040 RDH Foundation c/o David St 761_1_1

Form 990-PF (2014)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

Form **990-PF** (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,064,182.
b Average of monthly cash balances	1b	46,666.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,110,848.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,110,848.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,663.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,079,185.
6 Minimum investment return. Enter 5% of line 5	6	103,959.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	103,959.
2a Tax on investment income for 2014 from Part VI, line 5	2a	2,060.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	2,060.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	101,899.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	101,899.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	101,899.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	98,900.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	98,900.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	98,900.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				101,899.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			98,519.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: \$ 98,900.				
a Applied to 2013, but not more than line 2a			98,519.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				381.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				101,518.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				Prior 3 years	(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011		
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon:						
a "Assets" alternative test - enter:						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed						
c "Support" alternative test - enter:						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Attached schedules 24 Merrill Drive Palm Desert, CA 92260-0614	None	Charities	Various	98,900.
Total			3a	98,900.
b Approved for future payment				
None				
Total			3b	0.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
RBC Wealth Management	6,045.	6,045.	
Total to Part I, line 3	6,045.	6,045.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
RBC Advisors account	9,784.	5,505.	4,279.	4,279.	
RBC Wealth Management	79,311.	26,281.	53,030.	53,030.	
To Part I, line 4	89,095.	31,786.	57,309.	57,309.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Other income	10.	10.	
Total to Form 990-PF, Part I, line 11	10.	10.	

Form 990-PF	Accounting Fees			Statement 4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax preparation	900.	0.		0.
To Form 990-PF, Pg 1, ln 16b	900.	0.		0.

Form 990-PF	Other Professional Fees			Statement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Brokerage fees	3,068.	0.		0.
To Form 990-PF, Pg 1, ln 16c	3,068.	0.		0.

Form 990-PF	Taxes			Statement 6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign taxes paid	168.	0.		0.
Excise tax	525.	0.		0.
To Form 990-PF, Pg 1, ln 18	693.	0.		0.

Form 990-PF	Other Expenses			Statement 7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Office	538.	0.		0.
To Form 990-PF, Pg 1, ln 23	538.	0.		0.

Form 990-PF	Corporate Stock	Statement	8
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
Corporate Stocks	857,499.	1,087,214.
Total to Form 990-PF, Part II, line 10b	857,499.	1,087,214.

Form 990-PF	Corporate Bonds	Statement	9
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
Fixed income	755,091.	786,658.
Total to Form 990-PF, Part II, line 10c	755,091.	786,658.

Form 990-PF	Other Investments	Statement	10
-------------	-------------------	-----------	----

Description	Valuation Method	Book Value	Fair Market Value
Mixed Assets & Mutual Funds	COST	179,443.	123,617.
Total to Form 990-PF, Part II, line 13		179,443.	123,617.

Form 990-PF Part VIII - List of Officers, Directors Statement 11
 Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan	Expense Contrib Account
David Stabel 24 Merrill Drive Palm Desert, CA 92260-0614	President, Director 0.00	0.	0.	0.
Paul Stabel 15793 S W 81st Ave Tigard, OR 97224	Vice-President, Director 0.00	0.	0.	0.
Tiffany Craft 21 Oakfield Irvine, CA 92620	Treasurer, Director 0.00	0.	0.	0.
Aaron Stabel 12864 Zurich Pl. #1 Truckee, CA 96161	Director 0.00	0.	0.	0.
Sheila Stabel 24 Merrill Drive palm Desert, CA 92260-0614	Secretary, Director 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

2014 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	Computer	09/14/14	200DB	5.00	19B	1,315.		658.	657.			789.
	* Total 990-PF Pg 1 Depr					1,315.		658.	657.	0.	0.	789.

Form **4562****Depreciation and Amortization**
(Including Information on Listed Property) 990-PF

OMB No 1545-0172

2014Attachment
Sequence No 179Department of the Treasury
Internal Revenue Service (99)

▶ Attach to your tax return.

▶ Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

RDH Foundation
c/o David Stabel

Form 990-PF Page 1

84-0894512

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	500,000.
2	Total cost of section 179 property placed in service (see instructions)	
3	Threshold cost of section 179 property before reduction in limitation	2,000,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	
6	(a) Description of property	(b) Cost (business use only)
7	Listed property. Enter the amount from line 29	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	
9	Tentative deduction Enter the smaller of line 5 or line 8	
10	Carryover of disallowed deduction from line 13 of your 2013 Form 4562	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	
13	Carryover of disallowed deduction to 2015. Add lines 9 and 10, less line 12	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	658.
15	Property subject to section 168(f)(1) election	
16	Other depreciation (including ACRS)	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2014	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here	

Section B - Assets Placed in Service During 2014 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		657.	5 Yrs.	HY	200DB	131.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	

Section C - Assets Placed in Service During 2014 Tax Year Using the Alternative Depreciation System

20a Class life				S/L	
b 12-year			12 yrs.	S/L	
c 40-year	/		40 yrs.	MM	S/L

Part IV Summary (See instructions)

21	Listed property. Enter amount from line 28	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	789.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	

Part V**Listed Property** (include automobiles, certain other vehicles, certain aircraft, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
--	-------------------------------------	--	-------------------------------	--	---------------------------	------------------------------	----------------------------------	---------------------------------------

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use**25****26** Property used more than 50% in a qualified business use:

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use:

		%			S/L -		
		%			S/L -		
		%			S/L -		

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1**28****29** Add amounts in column (i), line 26. Enter here and on line 7, page 1**29****Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (do not include commuting miles)						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
-----------------------------	------------------------------------	------------------------------	------------------------	---	--------------------------------------

42 Amortization of costs that begins during your 2014 tax year:

--	--	--	--	--	--

43 Amortization of costs that began before your 2014 tax year**43****44** Total. Add amounts in column (f). See the instructions for where to report**44**

[illegible]

TOTAL DISTRIBUTED:	\$98,900.00		

RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that items that are checked in the "NON-CVRD SEC" column are noncovered securities and cost basis information for these items is not reported to the IRS. Cost basis information for items that are checked in the "BASIS RPTD TO IRS" column is reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc.

