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EXTENDED TO NOVEMBER 16, 2015

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>THE CALDWELL-FISHER CHARITABLE FOUNDATION</b>		A Employer identification number <b>77-0527966</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>3620 CLAY STREET</b>	Room/suite	B Telephone number <b>(650) 233-9000</b>
City or town, state or province, country, and ZIP or foreign postal code <b>SAN FRANCISCO, CA 94118</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>10,499,197.</b> (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		8,314,563.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		50.	50.		STATEMENT 2
4 Dividends and interest from securities		20,286.	20,998.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		19,979.			STATEMENT 1
b Gross sales price for all assets on line 6a <b>8,465,180.</b>					
7 Capital gain net income (from Part IV, line 2)			8,038,510.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-2,779.	-2,779.		STATEMENT 4
12 Total. Add lines 1 through 11		8,352,099.	8,056,779.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 5		23,200.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		45,284.	284.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		100.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		68,584.	284.		0.
25 Contributions, gifts, grants paid		3,801,098.			3,801,098.
26 Total expenses and disbursements. Add lines 24 and 25		3,869,682.	284.		3,801,098.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		4,482,417.			
b Net investment income (if negative, enter -0-)			8,056,495.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	4,571,535.	7,679,768.	7,679,768.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock	1,113,639.	2,819,429.	2,819,429.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		5,685,174.	10,499,197.	10,499,197.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	5,685,174.	10,499,197.		
30 Total net assets or fund balances	5,685,174.	10,499,197.		
31 Total liabilities and net assets/fund balances	5,685,174.	10,499,197.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,685,174.
2 Enter amount from Part I, line 27a	2	4,482,417.
3 Other increases not included in line 2 (itemize)	3	331,606.
4 Add lines 1, 2, and 3	4	10,499,197.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,499,197.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 8,465,180.		426,670.	8,038,510.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			8,038,510.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	8,038,510.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	2,358,506.	3,071,207.	.767941
2012	1,746,515.	3,050,474.	.572539
2011	1,997,358.	3,231,886.	.618016
2010	1,477,072.	2,967,983.	.497669
2009	1,301,064.	2,065,851.	.629796

2 Total of line 1, column (d)	2	3.085961
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.617192
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	6,002,730.
5 Multiply line 4 by line 3	5	3,704,837.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	80,565.
7 Add lines 5 and 6	7	3,785,402.
8 Enter qualifying distributions from Part XII, line 4	8	3,801,098.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
 See the Part VI instructions

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	80,565.												
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b														
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).														
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.												
<b>3</b> Add lines 1 and 2	3	80,565.												
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.												
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	80,565.												
<b>6</b> Credits/Payments														
<table border="0" style="width:100%"> <tr> <td style="width:55%"><b>a</b> 2014 estimated tax payments and 2013 overpayment credited to 2014</td> <td style="width:10%; text-align:center">6a</td> <td style="width:35%; text-align:right">24,609.</td> </tr> <tr> <td><b>b</b> Exempt foreign organizations - tax withheld at source</td> <td style="text-align:center">6b</td> <td></td> </tr> <tr> <td><b>c</b> Tax paid with application for extension of time to file (Form 8868)</td> <td style="text-align:center">6c</td> <td style="text-align:right">90,000.</td> </tr> <tr> <td><b>d</b> Backup withholding erroneously withheld</td> <td style="text-align:center">6d</td> <td></td> </tr> </table>	<b>a</b> 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	24,609.	<b>b</b> Exempt foreign organizations - tax withheld at source	6b		<b>c</b> Tax paid with application for extension of time to file (Form 8868)	6c	90,000.	<b>d</b> Backup withholding erroneously withheld	6d			
<b>a</b> 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	24,609.												
<b>b</b> Exempt foreign organizations - tax withheld at source	6b													
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	6c	90,000.												
<b>d</b> Backup withholding erroneously withheld	6d													
<b>7</b> Total credits and payments. Add lines 6a through 6d	7	114,609.												
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8													
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9													
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	34,044.												
<b>11</b> Enter the amount of line 10 to be Credited to 2015 estimated tax <span style="float:right">34,044. Refunded</span>	11	0.												

