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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>FAITH VENTURES FOUNDATION, INC.</b>                                                                                                                                                                                                                                                    |                                                                                                                                                  | A Employer identification number<br><b>82-0554456</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>748 IRON MOUNTAIN ROAD</b>                                                                                                                                                                                              | Room/suite                                                                                                                                       | B Telephone number<br><b>7703458252</b>                                                                                                                                      |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>CANTON, GA 30115</b>                                                                                                                                                                                                             |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><input checked="" type="checkbox"/> \$ <b>7,879,132.</b>                                                                                                                                                                  | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                     | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|----------|
| Revenue                                                                                                                                            | 1 Contributions, gifts, grants, etc., received                                      | 8,200.                             |                           | N/A                     |                                                             |          |
|                                                                                                                                                    | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                | 4,820.                             | 4,820.                    |                         | STATEMENT 1                                                 |          |
|                                                                                                                                                    | 4 Dividends and interest from securities                                            | 260,250.                           | 260,250.                  |                         | STATEMENT 2                                                 |          |
|                                                                                                                                                    | 5a Gross rents                                                                      |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | b Net rental income or (loss)                                                       |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                            | <173,712.>                         |                           |                         |                                                             |          |
|                                                                                                                                                    | b Gross sales price for all assets on line 6a                                       | 2,592,139.                         |                           |                         |                                                             |          |
|                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                    |                                    | 0.                        |                         |                                                             |          |
|                                                                                                                                                    | 8 Net short-term capital gain                                                       |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 9 Income modifications                                                              |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 10a Gross sales less returns and allowances                                         |                                    |                           |                         |                                                             |          |
| b Less Cost of goods sold                                                                                                                          |                                                                                     |                                    |                           |                         |                                                             |          |
| c Gross profit or (loss)                                                                                                                           |                                                                                     |                                    |                           |                         |                                                             |          |
| 11 Other income                                                                                                                                    | 8,603.                                                                              | 8,603.                             |                           | STATEMENT 3             |                                                             |          |
| 12 Total. Add lines 1 through 11                                                                                                                   | 108,161.                                                                            | 273,673.                           |                           |                         |                                                             |          |
| Operating and Administrative Expenses                                                                                                              | 13 Compensation of officers, directors, trustees, etc.                              | 0.                                 | 0.                        |                         | 0.                                                          |          |
|                                                                                                                                                    | 14 Other employee salaries and wages                                                |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 15 Pension plans, employee benefits                                                 |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 16a Legal fees                                                                      |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | b Accounting fees                                                                   | STMT 4                             | 4,415.                    | 4,415.                  |                                                             | 0.       |
|                                                                                                                                                    | c Other professional fees                                                           |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 17 Interest                                                                         |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 18 Taxes                                                                            | STMT 5                             | 6,863.                    | 6,863.                  |                                                             | 0.       |
|                                                                                                                                                    | 19 Depreciation and depletion                                                       |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 20 Occupancy                                                                        |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 21 Travel, conferences, and meetings                                                |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 22 Printing and publications                                                        |                                    |                           |                         |                                                             |          |
|                                                                                                                                                    | 23 Other expenses                                                                   | STMT 6                             | 75,466.                   | 57,380.                 |                                                             | 0.       |
|                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23             |                                    | 86,744.                   | 68,658.                 |                                                             | 0.       |
|                                                                                                                                                    | 25 Contributions, gifts, grants paid                                                |                                    | 359,635.                  |                         |                                                             | 359,635. |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           |                                                                                     | 446,379.                           | 68,658.                   |                         | 359,635.                                                    |          |
| 27 Subtract line 26 from line 12.                                                                                                                  |                                                                                     |                                    |                           |                         |                                                             |          |
| a Excess of revenue over expenses and disbursements                                                                                                |                                                                                     | <338,218.>                         |                           |                         |                                                             |          |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                                                                     |                                    | 205,015.                  |                         |                                                             |          |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |                                                                                     |                                    |                           | N/A                     |                                                             |          |

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014)

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2014.03040 FAITH VENTURES FOUNDATION,

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| Part II Balance Sheets                                                                           |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |                |                       |
|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                                                                                  |                                                                                           | Beginning of year                                                                               | End of year    |                       |
|                                                                                                  |                                                                                           | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                             | 122,242.                                                                                        | 236,591.       | 236,591.              |
|                                                                                                  | 2 Savings and temporary cash investments                                                  |                                                                                                 |                |                       |
|                                                                                                  | 3 Accounts receivable ▶                                                                   |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 4 Pledges receivable ▶                                                                    |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 5 Grants receivable                                                                       |                                                                                                 |                |                       |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |                                                                                                 |                |                       |
|                                                                                                  | 7 Other notes and loans receivable ▶                                                      |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 8 Inventories for sale or use                                                             |                                                                                                 |                |                       |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                   |                                                                                                 |                |                       |
|                                                                                                  | 10a Investments - U.S. and state government obligations                                   |                                                                                                 |                |                       |
|                                                                                                  | b Investments - corporate stock                                                           | STMT 7 6,697,436.                                                                               | 6,244,869.     | 7,642,541.            |
|                                                                                                  | c Investments - corporate bonds                                                           |                                                                                                 |                |                       |
|                                                                                                  | Liabilities                                                                               | 11 Investments - land, buildings, and equipment basis ▶                                         |                |                       |
| Less: accumulated depreciation ▶                                                                 |                                                                                           |                                                                                                 |                |                       |
| 12 Investments - mortgage loans                                                                  |                                                                                           |                                                                                                 |                |                       |
| 13 Investments - other                                                                           |                                                                                           |                                                                                                 |                |                       |
| 14 Land, buildings, and equipment: basis ▶                                                       |                                                                                           |                                                                                                 |                |                       |
| Less: accumulated depreciation ▶                                                                 |                                                                                           |                                                                                                 |                |                       |
| 15 Other assets (describe ▶)                                                                     |                                                                                           |                                                                                                 |                |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) |                                                                                           | 6,819,678.                                                                                      | 6,481,460.     | 7,879,132.            |
| 17 Accounts payable and accrued expenses                                                         |                                                                                           |                                                                                                 |                |                       |
| 18 Grants payable                                                                                |                                                                                           |                                                                                                 |                |                       |
| Net Assets or Fund Balances                                                                      | 19 Deferred revenue                                                                       |                                                                                                 |                |                       |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons               |                                                                                                 |                |                       |
|                                                                                                  | 21 Mortgages and other notes payable                                                      |                                                                                                 |                |                       |
|                                                                                                  | 22 Other liabilities (describe ▶)                                                         |                                                                                                 |                |                       |
| 23 Total liabilities (add lines 17 through 22)                                                   | 0.                                                                                        | 0.                                                                                              |                |                       |
| Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                          | 24 Unrestricted                                                                           |                                                                                                 |                |                       |
|                                                                                                  | 25 Temporarily restricted                                                                 |                                                                                                 |                |                       |
|                                                                                                  | 26 Permanently restricted                                                                 |                                                                                                 |                |                       |
|                                                                                                  | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |                |                       |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                       | 0.                                                                                              | 0.             |                       |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                         | 0.                                                                                              | 0.             |                       |
|                                                                                                  | 29 Retained earnings, accumulated income, endowment, or other funds                       | 6,819,678.                                                                                      | 6,481,460.     |                       |
|                                                                                                  | 30 Total net assets or fund balances                                                      | 6,819,678.                                                                                      | 6,481,460.     |                       |
| 31 Total liabilities and net assets/fund balances                                                | 6,819,678.                                                                                | 6,481,460.                                                                                      |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 6,819,678. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | <338,218.> |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 6,481,460. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 6,481,460. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                          |                                                  |                                      |                                  |
| c                                                                                                                                 |                                                  |                                      |                                  |
| d                                                                                                                                 |                                                  |                                      |                                  |
| e                                                                                                                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 2,592,139.          |                                            | 2,765,851.                                      | <173,712.>                                   |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 |                                                                                                 |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | <173,712.>                                                                                      |

|                                                                                                                                                                                |                                                                                   |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | <173,712.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 |                                                                                   | 3 | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2013                                                                 | 328,449.                                 | 6,665,793.                                   | .049274                                                     |
| 2012                                                                 | 231,872.                                 | 5,170,667.                                   | .044844                                                     |
| 2011                                                                 | 164,814.                                 | 5,158,333.                                   | .031951                                                     |
| 2010                                                                 | 115,507.                                 | 4,695,169.                                   | .024601                                                     |
| 2009                                                                 | 402,845.                                 | 3,812,269.                                   | .105671                                                     |

|                                                                                                                                                                                                                         |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                           | 2 | .256341    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          | 3 | .051268    |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5                                                                                                                                          | 4 | 7,527,904. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             | 5 | 385,941.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | 6 | 2,050.     |
| 7 Add lines 5 and 6                                                                                                                                                                                                     | 7 | 387,991.   |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | 8 | 359,635.   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |   |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |   |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1 | 4,100. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |   |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2 | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3 | 4,100. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4 | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5 | 4,100. |
| 6 Credits/Payments.                                                                                                                                                                                                                    |    |   |        |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014                                                                                                                                                                    | 6a |   |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |   |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |   |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |   |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  |   | 0.     |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |   | 93.    |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |   | 4,193. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 |   |        |
| 11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                        | 11 |   |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                        |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                         |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                                                                         |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                             |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                           |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           |     | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                            | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA                                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          |     | X  |

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**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                                          |    |     |         |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                                                  | 11 |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                                                 | 12 |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A                                                                                                                                                                                                             | 13 | X   |         |
| 14 | The books are in care of ► ROBERTA CABLE<br>Located at ► 748 IRON MOUNTAIN RD, CANTON, GA<br>Telephone no. ► 770-345-8252<br>ZIP+4 ► 30115                                                                                                                                                                                                               |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>15 N/A                                                                                                                                                            |    |     |         |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |      |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A                                                                 | 1b   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |      |
| a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                       | N/A                                                                 | 2b   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |      |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) | N/A                                                                 | 3b   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?                                                                                                                                                                                                                                                               |                                                                     | 4b X |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 8      |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
| 2     |          |
|       |          |
| 3     |          |
|       |          |
| 4     |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |

Total. Add lines 1 through 3

0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 7,342,138. |
| b | Average of monthly cash balances                                                                            | 1b | 300,404.   |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 7,642,542. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 7,642,542. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 114,638.   |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 7,527,904. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 376,395.   |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 376,395. |
| 2a | Tax on investment income for 2014 from Part VI, line 5                                                    | 2a | 4,100.   |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 4,100.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 372,295. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 372,295. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 372,295. |

**Part XII****Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 359,635. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 359,635. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.       |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 359,635. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7                                                                                                                       |               |                            |             | 372,295.    |
| 2 Undistributed income, if any, as of the end of 2014                                                                                                                      |               |                            |             |             |
| a Enter amount for 2013 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2014.                                                                                                                         |               |                            |             |             |
| a From 2009                                                                                                                                                                | 216,068.      |                            |             |             |
| b From 2010                                                                                                                                                                |               |                            |             |             |
| c From 2011                                                                                                                                                                |               |                            |             |             |
| d From 2012                                                                                                                                                                |               |                            |             |             |
| e From 2013                                                                                                                                                                | 301.          |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 216,369.      |                            |             |             |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$                                                                                                            | 359,635.      |                            |             |             |
| a Applied to 2013, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2014 distributable amount                                                                                                                                     |               |                            |             | 359,635.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 12,660.       |                            |             | 12,660.     |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 203,709.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7                                                                                                 | 203,408.      |                            |             |             |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a                                                                                              | 301.          |                            |             |             |
| 10 Analysis of line 9.                                                                                                                                                     |               |                            |             |             |
| a Excess from 2010                                                                                                                                                         |               |                            |             |             |
| b Excess from 2011                                                                                                                                                         |               |                            |             |             |
| c Excess from 2012                                                                                                                                                         |               |                            |             |             |
| d Excess from 2013                                                                                                                                                         | 301.          |                            |             |             |
| e Excess from 2014                                                                                                                                                         |               |                            |             |             |

|          |                                                                             |
|----------|-----------------------------------------------------------------------------|
| Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) |
|----------|-----------------------------------------------------------------------------|

