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EXTENDED TO NOVEMBER 16, 2015

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

|   |  |  |
|---|--|--|
| Name of foundation<br><b>THE FANT FOUNDATION</b>  |  | A Employer identification number<br><b>76-0443413</b>  |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>1322 N. POST OAK</b>   | Room/suite   | B Telephone number<br><b>713-686-9631</b>  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>HOUSTON, TX 77055</b>  |  | C If exemption application is pending, check here <input type="checkbox"/>   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change  |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><b>\$ 915741.</b>  | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |

| Part I Analysis of Revenue and Expenses      |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>                               |  |                                    |                           |                         |   |
| 1  | Contributions, gifts, grants, etc., received                                     | 916000.                            |                           |                         |   |
| 2  | Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |   |
| 3  | Interest on savings and temporary cash investments                               | 254.                               | 254.                      | 254.                    | STATEMENT 1   |
| 4  | Dividends and interest from securities   | 16460.                             | 16460.                    | 16460.                  | STATEMENT 2   |
| 5a   | Gross rents  |                                    |                           |                         |   |
| b  | Net rental income or (loss)  |                                    |                           |                         |   |
| 6a   | Net gain or (loss) from sale of assets not on line 10                            | 83089.                             |                           |                         |   |
| b  | Gross sales price for all assets on line 6a                                      | 627172.                            |                           |                         |   |
| 7  | Capital gain net income (from Part IV, line 2)                                   |                                    | 83089.                    |                         |   |
| 8  | Net short-term capital gain  |                                    | 0.                        |                         |   |
| 9  | Income modifications   |                                    |                           |                         |   |
| 10a  | Gross sales less returns and allowances  |                                    |                           |                         |   |
| b  | Less: Cost of goods sold   |                                    |                           |                         |   |
| c  | Gross profit or (loss)   |                                    |                           |                         |   |
| 11   | Other income   | 131.                               | 131.                      | 131.                    | STATEMENT 3   |
| 12   | <b>Total. Add lines 1 through 11</b>   | <b>1015934.</b>                    | <b>99934.</b>             | <b>16845.</b>           |   |
| <b>Operating and Administrative Expenses</b> |  |                                    |                           |                         |   |
| 13   | Compensation of officers, directors, trustees, etc                               | 0.                                 | 0.                        | 0.                      | 0.  |
| 14   | Other employee salaries and wages  |                                    |                           |                         |   |
| 15   | Pension plans, employee benefits   |                                    |                           |                         |   |
| 16a  | Legal fees   |                                    |                           |                         |   |
| b  | Accounting fees <b>STMT 4</b>  | 5763.                              | 2882.                     | 5763.                   | 0.  |
| c  | Other professional fees <b>STMT 5</b>  | 4381.                              | 2191.                     | 4381.                   | 0.  |
| 17   | Interest   |                                    |                           |                         |   |
| 18   | Taxes <b>STMT 6</b>  | 1146.                              | 1146.                     | 1146.                   | 0.  |
| 19   | Depreciation and depletion   |                                    |                           |                         |   |
| 20   | Occupancy  |                                    |                           |                         |   |
| 21   | Travel, conferences, and meetings  |                                    |                           |                         |   |
| 22   | Printing and publications  |                                    |                           |                         |   |
| 23   | Other expenses <b>STMT 7</b>   | 45.                                | 23.                       | 45.                     | 0.  |
| 24   | <b>Total operating and administrative expenses. Add lines 13 through 23</b>      | <b>11335.</b>                      | <b>6242.</b>              | <b>11335.</b>           | <b>0.</b>   |
| 25   | Contributions, gifts, grants paid  | 870476.                            |                           |                         | 870476.   |
| 26   | <b>Total disbursements. Add lines 24 and 25</b>                                  | <b>881811.</b>                     | <b>6242.</b>              | <b>11335.</b>           | <b>870476.</b>  |
| 27   | Subtract line 26 from line 12  | 134123.                            |                           |                         |   |
| a  | Excess of revenue over expenses and disbursements                                |                                    | 93692.                    |                         |   |
| b  | Net investment income (if negative, enter -0-)                                   |                                    |                           | 5510.                   |   |
| c  | Adjusted net income (if negative, enter -0-)                                     |                                    |                           |                         |   |

