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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

SCANNED MAY 1 8 2015.

▶ Do not enter social security numbers on this form as it may be made public. Open to Public Inspection ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation MAKI FOUNDATION						er identification numb	oer
Nu	mber an	d street (or P O box number if mail is not delivered to street address) 4210 A.A.B.C.	Room	/suite	B Telephor	ne number (see instruc -925-3272	tions)
Cit	v or tow	n, state or province, country, and ZIP or foreign postal code					d
Ü.(y 01 10111	ASPEN, CO 81611-3551			C if exemp	tion application is peni	ding, check here ▶ [
G	Check	all that apply: Initial return Initial return	of a former public	charity	D 1. Foreig	n organizations, check	here ►
		☐ Final return ☐ Amended r	•		_	n organizations meetir	_
		Address change Name char	nge		check	here and attach comp	outation ▶ 🗍
		type of organization: 🛛 Section 501(c)(3) exempt p			E If private section 5	foundation status was 07(b)(1)(A), check here	s terminated under
_		on 4947(a)(1) nonexempt charitable trust			000		,
		narket value of all assets at J Accounting method	:LXI Cash ∐ A	ccrual		ndation is in a 60-mor	
		f year (from Part II, col. (c), Other (specify)	an asah basis l		unger se	ction 507(b)(1)(B), che	ck here . ▶ 🗌
_	art I	5) ► \$ 3,874,367 (Part I, column (d) must be					(d) Dishumamenta
	art	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books		investment acome	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)	-0-				
	2	Check ► ☐ If the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments	1,103		<u>,103</u>	1,103	
	4	Dividends and interest from securities	57,142		,142	57,142	
	5a	Gross rents	12,010	12	,010	12,010	
4)	b	Net rental income or (loss) 12,010 Net gain or (loss) from sale of assets not on line 10	192,813	<u> </u>			
Revenue	6a b	Gross sales price for all assets on line 6a 200, 287	172,813				
Š	7	Capital gain net income (from Part IV, line 2)		192	,813		
Re	8	Net short-term capital gain	<u> </u>		, 0 , 0	-0-	
	9	Income modifications				-0-	
	10a	Gross sales less returns and allowances					
	b	Less: Cost of goods sold					
	С	Gross profit or (loss) (attach schedule)	-0-			-0-	
	11	Other income (attach schedule)	-0-	1		-0-	
	12	Total. Add lines 1 through 11	263,068	263	,068	70.255	
es	13 14	Compensation of officers, directors, trustees, etc. Other employee salaries and wages	52,940				F0 040
Expenses	15	Pension plans, employee benefits	4,050	 			52,940 4,050
g	16a	Legal fees (attach sched RECEIVE	4,030	-		 	4,030
ш	ь	Accounting fees (attach schedule)	1,067	<u> </u>	1,067	1,067	
ž.	C	Accounting fees (attach schedule) Other professional leas (attach schedule) Interest	, , , , , , , , , , , , , , , , , , , ,	<u> </u>	,		1
ra	17	Interest					
ist	18	Taxes (attach schedule) (see instructions)	7,137				
ij	19	Depreciation (attach sched) (and depleton:	0.515	 		ļ	<u> </u>
Ad	20	Occupancy	9,542	 			9,542
פַ	21	Travel, conferences, and meetings	19	 		 	10-
ā	22	Printing and publications	1,511	 			1,511
ing	24	Other expenses (attach schedule) Total operating and administrative expenses.	76,266	 	1,067	1 0/7	
Operating and Administrative		Add lines 13 through 23			,00/	1,067	68,062
Q	25	Contributions, gifts, grants paid	157,500	 .	1 0/7	1 0/7	157.500
	26 27	Total expenses and disbursements. Add lines 24 and 25 Subtract line 26 from line 12:	233,766	 	,067	1,067	225,562
	j	Excess of revenue over expenses and disbursements	29,302	}		Į	
	a b	Net investment income (if negative, enter -0-)	27,502	265	2,001	 	
	1	Adjusted net income (if negative, enter -0-)		1	-, -, -,	69,188	
For		work Reduction Act Notice, see instructions.	Cat No	o 11289)			orm 990-PF (2014)

Do	t II	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year		End of year			
Га	سيد	should be for end-of-year amounts only. (See instructions)	(a) Book Value	(b) Book Valu		(c) Fair Market Value		
	1	Cash—non-interest-bearing	83,791	383,066		<u>383,066</u>		
}	2	Savings and temporary cash investments						
-	3	Accounts receivable ▶						
i		Less: allowance for doubtful accounts ▶			ľ			
J	4	Diadaga yang kabla N						
1	•	Less: allowance for doubtful accounts			ľ			
- {	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other						
i	•	disqualified persons (attach schedule) (see instructions)						
	7	Other notes and loans receivable (attach schedule) ▶				·		
	•	Loce: allowance for doubtful accounts						
ဖ	8	Less: allowance for doubtful accounts ▶ Inventories for sale or use						
ğ	9	Prepaid expenses and deferred charges						
Assets		Investments—U.S. and state government obligations (attach schedule)						
~	10a	· · · · · · · · · · · · · · · · · · ·	174,634	183,450		3,192,301		
ŀ	b	Investments—corporate stock (attach schedule)	114,004	183,430		3,172,301		
ł	C	Investments—corporate bonds (attach schedule)						
	11	Investments—land, buildings, and equipment. basis ► (201, 115)	77 /71	1001 115	,			
		Less: accumulated depreciation (attach schedule) ►	77,674	(201,115	1	299,000		
ł	12	Investments—mortgage loans						
	13	Investments—other (attach schedule)						
ı	14	Land, buildings, and equipment: basis ▶						
J		Less: accumulated depreciation (attach schedule) ▶		<u> </u>				
	15	Other assets (describe ►						
ł	16	Total assets (to be completed by all filers—see the	336,099	365,401	- 1	3,874,367		
		instructions. Also, see page 1, item l)						
	17	Accounts payable and accrued expenses						
y	18	Grants payable						
흝	19	Deferred revenue						
<u>=</u>	20	Loans from officers, directors, trustees, and other disqualified persons						
Liabilities	21	Mortgages and other notes payable (attach schedule)						
_	22	Other liabilities (describe ►						
	23	Total liabilities (add lines 17 through 22)	-0-	-0-				
, l		Foundations that follow SFAS 117, check here ▶ □						
alances		and complete lines 24 through 26 and lines 30 and 31.						
Ě	24	Unrestricted						
	25	Temporarily restricted						
뜅	26	Permanently restricted						
ξl		Foundations that do not follow SFAS 117, check here ▶ 🏋						
Net Assets or Fund B		and complete lines 27 through 31.						
ō	27	Capital stock, trust principal, or current funds						
\$	28	Paid-in or capital surplus, or land, bldg., and equipment fund						
SS	29	Retained earnings, accumulated income, endowment, or other funds	336,099	365,401				
Ϋ́	30	Total net assets or fund balances (see instructions)	336,099	365,401				
<u> </u>	31	Total liabilities and net assets/fund balances (see						
		instructions)		365,401				
	t III	Analysis of Changes in Net Assets or Fund Balances						
1		I net assets or fund balances at beginning of year-Part II, colu				336,099		
	end-	of-year figure reported on prior year's return)			1_			
2		r amount from Part I, line 27a			2	29,302		
3	Othe	er increases not included in line 2 (itemize)			3_	-0-		
4			4	215 101				
	Add	lines 1, 2, and 3			*	365,401		
5	Add	lines 1, 2, and 3			5	-0-		