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

(4) Gross investment income

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- ### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                         | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount          |
|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-----------------|
| <b>a Paid during the year</b>                                                            |                                                                                                                    |                                      |                                     |                 |
| AFRICA GOSPEL CHURCH<br>POST OFFICE BOX 458                      KERICHO<br>20200, KENYA |                                                                                                                    |                                      |                                     | 100,000.        |
| BIRMINGHAM UNITED METHODIST<br>15770 BIRMINGHAM HIGHWAY ALPHARETTA,<br>GA 30004          |                                                                                                                    |                                      |                                     | 10,000.         |
| ALL NATIONS<br>12411 WORNALL ROAD      KANSAS CITY,<br>MISSOURI 64145                    |                                                                                                                    |                                      |                                     | 4,000.          |
| ASBURY SEMINARY<br>204 N. LEXINGTON AVE      WILMORE, KY<br>40390                        |                                                                                                                    |                                      |                                     | 2,000.          |
| CAMPUS CRUSADE FOR CHRIST<br>POST OFFICE BOX 628222      ORLANDO, FL<br>32862            |                                                                                                                    |                                      |                                     | 7,000.          |
| <b>Total</b>                                                                             | <b>SEE CONTINUATION SHEET(S)</b>                                                                                   |                                      |                                     | <b>359,635.</b> |
| <b>b Approved for future payment</b>                                                     |                                                                                                                    |                                      |                                     |                 |
| NONE                                                                                     |                                                                                                                    |                                      |                                     |                 |
|                                                                                          |                                                                                                                    |                                      |                                     |                 |
|                                                                                          |                                                                                                                    |                                      |                                     |                 |
|                                                                                          |                                                                                                                    |                                      |                                     |                 |
| <b>Total</b>                                                                             |                                                                                                                    |                                      |                                     | <b>0.</b>       |



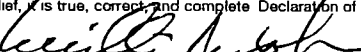
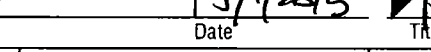
**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

|          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes          | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |              |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | <b>1a(1)</b> | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b If "Yes," complete the following schedule. |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A                                          |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

|                                                                         |                                                                                                                                                                                                                                                                                                                        |  |                                                                                      |  |                                                                                                                                                    |                                                 |           |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------|
| Sign Here                                                               | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. |  |                                                                                      |  | May the IRS discuss this return with the preparer shown below (see instr.)?<br><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |                                                 |           |
|                                                                         | Signature of officer or trustee                                                                                                                                                                                                     |  | Date <u>5/1/2015</u>                                                                 |  | Title <u>Pres.</u>                                                                                                                                 |                                                 |           |
| Paid Preparer Use Only                                                  | Print/Type preparer's name                                                                                                                                                                                                                                                                                             |  | Preparer's signature                                                                 |  | Date                                                                                                                                               | Check <input type="checkbox"/> if self-employed | PTIN      |
|                                                                         | DAVID J. SCHUCHMANN                                                                                                                                                                                                                                                                                                    |  |  |  | 5/1/15                                                                                                                                             |                                                 | P01240918 |
|                                                                         | Firm's name ▶ CBIZ MHM, LLC                                                                                                                                                                                                                                                                                            |  |                                                                                      |  |                                                                                                                                                    | Firm's EIN ▶ 34-1851358                         |           |
| Firm's address ▶ 3625 CUMBERLAND BLVD SE SUITE 800<br>ATLANTA, GA 30339 |                                                                                                                                                                                                                                                                                                                        |  |                                                                                      |  | Phone no. 770-858-4500                                                                                                                             |                                                 |           |

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

FAITH VENTURES FOUNDATION, INC.

Employer identification number

82-0554456

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

|                                        |                                |
|----------------------------------------|--------------------------------|
| Name of organization                   | Employer identification number |
| <b>FAITH VENTURES FOUNDATION, INC.</b> | <b>82-0554456</b>              |

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                 | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|-----------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>1</u>   | <u>MATTHEW JOHNSON</u><br><u>209 OREGON STREET</u><br><u>GREENVILLE, SC 29605</u> | \$ <u>3,200.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>2</u>   | <u>JEREL LITTLE</u><br><u>POB 10110</u><br><u>BIG CANOE, GA 30143</u>             | \$ <u>5,000.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

Name of organization

Employer identification number

**FAITH VENTURES FOUNDATION, INC.****82-0554456****Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|----------------------------------------------|------------------------------------------------|----------------------|
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |

|                                                                |                                                     |
|----------------------------------------------------------------|-----------------------------------------------------|
| Name of organization<br><b>FAITH VENTURES FOUNDATION, INC.</b> | Employer identification number<br><b>82-0554456</b> |
|----------------------------------------------------------------|-----------------------------------------------------|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$  
Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-----------------|------------------------------------------|
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |

FAITH VENTURES FOUNDATION, INC.

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs MLC Co. |                          | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                  | UBS 25898 - SEE ATTACHED |                                                  |                                      |                                  |
| b                                                                                                                                   | UBS 26040 - SEE ATTACHED |                                                  |                                      |                                  |
| c                                                                                                                                   | UBS 26040 - SEE ATTACHED |                                                  |                                      |                                  |
| d                                                                                                                                   | UBS 26036 - SEE ATTACHED |                                                  |                                      |                                  |
| e                                                                                                                                   | UBS 26036 - SEE ATTACHED |                                                  |                                      |                                  |
| f                                                                                                                                   | UBS 26036 - SEE ATTACHED |                                                  |                                      |                                  |
| g                                                                                                                                   | UBS 26039 - SEE ATTACHED |                                                  |                                      |                                  |
| h                                                                                                                                   | UBS 26039 - SEE ATTACHED |                                                  |                                      |                                  |
| i                                                                                                                                   | CAPITAL GAINS DIVIDENDS  |                                                  |                                      |                                  |
| j                                                                                                                                   |                          |                                                  |                                      |                                  |
| k                                                                                                                                   |                          |                                                  |                                      |                                  |
| l                                                                                                                                   |                          |                                                  |                                      |                                  |
| m                                                                                                                                   |                          |                                                  |                                      |                                  |
| n                                                                                                                                   |                          |                                                  |                                      |                                  |
| o                                                                                                                                   |                          |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     | 982,460.                                   | 1,250,202.                                      | <267,742.>                                   |
| b                     | 12,986.                                    | 11,430.                                         | 1,556.                                       |
| c                     | 147,882.                                   | 93,067.                                         | 54,815.                                      |
| d                     | 240,039.                                   | 242,592.                                        | <2,553.>                                     |
| e                     | 669,676.                                   | 691,520.                                        | <21,844.>                                    |
| f                     | 121,313.                                   | 121,313.                                        | 0.                                           |
| g                     | 76,753.                                    | 80,727.                                         | <3,974.>                                     |
| h                     | 241,225.                                   | 275,000.                                        | <33,775.>                                    |
| i                     | 99,805.                                    |                                                 | 99,805.                                      |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | <267,742.>                                                                                              |
| b                         |                                      |                                                 | 1,556.                                                                                                  |
| c                         |                                      |                                                 | 54,815.                                                                                                 |
| d                         |                                      |                                                 | <2,553.>                                                                                                |
| e                         |                                      |                                                 | <21,844.>                                                                                               |
| f                         |                                      |                                                 | 0.                                                                                                      |
| g                         |                                      |                                                 | <3,974.>                                                                                                |
| h                         |                                      |                                                 | <33,775.>                                                                                               |
| i                         |                                      |                                                 | 99,805.                                                                                                 |
| j                         |                                      |                                                 |                                                                                                         |
| k                         |                                      |                                                 |                                                                                                         |
| l                         |                                      |                                                 |                                                                                                         |
| m                         |                                      |                                                 |                                                                                                         |
| n                         |                                      |                                                 |                                                                                                         |
| o                         |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                    |   |            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                              | 2 | <173,712.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A        |

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                        | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| MENTORING MINISTRIES INC.<br>240 STEPPING STONE DRIVE,<br>ALPHARETTA, GA 30004          |                                                                                                                    |                                      |                                     | 1,000.   |
| METHODIST HOUR<br>5020 OLD ELLIS POINTE, ST 200<br>ROSWELL, GA 30076                    |                                                                                                                    |                                      |                                     | 1,000.   |
| FRIENDS WEST AFRICA THEOLOGICAL<br>SEMINARY<br>POST OFFICE BOX 1199<br>MOLINE, IL 61266 |                                                                                                                    |                                      |                                     | 10,000.  |
| GOD'S GRACE FOR ALL NATIONS<br>POST OFFICE BOX 2046 NYAHURURU 20320,<br>KENYA           |                                                                                                                    |                                      |                                     | 58,200.  |
| MT. BETHEL UNITED METHODIST CHURCH<br>4385 LOWER ROSWELL ROAD<br>MARIETTA, GA 30068     |                                                                                                                    |                                      |                                     | 175.     |
| ILI<br>PO BOX 1005 CARROLLTON, GA 30112                                                 |                                                                                                                    |                                      |                                     | 10,860.  |
| INTERNATIONAL JUSTICE MISSION<br>POST OFFICE BOX 58147 WASHINGTON, DC<br>20037          |                                                                                                                    |                                      |                                     | 3,000.   |
| MISSION SOCIETY FOR UNITED METHODISTS<br>POST OFFICE BOX 922637 NORCROSS,<br>GA 30010   |                                                                                                                    |                                      |                                     | 62,500.  |
| MOODY BIBLE INSTITUTE<br>820 N LASALLE BLVD.<br>CHICAGO, IL 60610                       |                                                                                                                    |                                      |                                     | 5,000.   |
| OLIVE BRANCH<br>POST OFFICE BOX 10839 NAZARETH 16000                                    |                                                                                                                    |                                      |                                     | 17,000.  |
| Total from continuation sheets                                                          |                                                                                                                    |                                      |                                     | 236,635. |



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| UBS FINANCIAL           | 4,820.                      | 4,820.                          |                               |
| TOTAL TO PART I, LINE 3 | 4,820.                      | 4,820.                          |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| UBS FINANCIAL     | 360,055.        | 99,805.                       | 260,250.                    | 260,250.                          |                               |
| TO PART I, LINE 4 | 360,055.        | 99,805.                       | 260,250.                    | 260,250.                          |                               |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| OTHER INVESTMENT INCOME               | 8,603.                      | 8,603.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 8,603.                      | 8,603.                            |                               |

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 4,415.                       | 4,415.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16B | 4,415.                       | 4,415.                            |                               | 0.                            |

|             |       |           |   |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 5 |
|-------------|-------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAXES               | 3,654.                       | 3,654.                            |                               | 0.                            |
| FEDERAL TAXES               | 3,209.                       | 3,209.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 6,863.                       | 6,863.                            |                               | 0.                            |

|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 6 |
|-------------|----------------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ADMINISTRATIVE FEES         | 47,166.                      | 47,166.                           |                               | 0.                            |
| INVESTMENT EXPENSES         | 220.                         | 220.                              |                               | 0.                            |
| OTHER EXPENSES              | 9,994.                       | 9,994.                            |                               | 0.                            |
| DUE DILLIGENCE              | 18,086.                      | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 75,466.                      | 57,380.                           |                               | 0.                            |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 7 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| UBS INVESTMENTS                         | 6,244,869. | 7,642,541.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 6,244,869. | 7,642,541.           |

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|             |                                                                             |           |   |
|-------------|-----------------------------------------------------------------------------|-----------|---|
| FORM 990-PF | PART VIII - LIST OF OFFICERS, DIRECTORS<br>TRUSTEES AND FOUNDATION MANAGERS | STATEMENT | 8 |
|-------------|-----------------------------------------------------------------------------|-----------|---|

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| NAME AND ADDRESS                                                                  | TITLE AND<br>AVRG HRS/WK       | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN<br>CONTRIB | EXPENSE<br>ACCOUNT |
|-----------------------------------------------------------------------------------|--------------------------------|-------------------|---------------------------------|--------------------|
| WILLIAM M JOHNSON<br>748 IRON MOUNTAIN ROAD<br>CANTON, GA 30115                   | PRESIDENT/TREASURER<br>0.00    | 0.                | 0.                              | 0.                 |
| PHYLLIS B JOHNSON<br>748 IRON MOUNTAIN ROAD<br>CANTON, GA 30115                   | V. PRESIDENT/SECRETARY<br>0.00 | 0.                | 0.                              | 0.                 |
| RUSSELL M JOHNSON<br>752 ELKMONT DRIVE<br>ATLANTA, GA 30306                       | TRUSTEE<br>0.00                | 0.                | 0.                              | 0.                 |
| RYAN W JOHNSON<br>6175 HICKORY FLAT HIGHWAY, SUITE<br>110-241<br>CANTON, GA 30115 | TRUSTEE<br>0.00                | 0.                | 0.                              | 0.                 |
| MATTHEW S JOHNSON<br>209 OREGON STREET<br>GREENVILLE, SC 29605                    | TRUSTEE<br>0.00                | 0.                | 0.                              | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                                      |                                | 0.                | 0.                              | 0.                 |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

## Proceeds Not Reported to the IRS

2014

## NOT reported on Form 1099-B

## SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

| Date sold or disposed                                                                   | Quantity  | Shown (Gross (N)et | Proceeds  | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------------------------|-----------|--------------------|-----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 018828205 / Symbol:                      |           |                    |           |               |                     |                               |                                          |                        |
| 5 tax lots for 09/28/14.                                                                |           |                    |           |               |                     |                               |                                          |                        |
|                                                                                         | 500.000   |                    | 6,105.00  | 10/04/13      | 6,525.00            | 0.00                          | -420.00                                  | Sale                   |
|                                                                                         | 420.000   |                    | 5,128.20  | 12/28/13      | 5,107.20            | 0.00                          | 21.00                                    | Sale                   |
|                                                                                         | 440.000   |                    | 5,372.40  | 02/11/14      | 5,376.80            | 0.00                          | -4.40                                    | Sale                   |
|                                                                                         | 50.000    |                    | 610.50    | 04/08/14      | 612.00              | 0.00                          | -1.50                                    | Sale                   |
|                                                                                         | 120.000   |                    | 1,465.20  | 08/19/14      | 1,482.00            | 0.00                          | -16.80                                   | Sale                   |
| 09/29/14                                                                                | 1,530.000 |                    | 18,681.30 | VARIOUS       | 19,103.00           | 0.00                          | -421.70                                  | Total of 5 lots        |
| ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 018828304 / Symbol:                      |           |                    |           |               |                     |                               |                                          |                        |
| 5 tax lots for 09/29/14.                                                                |           |                    |           |               |                     |                               |                                          |                        |
|                                                                                         | 600.000   |                    | 6,432.00  | 10/04/13      | 6,450.00            | 0.00                          | -18.00                                   | Sale                   |
|                                                                                         | 450.000   |                    | 4,824.00  | 12/28/13      | 4,747.50            | 0.00                          | 76.50                                    | Sale                   |
|                                                                                         | 490.000   |                    | 5,252.80  | 02/11/14      | 5,262.60            | 0.00                          | -9.80                                    | Sale                   |
|                                                                                         | 110.000   |                    | 1,179.20  | 04/18/14      | 1,175.90            | 0.00                          | 3.30                                     | Sale                   |
|                                                                                         | 120.000   |                    | 1,286.40  | 08/19/14      | 1,302.00            | 0.00                          | -15.60                                   | Sale                   |
| 09/29/14                                                                                | 1,770.000 |                    | 18,974.40 | VARIOUS       | 18,938.00           | 0.00                          | 36.40                                    | Total of 5 lots        |
| FILMC PL G08323 05.0000 DUE 02/01/39 FACTOR 0.132603000000 / CUSIP: 3126MJLD0 / Symbol: |           |                    |           |               |                     |                               |                                          |                        |
| 02/27/14                                                                                | 3,000.000 |                    | 528.57    | 09/18/13      | 525.23              | 0.00                          | 3.34                                     | Sale<br>Note: 25       |
| FILMC PL Q16844 03.5000 DUE 03/01/43 FACTOR 0.890778900000 / CUSIP: 3132J7ZJ4 / Symbol: |           |                    |           |               |                     |                               |                                          |                        |
| 02/27/14                                                                                | 1,000.000 |                    | 970.39    | 09/19/13      | 953.34              | 0.00                          | 17.05                                    | Sale<br>Note: 25       |
| FNMA PL AL0160 04.5000 DUE 05/01/41 FACTOR 0.398311880000 / CUSIP: 3138EGFA7 / Symbol:  |           |                    |           |               |                     |                               |                                          |                        |
| 02/27/14                                                                                | 2,000.000 |                    | 985.87    | 03/13/13      | 991.32              | 0.00                          | -5.45                                    | Sale<br>Note: 25       |
| FNMA PL AL1700 04.0000 DUE 09/01/41 FACTOR 0.545556830000 / CUSIP: 3138EH3J9 / Symbol:  |           |                    |           |               |                     |                               |                                          |                        |
| 02/27/14                                                                                | 1,000.000 |                    | 642.74    | 04/28/13      | 656.49              | 0.00                          | -13.75                                   | Sale<br>Note: 25       |
| FNMA PL AL0935 04.0000 DUE 05/01/41 FACTOR 0.466514240000 / CUSIP: 3138EHB03 / Symbol:  |           |                    |           |               |                     |                               |                                          |                        |
| 09/29/14                                                                                | 3,000.000 |                    | 1,526.99  | 04/09/14      | 1,515.26            | 0.00                          | 11.73                                    | Sale<br>Note: 25       |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

| Date sold or disposed                                                                            | Quantity  | Shown (Gross (Net) | Proceeds | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------------------|-----------|--------------------|----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FNMA PL AL2629 05.0000 DUE 08/01/39 FACTOR 0.407700960000 / CUSIP: 3138EJAP0 / Symbol: 02/27/14  | 9,000.000 | 5,039.83           | 5,039.83 | 03/18/13      | 4,989.82            | 0.00                          | 50.01                                    | Sale<br>Note: 25<br>C  |
| FNMA PL AL3424 04.0000 DUE 01/01/43 FACTOR 0.786739860000 / CUSIP: 3138EKYW9 / Symbol: 02/27/14  | 1,000.000 | 911.62             | 911.62   | 07/10/13      | 898.84              | 0.00                          | 12.78                                    | Sale<br>Note: 25<br>C  |
| FNMA PL 00AP990 03.5000 DUE 10/01/42 FACTOR 0.895194120000 / CUSIP: 3138MEAF7 / Symbol: 02/27/14 | 3,000.000 | 2,844.71           | 2,844.71 | 02/12/14      | 2,827.62            | 0.00                          | 17.09                                    | Sale<br>Note: 25       |
| FNMA PL AS0415 04.0000 DUE 09/01/43 FACTOR 0.875235460000 / CUSIP: 3138W9N97 / Symbol: 02/27/14  | 4,000.000 | 4,068.84           | 4,068.84 | 09/18/13      | 4,034.37            | 0.00                          | 34.27                                    | Sale<br>Note: 25<br>C  |
| FNMA PL AT6306 04.0000 DUE 08/01/43 FACTOR 0.942654840000 / CUSIP: 3138WUAG8 / Symbol: 02/27/14  | 2,000.000 | 2,060.91           | 2,060.91 | 12/16/13      | 2,039.56            | 0.00                          | 21.35                                    | Sale<br>Note: 25<br>C  |
| FNMA PL AU2833 03.6000 DUE 09/01/43 FACTOR 0.939515820000 / CUSIP: 3138X2HK3 / Symbol: 02/27/14  | 2,000.000 | 2,001.28           | 2,001.28 | 09/16/13      | 1,982.98            | 0.00                          | 38.30                                    | Sale<br>Note: 25<br>C  |
| FNMA PL AU3751 04.0000 DUE 08/01/43 FACTOR 0.900008210000 / CUSIP: 3138X3EZ1 / Symbol: 02/27/14  | 3,000.000 | 3,043.32           | 3,043.32 | 10/11/13      | 3,044.91            | 0.00                          | -1.59                                    | Sale<br>Note: 25<br>C  |
| FNMA PL AW5809 04.0000 DUE 07/01/44 FACTOR 0.993337070000 / CUSIP: 3138XVNZ0 / Symbol: 09/29/14  | 1,000.000 | 1,047.23           | 1,047.23 | 07/18/14      | 1,054.52            | 0.00                          | -7.29                                    | Sale<br>Note: 25       |
| FNMA PL AW7104 04.5000 DUE 07/01/44 FACTOR 0.733806610000 / CUSIP: 3138XW3N6 / Symbol: 09/29/14  | 1,000.000 | 1,073.17           | 1,073.17 | 08/25/14      | 1,080.03            | 0.00                          | -6.86                                    | Sale<br>Note: 25       |
| FNMA PL 745580 05.0000 DUE 06/01/36 FACTOR 0.098298810000 / CUSIP: 31403DJZ3 / Symbol: 02/27/14  | 6,000.000 | 802.62             | 802.62   | 09/27/13      | 794.14              | 0.00                          | 8.48                                     | Sale<br>Note: 25<br>C  |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

## Proceeds Not Reported to the IRS

(continued)

2014

## SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

| Date sold or disposed                                                                              | Quantity                 | Shown (Gross (Net) | Proceeds  | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                         |
|----------------------------------------------------------------------------------------------------|--------------------------|--------------------|-----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------------------------------|
| UNITED STATES TREASURY INFLATION PROTECTION BOND RATE 2.375 % / CUSIP: 912810FR4 / Symbol:         |                          |                    |           |               |                     |                               |                                          |                                                |
|                                                                                                    | 3 tax lots for 02/19/14. |                    |           |               |                     |                               |                                          |                                                |
|                                                                                                    | 3,000.000                |                    | 4,377.98  | 10/23/13      | 4,474.19            | 0.00                          | -96.21                                   | Sale<br>Note: 25<br>Original basis: \$4,512.81 |
|                                                                                                    | 3,000.000                |                    | 4,377.98  | 10/23/13      | 4,476.50            | 0.00                          | -98.52                                   | Sale<br>Note: 25<br>Original basis: \$4,515.14 |
|                                                                                                    | 3,000.000                |                    | 4,377.98  | 12/10/13      | 4,312.52            | 0.00                          | 65.46                                    | Sale<br>Note: 25<br>Original basis: \$4,359.69 |
| 02/19/14                                                                                           | 9,000.000                |                    | 13,133.94 | VARIOUS       | 13,263.21           | 0.00                          | -129.27                                  | Total of 3 lots                                |
| 07/14/14                                                                                           | 1,000.000                |                    | 1,524.61  | 08/17/14      | 1,505.15            | 0.00                          | 19.46                                    | Sale<br>Note: 25<br>Original basis: \$1,513.49 |
|                                                                                                    |                          |                    | 14,658.55 |               | 14,768.36           | 0.00                          | -109.81                                  |                                                |
| Security total:                                                                                    |                          |                    |           |               |                     |                               |                                          |                                                |
| UNITED STATES TREASURY NOTE ADJUSTABLE RATE 2.50 % MATURES 01/15/2029 / CUSIP: 912810PZ5 / Symbol: |                          |                    |           |               |                     |                               |                                          |                                                |
|                                                                                                    | 4 tax lots for 09/29/14. |                    |           |               |                     |                               |                                          |                                                |
|                                                                                                    | 4,000.000                |                    | 5,452.79  | 07/09/14      | 5,589.52            | 0.00                          | -136.73                                  | Sale<br>Note: 25<br>Original basis: \$5,621.10 |
|                                                                                                    | 1,000.000                |                    | 1,363.20  | 07/10/14      | 1,409.00            | 0.00                          | -45.80                                   | Sale<br>Note: 25<br>Original basis: \$1,417.08 |
|                                                                                                    | 3,000.000                |                    | 4,089.59  | 07/14/14      | 4,221.13            | 0.00                          | -131.54                                  | Sale<br>Note: 25<br>Original basis: \$4,206.75 |
|                                                                                                    | 1,000.000                |                    | 1,363.20  | 08/19/14      | 1,410.38            | 0.00                          | -47.18                                   | Sale<br>Note: 25<br>Original basis: \$1,412.59 |
| 09/29/14                                                                                           | 9,000.000                |                    | 12,268.78 | VARIOUS       | 12,630.03           | 0.00                          | -361.25                                  | Total of 4 lots                                |
|                                                                                                    |                          |                    |           |               |                     |                               |                                          |                                                |
| UNITED STATES TREASURY RATE 3.125 % MATURES 08/15/2044 / CUSIP: 912810RH3 / Symbol:                |                          |                    |           |               |                     |                               |                                          |                                                |
| 09/29/14                                                                                           | 1,000.000                |                    | 991.25    | 09/26/14      | 981.48              | 0.00                          | 9.77                                     | Sale<br>Original basis: \$981.48               |

UBS FINANCIAL SERVICES INC.