**Part VII-A Statements Regarding Activities**

<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		X
<b>c</b> Did the foundation file Form 1120-POL for this year?	1c		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <span style="float:right">\$ 0.</span> (2) On foundation managers <span style="float:right">\$ 0.</span>			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <span style="float:right">\$ 0.</span>			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <span style="float:right">CA</span>			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOHN FISHER Telephone no. ► (650) 233-9000 Located at ► 3620 CLAY STREET, SAN FRANCISCO, CA ZIP+4 ► 94118			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	PRESIDENT/VICE	PRESIDENT		
JOHN FISHER 3620 CLAY STREET SAN FRANCISCO, CA 94118	CEO/SECRETARY			

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0.

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,459,185.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	4,787,241.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	6,246,426.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	6,246,426.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) <b>STMT 10</b>	<b>4</b>	243,696.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	6,002,730.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	300,137.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	300,137.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	80,565.
<b>b</b>	Income tax for 2014 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	80,565.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	219,572.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	219,572.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	219,572.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	3,801,098.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,801,098.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	80,565.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	3,720,533.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form **990-PF** (2014)

**THE CALDWELL-FISHER CHARITABLE  
FOUNDATION**

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				219,572.
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only			0.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2014				
<b>a</b> From 2009	1,234,405.			
<b>b</b> From 2010	1,349,559.			
<b>c</b> From 2011	1,869,898.			
<b>d</b> From 2012	1,643,523.			
<b>e</b> From 2013	2,292,756.			
<b>f</b> Total of lines 3a through e	8,390,141.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4 ▶ \$	3,801,098.			
<b>a</b> Applied to 2013, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount				219,572.
<b>e</b> Remaining amount distributed out of corpus	3,581,526.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	11,971,667.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	1,234,405.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	10,737,262.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2010	1,349,559.			
<b>b</b> Excess from 2011	1,869,898.			
<b>c</b> Excess from 2012	1,643,523.			
<b>d</b> Excess from 2013	2,292,756.			
<b>e</b> Excess from 2014	3,581,526.			

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11-24-14

Form 990-PF (2014)

## Form 990-PF (2014)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

**b 85% of line 2a**

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

Subtract line 2d from line 2c

**a "Assets" alternative test - enter**  
**(1) Value of all assets**

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter.

**(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

**(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

SEE STATEMENT 11

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 12

**b The form in which applications should be submitted and information and materials they should include**

**c Any submission deadlines**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**THE CALDWELL-FISHER CHARITABLE  
FOUNDATION**

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**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED STATEMENT	PUBLIC CHARITIES			3,801,098.
<b>Total</b>			<b>3a</b>	3,801,098.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.

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## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

<b>Line No.</b> ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
----------------------	---

N/A
-----

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
<b>b</b>	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 11/12/15 Title: CEO/SECRETARY

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SANGINI GOENKA	S. M. Goenka	11/09/15		P01061662
	Firm's name ▶ FRANK, RIMERMAN & CO. LLP				Firm's EIN ▶ 94-1341042
	Firm's address ▶ 1801 PAGE MILL ROAD PALO ALTO, CA 94304				Phone no (650) 845-8100

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2014**

Name of the organization

THE CALDWELL-FISHER CHARITABLE  
FOUNDATION

Employer identification number

77-0527966

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vii), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization  
**THE CALDWELL-FISHER CHARITABLE  
 FOUNDATION**

Employer identification number

**77-0527966****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>1</b>	<b>JOHN FISHER &amp; JENNIFER CALDWELL</b> <b>3620 CLAY STREET</b> <b>SAN FRANCISCO, CA 94118</b>	\$ <b>1,075,800.</b>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
<b>2</b>	<b>JOHN FISHER &amp; JENNIFER CALDWELL</b> <b>3620 CLAY STREET</b> <b>SAN FRANCISCO, CA 94118</b>	\$ <b>314,713.</b>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
<b>3</b>	<b>JOHN FISHER &amp; JENNIFER CALDWELL</b> <b>3620 CLAY STREET</b> <b>SAN FRANCISCO, CA 94118</b>	\$ <b>6,175,250.</b>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
<b>4</b>	<b>JOHN FISHER &amp; JENNIFER CALDWELL</b> <b>3620 CLAY STREET</b> <b>SAN FRANCISCO, CA 94118</b>	\$ <b>748,800.</b>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization

THE CALDWELL-FISHER CHARITABLE  
FOUNDATION

Employer identification number

77-0527966

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	30,000 SHARES MICROSOFT CORP.	\$ 1,075,800.	01/08/14
<u>2</u>	8,665 SHARES MICROSOFT CORP.	\$ 314,713.	01/15/14
<u>3</u>	25,000 SHARES BAIDU INC. ADS	\$ 6,175,250.	11/11/14
<u>4</u>	15,000 SHARES YAHOO INC.	\$ 748,800.	11/12/14
		\$	
		\$	

Name of organization

THE CALDWELL-FISHER CHARITABLE  
FOUNDATION

Employer identification number

77-0527966

**Part III**

**Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE CALDWELL-FISHER CHARITABLE FOUNDATION  
REALIZED GAIN/LOSS REPORT  
YEAR-ENDED DECEMBER 31, 2014

Stock	Purchase Date	Sale Date	Sale Proceeds	to column (b) on 990PF Cost Basis Tax (Nil)	Capital Gain/Loss	to column (a) on 990PF Cost Basis Book	Cap Gain Book
<b>Long-Term</b>							
30,000 shs Microsoft Corp	01/02/04	01/08/14	1,075,457	-	1,075,457	1,075,800	(343)
8,665 shs Microsoft Corp	01/02/04	01/15/14	316,822	-	316,822	314,713	2,109
25,000 shs Baidu Inc ADS	09/12/00	11/12/14	6,222,356	2,602	6,219,754	6,175,250	47,106
15,000 shs Yahoo Inc	10/07/03	11/12/14	748,927	293,549	455,378	748,800	127
<b>Short-Term</b>							
3,250 shs Toroise Energy Ind Fd Inc	10/01/14	12/26/14	61,722	80,107	(18,385)	80,107	(18,385)
2,100 shs Tortoise Energy Ind Fd Inc	11/12/14	12/26/14	39,882	50,399	(10,517)	50,399	(10,517)
375 shs Halyard Health Inc	10/01/14	10/31/14	14	13	1	13	1
<b>TOTAL LONG-TERM</b>			<b>8,363,562</b>	<b>296,151</b>	<b>8,067,411</b>	<b>8,314,563</b>	<b>48,999</b>
<b>TOTAL SHORT-TERM</b>			<b>101,618</b>	<b>130,519</b>	<b>(28,901)</b>	<b>130,519</b>	<b>(28,901)</b>
<b>TOTAL</b>			<b>8,465,180</b>	<b>426,670</b>	<b>8,038,510</b>	<b>8,445,082</b>	<b>20,098</b>

**Caldwell-Fisher Charitable Foundation**  
**EIN: 77-0527966**  
**Corporate Stock and Bond Values**  
**Tax Year 2014**

<b>Stocks</b>		<b>Beginning of Year Book Value</b>	<b>End of Year Book Value</b>	<b>End of Year FMV</b>
10,000	SHS Cisco Sys Inc	530,000	278,150	278,150
1,217	SHS CIT Group Inc	43,386	58,209	58,209
4,500	SHS Baidu Inc Spon Adr Rptng	516,510	1,025,865	1,025,865
428	SHS United Online Inc	12,278	6,227	6,227
600	SHS FTD Cos Inc Com	35,862	20,892	20,892
605	SHS Abbott Laboratories	-	27,237	27,237
435	SHS Abbvie Inc Com	-	28,466	28,466
180	SHS Amgen Inc	-	28,672	28,672
350	SHS Baxter Intl Inc	-	25,652	25,652
495	SHS Bristol Myers Squibb Co	-	29,220	29,220
335	SHS Cardinal Health Inc	-	27,045	27,045
210	SHS Chevron Corp	-	23,558	23,558
755	SHS Conagra Foods Inc	-	27,391	27,391
1,515	SHS Cyberonics Corp	-	84,355	84,355
545	SHS Glaxosmithkline Plc ADS	-	23,293	23,293
29	SHS Halyard Health Inc	-	1,319	1,319
495	SHS HSBC Holdings PLC Spon ADR New	-	23,379	23,379
730	SHS Intel Corp	-	26,492	26,492
415	SHS JP Morgan Chase & Co	-	25,971	25,971
235	SHS Kimberly Clark Corp	-	27,152	27,152
445	SHS Kraft Foods Group Inc Com	-	27,884	27,884
400	SHS Medtronic Inc	-	28,880	28,880
475	SHS Metlife Incorporated	-	25,693	25,693
290	SHS Prudential Financial Inc	-	26,233	26,233
1,225	SHS United Therapeutics	-	158,625	158,625
12,100	SHS Vanda Pharmaceuticals Inc	-	173,272	173,272
675	SHS Atlas Pipeline Ptnrs LP	-	18,401	18,401
815	SHS Blackstone Group LP	-	27,571	27,571
445	SHS OneOK Partners LP	-	17,635	17,635
5,900	SHS Alpine Total Dynamic Dividend	-	50,091	50,091
5,100	SHS Gabelli Hlthcare & Wellness	-	53,142	53,142
4,830	SHS Gabelli Hlthcare & Wellness	-	50,329	50,329
8,700	SHS Liberty All Star Equity FD SBI	-	52,026	52,026
8,400	SHS Liberty All Star Equity FD SBI	-	50,232	50,232
4,695	SHS Nexpoint Credit Strategies FD	-	52,725	52,725
5,555	SHS Nuveen Credit Strat Inc. FD	-	48,717	48,717
5,615	SHS Nuveen Credit Strat Inc. FD	-	49,244	49,244
2,850	SHS Royce Global Value TR Inc Com	-	22,914	22,914
2,900	SHS Royce Global Value TR Inc Com	-	23,316	23,316
5,935	SHS Royce Global Value TR Inc Com	-	47,717	47,717
Total Stocks		1,138,036	2,823,192	2,823,192
Name change and split				
Total Stocks, Net		1,138,036	2,823,192	2,823,192
<b>Total</b>	<b>Total Stocks</b>	1,138,036	2,823,192	2,823,192

