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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

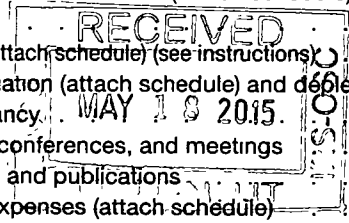
Open to Public Inspection

**For calendar year 2014 or tax year beginning January 1, 2014, and ending December 31, 2014**

Name of foundation <b>Cathay Bank</b>		A Employer identification number <b>74-3052411</b>
Number and street (or P O box number if mail is not delivered to street address) <b>777 North Broadway</b>	Room/suite	B Telephone number (see instructions) <b>626-279-3876</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Los Angeles, CA 90012</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>10,822</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	<b>1,652,597</b>			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total. Add lines 1 through 11</b>	<b>1,652,597</b>				
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	<b>201</b>			<b>201</b>
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 <b>Total operating and administrative expenses. Add lines 13 through 23</b>	<b>201</b>			<b>201</b>
	25 Contributions, gifts, grants paid	<b>1,643,789</b>			<b>1,643,789</b>
26 <b>Total expenses and disbursements. Add lines 24 and 25</b>	<b>1,643,990</b>			<b>1,643,990</b>	
27 Subtract line 26 from line 12:					
a <b>Excess of revenue over expenses and disbursements</b>	<b>8,607</b>				
b <b>Net investment income</b> (if negative, enter -0-)		none			
c <b>Adjusted net income</b> (if negative, enter -0-)			none		

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	2,215	10,822	10,822
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>4</b> Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .			
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
<b>15</b> Other assets (describe ▶ _____ )				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	2,215	10,822	10,822	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____ )			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	2,215	10,822	
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	2,215	10,822		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	2,215	10,822		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,215
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	8,607
<b>3</b> Other increases not included in line 2 (itemize) ▶	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	10,822
<b>5</b> Decreases not included in line 2 (itemize) ▶	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	10,822

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013			
2012			
2011			
2010			
2009			

<b>2</b> Total of line 1, column (d)	<b>2</b>	
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	<b>4</b>	
<b>5</b> Multiply line 4 by line 3	<b>5</b>	
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	
<b>7</b> Add lines 5 and 6	<b>7</b>	
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		
<b>6</b>	Credits/Payments:			
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>		
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ _____ <b>(2)</b> On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		✓
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
<b>8b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>		✓
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		✓
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <a href="https://www.cathaybank.com/Cathay-Foundation/Home/">https://www.cathaybank.com/Cathay-Foundation/Home/</a>	<b>13</b>	✓	
<b>14</b>	The books are in care of ▶ <b>Nancy Morikawa</b> Telephone no. ▶ <b>626-279-3876</b> Located at ▶ <b>9650 Flair Drive, El Monte, CA</b> ZIP+4 ▶ <b>91731</b>			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>			
<b>16</b>	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	<b>16</b>	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . .	<b>1c</b>	✓
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	✓
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	<b>4b</b>	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
**see attached**				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1 Annual Golf Tournament: To raise funds to support charitable worth organizations. Beneficiaries for this year include: (1) Asian American Senior Citizens Service Center, (2) Friends of the Chinatown Library, (3) International Institute of Los Angeles, (4) Irvine Chinese School, (5) CCHC - Herald Family Center,</b>	
<b>2 (6) Pacific Clinics, (7) Taiwanese American Heritage Week, and (8) Cathay Bank Foundation. Expenses incurred for this event was paid directly by Cathay Bank which was \$63,713.96 for this year.</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1 NONE</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	<b>None</b>
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5 . . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	<b>1,643,990</b>
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	<b>1,643,990</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	<b>1,643,990</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2014:				
<b>a</b> Enter amount for 2013 only . . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009 . . . . .				
<b>b</b> From 2010 . . . . .				
<b>c</b> From 2011 . . . . .				
<b>d</b> From 2012 . . . . .				
<b>e</b> From 2013 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ► \$ _____				
<b>a</b> Applied to 2013, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2014 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010 . . . . .				
<b>b</b> Excess from 2011 . . . . .				
<b>c</b> Excess from 2012 . . . . .				
<b>d</b> Excess from 2013 . . . . .				
<b>e</b> Excess from 2014 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
  - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

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  - b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
**Nancy Morikawa**  
**address: Cathay Bank, 9650 Flair Drive, Mail Stop EI-3-H, El Monte, CA 91731; tel: (626) 279-3876; email: nancy.morikawa@cathaybank.com**
- b The form in which applications should be submitted and information and materials they should include:

- \*\*see attached\*\*
- c Any submission deadlines:  
**August 30**
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**\*\*see attached\*\***

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i></p> <p><b>**see attached**</b></p>				<b>1,643,789</b>
<p><b>Total</b> . . . . . ▶ <b>3a</b></p>				<b>1,643,789</b>
<p><b>b</b> <i>Approved for future payment</i></p> <p><b>None</b></p>				<b>0</b>
<p><b>Total</b> . . . . . ▶ <b>3b</b></p>				<b>0</b>





**Schedule of Contributors**

**2014**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

<b>Name of the organization</b> Cathay Bank Foundation	<b>Employer identification number</b> 74-3052411
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**Organization type** (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub> % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>Cathay Bank Foundation</b>	Employer identification number <b>74-3052411</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$ 1,500,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	AT&T 1150 South Olive Street Los Angeles, CA 90013	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Belmont Fibers, Inc. / Greenwood Company 1736 Chapin Road Montebello, CA 90640	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Fidelity National Information Services (FIS) 2001 Summit Park Drive Orlando, FL 92810	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Frantzel Robins Bloom & Csato, L.C. 6500 Wilshire Blvd. 17th Floor Los Angeles, CA 90048	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Great Far East 7700 Irvine Center Drive, Suite 620 Irvine, CA 92618	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