Account AT 26036

2014

# Proceeds Not Reported to the IRS

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

| Date sold or disposed                                                                              | Quantity   | Proceeds Shown (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7 - Loss not allowed(X) | Additional Information              |
|----------------------------------------------------------------------------------------------------|------------|------------------------------|---------------|---------------------|-------------------------------|-------------------------------------------|-------------------------------------|
| U S TREASURY INFL NOTE RATE 0.75 % MATURES 01/15/2017 / CUSIP: 912828A91 / Symbol: 06/12/14        | 5,000.000  | 5,002.73                     | 03/04/14      | 5,012.80            | 0.00                          | -10 17                                    | Sale<br>Original basis: \$5,014.26  |
| U S TREASURY NOTE RATE 3.625 % MATURES 02/15/2021 / CUSIP: 912828PX2 / Symbol: 09/29/14            | 10,000.000 | 10,919.53                    | VARIOUS       | 10,845.54           | 0.00                          | 73.99                                     | Total of 2 lots                     |
| 2 tax lots for 09/28/14.                                                                           | 4,000.000  | 4,367.81                     | 04/08/14      | 4,341.20            | 0.00                          | 26.61                                     | Sale<br>Original basis: \$4,365.00  |
|                                                                                                    | 6,000.000  | 6,551.72                     | 04/09/14      | 6,504.34            | 0.00                          | 47.38                                     | Sale<br>Original basis: \$6,539.30  |
|                                                                                                    |            |                              |               |                     | 0.00                          | 73.99                                     | Total of 2 lots                     |
| U S TREASURY INFL NOTE . NOTE RATE 2.00 % MATURES 11/15/2021 / CUSIP: 912828RR3 / Symbol: 02/27/14 | 29,000.000 | 28,458.52                    | VARIOUS       | 29,282.60           | 0.00                          | -824.08                                   | Sale<br>Original basis: \$2,073.59  |
| 6 tax lots for 02/27/14.                                                                           | 2,000.000  | 1,962.66                     | 05/07/13      | 2,067.00            | 0.00                          | -104.34                                   | Sale<br>Original basis: \$2,053.28  |
|                                                                                                    | 2,000.000  | 1,962.65                     | 05/14/13      | 2,048.64            | 0.00                          | -85.99                                    | Sale<br>Original basis: \$3,086.49  |
|                                                                                                    | 3,000.000  | 2,943.99                     | 05/21/13      | 3,079.11            | 0.00                          | -135.12                                   | Sale<br>Original basis: \$17,088.98 |
|                                                                                                    | 17,000.000 | 16,682.58                    | 08/11/13      | 17,081.88           | 0.00                          | -399.40                                   | Sale<br>Original basis: \$4,033.44  |
|                                                                                                    | 4,000.000  | 3,925.31                     | 08/18/13      | 4,030.87            | 0.00                          | -105.56                                   | Sale<br>Original basis: \$975.00    |
|                                                                                                    | 1,000.000  | 981.33                       | 08/25/13      | 975.00              | 0.00                          | 6.33                                      | Sale<br>Original basis: \$975.00    |
|                                                                                                    | 29,000.000 | 28,458.52                    | VARIOUS       | 29,282.60           | 0.00                          | -824.08                                   | Total of 6 lots                     |
| 2 tax lots for 08/29/14.                                                                           | 3,000.000  | 2,956.88                     | 02/11/14      | 2,921.95            | 0.00                          | 34.93                                     | Sale<br>Original basis: \$2,921.95  |
|                                                                                                    | 1,000.000  | 985.62                       | 06/24/14      | 981.60              | 0.00                          | 4.02                                      | Sale<br>Original basis: \$981.60    |
|                                                                                                    | 4,000.000  | 3,942.50                     | VARIOUS       | 3,903.55            | 0.00                          | 38.95                                     | Total of 2 lots                     |
| Security total:                                                                                    |            | 32,401.02                    |               | 33,186.15           | 0.00                          | -785.13                                   |                                     |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

## Proceeds Not Reported to the IRS

(continued)

2014

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

## Description of property

| Date sold or disposed                                                                    | Quantity        | Proceeds Shown (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                         |
|------------------------------------------------------------------------------------------|-----------------|------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------------------------------|
| 09/29/14                                                                                 | 1,000.000       | 1,012.46                     | 09/16/14      | 1,020.96            | 0.00                          | -8.50                                    | Sale<br>Note: 25<br>Original basis: \$1,021.34 |
| U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:  |                 |                              |               |                     |                               |                                          |                                                |
| 2 tax lots for 04/08/14.                                                                 |                 |                              |               |                     |                               |                                          |                                                |
|                                                                                          | 3,000.000       | 2,955.71                     | 07/09/13      | 2,934.73            | 0.00                          | 20.98                                    | Sale<br>Original basis: \$2,934.73             |
|                                                                                          | 1,000.000       | 985.23                       | 08/20/13      | 978.21              | 0.00                          | 7.02                                     | Sale<br>Original basis: \$978.21               |
| 04/08/14                                                                                 | 4,000.000       | 3,940.94                     | VARIOUS       | 3,912.94            | 0.00                          | 28.00                                    | Total of 2 lots                                |
| 3 tax lots for 09/29/14.                                                                 |                 |                              |               |                     |                               |                                          |                                                |
|                                                                                          | 3,000.000       | 2,963.44                     | 10/09/13      | 2,952.42            | 0.00                          | 11.02                                    | Sale<br>Original basis: \$2,952.42             |
|                                                                                          | 3,000.000       | 2,963.44                     | 12/30/13      | 2,948.55            | 0.00                          | 14.89                                    | Sale<br>Original basis: \$2,948.55             |
|                                                                                          | 1,000.000       | 987.81                       | 08/26/14      | 988.71              | 0.00                          | -0.90                                    | Sale<br>Original basis: \$988.71               |
| 09/29/14                                                                                 | 7,000.000       | 6,914.69                     | VARIOUS       | 6,889.68            | 0.00                          | 25.01                                    | Total of 3 lots                                |
|                                                                                          | Security total: | 10,855.63                    |               | 10,802.62           | 0.00                          | 53.01                                    |                                                |
| U S TREASURY INFL NOTE NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol: |                 |                              |               |                     |                               |                                          |                                                |
| 2 tax lots for 09/25/14.                                                                 |                 |                              |               |                     |                               |                                          |                                                |
|                                                                                          | 3,000.000       | 2,888.67                     | 12/10/13      | 2,873.55            | 0.00                          | 15.12                                    | Sale<br>Original basis: \$2,873.55             |
|                                                                                          | 1,000.000       | 962.89                       | 06/17/14      | 958.32              | 0.00                          | 4.57                                     | Sale<br>Original basis: \$958.32               |
| 09/25/14                                                                                 | 4,000.000       | 3,851.56                     | VARIOUS       | 3,831.87            | 0.00                          | 19.69                                    | Total of 2 lots                                |
| U S TREASURY INFL NOTE NOTE RATE 2.00 % MATURES 02/15/2023 / CUSIP: 912828UN8 / Symbol:  |                 |                              |               |                     |                               |                                          |                                                |
| 5 tax lots for 02/10/14.                                                                 |                 |                              |               |                     |                               |                                          |                                                |
|                                                                                          | 7,000.000       | 6,674.06                     | 03/12/13      | 6,988.24            | 0.00                          | -314.18                                  | Sale<br>Original basis: \$6,988.24             |
|                                                                                          | 20,000.000      | 19,068.76                    | 04/02/13      | 20,224.26           | 0.00                          | -1,155.50                                | Sale<br>Original basis: \$20,243.76            |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

2014

# Proceeds Not Reported to the IRS

(continued)

## SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

| Date sold or disposed                                                              | Quantity   | Proceeds (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information              |
|------------------------------------------------------------------------------------|------------|----------------------|---------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------|
| U S TREASURY INFL NOTE RATE 2.00 % MATURES 02/15/2023 / CUSIP: 912828UN8 / Symbol: | 3,000 000  | 2,860.31             | 08/06/13      | 2,849.41            | 0.00                          | 10.90                                    | Sale<br>Original basis: \$2,849.41  |
|                                                                                    | 1,000,000  | 953.44               | 08/20/13      | 933.63              | 0.00                          | 19.81                                    | Sale<br>Original basis: \$933.63    |
|                                                                                    | 3,000 000  | 2,860.31             | 12/10/13      | 2,830.90            | 0.00                          | 29.41                                    | Sale<br>Original basis: \$2,830.90  |
| 02/10/14                                                                           | 34,000 000 | 32,416.88            | VARIOUS       | 33,826.44           | 0.00                          | -1,409.56                                | Total of 5 lots                     |
| U S TREASURY INFL NOTE RATE 1.00 % MATURES 05/31/2018 / CUSIP: 912828VE7 / Symbol: | 38,000,000 | 37,652.66            | 02/12/14      | 37,459.69           | 0.00                          | 192.97                                   | Sale<br>Original basis: \$37,459.69 |
| 02/27/14                                                                           | 7,000 000  | 6,892.27             | 02/12/14      | 6,900.46            | 0.00                          | -8.19                                    | Sale<br>Original basis: \$6,900.46  |
| 2 tax lots for 08/25/14.                                                           |            |                      |               |                     |                               |                                          |                                     |
|                                                                                    | 5,000,000  | 4,928.95             | 02/12/14      | 4,928.91            | 0.00                          | -1.96                                    | Sale<br>Original basis: \$4,928.91  |
|                                                                                    | 1,000,000  | 985.39               | 04/15/14      | 987.03              | 0.00                          | -1.64                                    | Sale<br>Original basis: \$987.03    |
| 09/25/14                                                                           | 6,000,000  | 5,912.34             | VARIOUS       | 5,915.94            | 0.00                          | -3.60                                    | Total of 2 lots                     |
| Security total:                                                                    |            | 50,457.27            |               | 50,276.09           | 0.00                          | 181.18                                   |                                     |
| Totals:                                                                            |            | 240,039.25           |               | 242,591.87          | 0.00                          | -2,552.62                                |                                     |

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed                                              | Quantity  | Proceeds (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------|-----------|----------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 01882B205 / Symbol: |           |                      |               |                     |                               |                                          |                        |
| 8 tax lots for 02/26/14.                                           |           |                      |               |                     |                               |                                          |                        |
|                                                                    | 4,255,000 | 52,166.30            | 04/28/10      | 55,697.95           | 0.00                          | -3,531.65                                | Sale                   |
|                                                                    | 265 000   | 3,248.90             | 05/11/10      | 3,452.95            | 0.00                          | -204.05                                  | Sale                   |
|                                                                    | 290 000   | 3,555.40             | 06/03/10      | 3,743.90            | 0.00                          | -188.50                                  | Sale                   |
|                                                                    | 3,360,000 | 41,438.80            | 08/09/10      | 45,765.20           | 0.00                          | -4,326.40                                | Sale                   |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## LONG-TERM TRANSACTIONS