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
N00927348 AEGON NV 7.25% PERPETUAL CAP SECS PFD									
06/16/14	E/	2,000 000	50,000.00	9/14/2007	50,000.00		LONG	☐	☒
09249E101 BHK BLACKROCK CORE BD TR SHS BEN INT									
11/11/14	E/	0 596	7.91	2/2/1994	9 70		LONG	☐	☒
36202BJP1 G N M A PASS THRU POOL 001170M									
01/21/14	X/P	0 000	0 94		0 00		UNKNOWN	☐	☒
02/20/14	X/P	0 000	0.95		0 00		UNKNOWN	☐	☒
03/20/14	X/P	0 000	0.96		0 00		UNKNOWN	☐	☒
04/21/14	X/P	0 000	0 97		0 00		UNKNOWN	☐	☒
05/20/14	X/P	0 000	0.98		0 00		UNKNOWN	☐	☒
06/20/14	X/P	0.000	0 98		0.00		UNKNOWN	☐	☒
07/21/14	X/P	0.000	0.99		0.00		UNKNOWN	☐	☒
08/20/14	X/P	0.000	1.00		0 00		UNKNOWN	☐	☒
09/22/14	X/P	0 000	1.01		0 00		UNKNOWN	☐	☒
10/20/14	X/P	0 000	1 02		0 00		UNKNOWN	☐	☒
11/20/14	X/P	0 000	1 03		0 00		UNKNOWN	☐	☒
12/22/14	X/P	0 000	1.04		0.00		UNKNOWN	☐	☒
36202BT38 G N M A PASS THRU POOL 001475M									
01/21/14	E/P	0 000	2 64	5/17/1994	2 48		LONG	☐	☒
02/20/14	E/P	0.000	2 79	5/17/1994	2 62		LONG	☐	☒
03/20/14	E/P	0 000	2.73	5/17/1994	2 56		LONG	☐	☒
04/21/14	E/P	0 000	2 72	5/17/1994	2 55		LONG	☐	☒
05/20/14	E/P	0 000	12 10	5/17/1994	11 35		LONG	☐	☒
06/20/14	E/P	0.000	15.66	5/17/1994	14 68		LONG	☐	☒
07/21/14	E/P	0.000	2 56	5/17/1994	2 40		LONG	☐	☒
08/20/14	E/P	0 000	2.57	5/17/1994	2.41		LONG	☐	☒
09/22/14	E/P	0 000	2.69	5/17/1994	2 52		LONG	☐	☒
10/20/14	E/P	0 000	17.22	5/17/1994	16.14		LONG	☐	☒
11/20/14	E/P	0 000	8.84	5/17/1994	8.29		LONG	☐	☒
12/22/14	E/P	0.000	2.64	5/17/1994	2.48		LONG	☐	☒
36202CMA8 G N M A PASS THRU POOL 002153M									
01/21/14	E/P	0 000	270 83	11/17/2008	287.08		LONG	☐	☒
02/20/14	E/P	0.000	411.11	11/17/2008	435 77		LONG	☐	☒
03/20/14	E/P	0 000	113.53	11/17/2008	120.34		LONG	☐	☒
04/21/14	E/P	0.000	467.22	11/17/2008	495.25		LONG	☐	☒
05/20/14	E/P	0 000	347 52	11/17/2008	368 37		LONG	☐	☒
06/20/14	E/P	0 000	117.96	11/17/2008	125.03		LONG	☐	☒
07/21/14	E/P	0.000	211.05	11/17/2008	223.71		LONG	☐	☒
08/20/14	E/P	0 000	125.83	11/17/2008	133.38		LONG	☐	☒
09/22/14	E/P	0.000	610.74	11/17/2008	647.38		LONG	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
36202CMA8 G N M A PASS THRU POOL 002153M									
10/20/14	E/P	0 000	120 72	11/17/2008	127.97		LONG	☐	☒
11/20/14	E/P	0 000	724 00	11/17/2008	767 44		LONG	☐	☒
12/22/14	E/P	0 000	407 95	11/17/2008	432.43		LONG	☐	☒
36202CRD7 G N M A PASS THRU POOL 002284M									
01/21/14	E/P	0 000	0 83	1/29/2001	0.86		LONG	☐	☒
02/20/14	E/P	0 000	0 84	1/29/2001	0 87		LONG	☐	☒
03/20/14	E/P	0 000	0.84	1/29/2001	0.88		LONG	☐	☒
04/21/14	E/P	0.000	0 85	1/29/2001	0 88		LONG	☐	☒
05/20/14	E/P	0.000	1 86	1/29/2001	1 93		LONG	☐	☒
06/20/14	E/P	0.000	0 84	1/29/2001	0 88		LONG	☐	☒
07/21/14	E/P	0.000	0.87	1/29/2001	0 90		LONG	☐	☒
08/20/14	E/P	0.000	0.87	1/29/2001	0 91		LONG	☐	☒
09/22/14	E/P	0.000	0 92	1/29/2001	0.96		LONG	☐	☒
10/20/14	E/P	0 000	0.89	1/29/2001	0 93		LONG	☐	☒
11/20/14	E/P	0.000	1.01	1/29/2001	1 05		LONG	☐	☒
12/22/14	E/P	0 000	0 86	1/29/2001	0 89		LONG	☐	☒
36202CZM8 G N M A PASS THRU POOL 002548M									
01/21/14	E/P	0.000	137.17	9/4/2008	146.09		LONG	☐	☒
02/20/14	E/P	0.000	53 18	9/4/2008	56.64		LONG	☐	☒
03/20/14	E/P	0 000	54.73	9/4/2008	58 29		LONG	☐	☒
04/21/14	E/P	0.000	126.55	9/4/2008	134 78		LONG	☐	☒
05/20/14	E/P	0.000	240.04	9/4/2008	255 64		LONG	☐	☒
06/20/14	E/P	0.000	353 10	9/4/2008	376 05		LONG	☐	☒
07/21/14	E/P	0 000	57.36	9/4/2008	61 09		LONG	☐	☒
08/20/14	E/P	0.000	254 03	9/4/2008	270 54		LONG	☐	☒
09/22/14	E/P	0.000	166.28	9/4/2008	177 09		LONG	☐	☒
10/20/14	E/P	0.000	51 71	9/4/2008	55.07		LONG	☐	☒
11/20/14	E/P	0 000	275 60	9/4/2008	293 51		LONG	☐	☒
12/22/14	E/P	0 000	54 25	9/4/2008	57.78		LONG	☐	☒
36202C3Y7 G N M A PASS THRU POOL 002615M									
01/21/14	E/P	0 000	96.67	9/27/2004	102 95		LONG	☐	☒
02/20/14	E/P	0.000	166.50	9/27/2004	177.32		LONG	☐	☒
03/20/14	E/P	0.000	155 09	9/27/2004	165.17		LONG	☐	☒
04/21/14	E/P	0 000	171 58	9/27/2004	182 73		LONG	☐	☒
05/20/14	E/P	0 000	309 20	9/27/2004	329 30		LONG	☐	☒
06/20/14	E/P	0 000	231.02	9/27/2004	246.04		LONG	☐	☒
07/21/14	E/P	0 000	290 16	9/27/2004	309 02		LONG	☐	☒
08/20/14	E/P	0 000	131.55	9/27/2004	140.10		LONG	☐	☒
09/22/14	E/P	0.000	213.05	9/27/2004	226.90		LONG	☐	☒
10/20/14	E/P	0 000	184 95	9/27/2004	196.98		LONG	☐	☒
11/20/14	E/P	0 000	201.76	9/27/2004	214.88		LONG	☐	☒
12/22/14	E/P	0 000	303.30	9/27/2004	323 02		LONG	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 -- Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
36202DKY6 G N M A PASS THRU POOL 003011M									
01/21/14	E/P	0.000	13.66	1/29/2001	14.22		LONG	☐	☒
02/20/14	E/P	0.000	4.64	1/29/2001	4.84		LONG	☐	☒
03/20/14	E/P	0.000	7.22	1/29/2001	7.52		LONG	☐	☒
04/21/14	E/P	0.000	6.07	1/29/2001	6.32		LONG	☐	☒
05/20/14	E/P	0.000	5.66	1/29/2001	5.89		LONG	☐	☒
06/20/14	E/P	0.000	22.28	1/29/2001	23.19		LONG	☐	☒
07/21/14	E/P	0.000	10.08	1/29/2001	10.50		LONG	☐	☒
08/20/14	E/P	0.000	13.09	1/29/2001	13.63		LONG	☐	☒
09/22/14	E/P	0.000	7.57	1/29/2001	7.88		LONG	☐	☒
10/20/14	E/P	0.000	6.76	1/29/2001	7.04		LONG	☐	☒
11/20/14	E/P	0.000	4.81	1/29/2001	5.01		LONG	☐	☒
12/22/14	E/P	0.000	5.80	1/29/2001	6.04		LONG	☐	☒
36202DLF6 G N M A PASS THRU POOL 003026M									
01/21/14	E/P	0.000	4.27	1/29/2001	4.40		LONG	☐	☒
02/20/14	E/P	0.000	6.99	1/29/2001	7.19		LONG	☐	☒
03/20/14	E/P	0.000	9.80	1/29/2001	10.08		LONG	☐	☒
04/21/14	E/P	0.000	14.42	1/29/2001	14.83		LONG	☐	☒
05/20/14	E/P	0.000	9.10	1/29/2001	9.36		LONG	☐	☒
06/20/14	E/P	0.000	2.21	1/29/2001	2.27		LONG	☐	☒
07/21/14	E/P	0.000	2.18	1/29/2001	2.24		LONG	☐	☒
08/20/14	E/P	0.000	12.20	1/29/2001	12.55		LONG	☐	☒
09/22/14	E/P	0.000	8.55	1/29/2001	8.80		LONG	☐	☒
10/20/14	E/P	0.000	20.68	1/29/2001	21.27		LONG	☐	☒
11/20/14	E/P	0.000	15.62	1/29/2001	16.07		LONG	☐	☒
12/22/14	E/P	0.000	1.97	1/29/2001	2.03		LONG	☐	☒
36202DX71 G N M A PASS THRU POOL 003402M									
01/21/14	E/P	0.000	126.80	4/23/2009	132.69		LONG	☐	☒
02/20/14	E/P	0.000	95.79	4/23/2009	100.25		LONG	☐	☒
03/20/14	E/P	0.000	86.16	4/23/2009	90.16		LONG	☐	☒
04/21/14	E/P	0.000	83.04	4/23/2009	86.90		LONG	☐	☒
05/20/14	E/P	0.000	101.62	4/23/2009	106.35		LONG	☐	☒
06/20/14	E/P	0.000	111.96	4/23/2009	117.17		LONG	☐	☒
07/21/14	E/P	0.000	83.17	4/23/2009	87.04		LONG	☐	☒
08/20/14	E/P	0.000	79.73	4/23/2009	83.43		LONG	☐	☒
09/22/14	E/P	0.000	101.86	4/23/2009	106.60		LONG	☐	☒
10/20/14	E/P	0.000	82.99	4/23/2009	86.85		LONG	☐	☒
11/20/14	E/P	0.000	93.41	4/23/2009	97.75		LONG	☐	☒
12/22/14	E/P	0.000	82.08	4/23/2009	85.90		LONG	☐	☒
36217SX48 G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI									
01/15/14	E/P	0.000	10.28	10/12/2004	10.90		LONG	☐	☒
02/18/14	E/P	0.000	10.35	10/12/2004	10.97		LONG	☐	☒
03/17/14	E/P	0.000	10.42	10/12/2004	11.04		LONG	☐	☒
04/15/14	E/P	0.000	10.88	10/12/2004	11.53		LONG	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
36217SX48 G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI									
05/15/14	E/P	0.000	10 56	10/12/2004	11.19		LONG	☐	☒
06/16/14	E/P	0.000	10 63	10/12/2004	11 27		LONG	☐	☒
07/15/14	E/P	0.000	10 70	10/12/2004	11 34		LONG	☐	☒
08/15/14	E/P	0.000	10 77	10/12/2004	11 42		LONG	☐	☒
09/15/14	E/P	0.000	11.08	10/12/2004	11 74		LONG	☐	☒
10/15/14	E/P	0.000	11.10	10/12/2004	11 77		LONG	☐	☒
11/17/14	E/P	0.000	11 22	10/12/2004	11 89		LONG	☐	☒
12/15/14	E/P	0.000	11 29	10/12/2004	11 97		LONG	☐	☒
36217US72 G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO									
01/15/14	E/P	0.000	16 04	7/14/1994	16 04		LONG	☐	☒
02/18/14	E/P	0.000	16 46	7/14/1994	16 46		LONG	☐	☒
03/17/14	E/P	0.000	16 58	7/14/1994	16 58		LONG	☐	☒
04/15/14	E/P	0.000	16 69	7/14/1994	16.69		LONG	☐	☒
05/15/14	E/P	0.000	16.81	7/14/1994	16.81		LONG	☐	☒
06/16/14	E/P	0.000	16 93	7/14/1994	16 93		LONG	☐	☒
07/15/14	E/P	0.000	17 05	7/14/1994	17.05		LONG	☐	☒
08/15/14	E/P	0.000	17.17	7/14/1994	17 17		LONG	☐	☒
09/15/14	E/P	0.000	17 29	7/14/1994	17.29		LONG	☐	☒
10/15/14	E/P	0.000	17.42	7/14/1994	17 42		LONG	☐	☒
11/17/14	E/P	0.000	17 54	7/14/1994	17 54		LONG	☐	☒
12/15/14	E/P	0.000	17.66	7/14/1994	17 66		LONG	☐	☒
36219VSH6 G N M A PASS THRU POOL 260720X									
01/15/14	E/P	0.000	3 01	9/26/1994	3.16		LONG	☐	☒
02/18/14	E/P	0.000	3 04	9/26/1994	3 19		LONG	☐	☒
03/17/14	E/P	0.000	3 06	9/26/1994	3 22		LONG	☐	☒
04/15/14	E/P	0.000	3 09	9/26/1994	3.24		LONG	☐	☒
05/15/14	E/P	0.000	3 12	9/26/1994	3 27		LONG	☐	☒
06/16/14	E/P	0.000	3 14	9/26/1994	3 30		LONG	☐	☒
07/15/14	E/P	0.000	3.17	9/26/1994	3 33		LONG	☐	☒
08/15/14	E/P	0.000	3 20	9/26/1994	3 36		LONG	☐	☒
09/15/14	E/P	0.000	3 23	9/26/1994	3 39		LONG	☐	☒
10/15/14	E/P	0.000	3 25	9/26/1994	3 41		LONG	☐	☒
11/17/14	E/P	0.000	3 28	9/26/1994	3.44		LONG	☐	☒
12/15/14	E/P	0.000	3 31	9/26/1994	3 48		LONG	☐	☒
362208UH1 G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK									
01/15/14	X/P	0.000	12 16		0.00		UNKNOWN	☐	☒
02/18/14	X/P	0.000	12 25		0.00		UNKNOWN	☐	☒
03/17/14	X/P	0.000	12 35		0.00		UNKNOWN	☐	☒
04/15/14	X/P	0.000	12.44		0.00		UNKNOWN	☐	☒
05/15/14	X/P	0.000	12.53		0.00		UNKNOWN	☐	☒
06/16/14	X/P	0.000	12 63		0.00		UNKNOWN	☐	☒
07/15/14	X/P	0.000	12 72		0.00		UNKNOWN	☐	☒
08/15/14	X/P	0.000	12 82		0.00		UNKNOWN	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds, etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
362208UHT- G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK									
09/15/14	X/P	0.000	12.91		0.00		UNKNOWN	☐	☒
10/15/14	X/P	0.000	13.01		0.00		UNKNOWN	☐	☒
11/17/14	X/P	0.000	13.11		0.00		UNKNOWN	☐	☒
12/15/14	X/P	0.000	13.20		0.00		UNKNOWN	☐	☒
36223V4E0- G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK									
01/15/14	E/P	0.000	6.83	5/9/1994	6.83		LONG	☐	☒
02/18/14	E/P	0.000	6.88	5/9/1994	6.88		LONG	☐	☒
03/17/14	E/P	0.000	7.61	5/9/1994	7.61		LONG	☐	☒
04/15/14	E/P	0.000	6.29	5/9/1994	6.29		LONG	☐	☒
05/15/14	E/P	0.000	7.02	5/9/1994	7.02		LONG	☐	☒
06/16/14	E/P	0.000	6.95	5/9/1994	6.95		LONG	☐	☒
07/15/14	E/P	0.000	4.05	5/9/1994	4.05		LONG	☐	☒
08/15/14	E/P	0.000	4.08	5/9/1994	4.08		LONG	☐	☒
09/15/14	E/P	0.000	4.11	5/9/1994	4.11		LONG	☐	☒
10/15/14	E/P	0.000	4.13	5/9/1994	4.13		LONG	☐	☒
11/17/14	E/P	0.000	4.16	5/9/1994	4.16		LONG	☐	☒
12/15/14	E/P	0.000	4.19	5/9/1994	4.19		LONG	☐	☒
36210FRY4- G N M A PASS THRU POOL 491103X									
01/15/14	E/P	0.000	88.86	9/4/2008	91.57		LONG	☐	☒
02/18/14	E/P	0.000	527.89	9/4/2008	544.00		LONG	☐	☒
03/17/14	E/P	0.000	498.70	9/4/2008	513.92		LONG	☐	☒
04/15/14	E/P	0.000	85.48	9/4/2008	88.09		LONG	☐	☒
05/15/14	E/P	0.000	106.64	9/4/2008	109.89		LONG	☐	☒
06/16/14	E/P	0.000	2,207.68	9/4/2008	2,275.02		LONG	☐	☒
07/15/14	E/P	0.000	86.88	9/4/2008	89.53		LONG	☐	☒
08/15/14	E/P	0.000	61.61	9/4/2008	63.49		LONG	☐	☒
09/15/14	E/P	0.000	74.98	9/4/2008	77.27		LONG	☐	☒
10/15/14	E/P	0.000	75.19	9/4/2008	77.48		LONG	☐	☒
11/17/14	E/P	0.000	80.32	9/4/2008	82.77		LONG	☐	☒
12/15/14	E/P	0.000	75.96	9/4/2008	78.28		LONG	☐	☒
36200RE40- G N M A PASS THRU POOL 569965X WELLS FARGO HOME MORTGAGE									
01/15/14	E/P	0.000	26.27	2/5/2009	27.31		LONG	☐	☒
02/18/14	E/P	0.000	26.40	2/5/2009	27.44		LONG	☐	☒
03/17/14	E/P	0.000	26.53	2/5/2009	27.58		LONG	☐	☒
04/15/14	E/P	0.000	26.66	2/5/2009	27.72		LONG	☐	☒
05/15/14	E/P	0.000	26.80	2/5/2009	27.86		LONG	☐	☒
06/16/14	E/P	0.000	26.93	2/5/2009	28.00		LONG	☐	☒
07/15/14	E/P	0.000	27.07	2/5/2009	28.14		LONG	☐	☒
08/15/14	E/P	0.000	27.20	2/5/2009	28.28		LONG	☐	☒
09/15/14	E/P	0.000	27.34	2/5/2009	28.42		LONG	☐	☒
10/15/14	E/P	0.000	27.47	2/5/2009	28.56		LONG	☐	☒
11/17/14	E/P	0.000	27.61	2/5/2009	28.70		LONG	☐	☒
12/15/14	E/P	0.000	27.75	2/5/2009	28.85		LONG	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
36201GAJ4 - G.N.M.A.PASS THRU POOL 582409X									
01/15/14	E/P	0.000	54.79	2/5/2009	57.80		LONG	☐	☒
02/18/14	E/P	0.000	58.83	2/5/2009	62.06		LONG	☐	☒
03/17/14	E/P	0.000	54.35	2/5/2009	57.34		LONG	☐	☒
04/15/14	E/P	0.000	54.65	2/5/2009	57.66		LONG	☐	☒
05/15/14	E/P	0.000	55.77	2/5/2009	58.83		LONG	☐	☒
06/16/14	E/P	0.000	56.27	2/5/2009	59.36		LONG	☐	☒
07/15/14	E/P	0.000	61.04	2/5/2009	64.39		LONG	☐	☒
08/15/14	E/P	0.000	56.62	2/5/2009	59.73		LONG	☐	☒
09/15/14	E/P	0.000	681.71	2/5/2009	719.20		LONG	☐	☒
10/15/14	E/P	0.000	55.97	2/5/2009	59.05		LONG	☐	☒
11/17/14	E/P	0.000	1,022.26	2/5/2009	1,078.48		LONG	☐	☒
12/15/14	E/P	0.000	60.18	2/5/2009	63.49		LONG	☐	☒
36225AT91 - G.N.M.A.PASS THRU POOL 780576X									
01/15/14	E/P	0.000	49.54	9/27/2004	53.56		LONG	☐	☒
02/18/14	E/P	0.000	57.29	9/27/2004	61.95		LONG	☐	☒
03/17/14	E/P	0.000	37.72	9/27/2004	40.79		LONG	☐	☒
04/15/14	E/P	0.000	47.20	9/27/2004	51.04		LONG	☐	☒
05/15/14	E/P	0.000	51.77	9/27/2004	55.98		LONG	☐	☒
06/16/14	E/P	0.000	57.57	9/27/2004	62.25		LONG	☐	☒
07/15/14	E/P	0.000	43.70	9/27/2004	47.25		LONG	☐	☒
08/15/14	E/P	0.000	51.62	9/27/2004	55.81		LONG	☐	☒
09/15/14	E/P	0.000	72.84	9/27/2004	78.76		LONG	☐	☒
10/15/14	E/P	0.000	28.41	9/27/2004	30.72		LONG	☐	☒
11/17/14	E/P	0.000	32.97	9/27/2004	35.65		LONG	☐	☒
12/15/14	E/P	0.000	48.69	9/27/2004	52.65		LONG	☐	☒
67075F105 - NUVEEN DOW 30SM DYNAMIC OVERWRITE FD									
12/24/14	E/	0.092	1.51	5/24/2007	2.02		LONG	☐	☒