1 <u>a</u>			ent Income				
b		e kınd(s) of property sold (e g , real estate, se, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acc		(d) Date sold (mo , day, yr)
	344 shares MB Fil	rancial stock		P	02-06	-85	02-11-14
	343 shares MB Fi			P	02-06		
_c	951 shares MB Fi			P	02-06		
d		s MB Financial stock		P	02-06		
e	<u> 1566 + 912 shares</u>	MB Financial stock		P	02-06	<u> 85 </u>	<u> 11-12-14/12-</u> 26-
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		other basis nse of sale			n or (loss) f) minus (g)
a	10,007.30		394.	29	9	,613	. 01
b	10,032.85		393.	15	9	,639	.70
С	30,005.80		1,090.	04	28	,915	<u>.76</u>
d	20,081.16 + 50,14	1.15	725.54 +	2,031.07	19,355	.62	+ 48,110.08_
е	50,019.71 + 29,99	9.51	1,794.95 +	1,045.34	48,224	1.76	+ 28,954.17
_	Complete only for assets sho	owing gain in column (h) and owned b	y the foundation	on 12/31/69	(I) G	iains (Col	l (h) gain minus
-	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	. ,	s of col (i) (j), if any			t less than -0-) or rom col (h))
a					9	,613	.01
b	N/A	N/A	N/A		9	,639	.70
c					28	,915	.76
d							+ 48,110.08
е							+ 28,954.17
2	Capital gain net income o	r (not canital loce) / ~ ·	also enter in Pa enter -0- in Pai			2,813	
		n or (loss) as defined in sections I, line 8, column (c) (see instruc	1222(5) and (6)	:			<u></u>
	Part I, line 8			}	3	- 0-	
art or op		ler Section 4940(e) for Reduvate foundations subject to the s				ne.)	
or op sections as th	otional use by domestic pri on 4940(d)(2) applies, leav the foundation liable for the	vate foundations subject to the sethis part blank. section 4942 tax on the distribut	section 4940(a) able amount of	tax on net invest	ment incon		☐ Yes 🏿 No
or op sections as the 'Yes,	otional use by domestic pri on 4940(d)(2) applies, leav the foundation liable for the "the foundation does not	vate foundations subject to the sethis part blank. section 4942 tax on the distribute qualify under section 4940(e). De	section 4940(a) able amount of o not complete	tax on net invest any year in the this part.	ment incon	1?	☐ Yes 🔀 No
ections sections as the Yes	otional use by domestic prion 4940(d)(2) applies, leave to foundation liable for the "the foundation does not Enter the appropriate amount of the state of the appropriate amount of the state of the state of the appropriate amount of the state of the appropriate amount of the state of the st	vate foundations subject to the sethis part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year	section 4940(a) able amount of o not complete	tax on net invest any year in the this part. uctions before m	ment incon	1?	
ections the Yes.	on 4940(d)(2) applies, leaver the foundation liable for the manner than the foundation does not the control of the laborate ame (a) Base period years	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b)	ection 4940(a) cable amount of o not complete ur; see the instru	tax on net invest any year in the this part.	ment incon base period akıng any e	1? entries.	(d) tribution ratio
r op ections the Yes	on 4940(d)(2) applies, leaver the foundation liable for the manner, the foundation does not the enter the appropriate amount of the foundation does not the enter the appropriate amount (a) Base period years and ar year (or tax year beginning in the enter the properties).	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Do bunt in each column for each year (b) Adjusted qualifying distributions	rable amount of o not complete ur; see the instru	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a	ment incon base period akıng any e	entries. Dis	(d) tribution ratio divided by col (c))
r op ections the Yes	on 4940(d)(2) applies, leaver the foundation liable for the appropriate amount of the appropriat	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 233,925	rable amount of o not complete ar; see the instru	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a	ment incon base period akıng any e	entries. Dis (col (b)	(d) tribution ratio divided by col (c)) • 08
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r op ections the Yes	on 4940(d)(2) applies, leaver the foundation liable for the arrow the foundation does not the foundation does not the appropriate amount (a) Base period years and year year (or tax year beginning in 2013 2012 2011	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Do but in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052	section 4940(a) sable amount of o not complete ar; see the instruction of the sable architecture	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296	ment incon base period akıng any e	entries. Dis (col (b)	(d) tribution ratio divided by col (c)) . 08 . 08 . 09
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ections that the control of the cont	on 4940(d)(2) applies, leaver the foundation liable for the properties and the foundation does not the enter the appropriate amount of the enter the e	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052 246,196 305,405	section 4940(a) rable amount of o not complete ar; see the instruction of the section of the se	tax on net invest fany year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746	base period aking any e	entries. Dis (col (b)	tribution ratio divided by col (c)) . 08 . 08 . 09 . 09
r op ections the Yes I	on 4940(d)(2) applies, leaver the foundation liable for the properties and the foundation does not the end of the appropriate and the foundation does not the end of the appropriate and the end of th	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052 246,196 305,405	section 4940(a) sable amount of o not complete ar; see the instruction of the sable architecture	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746	base period aking any e	entries. Dis (col (b)	(d) tribution ratio divided by col (c)) • 08 • 09 • 09
r op ections the Yes	on 4940(d)(2) applies, leaver the foundation liable for the refoundation does not the foundation does not foundation for foundation does not found	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052 246,196 305,405 for the 5-year base period—divides	section 4940(a) sable amount of o not complete ar; see the instruction of the sable architecture	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746 Line 2 by 5, or by	base period aking any essets	entries. Dis (col (b)	(d) tribution ratio divided by col (c)) . 08 . 08 . 09 . 09 . 11
r op ections the Yes	on 4940(d)(2) applies, leaver the foundation liable for the refoundation does not the foundation does not foundation for foundation does not found	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052 246,196 305,405	section 4940(a) sable amount of o not complete ar; see the instruction of the sable architecture	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746 Line 2 by 5, or by	base period aking any essets	entries. Dis (col (b)	tribution ratio divided by col (c)) . 08 . 08 . 09 . 09
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or op sections as the Yes. 1 Caler 2 3	on 4940(d)(2) applies, leaver the foundation liable for the refoundation does not the foundation for the founda	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Do bunt in each column for each year (b) Adjusted qualifying distributions 233,925 215,131 230,052 246,196 305,405 for the 5-year base period—divididation has been in existence if le	section 4940(a) sable amount of o not complete ur; see the instruction of the same of the	tax on net invest f any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746	base period aking any essets 2 the 3	entries. Dis (col (b)	(d) tribution ratio divided by col (c)) . 08 . 08 . 09 . 11 . 45
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por op section as the	on 4940(d)(2) applies, leaver the foundation liable for the interest of the foundation does not the foundation of	e this part blank. section 4942 tax on the distribut qualify under section 4940(e). Do to the section 4940(e) and the distribution of the section 4940(e). Do to the section for the section 4940(e). Do to the s	section 4940(a) sable amount of o not complete arr; see the instruction of the same of the	tax on net invest any year in the this part. uctions before m (c) f noncharitable-use a 90,591 10,252 57,296 73,733 16,746	base period aking any essets . 2 the . 3 . 4 . 5	entries. Dis (col (b))	(d) tribution ratio divided by col (c)) . 08 . 08 . 09 . 09 . 11 . 45 . 09 76,821