Report on Form 999, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

| Date sold or disposed                                                                   | Quantity        | Shown (Gross Net) | Proceeds (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------------------------|-----------------|-------------------|----------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 01882B205 / Symbol: (cont'd)             |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                                         | 830,000         | 10,175.80         | 10,175.80            | 12/30/10      | 10,466.30           | 0.00                          | -290.50                                  | Sale                   |
|                                                                                         | 1,310,000       | 16,060.60         | 16,060.60            | 02/18/11      | 16,794.20           | 0.00                          | -733.60                                  | Sale                   |
|                                                                                         | 200,000         | 2,452.00          | 2,452.00             | 08/16/11      | 2,606.00            | 0.00                          | -154.00                                  | Sale                   |
|                                                                                         | 445,000         | 5,455.70          | 5,455.70             | 09/30/11      | 5,477.95            | 0.00                          | -22.25                                   | Sale                   |
| 02/26/14                                                                                | 10,975,000      | 134,553.50        | 134,553.50           | VARIOUS       | 144,004.45          | 0.00                          | -9,450.95                                | Total of 8 lots        |
| 2 tax lots for 08/29/14.                                                                |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                                         | 460,000         | 5,616.60          | 5,616.60             | 08/12/13      | 5,993.80            | 0.00                          | -377.20                                  | Sale                   |
|                                                                                         | 480,000         | 5,882.90          | 5,882.90             | 09/11/13      | 6,272.00            | 0.00                          | -289.10                                  | Sale                   |
| 09/29/14                                                                                | 950,000         | 11,599.50         | 11,599.50            | VARIOUS       | 12,265.80           | 0.00                          | -666.30                                  | Total of 2 lots        |
|                                                                                         | Security total: | 146,153.00        | 146,153.00           |               | 156,270.25          | 0.00                          | -10,117.25                               |                        |
| ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 01882B304 / Symbol:                      |                 |                   |                      |               |                     |                               |                                          |                        |
| 6 tax lots for 02/26/14.                                                                |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                                         | 4,570,000       | 49,310.30         | 49,310.30            | 04/28/10      | 45,288.70           | 0.00                          | 4,021.60                                 | Sale                   |
|                                                                                         | 175,000         | 1,888.25          | 1,888.25             | 05/11/10      | 1,748.25            | 0.00                          | 140.00                                   | Sale                   |
|                                                                                         | 350,000         | 3,776.50          | 3,776.50             | 06/03/10      | 3,475.50            | 0.00                          | 301.00                                   | Sale                   |
|                                                                                         | 4,295,000       | 46,343.05         | 46,343.05            | 08/06/10      | 45,441.10           | 0.00                          | 901.95                                   | Sale                   |
|                                                                                         | 495,000         | 5,341.05          | 5,341.05             | 12/30/10      | 5,053.95            | 0.00                          | 287.10                                   | Sale                   |
|                                                                                         | 1,840,000       | 19,853.60         | 19,853.60            | 02/18/11      | 18,878.40           | 0.00                          | 975.20                                   | Sale                   |
| 02/26/14                                                                                | 11,725,000      | 126,512.75        | 126,512.75           | VARIOUS       | 119,885.90          | 0.00                          | 6,626.85                                 | Total of 6 lots        |
| 2 tax lots for 09/29/14.                                                                |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                                         | 500,000         | 5,360.00          | 5,360.00             | 08/12/13      | 5,370.00            | 0.00                          | -10.00                                   | Sale                   |
|                                                                                         | 550,000         | 5,896.00          | 5,896.00             | 09/11/13      | 5,786.00            | 0.00                          | 110.00                                   | Sale                   |
| 09/29/14                                                                                | 1,050,000       | 11,256.00         | 11,256.00            | VARIOUS       | 11,156.00           | 0.00                          | 100.00                                   | Total of 2 lots        |
|                                                                                         | Security total: | 137,768.75        | 137,768.75           |               | 131,041.80          | 0.00                          | 6,726.85                                 |                        |
| FHLMC PL A97046 04.5000 DUE 02/01/14 FACTOR 0.355481900000 / CUSIP: 312845ZK7 / Symbol: |                 |                   |                      |               |                     |                               |                                          |                        |
| 02/27/14                                                                                | 21,000,000      | 9,398.61          | 9,398.61             | 02/09/11      | 8,822.16            | 0.00                          | 576.45                                   | Sale                   |
| 09/29/14                                                                                | 16,000,000      | 6,448.67          | 6,448.67             | 02/09/11      | 6,018.04            | 0.00                          | 430.63                                   | Note: 25<br>Sale       |
|                                                                                         | Security total: | 15,847.28         | 15,847.28            |               | 14,840.20           | 0.00                          | 1,007.08                                 | Note: 25               |

C

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed                                                                            | Quantity   | Shown (Gross Net) | Proceeds | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------------------|------------|-------------------|----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FHLMC PL A97047 04.5000 DUE 02/01/41 FACTOR 0.389958300000 / CUSIP: 312845ZL5 / Symbol: 02/27/14 | 28,000.000 | 13,367.99         | 02/11/11 | 12,561.25     | 0.00                | 806.74                        | Sale<br>Note: 25                         | C                      |
| FNMA PL AH3645 04.0000 DUE 02/01/41 FACTOR 0.428775870000 / CUSIP: 3138A5BP6 / Symbol: 02/27/14  | 27,000.000 | 13,598.05         | 04/09/12 | 13,715.62     | 0.00                | -117.57                       | Sale<br>Note: 25                         | C                      |
| 04/07/14                                                                                         | 8,000.000  | 3,937.50          | 04/09/12 | 3,983.12      | 0.00                | -45.62                        | Sale<br>Note: 25                         |                        |
| 08/29/14                                                                                         | 24,000.000 | 11,282.77         | 04/09/12 | 11,312.87     | 0.00                | -30.10                        | Sale<br>Note: 25                         |                        |
| Security total:                                                                                  |            | 28,818.32         |          | 29,011.61     | 0.00                | -193.29                       | Sale<br>Note: 25                         |                        |
| FNMA PL AL2828 05.0000 DUE 06/01/39 FACTOR 0.407700960000 / CUSIP: 3138EJ4P0 / Symbol: 09/29/14  | 4,000.000  | 1,895.84          | 03/18/13 | 1,892.15      | 0.00                | 3.69                          | Sale<br>Note: 25                         |                        |
| FNMA PL AP7488 03.5000 DUE 09/01/42 FACTOR 0.795595930000 / CUSIP: 3138MBK3 / Symbol: 02/27/14   | 35,000.000 | 30,482.66         | 10/05/12 | 32,219.97     | 0.00                | -1,737.31                     | Sale<br>Note: 25                         | C                      |
| 09/29/14                                                                                         | 13,000.000 | 10,893.83         | 10/05/12 | 11,416.23     | 0.00                | -522.40                       | Sale<br>Note: 25                         |                        |
| Security total:                                                                                  |            | 41,376.49         |          | 43,638.20     | 0.00                | -2,259.71                     | Sale<br>Note: 25                         |                        |
| FNMA PL 995876 06.0000 DUE 11/01/38 FACTOR 0.098545660000 / CUSIP: 31416CJV9 / Symbol: 02/27/14  | 45,000.000 | 6,710.63          | 04/26/10 | 6,497.71      | 0.00                | 212.92                        | Sale<br>Note: 25                         | C                      |
| 09/29/14                                                                                         | 18,000.000 | 2,133.09          | 04/26/10 | 2,109.87      | 0.00                | 23.22                         | Sale<br>Note: 25                         |                        |
| Security total:                                                                                  |            | 8,843.72          |          | 8,607.58      | 0.00                | 236.14                        | Sale<br>Note: 25                         |                        |
| FNMA PL AB7079 03.0000 DUE 11/01/42 FACTOR 0.892459040000 / CUSIP: 31417D2M4 / Symbol: 02/07/14  | 32,000.000 | 28,309.94         | 11/07/12 | 31,755.57     | 0.00                | -2,445.63                     | Sale<br>Note: 25                         | C                      |
| FNMA PL AD8033 04.0000 DUE 08/01/40 FACTOR 0.345777180000 / CUSIP: 31418V4T6 / Symbol: 02/27/14  | 53,000.000 | 21,973.39         | 08/07/12 | 22,441.81     | 0.00                | -468.42                       | Sale<br>Note: 25                         | C                      |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

## Proceeds Not Reported to the IRS

(continued)

2014

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

| Date sold or disposed                                                                              | Quantity   | Shown (Gross (Net) | Proceeds  | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                          |
|----------------------------------------------------------------------------------------------------|------------|--------------------|-----------|---------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------------------|
| UNITED STATES TREASURY NOTE ADJUSTABLE RATE 2.50 % MATURES 01/15/2029 / CUSIP: 912810PZ5 / Symbol: | 3,000.000  | 3,940.33           | 3,940.33  | 07/13/12      | 4,655.27            | 0.00                          | -714.94                                  | Sale<br>Note: 25<br>Original basis: \$4,593.52  |
| 2 tax lots for 02/27/14.                                                                           | 2,000.000  | 2,658.38           | 2,658.38  | 07/13/12      | 3,103.43            | 0.00                          | -445.05                                  | Sale<br>Note: 25<br>Original basis: \$3,062.34  |
| 19,000.000                                                                                         | 25,254.65  | 25,254.65          | 25,254.65 | 12/27/12      | 29,746.32           | 0.00                          | -4,491.67                                | Sale<br>Note: 25<br>Original basis: \$29,748.26 |
| 02/27/14                                                                                           | 21,000.000 | 27,913.03          | 27,913.03 | VARIOUS       | 32,849.75           | 0.00                          | -4,936.72                                | Total of 2 lots                                 |
| Security total:                                                                                    |            | 31,853.36          | 31,853.36 |               | 37,505.02           | 0.00                          | -5,651.66                                |                                                 |
| U S TREASURY INFL NOTE . NOTE RATE 2.00 % MATURES 11/15/2021 / CUSIP: 912828RR3 / Symbol:          |            |                    |           |               |                     |                               |                                          |                                                 |
| 3 tax lots for 09/28/14.                                                                           | 3,000.000  | 2,956.88           | 2,956.88  | 07/09/13      | 2,915.86            | 0.00                          | 41.02                                    | Sale<br>Original basis: \$2,915.86              |
| 2,000.000                                                                                          | 1,971.25   | 1,971.25           | 1,971.25  | 08/20/13      | 1,919.45            | 0.00                          | 51.80                                    | Sale<br>Original basis: \$1,919.45              |
| 1,000.000                                                                                          | 985.62     | 985.62             | 985.62    | 09/17/13      | 958.36              | 0.00                          | 27.26                                    | Sale<br>Original basis: \$958.36                |
| 09/29/14                                                                                           | 6,000.000  | 5,913.75           | 5,913.75  | VARIOUS       | 5,793.67            | 0.00                          | 120.08                                   | Total of 3 lots                                 |
| U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP: 912828TE0 / Symbol:         |            |                    |           |               |                     |                               |                                          |                                                 |
| 3 tax lots for 02/27/14.                                                                           | 13,000.000 | 13,069.48          | 13,069.48 | 07/24/12      | 14,290.31           | 0.00                          | -1,220.83                                | Sale<br>Note: 25<br>Original basis: \$14,093.02 |
| 20,000.000                                                                                         | 20,106.89  | 20,106.89          | 20,106.89 | 07/24/12      | 21,988.26           | 0.00                          | -1,881.37                                | Sale<br>Note: 25<br>Original basis: \$21,684.71 |
| 16,000.000                                                                                         | 16,085.51  | 16,085.51          | 16,085.51 | 11/28/12      | 17,722.40           | 0.00                          | -1,636.89                                | Sale<br>Note: 25<br>Original basis: \$17,592.01 |
| 02/27/14                                                                                           | 49,000.000 | 49,261.88          | 49,261.88 | VARIOUS       | 54,000.97           | 0.00                          | -4,739.09                                | Total of 3 lots                                 |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

## Proceeds Not Reported to the IRS

(continued)