<b>Name of organization</b> Cathay Bank Foundation	<b>Employer identification number</b> 74-3052411
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Laeroc Partners, Inc. 447 Pacific Coast Hwy Hermosa Beach, CA 90254	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	Miller Barondess, LLP 1999 Avenue of the Stars, Suite 1000 Los Angeles, CA 90067	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	Pacific BMW 800 South Brand Blvd. Glendale, CA 91204	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	Recycling Services Alliance, Inc. / Resource Services Alliance, Inc 13324 Saticor Street North Hollywood, CA 91605	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	Velocity Wheel 1000 E. Garvey Avenue Monterey Park, CA 91755	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>Cathay Bank Foundation</b>	Employer identification number <b>74-3052411</b>
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**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	None ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----

<b>Name of organization</b> Cathay Bank Foundation	<b>Employer identification number</b> 74-3052411
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	None ----- -----	----- -----	----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- -----		----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- -----	----- -----	----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- -----		----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- -----	----- -----	----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- -----		----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- -----	----- -----	----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- -----		----- -----	

**Cathay Bank Foundation**  
**Statement of Financial Position as of December 31, 2014**

<b>BALANCE SHEET</b>	Amount	Total
<b>Assets</b>		
Cash and cash equivalent		\$ 10,822 00
<b>Total Assets</b>		
<b>Liabilities</b>		
Accounts Payable:		\$ -
		<u>\$ 10,822 00</u>
<b>Net Assets</b>		
Beginning Balance as of 1/1/2014	\$ 2,215.00	
Change in Net Assets	\$ 8,607.00	\$ 10,822.00
<b>Total Net Assets</b>		<u>\$ 10,822 00</u>

**STATEMENT OF ACTIVITIES**

<b>Support and Revenue</b>		
<i>Corporate Support</i>		
Cathay Bank		\$ 1,500,000 00
<i>Public Support</i>		
Cathay Bank Golf Tournament		\$ 151,497.00
Other Donations		\$ 1,100.00
<b>Total Support and Revenues</b>		<u>\$ 1,652,597.00</u>

**Expenditures**

<i>Contributions, gifts, grants paid</i>		
Advancing Justice (Asian Pacific American Legal Center)	\$	10,000
All Girl Everything (AGEUP)	\$	10,000
AnewAmerica Community Corporation	\$	25,000
APA Family Support Services	\$	15,000
Ascencia	\$	15,000
Asia Society Texas Center	\$	5,000
Asian American Civic Association	\$	9,500
Asian American Senior Citizens Service Center	\$	13,000
Asian Americans for Equality	\$	20,000
Asian Community Development Center	\$	1,000
Asian Pacific American Community Center	\$	20,000
Asian Pacific Community Fund	\$	20,000
Asian Pacific Islander Small Business Program, Inc	\$	30,000
Asian Pacific Women's Center	\$	10,000
Big Brothers and Big Sisters of S. Nevada	\$	10,000
Boston Chinatown Neighborhood Center	\$	6,000
Boys & Girls Club of West San Gabriel Valley	\$	10,000
Boys Town Nevada	\$	8,000
C.C.H C – Herald Family Center	\$	13,000
California Association for Micro Enterprise	\$	10,000
California Community Economic Development Association	\$	25,000
California Reinvestment Coalition	\$	15,000
Center for Employment Opportunities	\$	12,500
Center for the Pacific Asian Family	\$	10,000
Centro Latino for Literacy	\$	20,000

Charity Cultural Service Center	\$	25,000
Chinatown Community Development Center	\$	15,000
Chinatown Partnership, LDC	\$	10,000
Chinatown Service Center	\$	80,000
Chinese American Museum	\$	20,000
Chinese American Planning Council	\$	10,000
Chinese American Service League	\$	35,000
Chinese Civic Center	\$	5,000
Chinese Community Center, Inc	\$	10,000
Chinese Historical Society of Southern California	\$	3,000
Chinese Information Service Center	\$	20,000
Chinese Mutual Aid Association	\$	10,000
Chinese Newcomers Service Center	\$	15,000
Chinese Seniors Association of Houston	\$	2,500
City Scholars	\$	5,000
Coalition for Responsible Community Development	\$	30,000
Common Ground Community HDFC, Inc.	\$	10,000
COR Community Development	\$	10,000
Dallas Chinese Community Center	\$	5,000
Denise Louie Education Center	\$	6,500
Express Advantage	\$	10,000
Family Bridges, Inc	\$	25,000
Fenway Community Development Corp	\$	5,000
Flushing Council on Culture and Arts	\$	10,000
Food Bank for New York City	\$	55,000
Foothill Family Service	\$	10,000
Friends of the Chinatown Library	\$	13,000
Garden of Hope	\$	15,000
Goodwill of S Cal	\$	5,000
Greater Boston Chinese Golden Age Center	\$	3,000
Greenlining Institute	\$	12,000
Henry Street Settlement	\$	10,000
HIRE LA	\$	20,000
Hope of the Valley	\$	10,000
Housing Partnership Development Corporation	\$	25,000
Houston Chinese Youth Camp	\$	2,500
International Institute of Los Angeles	\$	13,000
Irvine Chinese School	\$	13,000
Jewish Free Loan Association	\$	30,000
Junior Achievement of Southern California	\$	80,000
Junior Achievement of Washington	\$	5,000
Kirkland Interfaith Transitional Housing	\$	5,000
Korean American Family Services Center	\$	15,000
Korean Youth & Community Center	\$	15,000
Latino Business Chamber of Greater LA	\$	20,000
Lifeway Network, Inc.	\$	10,000
Little Tokyo Service Center Community Development Corp.	\$	5,000
Los Angeles Sheriff's Professional Association	\$	1,500
MEED Center	\$	5,000
Mercy Housing Lakefront	\$	6,000
Midnight Mission	\$	10,000
Minkwon Center for Community Action	\$	10,000
Mosaic Family Services, Inc.	\$	5,000
National Asian American Coalition	\$	10,000
Neighborhood Housing Services of Los Angeles (NHSLA)	\$	10,000

