Form **990-PF** | **Return of Private Foundation**
**or Section 4947(a)(1) Trust Treated as Private Foundation**
▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

Department of the Treasury
Internal Revenue Service

OMB No 1545-0052
**2014**
Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

| Name of foundation | A Employer identification number |
|---|---|
| New Opportunities Foundation | 82-0577111 |
| Number and street (or P.O box number if mail is not delivered to street address) / Room/suite | B Telephone number (see instructions) |
| 200 N. Maryland Avenue / 201 | 818-240-8727 |
| City or town, state or province, country, and ZIP or foreign postal code | C If exemption application is pending, check here ▶ ☐ |
| Glendale, CA 91206 | |

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,043,797

J Accounting method: ☐ Cash ☐ Accrual ☑ Other (specify) Modified Cash *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 0 | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 28 | 28 | | |
| | 4 | Dividends and interest from securities | 82,823 | 82,823 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 76,338 | | | |
| | b | Gross sales price for all assets on line 6a 937,660 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 76,338 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 159,189 | 159,189 | N/A | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | 26,903 | 26,903 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 3,686 | | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 70 | 70 | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 30,659 | 26,973 | N/A | |
| | 25 | Contributions, gifts, grants paid | 177,500 | | | 177,500 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 208,159 | 26,973 | N/A | 177,500 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | (48,970) | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 132,216 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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| Part II | Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** 1 | Cash—non-interest-bearing | 282,571 | 314,680 | 314,680 |
| 2 | Savings and temporary cash investments | | | |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U.S. and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) | 2,822,168 | 2,729,117 | 2,729,117 |
| c | Investments—corporate bonds (attach schedule) | | | |
| 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | | | |
| 14 | Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 3,104,739 | 3,043,797 | 3,043,797 |
| **Liabilities** 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | | | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | 3,104,739 | 3,043,797 | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | | | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | | | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 3,104,739 | 3,043,797 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,104,739 |
| 2 | Enter amount from Part I, line 27a | 2 | (48,970) |
| 3 | Other increases not included in line 2 (itemize) ▶ **Decrease in value of investments** | 3 | (11,972) |
| 4 | Add lines 1, 2, and 3 | 4 | 3,043,797 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 3,043,797 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | UBS Financial Services A/C WH 06261 SC - Schedule Attached | P | Various | Various |
| b | UBS Financial Services A/C TV 01806 WS - Schedule Attached | P | Various | Various |
| c | UBS Financial Services A/C WH 14981 SH - Schedule Attached | P | Various | Various |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | 35,819 |
| b | | | | 31,420 |
| c | | | | 9,099 |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } | 2 | 76,338 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2013 | 151,550 | 2,935,287 | .051630 |
| 2012 | 158,382 | 2,771,178 | .057153 |
| 2011 | 142,101 | 2,859,847 | .049688 |
| 2010 | 114,495 | 2,745,365 | .041705 |
| 2009 | 204,761 | 2,601,129 | .078720 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . | 2 | .278896 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . | 3 | .055779 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 . . . | 4 | 3,028,153 |
| 5 | Multiply line 4 by line 3 . . . | 5 | 168,907 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . | 6 | 1,322 |
| 7 | Add lines 5 and 6 . . . | 7 | 170,229 |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . | 8 | 177,500 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary—see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | 1 | 1,322 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 1,322 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,322 |
| 6 | Credits/Payments: | | |
| a | 2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 1,322 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ ______ **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | ✓ |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | ✓ |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | ✓ |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | ✓ |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | ✓ |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | ✓ |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | ✓ |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | | ✓ |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | ✓ | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | ✓ | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | ✓ |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | ✓ |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | ✓ |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | ✓ |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | ✓ | |

Website address ▶ N/A

14 The books are in care of ▶ Laurie Skipper Telephone no. ▶ 818-240-8727
Located at ▶ 200 N. Maryland Avenue, #201, Glendale, CA ZIP+4 ▶ 91206

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | ✓ |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | 1c | | ✓ |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No<br>If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ 20___ , 20___ , 20___ , 20___ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | ✓ |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | | ✓ |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to: | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | 5b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | ✓ |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Andrew Skipper<br>200 N. Maryland Ave. #201, Glendale, CA 91206 | President 0.5 | 0 | 0 | 0 |
| Laurie Skipper<br>200 N. Maryland Ave. #201, Glendale, CA 91206 | Secy/Treas 1.0 | 0 | 0 | 0 |
| Peter Skipper<br>200 N. Maryland Ave. #201, Glendale, CA 91206 | Director 0.5 | 0 | 0 | 0 |
| Katherine Skipper<br>200 N. Maryland Ave. #201, Glendale, CA 91206 | Director 0.5 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | 0 |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,775,642 |
| b | Average of monthly cash balances | 1b | 298,625 |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 3,074,267 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 3,074,267 |
| 4 | Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions) | 4 | 46,114 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 3,028,153 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 151,408 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 151,408 |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a | 1,322 | | |
| b | Income tax for 2014. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,322 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 150,086 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 150,086 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 150,086 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 177,500 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 177,500 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 1,322 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 176,178 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2014 from Part XI, line 7 | | | | 150,086 |
| 2 | Undistributed income, if any, as of the end of 2014: | | | | |
| a | Enter amount for 2013 only | | | 79,098 | |
| b | Total for prior years: 20___,20___,20___ | | 0 | | |
| 3 | Excess distributions carryover, if any, to 2014: | | | | |
| a | From 2009 | | | | |
| b | From 2010 | | | | |
| c | From 2011 | | | | |
| d | From 2012 | | | | |
| e | From 2013 | | | | |
| f | **Total** of lines 3a through e | 0 | | | |
| 4 | Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 177,500 | | | | |
| a | Applied to 2013, but not more than line 2a | | | 79,098 | |
| b | Applied to undistributed income of prior years (Election required—see instructions) | | 0 | | |
| c | Treated as distributions out of corpus (Election required—see instructions) | 0 | | | |
| d | Applied to 2014 distributable amount | | | | 98,402 |
| e | Remaining amount distributed out of corpus | 0 | | | |
| 5 | Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0 | | |
| d | Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e | Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f | Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 51,684 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | 0 | | | |
| 8 | Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 | **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 | Analysis of line 9: | | | | |
| a | Excess from 2010 | | | | |
| b | Excess from 2011 | | | | |
| c | Excess from 2012 | | | | |
| d | Excess from 2013 | | | | |
| e | Excess from 2014 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . . ▶ N/A

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| **a** "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Habitat for Humanity | N/A | 509(a) | Community Improvement | 103,000 |
| Camp Fire Inland Northwest | N/A | 509(a) | Youth Development | 25,000 |
| Pierce College Scholarships | N/A | School | Education | 4,500 |
| Wayne & Kit Danley Scholarships | N/A | 509(a) | Education | 20,000 |
| Cooperative for Education | N/A | 509(a) | Education | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a | | | | 177,500 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3b | | | | 0 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 514 | 28 | |
| 4 Dividends and interest from securities | | | 514 | 82,823 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 514 | 76,338 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 159,189 | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 159,189

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | N/A |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Laurie Shepper | 5/3/15 | Secretary

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| | | | | |
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no | |

UBS

UBS Financial Services Inc
601 W Riverside Avenue
Suite 1200
Spokane WA 99201-0622

CNQ7004774027 1214 X13 WH 0

# 2014 Year End Summary

**Account name:** NEW OPPORTUNITIES FOUNDATION
#2
**Friendly account name:** Signia New Opp
**Account number:** WH 06261 SC

NEW OPPORTUNITIES FOUNDATION
#2
23925 VIA DANZA
VALENCIA CA 91355-3126

**Your Financial Advisor:**
SHEA HARLEY - SIGNIA CAPITAL M
Phone 509-624-3330/800-824-9212

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | -870.33 |
| Long term | 36,689 63 |
| **Total** | **$35,819.30** |

## Realized gains and losses

Estimated 2014 gains and losses for transactions with trade dates through 12/31/14 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

### Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ADVANTAGE OIL & GAS LTD CAD | FIFO | 198.000 | Apr 03, 14 | Apr 25, 14 | 1,155 28 | 1,015 72 | | | 139 56 |