(con't) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 1c, 1d, 1e, 1f, 1g, 2, 3, 5 - Stocks, bonds etc. (con't)

SECURITY ID & DESCRIPTION (Box 1a)

DATE OF SALE (Box 1c)	FORM 8949 / NOTES (Box 1a)	QUANTITY (Box 1a)	NET PROCEEDS (Box 1d)	DATE ACQUIRED (Box 1b)	NET COST (Box 1e)	ADJUSTMENTS / CODE IF ANY (Box 1g / Box 1f)	TERM (Box 2)	BASIS RPTD TO IRS (Box 3)	NON- CVRD SEC (Box 5)
11/26/14	E/	50,000.000	55,000.00	11/6/2009	50,000.00		LONG	☐	☒

	NET PROCEEDS	NET COST	ADJUSTMENTS	TERM	BASIS RPTD TO IRS	NON- CVRD SEC
Boxes 1d, 1e & 1g SUBTOTALS	\$164.00	\$0.00	\$0.00	UNKNOWN	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☐	☒
	\$122,230.69	\$118,118.26	\$0.00	LONG	☐	☒
	\$0.00	\$0.00	\$0.00	SHORT	☒	☐
	\$0.00	\$0.00	\$0.00	LONG	☒	☐
Boxes 1d, 1e & 1g TOTAL	\$122,394.69	\$118,118.26*	\$0.00			

* Please note that box 1e of Form 1099-B (shown in summary on the first page of this document) reflects the net cost or other basis of covered securities you sold (sale transactions checkmarked in the "BASIS RPTD TO IRS" column). It does not reflect the net cost or other basis of noncovered securities (sale transactions checkmarked in the "NON-CVRD SEC" column), which is not reported to the IRS. Please see the enclosed insert for more information.

P Return of principal or liquidation payment on securities. This may be subject to capital gain or loss; consult your tax advisor.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Term Unknown

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	01/21/14	0.94	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	02/20/14	0.95	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	03/20/14	0.96	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	04/21/14	0.97	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	05/20/14	0.98	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	06/20/14	0.98	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	07/21/14	0.99	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	08/20/14	1.00	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1	☒	N/A	09/22/14	1.01	N/A	N/A

Schedule of Realized Gains and Losses (con't)

Term Unknown (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SEE FOOTNOTES: A						
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	10/20/14	1.02	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	11/20/14	1.03	N/A	N/A
100,000.000*	G N M A PASS THRU POOL 001170M 10.000% DUE 04/20/2019 CUSIP: 36202BJP1 SEE FOOTNOTES: A	☒	N/A	12/22/14	1.04	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	01/15/14	12.16	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	02/18/14	12.25	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	03/17/14	12.35	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	04/15/14	12.44	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	05/15/14	12.53	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	06/16/14	12.63	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	07/15/14	12.72	N/A	N/A

Schedule of Realized Gains and Losses (con't)

Term Unknown (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	08/15/14	12.82	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	09/15/14	12.91	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	10/15/14	13.01	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	11/17/14	13.11	N/A	N/A
50,000.000*	G N M A PASS THRU POOL 300384X CONTINENTAL SAVINGS BANK 8.500% DUE 06/15/2021 CUSIP: 362208UH1 SEE FOOTNOTES: A	☒	N/A	12/15/14	13.20	N/A	N/A
Term Unknown Subtotal					164.00		0.00

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	AEGON NV 7.25% PERPETUAL CAP SECS PFD CUSIP: N00927348	☒	09/14/07	06/16/14	50,000.00	50,000.00	0.00
0.597	BLACKROCK CORE BD TR SHS BEN INT SYMBOL: BHK, CUSIP: 09249E101	☒	02/02/94	11/11/14	7.91	9.70	-1.79
25,000.000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.643, ORIGINAL COST: 2.48, PURCHASE FACTOR: 0.00010573, SALE FACTOR: 0.00010573 CUSIP: 36202BT88	☒	05/17/94	01/21/14	2.64	2.48	0.16
25,000.000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.795, ORIGINAL COST: 2.62, PURCHASE FACTOR: 0.00011178, SALE FACTOR: 0.00011178 CUSIP: 36202BT88	☒	05/17/94	02/20/14	2.79	2.62	0.17