Network for Teaching Entrepreneurship	\$	10,000	
New Destiny Housing Corp.	\$	10,000	
New Jersey Citizen Action Education Fund	\$	7,400	
No Limits for Deaf Children	\$	5,000	
Oasis	\$	500	
Operation HOPE	\$	50,000	
Operation Nightwatch	\$	10,000	
Opportunity Fund	\$	20,000	
Organization of Chinese Americans - Greater LA (OCA-GLA)	\$	10,000	
Pacific Clinics	\$	10,000	
Pacific Clinics	\$	13,000	
Partners in Community Building (PICB)	\$	20,000	
People Fund (formally The Plan Fund)	\$	5,000	
Prison Entrepreneurship Program	\$	7,500	
Project Access	\$	5,000	
Project Vision, Inc	\$	10,000	
Rising Sun Energy Center	\$	5,000	
Self Help for the Elderly	\$	30,000	
Sierra House, Inc.	\$	10,000	
Southeast Asia Center	\$	10,000	
Southeast Asian Community Center	\$	10,000	
St. Vincent de Paul Village	\$	10,000	
Street Teens	\$	5,000	
Taiwanese American Heritage Week LA Chapter	\$	10,000	
Taiwanese American Heritage Week OC Chapter	\$	3,000	
Tenderloin Neighborhood Development	\$	20,000	
The Bridge Fund of New York	\$	20,000	
The Doe Fund Inc.	\$	16,500	
The HOPE Program	\$	12,500	
The Sophia Way	\$	15,000	
The Women's Home	\$	5,000	
TMC Development Working Solutions	\$	5,000	
Tower Foundation of San Jose State University	\$	10,000	
Tzu Chi Medical Foundation	\$	10,000	
United Way of Southern Nevada	\$	5,000	
Urban Solutions	\$	10,000	
Valley Economic Development Center	\$	25,000	
Vietnamese Association of Illinois	\$	10,000	
Vietnamese Friendship Association	\$	5,000	
Web of Benefit	\$	4,389	
Western Center on Law & Poverty	\$	5,000	
Yolo Mutual Housing	\$	10,000	\$ 1,643,789 00

*Operating and administrative expenses*

Accounting fees	\$	201.00	\$	201 00
Total Operating Expenditures				\$1,643,990 00

Change in Net Assets				<u>\$ 8,607 00</u>
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**Cathay Bank Foundation**

**EIN: 74-3052411**

**2014 Form 990-PF Part I -**

**Question 16b: Operating and Administrative Expenses**

<b>16b. Accounting Fees</b>	<b>Amount (\$)</b>
Attorney's General Register of Charitable Trust	150.00
Department of Justice	20.00
Franchise Tax Board	10.00
Secretary of State	21.00
	<b>201.00</b>

Cathay Bank Foundation  
 EIN: 74-3052411  
 2014 Form 990-PF Part VIII -

Question 1: Information About Officers, Directors, Trustees, Foundation Manager, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position		(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowance
Peter Wu 9650 Flair Drive El Monte, CA 91731	Chairman of the Board, President, and Chief Executive Officer	0 08	-0-	-0-	-0-
Deborah Ching 9650 Flair Drive El Monte, CA 91731	Co-Chairman of the Board	0 25	-0-	-0-	-0-
Alex Lee 9650 Flair Drive El Monte, CA 91731	Director, Chief Financial Officer/Treasurer	0 08	-0-	-0-	-0-
Esther Wee 9650 Flair Drive El Monte, CA 91731	Director and Secretary	2 00	-0-	-0-	-0-
Patrick Lee 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-	-0-
Irwin Wong 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-	-0-
David Lin 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-	-0-
Pin Tai 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-	-0-
Wilson Tang 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-	-0-

### Grant Application

**Instructions:** Please review the Cathay Bank Foundation application process guidelines before completing this form. Please forward this application in electronic format together with any other electronic forms you may consider essential to support your Grant. This will facilitate our overall review and presentation process. All sections of the application must be completed. Remember to include all required attachments, and sign and date the application before your final submission. Please submit the application in duplicate.

#### ORGANIZATION INFORMATION

Name of Organization: \_\_\_\_\_

Federal Tax I.D. Number (EIN): \_\_\_\_\_

Address \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip \_\_\_\_\_

Website: \_\_\_\_\_

Executive Director \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_ Email: \_\_\_\_\_

Principle Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_ Email: \_\_\_\_\_

#### ORGANIZATION BACKGROUND

1) Type of organization (check all that apply(s))  501c(3)  CDFI  CDC  other, please specify: \_\_\_\_\_

2) Year of Establishment (e.g. 1982) \_\_\_\_\_

3) What geographic area(s) does your organization serve? \_\_\_\_\_

4) What target market does your organization serve? \_\_\_\_\_

5) Briefly provide an overview of your organization (attach separate sheet if necessary). \_\_\_\_\_

#### GRANT INFORMATION

6) Amount Requested: \$ \_\_\_\_\_ 7) Event/Project Title \_\_\_\_\_

8) Description of target market and estimated number of people who will benefit from this event/project.

9) Briefly explain how funds requested will be used to serve the low- to moderate- income population and what percentage of that population will be served?(attach separate sheet if necessary) \_\_\_\_\_

10) Has the organization received a grant from Cathay Bank in the last three years?  No  Yes  
 If yes, during what year, what amounts, and explain how funds were applied

Year	Purpose	Amount

11) Please list any Cathay Bank employees involved in your organization and their roles.  
 \_\_\_\_\_  
 \_\_\_\_\_

**SUPPORTING DOCUMENTS**

Please submit a copy of the following documents together with the completed application form:

- Cover letter, including mission of the organization, total amount requested and purpose of funds,
- A list of all trustees or directors and officers, including names, addresses, and contact number;
- A copy of your tax exemption letter from the IRS indicating tax exempt 501c(3) status;
- Operating budget for the past two years,
- Audited financial statements (minimum 2 years);
- Itemized project costs related to the program;
- A description of the organization with a brief history, specific programs offered and program objectives, significant highlights of the organization, number of people and geographic areas served, and staff list and job titles; (This may also be included in electronic format)
- A list of major corporate and foundation contributors and amounts contributed for the past three years; and
- Marketing/Communications materials and Annual Report (if available) or brochures describing programs and services.

**AUTHORIZATION**

The undersigned, an authorized officer of the organization, does hereby certified that the information contained in this application is true and correct, and if the organization is awarded a grant, the grant will be used only for the purpose(s) outlined in the grant application letter and the grant may not be used for any other purpose(s) without prior written approval from Cathay Bank Foundation.