Part '		<u>ıstru</u>	ction	<u>าร)</u>
	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)			
	Domestic foundations that meet the section 4940(e) requirements in Part V, check	5	240	00
	here ▶ ☐ and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	•	-0-	
3	Add lines 1 and 2			00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		-0-	0.0
5 6	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0	57	240	00
a	2014 estimated tax payments and 2013 overpayment credited to 2014 6a 862 1/00			
b	Exempt foreign organizations—tax withheld at source			
С	Tax paid with application for extension of time to file (Form 8868) . 6c -0-			
d	Backup withholding erroneously withheld			
7	Total credits and payments. Add lines 6a through 6d	8		00_
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		-0- -0-	
9 10	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	3:	-u- 381	00
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax			00
Part	VII-A Statements Regarding Activities		-	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	
	participate or intervene in any political campaign?	1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials			
	published or distributed by the foundation in connection with the activities.			.,
C	Did the foundation file Form 1120-POL for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ (2) On foundation managers. ▶ \$			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
	on foundation managers. ► \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of			
	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	- 1	/ X
b 5	If "Yes," has it filed a tax return on Form 990-T for this year?	4b 5		$\frac{\sqrt{x}}{X}$
5	If "Yes," attach the statement required by General Instruction T.			 ^
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			1
	By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	ا ا	Х	{
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	\hat{x}	 -
, 8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	COLORADO	!		ł
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			ļ
	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	<u> X</u>	<u> </u>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			ł
	4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		$ _{X}$
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their	9		 -
	names and addresses	10		X

Page	5
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Part	VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
14	The books are in care of ▶ Constance Harvey/Patricia A. Humphry Telephone no. ▶ 970-925	-32 551	72	
15	Located at ► 4210 A.A.B.C., ASPEN, CO ZIP+4 ► 87677-3 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year ► 15			\
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority		Yes	No X
	over a bank, securities, or other financial account in a foreign country?	16		
Pari	VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
,	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			i
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶□			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 , 20 , 20 , 20			
3a		i		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Page	1

