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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation SHIRLEY & DAVID ALLEN FOUNDATION		A Employer identification number 76-0702130	
Number and street (or P O box number if mail is not delivered to street address) 4441 86TH AVE SE		B Telephone number (see instructions) (206) 734-4257	
City or town, state or province, country, and ZIP or foreign postal code MERCER ISLAND, WA 980404145		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 1,442,404		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	32,594	32,594		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	68,741			
	b Gross sales price for all assets on line 6a 268,828				
	7 Capital gain net income (from Part IV, line 2) . . .		68,741		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	101,335	101,335		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	1,001			
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	2,169			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	12,243	11,732		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	15,413	11,732		0
	25 Contributions, gifts, grants paid	46,495			46,495
	26 Total expenses and disbursements. Add lines 24 and 25	61,908	11,732		46,495
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	39,427			
	b Net investment income (if negative, enter -0-)		89,603		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	34,414	34,886	34,886	
	2	Savings and temporary cash investments	104,380	98,366	98,369	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	1,199	1,400	1,400	
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	681,150	☒	647,963	955,582
	c	Investments—corporate bonds (attach schedule).	168,178	☒	178,178	180,015
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	106,897	☒	174,852	172,152
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,096,218	1,135,645	1,442,404		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)		0		
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
24		Unrestricted				
25		Temporarily restricted				
26		Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
27		Capital stock, trust principal, or current funds	1,096,218	1,135,645		
28		Paid-in or capital surplus, or land, bldg , and equipment fund				
29		Retained earnings, accumulated income, endowment, or other funds				
30		Total net assets or fund balances (see instructions)	1,096,218	1,135,645		
31	Total liabilities and net assets/fund balances (see instructions) . . .	1,096,218	1,135,645			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	11,096,218
2	Enter amount from Part I, line 27a	239,427
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	41,135,645
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	61,135,645

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES	P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 265,226		200,087	65,139
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			65,139
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	68,741
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	65,139

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	61,550	1,331,568	0 046224
2012	37,800	1,243,303	0 030403
2011	32,750	1,224,672	0 026742
2010	67,250	863,420	0 077888
2009	36,697	111,967	0 327748

2	Total of line 1, column (d).	2	0 509005
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 101801
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	1,434,699
5	Multiply line 4 by line 3.	5	146,054
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	896
7	Add lines 5 and 6.	7	146,950
8	Enter qualifying distributions from Part XII, line 4.	8	46,495

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1

Date of ruling or determination letter

(attach copy of letter if necessary—see instructions)

1

1,792

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3

1,792

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5

1,792

6

Credits/Payments

a

2014 estimated tax payments and 2013 overpayment credited to 2014

6a

1,400

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868)

6c

d

Backup withholding erroneously withheld

6d

7

1,400

8

Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

9

392

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

11

11

Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?

1b

No

1c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)

8a

AZ

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)?

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

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Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of ALFRED S SULLIVAN Telephone no (206) 734-4257 Located at 4441 86TH AVE SE MERCER ISLAND WA ZIP+4 980404145			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 Yes No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

5b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALFRED SULLIVAN	TREASURER	0	0	0
4441 86TH AVE SE MERCER ISLAND, WA 98040	4 00			
SHIRLEY ALLEN	PRESIDENT	0	0	0
PO BOX 520 CARNELIAN BAY, CA 96140	2 00			
LUCY SULLIVAN	DIRECTOR	0	0	0
4441 86TH AVE SE MERCER ISLAND, WA 98040	1 00			
HOLLY ALLEN	DIRECTOR	0	0	0
426 SMITH ST SEATTLE, WA 98016	1 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A

1

2

3

4

Part IX-B

1 N/A

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,270,210
b	Average of monthly cash balances.	1b	186,337
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,456,547
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,456,547
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	21,848
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,434,699
6	Minimum investment return. Enter 5% of line 5.	6	71,735

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	71,735
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	1,792
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,792
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	69,943
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	69,943
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	69,943

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	46,495
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	46,495
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	46,495

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				69,943
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009.	31,102			
b From 2010.	26,191			
c From 2011.				
d From 2012.				
e From 2013.				
f Total of lines 3a through e.	57,293			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 46,495				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				46,495
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	23,448			23,448
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	33,845			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	7,654			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	26,191			
10 Analysis of line 9				
a Excess from 2010. . . .	26,191			
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	46,495
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes ☐ No ☒

(see instr)? ☒ Yes ☐ No

Form **990-PF** (2014)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALZHEIMERS ASSN 225 N MICHIGAN AVE 17TH CHICAGO,IL 60601	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
AMERICAN INSTITUTE OF CANCER RESEAR 1759 R ST NW WASHINGTON,DC 20009	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
AMERICAN RED CROSS 2025 E ST WASHINGTON,DC 20006	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
ARIZONA OPERA-TUCSON EDUCATION PROG 1636 N CENTRAL AVE PHOENIX,AZ 85004	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
ARIZONA THREATRE CO 330 S SCOTT AVE TUCSON,AZ 85701	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
ARTS FOR THE SCHOOLS PO BOX 866 TRUCKEE,CA 96161	NONE	SEC501(C) (3)	CHILDREN'S PROGRAMS	500
BEST BUDDIES 4527 N 16TH STREET PHOENIX,AZ 85016	NONE	SEC501(C) (3)	GENERAL SUPPORT	100
CALIFORNIA STATE PARKS 50 FRANCISCO STREET 110 SAN FRANCISCO,CA 94133	NONE	SEC501(C) (3)	COMMUNITY SERVICES	195
CATCHING THE DREAM BOX 30433 PHILADELPHIA,PA 19103	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
CHILDREN'S INSTITUTE 2640 BENSON ROAD S RENTON,WA 98055	NONE	SEC501(C) (3)	CHILDREN'S PROGRAMS	1,000
COMPASSION WITHOUT BORDERS 537 4TH ST SUITE F SANTA ROSA,CA 95401	NONE	SEC501(C) (3)	ANIMAL PROTECTION	500
COVENANT HOUSE 461 EIGHTH AVENUE NEW YORK,NY 10001	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	500
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK,NY 10001	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	1,000
DREAMS FOR ORPHANS 1808 1ST AVE W SEATTLE,WA 98119	NONE	SEC501(C) (3)	GENERAL SUPPORT	1,000
EARTH ISLAND INSTITUTE 2150 ALLSTON WAY 460 BERKELEY,CA 94704	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	1,000
Total ▶ 3a				46,495

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GLIDE CHURCH 330 ELLIS STREET SAN FRANCISCO,CA 94102	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
GREENPEACE 702 H STREET NW 300 WASHINGTON,DC 20001	NONE	SEC501(C) (3)	GENERAL SUPPORT	100
KIWANIS CLUB 3636 WOODVIEW TRACE INDIANAPOLIS,IN 46268	NONE	SEC501(C) (3)	COMMUNITY SERVICES	10,000
KQED 2601 MARIPOSA STREET SAN FRANCISCO,CA 94110	NONE	SEC501(C) (3)	GENERAL SUPPORT	100
LEAGUE TO SAVE LAKE TAHOE 2608 LAKE TAHOE BOULEVARD SOUTH LAKE TAHOE,CA 96150	NONE	SEC501(C) (3)	GENERAL SUPPORT	1,650
LITERACY VOLUNTEERS OF TUCSON 2850 E SPEEDWAY BLVD TUCSON,AZ 85716	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
MAKE A WISH SAN FRANCISCO 225 BUSH ST 16/F SAN FRANCISCO,CA 94104	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
METROPOLITAN OPERA GUILD 70 LINCOLN CENTER PLZ 1 NEW YORK,NY 10023	NONE	SEC501(C) (3)	ARTS ENRICHMENT	1,000
MILLIONAIRE CLUB CHARITY 2515 WESTERN AVE SEATTLE,WA 98121	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
MOCKINGBIRD SOCIETY 2100 24TH AVE S 240 SEATTLE,WA 98144	NONE	SEC501(C) (3)	CHILDREN'S PROGRAMS	1,000
NATIONAL PARKS CONSERVATION ASSOC 777 6TH STREET NW WASHINGTON,DC 20001	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	1,000
NATIONAL WILDLIFE FEDERATION PO BOX 1583 MERRIFIELD,VA 22116	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	100
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON,VA 22203	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	500
NORTH LAKE TAHOE HISTORICAL SOCIETY 560 NORTH LAKE BLVD TAHOE CITY,CA 96145	NONE	SEC501(C) (3)	COMMUNITY SERVICES	250
NORTH TAHOE AAUW EDUCATION FOUNDATI 1111 SIXTEENTH ST NW WASHINGTON,DC 20036	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
Total  3a				46,495

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OXFAM 226 CAUSEWAY STREET 5/F BOSTON,MA 02114	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	500
PATRONATO SAN XAVIER PO BOX 522 TUCSON,AZ 85702	NONE	SEC501(C) (3)	GENERAL SUPPORT	500
PIMA LIBRARY FOUNDATION PO BOX 13245 TUCSON,AZ 85732	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
PLACER CO SEARCH & RESCUE PO BOX 1710 TAHOE CITY,CA 96145	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
PRIMVERA FOUNDATION 151 W 40TH STREET TUCSON,AZ 85713	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
PROJECT MANA 948 INCLINE WAY INCLINE VILLAGE,NV 89451	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
ROTARY FIRST HARVEST 1201 1ST AVE S 327 SEATTLE,WA 98134	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
SALVATION ARMY 1000 4TH AVE S SEATTLE,WA 98134	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	2,500
SAN FRANCISCO FOOD BANK 900 PENNSYLVANIA AVE SAN FRANCISCO,CA 94107	NONE	SEC501(C) (3)	COMMUNITY SERIVCES	1,000
SAVE THE BAY 1330 BROADWAY 1800 OAKLAND,CA 94612	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	500
SEASON OF SHARING FUND PO BOX 44740 SAN FRANCISCO,CA 94144	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	250
SIERRA CLUB 85 SECOND STREET 750 SAN FRANCISCO,CA 94105	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	1,000
SIERRA SENIOR SERVICES 10040 ESTATES DR A TRUCKEE,CA 96161	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
SMILE TRAIN 41 MADISON AVE 28/F NEW YORK,NY 10010	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
SPCA FOR MONTEREY COUNTY PO BOX 3058 MONTEREY,CA 93942	NONE	SEC501(C) (3)	ANIMAL PROTECTION	250
Total  3a				46,495

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SQUAW VALLEY CHAPEL PO BOX 7437 TAHOE CITY,CA 96145	NONE	SEC501(C) (3)	COMMUNITY SERVICES	250
ST JUDE CHILDREN'S RESEARCH HOSPIT 262 DANNY THOMAS PLACE MEMPHIS,TN 38105	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
TAHOE RIM TRAIL ASSN PO BOX 3267 STATELINE,NV 89449	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	500
TMC FOUNDATION 5301 E GRANT ROAD TUCSON,AZ 85712	NONE	SEC501(C) (3)	FOOD CONSERVATION PRGM	250
TUCSON COMMUNITY FOOD BANK 3003 S COUNTRY CLUB RD TUCSON,AZ 85713	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
TUCSON MUSEUM OF ART 140 NORTH MAIN AVENUE TUCSON,AZ 85701	NONE	SEC501(C) (3)	COMMUNITY SERVICES	500
UA FOUNDATION-ALLEN FAMILY LIB FUND 1111 N CHERRY AVENUE TUCSON,AZ 85721	NONE	SEC501(C) (3)	COMMUNITY SERVICES	1,000
VISHAL HIMALAYA FOUNDATION 6019 78TH AVE SE MERCER ISLAND,WA 98040	NONE	SEC501(C) (3)	HUMANITARIAN SERVICES	1,000
WILDERNESS SOCIETY 1615 M STREET NW WASHINGTON,DC 20036	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	1,000
YOSEMITE FUND 101 MONTGOMERY STREET SAN FRANCISCO,CA 94104	NONE	SEC501(C) (3)	ENVIRONMENTAL PROTECTION	1,000
Total ▶ 3a				46,495

**TY 2014 Investments Corporate
Bonds Schedule****Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130

Name of Bond	End of Year Book Value	End of Year Fair Market Value
US TRUST	178,178	180,015

TY 2014 Investments Corporate Stock Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US TRUST	647,963	955,582

TY 2014 Investments - Other Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
US TRUST	AT COST	174,852	172,152

TY 2014 Legal Fees Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	1,001			

TY 2014 Other Expenses Schedule**Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT EXPENSES	11,732	11,732		
ADMINISTRATIVE EXPENSES	511			

TY 2014 Taxes Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	1,320			
FOREIGN TAXES PAID	849			