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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
The Anna and John J Sie Foundation

A Employer identification number
83-6058353

Number and street (or P O box number if mail is not delivered to street address) Room/suite
3300 East First Avenue No 390

B Telephone number (see instructions)
(303) 468-6060

City or town, state or province, country, and ZIP or foreign postal code
Denver, CO 80206

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 84,461,171

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	198,115	198,115		
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	3,413,857			
	b	Gross sales price for all assets on line 6a ____				
	7	Capital gain net income (from Part IV, line 2)		3,413,857		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	413,106	413,106		
	12	**Total.** Add lines 1 through 11	4,025,078	4,025,078		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	57,416	120		57,296
	14	Other employee salaries and wages	84,883	0		84,883
	15	Pension plans, employee benefits	64,827	0		64,827
	16a	Legal fees (attach schedule)	13,364	0		13,364
	b	Accounting fees (attach schedule)	3,750	1,400		2,350
	c	Other professional fees (attach schedule)	127,400	56,250		71,150
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	54,159	0		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	13,504	0		13,504
	22	Printing and publications				
	23	Other expenses (attach schedule)	59,167	24,505		34,662
	24	**Total operating and administrative expenses.** Add lines 13 through 23	478,470	82,275		342,036
	25	Contributions, gifts, grants paid	5,413,130			5,413,130
	26	**Total expenses and disbursements.** Add lines 24 and 25	5,891,600	82,275		5,755,166
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,866,522			
	b	**Net investment income** (if negative, enter -0-)		3,942,803		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	8,795,573	5,391,892	5,391,892
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ 1,000,000 Less allowance for doubtful accounts ▶ 0	1,000,000	1,000,000	1,000,000
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	18,142,009	19,093,372	30,902,921
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	37,825,439	38,411,235	47,165,358
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	1,000	1,000	1,000
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	65,764,021	63,897,499	84,461,171
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	65,764,021	63,897,499	
30	**Total net assets or fund balances** (see instructions)	65,764,021	63,897,499	
31	**Total liabilities and net assets/fund balances** (see instructions)	65,764,021	63,897,499	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	65,764,021
2	Enter amount from Part I, line 27a	2	-1,866,522
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	63,897,499
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	63,897,499

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,413,857
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	13,521,815	84,474,994	0 160069
2012	7,281,001	80,825,946	0 090082
2011	6,306,074	82,185,625	0 076730
2010	7,486,884	47,714,064	0 156911
2009	3,779,245	44,978,082	0 084024

2	**Total** of line 1, column (d)	2	0 567816
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 113563
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	86,270,634
5	Multiply line 4 by line 3	5	9,797,152
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	39,428
7	Add lines 5 and 6	7	9,836,580
8	Enter qualifying distributions from Part XII, line 4	8	5,755,166

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	78,856
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	78,856
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	78,856
6	Credits/Payments			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a 10,669		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 66,429		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	77,098
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	1,758
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Mary Beth Wallingford Telephone no ▶(303) 468-6060

Located at ▶3300 East First Avenue No 390 Denver CO ZIP+4 ▶80206

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Anna M Sie 3300 East First Avenue 390 Denver, CO 80206	Trustee 5 80	0	0	0
John J Sie 3300 East First Avenue 390 Denver, CO 80206	Trustee 25 30	12,000	26,091	0
Michelle S Whitten 3300 East First Avenue 390 Denver, CO 80206	Executive Director 10 00	45,416	3,762	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Monticello Associates 1800 Larimer St Ste 2100 Denver, CO 80202	Investment advisor	56,250
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	78,540,457
b	Average of monthly cash balances			1b	8,043,943
c	Fair market value of all other assets (see instructions)			1c	1,000,000
d	**Total** (add lines 1a, b, and c)			1d	87,584,400
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	87,584,400
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	1,313,766
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	86,270,634
6	**Minimum investment return.** Enter 5% of line 5			6	4,313,532

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	4,313,532
2a	Tax on investment income for 2014 from Part VI, line 5	2a	78,856		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	78,856
3	Distributable amount before adjustments Subtract line 2c from line 1			3	4,234,676
4	Recoveries of amounts treated as qualifying distributions			4	10,000
5	Add lines 3 and 4			5	4,244,676
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	4,244,676

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	5,755,166
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,755,166
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,755,166