**Caldwell-Fisher Charitable Foundation**  
**Contributions - FYE 12/31/2014**  
**EIN: 77-0527966**

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Jocelyn Lyle, Environmental Working Group 1436 U St. NW, Suite 100, Washington, DC 20009	Non-Profit	Environment	1/8/2014	\$100,000
Bronx Preparatory Charter School 3872 Third Avenue, Bronx, NY 10457	Non-Profit	Education	1/23/2014	\$5,000
Jocelyn Lyle, Environmental Working Group 1436 U St NW, Suite 100, Washington, DC 20009	Non-Profit	Environment	1/24/2014	\$50,000
Katherne Delmar Burke School (2014 Auction) 7070 Californian St , SF, CA 94121	Non-Profit	Education	1/31/2014	\$15,000
California Academy of Sciences - Big Bang Gala 55 Music Concourse Dr , SF, CA 94118	Non-Profit	Education/Env	2/13/2014	\$50,000
SF University HS (SF Decorator Showcase) 3065 Jackson St , SF, CA 94115	Non-Profit	Education	2/13/2014	\$10,000
Edgewood Fair 1801 Vicente Street, SF, CA 94116	Public Charity	Education	2/24/2014	\$1,000
Wildlife Conservation Network (J Goodall 80th) 25745 Bassett Lane, Los Altos, CA 94022	Public Charity	Environment	2/26/2014	\$7,500
San Francisco RBI 38 Keyes Ave , Suite 200, SF, CA 94129	Public Charity	Education	3/11/2014	\$1,000
Compass Family Services 49 Powell Street, 3rd Floor, CA 94102	Public Charity	Welfare	3/11/2014	\$1,000
Tipping Point Community 220 Montgomery St , Ste 850, SF 94104	Public Charity	Education	3/12/2014	\$10,000
Wildlife Conservation Network (chimp project) 209 Mississippi, SF, CA 94107	Public Charity	Environment	4/9/2014	\$40,000
California Academy of Sciences (big bang gala) 55 Music Concourse Dr., GG Park, SF, CA 94118	Public Charity	Education/Env	5/1/2014	\$10,000