		nich Form	*****	····		(,			
			n (secti	on 4945(e))? .	∏Yes	⊠ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on,										
(3) Provide a grant to an individual for travel, study, or other similar purposes?										
							∏ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?										
If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53,4945 or in a current notice regarding disaster assistance (see instructions)?							5b	N/A	1	
_	-	-					ightharpoons		1,7,7	
If the answer is "Yes" to question 5a(4), does the	ne four	ndation claim	exemp	tion from	the tax		□No			
				•						
		-	-			_	⊠ No.			
·						_		6b		Х
If "Yes" to 6b, file Form 8870.	·	•		•						-
								7h	NI/A	
										.
and Contractors	·	•			•			, -		
List all officers, directors, trustees, foundation	mana	gers and th	eir con	pensatior	ı (see i	nstructio	ns).			
(a) Name and address	hou	rs per week	`´(lf n	ot paid,	emplo	yee benefit	plans	(e) Expe	nse acc allowan	count, ices
	Dire	ector	- ()_		-0-		~	0-	
	Dire	ector	-0)		-0-		-	0-	
	n: L	00+04							^	
	VVII	eccon	- (/ -		-0-		-	U-	
	Dire	ector	-0)_		-0-		-	0-	
Compensation of five highest-paid employee	s (oth	er than tho	se incli	uded on li	ne 1-	see instr	uctions	s). If n	one,	enter
		(b) Title, and a	verage	(-) 0					ense ac	count.
a) Name and address of each employee paid more than \$50,000				(c) Compe	nsation	plans and	deferred	other	allowar	ices
NONE	·									
number of other employees paid over \$50,000						<u> </u>	. •			
	(1) Carry on propaganda, or otherwise attempt to (2) Influence the outcome of any specific public directly or indirectly, any voter registration dri (3) Provide a grant to an individual for travel, studies (4) Provide a grant to an organization other than section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, purposes, or for the prevention of cruelty to compare the grant of the Regulations section 53.4945 or in a current notice of the answer is "Yes" to 5a(1)–(5), did any of the Regulations relying on a current notice regarding the answer is "Yes" to question 5a(4), does the because it maintained expenditure responsibility if "Yes," attach the statement required by Regulation approach to 6b, file Form 8870. At any time during the tax year, was the foundation and the foundation, during the year, pay premium if "Yes" to 6b, file Form 8870. At any time during the tax year, was the foundation and Contractors List all officers, directors, trustees, foundation (a) Name and address **Lance Harvey** Stage Road, Aspen, CO 81611-1097 Harvey, PO Box 976, Springer, 2680 Pizza Lane, On, WY 83014 Charvey, 307H A.A.B.C., Ch., CO 81611 Compensation of five highest-paid employed "NONE."	(1) Carry on propaganda, or otherwise attempt to influe (2) Influence the outcome of any specific public electic directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or of the provide a grant to an organization other than a charsection 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charita purposes, or for the prevention of cruelty to children if any answer is "Yes" to 5a(1)–(5), did any of the transa Regulations section 53.4945 or in a current notice regarding disa if the answer is "Yes" to question 5a(4), does the four because it maintained expenditure responsibility for the lif "Yes," attach the statement required by Regulations so Did the foundation, during the year, receive any funds, on a personal benefit contract? Did the foundation, during the year, pay premiums, dire if "Yes" to 6b, file Form 8870. At any time during the tax year, was the foundation a party of the foundation receive any proceeds or have the foundation of the foundation mana. (a) Name and address (b) Title house. (a) Name and address (b) Title house. (c) Stage Road, Aspen, CO 81611-1097 Harvey, PO Box 976. (c) 81611 Compensation of five highest-paid employees (oth "NONE." (a) Name and address of each employee paid more than \$50,000	(2) Influence the outcome of any specific public election (see sectidirectly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar (4) Provide a grant to an organization other than a charitable, etc., or section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientification purposes, or for the prevention of cruelty to children or animals? If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to Regulations section 53.4945 or in a current notice regarding disaster as Organizations relying on a current notice regarding disaster assistant of the answer is "Yes" to question 5a(4), does the foundation claim because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.494 Did the foundation, during the year, receive any funds, directly or income a personal benefit contract? Did the foundation, during the year, pay premiums, directly or indirectly "Yes" to 6b, file Form 8870. At any time during the tax year, was the foundation a party to a prohibited if "Yes," did the foundation receive any proceeds or have any net income and contractors List all officers, directors, trustees, foundation managers and the information About Officers, Directors, Trustees, Foundation and Aspen, CO 81611–1097 Hatvey, PO Box 976, Director Stage Road, Aspen, CO 81611–1097 Hatvey, PO Box 976, Director (a) Name and address of each employee paid more than \$50,000 (b) Title, and hours per devoted to position of five highest-paid employees (other than thor hours per devoted to position party of the party o	(1) Carry on propaganda, or otherwise attempt to influence legislation (sectical influence the outcome of any specific public election (see section 495t directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purpose (4) Provide a grant to an organization other than a charitable, etc., organiza section 4945(0/4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, litera purposes, or for the prevention of cruelty to children or animals? If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify Regulations section 53.4945 or in a current notice regarding disaster assistance check of the answer is "Yes" to question 5a(4), does the foundation claim exemple because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d). Did the foundation, during the year, receive any funds, directly or indirectly, on a personal benefit contract? Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Did the foundation, during the year, pay premiums, directly or indirectly, on if "Yes," did the foundation receive any proceeds or have any net income at YIII Information About Officers, Directors, Trustees, Foundation and Contractors List all officers, directors, trustees, foundation managers and their company of the foundation and Contractors List all officers, directors, trustees, foundation managers and their company of the foundation and Contractors List all officers, directors, trustees, foundation managers and their company of the foundation and Contractors List all officers, directors, trustees, foundation managers and their company of the foundation and Contractors List all officers, directors, trustees, foundation managers and their company of the foundation foundation foundation foundation foundation foundation foundation foundation foundation foundati	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e) (2) Influence the outcome of any specific public election (see section 4955); or to ce directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a chantable, etc., organization described as grant to an organization other than a chantable, scientific, literary, or educ purposes, or for the prevention of cruelty to children or animals? (5) Provide for any purpose other than religious, chantable, scientific, literary, or educ purposes, or for the prevention of cruelty to children or animals? (6) Provide for any purpose other than religious, chantable, scientific, literary, or educ purposes, or for the prevention of cruelty to children or animals? (7) If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions are little answer is "Yes" to question 5a(4), does the foundation claim exemption from because it maintained expenditure responsibility for the grant? (a) Yes," attach the statement required by Regulations section 53.4945–5(d). (b) Id the foundation, during the year, receive any funds, directly or indirectly, to pay preon a personal benefit contract? (c) Id the foundation, during the year, pay premiums, directly or indirectly, on a personal if "Yes," id to the foundation receive any proceeds or have any net income attributable if "Yes," id to the foundation receive any proceeds or have any net income attributable if "Yes," id to the foundation receive any proceeds or have any net income attributable if "Yes," id to the foundation receive any proceeds or have any net income attributable if "Yes," id to the foundation or proceeds or have any net income attributable if "Yes," id to the foundation receive any proceeds or have any net income attributable in the proc	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a chartable, etc., organization described in section 4945(d)(4)(A) (See instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? (6) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? (6) If any answer is "Yes" of all 10 qualify under the exception for the grant? (7) If the answer is "Yes" to question 53.4945 or in a current notice regarding disaster assistance (see instructions) or answer is "Yes" to decent the transaction for the transaction for the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? (8) If "Yes" to 6b, file Form 8870. (9) If "Yes" to 6b, file Form 8870. (9) Tile foundation, during the year, pay premiums, directly or indirectly, on a personal benefit for the stransaction? (9) Name and address was the foundation a party to a prohibited tax shelter transaction? (9) Tile during the tax year, was the foundation aparty to a prohibited tax shelter transaction? (9) Tile during the file of the file of the foundation foundation, during the year, pay premiums or have a prohibited for the file of the f	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

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Page	

	Information About Officers, Directors, Trustees, Foundation and Contractors (continued)	Managers, Highly Paid Em	nployees,
	hest-paid independent contractors for professional services (see inst	ructions). If none, enter "NON	E."
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
	Tay ham and address of state person parts many despects	(2) 1) 10 01 01 01	(-,
	NONE		
	of others receiving over \$50,000 for professional services	<u> </u>	<u> </u>
art IX-A	Summary of Direct Charitable Activities		,
	tion's four largest direct chantable activities during the tax year. Include relevant statistic nd other beneficiaries served, conferences convened, research papers produced, etc	al information such as the number of	Expenses
1			
	NON-APPLICABLE		
2			
3			
4			
art IX-B	Summary of Program-Related Investments (see instructions		<u> </u>
Describe the tw	o largest program-related investments made by the foundation during the tax year on lines	1 and 2	Amount
	NON-APPLICABLE		
2	NON-AFFLICABLE		
			
	um-related investments. See instructions		
3 			
tal. Add line	s 1 through 3		
			Form 990-PF (20