*continued next page*

## Realized gains and losses (continued)

### Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| BALTIC TRADING LTD | Adjustment | 136 000 | Mar 13, 14 | Apr 25, 14 | 854 09 | 854 09 | -77 46 | | |
| | FIFO | 341 000 | Mar 13, 14 | Nov 11, 14 | 1,109 21 | 2,335.71 | | -1,226 50 | |
| | FIFO | 136 000 | May 21, 14 | Nov 11, 14 | 442.38 | 960 10 | 77 46 | -517.72 | |
| | FIFO | 79.000 | May 21, 14 | Nov 11, 14 | 256.98 | 512 71 | | -255 73 | |
| BIOMED REALTY TRUST INC REITS | FIFO | 25 000 | Jan 07, 14 | Dec 23, 14 | 547 27 | 462 00 | | | 85 27 |
| CAL DIVE INTL INC (DELA) | FIFO | 263 000 | Oct 10, 13 | Sep 12, 14 | 217 71 | 518.11 | | -300 40 | |
| CAMECO CORP CANADA CAD | FIFO | 80.000 | Oct 28, 13 | Apr 25, 14 | 1,813.56 | 1,481.50 | | | 332.06 |
| CITI TRENDS INC | FIFO | 20 000 | May 29, 13 | Feb 10, 14 | 313.08 | 265 43 | | | 47 65 |
| | FIFO | 138 000 | May 29, 13 | Apr 25, 14 | 2,332 16 | 1,831.47 | | | 500 69 |
| GFI GROUP INC | FIFO | 491 000 | May 22, 13 | Apr 25, 14 | 1,779 64 | 2,080.61 | | -300 97 | |
| KEY ENERGY SERVICES INC | FIFO | 213 000 | Sep 10, 13 | Apr 25, 14 | 2,124 54 | 1,690 39 | | | 434 15 |
| | FIFO | 180.000 | Sep 10, 13 | Jul 29, 14 | 1,175 35 | 1,428 50 | | -253.15 | |
| | FIFO | 175 000 | Oct 10, 13 | Jul 29, 14 | 1,142 71 | 1,247 75 | | -105 04 | |
| | FIFO | 86 000 | Oct 10, 13 | Aug 06, 14 | 543 73 | 613 18 | | -69 45 | |
| | FIFO | 93.000 | Dec 03, 13 | Aug 06, 14 | 587 99 | 747 71 | | -159 72 | |
| LAYNE CHRISTENSEN CO | FIFO | 66 000 | Jan 08, 14 | Oct 31, 14 | 467.53 | 1,104 84 | | -637 31 | |
| MENS WEARHOUSE INC | FIFO | 20 000 | Apr 12, 13 | Jan 08, 14 | 1,025 49 | 687 40 | | | 338 09 |
| PEP BOYS MANNY MOE&JACK | FIFO | 171 000 | Jan 30, 14 | Apr 25, 14 | 1,761 28 | 1,992 13 | -141 56 | -230 85 | |
| SCHNITZER STEEL IND INC CL A | Adjustment | 49 000 | Jan 21, 14 | Apr 25, 14 | 1,380 91 | 1,398 90 | -62 16 | -17 99 | |
| STILLWATER MINING CO | FIFO | 66 000 | Oct 10, 13 | Jul 28, 14 | 1,256.62 | 716 76 | | | 539 86 |
| | FIFO | 27 000 | Oct 10, 13 | Aug 22, 14 | 486.00 | 293 22 | | | 192.78 |
| | FIFO | 8 000 | Oct 22, 13 | Aug 22, 14 | 144 00 | 92 96 | | | 51 04 |
| | FIFO | 91.000 | Nov 13, 13 | Aug 22, 14 | 1,638 01 | 1,021 92 | | | 616 09 |
| SUPERIOR ENERGY SERVICES INC | FIFO | 7.000 | Dec 02, 13 | Nov 05, 14 | 168 07 | 176 02 | | -7 95 | |
| WEST MARINE INC | Adjustment | 113.000 | May 15, 13 | Apr 25, 14 | 1,251 78 | 1,316 57 | -84 63 | -64.79 | |
| **Total** | | | | | **$25,975.37** | **$26,845.70** | | **-$4,147.57** | **$3,277.24** |
| **Net short-term capital gains and losses** | | | | | | | | **-$870.33** | |

*continued next page*

Realized gains and losses (continued)

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ALEXANDER & BALDWIN INC NEW COM | FIFO | 21 000 | Nov 04, 10 | Feb 27, 14 | 853 64 | 375.39 | | | 478.25 |
| | FIFO | 1 000 | Nov 04, 10 | Apr 25, 14 | 38 51 | 17 88 | | | 20 63 |
| | FIFO | 25 000 | Jul 11, 12 | Apr 25, 14 | 962.73 | 800 50 | | | 162.23 |
| | FIFO | 9 000 | Sep 27, 12 | Apr 25, 14 | 346 58 | 268 65 | | | 77 93 |
| AMERIPRISE FINANCIAL INC | FIFO | 21 000 | May 05, 09 | Jan 27, 14 | 2,206 30 | 556 08 | | | 1,650 22 |
| | FIFO | 6.000 | Sep 07, 11 | Jan 27, 14 | 630.37 | 258 00 | | | 372.37 |
| | FIFO | 13.000 | May 08, 12 | Jan 27, 14 | 1,365.81 | 664 56 | | | 701 25 |
| ANDERSONS INC | FIFO | 28.000 | Aug 23, 12 | Jan 15, 14 | 2,322.39 | 1,099.00 | | | 1,223 39 |
| | FIFO | 11 000 | Sep 20, 12 | Jan 15, 14 | 912 37 | 411 73 | | | 500 64 |
| ASTEC INDUSTRIES INC | FIFO | 17 000 | Jul 22, 09 | Apr 25, 14 | 698 60 | 419 05 | | | 279.55 |
| | FIFO | 19 000 | Oct 21, 09 | Apr 25, 14 | 780 78 | 478 14 | | | 302 64 |
| BIOMED REALTY TRUST INC REITS | FIFO | 51 000 | Mar 19, 10 | Apr 25, 14 | 1,056 95 | 859 78 | | | 197.17 |
| | FIFO | 25 000 | Apr 06, 10 | Apr 25, 14 | 518 11 | 423 52 | | | 94 59 |
| | FIFO | 69 000 | Nov 15, 10 | Apr 25, 14 | 1,429 98 | 1,183 37 | | | 246 61 |
| | FIFO | 16 000 | Nov 15, 10 | Dec 23, 14 | 350.25 | 274 41 | | | 75 84 |
| | FIFO | 51 000 | Jan 11, 11 | Dec 23, 14 | 1,116 44 | 913 92 | | | 202 52 |
| | FIFO | 55 000 | Mar 19, 12 | Dec 23, 14 | 1,203 99 | 1,052 32 | | | 151 67 |
| | FIFO | 27 000 | Aug 26, 13 | Dec 23, 14 | 591 05 | 498 42 | | | 92 63 |
| | FIFO | 32 000 | Oct 21, 13 | Dec 23, 14 | 700 51 | 620 80 | | | 79 71 |
| CABOT CORP | FIFO | 6 000 | Jan 28, 10 | Mar 05, 14 | 329 37 | 152 10 | | | 177 27 |
| | FIFO | 44.000 | Oct 11, 11 | Mar 05, 14 | 2,415 37 | 1,142.70 | | | 1,272 67 |
| | FIFO | 16 000 | Oct 11, 11 | Mar 27, 14 | 901 58 | 415 53 | | | 486 05 |
| | FIFO | 4 000 | Aug 23, 12 | Mar 27, 14 | 225 40 | 145 12 | | | 80 28 |
| | FIFO | 20.000 | Aug 23, 12 | Apr 25, 14 | 1,162.20 | 725.60 | | | 436.60 |
| | FIFO | 15 000 | Nov 08, 12 | Apr 25, 14 | 871.66 | 541.50 | | | 330.16 |
| | FIFO | 16.000 | Nov 14, 12 | Apr 25, 14 | 929.76 | 574.40 | | | 355 36 |
| | FIFO | 18 000 | Nov 14, 12 | Sep 29, 14 | 921 80 | 646 20 | | | 275 60 |
| | FIFO | 3 000 | Nov 14, 12 | Oct 06, 14 | 148 57 | 107.70 | | | 40 87 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 11 000 | Feb 06, 13 | Oct 06, 14 | 544 76 | 406 67 | | | 138 09 |
| | FIFO | 29 000 | Mar 11, 13 | Oct 06, 14 | 1,436 19 | 1,068 36 | | | 367 83 |
| | FIFO | 11.000 | Mar 11, 13 | Nov 05, 14 | 493 38 | 405 24 | | | 88 14 |
| | FIFO | 8 000 | Mar 26, 13 | Nov 05, 14 | 358 83 | 270 88 | | | 87 95 |
| CAL DIVE INTL INC (DELA) | Adjustment | 791 000 | May 04, 12 | Apr 25, 14 | 1,221 51 | 2,440.91 | -250.94 | -1,219 40 | |
| | FIFO | 44 000 | May 04, 12 | Aug 20, 14 | 34 01 | 149 74 | | -115 73 | |
| | FIFO | 606 000 | Jul 12, 12 | Aug 20, 14 | 468 37 | 1,139 28 | | -670 91 | |
| | FIFO | 303.000 | Jul 12, 12 | Sep 12, 14 | 250 82 | 569.64 | | -318 82 | |
| | FIFO | 766.000 | Dec 11, 12 | Sep 12, 14 | 634 07 | 1,149 00 | | -514 93 | |
| | FIFO | 135 000 | May 19, 14 | Sep 12, 14 | 111 75 | 440.60 | 250.94 | -328 85 | |
| CIRCOR INTL INC | FIFO | 7.000 | Dec 28, 11 | Jan 22, 14 | 539.55 | 242.85 | | | 296 70 |
| | FIFO | 23 000 | May 09, 12 | Jan 22, 14 | 1,772 81 | 841.46 | 84 76 | | 931.35 |
| | FIFO | 11.000 | Oct 19, 12 | Jan 22, 14 | 847 87 | 396 88 | | | 450 99 |
| CITI TRENDS INC | FIFO | 66 000 | May 29, 13 | Jun 03, 14 | 1,316 93 | 875 92 | | | 441 01 |
| COMERICA INC | FIFO | 56 000 | May 03, 12 | Apr 25, 14 | 2,686 82 | 1,808 80 | | | 878 02 |
| | FIFO | 39 000 | May 03, 12 | May 19, 14 | 1,810 49 | 1,259 70 | | | 550 79 |
| CONMED CORP | FIFO | 54 000 | Feb 05, 10 | Jan 29, 14 | 2,267 96 | 1,204 20 | | | 1,063 76 |
| | FIFO | 40.000 | Feb 05, 10 | Feb 05, 14 | 1,662.77 | 892 00 | | | 770 77 |
| | FIFO | 8 000 | Feb 05, 10 | Apr 22, 14 | 377.75 | 178 40 | | | 199.35 |
| | FIFO | 22 000 | Aug 02, 10 | Apr 22, 14 | 1,038 82 | 428 75 | | | 610 07 |
| | FIFO | 20 000 | Nov 01, 10 | Apr 22, 14 | 944 38 | 443 01 | | | 501 37 |
| | FIFO | 45.000 | Sep 20, 12 | Apr 22, 14 | 2,124 85 | 1,272 60 | | | 852 25 |
| | FIFO | 17 000 | Sep 21, 12 | Apr 22, 14 | 802 72 | 483 65 | | | 319.07 |
| DIAMONDROCK HOSPITALITY CO REIT | FIFO | 157 000 | Jun 23, 09 | Apr 25, 14 | 1,876 62 | 903 86 | | | 972 76 |
| | FIFO | 11 000 | Aug 18, 09 | Apr 25, 14 | 131 48 | 72 23 | | | 59 25 |
| | FIFO | 108 000 | Aug 18, 09 | Dec 29, 14 | 1,654 59 | 709 12 | | | 945 47 |
| | FIFO | 59.000 | Aug 28, 09 | Dec 29, 14 | 903.89 | 408.61 | | | 495 28 |
| | FIFO | 36 000 | Sep 02, 09 | Dec 29, 14 | 551 53 | 220 90 | | | 330.63 |
| | FIFO | 16.000 | Jan 29, 10 | Dec 29, 14 | 245.13 | 151 18 | | | 93 95 |