2014

## LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

## Description of property

| Date sold or disposed                                                                               | Quantity                               | Proceeds Shown (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                          |
|-----------------------------------------------------------------------------------------------------|----------------------------------------|------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------------------|
| U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP: 912828TE0 / Symbol: (cont'd) |                                        |                              |               |                     |                               |                                          |                                                 |
|                                                                                                     | 2 tax lots for 07/08/14.<br>6,000,000  | 6,208.94                     | 11/28/12      | 6,765.85            | 0.00                          | -556.91                                  | Sale<br>Note: 25<br>Original basis: \$6,597.01  |
|                                                                                                     | 6,000,000                              | 6,208.94                     | 12/07/12      | 6,831.18            | 0.00                          | -622.24                                  | Sale<br>Note: 25<br>Original basis: \$6,664.98  |
| 07/08/14                                                                                            | 12,000,000                             | 12,417.88                    | VARIOUS       | 13,597.03           | 0.00                          | -1,179.15                                | Total of 2 lots                                 |
| 09/29/14                                                                                            | 13,000,000                             | 13,161.97                    | 12/07/12      | 14,863.01           | 0.00                          | -1,701.04                                | Sale<br>Note: 25<br>Original basis: \$14,440.78 |
|                                                                                                     | Security total:                        | 74,841.73                    |               | 82,461.01           | 0.00                          | -7,619.28                                |                                                 |
| U S TREASURY INFL NOTE NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:             |                                        |                              |               |                     |                               |                                          |                                                 |
|                                                                                                     | 2 tax lots for 02/27/14.<br>2,000,000  | 1,982.97                     | 11/21/12      | 2,004.95            | 0.00                          | -21.98                                   | Sale<br>Original basis: \$2,006.64              |
|                                                                                                     | 57,000,000                             | 56,514.61                    | 12/13/12      | 57,174.84           | 0.00                          | -660.23                                  | Sale<br>Original basis: \$57,231.56             |
| 02/27/14                                                                                            | 59,000,000                             | 58,497.58                    | VARIOUS       | 58,178.79           | 0.00                          | -682.21                                  | Total of 2 lots                                 |
| 04/08/14                                                                                            | 6,000,000                              | 5,911.40                     | 12/13/12      | 6,017.88            | 0.00                          | -106.46                                  | Sale<br>Original basis: \$6,024.38              |
|                                                                                                     | 2 tax lots for 08/28/14.<br>1,000,000  | 987.81                       | 08/20/13      | 978.20              | 0.00                          | 9.61                                     | Sale<br>Original basis: \$978.20                |
|                                                                                                     | 2,000,000                              | 1,975.63                     | 09/17/13      | 1,954.30            | 0.00                          | 21.33                                    | Sale<br>Original basis: \$1,954.30              |
| 09/29/14                                                                                            | 3,000,000                              | 2,963.44                     | VARIOUS       | 2,932.50            | 0.00                          | 30.94                                    | Total of 2 lots                                 |
|                                                                                                     | Security total:                        | 67,372.42                    |               | 68,130.15           | 0.00                          | -757.73                                  |                                                 |
| U S TREASURY INFL NOTE NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol:            |                                        |                              |               |                     |                               |                                          |                                                 |
|                                                                                                     | 3 tax lots for 02/27/14.<br>12,000,000 | 11,558.44                    | 01/09/13      | 11,892.18           | 0.00                          | -333.74                                  | Sale<br>Original basis: \$11,892.18             |

## UBS FINANCIAL SERVICES INC.

Account AT 26036

# **Proceeds Not Reported to the IRS**

(continued)

2014

## **LONG-TERM TRANSACTIONS**

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

### **Description of property**

| Date sold or disposed                                                                         | Quantity        | Proceeds Shown (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information              |
|-----------------------------------------------------------------------------------------------|-----------------|------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------|
| U S TREASURY INFL NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol: 23,116.88 | 24,000.000      | 23,116.88                    | 01/15/13      | 23,844.38           | 0.00                          | -727.50                                  | Sale<br>Original basis: \$23,844.38 |
| 02/27/14                                                                                      | 1,000.000       | 963.20                       | 01/22/13      | 993.20              | 0.00                          | -30.00                                   | Sale<br>Original basis: \$993.20    |
| 08/19/14                                                                                      | 37,000.000      | 35,638.52                    | VARIOUS       | 38,729.76           | 0.00                          | -1,091.24                                | Total of 3 lots                     |
|                                                                                               | 5,000.000       | 4,850.20                     | 01/22/13      | 4,966.02            | 0.00                          | -115.82                                  | Sale<br>Original basis: \$4,966.02  |
| 2 tax lots for 09/25/14.                                                                      |                 |                              |               |                     |                               |                                          |                                     |
|                                                                                               | 2,000.000       | 1,925.78                     | 01/22/13      | 1,986.41            | 0.00                          | -60.63                                   | Sale<br>Original basis: \$1,986.41  |
|                                                                                               | 2,000.000       | 1,925.79                     | 09/17/13      | 1,889.69            | 0.00                          | 36.10                                    | Sale<br>Original basis: \$1,889.69  |
| 09/25/14                                                                                      | 4,000.000       | 3,851.57                     | VARIOUS       | 3,876.10            | 0.00                          | -24.53                                   | Total of 2 lots                     |
|                                                                                               | Security total: | 44,340.29                    |               | 45,571.88           | 0.00                          | -1,231.59                                |                                     |
|                                                                                               | Totals:         | 689,676.27                   |               | 691,520.25          | 0.00                          | -21,843.98                               |                                     |

## **UNDETERMINED-TERM TRANSACTIONS**

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949, Use either Part I with Box C checked or Part II with Box F checked.

### **Description of property**

| Date sold or disposed                                              | Quantity        | Proceeds Shown (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------|-----------------|------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 018828205 / Symbol: |                 |                              |               |                     |                               |                                          |                        |
| 02/28/14                                                           | 2,585.000       | 31,692.10                    | 05/31/13      | ...                 | 0.00                          | 0.00                                     | Sale                   |
| 06/18/14                                                           | 90.000          | 1,108.80                     | 05/31/13      | ...                 | 0.00                          | 0.00                                     | Sale                   |
| 09/29/14                                                           | 1,950.000       | 23,809.50                    | 05/31/13      | ...                 | 0.00                          | 0.00                                     | Sale                   |
|                                                                    | Security total: | 56,610.40                    |               | ...                 | 0.00                          | 0.00                                     |                        |
| ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 018828304 / Symbol: |                 |                              |               |                     |                               |                                          |                        |
| 02/28/14                                                           | 3,340.000       | 36,038.60                    | 05/31/13      | ...                 | 0.00                          | 0.00                                     | Sale                   |
| 09/29/14                                                           | 2,240.000       | 24,012.80                    | 05/31/13      | ...                 | 0.00                          | 0.00                                     | Sale                   |
|                                                                    | Security total: | 60,051.40                    |               | ...                 | 0.00                          | 0.00                                     |                        |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

### Description of property

| Date sold or<br>disposed                                                                | Quantity | Proceeds<br>Shown (Gross) (Net) | Date<br>acquired | Cost or<br>other basis | Adjustments &<br>Code(s), if any | Gain or loss(-) &<br>7- Loss not allowed(X) | Additional information |
|-----------------------------------------------------------------------------------------|----------|---------------------------------|------------------|------------------------|----------------------------------|---------------------------------------------|------------------------|
| FLHMC PL G08323 05.0000 DUE 02/01/39 FACTOR 0.132603000000 / CUSIP: 3129MJLD0 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/15/14                                                                                | 0.000    | 13.08                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| FLHMC PL A97046 04.5000 DUE 02/01/41 FACTOR 0.355481900000 / CUSIP: 312845ZK7 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/15/14                                                                                | 0.000    | 148.48                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 03/15/14                                                                                | 0.000    | 87.81                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 04/15/14                                                                                | 0.000    | 86.98                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 05/15/14                                                                                | 0.000    | 104.19                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 06/15/14                                                                                | 0.000    | 108.16                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 07/15/14                                                                                | 0.000    | 90.75                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 08/15/14                                                                                | 0.000    | 148.88                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 09/15/14                                                                                | 0.000    | 72.93                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| Security total:                                                                         |          | 848.20                          |                  | 0.00                   | 0.00                             | 0.00                                        |                        |
| FLHMC PL A97047 04.5000 DUE 02/01/41 FACTOR 0.389858300000 / CUSIP: 312945ZL5 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/15/14                                                                                | 0.000    | 137.17                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| FLHMC PL Q16844 03.5000 DUE 03/01/43 FACTOR 0.890778900000 / CUSIP: 3132J7ZJ4 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/15/14                                                                                | 0.000    | 1.85                            | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| FNIMA PL AH3645 04.0000 DUE 02/01/41 FACTOR 0.428775870000 / CUSIP: 3138A5BP6 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/25/14                                                                                | 0.000    | 224.72                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 03/25/14                                                                                | 0.000    | 183.82                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 04/25/14                                                                                | 0.000    | 121.77                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 05/25/14                                                                                | 0.000    | 119.07                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 06/25/14                                                                                | 0.000    | 108.89                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 07/25/14                                                                                | 0.000    | 107.44                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 08/25/14                                                                                | 0.000    | 83.90                           | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| 09/25/14                                                                                | 0.000    | 182.77                          | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| Security total:                                                                         |          | 1,132.38                        |                  | 0.00                   | 0.00                             | 0.00                                        |                        |
| FNIMA PL AL0160 04.5000 DUE 05/01/41 FACTOR 0.398311880000 / CUSIP: 3138EGFA7 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/25/14                                                                                | 0.000    | 9.63                            | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |
| FNIMA PL AL1700 04.0000 DUE 09/01/41 FACTOR 0.545556830000 / CUSIP: 3138EH3J9 / Symbol: |          |                                 |                  |                        |                                  |                                             |                        |
| 02/25/14                                                                                | 0.000    | 4.82                            | N/A              | 0.00                   | 0.00                             | 0.00                                        | Principal payment      |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

### Description of property

| Date sold or disposed                                                                  | Quantity | Shown (Gross) | Proceeds (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|----------------------------------------------------------------------------------------|----------|---------------|----------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FNMA PL AL0935 04.0000 DUE 05/01/41 FACTOR 0.486514240000 / CUSIP: 3138EHB03 / Symbol: |          |               |                |               |                     |                               |                                          |                        |
| 05/25/14                                                                               | 0.000    |               | 17.42          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 06/25/14                                                                               | 0.000    |               | 16.00          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 07/25/14                                                                               | 0.000    |               | 19.23          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 08/25/14                                                                               | 0.000    |               | 24.33          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 09/25/14                                                                               | 0.000    |               | 17.41          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| Security total:                                                                        |          |               | 94.39          |               | 0.00                | 0.00                          | 0.00                                     |                        |
| FNMA PL AL2629 05.0000 DUE 06/01/39 FACTOR 0.407700960000 / CUSIP: 3138EJ4P0 / Symbol: |          |               |                |               |                     |                               |                                          |                        |
| 02/25/14                                                                               | 0.000    |               | 157.88         | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 03/25/14                                                                               | 0.000    |               | 36.36          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 04/25/14                                                                               | 0.000    |               | 46.01          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 05/25/14                                                                               | 0.000    |               | 43.27          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 06/25/14                                                                               | 0.000    |               | 47.40          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 07/25/14                                                                               | 0.000    |               | 48.92          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 08/25/14                                                                               | 0.000    |               | 37.06          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 09/25/14                                                                               | 0.000    |               | 43.43          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| Security total:                                                                        |          |               | 458.33         |               | 0.00                | 0.00                          | 0.00                                     |                        |
| FNMA PL AL3424 04.0000 DUE 01/01/43 FACTOR 0.786739860000 / CUSIP: 3138EYVW9 / Symbol: |          |               |                |               |                     |                               |                                          |                        |
| 02/25/14                                                                               | 0.000    |               | 5.33           | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| FNMA PL AP7488 03.5000 DUE 09/01/42 FACTOR 0.795595930000 / CUSIP: 3138MBKA3 / Symbol: |          |               |                |               |                     |                               |                                          |                        |
| 02/25/14                                                                               | 0.000    |               | 249.91         | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 03/25/14                                                                               | 0.000    |               | 83.48          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 04/25/14                                                                               | 0.000    |               | 65.51          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 05/25/14                                                                               | 0.000    |               | 46.42          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 06/25/14                                                                               | 0.000    |               | 66.67          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 07/25/14                                                                               | 0.000    |               | 80.16          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 08/25/14                                                                               | 0.000    |               | 85.32          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| 09/25/14                                                                               | 0.000    |               | 86.52          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |
| Security total:                                                                        |          |               | 763.99         |               | 0.00                | 0.00                          | 0.00                                     |                        |
| FNMA PL AS0415 04.0000 DUE 09/01/43 FACTOR 0.875235460000 / CUSIP: 3138WBN97 / Symbol: |          |               |                |               |                     |                               |                                          |                        |
| 02/25/14                                                                               | 0.000    |               | 13.24          | N/A           | 0.00                | 0.00                          | 0.00                                     | Principal payment      |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