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| Part II Balance Sheets   |   | Attached schedules and amounts in the description column should be for end-of-year amounts only |                |                       |
|--|---|---|----------------|-----------------------|
|  |   | Beginning of year   | End of year    |                       |
|  |   | (a) Book Value  | (b) Book Value | (c) Fair Market Value |
| Assets   | 1 Cash - non-interest-bearing   | 12473.  | 49702.         | 49702.                |
|  | 2 Savings and temporary cash investments  |   |                |                       |
|  | 3 Accounts receivable ▶ 4000.   |   |                |                       |
|  | Less: allowance for doubtful accounts ▶   |   | 4000.          | 4000.                 |
|  | 4 Pledges receivable ▶  |   |                |                       |
|  | Less: allowance for doubtful accounts ▶   |   |                |                       |
|  | 5 Grants receivable   |   |                |                       |
|  | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |   |                |                       |
|  | 7 Other notes and loans receivable ▶  |   |                |                       |
|  | Less: allowance for doubtful accounts ▶   |   |                |                       |
|  | 8 Inventories for sale or use   |   |                |                       |
|  | 9 Prepaid expenses and deferred charges   |   |                |                       |
|  | 10a Investments - U.S. and state government obligations                                   |   |                |                       |
|  | b Investments - corporate stock STMT 9  | 424772.   | 517412.        | 435960.               |
|  | c Investments - corporate bonds   |   |                |                       |
|  | 11 Investments - land, buildings, and equipment: basis ▶                                  |   |                |                       |
| Less accumulated depreciation ▶  |   |   |                |                       |
| 12 Investments - mortgage loans  |   |   |                |                       |
| 13 Investments - other STMT 10   | 176511.   | 176765.   | 402579.        |                       |
| 14 Land, buildings, and equipment: basis ▶ 30555.  |   |   |                |                       |
| Less accumulated depreciation STMT 11 ▶ 30555.   |   |   |                |                       |
| 15 Other assets (describe ▶ GRAVEL PIT )   | 23500.  | 23500.  | 23500.         |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 637256.   | 771379.   | 915741.        |                       |
| Liabilities  | 17 Accounts payable and accrued expenses  |   |                |                       |
|  | 18 Grants payable   |   |                |                       |
|  | 19 Deferred revenue   |   |                |                       |
|  | 20 Loans from officers, directors, trustees, and other disqualified persons               |   |                |                       |
|  | 21 Mortgages and other notes payable  |   |                |                       |
|  | 22 Other liabilities (describe ▶ )  |   |                |                       |
| 23 Total liabilities (add lines 17 through 22)   | 0.  | 0.  |                |                       |
| Net Assets or Fund Balances  | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |   |                |                       |
|  | and complete lines 24 through 26 and lines 30 and 31.                                     |   |                |                       |
|  | 24 Unrestricted   |   |                |                       |
|  | 25 Temporarily restricted   |   |                |                       |
|  | 26 Permanently restricted   |   |                |                       |
|  | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |   |                |                       |
|  | and complete lines 27 through 31.   |   |                |                       |
|  | 27 Capital stock, trust principal, or current funds                                       | 0.  | 0.             |                       |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                | 0.  | 0.  |                |                       |
| 29 Retained earnings, accumulated income, endowment, or other funds                              | 637256.   | 771379.   | STATEMENT 8    |                       |
| 30 Total net assets or fund balances   | 637256.   | 771379.   |                |                       |
| 31 Total liabilities and net assets/fund balances  | 637256.   | 771379.   |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|  |   |         |
|--|---|---------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 637256. |
| 2 Enter amount from Part I, line 27a   | 2 | 134123. |
| 3 Other increases not included in line 2 (itemize) ▶   | 3 | 0.      |
| 4 Add lines 1, 2, and 3  | 4 | 771379. |
| 5 Decreases not included in line 2 (itemize) ▶   | 5 | 0.      |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30  | 6 | 771379. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a VARIOUS ST SALES - NO FORM 1099 RECEIVED  | P  | VARIOUS                              | 12/31/14                         |
| b VARIOUS LT SALES - NO FORM 1099 RECEIVED   | P  | VARIOUS                              | 12/31/14                         |
| c CAPITAL GAIN DISTRIBUTION  | P  | VARIOUS                              | 12/31/14                         |
| d  |  |                                      |                                  |
| e  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 164826.             |  | 167596.   | -2770.                                       |
| b 460266.             |  | 376487.   | 83779.                                       |
| c 2080.               |  |   | 2080.  |
| d                     |  |   |  |
| e                     |  |   |  |

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | -2770.  |
| b                         |                                      |   | 83779.  |
| c                         |                                      |   | 2080.   |
| d                         |                                      |   |   |
| e                         |                                      |   |   |

|   |   |   |        |
|---|---|---|--------|
| 2 Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7                 } | 2 | 83089. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |   | 3 | -2770. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2013  | 938847.                               | 721336.                                   | 1.301539   |
| 2012  | 740613.                               | 697230.                                   | 1.062222   |
| 2011  | 992170.                               | 662742.                                   | 1.497068   |
| 2010  | 764965.                               | 676351.                                   | 1.131018   |
| 2009  | 620525.                               | 569972.                                   | 1.088694   |

|  |   |          |
|--|---|----------|
| 2 Total of line 1, column (d)  | 2 | 6.080541 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 1.216108 |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5   | 4 | 734046.  |
| 5 Multiply line 4 by line 3  | 5 | 892679.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)   | 6 | 937.     |
| 7 Add lines 5 and 6  | 7 | 893616.  |
| 8 Enter qualifying distributions from Part XII, line 4   | 8 | 870476.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

| <b>Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)</b>  |          |
|---|----------|
| <b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |          |
| <b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b  | 1 1874.  |
| <b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).   |          |
| <b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  | 2 0.     |
| <b>3</b> Add lines 1 and 2  | 3 1874.  |
| <b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  | 4 0.     |
| <b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-  | 5 1874.  |
| <b>6</b> Credits/Payments:  |          |
| <b>a</b> 2014 estimated tax payments and 2013 overpayment credited to 2014  | 6a 24.   |
| <b>b</b> Exempt foreign organizations - tax withheld at source  | 6b       |
| <b>c</b> Tax paid with application for extension of time to file (Form 8868)  | 6c 1000. |
| <b>d</b> Backup withholding erroneously withheld  | 6d       |
| <b>7</b> Total credits and payments. Add lines 6a through 6d  | 7 1024.  |
| <b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached  | 8 1.     |
| <b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed  | 9 851.   |
| <b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid   | 10       |
| <b>11</b> Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>  | 11       |

| <b>Part VII-A Statements Regarding Activities</b>   |    |   | Yes | No  |
|---|----|---|-----|-----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  |    |   |     | X   |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |    |   |     | X   |
| <b>c</b> Did the foundation file Form 1120-POL for this year?   |    |   |     | X   |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. $\$$ 0. (2) On foundation managers. $\$$ 0.   |    |   |     |     |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. $\$$ 0.  |    |   |     |     |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities   | 2  |   |     | X   |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes   | 3  |   |     | X   |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?   | 4a |   |     | X   |
| <b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?   | 4b |   |     | N/A |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.  | 5  |   |     | X   |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?         | 6  | X |     |     |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV   | 7  | X |     |     |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <u>TX</u>  | 8a |   |     |     |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  | 8b | X |     |     |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV   | 9  | X |     |     |
| <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  | 10 | X |     |     |

**Part VII-A. Statements Regarding Activities** (continued)

|    |  |   |     |    |
|----|--|---|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  |   |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)   |   |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶ <u>N/A</u>  | X |     |    |
| 14 | The books are in care of ▶ <u>STEPHEN SWAN</u> Telephone no. ▶ <u>713-686-9631</u><br>Located at ▶ <u>1322 N. POST OAK, HOUSTON, TX</u> ZIP+4 ▶ <u>77055</u>   |   |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u>   |   |     |    |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ |   | Yes | No |
|    |  |   |     | X  |

**Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|   | Yes | No |
|---|-----|----|
| <b>1a</b> During the year did the foundation (either directly or indirectly):   |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>   | 1b  | X  |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?  | 1c  | X  |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):   |     |    |
| <b>a</b> At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ _____, _____, _____  |     |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>   | 2b  |    |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>▶ _____, _____, _____  |     |    |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| <b>b</b> If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <u>N/A</u> | 3b  |    |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a  | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  | 4b  | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

|           |  |          |
|-----------|--|----------|
|           |  |          |
| <b>5b</b> |  | <b>X</b> |
| <b>6b</b> |  | <b>X</b> |
| <b>7b</b> |  |          |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address   | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| JANA FANT C/O NEW PROCESS STEEL<br>1322 N. POST OAK<br>HOUSTON, TX 77055       | DIRECTOR<br>2.00  | 0.  | 0.  | 0.                                    |
| STEPHEN SWAN C/O NEW PROCESS STEEL<br>1322 N. POST OAK<br>HOUSTON, TX 77055    | DIRECTOR<br>2.00  | 0.  | 0.  | 0.                                    |
| RICHARD E. FANT C/O NEW PROCESS STEEL<br>1322 N. POST OAK<br>HOUSTON, TX 77055 | DIRECTOR<br>2.00  | 0.  | 0.  | 0.                                    |
|  |   |   |   |                                       |
|  |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total number of other employees paid over \$50,000** **0**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
| <b>Total number of others receiving over \$50,000 for professional services</b> |                     | <b>0</b>         |

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 SEE ATTACHED STATEMENT 14. SEE ALSO ATTACHED STATEMENT OF BENEFICIARIES AND CONTRIBUTIONS.   | 870476.  |
| 2  |          |
| 3  |          |
| 4  |          |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |           |
|---|--------|-----------|
| 1 NONE  | 0.     |           |
| 2   |        |           |
| All other program-related investments. See instructions.<br>3 NONE  | 0.     |           |
| <b>Total.</b> Add lines 1 through 3   |        | <b>0.</b> |

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |   |    |         |
|---|---|----|---------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |   |    |         |
| a   | Average monthly fair market value of securities   | 1a | 618272. |
| b   | Average of monthly cash balances  | 1b | 103452. |
| c   | Fair market value of all other assets   | 1c | 23500.  |
| d   | <b>Total</b> (add lines 1a, b, and c)   | 1d | 745224. |
| e   | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.      |
| 2   | Acquisition indebtedness applicable to line 1 assets  | 2  | 0.      |
| 3   | Subtract line 2 from line 1d  | 3  | 745224. |
| 4   | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 11178.  |
| 5   | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 734046. |
| 6   | <b>Minimum investment return.</b> Enter 5% of line 5  | 6  | 36702.  |

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|    |   |    |  |
|----|---|----|--|
| 1  | Minimum investment return from Part X, line 6   | 1  |  |
| 2a | Tax on investment income for 2014 from Part VI, line 5  | 2a |  |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)  | 2b |  |
| c  | Add lines 2a and 2b   | 2c |  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  |  |
| 4  | Recoveries of amounts treated as qualifying distributions   | 4  |  |
| 5  | Add lines 3 and 4   | 5  |  |
| 6  | Deduction from distributable amount (see instructions)  | 6  |  |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  |  |

**Part XII**

**Qualifying Distributions** (see instructions)

|  |   |    |         |
|--|---|----|---------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |   |    |         |
| a  | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 870476. |
| b  | Program-related investments - total from Part IX-B  | 1b | 0.      |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |         |
| 3 Amounts set aside for specific charitable projects that satisfy the:                       |   |    |         |
| a  | Suitability test (prior IRS approval required)  | 3a |         |
| b  | Cash distribution test (attach the required schedule)   | 3b |         |
| 4  | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 870476. |
| 5  | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.      |
| 6  | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | 6  | 870476. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

N/A

|  | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7   |               |                            |             |             |
| 2 Undistributed income, if any, as of the end of 2014  |               |                            |             |             |
| a Enter amount for 2013 only   |               |                            |             |             |
| b Total for prior years:   |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2014:   |               |                            |             |             |
| a From 2009  |               |                            |             |             |
| b From 2010  |               |                            |             |             |
| c From 2011  |               |                            |             |             |
| d From 2012  |               |                            |             |             |
| e From 2013  |               |                            |             |             |
| f Total of lines 3a through e  |               |                            |             |             |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$  |               |                            |             |             |
| a Applied to 2013, but not more than line 2a   |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  |               |                            |             |             |
| d Applied to 2014 distributable amount   |               |                            |             |             |
| e Remaining amount distributed out of corpus   |               |                            |             |             |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               |                            |             |             |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.  |               |                            |             |             |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  |               |                            |             |             |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       |               |                            |             |             |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7   |               |                            |             |             |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  |               |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2010   |               |                            |             |             |
| b Excess from 2011   |               |                            |             |             |
| c Excess from 2012   |               |                            |             |             |
| d Excess from 2013   |               |                            |             |             |
| e Excess from 2014   |               |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling **01/20/95**  
 b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

|   | Tax year |          |               |          | (e) Total |
|---|----------|----------|---------------|----------|-----------|
|   | (a) 2014 | (b) 2013 | Prior 3 years |          |           |
|   |          |          | (c) 2012      | (d) 2011 |           |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     | 5510.    | 536.     | 145.          | 0.       | 6191.     |
| b 85% of line 2a  | 4684.    | 456.     | 123.          | 0.       | 5262.     |
| c Qualifying distributions from Part XII, line 4 for each year listed   | 870476.  | 938847.  | 740613.       | 992209.  | 3542145.  |
| d Amounts included in line 2c not used directly for active conduct of exempt activities   | 0.       | 0.       | 0.            | 0.       | 0.        |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                   | 870476.  | 938847.  | 740613.       | 992209.  | 3542145.  |
| 3 Complete 3a, b, or c for the alternative test relied upon:  |          |          |               |          |           |
| a "Assets" alternative test - enter:  |          |          |               |          |           |
| (1) Value of all assets   |          |          |               |          | 0.        |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |          |               |          | 0.        |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              | 24468.   | 24045.   | 23241.        | 22091.   | 93845.    |
| c "Support" alternative test - enter:   |          |          |               |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |          |               |          | 0.        |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |          |               |          | 0.        |
| (3) Largest amount of support from an exempt organization   |          |          |               |          | 0.        |
| (4) Gross investment income   |          |          |               |          | 0.        |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**  
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
**NONE**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 13**

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|---|--|--------------------------------------|-------------------------------------|---------|
| <p><b>a</b> <i>Paid during the year</i></p> <p>SEE STATEMENT 14</p> |  |                                      |                                     | 776260. |
| <p>SEE STATEMENT 15</p>   |  |                                      |                                     | 94216.  |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
| <b>Total</b>  |  |                                      | ▶ <b>3a</b>                         | 870476. |
| <p><b>b</b> <i>Approved for future payment</i></p>                  |  |                                      |                                     |         |
| <p><b>NONE</b></p>  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
| <b>Total</b>  |  |                                      | ▶ <b>3b</b>                         | 0.      |





**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

THE FANT FOUNDATION

Employer identification number

76-0443413

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

Employer identification number

**THE FANT FOUNDATION**

**76-0443413**

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                          | (c)<br>Total contributions | (d)<br>Type of contribution  |
|------------|--|----------------------------|--|
| 1          | NEW PROCESS STEEL<br>1322 N. POST OAK<br>HOUSTON, TX 77055 | \$ 816000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions) |
| 2          | RICHARD E. FANT<br>1322 N. POST OAK<br>HOUSTON, TX 77055   | \$ 100000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions) |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |

Name of organization

Employer identification number

**THE FANT FOUNDATION**

**76-0443413**

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|--|--|----------------------|
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |
|                              | _____<br>_____<br>_____                      | \$ _____                                       | _____                |

|  |   |
|--|---|
| Name of organization<br><b>THE FANT FOUNDATION</b> | Employer identification number<br><b>76-0443413</b> |
|--|---|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I                            | (b) Purpose of gift | (c) Use of gift                                 | (d) Description of how gift is held |
|--|---------------------|---|-------------------------------------|
|  |                     |   |                                     |
| <b>(e) Transfer of gift</b>                    |                     |   |                                     |
| <b>Transferee's name, address, and ZIP + 4</b> |                     | <b>Relationship of transferor to transferee</b> |                                     |
|  |                     |   |                                     |
| (a) No. from Part I                            | (b) Purpose of gift | (c) Use of gift                                 | (d) Description of how gift is held |
|  |                     |   |                                     |
| <b>(e) Transfer of gift</b>                    |                     |   |                                     |
| <b>Transferee's name, address, and ZIP + 4</b> |                     | <b>Relationship of transferor to transferee</b> |                                     |
|  |                     |   |                                     |
| (a) No. from Part I                            | (b) Purpose of gift | (c) Use of gift                                 | (d) Description of how gift is held |
|  |                     |   |                                     |
| <b>(e) Transfer of gift</b>                    |                     |   |                                     |
| <b>Transferee's name, address, and ZIP + 4</b> |                     | <b>Relationship of transferor to transferee</b> |                                     |
|  |                     |   |                                     |
| (a) No. from Part I                            | (b) Purpose of gift | (c) Use of gift                                 | (d) Description of how gift is held |
|  |                     |   |                                     |
| <b>(e) Transfer of gift</b>                    |                     |   |                                     |
| <b>Transferee's name, address, and ZIP + 4</b> |                     | <b>Relationship of transferor to transferee</b> |                                     |
|  |                     |   |                                     |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| INTEREST INCOME         | 254.                        | 254.                            | 254.                          |
| TOTAL TO PART I, LINE 3 | 254.                        | 254.                            | 254.                          |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDEND INCOME   | 16460.          | 0.                            | 16460.                      | 16460.                            | 16460.                        |
| TO PART I, LINE 4 | 16460.          | 0.                            | 16460.                      | 16460.                            | 16460.                        |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| LITIGATION SETTLEMENT                 | 75.                         | 75.                               | 75.                           |
| PROPERTY TAX REFUND                   | 56.                         | 56.                               | 56.                           |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 131.                        | 131.                              | 131.                          |

FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 5763.                        | 2882.                             | 5763.                         | 0.                            |
| TO FORM 990-PF, PG 1, LN 16B | 5763.                        | 2882.                             | 5763.                         | 0.                            |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PROFESSIONAL FEES            | 4381.                        | 2191.                             | 4381.                         | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 4381.                        | 2191.                             | 4381.                         | 0.                            |

FORM 990-PF TAXES STATEMENT 6

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAX PAID            | 1146.                        | 1146.                             | 1146.                         | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 1146.                        | 1146.                             | 1146.                         | 0.                            |

FORM 990-PF OTHER EXPENSES STATEMENT 7

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| BANK SERVICE CHARGES        | 45.                          | 23.                               | 45.                           | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 45.                          | 23.                               | 45.                           | 0.                            |

FORM 990-PF OTHER FUNDS STATEMENT 8

| DESCRIPTION                            | (A)<br>BEGINNING OF<br>YEAR | (B)<br>END OF<br>YEAR |
|--|-----------------------------|-----------------------|
| ENDOWMENT FUND                         | 637256.                     | 771379.               |
| TOTAL TO FORM 990-PF, PART II, LINE 29 | 637256.                     | 771379.               |

| FORM 990-PF                             | CORPORATE STOCK | STATEMENT  | 9                 |
|---|-----------------|------------|-------------------|
| DESCRIPTION                             |                 | BOOK VALUE | FAIR MARKET VALUE |
| RAYMOND JAMES                           |                 | 517412.    | 435960.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B |                 | 517412.    | 435960.           |

| FORM 990-PF                            | OTHER INVESTMENTS | STATEMENT  | 10                |
|--|-------------------|------------|-------------------|
| DESCRIPTION                            | VALUATION METHOD  | BOOK VALUE | FAIR MARKET VALUE |
| OTHER INVESTMENTS                      | COST              | 176765.    | 402579.           |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                   | 176765.    | 402579.           |

| FORM 990-PF                        | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT                | 11         |
|------------------------------------|--|--------------------------|------------|
| DESCRIPTION                        | COST OR OTHER BASIS                            | ACCUMULATED DEPRECIATION | BOOK VALUE |
| MICROSCOPE                         | 30555.   | 30555.                   | 0.         |
| TOTAL TO FM 990-PF, PART II, LN 14 | 30555.   | 30555.                   | 0.         |

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FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 12

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NAME OF CONTRIBUTOR

ADDRESS

RICHARD E. FANT

1322 N. POST OAK  
HOUSTON, TX 77055

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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

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FANT FOUNDATION  
1322 N. POST OAK  
HOUSTON, TX 77055

FORM AND CONTENT OF APPLICATIONS

---

PLEASE WRITE TO THE FANT FOUNDATION FOR APPLICATION INFORMATION AND MATERIALS.

ANY SUBMISSION DEADLINES

---

NOT APPLICABLE

RESTRICTIONS AND LIMITATIONS ON AWARDS

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AWARDS FOR SUPPORT AND ASSISTANCE ARE MADE TO INDIVIDUALS ON A NONDISCRIMINATORY BASIS AND MAY BE LIMITED/RESTRICTED TO SPECIFIC PURPOSES.

FORM 990-PF  
Part IX-A, Line 1

Fant Foundation  
Summary of Direct Charitable Activities  
EIN: 76-0443413

The Fant Foundation was formed to provide support and assistance to impoverished, distressed and disadvantaged individuals and families who seek to improve their present living conditions. In order to accomplish this charitable purpose, the Foundation maintains direct and significant involvement in providing relief to these persons by supplying food, clothing, money, transportation, guidance, general counseling and other necessary goods or services through direct active conduct, without the assistance of an intervening organization or agency.

The Foundation's primary objective is to provide humanitarian services, in-kind assistance and financial support to selected recipients who demonstrate a willingness and desire to improve their economic living conditions, but are incapable due to their economic, mental or physical limitations or circumstances. These recipients may, or may not, otherwise qualify for or receive assistance from other organizations or agencies. On occasion the Foundation may provide temporary relief in the form of food, clothing and other essential goods to persons in areas stricken by natural disasters. During 2014, the direct charitable activities of the Foundation were focused primarily on impoverished, indigent, distressed and disadvantaged persons living in Houston, Texas.

Recipients were identified and screened by the Foundation based upon specific information gathered during research, field work and from support applications or recommendations made by other organizations and agencies. The existing living conditions of the recipients were assessed by the Foundation in light of the recipient's specific economic, mental or physical limitations and circumstances. Determinations regarding the selection of the recipients and support awarded during 2014 were made by the Foundation's board based upon Foundation manager recommendations and reports regarding each recipient's specific circumstances and level of need. Through on-going follow up, the specific conditions of the recipients are monitored and recorded. Based upon this information, decisions regarding appropriate levels of continued support are made by the Foundation's board.

## 2014 FOUNDATION CONTRIBUTIONS

| CONTRIBUTIONS TO GROUPS                             |  |  | PURPOSE        | AMOUNT         |
|---|--|--|----------------|----------------|
| NAME  | ADDRESS  |  |                |                |
| Amschwand Sarcoma Cancer                            | 2260 W. Holcombe Blvd , Suite 174, Houston, TX 77030             |  | Public Charity | 10,000         |
| Autism Speaks                                       | 1 East 33 <sup>rd</sup> Street, 41 Floor, New York, NY 10016     |  | Public Charity | 10,000         |
| American Red Cross                                  | 2700 Southwest Freeway, Houston, TX 77098                        |  | Public Charity | 2,500          |
| B+ Foundation                                       | c/o Rich Jochetz, 13419 Tosca Lane, Houston, TX 77079            |  | Public Charity | 5,000          |
| Baylor College of Medicine                          | One Baylor Plaza, Houston, TX 77030                              |  | Public Charity | 25,000         |
| Best Buddies  | 100 Southwest Second Street, Suite 2200, Miami, FL 33131         |  | Public Charity | 125,000        |
| Bo's Place  | 10050 Buffalo Soedwaw, Houston 77054                             |  | Public Charity | 10,000         |
| Bosnian Catastrophic Flood ing                      | c/o Jasmin Sehic, 17907 Double Bay Road, Cvoress, TX 77429       |  | Public Charity | 20,000         |
| Boys and Girls Country                              | 18806 Robe11s Road, Hockley, TX 77447                            |  | Public Charity | 7,500          |
| Briarwood School                                    | 12207 Whittington, Houston, TX 77077                             |  | Public Charity | 19,760         |
| Cabo Relief Effort                                  | Wired to Los Cabos   |  | Public Charity | 5,000          |
| Casa de Esperanza                                   | P O Box 66581, Houston, TX 77266                                 |  | Public Charity | 25,000         |
| Cancer Forward                                      | P O Box 1054, Houston, TX 77251                                  |  | Public Charity | 10,000         |
| Children at Risk                                    | 2900 Wesleyan, Suite 400, Houston, TX 77027                      |  | Public Charity | 10,000         |
| Children's Assessment Center                        | 2500 Bodslover, Houston, TX 77005                                |  | Public Charity | 10,000         |
| Citizens for Animal Protection                      | 17555 Katv Freewaw, Houston, TX 77094                            |  | Public Charity | 3,000          |
| Community Immunity                                  | 3000 Richmond Avenue, Suite 200B, Houston, TX 77098              |  | Public Charity | 2,500          |
| DEA Survivors Benefit Fund                          | 2020 Pennsylvania Ave , NW, PMB 659, Washington, DC 20006        |  | Public Charity | 1,000          |
| Del Mar Family Relief Fund                          | 11 Greenway Plaza, Suite 3100, Houston, TX 77046                 |  | Public Charity | 25,000         |
| DePelchin Children's Center                         | 4950 Memorial Drive, Houston, TX 77007                           |  | Public Charity | 10,000         |
| Halo House Foundation                               | 4010 Blue Bonnet Blvd , #110, Houston, TX 77025                  |  | Public Charity | 10,000         |
| Heroes for Children                                 | 9039 Katy Freeway, Suite 507, Houston, TX 77024                  |  | Public Charity | 10,000         |
| Holocaust Museum Houston                            | 5401 Caroline, Houston, TX 77004                                 |  | Public Charity | 15,000         |
| Hoof Prints and Heartbeats Organization             | 5955 FM 360, Needville, TX 77461                                 |  | Public Charity | 14,000         |
| Houston Achievement Place                           | 245 W 17 <sup>th</sup> , Houston, TX 77008                       |  | Public Charity | 13,000         |
| Houston Area Women's Center                         | 1010 Waugh Drive, Houston 77019                                  |  | Public Charity | 50,000         |
| Houston Ballet                                      | 1921 W Bell, Houston, TX 77019                                   |  | Public Charity | 13,500         |
| Houston Children's Charity                          | 230 Westcott, Houston, TX 77007                                  |  | Public Charity | 12,500         |
| Houston Livestock Show & Rodeo                      | 8334 Fannin, Houston, TX 77054                                   |  | Public Charity | 17,500         |
| Houston PetSet                                      | 1302 Waugh Drive #825, Houston, TX 77019                         |  | Public Charity | 5,000          |
| Houston Police Foundation                           | P O Box 346, Houston, TX 77001                                   |  | Public Charity | 25,000         |
| I Am Waters Foundation                              | 3262 Westheimer, Houston, TX                                     |  | Public Charity | 50,000         |
| K9s4COPS  | 1210 W Clay St, Houston, TX 77019                                |  | Public Charity | 20,000         |
| Kingwood Fallen Heroes Golf Tournament              | c/o Scott Mitchell, 3235 S Woodstream Way, Kingwood, TX 77345    |  | Public Charity | 5,000          |
| Krist Samartan Center                               | 17555 El Camino Real, Houston, TX 77058                          |  | Public Charity | 10,000         |
| Lazarus House                                       | 4106 Austin, Houston, TX 77004                                   |  | Public Charity | 5,000          |
| LifeHouse of Houston                                | Box 27627, Houston, TX 77227                                     |  | Public Charity | 5,000          |
| Lutheran Social Services - Krause Children's Center | 25752 Kingsland Blvd, Houston, TX 77494                          |  | Public Charity | 100,000        |
| March of Dimes                                      | 3000 Wesleyan, Suite 100, Houston 77027                          |  | Public Charity | 5,000          |
| National Kidney Foundation of Indiana               | 911 E 86 <sup>th</sup> Street, Suite 100, Indianapolis, IN 46240 |  | Public Charity | 10,000         |
| Neighborhood Centers, Inc                           | P O Box 271389, Houston, TX 77277                                |  | Public Charity | 2,500          |
| Quincy University - Dick Magliari Scholarship Fund  | 1800 College Avenue, Quincy, IL 62301                            |  | Public Charity | 5,000          |
| Seven Acres Jewish Senior Care Services             | 6200 N01th Braeswood, Houston, TX 77074                          |  | Public Charity | 5,000          |
| South Bend Hebrew Day School                        | 2722 W Tucker Drive, South Bend, IN 46624                        |  | Public Charity | 1,000          |
| St Theresa Catholic School                          | 6622 Haskell, Houston, TX 77007                                  |  | Public Charity | 10,000         |
| Stages Repertory Theatre                            | 3201 Allen Parkway, Suite 101, Houston, TX 77019                 |  | Public Charity | 10,000         |
| Texas Children's Hospital                           | 18200 Katy Freeway, Houston, TX 77094                            |  | Public Charity | 5,000          |
| The Health Museum                                   | 1515 Hermann Drive, Houston, TX 77004                            |  | Public Charity | 6,000          |
|   |  |  | <b>TOTAL</b>   | <b>776,260</b> |

## 2014 FOUNDATION CONTRIBUTIONS

| CONTRIBUTIONS TO INDIGENT CARE         |   |  | PURPOSE  | AMOUNT |
|--|---|--|----------|--------|
| NAME                                   | ADDRESS   |  |          |        |
| Alice Denson                           | 6103 Knollwest Drive, Houston, TX 77072             |  | Indigent | 1,000  |
| Beverly Morgenstern                    | 9449 Briarforest Drive, Apt 5805, Houston, TX 77063 |  | Indigent | 1,000  |
| Christine Rodriguez                    | 6227 S Bavou Knoll Drive, Houston, TX 77072         |  | Indigent | 1,000  |
| Ed ie Edwards                          | 123 Winkler Drive, #42, Houston, TX 77087           |  | Indigent | 2,000  |
| JoAnn Gallagher                        | 9621 137th Street, NW, Gig Harbor, WA 98329         |  | Indigent | 2,000  |
| Kathy Jamison                          | 22427 N Rebecca Burrell Lane, Katy 77449            |  | Indigent | 7,000  |
| Kay Snodgrass                          | 1 18 Chapinmond Rd, Pearcy, Ark 71904               |  | Indigent | 2,000  |
| Kelly Williams                         | 12403 Mossycu p Drive, Houston, TX 77024            |  | Indigent | 1,000  |
| Margaret Scalora                       | 407 Village Lane, Buda, TX 78610                    |  | Indigent | 2,000  |
| Maureen Mallory                        | 15712 Lakeway Drive, Willis, TX 77318               |  | Indigent | 2,000  |
| Marvin Bradburn Revocable Living Trust | Wired to Bank holding the Living Trust              |  | Indigent | 25,000 |
| Marily Willoughby Fund                 | 8402 North Brastle Pine Drive, Spring, TX 77379     |  | Indigent | 10,000 |
| Jeanne Pierce                          | 7815 S Braeswood Blvd, Houston, TX 77071            |  | Indigent | 15,000 |
|  |   |  | Subtotal | 71,000 |
| EDUCATION GRANTS                       |   |  | PURPOSE  | AMOUNT |
| NAME                                   | ADDRESS   |  |          |        |
| Kelley Cuellar                         | 7218 San Lucas Drive, Houston 7783                  |  | Indigent | 18,216 |
| Jordan Watson                          | P O Box 249, Gorman, TX 76454                       |  | Indigent | 5,000  |
|  |   |  | Subtotal | 23,216 |
|  |   |  | TOTAL    | 94,216 |

2014 DEPRECIATION AND AMORTIZATION REPORT  
 FORM 990-PF PAGE 1

990-PF

| Asset No | Description         | Date Acquired | Method | Life  | Line No | Unadjusted Cost Or Basis | Bus % Excl | Reduction In Basis | Basis For Depreciation | Accumulated Depreciation | Current Sec 179 | Current Year Deduction |
|----------|---------------------|---------------|--------|-------|---------|--------------------------|------------|--------------------|------------------------|--------------------------|-----------------|------------------------|
| 1        | MICROSCOPE          | 09/01/98      | SL     | 10.00 | 16      | 30555.                   |            |                    | 30555.                 | 30555.                   |                 | 0.                     |
|          | * TOTAL 990-PF PG 1 |               |        |       |         | 30555.                   |            | 0.                 | 30555.                 | 30555.                   | 0.              | 0.                     |
|          | DEPR                |               |        |       |         |                          |            |                    |                        |                          |                 |                        |

(D) - Asset disposed

\* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction