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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation GILLILAND FAMILY FOUNDATION		A Employer identification number 76-0181982
Number and street (or P.O. box number if mail is not delivered to street address) 500 S. TAYLOR, LB #249	Room/suite	B Telephone number 806-374-8288
City or town, state or province, country, and ZIP or foreign postal code AMARILLO, TX 79101		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,710,934.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		114,549.	114,549.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		195,659.			
b Gross sales price for all assets on line 6a 1,238,070.					
7 Capital gain net income (from Part IV, line 2)			195,659.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-6,752.	2,613.		STATEMENT 2
12 Total. Add lines 1 through 11		303,456.	312,821.		RECEIVED
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		5.	3.		2.
b Accounting fees STMT 4		9,073.	4,536.		4,537.
c Other professional fees					
17 Interest		1,801.	1,801.		0.
18 Taxes STMT 5		1,697.	1,697.		0.
19 Depreciation and depletion		4,012.	343.		
20 Occupancy					
21 Travel, conferences, and meetings		633.	0.		0.
22 Printing and publications					
23 Other expenses STMT 6		287,988.	80,162.		227,606.
24 Total operating and administrative expenses. Add lines 13 through 23		305,209.	88,542.		232,145.
25 Contributions, gifts, grants paid		112,837.			112,837.
26 Total expenses and disbursements. Add lines 24 and 25		418,046.	88,542.		344,982.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-114,590.			
b Net investment income (if negative, enter -0-)			224,279.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	13,990.	5,593.	5,593.
	2 Savings and temporary cash investments	145,817.	198,218.	198,218.
	3 Accounts receivable ▶ -1,743.			
	Less: allowance for doubtful accounts ▶	1,049.	-1,743.	
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	732,446.	722,618.	963,499.
	c Investments - corporate bonds STMT 9	1,634,722.	1,452,880.	1,486,071.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	818,976.	858,512.	1,836,061.
	14 Land, buildings, and equipment basis ▶ 259,356.			
	Less: accumulated depreciation STMT 11 ▶ 117,982.	145,042.	141,374.	221,202.
	15 Other assets (describe ▶ UTILITY DEPOSITS)	290.	290.	290.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,492,332.	3,377,742.	4,710,934.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,492,332.	3,377,742.	
	30 Total net assets or fund balances	3,492,332.	3,377,742.	
	31 Total liabilities and net assets/fund balances	3,492,332.	3,377,742.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,492,332.
2 Enter amount from Part I, line 27a	2	-114,590.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,377,742.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,377,742.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,238,070.		1,042,522.	195,659.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			195,659.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	195,659.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	392,287.	4,393,420.	.089290
2012	293,121.	4,169,351.	.070304
2011	345,099.	4,263,265.	.080947
2010	273,887.	4,281,626.	.063968
2009	344,550.	3,854,752.	.089383

2 Total of line 1, column (d)	2	.393892
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.078778
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	4,648,356.
5 Multiply line 4 by line 3	5	366,188.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,243.
7 Add lines 5 and 6	7	368,431.
8 Enter qualifying distributions from Part XII, line 4	8	344,982.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	4,486.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	3	4,486.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3 Add lines 1 and 2	5	4,486.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a	2,929.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	6b	
6 Credits/Payments:	6c	3,000.
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6d	
b Exempt foreign organizations - tax withheld at source	7	5,929.
c Tax paid with application for extension of time to file (Form 8868)	8	
d Backup withholding erroneously withheld	9	
7 Total credits and payments. Add lines 6a through 6d	10	1,443.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ 1,443. Refunded ☐		

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of SANDRA GILLILAND Telephone no. (806) 374-8288 Located at 500 S. TAYLOR, LB 249, AMARILLO, TX ZIP+4 79101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country	16		Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SANDRA GILLILAND 2806 HUGHES AMARILLO, TX 79109	PRESIDENT 3.00	0.	0.	0.
BILL GILLILAND 2086 HUGHES AMARILLO, TX 79109	VP/TREASURER 1.00	0.	0.	0.
ROBIN WEIR 500 S. TAYLOR, LB #249 AMARILLO, TX 79101	VP 2.00	0.	0.	0.
LORI D'ATRI 2606 HUGHES AMARILLO, TX 79109	VP/SECRETARY 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 CLOTHES CLOSET - PROVIDE CLOTHING TO CHILDREN AT NEED IN THE AMARILLO INDEPENDENT SCHOOL DISTRICT. IN 2014, 2,153 CHILDREN WERE PROVIDED CLOTHING.	220,323.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	3,814,937.
b Average of monthly cash balances	1b	187,696.
c Fair market value of all other assets	1c	716,510.
d Total (add lines 1a, b, and c)	1d	4,719,143.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	4,719,143.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	70,787.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,648,356.
6 Minimum investment return. Enter 5% of line 5	6	232,418.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	232,418.
2a Tax on investment income for 2014 from Part VI, line 5	2a	4,486.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	4,486.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	227,932.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	227,932.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	227,932.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	344,982.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	344,982.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	344,982.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				227,932.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010	58,664.			
c From 2011	134,738.			
d From 2012	87,557.			
e From 2013	173,460.			
f Total of lines 3a through e	454,419.			
4 Qualifying distributions for 2014 from Part XII, line 4. ▶ \$	344,982.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				227,932.
e Remaining amount distributed out of corpus	117,050.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	571,469.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	571,469.			
10 Analysis of line 9:				
a Excess from 2010	58,664.			
b Excess from 2011	134,738.			
c Excess from 2012	87,557.			
d Excess from 2013	173,460.			
e Excess from 2014	117,050.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMARILLO INDEPENDENT SCHOOL DISTRICT 7200 WEST I-40 AMARILLO, TX 79106	N/A	GOVT	SOCIAL WORKER	25,000.
AMARILLO SYNPHONY, INC. P.O. BOX 2586 AMARILLO, TX 79105	N/A	PC	AMARILLO YOUTH ORCHESTRA PROGRAM EXPENSES	5,000.
ANOTHER CHANCE HOUSE, INC. 209 SOUTH JACKSON AMARILLO, TX 79101	N/A	PC	PURCHASE OF A 2004 FORD F-150 PICKUP	8,000.
CAPROCK HIGH SCHOOL-FINE ARTS 3001 E. 34TH AVENUE AMARILLO, TX 79103	N/A	GOVT	"TASTE OF THE ARTS" PROGRAM EXPENSES	2,700.
THE DON & SYBIL HARRINGTON CANCER CENTER 1600 WALLACE BLVD. AMARILLO, TX 79106	N/A	PC	TO RAISE AWARENESS OF THE PROSTATE CANCER	2,500.
Total SEE CONTINUATION SHEET(S)				112,837.
b Approved for future payment				
NONE				
Total				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a	UBS #1405 SEE ATTACHED STMT D-1	P		12/31/14
b	UBS #1405 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
c	UBS #1410 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
d	UBS #1410 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
e	UBS #1411 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
f	UBS #1411 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
g	UBS #1413 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
h	UBS #1414 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
i	UBS #1415 (PTP) SEE ATTACHED STMT D-1		VARIOUS	12/31/14
j	UBS #3230 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
k	UBS #3230 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
l	UBS #3230 SEE ATTACHED STMT D-1	P	VARIOUS	12/31/14
m	PTP SALES - BASIS ADJUSTMENTS	P	VARIOUS	12/31/14
n	PTP SALES - ORDINARY INCOME RECLASS	P	VARIOUS	12/31/14
o	SHORT-TERM CAPITAL GAINS - K-1S		VARIOUS	12/31/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,786.		7,019.	-2,233.
b 30,998.		30,877.	121.
c 55,741.		55,300.	441.
d 267,205.		263,115.	4,090.
e 4,771.		3,825.	946.
f 77,491.		46,554.	30,937.
g 36,876.		23,053.	13,823.
h 2,044.		468.	1,576.
i 197,351.		96,097.	101,254.
j 216,894.		214,255.	2,750.
k 275,708.		267,896.	7,812.
l 1,165.			1,165.
m 42,349.			42,349.
n		32,783.	-32,783.
o		1,280.	-1,280.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,233.
b			121.
c			441.
d			4,090.
e			946.
f			30,937.
g			13,823.
h			1,576.
i			101,254.
j			2,750.
k			7,812.
l			1,165.
m			42,349.
n			-32,783.
o			-1,280.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

GILLILAND FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LONG-TERM CAPITAL GAINS - K-1S		P	VARIOUS	12/31/14
b UBS #1413 CAPITAL GAIN DISTRIBUTIONS		P	VARIOUS	12/31/14
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,578.			24,578.
b 113.			113.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			24,578.
b			113.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	195,659.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HAVEN HEALTH CLINICS 1501 S. TAYLOR STREET AMARILLO, TX 79101	N/A	PC	PEER EDUCATOR COSTS	16,640.
THE JUNIOR LEAGUE OF AMARILLO 1700 S. POLK ST. AMARILLO, TX 79102	N/A	PC	GENERAL OPERATIONS	10,000.
MARGARET WILLS ELEMENTARY SCHOOL 3500 W. 11TH AVE. AMARILLO, TX 79106	N/A	GOVT	NO EXCUSES UNIVERSITY T-SHIRTS FOR STUDENTS	3,677.
MORE CHURCH - ACTS COMMUNITY 5511 AMARILLO BLVD. AMARILLO, TX 79109	N/A	PC	EMERGENCY FOOD PANTRY AND HYGIENE CLOSET EXPENSES	10,000.
OPPORTUNITY SCHOOL 1100 S. HARRISON AMARILLO, TX 79101	N/A	PC	"EXPAND FULL-DAY CLASSES TO SERVE MORE CHILDREN AND PROVIDE MEALS AT THE DOWNTOWN CAMPUS	7,800.
PANHANDLE PROMISE PROJECT, INC. P.O. BOX 8583 AMARILLO, TX 79114	N/A	PC	SUMMER CAMP/MENTORING PROGRAM COSTS TO CHILDREN AFFECTED BY AN INCARCERATED FAMILY MEMBER	5,000.
SAN JACINTO ELEMENTARY SCHOOL 3400 W. 4TH AVE. AMARILLO, TX 79106	N/A	GOVT	NO EXCUSES UNIVERSITY T-SHIRTS FOR STUDENTS	4,520.
SHARING HOPE MINISTRY, INC. P.O. BOX 7160 AMARILLO, TX 79114	N/A	PC	OFFICE RENOVATION TO CENTRALIZE OPERATIONS	2,000.
SOUTHWEST AMBUCS P.O. BOX 2827 AMARILLO, TX 79105	N/A	PC	BUILDING OF AN INCLUSIVE PLAYGROUND TO SERVE CHILDREN WITH DISABILITIES	5,000.
TASCOSA HIGH SCHOOL 3921 WESTLAWN AMARILLO, TX 79102	N/A	GOVT	NO EXCUSES UNIVERSITY YARD SIGNS, BACKPACKS, AND SCHOOL SUPPLIES FOR INCOMING FRESHMAN	5,000.
Total from continuation sheets				69,637.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME -					
K-1S	12,181.	0.	12,181.	12,181.	
INTEREST INCOME -					
K-1S	3,774.	0.	3,774.	3,774.	
TX MUTUAL					
DIVIDENDS	40.	0.	40.	40.	
UBS #0255 INTEREST	1.	0.	1.	1.	
UBS #1405 DIVIDEND	7,418.	0.	7,418.	7,418.	
UBS #1405 INTEREST	4.	0.	4.	4.	
UBS #1410 ACCRUED					
INTEREST PAID	-1,836.	0.	-1,836.	-1,836.	
UBS #1410 BOND					
PREMIUM	-6,945.	0.	-6,945.	-6,945.	
UBS #1410 INTEREST	27,933.	0.	27,933.	27,933.	
UBS #1411 DIVIDEND	8,007.	0.	8,007.	8,007.	
UBS #1411 INTEREST	27.	0.	27.	27.	
UBS #1413 DIVIDEND	5,881.	0.	5,881.	5,881.	
UBS #1413 INTEREST	6.	0.	6.	6.	
UBS #1414 DIVIDEND	4,839.	0.	4,839.	4,839.	
UBS #1414 INTEREST	4.	0.	4.	4.	
UBS #1415 INTEREST	11.	0.	11.	11.	
UBS #3230 INTEREST	65,341.	0.	65,341.	65,341.	
UBS #3230 INTEREST					
- ACCRUED INT PAID	-5,393.	0.	-5,393.	-5,393.	
UBS #3230 INTEREST					
- BOND PREMIUM	-6,744.	0.	-6,744.	-6,744.	
TO PART I, LINE 4	114,549.	0.	114,549.	114,549.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PORTFOLIO INCOME K-1S	115.	115.	
ROYALTY INCOME - K-1	13.	13.	
ORDINARY INCOME - K-1S	-40,543.	-40,543.	
OTHER INCOME - K-1S	-1,022.	-1,022.	
PTP SALES - ORDINARY GAIN	32,783.	32,783.	
SEC. 1231 GAIN/LOSS - K-1S	1,905.	1,905.	
RENTAL INCOME - K-1	-3.	-3.	
LESS NET ORD. INCOME REPORTED ON 990-T	0.	42,148.	

LESS PTP SALES REPORTED ON 990-T

0.

-32,783.

TOTAL TO FORM 990-PF, PART I, LINE 11

-6,752.

2,613.

FORM 990-PF

LEGAL FEES

STATEMENT

3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	5.	3.		2.
TO FM 990-PF, PG 1, LN 16A	5.	3.		2.

FORM 990-PF

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,073.	4,536.		4,537.
TO FORM 990-PF, PG 1, LN 16B	9,073.	4,536.		4,537.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES - K-1S	292.	292.		0.
FOREIGN TAXES - UBS 1405	802.	802.		0.
FOREIGN TAXES - UBS 1414	592.	592.		0.
FOREIGN TAXES - UBS 1411	7.	7.		0.
FOREIGN TAXES - UBS 1413	4.	4.		0.
TO FORM 990-PF, PG 1, LN 18	1,697.	1,697.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
UBS #1405 - FEES	2,196.	21,976.		0.	
UBS #1410 - FEES	2,965.	2,965.		0.	
UBS #1411 - FEES	4,161.	4,161.		0.	
UBS #1413 - FEES	2,514.	2,514.		0.	
UBS #1414 - FEES	2,060.	2,060.		0.	
UBS #1415 - FEES	12,711.	12,711.		0.	
UBS #3230 - FEES	8,571.	8,571.		0.	
OFFICE SUPPLIES	150.	0.		150.	
VEHICLE EXPENSE-CLOTHES MOBILE	1,552.	0.		1,552.	
CONTRIBUTIONS - CLOTHES MOBILE	220,323.	0.		220,323.	
OUTSIDE SERVICES	2,150.	0.		2,150.	
UTILITIES	2,435.	0.		2,435.	
INSURANCE	952.	0.		952.	
CHARITABLE CONTRIBUTIONS - K-1	44.	0.		44.	
ROYALTY DEDUCTIONS - K-1	5.	5.		0.	
PORTFOLIO DEDUCTIONS - K-1	22,809.	22,809.		0.	
OTHER DEDUCTIONS - K-1	68.	68.		0.	
IDC - K-1S	2,130.	2,130.		0.	
NON-DEDUCTIBLE EXP - K-1	192.	192.		0.	
TO FORM 990-PF, PG 1, LN 23	287,988.	80,162.		227,606.	

FOOTNOTES

STATEMENT 7

STATEMENT ELECTING TO FOLLOW THE PROCEDURES OF REV. PROC.
2015-20 FOR THE FIRST TAX YEAR BEGINNING ON OR AFTER
JAN. 1, 2014

TAX YEAR ENDED 12/31/2014

THE TAXPAYER MEETS THE DEFINITION OF A SMALL BUSINESS
TAXPAYER UNDER SECTION 4.01 OF REV. PROC. 2015-20 AND IS
ELECTING TO CHANGE TO PROPER METHODS OF ACCOUNTING UNDER
SECTION 6.37(3)(A)(IV), (A)(V), (A)(VII), (A)(VIII),
6.38, 6.39, OR 10.11(3)(A) OF REV. PROC. 2015-14 FOR THE
FIRST TAXABLE YEAR BEGINNING ON OR AFTER JAN. 1, 2014,
WITHOUT FILING FORMS 3115 AND WITHOUT CALCULATING SECTION
481(A) ADJUSTMENTS.

SECTION 1.263(A)-1(F) DE MINIMIS SAFE HARBOR ELECTION

GILLILAND FAMILY FOUNDATION
500 S. TAYLOR, LB #249
AMARILLO, TX 79101

EMPLOYER IDENTIFICATION NUMBER: 76-0181982

FOR THE YEAR ENDING DECEMBER 31, 2014

GILLILAND FAMILY FOUNDATION IS MAKING THE DE MINIMIS SAFE
HARBOR ELECTION UNDER REG. SEC. 1.263(A)-1(F).

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 1405 - SEE STMT A	162,250.	179,774.
UBS 1411 - SEE STMT C	236,933.	354,008.
UBS 1413 - SEE STMT E	178,313.	257,280.
UBS 1414 - SEE STMT F	141,548.	168,519.
UBS 1405 PREFERRED-SEE STMT H	3,574.	3,918.
TOTAL TO FORM 990-PF, PART II, LINE 10B	722,618.	963,499.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 1410 - SEE STMT B	535,466.	559,092.
UBS 3230 - SEE STMT G	917,414.	926,979.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,452,880.	1,486,071.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CARSON LION FUND I	COST	0.	12,090.
CPC/WEETABIX SBS	COST	666.	27,628.
CPC 2008 CO-INVEST POOL	COST	42,983.	155,476.
CARSON PRIVATE LION FUND III	COST	172,429.	319,652.
CPC-GHD SBS, LP	COST	95,683.	94,120.
EL PASO PIPELINE	COST	0.	0.
ENERGY TRANSFER PARTNERS	COST	7,382.	32,500.
ENTERPRISE PRODUCTS	COST	13,297.	148,092.
HOLLY ENERGY PARTNERS	COST	17,862.	53,838.
MAGELLAN MIDSTREAM PTNRS	COST	37,933.	206,650.
NUSTAR ENERGY	COST	0.	0.
ONEOK PARTNERS	COST	9,706.	43,593.
PLAINS ALL AMER PIPELINE	COST	36,257.	107,772.
SUNOCO LOGISTICS	COST	26,346.	183,832.
TC PIPELINES	COST	26,053.	117,513.
JTV LIQUIDATING TRUST	COST	124,761.	3,819.
BUCKEYE PARTNERS	COST	37,048.	64,311.
MARKWEST ENERGY PARTNERS	COST	41,870.	67,190.

GILLILAND FAMILY FOUNDATION

76-0181982

ACCESS MIDSTREAM PARTNERS	COST	43,601.	65,040.
WESTERN GAS PARTNERS	COST	28,536.	29,220.
CPC LANDMARK SECONDARY	COST	96,099.	103,725.
TOTAL TO FORM 990-PF, PART II, LINE 13		858,512.	1,836,061.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
2004 CHEV STEP-VAN	40,439.	40,439.	0.
CABINETS-CHEV VAN	3,500.	3,500.	0.
LAND	38,532.	0.	38,532.
BUILDING	133,577.	30,968.	102,609.
OFFICE BOOKCASE	3,200.	3,200.	0.
OFFICE COMMODE/OIL	3,814.	3,814.	0.
OFFICE DECORATIONS	2,870.	2,870.	0.
CONFERENCE PEDESTA	1,398.	1,398.	0.
ART/FURNITURE	6,160.	6,160.	0.
OFFICE ACESSORIES	1,965.	1,965.	0.
CONFERENCE CHAIRS	2,310.	2,310.	0.
EXECUTIVE DESK	6,274.	6,274.	0.
CURTAINS	1,764.	1,764.	0.
VALANCE	560.	560.	0.
CHAIRS	674.	674.	0.
BAMBOO CHAIRS	1,381.	1,381.	0.
PLANT BOWL	405.	405.	0.
LAMPS FOR OFFICE	1,283.	1,283.	0.
OFFICE PLANT & BOWL	323.	323.	0.
STEEL SHELVING	3,310.	3,310.	0.
SHELVING	1,447.	1,397.	50.
COMPUTER	1,504.	1,504.	0.
COMPUTERS-WESTGATE	1,325.	1,325.	0.
SHELVES	774.	712.	62.
SHELVES	568.	446.	122.
TOTAL TO FM 990-PF, PART II, LN 14	259,357.	117,982.	141,375.

FORM 990-PF PART XV - LINE 1A STATEMENT 12
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

SANDRA GILLILAND
BILL GILLILAND

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SANDRA GILLILAND
500 S. TAYLOR, LB# 249
AMARILLO, TX 79101

TELEPHONE NUMBER

806-374-8288

FORM AND CONTENT OF APPLICATIONS

REQUEST PRE-PRINTED APPLICATION FROM GILLILAND FAMILY FOUNDATION:

SANDRA GILLILAND
500 S. TAYLOR, LB# 249
AMARILLO, TX 79101

INFORMATION REQUIRED ON THE FORM INCLUDES BUT IS NOT LIMITED TO:
GENERAL ORGANIZATION INFORMATION, AMOUNT REQUESTED, DATE FUNDS ARE NEEDED,
INFORMATION REGARDING PRIOR FUNDS RECEIVED FROM THE FOUNDATION, USE FOR THE
REQUESTED FUNDS, ACCOMPLISHMENT GOALS FOR THE REQUESTED FUNDS, FUNDS RAISED
TO DATE REGARDING THE PROJECT, AND A COPY OF THE ORGANIZATION'S 501(C)(3)
DETERMINATION LETTER.

ANY SUBMISSION DEADLINES

QUARTERLY DEADLINES - PLEASE CONTACT ORGANIZATION.

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATION MUST BE EITHER A QUALIFIED 501(C)(3) ORGANIZATION OR USE OF
EXPENDITURES MUST BE USED EXCLUSIVELY FOR PURPOSES DESCRIBED IN IRC SECTION
170(C)(2)(B). ALSO, APPROVED APPLICATIONS MUST MEET THE RESTRICTIONS
ESTABLISHED IN THE ORGANIZATION'S CHARTER.

2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
37	2004 CHEV STEP-VAN	040605SL		5.00	16	40,439.			40,439.	40,439.		0.
39	CABINETS-CHEV. VAN	080405SL		7.00	16	3,500.			3,500.	3,500.		0.
41	LAND	032805L				38,532.			38,532.			0.
43	BUILDING	123105SL		39.00	16	133,577.			133,577.	27,543.		3,425.
44	OFFICE BOOKCASE	020701SL		7.00	16	3,200.			3,200.	3,200.		0.
45	OFFICE COMMODE/OIL	022201SL		7.00	16	3,814.			3,814.	3,814.		0.
46	OFFICE DECORATIONS	022301SL		7.00	16	2,870.			2,870.	2,870.		0.
47	CONFERENCE PEDESTAL	022301SL		7.00	16	1,398.			1,398.	1,398.		0.
48	ART/FURNITURE	022301SL		7.00	16	6,160.			6,160.	6,160.		0.
49	OFFICE ACCESSORIES	022301SL		7.00	16	1,965.			1,965.	1,965.		0.
50	CONFERENCE CHAIRS	012501SL		7.00	16	2,310.			2,310.	2,310.		0.
51	EXECUTIVE DESK	012501SL		7.00	16	6,274.			6,274.	6,274.		0.
52	CURTAINS	012501SL		7.00	16	1,764.			1,764.	1,764.		0.
53	VALANCE	012501SL		7.00	16	560.			560.	560.		0.
54	CHAIRS	051001SL		7.00	16	674.			674.	674.		0.
55	BAMBOO CHAIRS	051001SL		7.00	16	1,381.			1,381.	1,381.		0.
56	PLANT BOWL	052401SL		7.00	16	405.			405.	405.		0.
57	LAMPS FOR OFFICE	060702SL		7.00	16	1,283.			1,283.	1,283.		0.

428102
05-01-14

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
58	OFFICE PLANT & BOWL	080702SL		7.00	16	323.			323.	323.		0.
59	STEEL SHELVING	120105SL		7.00	16	3,310.			3,310.	3,310.		0.
60	SHELVING	040407SL		7.00	16	1,447.			1,447.	1,345.		52.
61	COMPUTER	121101SL		5.00	16	1,504.			1,504.	1,504.		0.
62	COMPUTERS-WESTGATE	081105SL		5.00	16	1,325.			1,325.	1,325.		0.
63	SHELVES	080808SL		7.00	16	774.			774.	601.		111.
64	SHELVES	070309SL		7.00	16	568.			568.	365.		81.
	* TOTAL 990-PF PG. 1 DEPR					259,357.		0.	259,357.	144,343.	0.	3,669.

428102
05-01-14

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

UBS FINANCIAL SERVICES INC. Account: 9A-01405

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
12/17/14	8.000		48.72	02/10/14	73.70	0.00	-24.98	Sale
2 tax lots for 12/22/14.								
	42.000		266.58	02/10/14	386.91	0.00	-120.33	Sale
	1.000		6.35	02/12/14	9.70	0.00	-3.35	Sale
12/22/14	43.000		272.93	VARIOUS	396.61	0.00	-123.68	Total of 2 lots
12/23/14	57.000		359.52	02/12/14	552.85	0.00	-193.33	Sale
12/24/14	8.000		50.32	02/12/14	77.60	0.00	-27.28	Sale
12/26/14	30.000		194.61	02/12/14	290.97	0.00	-96.36	Sale
2 tax lots for 12/29/14.								
	4.000		26.01	02/12/14	38.80	0.00	-12.79	Sale
	65.000		422.74	02/18/14	588.37	0.00	-165.63	Sale
12/29/14	69.000		448.75	VARIOUS	627.17	0.00	-178.42	Total of 2 lots
Security total:					2,018.90	0.00	-644.05	

MOBILE TELESYSTEMS QJSC SPON ADR / CUSIP: 607409109 / Symbol: MBT

5 tax lots for 12/17/14.								
	4.000		26.24	12/23/13	84.55	0.00	-58.31	Sale
	1.000		6.56	12/27/13	21.28	0.00	-14.72	Sale
	15.000		98.41	01/02/14	321.39	0.00	-222.98	Sale
	12.000		78.72	01/03/14	254.09	0.00	-175.37	Sale
	33.000		216.49	01/06/14	686.04	0.00	-469.55	Sale
12/17/14	65.000		426.42	VARIOUS	1,367.35	0.00	-940.93	Total of 5 lots
3 tax lots for 12/18/14.								
	6.000		49.68	01/06/14	124.73	0.00	-75.05	Sale
	15.000		124.18	01/07/14	313.65	0.00	-189.47	Sale
	4.000		33.12	01/08/14	83.08	0.00	-49.96	Sale
12/18/14	25.000		206.98	VARIOUS	521.46	0.00	-314.48	Total of 3 lots
Security total:					1,888.81	0.00	-1,255.41	

NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY

10/22/14	13.000		342.93	04/23/14	301.07	0.00	41.86	Sale
10/23/14	18.000		473.09	04/23/14	416.86	0.00	56.23	Sale
10/24/14	19.000		500.18	04/23/14	440.02	0.00	60.16	Sale

UBS FINANCIAL SERVICES INC.

Account: 3A 01 205

Proceeds Not Reported to the IRS

(Continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Security total:	1,316.20			1,157.95	0.00	158.25	
SERCO GROUP PLC ADR / CUSIP: 81748L100 / Symbol: SECCY								
07/08/14	4,000	24.62		12/20/13	31.01	0.00	-6.39	Sale
	2 tax lots for 07/09/14.							
	6,000	36.66		12/20/13	46.51	0.00	-9.85	Sale
	8,000	48.88		12/30/13	66.28	0.00	-17.40	Sale
07/09/14	14,000	85.54		VARIOUS	112.79	0.00	-27.25	Total of 2 lots
	3 tax lots for 07/10/14.							
	12,000	73.38		12/30/13	99.43	0.00	-26.05	Sale
	4,000	24.46		12/31/13	33.28	0.00	-8.82	Sale
	6,000	36.69		01/02/14	49.49	0.00	-12.80	Sale
07/10/14	22,000	134.53		VARIOUS	182.20	0.00	-47.67	Total of 3 lots
07/11/14	10,000	61.07		01/02/14	82.49	0.00	-21.42	Sale
	2 tax lots for 07/14/14.							
	10,000	61.09		01/03/14	83.51	0.00	-22.42	Sale
	2,000	12.22		01/06/14	16.82	0.00	-4.60	Sale
07/14/14	12,000	73.31		VARIOUS	100.33	0.00	-27.02	Total of 2 lots
	2 tax lots for 07/15/14.							
	7,000	42.88		01/06/14	58.87	0.00	-15.99	Sale
	2,000	12.25		01/07/14	16.57	0.00	-4.32	Sale
07/15/14	9,000	55.13		VARIOUS	75.44	0.00	-20.31	Total of 2 lots
07/16/14	11,000	67.25		01/07/14	91.14	0.00	-23.89	Sale
	2 tax lots for 07/17/14.							
	1,000	6.10		01/07/14	8.28	0.00	-2.18	Sale
	8,000	48.84		01/08/14	66.55	0.00	-17.71	Sale
07/17/14	9,000	54.94		VARIOUS	74.83	0.00	-19.89	Total of 2 lots
	2 tax lots for 07/18/14.							
	3,000	18.28		01/08/14	24.95	0.00	-6.67	Sale
	6,000	36.56		01/09/14	49.92	0.00	-13.36	Sale
07/18/14	9,000	54.84		VARIOUS	74.87	0.00	-20.03	Total of 2 lots

UBS FINANCIAL SERVICES INC.	Account 3A-01-1405
2014	Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SERCO GROUP PLC ADR / CUSIP: 81748L100 / Symbol: SECCY (cont'd)							
	2 tax lots for 07/21/14.						
	9,000	54.88	01/09/14	74.88	0.00	-20.00	Sale
	2,000	12.20	01/10/14	16.49	0.00	-4.29	Sale
07/21/14	11,000	67.08	VARIOUS	91.37	0.00	-24.29	Total of 2 lots
	3 tax lots for 07/22/14.						
	5,000	30.58	01/10/14	41.22	0.00	-10.64	Sale
	3,000	18.34	01/14/14	25.23	0.00	-6.89	Sale
	2,000	12.23	01/15/14	16.94	0.00	-4.71	Sale
07/22/14	10,000	61.15	VARIOUS	83.39	0.00	-22.24	Total of 3 lots
	2 tax lots for 07/23/14.						
	2,000	12.26	01/15/14	16.94	0.00	-4.68	Sale
	9,000	55.15	02/28/14	68.32	0.00	-13.17	Sale
07/23/14	11,000	67.41	VARIOUS	85.26	0.00	-17.85	Total of 2 lots
	3 tax lots for 07/24/14.						
	12,000	73.12	02/28/14	91.09	0.00	-17.97	Sale
	19,000	115.77	03/03/14	143.40	0.00	-27.63	Sale
	6,000	36.53	03/04/14	47.30	0.00	-10.77	Sale
07/24/14	37,000	225.42	VARIOUS	281.79	0.00	-56.37	Total of 3 lots
	11,000	66.98	03/04/14	86.73	0.00	-19.75	Sale
07/25/14	10,000	60.96	03/04/14	78.84	0.00	-17.88	Sale
07/28/14	7,000	42.44	03/04/14	55.19	0.00	-12.75	Sale
07/29/14	9,000	54.53	03/04/14	70.95	0.00	-16.42	Sale
07/30/14							
	2 tax lots for 07/31/14.						
	9,000	54.57	03/04/14	70.96	0.00	-16.39	Sale
	2,000	12.13	03/06/14	15.93	0.00	-3.80	Sale
07/31/14	11,000	66.70	VARIOUS	86.89	0.00	-20.19	Total of 2 lots
08/12/14	4,000	21.99	03/06/14	31.85	0.00	-9.86	Sale
	2 tax lots for 08/13/14.						
	15,000	78.68	03/06/14	119.44	0.00	-40.76	Sale
	7,000	36.71	03/07/14	56.61	0.00	-19.90	Sale
08/13/14	22,000	115.39	VARIOUS	176.05	0.00	-60.66	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7- Loss not allowed (X)	Additional information
Security total:			1,461.28		1,953.41	0.00	-492.13	
Totals:			4,785.73		7,019.07	0.00	-2,233.34	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7- Loss not allowed (X)	Additional information
AGRIUM INC (CANADA) FOREIGN STOCK CAD / CUSIP: 008916108 / Symbol: AGU								
01/02/14	5,000		456.53	08/03/09	246.10	0.00	210.43	Sale
01/07/14	5,000		446.61	08/03/09	246.09	0.00	200.52	Sale
Security total:			903.14		492.19	0.00	410.95	
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN								
02/20/14	30,000		1,998.18	08/03/09	1,413.88	0.00	584.30	Sale
2 tax lots for 04/22/14.								
3,000			201.01	08/03/09	141.39	0.00	59.62	Sale
1,000			67.00	04/12/10	45.25	0.00	21.75	Sale
4,000			268.01	VARIOUS	186.64	0.00	81.37	Total of 2 lots
2 tax lots for 04/24/14.								
29,000			2,027.91	04/12/10	1,312.12	0.00	715.79	Sale
2,000			139.86	03/29/11	92.00	0.00	47.86	Sale
31,000			2,167.77	VARIOUS	1,404.12	0.00	763.65	Total of 2 lots
2 tax lots for 05/09/14.								
29,000			2,231.45	03/29/11	1,334.06	0.00	897.39	Sale
2,000			153.89	02/21/13	89.32	0.00	64.57	Sale
31,000			2,385.34	VARIOUS	1,423.38	0.00	961.96	Total of 2 lots
25,000			1,853.75	02/21/13	1,116.48	0.00	737.27	Sale
Security total:			8,673.05		5,544.50	0.00	3,128.55	
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 204414102 / Symbol: SBS								
03/25/14	42,000		357.02	08/03/09	242.49	0.00	114.53	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS (Continued)

Account 3A101403

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 20441A102 / Symbol: SBS (cont'd)								
03/26/14	31.000		267.32	08/03/09	178.98	0.00	88.34	Sale
03/27/14	52.000		450.00	08/03/09	300.23	0.00	149.77	Sale
10/21/14	53.000		412.93	08/03/09	306.00	0.00	106.93	Sale
10/24/14	19.000		140.07	08/03/09	109.70	0.00	30.37	Sale
10/27/14	36.000		252.67	08/03/09	207.85	0.00	44.82	Sale
10/28/14	75.000		551.03	08/03/09	433.02	0.00	118.01	Sale
12/17/14	58.000		353.21	08/03/09	334.87	0.00	18.34	Sale
	Security total:		2,784.25		2,113.14	0.00	671.11	
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol:								
07/03/14	30.000		433.16	08/03/09	477.90	0.00	-44.74	Sale
DIAGEO PLC NEW GB SPON ADR / CUSIP: 25243Q205 / Symbol: DEO								
08/22/14	1.000		118.30	08/03/09	62.92	0.00	55.38	Sale
08/28/14	1.000		119.75	08/03/09	62.92	0.00	56.83	Sale
08/29/14	2.000		239.13	08/03/09	125.84	0.00	113.29	Sale
09/02/14	3.000		359.64	08/03/09	188.76	0.00	170.88	Sale
09/03/14	2.000		241.74	08/03/09	125.83	0.00	115.91	Sale
09/04/14	1.000		121.47	08/03/09	62.92	0.00	58.55	Sale
09/08/14	2.000		238.75	08/03/09	125.84	0.00	112.91	Sale
09/09/14	2.000		240.00	08/03/09	125.84	0.00	114.16	Sale
	Security total:		1,678.78		880.87	0.00	797.91	
ISRAEL CHEMICALS LTD ADR / CUSIP: 465036200 / Symbol:								
2 tax lots for 10/23/14.								
	17.000		117.70	06/07/12	179.69	0.00	-61.99	Sale
	33.000		228.48	06/13/12	340.27	0.00	-111.79	Sale
10/23/14	50.000		346.18	VARIOUS	519.96	0.00	-173.78	Total of 2 lots
4 tax lots for 10/27/14.								
	4.000		27.48	06/13/12	41.25	0.00	-13.77	Sale
	33.000		226.73	06/14/12	331.00	0.00	-104.27	Sale
	30.000		206.11	06/18/12	305.04	0.00	-98.93	Sale
10/27/14	7.000		48.09	06/19/12	71.90	0.00	-23.81	Sale
	74.000		508.41	VARIOUS	749.19	0.00	-240.78	Total of 4 lots
10/28/14	20.000		138.05	06/19/12	205.42	0.00	-67.37	Sale

SUBS FINANCIAL SERVICES INC

Account: 3A01405

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional Information
Security total:		992.64			1,474.57	0.00	-481.93	
KDDI CORP ADR / CUSIP: 48667L106 / Symbol: KDDIY								
2 tax lots for 01/07/14.								
71.000		1,084.48		02/17/12	578.36	0.00	506.12	Sale
28.000		427.68		02/28/12	222.07	0.00	205.67	Sale
99.000		1,512.16		VARIOUS	800.37	0.00	711.79	Total of 2 lots
01/07/14								
01/08/14								
2.000		30.61		02/28/12	15.86	0.00	14.75	Sale
Security total:		1,542.77			816.23	0.00	726.54	
MAGNA INTL INC CL A SUB VTG CANADA ORD FOREIGN STOCK CAD / CUSIP: 559222401 / Symbol: MGA								
04/21/14								
6.000		592.24		01/11/12	232.18	0.00	360.06	Sale
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY								
2 tax lots for 06/13/14.								
42.000		909.25		09/29/10	810.82	0.00	98.43	Sale
17.000		368.03		09/01/11	337.45	0.00	30.58	Sale
59.000		1,277.28		VARIOUS	1,148.27	0.00	129.01	Total of 2 lots
06/13/14								
06/17/14								
15.000		329.81		09/01/11	297.75	0.00	32.06	Sale
2 tax lots for 10/20/14.								
6.000		151.78		09/01/11	119.10	0.00	32.68	Sale
12.000		303.55		02/06/12	222.27	0.00	81.28	Sale
18.000		455.33		VARIOUS	341.37	0.00	113.96	Total of 2 lots
10/20/14								
10/21/14								
10/22/14								
7.000		431.86		02/06/12	314.88	0.00	116.98	Sale
Security total:		2,678.94			129.66	0.00	55.00	Sale
ORANGE SPON ADR / CUSIP: 684060106 / Symbol: ORAN								
12/18/14								
11.000		188.98		02/06/12	167.33	0.00	21.65	Sale
POSCO SPON ADR / CUSIP: 693483109 / Symbol: PKOX								
01/09/14								
5.000		362.65		08/03/09	519.85	0.00	-157.20	Sale
01/10/14								
1.000		73.75		08/03/09	103.97	0.00	-30.22	Sale
01/13/14								
2.000		146.66		08/03/09	207.94	0.00	-61.28	Sale
01/14/14								
3.000		220.20		08/03/09	311.91	0.00	-91.71	Sale

UBS FINANCIAL SERVICES INC. Account 3A 07405

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
POSICO SPON ADR / CUSIP: 693483109 / Symbol: PKX (cont'd)								
01/15/14	4,000	290.68	290.68	08/03/09	415.88	0.00	-125.20	Sale
2 tax lots for 01/16/14.								
	3,000	218.43	218.43	08/03/09	311.91	0.00	-93.48	Sale
	2,000	145.62	145.62	07/16/10	200.09	0.00	-54.47	Sale
01/16/14	5,000	364.05	364.05	VARIOUS	512.00	0.00	-147.95	Total of 2 lots
Security total:		1,457.99	1,457.99		2,071.55	0.00	-613.56	
SBERBANK SPON ADR / CUSIP: 80585Y308 / Symbol: SBRCY								
09/30/14	28,000	224.11	224.11	06/27/13	318.12	0.00	-94.01	Sale
2 tax lots for 10/01/14.								
	27,000	211.98	211.98	06/27/13	306.75	0.00	-94.77	Sale
	22,000	172.73	172.73	07/01/13	255.68	0.00	-82.95	Sale
10/01/14	49,000	384.71	384.71	VARIOUS	562.43	0.00	-177.72	Total of 2 lots
2 tax lots for 10/02/14.								
	39,000	297.56	297.56	07/01/13	453.25	0.00	-155.69	Sale
	32,000	244.16	244.16	07/03/13	359.32	0.00	-115.16	Sale
10/02/14	71,000	541.72	541.72	VARIOUS	812.57	0.00	-270.85	Total of 2 lots
Security total:		1,150.54	1,150.54		1,693.12	0.00	-542.58	
SEGA SAMMY HOLDING INC SPON ADR / CUSIP: 815794102 / Symbol: SGAMY								
04/25/14	34,000	178.71	178.71	04/03/12	183.77	0.00	-5.06	Sale
04/28/14	27,000	136.35	136.35	04/03/12	145.94	0.00	-9.59	Sale
04/30/14	1,000	5.01	5.01	04/03/12	5.41	0.00	-0.40	Sale
05/01/14	4,000	20.10	20.10	04/03/12	21.62	0.00	-1.52	Sale
05/02/14	9,000	45.23	45.23	04/03/12	48.64	0.00	-3.41	Sale
05/08/14	15,000	75.79	75.79	04/03/12	81.08	0.00	-5.29	Sale
06/04/14	1,000	4.99	4.99	04/03/12	5.40	0.00	-0.41	Sale
06/16/14	21,000	106.01	106.01	04/03/12	113.51	0.00	-7.50	Sale
06/17/14	32,000	161.34	161.34	04/03/12	172.96	0.00	-11.62	Sale
2 tax lots for 06/18/14.								
	1,000	5.02	5.02	04/03/12	5.41	0.00	-0.39	Sale
	8,000	40.20	40.20	04/04/12	43.04	0.00	-2.84	Sale
06/18/14	9,000	45.22	45.22	VARIOUS	48.45	0.00	-3.23	Total of 2 lots

UBS FINANCIAL SERVICES INC

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part III, with Box 1 checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments, 2a Code(s), if any	Gain or loss(c) & 7-Loss not allowed(X)	Additional information
SEGA SAMMY HOLDING INC SPON ADR / CUSIP: 815794102 / Symbol: SGAMY (cont'd)								
06/19/14	5,000	25.21		04/04/12	26.89	0.00	-1.68	Sale
06/20/14	1,000	5.03		04/04/12	5.38	0.00	-0.35	Sale
07/28/14	20,000	102.18		04/04/12	107.60	0.00	-5.42	Sale
08/01/14	10,000	49.98		04/04/12	53.79	0.00	-3.81	Sale
08/04/14	3,000	15.03		04/04/12	16.14	0.00	-1.11	Sale
08/05/14	7,000	35.11		04/04/12	37.66	0.00	-2.55	Sale
08/22/14	25,000	120.01		04/04/12	134.49	0.00	-14.48	Sale
08/25/14	32,000	153.36		04/04/12	172.14	0.00	-18.78	Sale
08/26/14	3,000	14.31		04/04/12	16.14	0.00	-1.83	Sale
09/03/14	51,000	209.59		04/04/12	274.36	0.00	-64.77	Sale
09/04/14	1,000	3.92		04/04/12	5.38	0.00	-1.46	Sale
Security total:		1,512.48			1,676.75	0.00	-164.27	
TATA MOTORS LTD SPON ADR / CUSIP: 876568502 / Symbol: TTM								
09/25/14	13,000	560.17		06/15/11	281.88	0.00	278.29	Sale
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP: 881575302 / Symbol: TSCDY								
2 tax lots for 10/01/14.								
10/01/14	52,000	452.46		05/08/13	915.09	0.00	-462.63	Sale
	20,000	174.02		05/09/13	953.17	0.00	-179.15	Sale
	72,000	626.48		VARIOUS	1,268.26	0.00	-641.78	Total of 2 lots
3 tax lots for 10/02/14.								
10/02/14	31,000	267.95		05/09/13	547.41	0.00	-279.46	Sale
	28,000	242.01		05/16/13	484.67	0.00	-242.66	Sale
	13,000	112.37		05/20/13	228.28	0.00	-115.91	Sale
	72,000	622.33		VARIOUS	1,260.36	0.00	-638.03	Total of 3 lots
6 tax lots for 10/03/14.								
10/03/14	1,000	8.20		05/20/13	17.56	0.00	-9.36	Sale
	29,000	237.80		05/21/13	506.72	0.00	-268.92	Sale
	3,000	24.60		05/22/13	52.45	0.00	-27.85	Sale
	1,000	8.20		05/23/13	17.31	0.00	-9.11	Sale
	12,000	98.41		05/24/13	208.07	0.00	-109.66	Sale
	22,000	180.40		05/28/13	366.96	0.00	-206.56	Sale
	68,000	557.61		VARIOUS	1,189.07	0.00	-631.46	Total of 6 lots

UBS FINANCIAL SERVICES INC.

ACCOUNT: 3A001405

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
Security total:								
VALE SA-SP SPON ADR / CUSIP: 91912E105 / Symbol: VALE								
12/17/14	38.000		276.76	02/14/11	3,717.69	0.00	-1,911.27	
YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUJ								
03/27/14	9.000		79.55	10/12/09	113.76	0.00	-34.21	Sale
03/31/14	5.000		44.30	10/12/09	63.20	0.00	-18.90	Sale
04/01/14	13.000		114.53	10/12/09	164.33	0.00	-49.80	Sale
04/02/14	68.000		604.76	10/12/09	859.54	0.00	-254.78	Sale
4 tax lots for 07/09/14.								
	15.000		123.87	10/12/09	189.60	0.00	-65.73	Sale
	80.000		660.66	10/25/10	872.95	0.00	-212.29	Sale
	50.000		412.91	04/09/13	742.29	0.00	-329.38	Sale
	46.000		379.88	05/31/13	522.80	0.00	-142.92	Sale
07/09/14	191.000		1,577.32	VARIOUS	2,327.64	0.00	-750.32	Total of 4 lots
07/10/14	29.000		241.46	05/31/13	329.59	0.00	-88.13	Sale
Security total:								
			2,661.92		3,858.06	0.00	-1,196.14	
ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV								
3 tax lots for 10/16/14.								
	19.000		723.29	05/22/13	1,193.74	0.00	-470.45	Sale
	4.000		152.27	05/28/13	250.04	0.00	-97.77	Sale
	6.000		228.41	05/29/13	371.75	0.00	-143.34	Sale
10/16/14	29.000		1,103.97	VARIOUS	1,815.53	0.00	-711.56	Total of 3 lots
Totals:								
			30,998.20		30,876.74	0.00	121.46	

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS						Account 3A 01410	
2014									
SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B							
Report on Form 8949, Part I, with Box C checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information		
AMER EXPRESS CO NOTE RATE 2.65 % MATURES 12/02/2022 / CUSIP: 025816BD0 / Symbol: 05/19/14	15,000 000	14,624 92	10/23/13	14,184 75	0 00	440 17	Sale	Original basis. \$14,184.75	
CONOCOPHILLIPS NOTE RATE 4 75 % MATURES 02/01/2014 / CUSIP 20825CAS3 / Symbol: 02/01/14	21,000.000	21,000.00	06/18/13	21,000 00	0 00	0 00	Sale		
CONOCOPHILLIPS NTS 04.600% 011515 DTD052109 CALL@MW+40BP FC011510 / CUSIP: 20825CAT1 / Symbol: 11/25/14	20,000.000	20,115 85	01/31/14	20,114 75	0 00	1 10	Sale		
Totals:		55,740.77		55,299.50	0.00	441.27			
LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B							
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (Gross (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information		
AT & T INC NOTE RATE 2.50 % MATURES 08/15/2015 DATED DATE 07/30/2010 / CUSIP: 00206RAV4 / Symbol: 07/15/14	5,000 000	5,118 78	02/11/11	4,927 85	0 00	190 93	Sale	Original basis \$4,927 85	
10/03/14	12,000.000	12,200 88	02/11/11	11,826 84	0 00	374 04	Sale	Original basis \$11,826 84	
Security total:		17,319 66		16,754 69	0 00	564.97			
ALABAMA POWER CO RATE 3.375 % MATURES 10/01/2020 DATED DATE 10/05/2010 / CUSIP 010392FC7 / Symbol: 01/14/14	8,000 000	8,280 24	08/11/11	8,190.07	0.00	90.17	Sale	Original basis. \$8,250.00	
05/29/14	13,000.000	13,795.47	08/11/11	13,293 18	0.00	502 29	Sale	Original basis \$13,406 25	
Security total		22,075.71		21,483.25	0 00	592 46			
BERKSHIRE HATHAWAY INC RATE 1 90 % MATURES 01/31/2017 / CUSIP: 084670BD9 / Symbol: 07/22/14	18,000 000	18,397 08	01/17/13	18,338.19	0 00	58 89	Sale	Original basis \$18,536 58	
12/16/14	7,000 000	7,117 53	01/17/13	7,110 90	0 00	6 63	Sale	Original basis \$7,208 67	
Security total.		25,514 61		25,449 09	0 00	65 52			

UBS FINANCIAL SERVICES INC.

Account 3A 01410

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMERICA INC SUBNOTES NOTE RATE 4.80 % MATURES 05/01/2015 / CUSIP: 200340AL1 / Symbol: 08/29/14	7,000.000	7,190.48	7,190.48	10/13/09	6,386.94	0.00	803.54	Sale Original basis. \$6,386.94
DISNEY WALT CO NEW MEDIUM TERM NOTE RATE 6.20 % MATURES 06/20/2014 / CUSIP: 25468PCA2 / Symbol: 06/20/14	25,000.000	25,000.00	25,000.00	06/30/10	25,000.00	0.00	0.00	Sale
JOHNSON & JOHNSON COM 5 150% 071518 DTD062308 FC011509 MW T+15BP / CUSIP: 478160AU8 / Symbol 07/22/14	10,000.000	11,358.00	11,358.00	10/14/09	10,457.66	0.00	900.34	Sale Original basis \$10,921.40
KIMBERLY CLARK CORP RATE 6.125 % MATURES 08/01/2017 CALLABLE / CUSIP: 494368BB8 / Symbol 08/29/14	5,000.000	5,697.50	5,697.50	09/16/09	5,268.40	0.00	419.10	Sale Original basis \$5,655.95
PECO ENERGY CO CALL @MMW +25BP RATE 5.35 % ORIGINAL ISSUE DISCOUNT @ 99.8 / CUSIP: 693304AL1 / Symbol: 07/22/14	10,000.000	11,265.60	11,265.60	08/24/09	10,258.12	0.00	1,007.48	Sale Original basis. \$10,548.40
PNC FUNDING CORP NOTE RATE 6.70 % MATURES 06/10/2019 / CUSIP: 693476BF9 / Symbol 07/22/14	6,000.000	7,230.78	7,230.78	10/19/11	6,774.40	0.00	456.38	Sale Original basis \$7,153.44
PROCTER & GAMBLE CO NOTE RATE 3.50 % MATURES 02/15/2015 / CUSIP: 742718DM8 / Symbol 07/24/14	21,000.000	21,355.53	21,355.53	08/19/09	21,066.63	0.00	288.90	Sale Original basis \$21,610.89
PRUDENTIAL FINANCIAL INC 05.100% 092014 DTD092004 FC032005 NTS / CUSIP: 74432QAE5 / Symbol	2 tax lots for 07/24/14							
20,000.000	20,130.60	20,130.60	20,003.40	08/27/09	20,003.40	0.00	127.20	Sale Original basis \$20,099.20
5,000.000	5,032.65	5,032.65	5,008.46	11/23/09	5,008.46	0.00	24.19	Sale Original basis \$5,241.25
25,000.000	25,163.25	25,163.25	25,011.86	VARIOUS	25,011.86	0.00	151.39	Total of 2 lots
SUNTRUST BANKS INC RATE 3.60 % MATURES 04/15/2016 CALLABLE / CUSIP: 867914BD4 / Symbol 07/22/14	8,000.000	8,346.40	8,346.40	07/09/12	8,158.44	0.00	187.96	Sale Original basis \$8,336.79

UBS FINANCIAL SERVICES INC.

Account 3A-01410

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (S)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TARGET CORP RATE 2.90 % MATURES 01/15/2022 DATED DATE 01/12/2012 / CUSIP 87612EAZ9 / Symbol: 09/16/14	22,000.000	21,851.50	12/20/12	22,973.08	0.00	-1,121.58	Sale Original basis \$23,181.62
US BANCORP NOTE RATE 3.442 % MATURES 02/01/2016 DATED DATE 02/01/2011 / CUSIP 902973AV8 / Symbol: 08/29/14	10,000.000	10,354.89	06/28/13	10,271.67	0.00	83.22	Sale Original basis \$10,490.90
US BANCORP RATE 2.20 % MATURES 11/15/2016 CALLABLE DATED DATE 11/03/2011 / CUSIP 91159HHB9 / Symbol: 05/19/14	5,000.000	5,166.40	08/20/12	5,122.37	0.00	44.03	Sale Original basis \$5,206.15
UNTD TECHNOLOGIES CORP NOTE RATE 4.875 % MATURES 05/01/2015 CALLABLE / CUSIP 913017BH1 / Symbol: 05/21/14	20,000.000	20,839.40	09/04/09	20,356.09	0.00	483.31	Sale Original basis \$21,994.40
WISC ELEC POWER RATE 2.95 % MATURES 09/15/2021 CALLABLE DATED DATE 09/13/2011 / CUSIP 976656CD8 / Symbol: 05/19/14	10,000.000	10,211.50	05/02/13	10,641.75	0.00	-430.25	Sale Original basis \$10,726.20
08/29/14	11,000.000	11,273.79	05/02/13	11,681.01	0.00	-407.22	Sale Original basis \$11,798.82
Security total		21,485.29		22,322.76	0.00	-837.47	
Totals:		267,205.00		263,115.45	0.00	4,089.55	

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS						Account 3A 01411
2014								
SHORT-TERM TRANSACTIONS		<i>NOT reported on Form 1099-B</i>						
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EBAY INC / CUSIP 278642103 / Symbol EBAY	15 000		817 94	11/19/13	783.44	0 00	34 50	Sale
ORACLE CORP / CUSIP 68389X105 / Symbol ORCL	50 000		1,884 48	06/21/13	1,520 20	0 00	364 28	Sale
2 tax lots for 05/02/14.								
	10 000		409 69	06/21/13	304 04	0 00	105 65	Sale
05/02/14	25 000		1,024 23	06/21/13	760 79	0 00	263 44	Sale
	35 000		1,433 92	VARIOUS	1,064 83	0 00	369 09	Total of 2 lots
06/12/14	15 000		634 48	06/21/13	456 47	0 00	178 01	Sale
Security total			3,952 88		3,041 50	0 00	911 38	
Totals:			4,770.82		3,824.94	0.00	945.88	
LONG-TERM TRANSACTIONS		<i>NOT reported on Form 1099-B</i>						
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
APOLLO EDUCATION GROUP INC CL A / CUSIP 037604105 / Symbol APOL	25 000		876 21	08/16/10	1,035 28	0 00	-159 07	Sale
BANCORP INC DEL / CUSIP 05969A105 / Symbol TBBK								
2 tax lots for 01/24/14.								
	74 000		1,391 39	09/17/09	451.52	0 00	939.87	Sale
01/24/14	16 000		300.84	09/18/09	98.82	0 00	202.02	Sale
	90 000		1,692.23	VARIOUS	550 34	0 00	1,141 89	Total of 2 lots
01/30/14	14 000		269 85	09/18/09	86.47	0 00	183 38	Sale
02/12/14	3 000		57.83	09/18/09	18.53	0 00	39 30	Sale
3 tax lots for 02/14/14								
	83 000		1,624.94	09/18/09	512.64	0 00	1,112.30	Sale
	5,000		97.88	11/02/09	25 88	0 00	72 00	Sale

UBS FINANCIAL SERVICES INC.

Account 3A 01411

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANCORP INC DEL / CUSIP 05969A105 / Symbol TBBK (cont'd)								
	10 000		195.78	12/02/09	60.48	0 00	135.30	Sale
02/14/14	98.000		1,918.60	VARIOUS	599.00	0 00	1,319.60	Total of 3 lots
Security total			3,938.51		1,254.34	0 00	2,684.17	
BANK OF NEW YORK MELLON CORP / CUSIP 064058100 / Symbol BK								
02/12/14	15.000		482.39	02/09/10	408.68	0 00	73.71	Sale
2 tax lots for 06/12/14								
	5 000		176.36	02/09/10	136.22	0 00	40.14	Sale
10.000			352.72	09/22/11	185.06	0 00	167.66	Sale
06/12/14	15.000		529.08	VARIOUS	321.28	0 00	207.80	Total of 2 lots
Security total			1,011.47		729.96	0 00	281.51	
BARD C R INC COMMON STOCK / CUSIP. 067383109 / Symbol BCR								
02/12/14	5 000		684.06	10/20/11	430.59	0 00	253.47	Sale
02/25/14	10 000		1,428.73	10/20/11	861.19	0 00	567.54	Sale
10/30/14	15.000		2,403.09	10/20/11	1,291.79	0 00	1,111.30	Sale
11/18/14	15 000		2,499.37	10/20/11	1,291.79	0 00	1,207.58	Sale
Security total			7,015.25		3,875.36	0 00	3,139.89	
BECTON DICKINSON & CO COMMON STOCK / CUSIP. 075887109 / Symbol BDX								
02/12/14	5 000		564.19	10/20/11	370.03	0 00	194.16	Sale
02/20/14	5 000		570.64	10/20/11	370.03	0 00	200.61	Sale
10/06/14	20 000		2,489.94	10/20/11	1,480.12	0 00	1,009.82	Sale
Security total			3,624.77		2,220.18	0 00	1,404.59	
BLACKBERRY LTD FOREIGN STOCK CAD / CUSIP. 09228F103 / Symbol. BBRY								
2 tax lots for 01/07/14.								
	5.000		42.08	08/27/12	35.57	0 00	6.51	Sale
95.000			799.56	08/31/12	644.09	0 00	155.47	Sale
100.000			841.64	VARIOUS	679.66	0 00	161.98	Total of 2 lots
01/07/14								
C H ROBINSON WORLDWIDE INC NEW / CUSIP 12541W209 / Symbol CHRW								
05/02/14	10 000		586.39	02/26/13	560.68	0 00	25.71	Sale

UBS FINANCIAL SERVICES INC.

Account 3A 01411

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CISCO SYS INC / CUSIP 17275R102 / Symbol: CSCO								
3 tax lots for 02/12/14.								
	15,000		338.30	02/10/11	291.30	0.00	47.00	Sale
	50,000		1,127.67	03/29/11	868.06	0.00	259.61	Sale
	10,000		225.53	05/12/11	169.50	0.00	56.03	Sale
02/12/14	75,000		1,691.50	VARIOUS	1,328.86	0.00	362.64	Total of 3 lots
05/02/14	25,000		576.59	05/12/11	423.75	0.00	152.84	Sale
2 tax lots for 06/12/14								
	20,000		495.46	05/12/11	339.00	0.00	156.46	Sale
	45,000		1,114.80	05/31/11	747.90	0.00	366.90	Sale
06/12/14	65,000		1,610.26	VARIOUS	1,086.90	0.00	523.36	Total of 2 lots
			3,878.35		2,839.51	0.00	1,038.84	
CLOROX CO / CUSIP 189054109 / Symbol: CLX								
06/12/14	10,000		901.48	10/20/11	681.74	0.00	219.74	Sale
2 tax lots for 10/24/14								
	35,000		3,480.82	10/20/11	2,386.10	0.00	1,094.72	Sale
	15,000		1,491.78	04/12/12	1,041.23	0.00	450.55	Sale
10/24/14	50,000		4,972.60	VARIOUS	3,427.33	0.00	1,545.27	Total of 2 lots
			5,874.08		4,109.07	0.00	1,765.01	
COCA COLA CO COM COMMON STOCK / CUSIP 191216100 / Symbol: KO								
02/12/14	10,000		386.92	04/01/10	276.29	0.00	110.63	Sale
3 tax lots for 05/02/14								
	10,000		407.49	04/21/10	271.85	0.00	135.64	Sale
	30,000		1,222.48	05/03/10	802.18	0.00	420.30	Sale
	20,000		814.99	10/20/11	673.39	0.00	141.60	Sale
05/02/14	60,000		2,444.96	VARIOUS	1,747.42	0.00	697.54	Total of 3 lots
			2,831.88		2,023.71	0.00	808.17	
COMCAST CORP NEW SPECIAL CL A / CUSIP 20030N200 / Symbol: CMCSK								
2 tax lots for 02/12/14								
	15,000		792.14	12/02/09	209.70	0.00	582.44	Sale

UBS FINANCIAL SERVICES INC.

Account 3A.01411

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMCAST CORP NEW SPECIAL CL A / CUSIP: 20030N200 / Symbol: CMCSK (cont'd)	20,000	1,056.18	1,848.32	10/20/11	468.34	0.00	587.84	Sale
02/12/14	35,000			VARIOUS	678.04	0.00	1,170.28	Total of 2 lots
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP								
02/12/14	10,000	658.79		07/30/09	328.33	0.00	330.46	Sale
05/02/14	25,000	1,881.21		07/30/09	820.83	0.00	1,060.38	Sale
2 tax lots for 06/12/14.								
10,000		828.48		07/30/09	328.33	0.00	500.15	Sale
5,000		414.24		10/20/11	263.68	0.00	150.56	Sale
15,000		1,242.72		VARIOUS	592.01	0.00	650.71	Total of 2 lots
06/12/14					1,741.17	0.00	2,041.55	
Security total		3,782.72						
CORNING INC COMMON STOCK / CUSIP: 219350105 / Symbol: GLW								
3 tax lots for 03/25/14								
70,000		1,409.59		09/17/10	1,190.12	0.00	219.47	Sale
45,000		906.17		09/22/11	540.71	0.00	365.46	Sale
20,000		402.74		10/20/11	263.58	0.00	139.16	Sale
03/25/14	135,000	2,718.50		VARIOUS	1,994.41	0.00	724.09	Total of 3 lots
EXXON MOBIL CORP / CUSIP: 30231G102 / Symbol: XOM								
05/02/14	5,000	511.05		11/05/10	347.00	0.00	164.05	Sale
HEWLETT PACKARD CO COMMON STOCK / CUSIP: 428236103 / Symbol: HPQ								
01/30/14	20,000	584.60		10/20/11	491.35	0.00	93.25	Sale
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ								
02/12/14	10,000	928.88		07/30/09	622.08	0.00	306.80	Sale
05/02/14	10,000	999.48		07/30/09	622.07	0.00	377.41	Sale
06/12/14	10,000	1,028.11		07/30/09	622.08	0.00	406.03	Sale
Security total		2,956.47			1,866.23	0.00	1,090.24	
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT								
02/12/14	15,000	559.79		03/04/11	387.90	0.00	171.89	Sale
05/02/14	40,000	1,600.36		03/04/11	1,034.40	0.00	565.96	Sale

UBS FINANCIAL SERVICES INC.

Account 3A.01411

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT (cont'd)								
2 tax lots for 06/12/14								
	5 000		203.32	03/04/11	129.40	0.00	73.92	Sale
	10.000		406.63	03/04/11	258.60	0.00	148.03	Sale
06/12/14	15 000		609.95	VARIOUS	388.00	0.00	221.95	Total of 2 lots
2 tax lots for 10/03/14								
	50.000		2,300.28	03/04/11	1,293.96	0.00	1,006.32	Sale
	25 000		1,150.14	10/20/11	674.72	0.00	475.42	Sale
10/03/14	75 000		3,450.42	VARIOUS	1,968.68	0.00	1,481.74	Total of 2 lots
11/06/14	50 000		2,427.50	10/20/11	1,349.44	0.00	1,078.06	Sale
	Security total.		8,648.02		5,128.42	0.00	3,519.60	
NEWS CORP NEW CL A / CUSIP: 65249B109 / Symbol: NWSA								
2 tax lots for 01/06/14								
	16 000		285.75	10/20/11	121.60	0.00	164.15	Sale
	49 000		861.56	10/20/11	372.39	0.00	489.17	Sale
01/06/14	65 000		1,147.31	VARIOUS	493.99	0.00	653.32	Total of 2 lots
PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol: PEP								
05/02/14	30.000		2,564.34	07/30/09	1,726.78	0.00	837.56	Sale
06/12/14	15.000		1,312.92	07/30/09	863.39	0.00	449.53	Sale
	Security total		3,877.26		2,590.17	0.00	1,287.09	
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG								
05/02/14	30.000		2,475.85	12/17/10	1,945.88	0.00	529.97	Sale
SIGMA ALDRICH CORP / CUSIP: 826552101 / Symbol: SIAL								
06/12/14	5 000		497.96	04/03/13	382.93	0.00	115.03	Sale
STRYKER CORP COMMON STOCK / CUSIP: 863667101 / Symbol: SYK								
02/12/14	35.000		2,837.16	08/31/10	1,508.34	0.00	1,328.82	Sale
06/12/14	10.000		842.48	08/31/10	430.95	0.00	411.53	Sale
	3 tax lots for 11/03/14.		437.17	08/31/10	215.48	0.00	221.69	Sale
	5.000							

UBS FINANCIAL SERVICES INC.

Account 3A 0141.1

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS*NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
STRYKER CORP COMMON STOCK / CUSIP: 863667101 / Symbol: SYK (cont'd)	5,000	437.17	437.17	09/08/11	247.15	0.00	190.02	Sale
	15,000	1,311.50	1,311.50	10/20/11	730.06	0.00	581.44	Sale
11/03/14	25,000	2,185.84	2,185.84	VARIOUS	1,192.69	0.00	993.15	Total of 3 lots
12/10/14	15,000	1,402.79	1,402.79	10/20/11	730.05	0.00	672.74	Sale
Security total		7,268.27	7,268.27		3,862.03	0.00	3,406.24	
SYSICO CORP COMMON STOCK / CUSIP: 871829107 / Symbol: SYI	15,000	543.74	543.74	02/23/10	433.77	0.00	109.97	Sale
05/02/14	25,000	941.04	941.04	02/23/10	722.96	0.00	218.08	Sale
06/12/14		1,484.78	1,484.78		1,156.73	0.00	328.05	
Security total								
TWENTY-FIRST CENTY FOX INC CL A / CUSIP: 90130A101 / Symbol: FOXA								
2 tax lots for 05/02/14								
10,000	324.89	324.89	324.89	12/17/10	127.18	0.00	197.71	Sale
5,000	162.45	162.45	162.45	07/13/11	70.09	0.00	92.36	Sale
15,000	487.34	487.34	487.34	VARIOUS	197.27	0.00	290.07	Total of 2 lots
05/02/14								
3 tax lots for 06/12/14								
35,000	1,252.75	1,252.75	1,252.75	07/13/11	490.62	0.00	762.13	Sale
55,000	1,968.62	1,968.62	1,968.62	07/13/11	770.08	0.00	1,198.54	Sale
5,000	178.96	178.96	178.96	10/20/11	73.44	0.00	105.52	Sale
95,000	3,400.33	3,400.33	3,400.33	VARIOUS	1,334.14	0.00	2,066.19	Total of 3 lots
Security total		3,887.67	3,887.67		1,531.41	0.00	2,356.26	
US BANCORP DEL (NEW) / CUSIP: 902973304 / Symbol: USB								
02/12/14	10,000	405.25	405.25	08/24/09	217.10	0.00	188.15	Sale
06/12/14	20,000	862.03	862.03	08/24/09	434.20	0.00	427.83	Sale
Security total		1,267.28	1,267.28		651.30	0.00	615.98	
VIACOM INC NEW CL B / CUSIP: 92553P201 / Symbol: VIAB								
02/12/14	10,000	835.79	835.79	10/20/11	425.98	0.00	409.81	Sale
05/02/14	5,000	421.54	421.54	10/20/11	213.00	0.00	208.54	Sale
06/12/14	5,000	431.39	431.39	10/20/11	212.99	0.00	218.40	Sale
Security total		1,688.72	1,688.72		851.97	0.00	836.75	

UBS FINANCIAL SERVICES INC.	Proceeds Not Reported to the IRS	Account 3A:01411
2014	(continued)	

LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box F checked
NOT reported on Form 1099-B

Description of property		Quantity	Proceeds Shown (G)/ross (N)/et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Date sold or disposed								
WELLPOINT INC **NAME CHANGE 12/2014** / CUSIP: 94973V107 / Symbol								
02/12/14		10.000	858 89	08/20/12	568 32	0.00	290 57	Sale
05/02/14		10.000	1,010.72	08/20/12	568 33	0.00	442 39	Sale
	Security total		1,869 61		1,136 65	0.00	732 96	
WELLS FARGO & CO NEW / CUSIP 949746101 / Symbol WFC								
05/02/14		10 000	497 72	04/25/13	376 68	0.00	121 04	Sale
Totals:			77,490.66		46,554.11	0.00	30,936.55	

UBS FINANCIAL SERVICES INC.

Account 3A 01413

Proceeds Not Reported to the IRS

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BOSTON SCIENTIFIC CORP / CUSIP: 101137107 / Symbol: BSX	25 000		333.58	03/07/11	186.88	0.00	146.70	Sale
BRANDYWINE REALTY TRUST NEW / CUSIP: 105368203 / Symbol: BDN								
2 tax lots for 05/28/14								
60.000			909.92	08/03/09	459.07	0.00	450.85	Sale
160.000			2,426.44	10/19/11	1,223.83	0.00	1,202.61	Sale
220.000			3,336.36	VARIOUS	1,682.90	0.00	1,653.46	Total of 2 lots
05/28/14								
BROADRIDGE FINANCIAL SOLUTIONS INC / CUSIP: 11133T103 / Symbol: BR								
08/18/14	50 000		2,050.30	08/03/09	869.00	0.00	1,181.30	Sale
CHUBB CORP COMMON STOCK / CUSIP: 171232101 / Symbol: CB								
08/08/14	15.000		1,312.47	08/03/09	699.89	0.00	612.58	Sale
CLOROX CO / CUSIP: 189054109 / Symbol: CLX								
10/03/14	14 000		1,350.97	10/28/09	811.86	0.00	539.11	Sale
DIEBOLD INC COMMON STOCK / CUSIP: 253651103 / Symbol: DBD								
4 tax lots for 08/05/14								
5.000			190.04	05/08/13	153.89	0.00	36.15	Sale
35.000			1,330.31	05/09/13	1,075.25	0.00	255.06	Sale
25.000			950.21	05/10/13	763.62	0.00	186.59	Sale
10.000			380.09	05/13/13	307.24	0.00	72.85	Sale
08/05/14	75 000		2,850.65	VARIOUS	2,300.00	0.00	550.65	Total of 4 lots
DUKE ENERGY CORP NEW / CUSIP: 26441C204 / Symbol: DUK								
01/30/14	15.000		1,038.58	08/03/09	677.07	0.00	361.51	Sale
02/18/14	36.000		2,579.87	08/03/09	1,624.97	0.00	954.90	Sale
Security total:			3,618.45		2,302.04	0.00	1,316.41	
M & T BANK CORP / CUSIP: 55261F104 / Symbol: MTB								
10/29/14	14.000		1,652.32	10/13/11	1,040.54	0.00	611.78	Sale
MATTEL INC COMMON STOCK / CUSIP: 577081102 / Symbol: MAT								
03/21/14	30 000		1,162.78	08/03/09	471.12	0.00	691.66	Sale

UBS FINANCIAL SERVICES INC.

Account 3A 01413

Proceeds Not Reported to the IRS (continued)

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)/et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MCKESSON CORP / CUSIP: 58155Q103 / Symbol MCK	6 000		1,118.46	04/07/10	396.11	0 00	722.35	Sale
10/16/14								
NISOURCE INC / CUSIP: 65473P105 / Symbol NI	10 000		350 72	08/03/09	130 28	0 00	220.44	Sale
03/13/14								
08/08/14	40 000		1,480 98	08/03/09	521.12	0 00	959 86	Sale
Security total			1,831 70		651 40	0 00	1,180 30	
SCIENCE APPLICATIONS INTE / CUSIP: 808625107 / Symbol SAIC	15 000		625.10	01/29/13	375 82	0 00	249 28	Sale
08/08/14								
2 tax lots for 08/11/14	8 429		352.50	01/29/13	211 18	0 00	141 32	Sale
08/11/14	13 571		567 58	02/14/13	339 08	0 00	228 50	Sale
Security total:	22 000		920.08	VARIOUS	550 26	0 00	369 82	Total of 2 lots
SEMPRA ENERGY / CUSIP: 816851109 / Symbol SRE	15 000		1,533 09	08/03/09	781 47	0 00	619.10	
07/24/14								
2 tax lots for 12/23/14	10 000		1,104.54	05/04/10	494 43	0 00	610 11	Sale
12/23/14	15 000		1,656 81	10/19/11	799.04	0 00	857.77	Sale
Security total	25 000		2,761 35	VARIOUS	1,293 47	0 00	1,467.88	Total of 2 lots
SONOCO PRODUCTS CO COMMON STOCK / CUSIP: 835495102 / Symbol SON	30 000		4,294.44	09/22/11	2,074 94	0 00	2,219 50	
08/25/14								
2 tax lots for 11/03/14.	85 000		2,177 35	08/03/09	2,052 65	0 00	124 70	Sale
11/03/14	20 000		512 32	10/19/11	386 47	0 00	125 85	Sale
Security total	105 000		2,689 67	VARIOUS	2,439.12	0 00	250.55	Total of 2 lots
STAPLES INC / CUSIP: 855030102 / Symbol SPLS	55 000		798 01	05/07/13	765 70	0 00	32.31	Sale
12/03/14								
TELEPHONE & DATA SYS INC / CUSIP: 879433829 / Symbol. TDS								

UBS.FINANCIAL SERVICES INC.	Proceeds Not Reported to the IRS	Account 3A 01413
2014	(continued)	

LONG-TERM TRANSACTIONS								
Report on Form 8949, Part II, with Box F checked								
NOT reported on Form 1099-B								
Description of property								
Date sold or disposed	Quantity	Shown (G)ross / Symbol	Proceeds (N)et TDS (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TELEPHONE & DATA SYS INC / CUSIP: 879433829 / Symbol: TDS (cont'd)								
11/04/14	30 000		767 24	10/19/11	579.71	0.00	187 53	Sale
	Security total:		3,456.91		3,018.83	0 00	438 08	
XEROX CORP COMMON STOCK / CUSIP 984121103 / Symbol: XRX								
5 tax lots for 01/28/14.								
	123.000		1,328.94	08/03/09	1,042.43	0.00	286 51	Sale
	60.000		648.27	03/04/10	570.58	0 00	77.69	Sale
	55.000		594.24	03/19/10	537.89	0 00	56 35	Sale
	30.000		324.13	12/13/10	359.92	0 00	-35.79	Sale
	190.000		2,052.84	10/19/11	1,443.45	0 00	609 39	Sale
01/28/14	458 000		4,948.42	VARIOUS	3,954.27	0 00	994.15	Total of 5 lots
	Totals:		36,875.74		23,053.11	0.00	13,822.63	

UBS FINANCIAL SERVICES INC.

Account: 3A 01414

Proceeds Not Reported to the IRS

2014

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or
disposed

Quantity

Proceeds
Shown (G)ross (N)et

Date
acquired

Cost or
other basis

Adjustments &
Code(s), if any

Gain or loss(-) &
7- Loss not allowed(X) Additional information

CANADIAN PACIFIC RAILWAY LTD FOREIGN STOCK CAD / CUSIP: 13645T100 / Symbol CP

10.000

2,044.15

08/03/09

468.08

0 00

1,576 07

Sale

UBS FINANCIAL SERVICES INC.

Account 3A 01415

Proceeds Not Reported to the IRS

2014

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EL PASO PIPELINE PARTNERS L P UNITS REPSTG LTD PARTNER / CUSIP 283702108 / Symbol								
3 tax lots for 08/11/14.								
	300 000	12,350 73		08/25/09	5,863.50	0.00	6,487.23	Sale
	300 000	12,350 72		08/27/09	5,687.97	0.00	6,662.75	Sale
	100 000	4,116.91		03/09/11	3,480.85	0.00	636.06	Sale
08/11/14	700 000	28,818.36		VARIOUS	15,032.32	0.00	13,786.04	Total of 3 lots
4 tax lots for 10/06/14.								
	300 000	12,211.08		03/09/11	10,442.55	0.00	1,768.53	Sale
	200 000	8,140.72		09/11/12	6,885.30	0.00	1,255.42	Sale
	100 000	4,070.36		12/13/12	3,660.15	0.00	410.21	Sale
	100 000	4,070.36		08/05/13	4,109.00	0.00	-38.64	Sale
10/06/14	700 000	28,492.52		VARIOUS	25,097.00	0.00	3,395.52	Total of 4 lots
	Security total.	57,310.88			40,129.32	0.00	17,181.56	
MAGELLAN MIDSTREAM PARTNERS LP MLP / CUSIP: 559080106 / Symbol: MMP								
11/24/14	500 000	42,204.82		08/20/09	8,682.54	0.00	33,522.28	Sale
NUSTAR ENERGY LP UNITS MLP / CUSIP: 67058H102 / Symbol: NS								
6 tax lots for 11/24/14.								
	250 000	14,643.93		11/10/09	13,124.97	0.00	1,518.96	Sale
	50 000	2,928.78		01/13/10	2,784.70	0.00	144.08	Sale
	50 000	2,928.79		08/09/10	2,936.97	0.00	-8.18	Sale
	50 000	2,928.78		08/10/10	2,909.50	0.00	19.28	Sale
	100 000	5,857.57		08/20/10	5,733.60	0.00	123.97	Sale
	100 000	5,857.57		08/24/10	5,693.25	0.00	164.32	Sale
11/24/14	600 000	35,145.42		VARIOUS	33,182.99	0.00	1,962.43	Total of 6 lots
SUNOCO LOGISTICS PARTNERS L P: COM UNITS MLP / CUSIP: 86764L108 / Symbol: SXL								
04/28/14	250 000	22,590.75		10/19/10	6,600.92	0.00	15,989.83	Sale
	800 000	40,098.71		08/24/09	7,501.33	0.00	32,597.38	Note 26
11/24/14	Security total:	62,689.46			14,102.25	0.00	48,587.21	Sale
	Totals:	197,350.58			96,097.10	0.00	101,253.48	

UBS FINANCIAL SERVICES INC.

Account 3A 03230

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AES CORP NTS 8 000% 101517 DTD011808 FC041508 CALL@MW +50BP / CUSIP: 00130HBH7 / Symbol: 05/15/14	10,000 000	11,850.00	11,308 40	08/14/13	11,308 40	0 00	541 60	Sale Original basis \$11,575.00
ACCESS MIDSTREAM PARTNERS L P NOTE RATE 4.875 % MATURES 05/15/2023 CALLABLE / CUSIP: 00434NAA3 / Symbol: 06/17/14	2,000 000	2,120 00	1,937 50	10/11/13	1,937 50	0 00	182 50	Sale Original basis \$1,937 50
2 tax lots for 06/18/14.								
1,000 000	1,060 00	1,060 00	968 75	10/11/13	968 75	0.00	91 25	Sale Original basis: \$968 75
1,000 000	1,060 00	1,060 00	995.63	10/18/13	995.63	0.00	64.37	Sale Original basis \$995.63
2,000 000	2,120 00	2,120 00	1,964.38	VARIOUS	1,964.38	0 00	155.62	Total of 2 lots
3,000.000	3,187.50	3,187.50	2,986.89	10/18/13	2,986.89	0.00	200 61	Sale Original basis \$2,986.89
3 tax lots for 06/20/14								
1,000 000	1,061 25	1,061 25	995 63	10/18/13	995 63	0 00	65.62	Sale Original basis \$995 63
2,000.000	2,122.50	2,122.50	2,005 00	10/21/13	2,005 00	0.00	117 50	Sale Original basis \$2,005.00
1,000 000	1,061 25	1,061 25	997 50	10/31/13	997 50	0 00	63 75	Sale Original basis \$997 50
4,000 000	4,245.00	4,245.00	3,998 13	VARIOUS	3,998 13	0 00	246.87	Total of 3 lots
Security total:		11,672 50	10,886 90		10,886 90	0.00	785 60	
AIRCATTLE LTD NOTE RATE 6 75 % MATURES 04/15/2017 / CUSIP: 00928QAF8 / Symbol: 06/26/14	1,000.000	1,112 50	1,056.48	08/16/13	1,056.48	0 00	56 02	Sale Original basis \$1,072.50
4,000 000	4,440 00	4,440 00	4,224.45	08/16/13	4,224.45	0 00	215 55	Sale Original basis \$4,290 00
Security total:		5,552.50	5,280 93		5,280 93	0.00	271 57	
AMERISTAR CASINOS INC NOTE RATE 7 50 % MATURES 04/15/2021 CALLABLE / CUSIP: 03070QAN1 / Symbol: 08/08/14	2,000 000	2,090.00	2,121 93	08/09/13	2,121 93	0 00	-31 93	Sale Original basis \$2,135.00
AVIS BUDGET NOTE RATE 8.25 % MATURES 01/15/2019 CALLABLE DATED DATE 01/15/2011 / CUSIP: 053773AN7 / Symbol: 06/15/14	5,000.000	5,334.15	5,424.66	07/30/13	5,424.66	0.00	-90.51	Sale

UBS FINANCIAL SERVICES INC.

Account 3A-03230

Proceeds Not Reported to the IRS

(continued)

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CIT GROUP INC CALL@MW 50BP NOTE RATE 5.00 % MATURES 05/15/2017 / CUSIP: 125581GM4 / Symbol:	4,000.000	4,275.00	4,185.71	08/06/13	4,185.71	0.00	89.29	Sale Original basis \$4,228.00
05/01/14								
05/05/14	4,000.000	4,275.00	4,185.07	08/06/13	4,185.07	0.00	89.93	Sale Original basis \$4,228.00
05/27/14	2,000.000	2,137.50	2,090.76	08/06/13	2,090.76	0.00	46.74	Sale Original basis \$2,114.00
06/05/14	4,000.000	4,275.00	4,180.21	08/06/13	4,180.21	0.00	94.79	Sale Original basis \$4,228.00
Security total:		14,962.50	14,641.75			0.00	320.75	
CIT GROUP INC RATE 5.00 % MATURES 08/15/2022 CALLABLE DATED DATE 08/03/2012 / CUSIP: 125581GQ5 / Symbol:	3,000.000	3,105.00	3,159.24	03/03/14	3,159.24	0.00	-54.24	Sale Original basis \$3,165.00
07/09/14								
CLEAR CHANNEL WW HLDGS INC NOTE RATE 6.50 % MATURES 11/15/2022 CALLABLE / CUSIP: 18451QAM0 / Symbol:	20,000.000	21,262.50	20,550.00	08/06/13	20,550.00	0.00	712.50	Sale Original basis \$20,550.00
02/25/14								
CONTINENTAL RESOURCES INC NOTE RATE 4.50 % MATURES 04/15/2023 CALLABLE / CUSIP: 212015AL5 / Symbol:	2,000.000	2,127.00	1,995.00	07/15/13	1,995.00	0.00	132.00	Sale Original basis \$1,995.00
05/22/14								
06/16/14	3,000.000	3,183.75	2,992.50	07/16/13	2,992.50	0.00	191.25	Sale Original basis \$2,992.50
Security total:		5,310.75	4,987.50			0.00	323.25	
DISH DBS CORP NOTE RATE 5.875 % MATURES 07/15/2022 / CUSIP 25470XAJ4 / Symbol:	2 tax lots for 11/10/14	4,140.00	4,228.60	03/06/14	4,228.60	0.00	-88.60	Sale Original basis \$4,245.00
4,000.000								
11/10/14	2,000.000	2,070.00	2,151.06	04/22/14	2,151.06	0.00	-81.06	Sale Original basis \$2,160.00
11/21/14	6,000.000	6,210.00	6,379.66	VARIOUS	6,379.66	0.00	-169.66	Total of 2 lots
Security total:	3,000.000	3,086.25	3,225.85	04/22/14	3,225.85	0.00	-139.60	Sale Original basis \$3,240.00
		9,296.25	9,605.51			0.00	-309.26	

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS (continued)						Account 3A 03230	
2014									
SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked		NOT reported on Form 1099-B							
Description of property									
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
GMAC LLC RATE 8 00 % MATURES 11/01/2031 DATED DATE 12/31/2008 / CUSIP 36186CBY8 / Symbol 05/27/14	8,000.000	DATED DATE 12/31/2008 / CUSIP 36186CBY8 / Symbol	9,930 00	08/08/13	9,463 23	0.00	466.77	Sale	Original basis \$9,500.00
HCA INC NOTE RATE 7.875 % MATURES 02/15/2020 CALLABLE DATED DATE 02/15/2010 / CUSIP: 404119BJ7 / Symbol									
3 tax lots for 04/02/14									
	5,000 000		5,329 85	08/08/13	5,406 91	0.00	-77 06	Sale	
	9,000.000		9,593 72	08/09/13	9,722 41	0 00	-128.69	Sale	
	2,000.000		2,131.93	08/15/13	2,154 01	0.00	-22 08	Sale	
04/02/14	16,000 000		17,055.50	VARIOUS	17,283 33	0 00	-227 83	Total of 3 lots	
HARRAHS OPER CO INC NOTE RATE 11.25 % MATURES 06/01/2017 CALLABLE / CUSIP 413627BL3 / Symbol:									
03/06/14	5,000 000		4,837 50	07/29/13	5,205 42	0 00	-367 92	Sale	Original basis \$5,237 50
ICAHN ENTERPRISES L P / ICAHN NOTE RATE 8 00 % MATURES 01/15/2018 CALLABLE / CUSIP: 451102AH0 / Symbol:									
2 tax lots for 01/21/14.									
	2,000 000		2,085.00	07/29/13	2,106 43	0 00	-21.43	Bond call	Original basis: \$2,117 50
	8,000 000		8,340 00	08/07/13	8,418 47	0 00	-78 47	Bond call	Original basis: \$8,460 00
01/21/14	10,000 000		10,425 00	VARIOUS	10,524 90	0 00	-99 90	Total of 2 lots	
LEUCADIA NATIONAL CORP NOTE RATE 8 125 % MATURES 09/15/2015 / CUSIP: 527288BD5 / Symbol:									
05/05/14	8,000 000		8,704 00	07/31/13	8,632 53	0 00	71 47	Sale	Original basis \$8,980 00
MGM GRAND INC CALL @ MMW T+50BP RATE 7.625 % MATURES 01/15/2017 / CUSIP: 552953BB6 / Symbol:									
05/15/14	4,000.000		4,545 00	08/26/13	4,327 58	0 00	217.42	Sale	Original basis \$4,410 00
NCR CORP NOTE RATE 5.00 % MATURES 07/15/2022 CALLABLE DATED DATE 01/15/2013 / CUSIP: 62886EAJ7 / Symbol									
4 tax lots for 03/11/14									
	1,000 000		1,007.50	06/12/13	988.75	0.00	18 75	Sale	Original basis \$988 75
	2,000 000		2,012.50	06/12/13	1,977.50	0.00	35 00	Sale	Original basis \$1,977 50

UBS FINANCIAL SERVICES INC.

Account 3A 03230

2014

Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NCR CORP NOTE RATE 5.00 % MATURES 07/15/2022 CALLABLE DATED DATE 01/15/2013 / CUSIP: 62886EAJ7 / Symbol	3,000,000	3,022.50	3,022.50	06/26/13	2,887.50	(cont'd)	135.00	Sale Original basis \$2,887.50
	4,000,000	4,030.00	4,030.00	08/29/13	3,805.00	0.00	225.00	Sale Original basis \$3,805.00
03/11/14	10,000,000	10,072.50	10,072.50	VARIOUS	9,658.75	0.00	413.75	Total of 4 lots
NRG ENERGY INC NOTE RATE 8.50 % MATURES 06/15/2019 CALLABLE / CUSIP: 629377BG6 / Symbol.	10,000,000	10,537.50	10,537.50	08/06/13	10,727.14	0.00	-189.64	Sale Original basis \$10,800.00
03/25/14								
OFFSHORE GROUP INVMT LTD NOTE RATE 7.50 % MATURES 11/01/2019 CALLABLE / CUSIP 676253AJ6 / Symbol	2,000,000	2,155.00	2,155.00	05/31/13	2,155.09	0.00	-0.09	Sale Original basis \$2,170.00
01/30/14								
3 tax lots for 02/21/14	1,000,000	1,075.00	1,075.00	05/31/13	1,076.88	0.00	-1.88	Sale Original basis \$1,085.00
	2,000,000	2,150.00	2,150.00	06/03/13	2,150.11	0.00	-0.11	Sale Original basis \$2,165.00
	1,000,000	1,075.00	1,075.00	08/07/13	1,048.75	0.00	26.25	Sale Original basis \$1,048.75
02/21/14	4,000,000	4,300.00	4,300.00	VARIOUS	4,275.74	0.00	24.26	Total of 3 lots
2 tax lots for 02/28/14.	1,000,000	1,077.50	1,077.50	08/07/13	1,048.75	0.00	28.75	Sale Original basis \$1,048.75
	3,000,000	3,232.50	3,232.50	08/22/13	3,112.50	0.00	120.00	Sale Original basis \$3,112.50
02/28/14	4,000,000	4,310.00	4,310.00	VARIOUS	4,161.25	0.00	148.75	Total of 2 lots
	Security total	10,765.00	10,765.00		10,592.08	0.00	172.92	
OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol	5,000,000	5,104.40	5,104.40	07/29/13	5,243.88	0.00	-139.48	Bond call Original basis: \$5,262.50
05/29/14								
PLAINS EXPLORATION & PROD CO RATE 6.625 % MATURES 05/01/2021 CALLABLE / CUSIP 726505AK6 / Symbol:	4,000,000	4,437.50	4,437.50	08/23/13	4,232.38	0.00	205.12	Sale Original basis \$4,260.00
08/18/14								

UBS FINANCIAL SERVICES INC.

Account 3A 03230

2014

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
RANGE RESOURCES CORP NOTE RATE 6.75 % MATURES 08/01/2020 CALLABLE / CUSIP 75281AAL3 / Symbol 02/12/14	1,000 000	1,087 50	1,077 37	08/06/13	1,077 37	0.00	10 13	Sale Original basis. \$1,082.50
SMITHFIELD FOODS INC NOTE RATE 7 75 % MATURES 07/01/2017 / CUSIP 832248AQ1 / Symbol 06/04/14	4,000 000	4,620 00	4,427 92	08/05/13	4,427 92	0 00	192 08	Sale Original basis. \$4,535 00
SPRINT CAPITAL CORP MW+25BP GLOBAL RATE 6 90 % MATURES 05/01/2019 CALLABLE / CUSIP 852060AG7 / Symbol 04/08/14	2 tax lots for 04/08/14. 3,000.000 2,000 000 5,000.000	3,300 00 2,200.00 5,500 00	3,164.65 2,054 46 5,219 11	07/30/13 08/27/13 VARIOUS	3,164.65 2,054 46 5,219 11	0.00 0 00 0 00	135.35 145 54 280 89	Sale Original basis \$3,183 75 Sale Original basis \$2,060.00 Total of 2 lots
UNITED RENTALS NA INC 09 250% 121519 DTD111709 CALL @ MW +50BP / CUSIP 911365AU8 / Symbol 04/14/14	2 tax lots for 04/14/14. 7,000 000 2,000 000 9,000 000	7,728.55 2,208 15 9,936 70	7,795 66 2,225 38 10,021.04	08/02/13 08/06/13 VARIOUS	7,795 66 2,225 38 10,021.04	0 00 0 00 0 00	-67 11 -17 23 -84.34	Sale Sale Total of 2 lots
WHITING PETROLEUM CORP NOTE RATE 5.75 % MATURES 03/15/2021 CALLABLE / CUSIP 966387AH5 / Symbol 11/20/14	2,000.000	2,030.00	2,128 67	10/23/14	2,128 67	0.00	-98.67	Sale Original basis \$2,130 00
11/20/14	3,000.000	3,045.00	3,155 78	10/21/14	3,155 78	110.78 W	0.00	Sale Original basis \$3,157 50
2 tax lots for 11/24/14 1,000.000	1,017.50	1,064 24	1,064 24	10/23/14	1,064 24	0 00	-46 74	Sale Original basis: \$1,065 00
1,000.000	1,017.50	1,101 17	1,101 17	10/23/14	1,101 17	0 00	-83 67	Sale Original basis: \$1,065.00
2,000 000	2,035.00	2,165 41	2,165 41	VARIOUS	2,165 41	0 00	-130 41	Total of 2 lots
12/10/14	2,000 000	1,790.00	2,201 53	10/23/14	2,201 53	0 00	-411 53	Sale Original basis. \$2,130 00

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS (continued)					Account 3A 03230
2014							
SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked		<i>NOT reported on Form 1099-B</i>					
Description of property		Quantity	Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X) Additional information
Date sold or disposed							
Security total:			8,900.00		9,651.39	110.78 W	-640 61
Totals:			216,894.25		214,254.87	110.78 W	2,750.16
LONG-TERM TRANSACTIONS Report on Form 8949, Part II, with Box F checked		<i>NOT reported on Form 1099-B</i>					
Description of property		Quantity	Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X) Additional information
Date sold or disposed							
AIRCATTLE LTD NOTE RATE 6 75 % MATURES 04/15/2017 / CUSIP 00928QAF8 / Symbol:							
2 tax lots for 06/26/14							
2,000.000			2,225.00	06/21/12	2,006.19	0.00	218.81 Sale Original basis \$2,010.00
3,000.000			3,337.50	12/14/12	3,150.57	0.00	186.93 Sale Original basis \$3,225.00
5,000.000			5,562.50	VARIOUS	5,156.76	0.00	405.74 Total of 2 lots
06/26/14							
ALLY FINANCIAL INC NOTE RATE 8 00 % MATURES 03/15/2020 / CUSIP 02005NAE0 / Symbol							
10/08/14		1,000.000	1,207.50	06/13/12	1,096.94	0.00	110.56 Bond call Original basis: \$1,129.90
AMERISTAR CASINOS INC NOTE RATE 7.50 % MATURES 04/15/2021 CALLABLE / CUSIP 03070QAN1 / Symbol							
2 tax lots for 08/07/14							
3,000.000			3,135.00	09/20/12	3,234.24	0.00	-99.24 Sale Original basis \$3,285.00
2,000.000			2,090.00	09/21/12	2,156.20	0.00	-66.20 Sale Original basis \$2,190.00
5,000.000			5,225.00	VARIOUS	5,390.44	0.00	-165.44 Total of 2 lots
08/07/14		3,000.000	3,135.00	08/09/13	3,182.72	0.00	-47.72 Sale Original basis: \$3,202.50
08/11/14							
Security total:			8,360.00		8,573.16	0.00	-213.16

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS (continued)					Account 3A 03230	
2014								
LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B						
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AVIS BUDGET NOTE RATE 8.25 % MATURES 01/15/2019 CALLABLE DATED DATE 01/15/2011 / CUSIP: 053773AN7 / Symbol								
	2 tax lots for 04/10/14							
	3,000.000		3,240.87	12/13/12	3,279.49	0.00	-38.62	Sale Original basis \$3,345.00
	1,000.000		1,080.29	01/28/13	1,096.84	0.00	-16.55	Sale Original basis \$1,117.50
04/10/14	4,000.000		4,321.16	VARIOUS	4,376.33	0.00	-55.17	Total of 2 lots
06/15/14	1,000.000		1,066.83	01/28/13	1,093.72	0.00	-26.89	Sale
	Security total		5,387.99		5,470.05	0.00	-82.06	
BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP: 055381AS6 / Symbol								
	5 tax lots for 12/16/14.							
	2,000.000		2,181.92	11/04/13	2,054.23	0.00	127.69	Bond call Original basis \$2,055.00
	11,000.000		12,000.56	11/05/13	11,307.75	0.00	692.81	Bond call Original basis \$11,316.25
	3,000.000		3,272.88	11/13/13	3,071.25	0.00	201.63	Bond call Original basis: \$3,071.25
	2,000.000		2,181.92	11/14/13	2,047.50	0.00	134.42	Bond call Original basis: \$2,047.50
	2,000.000		2,181.92	11/19/13	2,055.99	0.00	125.93	Bond call Original basis: \$2,057.50
12/16/14	20,000.000		21,819.20	VARIOUS	20,536.72	0.00	1,282.48	Total of 5 lots
CDW LLC / CDW FIN CORP 08.000% 12/15/18 DTD061511 FC121511 CALL@MW+50BP / CUSIP: 12513GAW9 / Symbol								
	2 tax lots for 09/05/14.							
	3,000.000		3,181.83	02/19/13	3,263.95	0.00	-82.12	Sale
	7,000.000		7,424.27	07/31/13	7,559.52	0.00	-135.25	Sale
09/05/14	10,000.000		10,606.10	VARIOUS	10,823.47	0.00	-217.37	Total of 2 lots
CIT GROUP INC CALL@MW 50BP NOTE RATE 5.00 % MATURES 05/15/2017 / CUSIP: 125581GM4 / Symbol.								
	2 tax lots for 03/03/14							
	6,000.000		6,435.00	05/09/12	6,019.96	0.00	415.04	Sale Original basis: \$6,030.00

UBS FINANCIAL SERVICES INC.

Account 3A 03230

Proceeds Not Reported to the IRS

(continued)

2014

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (G)/ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CIT GROUP INC CALL@MW 50BP NOTE RATE 5.00 % MATURES 05/15/2017 / CUSIP: 125581GM4 / Symbol. (cont'd)	2,000 000	2,145 00	2,145 00	05/15/12	2,011 67	0.00	133.33	Sale Original basis \$2,017.50
03/03/14	8,000,000	8,580 00	8,580 00	VARIOUS	8,031.63	0.00	548.37	Total of 2 lots
2 tax lots for 03/31/14.								
	3,000 000		3,206 25	05/15/12	3,017.12	0.00	189 13	Sale Original basis \$3,026.25
	2,000 000		2,137.50	05/16/12	2,003 27	0.00	134.23	Sale Original basis \$2,005 00
03/31/14	5,000 000	5,343.75	5,343.75	VARIOUS	5,020 39	0.00	323 36	Total of 2 lots
05/01/14	2,000,000	2,137 50	2,137 50	05/18/12	1,975.00	0.00	162 50	Sale Original basis. \$1,975 00
Security total		16,061 25			15,027 02	0.00	1,034 23	
CASE CORP NOTE RATE 7.25 % MATURES 01/15/2016 DATED DATE 01/16/1996 / CUSIP: 14743RAB9 / Symbol.								
2 tax lots for 08/04/14								
	3,000 000		3,176 25	05/14/12	3,135 82	0.00	40 43	Sale Original basis \$3,330.00
	2,000,000		2,117.50	08/28/12	2,114.64	0.00	2.86	Sale Original basis \$2,260.00
08/04/14	5,000,000	5,293.75	5,293.75	VARIOUS	5,250 46	0.00	43.29	Total of 2 lots
08/05/14	4,000,000	4,235 00	4,235 00	07/29/13	4,244 07	0.00	-9 07	Sale Original basis \$4,410.00
Security total		9,528.75			9,494 53	0.00	34 22	
DELPHI CORP NOTE RATE 5 00 % MATURES 02/15/2023 CALLABLE DATED DATE 02/14/2013 / CUSIP: 247126AH8 / Symbol								
10/27/14	2,000 000		2,142.50	03/26/13	2,097 46	0.00	45 04	Sale Original basis: \$2,112.50
10/28/14	3,000,000		3,217 50	05/07/13	3,241.90	0.00	-24 40	Sale Original basis. \$3,277.50
10/29/14	2,000 000		2,142.50	07/30/13	2,089 13	0.00	53.37	Sale Original basis \$2,100.00
11/07/14	3,000 000		3,210.00	08/06/13	3,113 98	0.00	96.02	Sale Original basis \$3,127 50
Security total			10,712 50		10,542 47	0.00	170 03	

UBS FINANCIAL SERVICES INC.

Account 3A-03230

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ECHOSTAR DBS CORP MW+50BP RATE 7.125 % MATURES 02/01/2016 CALLABLE / CUSIP 27876GBE7 / Symbol.							
03/06/14	2,000 000	2,202.50	04/26/12	2,112.12	0.00	90.38	Sale Original basis \$2,214.00
03/14/14	2,000.000	2,190.00	04/26/12	2,110.85	0.00	79.15	Sale Original basis \$2,214.00
04/23/14	4,000.000	4,375.00	04/26/12	4,209.34	0.00	165.66	Sale Original basis. \$4,428.00
04/24/14	6,000 000	6,562.50	04/26/12	6,313.53	0.00	248.97	Sale Original basis \$6,642.00
Security total:		15,330.00		14,745.84	0.00	584.16	
EL PASO CORP MW@+50BP RATE 7.00 % MATURES 06/15/2017 CALLABLE / CUSIP: 28336LBQ1 / Symbol							
3 tax lots for 12/02/14.							
3,000 000		3,360.00	09/10/12	3,240.82	0.00	119.18	Sale Original basis. \$3,435.00
2,000 000		2,240.00	08/21/13	2,156.37	0.00	83.63	Sale Original basis* \$2,230.00
3,000 000		3,860.00	08/23/13	3,234.86	0.00	125.14	Sale Original basis: \$3,345.00
12/02/14	8,000 000	8,960.00	VARIOUS	8,632.05	0.00	327.95	Total of 3 lots
GMAC LLC RATE 8.00 % MATURES 11/01/2031 DATED DATE 12/31/2008 / CUSIP: 36186CBY8 / Symbol							
04/08/14	6,000 000	7,434.60	04/26/12	6,810.11	0.00	624.49	Sale Original basis. \$6,855.00
05/23/14	2,000.000	2,482.50	04/26/12	2,269.01	0.00	213.49	Sale Original basis: \$2,285.00
05/27/14	1,000.000	1,241.25	04/26/12	1,134.46	0.00	106.79	Sale Original basis. \$1,142.50
Security total:		11,158.35		10,213.58	0.00	944.77	
HCA INC NOTE RATE 7.875 % MATURES 02/15/2020 CALLABLE DATED DATE 02/15/2010 / CUSIP 404119BJ7 / Symbol:							
04/02/14	13,000 000	13,857.59	05/01/12	14,212.85	0.00	-355.26	Sale
HALCON RESOURCES CORP NOTE RATE 8.875 % MATURES 05/15/2021 CALLABLE / CUSIP. 40537QAD2 / Symbol							
12/03/14	2,000 000	1,475.00	09/06/13	2,000.00	0.00	-525.00	Sale Original basis \$2,000.00
2 tax lots for 12/05/14							
1,000.000		731.25	09/06/13	1,000.00	0.00	-268.75	Sale Original basis. \$1,000.00

UBS FINANCIAL SERVICES INC.

Account 3A-03230

Proceeds Not Reported to the IRS (continued)

2014

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (-) & 7- Loss not allowed (X)	Additional information
HALCON RESOURCES CORP NOTE RATE 8.875 % MATURES 05/15/2021 CALLABLE / CUSIP: 40537QAD2 / Symbol: (cont'd)	1,000.000	731.25	731.25	09/09/13	1,002.50	0.00	-271.25	Sale Original basis: \$1,002.50
12/05/14	2,000.000	1,462.50	1,462.50	VARIOUS	2,002.50	0.00	-540.00	Total of 2 lots
2 tax lots for 12/18/14	1,000.000	762.50	762.50	09/09/13	1,002.50	0.00	-240.00	Sale Original basis: \$1,002.50
12/18/14	4,000.000	3,050.00	3,050.00	09/19/13	4,160.00	0.00	-1,110.00	Sale Original basis: \$4,160.00
12/18/14	5,000.000	3,812.50	3,812.50	VARIOUS	5,162.50	0.00	-1,350.00	Total of 2 lots
Security total		6,750.00	6,750.00		9,165.00	0.00	-2,415.00	
HARRAHS OPER CO INC NOTE RATE 11.25 % MATURES 06/01/2017 CALLABLE / CUSIP: 413627BL3 / Symbol:	2 tax lots for 03/06/14	1,000.000	967.50	05/01/12	1,078.59	0.00	-111.09	Sale Original basis: \$1,115.00
03/06/14	4,000.000	3,910.00	3,910.00	05/01/12	4,314.37	0.00	-404.37	Sale Original basis: \$4,460.00
	5,000.000	4,877.50	4,877.50	VARIOUS	5,392.96	0.00	-515.46	Total of 2 lots
HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol:	3 tax lots for 12/02/14	10,000.000	10,221.60	05/03/12	10,389.38	0.00	-167.78	Bond call Original basis: \$10,650.00
12/02/14	6,000.000	6,132.96	6,132.96	07/31/13	6,416.81	0.00	-283.85	Bond call Original basis: \$6,570.00
	2,000.000	2,044.32	2,044.32	08/07/13	2,137.65	0.00	-93.33	Bond call Original basis: \$2,187.50
	18,000.000	18,398.88	18,398.88	VARIOUS	18,943.84	0.00	-544.96	Total of 3 lots
INTL LEASE FINANCE CORP NOTE RATE 8.25 % MATURES 12/15/2020 / CUSIP: 459745GF6 / Symbol:	2,000.000	2,470.00	2,470.00	05/17/12	2,188.60	0.00	281.40	Sale Original basis: \$2,235.00
06/24/14								

UBS FINANCIAL SERVICES INC.

Account 3A:03230

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
IRON MOUNTAIN INC. NOTE RATE 8.375 % MATURES 08/15/2021 CALLABLE / CUSIP 46284PAM6 / Symbol:								
2 tax lots for 12/30/14.								
	5,000,000		5,209.40	05/01/12	5,367.97	0.00	-158.57	Sale Original basis: \$5,475.00
	2,000,000		2,083.76	09/16/13	2,141.65	0.00	-57.89	Sale Original basis: \$2,162.50
12/30/14	7,000,000		7,293.16	VARIOUS	7,509.62	0.00	-216.46	Total of 2 lots
MGM GRAND INC CALL @ M/W T+50BP RATE 7.625 % MATURES 01/15/2017 / CUSIP: 552953BB6 / Symbol:								
05/15/14	5,000,000		5,681.25	04/26/12	5,135.28	0.00	545.97	Sale Original basis: \$5,225.00
MASCO CORP RATE 5.95 % MATURES 03/15/2022 DATED DATE 03/12/2012 / CUSIP: 574599BH8 / Symbol:								
07/14/14	10,000,000		11,100.00	05/01/12	10,193.08	0.00	906.92	Sale Original basis: \$10,235.00
NRG ENERGY INC NOTE RATE 8.50 % MATURES 06/15/2019 CALLABLE / CUSIP: 629377BG6 / Symbol:								
01/08/14	10,000,000		10,675.00	04/26/12	10,210.00	0.00	465.00	Sale Original basis: \$10,210.00
OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol:								
05/29/14	5,000,000		5,104.40	03/13/13	5,321.02	0.00	-216.62	Bond call Original basis: \$5,387.50
PLAINS EXPLORATION & PROD CO RATE 6.625 % MATURES 05/01/2021 CALLABLE / CUSIP 726505AK6 / Symbol:								
04/30/14	4,000,000		4,265.00	09/13/12	4,186.30	0.00	78.70	Sale Original basis: \$4,220.00
08/18/14	1,000,000		1,109.37	09/13/12	1,044.93	0.00	64.44	Sale Original basis: \$1,055.00
	Security total		5,374.37		5,231.23	0.00	143.14	
POST HLDGS INC NOTE RATE 7.375 % MATURES 02/15/2022 CALLABLE / CUSIP: 737446AB0 / Symbol:								
06/16/14	2,000,000		2,180.00	02/12/13	2,167.62	0.00	12.38	Sale Original basis: \$2,190.00
RANGE RESOURCES CORP NOTE RATE 6.75 % MATURES 08/01/2020 CALLABLE / CUSIP 75281AAL3 / Symbol:								
02/12/14	9,000,000		9,787.50	05/30/12	9,613.68	0.00	173.82	Sale Original basis: \$9,742.50

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS		Account 3A 03230
2014		(continued)		

LONG-TERM TRANSACTIONS							
Report on Form 8949, Part II, with Box F checked							
Description of property							
Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SMITHFIELD FOODS INC NOTE RATE 7.75 % MATURES 07/01/2017 / CUSIP. 832248AQ1 / Symbol	5,000.000	5,775.00	04/27/12	5,390.02	0.00	384.98	Sale Original basis. \$5,625.00
SPRINT CAPITAL CORP MW+25BP GLOBAL RATE 6.90 % MATURES 05/01/2019 CALLABLE / CUSIP 852060AG7 / Symbol:	10,000.000	11,000.00	04/27/12	8,787.50	0.00	2,212.50	Sale Original basis \$8,787.50
04/08/14	4,000.000	4,400.00	04/27/12	3,515.00	0.00	885.00	Sale Original basis \$3,515.00
Security total:		15,400.00		12,302.50	0.00	3,097.50	
TW TELECOM HLDGS INC NTS 05.375% 100122 DTD100112 FCO40113 CALL@MW+50BP / CUSIP 87311XAD0 / Symbol:	3,000.000	3,161.25	09/12/13	2,861.25	0.00	300.00	Sale Original basis. \$2,861.25
12/02/14	7,000.000	7,937.65	09/12/13	6,676.25	0.00	1,261.40	Sale
Security total:		11,098.90		9,537.50	0.00	1,561.40	
UNITED RENTALS NA INC 09.250% 121519 DTD111709 CALL @ MW +50BP / CUSIP 911365AU8 / Symbol.	9,000.000	9,936.71	05/14/12	9,893.87	0.00	42.84	Sale
04/14/14							
VISTEON CORP MEDIUM TERM NOTE RATE 6.75 % MATURES 04/15/2019 CALLABLE / CUSIP 92839UAF4 / Symbol	5,000.000	5,253.15	05/02/12	5,174.59	0.00	78.56	Sale
05/09/14							
Totals:		275,707.55		267,895.85	0.00	7,811.70	
UNDETERMINED-TERM TRANSACTIONS							
Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term							
Report on Form 8949 Use either Part I with Box C checked or Part II with Box F checked							
Description of property							
Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP. 055381AS6 / Symbol	0.000	600.00	N/A	...			Gross proceeds C
12/16/14							
HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP 446413AB2 / Symbol	0.000	264.69	N/A	...		...	Gross proceeds C
12/02/14							

UBS FINANCIAL SERVICES INC. 2014	Proceeds Not Reported to the IRS (continued)	Account: 3A-03230
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UNDETERMINED-TERM TRANSACTIONS *NOT reported on Form 1099-B*
 Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.
 Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

Description of property	Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP 678026AD7 / Symbol.	05/29/14	0.000		300 00	N/A	..	..	.	Gross proceeds
Totals:				1,164.69		..	..	...	C