*continued next page*

## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 88 000 | Mar 03, 10 | Dec 29, 14 | 1,348 18 | 728 28 | | | 619 90 |
| | FIFO | 31 000 | Oct 19, 11 | Dec 29, 14 | 474 93 | 249 40 | | | 225 53 |
| | FIFO | 83 000 | Nov 26, 12 | Dec 29, 14 | 1,271 58 | 718 74 | | | 552 84 |
| ELECTRO SCIENTIFIC INDS | FIFO | 17 000 | Oct 22, 08 | Apr 25, 14 | 148 07 | 153 71 | | -5 64 | |
| | FIFO | 128 000 | Dec 08, 11 | Apr 25, 14 | 1,114 87 | 1,566 52 | | -451 65 | |
| | FIFO | 62 000 | May 10, 12 | Apr 25, 14 | 540 01 | 862 42 | | -322.41 | |
| | FIFO | 16 000 | Nov 20, 12 | Apr 25, 14 | 139 36 | 162.24 | | -22 88 | |
| FORESTAR GROUP INC | Adjustment | 72.000 | May 09, 11 | Apr 25, 14 | 1,256 66 | 1,277 29 | -13 92 | -20 63 | |
| | FIFO | 30 000 | May 09, 11 | Nov 18, 14 | 510 45 | 538.00 | | -27 55 | |
| | FIFO | 50 000 | May 10, 12 | Nov 18, 14 | 850 74 | 785 24 | | | 65 50 |
| | FIFO | 24 000 | May 10, 12 | Dec 03, 14 | 361 43 | 376 92 | | -15 49 | |
| | FIFO | 75 000 | Nov 26, 13 | Dec 03, 14 | 1,129 45 | 1,454 03 | | -324 58 | |
| | FIFO | 29 000 | May 14, 14 | Dec 03, 14 | 436 72 | 517 42 | 13 92 | -80 70 | |
| FRANKLIN STREET PROPERTIES CORP REITS | FIFO | 75 000 | Nov 06, 09 | Apr 25, 14 | 902 24 | 739 29 | | | 162 95 |
| | FIFO | 21 000 | Apr 09, 10 | Apr 25, 14 | 252 62 | 295 49 | | -42 87 | |
| | FIFO | 134 000 | May 10, 12 | Apr 25, 14 | 1,612 00 | 1,303 05 | | | 308 95 |
| FUEL SYSTEMS SOLUTIONS INC | FIFO | 191 000 | May 03, 12 | Apr 25, 14 | 2,019 36 | 3,654 98 | | -1,635 62 | |
| GRAFTECH INTL LTD | FIFO | 60 000 | Nov 21, 11 | Apr 25, 14 | 679 19 | 816 26 | | -137 07 | |
| | FIFO | 171 000 | Dec 05, 11 | Apr 25, 14 | 1,935 69 | 2,580 39 | | -644 70 | |
| | FIFO | 11 000 | Apr 03, 12 | Apr 25, 14 | 124 52 | 134 31 | | -9 79 | |
| GRANITE CONSTRUCTION CO INC | FIFO | 58 000 | Dec 16, 11 | Apr 25, 14 | 2,201 98 | 1,413 17 | | | 788 81 |
| IAMGOLD CORP | FIFO | 178 000 | Jul 02, 12 | Apr 25, 14 | 622 03 | 2,101 32 | | -1,479 29 | |
| KEYCORP NEW | FIFO | 194.000 | Apr 27, 11 | Apr 25, 14 | 2,627.59 | 1,676.16 | | | 951.43 |
| | FIFO | 131.000 | Apr 27, 11 | May 19, 14 | 1,740 39 | 1,131 84 | | | 608 55 |
| KULICKE & SOFFA IND | FIFO | 226 000 | Nov 12, 12 | Apr 25, 14 | 2,941 64 | 2,316 50 | | | 625 14 |
| LAYNE CHRISTENSEN CO | FIFO | 8.000 | Jun 15, 09 | Apr 25, 14 | 138 32 | 173.69 | | -35 37 | |
| | FIFO | 7 000 | Jul 16, 09 | Apr 25, 14 | 121.02 | 135.10 | | -14.08 | |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 15 000 | Nov 23, 09 | Apr 25, 14 | 259 35 | 402 45 | | -143 10 | |
| | FIFO | 23 000 | Dec 08, 09 | Apr 25, 14 | 397 66 | 616 39 | | -218 73 | |
| | FIFO | 27 000 | Apr 13, 10 | Apr 25, 14 | 466 82 | 727 87 | | -261 05 | |
| | Adjustment | 18.000 | Apr 18, 11 | Apr 25, 14 | 311 21 | 311 21 | -218 89 | | |
| | FIFO | 16.000 | Apr 18, 11 | Oct 27, 14 | 113 97 | 471 20 | | -357 23 | |
| | FIFO | 50.000 | Aug 22, 11 | Oct 27, 14 | 356 15 | 1,277.50 | | -921 35 | |
| | FIFO | 10.000 | Sep 21, 12 | Oct 27, 14 | 71 23 | 203 50 | | -132.27 | |
| | FIFO | 17 000 | Feb 21, 13 | Oct 27, 14 | 121.09 | 392.68 | | -271.59 | |
| | FIFO | 24 000 | Feb 21, 13 | Oct 31, 14 | 170.01 | 554 38 | | -384.37 | |
| | FIFO | 45.000 | Apr 02, 13 | Oct 31, 14 | 318 77 | 931 00 | | -612 23 | |
| | FIFO | 19 000 | Sep 06, 13 | Oct 31, 14 | 134.60 | 360 20 | | -225.60 | |
| | FIFO | 18 000 | May 02, 14 | Oct 31, 14 | 127 51 | 502.37 | 218 89 | -374.86 | |
| MARVELL TECHNOLOGY GROUP LTD | FIFO | 77 000 | Nov 07, 12 | Apr 25, 14 | 1,217 59 | 612 15 | | | 605 44 |
| | FIFO | 14 000 | Nov 07, 12 | Dec 22, 14 | 201 71 | 111.30 | | | 90.41 |
| | FIFO | 180 000 | Nov 21, 12 | Dec 22, 14 | 2,593 42 | 1,413 00 | | | 1,180 42 |
| MCDERMOTT INTL INC | FIFO | 101 000 | Jan 16, 13 | Apr 25, 14 | 702 25 | 1,202 88 | | -500 63 | |
| | FIFO | 80 000 | Jan 16, 13 | Dec 15, 14 | 184 89 | 952 78 | | -767 89 | |
| | FIFO | 172 000 | Mar 01, 13 | Dec 15, 14 | 397 52 | 1,857 60 | | -1,460 08 | |
| MENS WEARHOUSE INC | FIFO | 8 000 | Sep 20, 11 | Jan 08, 14 | 410 19 | 226 72 | | | 183 47 |
| | FIFO | 51 000 | Jun 05, 12 | Jan 08, 14 | 2,615 00 | 1,788 57 | | | 826 43 |
| | FIFO | 10 000 | Nov 07, 12 | Jan 08, 14 | 512 74 | 338 70 | | | 174 04 |
| | FIFO | 37 000 | Nov 20, 12 | Jan 08, 14 | 1,897 15 | 1,212 12 | | | 685 03 |
| MERCURY SYSTEMS INC | FIFO | 21 000 | Jul 17, 12 | Jan 13, 14 | 229 95 | 263 55 | | -33 60 | |
| | FIFO | 47 000 | Aug 27, 12 | Jan 13, 14 | 514 64 | 445 09 | | | 69 55 |
| | FIFO | 86 000 | Aug 28, 12 | Jan 13, 14 | 941 68 | 829 22 | | | 112 46 |
| | FIFO | 20 000 | Aug 28, 12 | Apr 25, 14 | 264 39 | 192 84 | | | 71.55 |
| | FIFO | 66 000 | Jan 09, 13 | Apr 25, 14 | 872 50 | 554 81 | | | 317.69 |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| NABORS INDUSTRIES LTD NEW (BERMUDA) | FIFO | 149 000 | May 04, 12 | Apr 25, 14 | 3,731 33 | 2,367 43 | | | 1,363 90 |
| | FIFO | 61.000 | May 04, 12 | Sep 09, 14 | 1,509 72 | 969 22 | | | 540 50 |
| | FIFO | 17 000 | Oct 11, 12 | Sep 09, 14 | 420 74 | 249.39 | | | 171 35 |
| OM GROUP INC | FIFO | 4 000 | Oct 02, 08 | Apr 25, 14 | 123 88 | 82 05 | | | 41.83 |
| | FIFO | 31 000 | Sep 09, 09 | Apr 25, 14 | 960 05 | 867 69 | | | 92 36 |
| | FIFO | 33.000 | Apr 27, 10 | Apr 25, 14 | 1,021 98 | 1,229 25 | | -207 27 | |
| | FIFO | 19 000 | Apr 01, 11 | Apr 25, 14 | 588 42 | 704 90 | | -116 48 | |
| | FIFO | 24.000 | Apr 01, 11 | Oct 29, 14 | 583 43 | 890 40 | | -306 97 | |
| | FIFO | 36 000 | Jan 05, 12 | Oct 29, 14 | 875 15 | 836 33 | | | 38.82 |
| PATTERSON-UTI ENERGY INC | FIFO | 61.000 | May 03, 10 | Apr 25, 14 | 1,993 63 | 910 72 | | | 1,082.91 |
| | FIFO | 21 000 | Oct 10, 11 | Apr 25, 14 | 686 33 | 380 84 | | | 305 49 |
| | FIFO | 20 000 | Oct 10, 11 | Sep 09, 14 | 649 25 | 362 70 | | | 286 55 |
| | FIFO | 21 000 | Oct 10, 11 | Sep 16, 14 | 673 97 | 380.84 | | | 293 13 |
| | FIFO | 15 000 | Feb 29, 12 | Sep 16, 14 | 481 41 | 292 50 | | | 188 91 |
| | FIFO | 33 000 | Feb 29, 12 | Oct 14, 14 | 766 72 | 643 50 | | | 123 22 |
| | FIFO | 4.000 | Sep 27, 12 | Oct 14, 14 | 92 94 | 63 68 | | | 29 26 |
| PHOTRONICS INC | FIFO | 235 000 | Apr 04, 13 | Apr 25, 14 | 2,058 55 | 1,600 09 | | | 458 46 |
| PIPER JAFFRAY COS | FIFO | 14 000 | May 02, 11 | Apr 25, 14 | 620 33 | 497 00 | | | 123 33 |
| | FIFO | 19 000 | Sep 08, 11 | Apr 25, 14 | 841 87 | 415 98 | | | 425 89 |
| | FIFO | 16 000 | Oct 21, 11 | Apr 25, 14 | 708 94 | 288 50 | | | 420 44 |
| | FIFO | 12 000 | Oct 21, 11 | Sep 15, 14 | 643 27 | 216.38 | | | 426 89 |
| | FIFO | 32 000 | Oct 21, 11 | Dec 29, 14 | 1,858 77 | 577 01 | | | 1,281 76 |
| POLYCOM INC | FIFO | 224 000 | Jul 02, 12 | Apr 25, 14 | 2,742 73 | 2,325 39 | | | 417 34 |
| | FIFO | 128 000 | Jul 02, 12 | Jun 20, 14 | 1,544 93 | 1,328.79 | | | 216 14 |
| | FIFO | 23.000 | Aug 23, 12 | Jun 20, 14 | 277.60 | 230.23 | | | 47 37 |
| | FIFO | 102 000 | Aug 23, 12 | Jul 28, 14 | 1,350 46 | 1,021.01 | | | 329 45 |
| | FIFO | 37.000 | Jan 09, 13 | Jul 28, 14 | 489 87 | 399 97 | | | 89.90 |
| | FIFO | 35.000 | Jan 09, 13 | Aug 27, 14 | 458 15 | 378 35 | | | 79 80 |

