Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
The Crotty Family Foundation Inc
c/o Battery Global Advisors

A Employer identification number
83-0368529

Number and street (or P O box number if mail is not delivered to street address) 1 Marina Park Drive No 1150 | Room/suite

B Telephone number (see instructions)
(617) 948-3808

City or town, state or province, country, and ZIP or foreign postal code
Boston, MA 02210

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 954,668

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,394,783			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	29,467	27,497		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	24,794			
	b	Gross sales price for all assets on line 6a 1,928,931				
	7	Capital gain net income (from Part IV, line 2)		1,203,003		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	12,264	331		
	12	**Total.** Add lines 1 through 11	1,461,308	1,230,831		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	20,411	511		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	18,311	15,736		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	38,722	16,247		0
	25	Contributions, gifts, grants paid	1,861,095			1,861,095
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,899,817	16,247		1,861,095
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-438,509			
	b	**Net investment income** (if negative, enter -0-)		1,214,584		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,098,007	645,607	645,607
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	295,170	309,061	309,061
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,393,177	954,668	954,668
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	1,393,177	954,668	
	30	**Total net assets or fund balances** (see instructions)	1,393,177	954,668	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,393,177	954,668	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,393,177
2	Enter amount from Part I, line 27a	2	-438,509
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	954,668
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	954,668

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,203,003
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	2,162,313	1,517,524	1 424895
2012	1,032,645	1,180,117	0 875036
2011	1,023,015	750,243	1 363578
2010	776,815	549,419	1 413884
2009	653,857	776,648	0 841896

2	**Total** of line 1, column (d)	2	5 919289
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1 183858
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,306,614
5	Multiply line 4 by line 3	5	1,546,845
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	12,146
7	Add lines 5 and 6	7	1,558,991
8	Enter qualifying distributions from Part XII, line 4	8	1,861,095

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	12,146
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	12,146
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	12,146
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a — 28,093		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	28,093
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	15,947
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 15,947 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JOHN DINNEEN CO BGA Telephone no ▶(617) 948-3808

Located at ▶1 MARINA PARK DRIVE SUITE 1150 BOSTON MA ZIP+4 ▶02210

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? **1b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? **1c** No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**

If "Yes," list the years ▶ 20____, 20____, 20____, 20____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions). **2b**

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**

b If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* **3b**

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a** No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? **4b** No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
THOMAS J CROTTY 31 SEARS ROAD SOUTHBOROUGH, MA 01772	PRESIDENT 1 00	0	0	0
SHAROLYN K CROTTY 31 SEARS ROAD SOUTHBOROUGH, MA 01772	CLERK 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0
2 N/A	0
3 N/A	0
4 N/A	0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2 N/A	0
All other program-related investments See instructions **3**	0
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	99,175
b	Average of monthly cash balances	1b	918,276
c	Fair market value of all other assets (see instructions)	1c	309,061
d	**Total** (add lines 1a, b, and c)	1d	1,326,512
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,326,512
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,898
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,306,614
6	**Minimum investment return.** Enter 5% of line 5	6	65,331

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	65,331
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 12,146		
b	Income tax for 2014 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	12,146
3	Distributable amount before adjustments Subtract line 2c from line 1	3	53,185
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	53,185
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	53,185

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,861,095
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,861,095
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	12,146
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,848,949

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				53,185
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. 615,123				
b From 2010. 764,514				
c From 2011. 985,879				
d From 2012. 1,005,237				
e From 2013. 2,117,451				
f **Total** of lines 3a through e.	5,488,204			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 1,861,095				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				53,185
e Remaining amount distributed out of corpus	1,807,910			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,296,114			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	615,123			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	6,680,991			
10 Analysis of line 9				
a Excess from 2010. . . . 764,514				
b Excess from 2011. . . . 985,879				
c Excess from 2012. . . . 1,005,237				
d Excess from 2013. . . . 2,117,451				
e Excess from 2014. . . . 1,807,910				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

THOMAS J CROTTY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,861,095
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	29,467	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	24,794	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a See Additional Data Table					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		66,525	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 66,525

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2015-06-30 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶	PTIN
Alfred C Torrisi CPAMSTCFP		2015-06-30	☑	P00210893

Firm's name ▶ LaPointe Torrisi Stanley & CO PC

Firm's EIN ▶ 04-2958048

Firm's address ▶ 555 Turnpike Street Suite 47 North Andover, MA 01845

Phone no (978) 794-5700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
FROM K-1 BATTERY ALTERNATIVE INCOME FUND	P	2014-12-31	2014-12-31
FROM K-1 BATTERY ALTERNATIVE INCOME FUND	P	2013-12-30	2014-12-31
8,455 MARKETO INC		2011-11-15	2014-10-27
712 MARKETO INC		2011-11-15	2014-10-27
27,224 BAZAARVOICE		2009-08-07	2014-06-17
19,000 GUIDEWIRE SOFTWARE		2013-02-27	2014-10-27
18 BAXTER INTERNATIONAL		2014-05-02	2014-06-03
43 BAXTER INTERNATIONAL		2014-05-02	2014-08-05
227 BAXTER INTERNATIONAL		2014-05-02	2014-11-05
14 BAXTER INTERNATIONAL		2014-10-03	2014-11-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
		3	-3
		5,509	-5,509
254,001		111,606	142,395
21,390		9,398	11,992
207,674			207,674
914,704		95,570	819,134
1,333		1,346	-13
3,213		3,215	-2
15,538		16,970	-1,432
958		1,020	-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-3
			-5,509
			142,395
			11,992
			207,674
			819,134
			-13
			-2
			-1,432
			-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 CSX CORP		2013-09-04	2014-01-03
95 CSX CORP		2013-09-04	2014-02-04
57 CSX CORP		2013-09-04	2014-04-02
21 CSX CORP		2013-09-04	2014-05-02
98 CSX CORP		2013-09-04	2014-06-03
114 CSX CORP		2013-09-04	2014-08-05
151 CSX CORP		2013-12-03	2014-11-05
46 CSX CORP		2014-03-04	2014-11-05
5 C H ROBINSON WORLDWIDE, INC		2014-08-05	2014-12-02
6 CDK GLOBAL INC		2013-11-04	2014-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
826		739	87
2,503		2,420	83
1,664		1,452	212
585		535	50
2,844		2,497	347
3,361		2,904	457
5,224		4,126	1,098
1,591		1,302	289
368		341	27
178		171	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			87
			83
			212
			50
			347
			457
			1,098
			289
			27
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
14 CDK GLOBAL INC		2013-12-03	2014-10-03
7 CDK GLOBAL INC		2014-03-04	2014-10-03
1 CDK GLOBAL INC		2014-03-04	2014-10-21
129 CUMMINS INC		2014-10-03	2014-11-05
18 DU PONT E I DE NEMOURS		2014-02-04	2014-04-02
18 DU PONT E I DE NEMOURS		2014-02-04	2014-05-02
37 DU PONT E I DE NEMOURS		2014-02-04	2014-06-03
26 DU PONT E I DE NEMOURS		2014-02-04	2014-08-05
16 DU PONT E I DE NEMOURS		2014-02-04	2014-10-03
6 DU PONT E I DE NEMOURS		2014-02-04	2014-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
416		425	-9
208		207	1
28		30	-2
18,576		17,291	1,285
1,227		1,109	118
1,205		1,109	96
2,548		2,280	268
1,668		1,602	66
1,135		986	149
430		370	60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-9
			1
			-2
			1,285
			118
			96
			268
			66
			149
			60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 EXXON MOBIL CORP		2013-09-04	2014-08-05
12 EMERSON ELEC CO		2013-09-04	2014-01-03
37 EMERSON ELEC CO		2013-09-04	2014-02-04
27 EMERSON ELEC CO		2013-09-04	2014-04-02
23 EMERSON ELEC CO		2013-09-04	2014-05-02
26 EMERSON ELEC CO		2013-09-04	2014-06-03
21 EMERSON ELEC CO		2013-09-04	2014-08-05
3 GENL DYNAMICS CORP		2014-02-04	2014-04-02
16 GENL DYNAMICS CORP		2014-02-04	2014-05-02
29 GENL DYNAMICS CORP		2014-02-04	2014-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
595		527	68
833		737	96
2,367		2,273	94
1,833		1,659	174
1,554		1,413	141
1,741		1,597	144
1,287		1,290	-3
332		299	33
1,779		1,593	186