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				4,244,676
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. 1,028,299				
b From 2010. 5,133,802				
c From 2011. 2,216,049				
d From 2012. 3,383,461				
e From 2013. 9,518,083				
f **Total** of lines 3a through e.	21,279,694			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 5,755,166				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				4,244,676
e Remaining amount distributed out of corpus	1,510,490			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,790,184			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	1,028,299			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	21,761,885			
10 Analysis of line 9				
a Excess from 2010. . . . 5,133,802				
b Excess from 2011. . . . 2,216,049				
c Excess from 2012. . . . 3,383,461				
d Excess from 2013. . . . 9,518,083				
e Excess from 2014. . . . 1,510,490				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				5,413,130
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				24,450,931

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	198,115	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	413,106	
8 Gain or (loss) from sales of assets other than inventory			18	3,413,857	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		4,025,078	0

13 Total. Add line 12, columns (b), (d), and (e) 13 4,025,078

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2015-10-05	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Laurie Anderson		2015-10-05		P01416697
Firm's name ▶ Kundinger Corder & Engle PC			Firm's EIN ▶	
Firm's address ▶ 475 Lincoln St Ste 200 Denver, CO 80203			Phone no (303) 534-5953	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Marketable securities			
Indus Structured Finance	P		
Eaton Park Overseas Fund	P		
Highfields Capital	P		
Wellington Trust Company	P		
Newport Asia Institutional Fund	P		
Highclere Investment Fund	P		
Fortress Credit Opportunities Fund	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			765,226
			-66,456
			472,255
			110,152
			1,510,910
			139,385
			474,609
			7,776

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			765,226
			-66,456
			472,255
			110,152
			1,510,910
			139,385
			474,609
			7,776