*continued next page*

## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 16 000 | Mar 12, 13 | Aug 27, 14 | 209 43 | 162 88 | | | 46 55 |
| | FIFO | 34 000 | Aug 12, 13 | Aug 27, 14 | 445 06 | 333 54 | | | 111 52 |
| QUANTA SERVICES INC | FIFO | 50 000 | May 03, 12 | Apr 25, 14 | 1,767 46 | 1,175 50 | | | 591 96 |
| ROWAN COMPANIES PLC CL A | FIFO | 19 000 | Jan 08, 10 | Apr 25, 14 | 595 69 | 646 57 | | -50 88 | |
| | FIFO | 29 000 | Jan 27, 10 | Apr 25, 14 | 909 22 | 986 87 | | -77 65 | |
| | FIFO | 42 000 | Aug 03, 10 | Apr 25, 14 | 1,316.79 | 1,429 26 | | -112 47 | |
| | FIFO | 6 000 | Sep 06, 11 | Apr 25, 14 | 188 11 | 188.11 | -16 07 | | |
| RTI INTL METALS INC (HOLDING COMPANY) | FIFO | 38 000 | Jan 12, 11 | Apr 25, 14 | 1,051 44 | 1,018.40 | | | 33 04 |
| | FIFO | 19.000 | Oct 12, 11 | Apr 25, 14 | 525.72 | 496 85 | | | 28 87 |
| RUBY TUESDAY INC | FIFO | 32.000 | Jun 12, 12 | Apr 25, 14 | 243.52 | 217.60 | | | 25.92 |
| | FIFO | 130.000 | Jul 09, 12 | Apr 25, 14 | 989.29 | 929.49 | | | 59.80 |
| | FIFO | 76.000 | Jul 30, 12 | Apr 25, 14 | 578 35 | 459 65 | | | 118.70 |
| | FIFO | 61 000 | Aug 21, 12 | Apr 25, 14 | 464 21 | 432 49 | | | 31 72 |
| SOUTHWEST AIRLINES CO | FIFO | 5 000 | Aug 28, 09 | Apr 25, 14 | 120 50 | 42 80 | | | 77 70 |
| | FIFO | 53 000 | Jun 28, 11 | Apr 25, 14 | 1,277 27 | 605 78 | | | 671.49 |
| | FIFO | 7 000 | Nov 20, 12 | Apr 25, 14 | 168 70 | 63 70 | | | 105 00 |
| | FIFO | 54 000 | Nov 20, 12 | Sep 08, 14 | 1,778 90 | 491 40 | | | 1,287.50 |
| STIFEL FINANCIAL CORP | FIFO | 15.069 | Aug 22, 11 | Apr 25, 14 | 685 18 | 591 47 | | | 93 71 |
| | FIFO | 9 643 | Sep 07, 11 | Apr 25, 14 | 438.48 | 378.51 | | | 59 97 |
| | FIFO | 10.287 | May 30, 12 | Apr 25, 14 | 467.75 | 403.77 | | | 63 98 |
| STILLWATER MINING CO | FIFO | 124 000 | Jan 30, 13 | Apr 25, 14 | 1,968.24 | 1,688 70 | | | 279 54 |
| | FIFO | 87 000 | Jan 30, 13 | Jul 09, 14 | 1,619 04 | 1,184 82 | | | 434 22 |
| | FIFO | 16 000 | Mar 18, 13 | Jul 09, 14 | 297 76 | 198 72 | | | 99 04 |
| | FIFO | 7 000 | Mar 18, 13 | Jul 28, 14 | 133 28 | 86.94 | | | 46 34 |
| | FIFO | 7 000 | Jul 02, 13 | Jul 28, 14 | 133 28 | 74 41 | | | 58 87 |
| SUPERIOR ENERGY SERVICES INC | FIFO | 104 000 | May 03, 12 | Apr 25, 14 | 3,420 82 | 2,714 84 | | | 705 98 |
| | FIFO | 10 000 | Aug 21, 12 | Apr 25, 14 | 328 92 | 230.40 | | | 98 52 |
| | FIFO | 31 000 | Aug 21, 12 | Oct 14, 14 | 765 54 | 714.24 | | | 51 30 |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 43 000 | Nov 09, 12 | Oct 14, 14 | 1,061 89 | 844 09 | | | 217 80 |
| | FIFO | 61 000 | Jan 09, 13 | Oct 14, 14 | 1,506 39 | 1,363 96 | | | 142 43 |
| | FIFO | 29 000 | Jan 09, 13 | Nov 05, 14 | 696 27 | 648 44 | | | 47 83 |
| UNIT CORP | FIFO | 4 000 | Dec 09, 09 | Apr 25, 14 | 269 01 | 156 19 | | | 112 82 |
| | FIFO | 15 000 | Feb 23, 10 | Apr 25, 14 | 1,008 76 | 672 86 | | | 335 90 |
| | FIFO | 36 000 | Sep 13, 11 | Apr 25, 14 | 2,421 05 | 1,656 00 | | | 765 05 |
| | FIFO | 11 000 | Sep 13, 11 | May 07, 14 | 721.58 | 506.00 | | | 215 58 |
| | FIFO | 12.000 | Aug 21, 12 | May 07, 14 | 787.19 | 507 72 | | | 279 47 |
| | FIFO | 4 000 | Oct 10, 12 | May 07, 14 | 262 39 | 163 92 | | | 98 47 |
| | FIFO | 4 000 | Oct 10, 12 | Oct 06, 14 | 214 55 | 163.92 | | | 50 63 |
| | FIFO | 29 000 | Nov 29, 12 | Oct 06, 14 | 1,555 52 | 1,282 09 | | | 273.43 |
| | FIFO | 2 000 | Nov 29, 12 | Nov 05, 14 | 92.18 | 88.42 | | | 3 76 |
| | FIFO | 16 000 | Jan 09, 13 | Nov 05, 14 | 737.43 | 740 36 | | -2.93 | |
| | FIFO | 1 000 | Apr 12, 13 | Nov 05, 14 | 46 09 | 44 05 | | | 2 04 |
| UNIVERSAL FOREST PRODUCT INC | FIFO | 31 000 | Sep 14, 12 | Apr 25, 14 | 1,610 10 | 1,297 66 | | | 312 44 |
| VIEWPOINT FINANCIAL GROUP | FIFO | 143 000 | May 23, 12 | Jan 23, 14 | 3,696 49 | 2,249 13 | | | 1,447 36 |
| VISHAY INTERTECHNOLOGY | FIFO | 3 000 | Oct 21, 08 | Mar 27, 14 | 43 67 | 11 56 | | | 32 11 |
| | FIFO | 91 000 | Aug 16, 11 | Mar 27, 14 | 1,324 80 | 1,049 23 | | | 275 57 |
| | FIFO | 99 000 | Oct 21, 11 | Mar 27, 14 | 1,441 25 | 975 14 | | | 466 11 |
| | FIFO | 51 000 | Nov 17, 11 | Mar 27, 14 | 742 47 | 509 65 | | | 232 82 |
| | FIFO | 81 000 | Nov 17, 11 | Apr 25, 14 | 1,187 95 | 809 44 | | | 378 51 |
| | FIFO | 31 000 | Jul 11, 12 | Apr 25, 14 | 454 65 | 270 32 | | | 184 33 |
| | FIFO | 26 000 | Aug 21, 12 | Apr 25, 14 | 381 32 | 264.94 | | | 116 38 |
| | FIFO | 28 000 | Sep 14, 12 | Apr 25, 14 | 410.65 | 308 28 | | | 102 37 |
| | FIFO | 88 000 | Sep 14, 12 | Sep 02, 14 | 1,421 16 | 968 88 | | | 452 28 |
| | FIFO | 88 000 | Oct 19, 12 | Sep 02, 14 | 1,421 16 | 775.27 | | | 645 89 |
| | FIFO | 11 000 | Nov 21, 12 | Sep 02, 14 | 177 64 | 99.99 | | | 77 65 |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| WASHINGTON FEDERAL INC | FIFO | 30 000 | Aug 06, 10 | Apr 25, 14 | 652 18 | 493.20 | | | 158 98 |
| | FIFO | 26.000 | May 02, 11 | Apr 25, 14 | 565 23 | 417 30 | | | 147 93 |
| **Total** | | | | | **$177,560.14** | **$140,870.51** | | **-$15,978.19** | **$52,667.82** |
| **Net long-term capital gains or losses** | | | | | | | | | **$36,689.63** |
| **Net capital gains/losses:** | | | | | | | | | **$35,819.30** |

# 2014 Year End Summary

UBS

UBS Financial Services Inc
600 SW Columbia
Suite 6200
Bend OR 97702-1889

CNQ7004774043 1214 X13 TV 0

**Account name:** NEW OPPORTUNITIES FOUNDATION
**Friendly account name:** SandCr New Opp
**Account number:** TV 01806 WS

NEW OPPORTUNITIES FOUNDATION
23925 VIA DANZA
VALENCIA CA 91355-3126

**Your Financial Advisor:**
SHEA,/HARLEY/SAND CREEK INVEST
Phone 541-617-7020/800-493-3302

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | 2,112 77 |
| Long term | 29,307 31 |
| **Total** | **$31,420.08** |

# Realized gains and losses

Estimated 2014 gains and losses for transactions with trade dates through 12/31/14 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

## Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| APPLE INC | FIFO | 8 000 | Oct 14, 13 | Jan 29, 14 | 4,013 59 | 3,973 10 | | | 40 49 |
| | FIFO | 4 000 | Nov 20, 13 | Jan 29, 14 | 2,006 79 | 2,006 79 | -68 33 | | |
| | FIFO | 1 000 | Nov 20, 13 | Jan 29, 14 | 501 70 | 518 78 | | -17 08 | |
| | FIFO | 5 000 | Dec 18, 13 | Jan 29, 14 | 2,508.47 | 2,714.16 | | -205 69 | |
| | FIFO | 4 000 | Dec 31, 13 | Jan 29, 14 | 2,006.78 | 2,299.72 | 68 33 | -292 94 | |
| | FIFO | 3 000 | Dec 31, 13 | Jan 29, 14 | 1,505 09 | 1,673.55 | | -168.46 | |
| CHICAGO BRIDGE & IRON CO NV EUR | FIFO | 54.000 | Jun 26, 14 | Jul 25, 14 | 3,313 49 | 3,667 90 | | -354.41 | |
| | FIFO | 26 000 | Jul 24, 14 | Jul 25, 14 | 1,595 38 | 1,808 25 | | -212 87 | |
| CONSUMER SECTOR SPDR TRUST SHS DISCRETIONARY | FIFO | 153 000 | Jan 15, 14 | Feb 03, 14 | 9,542.68 | 10,064 19 | | -521 51 | |
| DELAWARE EMERGING MKTS FUNDS INSTITUTIONAL CLASS | FIFO | 871 842 | Jun 04, 14 | Oct 01, 14 | 14,272 05 | 14,625 89 | | -353 84 | |
| | FIFO | 216 829 | Jun 04, 14 | Oct 29, 14 | 3,514 81 | 3,637 50 | | -122 69 | |
| | FIFO | 619 269 | Jun 10, 14 | Oct 29, 14 | 10,038 35 | 10,756.90 | | -718 55 | |
| ENERGY SECTOR SPDR TRUST SHARES | FIFO | 96 000 | Dec 17, 14 | Dec 22, 14 | 7,592 48 | 7,404.04 | | | 188 44 |
| FIRST TR EXCHANGE TRADED FD DOW JONES INTERNET INDEX FUND | FIFO | 232 000 | Jan 15, 14 | Apr 04, 14 | 13,154 10 | 14,083 90 | | -929 80 | |
| | FIFO | 156 000 | Apr 02, 14 | Apr 04, 14 | 8,845 00 | 9,417 75 | | -572 75 | |
| FLUOR CORP NEW | FIFO | 60 000 | Aug 01, 13 | Feb 03, 14 | 4,445.34 | 3,886.79 | | | 558.55 |
| | FIFO | 24 000 | Aug 08, 13 | Feb 03, 14 | 1,778.13 | 1,577.46 | | | 200.67 |
| | FIFO | 16 000 | Aug 08, 13 | Apr 24, 14 | 1,231 65 | 1,051 64 | | | 180.01 |
| | FIFO | 3 000 | Jan 16, 14 | Apr 24, 14 | 230.94 | 240.44 | | -9 50 | |
| | FIFO | 73 000 | Jan 16, 14 | May 16, 14 | 5,338 47 | 5,850 73 | | -512 26 | |

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## Realized gains and losses (continued)

### Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| GANNETT CO | FIFO | 110 000 | Oct 15, 13 | Apr 15, 14 | 2,856 07 | 2,877 52 | | -21 45 | |
| | FIFO | 60 000 | Oct 15, 13 | Apr 15, 14 | 1,549 76 | 1,569 55 | | -19 79 | |
| | FIFO | 72 000 | Jan 15, 14 | Apr 15, 14 | 1,859 72 | 2,094 30 | | -234 58 | |
| GILEAD SCIENCES INC | FIFO | 17.000 | Jul 08, 13 | Mar 14, 14 | 1,272 08 | 911 54 | | | 360.54 |
| | FIFO | 30 000 | Jul 08, 13 | Mar 21, 14 | 2,163 13 | 1,608 60 | | | 554 53 |
| | FIFO | 39 000 | Dec 22, 14 | Dec 23, 14 | 3,471 09 | 3,746 75 | | -275.66 | |
| GUGGENHEIM S&P 500 EQUAL WEIGHT ETF | FIFO | 119 000 | Jul 08, 13 | Apr 24, 14 | 8,714 19 | 7,461 30 | | | 1,252 89 |
| | FIFO | 43.000 | Apr 02, 14 | Oct 10, 14 | 3,137 66 | 3,137 66 | -38.25 | | |
| | FIFO | 147 000 | Apr 02, 14 | Dec 03, 14 | 11,799.43 | 10,857 19 | | | 942 24 |
| | FIFO | 101 000 | Apr 02, 14 | Dec 15, 14 | 7,808 25 | 7,459 70 | | | 348 55 |
| | FIFO | 43 000 | Oct 20, 14 | Dec 15, 14 | 3,324 30 | 3,197 21 | 38 25 | | 127 09 |
| | FIFO | 96 000 | Oct 20, 14 | Dec 15, 14 | 7,421 70 | 7,052 55 | | | 369 15 |
| | FIFO | 188 000 | Oct 29, 14 | Dec 15, 14 | 14,534 16 | 14,342 67 | | | 191 49 |
| INDUSTRIAL SECTOR SPDR TRUST SHARES | FIFO | 99 000 | Jan 15, 14 | Apr 24, 14 | 5,248 86 | 5,162 72 | | | 86 14 |
| | FIFO | 176 000 | Jan 15, 14 | Jul 31, 14 | 9,183 48 | 9,178 17 | | | 5 31 |
| | FIFO | 176 000 | Jan 15, 14 | Oct 10, 14 | 8,876 04 | 9,178 17 | | -302 13 | |
| ISHARES MSCI MEXICO CAPPED ETF | FIFO | 157 000 | Sep 09, 14 | Sep 29, 14 | 10,610 51 | 11,247.45 | | -636 94 | |
| LORD ABBETT SHORT DURATION INCOME FUND CLASS F | Adjustment | 1,434 914 | Feb 04, 14 | Nov 21, 14 | 6,442 76 | 6,540 52 | -2 69 | -97 76 | |
| | FIFO | 1,810 739 | Feb 05, 14 | Nov 21, 14 | 8,130 22 | 8,256 97 | | -126 75 | |
| | FIFO | 1,679.385 | Feb 05, 14 | Nov 24, 14 | 7,540.44 | 7,658 00 | | -117.56 | |
| LORD ABBETT SHORT DURATION INCOME FUND CLASS A | Adjustment | 6,629.013 | Feb 04, 14 | Apr 02, 14 | 30,162 01 | 30,227 57 | -0 73 | -65 56 | |
| | FIFO | 1,795.560 | Feb 04, 14 | Apr 24, 14 | 8,169 80 | 8,187.75 | | -17.95 | |
| MARKET VECTORS ETF TR JR GOLD MINERS ETF | FIFO | 74 000 | Jul 09, 14 | Aug 05, 14 | 2,959.49 | 3,321 11 | | -361.62 | |

*continued next page*

# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| MICROSOFT CORP | FIFO | 128 000 | Aug 30, 13 | Jan 13, 14 | 4,479.94 | 4,278 14 | | | 201 80 |
| | FIFO | 32 000 | Nov 25, 13 | Jan 13, 14 | 1,119 98 | 1,204 15 | | -84 17 | |
| | FIFO | 23 000 | Nov 25, 13 | Apr 24, 14 | 914 46 | 865 49 | | | 48 97 |
| | FIFO | 34 000 | Dec 05, 13 | Apr 24, 14 | 1,351 81 | 1,295.99 | | | 55 82 |
| | FIFO | 53 000 | Dec 05, 13 | Jul 28, 14 | 2,331 51 | 2,020 21 | | | 311 30 |
| | FIFO | 23 000 | Dec 10, 13 | Jul 28, 14 | 1,011 79 | 879 43 | | | 132 36 |
| NATL-OILWELLVARCO INC | FIFO | 57 000 | Dec 17, 14 | Dec 22, 14 | 3,709 82 | 3,679.61 | | | 30 21 |
| NETAPP INC | FIFO | 102 000 | Jul 14, 14 | Oct 15, 14 | 3,882 25 | 3,707 68 | | | 174 57 |
| NEUSTAR INC CL A | FIFO | 128 000 | Aug 12, 14 | Sep 19, 14 | 3,292 65 | 3,644 07 | | -351 42 | |
| PETSMART INC | FIFO | 54 000 | Jun 27, 14 | Oct 10, 14 | 3,551 46 | 3,288 55 | | | 262 91 |
| | FIFO | 6 000 | Jun 27, 14 | Oct 16, 14 | 390 27 | 365.39 | | | 24 88 |
| | FIFO | 19.000 | Aug 12, 14 | Oct 16, 14 | 1,235 86 | 1,301 88 | | -66.02 | |
| | FIFO | 31.000 | Sep 17, 14 | Oct 16, 14 | 2,016.41 | 2,193 84 | | -177.43 | |
| PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS Y | FIFO | 3 922 | Dec 31, 13 | Dec 31, 14 | 39 26 | 39 50 | | -0 24 | |
| | FIFO | 3 101 | Jan 31, 14 | Dec 31, 14 | 31 05 | 31.27 | | -0 22 | |
| | FIFO | 3 301 | Feb 28, 14 | Dec 31, 14 | 33 05 | 33 28 | | -0.23 | |
| | FIFO | 1 543 | May 30, 14 | Dec 31, 14 | 15 45 | 15 56 | | -0 11 | |
| | FIFO | 1 537 | Jun 30, 14 | Dec 31, 14 | 15 39 | 15 50 | | -0 11 | |
| | FIFO | 0 964 | Jul 31, 14 | Dec 31, 14 | 9 65 | 9 71 | | -0 06 | |
| | FIFO | 0 696 | Aug 01, 14 | Dec 31, 14 | 6 96 | 7 01 | | -0 05 | |
| | FIFO | 1 874 | Aug 29, 14 | Dec 31, 14 | 18 76 | 18 85 | | -0 09 | |
| | FIFO | 1 877 | Sep 30, 14 | Dec 31, 14 | 18 79 | 18 88 | | -0.09 | |
| | FIFO | 1 882 | Oct 31, 14 | Dec 31, 14 | 18 84 | 18 91 | | -0 07 | |
| | FIFO | 1.874 | Nov 28, 14 | Dec 31, 14 | 18 76 | 18 81 | | -0 05 | |
| PITNEY BOWES INC | FIFO | 135 000 | May 21, 14 | Sep 26, 14 | 3,371 00 | 3,583.79 | | -212 79 | |
| PUTNAM DIVERSIFIED INCOME FUND CLASS Y | FIFO | 2,319 685 | Feb 20, 14 | Dec 15, 14 | 17,328 06 | 18,118 98 | | -790.92 | |
| | FIFO | 13.943 | Mar 14, 14 | Dec 15, 14 | 104 15 | 109 05 | | -4 90 | |
| | FIFO | 13 938 | Apr 14, 14 | Dec 15, 14 | 104.12 | 109.56 | | -5.44 | |

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# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 9 473 | May 14, 14 | Dec 15, 14 | 70 76 | 74 46 | | -3 70 | |
| | FIFO | 9.462 | Jun 16, 14 | Dec 15, 14 | 70 69 | 74 76 | | -4 07 | |
| | FIFO | 10 185 | Jul 15, 14 | Dec 15, 14 | 76 08 | 80 46 | | -4 38 | |
| | FIFO | 10 427 | Aug 14, 14 | Dec 15, 14 | 77 89 | 80 81 | | -2 92 | |
| | FIFO | 10.326 | Sep 16, 14 | Dec 15, 14 | 77 14 | 81 16 | | -4 02 | |
| | FIFO | 9 137 | Oct 14, 14 | Dec 15, 14 | 68 25 | 69 53 | | -1 28 | |
| | FIFO | 9.135 | Nov 14, 14 | Dec 15, 14 | 68.24 | 69 79 | | -1.55 | |
| PUTNAM DIVERSIFIED INCOME TRUST CLASS A | FIFO | 647 947 | Feb 20, 14 | Apr 24, 14 | 5,164 14 | 5,105.82 | | | 58.32 |
| QUESTCOR PHARMACEUTICALS INC **MERGER EFF 08/2014** | FIFO | 86.000 | Dec 24, 13 | Jan 08, 14 | 4,127 96 | 4,646 06 | | -518.10 | |
| RS INVESTORS FUND CLASS A | FIFO | 361 815 | Dec 12, 13 | Feb 04, 14 | 4,493 74 | 4,493 74 | -68 75 | | |
| | FIFO | 0 006 | Dec 19, 13 | Feb 04, 14 | 0 08 | 0 08 | | | |
| | FIFO | 1 799 | Dec 19, 13 | Feb 04, 14 | 22 34 | 22.34 | -0 38 | | |
| | FIFO | 167.945 | Jan 14, 14 | Feb 04, 14 | 2,085 88 | 2,085 88 | -110 84 | | |
| | FIFO | 11 570 | Jan 14, 14 | Apr 24, 14 | 154 11 | 153 54 | 2 20 | | 0.57 |
| | FIFO | 349 338 | Jan 16, 14 | Apr 24, 14 | 4,653 18 | 4,653 18 | 66 38 | | |
| | FIFO | 12 128 | Jan 16, 14 | Apr 24, 14 | 161 55 | 159 24 | | | 2 31 |
| RS INVESTORS FUND CLASS Y | FIFO | 0 898 | Jan 16, 14 | Oct 08, 14 | 11 84 | 12.08 | 0 17 | -0 24 | |
| | FIFO | 1 782 | Jan 16, 14 | Oct 08, 14 | 23 49 | 24 00 | 0 38 | -0 51 | |
| | FIFO | 166 390 | Jan 16, 14 | Oct 08, 14 | 2,193 02 | 2,315 96 | 110 84 | -122 94 | |
| | FIFO | 486 542 | Apr 03, 14 | Oct 08, 14 | 6,412 63 | 6,723 01 | | -310 38 | |
| | FIFO | 674 952 | Apr 03, 14 | Oct 20, 14 | 8,571 89 | 9,326 45 | | -754 56 | |
| SANDISK CORP | FIFO | 37 000 | Sep 09, 14 | Oct 01, 14 | 3,515.81 | 3,515.81 | -215 91 | | |
| SMEAD VALUE INVESTOR FUND CLASS NL | FIFO | 325 768 | Oct 17, 13 | Apr 24, 14 | 12,017 58 | 11,519.16 | | | 498 42 |
| SPDR GOLD TRUST | FIFO | 74 000 | Feb 10, 14 | Apr 22, 14 | 9,135 10 | 9,109 39 | | | 25 71 |
| | FIFO | 18 000 | Mar 12, 14 | Apr 22, 14 | 2,222 05 | 2,370 59 | | -148.54 | |