3,434		2,887	547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			68
			96
			94
			174
			141
			144
			-3
			33
			186
			547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 GENL DYNAMICS CORP		2014-02-04	2014-08-05
143 GENL DYNAMICS CORP		2014-02-04	2014-10-03
2 GENL DYNAMICS CORP		2014-03-04	2014-10-03
37 GENUINE PARTS CO		2013-09-04	2014-02-04
7 GENUINE PARTS CO		2013-09-04	2014-04-02
14 GENUINE PARTS CO		2013-09-04	2014-05-02
23 GENUINE PARTS CO		2013-09-04	2014-06-03
29 GENUINE PARTS CO		2013-09-04	2014-08-05
12 JOHNSON AND JOHNSON COM		2013-09-04	2014-02-04
20 JOHNSON AND JOHNSON COM		2013-09-04	2014-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,803		2,389	414
17,591		14,236	3,355
246		225	21
2,882		2,853	29
609		540	69
1,198		1,080	118
1,970		1,774	196
2,393		2,236	157
1,040		1,040	0
1,733		1,736	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			414
			3,355
			21
			29
			69
			118
			196
			157
			0
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
22 JOHNSON AND JOHNSON COM		2013-09-04	2014-04-02
18 JOHNSON AND JOHNSON COM		2013-09-04	2014-05-02
27 JOHNSON AND JOHNSON COM		2013-09-04	2014-06-03
25 JOHNSON AND JOHNSON COM		2013-09-04	2014-08-05
2 MCDONALDS CORP		2013-09-04	2014-06-03
16 MCDONALDS CORP		2013-09-04	2014-08-05
52 MCDONALDS CORP		2013-12-03	2014-12-02
2 MCDONALDS CORP		2014-01-03	2014-12-02
26 MCDONALDS CORP		2014-03-04	2014-12-02
4 MCDONALDS CORP		2014-10-03	2014-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,163		1,909	254
1,787		1,562	225
2,767		2,343	424
2,491		2,169	322
203		190	13
1,501		1,524	-23
4,942		5,009	-67
190		193	-3
2,471		2,469	2
380		380	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			254
			225
			424
			322
			13
			-23
			-67
			-3
			2
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 MCDONALDS CORP		2014-11-05	2014-12-02
441 MEDTRONIC INC		2014-01-03	2014-02-04
1 MICROSOFT CORP		2014-08-05	2014-10-03
18 NORFOLK SOUTHERN CORP		2014-02-04	2014-04-02
7 NORFOLK SOUTHERN CORP		2014-02-04	2014-05-02
32 NORFOLK SOUTHERN CORP		2014-02-04	2014-06-03
34 NORFOLK SOUTHERN CORP		2014-02-04	2014-08-05
11 NORFOLK SOUTHERN CORP		2014-02-04	2014-10-03
34 NORTHROP GRUMMAN CORP		2013-09-04	2014-02-04
6 NORTHROP GRUMMAN CORP		2013-09-04	2014-04-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,562		4,560	2
23,575		25,759	-2,184
46		43	3
1,759		1,650	109
655		642	13
3,162		2,933	229
3,424		3,116	308
1,230		1,008	222
3,769		3,204	565
748		565	183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-2,184
			3
			109
			13
			229
			308
			222
			565
			183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 NORTHROP GRUMMAN CORP		2013-09-04	2014-05-02
17 NORTHROP GRUMMAN CORP		2013-09-04	2014-06-03
27 NORTHROP GRUMMAN CORP		2013-09-04	2014-08-05
28 PROCTOR & GAMBLE CO		2013-09-04	2014-02-04
11 PROCTOR & GAMBLE CO		2013-09-04	2014-02-04
17 PROCTOR & GAMBLE CO		2013-09-04	2014-04-02
27 PROCTOR & GAMBLE CO		2013-09-04	2014-05-02
18 PROCTOR & GAMBLE CO		2013-09-04	2014-06-03
36 PROCTOR & GAMBLE CO		2013-09-04	2014-08-05
336 QUEST DIAGNOSTICS INC		2013-11-04	2014-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
967		754	213
2,068		1,602	466
3,320		2,544	776
2,131		2,131	0
837		852	-15
1,363		1,317	46
2,211		2,092	119
1,439		1,395	44
2,849		2,790	59
17,702		20,848	-3,146

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			213
			466
			776
			0
			-15
			46
			119
			44
			59
			-3,146

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
84 QUEST DIAGNOSTICS INC		2013-12-03	2014-01-03
46 QUEST DIAGNOSTICS INC		2014-06-03	2014-08-05
276 QUEST DIAGNOSTICS INC		2014-06-03	2014-11-05
13 QUEST DIAGNOSTICS INC		2014-10-03	2014-11-05
227 QUALCOMM INC		2014-08-05	2014-11-05
9 QUALCOMM INC		2014-10-03	2014-11-05
54 RAYTHEON CO		2013-09-04	2014-02-04
7 RAYTHEON CO		2013-09-04	2014-04-02
8 RAYTHEON CO		2013-09-04	2014-05-02
25 RAYTHEON CO		2013-09-04	2014-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,426		5,149	-723
2,826		2,806	20
16,834		16,835	-1
793		790	3
17,445		16,579	866
692		668	24
4,913		4,129	784
698		535	163
767		612	155
2,449		1,912	537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-723
			20
			-1
			3
			866
			24
			784
			163
			155
			537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
18 RAYTHEON CO		2013-09-04	2014-08-05
169 3M COMPANY		2014-02-04	2014-03-04
13 3M COMPANY		2014-05-02	2014-06-03
19 3M COMPANY		2014-05-02	2014-08-05
1 3M COMPANY		2014-05-02	2014-12-02
68 UNITED TECHS CORP		2013-09-04	2014-02-04
13 UNITED TECHS CORP		2013-11-04	2014-02-04
41 UNITED TECHS CORP		2013-12-03	2014-02-04
190 UNITED TECHS CORP		2014-04-02	2014-05-02
4 UNITED TECHS CORP		2014-06-03	2014-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,640		1,376	264
22,466		21,420	1,046
1,860		1,824	36
2,659		2,665	-6
161		140	21
7,422		7,018	404
1,419		1,402	17
4,475		4,488	-13
22,147		22,783	-636
419		472	-53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			264
			1,046
			36
			-6
			21
			404
			17
			-13
			-636
			-53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
33 AUTOMATIC DATA PROC		2012-10-01	2014-02-04
8 AUTOMATIC DATA PROC		2012-10-01	2014-04-02
22 AUTOMATIC DATA PROC		2012-10-01	2014-05-02
28 AUTOMATIC DATA PROC		2012-10-01	2014-06-03
44 AUTOMATIC DATA PROC		2012-10-01	2014-08-05
19 CSX CORP		2013-09-04	2014-10-03
300 CSX CORP		2013-09-04	2014-11-05
59 CSX CORP		2013-11-04	2014-11-05
5 CDK GLOBAL INC		2012-10-01	2014-10-03
36 CDK GLOBAL INC		2013-09-04	2014-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,480		1,932	548
622		468	154
1,713		1,288	425
2,205		1,639	566
3,562		2,576	986
603		484	119
10,379		7,643	2,736
2,041		1,560	481
149		111	38
1,070		978	92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			548
			154
			425
			566
			986
			119
			2,736
			481
			38
			92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
11 EXXON MOBIL CORP		2012-10-01	2014-01-03
13 EXXON MOBIL CORP		2012-10-01	2014-02-04
9 EXXON MOBIL CORP		2012-10-01	2014-02-04
12 EXXON MOBIL CORP		2012-10-01	2014-04-02
26 EXXON MOBIL CORP		2012-10-01	2014-05-02
15 EXXON MOBIL CORP		2012-10-01	2014-06-03
10 EXXON MOBIL CORP		2012-10-01	2014-08-05
13 EXXON MOBIL CORP		2012-10-29	2014-08-05
5 GENUINE PARTS CO		2013-09-04	2014-10-03
5 GENUINE PARTS CO		2013-09-04	2014-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,096		1,013	83
1,170		1,170	0
810		829	-19
1,175		1,105	70
2,656		2,394	262
1,506		1,381	125
992		921	71
1,290		1,283	7
442		385	57
512		385	127

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			83
			0
			-19
			70
			262
			125
			71
			7
			57
			127

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 JOHNSON AND JOHNSON		2013-09-04	2014-10-03
20 LINEAR TECHNOLOGY CORP		2012-10-01	2014-01-03
80 LINEAR TECHNOLOGY CORP		2012-10-01	2014-02-04
45 LINEAR TECHNOLOGY CORP		2012-10-01	2014-04-02
53 LINEAR TECHNOLOGY CORP		2012-10-01	2014-06-03
47 LINEAR TECHNOLOGY CORP		2012-10-01	2014-08-05
13 LINEAR TECHNOLOGY CORP		2012-10-01	2014-12-02
37 MCDONALDS CORP		2012-10-01	2014-02-04
16 MCDONALDS CORP		2012-10-01	2014-04-02
25 MCDONALDS CORP		2012-10-01	2014-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
105		87	18
902		656	246
3,468		2,623	845
2,244		1,475	769
2,440		1,738	702
2,049		1,541	508
597		426	171
3,448		3,410	38
1,563		1,475	88
2,536		2,304	232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			246
			845
			769
			702
			508
			171
			38
			88
			232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 MCDONALDS CORP		2012-10-01	2014-06-03
19 MCDONALDS CORP		2013-11-04	2014-12-02
82 MCDONALDS CORP		2013-09-04	2014-12-02
15 PAYCHEX INC		2012-10-01	2014-01-03
47 PAYCHEX INC		2012-10-01	2014-02-04
27 PAYCHEX INC		2012-10-01	2014-04-02
23 PAYCHEX INC		2012-10-01	2014-05-02
34 PAYCHEX INC		2012-10-01	2014-06-03
77 PAYCHEX INC		2012-10-01	2014-08-05
17 PAYCHEX INC		2012-10-01	2014-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,827		1,659	168
1,806		1,849	-43
7,793		7,808	-15
679		490	189
1,923		1,536	387
1,157		883	274
956		752	204
1,375		1,111	264
3,125		2,517	608
749		556	193

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			168
			-43
			-15
			189
			387
			274
			204
			264
			608
			193

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2 PROCTOR & GAMBLE CO		2013-09-04	2014-10-03
7 RAYTHEON CO		2013-09-04	2014-10-03
4 UNITED TECHS CORP		2012-10-01	2014-01-03
109 UNITED TECHS CORP		2012-10-01	2014-02-04
28 WAL-MART STORES INC		2012-10-01	2014-02-04
5 WAL-MART STORES INC		2012-10-01	2014-02-04
19 WAL-MART STORES INC		2012-10-01	2014-04-02
28 WAL-MART STORES INC		2012-10-01	2014-05-02
17 WAL-MART STORES INC		2012-10-01	2014-06-03
23 WAL-MART STORES INC		2012-10-01	2014-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
167		155	12
692		535	157
452		314	138
11,898		8,553	3,345
2,037		2,037	0
364		370	-6
1,464		1,407	57
2,217		2,073	144
1,305		1,259	46
1,683		1,703	-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			12
			157
			138
			3,345
			0
			-6
			57
			144
			46
			-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 WAL-MART STORES INC		2012-10-29	2014-08-05
3 WAL-MART STORES INC		2012-10-29	2014-10-03
19 WAL-MART STORES INC		2012-10-29	2014-12-02
3 WAL-MART STORES INC		2013-09-04	2014-12-02
1 CDK GLOBAL INC		2014-10-03	2014-10-03
0 563 EATON VANCE FLOATING RATE FUND		2014-02-28	2014-03-24
0 216 LORD ABBETT SHORT DURATION		2014-02-28	2014-03-24
5,452 EATON VANCE FLOATING RATE FUND		2012-10-01	2014-03-24
1 LORD ABBETT SHORT DURATION INCOME FUND		2012-10-01	2014-03-24
92 LORD ABBETT SHORT DURATION INCOME FUND		2012-10-01	2014-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
439		459	-20
231		229	2
1,640		1,454	186
259		219	40
29			29
5		5	0
1		1	0
49,995		49,559	436
5		5	0
418		418	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			2
			186
			40
			29
			0
			0
			436
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
84 LORD ABBETT SHORT DURATION INCOME FUND		2012-10-01	2014-03-24
10,836 LORD ABBETT SHORT DURATION INCOME FUND		2012-10-01	2014-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
381		381	0
49,195		50,278	-1,083

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-1,083

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AREA I Young Riders Program PO Box 289 Catawba, VA 24070	None	Exempt	Charitable	450
Council on Aging 116 Pleasant Street Suite 306 Easthampton, MA 01027	None	Exempt	Charitable	45
Draper Richards Kaplan Foundation 1600 El Camino Real Suite 155 Menlo Park, CA 94025	None	Exempt	Charitable	250,000
Firstgiving Co-Op America 48 Grove St Suite 106 Somerville, MA 02144	None	Exempt	Charitable	250
Foundation for MetroWest 3 Eliot Street Natick, MA 01760	None	Exempt	Charitable	250,000
Friends of Southborough Council on Aging 9 Cordaville Road Southborough, MA 01772	None	Exempt	Charitable	275
Grass Root Soccer 6729 Academy Road Suite D Albuquerque, NM 87109	None	Exempt	Charitable	40,175
PMC- Jimmy Fund 77 Fourth Avenue Needham, MA 02494	None	Exempt	Charitable	900
Play for Pink - Breast Cancer Research Foundation 60 East 56th Street 8th Floor New York, NY 10022	None	Exempt	Charitable	1,500
Project Eye to Eye 1430 Broadway Floor 6 New York, NY 10018	None	Exempt	Charitable	100,000
St Jude Children's Research Hospital 313 Washington St 310 Newton, MA 02458	None	Exempt	Charitable	50
St Marks School 25 Marlboro Road Southborough, MA 01772	None	Exempt	Charitable	700,000
St Matthews Church 105 Southville Road Southborough, MA 01772	None	Exempt	Charitable	1,950
Trustees of Tufts College 150 Harrison Ave Boston, MA 02111	None	Exempt	Charitable	350
University of Notre Dame 64 Kingston Road Exeter, NH 03833	None	Exempt	Charitable	505,150
Total . . . . ▶ **3a**				1,861,095

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
University of Redlands 1200 E Colton Ave Redlands, CA 92373	None	Exempt	Charitable	10,000
Total . . . . ▶ **3a**				1,861,095

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a Book/Tax Timing Differences-Battery Income Fund, LLC Sch K-1			14	11,933	
b Sec 988 Ordinary Loss			14	154	
c Income from Sec Litigations			14	39	
d Ordinary Business Income - BIF			14	134	
e Royalty Income - BIF			14	1	
f Section 1231 Gain - BIF			14	3	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
The Crotty Family Foundation Inc c/o Battery Global Advisors	83-0368529

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33\frac{1}{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
The Crotty Family Foundation Inc
c/o Battery Global Advisors

Employer identification number
83-0368529

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Thomas J Crotty 31 Sears Road Southborough, MA 01772	$ 207,311	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	Thomas J Crotty 31 Sears Road Southborough, MA 01772	$ 913,425	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
3	Thomas J Crotty 31 Sears Road Southborough, MA 01772	$ 274,047	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
The Crotty Family Foundation Inc
c/o Battery Global Advisors

Employer identification number
83-0368529

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	27,224 shares Bazaarvoice, Inc (BV)	$ 207,311	2014-06-17
2	19,000 shares Guidewire Software (GWRE)	$ 913,425	2014-10-27
3	9,167 shares Marketo, Inc (MKTO)	$ 274,047	2014-10-27
		$	
		$	
		$	

Name of organization
The Crotty Family Foundation Inc
c/o Battery Global Advisors

Employer identification number
83-0368529

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2014 All Other Program Related Investments Schedule

Name: The Crotty Family Foundation Inc
c/o Battery Global Advisors

EIN: 83-0368529

Category	Amount
N/A	0

TY 2014 Investments - Other Schedule

Name: The Crotty Family Foundation Inc
c/o Battery Global Advisors

EIN: 83-0368529

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Battery Income Fund, LLC	FMV	0	0
Battery Alternative Income Fund, Ltd	FMV	309,061	309,061

TY 2014 Other Expenses Schedule

Name: The Crotty Family Foundation Inc
c/o Battery Global Advisors

EIN: 83-0368529

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Fees	8,020	8,020		0
Battery Income Fund	2,584	2,584		0
Annual Report Fees	15	15		0
BGA Investment Advisory Fees	5,117	5,117		0
Professional Fees	2,575	0		0

TY 2014 Other Income Schedule

Name: The Crotty Family Foundation Inc
c/o Battery Global Advisors

EIN: 83-0368529

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Book/Tax Timing Differences-Battery Income Fund, LLC Sch K-1	11,933		11,933
Sec 988 Ordinary Loss	154	154	154
Income from Sec Litigations	39	39	39
Ordinary Business Income - BIF	134	134	134
Royalty Income - BIF	1	1	1
Section 1231 Gain - BIF	3	3	3

TY 2014 Taxes Schedule

Name: The Crotty Family Foundation Inc
c/o Battery Global Advisors

EIN: 83-0368529

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
State Registration Fee	500	500		0
Federal Taxes Paid	19,900	0		0
Foreign Income Taxes Paid	11	11		0