Part		gn to	undations,
	see instructions.)		
1 '	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		2 011 117
а	Average monthly fair market value of securities	1a	3,241,117
b	Average of monthly cash balances	1b	187,128
C	Fair market value of all other assets (see instructions)	1c	- 0 -
d	Total (add lines 1a, b, and c)	1d	3,428,245
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	-0-
3	Subtract line 2 from line 1d	3	3.428.245
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see		E1 101
	instructions)	4	51,424
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,376,821
6	Minimum investment return. Enter 5% of line 5	6	168.841
Part	XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating for and certain foreign organizations check here ► □ and do not complete this part.)	ound	ations
1	Minimum investment return from Part X, line 6	1	168,841
2a	Tax on investment income for 2014 from Part VI, line 5	- <u>:</u> -	100,041
b	Income tax for 2014. (This does not include the tax from Part VI.) 2b -0-	1	
C C	Add lines 2a and 2b	2c	5,240
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	163,601
4	Recoveries of amounts treated as qualifying distributions	4	-0-
5	Add lines 3 and 4	5	163,601
6	Deduction from distributable amount (see instructions)	6	-0-
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,	<u> </u>	
	line 1	7	163,601
Part	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	<u> </u>	
а	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	225,562
b	Program-related investments—total from Part IX-B	1b	-0-
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	16,291
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	-0-
b	Cash distribution test (attach the required schedule)	3b	-0-
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	241,853
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.		
	Enter 1% of Part I, line 27b (see instructions)	_5_	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	241,853
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating qualifies for the section 4940(e) reduction of tax in those years.	g whe	ether the foundation

art	. Undistributed income (see instruction	ons)			
1	Distributable amount for 2014 from Part XI,	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
	line 7				163,601
2	Undistributed income, if any, as of the end of 2014:				
а	Enter amount for 2013 only]	-0-	
b	Total for prior years: 20 12,20 11,20 10		-0-		
3	Excess distributions carryover, if any, to 2014:		 		
			ļ	•	
а	From 2009				
b	From 2010				
С	From 2011				
d	From 2012 82,709				
е	From 2013				
f	Total of lines 3a through e	537,014			
4	Qualifying distributions for 2014 from Part XII,				
	line 4: ► \$ 241,853				
а	Applied to 2013, but not more than line 2a.			-0-	
	Applied to undistributed income of prior years	·			
	(Election required—see instructions)		-0~		
C	Treated as distributions out of corpus (Election	-			
•	required—see instructions)	-0-			
a	, , , , , , , , , , , , , , , , , , , ,				163,601
d	• •	78,252			103,001
e	Remaining amount distributed out of corpus	-0-			
5	Excess distributions carryover applied to 2014	-0-			<u> </u>
	(If an amount appears in column (d), the same				
_	amount must be shown in column (a).)		1		
6	Enter the net total of each column as		1		
	indicated below:		j j		
	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	615,266			
b	Prior years' undistributed income. Subtract				
	line 4b from line 2b		-0-		
C	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has		-0-		
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
	amount—see instructions		-0-		
A	Undistributed income for 2013. Subtract line	<u> </u>	 		
_	4a from line 2a. Taxable amount—see		1	-0-	
	instructions			•	
f	Undistributed income for 2014. Subtract lines	_	-		
•	4d and 5 from line 1. This amount must be				-0-
	distributed in 2015				- v-
-		<u> </u>	 		
7	Amounts treated as distributions out of corpus	-0-			
	to satisfy requirements imposed by section	-0-			1
	170(b)(1)(F) or 4942(g)(3) (Election may be	:			
_	required—see instructions)	_			
8	Excess distributions carryover from 2009 not	170,924			
_	applied on line 5 or line 7 (see instructions).				
9	Excess distributions carryover to 2015.	444,342		-	
	Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2010				
þ	Excess from 2011]			
¢	Excess from 2012 82,709	1	1		
d	Excess from 2013 79,675				
e	Excess from 2014	Í	1		
<u> </u>		L			

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Part 2	XIV . Private Operating Founda	tions (see instru	ctions and Part	VII-A, question 9))	
	If the foundation has received a ruling foundation, and the ruling is effective fo					
b	Check box to indicate whether the four	ndation is a private	operating foundat	tion described in se	ection 4942(j)	(3) or 4942(j)(5)
2a	Enter the lesser of the adjusted net	Tax year		Prior 3 years		(e) Total
	income from Part I or the minimum investment return from Part X for	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(6) 10441
	each year listed					
b	85% of line 2a					
C	Qualifying distributions from Part XII,					
	line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
е	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
_	"Assets" alternative test—enter:		NON-APPL	TCABLE	1	
а	(1) Value of all assets		11011 711 12	10,1026	<u>{</u>	
	(2) Value of assets qualifying under				 	
	section 4942(j)(3)(B)(i)	ľ	}	}	}	ł
b	"Endowment" alternative test-enter 2/3					
	of minimum investment return shown in Part X, line 6 for each year listed	ł		1	}	
С	"Support" alternative test—enter:					
_	(1) Total support other than gross		}	i	}	}
	investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public					
	and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income				<u> </u>	-
Part	XV Supplementary Information	on (Complete th	nis part only if t	he foundation h	ad \$5,000 or m	ore in assets at
	any time during the year-	-see instruction	าร.)			
1	Information Regarding Foundation	Managers:				
а	List any managers of the foundation before the close of any tax year (but o		ontributed more th			by the foundation
<u>b</u>	List any managers of the foundation	who own 10% o	r more of the stoo	ck of a corporatio	n (or an equally la	arge portion of the
	ownership of a partnership or other e	ntity) of which the NON-APPLICA		10% or greater int	erest.	
2	Information Regarding Contribution	n, Grant, Gift, Loa	n, Scholarship, e	etc., Programs:		
	Check here ▶ ☐ If the foundation	only makes cont	ributions to prese	elected charitable	organizations and	does not accept
	unsolicited requests for funds. If the	foundation makes	gifts, grants, etc.	(see instructions)	to individuals or o	rganizations under
	other conditions, complete items 2a,	b, c, and d.				
а	The name, address, and telephone no		•	• •	cations should be	addressed:
	Patricia A. Humphry, 4217	D A.A.B.C.,	Aspen, CO	81611-3551	970-92	5-3272
		<u> </u>				
b	The form in which applications should	be submitted an PLEASE SEE		materials they sho	ould include:	
	Any submission deadlines:	DIE LOS OSS	17710070			 -
		PLEASE SEE	ATTACHED			
d	Any restrictions or limitations on av	wards, such as b	y geographical a	reas, charitable fi	elds, kinds of ins	stitutions, or other
	factors:	PLEASE SEE	ATT A CUEO			
		r LLMOE OEE	ペナナベレガビレ			