Authorized Officer (Signature) \_\_\_\_\_ Title \_\_\_\_\_ Date of Application \_\_\_\_\_

**APPLICATION SUBMISSION SUMMARY**

Please forward the following documents electronically to [Nancy Morikawa@CathayBank.com](mailto:Nancy.Morikawa@CathayBank.com) as it will greatly assist us in preparing and presenting your application to the Foundation for their final consideration

- Cathay Bank Foundation Grant Application (**word doc format**)
- Any other electronic forms you may consider essential to support your Grant

**Mail, in duplicate, the documents listed in the Supporting Documents section to**

Cathay Bank Foundation  
9650 Flair Drive  
Mail Stop EL-3-H  
El Monte, CA 91731

# Cathay Bank Foundation

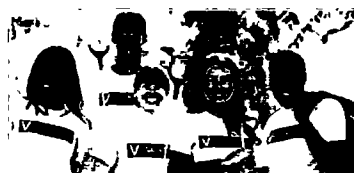
enhance the growth and success of communities

Home

## Cathay Foundation

The Cathay Bank Foundation was initially founded in 2002 for the purpose of raising and administering funds for community-based nonprofit organizations

### Community



The Cathay Bank Foundation serves community needs in eight states, California, New York, Illinois, Washington, Texas, Massachusetts, Nevada and New Jersey

With offices in these states, the Foundation seeks to work with well-established nonprofit organizations to pioneer approaches and to reach communities

### The Foundation



The Cathay Bank Foundation, an affiliate of Cathay Bank, is a nonprofit organization

The Foundation's mission is to enhance the growth and success of communities in which the Bank serves. The Foundation's ultimate objective is to create opportunities in areas of affordable housing, community and economic development, and education. The Foundation will also consider supporting culture and arts, health and welfare, environmental and human services and programs that benefit the communities at large.

## Cathay Bank Foundation

- [Our Grant Focus](#)
- [Frequently Asked Questions](#)
- [Application Guidelines](#)

### "IMPORTANT ANNOUNCEMENT"

We will no longer accept unsolicited proposals from any organization. All unsolicited grant proposals and/or sponsorship requests will be declined.

All nonprofit organizations wishing to apply for a grant through the Cathay Bank Foundation must first submit a Letter of Intent (LOI). Once your LOI has been received, it will be reviewed for Grant consideration. If the request is within our Grant guidelines and budget, your organization may be invited to complete our full grant application form for consideration.

### [Accepting 2015 Letter of Interest](#)



**Cathay Bank Foundation**

**EIN: 74-3052411**

**2014 Form 990PF Part XV –**

**Question 3A: Grants and Contribution Paid During the Year**

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Acencia	1851 Tyburn St.	Glendale	CA	91204	n/a	501(c)(3)	Financial Literacy Program and Annual Gala sponsorship - project will target 150 adult clients who have committed to participate in services for at least 30 days. This includes new clients and formerly homeless adults living in permanent supportive housing.	\$ 15,000
Advancing Justice (Asian Pacific American Legal Center)	1145 Wilshire Blvd 2nd Floor	Los Angeles	CA	90017	n/a	501(c)(3)	Help sustain Advancing Justice - LA's work to serve, empower and advocate on behalf of the Asian American, Native Hawaiian and Pacific Islander communities. For instance, we can continue to serve a growing number of low- to moderate-income clients in need of legal services in a variety of issues such as immigration and citizenship.	\$ 10,000
All Girl Everything Ultimate Program (AGE UP)	3801 Beacon Ave South	Seattle	WA	98108	n/a	501(c)(3)	Project engages roughly 100 youth of color in South Seattle in intensive leadership development, and increase access to physical activity among approximately 200 elementary school youth at our spring clinics and over 300 middle and high school youth at our open practices and tournament events.	\$ 10,000
AnewAmerica Community Corporation	1918 University Avenue Suite A	Berkeley	CA	94704	n/a	501(c)(3)	Financial Literacy Education program & Business Incubator program - Offers sustainable solutions for low-income immigrant entrepreneurs to move out of poverty. VBI is a three-year comprehensive approach that provides new Americans with the tools and know-how.	\$ 25,000
APA Family Support Services	10 Nottingham Place	San Francisco	CA	94133	n/a	501(c)(3)	Family Economic Success Project - help low-income and working-poor Asian and Pacific Islanders (APIs) to develop the work skills and life skills they need to find and retain good jobs in order to achieve financial stability for their families.	\$ 15,000
Asian American Civic Association	87 Tyler Street	Boston	MA	02111	n/a	501(c)(3)	"Secure Our Future" Capital Campaign to purchase the existing facility and expand and develop AACA program capacity to meet the changing needs of the target service population.	\$ 9,500
Asian Americans for Equality	108 Norfolk Street	New York	NY	10002	n/a	501(c)(3)	General operating support for affordable housing and community development including, housing, legal, immigration and community service.	\$ 20,000
Asian Community Development Center	38 Oak St.	Boston	MA	2111	n/a	501(c)(3)	New affordable housing project - provide affordable housing for low income families and create community benefits. Also enable ACCDC to provide vital home ownership education, financial literacy knowledge and housing counseling to families in need.	\$ 1,000
Asian Pacific American Community Center (APACC)	2440 Bayshore Blvd	San Francisco	CA	94134	n/a	501(c)(3)	Project HOPE - to continue to hold public safety and awareness workshops led by expert speakers in the fields and community leaders. We are also looking forward to continuing our efforts to help conquer the digital divide with this community and are offering computer literacy courses.	\$ 20,000
Asian Pacific Community Fund	315 W 9th Street Suite 301	Los Angeles	CA	90015	n/a	501(c)(3)	General operating support and sponsorship of their 8th Annual Giving for All Seasons Gala. Funds used to support the ongoing operations and programs of APCF, APCF and its Affiliate Agencies serve 250,000 people annually, 80% of whom are of low income.	\$ 20,000
Asian Pacific Islander Small Business Program	231 East Third Street	Los Angeles	CA	90013	n/a	501(c)(3)	Program Operating Support - API SBP will continue to provide free individual business consulting and pertinent workshops to over 2500 entrepreneurs annually. Our client population is low- and moderate-income Asian immigrants who are having difficulty establishing or growing their businesses.	\$ 30,000
Asian Pacific Woman's Center	1145 Wilshire Blvd	Los Angeles	CA	90017	n/a	501(c)(3)	Operational Support & Annual Metamorphosis Gala Sponsor - Funds to educate and prevent domestic violence towards women and children.	\$ 10,000
Asia Society Texas Center	1370 Southmore Blvd	Houston	TX	77004	n/a	501(c)(3)	To launch a new Women's Leadership Series, providing a platform for influential women of Asian descent and other leaders to share personal and professional experiences. Three annual luncheons followed by educational workshops will create interactive learning experiences for local at-risk students, empowering and educating young women for success.	\$ 5,000
Big Brothers and Big Sisters of S. Nevada	2000 East Flamingo Rd	Las Vegas	NV	89118	n/a	501(c)(3)	Site based programs - target elementary students who are referred by school staff based on the need for additional positive adult support.	\$ 10,000
Boston Chinatown Neighborhood Center	885 Washington Street	Boston	MA	02111	n/a	501(c)(3)	Youth Center - used to support YC programming and services for low- to moderate income youth between the ages of 11 and 18. Maintain and strengthen the following programs in its three focus areas: Education, Leadership and Enrichment.	\$ 6,000
Boys & Girls Club of West San Gabriel Valley	328 South Ramona Avenue	Monterey Park	CA	91754	n/a	501(c)(3)	Education for Life Program - Healthy Choices, Study Island, College Bound and Smart Moves is specifically designed to help our youth master school subjects as Math, Science and language as specified in the California Content Standards.	\$ 10,000
Boys Town Nevada	821 North Mojave Road	Las Vegas	NV	89101	n/a	501(c)(3)	Integrated Continuum of Care - Care for more than 1,500 children and 500 families each year, the vast majority of which are in poverty. Many are immigrant families. Spanish is the primary language in one of four households. Most come to Boys Town through the juvenile justice and child welfare systems, while others are referred by schools.	\$ 8,000
California Association for Micro Enterprise	1 Hallidie Plaza Suite 210	San Francisco	CA	94102	n/a	501(c)(3)	Building Capacity of Micro Business Development in CA - capacity building training, technical assistance and advocacy to enable expansion of entrepreneurship services/funds to 15% more LMI clients.	\$ 10,000
California Community Economic Development Association (CCEDA)	244 S. San Pedro Street, Suite 412	Los Angeles	CA	90012	n/a	501(c)(3)	Specialized Technical Assistance for CBOs - CCEDA's specialized technical assistance services are designed to grow community economic drivers. Annually, CCEDA trains nearly 1,000 practitioners in affordable housing and community development for LMI areas.	\$ 25,000
California Reinvestment Coalition	474 Valencia Street Suite 110	San Francisco	CA	94103	n/a	501(c)(3)	Support an annual community event that salutes all the CRC members that contributed to community reinvestment in 2014 through their hard work as members of CRC and as community activists.	\$ 15,000
Center for Employment Opportunities	32 Broadway 15th Floor	New York	NY	10004	n/a	501(c)(3)	Reentry Employment Project - focus is on carpentry and plumbing skills needed to help rebuild areas affected by Sandy. Thus far, the program has graduated more than 70 participants. The Training Center offers certifications in plumbing, carpentry, warehouse operations and forklift, sanitation and scaffolding.	\$ 12,500
Center for the Pacific Asian Family	543 N Fairfax Ave #108	Los Angeles	CA	90036	n/a	501(c)(3)	Employment and Housing Initiative for DV Survivors - support economic empowerment services for CPAF shelter residents. 100% of shelter residents are low-income survivors of domestic violence.	\$ 10,000
Centro Latino for Literacy	1709 W 8th Street, Suite A	Los Angeles	CA	90017	n/a	501(c)(3)	Financial Literacy - Target market is 150 very low income Spanish speaking adults who never had opportunity to attend school, they generally have had 3 or fewer years of formal education.	\$ 20,000
Charity Cultural Service Center	827 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Families in Transition Program - FIT program will assist at least 80 low-income newcomer students by taking a comprehensive approach to the issues of cultural adjustment, juvenile delinquency and prevention and academic success.	\$ 25,000
Chinatown Community Development Center	1525 Grant Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Resilient, Resourceful, and Responsible Youth Program - The program will develop the capacity of 12-16 youth (two training sessions yearly for a total of 30) for success in school in the community and adulthood through activities that teach life skills, leadership and hands-on job training, ages 14-25 years. 100% of these youth are low income.	\$ 15,000
Chinatown Partnership LDC	80 St. James Place	New York	NY	10038	n/a	501(c)(3)	7th Annual Benefit & Awards Gala - help in fostering economic vitality in Chinatown making the community a better place to live, work, and visit. 40% of Chinatown's residents are considered LMI.	\$ 10,000
Chinatown Service Center	787 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	CSC Annual Gala Celebration / Expansion of Small Business Program / Expansion of Asset Building & Financial Management Program - CSC targets all low-to-moderate income individuals and families living primarily within a 5-mile radius of Chinatown, and all immigrant and monolingual individuals residing in the Los Angeles County.	\$ 80,000
Chinese American Planning Council	150 Elizabeth St.	New York	NY	10012	n/a	501(c)(3)	Parent Enrichment for People with Developmental Disabilities - provide parent workshops including managing challenging behaviors, goal planning and sibling relationships, support groups, and individual support.	\$ 10,000
Chinese American Service League	2141 S. Tan Ct.	Chicago	IL	60616	n/a	501(c)(3)	Provide parent workshops including managing challenging behaviors, goal planning and sibling relationships, support groups, and individual support.	\$ 35,000
Chinese Civic Center	5905 Sovereign Dr.	Houston	TX	77036	n/a	501(c)(3)	CCC High School Education Foundation Scholarship for low income families.	\$ 5,000

Cathay Bank Foundation

EIN: 74-3052411

2014 Form 990PF Part XV –

Question 3A: Grants and Contribution Paid During the Year

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Chinese Community Center, Inc	9800 Town Park Drive	Houston	TX	77038	n/a	501(c)(3)	Financial Education & Newcomer English Education - programs primarily target adults of Asian descent who have limited English proficiency limited knowledge of American financial services and/or are small business owners	\$ 10 000
Chinese Historical Society of Southern California	415 Bernard St	Los Angeles	CA	90012	n/a	501(c)(3)	Dinner event	\$ 3 000
Chinese Information Service Center	611 S Lane Street	Seattle	WA	98104	n/a	501(c)(3)	Sunshine Garden Senior Day Care Center - 120 low and very low income Chinese elderly who would be at risk of physical emotional and social isolation without this supportive and structured program that is tailored to meet their unique linguistic and cultural needs	\$ 20 000
Chinese Mutual Aid Association	1016 W Argyle St	Chicago	IL	60640	n/a	501(c)(3)	CMAA Youth Computer Building - benefit middle school and high school students in learning how a computer functions This will help them appreciate how computers work, as well as spark interest in STEM (science technology, engineering and mathematics) related fields	\$ 10 000
Chinese Newcomers Service Center	777 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Newcomer Job Program - provide job readiness training, job search workshops and connects job seekers with employers who have jobs for these very low-income immigrants	\$ 15 000
Chinese Seniors Association of Houston	10303 Westoffice Dr	Houston	TX	77024	n/a	501(c)(3)	Older Adults Computer Training Classes	\$ 2 500
City Scholars	811 Wilshire Blvd Suite 1109	Los Angeles	CA	90017	n/a	501(c)(3)	Train highly-motivated non-profit CEO/ED's to lead more effective and sustainable organizations which thousands of low-income yet high-potential youth depend upon to achieve against the odds	\$ 5 000
Coalition for Responsible Community Development	3101 S Grand Avenue	Los Angeles	CA	90007	n/a	501(c)(3)	Affordable Housing in South Los Angeles - project will serve at least 200 low-income residents including Transition Age Youth, families and seniors in South Los Angeles with household incomes that are 30-50% of area median income	\$ 30 000
Common Ground Community HDFOC Inc	505 Eighth Avenue	New York	NY	10018	n/a	501(c)(3)	Homeless Housing and Street Outreach Services - funds would allow Common Ground to employ multiple homeless housing programs and extensive outreach effort to street homeless persons	\$ 10 000
COR Community Development Corporation	45 Tesla	Irvine	CA	92618	n/a	501(c)(3)	To build capacity within COR CDC to offer more services to the residents at Northpointe Apartment Homes in Long Beach increase staff as part of the Murray Enrichment Academy and Train leaders	\$ 10 000
Dallas Chinese Community Center	400 N Greenville Ave #12	Richardson	TX	75081	n/a	501(c)(3)	Survival Knowledge and Skills for New Immigrants and People in Need - people who are in need of support in their English language skills computer literacy and basic healthcare information	\$ 5 000
Denise Louie	606 Maynard Ave S #101	Seattle	WA	98104	n/a	501(c)(3)	Annual Gala and Parent Engagement Program - facilitated workshop for ESL parents of children with special needs Conduct 2 workshops on a month basis	\$ 6 500
Doe Fund Inc The	232 East 84th Street	New York	NY	10028	n/a	501(c)(3)	Ready, Willing and Able Program - a 10- to 12- month program that includes paid employment, transitional housing and food services case management career development, and graduate services	\$ 16 500
Express Advantage	1930 6th Avenue South, Suite 101	Seattle	WA	98134	n/a	501(c)(3)	Low Income Financial Education Program	\$ 10 000
Family Bridges	188 11th Street	Oakland	CA	94607	n/a	501(c)(3)	Program Support - community-based adult services programs senior center activities and childcare center	\$ 25 000
Fenway Community Development Corp	70 Burbank Street	Boston	MA	2115	n/a	501(c)(3)	Community and Economic Development - support the operations of affordable housing and community development program	\$ 5 000
Flushing Council on Culture and Arts	137-35 Northern Blvd	Flushing	NY	11001	n/a	501(c)(3)	Story Massacs - a visual arts and English language arts project for English Language Learners (ELL) in Flushing, Queens Junior High School	\$ 10 000
Food Bank for New York City	39 Broadway 10th Floor	New York	NY	10006	n/a	501(c)(3)	Tax and Financial Empowerment Program - to support financial education and counseling for low-income New Yorkers served by our free tax assistance program	\$ 55 000
Foothill Family Services	2500 East Foothill Blvd Suite 300	Pasadena	CA	91107	n/a	501(c)(3)	Domestic Violence Treatment & Prevention Program - helps at least 150 victims of domestic violence and their families annually recover from the trauma of violence, move from welfare to work, and learn positive coping skills	\$ 10 000
Friends of the Chinese American Museum	125 Paseo de la Plaza Suite 300	Los Angeles	CA	90012	n/a	501(c)(3)	General Operating Support	\$ 20,000
Garden of Hope	P O Box 5200048	Flushing	NY	11352	n/a	501(c)(3)	The Hope House Project - Established to provide emergency shelter services to those desperately in need of protection from violence among Chinese immigrants in the New York City area. They estimate that more than 50-80 individuals will benefit from the Hope House Project annually	\$ 15 000
Goodwill of S Cal	342 N San Fernando Rd	Los Angeles	CA	90031	n/a	501(c)(3)	El Monte Youth Program - Teach financial literacy to at-risk and low-income youth ages 14-21 in El Monte	\$ 5 000
Greater Boston Chinese Golden Age Center	75 Kneeland St Suite 204	Boston	MA	2111	n/a	501(c)(3)	Equipping the new Hong Lok House Library with books - Provides 28 rent-assisted apartments for a vulnerable population of 39 Asian elders of whom 24 or 62% are women The typical Hong Lok House resident is over 62 years old with income at or below 50% of the area median income	\$ 3 000
Greenlining Institute	1918 University Avenue	Berkeley	CA	94704	n/a	501(c)(3)	Greenlining's 21st Annual Economic Summit - Target markets for this event are students, financial, nonprofit, and business professionals	\$ 12 000
Henry Street Settlement	265 Henry Street	New York	NY	10002	n/a	501(c)(3)	Student Meal Program - Used to cover the expenses for providing meals to 400 seniors during the year	\$ 10 000
HIRE LA	1200 W 7th St 6th Floor	Los Angeles	CA	90017	n/a	501(c)(3)	Summer Youth Employment Program - campaign is to hire 10 000 youth and young adults into subsidized and unsubsidized employment	\$ 20 000
Hope of the Valley	8165 San Fernando Road	Sun Valley	CA	91352	n/a	501(c)(3)	Re recuperative Care Center in the San Fernando Valley	\$ 10 000
Housing Partnership Development Corporation	242 W 36TH Street 3rd Floor	New York	NY	10018	n/a	501(c)(3)	Neighborhood Revitalization - Affordable Housing Development & Preservation focusing on rental construction and preservation	\$ 25 000
Houston Chinese Camp Youth	8918 Corporate Dr Suite A3	Houston	TX	77038	n/a	501(c)(3)	Houston Chinese Youth Camp hosted over 400 campers in a single summer. The beneficiaries are all students including students who are "at risk" or qualify for scholarships via lower income thresholds as defined by the US government	\$ 2,500
Jewish Free Loan Association	8505 Wilshire Blvd Suite 715	Los Angeles	CA	90048	n/a	501(c)(3)	Microenterprise Small Business Loan Fund - The target market is the working poor who have their own businesses or who seek to open their own businesses	\$ 30 000
Junior Achievement of So California	6250 Forest Lawn Drive	Los Angeles	CA	90068	n/a	501(c)(3)	Funds for JA to serve 1,227 students in our cutting edge JA Finance Park (JAFF) budget simulation program. The majority of students are from LAUSD schools in low-income areas such as East LA, Compton, Crenshaw, Downtown LA and Canoga Park	\$ 80 000
Junior Achievement of Washington	1700 Westlake Avenue N Suite 400	Seattle	WA	98109	n/a	501(c)(3)	JA World programs JA BizTown and JA Finance Park underwrite the participating of 180 Pierce and King County at-risk youth/disadvantaged youth in JA BizTown and JA Finance Park at the JA World Auburn facility	\$ 5 000
Kirkland Interfaith Transitional Housing	125 State Street South	Kirkland	WA	98033	n/a	501(c)(3)	Providing housing to a homeless family KITH serves families who are homeless It costs KITH \$15 000 on an annual basis to house one family - 3-6 members	\$ 5 000
Korean American Family Services Center	P O Box 541429	New York	NY	11354	n/a	501(c)(3)	Youth Community Project Team (YCPT) & Unni-Hyung "Big Sister - Big Brother" Mentoring Program - YCPT to develop leadership positive-self-esteem and a healthy bicultural identity that embraces both Korean and American cultures	\$ 15 000
Korean Youth & Community Center	3727 West 6th Street Suite 300	Los Angeles	CA	90020	n/a	501(c)(3)	Community Economic Development Services - Financial Case Management Services	\$ 15 000
Latino Business Chamber of Greater LA	834 S Spring Street, Suite 600	Los Angeles	CA	90014	n/a	501(c)(3)	Support for Business Technical Assistance - Small to medium-sized Latino businesses and entrepreneurs who wish to start, expand and/or survive Teaching members not only how to access capital but also training and education on how to succeed with their business venture	\$ 20 000
Lifeway Network	P O Box 754215	Forest Hills	NY	11375	n/a	501(c)(3)	LifeWay Safe Housing Program - provides a secure and supportive living environment for women survivors of human trafficking The long-term safe house is a five-bed home which can accommodate five women survivors simultaneously	\$ 10 000
Little Tokyo Service Center	213 East Third Street G108	Los Angeles	CA	90013	n/a	501(c)(3)	Affordable Housing Collaborative - LTSC's Affordable Housing Collaborative serves the low-income community living in Los Angeles and surrounding counties	\$ 5,000