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

Anna M Sie
John J Sie

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Adam's Camp 6767 South Spruce Street Suite 102 Centennial, CO 80112	N/A	PC	Sponsorship and scholarship support for camp for children with special needs	5,000
Alzheimer's Association 225 N Michigan Ave Floor 17 Chicago, IL 80112	N/A	PC	Walk to End Alzheimers	5,000
Arc ThriftMetropolitan Assoc for RC 12345 Alameda Pkwy Suite 111 Lakewood, CO 80228	N/A	PC	General operating support	16,000
Cancer League of Colorado PO Box 5373 Englewood, CO 80155	N/A	PC	General operating support	40,000
CESASC 1750 Fulton Ave Monterey Park, CA 91755	N/A	PC	Scholarship endowment	2,500
Children's Hospital Colorado Foundation 13123 E 16th Ave Box 045 Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care	12,000
Children's Hospital Colorado Foundation 13123 E 16th Ave Box 045 Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care	10,000
Colorado Ballet 1278 Lincoln St Denver, CO 80203	N/A	PC	General operating support	20,000
Denver Academy 4400 East Iliff Avenue Denver, CO 80222	N/A	PC	Assist future generations of children with learning differences who need financial aid	1,500
Denver Art Museum 100 W 14th Ave Parkway Denver, CO 802042788	N/A	PC	General operating support	10,000
Denver Art Museum 100 W 14th Ave Parkway Denver, CO 802042788	N/A	PC	General operating support	110,000
Denver Botanic Gardens 909 York Street Denver, CO 802063799	N/A	PC	Mordecai Children's Garden Endowment	10,000
Denver Broncos Charities 1701 Bryant Street Ste 1400 Denver, CO 802042788	N/A	PC	General operating support	5,000
Denver Film Society 1510 York St Denver, CO 80206	N/A	PC	Support the Starz Denver Film Festival	353,862
Denver Health and Hospitals Foundation 655 Broadway Suite 750 Denver, CO 80203	N/A	PC	General operating support	25,000
Total . . . . ▶ **3a**				5,413,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Denver Options Inc 9900 East Iliff Avenue Denver, CO 80231	N/A	PC	Responding to the needs of individuals with developmental and intellectual disabilities	5,000
Denver School of Science and Technology 3401 Quebec St Ste 7200 Denver, CO 80207	N/A	PC	Support the Slice of Pi event benefitting the education for students with special needs	50,000
Denver School of Science and Technology 3401 Quebec St Ste 7200 Denver, CO 80207	N/A	PC	Support the Malone Matching Grant event benefitting the education for students with special needs	250,000
Excelsior Youth Centers Inc 15001 E Oxford Avenue Aurora, CO 80014	N/A	PC	Support behavioral healthcare and mental health services for youth	30,000
Friends of the Haven P O Box 102375 Denver, CO 80250	N/A	PC	General operating support	5,000
Global Down Syndrome Foundation 3300 E 1st Avenue Suite 390 Denver, CO 80206	N/A	PC	Advancement of research on Alzheimer's disease and Down syndrome	500,000
Global Down Syndrome Foundation 3300 E 1st Avenue Suite 390 Denver, CO 80206	N/A	PC	General operating support	520,615
Global Down Syndrome Foundation 3300 E 1st Avenue Suite 390 Denver, CO 80206	N/A	PC	General operating support	628,392
Governor's Residence Preservation Fund 400 East 8th Avenue Denver, CO 80203	N/A	PC	Preservation of the century old Boettcher Mansion	25,000
Hospice of Metro Denver 501 s Cherry St Suite 700 Denver, CO 80246	N/A	PC	General operating support	5,000
Hyde Park Foundation-Diamond in the Rough 3000 East 1st Ave Suite 243 Denver, CO 80206	N/A	PF	For programs that deal with at-risk youth in the community and wellness for children	12,500
I CON Foundation 3600 S Beeler St Suite 100 Denver, CO 80237	N/A	PC	General operating support	4,300
John Austin Cheley Foundation PO Box 27179 Denver, CO 80227	N/A	PC	General operating support	5,000
John Lynch Foundation P O Box 172247 Tampa, FL 33672	N/A	PC	General operating support	25,000
John Lynch Foundation P O Box 172247 Tampa, FL 33672	N/A	PC	General operating support	5,000
Total . . . . ▶ **3a**				5,413,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Judi's House 1741 Gaylord St Denver, CO 80206	N/A	PC	General operating support	15,000
Kroenke Sports Charities 1000 Chopper Circle Denver, CO 80204	N/A	PC	Support for CO & OH soccer camps for children with Down syndrome	10,000
Kroenke Sports Charities 1000 Chopper Circle Denver, CO 80204	N/A	PC	Support community programs for children and families in Colorado	300
Make-a-Wish Foundation - Colorado Chapter 7951 E Maplewood Avenue Suite 126 Greenwood Village, CO 801114769	N/A	PC	Granting the wishes of Down syndrome children with life-threatening medical conditions	10,000
Mile High Montessori Early Learning Cntrs 1780 Marion St Denver, CO 80218	N/A	PC	General operating support	5,000
Mizel Museum 400 South Kearney Street Denver, CO 802241238	N/A	PC	General operating support	52,500
My Lifelineorg Cancer Foundation 55 Madison St Suite 750 Denver, CO 80206	N/A	PC	To support cancer patients and caregivers	5,000
National Down Syndrome Congress 1370 Center Drive Suite 102 Atlanta, GA 30338	N/A	PC	General operating support	27,000
National Football Foundation and College Hall of Fame 1042 Southbury Place Highlands Ranch, CO 80129	N/A	PC	Support Dare to Play program	600
Parent Project for Muscular Dystrophy 401 Hackensack Ave 9th Floor Hackensack, NJ 70601	N/A	PC	General operating support	5,000
Research Down Syndrome 180 W Adams Street Ste 300 Chicago, IL 60603	N/A	PC	Support research in understanding cognitive impairments in persons with Down syndrome	100,000
Rocky Mountain Down Syndrome Association 3515 S Tamarac Dr Suite 320 Denver, CO 802371434	N/A	PC	To support children and families with Down Syndrome	10,000
Sewall Child Development Center Inc 1360 Vine Street Denver, CO 80206	N/A	PC	To sponsor programs dedicated to helping children with special needs	2,500
Special Olympics Inc 1133 19th St NW Suite 1200 Washington, DC 200363604	N/A	PC	General operating support	10,000
Stanley British Primary School 350 Quebec Street Denver, CO 802306809	N/A	PC	To support the Inclusion School Study & Slam Dunk for Scholorships program	51,561
Total . . . . ▶ **3a**				5,413,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The 1990 Institute P O Box 1681 Burlingame, CA 94011	N/A	PC	China's Green Student Forum which promotes solidarity of the youth to save China's environment	5,000
The Adoption Exchange 14232 E Evans Avenue Aurora, CO 80014	N/A	PC	General operating support	25,000
The Cable Center 2000 Buchtel Blvd Denver, CO 80210	N/A	PC	General operating support	60,000
The Joshua School 2303 E Dartmouth Avenue Englewood, CO 80113	N/A	PC	General operating support	5,000
The Library Project 2509 East Ridge Creek Road Phoenix, AZ 85024	N/A	PC	To provide educational opportunities for children in developing countries	50,000
The Rise School of Denver 4949 East Eastman Avenue Denver, CO 80222	N/A	PC	General operating support	5,000
Third Way Center P O Box 61385 Denver, CO 80206	N/A	PC	General operating support	25,000
University of Colorado Foundation 4740 Walnut St Boulder, CO 803012538	N/A	PC	Support U of C School of Medicine educational programs	5,000
University of Colorado Foundation 4200 East Ninth Avenue Denver, CO 80262	N/A	PC	Support for Linda Crnic Institute for Down Syndrome	500,000
University of Colorado Foundation 4740 Walnut St Boulder, CO 803012538	N/A	PC	Daniel and Boyce Sher Distinguished Musicians Scholarship	500,000
University of Denver - Fisher Early Learning Center 2490 S Gaylord Street Denver, CO 80208	N/A	PC	To assist the student population with special needs	5,000
University of Denver -Josef Korbel School of Int'l Studies 2199 S University Blvd Denver, CO 80208	N/A	PC	Support campaign to strengthen people and programs for international studies at DU	62,000
University of Denver -Sie Cheou-Kang 2199 S University Blvd Denver, CO 80208	N/A	PC	Sie Cheou-Kang Center Chair Fund to support international programs for students at DU Korbel School	1,100,000
University of Washington Foundation Box 359505 Seattle, WA 98195	N/A	PC	Student scholarships	15,000
Volunteers of America 2660 Larimer Street Denver, CO 80205	N/A	PC	Western Fantasy Sponsorship	50,000
Total . . . . ▶ **3a**				5,413,130