### Description of property

| Date sold or disposed                                                                  | Quantity | Proceeds Shown (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7 - Loss not allowed(X) | Additional information |
|----------------------------------------------------------------------------------------|----------|----------------------------|---------------|---------------------|-------------------------------|-------------------------------------------|------------------------|
| FNMA PL AT6306 04.0000 DUE 06/01/43 FACTOR 0.942654840000 / CUSIP: 3139WUAG8 / Symbol: | 0.000    | 4.55                       | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL AU2933 03.5000 DUE 09/01/43 FACTOR 0.939515820000 / CUSIP: 3138X2HK3 / Symbol: | 0.000    | 4.82                       | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL AU3751 04.0000 DUE 08/01/43 FACTOR 0.900006210000 / CUSIP: 3138X3EZ1 / Symbol: | 0.000    | 15.93                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL AW5809 04.0000 DUE 07/01/44 FACTOR 0.993337070000 / CUSIP: 3138XV30 / Symbol:  | 0.000    | 1.32                       | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 08/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| 09/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| Security total:                                                                        |          | 2.64                       |               | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| FNMA PL AW7104 04.5000 DUE 07/01/44 FACTOR 0.733806610000 / CUSIP: 3138XW3N6 / Symbol: | 0.000    | 1.48                       | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 09/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL 745580 05.0000 DUE 06/01/36 FACTOR 0.098298810000 / CUSIP: 31403DJZ3 / Symbol: | 0.000    | 14.63                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL 985876 06.0000 DUE 11/01/38 FACTOR 0.098545660000 / CUSIP: 31416CJV9 / Symbol: | 0.000    | 261.16                     | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| 03/25/14                                                                               |          | 82.92                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 04/25/14                                                                               |          | 74.78                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 05/25/14                                                                               |          | 63.15                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 06/25/14                                                                               |          | 70.79                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 07/25/14                                                                               |          | 63.11                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 08/25/14                                                                               |          | 58.81                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 09/25/14                                                                               |          | 48.44                      | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| Security total:                                                                        |          | 723.16                     |               | 0.00                | 0.00                          | 0.00                                      |                        |
| FNMA PL AB7079 03.0000 DUE 11/01/42 FACTOR 0.892459040000 / CUSIP: 31417D2M4 / Symbol: | 0.000    | 164.05                     | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |
| FNMA PL AD8033 04.0000 DUE 08/01/40 FACTOR 0.345777180000 / CUSIP: 31418V4T6 / Symbol: | 0.000    | 237.74                     | N/A           | 0.00                | 0.00                          | 0.00                                      | Principal payment      |
| 02/25/14                                                                               |          |                            |               |                     |                               |                                           |                        |

UBS FINANCIAL SERVICES INC.

Account AT 26036

# Proceeds Not Reported to the IRS

(continued)

2014

## UNDETERMINED-TERM TRANSACTIONS

NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

### Description of property

| Date sold or disposed | Quantity | Shown (G)ross (N)et | Proceeds   | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7-Loss not allowed(X) | Additional information |
|-----------------------|----------|---------------------|------------|---------------|---------------------|-------------------------------|-----------------------------------------|------------------------|
|                       |          |                     | 121,313.01 |               | 0.00                | 0.00                          | 0.00                                    |                        |
| Totals:               |          |                     |            |               |                     |                               |                                         |                        |

**NOT reported on Form 1099-B**

Report on Form 9949, Part I, with Box C checked

Description of property

| Date sold or disposed                                   | Quantity | Shown (G)/ross (N)et | Proceeds  | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------|----------|----------------------|-----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| SOUTHERN CO / CUSIP: 842587107 / Symbol: SO<br>04/28/14 | 280 000  |                      | 12,986.17 | 01/02/14      | 11,429.99           | 0.00                          | 1,556.18                                 | Sale                   |

**NOT reported on Form 1099-B**

Report on Form 9949, Part II, with Box E checked

Description of property

| Date sold or disposed                                        | Quantity | Shown (G)/ross (N)et | Proceeds | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------|----------|----------------------|----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP<br>03/07/14 | 35.000   |                      | 3,295.92 | 05/07/10      | 1,436.58            | 0.00                          | 1,859.34                                 | Sale                   |

BECTON DICKINSON &amp; CO COMMON STOCK / CUSIP: 075887109 / Symbol: BDJ

4 tax lots for 10/22/14.

|          |         |  |           |          |          |      |          |                 |
|----------|---------|--|-----------|----------|----------|------|----------|-----------------|
| 10/22/14 | 25.000  |  | 3,108.08  | 05/31/12 | 1,832.81 | 0.00 | 1,275.27 | Sale            |
|          | 70.000  |  | 8,702.62  | 05/31/12 | 5,131.88 | 0.00 | 3,570.74 | Sale            |
|          | 10.000  |  | 1,243.23  | 01/31/13 | 842.44   | 0.00 | 400.79   | Sale            |
|          | 15.000  |  | 1,864.85  | 01/31/13 | 1,263.65 | 0.00 | 601.20   | Sale            |
|          | 120.000 |  | 14,918.78 | VARIOUS  | 9,070.78 | 0.00 | 5,848.00 | Total of 4 lots |

DEVON ENERGY CORP NEW / CUSIP: 25179M103 / Symbol: DVN

08/11/14

50.000

6 tax lots for 10/22/14.

|          |         |  |                 |          |           |      |          |                 |
|----------|---------|--|-----------------|----------|-----------|------|----------|-----------------|
| 10/22/14 | 20.000  |  | 1,197.87        | 12/21/12 | 2,872.99  | 0.00 | 1,146.89 | Sale            |
|          | 30.000  |  | 1,796.80        | 12/21/12 | 1,069.20  | 0.00 | 128.67   | Sale            |
|          | 20.000  |  | 1,197.87        | 12/28/12 | 1,603.80  | 0.00 | 183.00   | Sale            |
|          | 65.000  |  | 3,893.07        | 12/28/12 | 1,029.55  | 0.00 | 188.32   | Sale            |
|          | 20.000  |  | 1,197.87        | 01/28/13 | 3,346.06  | 0.00 | 547.02   | Sale            |
|          | 10.000  |  | 598.93          | 01/31/13 | 1,132.80  | 0.00 | 65.27    | Sale            |
|          | 185.000 |  | 9,882.41        | VARIOUS  | 572.90    | 0.00 | 26.03    | Total of 6 lots |
|          |         |  | Security total: |          | 8,754.10  | 0.00 | 1,128.31 |                 |
|          |         |  |                 |          | 11,427.09 | 0.00 | 2,275.00 |                 |

## NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed                                               | Quantity                 | Shewn (G)ross (N)et | Proceeds  | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------|--------------------------|---------------------|-----------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| DR PEPPER SNAPPLE GROUP INC / CUSIP: 26138E109 / Symbol: DPS        |                          |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 4 tax lots for 08/26/14. |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 40,000                   | 2,434.08            | 2,434.08  | 05/07/10      | 1,387.20            | 0.00                          | 1,046.88                                 | Sale                   |
|                                                                     | 15,000                   | 912.78              | 912.78    | 05/11/10      | 557.95              | 0.00                          | 354.83                                   | Sale                   |
|                                                                     | 40,000                   | 2,434.08            | 2,434.08  | 11/30/10      | 1,451.04            | 0.00                          | 983.04                                   | Sale                   |
|                                                                     | 25,000                   | 1,521.30            | 1,521.30  | 01/25/11      | 882.46              | 0.00                          | 638.84                                   | Sale                   |
| 08/26/14                                                            | 120,000                  | 7,302.24            | 7,302.24  | VARIOUS       | 4,278.85            | 0.00                          | 3,023.59                                 | Total of 4 lots        |
|                                                                     | 3 tax lots for 12/23/14. |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 20,000                   | 1,469.17            | 1,469.17  | 01/25/11      | 705.97              | 0.00                          | 763.20                                   | Sale                   |
|                                                                     | 30,000                   | 2,203.75            | 2,203.75  | 01/25/11      | 1,058.95            | 0.00                          | 1,144.80                                 | Sale                   |
|                                                                     | 25,000                   | 1,836.46            | 1,836.46  | 12/29/11      | 1,000.61            | 0.00                          | 835.85                                   | Sale                   |
| 12/23/14                                                            | 75,000                   | 5,509.38            | 5,509.38  | VARIOUS       | 2,765.53            | 0.00                          | 2,743.85                                 | Total of 3 lots        |
|                                                                     | Security total:          | 12,811.62           | 12,811.62 |               | 7,044.18            | 0.00                          | 5,767.44                                 |                        |
| EXELON CORP / CUSIP: 30161N101 / Symbol: EXC                        |                          |                     |           |               |                     |                               |                                          |                        |
| 04/23/14                                                            | 85,000                   | 3,065.44            | 3,065.44  | 05/10/12      | 3,321.52            | 0.00                          | -256.08                                  | Sale                   |
|                                                                     | 2 tax lots for 04/24/14. |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 10,000                   | 360.35              | 360.35    | 05/10/12      | 390.77              | 0.00                          | -30.42                                   | Sale                   |
|                                                                     | 40,000                   | 1,441.38            | 1,441.38  | 05/10/12      | 1,563.07            | 0.00                          | -121.69                                  | Sale                   |
| 04/24/14                                                            | 50,000                   | 1,801.73            | 1,801.73  | VARIOUS       | 1,953.84            | 0.00                          | -152.11                                  | Total of 2 lots        |
|                                                                     | 2 tax lots for 05/02/14. |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 35,000                   | 1,265.87            | 1,265.87  | 05/10/12      | 1,367.68            | 0.00                          | -101.81                                  | Sale                   |
|                                                                     | 65,000                   | 2,350.91            | 2,350.91  | 10/05/12      | 2,343.80            | 0.00                          | 7.11                                     | Sale                   |
| 05/02/14                                                            | 100,000                  | 3,616.78            | 3,616.78  | VARIOUS       | 3,711.48            | 0.00                          | -94.70                                   | Total of 2 lots        |
|                                                                     | Security total:          | 8,463.95            | 8,463.95  |               | 8,988.84            | 0.00                          | -524.89                                  |                        |
| EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX           |                          |                     |           |               |                     |                               |                                          |                        |
| 12/23/14                                                            | 65,000                   | 5,635.93            | 5,635.93  | 08/20/13      | 3,994.30            | 0.00                          | 1,541.63                                 | Sale                   |
| JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ |                          |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 3 tax lots for 12/23/14. |                     |           |               |                     |                               |                                          |                        |
|                                                                     | 10,000                   | 1,042.08            | 1,042.08  | 01/25/11      | 611.60              | 0.00                          | 430.48                                   | Sale                   |
|                                                                     | 15,000                   | 1,563.11            | 1,563.11  | 01/25/11      | 917.40              | 0.00                          | 645.71                                   | Sale                   |

## NOT reported on Form 1099-B

Report on Form 9942, Part II, with Box F checked

Description of property

| Date sold or disposed                                                        | Quantity        | Shown (Gross Net) | Proceeds (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|------------------------------------------------------------------------------|-----------------|-------------------|----------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ (cont'd) |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 15,000          | 1,563.12          | 1,563.12             | 02/22/11      | 908.70              | 0.00                          | 654.42                                   | Sale                   |
| 12/23/14                                                                     | 40,000          | 4,168.31          | 4,168.31             | VARIOUS       | 2,437.70            | 0.00                          | 1,730.61                                 | Total of 3 lots        |
| MEDTRONIC INC / CUSIP: 585055108 / Symbol: MDT                               |                 |                   |                      |               |                     |                               |                                          |                        |
| 2 tax lots for 11/10/14.                                                     |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 15,000          | 1,018.40          | 1,018.40             | 05/07/10      | 608.53              | 0.00                          | 409.87                                   | Sale                   |
|                                                                              | 45,000          | 3,055.18          | 3,055.18             | 07/22/10      | 1,658.11            | 0.00                          | 1,397.07                                 | Sale                   |
| 11/10/14                                                                     | 60,000          | 4,073.58          | 4,073.58             | VARIOUS       | 2,266.84            | 0.00                          | 1,806.94                                 | Total of 2 lots        |
| MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT                             |                 |                   |                      |               |                     |                               |                                          |                        |
| 2 tax lots for 02/25/14.                                                     |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 30,000          | 1,127.07          | 1,127.07             | 09/08/10      | 719.85              | 0.00                          | 407.22                                   | Sale                   |
|                                                                              | 40,000          | 1,502.77          | 1,502.77             | 09/08/10      | 959.80              | 0.00                          | 542.97                                   | Sale                   |
| 02/25/14                                                                     | 70,000          | 2,629.84          | 2,629.84             | VARIOUS       | 1,679.85            | 0.00                          | 950.19                                   | Total of 2 lots        |
| 3 tax lots for 11/10/14.                                                     |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 55,000          | 2,673.99          | 2,673.99             | 11/30/10      | 1,393.15            | 0.00                          | 1,280.84                                 | Sale                   |
|                                                                              | 20,000          | 972.36            | 972.36               | 01/25/11      | 568.74              | 0.00                          | 405.62                                   | Sale                   |
|                                                                              | 45,000          | 2,187.82          | 2,187.82             | 01/25/11      | 1,275.17            | 0.00                          | 912.65                                   | Sale                   |
| 11/10/14                                                                     | 120,000         | 5,834.17          | 5,834.17             | VARIOUS       | 3,235.06            | 0.00                          | 2,599.11                                 | Total of 3 lots        |
|                                                                              | Security total: |                   | 8,464.01             |               | 4,914.71            | 0.00                          | 3,549.30                                 |                        |
| MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP                |                 |                   |                      |               |                     |                               |                                          |                        |
| 3 tax lots for 05/21/14.                                                     |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 155,000         | 10,012.10         | 10,012.10            | 05/07/10      | 6,549.32            | 0.00                          | 3,462.78                                 | Sale                   |
|                                                                              | 75,000          | 4,844.56          | 4,844.56             | 05/11/10      | 3,202.38            | 0.00                          | 1,642.18                                 | Sale                   |
|                                                                              | 15,000          | 988.91            | 988.91               | 11/30/10      | 718.20              | 0.00                          | 250.71                                   | Sale                   |
| 05/21/14                                                                     | 245,000         | 15,825.57         | 15,825.57            | VARIOUS       | 10,469.90           | 0.00                          | 5,355.67                                 | Total of 3 lots        |
| NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC          |                 |                   |                      |               |                     |                               |                                          |                        |
| 2 tax lots for 01/07/14.                                                     |                 |                   |                      |               |                     |                               |                                          |                        |
|                                                                              | 50,000          | 5,730.34          | 5,730.34             | 05/07/10      | 2,848.03            | 0.00                          | 2,882.31                                 | Sale                   |

## NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

| Date sold or disposed                                                        | Quantity        | Shown (G)ross / (N)et | Proceeds (G)ross / (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|------------------------------------------------------------------------------|-----------------|-----------------------|--------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC (cont'd) |                 |                       |                          |               |                     |                               |                                          |                        |
| 01/07/14                                                                     | 35,000          | 4,011.23              | 05/11/10                 | 2,066.43      | 0.00                | 1,944.80                      | Sale                                     |                        |
|                                                                              | 85,000          | 9,741.57              | VARIOUS                  | 4,914.46      | 0.00                | 4,827.11                      | Total of 2 lots                          |                        |
| 4 tax lots for 08/28/14.                                                     |                 |                       |                          |               |                     |                               |                                          |                        |
|                                                                              | 10,000          | 1,271.54              | 05/11/10                 | 580.41        | 0.00                | 681.13                        | Sale                                     |                        |
|                                                                              | 30,000          | 3,814.62              | 11/30/10                 | 1,663.30      | 0.00                | 2,151.32                      | Sale                                     |                        |
|                                                                              | 20,000          | 2,543.08              | 01/29/13                 | 1,343.40      | 0.00                | 1,199.68                      | Sale                                     |                        |
|                                                                              | 15,000          | 1,907.31              | 01/31/13                 | 978.30        | 0.00                | 929.01                        | Sale                                     |                        |
| 08/28/14                                                                     | 75,000          | 9,536.55              | VARIOUS                  | 4,575.41      | 0.00                | 4,961.14                      | Total of 4 lots                          |                        |
|                                                                              | Security total: | 19,278.12             |                          | 9,489.87      | 0.00                | 9,788.25                      |                                          |                        |
| PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol: PEP                    |                 |                       |                          |               |                     |                               |                                          |                        |
| 5 tax lots for 11/04/14.                                                     |                 |                       |                          |               |                     |                               |                                          |                        |
|                                                                              | 5,000           | 482.03                | 05/07/10                 | 321.30        | 0.00                | 160.73                        | Sale                                     |                        |
|                                                                              | 5,000           | 482.03                | 05/11/10                 | 334.75        | 0.00                | 147.28                        | Sale                                     |                        |
|                                                                              | 25,000          | 2,410.17              | 11/30/10                 | 1,604.50      | 0.00                | 805.67                        | Sale                                     |                        |
|                                                                              | 10,000          | 964.06                | 01/25/11                 | 661.10        | 0.00                | 302.96                        | Sale                                     |                        |
|                                                                              | 20,000          | 1,928.13              | 01/25/11                 | 1,322.20      | 0.00                | 605.93                        | Sale                                     |                        |
| 11/04/14                                                                     | 65,000          | 6,268.42              | VARIOUS                  | 4,243.85      | 0.00                | 2,022.57                      | Total of 5 lots                          |                        |
| SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW       |                 |                       |                          |               |                     |                               |                                          |                        |
| 2 tax lots for 01/08/14.                                                     |                 |                       |                          |               |                     |                               |                                          |                        |
|                                                                              | 30,000          | 774.85                | 09/18/11                 | 365.58        | 0.00                | 409.27                        | Sale                                     |                        |
|                                                                              | 145,000         | 3,745.11              | 10/18/11                 | 1,736.74      | 0.00                | 2,008.37                      | Sale                                     |                        |
| 01/08/14                                                                     | 175,000         | 4,518.96              | VARIOUS                  | 2,102.32      | 0.00                | 2,417.84                      | Total of 2 lots                          |                        |
| 2 tax lots for 08/28/14.                                                     |                 |                       |                          |               |                     |                               |                                          |                        |
|                                                                              | 135,000         | 3,908.24              | 10/18/11                 | 1,616.96      | 0.00                | 2,289.28                      | Sale                                     |                        |
|                                                                              | 200,000         | 5,787.01              | 10/18/11                 | 2,395.50      | 0.00                | 3,391.51                      | Sale                                     |                        |
| 08/28/14                                                                     | 335,000         | 9,693.25              | VARIOUS                  | 4,012.46      | 0.00                | 5,680.79                      | Total of 2 lots                          |                        |
|                                                                              | Security total: | 14,213.21             |                          | 6,114.78      | 0.00                | 8,098.43                      |                                          |                        |

**NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed                                | Quantity                 | Shown (Gross/Net) | Proceeds (Gross/Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|------------------------------------------------------|--------------------------|-------------------|----------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| SIGMA ALDRICH CORP / CUSIP: 826552101 / Symbol: SIAL |                          |                   |                      |               |                     |                               |                                          |                        |
|                                                      | 2 tax lots for 02/18/14. |                   |                      |               |                     |                               |                                          |                        |
|                                                      | 60,000                   | 5,763.61          | 5,763.61             | 05/07/10      | 3,295.80            | 0.00                          | 2,467.81                                 | Sale                   |
|                                                      | 25,000                   | 2,401.51          | 2,401.51             | 05/11/10      | 1,429.72            | 0.00                          | 971.79                                   | Sale                   |
| 02/18/14                                             | 85,000                   | 8,165.12          | 8,165.12             | VARIOUS       | 4,725.52            | 0.00                          | 3,439.60                                 | Total of 2 lots        |
| TARGET CORP / CUSIP: 87612E106 / Symbol: TGT         |                          |                   |                      |               |                     |                               |                                          |                        |
|                                                      | 5 tax lots for 11/25/14. |                   |                      |               |                     |                               |                                          |                        |
|                                                      | 20,000                   | 1,441.94          | 1,441.94             | 10/28/10      | 1,055.03            | 0.00                          | 386.91                                   | Sale                   |
|                                                      | 30,000                   | 2,162.91          | 2,162.91             | 10/28/10      | 1,582.55            | 0.00                          | 580.36                                   | Sale                   |
|                                                      | 10,000                   | 720.97            | 720.97               | 01/25/11      | 557.60              | 0.00                          | 163.37                                   | Sale                   |
|                                                      | 45,000                   | 3,244.36          | 3,244.36             | 01/25/11      | 2,509.20            | 0.00                          | 735.16                                   | Sale                   |
|                                                      | 15,000                   | 1,081.45          | 1,081.45             | 01/05/12      | 728.86              | 0.00                          | 352.59                                   | Sale                   |
| 11/25/14                                             | 120,000                  | 8,651.63          | 8,651.63             | VARIOUS       | 6,433.24            | 0.00                          | 2,218.39                                 | Total of 5 lots        |
| TORCHMARK CORP / CUSIP: 891027104 / Symbol: TMK      |                          |                   |                      |               |                     |                               |                                          |                        |
| 07/02/14                                             | 0.500                    | 27.35             | 27.35                | 05/07/10      | 11.01               | 0.00                          | 16.34                                    | Sale                   |
| Totals:                                              |                          | 147,881.61        | 147,881.61           |               | 93,066.99           | 0.00                          | 54,814.62                                |                        |

**NOT reported on Form 1099-B**

Report on Form 9949, Part I, with Box C checked

**Description of property**

| Date sold or disposed                                       | Quantity  | Shown (G)ross (Net) | Proceeds (G)ross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------|-----------|---------------------|------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| PIMCO DYNAMIC CR INCOME FD / CUSIP: 72202D108 / Symbol: PCI |           |                     |                        |               |                     |                               |                                          |                        |
| 3 tax lots for 09/28/14.                                    |           |                     |                        |               |                     |                               |                                          |                        |
|                                                             | 3,000.000 |                     | 65,788.54              | 02/28/14      | 69,389.70           | 0.00                          | -3,601.16                                | Sale                   |
|                                                             | 328.000   |                     | 7,192.88               | 03/28/14      | 7,388.33            | 0.00                          | -195.45                                  | Sale                   |
|                                                             | 172.000   |                     | 3,771.88               | 04/18/14      | 3,949.26            | 0.00                          | -177.38                                  | Sale                   |
| 09/26/14                                                    | 3,500.000 |                     | 76,753.30              | VARIOUS       | 80,727.29           | 0.00                          | -3,973.99                                | Total of 3 lots        |
| <b>Totals:</b>                                              |           |                     | <b>76,753.30</b>       |               | <b>80,727.29</b>    | <b>0.00</b>                   | <b>-3,973.99</b>                         |                        |

**NOT reported on Form 1099-B**

Report on Form 9949, Part II, with Box F checked

**Description of property**

| Date sold or disposed                                       | Quantity   | Shown (G)ross (Net) | Proceeds (G)ross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------|------------|---------------------|------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| PIMCO DYNAMIC CR INCOME FD / CUSIP: 72202D108 / Symbol: PCI |            |                     |                        |               |                     |                               |                                          |                        |
| 2 tax lots for 09/26/14.                                    |            |                     |                        |               |                     |                               |                                          |                        |
|                                                             | 3,000.000  |                     | 65,788.55              | 01/28/13      | 75,000.00           | 0.00                          | -9,211.45                                | Sale                   |
|                                                             | 8,000.000  |                     | 175,436.12             | 01/28/13      | 200,000.00          | 0.00                          | -24,563.88                               | Sale                   |
| 09/26/14                                                    | 11,000.000 |                     | 241,224.67             | VARIOUS       | 275,000.00          | 0.00                          | -33,775.33                               | Total of 2 lots        |
| <b>Totals:</b>                                              |            |                     | <b>241,224.67</b>      |               | <b>275,000.00</b>   | <b>0.00</b>                   | <b>-33,775.33</b>                        |                        |

**NOT reported on Form 1099-B**

Report on Form 9949, Part II, with Box F checked

Description of property

| Date sold or disposed                                                 | Quantity  | Shown (Gross (Net) / CUSIP: 58522103 / Symbol: MAA | Proceeds (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------|-----------|----------------------------------------------------|----------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| MID AMERICA APARTMENT COMMUNITIES INC / CUSIP: 58522103 / Symbol: MAA |           |                                                    |                |               |                     |                               |                                          |                        |
| 02/05/14                                                              | 3,493.000 | 226,935.80                                         | 03/03/03       | 301,373.77    | 0.00                | -74,437.97                    | Sale                                     |                        |
| 02/14/14                                                              | 5,000.000 | 338,638.86                                         | 03/03/03       | 431,396.75    | 0.00                | -92,757.89                    | Note: 26                                 |                        |
| 04/28/14                                                              | 6,000.000 | 416,885.53                                         | 03/03/03       | 517,431.25    | 0.00                | -100,545.72                   | Sale                                     |                        |
| Security total:                                                       |           | 982,460.19                                         |                | 1,250,201.77  | 0.00                | -267,741.58                   | Note: 26                                 |                        |
| Totals:                                                               |           | 982,460.19                                         |                | 1,250,201.77  | 0.00                | -267,741.58                   |                                          |                        |