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.730, ORIGINAL COST: 2.56, PURCHASE FACTOR: 0.00010918, SALE FACTOR: 0.00010918 CUSIP: 36202BT88	☒	05/17/94	03/20/14	2.73	2.56	0.17
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.718, ORIGINAL COST: 2.55, PURCHASE FACTOR: 0.00010871, SALE FACTOR: 0.00010871 CUSIP: 36202BT88	☒	05/17/94	04/21/14	2.72	2.55	0.17
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 12.103, ORIGINAL COST: 11.35, PURCHASE FACTOR: 0.00048413, SALE FACTOR: 0.00048413 CUSIP: 36202BT88	☒	05/17/94	05/20/14	12.10	11.35	0.75
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 15.663, ORIGINAL COST: 14.68, PURCHASE FACTOR: 0.00062653, SALE FACTOR: 0.00062653 CUSIP: 36202BT88	☒	05/17/94	06/20/14	15.66	14.68	0.98
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.560, ORIGINAL COST: 2.40, PURCHASE FACTOR: 0.0001024, SALE FACTOR: 0.0001024 CUSIP: 36202BT88	☒	05/17/94	07/21/14	2.56	2.40	0.16
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.573, ORIGINAL COST: 2.41, PURCHASE FACTOR: 0.00010291, SALE FACTOR: 0.00010291 CUSIP: 36202BT88	☒	05/17/94	08/20/14	2.57	2.41	0.16
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 2.690, ORIGINAL COST: 2.52, PURCHASE FACTOR: 0.0001076, SALE FACTOR: 0.0001076 CUSIP: 36202BT88	☒	05/17/94	09/22/14	2.69	2.52	0.17
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 17.220, ORIGINAL COST: 16.14, PURCHASE FACTOR: 0.0006888, SALE FACTOR: 0.0006888 CUSIP: 36202BT88	☒	05/17/94	10/20/14	17.22	16.14	1.08
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023 ADJUSTED QUANTITY: 8.840, ORIGINAL COST: 8.29, PURCHASE FACTOR: 0.0003536, SALE FACTOR: 0.0003536 CUSIP: 36202BT88	☒	05/17/94	11/20/14	8.84	8.29	0.55
25,000,000*	G N M A PASS THRU POOL 001475M 7.000% DUE 11/20/2023	☒	05/17/94	12/22/14	2.64	2.48	0.17

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 2.640, ORIGINAL COST: 2.48, PURCHASE FACTOR: 0.0001056, SALE FACTOR: 0.0001056 CUSIP: 36202BT88						
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 270.826, ORIGINAL COST: 287.08, PURCHASE FACTOR: 0.00013211, SALE FACTOR: 0.00013211 CUSIP: 36202CMA8	☒	11/17/08	01/21/14	270.83	287.08	-16.25
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 411.107, ORIGINAL COST: 435.77, PURCHASE FACTOR: 0.00020054, SALE FACTOR: 0.00020054 CUSIP: 36202CMA8	☒	11/17/08	02/20/14	411.11	435.77	-24.66
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 113.529, ORIGINAL COST: 120.34, PURCHASE FACTOR: 0.00005538, SALE FACTOR: 0.00005538 CUSIP: 36202CMA8	☒	11/17/08	03/20/14	113.53	120.34	-6.81
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 467.216, ORIGINAL COST: 495.25, PURCHASE FACTOR: 0.00022791, SALE FACTOR: 0.00022791 CUSIP: 36202CMA8	☒	11/17/08	04/21/14	467.22	495.25	-28.03
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 347.516, ORIGINAL COST: 368.37, PURCHASE FACTOR: 0.00016952, SALE FACTOR: 0.00016952 CUSIP: 36202CMA8	☒	11/17/08	05/20/14	347.52	368.37	-20.85
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 117.957, ORIGINAL COST: 125.03, PURCHASE FACTOR: 0.00005754, SALE FACTOR: 0.00005754 CUSIP: 36202CMA8	☒	11/17/08	06/20/14	117.96	125.03	-7.07
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 211.048, ORIGINAL COST: 223.71, PURCHASE FACTOR: 0.00010295, SALE FACTOR: 0.00010295 CUSIP: 36202CMA8	☒	11/17/08	07/21/14	211.05	223.71	-12.66
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 125.829, ORIGINAL COST: 133.38, PURCHASE FACTOR: 0.00006138, SALE FACTOR: 0.00006138 CUSIP: 36202CMA8	☒	11/17/08	08/20/14	125.83	133.38	-7.55
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 610.736, ORIGINAL COST: 647.38, PURCHASE FACTOR: 0.00029792, SALE FACTOR: 0.00029792 CUSIP: 36202CMA8	☒	11/17/08	09/22/14	610.74	647.38	-36.64

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 120.725, ORIGINAL COST: 127.97, PURCHASE FACTOR: 0.00005889, SALE FACTOR: 0.00005889 CUSIP: 36202CMA8	☒	11/17/08	10/20/14	120.72	127.97	-7.25
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 723.999, ORIGINAL COST: 767.44, PURCHASE FACTOR: 0.00035317, SALE FACTOR: 0.00035317 CUSIP: 36202CMA8	☒	11/17/08	11/20/14	724.00	767.44	-43.44
2,050,000.000*	G N M A PASS THRU POOL 002153M 7.000% DUE 01/20/2026 ADJUSTED QUANTITY: 407.950, ORIGINAL COST: 432.43, PURCHASE FACTOR: 0.000199, SALE FACTOR: 0.000199 CUSIP: 36202CMA8	☒	11/17/08	12/22/14	407.95	432.43	-24.48
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 0.830, ORIGINAL COST: 0.86, PURCHASE FACTOR: 0.00003318, SALE FACTOR: 0.00003318 CUSIP: 36202CRD7	☒	01/29/01	01/21/14	0.83	0.86	-0.03
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 0.838, ORIGINAL COST: 0.87, PURCHASE FACTOR: 0.00003351, SALE FACTOR: 0.00003351 CUSIP: 36202CRD7	☒	01/29/01	02/20/14	0.84	0.87	-0.03
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 0.843, ORIGINAL COST: 0.88, PURCHASE FACTOR: 0.00003373, SALE FACTOR: 0.00003373 CUSIP: 36202CRD7	☒	01/29/01	03/20/14	0.84	0.88	-0.04
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 0.849, ORIGINAL COST: 0.88, PURCHASE FACTOR: 0.00003397, SALE FACTOR: 0.00003397 CUSIP: 36202CRD7	☒	01/29/01	04/21/14	0.85	0.88	-0.03
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 1.857, ORIGINAL COST: 1.93, PURCHASE FACTOR: 0.00007427, SALE FACTOR: 0.00007427 CUSIP: 36202CRD7	☒	01/29/01	05/20/14	1.86	1.93	-0.07
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026 ADJUSTED QUANTITY: 0.845, ORIGINAL COST: 0.88, PURCHASE FACTOR: 0.00003379, SALE FACTOR: 0.00003379 CUSIP: 36202CRD7	☒	01/29/01	06/20/14	0.84	0.88	-0.04
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	07/21/14	0.87	0.90	-0.03

ACCOUNT NUMBER:
307-20273
Page 31 of 49

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 0.870, ORIGINAL COST: 0.90, PURCHASE FACTOR: 0.0000348, SALE FACTOR: 0.0000348 CUSIP: 36202CRD7						
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	08/20/14	0.87	0.91	-0.04
	ADJUSTED QUANTITY: 0.874, ORIGINAL COST: 0.91, PURCHASE FACTOR: 0.00003497, SALE FACTOR: 0.00003497 CUSIP: 36202CRD7						
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	09/22/14	0.92	0.96	-0.04
	ADJUSTED QUANTITY: 0.920, ORIGINAL COST: 0.96, PURCHASE FACTOR: 0.0000368, SALE FACTOR: 0.0000368 CUSIP: 36202CRD7						
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	10/20/14	0.89	0.93	-0.04
	ADJUSTED QUANTITY: 0.890, ORIGINAL COST: 0.93, PURCHASE FACTOR: 0.0000356, SALE FACTOR: 0.0000356 CUSIP: 36202CRD7						
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	11/20/14	1.01	1.05	-0.04
	ADJUSTED QUANTITY: 1.010, ORIGINAL COST: 1.05, PURCHASE FACTOR: 0.0000404, SALE FACTOR: 0.0000404 CUSIP: 36202CRD7						
25,000.000*	G N M A PASS THRU POOL 002284M 7.500% DUE 09/20/2026	☒	01/29/01	12/22/14	0.86	0.89	-0.03
	ADJUSTED QUANTITY: 0.860, ORIGINAL COST: 0.89, PURCHASE FACTOR: 0.0000344, SALE FACTOR: 0.0000344 CUSIP: 36202CRD7						
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028	☒	09/04/08	01/21/14	137.17	146.09	-8.92
	ADJUSTED QUANTITY: 137.170, ORIGINAL COST: 146.09, PURCHASE FACTOR: 0.00013717, SALE FACTOR: 0.00013717 CUSIP: 36202CZM8						
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028	☒	09/04/08	02/20/14	53.18	56.64	-3.46
	ADJUSTED QUANTITY: 53.180, ORIGINAL COST: 56.64, PURCHASE FACTOR: 0.00005318, SALE FACTOR: 0.00005318 CUSIP: 36202CZM8						
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028	☒	09/04/08	03/20/14	54.73	58.29	-3.56
	ADJUSTED QUANTITY: 54.730, ORIGINAL COST: 58.29, PURCHASE FACTOR: 0.00005473, SALE FACTOR: 0.00005473 CUSIP: 36202CZM8						
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028	☒	09/04/08	04/21/14	126.55	134.78	-8.23
	ADJUSTED QUANTITY: 126.550, ORIGINAL COST: 134.78, PURCHASE FACTOR: 0.00012655, SALE FACTOR: 0.00012655 CUSIP: 36202CZM8						