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
10,000 Degrees (STFYC employee scholarship) 1650 Los Gatos Rd , Suite 110 San Rafael, CA 94903	Public Charity	Education	5/1/2014	\$500
Sarah Lawrence College (annual fund) 1 Mead Way, Bronxville, NY 10708	Public Charity	Education	5/1/2014	\$15,000
Sarah Lawrence College (3 of 5) 1 Mead Way, Bronxville, NY 10708	Public Charity	Education	5/1/2014	\$200,000
Peabody Museum of Archaeology & Ethnology Harvard Support RES Anthropology and Aesthetics) 11 Divinity Ave , Cambridge, MA 02138	Public Charity	Education	5/5/2014	\$25,000
CIP	Public Charity		5/20/2015	\$500
Katherine DeMar Burke School 7070 California Street, San Francisco, CA 94121	Public Charity		5/22/2015	\$10,225
Ronald McDonald House at Stanford 520 Sand Hill Rd , Palo Alto, CA 94304	Public Charity		6/2/2015	\$750
Larkin Street Youth Services 701 Sutter St , Ste 2, SF, CA 94109	Public Charity	Health/Welfare	6/9/2014	\$5,000
Diabetes Research Institute (Cathy's wedding gift 200 S Park Rd , Suite 100, Hollywood, FL 33021	Public Charity	Health/Welfare	6/12/2014	\$10,000
California Academy of Sciences (Engaging for Life 55 Music Concourse Dr , SF, CA 94118	Public Charity	Educa/Env	6/12/2014	\$500,000
Common Sense Media (3 of 5) 650 Townsend, Suite 435, SF, CA 94103	Public Charity	Education	6/12/2014	\$100,000
Exploratorium (Spring Gala pledge) Piers 15/17, SF, CA 94111	Public Charity	Educ/Env	6/12/2014	\$25,000
George Jackson Academy (2 of 4) 104 St Mark's Place, NY, NY 10009	Public Charity	Education	6/12/2014	\$20,000
Harvard University (4 of 5 and 1 of 5) 124 Mt Auburn St , 4th Fl, Cambridge, MA 02138	Public Charity	Education	6/12/2014	\$1,200,000

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Harvard Business School (4 of 5) Teele Hall 209, Boston, MA 02163	Public Charity	Education	6/12/2014	\$50,000
Rocky Mountain Institute (4 of 5) 2317 Snowmann Creek Rd , Snowmass, CO 81654	Public Charity	Environment	6/12/2014	\$20,000
SF Ballet - 2014 Annual Fund 455 Franklin St , SF, CA 94102	Public Charity	The Arts	6/12/2014	\$25,000
Boca Raton Reg'l Hospital Foundation (3 of 5) 745 Meadows Rd , Boca Raton, FL 33486	Public Charity	Health	6/12/2014	\$200,000
Conservation International (4 of 5) 2011 Crystal Dr , Ste 500, Arlington, VA 22202	Public Charity	Environment	6/12/2014	\$20,000
Walnut Hill School for the Arts 12 Highland St., Natick, MA	Public Charity	Education	6/12/2014	\$15,000
Golden Gate National Parks(Trails Forever) 201 Fort Mason, SF, CA 94123	Public Charity	Environment	6/12/2014	\$2,000
Monterey Bay Aquarium - David Packard Award D 866 Cannery Row, Monterey, CA 93940	Public Charity	Environment	6/13/2014	\$10,000
Phillips Exeter Academy 20 Main St , Exeter, NH 03833	Public Charity	Education	6/27/2014	\$25,000
Golden Gate National Parks (Wm Kent Society) 201 Fort Mason, SF, CA 94123	Public Charity	Environment	7/8/2014	\$2,500
Pt Reyes Nat'l Seashore Assoc 1 Bear Valley Rd , Blvd 70, Pt Reyes Station, CA 94956	Public Charity	Environment	7/16/2014	\$2,500
Project Morry (Camp Wicosute) 350 Executive Blvd Suite 125, Elmsford, NY 10523	Public Charity	Health/Welfare	9/4/2014	\$90
SF Ballet ATTN Tom Flynn 455 Franklin St , SF, CA 94102	Public Charity	The Arts	9/10/2014	\$128,733
SF Ballet 2015 Gala 455 Franklin St , SF, CA 94102	Public Charity	The Arts	9/10/2014	\$10,000

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Project Avary (Molly Burke) 385 Bel Mann Keyes, Ste G, Novato, CA 94949	Public Charity	Education	9/17/2014	\$5,000
Ronald McDonald House at Stanford (Greenstein) 520 Sand Hill Rd., Palo Alto, CA 94304	Public Charity	Health/Welfare	10/7/2014	\$1,000
SF SPCA (Belinda) 201 Alabama St , SF, CA 94103	Public Charity	Health/Welfare	10/7/2014	\$2,500
PRWM Disaster Fund PO Box 308, Pt Reyes Station, CA 94956	Public Charity	Environment	10/16/2014	\$1,000
Boathouse Foundation PO Box 2340, Edgartown, MA 02539	Public Charity	Env/Health/Wel	11/14/2014	\$300
Boys & Girls Clubs of SF 55 Hawthorne St., Ste 600, SF, CA 94105	Public Charity	Health/Welfare	11/14/2014	\$1,000
Gladstone Institutes 1650 Owens St , SF, CA 94158	Public Charity	Education	11/14/2014	\$10,000
KQED 2601 Mariposa St , SF, CA 94110	Public Charity	Education	11/14/2014	\$5,000
MALT PO Box 809, Pt Reyes Station, CA 94956	Public Charity	Environment	11/14/2014	\$5,000
Martha's Vineyard Hospital One Hospital Rd., PO Box 1477, Oak Bluffs, MA 02557	Public Charity	Health/Welfare	11/14/2014	\$5,000
Slide Ranch 2025 Shoreline Hwy, Muir Beach, CA 94965	Public Charity	Environment	11/14/2014	\$2,500
BizWorld 311 California St , Ste 750, SF, CA 94104	Public Charity	Education	11/18/2014	\$5,000
Ten Strands, c/o Joel Gingold 330 Ignacio Blvd , Suite 201, Novato, CA 94949	Public Charity	Education	11/26/2014	\$20,000
Stanford University 1 of 3 DFJ Tech Program PO Box 20466, Stanford, CA 94309	Public Charity	Education	12/10/2014	\$50,000
Windermere Island Foundation 63 Kercheval Ave , Suite 301, Grosse Pt Farms, MI 48236	Public Charity	Ed, Health, Wel	12/10/2014	\$10,000



Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
The Imagine Bus Project 1012 Tomey Ave , SF, CA 94129	Public Chanty	Education	12/10/2014	\$2,500
Mann General Volunteers, Raccoon Branch 26 Venue Court, Tiburon, CA 94920	Public Chanty	Welfare	12/10/2014	\$1,000
Common Sense Media (1 of 5 for new pledge) 650 Townsend, Suite 435, SF, CA 94103	Public Chanty	Education	12/10/2014	\$100,000
Exploratorium (2 of 5) Piers 15/17, SF, CA 94111	Public Chanty	Educ/Env	12/10/2014	\$300,000
Phillips Exeter Academy (Performing Arts Bldg) 20 Main St , Exeter, NH 03833	Public Chanty	Education	12/16/2014	\$250,000
Wm Beaumont Hospital (1 of 5) Troy, MI 48007	Public Chanty	Health	12/16/2014	\$100,000
Frends of MVY Radio PO Box 1148, Vineyard Haven, MA 02568	Public Education	Arts	12/23/2014	\$1,000
<b>Total</b>				<b>\$3,801,098</b>

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30,000 SHS MICROSOFT CORP	P	01/02/04	01/08/14
b	8,665 SHS MICROSOFT CORP	P	01/02/04	01/15/14
c	25,000 SHS BAIDU INC ADS	P	09/12/00	11/12/14
d	15,000 SHS YAHOO INC	P	10/07/03	11/12/14
e	3,250 SHS TOROISE ENERGY IND FD INC	P	10/01/14	12/26/14
f	2,100 SHS TORTOISE ENERGY IND FD INC	P	11/12/14	12/26/14
g	.375 SHS HALYARD HEALTH INC	P	10/01/14	10/31/14
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,075,457.			1,075,457.
b 316,822.			316,822.
c 6,222,356.		2,602.	6,219,754.
d 748,927.		293,549.	455,378.
e 61,722.		80,107.	-18,385.
f 39,882.		50,399.	-10,517.
g 14.		13.	1.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,075,457.
b			316,822.
c			6,219,754.
d			455,378.
e			-18,385.
f			-10,517.
g			1.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } . . . . .	2	8,038,510.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF                      GAIN OR (LOSS) FROM SALE OF ASSETS                      STATEMENT      1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
30,000 SHS MICROSOFT CORP	PURCHASED	01/02/04	01/08/14
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
1,075,457.	1,075,800.	0.	0.
(F) GAIN OR LOSS	-343.		

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
8,665 SHS MICROSOFT CORP	PURCHASED	01/02/04	01/15/14
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
316,822.	314,713.	0.	0.
(F) GAIN OR LOSS	2,109.		

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
25,000 SHS BAIDU INC ADS	PURCHASED	09/12/00	11/12/14
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
6,222,356.	6,175,250.	0.	0.
(F) GAIN OR LOSS	47,106.		

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
15,000 SHS YAHOO INC	748,927.	748,800.	0.	0.	127.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,250 SHS TOROISE ENERGY IND FD INC	61,722.	80,179.	0.	0.	-18,457.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,100 SHS TORTOISE ENERGY IND FD INC	39,882.	50,446.	0.	0.	-10,564.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
.375 SHS HALYARD HEALTH INC	14.	13.	0.	0.	1.

CAPITAL GAINS DIVIDENDS FROM PART IV

0.

TOTAL TO FORM 990-PF, PART I, LINE 6A

19,979.

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME FROM K-1 - ATLAS PIPELINE PARTNERS	33.	33.	
INTEREST INCOME FROM K-1 - THE BLACKSTONE GROUP	17.	17.	
TOTAL TO PART I, LINE 3	50.	50.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM K-1 - THE BLACKSTONE GROUP	79.	0.	79.	79.	
SMITH BARNEY - DIVIDENDS	20,207.	0.	20,207.	20,919.	
TO PART I, LINE 4	20,286.	0.	20,286.	20,998.	

## FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM K-1 - ATLAS PIPELINE PARTNERS	-1,885.	-1,885.	
OTHER INCOME FROM K-1 - THE BLACKSTONE GROUP	166.	166.	
OTHER INCOME FROM K-1 - ONEOK PARTNERS	-1,060.	-1,060.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-2,779.	-2,779.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	23,200.	0.		0.	
TO FORM 990-PF, PG 1, LN 16B	23,200.	0.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAXES	45,000.	0.		0.	
FOREIGN TAXES PAID	284.	284.		0.	
TO FORM 990-PF, PG 1, LN 18	45,284.	284.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	90.	0.		0.	
STATE TAX	10.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	100.	0.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	8
DESCRIPTION	AMOUNT				
UNREALIZED GAIN/LOSS	330,606.				
CHANGE IN BOOK LIABILITIES	1,000.				
TOTAL TO FORM 990-PF, PART III, LINE 3	331,606.				

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED STATEMENT	2,819,429.	2,819,429.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,819,429.	2,819,429.

FORM 990-PF

CASH DEEMED CHARITABLE EXPLANATION STATEMENT  
PART X, LINE 4

STATEMENT 10

IN ACCORDANCE WITH REG. 53.4942(A)-2(C)(3)(IV), THE ABOVE-REFERENCED FOUNDATION IS EXCLUDING FROM ITS MINIMUM INVESTMENT RETURN (MIR) CALCULATION A TOTAL OF \$243,696 IN CASH THAT IT DEEMS HELD FOR CHARITABLE ACTIVITIES. THIS AMOUNT IS IN EXCESS OF 1.5% OF THE FMV OF THE FOUNDATION'S NON-CHARITABLE ASSETS. THE FOLLOWING INFORMATION IS SUBMITTED IN SUPPORT OF THE EXCLUSION FROM MIR OF THIS AMOUNT.

1. FAIR MARKET VALUE OF FOUNDATION'S NON-CHARITABLE ASSETS: \$6,246,426

2. 1.5% OF LINE 1: \$93,696

3. FACTS AND CIRCUMSTANCES JUSTIFYING THE EXCLUSION OF AN AMOUNT IN EXCESS OF THE AMOUNT ON LINE 2: THE FOUNDATION HAS PLEDGES PAYABLE IN 2015 IN THE AMOUNT OF \$2,910,000 OF WHICH \$150,000 REQUIRE FULFILLMENT WITHIN THE FIRST 4 MONTHS OF 2015. CASH NECESSARY FOR OTHER CHARITABLE AND ADMINISTRATIVE EXPENSES HAS BEEN ACCOUNTED FOR IN THE 1.5% SAFE HARBOR AMOUNT. ON THIS BASIS, WE HAVE EXCLUDED THE IMMEDIATE CASH NEEDED FOR THE PLEDGES THROUGH APRIL 2015 IN ADDITION TO THE 1.5%.



FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGERJENNIFER CALDWELL  
JOHN FISHER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDJOHN FISHER  
3620 CLAY STREET  
SAN FRANCISCO, CA 94118TELEPHONE NUMBER

650-233-9000

FORM AND CONTENT OF APPLICATIONSAPPLICATION SHOULD BE IN WRITING AND PURPOSE OF THE FUNDING SHOULD BE  
STATED CLEARLY IN THE MATERIALS.ANY SUBMISSION DEADLINES

NO DEADLINE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE