



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation HARRY BASS FOUNDATION		A Employer identification number 75-1876307
Number and street (or P.O. box number if mail is not delivered to street address) 4809 COLE AVENUE	Room/suite 105	B Telephone number 214 599-0300
City or town, state or province, country, and ZIP or foreign postal code DALLAS, TX 75205		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 76,699,389.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,821.		N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		280.	280.		STATEMENT 1
4 Dividends and interest from securities		821,454.	821,454.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,372,426.			
b Gross sales price for all assets on line 6a 18,866,606.					
7 Capital gain net income (from Part IV, line 2)			3,372,426.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,566.	2,566.		STATEMENT 3
12 Total. Add lines 1 through 11		4,199,547.	4,196,726.		
13 Compensation of officers, directors, trustees, etc		182,826.	35,712.		147,114.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		47,692.	2,278.		45,414.
16a Legal fees STMT 4		7,238.	724.		6,514.
b Accounting fees STMT 5		15,330.	1,534.		13,796.
c Other professional fees STMT 6		319,497.	282,532.		36,965.
17 Interest					
18 Taxes STMT 7		76,766.	24,800.		0.
19 Depreciation and depletion					
20 Occupancy		26,696.	2,669.		24,026.
21 Travel, conferences, and meetings		9,365.	0.		9,365.
22 Printing and publications		360.	0.		360.
23 Other expenses STMT 8		51,166.	7,580.		41,076.
24 Total operating and administrative expenses. Add lines 13 through 23		736,936.	357,829.		324,630.
25 Contributions, gifts, grants paid		1,226,870.			1,226,870.
26 Total expenses and disbursements. Add lines 24 and 25		1,963,806.	357,829.		1,551,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		2,235,741.			
b Net investment income (if negative, enter -0-)			3,838,897.		
c Adjusted net income (if negative, enter -0-)				N/A	

314 18

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	7,216.	175,282.	175,282.
	2 Savings and temporary cash investments	725,537.	395,481.	395,481.
	3 Accounts receivable ▶ 109,075.			
	Less: allowance for doubtful accounts ▶	189,719.	109,075.	109,075.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	38,894.	51,667.	51,667.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	28,213,687.	31,417,176.	35,971,563.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 10)	16,184,773.	15,450,445.	39,996,321.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)	45,359,826.	47,599,126.	76,699,389.
	17 Accounts payable and accrued expenses	300.		
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	0.	3,859.	
	23 Total liabilities (add lines 17 through 22)	300.	3,859.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	43,781,449.	43,781,449.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,578,077.	3,813,818.	
	30 Total net assets or fund balances	45,359,526.	47,595,267.	
	31 Total liabilities and net assets/fund balances	45,359,826.	47,599,126.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,359,526.
2 Enter amount from Part I, line 27a	2	2,235,741.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	47,595,267.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	47,595,267.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 18,866,606.		15,494,180.	3,372,426.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,372,426.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,372,426.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,478,194.	32,812,895.	.045049
2012	1,431,273.	29,860,045.	.047933
2011	1,337,440.	28,785,735.	.046462
2010	1,185,882.	26,834,837.	.044192
2009	1,522,654.	23,759,690.	.064086

2 Total of line 1, column (d)	2	.247722
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049544
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	35,797,687.
5 Multiply line 4 by line 3	5	1,773,561.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	38,389.
7 Add lines 5 and 6	7	1,811,950.
8 Enter qualifying distributions from Part XII, line 4	8	1,551,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	76,778.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	76,778.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	76,778.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	51,667.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	51,667.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	552.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	25,663.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>TX</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► HARRY BASS FOUNDATION.ORG	13	X	
14	The books are in care of ► GEORGA PERAZA Telephone no. ► 214 599-0300 Located at ► 4809 COLE AVENUE, SUITE 105, DALLAS, TX ZIP+4 ► 75205			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12	ATTACHED			
	55.00	182,826.	8,024.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 13	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	35,483,296.
b	Average of monthly cash balances	1b	859,533.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	36,342,829.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	36,342,829.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	545,142.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,797,687.
6	Minimum investment return. Enter 5% of line 5	6	1,789,884.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,789,884.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	76,778.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	76,778.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,713,106.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,713,106.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,713,106.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,551,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,551,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,551,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,713,106.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			1,546,521.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 1,551,500.				
a Applied to 2013, but not more than line 2a			1,546,521.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				4,979.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				1,708,127.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 14				
Total			▶ 3a	0.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED SCHEDULE (716)	P	VARIOUS	VARIOUS
b	SEE ATTACHED SCHEDULE (716)	P	VARIOUS	VARIOUS
c	SEE ATTACHED SCHEDULE (716)	P	VARIOUS	VARIOUS
d	SEE ATTACHED SCHEDULE (754)	P	12/18/09	VARIOUS
e	SEE ATTACHED SCHEDULE (753)	P	VARIOUS	VARIOUS
f	SEE ATTACHED SCHEDULE (753)	P	VARIOUS	VARIOUS
g	SEE ATTACHED SCHEDULE (681)	P	08/29/11	VARIOUS
h	SEE ATTACHED SCHEDULE (13055)	P	VARIOUS	VARIOUS
i	SEE ATTACHED SCHEDULE (13055)	P	VARIOUS	VARIOUS
j	.333SHS PARAGON OFFSHORE PLC	P	08/26/11	08/04/14
k	SEE ATTACHED SCHEDULE (15685)	P	VARIOUS	VARIOUS
l	SEE ATTACHED SCHEDULE (15685)	P	VARIOUS	VARIOUS
m	BANCOLOMBIA	P	VARIOUS	03/24/14
n	SEE ATTACHED SCHEDULE (02885)	P	VARIOUS	VARIOUS
o	SEE ATTACHED SCHEDULE (02885)	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,314,429.	2,170,888.	143,541.
b	3,370,588.	2,320,718.	1,049,870.
c	51.		51.
d	2,641.	1,648.	993.
e	72,320.	63,887.	8,433.
f	1,187,143.	1,291,023.	<103,880.>
g	350.	319.	31.
h	2,868,057.	3,002,560.	<134,503.>
i	255,176.	163,725.	91,451.
j	4.	4.	0.
k	73,255.	70,452.	2,803.
l	1,269,272.	890,178.	379,094.
m	8.		8.
n	2,096,243.	2,051,323.	44,920.
o	3,473,740.	2,384,066.	1,089,674.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			143,541.
b			1,049,870.
c			51.
d			993.
e			8,433.
f			<103,880.>
g			31.
h			<134,503.>
i			91,451.
j			0.
k			2,803.
l			379,094.
m			8.
n			44,920.
o			1,089,674.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE (02885)		P	VARIOUS	VARIOUS
b ABBEY CAPITAL K-1		P	VARIOUS	VARIOUS
c ABBEY CAPITAL K-1		P	VARIOUS	VARIOUS
d ABBEY CAPITAL MULTI-MGR FD, LTD		P	07/31/09	08/05/14
e SALE OF COINS		D	VARIOUS	09/23/14
f CAPITAL GAINS DIVIDENDS				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 820,954.		356,050.	464,904.
b 5.			5.
c 5.			5.
d 289,189.		289,189.	0.
e 473,180.		438,150.	35,030.
f 299,996.			299,996.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			464,904.
b			5.
c			5.
d			0.
e			35,030.
f			299,996.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,372,426.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Capital Gains Report

HARRY BASS FOUNDATION (Account: 037-79716)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM								
06/06/2013	01/09/2014	1,000	AT&T INC	33.64	35.56	33,644.40	35,563.00	(1,918.60)
02/01/2013	01/09/2014	300	CHUBB CORP	91.71	83.39	27,514.32	25,017.09	2,497.23
03/06/2013	01/09/2014	300	ILLINOIS TOOL WORKS	82.12	62.71	24,635.58	18,811.80	5,823.78
02/08/2013	01/09/2014	800	MARATHON PETROLEUM CORP	91.03	80.68	72,824.88	64,540.32	8,284.56
02/13/2013	01/09/2014	400	MARATHON PETROLEUM CORP	91.03	81.51	36,412.44	32,604.16	3,808.28
03/01/2013	01/09/2014	400	MARATHON PETROLEUM CORP	91.03	84.05	36,412.44	33,619.24	2,793.20
06/06/2013	01/13/2014	1,700	AT&T INC	33.48	35.56	56,916.00	60,457.10	(3,541.10)
08/01/2013	01/13/2014	600	AT&T INC	33.48	35.76	20,088.00	21,456.60	(1,368.60)
03/01/2013	01/17/2014	700	PHILIP MORRIS INTERNATIONAL	83.28	91.53	58,296.63	64,071.70	(5,775.07)
07/26/2013	01/28/2014	2,800	E*TRADE FINANCIAL CORP	20.30	14.85	56,830.48	41,580.00	15,250.48
02/19/2013	02/11/2014	700	ELECTRONIC ARTS INC	27.09	17.59	18,963.21	12,309.85	6,653.36
05/24/2013	02/11/2014	300	ELECTRONIC ARTS INC	27.09	22.74	8,127.09	6,822.15	1,304.94
04/16/2013	02/19/2014	248	***LIBERTY GLOBAL PLC-A	87.26	76.24	21,640.58	18,907.52	2,733.06
04/02/2013	02/25/2014	368	VERIZON COMMUNICATIONS INC	46.26	35.62	17,021.95	13,106.83	3,915.12
04/03/2013	02/25/2014	526	VERIZON COMMUNICATIONS INC	46.26	34.04	24,330.29	17,906.64	6,423.65
09/18/2013	02/27/2014	1,000	GENERAL MOTORS CO	36.40	37.59	36,399.90	37,591.90	(1,192.00)
09/20/2013	02/27/2014	800	GENERAL MOTORS CO	36.40	37.36	29,119.92	29,888.72	(768.80)
09/26/2013	02/27/2014	800	GENERAL MOTORS CO	36.40	36.94	29,119.92	29,552.16	(432.24)
10/11/2013	02/27/2014	600	GENERAL MOTORS CO	36.40	35.28	21,839.94	21,169.56	670.38
04/19/2013	02/28/2014	1,400	PULTE GROUP INC	21.02	18.08	29,423.94	25,312.00	4,111.94
08/16/2013	03/10/2014	150	EDISON INTERNATIONAL	51.20	46.92	7,680.37	7,038.00	642.37
05/02/2013	03/12/2014	1,900	REGAL ENTERTAINMENT GROUP-A	19.44	18.35	36,932.58	34,856.07	2,076.51
05/03/2013	03/20/2014	100	ILLINOIS TOOL WORKS	80.48	65.72	8,047.97	6,571.55	1,476.42
04/09/2013	03/27/2014	200	MARATHON PETROLEUM CORP	87.91	85.11	17,581.22	17,021.46	559.76
05/02/2013	03/27/2014	300	MARATHON PETROLEUM CORP	87.91	76.20	26,371.83	22,860.99	3,510.84
07/03/2013	03/27/2014	300	MARATHON PETROLEUM CORP	87.91	69.37	26,371.83	20,809.98	5,561.85
09/18/2013	03/27/2014	300	MARATHON PETROLEUM CORP	87.91	65.58	26,371.83	19,672.62	6,699.21
05/03/2013	04/21/2014	500	ILLINOIS TOOL WORKS	84.01	65.72	42,006.90	32,857.75	9,149.15
05/08/2013	04/21/2014	500	ILLINOIS TOOL WORKS	84.01	67.44	42,006.90	33,719.25	8,287.65
03/12/2014	05/20/2014	3,300	NAVIENT CORP	16.00	15.90	52,801.98	52,483.81	318.17
04/22/2014	05/20/2014	1,000	NAVIENT CORP	16.00	16.67	16,000.60	16,669.92	(669.32)
04/30/2014	05/20/2014	1,100	NAVIENT CORP	16.00	16.58	17,600.66	18,235.18	(634.52)

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/03/2014	06/06/2014	285	***THERAVANCE BIOPHARMA INC	25.18	19.61	7,176.04	5,588.85	1,587.19
07/02/2013	06/26/2014	1,200	GANNETT CO	30.62	25.55	36,746.64	30,656.04	6,090.60
09/05/2013	06/26/2014	800	GANNETT CO	30.62	24.93	24,497.76	19,942.40	4,555.36
01/10/2014	06/26/2014	1,100	GANNETT CO	30.62	29.78	33,684.42	32,760.20	924.22
03/28/2014	07/15/2014	850	URS CORP NEW	58.76	47.28	49,948.98	40,192.08	9,756.90
04/11/2014	07/21/2014	3,500	DANA HOLDING CORP	22.72	22.27	79,521.75	77,949.20	1,572.55
03/03/2014	08/05/2014	3,700	WESTERN UNION CO	16.90	16.57	62,536.29	61,324.17	1,212.12
11/21/2013	08/07/2014	2,000	***GLAXOSMITHKLINE PLC-SPON AD	46.82	53.10	93,642.00	106,199.00	(12,557.00)
04/03/2014	08/07/2014	400	***GLAXOSMITHKLINE PLC-SPON AD	46.82	52.79	18,728.40	21,114.60	(2,386.20)
12/04/2013	08/28/2014	750	TWENTY-FIRST CENTURY FOX-A	35.71	32.96	26,785.73	24,722.10	2,063.63
12/06/2013	08/28/2014	100	TWENTY-FIRST CENTURY FOX-A	35.71	32.90	3,571.43	3,289.93	281.50
12/06/2013	08/28/2014	350	TWENTY-FIRST CENTURY FOX-A	35.71	32.90	12,500.00	11,514.76	985.24
10/18/2013	09/08/2014	900	HESS CORP	100.43	83.66	90,387.00	75,289.95	15,097.05
07/02/2014	09/08/2014	400	ITT CORP	48.83	48.23	19,532.00	19,291.04	240.96
08/07/2014	09/08/2014	500	MICROSOFT CORP	46.01	43.31	23,005.70	21,655.60	1,350.10
01/29/2014	09/08/2014	1,000	THERAVANCE INC	21.11	33.68	21,108.50	33,677.09	(12,568.59)
12/19/2013	09/08/2014	500	VOYA FINANCIAL INC	39.21	35.68	19,603.40	17,840.50	1,762.90
10/01/2013	09/08/2014	2,400	XEROX CORP	13.54	10.45	32,496.00	25,090.32	7,405.68
10/28/2013	09/18/2014	250	TRW AUTOMOTIVE HOLDINGS CORP	102.86	78.79	25,715.70	19,697.33	6,018.37
10/16/2013	10/10/2014	500	LINCOLN NATIONAL CORP	49.41	44.92	24,704.70	22,462.40	2,242.30
11/27/2013	10/10/2014	300	LINCOLN NATIONAL CORP	49.41	51.40	14,822.82	15,420.18	(597.36)
12/19/2013	10/15/2014	600	VOYA FINANCIAL INC	34.45	35.68	20,673.00	21,408.60	(735.60)
01/31/2014	10/15/2014	400	VOYA FINANCIAL INC	34.45	33.84	13,782.00	13,535.60	246.40
04/29/2014	10/24/2014	500	CITIGROUP INC	51.67	47.74	25,834.80	23,871.95	1,962.85
11/27/2013	10/28/2014	400	LINCOLN NATIONAL CORP	51.87	51.40	20,746.52	20,560.24	186.28
11/26/2013	11/17/2014	700	ASSURANT INC	67.92	64.62	47,547.08	45,233.09	2,313.99
06/09/2014	11/24/2014	321	EASTMAN CHEMICAL CO	87.13	90.09	27,969.24	28,920.11	(950.87)
12/06/2013	11/24/2014	200	TWENTY-FIRST CENTURY FOX-A	35.59	32.90	7,118.30	6,579.86	538.44
01/10/2014	11/24/2014	1,000	TWENTY-FIRST CENTURY FOX-A	35.59	33.03	35,591.50	33,026.30	2,565.20
02/21/2014	11/24/2014	1,200	TWENTY-FIRST CENTURY FOX-A	35.59	32.95	42,709.80	39,540.72	3,169.08
01/21/2014	11/25/2014	5,405	OFFICE DEPOT INC	6.64	4.97	35,873.53	26,841.23	9,032.30
02/03/2014	12/01/2014	82	ASSURANT INC	67.48	64.51	5,533.68	5,289.75	243.93
06/25/2014	12/01/2014	495	MURPHY OIL CORP	48.36	65.80	23,937.56	32,569.42	(8,631.86)

Capital Gains Report

HARRY BASS FOUNDATION (Account: 037-79716)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
01/14/2014	12/05/2014	120	CALIFORNIA RESOURCES CORP	6.66	9.28	799.09	1,114.16	(315.07)
07/16/2014	12/05/2014	160	CALIFORNIA RESOURCES CORP	6.66	10.19	1,065.46	1,631.14	(565.68)
09/19/2014	12/05/2014	80	CALIFORNIA RESOURCES CORP	6.66	9.90	532.73	792.06	(259.33)
02/03/2014	12/05/2014	1,000	LINCOLN NATIONAL CORP	57.37	46.63	57,368.60	46,627.80	10,740.80
01/31/2014	12/16/2014	800	VOYA FINANCIAL INC	40.14	33.84	32,110.40	27,071.20	5,039.20
03/20/2014	12/16/2014	600	VOYA FINANCIAL INC	40.14	35.23	24,082.80	21,138.00	2,944.80
01/21/2014	12/18/2014	2,343	OFFICE DEPOT INC	8.24	4.97	19,303.04	11,635.34	7,667.70
02/03/2014	12/22/2014	285	ASSURANT INC	68.79	64.51	19,605.81	18,385.09	1,220.72
04/17/2014	12/24/2014	650	DOVER CORP	74.31	85.37	48,299.81	55,493.04	(7,193.23)
04/30/2014	12/24/2014	300	DOVER CORP	74.31	85.91	22,292.22	25,773.36	(3,481.14)
06/24/2014	12/24/2014	250	DOVER CORP	74.31	89.85	18,576.85	22,463.08	(3,886.23)
08/08/2014	12/29/2014	375	GILEAD SCIENCES INC	96.51	91.91	36,192.67	34,465.65	1,727.02
08/18/2014	12/29/2014	278	GILEAD SCIENCES INC	96.51	101.29	26,830.84	28,158.26	(1,327.42)
SUBTOTAL FOR SHORT-TERM						2,314,429.17	2,170,887.71	143,541.46

LONG-TERM

05/20/2011	01/31/2014	400	CITIGROUP INC	47.70	41.22	19,078.96	16,487.56	2,591.40
03/20/2012	01/31/2014	100	WELLS FARGO & COMPANY	45.56	34.11	4,556.35	3,411.03	1,145.32
03/21/2012	01/31/2014	1,400	WELLS FARGO & COMPANY	45.56	34.23	63,788.90	47,927.46	15,861.44
03/22/2012	01/31/2014	1,000	WELLS FARGO & COMPANY	45.56	33.42	45,563.50	33,424.30	12,139.20
05/20/2011	02/04/2014	700	CITIGROUP INC	47.17	41.22	33,022.50	28,853.23	4,169.27
10/18/2011	02/12/2014	700	***LYONDELLBASELL INDU-CL A	82.41	25.52	57,688.47	17,865.94	39,822.53
02/19/2013	02/25/2014	25	***EVEREST RE GROUP LTD	146.38	122.05	3,659.42	3,051.35	608.07
02/20/2013	02/25/2014	225	***EVEREST RE GROUP LTD	146.38	122.68	32,934.76	27,602.75	5,332.01
08/15/2012	02/28/2014	100	PULTE GROUP INC	21.02	12.64	2,101.71	1,263.66	838.05
08/15/2012	02/28/2014	2,100	PULTE GROUP INC	21.02	12.64	44,135.91	26,536.86	17,599.05
09/05/2012	02/28/2014	1,900	PULTE GROUP INC	21.02	13.95	39,932.49	26,503.29	13,429.20
07/26/2012	03/07/2014	125	WELLPOINT INC	91.54	52.92	11,442.58	6,614.49	4,828.09
11/14/2012	03/07/2014	300	WELLPOINT INC	91.54	55.19	27,462.18	16,556.52	10,905.66
03/08/2012	03/10/2014	250	EDISON INTERNATIONAL	51.20	42.86	12,800.63	10,716.00	2,084.63
05/01/2012	03/19/2014	300	***LYONDELLBASELL INDU-CL A	89.45	43.06	26,835.75	12,918.00	13,917.75
03/06/2013	03/20/2014	400	ILLINOIS TOOL WORKS	80.48	62.71	32,191.88	25,082.40	7,109.48
03/06/2013	03/27/2014	200	MARATHON PETROLEUM CORP	87.91	88.46	17,581.22	17,691.36	(110.14)
12/01/2010	04/15/2014	600	KROGER CO	44.00	23.79	26,402.64	14,273.58	12,129.06

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/04/2011	04/16/2014	300	VIACOM INC-CLASS B	83.15	39.78	24,943.86	11,934.39	13,009.47
06/01/2011	04/16/2014	350	VIACOM INC-CLASS B	83.15	50.82	29,101.17	17,787.14	11,314.03
12/12/2011	04/29/2014	400	CIT GROUP INC	43.08	33.58	17,233.80	13,433.88	3,799.92
01/09/2012	04/29/2014	700	CIT GROUP INC	43.08	35.98	30,159.15	25,185.02	4,974.13
01/30/2012	04/29/2014	800	CIT GROUP INC	43.08	38.00	34,467.60	30,396.24	4,071.36
02/01/2012	04/29/2014	700	CIT GROUP INC	43.08	39.02	30,159.15	27,311.48	2,847.67
03/22/2013	04/29/2014	500	CIT GROUP INC	43.08	43.84	21,542.25	21,922.50	(380.25)
06/01/2011	04/29/2014	150	VIACOM INC-CLASS B	83.64	50.82	12,546.14	7,623.06	4,923.08
06/08/2011	04/29/2014	600	VIACOM INC-CLASS B	83.64	48.55	50,184.54	29,130.90	21,053.64
03/14/2013	05/09/2014	1,200	GENWORTH FINANCIAL INC-CL A	18.08	10.49	21,693.72	12,585.24	9,108.48
05/08/2012	06/06/2014	300	APPLIED MATERIALS INC	21.79	11.03	6,535.50	3,308.16	3,227.34
06/04/2012	06/06/2014	700	APPLIED MATERIALS INC	21.79	10.03	15,249.50	7,021.70	8,227.80
12/01/2010	06/17/2014	700	KROGER CO	47.01	23.79	32,907.91	16,652.51	16,255.40
03/09/2011	06/17/2014	800	KROGER CO	47.01	23.71	37,609.04	18,969.60	18,639.44
11/28/2011	06/17/2014	300	KROGER CO	47.01	22.61	14,103.39	6,784.23	7,319.16
11/15/2010	06/23/2014	1,000	HEALTH NET INC	40.90	28.76	40,897.20	28,762.80	12,134.40
09/07/2012	06/24/2014	700	TJX COMPANIES INC	54.11	45.88	37,877.42	32,115.02	5,762.40
10/06/2011	06/26/2014	300	GANNETT CO	30.62	10.33	9,186.66	3,099.21	6,087.45
12/14/2011	06/26/2014	1,300	GANNETT CO	30.62	12.82	39,808.86	16,660.93	23,147.93
06/28/2012	06/27/2014	200	VERTEX PHARMACEUTICALS INC	92.87	51.17	18,574.82	10,234.84	8,339.98
11/05/2012	06/27/2014	450	VERTEX PHARMACEUTICALS INC	92.87	46.07	41,793.35	20,733.03	21,060.32
01/17/2013	07/01/2014	1,700	VALERO ENERGY CORP	50.36	33.83	85,607.07	57,516.25	28,090.82
02/13/2013	07/01/2014	400	VALERO ENERGY CORP	50.36	42.14	20,142.84	16,854.69	3,288.15
04/16/2013	07/01/2014	500	VALERO ENERGY CORP	50.36	36.27	25,178.55	18,134.29	7,044.26
05/08/2013	07/01/2014	200	VALERO ENERGY CORP	50.36	38.31	10,071.42	7,662.42	2,409.00
05/08/2013	07/02/2014	300	VALERO ENERGY CORP	50.81	38.31	15,243.30	11,493.63	3,749.67
06/25/2013	07/02/2014	400	VALERO ENERGY CORP	50.81	34.96	20,324.40	13,982.76	6,341.64
01/02/2013	07/23/2014	500	GAMESTOP CORP-CLASS A	44.81	25.38	22,404.60	12,689.20	9,715.40
07/16/2013	07/31/2014	400	LINCOLN NATIONAL CORP	52.65	39.72	21,060.48	15,886.92	5,173.56
10/04/2012	08/01/2014	700	MEDTRONIC INC	61.58	43.97	43,106.49	30,780.33	12,326.16
03/14/2013	08/07/2014	2,000	GENWORTH FINANCIAL INC-CL A	12.86	10.49	25,712.60	20,975.40	4,737.20
06/13/2013	08/07/2014	1,700	GENWORTH FINANCIAL INC-CL A	12.86	10.95	21,855.71	18,616.36	3,239.35
06/26/2013	08/07/2014	1,700	GENWORTH FINANCIAL INC-CL A	12.86	11.11	21,855.71	18,882.58	2,973.13

Capital Gains Report

HARRY BASS FOUNDATION (Account: 037-79716)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
10/04/2012	08/07/2014	600	MEDTRONIC INC	61.25	43.97	36,752.88	26,383.14	10,369.74
12/19/2012	08/07/2014	400	MEDTRONIC INC	61.25	42.69	24,501.92	17,076.36	7,425.56
09/13/2012	08/15/2014	700	TJX COMPANIES INC	53.34	45.99	37,339.68	32,191.39	5,148.29
09/26/2012	08/15/2014	500	TJX COMPANIES INC	53.34	44.68	26,671.20	22,340.90	4,330.30
07/21/2011	08/28/2014	700	CITIGROUP INC	51.46	39.54	36,022.84	27,680.03	8,342.81
07/22/2011	08/28/2014	100	CITIGROUP INC	51.46	40.18	5,146.12	4,017.93	1,128.19
07/16/2013	09/05/2014	1,200	LINCOLN NATIONAL CORP	54.39	39.72	65,262.84	47,660.76	17,602.08
09/26/2012	09/05/2014	50	TJX COMPANIES INC	60.75	44.68	3,037.42	2,234.09	800.00
09/26/2012	09/05/2014	150	TJX COMPANIES INC	60.75	44.68	9,112.25	6,702.27	2,409.98
03/08/2013	09/05/2014	300	TJX COMPANIES INC	60.75	44.75	18,224.49	13,426.26	4,798.23
07/25/2013	09/08/2014	400	***AON PLC	87.24	67.22	34,896.00	26,888.84	8,007.16
05/01/2012	09/08/2014	200	***LYONDELLBASELL INDU-CL A	114.32	43.06	22,864.00	8,612.00	14,252.00
10/05/2012	09/08/2014	200	***LYONDELLBASELL INDU-CL A	114.32	53.01	22,864.00	10,602.54	12,261.46
11/07/2012	09/08/2014	300	***PARTNERRE LTD	112.59	79.05	33,777.00	23,716.05	10,060.95
04/03/2013	09/08/2014	300	AETNA INC	83.86	54.70	25,158.00	16,409.73	8,748.27
04/24/2013	09/08/2014	500	AMERICAN INTERNATIONAL GROUP	55.24	41.46	27,620.00	20,730.60	6,889.40
11/23/2012	09/08/2014	600	BANK OF AMERICA CORP	16.17	9.88	9,702.00	5,929.14	3,772.86
11/29/2012	09/08/2014	2,200	BANK OF AMERICA CORP	16.17	9.83	35,574.00	21,634.36	13,939.64
07/22/2011	09/08/2014	400	CITIGROUP INC	52.24	40.18	20,896.00	16,071.72	4,824.28
11/09/2012	09/08/2014	300	DISCOVER FINANCIAL SERVICES	63.52	41.21	19,056.00	12,364.29	6,691.71
08/16/2013	09/08/2014	500	EDISON INTERNATIONAL	59.28	46.92	29,640.00	23,460.00	6,180.00
05/24/2013	09/08/2014	600	ELECTRONIC ARTS INC	37.61	22.74	22,563.96	13,644.30	8,919.66
09/17/2012	09/08/2014	1,100	FORD MOTOR CO	16.77	10.36	18,447.00	11,395.78	7,051.22
01/02/2013	09/08/2014	500	GAMESTOP CORP-CLASS A	45.07	25.38	22,535.00	12,689.20	9,845.80
02/20/2013	09/08/2014	700	HALLIBURTON CO	67.02	42.37	46,914.00	29,656.97	17,257.03
09/13/2012	09/08/2014	300	HARRIS CORP	70.66	49.23	21,198.00	14,770.26	6,427.74
03/01/2011	09/08/2014	500	HEWLETT-PACKARD CO	37.25	43.31	18,625.00	21,654.95	(3,029.95)
04/06/2011	09/08/2014	400	HEWLETT-PACKARD CO	37.25	41.12	14,900.00	16,447.88	(1,547.88)
08/05/2011	09/08/2014	900	HEWLETT-PACKARD CO	37.25	32.48	33,525.00	29,231.19	4,293.81
08/08/2011	09/08/2014	600	HEWLETT-PACKARD CO	37.25	31.52	22,350.00	18,910.32	3,439.68
08/19/2011	09/08/2014	600	HEWLETT-PACKARD CO	37.25	23.52	22,350.00	14,113.44	8,236.56
11/28/2011	09/08/2014	500	KROGER CO	52.25	22.61	26,125.00	11,307.05	14,817.95
02/03/2012	09/08/2014	200	KROGER CO	52.25	24.04	10,450.00	4,808.00	5,642.00

HARRY BASS FOUNDATION (Account: 037-79716)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
10/06/2011	09/08/2014	150	LEAR CORP	102.82	44.29	15,423.00	6,643.24	8,779.76
05/01/2012	09/08/2014	50	LEAR CORP	102.82	42.13	5,141.00	2,106.52	3,034.48
07/16/2013	09/08/2014	300	LINCOLN NATIONAL CORP	54.31	39.72	16,293.00	11,915.19	4,377.81
08/21/2013	09/08/2014	100	LINCOLN NATIONAL CORP	54.31	43.12	5,431.00	4,312.08	1,118.92
06/07/2012	09/08/2014	500	MACY'S INC	61.86	36.66	30,930.00	18,332.15	12,597.85
12/19/2012	09/08/2014	100	MEDTRONIC INC	64.40	42.69	6,440.00	4,269.09	2,170.91
07/02/2013	09/08/2014	200	MEDTRONIC INC	64.40	52.12	12,880.00	10,423.26	2,456.74
11/14/2011	09/08/2014	800	MICRON TECHNOLOGY INC	32.85	5.32	26,280.32	4,255.76	22,024.56
08/12/2013	09/08/2014	400	OCCIDENTAL PETROLEUM CORP	100.25	88.20	40,100.00	35,279.24	4,820.76
05/17/2007	09/08/2014	675	PFIZER INC	29.56	27.30	19,953.00	18,426.15	1,526.85
05/21/2007	09/08/2014	725	PFIZER INC	29.56	27.46	21,431.00	19,906.33	1,524.67
03/08/2013	09/08/2014	100	TJX COMPANIES INC	60.70	44.75	6,070.00	4,475.42	1,594.58
10/13/2011	09/08/2014	200	TRW AUTOMOTIVE HOLDINGS CORP	99.92	38.56	19,984.00	7,711.74	12,272.26
06/25/2013	09/08/2014	500	VALERO ENERGY CORP	52.99	34.96	26,495.00	17,478.45	9,016.55
03/22/2012	09/08/2014	500	WELLS FARGO & COMPANY	51.49	33.42	25,745.00	16,712.15	9,032.85
03/22/2013	09/18/2014	600	TJX COMPANIES INC	60.19	46.46	36,112.20	27,878.58	8,233.62
10/13/2011	09/18/2014	450	TRW AUTOMOTIVE HOLDINGS CORP	102.86	38.56	46,288.26	17,351.41	28,936.85
10/25/2011	09/30/2014	600	CITIGROUP INC	51.91	31.16	31,145.76	18,693.60	12,452.16
11/28/2011	09/30/2014	100	CITIGROUP INC	51.91	25.26	5,190.96	2,526.42	2,664.54
05/21/2007	09/30/2014	975	PFIZER INC	29.60	27.46	28,864.39	26,770.57	2,093.82
06/18/2007	09/30/2014	425	PFIZER INC	29.60	26.33	12,581.91	11,190.08	1,391.83
07/02/2013	10/01/2014	700	MEDTRONIC INC	61.93	52.12	43,351.00	36,481.41	6,869.59
08/14/2013	10/01/2014	700	MEDTRONIC INC	61.93	55.30	43,351.00	38,706.99	4,644.01
04/24/2013	10/09/2014	700	AMERICAN INTERNATIONAL GROUP	50.54	41.46	35,377.16	29,022.84	6,354.32
05/03/2013	10/09/2014	200	AMERICAN INTERNATIONAL GROUP	50.54	44.50	10,107.76	8,899.84	1,207.92
08/21/2013	10/10/2014	600	LINCOLN NATIONAL CORP	49.41	43.12	29,645.64	25,872.48	3,773.16
11/28/2011	10/15/2014	1,500	CITIGROUP INC	49.56	25.26	74,334.60	37,896.30	36,438.30
11/14/2011	10/15/2014	1,200	MICRON TECHNOLOGY INC	27.11	5.32	32,531.28	6,383.64	26,147.64
02/05/2013	10/24/2014	250	CHUBB CORP	95.71	82.85	23,928.35	20,712.70	3,215.65
02/13/2013	10/24/2014	250	CHUBB CORP	95.71	83.63	23,928.35	20,907.08	3,021.27
11/28/2011	10/24/2014	200	CITIGROUP INC	51.67	25.26	10,333.92	5,052.84	5,281.08
06/07/2012	10/31/2014	700	MACY'S INC	58.07	36.66	40,651.59	25,665.01	14,986.58
06/25/2012	10/31/2014	500	MACY'S INC	58.07	33.36	29,036.85	16,681.60	12,355.25

Capital Gains Report

HARRY BASS FOUNDATION (Account: 037-79716)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/20/2013	11/04/2014	600	HALLIBURTON CO	50.52	42.37	30,310.50	25,420.26	4,890.24
02/20/2013	11/06/2014	200	HALLIBURTON CO	52.19	42.37	10,438.16	8,473.42	1,964.74
08/13/2013	11/06/2014	900	HALLIBURTON CO	52.19	46.49	46,971.72	41,841.09	5,130.63
04/19/2013	11/19/2014	100	PULTE GROUP INC	20.97	18.08	2,097.25	1,808.00	289.25
07/02/2013	11/19/2014	1,000	PULTE GROUP INC	20.97	19.03	20,972.50	19,025.40	1,947.10
07/26/2013	11/19/2014	787	PULTE GROUP INC	20.97	16.49	16,505.36	12,976.13	3,529.23
02/13/2013	11/24/2014	100	CHUBB CORP	102.62	83.63	10,261.57	8,362.83	1,898.74
03/01/2013	11/24/2014	324	CHUBB CORP	102.62	84.15	33,247.49	27,264.31	5,983.18
02/03/2012	11/24/2014	653	KROGER CO	58.58	24.04	38,252.61	15,698.12	22,554.49
11/26/2013	12/01/2014	200	ASSURANT INC	67.48	64.62	13,496.78	12,923.74	573.04
08/12/2013	12/05/2014	280	CALIFORNIA RESOURCES CORP	6.66	8.90	1,864.55	2,492.14	(627.59)
09/13/2013	12/05/2014	320	CALIFORNIA RESOURCES CORP	6.66	9.19	2,130.91	2,939.63	(808.72)
05/24/2013	12/18/2014	38	ELECTRONIC ARTS INC	46.42	22.74	1,764.04	864.14	899.90
05/24/2013	12/18/2014	262	ELECTRONIC ARTS INC	46.42	22.74	12,162.59	5,958.01	6,204.58
07/02/2013	12/18/2014	149	ELECTRONIC ARTS INC	46.42	23.39	6,916.89	3,484.60	3,432.29
04/16/2013	12/23/2014	268	***LIBERTY GLOBAL PLC-A	50.77	38.94	13,605.29	10,436.32	3,168.97
05/10/2013	12/23/2014	129	***LIBERTY GLOBAL PLC-A	50.77	39.10	6,548.81	5,043.27	1,505.54
05/20/2013	12/23/2014	103	***LIBERTY GLOBAL PLC-A	50.77	38.94	5,228.90	4,010.97	1,217.93
11/07/2012	12/29/2014	4	***PARTNERRE LTD	115.26	79.05	461.03	316.21	144.82
11/07/2012	12/29/2014	326	***PARTNERRE LTD	115.26	79.05	37,574.27	25,771.45	11,802.82
SUBTOTAL FOR LONG-TERM						3,370,588.17	2,320,718.43	1,049,869.74
CIL - COVERED ¹								
	03/05/2014		VERIZON COMMUNICATIONS INC			9.71	0.00	9.71
	03/07/2014		***VODAFONE GROUP PLC-SP ADR			22.52	0.00	22.52
	06/16/2014		***THERAVANCE BIOPHARMA INC			18.91	0.00	18.91
SUBTOTAL FOR CIL - COVERED						51.14	0.00	51.14
TOTAL						\$5,685,068.48	\$4,491,606.14	\$1,193,462.34

Capital Gains Report

HARRY BASS FOUNDATION (Account: 037-79754)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
LONG-TERM								
12/18/2009	02/27/2014	26 855	AB DISCOVERY VALUE FUND CL ADV	21 87	13 99	587 31	375.70	211.61
12/18/2009	04/22/2014	30 549	AB DISCOVERY VALUE FUND CL ADV	22 36	13.99	683 07	427 38	255 69
12/18/2009	07/22/2014	28 730	AB DISCOVERY VALUE FUND CL ADV	23 44	13 99	673.43	401.93	271 50
12/18/2009	10/24/2014	31 667	AB DISCOVERY VALUE FUND CL ADV	22 01	13 99	697.00	443.02	253 98
SUBTOTAL FOR LONG-TERM						2,640 81	1,648.03	992 78
TOTAL						\$2,640 81	\$1,648.03	\$992 78

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L

\$992 78

LONG-TERM

\$992.78

Capital Gains Report

HARRY BASS FOUNDATION (Account: 038-54753)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM								
09/16/2013	09/05/2014	411 371	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 92	4,475 72	4,080 80	394 92
12/20/2013	09/05/2014	4,583 257	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 42	49,865 84	43,174 28	6,691 56
03/03/2014	09/05/2014	0 686	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 96	7 46	6 83	0 63
03/14/2014	09/05/2014	1,129 492	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 85	12,288 88	11,125 50	1,163 38
04/23/2014	09/05/2014	1 629	ALLIANCEBERNSTEIN GLBL RE-II	10 88	10 06	17 72	16 39	1 33
06/13/2014	09/05/2014	514 362	ALLIANCEBERNSTEIN GLBL RE-II	10 88	10 53	5,596 26	5,416 23	180 03
07/23/2014	09/05/2014	6 218	ALLIANCEBERNSTEIN GLBL RE-II	10 88	10 84	67 65	67 40	0 25
SUBTOTAL FOR SHORT-TERM						72,319 53	63,887 43	8,432 10
LONG-TERM								
06/25/2007	09/05/2014	22,196 732	ALLIANCEBERNSTEIN GLBL RE-II	10 88	16 65	241,500 44	369,575 59	(128,075 15)
07/05/2007	09/05/2014	32 993	ALLIANCEBERNSTEIN GLBL RE-II	10 88	17 30	358 96	570 78	(211 82)
08/29/2007	09/05/2014	4 120	ALLIANCEBERNSTEIN GLBL RE-II	10 88	16 16	44 83	66 58	(21 75)
09/21/2007	09/05/2014	430 360	ALLIANCEBERNSTEIN GLBL RE-II	10 88	16 94	4,682 32	7,290 29	(2,607 97)
11/28/2007	09/05/2014	42 502	ALLIANCEBERNSTEIN GLBL RE-II	10 88	16 55	462 42	703 40	(240 98)
12/21/2007	09/05/2014	59,743 532	ALLIANCEBERNSTEIN GLBL RE-II	10 88	11 30	650,009 63	675,101 91	(25,092 28)
03/24/2008	09/05/2014	623 256	ALLIANCEBERNSTEIN GLBL RE-II	10 88	10 34	6,781 03	6,444 47	336 56
06/23/2008	09/05/2014	1,681 457	ALLIANCEBERNSTEIN GLBL RE-II	10 88	10 47	18,294 25	17,604 85	689 40
09/22/2008	09/05/2014	1,817 263	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 39	19,771 82	17,064 10	2,707 72
12/28/2009	09/05/2014	4,872 964	ALLIANCEBERNSTEIN GLBL RE-II	10 88	7 82	53,017 85	38,106 58	14,911 27
03/22/2010	09/05/2014	891 962	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 16	9,704 55	7,278 41	2,426 14
06/21/2010	09/05/2014	1,082 048	ALLIANCEBERNSTEIN GLBL RE-II	10 88	7 78	11,772 68	8,418 33	3,354 35
09/20/2010	09/05/2014	657 149	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 46	7,149 78	5,559 48	1,590 30
12/27/2010	09/05/2014	3,583 345	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 69	38,986 79	31,139 27	7,847 52
03/21/2011	09/05/2014	828 009	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 61	9,008 74	7,129 16	1,879 58
06/20/2011	09/05/2014	261 471	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 04	2,844 80	2,363 70	481 10
09/20/2011	09/05/2014	368 822	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 30	4,012 78	3,061 22	951 56
12/27/2011	09/05/2014	1,340 786	ALLIANCEBERNSTEIN GLBL RE-II	10 88	7 91	14,587 75	10,605 62	3,982 13
03/21/2012	09/05/2014	867 349	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 15	9,436 76	7,936 24	1,500 52
06/20/2012	09/05/2014	839 526	ALLIANCEBERNSTEIN GLBL RE-II	10 88	8 69	9,134 04	7,295 48	1,838 56
09/18/2012	09/05/2014	560 536	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 66	6,098 63	5,414 78	683 85
12/24/2012	09/05/2014	4,567 991	ALLIANCEBERNSTEIN GLBL RE-II	10 88	9 58	49,699 75	43,761 35	5,938 40

HARRY BASS FOUNDATION (Account: 038-54753)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
03/18/2013	09/05/2014	851 706	ALLIANCEBERNSTEIN GBL RE-II	10.88	10.33	9,266.56	8,798.12	468.44
06/19/2013	09/05/2014	966 547	ALLIANCEBERNSTEIN GBL RE-II	10.88	10.07	10,516.03	9,733.13	782.90
SUBTOTAL FOR LONG-TERM						1,187,143.19	1,291,022.84	(103,879.65)
TOTAL						\$1,259,462.72	\$1,354,910.27	(\$95,447.55)

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L

(\$95,447.55)

SHORT-TERM

\$8,432.10

LONG-TERM

(\$103,879.65)

Capital Gains Report

HARRY BASS FOUNDATION (Account: 039-58681)

January 1, 2014 - December 31, 2014

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
LONG-TERM								
08/29/2011	02/27/2014	9.050	ALLIANCEBERNSTEIN HIGH INC FD INC ADVISOR CL	9.53	8.68	86.25	78.55	7.70
08/29/2011	04/22/2014	8.776	ALLIANCEBERNSTEIN HIGH INC FD INC ADVISOR CL	9.57	8.68	83.99	76.18	7.81
08/29/2011	07/22/2014	9.049	ALLIANCEBERNSTEIN HIGH INC FD INC ADVISOR CL	9.62	8.68	87.05	78.55	8.50
08/29/2011	10/24/2014	9.901	ALLIANCEBERNSTEIN HIGH INC FD INC ADVISOR CL	9.41	8.68	93.17	85.94	7.23
SUBTOTAL FOR LONG-TERM						350.46	319.22	31.24
TOTAL						\$350.46	\$319.22	\$31.24

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L

\$31.24

LONG-TERM

\$31.24

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
AK STEEL HOLDING CORP COMMON STOCK / CUSIP: 001547108 / Symbol: AKS								
05/28/14	3,565 000	22,212.67	22,212.67	04/10/14	26,135.02	0 00	-3,922.35	Sale
10/01/14	3,147 000	22,951.82	22,951.82	08/22/14	33,384.63	0 00	-10,432.81	Sale
Security total:		45,164.49	45,164.49		59,519.65	0.00	-14,355.16	
ACADIA PHARMACEUTICALS INC / CUSIP: 004225108 / Symbol: ACAD								
04/15/14	575 000	9,215.79	9,215.79	04/30/13	7,380.64	0.00	1,835.15	Sale
AIR LEASE CORP / CUSIP 00912X302 / Symbol: AL								
09/16/14	814 000	29,073.49	29,073.49	05/20/14	31,334.20	0 00	-2,260.71	Sale
ALIGN TECHNOLOGY INC / CUSIP 016255101 / Symbol: ALGN								
04/30/14	237 000	11,940.51	11,940.51	11/06/13	13,505.56	0 00	-1,565.05	Sale
ALLEGHENY TECHNOLOGY INC / CUSIP: 01741R102 / Symbol: ATI								
07/30/14	772.000	30,192.79	30,192.79	04/29/14	31,791.19	0.00	-1,598.40	Sale
ANACOR PHARMACEUTICALS INC / CUSIP 032420101 / Symbol: ANAC								
04/10/14	800 000	13,167.07	13,167.07	12/16/13	13,501.92	0.00	-334.85	Sale
ARCBEST CORP / CUSIP: 03937C105 / Symbol: ARCB								
08/27/14	184.000	6,738.41	6,738.41	09/11/13	5,018.45	0.00	1,719.96	Sale
08/28/14	459 000	16,479.81	16,479.81	09/11/13	12,518.86	0 00	3,960.95	Sale
08/29/14	312.000	11,231.75	11,231.75	09/11/13	8,509.55	0.00	2,722.20	Sale
Security total:		34,449.97	34,449.97		26,046.86	0.00	8,403.11	
ARRIS GROUP INC NEW COM / CUSIP . 04270V106 / Symbol: ARRS								
09/30/14	490.000	13,908.59	13,908.59	08/14/14	15,408.74	0 00	-1,500.15	Sale
10/01/14	122 000	3,374.85	3,374.85	08/14/14	3,836.46	0 00	-461.61	Sale
Security total:		17,283.44	17,283.44		19,245.20	0 00	-1,961.76	
ASBURY AUTOMOTIVE GROUP INC / CUSIP. 043436104 / Symbol: ABG								
10/21/14	378 000	24,167.54	24,167.54	07/24/14	27,039.44	0.00	-2,871.90	Sale
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC / CUSIP. 045327103 / Symbol: AZPN								
09/25/14	614 000	22,627.86	22,627.86	02/05/14	26,831.62	0.00	-4,203.76	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ASTRONICS CORP COMMON STOCK / CUSIP: 046433108 / Symbol: ATRO								
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS								
	211 000	11,620 31	11,620 31	03/05/14	15,191 89	0 00	-3,571 58	Sale
	215 000	11,840 61	11,840 61	03/07/14	14,771 45	0 00	-2,930 84	Sale
05/09/14	426 000	23,460 92	23,460 92	VARIOUS	29,963 34	0 00	-6,502 42	Total of 2 lots
AUXILIUM PHARMACEUTICALS INC / CUSIP: 05334D107 / Symbol: AUXL								
04/30/14	925 000	20,703 63	20,703 63	02/28/14	28,878 69	0 00	-8,175 06	Sale
BOFI HOLDING INC / CUSIP: 05566U108 / Symbol: BOFI								
04/11/14	282 000	22,824 61	22,824 61	11/15/13	20,872 62	0 00	1,951 99	Sale
BIODELIVERY SCIENCES INTL INC / CUSIP: 09060J106 / Symbol: BDSI								
11/14/14	1,534 000	22,110 59	22,110 59	09/08/14	26,894 70	0 00	-4,784 11	Sale
BITAUTO HLDGS LTD SPON ADR / CUSIP: 091727107 / Symbol: BITA								
04/07/14	617 000	20,030 40	20,030 40	12/27/13	19,413 53	0 00	616 87	Sale
04/08/14	272 000	9,074 29	9,074 29	12/27/13	8,558 32	0 00	515 97	Sale
	Security total:	29,104 69	29,104 69		27,971 85	0 00	1,132 84	
BONANZA CREEK ENERGY INC / CUSIP: 097793103 / Symbol: BCEI								
01/09/14	476 000	21,059 54	21,059 54	02/08/13	16,541 62	0 00	4,517 92	Sale
BROOKDALE SENIOR LIVING INC / CUSIP: 112463104 / Symbol: BKD								
2 tax lots for 09/12/14 Total proceeds (and cost when required) reported to the IRS								
	552 000	18,366 35	18,366 35	07/30/14	19,491 45	0 00	-1,125 10	Sale
	357 000	11,878 23	11,878 23	08/01/14	12,229 21	0 00	-350 98	Sale
09/12/14	909 000	30,244 58	30,244 58	VARIOUS	31,720 66	0 00	-1,476 08	Total of 2 lots
BUFFALO WILD WINGS INC / CUSIP: 119848109 / Symbol: BWLD								
02/05/14	159 000	20,012 61	20,012 61	10/10/13	18,573 14	0 00	1,439 47	Sale
C&J ENERGY SVCS INC / CUSIP: 12467B304 / Symbol: CJES								
10/14/14	642 000	12,911 68	12,911 68	05/02/14	19,353 54	0 00	-6,441 86	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALAMP CORP / CUSIP: 128126109 / Symbol: CAMP	1,174,000	22,321.95	01/16/14	36,910.79	0.00	-14,588.84	Sale
04/25/14							
CANADIAN SOLAR INC CAD / CUSIP: 136635109 / Symbol: CSIQ	646,000	17,540.58	11/20/13	19,916.96	0.00	-2,376.38	Sale
04/10/14							
CARRIZO OIL & GAS INC / CUSIP: 144577103 / Symbol: CRZO							
2 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS							
	115,000	4,781.17	09/19/13	4,196.04	0.00	585.13	Sale
	206,000	8,564.53	09/23/13	7,470.05	0.00	1,094.48	Sale
01/31/14	321,000	13,345.70	VARIOUS	11,666.09	0.00	1,679.61	Total of 2 lots
10/02/14	488,000	24,903.85	04/15/14	25,602.43	0.00	-698.58	Sale
	Security total:	38,249.55		37,268.52	0.00	981.03	
CARTER'S INC / CUSIP: 146229109 / Symbol: CRI							
11/13/14	349,000	27,434.42	10/10/14	28,807.54	0.00	-1,373.12	Sale
COGNEX CORP COMMON STOCK / CUSIP: 192422103 / Symbol: CGNX							
02/14/14	343,000	12,311.29	01/31/14	13,465.94	0.00	-1,154.65	Sale
05/09/14	388,000	12,819.74	01/31/14	15,232.61	0.00	-2,412.87	Sale
	Security total:	25,131.03		28,698.55	0.00	-3,567.52	
COLUMBIA SPORTSWEAR CO / CUSIP: 198516106 / Symbol: COLM							
07/25/14	362,000	27,362.51	04/30/14	31,546.13	0.00	-4,183.62	Sale
COSTAR GROUP INC / CUSIP: 22160N109 / Symbol: CSGP							
01/14/14	78,000	13,715.48	04/02/13	8,429.18	0.00	5,286.30	Sale
CURTISS WRIGHT CORP / CUSIP: 231561101 / Symbol: CW							
2 tax lots for 07/17/14. Total proceeds (and cost when required) reported to the IRS							
	411,000	25,257.82	04/25/14	26,268.94	0.00	-1,011.12	Sale
	185,000	11,369.09	06/12/14	12,365.68	0.00	-996.59	Sale
07/17/14	596,000	36,626.91	VARIOUS	38,634.62	0.00	-2,007.71	Total of 2 lots
CYTEC INDUSTRIES INC COMMON STOCK / CUSIP: 232820100 / Symbol: CYT							
09/25/14	374,000	17,919.77	07/30/14	19,467.24	0.00	-1,547.47	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DECKERS OUTDOOR CORP COMMON STOCK / CUSIP 243537107 / Symbol: DECK								
11/03/14	135,000		11,963.26	08/06/14	12,544.39	0.00	-581.13	Sale
DEMANDWARE INC / CUSIP: 24802Y105 / Symbol: DWRE								
04/24/14	348,000		17,705.68	12/05/13	20,717.66	0.00	-3,011.98	Sale
DEXCOM INC / CUSIP: 252131107 / Symbol: DXCM								
04/29/14	591,000		19,369.54	12/04/13	20,461.90	0.00	-1,092.36	Sale
DIAMONDBACK ENERGY INC / CUSIP 25278X109 / Symbol: FANG								
10/14/14	369,000		21,682.59	04/14/14	25,751.66	0.00	-4,069.07	Sale
DRIL-QUIP INC / CUSIP: 262037104 / Symbol: DRQ								
06/12/14	139,000		14,492.63	08/28/13	14,598.67	0.00	-106.04	Sale
E-HOUSE CHINA HOLDINGS LTD ADR / CUSIP: 26852W103 / Symbol: EJ								
02/24/14	1,417,000		17,748.75	01/06/14	20,913.50	0.00	-3,164.75	Sale
EDUCATION REALTY TRUST INC REIT **REVERSE SPLIT-EFF 12/2014** SBI / CUSIP: 28140H104 / Symbol:								
10/16/14	2,379,000		25,491.38	05/09/14	24,811.78	0.00	679.60	Sale
EHEALTH INC / CUSIP 28238P109 / Symbol: EHTH								
02/11/14	381,000		16,698.94	01/09/14	22,153.74	0.00	-5,454.80	Sale
8X8 INC / CUSIP: 282914100 / Symbol: EGHT								
02/26/14	1,482,000		15,613.49	10/18/13	17,546.88	0.00	-1,933.39	Sale
2 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS								
	317,000		3,375.17	10/18/13	3,753.28	0.00	-378.11	Sale
	1,173,000		12,489.18	10/31/13	13,291.97	0.00	-802.79	Sale
	1,490,000		15,864.35	VARIOUS	17,045.25	0.00	-1,180.90	Total of 2 lots
	Security total		31,477.84		34,592.13	0.00	-3,114.29	
ENDOLOGIX INC / CUSIP 29266S106 / Symbol: ELGX								
02/28/14	1,503,000		20,435.63	10/31/13	27,190.17	0.00	-6,754.54	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

(continued)

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ENPHASE ENERGY INC / CUSIP: 29355A107 / Symbol ENPH								
2 tax lots for 10/10/14 Total proceeds (and cost when required) reported to the IRS								
	778 000	8,401 75	8,401 75	09/16/14	13,070 48	0 00		-4,668 73 Sale
	927 000	10,010 82	10,010 82	09/24/14	13,692 62	0 00		-3,681 80 Sale
10/10/14	1,705,000	18,412.57	18,412.57	VARIOUS	26,763.10	0.00		-8,350 53 Total of 2 lots

ENVESTNET, INC / CUSIP 29404K106 / Symbol. ENV								
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS								
	153 000	5,422 77	5,422 77	07/09/13	4,250 65	0 00		1,172 12 Sale
	588 000	20,840 43	20,840 43	08/02/13	15,528 49	0 00		5,311 94 Sale
04/29/14	741,000	26,263.20	26,263.20	VARIOUS	19,779.14	0.00		6,484.06 Total of 2 lots

EQUITY LIFESTYLE PROPERTIES INC REIT SBI / CUSIP: 29472R108 / Symbol: ELS								
10/16/14	301,000	13,296 39	13,296 39	04/29/14	12,535.15	0.00		761 24 Sale

EURONET WORLDWIDE INC / CUSIP: 298736109 / Symbol: EEFT								
10/21/14	225 000	10,674 77	10,674 77	11/08/13	9,748 19	0 00		926.58 Sale

EVERCORE PARTNERS INC CL A / CUSIP: 29977A105 / Symbol EVR								
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS								
	389 000	20,339 07	20,339 07	11/01/13	19,774 54	0 00		564 53 Sale
	234 000	12,234 82	12,234 82	01/29/14	13,301 71	0 00		-1,066 89 Sale
04/29/14	623 000	32,573 89	32,573 89	VARIOUS	33,076 25	0.00		-502.36 Total of 2 lots

FEICOMPANY / CUSIP. 30241L109 / Symbol. FEIC								
04/30/14	80 000	6,349.11	6,349.11	10/30/13	7,307.68	0 00		-958 57 Sale

FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol. FNSR								
2 tax lots for 05/07/14 Total proceeds (and cost when required) reported to the IRS								
	1,040 000	23,757 65	23,757 65	09/06/13	24,620 34	0 00		-862 69 Sale
	602 000	13,752 02	13,752 02	09/16/13	13,955 02	0 00		-203.00 Sale
05/07/14	1,642,000	37,509 67	37,509 67	VARIOUS	38,575 36	0 00		-1,065 69 Total of 2 lots

* This is Important tax Information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FLOTEK INDUSTRIES INC / CUSIP: 343389102 / Symbol: FTK	1,177 000	22,638 26	22,638 26	09/23/13	26,121.28	0 00	-3,483 02	Sale
01/06/14								
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT	1,027 000	25,977.81	25,977.81	08/01/14	24,902 08	0 00	1,075 73	Sale
10/21/14								
GT ADVANCED TECHNOLOGIES INC **IN DEFAULT** / CUSIP: 36191U106 / Symbol: GTATQ								
2 tax lots for 09/10/14. Total proceeds (and cost when required) reported to the IRS								
1,914 000	24,747.09	24,747.09	24,747.09	04/24/14	32,974 01	0 00	-8,226 92	Sale
895 000	11,571.92	11,571.92	11,571.92	06/09/14	14,596 20	0 00	-3,024.28	Sale
2,809 000	36,319 01	36,319 01	36,319 01	VARIOUS	47,570 21	0 00	-11,251 20	Total of 2 lots
09/10/14								
GENTHERM INC / CUSIP: 37253A103 / Symbol: THRM	469 000	18,999 15	18,999 15	06/04/14	19,517.67	0 00	-518.52	Sale
10/09/14								
GLACIER BANCORP INC NEW / CUSIP: 37637Q105 / Symbol: GBCI	713 000	18,985.93	18,985.93	11/01/13	19,639 37	0 00	-653.44	Sale
01/29/14								
GOGO INC / CUSIP: 38046C109 / Symbol: GOGO	603 000	10,735 51	10,735 51	03/12/14	14,520.54	0 00	-3,785.03	Sale
04/28/14								
GRAPHIC PACKAGING HOLDING CO / CUSIP: 388689101 / Symbol: GPK	3,007 000	32,915.09	32,915.09	05/07/14	31,546 44	0 00	1,368 65	Sale
10/21/14								
GREENBRIER COS INC COMMON STOCK / CUSIP: 393657101 / Symbol: GBX	397 000	19,154 99	19,154 99	07/25/14	26,649.50	0 00	-7,494 51	Sale
10/14/14								
H&E EQUIPMENT SERVICES INC / CUSIP: 404030108 / Symbol: HEES	620 000	22,806 94	22,806 94	09/30/14	24,931 32	0 00	-2,124 38	Sale
11/20/14								
HALCON RES CORP COM NEW / CUSIP: 40537Q209 / Symbol: HK	6,037 000	33,449 07	33,449 07	06/12/14	40,103 79	0 00	-6,654.72	Sale
08/01/14								
HALOZYME THERAPEUTICS INC / CUSIP: 40637H109 / Symbol: HALO	1,785 000	22,458 37	22,458 37	01/14/14	28,247 63	0 00	-5,789 26	Sale
03/24/14								

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Account TX 13055

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HEICO CORP NEW COMMON STOCK / CUSIP: 422806109 / Symbol: HEI	376,000		19,546.53	10/18/13	20,671.64	0.00	-1,125.11	Sale
HEXCEL CORP NEW COMMON STOCK / CUSIP: 428291108 / Symbol: HXL								
2 tax lots for 05/20/14 Total proceeds (and cost when required) reported to the IRS.								
	472,000		18,604.74	10/29/13	20,072.79	0.00	-1,468.05	Sale
	7,000		275.92	12/06/13	299.27	0.00	-23.35	Sale
05/20/14	479,000		18,880.66	VARIOUS	20,372.06	0.00	-1,491.40	Total of 2 lots
05/27/14	317,000		12,657.28	12/06/13	13,552.60	0.00	-895.32	Sale
Security total			31,537.94		33,924.66	0.00	-2,386.72	
HORIZON PHARMA INC COM **MERGER EFF 09/20/14** / CUSIP: 44047T109 / Symbol								
07/16/14	1,790,000		25,070.91	05/28/14	25,947.66	0.00	-876.75	Sale
HORSEHEAD HOLDING CORP / CUSIP: 440694305 / Symbol: ZINC								
10/02/14	972,000		14,530.30	08/19/14	19,738.70	0.00	-5,208.40	Sale
INTEGRATED DEVICE TECH INC COMMON STOCK / CUSIP: 458118106 / Symbol: IDTI								
10/10/14	2,121,000		27,683.32	08/15/14	32,209.29	0.00	-4,525.97	Sale
INTERCEPT PHARMACEUTICALS INC / CUSIP: 45845P108 / Symbol: ICPT								
04/10/14	76,000		21,588.10	02/11/14	28,614.46	0.00	-7,026.36	Sale
KAPSTONE PAPER AND PACKAGING CORP / CUSIP: 48562P103 / Symbol: KS								
07/24/14	1,118,000		34,804.47	08/20/13	24,241.65	0.00	10,562.82	Sale
KENNEDY-WILSON HLDGS INC / CUSIP: 489398107 / Symbol: KW								
2 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS.								
	557,000		13,352.05	02/21/14	14,151.75	0.00	-799.70	Sale
	889,000		21,310.55	03/03/14	22,052.27	0.00	-741.72	Sale
07/30/14	1,446,000		34,662.60	VARIOUS	36,204.02	0.00	-1,541.42	Total of 2 lots
KERYX BIOPHARMACEUTICALS INC / CUSIP: 492515101 / Symbol: KERYX								
2 tax lots for 01/02/14 Total proceeds (and cost when required) reported to the IRS.								
	231,000		2,928.04	01/30/13	2,096.81	0.00	831.23	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
KERYX BIOPHARMACEUTICALS INC / CUSIP: 492515101 / Symbol: KERX (cont'd)								
	905 000	11,471 31	11,471 31	02/01/13	7,123 53	0 00	4,347 78	Sale
01/02/14	1,136 000	14,399 35	14,399 35	VARIOUS	9,220 34	0 00	5,179 01	Total of 2 lots
LANNETT CO INC / CUSIP: 516012101 / Symbol: LCI								
07/31/14	804 000	27,388 29	27,388 29	05/27/14	32,668 45	0 00	-5,280 16	Sale
LATTICE SEMICONDUCTOR CORP / CUSIP: 518415104 / Symbol: LSCC								
07/23/14	2,507 000	18,420 02	18,420 02	04/10/14	20,253 55	0 00	-1,833 53	Sale
LIFEPOINT HOSPITAL INC / CUSIP: 53219L109 / Symbol: LPNT								
11/12/14	350 000	22,565 44	22,565 44	09/11/14	25,986 07	0 00	-3,420 63	Sale
LITHIA MOTORS INC CL A / CUSIP: 536797103 / Symbol: LAD								
08/22/14	196 000	16,827 13	16,827 13	04/29/14	14,404 55	0 00	2,422 58	Sale
09/08/14	152 000	13,560 32	13,560 32	04/29/14	11,170 87	0 00	2,389 45	Sale
	Security total:	30,387 45	30,387 45		25,575 42	0 00	4,812 03	
MGIC INVESTMENT CORP WIS COMMON STOCK / CUSIP: 552848103 / Symbol: MTG								
08/15/14	2,003 000	15,885 84	15,885 84	09/16/13	14,836 22	0 00	1,049 62	Sale
MATADOR RES CO / CUSIP: 576485205 / Symbol: MTDR								
01/09/14	1,535 000	29,793 52	29,793 52	09/12/13	25,358 66	0 00	4,434 86	Sale
08/12/14	1,115 000	28,231 63	28,231 63	03/27/14	27,914 03	0 00	317 60	Sale
	Security total:	58,025 15	58,025 15		53,272 69	0 00	4,752 46	
MEDIDATA SOLUTIONS INC / CUSIP: 58471A105 / Symbol: MDSO								
01/16/14	275 000	16,742 92	16,742 92	11/08/13	15,465 74	0 00	1,277 18	Sale
2 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS								
	93 000	4,784 03	4,784 03	11/08/13	5,230 23	0 00	-446 20	Sale
	270 000	13,889 10	13,889 10	12/02/13	16,371 95	0 00	-2,482 85	Sale
02/07/14	363 000	18,673 13	18,673 13	VARIOUS	21,602 18	0 00	-2,929 05	Total of 2 lots
	Security total:	35,416 05	35,416 05		37,067 92	0 00	-1,651 87	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
METHODE ELECTRONICS / CUSIP: 591520200 / Symbol: MEI								
2 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS								
	257 000		7,086 41	10/03/13	7,390 52	0 00		-304 11 Sale
	148 000		4,080 89	10/25/13	4,309 78	0 00		-228 89 Sale
05/09/14	405 000		11,167.30	VARIOUS	11,700 30	0 00		-533 00 Total of 2 lots
05/27/14	337 000		10,234.76	10/25/13	9,813 47	0 00		421 29 Sale
Security total:			21,402 06		21,513 77	0 00		-111.71
MONOLITHIC POWER SYSTEMS INC / CUSIP: 609839105 / Symbol: MPWR								
10/20/14	433 000		16,498.89	09/12/14	19,824 99	2,158 51 W		-1,167.59 Sale
2 tax lots for 10/29/14. Total proceeds (and cost when required) reported to the IRS								
	136 000		5,677 32	09/12/14	6,226 79	0 00		-549 47 Sale
	281 000		11,730 34	09/25/14	14,620 16	0 00		-2,889 82 Sale
10/29/14	417 000		17,407 66	VARIOUS	20,846 95	0 00		-3,439.29 Total of 2 lots
Security total:			33,906.55		40,671.94	2,158.51 W		-4,606 88
NORTHWESTERN CORP NEW / CUSIP: 668074305 / Symbol: NWE								
08/06/14	106 000		4,805.37	04/29/14	5,131.71	0 00		-326 34 Sale
08/07/14	154 000		7,000.95	04/29/14	7,455.51	0 00		-454.56 Sale
Security total:			11,806 32		12,587.22	0 00		-780.90
NUVASIVE INC / CUSIP: 670704105 / Symbol: NUVA								
2 tax lots for 07/17/14. Total proceeds (and cost when required) reported to the IRS								
	382 000		12,576 92	01/29/14	13,962 37	0 00		-1,385 45 Sale
	348 000		11,457 51	01/30/14	13,193 76	0 00		-1,736 25 Sale
07/17/14	730 000		24,034 43	VARIOUS	27,156.13	0 00		-3,121 70 Total of 2 lots
OMEGA HEALTHCARE INVS INC COMMON STOCK / CUSIP: 681936100 / Symbol: OHI								
06/09/14	694 000		25,904 78	05/09/14	25,021 61	0 00		883 17 Sale
PNM RESOURCES INC (HOLDING CO) / CUSIP: 69349H107 / Symbol: PNM								
08/06/14	185 000		4,515 34	04/25/14	5,173 47	0 00		-658 13 Sale
08/07/14	750 000		18,496 24	04/25/14	20,973 52	0 00		-2,477.28 Sale
Security total:			23,011 58		26,146 99	0 00		-3,135 41

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G/gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PACIRA PHARMACEUTICALS INC / CUSIP: 695127100 / Symbol: PCRX							
04/07/14	229,000	14,585.42	01/02/14	12,851.04	0.00	1,734.38	Sale
04/08/14	143,000	8,916.38	01/02/14	8,024.89	0.00	891.49	Sale
10/30/14	202,000	18,395.39	05/08/14	15,439.71	0.00	2,955.68	Sale
Security total		41,897.19		36,315.64	0.00	5,581.55	
PACWEST BANCORP / CUSIP 695263103 / Symbol: PACW							
01/30/14	525,000	21,516.02	10/28/13	20,056.05	0.00	1,459.97	Sale
PAREXEL INTERNATIONAL CORP / CUSIP 699462107 / Symbol: PRXL							
10/30/14	469,000	25,249.65	10/21/14	29,434.58	0.00	-4,184.93	Sale
PENN VIRGINIA CORP COMMON STOCK / CUSIP: 707882106 / Symbol: PVA							
07/31/14	1,611,000	21,441.94	02/13/14	21,026.93	0.00	415.01	Sale
POLYONE CORP / CUSIP: 73179P106 / Symbol: POL							
10/09/14	641,000	21,516.93	07/17/14	26,662.97	0.00	-5,146.04	Sale
PORTLAND GENERAL ELECTRIC CO NEW / CUSIP: 736508847 / Symbol: POR							
06/04/14	378,000	12,455.20	04/29/14	12,340.27	0.00	114.93	Sale
06/12/14	389,000	12,569.32	04/29/14	12,699.37	0.00	-130.05	Sale
Security total		25,024.52		25,039.64	0.00	-15.12	
PRECISION DRILLING CORP FOREIGN STOCK CAD / CUSIP 74022D308 / Symbol: PDS							
07/30/14	2,600,000	33,851.77	04/16/14	32,252.74	0.00	1,599.03	Sale
PROOFPOINT INC / CUSIP: 743424103 / Symbol: PFPT							
04/28/14	684,000	16,762.49	02/06/14	27,343.65	0.00	-10,581.16	Sale
RF MICRO DEVICES INC / CUSIP 749941100 / Symbol: RFMD							
06/25/14	3,463,000	32,402.57	05/19/14	31,124.06	0.00	1,278.51	Sale
SAGENT PHARMACEUTICALS INC / CUSIP: 786692103 / Symbol: SGNT							
11/21/14	526,000	14,455.42	10/13/14	17,210.83	0.00	-2,755.41	Sale
SILICON MOTION TECHNOLOGY CORP ADS ADR / CUSIP: 82706C108 / Symbol: SIMO							
11/04/14	516,000	12,066.49	02/07/14	8,727.16	0.00	3,339.33	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

2014 1099-B*

OMB No 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SILICON MOTION TECHNOLOGY CORP ADS ADR / CUSIP: 82706C108 / Symbol SIMO (cont'd)								
2 tax lots for 11/11/14 Total proceeds (and cost when required) reported to the IRS								
	269 000	6,083.44	6,083.44	02/07/14	4,549.62	0.00	1,533.82	Sale
	752 000	17,006.48	17,006.48	04/10/14	13,308.22	0.00	3,698.26	Sale
11/11/14	1,021 000	23,089.92	23,089.92	VARIOUS	17,857.84	0.00	5,232.08	Total of 2 lots
Security total:		35,156.41	35,156.41		26,585.00	0.00	8,571.41	
SKECHERS USA INC CL A / CUSIP: 830566105 / Symbol SKX								
2 tax lots for 01/21/14 Total proceeds (and cost when required) reported to the IRS								
	441 000	11,963.02	11,963.02	11/06/13	13,450.50	0.00	-1,487.48	Sale
	416 000	11,284.85	11,284.85	11/12/13	13,026.50	0.00	-1,741.65	Sale
01/21/14	857 000	23,247.87	23,247.87	VARIOUS	26,477.00	0.00	-3,229.13	Total of 2 lots
09/24/14	555 000	29,121.60	29,121.60	09/02/14	33,599.03	0.00	-4,477.43	Sale
Security total:		52,369.47	52,369.47		60,076.03	0.00	-7,706.56	
SOTHEBY'S / CUSIP: 835898107 / Symbol BID								
2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS								
	381 000	14,949.96	14,949.96	10/11/13	19,111.95	0.00	-4,161.99	Sale
	257 000	10,084.35	10,084.35	11/12/13	13,383.43	0.00	-3,299.08	Sale
04/15/14	638 000	25,034.31	25,034.31	VARIOUS	32,495.38	0.00	-7,461.07	Total of 2 lots
SPANSION INC COM NEW CL A / CUSIP: 84649R200 / Symbol CODE								
10/10/14	1,529 000	26,727.55	26,727.55	07/16/14	34,572.68	0.00	-7,845.13	Sale
SPIRIT AIRLINES INC / CUSIP: 848577102 / Symbol SAVE								
2 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS								
	461 000	26,611.79	26,611.79	11/18/13	20,398.05	0.00	6,213.74	Sale
	415 000	23,956.38	23,956.38	01/16/14	20,712.44	0.00	3,243.94	Sale
03/27/14	876 000	50,568.17	50,568.17	VARIOUS	41,110.49	0.00	9,457.68	Total of 2 lots
STILLWATER MINING CO COMMON STOCK / CUSIP: 86074Q102 / Symbol SWC								
05/27/14	1,315 000	22,213.54	22,213.54	04/07/14	19,749.72	0.00	2,463.82	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SUNEDISON INC / CUSIP 86732Y109 / Symbol: SUNE								
2 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS								
	1,088 000		22,905 37	10/14/13	9,750 00	0 00	13,155 37	Sale
	219 000		4,610 55	12/13/13	2,489 18	0 00	2,121 37	Sale
03/07/14	1,307 000		27,515 92	VARIOUS	12,239 18	0 00	15,276 74	Total of 2 lots
08/06/14	537 000		10,406 62	12/13/13	6,103 59	0 00	4,303 03	Sale
2 tax lots for 08/07/14 Total proceeds (and cost when required) reported to the IRS								
	525 000		11,308 10	12/13/13	5,967 20	0 00	5,340 90	Sale
	23 000		495 40	06/12/14	446 17	0 00	49 23	Sale
08/07/14	548 000		11,803 50	VARIOUS	6,413 37	0 00	5,390 13	Total of 2 lots
09/02/14	953 000		20,459 41	06/12/14	18,486 96	0 00	1,972 45	Sale
	Security total:		70,185 45		43,243 10	0 00	26,942 35	

SYNAPTICS INC / CUSIP: 87157D109 / Symbol: SYNA

06/25/14 293 000 26,188 00 01/28/14 17,351 96 0 00 8,836 04 Sale

TEAM HEALTH HOLDINGS INC / CUSIP: 87817A107 / Symbol: TMH

11/12/14 541 000 28,966 39 09/25/14 31,605 22 0 00 -2,638 83 Sale

TENET HEALTHCARE CORP / CUSIP: 88033G407 / Symbol: THC

11/05/14 448 000 23,078 79 08/06/14 25,204 21 0 00 -2,125 42 Sale

TREEHOUSE FOODS INC / CUSIP: 89469A104 / Symbol: THS

11/20/14 370 000 29,046 65 10/02/14 30,294 68 0 00 -1,248 03 Sale

TUTOR PERINI CORP / CUSIP: 901109108 / Symbol: TPC

2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS

435 000 10,389 96 04/30/14 12,897 05 0 00 -2,507 09 Sale

383 000 9,147 95 05/20/14 11,704 06 0 00 -2,556 11 Sale

818 000 19,537 91 VARIOUS 24,601 11 0 00 -5,063 20 Total of 2 lots

TYLER TECHNOLOGIES INC / CUSIP 902252105 / Symbol TYL

02/06/14 406 000 36,746 30 07/25/13 30,834 36 0 00 5,911 94 Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
U S SILICA HLDGS INC / CUSIP: 90346E103 / Symbol: SLCA	435,000	18,698.11	08/12/14	25,628.50	0.00	-6,930.39	Sale
ULTIMATE SOFTWARE GROUP INC / CUSIP: 90385D107 / Symbol: ULTI	132,000	15,481.37	11/26/13	20,547.29	0.00	-5,065.92	Sale
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI	289,000	18,620.00	10/18/13	20,520.24	0.00	-1,900.24	Sale
VERIFONE SYSTEMS INC / CUSIP: 92342Y109 / Symbol: PAY	523,000	19,313.59	04/23/14	17,522.02	0.00	1,791.57	Sale
WAGEWORKS INC / CUSIP: 930427109 / Symbol: WAGE	341,000	18,957.46	08/13/13	13,769.17	0.00	5,188.29	Sale
02/05/14		15,438.07	08/13/13	11,346.44	0.00	4,091.63	Sale
02/11/14		34,395.53		25,115.61	0.00	9,279.92	
Security total:							
WEX INC / CUSIP: 96208T104 / Symbol: WEX	77,000	8,703.18	07/31/14	8,290.14	0.00	413.04	Sale
11/06/14		15,273.04	07/31/14	17,226.28	0.00	-1,953.24	Sale
12/15/14		23,976.22		25,516.42	0.00	-1,540.20	
Security total:							
YELP INC / CUSIP: 985817105 / Symbol: YELP	396,000	24,036.95	01/06/14	28,310.32	0.00	-4,273.37	Sale
04/15/14							
ZEBRA TECHNOLOGIES CORP CL A / CUSIP: 989207105 / Symbol: ZBRA	281,000	20,970.35	04/30/14	19,426.79	0.00	1,543.56	Sale
08/14/14							
ZELTIQ AESTHETICS INC / CUSIP: 98933Q108 / Symbol: ZLTQ	662,000	11,524.16	01/16/14	15,428.37	0.00	-3,904.21	Sale
03/05/14							
AMBARELLA INC FOREIGN STOCK / CUSIP: G037AX101 / Symbol: AMBA							
2 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS							
389,000		19,818.88	11/07/14	18,249.43	0.00	1,569.45	Sale
333,000		16,965.78	11/20/14	16,808.21	0.00	157.57	Sale
722,000		36,784.66	VARIOUS	35,057.64	0.00	1,727.02	Total of 2 lots
12/08/14							

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

2014 1099-B*

OMB No 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2-SHORT-TERM TRANSACTIONS

5-COVERED tax lots 3-Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
GASLOG LTD FOREIGN STOCK / CUSIP: G37585109 / Symbol: GLOG	818 000	19,926 37	19,926 37	04/10/14	20,386.11	0 00		459 74 Sale
ICON PLC FOREIGN STOCK EUR / CUSIP: G4705A100 / Symbol: ICLR	490.000	20,507 66	20,507 66	08/08/13	19,607.74	0 00		899.92 Sale
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS.								
	132 000	5,181 85	5,181 85	08/08/13	5,282.09	0 00		-100 24 Sale
	384 000	15,074 47	15,074 47	10/30/13	15,395 90	0 00		-321 43 Sale
	516.000	20,256.32	20,256.32	VARIOUS	20,677.99	0 00		-421 67 Total of 2 lots
Security total:		40,763.98	40,763.98		40,285 73	0 00		478 25

JAZZ PHARMACEUTICALS PLC / CUSIP: G50871105 / Symbol: JAZZ

01/27/14 74 000 10,546 80 5,913.82 0 00 4,632.98 Sale

CAESARSTONE SDOT-YAM LTD FOREIGN STOCK ILS / CUSIP: M20598104 / Symbol: CSTE

2 tax lots for 08/15/14 Total proceeds (and cost when required) reported to the IRS

306 000	15,827 65	10/28/13	13,425 26	0 00	2,402 39	Sale
245 000	12,672 47	11/22/13	11,996 87	0 00	675 60	Sale
551.000	28,500.12	VARIOUS	25,422.13	0 00	3,077 99	Total of 2 lots

Totals: 2,868,057.10

3,004,718.69

2,158.51 W

-134,503.08

2-LONG-TERM TRANSACTIONS

5-COVERED tax lots 3-Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
DIGITALGLOBE INC / CUSIP: 25389M877 / Symbol: DGI	374.000	11,398 27	11,398 27	11/02/12	9,835 86	0 00		1,562 41 Sale
DRIL-QUIP INC / CUSIP: 262037104 / Symbol: DRQ	145.000	15,118 21	15,118 21	05/11/12	9,615 68	0 00		5,502 53 Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055-

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked
5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol
 1c- Date sold or disposed

Quantity	6- Reported (G)ross (N)et	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FEICOMPANY / CUSIP: 30241L109 / Symbol FEIC							
04/30/14	286 000	22,698.06	06/08/12	13,747.31	0.00	8,950.75	Sale
GOODYEAR TIRE & RUBBER CO / CUSIP: 382550101 / Symbol GT							
08/27/14	755.000	19,288.91	03/15/13	10,297.44	0.00	8,991.47	Sale
HEARTLAND PAYMENT SYSTEMS INC / CUSIP: 42235N108 / Symbol: HPY							
4 tax lots for 04/23/14 Total proceeds (and cost when required) reported to the IRS							
10 000		391.92	02/09/12	270.74	0.00	121.18	Sale
325 000		12,737.48	02/27/12	9,361.30	0.00	3,376.18	Sale
419 000		16,421.54	05/02/12	13,438.17	0.00	2,983.37	Sale
320 000		12,541.52	02/07/13	10,232.51	0.00	2,309.01	Sale
04/23/14	1,074.000	42,092.46	VARIOUS	33,302.72	0.00	8,789.74	Total of 4 lots
KERYX BIOPHARMACEUTICALS INC / CUSIP: 492515101 / Symbol: KERX							
2 tax lots for 05/08/14. Total proceeds (and cost when required) reported to the IRS							
764 000		9,408.68	02/01/13	6,013.67	0.00	3,395.01	Sale
1,970 000		24,260.61	02/04/13	14,231.08	0.00	10,029.53	Sale
05/08/14	2,734.000	33,669.29	VARIOUS	20,244.75	0.00	13,424.54	Total of 2 lots
MGIC INVESTMENT CORP WIS COMMON STOCK / CUSIP: 552848103 / Symbol: MTG							
2 tax lots for 08/15/14 Total proceeds (and cost when required) reported to the IRS							
167 000		1,324.48	05/07/13	955.46	0.00	369.02	Sale
1,067 000		8,462.40	07/24/13	7,967.50	0.00	494.90	Sale
08/15/14	1,234.000	9,786.88	VARIOUS	8,922.96	0.00	863.92	Total of 2 lots
MASTEC INC / CUSIP: 576323109 / Symbol MTZ							
01/02/14	641 000	20,837.59	11/08/12	14,585.51	0.00	6,252.08	Sale
SYNAPTICS INC / CUSIP 87157D109 / Symbol SYNA							
05/27/14	384.000	23,224.65	04/26/13	16,306.30	0.00	6,918.35	Sale
06/25/14	22.000	1,966.33	04/26/13	934.21	0.00	1,032.12	Sale
	Security total.	25,190.98		17,240.51	0.00	7,950.47	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 13055

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
---------------------------	----------	---------------------------	---------------------------------------	-------------------	-------------------------	---------------------------------------	--	------------------------

TENNECO INC / CUSIP: 880349105 / Symbol: TEN

2 tax lots for 01/09/14 Total proceeds (and cost when required) reported to the IRS

	9 000		501 29	11/29/12	288 83	0 00	212.46	Sale
	290 000		16,152.75	12/27/12	9,846 81	0 00	6,305.94	Sale
01/09/14	299 000		16,654.04	VARIOUS	10,135 64	0 00	6,518.40	Total of 2 lots

ALKERMES PLC / CUSIP: G01767105 / Symbol: ALKS

03/07/14	510 000		23,618.35	02/01/13	11,059.45	0 00	12,558.90	Sale
----------	---------	--	-----------	----------	-----------	------	-----------	------

JAZZ PHARMACEUTICALS PLC / CUSIP: G50871105 / Symbol: JAZZ

2 tax lots for 01/27/14 Total proceeds (and cost when required) reported to the IRS

	47 000		6,698 64	05/17/12	2,141 01	0 00	4,557 63	Sale
	57 000		8,123 89	05/17/12	2,596 55	0 00	5,527 34	Sale
01/27/14	104 000		14,822.53	VARIOUS	4,737 56	0 00	10,084.97	Total of 2 lots

Totals:

255,175.57

163,725.39

91,450.18

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ADIDAS AG SPON ADR / CUSIP: 00687A107 / Symbol: ADDYY								
2 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS								
	14 000	544 52	832 30	11/15/13	832 30	0 00	-287 78	Sale
	55 000	2,139 18	3,032 70	03/18/14	3,032 70	0 00	-893 52	Sale
08/12/14	59 000	2,683 70	3,865 00	VARIOUS	3,865 00	0 00	-1,181 30	Total of 2 lots
CONTL AG-SPONS ADR ADR / CUSIP: 210771200 / Symbol: CTTAY								
12/16/14	576 000	24,008 21	27,475 20	03/28/14	27,475 20	0 00	-3,466 99	Sale
FUJII HEAVY INDS LTD ADR / CUSIP: 359556206 / Symbol: FUJHY								
03/28/14	161 000	8,511 88	5,804 05	04/22/13	5,804 05	0 00	2,707 83	Sale
LUXOTTICA GROUP SPA SPONSORED ADR ITALY ADR / CUSIP: 55068R202 / Symbol: LUX								
09/18/14	57 000	2,968 01	3,111 61	03/18/14	3,111 61	0 00	-143 60	Sale
RIO TINTO PLC SPON ADR / CUSIP: 767204100 / Symbol: RIO								
3 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.								
	21 000	881 62	1,113 98	03/18/14	1,113 98	0 00	-232 36	Sale
	29 000	1,217 49	1,504 89	09/10/14	1,504 89	0 00	-287 40	Sale
	53 000	2,225 05	2,761 10	09/11/14	2,761 10	0 00	-536 05	Sale
12/16/14	103 000	4,324 16	5,379 97	VARIOUS	5,379 97	0 00	-1,055 81	Total of 3 lots
SWATCH GROUP AG ADR / CUSIP: 870123106 / Symbol: SWGAY								
2 tax lots for 05/28/14. Total proceeds (and cost when required) reported to the IRS.								
	21 000	612 91	671 16	11/15/13	671 16	0 00	-58 25	Sale
	32 000	933 97	1,027 52	03/18/14	1,027 52	0 00	-93 55	Sale
05/28/14	53 000	1,546 88	1,698 68	VARIOUS	1,698 68	0 00	-151 80	Total of 2 lots
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP: 892331307 / Symbol: TM								
2 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS.								
	22 000	2,578 60	2,814 90	11/15/13	2,814 90	0 00	-236 30	Sale
	13 000	1,523 71	1,430 91	03/18/14	1,430 91	0 00	92 80	Sale
09/17/14	35 000	4,102 31	4,245 81	VARIOUS	4,245 81	0 00	-143 50	Total of 2 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

QMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
TURKCELL ILETISIM HIZMETLERI A.S NEW ADR / CUSIP: 900111204 / Symbol: TKC	76.000	974.69	11/15/13	1,144.56	0.00		-169.87	Sale
01/21/14								
VOLKSWAGEN AG SPON ADR PREF RATE: ADJUSTABLE ANNUALLY MATURITY: PERPETUAL / CUSIP: 928662402 / Symbol: VLKPY	317.000	16,369.52	04/22/13	11,833.31	0.00		4,536.21	Sale
03/28/14								
COVIDIEN PLC FOREIGN STOCK / CUSIP: G2554F113 / Symbol: COV								
2 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS								
52.000	4,736.20	11/15/13	3,493.70	0.00			1,242.50	Sale
30.000	2,732.42	03/18/14	2,129.23	0.00			603.19	Sale
82.000	7,468.62	VARIOUS	5,622.93	0.00			1,845.69	Total of 2 lots
09/17/14								
FLEXTRONICS INTL LTD FOREIGN STOCK / CUSIP: Y2573F102 / Symbol: FLEX	35.000	297.16	11/15/13	270.55	0.00		26.61	Sale
02/10/14								
Totals:		73,255.14		70,451.67	0.00		2,803.47	

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
ABB LTD SPON ADR / CUSIP: 000375204 / Symbol: ABB	411.000	8,521.32	08/26/11	8,128.26	0.00		393.06	Sale
12/16/14								
ADIDAS AG SPON ADR / CUSIP: 00687A107 / Symbol: ADDYY								
4 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS								
644.000	25,047.83	08/26/11	21,035.78	0.00			4,012.05	Sale
59.000	2,294.75	09/27/12	2,465.02	0.00			-170.27	Sale
27.000	1,050.15	10/24/12	1,135.08	0.00			-84.93	Sale
62.000	2,411.43	12/18/12	-2,744.74	0.00			-333.31	Sale
08/12/14	792.000	30,804.16	VARIOUS	27,380.62	0.00		3,423.54	Total of 4 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional Information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AIR LIQUIDE ADR FRANCE ADR / CUSIP: 009126202 / Symbol: AIQUY								
07/14/14	0.500		13.52	08/26/11	10.21	0.00	3.31	Sale
AXA ADR / CUSIP: 054536107 / Symbol: AXAHY								
12/16/14	587.000		13,207.21	08/26/11	8,623.03	0.00	4,584.18	Sale
BNP PARIBAS SA ADR / CUSIP: 05565A202 / Symbol: BNPQY								
2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.								
	1,236.000		35,880.28	02/01/13	39,810.15	0.00	-3,929.87	Sale
	114.000		3,309.35	02/25/13	3,158.75	0.00	150.60	Sale
12/16/14	1,350.000		39,189.63	VARIOUS	42,968.90	0.00	-3,779.27	Total of 2 lots
BARCLAYS PLC ADR / CUSIP: 06738E204 / Symbol: BCS								
07/02/14	766.000		11,520.47	08/26/11	7,717.45	0.00	3,803.02	Sale
2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.								
	664.000		9,378.46	08/26/11	6,689.80	0.00	2,688.66	Sale
	126.000		1,779.65	11/28/11	1,306.47	0.00	473.18	Sale
12/16/14	790.000		11,158.11	VARIOUS	7,996.27	0.00	3,161.84	Total of 2 lots
			Security total:		15,713.72	0.00	6,964.86	
BAYER A G SPON ADR / CUSIP: 072730302 / Symbol: BAYRY								
2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.								
	316.000		43,341.04	08/26/11	19,497.20	0.00	23,843.84	Sale
	161.000		22,081.98	09/27/12	14,279.62	0.00	7,802.36	Sale
12/16/14	477.000		65,423.02	VARIOUS	33,776.82	0.00	31,646.20	Total of 2 lots
BRITISH AMER TOBACCO PLC GB SPON ADR / CUSIP: 110448107 / Symbol: BTI								
3 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS.								
	160.000		15,591.76	08/26/11	13,883.21	0.00	1,708.55	Sale
	23.000		2,241.31	09/27/12	2,397.06	0.00	-155.75	Sale
	9.000		877.04	10/24/12	910.44	0.00	-33.40	Sale
01/29/14	192.000		18,710.11	VARIOUS	17,190.71	0.00	1,519.40	Total of 3 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CSL LTD SPON ADR / CUSIP: 12637N204 / Symbol: CSLLY	707.000		24,712.28	08/26/11	10,463.60	0.00	14,248.68	Sale
COCA-COLA HBC AG ADR / CUSIP: 191223205 / Symbol: CCHGY	878.000		16,567.60	08/26/11	18,326.15	0.00	-1,758.55	Sale
COMPASS GROUP PLC **REVERSE SPLIT- EFF-07/2014** SPON ADR / CUSIP: 20449X203 / Symbol: 03/28/14	731.000		11,293.70	06/26/12	7,638.95	0.00	3,654.75	Sale
COMPASS GROUP PLC SPON ADR / CUSIP: 20449X302 / Symbol: CMPGY	0.234		4.17	06/26/12	2.60	0.00	1.57	Sale
DASSAULT SYSTEMS S A SPON ADR / CUSIP: 237545108 / Symbol: DASTY	205.000		12,848.10	08/26/11	7,874.40	0.00	4,973.70	Sale
2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS								
	657.000		39,106.07	08/26/11	25,236.46	0.00	13,869.61	Sale
	9.000		535.70	10/24/12	477.72	0.00	57.98	Sale
	666.000		39,641.77	VARIOUS	25,714.18	0.00	13,927.59	Total of 2 lots
Security total:			52,489.87		33,588.58	0.00	18,901.29	
ENBRIDGE INC FOREIGN STOCK CAD / CUSIP: 29250N105 / Symbol: ENB								
03/28/14	188.000		8,493.88	08/26/11	5,972.27	0.00	2,521.61	Sale
12/05/14	456.000		23,448.98	08/26/11	14,485.94	0.00	8,963.04	Sale
12/16/14	742.000		34,894.01	08/26/11	23,571.41	0.00	11,322.60	Sale
Security total:			66,836.87		44,029.62	0.00	22,807.25	
FANUC CORPORATION ADR / CUSIP: 307305102 / Symbol: FANUY								
09/17/14	56.000		1,600.72	08/26/11	1,478.25	0.00	122.47	Sale
12/16/14	1,057.000		28,613.80	08/26/11	27,901.96	0.00	711.84	Sale
Security total:			30,214.52		29,380.21	0.00	834.31	
FUJII HEAVY INDS LTD ADR / CUSIP: 359556206 / Symbol: FUJHY								
12/16/14	238.000		16,528.73	04/22/13	8,579.90	0.00	7,948.83	Sale
GIVAUDAN SA ADR / CUSIP: 37636P108 / Symbol: GVDNY								
12/16/14	921.000		33,305.93	08/26/11	17,019.07	0.00	16,286.86	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

2014: 1099-B

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1i- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280406 / Symbol: HSBC								
2 tax lots for 05/08/14. Total proceeds (and cost when required) reported to the IRS.								
	232,000	11,677.11	11,677.11	08/26/11	9,455.46	0.00	2,221.65	Sale
	232,000	11,677.11	11,677.11	02/16/12	10,518.07	0.00	1,159.04	Sale
05/08/14	464,000	23,354.22	23,354.22	VARIOUS	19,973.53	0.00	3,380.69	Total of 2 lots
3 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.								
	134,000	6,250.17	6,250.17	02/16/12	6,075.09	0.00	175.08	Sale
	21,000	979.50	979.50	04/20/12	939.33	0.00	40.17	Sale
	16,000	746.29	746.29	08/21/12	715.28	0.00	31.01	Sale
12/16/14	171,000	7,975.96	7,975.96	VARIOUS	7,729.70	0.00	246.26	Total of 3 lots
Security total:		31,330.18	31,330.18		27,703.23	0.00	3,626.95	
HENKEL AG & CO KGAA SPON ADR PFD RATE: ADJUSTABLE ANNUALLY / CUSIP: 42550U208 / Symbol: HENYO								
12/16/14	422,000	45,657.28	45,657.28	08/26/11	24,443.78	0.00	21,213.50	Sale
HONDA MOTOR CO ADR JAPAN ADR / CUSIP: 438128308 / Symbol: HMC								
03/28/14	140,000	4,895.63	4,895.63	08/26/11	4,388.10	0.00	507.53	Sale
INDUSTRIA DE DISENO TEXTIL IND ADR / CUSIP: 455793109 / Symbol: IDEXY								
01/31/14	690,000	20,432.80	20,432.80	08/26/11	10,833.00	0.00	9,599.80	Sale
12/16/14	2,007,000	27,495.29	27,495.29	08/26/11	15,754.95	0.00	11,740.34	Sale
Security total:		47,928.09	47,928.09		26,587.95	0.00	21,340.14	
INDIVIOR PLC SPON ADR / CUSIP: 45579E105 / Symbol: INVVV								
12/31/14	0.400	4.58	4.58	08/26/11	2.84	0.00	1.74	Sale
JGC CORP ADR / CUSIP: 466140100 / Symbol: JGCCY								
09/17/14	40,000	2,185.55	2,185.55	11/01/11	2,246.00	0.00	-60.45	Sale
KOMATSU LTD NEW SPON ADR / CUSIP: 500458401 / Symbol: KMTUY								
12/16/14	248,000	5,543.92	5,543.92	08/26/11	6,366.16	0.00	-822.24	Sale
KUBOTA CORP ADR JAPAN ADR / CUSIP: 501173207 / Symbol: KUBTY								
12/16/14	134,000	9,724.44	9,724.44	08/26/11	5,164.36	0.00	4,560.08	Sale

* This is Important tax Information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LUXOTTICA GROUP SPA SPONSORED ADR ITALY ADR / CUSIP: 55068R202 / Symbol: LUX								
4 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS								
	533,000		27,753.54	08/26/11	14,659.85	0.00	13,093.69	Sale
	234,000		12,184.48	09/27/12	8,437.69	0.00	3,746.79	Sale
	29,000		1,510.05	10/24/12	1,063.14	0.00	446.91	Sale
	65,000		3,384.58	12/18/12	2,657.96	0.00	726.62	Sale
09/18/14	861,000		44,832.65	VARIOUS	26,818.64	0.00	18,014.01	Total of 4 lots
MAGNA INTL INC CL A SUB VTG CANADA ORD FOREIGN STOCK CAD / CUSIP: 559222401 / Symbol: MGA								
03/28/14	238,000		22,832.86	08/26/11	8,336.61	0.00	14,496.25	Sale
MERCK KGAA ADR / CUSIP: 589339100 / Symbol: MKGAY								
12/16/14	261,000		8,308.76	11/01/11	4,005.05	0.00	4,303.71	Sale
MTN GROUP LTD SPON ADR / CUSIP: 62474M108 / Symbol: MTNOY								
12/16/14	194,000		3,424.02	03/14/12	3,562.81	0.00	-138.79	Sale
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZERLAND ADR / CUSIP: 641069406 / Symbol: NSRGY								
12/16/14	129,000		9,273.61	08/26/11	7,981.99	0.00	1,291.62	Sale
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY								
12/16/14	320,000		8,745.41	08/26/11	6,324.80	0.00	2,420.61	Sale
NOVO NORDISK ADR DENMARK ADR / CUSIP: 670100205 / Symbol: NVO								
12/16/14	110,000		4,784.16	08/26/11	2,188.54	0.00	2,595.62	Sale
PRUDENTIAL PLC ADR UNITED KINGDOM ADR / CUSIP: 74435K204 / Symbol: PUK								
03/18/14	412,000		18,776.33	08/26/11	7,703.37	0.00	11,072.96	Sale
05/08/14	493,000		23,176.68	08/26/11	9,217.86	0.00	13,958.82	Sale
12/16/14	1,249,000		56,488.38	08/26/11	23,353.18	0.00	33,135.20	Sale
	Security total		98,441.39		40,274.41	0.00	58,166.98	
RIO TINTO PLC SPON ADR / CUSIP: 767204100 / Symbol: RIO								
3 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS.								
	290,000		12,174.83	01/09/12	14,931.40	0.00	-2,756.57	Sale
	9,000		377.84	10/24/12	450.90	0.00	-73.06	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
RIO TINTO PLC SPON ADR / CUSIP: 767204100 / Symbol: RIO (cont'd)								
12/16/14	14,000	587.75	13,140.42	12/18/12	800.24	0.00	-212.49	Sale
	313,000			VARIOUS	16,182.54	0.00	-3,042.12	Total of 3 lots
ROCHE HOLDING LTD SPONS ADR SWITZERLAND ADR / CUSIP: 771195104 / Symbol: RHHBY								
12/16/14	462,000	16,714.79		01/09/12	9,936.46	0.00	6,778.33	Sale
RYANAIR HOLDINGS PLC SPON ADR / CUSIP: 783513104 / Symbol: RYAAAY								
03/28/14	152,000	8,797.08		08/26/11	3,810.63	0.00	4,986.45	Sale
12/16/14	332,000	22,232.06		08/26/11	8,323.23	0.00	13,908.83	Sale
Security total:		31,029.14			12,133.86	0.00	18,895.28	
SKF AB PAR S KR 12.50 SWEDEN SPON ADR / CUSIP: 784375404 / Symbol: SKFRY								
12/16/14	759,000	15,581.93		08/26/11	16,268.82	0.00	-686.89	Sale
SABMILLER PLC SPON ADR / CUSIP: 78572M105 / Symbol: SBMRY								
12/16/14	339,000	16,915.73		08/26/11	11,619.38	0.00	5,296.35	Sale
SINGAPORE TELECOM LTD NEW 2006 SPON ADR / CUSIP: 82929R304 / Symbol: SGAPY								
05/09/14	379,000	11,600.93		01/15/13	10,566.52	0.00	1,034.41	Sale
12/16/14	72,000	2,123.95		01/15/13	2,007.36	0.00	116.59	Sale
Security total:		13,724.88			12,573.88	0.00	1,151.00	
SMITH & NEPHEW PLC NEW SPON ADR / CUSIP: 83175M205 / Symbol: SNN								
12/16/14	776,000	25,342.35		08/26/11	14,380.62	0.00	10,961.73	Sale
SVENSKA CELLULOZA AB (SCA) SPON ADR / CUSIP: 869587402 / Symbol: SVCBY								
12/16/14	342,000	7,400.65		08/26/11	4,463.23	0.00	2,937.42	Sale
SWATCH GROUP AG ADR / CUSIP: 870123106 / Symbol: SWGAY								
2 tax lots for 05/28/14 Total proceeds (and cost when required) reported to the IRS.								
	1,459,000	42,583.06		01/14/13	40,859.71	0.00	1,723.35	Sale
	45,000	1,313.39		04/04/13	1,296.00	0.00	17.39	Sale
05/28/14	1,504,000	43,896.45		VARIOUS	42,155.71	0.00	1,740.74	Total of 2 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barrier Exchange Transactions

Account TX 15685

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) / CUSIP: 874039100 / Symbol: TSM	1d- Proceeds & Reported (Gross (Net) / CUSIP: 874039100 / Symbol: TSM	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP: 874039100 / Symbol: TSM	232.000	5,014.45	5,014.45	08/26/11	2,676.12	0.00	2,338.33	Sale
TOKYO ELECTRON LTD ADR / CUSIP: 889110102 / Symbol: TOELY	2,596.000	45,275.54	45,275.54	09/18/13	30,788.56	0.00	14,486.98	Sale
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP: 892331307 / Symbol: TM								
5 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS.								
	206.000	24,145.02	24,145.02	12/21/12	18,651.82	0.00	5,493.20	Sale
	4.000	468.83	468.83	04/04/13	421.84	0.00	46.99	Sale
	148.000	17,346.91	17,346.91	04/23/13	16,641.11	0.00	705.80	Sale
	81.000	9,493.91	9,493.91	05/14/13	9,990.78	0.00	-496.87	Sale
	152.000	17,815.74	17,815.74	05/22/13	19,612.54	0.00	-1,796.80	Sale
09/17/14	591.000	69,270.41	69,270.41	VARIOUS	65,318.09	0.00	3,952.32	Total of 5 lots
TURKCELL ILETISIM HIZMETLERI A.S NEW ADR / CUSIP: 900111204 / Symbol: TKG								
4 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS.								
	1,163.000	14,915.33	14,915.33	08/31/12	16,933.17	0.00	-2,017.84	Sale
	2,616.000	33,549.88	33,549.88	09/27/12	40,268.09	0.00	-6,718.21	Sale
	78.000	1,000.34	1,000.34	10/24/12	1,190.85	0.00	-190.51	Sale
	170.000	2,180.23	2,180.23	12/18/12	2,653.25	0.00	-473.02	Sale
01/21/14	4,027.000	51,645.78	51,645.78	VARIOUS	61,045.36	0.00	-9,399.58	Total of 4 lots
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR / CUSIP: 911271302 / Symbol: UOVEY	62.000	2,206.65	2,206.65	08/26/11	1,825.90	0.00	380.75	Sale
COVIDIEN PLC FOREIGN STOCK / CUSIP: G2554F113 / Symbol: COV								
3 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS.								
	662.000	60,295.41	60,295.41	01/08/13	35,915.10	0.00	24,380.31	Sale
	154.000	14,026.43	14,026.43	01/30/13	8,915.12	0.00	5,111.31	Sale
	136.000	12,386.97	12,386.97	04/30/13	7,833.81	0.00	4,553.16	Sale
09/17/14	952.000	86,708.81	86,708.81	VARIOUS	52,664.03	0.00	34,044.78	Total of 3 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 15685

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & Reported (Gross/Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FLEXTRONICS INTL LTD FOREIGN STOCK / CUSIP: Y2573F102 / Symbol: FLEX								
3 tax lots for 02/10/14. Total proceeds (and cost when required) reported to the IRS.								
	2,972,000		25,233.21	08/26/11	15,677.30	0.00	9,555.91	Sale
	74,000		628.29	10/24/12	435.60	0.00	192.69	Sale
	133,000		1,129.21	12/18/12	816.61	0.00	312.60	Sale
02/10/14	3,179,000		26,990.71	VARIOUS	16,929.51	0.00	10,061.20	Total of 3 lots
Totals:			1,269,272.44		890,178.29	0.00	379,094.15	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01609W102 / Symbol: BABA	204 000	23,760 15	18,116.06	10/07/14	0.00	5,644.09	Sale Note: 26
11/11/14							
ALLERGAN INC COMMON STOCK / CUSIP: 018490102 / Symbol: AGN	665 000	126,506.34	101,779.98	08/12/14	0.00	24,726.36	Sale
10/09/14							
ALTERA CORP COMMON STOCK / CUSIP: 021441100 / Symbol: ALTR	422 000	15,015.99	15,164.69	03/18/14	0.00	-148.70	Sale Note: 26
09/05/14							
AMAZON COM INC / CUSIP 023135106 / Symbol AMZN							
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS							
	19 000	6,557 63	6,830 66	02/27/14	0.00	-273.03	Sale Note: 26
	67 000	23,124.26	24,883.59	03/05/14	0.00	-1,759.33	Sale Note: 26
09/05/14	86 000	29,681.89	31,714.25	VARIOUS	0.00	-2,032.36	Total of 2 lots
2 tax lots for 09/11/14 Total proceeds (and cost when required) reported to the IRS							
	46 000	15,127.61	16,537.39	02/27/14	0.00	-1,409.78	Sale Note: 26
	35 000	11,510.14	11,998.68	04/01/14	0.00	-488.54	Sale Note: 26
09/11/14	81 000	26,637.75	28,536.07	VARIOUS	0.00	-1,898.32	Total of 2 lots
	Security total	56,319.64	60,250.32		0.00	-3,930.68	
BIOGEN IDEC INC / CUSIP 09062X103 / Symbol BIIB							
09/05/14	39 000	12,745.31	13,226.65	03/06/14	0.00	-481.34	Sale Note: 26
2 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS							
	32 000	10,588.77	9,672.89	04/03/14	0.00	915.88	Sale
	94 000	31,104.53	27,848.89	04/21/14	0.00	3,255.64	Sale
12/16/14	126 000	41,693.30	37,521.78	VARIOUS	0.00	4,171.52	Total of 2 lots
	Security total	54,438.61	50,748.43		0.00	3,690.18	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) / Symbol: BWA	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
BORGWARNER INC / CUSIP: 099724106 / Symbol: BWA	182,000	11,453.88	06/06/14	11,904.62	0.00			Sale Note 26
09/05/14								
CANADIAN PACIFIC RAILWAY LTD FOREIGN STOCK CAD / CUSIP: 13645T100 / Symbol: CP	176,000	29,020.05	01/10/14	26,881.36	0.00			Sale Note 26
05/22/14								
2 tax lots for 06/04/14 Total proceeds (and cost when required) reported to the IRS								
	11,000	1,908.87	01/10/14	1,680.09	0.00			Sale Note 26
	118,000	20,476.96	02/11/14	17,982.79	0.00			Sale Note 26
06/04/14	129,000	22,385.83	VARIOUS	19,662.88	0.00			Sale Note 26
06/20/14	192,000	35,118.26	02/11/14	29,260.14	0.00			Sale Note 26
08/05/14	55,000	10,418.63	02/11/14	8,381.80	0.00			Sale Note 26
09/05/14	63,000	13,071.58	02/11/14	9,600.99	0.00			Sale Note 26
Security total		110,014.35		93,787.17	0.00			16,227.18
COOPER COMPANIES INC NEW / CUSIP: 216648402 / Symbol: COO	101,000	15,688.39	05/06/14	13,494.22	0.00			Sale Note 26
07/23/14								
2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS								
	86,000	13,854.34	04/22/14	11,173.61	0.00			Sale Note 26
	74,000	11,921.19	05/06/14	9,886.86	0.00			Sale Note 26
10/28/14	160,000	25,775.53	VARIOUS	21,060.47	0.00			Sale Note 26
11/10/14	457,000	73,660.10	04/22/14	59,376.05	0.00			Sale Note 26
Security total.		115,124.02		93,930.74	0.00			14,284.05
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP: 228227104 / Symbol:	28,000	2,163.60	05/14/13	2,220.43	0.00			Sale Note 26
05/08/14								

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & Reported (G)Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP: 228227104 / Symbol: (cont'd)							
10/07/14	316 000	25,610.39	10/24/13	23,622.42	0.00	1,987.97	Sale
Security total:		27,773.99		25,842.85	0.00	1,931.14	
DANAHER CORP COMMON STOCK / CUSIP: 235851102 / Symbol: DHR							
04/15/14	611 000	44,186.60	02/10/14	45,727.53	0.00	-1,540.93	Sale Note: 26
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol: DFS							
04/29/14	343 000	19,181.13	05/21/13	16,821.20	0.00	2,359.93	Sale
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG							
02/12/14	358 000	20,213.31	02/21/13	16,218.80	0.00	3,994.51	Sale
FMC TECHNOLOGIES INC / CUSIP: 30249U101 / Symbol: FTI							
03/10/14	510 000	26,985.76	12/17/13	27,079.94	0.00	-94.18	Sale
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB							
04/15/14	236 000	13,672.62	04/01/14	14,669.36	0.00	-996.74	Sale Note: 26
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG							
3 tax lots for 05/08/14 Total proceeds (and cost when required) reported to the IRS							
	19 000	9,787.34	12/17/13	10,172.88	0.00	-385.54	Sale Note: 26
	16 000	8,241.98	01/16/14	9,219.47	0.00	-977.49	Sale Note: 26
	19 000	9,787.34	03/24/14	10,931.95	0.00	-1,144.61	Sale Note: 26
05/08/14	54 000	27,816.66	VARIOUS	30,324.30	0.00	-2,507.64	Total of 3 lots Note: 26
HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406216101 / Symbol: HAL							
09/05/14	182 000	12,238.23	07/30/14	12,921.82	0.00	-683.59	Sale Note: 26
4 tax lots for 12/10/14 Total proceeds (and cost when required) reported to the IRS							
	1,441 000	55,054.74	05/07/14	93,077.36	0.00	-38,022.62	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) / Symbol: HAL (cont'd)	1d- Proceeds & 1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406216101	340 000	12,990 01	23,993 50	0 00		Sale -11,003 49
	159 000	6,074 74	11,288 84	0 00		Sale -5,214 10
	344 000	13,142 84	23,806 11	0 00		Sale -10,663 27
12/10/14	2,284 000	87,262 33	152,165 81	0 00		Total of 4 lots -64,903 48
Security total:		99,500 56	165,087 63	0 00		-65,587 07
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD						
08/22/14	168 000	15,247 05	14,126 33	0 00		Sale 1,120 72
						Note 26
09/05/14						
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS	246 000	22,376 58	19,958 60	0 00		Sale 2,417 98
	50 000	4,548 09	4,204 27	0 00		Note: 26 343 82
	296 000	26,924 67	24,162 87	0 00		Sale Note: 26 2,761 80
						Total of 2 lots
3 tax lots for 10/03/14 Total proceeds (and cost when required) reported to the IRS	223 000	20,725 81	17,844 79	0 00		Sale 2,881 02
	268 000	24,908 15	21,642 53	0 00		Note: 26 3,265 62
	90 000	8,364 68	7,301 92	0 00		Sale Note: 26 1,062 76
	581 000	53,998 64	46,789 24	0 00		Sale Note: 26 7,209 40
						Total of 3 lots
3 tax lots for 11/11/14 Total proceeds (and cost when required) reported to the IRS	300 000	29,497 48	23,957 07	0 00		Sale 5,540 41
	180 000	17,698 49	14,403 87	0 00		Note: 26 3,294 62
	13 000	1,278 22	1,037 25	0 00		Sale Note: 26 240 97
	493 000	48,474 19	39,398 19	0 00		Sale Note: 26 9,076 00
Security total:		144,644 55	124,476 63	0 00		Total of 3 lots 20,167 92