Part	XV . Supplementary Information (conf	tinued)			
3_	Grants and Contributions Paid During	the Year or Approv	ed for Fut	ture Payment	
	Hecipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	Name and address (home or business)	or substantial contributor	recipient	Contribution	
a	Name and address (home or business) Paid during the year PLEASE SEE		recipient	contribution	
	Total			▶ 3.	a
b	Approved for future payment				
		NONE			
	Total	-		D 2	

Pa	rt X'	VI-A Analysis of Income-Producing Ac	tivities				
		oss amounts unless otherwise indicated.		usiness income	Excluded by secti	on 512, 513, or 514	(e)
	·		(-)	(1-)	(0)	(4)	Related or exempt
			(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions)
1	Pro	gram service revenue:					
	а						<u></u>
	b						
	С						
	d						
	е						
	f				<u> </u>		
	g	Fees and contracts from government agencies					
2	Ме	mbership dues and assessments			<u> </u>		
3		erest on savings and temporary cash investments			14-	1,103	
4	Div	idends and interest from securities			14	57,142	
5	Ne	rental income or (loss) from real estate:			<u></u>		
		Debt-financed property			ļ		
		Not debt-financed property			16	12,010	
6		rental income or (loss) from personal property			ļ		<u> </u>
7		ner investment income			 	100 115	
8		n or (loss) from sales of assets other than inventory			18	192,813	
9		t income or (loss) from special events			<u> </u>		
10		oss profit or (loss) from sales of inventory			ļ		
11		ner revenue: a			 		
	b				 		
	C			 	 		
	d				 		ļ
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		ksheet in line 13 instructions to verify calculation				. 10	5,000
		VI-B Relationship of Activities to the A		ent of Exemp	t Purposes		
_	e No		<u>-</u>			I-A contributed i	mportantly to the
	▼	Explain below how each activity for which accomplishment of the foundation's exempt pu	rposes (other tha	in by providing fur	nds for such purp	oses). (See instru	ctions.)
		NON-	-APPLICABL	E			
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	in sec	e organization detion 501(c) of the izations?	lirectly or indirectly e e Code (other than s	engage in any of the f ection 501(c)(3) orga	ollowing with any nizations) or in se	other orgar ection 527, r	nizatio elating	n des g to p	cribed olitical		Yes	No
а	Trans	fers from the rep	orting foundation to	a noncharitable exer	npt organization	of:				1		
										1a(1)		X
										1a(2)		X
	• •	transactions:										
	(1) Sa	ales of assets to	a noncharitable exe	mpt organization .						16(1)		X
				ble exempt organizat						1b(2)		X
				rassets						1b(3)		X
										1b(4)		X
	(5) Lo	ans or loan guai	rantees							1b(5)		X
	(6) Pe	erformance of se	ervices or membersh	ip or fundraising solic	citations					1b(6)		X_
С	Sharıı	ng of facilities, ed	quipment, mailing lis	ts, other assets, or p	aid employees					1c		X_
				," complete the follo								
				ces given by the repo								
	value	ın any transactio	on or sharing arrange	ement, show in colun	n (d) the value o	f the goods,	other	asse	ts, or se	rvices	recei	ved.
(a) Line	no (I	b) Amount involved	(c) Name of nonch	arıtable exempt organizatio	on (d) Descri	ption of transfe	rs, tran	saction	s, and sha	aring arr	angeme	ents
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	descr	ibed in section 5		iliated with, or relate ther than section 501] Yes	KX a	No
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	Sign	ature of officer or trus Print/Type preparer	//	Date Date		Data	₁			DTIN		
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Information Regarding Transfers To and Transactions and Relationships With Noncharitable

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MAKI FOUNDATION

#84-0836242

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ACCOUNTING FEES

MB Financial Bank \$ 999.96

6111 North River Road Rosemont, IL 60018

Charles Schwab & Co., Inc.

211 Main Street

San Francisco, CA 94105 66.81

TOTAL ACCOUNTING FEES

\$1,066.77

Part I, Line 18:

TAXES

Taxes were \$1,480.00 on 2013 income and \$5,294.54 on 2014 income with \$66.00 for Chesapeake Operating mineral taxes, \$.01 for Chesapeake Operating production taxes, and \$296.66 for Shell Western production taxes for a total of \$7,137.21. Income taxes were paid as estimated tax deposits to the Internal Revenue Service during 2014.

Part I, Line 23:

OTHER EXPENSES

Bank Fees	\$	25.00
Computer		664.40
Office Supplies & Postage		627.52
Repairs & Maintenance		65.00
Telephone		128.91
-	<u>\$1</u>	<u>,510.83</u>

Part II, Line 10b:

INVESTMENTS/STOCKS		Book Value	<u>Market Value</u>
Charles Schwab & Co. \$	5	80,881.97	\$ 1,296,675.45
MB Financial		63,058.44	1,807,825.76
National Stockyards		39,510.00	87,800.00
, , , , , , , , , , , , , , , , , , ,	\$	183,450.41	\$ 3,192,301.21

Part II, Line 11:

INVESTMENTS/BLDGS.

11 V P LO I IVILII V I O / D LIDOO.			
Main Street Office Ptnrs \$	(104,223.78)	\$	100,000.00
529 Bryant Street	(50,099.69)		118,000.00
Four Points Partners	(142,806.01)		- 0 -
Irvine Ctr. Office Partners _	96,014.11	_	81,000.00
\$	(201.115.37)	4	299,000,00



PART XV, LINES 2b, 2c, & 2d:

Maki Foundation 421 D A.A.B.C. Aspen, Colorado 81611-3551 970-925-3272

APPLICATION GUIDELINES

The Maki Foundation, established in 1981, is concerned with environmental protection in the western United States. The Foundation awards grants to organizations and projects where modest support can make a significant contribution. Most awards range from \$1,000 to \$10,000. Because of its small size, the Foundation rarely funds groups with annual budgets over \$1 million.

The Maki Foundation's geographic area of interest includes New Mexico, Colorado, Utah, Idaho, Wyoming, and Montana.

At this time, the Foundation's priorities are as follows:

- wilderness and wildlands protection
- river and wetlands conservation
- biological diversity conservation
- public lands management

The Foundation does not consider requests for the acquisition or construction of community recreation facilities, buildings, municipal parks, reservoirs, and similar projects. Limited resources preclude consideration of funding for wildlife rehabilitation centers, zoos, recycling programs, tree planting projects, toxic waste cleanup, film productions, and fellowships.

APPLICATION PROCEDURE

If you have never received a grant from the Maki Foundation, please call Patricia Humphry (970-925-3272) Tuesday, Wednesday, or Friday mornings, to discuss your project, or send a brief letter of inquiry to phumphry@makifoundation.org or the mailing address above. If you have received a grant in the past, you may apply without a preliminary phone call or letter of inquiry.

<u>Application Deadline:</u> The Board of Directors meets once a year in mid-summer. To be considered in the current year, **proposals must be received by May 1**. Awards will be announced by September 15.

Application Forms: Proposals must be accompanied by 1) eight copies of the application form and 2) eight copies of the project summary form.

<u>Full Proposal</u>: In addition to the application forms, please send **two copies** of your full proposal, including budget information, list of board of directors, and other information as specified below.

<u>Proposal Content:</u> The proposal should include the following information, in as clear and concise a form as possible (we encourage you to limit the proposal to 5 pages or less and to use both front and back of your paper):

- 1. A description of the applicant organization, including the organization's name, the number of years it has been in operation, and its mission.
- 2. A brief explanation of the project or program for which funds are sought. Please provide information about the following:
 - purpose for which the grant will be used
 - the project's goals and objectives, including specific results to be achieved
 - plans for evaluating the project
 - project timetable
 - background of project director and staff.
- 3. The organization's projected annual budget, including a detailed project budget. (Please note that if your annual budget is over \$1 million, you are unlikely to receive funding from Maki Foundation.) List all other sources of support for the project and the amounts provided by each. (Please specify whether funding from other foundations has been requested or committed.)
- 4. The organization's most recent financial statement, showing amounts and sources of current income.
- 5. A list of any previous grants received from the Maki Foundation, including year, amount, and purpose of each grant.
- 6. A list of the organization's Board of Directors.
- 7. A copy of the organization's 501(c)3 tax exemption ruling.

Follow-up Reporting: If you receive Maki Foundation funding, we ask that you submit a brief report (no more than one to two pages) via email to phumphry@makifoundation.org by October 1st of the following year. The report should summarize what you have achieved with the grant, what setbacks you've encountered (if any), and what methods you are using to evaluate the effectiveness of your work.

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If you need more information, please write to Patricia Humphry at the above address, email phumphry@makifoundation.org or telephone (970) 925-3272 Tuesday, Wednesday, or Friday mornings.

front and back of a single sheet of paper, and to use both sides of the paper for your proposal.

PLEASE SEND EIGHT COPIES OF THIS APPLICATION FORM, EIGHT COPIES OF THE 1-PAGE PROJECT SUMMARY, AND TWO COPIES OF YOUR FULL PROPOSAL (INCLUDING BUDGET AND OTHER REQUIRED MATERIALS) TO THE MAKI FOUNDATION. To save paper, we encourage you to put the application form and the project summary on the

Organization: **Contact Person & Title:** Address: Phone: Fax: E-mail Address: Website: **Project Title: Date of Application: Amount Requested: Total Project Costs: Organization's Projected Annual Budget:** Last Year's Expenditures: **Other Committed Supporters:** Previous Maki grants (year, amount): Checklist of information to be included in full proposal (see guidelines): 1. Description of organization 2. Explanation of project or program for which funds are sought 3. Organization's projected annual budget and project budget 4. Most recent financial statement 5. List of previous Maki grants, including year, amount, and purpose 6. List of Board of Directors 7. Organization's 501(c)3 tax exemption ruling Please place your proposal in one of the following categories (check one only, please, even if your proposal could fit into more than Ecosystem/Wilderness Protection one): Biodiversity Environmental Advocacy Rivers/Water Quality Mining

PROJECT SUMMARY: (Problem to be addressed, description of project, expected results, time frame). **Please limit your summary to one page.**

MAKI FOUNDATION

#<u>84-0836242</u> page 1 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Advocates of the West

PO Box 1612 Boise, ID 83702

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting the Sagebrush Sea

Amount:

\$4,000.00

Recipient:

Canyonlands Field Institute

PO Box 68

Moab, UT 84532

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Outdoor Science School for Local Schools, 2014-2015

Amount:

\$2,000.00

Recipient:

Craighead Environmental Research Institute

201 S. Wallace Avenue, Suite B2D

Bozeman, MT 59715

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Alpine Ecology & Climate Change Environmental Education

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 2 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Colorado Backcountry Hunters & Anglers

37770 Jaz Road Windsor, CO 80550

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Sportsman Support for the Central Mtns. And

Gunnison County Conservation

Amount:

\$3,000.00

Recipient:

Western Wildlife Conservancy

1021 Downington Avenue Salt Lake City, UT 84105

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Crucial Habitat & Wildlife Corridor Protection Project in the West

Amount:

\$2,000.00

Recipient:

Colorado Mtn. Club

710 10th Street, Suite 200

Golden, Co 80401

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Saving the Rio Grande

Amount:

\$2,000.00

MAKI FOUNDATION

#<u>84-0836242</u> page 3 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Californians for Western Wilderness

PO Box 210474

San Francisco, CA 94121-0474

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Wilderness and Public Lands in the Intermtn. States

Amount:

\$2,000.00

Recipient:

Citizens for a Healthy Community

PO Box 291

Hotchkiss, CO 81419

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

North Fork Combined Campaign

Amount:

\$3,000.00

Recipient:

Friends of the Clearwater

PO Box 9241

Moscow, ID 83843

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Forest Plan Revision Ptoject

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 4 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Aspen Journalism

1280 Ute Avenue, Suite 4

Aspen, CO 81611

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Aspen Journalism Water Desk

Amount:

\$3,000.00

Recipient:

Community Environmental Legal Defense Fund

PO Box 360

Mercersburg, PA 17236

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Project on Shale Gas Drilling and Fracking

Amount:

\$3,000.00

Recipient:

Snake River Waterkeeper

2313 N. 20th Street Boise, ID 83702

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

2014 Environmental Projects

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 5 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

High Country Conservation Advocates

PO Box 1066

Crested Butte, CO 81224

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protect the Best, Defend the Rest in Upper Gunnison Valley

Amount:

\$3,000.00

Recipient:

New Mexico Wilderness Alliance

142 Truman Street, NE, Suite B-1

Albuquerque, NM 87108

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting New Mexico Wildlands

Amount:

\$3,000.00

Recipient:

Energy Conservation Law

1911 Main Street, Suite 238

Durango, CO 81301

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Uranium Program

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 6 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Rocky Mtn. Recreation Initiative

1567 Twin Sisters Road Nederland, CO 80466

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting Colorado from High Impact Recreation

Amount:

\$3,000.00

Recipient:

Wild Connections

PO Box 588

Monument, CO 80132

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Wildands and Wildways 2014-2015

Amount:

\$3,000.00

Recipient:

Lobo Coalition

c/o The Rewilding Institute

PO Box 13768

Albuquerque, NM 87192

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Organizing Packs to Save the Lobo Project

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 7 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

The Xerces Society

628 NE Broadway, Suite 200

Portland, OR 97232

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Conserving Freshwater Mussels & Their Habitats

Across the Intermountain West

Amount:

\$3,000.00

Recipient:

Environment New Mexico Research and Policy Center

PO Box 40173

Albuquerque, NM 87196

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Don't Frack Chaco Canyon

Amount:

\$3,000.00

Recipient:

Wild Utah Project

824 S. 400 W., Suite B117 Salt Lake City, UT 84101

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

General Support

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 8 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Wilderness Watch

PO Box 9175

Missoula, MT 59807

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Five Critical Wilderness Defense Projects in the Northern Rockies

Amount:

\$4,500.00

Recipient:

Wilderness Workshop

PO Box 1442

Carbondale, CO 81623

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Oil and Gas Defense Campaign

Amount:

\$4,000.00

Recipient:

WildWest Institute

PO Box 7998

Missoula, MT 59807

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Public Lands Project

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 9 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Montana Trout Unlimited

111 N. Higgins Avenue, Suite 500

Missoula, MT 59802

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Smith River Protection

Amount:

\$2,000.00

Recipient:

Wyoming Wilderness Assn.

PO Box 6588

Sheridan, WY 82801

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Bridger-Teton Wild Lands Campaign

Amount:

\$5,000.00

Recipient:

Great Burn Study Group

1434 Jackson Street Missoula, MT 59802

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting the Great Burn Proposed Wilderness in Idaho

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 10 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Information for Responsible Mining

PO Box 27

Norwood, CO 81423

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

General Support

Amount:

\$4,000.00

Recipient:

Headwaters Montana

545 Holt Drive Bigfork, MT 59911

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Transboundary Project

Amount:

\$3,000.00

Recipient:

Montana Environmental Information Center

PO Box 1184

Helena, MT 59624

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

2014 Environmental Advocacy

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 11 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

New Mexico Environmental Law Center

1405 Luisa Street #5 Santa Fe, NM 87505

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Ingenious Uranium Defense Project: Mount Taylor

Amount:

\$3,000.00

Recipient:

Pacific Rivers Council

317 SW Alder Street, Suite 900

Portland, OR 97204

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Montanans for Healthy Rivers

Amount:

\$3,000.00

Recipient:

Northern Plains Resource Council

220 S. 27th Street, Suite A Billings, MT 59101

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Doing It Right

Amount:

MAKI FOUNDATION

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Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Powder River Basin Resource Council

934 North Main Sheridan, WY 82801

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Mitigating the Impacts of Gas Development in Wyoming

Amount:

\$4,000.00

Recipient:

San Luis Valley Ecosystem Council

PO Box 223

Alamosa, CO 811301

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

San Luis Valley Public Lands Protection Project

Amount:

\$4,000.00

Recipient:

Western Lands Project

PO Box 95545

Seattle, WA 98145-2545

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting the Public Domain

Amount:

MAKI FOUNDATION

#84-0836242

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Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Living Rivers and Colorado Riverkeeper

PO Box 466

Moab, UT 84532

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Colorado 2050: Pathways to Human-Ecological Balance

Amount:

\$3,000.00

Recipient:

Wyoming Outdoor Council

262 Lincoln Street Lander, WY 82520

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

General Support

Amount:

\$4,000.00

Recipient:

Quiet Use Coalition

326 E. 4th Street Salida, CO 81201

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Preserving & Creating Quiet Use Areas in Central, CO

Amount:

MAKI FOUNDATION

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Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Rock the Earth

1536 Wynkoop Street, Suite B200

Denver, CO 80202

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting Colorado's Roan Plateau From Destructive Drilling

Amount:

\$2,000.00

Recipient:

Rocky Mtn. Wild

1536 Wynkoop Street, Suite 900

Denver, CO 80202

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Biodiversity Conservation Campaign

Amount:

\$4,000.00

Recipient:

Western Mining Action Project

PO Box 349

Lyons, CO 80540

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

General Support

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 15 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Utah Rivers Council

1055 East 2100 South, Suite 204

Salt Lake City, UT 84106

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Colorado River Coalition

Amount:

\$4,000.00

Recipient:

Thompson Divide Coalition

PO Box 2045

Carbondale, CO 81623

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Campaign to Save Colorado's Thompson Divide

Amount:

\$4,000.00

Recipient:

Western Colorado Congress

PO Box 1931

Grand Junction, CO 81502

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Colorado Plateau Protection Campaign

Amount:

MAKI FOUNDATION

#<u>84-0836242</u> page 16 of 16

Part I, Line 25 & Part XV, Line 3a: MAKI GRANTS GIVEN IN 2014

Recipient:

Wilderness Land Trust

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Celebrating the 50th Anniversary of Wilderness: Painter Mine

Amount:

\$3,000.00

Recipient:

Winter Wildlands Alliance

910 Main Street, Suite 235

Boise, ID 83701

Foundation Status:

Non-profit, tax-exempt, public organization

Purpose of Grant:

Protecting Winter Ecosystems through the New OSV Rule

Amount:

\$4,000.00

TOTAL GRANTS GIVEN IN 2014: \$157,500.00