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# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| SPDR S&P 500 ETF TR | FIFO | 193 000 | Jul 09, 13 | Feb 03, 14 | 33,981 16 | 31,883.60 | | | 2,097.56 |
| | FIFO | 55 000 | Jul 09, 13 | Apr 24, 14 | 10,330 60 | 9,086 00 | | | 1,244 60 |
| | FIFO | 2 000 | Apr 02, 14 | Dec 17, 14 | 400 61 | 377 61 | | | 23 00 |
| SYMANTEC CORP | FIFO | 165 000 | Jun 24, 14 | Sep 29, 14 | 3,862 94 | 3,694 35 | | | 168 59 |
| | FIFO | 62 000 | Jul 09, 14 | Sep 29, 14 | 1,451 53 | 1,400 57 | | | 50 96 |
| | FIFO | 19 000 | Jul 09, 14 | Oct 10, 14 | 434 56 | 429 21 | | | 5 35 |
| | FIFO | 95 000 | Aug 12, 14 | Oct 10, 14 | 2,172 81 | 2,282 85 | | -110.04 | |
| UNITED THERAPEUTICS CORP | FIFO | 20 000 | Jul 08, 13 | Feb 03, 14 | 1,988 62 | 1,390 17 | 38 17 | | 598 45 |
| | FIFO | 4 000 | Jul 19, 13 | Feb 03, 14 | 397.72 | 289 51 | 7.64 | | 108 21 |
| | FIFO | 26 000 | Jul 19, 13 | Feb 03, 14 | 2,585 20 | 1,832.17 | | | 753 03 |
| | FIFO | 11.000 | Sep 06, 13 | Feb 03, 14 | 1,093.74 | 804.20 | | | 289.54 |
| | FIFO | 30 000 | Sep 06, 13 | Mar 11, 14 | 2,858 62 | 2,193 28 | | | 665.34 |
| | FIFO | 39 000 | Sep 12, 13 | Mar 11, 14 | 3,716 21 | 2,985.34 | | | 730 87 |
| | FIFO | 69 000 | Feb 13, 14 | Mar 21, 14 | 6,418 03 | 6,904 15 | | -486 12 | |
| | FIFO | 37 000 | Jun 04, 14 | Jun 13, 14 | 3,328 77 | 3,584 74 | | -255 97 | |
| | FIFO | 18 000 | Jun 12, 14 | Jun 13, 14 | 1,619 40 | 1,782 54 | | -163 14 | |
| **Total** | | | | | **$457,207.90** | **$455,095.13** | | **-$11,856.97** | **$13,969.74** |
| **Net short-term capital gains and losses** | | | | | | | | | **$2,112.77** |

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| CONSUMER SECTOR SPDR TRUST SHS DISCRETIONARY | FIFO | 204 000 | Jan 11, 13 | Feb 03, 14 | 12,723 57 | 9,970.75 | | | 2,752 82 |
| GILEAD SCIENCES INC | FIFO | 13 000 | Mar 27, 12 | Mar 14, 14 | 972 77 | 308 47 | | | 664 30 |
| GUGGENHEIM S&P 500 EQUAL WEIGHT ETF | FIFO | 343 000 | Jan 11, 13 | Feb 03, 14 | 23,322 54 | 18,851 28 | | | 4,471 26 |
| | FIFO | 20 000 | Jan 11, 13 | Apr 24, 14 | 1,464 57 | 1,099 20 | | | 365 37 |
| | FIFO | 205 000 | Jul 08, 13 | Oct 10, 14 | 14,958 59 | 12,853 50 | | | 2,105 09 |
| HEALTH CARE SELECT SECTOR SPDR FUND | FIFO | 93 000 | Nov 29, 12 | Apr 24, 14 | 5,379 93 | 3,741.09 | | | 1,638 84 |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS A | Adjustment | 1,586 231 | Nov 08, 12 | Apr 02, 14 | 15,973 35 | 15,989 16 | -0 05 | -15.81 | |
| | FIFO | 505 608 | Nov 08, 12 | Apr 24, 14 | 5,091 47 | 5,096 53 | | -5 06 | |
| PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS Y | FIFO | 1,759 675 | Nov 08, 12 | Dec 31, 14 | 17,614 35 | 17,755 16 | | -140 81 | |
| | FIFO | 2.238 | Nov 30, 12 | Dec 31, 14 | 22 41 | 22 59 | | -0 18 | |
| | FIFO | 8 721 | Dec 31, 12 | Dec 31, 14 | 87.30 | 88.00 | | -0.70 | |
| | FIFO | 4 204 | Jan 31, 13 | Dec 31, 14 | 42.09 | 42 47 | | -0.38 | |
| | FIFO | 4 020 | Feb 28, 13 | Dec 31, 14 | 40 24 | 40 60 | | -0.36 | |
| | FIFO | 3 639 | Mar 28, 13 | Dec 31, 14 | 36 44 | 36 72 | | -0.28 | |
| | FIFO | 3 454 | Apr 30, 13 | Dec 31, 14 | 34 58 | 34 89 | | -0 31 | |
| | FIFO | 3 464 | May 31, 13 | Dec 31, 14 | 34.68 | 34 99 | | -0.31 | |
| | FIFO | 3 475 | Jun 28, 13 | Dec 31, 14 | 34.79 | 34 96 | | -0 17 | |
| | FIFO | 3 280 | Jul 31, 13 | Dec 31, 14 | 32 84 | 33 00 | | -0 16 | |
| | FIFO | 3 097 | Aug 30, 13 | Dec 31, 14 | 31 00 | 31 16 | | -0.16 | |
| | FIFO | 3 087 | Sep 30, 13 | Dec 31, 14 | 30 91 | 31 06 | | -0 15 | |
| | FIFO | 3 101 | Oct 31, 13 | Dec 31, 14 | 31 05 | 31 27 | | -0 22 | |
| | FIFO | 3 104 | Nov 29, 13 | Dec 31, 14 | 31 08 | 31 27 | | -0 19 | |
| | FIFO | 3 295 | Mar 31, 14 | Dec 31, 14 | 32 99 | 33 25 | 0 03 | -0 26 | |
| | FIFO | 2 114 | Apr 30, 14 | Dec 31, 14 | 21 17 | 21 34 | 0 02 | -0.17 | |
| PUTNAM EQUITY SPECTRUM FUND CLASS A | FIFO | 328 159 | Mar 05, 13 | Apr 24, 14 | 14,215.85 | 10,044 95 | | | 4,170.90 |
| | FIFO | 339 680 | Mar 05, 13 | Jun 10, 14 | 14,881 40 | 10,397 60 | | | 4,483 80 |
| PUTNAM EQUITY SPECTRUM CLASS Y | FIFO | 428 902 | Mar 05, 13 | Oct 13, 14 | 17,087 47 | 13,227 43 | | | 3,860.04 |
| SPDR S&P 500 ETF TR | FIFO | 5 000 | Jul 09, 13 | Jul 24, 14 | 993 75 | 826 00 | | | 167 75 |
| | FIFO | 11 000 | Jul 09, 13 | Dec 17, 14 | 2,203 37 | 1,817 20 | | | 386 17 |

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## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 123 000 | Jul 09, 13 | Dec 17, 14 | 24,637 68 | 20,231 03 | | | 4,406.65 |
| **Total** | | | | | **$172,064.23** | **$142,756.92** | | **-$165.68** | **$29,472.99** |
| **Net long-term capital gains or losses** | | | | | | | | | **$29,307.31** |
| **Net capital gains/losses:** | | | | | | | | | **$31,420.08** |

UBS

UBS Financial Services Inc.
601 W Riverside Avenue
Suite 1200
Spokane WA 99201-0622

CNQ7004774051 1214 X13 WH 0

# 2014 Year End Summary

**Account name:** NEW OPPORTUNITIES FOUNDATION
**Friendly account name:** DivRu New Opp
**Account number:** WH 14981 SH

NEW OPPORTUNITIES FOUNDATION
23925 VIA DANZA
VALENCIA CA 91355-3126

**Your Financial Advisor:**
SHEA & HARLEY WEALTH CONSULTIN
Phone 509-624-3330/800-824-9212

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | 3,163 04 |
| Long term | 5,935 52 |
| **Total** | **$9,098.56** |

## Realized gains and losses

Estimated 2014 gains and losses for transactions with trade dates through 12/31/14 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

### Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| BOEING COMPANY | FIFO | 1 000 | Mar 11, 13 | Feb 10, 14 | 126 97 | 82 43 | | | 44.54 |

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# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| CALIFORNIA RESOURCES CORP | FIFO | 0 400 | Feb 10, 14 | Dec 09, 14 | 2 38 | 3 16 | | -0 78 | |
| CLOROX CO | FIFO | 1 000 | Mar 11, 13 | Feb 10, 14 | 86 00 | 84 57 | | | 1 43 |
| | FIFO | 26 000 | Nov 11, 13 | May 06, 14 | 2,262.84 | 2,350 59 | | -87 75 | |
| COCA COLA CO COM | FIFO | 3.000 | Mar 11, 13 | Feb 10, 14 | 115 80 | 117.84 | | -2 04 | |
| CSX CORPORATION | FIFO | 27 000 | Mar 11, 13 | Feb 10, 14 | 730 77 | 630 09 | | | 100.68 |
| | FIFO | 59 000 | Nov 11, 13 | Apr 16, 14 | 1,624 46 | 1,585.57 | | | 38 89 |
| EMERSON ELECTRIC CO | FIFO | 4.000 | Mar 11, 13 | Feb 10, 14 | 259.36 | 228 98 | | | 30 38 |
| ILLINOIS TOOL WORKS INC | FIFO | 7.000 | Mar 11, 13 | Feb 10, 14 | 550 85 | 437 41 | | | 113 44 |
| INTEL CORP | FIFO | 26.000 | Mar 11, 13 | Feb 10, 14 | 628.15 | 560.47 | | | 67 68 |
| INVESCO LTD | FIFO | 27 000 | Feb 10, 14 | Aug 11, 14 | 1,037 17 | 927 55 | | | 109.62 |
| JOHNSON & JOHNSON COM | FIFO | 2 000 | Mar 11, 13 | Feb 10, 14 | 181 62 | 156 71 | | | 24 91 |
| MEDTRONIC INC | FIFO | 2 000 | Mar 11, 13 | Feb 10, 14 | 111 45 | 91 93 | | | 19 52 |
| MICROSOFT CORP | FIFO | 38 000 | Dec 09, 13 | Aug 11, 14 | 1,638 52 | 1,471 23 | | | 167 29 |
| NEXTERA ENERGY INC COM | FIFO | 13 000 | Mar 11, 13 | Feb 10, 14 | 1,185 06 | 963 13 | | | 221 93 |
| NORDSTROM INC | FIFO | 1.000 | Aug 12, 13 | Feb 10, 14 | 58.54 | 60 29 | | -1.75 | |
| | FIFO | 25 000 | Aug 12, 13 | Aug 11, 14 | 1,726 47 | 1,507 25 | | | 219 22 |
| NORTHEAST UTILITIES | FIFO | 22 000 | Mar 11, 13 | Feb 10, 14 | 961.87 | 929 41 | | | 32.46 |
| NOVARTIS AG SPON ADR | FIFO | 13 000 | Mar 11, 13 | Feb 10, 14 | 1,046 13 | 895 79 | | | 150 34 |
| SANOFI SPON ADR | FIFO | 183 000 | Mar 11, 13 | Feb 10, 14 | 8,823 53 | 9,013 10 | | -189 57 | |
| | FIFO | 44 000 | Nov 11, 13 | Feb 10, 14 | 2,121 50 | 2,331 37 | | -209 87 | |
| STANLEY BLACK & DECKER INC COM | FIFO | 3 000 | Aug 12, 13 | Feb 10, 14 | 236 87 | 263 57 | | -26 70 | |
| | FIFO | 12 000 | Aug 12, 13 | Aug 11, 14 | 1,065 90 | 1,054 29 | | | 11 61 |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | FIFO | 226 000 | Mar 11, 13 | Feb 10, 14 | 9,900 06 | 9,036 84 | | | 863 22 |
| | FIFO | 98 000 | Nov 11, 13 | Feb 10, 14 | 4,292 94 | 3,637 74 | | | 655 20 |
| TRAVELERS COS INC/THE | FIFO | 8.000 | Feb 10, 14 | Aug 11, 14 | 725 06 | 658 10 | | | 66.96 |
| | FIFO | 7.000 | Feb 10, 14 | Dec 15, 14 | 721 68 | 575 84 | | | 145 84 |

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# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| UNILEVER PLC AMER SHS NEW SPON ADR | FIFO | 12 000 | Mar 11, 13 | Feb 10, 14 | 471 51 | 491 28 | | -19 77 | |
| | FIFO | 207 000 | Mar 11, 13 | Mar 11, 14 | 8,235 93 | 8,474 56 | | -238 63 | |
| | FIFO | 82 000 | Nov 11, 13 | Mar 11, 14 | 3,262 54 | 3,260 31 | | | 2 23 |
| UNION PACIFIC CORP | FIFO | 4 000 | Apr 16, 14 | Aug 11, 14 | 399 07 | 375 24 | | | 23 83 |
| | FIFO | 6 000 | Apr 16, 14 | Dec 15, 14 | 674 99 | 562 85 | | | 112.14 |
| UNITED PARCEL SERVICE INC CL B | FIFO | 1.000 | Mar 11, 13 | Feb 10, 14 | 94 76 | 84.72 | | | 10 04 |
| UNTD TECHNOLOGIES CORP | FIFO | 9 000 | Mar 11, 13 | Feb 10, 14 | 1,001 08 | 835.47 | | | 165 61 |
| VF CORP | FIFO | 29.000 | Mar 11, 13 | Feb 10, 14 | 1,715.06 | 1,174 36 | | | 540.70 |
| YUM! BRANDS INC | FIFO | 9.000 | Nov 11, 13 | Feb 10, 14 | 652 76 | 652 57 | | | 0 19 |
| **Total** | | | | | **$58,729.65** | **$55,566.61** | | **-$776.86** | **$3,939.90** |
| **Net short-term capital gains and losses** | | | | | | | | | **$3,163.04** |

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| BRITISH AMER TOBACCO PLC GB SPON ADR | FIFO | 16 000 | Mar 11, 13 | Aug 11, 14 | 1,856.60 | 1,732.96 | | | 123 64 |
| CALIFORNIA RESOURCES CORP | FIFO | 0 800 | Mar 11, 13 | Dec 01, 14 | 5 19 | 5 77 | | -0 58 | |
| | FIFO | 39 600 | Mar 11, 13 | Dec 09, 14 | 236 01 | 285 74 | | -49 73 | |
| | FIFO | 6 000 | Nov 11, 13 | Dec 09, 14 | 35 76 | 50 56 | | -14 80 | |
| CLOROX CO | FIFO | 106 000 | Mar 11, 13 | May 06, 14 | 9,225 44 | 8,964.09 | | | 261 35 |
| COCA COLA CO COM | FIFO | 2 000 | Mar 11, 13 | Aug 11, 14 | 79 41 | 78 56 | | | 0 85 |
| | FIFO | 225 000 | Mar 11, 13 | Dec 15, 14 | 9,209 04 | 8,837 99 | | | 371.05 |
| | FIFO | 70 000 | Nov 11, 13 | Dec 15, 14 | 2,865 04 | 2,789 26 | | | 75 78 |
| COLGATE PALMOLIVE CO | FIFO | 6.000 | Mar 11, 13 | Aug 11, 14 | 392.11 | 346 59 | | | 45 52 |
| CSX CORPORATION | FIFO | 360.000 | Mar 11, 13 | Apr 16, 14 | 9,911 93 | 8,401 21 | | | 1,510 72 |

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# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ILLINOIS TOOL WORKS INC | FIFO | 6 000 | Mar 11, 13 | Aug 11, 14 | 507 67 | 374.92 | | | 132 75 |
| | FIFO | 2 000 | Mar 11, 13 | Dec 15, 14 | 185.70 | 124 97 | | | 60 73 |
| INTEL CORP | FIFO | 115 000 | Mar 11, 13 | Aug 11, 14 | 3,792 95 | 2,478 98 | | | 1,313.97 |
| | FIFO | 7 000 | Mar 11, 13 | Dec 15, 14 | 254 02 | 150 90 | | | 103 12 |
| JOHNSON & JOHNSON COM | FIFO | 9 000 | Mar 11, 13 | Aug 11, 14 | 910 99 | 705 20 | | | 205 79 |
| MEDTRONIC INC | FIFO | 16 000 | Mar 11, 13 | Aug 11, 14 | 994 38 | 735 47 | | | 258 91 |
| | FIFO | 14 000 | Mar 11, 13 | Dec 15, 14 | 1,016 14 | 643 54 | | | 372 60 |
| MICROSOFT CORP | FIFO | 4.000 | Dec 09, 13 | Dec 15, 14 | 188 24 | 154 86 | | | 33 38 |
| NEXTERA ENERGY INC COM | FIFO | 1 000 | Mar 11, 13 | Aug 11, 14 | 94 92 | 74 08 | | | 20 84 |
| NORDSTROM INC | FIFO | 1.000 | Aug 12, 13 | Dec 15, 14 | 75.24 | 60 29 | | | 14 95 |
| NORTHEAST UTILITIES | FIFO | 21 000 | Mar 11, 13 | Dec 15, 14 | 1,065.73 | 887 16 | | | 178 57 |
| NOVARTIS AG SPON ADR | FIFO | 4.000 | Mar 11, 13 | Aug 11, 14 | 342.99 | 275.62 | | | 67 37 |
| OCCIDENTAL PETROLEUM CRP | FIFO | 8.000 | Mar 11, 13 | Aug 11, 14 | 796 86 | 662 56 | | | 134 30 |
| UNITED PARCEL SERVICE INC CL B | FIFO | 8 000 | Mar 11, 13 | Dec 15, 14 | 887 25 | 677 72 | | | 209 53 |
| VF CORP | FIFO | 4 000 | Mar 11, 13 | Aug 11, 14 | 250 53 | 161.98 | | | 88 55 |
| | FIFO | 13 000 | Mar 11, 13 | Dec 15, 14 | 942 79 | 526 43 | | | 416 36 |
| **Total** | | | | | **$46,122.93** | **$40,187.41** | | **-$65.11** | **$6,000.63** |
| **Net long-term capital gains or losses** | | | | | | | | | **$5,935.52** |
| **Net capital gains/losses:** | | | | | | | | | **$9,098.56** |