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax-lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account TX 02885

OMB No 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds &	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE									
09/05/14	65,000	12,092 33		04/29/14	13,125 69	0 00	-1,033 36	Sale	Note 26
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol K									
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS									
	92 000	6,163 21		07/10/13	6,061 71	0 00	101 50	Sale	Note 26
	191 000	12,795 36		07/12/13	12,697 26	0 00	98 10	Sale	Note 26
04/29/14	283,000	18,958 57		VARIOUS	18,758.97	0.00	199 60	Total of 2 lots	
3 tax lots for 05/06/14 Total proceeds (and cost when required) reported to the IRS									
	165 000	10,798 49		05/23/13	10,676 51	0 00	121 98	Sale	Note 26
	164 000	10,733 05		07/10/13	10,805 67	0 00	-72 62	Sale	Note 26
	389 000	25,458 27		08/01/13	25,439 24	0 00	19 03	Sale	Note 26
05/06/14	718,000	46,989 81		VARIOUS	46,921 42	0 00	68.39	Total of 3 lots	
2 tax lots for 07/31/14 Total proceeds (and cost when required) reported to the IRS									
	309 000	18,621 44		08/15/13	19,897 16	0 00	-1,275 72	Sale	
	569 000	34,289 97		08/22/13	35,455 24	0 00	-1,165 27	Sale	
07/31/14	878 000	52,911 41		VARIOUS	55,352 40	0 00	-2,440 99	Total of 2 lots	
	Security total	118,859 79			121,032 79	0 00	-2,173 00		
LAS VEGAS SANDS CORP / CUSIP 517834107 / Symbol LVS									
2 tax lots for 09/05/14. Total proceeds (and cost when required) reported to the IRS									
	174 000	10,908 46		04/21/14	13,271 79	0 00	-2,363 33	Sale	Note 26
	125 000	7,836 54		06/04/14	9,533 05	0 00	-1,696 51	Sale	Note 26
09/05/14	299 000	18,745 00		VARIOUS	22,804 84	0.00	-4,059.84	Total of 2 lots	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
LAUDER ESTEE COS CL A / CUSIP: 518439104 / Symbol: EL	371,000	27,800.53	27,800.53	01/13/14	27,614.54	0.00	185.99	Sale Note: 26
05/02/14								
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD	74,000	16,816.87	16,816.87	02/27/14	15,806.38	0.00	1,010.49	Sale Note: 26
09/05/14								
LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU	267,000	13,418.33	13,418.33	01/15/13	18,169.72	0.00	-4,751.39	Sale
01/13/14								
MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA	632,000	48,146.09	48,146.09	03/24/14	48,527.68	0.00	-381.59	Sale Note: 26
08/20/14								
2 tax lots for 10/10/14 Total proceeds (and cost when required) reported to the IRS								
301,000	21,402.43	05/22/14	22,824.65	0.00	-1,422.22	Sale		
359,000	25,526.49	07/01/14	27,162.55	0.00	-1,636.06	Sale		
660,000	46,928.92	VARIOUS	49,987.20	0.00	-3,058.28	Total of 2 lots		
10/10/14								
Security total	95,075.01		98,514.88	0.00	-3,439.87			
MONSTER BEVERAGE CORP COM / CUSIP: 611740101 / Symbol: MNST	402,000	34,837.96	34,837.96	11/07/13	23,030.26	0.00	11,807.70	Sale
08/27/14								
REALOGY HLDGS CORP / CUSIP: 75605Y106 / Symbol: RLGY	282,000	12,910.64	12,910.64	05/09/13	14,356.76	0.00	-1,446.12	Sale Note: 26
02/21/14								
336,000	15,382.89	05/21/13	18,391.77	0.00	-3,008.88	Sale		
618,000	28,293.53	VARIOUS	32,748.53	0.00	-4,455.00	Total of 2 lots		
2 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS	996,000	47,184.82	50,706.86	0.00	-3,522.04	Sale		
57,000	2,700.33	07/17/13	2,862.47	0.00	-162.14	Sale		
1,053,000	49,885.15	VARIOUS	53,569.33	0.00	-3,684.18	Total of 2 lots		
02/27/14								

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
REALOGY HLDGS CORP / CUSIP: 75605Y106 / Symbol: RLGX (cont'd)								
3 tax lots for 04/03/14 Total proceeds (and cost when required) reported to the IRS								
	479 000		21,076 16	07/10/13	23,192 80	0 00		-2,116 64 Sale
	367 000		16,148 12	07/17/13	18,430 30	0 00		-2,282 18 Sale
	267 000		11,748 09	07/24/13	12,743 32	0 00		-995 23 Sale
04/03/14	1,113 000		48,972 37	VARIOUS	54,366 42	0 00		-5,394 05 Total of 3 lots
	Security total		127,151 05		140,684 28	0 00		-13,533 23
REGENERON PHARMACEUTICALS INC / CUSIP: 75886F107 / Symbol: REGN								
2 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS								
	30 000		9,758 45	11/25/13	8,808 46	0 00		949 99 Sale
	58 000		18,866 34	06/04/14	18,083 73	0 00		782 61 Sale
07/30/14	88 000		28,624 79	VARIOUS	26,892 19	0 00		1,732 60 Total of 2 lots
09/05/14	50 000		17,383 62	11/25/13	14,680 77	0 00		2,702 85 Sale
10/17/14	53 000		19,773 90	11/25/13	15,561 61	0 00		4,212 29 Sale
	Security total:		65,782 31		57,134 57	0 00		8,647 74 Note: 26
SERVICENOW INC / CUSIP: 81762P102 / Symbol: NOW	218 000		13,493 46	04/01/14	13,485 04	0 00		8 42 Sale
09/05/14	183 000		11,146 96	06/05/14	10,205 51	0 00		941 45 Sale
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX	120 000		14,923 75	04/22/14	14,474 55	0 00		449 20 Sale
09/05/14	120 000		14,923 75			0 00		Note 26
THERMO FISHER SCIENTIFIC INC / CUSIP: 883555102 / Symbol: TMO	179 000		31,594 06	10/18/13	27,269 72	0 00		4,324 34 Sale
02/11/14	179 000		31,594 06			0 00		Note 26
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP								

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP: 913017109 / Symbol: UTX	249 000	26,505 58	26,505 58	02/10/14	27,627.68	0 00	-1,122 10	Sale Note: 26
08/05/14	182,000	19,198 12	19,198 12	02/10/14	20,193.72	0 00	-995 60	Sale Note 26
2 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS								
117 000		12,265 19	12,265 19	12/13/13	12,595 00	0 00	-329 81	Sale Note. 26
08/12/14	32 000	3,354 59	3,354 59	02/10/14	3,550 55	0 00	-195 96	Sale Note 26
10/17/14	149 000	15,619.78	15,619.78	VARIOUS	16,145.55	0 00	-525 77	Note 26 Total of 2 lots
Security total.								
	131,000	13,327 32	13,327 32	12/13/13	14,102 10	0 00	-774.78	Sale
		74,650.80	74,650.80		78,069.05	0 00	-3,418.25	
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH								
04/14/14	143,000	11,295.41	11,295.41	10/24/13	9,671.35	0 00	1,624 06	Sale
VIACOM INC NEW CL B / CUSIP 92553P201 / Symbol: VIAB								
09/05/14	253,000	20,543 15	20,543 15	02/24/14	22,213.62	0 00	-1,670.47	Sale Note. 26
VISA INC CL A / CUSIP 92826C839 / Symbol: V								
09/05/14	151 000	32,279 87	32,279 87	08/19/14	32,488.53	0 00	-208 66	Sale Note 26
WALGREEN CO **MERGER EFF 12/2014** / CUSIP 931422109 / Symbol:								
2 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS								
141 000		10,310 72	10,310 72	08/20/14	8,752 73	0 00	1,557 99	Sale Note 26
12/16/14	166 000	12,138 86	12,138 86	09/18/14	10,500 81	0 00	1,638 05	Sale Note 26
	307 000	22,449.58	22,449.58	VARIOUS	19,253 54	0 00	3,196 04	Total of 2 lots
YELP INC / CUSIP 985817105 / Symbol YELP								
01/27/14	251 000	18,289 90	18,289 90	10/31/13	16,757 29	0 00	1,532 61	Sale Note 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

RECEIVED 05/09/14

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 9949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
YELP INC / CUSIP 985817105 / Symbol: YELP (cont'd)							
02/03/14	245 000	18,673.16	10/31/13	16,356.71	0.00	2,316.45	Sale Note 26
02/06/14	356 000	31,961.86	10/31/13	23,767.31	0.00	8,194.55	Sale Note 26
02/27/14	259 000	25,423.88	10/31/13	17,291.38	0.00	8,132.50	Sale Note 26
2 tax lots for 03/05/14 Total proceeds (and cost when required) reported to the IRS							
	252 000	24,749.12	10/31/13	16,824.05	0.00	7,925.07	Sale Note 26
	1 000	98.20	12/30/13	65.73	0.00	32.47	Sale Note 26
03/05/14	253 000	24,847.32	VARIOUS	16,889.78	0.00	7,957.54	Total of 2 lots
03/24/14	285 000	23,093.90	12/30/13	18,733.41	0.00	4,360.49	Sale Note 26
3 tax lots for 04/01/14 Total proceeds (and cost when required) reported to the IRS							
	445 000	35,531.89	11/25/13	25,847.60	0.00	9,684.29	Sale
	210 000	16,767.85	12/12/13	13,539.44	0.00	3,228.41	Sale
	40 000	3,193.88	12/30/13	2,629.25	0.00	564.63	Sale
04/01/14	695 000	55,493.62	VARIOUS	42,016.29	0.00	13,477.33	Total of 3 lots
	Security total	197,783.64		151,812.17	0.00	45,971.47	
LIBERTY GLOBAL PLC CL A / CUSIP G5480U104 / Symbol: LBTYA							
04/08/14	581 000	22,849.82	12/05/13	24,776.15	0.00	-1,926.33	Sale Note 26
3 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS							
	116 000	4,533.35	07/12/13	4,648.97	0.00	-115.62	Sale
	168 000	6,565.54	10/16/13	6,610.49	0.00	-44.95	Sale
	148 000	5,783.93	12/05/13	6,311.31	0.00	-527.38	Sale
04/16/14	432 000	16,882.82	VARIOUS	17,570.77	0.00	-687.95	Total of 3 lots
	Security total	39,732.64		42,346.92	0.00	-2,614.28	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed

Quantity

6- Reported (G)Gross (N)Net

1d- Proceeds & 1b- Date acquired

1e- Cost or other basis

1g- Adjustments & 1f- Code(s), if any

7- Loss not allowed(X) Gain or loss(-) & Additional Information

LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP G5480U120 / Symbol LBTYK

3 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS

116 000 4,576 89 07/12/13 4,489 07

84 000 3,314 30 10/16/13 3,191 56

729 000 28,763 37 12/05/13 30,018 19

929 000 36,654 56 VARIOUS 37,698 82

84 000 3,394 16 10/16/13 3,191 56

Security total 40,048 72 40,890 38

03/27/14 87 82 Sale Note: 26

03/28/14 122 74 Sale Note: 26

-1,254 82 Sale Note: 26

-1,044 26 Total of 3 lots

202 60 Sale

-841 66

MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP G60754101 / Symbol KORS

125 000 9,595 35 06/25/14 11,220 09

09/05/14 -1,624 74 Sale Note: 26

LYONDELLBASELL INDUSTRIES N V SHS - A - CL A FOREIGN STOCK / CUSIP N53745100 / Symbol LYB

150 000 17,188 26 03/11/14 13,639 83

2 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS

983 000 71,577 83 03/11/14 89,386 35

285 000 20,752 47 04/14/14 25,047 88

1,268 000 92,330 30 VARIOUS 114,434 23

Security total 109,518 56 128,074 06

12/16/14 -17,808 52 Sale

-4,295 41 Sale

-22,103 93 Total of 2 lots

-18,555 50

Totals: 2,096,243 29 2,051,322 73

44,920 56

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)net	1d- Proceeds & (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**								
ALLERGAN INC COMMON STOCK / CUSIP: 018490102 / Symbol: AGN								
3 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS								
	44 000		5,493.51	02/29/12	3,941.94	0.00	1,551.57	Sale Note 26
	147 000		18,353.32	03/08/12	13,523.98	0.00	4,829.34	Sale Note 26
	56 000		6,991.75	05/02/12	5,198.91	0.00	1,792.84	Sale Note 26
04/15/14	247 000		30,838.58	VARIOUS	22,664.83	0.00	8,173.75	Total of 3 lots
04/17/14	240 000		32,051.05	02/29/12	21,501.48	0.00	10,549.57	Sale Note 26
04/21/14	206 000		28,274.57	02/29/12	18,455.44	0.00	9,819.13	Sale Note: 26
2 tax lots for 04/29/14 Total proceeds (and cost when required) reported to the IRS								
	72 000		11,923.08	02/29/12	6,450.44	0.00	5,472.64	Sale
	315 000		52,163.48	11/14/12	28,096.93	0.00	24,066.55	Sale
04/29/14	387 000		64,086.56	VARIOUS	34,547.37	0.00	29,539.19	Total of 2 lots
	Security total		155,250.76		97,169.12	0.00	58,081.64	
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP								
11/19/14	223 000		20,188.70	10/16/13	16,985.80	0.00	3,202.90	Sale Note 26
11/20/14	305 000		27,641.42	10/16/13	23,231.70	0.00	4,409.72	Sale Note 26
	Security total		47,830.12		40,217.50	0.00	7,612.62	
AMETEK INC (NEW) / CUSIP: 031100100 / Symbol: AME								
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS								
	285 000		15,154.06	05/10/13	11,884.50	0.00	3,269.56	Sale Note 26
	261 000		13,877.92	05/22/13	11,301.87	0.00	2,576.05	Sale Note 26
09/05/14	546 000		29,031.98	VARIOUS	23,186.37	0.00	5,845.61	Total of 2 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
APPLE INC / CUSIP: 037833100 / Symbol: AAPL	74 000	47,786 20	01/29/13	33,800 24	0 00		13,985.96 Sale Note: 26
06/04/14							
2 tax lots for 06/10/14 Total proceeds (and cost when required) reported to the IRS							
	371 000	34,948 62	01/23/12	22,605 46	0 00		12,343 16 Sale Note: 26
	98 000	9,231 71	01/29/13	6,394 64	0 00		2,837 07 Sale Note: 26
06/10/14	469 000	44,180 33	VARIOUS	29,000 10	0 00		15,180.23 Total of 2 lots
	Security total.	91,966 53		62,800 34	0 00		29,166 19
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB							
09/05/14	29 000	9,477.28	01/17/13	4,166.83	0.00		5,310.45 Sale Note: 26
2 tax lots for 10/22/14 Total proceeds (and cost when required) reported to the IRS.							
	27 000	8,170 00	01/11/13	3,854 61	0 00		4,315 39 Sale Note: 26
10/22/14	84 000	25,417 78	01/17/13	12,069.45	0 00		13,348 33 Sale Note: 26
	111.000	33,587 78	VARIOUS	15,924 06	0 00		17,663.72 Total of 2 lots
10/27/14	72 000	22,994 45	01/11/13	10,278.95	0 00		12,715.50 Sale Note: 26
2 tax lots for 10/31/14 Total proceeds (and cost when required) reported to the IRS.							
	28 000	9,005 05	01/26/12	3,298 91	0 00		5,706 14 Sale Note: 26
	87 000	27,979 99	01/11/13	12,420 41	0 00		15,559 58 Sale Note: 26
10/31/14	115.000	36,985 04	VARIOUS	15,719 32	0 00		21,265 72 Total of 2 lots
2 tax lots for 11/19/14 Total proceeds (and cost when required) reported to the IRS							
	181 000	54,969 50	01/25/12	21,313 84	0 00		33,655 66 Sale Note: 26
	4 000	1,214 79	01/26/12	471 27	0 00		743 52 Sale Note: 26
11/19/14	185 000	56,184 29	VARIOUS	21,785.11	0 00		34,399 18 Total of 2 lots

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (BIB (cont'd))	1d- Proceeds & 6- Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIB (cont'd)								
12/16/14	104 000		34,413.52	01/25/12	12,246.62	0 00	22,166.90	Sale
Security total:			193,642.36		80,120.89	0 00	113,521.47	
CATAMARAN CORP FOREIGN STOCK / CUSIP: 148887102 / Symbol: CTRX								
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS	177 000		8,614.28	08/21/13	9,767.57	0 00	-1,153.29	Sale
								Note 26
	206 000		10,025.66	08/29/13	11,414.48	0 00	-1,388.82	Sale
								Note 26
09/05/14	383 000		18,639.94	VARIOUS	21,182.05	0 00	-2,542.11	Total of 2 lots

CONCHO RESOURCES INC / CUSIP: 20605P101 / Symbol: CXO

3 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS

21 000 2,734.58 1,755.96

209 000 27,215.56 19,089.68

71 000 9,245.48 6,597.08

301 000 39,195.62 27,442.72

04/15/14 | | | VARIOUS | 27,442.72 | 0 00 | 11,752.90 | Total of 3 lots |

2 tax lots for 04/30/14 Total proceeds (and cost when required) reported to the IRS

54 000 6,997.44 4,515.34

228 000 29,544.73 18,801.20

282 000 36,542.17 23,316.54

04/30/14 | | | VARIOUS | 23,316.54 | 0 00 | 13,225.63 | Total of 2 lots |

2 tax lots for 07/16/14 Total proceeds (and cost when required) reported to the IRS

12 000 1,717.57 989.54

159 000 22,757.87 13,049.24

171 000 24,475.44 14,038.78

07/16/14 | | | VARIOUS | 14,038.78 | 0 00 | 10,436.66 | Total of 2 lots |

Security total:

100,213.23

64,798.04

0 00

35,415.19 | | | | | 0 00 | | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

Account TX 02885

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP 228227104 / Symbol:							
2 tax lots for 05/08/14 Total proceeds (and cost when required) reported to the IRS							
	278,000	21,481.48	02/21/13	18,794.00	0.00		2,687.48 Sale Note: 26
	123,000	9,504.39	04/05/13	8,606.08	0.00		898.31 Sale Note: 26
05/08/14	401,000	30,985.87	VARIOUS	27,400.08	0.00		3,585.79 Total of 2 lots
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS							
	28,000	2,253.21	02/21/13	1,892.92	0.00		360.29 Sale Note: 26
	223,000	17,945.19	08/16/13	15,186.77	0.00		2,758.42 Sale Note: 26
09/05/14	251,000	20,198.40	VARIOUS	17,079.69	0.00		3,118.71 Total of 2 lots
10/07/14	175,000	14,182.97	02/21/13	11,830.75	0.00		2,352.22 Sale
	Security total:	65,367.24		56,310.52	0.00		9,056.72
CUMMINS INC / CUSIP 231021106 / Symbol: CMI							
2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS							
	75,000	10,365.99	02/02/12	8,542.55	0.00		1,823.44 Sale Note: 26
	143,000	19,764.49	05/02/12	16,051.92	0.00		3,712.57 Sale Note: 26
01/10/14	218,000	30,130.48	VARIOUS	24,594.47	0.00		5,536.01 Total of 2 lots
09/05/14	88,000	12,530.92	04/11/12	9,791.56	0.00		2,739.36 Sale Note: 26
	Security total	42,661.40		34,386.03	0.00		8,275.37
DANAHER CORP COMMON STOCK / CUSIP 235851102 / Symbol: DHR							
06/20/14	12,000	961.27	08/31/11	548.96	0.00		412.31 Sale Note: 26
DISCOVER FINANCIAL SERVICES / CUSIP 254709108 / Symbol: DFS							
2 tax lots for 04/14/14 Total proceeds (and cost when required) reported to the IRS							
	254,000	14,021.66	03/20/13	11,378.59	0.00		2,643.07 Sale Note: 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported /CUSIP: 254709108 / Symbol DFS (cont'd)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DISCOVER FINANCIAL SERVICES /CUSIP: 254709108 / Symbol DFS (cont'd)	270 000	14,904 91		03/27/13	12,121 46	0 00	2,783 45	Sale Note 26
04/14/14	524 000	28,926 57		VARIOUS	23,500 05	0 00	5,426 52	Total of 2 lots
3 tax lots for 04/28/14 Total proceeds (and cost when required) reported to the IRS								
	692 000	38,474 42		03/20/13	30,999 94	0 00	7,474 48	Sale Note 26
	238 000	13,232 53		03/21/13	10,605 54	0 00	2,626 99	Sale Note 26
	273 000	15,178 49		04/02/13	12,174 00	0 00	3,004 49	Sale Note 26
04/28/14	1,203 000	66,885 44		VARIOUS	53,779.48	0 00	13,105.96	Total of 3 lots
04/29/14	661.000	36,964.22		03/21/13	29,454 89	0 00	7,509 33	Sale
	Security total	132,776 23			106,734 42	0.00	26,041.81	

DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG

4 tax lots for 02/05/14 Total proceeds (and cost when required) reported to the IRS

	345 000	19,353 57	12/12/11	14,155 69	
	40 000	2,243.89	12/14/11	1,631 11	
	180 000	10,097 51	12/20/11	7,346 90	
	92 000	5,160 95	12/23/11	3,776 16	
02/05/14	657 000	36,855.92	VARIOUS	26,909.86	
3 tax lots for 02/06/14 Total proceeds (and cost when required) reported to the IRS					
	151 000	8,632 08	12/09/11	6,092 40	
	315 000	18,007 33	12/14/11	12,845 01	
	95 000	5,430 78	12/19/11	3,838 39	
02/06/14	561 000	32,070.19	VARIOUS	22,775 80	

* This is Important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG (cont'd)	164 000	9,259.73	9,259.73	12/09/11	6,616.91	0.00	2,642.82	Sale
02/12/14		Security total:	78,185.84		56,302.57	0.00	21,883.27	
FMC TECHNOLOGIES INC / CUSIP: 30249U101 / Symbol: FTI								
4 tax lots for 03/10/14 Total proceeds (and cost when required) reported to the IRS.								
	90 000		4,762.19	01/25/11	3,882.72	0.00	879.47	Sale
	270 000		14,286.58	08/05/11	10,823.36	0.00	3,463.22	Sale
	477 000		25,239.63	06/05/12	18,852.28	0.00	6,387.35	Sale
	632 000		33,441.18	07/20/12	26,714.45	0.00	6,726.73	Sale
03/10/14	1,469,000		77,729.58	VARIOUS	60,272.81	0.00	17,456.77	Total of 4 lots
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB								
01/27/14	361,000		19,143.97	05/18/12	14,674.94	0.00	4,469.03	Sale
04/15/14	139,000		8,052.94	05/18/12	5,650.46	0.00	2,402.48	Note 26
09/05/14	479,000		36,615.72	05/18/12	19,471.73	0.00	17,143.99	Note: 26
10/28/14	308,000		24,822.86	05/18/12	12,520.45	0.00	12,302.41	Note: 26
	Security total:		88,635.49		52,317.58	0.00	36,317.91	Note: 26
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD								
03/06/14	379,000		30,043.30	02/07/13	15,046.72	0.00	14,996.58	Sale
	2 tax lots for 05/27/14 Total proceeds (and cost when required) reported to the IRS.							
	469,000		38,516.06	03/01/12	10,876.06	0.00	27,640.00	Note: 26
	118,000		9,690.61	02/07/13	4,684.73	0.00	5,005.88	Sale
05/27/14	587,000		48,206.67	VARIOUS	15,560.79	0.00	32,645.88	Note: 26
08/22/14	180,000		18,836.17	03/01/12	4,174.18	0.00	14,661.99	Total of 2 lots
09/05/14	239,000		24,875.65	03/01/12	5,542.39	0.00	19,333.26	Sale
								Note 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD (cont'd)	358 000	37,492.19	37,492.19	03/01/12	8,301.98	0 00	29,190.21	Sale Note: 26
Security total:		159,453.98			48,626.06	0 00	110,827.92	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL								
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS	25 000	14,902.46	14,902.46	07/05/12	7,477.99	0 00	7,424.47	Sale Note 26
	16 000	9,537.57	9,537.57	04/02/13	6,511.62	0 00	3,025.95	Sale Note 26
09/05/14	41 000	24,440.03	24,440.03	VARIOUS	13,989.61	0 00	10,450.42	Total of 2 lots

GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG

2 tax lots for 05/08/14. Total proceeds (and cost when required) reported to the IRS

13 000	6,696.60	6,696.60	07/05/12	3,876.57	0 00	2,820.03	Sale Note 26
16 000	8,241.98	8,241.98	04/02/13	6,491.58	0 00	1,750.40	Sale Note 26
05/08/14	29 000	14,938.58	VARIOUS	10,368.15	0 00	4,570.43	Total of 2 lots
09/05/14	34 000	19,839.24	07/05/12	10,138.74	0 00	9,700.50	Sale Note 26
Security total:		34,777.82		20,506.89	0.00	14,270.93	

HAIN CELESTIAL GROUP INC / CUSIP: 405217100 / Symbol: HAIN

09/05/14	158 000	15,410.19	09/04/13	12,649.67	0.00	2,760.52	Sale Note. 26
10/24/14	218 000	22,378.95	09/04/13	17,453.34	0.00	4,925.61	Sale Note 26
	Security total	37,789.14		30,103.01	0.00	7,686.13	

INTUITIVE SURGICAL INC NEW / CUSIP: 46120E602 / Symbol: ISRG

2 tax lots for 04/01/14. Total proceeds (and cost when required) reported to the IRS

23 000	10,974.17	10,974.17	10/16/12	12,022.50	0 00	-1,048.33	Sale Note 26
--------	-----------	-----------	----------	-----------	------	-----------	-----------------

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

Account TX 02885

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional Information
INTUITIVE SURGICAL INC NEW / CUSIP: 46120E602 / Symbol: ISRG (cont'd)			10,974.17	03/05/13	12,041.18	0.00	-1,067.01	Sale Note 26
04/01/14	23,000	21,948.34		VARIOUS	24,063.68	0.00	-2,115.34	Total of 2 lots
2 tax lots for 04/03/14 Total proceeds (and cost when required) reported to the IRS								
	20,000	10,535.15		09/21/12	10,307.91	0.00		
04/03/14	16,000	8,428.11		10/16/12	8,363.47	0.00	227.24	Sale Note: 26
	36,000	18,963.26		VARIOUS	18,671.38	0.00	64.64	Sale Note 26
Security total		40,911.60			42,735.06	0.00	291.88	Total of 2 lots
							-1,823.46	
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol: K								
3 tax lots for 07/31/14 Total proceeds (and cost when required) reported to the IRS								
	750,000	45,197.67		05/23/13	48,529.57	0.00	-3,331.90	Sale
	403,000	24,286.22		06/07/13	25,400.20	0.00	-1,113.98	Sale
	448,000	26,998.07		06/25/13	28,304.86	0.00	-1,306.79	Sale
07/31/14	1,601,000	96,481.96		VARIOUS	102,234.63	0.00	-5,752.67	Total of 3 lots

LAUDER ESTEE COS CL A / CUSIP: 518439104 / Symbol: EL

2 tax lots for 05/02/14 Total proceeds (and cost when required) reported to the IRS								
	134,000	10,041.16		04/15/11	6,366.45	0.00	3,674.71	Sale Note 26
	215,000	16,110.82		04/19/11	10,164.26	0.00	5,946.56	Sale Note 26
05/02/14	349,000	26,151.98		VARIOUS	16,530.71	0.00	9,621.27	Total of 2 lots
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS								
	53,000	3,881.14		04/18/11	2,497.38	0.00	1,383.76	Sale Note 26
	201,000	14,719.06		04/19/11	9,502.41	0.00	5,216.65	Sale Note 26
05/09/14	254,000	18,600.20		VARIOUS	11,999.79	0.00	6,600.41	Total of 2 lots
09/05/14	176,000	13,516.02		08/29/13	11,541.48	0.00	1,974.54	Sale Note. 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
	Security total:	58,268.20		40,071.98	0.00	18,196.22	

LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol: LULU

5 tax lots for 01/13/14 Total proceeds (and cost when required) reported to the IRS

470 000	23,620.28	05/03/12	37,765.50	0.00	-14,145.22	Sale
248 000	12,463.47	05/22/12	17,328.50	0.00	-4,865.03	Sale
300 000	15,076.78	06/07/12	19,251.15	0.00	-4,174.37	Sale
195 000	9,799.90	06/18/12	12,255.13	0.00	-2,455.23	Sale
210 000	10,553.75	07/02/12	12,090.20	0.00	-1,536.45	Sale
1,423 000	71,514.18	VARIOUS	98,690.48	0.00	-27,176.30	Total of 5 lots

MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA

2 tax lots for 08/20/14 Total proceeds (and cost when required) reported to the IRS

755 000	57,516.29	02/27/12	31,713.24	0.00	25,803.05	Sale
450 000	34,281.23	05/14/13	25,561.49	0.00	8,719.74	Note 26
1,205 000	91,797.52	VARIOUS	57,274.73	0.00	34,522.79	Total of 2 lots

2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS

115 000	8,805.37	02/27/12	4,830.49	0.00	3,974.88	Sale
20 000	1,531.37	03/09/12	838.28	0.00	693.09	Note 26
135 000	10,336.74	VARIOUS	5,668.77	0.00	4,667.97	Total of 2 lots

2 tax lots for 10/10/14 Total proceeds (and cost when required) reported to the IRS

500 000	35,552.21	03/09/12	20,957.01	0.00	14,595.20	Sale
530 000	37,685.35	06/14/12	22,024.34	0.00	15,661.01	Sale
1,030 000	73,237.56	VARIOUS	42,981.35	0.00	30,256.21	Total of 2 lots
Security total:	175,371.82		105,924.85	0.00	69,446.97	

MOHAWK INDUSTRIES INC COMMON STOCK / CUSIP: 608190104 / Symbol: MHK

2 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS

27 000	3,653.04	02/22/13	2,845.75	0.00	807.29	Sale
						Note 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MOHAWK INDUSTRIES INC COMMON STOCK / CUSIP: 608190104 / Symbol: MHK (cont'd)	186 000	25,165.39	25,165.39	04/09/13	21,082.26	0.00	4,083.13	Sale Note: 26
05/09/14	213.000	28,818.43	28,818.43	VARIOUS	23,928.01	0.00	4,890.42	Total of 2 lots
3 tax lots for 06/09/14 Total proceeds (and cost when required) reported to the IRS								
	409 000	55,810.25	55,810.25	01/24/13	41,031.13	0.00	14,779.12	Sale
	168 000	22,924.51	22,924.51	02/22/13	17,706.87	0.00	5,217.64	Sale
	140 000	19,103.75	19,103.75	06/07/13	15,487.86	0.00	3,615.89	Sale
06/09/14	717.000	97,838.51	97,838.51	VARIOUS	74,225.86	0.00	23,612.65	Total of 3 lots
Security total:								
		126,656.94	126,656.94		98,153.87	0.00	28,503.07	
MONSTER BEVERAGE CORP COM / CUSIP: 611740101 / Symbol: MNST	582.000	40,999.26	40,999.26	06/28/12	39,858.68	0.00	1,140.58	Sale Note: 26
05/13/14								
4 tax lots for 06/05/14 Total proceeds (and cost when required) reported to the IRS								
	177.000	12,046.81	12,046.81	06/28/12	12,121.97	0.00	-75.16	Sale Note: 26
	322.000	21,915.68	21,915.68	08/07/12	21,907.95	0.00	7.73	Sale Note: 26
	45.000	3,062.75	3,062.75	08/10/12	2,514.53	0.00	548.22	Sale Note: 26
	158.000	10,753.65	10,753.65	08/16/12	9,480.76	0.00	1,272.89	Sale Note: 26
06/05/14	702.000	47,778.89	47,778.89	VARIOUS	46,025.21	0.00	1,753.68	Total of 4 lots
5 tax lots for 08/27/14 Total proceeds (and cost when required) reported to the IRS								
	163.000	14,125.84	14,125.84	08/10/12	9,108.18	0.00	5,017.66	Sale
	11.000	953.28	953.28	09/20/12	608.12	0.00	345.16	Sale
	260.000	22,532.01	22,532.01	09/21/12	14,164.57	0.00	8,367.44	Sale
	360.000	31,198.17	31,198.17	10/24/12	16,405.24	0.00	14,792.93	Sale
	343.000	29,724.92	29,724.92	07/31/13	20,960.21	0.00	8,764.71	Sale
08/27/14	1,137.000	98,534.22	98,534.22	VARIOUS	61,246.32	0.00	37,287.90	Total of 5 lots
Security total:								
		187,312.37	187,312.37		147,130.21	0.00	40,182.16	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1d- Proceeds & 6- Reported (G)ross (N)et	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NIKE INC CL B / CUSIP: 654106103 / Symbol NKE								
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS								
	203 000	16,339 72	02/21/13		11,077 63	0 00	5,262.09	Sale Note 26
	160 000	12,878 60	07/17/13		10,175 07	0 00	2,703 53	Sale Note 26
09/05/14	363 000	29,218 32	VARIOUS		21,252 70	0.00	7,965.62	Total of 2 lots

PRECISION CASTPARTS CORP COMMON STOCK / CUSIP: 740189105 / Symbol PCP

2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS.								
	17 000	4,088 92	03/20/13		3,316.06	0 00	772 86	Sale Note: 26
	69 000	16,596 20	08/21/13		14,876 45	0 00	1,719.75	Sale Note: 26
09/05/14	86 000	20,685 12	VARIOUS		18,192.51	0 00	2,492.61	Total of 2 lots

PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol PCLN

2 tax lots for 02/18/14 Total proceeds (and cost when required) reported to the IRS.								
	45 000	58,666 24	06/21/11		21,743 49	0 00	36,922 75	Sale Note: 26
	29 000	37,807 13	11/23/11		13,569.51	0 00	24,237 62	Sale Note 26
02/18/14	74 000	96,473.37	VARIOUS		35,313 00	0 00	61,160 37	Total of 2 lots

QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol QCOM

05/22/14	329 000	26,251 45	04/25/13		20,452 71	0 00	5,798.74	Sale
----------	---------	-----------	----------	--	-----------	------	----------	------

RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol RL

3 tax lots for 06/25/14 Total proceeds (and cost when required) reported to the IRS.								
	231 000	36,086 97	05/26/11		27,118 79	0 00	8,968 18	Sale Note: 26
	177 000	27,651 05	05/27/11		21,913 57	0 00	5,737 48	Sale Note 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014 1099-B*

(continued)

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)et	1d- Proceeds & Reported (G)/ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
			12,653.87	07/25/12	11,466.53	0.00		1,187.34 Sale Note: 26
06/25/14	489,000		76,391.89	VARIOUS	60,498.89	0.00		15,893.00 Total of 3 lots
REGENERON PHARMACEUTICALS INC / CUSIP: 75886F107 / Symbol: REGN								
2 tax lots for 12/23/14 Total proceeds (and cost when required) reported to the IRS								
	21,000		8,176.37	11/25/13	6,165.92	0.00		2,010.45 Sale Note: 26
	84,000		32,705.47	11/27/13	24,526.45	0.00		8,179.02 Sale Note: 26
12/23/14	105,000		40,881.84	VARIOUS	30,692.37	0.00		10,189.47 Total of 2 lots
SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM								
01/27/14	499,000		28,686.14	03/24/11	16,028.30	0.00		12,657.84 Sale Note: 26
2 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS								
	351,000		21,644.63	03/24/11	11,274.42	0.00		10,370.21 Sale Note: 26
	393,000		24,234.59	08/09/11	12,616.11	0.00		11,618.48 Sale Note: 26
02/07/14	744,000		45,879.22	VARIOUS	23,890.53	0.00		21,988.69 Total of 2 lots
	Security total:		74,565.36		39,918.83	0.00		34,646.53
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 808857108 / Symbol: SLB								
09/05/14	180,000		19,147.49	12/17/12	12,539.07	0.00		6,608.42 Sale Note: 26
4 tax lots for 09/11/14 Total proceeds (and cost when required) reported to the IRS								
	13,000		1,344.79	09/23/11	797.52	0.00		547.27 Sale Note: 26
	80,000		8,275.65	10/06/11	4,988.12	0.00		3,287.53 Sale Note: 26
	122,000		12,620.36	12/14/12	8,389.83	0.00		4,230.53 Sale Note: 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 9949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & If- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
SCHLUMBERGER LTD NETHERLANDS ANTILLES	36 000	FOREIGN STOCK / CUSIP 806857108 / Symbol: SLB (cont'd)	3,724 04	12/17/12	2,507.81	0 00	1,216 23	Sale Note. 26
09/11/14	251 000		25,964 84	VARIOUS	16,683.28	0.00	9,281.56	Total of 4 lots
09/18/14	360 000		37,646 08	09/23/11	22,085.13	0.00	15,560 95	Sale Note. 26
Security total.			82,758 41		51,307 48	0 00	31,450.93	
SERVICENOW INC / CUSIP 81762P102 / Symbol: NOW								
02/27/14	358 000		25,494.19	01/03/13	10,654.17	0 00	14,840.02	Sale Note 26
2 tax lots for 10/23/14 Total proceeds (and cost when required) reported to the IRS	265 000		16,927 51	01/03/13	7,886 46	0 00	9,041.05	Sale Note: 26
10/23/14	13 000		830 40	06/03/13	472 36	0 00	358 04	Sale Note 26
	278 000		17,757 91	VARIOUS	8,358.82	0 00	9,399.09	Total of 2 lots
2 tax lots for 11/12/14 Total proceeds (and cost when required) reported to the IRS	121 000		8,213 47	01/03/13	3,600 99	0 00	4,612 48	Sale Note 26
11/12/14	157 000		10,657.14	01/24/13	4,568 74	0 00	6,088 40	Sale Note 26
	278 000		18,870 61	VARIOUS	8,169 73	0 00	10,700 88	Total of 2 lots
Security total.			62,122.71		27,182 72	0 00	34,939.99	
SHERWIN WILLIAMS CO COMMON STOCK / CUSIP 824348106 / Symbol: SHW								
09/05/14	56 000		12,208.13	08/30/13	9,682 20	0 00	2,525 93	Sale Note. 26
UNION PACIFIC CORP COMMON STOCK / CUSIP 907818108 / Symbol: UNP								
3 tax lots for 02/11/14 Total proceeds (and cost when required) reported to the IRS	58 000		10,237 18	04/27/12	6,598 05	0 00	3,639 13	Sale
	220 000		38,830 69	05/15/12	24,780 51	0 00	14,050 18	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

Account TX 02885

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP (cont'd)							
	71 000	12,531 72	07/30/12	8,735.42	0 00	3,796 30	Sale
02/11/14	349 000	61,599 59	VARIOUS	40,113 98	0 00	21,485 61	Total of 3 lots
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP: 913017109 / Symbol: UTX							
05/07/14	370 000	43,230 99	03/15/12	32,060.61	0 00	11,170.38	Sale Note: 26
06/04/14	142 000	16,625.42	03/15/12	12,304.34	0 00	4,321 08	Sale Note: 26
09/05/14	107 000	11,665 36	03/15/12	9,271.58	0 00	2,393 78	Sale Note: 26
4 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS							
	668 000	67,959 16	03/15/12	57,882 40	0 00	10,076 76	Sale
	243 000	24,721 67	04/11/12	19,334 42	0 00	5,387 25	Sale
	144 000	14,649 88	07/30/12	10,818 30	0 00	3,831 58	Sale
	163 000	16,582 85	08/03/12	12,523 65	0 00	4,059 20	Sale
10/17/14	1,218 000	123,913 56	VARIOUS	100,558.77	0 00	23,354.79	Total of 4 lots
	Security total:	195,435.33		154,195.30	0 00	41,240.03	
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH							
2 tax lots for 02/24/14 Total proceeds (and cost when required) reported to the IRS							
	26 000	1,979 07	04/26/12	1,511 13	0 00	467 94	Sale Note 26
	646 000	49,172 18	05/24/12	36,213 73	0 00	12,958 45	Sale Note 26
02/24/14	672 000	51,151 25	VARIOUS	37,724.86	0 00	13,426 39	Total of 2 lots
2 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS							
	241 000	18,313 61	05/11/12	13,339 28	0 00	4,974 33	Sale Note 26
	17 000	1,291 83	05/24/12	952 99	0 00	338 84	Sale Note 26
02/27/14	258 000	19,605 44	VARIOUS	14,292 27	0 00	5,313 17	Total of 2 lots
2 tax lots for 04/14/14. Total proceeds (and cost when required) reported to the IRS							
	356 000	28,120 04	05/11/12	19,704 49	0 00	8,415 55	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Proceeds from Broker and Barter Exchange Transactions

(continued)

Account TX 02885

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) / Symbol	1d- Proceeds & Reported (Net) / Symbol	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed (X)	Gain or loss(-) & Additional information
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH (cont'd)								
	390 000	30,805.66	30,805.66	07/25/12	20,439.94	0.00		10,365.72 Sale
04/14/14	746 000	58,925.70	58,925.70	VARIOUS	40,144.43	0.00		18,781.27 Total of 2 lots
Security total:		129,682.39	129,682.39		92,161.56	0.00		37,520.83
VISA INC CL A / CUSIP: 92826C839 / Symbol: V								
01/27/14	131 000	28,314.49	28,314.49	04/13/11	10,158.75	0.00		18,155.74 Sale Note 26
03/24/14	215 000	47,352.36	47,352.36	04/13/11	16,672.76	0.00		30,679.60 Sale Note 26
2 tax lots for 11/05/14 Total proceeds (and cost when required) reported to the IRS	220 000	54,878.89	54,878.89	04/12/11	16,977.25	0.00		37,901.64 Sale Note 26
11/05/14	94 000	23,448.25	23,448.25	04/13/11	7,289.48	0.00		16,158.77 Sale Note 26
314 000	78,327.14	78,327.14	78,327.14	VARIOUS	24,266.73	0.00		54,060.41 Total of 2 lots
Security total:		153,993.99	153,993.99		51,098.24	0.00		102,895.75
VMWARE INC CL A / CUSIP: 928563402 / Symbol: VMW								
2 tax lots for 01/16/14 Total proceeds (and cost when required) reported to the IRS	96 000	9,541.31	9,541.31	07/03/12	8,780.31	0.00		761.00 Sale Note 26
01/16/14	90 000	8,944.98	8,944.98	07/19/12	8,192.05	0.00		752.93 Sale Note 26
186 000	18,486.29	18,486.29	18,486.29	VARIOUS	16,972.36	0.00		1,513.93 Total of 2 lots
2 tax lots for 03/18/14 Total proceeds (and cost when required) reported to the IRS	210 000	23,154.83	23,154.83	06/29/12	18,841.87	0.00		4,312.96 Sale Note 26
03/18/14	139 000	15,326.29	15,326.29	07/19/12	12,652.16	0.00		2,674.13 Sale Note 26
349 000	38,481.12	38,481.12	38,481.12	VARIOUS	31,494.03	0.00		6,987.09 Total of 2 lots
2 tax lots for 04/04/14 Total proceeds (and cost when required) reported to the IRS	493 000	51,629.86	51,629.86	06/29/12	44,233.54	0.00		7,396.32 Sale Note 26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

** For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**								
VMWARE INC CL A / CUSIP 928563402 / Symbol: VMW (cont'd)	106 000	11,100.94	11,100.94	08/01/12	9,438.81	0.00		1,662.13 Sale Note 26
04/04/14	599 000	62,730.80	62,730.80	VARIOUS	53,672.35	0.00		9,058.45 Total of 2 lots
2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS								
	106 000	10,170.85	10,170.85	08/01/12	9,438.80	0.00		732.05 Sale Note 26
09/05/14	82 000	7,868.02	7,868.02	03/22/13	6,549.53	0.00		1,318.49 Sale Note 26
	188 000	18,038.87	18,038.87	VARIOUS	15,988.33	0.00		2,050.54 Total of 2 lots
Security total		137,737.08	137,737.08		118,127.07	0.00		19,610.01
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA								
04/16/14	1,162,000	45,411.67	45,411.67	03/05/13	41,839.62	0.00		3,572.05 Sale
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK								
03/27/14	1,162,000	45,847.79	45,847.79	03/05/13	40,400.54	0.00		5,447.25 Sale Note: 26
MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP G60754101 / Symbol KORS								
2 tax lots for 02/04/14. Total proceeds (and cost when required) reported to the IRS								
	247 000	22,705.30	22,705.30	09/25/12	13,407.55	0.00		9,297.75 Sale Note 26
	260 000	23,900.31	23,900.31	12/18/12	13,715.30	0.00		10,185.01 Sale Note 26
02/04/14	507,000	46,605.61	46,605.61	VARIOUS	27,122.85	0.00		19,482.76 Total of 2 lots
Totals:		3,473,740.06	3,473,740.06		2,384,066.42	0.00		1,089,673.64

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account TX 02885

Proceeds Not Reported to the IRS

2014.

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLERGAN INC COMMON STOCK / CUSIP: 018490102 / Symbol: AGN	587 000	97,206.23		12/08/09	34,580 17	0.00	62,626 06	Sale
AMAZON.COM INC / CUSIP 023135106 / Symbol: AMZN								
2 tax lots for 01/10/14	8 000		3,167 29	07/08/10	929 73	0 00	2,237 56	Sale Note 26
	51 000		20,191 50	08/18/10	6,627 23	0 00	13,564 27	Sale
01/10/14	59 000		23,358 79	VARIOUS	7,556 96	0 00	15,801 83	Note 26 Total of 2 lots
09/11/14	94,000		30,912.93	07/08/10	10,924 32	0 00	19,988 61	Sale
Security total:			54,271.72		18,481.28	0.00	35,790.44	Note: 26
APPLE INC / CUSIP: 037833100 / Symbol: AAPL								
06/10/14	117 000		11,021.53	12/08/09	3,195.81	0 00	7,825.72	Sale
09/05/14	461,000		45,375 23	12/08/09	12,592 05	0 00	32,783 18	Note: 26
Security total:			56,396.76		15,787 86	0 00	40,608 90	Note: 26
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP 228227104 / Symbol								
4 tax lots for 10/07/14	421 000		34,120 17	05/19/10	15,046 50	0 00	19,073 67	Sale
	494 000		40,036 49	05/20/10	17,284 52	0 00	22,751 97	Sale
	350 000		28,365 94	05/21/10	12,505 50	0 00	15,860 44	Sale
	238 000		19,288 83	05/25/10	8,386 14	0 00	10,902 69	Sale
10/07/14	1,503,000		121,811 43	VARIOUS	53,222 66	0 00	68,588 77	Total of 4 lots
DANAHER CORP COMMON STOCK / CUSIP 235851102 / Symbol: DHR								
2 tax lots for 06/20/14	160 000		12,816 90	09/08/10	6,337 98	0 00	6,478 92	Sale
	363 000		29,078 35	12/03/10	16,122 21	0 00	12,956 14	Note 26
06/20/14	523 000		41,895 25	VARIOUS	22,460 19	0 00	19,435 06	Sale Note 26 Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account TX 02885

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DANAHER CORP COMMON STOCK / CUSIP: 235851102 / Symbol: DHR (cont'd)							
08/12/14	139 000	10,324 54	09/08/10	5,506.13	0.00	4,818.41	Sale Note: 26
09/05/14	151 000	11,622.89	09/08/10	5,981.47	0.00	5,641.42	Sale Note: 26
Security total:		63,842.68		33,947.79	0.00	29,894.89	
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN							
02/18/14	3 000	3,911.08	06/11/10	543.38	0.00	3,367.70	Sale Note: 26
2 tax lots for 09/05/14							
15 000		17,930.75	06/11/10	2,716.89	0.00	15,213.86	Sale Note: 26
2 000		2,390.77	07/06/10	361.95	0.00	2,028.82	Sale Note: 26
09/05/14	17 000	20,321.52	VARIOUS	3,078.84	0.00	17,242.68	Total of 2 lots
Security total:		24,232.60		3,622.22	0.00	20,610.38	
QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol: QCOM							
02/18/14	1,310 000	99,312.38	12/08/09	58,882.80	0.00	40,429.58	Sale Note: 26
05/22/14	1,868 000	149,050.78	12/08/09	83,964.17	0.00	65,086.61	Sale
Security total:		248,363.16		142,846.97	0.00	105,516.19	
SHERWIN WILLIAMS CO COMMON STOCK / CUSIP: 824348106 / Symbol: SHW							
05/08/14	244 000	48,398.18	12/08/09	14,986.91	0.00	33,411.27	Sale Note: 26
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP							
02/11/14	603 000	106,431.39	12/08/09	38,574.52	0.00	67,856.87	Sale
Totals:		820,954.15		356,050.38	0.00	464,903.77	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ABBAY CAPITAL FUND K-1	4.	4.	
JP MORGAN	5.	5.	
SANFORD BERNSTEIN & CO 716	32.	32.	
SANFORD BERNSTEIN & CO 748	1.	1.	
UBS TX 02885	110.	110.	
UBS TX 13055 S4	31.	31.	
UBS TX 15684	13.	13.	
UBS TX 15685	79.	79.	
UBS TX 15850	5.	5.	
TOTAL TO PART I, LINE 3	280.	280.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SANFORD BERNSTEIN & CO.681	32,756.	3,391.	29,365.	29,365.	
SANFORD BERNSTEIN & CO.716	158,081.	0.	158,081.	158,081.	
SANFORD BERNSTEIN & CO.748	421,144.	120,363.	300,781.	300,781.	
SANFORD BERNSTEIN & CO.750	102,270.	55,626.	46,644.	46,644.	
SANFORD BERNSTEIN & CO.753	16,542.	0.	16,542.	16,542.	
SANFORD BERNSTEIN & CO.754	142,186.	120,615.	21,571.	21,571.	
UBS TX 02885	73,468.	0.	73,468.	73,468.	
UBS TX 13055 S4	7,224.	1.	7,223.	7,223.	
UBS TX 15684	13,791.	0.	13,791.	13,791.	
UBS TX 15685	117,632.	0.	117,632.	117,632.	
UBS TX 15850	36,356.	0.	36,356.	36,356.	
TO PART I, LINE 4	1,121,450.	299,996.	821,454.	821,454.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION SETTLEMENTS	1,826.	1,826.	
MISCELLANEOUS OTHER GAINS	174.	174.	
ABBEY CAPITAL FUND K-1	164.	164.	
OTHER INCOME	402.	402.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,566.	2,566.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	7,238.	724.		6,514.
TO FM 990-PF, PG 1, LN 16A	7,238.	724.		6,514.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WEALTH MANAGEMENT	11,355.	1,136.		10,219.
JAMES R. MINCZEWSKI, CPA	3,975.	398.		3,577.
TO FORM 990-PF, PG 1, LN 16B	15,330.	1,534.		13,796.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SANFORD BERNSTEIN & CO	74,713.	74,713.		0.	
UBS FEES	207,819.	207,819.		0.	
CONTRACT LABOR	36,965.	0.		36,965.	
TO FORM 990-PF, PG 1, LN 16C	319,497.	282,532.		36,965.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN WITHHOLDING TAXES	24,606.	24,606.		0.	
FEDERAL EXCISE TAX	51,966.	0.		0.	
REAL ESTATE TAXES	194.	194.		0.	
TO FORM 990-PF, PG 1, LN 18	76,766.	24,800.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DUES AND MEMBERSHIP EXPENSES	1,635.	0.		1,635.	
STORAGE AND SAFE DEPOSIT RENTAL	260.	260.		0.	
COMPUTER SUPPLIES & SERVICES	19,722.	0.		19,722.	
INSURANCE	7,560.	0.		7,560.	
POSTAGE & EXPRESS MAIL	538.	0.		538.	
APPRAISAL FEE	3,000.	0.		3,000.	
MISCELLANEOUS	49.	0.		49.	
ABBAY CAPITAL FUND K-1	7,320.	7,320.		0.	
OFFICE SUPPLIES	8,572.	0.		8,572.	
ABBAY CAPITAL FUND K-1-NONDEDUCTIBLE	2,510.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	51,166.	7,580.		41,076.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
BERNSTEIN 716	6,297,808.	7,689,978.	
BERNSTEIN 748	7,697,373.	7,628,955.	
BERNSTEIN 750	2,910,373.	2,680,389.	
BERNSTEIN 754	859,994.	1,139,310.	
BERNSTEIN 753	0.	0.	
UBS 02885	6,648,314.	9,189,997.	
UBS 13055	1,093,381.	1,229,101.	
UBS 15684	482,860.	510,522.	
UBS 15685	4,503,452.	4,988,028.	
UBS 15850	530,721.	513,904.	
BERNSTEIN 681	392,900.	401,379.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	31,417,176.	35,971,563.	

FORM 990-PF	OTHER ASSETS	STATEMENT	10
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
COLLECTION OF RARE COINS-PROGRAM ASSETS	15,885,774.	15,447,624.	39,993,500.
ABBEY CAPITAL FUND PARTNERSHIP	298,999.	0.	0.
FURNITURE & FIXTURES	0.	2,821.	2,821.
TO FORM 990-PF, PART II, LINE 15	16,184,773.	15,450,445.	39,996,321.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
PAYROLL TAX PAYABLE	0.	3,859.	
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	3,859.	

Harry Bass Foundation
2014

Information About Officers, Directors,
Trustees, Foundation Managers, Highly
Paid Employees, and Contractors
Form 990-PF, Part VIII, Line 1

Name and Address	Title	Hours of work/wk	Amount paid	Paid to benefit plans	Deferred comp	Expense account
Doris L Bass 4809 Cole Avenue, Suite 105 Dallas, Texas 75205	President, Trustee	5	0	0	0	0
Michael L Calhoun 4809 Cole Avenue, Suite 105 Dallas, Texas 75205	V-P,Treas Trustee	10	37,101	0	0	0
F David Calhoun 4809 Cole Avenue, Suite 105 Dallas, Texas 75205	Executive Director, Secretary	40	139,725	8,024	0	0
J Michael Wylie 4809 Cole Avenue, Suite 105 Dallas, Texas 75205	Trustee	5	6,000	0	0	0
				182,826	8,024	0
					0	0

HARRY W BASS, JR FOUNDATION
JANUARY 1, 2014 to DECEMBER 31, 2014

Description	Amount
BOYS AND GIRLS CLUBS OF COLLIN - S M A R T GIRLS PROGRAM	15,434 00
COMMUNITY STOREHOUSE - SUMMER READING IMPROVEMENT, HEALTH & CULTURAL ARTS PROGRAM	10,000 00
DALLAS SERVICES - PROGRAM AND OPERATIONAL SUPPORT	20,000 00
CATHOLIC CHARITIES OF DALLAS, - TOGETHER WE LEARN - WEST DALLAS PROGRAM	10,000 00
AVANCE-DALLAS - WORKPLACE ENGLISH PROGRAM	10,000 00
PREVENT BLINDNESS TEXAS - CHILDREN'S VISION PROGRAM	10,000 00
AMERICAN NUMISMATIC ASSN - INV 12077154 DATED 4/8/2014 - ADDITIONAL INSURANCE	665 14
Dallas Symphony Orchestra - CECIL AND IDA GREEN YOUTH CONCERT SERIES	25,000 00
SMITHSONIAN INSTITUTION, NAT' - MEMORIAL TO RICHARD G DOTY ACQUISITIONS FUND	10,000 00
EDUCATIONAL OPPORTUNITIES, INC - ACADEMIC SCHOLARSHIP	3,000 00
COMMUNITIES IN SCHOOLS - ACADEMIC & SUPPORT SERVICES FOR 140 AT-RISK STUDENTS	10,000 00
AMERICAN NUMISMATIC ASSN - INV 89686 - CORE COLLECTION INS COVERAGE - 5/1/2014-4/30/2015	23,745 58
LITERACY INSTRUCTION FOR TEXAS - GED & ESL ADULT LEARNING PROGRAMS EXPANSION	20,000 00
JUNIOR LEAGUE OF DALLAS - GRANTS FOR INNOVATIVE TEACHING	8,000 00
URBAN LEAGUE OF GREATER - EMPLOYMENT AND CAREER DEVELOPMENT PROGRAM	25,000 00
BRIGHTER TOMORROWS - EMERGENCY SHELTER PROGRAM	10,000 00
BUCKNER CHILDREN - CAMP BUCKNER SCHOLARSHIPS	10,400 00
CROSSROADS COMMUNITY SERVICES - FOOD DISTRIBUTION PROGRAM	10,000 00
THE UNIVERSITY OF TEXAS AT DAL - DIGITAL ART HISTORY LAB	183,500 00
DALLAS ACADEMY - Climate Control Systems (HVAC)	25,000 00
TYLER STREET MANOR - Apartment Kitchen Renovations	25,000 00
WESLEY RANKIN COMMUNITY CENTER - operations, programming, & staffing	30,000 00
THE FAMILY PLACE - Safe Campus	25,000 00
COMMUNITY PARTNERS OF DALLAS - Rainbow Room	15,000 00
CHILD AND FAMILY GUIDANCE CENT - Intensive Serv for Children with Mental	15,000 00
MISSION POSSIBLE KIDS - Texas Kids Changing the World	5,000 00
The Bridge Breast Network - Patient Navigation & Treatment Services	20,000 00
The Hope Center - Food Pantry	5,000 00
CAFE MOMENTUM - PROGRAMMING AND EXPANSION SUPPORT	5,000 00
TRINITY RIVER MISSION - AFTERSCHOOL PROGRAMMING	10,000 00
AMERICAN NUMISMATIC ASSN - KENNETH BRESSETT INV	1,500 00
AMERICAN NUMISMATIC ASSN - OSBORNE, PARSONS & ROSACKER LLP INV	1,175 00
DME EXCHANGE OF DALLAS - CAPACITY BUILDING	15,000 00
Baylor Health Care System Foun - 2014 GRANT	1,000 00
SOUTHWESTERN DIABETIC FOUNDATI - CAMP SWEENEY	10,000 00
CHILD CARE GROUP - EARLY CARE & EDUCATION CENTER PROGRAMS	20,000 00
CAPTAIN HOPE'S KIDS - PROGRAM MISSION SERVICES	10,000 00
HEALING HANDS MINISTRIES - HEALTH AND DENTAL SERVICES FOR CHILDREN	10,000 00
FAMILY COMPASS - HEALTHY FAMILIES PROGRAM	10,000 00
REASONING MIND, INC - TEXAS MISSION TO MARS (1ST PYMT OVER 3 YEARS)	100,000 00
AUSTIN STREET CENTER - WORK READINESS PROGRAM	10,000 00
DALLAS ZOOLOGICAL SOCIETY - WILD EARTH ACADEMY CAPITAL CAMPAIGN	250,000 00
GIRL SCOUTS OF NORTHEAST TEXAS - GIRL SCOUTING IN THE SCHOOL DAY PROGRAM	25,000 00
TEXAS RAMP PROJECT - DALLAS RAMP PROJECT-LUMBER, MATERIAL, & TOOLS	10,000 00
HIGHLAND PARK UNITED METHODIST - MEMORIAL HONORING DOROTHY ROGERS CULLUM	100 00
NEXUS RECOVERY CENTER - MEMORIAL HONORING BILL D SAXON	100 00
HIGHLAND PARK UNITED METHODIST - MEMORIAL HONORING LUTHER LYLE WALKER	100 00
CARE - MEMORIAL HONORING JEANNE O'DWYER	50 00
HIGHLAND PARK HIGH SCHOOL - 13th Annual Fundraiser	1,000 00
ADULT REHABILITATION MINISTRY - 2014 CONTRIBUTION	500 00
JESUS FILM PROJECT - MEMORIAL HONORING NELSON BUNKER HUNT	200 00
SPCA OF TEXAS - DONATION HONORING GENET KETEMA	500 00
SPCA OF TEXAS - DONATION HONORING GEORGA PERAZA	500 00
DALLAS WOMAN'S CLUB FOUNDATION - MEMORIAL HONORING MRS JAMES HERNDON	100 00
THE DALLAS MUSEUM OF ART - DONATION	5,000 00
FIRST UNITED METHODIST CHURCH - MEMORIAL HONORING JUNE WEAVER	100 00
GEORGE W BUSH PRESIDENTIAL CE - WOMEN'S INITIATIVE PROGRAM	1,000 00
CHILDREN'S MEDICAL CENTER FOUN - GRANT	10,000 00
PANCREATIC CANCER ACTION NETWO - MEMORIAL HONORING PATRICIA DALEY HAGGAR	100 00
HARRY RANSOM HUMANITIES RESEAR - EXHIBITION FUND ENDOWMENT - NATIONAL ENDOWMENT FOR THE HUMANITIES CHALLENGE GRANT	20,000 00
HIGHLAND PARK K-LIFE - GENERAL OPERATING SUPPORT	9,000 00
HIGHLAND PARK K-LIFE - GENERAL OPERATING SUPPORT	10,000 00
NORTH CENTRAL TEXAS ACADEMY - GENERAL OPERATING SUPPORT	10,000 00
VINE PRAIRIE BAPTIST CHURCH - MEMORIAL HONORING MR SHELLY BRUCE	100 00
SPRING BRANCH EDUCATION FOUNDA - ACADEMY OF FINANCE (AOF) PROGRAM	5,000 00
HOUSTON MUSEUM OF NATURAL - YOUTH SCIENCE EDUCATION PROGRAMMING	30,000 00
TEXAS WOMEN'S LEAGUE - 2015 GALA	15,000 00
METHODIST HEALTH SYSTEM FOUNDA - CHARLES A SAMMONS TOWER CAPITAL EXPANSION PROJECT	20,000 00
LITERACY INSTRUCTION FOR TEXAS - GENERAL OPERATING SUPPORT	5,000 00
HIGHLAND PARK UNITED METHODIST - MONITOR FOR INTERNET MINISTRY	3,000 00
ST PHILIP'S SCHOOL & COMMUNIT - GENERAL OPERATING SUPPORT	10,000 00
DALLAS CHILDREN'S - GENERAL OPERATING SUPPORT	2,000 00
TOTAL CONTRIBUTIONS FOR 2014	1,226,869 72

Harry Bass Foundation – 2014 Form 990-PF

Effective April 27, 2001 the HARRY BASS FOUNDATION (75-1876307) merged with the HARRY W. BASS, Jr. RESEARCH FOUNDATION (75-2406369). The HARRY BASS FOUNDATION is the surviving Foundation of such merger. Accordingly, the extensive numismatic research, education, collection and display activities formerly conducted by the HARRY W. BASS, Jr. RESEARCH FOUNDATION have been continued by the surviving HARRY BASS FOUNDATION. The following discussion of Direct Charitable Activities generally relate to the HARRY W. BASS, Jr. RESEARCH FOUNDATION which, prior to the merger, was totally involved in the numismatic research, education, and collection matters discussed below.

Summary of Direct Charitable Activities
Part IX-A, Line 1

The Foundation has acquired rare numismatic items through gifts and purchases for use in providing educational and research opportunities in the field of numismatics. The collection of the Foundation is important due to its extent, its extreme rarity and its unique gathering of pattern and die varieties. The Foundation provides an unusual opportunity for research by scholars of numismatics on the history and development of United States patterns and currencies through a collection only matched by that of the Smithsonian Institute.

The Foundation was established in late 1991. During calendar years 1992 through 1994 the Foundation concentrated its activities on compiling a database of the collection for publication. During 1995 the Foundation began a new project to compile a database of the indexes of the major numismatic publications from their inception to date. The database was prepared in a computer format which allows access and search capabilities by any type of computer system. The database system allows researchers to search the vast majority of numismatic research to locate articles of interest. The database has been completed in test form and posted on the Internet for worldwide access. The service is provided free of charge to all interested parties. To the Foundation's knowledge, this database is the first of its kind in the numismatic field. This project was expanded and further refined in 1996 and 1997.

Additional holdings were acquired in 1996 and 1997. Technical support was extended to other numismatic organizations in 1996 and 1997. Also in 1997 the Foundation began the Numismatic Literature Project (NumLit). This project provides a searchable database on the Internet of numismatic periodicals over the last century, now numbering over 80,000 listings. At that time the Foundation maintained an Internet site, free of charge, for the American Numismatic Society (ANS), a 501(c)(3) organization. The Foundation provided hardware, software and support services for this pre-eminent numismatic organization.

During 1998 Mr. Harry W. Bass, Jr. died. The Foundation was the beneficiary of a charitable bequest from his estate of cash and his very extensive, rare and valuable collection of coins and numismatic books.

Prior to 2000 the Foundation qualified as an operating foundation under IRC Section 4942(j)(3). However, due to the sale of certain duplicate coins and related items coupled with a reallocation of assets, the Foundation no longer qualifies as such. Nonetheless, maintenance of the collection and coordination with the American Numismatic Association on projects previously begun continues. Currently, the Foundation makes charitable grants and contributions to a variety of Section 501(c)(3) organizations as well as continuing its participation in appropriate efforts in furtherance of numismatic research