TY 2014 Accounting Fees Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Kundinger, Corder & Engle, P C	2,800	1,400		1,400
CliftonLarsonAllen LLP	950	0		950

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Expenditure Responsibility Statement

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Diamonds in the Rough Foundation	3000 East 1st Ave Ste 243 Denver, CO 80206	2014-09-11	12,500	To support porgrams that deal with at-risk youth in the community and children's wellness	12,500	The Foundation is not aware of a diversion of funds by the grantee	8/17/15	2015-08-17	Funds were expended in accordance with the terms of the sponsorship agreement

TY 2014 Investments Corporate Stock Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Longleaf Small Cap Fund	4,521,909	4,636,357
Dodge & Cox International Equity Fund	7,735,096	8,303,857
DirecTV	1,509,301	3,301,536
Liberty Media Corporation	1,125,625	2,705,174
Starz	945,325	3,602,194
Liberty Media Corporation - LMCK	2,149,692	5,373,532
Liberty Broadband Corporation	370,834	960,426
Liberty Broadband Corporation - LBRDK	735,590	2,019,845

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TY 2014 Investments - Other Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Wellington Trust Company, CTF Research Equity Fund	AT COST	13,096,632	13,446,069
Newport Asia Institutional Fund	AT COST	3,330,712	4,142,724
Highclere Investment Fund	AT COST	4,398,804	4,210,217
ICAP Offshore Absolute Return Fund	AT COST	2,029,200	3,098,119
Viking Global Equities	AT COST	2,000,000	5,089,807
Maverick Fund LDC	AT COST	2,000,000	2,431,116
Highfields Capital	AT COST	1,709,152	2,811,420
Indus Structured Finance	AT COST	411,826	194,739
Fortress Credit Opportunities Fund	AT COST	676,219	1,031,949
CamCap Energy Offshore Fund	AT COST	4,500,000	3,695,165
Convexity Capital Offshore LP	AT COST	4,094,563	6,882,976
Sowood Alpha Fund	AT COST	74,511	7,711
Eaton Park Overseas Fund	AT COST	89,616	123,346

TY 2014 Legal Fees Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brownstein Hyatt Farber Schreck, LLP	13,364	0		13,364

TY 2014 Other Assets Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Public service videos	1,000	1,000	1,000

TY 2014 Other Expenses Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office supplies	11,649	0		11,649
Telephone	5,819	0		5,819
Postage	303	0		303
Payroll processing fee	3,059	0		3,059
Subscriptions	2,670	0		2,670
Public relations and promotion	10,930	0		10,930
Bank service charges	39	39		0
Investment expense	24,466	24,466		0
Finance charge	232	0		232

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TY 2014 Other Income Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Wellington Equity Research	186,540	186,540	186,540
Newport Asia Institutional Fund	24,220	24,220	24,220
Highclere Investment Fund	122,225	122,225	122,225
Fortress Credit Opportunities Fund	80,121	80,121	80,121

TY 2014 Other Professional Fees Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
David Livingston	3,000	0		3,000
Paul Comstock Partners	18,334	0		18,334
Monticello Associates	56,250	56,250		0
Dennis McGuire	45,675	0		45,675
Kelly Westgard	4,141	0		4,141

TY 2014 Taxes Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2014 estimated excise tax paid	10,669	0		0
2013 excise tax paid	43,490	0		0