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000,000.000*	G N M A PASS-THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 240.040, ORIGINAL COST: 255.64, PURCHASE FACTOR: 0.00024004, SALE FACTOR: 0.00024004 CUSIP: 36202CZM8	☒	09/04/08	05/20/14	240.04	255.64	15.60
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 353.100, ORIGINAL COST: 376.05, PURCHASE FACTOR: 0.0003531, SALE FACTOR: 0.0003531 CUSIP: 36202CZM8	☒	09/04/08	06/20/14	353.10	376.05	22.95
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 57.360, ORIGINAL COST: 61.09, PURCHASE FACTOR: 0.00005736, SALE FACTOR: 0.00005736 CUSIP: 36202CZM8	☒	09/04/08	07/21/14	57.36	61.09	3.73
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 254.030, ORIGINAL COST: 270.54, PURCHASE FACTOR: 0.00025403, SALE FACTOR: 0.00025403 CUSIP: 36202CZM8	☒	09/04/08	08/20/14	254.03	270.54	16.51
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 166.280, ORIGINAL COST: 177.09, PURCHASE FACTOR: 0.00016628, SALE FACTOR: 0.00016628 CUSIP: 36202CZM8	☒	09/04/08	09/22/14	166.28	177.09	10.81
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 51.710, ORIGINAL COST: 55.07, PURCHASE FACTOR: 0.00005171, SALE FACTOR: 0.00005171 CUSIP: 36202CZM8	☒	09/04/08	10/20/14	51.71	55.07	3.36
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 275.600, ORIGINAL COST: 293.51, PURCHASE FACTOR: 0.0002756, SALE FACTOR: 0.0002756 CUSIP: 36202CZM8	☒	09/04/08	11/20/14	275.60	293.51	17.91
1,000,000.000*	G N M A PASS THRU POOL 002548M 7.000% DUE 02/20/2028 ADJUSTED QUANTITY: 54.250, ORIGINAL COST: 57.78, PURCHASE FACTOR: 0.00005425, SALE FACTOR: 0.00005425 CUSIP: 36202CZM8	☒	09/04/08	12/22/14	54.25	57.78	3.53
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028 ADJUSTED QUANTITY: 96.668, ORIGINAL COST: 102.95, PURCHASE FACTOR: 0.00008788, SALE FACTOR: 0.00008788 CUSIP: 36202C3Y7	☒	09/27/04	01/21/14	96.67	102.95	6.28
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	02/20/14	166.50	177.32	10.82

ACCOUNT NUMBER:
307-20273
Page 33 of 49

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 166.496, ORIGINAL COST: 177.32, PURCHASE FACTOR: 0.00015136, SALE FACTOR: 0.00015136 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	03/20/14	155.09	165.17	-10.08
	ADJUSTED QUANTITY: 155.089, ORIGINAL COST: 165.17, PURCHASE FACTOR: 0.00014099, SALE FACTOR: 0.00014099 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	04/21/14	171.58	182.73	-11.15
	ADJUSTED QUANTITY: 171.578, ORIGINAL COST: 182.73, PURCHASE FACTOR: 0.00015598, SALE FACTOR: 0.00015598 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	05/20/14	309.20	329.30	-20.10
	ADJUSTED QUANTITY: 309.199, ORIGINAL COST: 329.30, PURCHASE FACTOR: 0.00028109, SALE FACTOR: 0.00028109 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	06/20/14	231.02	246.04	-15.02
	ADJUSTED QUANTITY: 231.022, ORIGINAL COST: 246.04, PURCHASE FACTOR: 0.00021002, SALE FACTOR: 0.00021002 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	07/21/14	290.16	309.02	-18.86
	ADJUSTED QUANTITY: 290.158, ORIGINAL COST: 309.02, PURCHASE FACTOR: 0.00026378, SALE FACTOR: 0.00026378 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	08/20/14	131.55	140.10	-8.55
	ADJUSTED QUANTITY: 131.549, ORIGINAL COST: 140.10, PURCHASE FACTOR: 0.00011959, SALE FACTOR: 0.00011959 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	09/22/14	213.05	226.90	-13.85
	ADJUSTED QUANTITY: 213.048, ORIGINAL COST: 226.90, PURCHASE FACTOR: 0.00019368, SALE FACTOR: 0.00019368 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	10/20/14	184.95	196.98	-12.03
	ADJUSTED QUANTITY: 184.954, ORIGINAL COST: 196.98, PURCHASE FACTOR: 0.00016814, SALE FACTOR: 0.00016814 CUSIP: 36202C3Y7						
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028	☒	09/27/04	11/20/14	201.76	214.88	-13.12
	ADJUSTED QUANTITY: 201.762, ORIGINAL COST: 214.88, PURCHASE FACTOR: 0.00018342, SALE FACTOR: 0.00018342 CUSIP: 36202C3Y7						

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,100,000.000*	G N M A PASS THRU POOL 002615M 6.500% DUE 07/20/2028 ADJUSTED QUANTITY: 303.303, ORIGINAL COST: 323.02, PURCHASE FACTOR: 0.00027573, SALE FACTOR: 0.00027573 CUSIP: 36202C3Y7	☒	09/27/04	12/22/14	303.30	323.02	-19.72
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 13.655, ORIGINAL COST: 14.22, PURCHASE FACTOR: 0.00011671, SALE FACTOR: 0.00011671 CUSIP: 36202DKY6	☒	01/29/01	01/21/14	13.66	14.22	-0.56
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 4.645, ORIGINAL COST: 4.84, PURCHASE FACTOR: 0.0000397, SALE FACTOR: 0.0000397 CUSIP: 36202DKY6	☒	01/29/01	02/20/14	4.64	4.84	-0.20
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 7.225, ORIGINAL COST: 7.52, PURCHASE FACTOR: 0.00006175, SALE FACTOR: 0.00006175 CUSIP: 36202DKY6	☒	01/29/01	03/20/14	7.22	7.52	-0.30
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 6.072, ORIGINAL COST: 6.32, PURCHASE FACTOR: 0.0000519, SALE FACTOR: 0.0000519 CUSIP: 36202DKY6	☒	01/29/01	04/21/14	6.07	6.32	-0.25
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 5.659, ORIGINAL COST: 5.89, PURCHASE FACTOR: 0.00004837, SALE FACTOR: 0.00004837 CUSIP: 36202DKY6	☒	01/29/01	05/20/14	5.66	5.89	-0.23
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 22.276, ORIGINAL COST: 23.19, PURCHASE FACTOR: 0.00019039, SALE FACTOR: 0.00019039 CUSIP: 36202DKY6	☒	01/29/01	06/20/14	22.28	23.19	-0.91
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 10.080, ORIGINAL COST: 10.50, PURCHASE FACTOR: 0.00008615, SALE FACTOR: 0.00008615 CUSIP: 36202DKY6	☒	01/29/01	07/21/14	10.08	10.50	-0.42
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030 ADJUSTED QUANTITY: 13.093, ORIGINAL COST: 13.63, PURCHASE FACTOR: 0.00011191, SALE FACTOR: 0.00011191 CUSIP: 36202DKY6	☒	01/29/01	08/20/14	13.09	13.63	-0.54
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030	☒	01/29/01	09/22/14	7.57	7.88	-0.31

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 7.570, ORIGINAL COST: 7.88, PURCHASE FACTOR: 0.0000647, SALE FACTOR: 0.0000647 CUSIP: 36202DKY6						
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030	☒	01/29/01	10/20/14	6.76	7.04	-0.28
	ADJUSTED QUANTITY: 6.760, ORIGINAL COST: 7.04, PURCHASE FACTOR: 0.00005778, SALE FACTOR: 0.00005778 CUSIP: 36202DKY6						
117,000.000*	G N M A PASS THRU POOL 003011M 7 500% DUE 12/20/2030	☒	01/29/01	11/20/14	4.81	5.01	-0.20
	ADJUSTED QUANTITY: 4.810, ORIGINAL COST: 5.01, PURCHASE FACTOR: 0.00004111, SALE FACTOR: 0.00004111 CUSIP: 36202DKY6						
117,000.000*	G N M A PASS THRU POOL 003011M 7.500% DUE 12/20/2030	☒	01/29/01	12/22/14	5.80	6.04	-0.24
	ADJUSTED QUANTITY: 5.800, ORIGINAL COST: 6.04, PURCHASE FACTOR: 0.00004957, SALE FACTOR: 0.00004957 CUSIP: 36202DKY6						
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031	☒	01/29/01	01/21/14	4.27	4.40	-0.13
	ADJUSTED QUANTITY: 4.274, ORIGINAL COST: 4.40, PURCHASE FACTOR: 0.00005698, SALE FACTOR: 0.00005698 CUSIP: 36202DLF6						
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031	☒	01/29/01	02/20/14	6.99	7.19	-0.20
	ADJUSTED QUANTITY: 6.988, ORIGINAL COST: 7.19, PURCHASE FACTOR: 0.00009317, SALE FACTOR: 0.00009317 CUSIP: 36202DLF6						
75,000.000*	G N M A PASS THRU POOL 003026M 7 000% DUE 01/20/2031	☒	01/29/01	03/20/14	9.80	10.08	-0.28
	ADJUSTED QUANTITY: 9.797, ORIGINAL COST: 10.08, PURCHASE FACTOR: 0.00013062, SALE FACTOR: 0.00013062 CUSIP: 36202DLF6						
75,000.000*	G N M A PASS THRU POOL 003026M 7 000% DUE 01/20/2031	☒	01/29/01	04/21/14	14.42	14.83	-0.41
	ADJUSTED QUANTITY: 14.415, ORIGINAL COST: 14.83, PURCHASE FACTOR: 0.0001922, SALE FACTOR: 0.0001922 CUSIP: 36202DLF6						
75,000.000*	G N M A PASS THRU POOL 003026M 7 000% DUE 01/20/2031	☒	01/29/01	05/20/14	9.10	9.36	-0.26
	ADJUSTED QUANTITY: 9.100, ORIGINAL COST: 9.36, PURCHASE FACTOR: 0.00012133, SALE FACTOR: 0.00012133 CUSIP: 36202DLF6						
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031	☒	01/29/01	06/20/14	2.21	2.27	-0.06
	ADJUSTED QUANTITY: 2.207, ORIGINAL COST: 2.27, PURCHASE FACTOR: 0.00002942, SALE FACTOR: 0.00002942 CUSIP: 36202DLF6						

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 2.180, ORIGINAL COST: 2.24, PURCHASE FACTOR: 0.00002907, SALE FACTOR: 0.00002907 CUSIP: 36202DLF6	☒	01/29/01	07/21/14	2.18	2.24	-0.06
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 12.203, ORIGINAL COST: 12.55, PURCHASE FACTOR: 0.0001627, SALE FACTOR: 0.0001627 CUSIP: 36202DLF6	☒	01/29/01	08/20/14	12.20	12.55	-0.35
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 8.550, ORIGINAL COST: 8.80, PURCHASE FACTOR: 0.000114, SALE FACTOR: 0.000114 CUSIP: 36202DLF6	☒	01/29/01	09/22/14	8.55	8.80	-0.25
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 20.680, ORIGINAL COST: 21.27, PURCHASE FACTOR: 0.00027573, SALE FACTOR: 0.00027573 CUSIP: 36202DLF6	☒	01/29/01	10/20/14	20.68	21.27	-0.59
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 15.620, ORIGINAL COST: 16.07, PURCHASE FACTOR: 0.00020827, SALE FACTOR: 0.00020827 CUSIP: 36202DLF6	☒	01/29/01	11/20/14	15.62	16.07	-0.45
75,000.000*	G N M A PASS THRU POOL 003026M 7.000% DUE 01/20/2031 ADJUSTED QUANTITY: 1.970, ORIGINAL COST: 2.03, PURCHASE FACTOR: 0.00002627, SALE FACTOR: 0.00002627 CUSIP: 36202DLF6	☒	01/29/01	12/22/14	1.97	2.03	-0.06
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 126.799, ORIGINAL COST: 132.69, PURCHASE FACTOR: 0.00253597, SALE FACTOR: 0.00253597 CUSIP: 36202DX71	☒	04/23/09	01/21/14	126.80	132.69	-5.89
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 95.794, ORIGINAL COST: 100.25, PURCHASE FACTOR: 0.00191587, SALE FACTOR: 0.00191587 CUSIP: 36202DX71	☒	04/23/09	02/20/14	95.79	100.25	-4.46
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 86.157, ORIGINAL COST: 90.16, PURCHASE FACTOR: 0.00172313, SALE FACTOR: 0.00172313 CUSIP: 36202DX71	☒	04/23/09	03/20/14	86.16	90.16	-4.00
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033	☒	04/23/09	04/21/14	83.04	86.90	-3.86

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 83.036, ORIGINAL COST: 86.90, PURCHASE FACTOR: 0.00166071, SALE FACTOR: 0.00166071 CUSIP: 36202DX71						
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 101.620, ORIGINAL COST: 106.35, PURCHASE FACTOR: 0.0020324, SALE FACTOR: 0.0020324 CUSIP: 36202DX71	☒	04/23/09	05/20/14	101.62	106.35	-4.73
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 111.965, ORIGINAL COST: 117.17, PURCHASE FACTOR: 0.00223929, SALE FACTOR: 0.00223929 CUSIP: 36202DX71	☒	04/23/09	06/20/14	111.96	117.17	-5.21
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 83.170, ORIGINAL COST: 87.04, PURCHASE FACTOR: 0.0016634, SALE FACTOR: 0.0016634 CUSIP: 36202DX71	☒	04/23/09	07/21/14	83.17	87.04	-3.87
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 79.727, ORIGINAL COST: 83.43, PURCHASE FACTOR: 0.00159453, SALE FACTOR: 0.00159453 CUSIP: 36202DX71	☒	04/23/09	08/20/14	79.73	83.43	-3.70
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 101.860, ORIGINAL COST: 106.60, PURCHASE FACTOR: 0.0020372, SALE FACTOR: 0.0020372 CUSIP: 36202DX71	☒	04/23/09	09/22/14	101.86	106.60	-4.74
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 82.990, ORIGINAL COST: 86.85, PURCHASE FACTOR: 0.0016598, SALE FACTOR: 0.0016598 CUSIP: 36202DX71	☒	04/23/09	10/20/14	82.99	86.85	-3.86
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 93.410, ORIGINAL COST: 97.75, PURCHASE FACTOR: 0.0018682, SALE FACTOR: 0.0018682 CUSIP: 36202DX71	☒	04/23/09	11/20/14	93.41	97.75	-4.34
50,000.000*	G N M A PASS THRU POOL 003402M 5.000% DUE 06/20/2033 ADJUSTED QUANTITY: 82.080, ORIGINAL COST: 85.90, PURCHASE FACTOR: 0.0016416, SALE FACTOR: 0.0016416 CUSIP: 36202DX71	☒	04/23/09	12/22/14	82.08	85.90	-3.82
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.281, ORIGINAL COST: 10.90,	☒	10/12/04	01/15/14	10.28	10.90	-0.62

Schedule of Realized Gains and Losses (con't)

Long Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.00034271, SALE FACTOR: 0.00034271 CUSIP: 36217SX48						
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.350, ORIGINAL COST: 10.97, PURCHASE FACTOR: 0.000345, SALE FACTOR: 0.000345 CUSIP: 36217SX48	☒	10/12/04	02/18/14	10.35	10.97	-0.62
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.419, ORIGINAL COST: 11.04, PURCHASE FACTOR: 0.0003473, SALE FACTOR: 0.0003473 CUSIP: 36217SX48	☒	10/12/04	03/17/14	10.42	11.04	-0.62
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.880, ORIGINAL COST: 11.53, PURCHASE FACTOR: 0.00036268, SALE FACTOR: 0.00036268 CUSIP: 36217SX48	☒	10/12/04	04/15/14	10.88	11.53	-0.65
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.561, ORIGINAL COST: 11.19, PURCHASE FACTOR: 0.00035204, SALE FACTOR: 0.00035204 CUSIP: 36217SX48	☒	10/12/04	05/15/14	10.56	11.19	-0.63
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.631, ORIGINAL COST: 11.27, PURCHASE FACTOR: 0.00035437, SALE FACTOR: 0.00035437 CUSIP: 36217SX48	☒	10/12/04	06/16/14	10.63	11.27	-0.64
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.702, ORIGINAL COST: 11.34, PURCHASE FACTOR: 0.00035674, SALE FACTOR: 0.00035674 CUSIP: 36217SX48	☒	10/12/04	07/15/14	10.70	11.34	-0.64
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 10.774, ORIGINAL COST: 11.42, PURCHASE FACTOR: 0.00035912, SALE FACTOR: 0.00035912 CUSIP: 36217SX48	☒	10/12/04	08/15/14	10.77	11.42	-0.65
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 11.080, ORIGINAL COST: 11.74, PURCHASE FACTOR: 0.00035912, SALE FACTOR: 0.00035912 CUSIP: 36217SX48	☒	10/12/04	09/15/14	11.08	11.74	-0.66

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.00036933, SALE FACTOR: 0.00036933 CUSIP: 36217SX48						
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 11.100, ORIGINAL COST: 11.77, PURCHASE FACTOR: 0.00037, SALE FACTOR: 0.00037 CUSIP: 36217SX48	☒	10/12/04	10/15/14	11.10	11.77	-0.67
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 11.220, ORIGINAL COST: 11.89, PURCHASE FACTOR: 0.000374, SALE FACTOR: 0.000374 CUSIP: 36217SX48	☒	10/12/04	11/17/14	11.22	11.89	-0.67
30,000.000*	G N M A PASS THRU POOL 202399X STANDARD MORTGAGE CORPORATI 7.500% DUE 06/15/2017 ADJUSTED QUANTITY: 11.290, ORIGINAL COST: 11.97, PURCHASE FACTOR: 0.00037633, SALE FACTOR: 0.00037633 CUSIP: 36217SX48	☒	10/12/04	12/15/14	11.29	11.97	-0.68
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.043, ORIGINAL COST: 16.04, PURCHASE FACTOR: 0.0000813, SALE FACTOR: 0.0000813 CUSIP: 36217US72	☒	07/14/94	01/15/14	16.04	16.04	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.457, ORIGINAL COST: 16.46, PURCHASE FACTOR: 0.0000834, SALE FACTOR: 0.0000834 CUSIP: 36217US72	☒	07/14/94	02/18/14	16.46	16.46	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.575, ORIGINAL COST: 16.58, PURCHASE FACTOR: 0.000084, SALE FACTOR: 0.000084 CUSIP: 36217US72	☒	07/14/94	03/17/14	16.58	16.58	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.694, ORIGINAL COST: 16.69, PURCHASE FACTOR: 0.0000846, SALE FACTOR: 0.0000846 CUSIP: 36217US72	☒	07/14/94	04/15/14	16.69	16.69	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.812, ORIGINAL COST: 16.81,	☒	07/14/94	05/15/14	16.81	16.81	0.00

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.0000852, SALE FACTOR: 0.0000852 CUSIP: 36217US72						
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 16.930, ORIGINAL COST: 16.93, PURCHASE FACTOR: 0.0000858, SALE FACTOR: 0.0000858 CUSIP: 36217US72	☒	07/14/94	06/16/14	16.93	16.93	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.051, ORIGINAL COST: 17.05, PURCHASE FACTOR: 0.00008641, SALE FACTOR: 0.00008641 CUSIP: 36217US72	☒	07/14/94	07/15/14	17.05	17.05	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.171, ORIGINAL COST: 17.17, PURCHASE FACTOR: 0.00008702, SALE FACTOR: 0.00008702 CUSIP: 36217US72	☒	07/14/94	08/15/14	17.17	17.17	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.290, ORIGINAL COST: 17.29, PURCHASE FACTOR: 0.00008762, SALE FACTOR: 0.00008762 CUSIP: 36217US72	☒	07/14/94	09/15/14	17.29	17.29	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.420, ORIGINAL COST: 17.42, PURCHASE FACTOR: 0.00008828, SALE FACTOR: 0.00008828 CUSIP: 36217US72	☒	07/14/94	10/15/14	17.42	17.42	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.540, ORIGINAL COST: 17.54, PURCHASE FACTOR: 0.00008889, SALE FACTOR: 0.00008889 CUSIP: 36217US72	☒	07/14/94	11/17/14	17.54	17.54	0.00
197,325.000*	G N M A PASS THRU POOL 204042X RIHT MORTGAGE SERVICE CORPO 8.000% DUE 07/15/2017 ADJUSTED QUANTITY: 17.661, ORIGINAL COST: 17.66, PURCHASE FACTOR: 0.0000895, SALE FACTOR: 0.0000895 CUSIP: 36217US72	☒	07/14/94	12/15/14	17.66	17.66	0.00
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.009, ORIGINAL COST: 3.16, PURCHASE FACTOR: 0.00006018, SALE FACTOR: 0.00006018 CUSIP: 36219VSH6	☒	09/26/94	01/15/14	3.01	3.16	-0.15

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.036, ORIGINAL COST: 3.19, PURCHASE FACTOR: 0.00006071, SALE FACTOR: 0.00006071 CUSIP: 36219VSH6	☒	09/26/94	02/18/14	3.04	3.19	-0.15
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.062, ORIGINAL COST: 3.22, PURCHASE FACTOR: 0.00006124, SALE FACTOR: 0.00006124 CUSIP: 36219VSH6	☒	09/26/94	03/17/14	3.06	3.22	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.089, ORIGINAL COST: 3.24, PURCHASE FACTOR: 0.00006177, SALE FACTOR: 0.00006177 CUSIP: 36219VSH6	☒	09/26/94	04/15/14	3.09	3.24	-0.15
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.116, ORIGINAL COST: 3.27, PURCHASE FACTOR: 0.00006232, SALE FACTOR: 0.00006232 CUSIP: 36219VSH6	☒	09/26/94	05/15/14	3.12	3.27	-0.15
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.143, ORIGINAL COST: 3.30, PURCHASE FACTOR: 0.00006286, SALE FACTOR: 0.00006286 CUSIP: 36219VSH6	☒	09/26/94	06/16/14	3.14	3.30	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.171, ORIGINAL COST: 3.33, PURCHASE FACTOR: 0.00006341, SALE FACTOR: 0.00006341 CUSIP: 36219VSH6	☒	09/26/94	07/15/14	3.17	3.33	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.201, ORIGINAL COST: 3.36, PURCHASE FACTOR: 0.00006401, SALE FACTOR: 0.00006401 CUSIP: 36219VSH6	☒	09/26/94	08/15/14	3.20	3.36	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.230, ORIGINAL COST: 3.39, PURCHASE FACTOR: 0.0000646, SALE FACTOR: 0.0000646 CUSIP: 36219VSH6	☒	09/26/94	09/15/14	3.23	3.39	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.250, ORIGINAL COST: 3.41, PURCHASE FACTOR: 0.000065, SALE FACTOR: 0.000065 CUSIP: 36219VSH6	☒	09/26/94	10/15/14	3.25	3.41	-0.16
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018	☒	09/26/94	11/17/14	3.28	3.44	-0.16

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 3.280, ORIGINAL COST: 3.44, PURCHASE FACTOR: 0.0000656, SALE FACTOR: 0.0000656 CUSIP: 36219VSH6						
50,000.000*	G N M A PASS THRU POOL 260720X 10.000% DUE 10/15/2018 ADJUSTED QUANTITY: 3.310, ORIGINAL COST: 3.48, PURCHASE FACTOR: 0.0000662, SALE FACTOR: 0.0000662 CUSIP: 36219VSH6	☒	09/26/94	12/15/14	3.31	3.48	-0.17
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 6.827, ORIGINAL COST: 6.83, PURCHASE FACTOR: 0.00004742, SALE FACTOR: 0.00004742 CUSIP: 36223V4E3	☒	05/09/94	01/15/14	6.83	6.83	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 6.875, ORIGINAL COST: 6.88, PURCHASE FACTOR: 0.00004776, SALE FACTOR: 0.00004776 CUSIP: 36223V4E3	☒	05/09/94	02/18/14	6.88	6.88	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 7.610, ORIGINAL COST: 7.61, PURCHASE FACTOR: 0.00005286, SALE FACTOR: 0.00005286 CUSIP: 36223V4E3	☒	05/09/94	03/17/14	7.61	7.61	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 6.288, ORIGINAL COST: 6.29, PURCHASE FACTOR: 0.00004368, SALE FACTOR: 0.00004368 CUSIP: 36223V4E3	☒	05/09/94	04/15/14	6.29	6.29	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 7.022, ORIGINAL COST: 7.02, PURCHASE FACTOR: 0.00004878, SALE FACTOR: 0.00004878 CUSIP: 36223V4E3	☒	05/09/94	05/15/14	7.02	7.02	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 6.949, ORIGINAL COST: 6.95, PURCHASE FACTOR: 0.00004827, SALE FACTOR: 0.00004827 CUSIP: 36223V4E3	☒	05/09/94	06/16/14	6.95	6.95	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.048, ORIGINAL COST: 4.05,	☒	05/09/94	07/15/14	4.05	4.05	0.00

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.00002812, SALE FACTOR: 0 00002812 CUSIP: 36223V4E3						
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.077, ORIGINAL COST: 4.08, PURCHASE FACTOR: 0.00002832, SALE FACTOR: 0 00002832 CUSIP: 36223V4E3	☒	05/09/94	08/15/14	4.08	4.08	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.110, ORIGINAL COST: 4.11, PURCHASE FACTOR: 0.00002855, SALE FACTOR: 0.00002855 CUSIP: 36223V4E3	☒	05/09/94	09/15/14	4.11	4.11	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.130, ORIGINAL COST: 4.13, PURCHASE FACTOR: 0.00002869, SALE FACTOR: 0.00002869 CUSIP: 36223V4E3	☒	05/09/94	10/15/14	4.13	4.13	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.160, ORIGINAL COST: 4.16, PURCHASE FACTOR: 0.0000289, SALE FACTOR: 0.0000289 CUSIP: 36223V4E3	☒	05/09/94	11/17/14	4.16	4.16	0.00
143,959.000*	G N M A PASS THRU POOL 319521X REPUBLIC NATIONAL BANK 8.000% DUE 02/15/2022 ADJUSTED QUANTITY: 4.191, ORIGINAL COST: 4.19, PURCHASE FACTOR: 0.00002911, SALE FACTOR: 0.00002911 CUSIP: 36223V4E3	☒	05/09/94	12/15/14	4.19	4.19	0.00
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 88.858, ORIGINAL COST: 91.57, PURCHASE FACTOR: 0.00025388, SALE FACTOR: 0.00025388 CUSIP: 36210FRY4	☒	09/04/08	01/15/14	88.86	91.57	-2.71
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 527.895, ORIGINAL COST: 544.00, PURCHASE FACTOR: 0.00150827, SALE FACTOR: 0.00150827 CUSIP: 36210FRY4	☒	09/04/08	02/18/14	527.89	544.00	-16.11
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 498.705, ORIGINAL COST: 513.92, PURCHASE FACTOR: 0.00142487, SALE FACTOR: 0.00142487 CUSIP: 36210FRY4	☒	09/04/08	03/17/14	498.70	513.92	-15.22

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 85.484, ORIGINAL COST: 88.09, PURCHASE FACTOR: 0.00024424, SALE FACTOR: 0.00024424 CUSIP: 36210FRY4	☒	09/04/08	04/15/14	85.48	88.09	-2.61
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 106.638, ORIGINAL COST: 109.89, PURCHASE FACTOR: 0.00030468, SALE FACTOR: 0.00030468 CUSIP: 36210FRY4	☒	09/04/08	05/15/14	106.64	109.89	-3.25
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 2,207.681, ORIGINAL COST: 2,275.02, PURCHASE FACTOR: 0.00630766, SALE FACTOR: 0.00630766 CUSIP: 36210FRY4	☒	09/04/08	06/16/14	2,207.68	2,275.02	-67.34
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 86.881, ORIGINAL COST: 89.53, PURCHASE FACTOR: 0.00024823, SALE FACTOR: 0.00024823 CUSIP: 36210FRY4	☒	09/04/08	07/15/14	86.88	89.53	-2.65
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 61.607, ORIGINAL COST: 63.49, PURCHASE FACTOR: 0.00017602, SALE FACTOR: 0.00017602 CUSIP: 36210FRY4	☒	09/04/08	08/15/14	61.61	63.49	-1.88
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 74.981, ORIGINAL COST: 77.27, PURCHASE FACTOR: 0.00021423, SALE FACTOR: 0.00021423 CUSIP: 36210FRY4	☒	09/04/08	09/15/14	74.98	77.27	-2.29
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 75.191, ORIGINAL COST: 77.48, PURCHASE FACTOR: 0.00021483, SALE FACTOR: 0.00021483 CUSIP: 36210FRY4	☒	09/04/08	10/15/14	75.19	77.48	-2.29
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 80.322, ORIGINAL COST: 82.77, PURCHASE FACTOR: 0.00022949, SALE FACTOR: 0.00022949 CUSIP: 36210FRY4	☒	09/04/08	11/17/14	80.32	82.77	-2.45
350,000.000*	G N M A PASS THRU POOL 491103X 6.000% DUE 01/15/2029 ADJUSTED QUANTITY: 75.961, ORIGINAL COST: 78.28, PURCHASE FACTOR: 0.00021703, SALE FACTOR: 0.00021703 CUSIP: 36210FRY4	☒	09/04/08	12/15/14	75.96	78.28	-2.32
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE,	☒	02/05/09	01/15/14	26.27	27.31	-1.04

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.268, ORIGINAL COST: 27.31, PURCHASE FACTOR: 0.00026053, SALE FACTOR: 0.00026053 CUSIP: 36200RE40						
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.400, ORIGINAL COST: 27.44, PURCHASE FACTOR: 0.00026184, SALE FACTOR: 0.00026184 CUSIP: 36200RE40	☒	02/05/09	02/18/14	26.40	27.44	-1.04
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.531, ORIGINAL COST: 27.58, PURCHASE FACTOR: 0.00026314, SALE FACTOR: 0.00026314 CUSIP: 36200RE40	☒	02/05/09	03/17/14	26.53	27.58	-1.05
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.664, ORIGINAL COST: 27.72, PURCHASE FACTOR: 0.00026446, SALE FACTOR: 0.00026446 CUSIP: 36200RE40	☒	02/05/09	04/15/14	26.66	27.72	-1.06
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.798, ORIGINAL COST: 27.86, PURCHASE FACTOR: 0.00026578, SALE FACTOR: 0.00026578 CUSIP: 36200RE40	☒	02/05/09	05/15/14	26.80	27.86	-1.06
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 26.932, ORIGINAL COST: 28.00, PURCHASE FACTOR: 0.00026711, SALE FACTOR: 0.00026711 CUSIP: 36200RE40	☒	02/05/09	06/16/14	26.93	28.00	-1.07
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.067, ORIGINAL COST: 28.14, PURCHASE FACTOR: 0.00026845, SALE FACTOR: 0.00026845 CUSIP: 36200RE40	☒	02/05/09	07/15/14	27.07	28.14	-1.07
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.202, ORIGINAL COST: 28.28, PURCHASE FACTOR: 0.00026979, SALE FACTOR: 0.00026979 CUSIP: 36200RE40	☒	02/05/09	08/15/14	27.20	28.28	-1.08
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE,	☒	02/05/09	09/15/14	27.34	28.42	-1.08

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.340, ORIGINAL COST: 28.42, PURCHASE FACTOR: 0.00027116, SALE FACTOR: 0.00027116 CUSIP: 36200RE40						
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.470, ORIGINAL COST: 28.56, PURCHASE FACTOR: 0.00027245, SALE FACTOR: 0.00027245 CUSIP: 36200RE40	☒	02/05/09	10/15/14	27.47	28.56	-1.09
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.610, ORIGINAL COST: 28.70, PURCHASE FACTOR: 0.00027384, SALE FACTOR: 0.00027384 CUSIP: 36200RE40	☒	02/05/09	11/17/14	27.61	28.70	-1.09
100,826.000*	G N M A PASS THRU POOL 569955X WELLS FARGO HOME MORTGAGE, 5.500% DUE 07/15/2017 ADJUSTED QUANTITY: 27.750, ORIGINAL COST: 28.85, PURCHASE FACTOR: 0.00027523, SALE FACTOR: 0.00027523 CUSIP: 36200RE40	☒	02/05/09	12/15/14	27.75	28.85	-1.10
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 54.790, ORIGINAL COST: 57.80, PURCHASE FACTOR: 0.00010958, SALE FACTOR: 0.00010958 CUSIP: 36201GAJ4	☒	02/05/09	01/15/14	54.79	57.80	-3.01
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 58.825, ORIGINAL COST: 62.06, PURCHASE FACTOR: 0.00011765, SALE FACTOR: 0.00011765 CUSIP: 36201GAJ4	☒	02/05/09	02/18/14	58.83	62.06	-3.23
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 54.350, ORIGINAL COST: 57.34, PURCHASE FACTOR: 0.0001087, SALE FACTOR: 0.0001087 CUSIP: 36201GAJ4	☒	02/05/09	03/17/14	54.35	57.34	-2.99
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 54.650, ORIGINAL COST: 57.66, PURCHASE FACTOR: 0.0001093, SALE FACTOR: 0.0001093 CUSIP: 36201GAJ4	☒	02/05/09	04/15/14	54.65	57.66	-3.01
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 55.765, ORIGINAL COST: 58.83, PURCHASE FACTOR: 0.00011153, SALE FACTOR: 0.00011153 CUSIP: 36201GAJ4	☒	02/05/09	05/15/14	55.77	58.83	-3.06

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 56.265, ORIGINAL COST: 59.36, PURCHASE FACTOR: 0.00011253, SALE FACTOR: 0.00011253 CUSIP: 36201GAJ4	☒	02/05/09	06/16/14	56.27	59.36	-3.09
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 61.035, ORIGINAL COST: 64.39, PURCHASE FACTOR: 0.00012207, SALE FACTOR: 0.00012207 CUSIP: 36201GAJ4	☒	02/05/09	07/15/14	61.04	64.39	-3.35
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 56.615, ORIGINAL COST: 59.73, PURCHASE FACTOR: 0.00011323, SALE FACTOR: 0.00011323 CUSIP: 36201GAJ4	☒	02/05/09	08/15/14	56.62	59.73	-3.11
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 681.710, ORIGINAL COST: 719.20, PURCHASE FACTOR: 0.00136342, SALE FACTOR: 0.00136342 CUSIP: 36201GAJ4	☒	02/05/09	09/15/14	681.71	719.20	-37.49
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 55.970, ORIGINAL COST: 59.05, PURCHASE FACTOR: 0.00011194, SALE FACTOR: 0.00011194 CUSIP: 36201GAJ4	☒	02/05/09	10/15/14	55.97	59.05	-3.08
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 1,022.260, ORIGINAL COST: 1,078.48, PURCHASE FACTOR: 0.00204452, SALE FACTOR: 0.00204452 CUSIP: 36201GAJ4	☒	02/05/09	11/17/14	1,022.26	1,078.48	-56.22
500,000.000*	G N M A PASS THRU POOL 582409X 6.000% DUE 11/15/2032 ADJUSTED QUANTITY: 60.180, ORIGINAL COST: 63.49, PURCHASE FACTOR: 0.00012036, SALE FACTOR: 0.00012036 CUSIP: 36201GAJ4	☒	02/05/09	12/15/14	60.18	63.49	-3.31
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022 ADJUSTED QUANTITY: 49.536, ORIGINAL COST: 53.56, PURCHASE FACTOR: 0.00024164, SALE FACTOR: 0.00024164 CUSIP: 36225AT91	☒	09/27/04	01/15/14	49.54	53.56	-4.02
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022 ADJUSTED QUANTITY: 57.293, ORIGINAL COST: 61.95, PURCHASE FACTOR: 0.00027948, SALE FACTOR: 0.00027948 CUSIP: 36225AT91	☒	09/27/04	02/18/14	57.29	61.95	-4.66
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	03/17/14	37.72	40.79	-3.07

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 37.724, ORIGINAL COST: 40.79, PURCHASE FACTOR: 0.00018402, SALE FACTOR: 0.00018402 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	04/15/14	47.20	51.04	-3.84
	ADJUSTED QUANTITY: 47.203, ORIGINAL COST: 51.04, PURCHASE FACTOR: 0.00023026, SALE FACTOR: 0.00023026 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	05/15/14	51.77	55.98	-4.21
	ADJUSTED QUANTITY: 51.773, ORIGINAL COST: 55.98, PURCHASE FACTOR: 0.00025255, SALE FACTOR: 0.00025255 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	06/16/14	57.57	62.25	-4.68
	ADJUSTED QUANTITY: 57.570, ORIGINAL COST: 62.25, PURCHASE FACTOR: 0.00028083, SALE FACTOR: 0.00028083 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	07/15/14	43.70	47.25	-3.55
	ADJUSTED QUANTITY: 43.698, ORIGINAL COST: 47.25, PURCHASE FACTOR: 0.00021316, SALE FACTOR: 0.00021316 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	08/15/14	51.62	55.81	-4.19
	ADJUSTED QUANTITY: 51.619, ORIGINAL COST: 55.81, PURCHASE FACTOR: 0.0002518, SALE FACTOR: 0.0002518 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	09/15/14	72.84	78.76	-5.92
	ADJUSTED QUANTITY: 72.841, ORIGINAL COST: 78.76, PURCHASE FACTOR: 0.00035532, SALE FACTOR: 0.00035532 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	10/15/14	28.41	30.72	-2.31
	ADJUSTED QUANTITY: 28.411, ORIGINAL COST: 30.72, PURCHASE FACTOR: 0.00013859, SALE FACTOR: 0.00013859 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	11/17/14	32.97	35.65	-2.68
	ADJUSTED QUANTITY: 32.970, ORIGINAL COST: 35.65, PURCHASE FACTOR: 0.00016083, SALE FACTOR: 0.00016083 CUSIP: 36225AT91						
205,000.000*	G N M A PASS THRU POOL 780576X 7.000% DUE 12/15/2022	☒	09/27/04	12/15/14	48.69	52.65	-3.96
	ADJUSTED QUANTITY: 48.690, ORIGINAL COST: 52.65, PURCHASE FACTOR: 0.00023751, SALE FACTOR: 0.00023751 CUSIP: 36225AT91						

RDH FOUNDATION
C/O DAVID STABEL
24 MERILL DRIVE
PALM DESERT CA 92260-0614

ACCOUNT NUMBER:
307-20273
Page 49 of 49

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0.092	NUVEEN DOW 30SM DYNAMIC OVERWRITE FD SYMBOL: DIAX, CUSIP: 67075F105	☒	05/24/07	12/24/14	1.51	2.02	-0.51
50,000.000	SUNTRUST BK ATLANTA GA CD IDX C/D FDIC INS TO LIMITS LNKD TO GOLD INDEX 0.000% DUE 11/26/2014 CUSIP: 86789VKQ9	☒	11/06/09	11/26/14	55,000.00	50,000.00	5,000.00
Long-Term Subtotal					122,230.69		4,112.44
Total Gains and Losses					122,394.69		4,112.44
Short-Term							0.00
Long-Term							4,112.44
Term Unknown							0.00

* Return of principal. Quantity represents face value.

A Certain cost basis information is not available for this tax lot; therefore, gain/loss and term are not known. Please contact your Financial Advisor for more information.

RDH.FOUNDATION
ADVISOR ACCOUNT
C/O DAVID STABEL
24 MERILL DRIVE
PALM DESERT CA 92260-0614

ACCOUNT NUMBER:

314-60650

Page 11 of 14

CORRECTED COPY: March 17, 2015

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
995.596	AQR FDS MANAGED FUTURES STRATEGY FD I SYMBOL: AQMIX, CUSIP: 00203H859	☐	10/14/13	05/28/14	9,856.40	9,946.00	-89.60
9.207	AQR FDS MANAGED FUTURES STRATEGY FD I SYMBOL: AQMIX, CUSIP: 00203H859	☐	12/24/13	05/28/14	91.15	95.75	-4.60
1.074	AQR FDS MANAGED FUTURES STRATEGY FD I SYMBOL: AQMIX, CUSIP: 00203H859	☐	12/24/13	05/28/14	10.63	11.17	-0.54
650.500	ARTISAN FDS INC GLOBAL VALUE FD INV SHS SYMBOL: ARTGX, CUSIP: 04314H840	☐	10/14/13	05/28/14	10,408.00	9,497.30	910.70
995.093	DRIEHAUS MUT FDS SELECT CR FDS SYMBOL: DRSLX, CUSIP: 262028848	☐	10/14/13	05/28/14	10,140.00	10,060.39	79.61
504.712	GENEVA ADVISORS ALL CAP GROWTH FD INSTL CL SYMBOL: GNVIX, CUSIP: 89834E880	☐	10/14/13	05/28/14	13,819.01	13,925.00	-105.99
0.479	THORNBURG INTL VALUE FUND CL I SYMBOL: TGVIX, CUSIP: 885215566	☐	12/26/13	05/28/14	14.79	15.08	-0.29
581.487	THORNBURG INTL VALUE FUND CL I SYMBOL: TGVIX, CUSIP: 885215566	☐	10/14/13	05/28/14	17,956.32	17,904.00	52.32
179.433	WEITZ FDS HICKORY FD SYMBOL: WEHIX, CUSIP: 94904P500	☐	10/14/13	05/28/14	10,321.00	10,008.79	312.21
392.946	WELLS FARGO ADVANTAGE FUNDS	☐	10/14/13	05/28/14	4,428.50	4,401.00	27.50

RDH FOUNDATION
 ADVISOR ACCOUNT
 C/O DAVID STABEL
 24 MERILL DRIVE
 PALM DESERT CA 92260-0614

ACCOUNT NUMBER:

314-60650

Page 12 of 14

CORRECTED COPY: March 17, 2015

Schedule of Realized Gains and Losses (con't)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	INTL BOND FUND ADMIN CL SYMBOL: ESIDX, CUSIP: 94975P850						
	Short-Term Subtotal				77,045.80		1,181.32

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
146.521	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y SYMBOL: NEZYX, CUSIP: 543487250	☐	12/19/12	05/28/14	2,485.00	2,287.19	197.81
849.618	WELLS FARGO ADVANTAGE FUNDS INTL BOND FUND ADMIN CL SYMBOL: ESIDX, CUSIP: 94975P850	☐	12/19/12	05/28/14	9,575.20	10,000.00	-424.80
	Long-Term Subtotal				12,060.20		-226.99
Total Gains and Losses					89,106.00		954.33
	Short-Term						1,181.32
	Long-Term						-226.99
	Term Unknown						0.00