Cathay Bank Foundation

EIN: 74-3052411

2014 Form 990PF Part XV –

Question 3A: Grants and Contribution Paid During the Year

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Los Angeles Sheriff's Department	5019 E 3rd St	Los Angeles	CA	90022	n/a	501(c)(3)	Success Through Awareness & Resistance - "S T A R " Drug gang and violence prevention education classes are co-taught throughout Los Angeles county schools by specially trained Sheriff's Deputies reaching over 100 000 youths	\$ 1 500
MEED Center	7810 N Stemmons Freeway Suite 690	Dallas	TX	75247	n/a	501(c)(3)	Young Entrepreneur Program - Young people aged 18-25 who are low-moderate income according to City of Dallas. A cohort of 10 applicants will receive start-up funds and business development assistance while 20 to 30 more will receive the same business services	\$ 5 000
Mercy Housing Lakefront	120 South La Salle Suite 1850	Chicago	IL		n/a	501(c)(3)	2014 Illinois Affordable Housing Initiative - to build or preserve 1,368 units of affordable housing and create 2 052 jobs. 100% of those served will be of low-to moderate-income	\$ 6,000
Midnight Mission	601 South San Pedro Street	Los Angeles	CA	90014	n/a	501(c)(3)	Homeless Adult Education/Employment Center - Education and employment for instructors and technological equipment that will provide male adults in Recovery with the required skills of improved reading, math, and computer capability in order to apply for employment.	\$ 10 000
Minkwon Center	138-19 41st Ave 3rd Floor	Flushing	NY	11355	n/a	501(c)(3)	Financial Literacy/ Civic Participation Program - reach out to a total of 1,000 people through our financial empowerment services and educational workshops. Our Civic Participation Program mobilizes community members to be proactive civic participants	\$ 10 000
Mosaic Family Services, Inc	4144 N Central Expressway Suite 530	Dallas	TX	75204	n/a	501(c)(3)	Mosaic House - Mosaic House provides comprehensive shelter services for immigrant women and children who have survived domestic violence or human trafficking. Approximately 200 clients will benefit from shelter this year.	\$ 5 000
National Asian American Coalition	1758 El Camino Real	San Bruno	CA	94066	n/a	501(c)(3)	11th Annual Economic Development and Empowerment Conference	\$ 10 000
Neighborhood Housing Services of Los Angeles (NHSLA)	3926 Wilshire Blvd Ste 200	Los Angeles	CA	90010	n/a	501(c)(3)	Neighborhood Stabilization & Revitalization Program - Neighborhood Stabilization & Revitalization Program	\$ 10 000
Network for Teaching Entrepreneurship	350 S Bixel Street, Suite 280	Los Angeles	CA	90017	n/a	501(c)(3)	Adopt-a-School - etc to ensure that every NTE student graduates high school and every advanced NTE student gains experience creating a small business	\$ 10 000
New Destiny Housing Corp	12 West 37th Street 7th floor	New York	NY	10018	n/a	501(c)(3)	Beyond Shelter - Permanent Housing for low-income DV survivors and their children	\$ 10 000
New Jersey Citizen Action Education Fund	744 Broad Street, Suite 2080	Newark	NJ	7102	n/a	501(c)(3)	NJCAEP's Financial Empowerment Projects - Funds will be used to support the provision of free empowerment programs and services to 650 LMI individuals and families in the Edison, NJ MSA.	\$ 7 400
No Limits for Daaf Children	9801 Washington Blvd	Culver City	CA	90232	n/a	501(c)(3)	No Limits STEM Program - The No Limits STEM program bridges the gap and provides students with experience they would not otherwise receive	\$ 5 000
Oasis	26045 Red Corral Rd	Laguna Hills	CA	92653	n/a	501(c)(3)	Gala sponsorship - impact "at risk" youth through a replicable Arts Mentoring Program and to enhance the skills of local artists by establishing an internationally acclaimed Art Academy and Performing Arts Center	\$ 500
Operation Hope	707 Wilshire Boulevard Suite 3030	Los Angeles	CA	90017	n/a	501(c)(3)	HOPE Financial Dignity Center Banking on Our Future, HOPE Business in a Box - students from low- to moderate-income backgrounds with information and skills needed to escape poverty and attain financial stability	\$ 50 000
Operation Nightwatch	1265 S Main Street Suite 216	Seattle	WA	98144	n/a	501(c)(3)	Shelter and Support Services - Basic shelter and support for homeless people in Seattle/King County. This support will impact 150 homeless people per night, of the 9,000 homeless people in the community on any given night, approximately 1.67%	\$ 10 000
Opportunity Fund	111 West St John Street, Suite 800	San Jose	CA	95113	n/a	501(c)(3)	20 Years of Opportunity celebrates two decades of driving economic mobility for California's working families - help raise awareness and new funding to support the outreach, underwriting and loan servicing activities to deploy \$28 million in new loans to underserved small business owners in California	\$ 20 000
Organization of Chinese Americans - Greater LA (OCA-GLA)	1145 Wilshire Blvd 1st Floor	Los Angeles	CA	90017	n/a	501(c)(3)	OCA Advocates Fellowship Program - cover the cost of professional trainers, training and marketing collateral as well as training facilities	\$ 10 000
Pacific Clinics	800 S Santa Anita Ave	Arcadia	CA	91006	n/a	501(c)(3)	Fiesta Brunch 2014 sponsorship - All funds raised will be used to provide mental health and related services to individuals who are uninsured, underinsured or homeless	\$ 10 000
Partners in Community Building (PICB)	3424 S State Street, Suite 1F 6-1	Chicago	IL	60618	n/a	501(c)(3)	BottomLine® Financial Stability and Sustainable Housing - educate residents on financial stability in the areas of saving, banking pre and post purchase and how to successfully sustain and maintain affordable housing	\$ 20 000
People Fund (formally The Plan Fund)	2801 Swiss Avenue, Suite 120	Dallas	TX	75204	n/a	501(c)(3)	Dallas Workforce Development Small Business Lending and Business Education Programs (PeopleSpark, PeopleVET, People2People Mentorship Program, Financial education workshops)	\$ 5 000
Prison Entrepreneurship Program	4140 Directors Row Suite B	Houston	TX	77092	n/a	501(c)(3)	Workforce Training and Entrepreneurship Development - Entrepreneurship Boot Camp Life Skills training and post-release integration services including affordable housing and job placement assistance	\$ 7 500
Project Access	3900 Birch St Suite 113	Newport Beach	CA	92660	n/a	501(c)(3)	Capacity Building and Savings Program - empowers residents with skills to make informed and effective decisions with all of their financial resources by increasing awareness and comprehension of financial concepts that result in greater financial stability	\$ 5 000
Project Vision	301 S Archer Ave Unit #3	Chicago	IL	60618	n/a	501(c)(3)	After School Homework Tutoring Program - The After School Homework Tutoring Program will aim to serve 115 youth (age 12-18) during the 2014-2015 school year	\$ 10,000
Rising Sun Energy Center	2998 San Pablo Ave	Berkeley	CA	94702	n/a	501(c)(3)	Green Energy Training Services - provides job training and placement services to low-income adults	\$ 5 000
Self Help for the Elderly (SHE)	407 Sansome Street	San Francisco	CA	94111	n/a	501(c)(3)	Life Skills Training - provide Employment Training Economic Development (ETED) trainees with information and skills that will further empower them to make quality of life choices and to enhance their standards of living	\$ 30 000
Sierra House	11 South Maple Avenue	East Orange	NJ	7018	n/a	501(c)(3)	Sierra House Transitional Program - serves homeless young women between the ages of 18 and 25 and their babies	\$ 10 000
Sophia Way	700 108th Ave NE	Bellevue	WA	98104	n/a	501(c)(3)	Sophia's Place Shelter - operate homeless shelter. Equates to nearly approximately 285 bed-nights for a woman in need	\$ 15 000
South East Asia Center	1134 W Ansley St	Chicago	IL	60640	n/a	501(c)(3)	A Prosperous Community - childcare and facilities will serve 18 infants and toddlers and up to 38 parents. Start-up costs including strict childcare code compliance, safety and parking lot improvement	\$ 10,000
Southeast Asian Community Center	875 O Farrell Street	San Francisco	CA	94109	n/a	501(c)(3)	SBA 7(m) Microloan Program- SEACC is a designated intermediary lender for the US Small Business Administration's 7(m) Microloan program. This program provides commercial loans of \$1 000 to \$50 000 to small businesses that have been unable to secure conventional bank loans	\$ 10,000
St. Vincent de Paul Village	3350 E Street	San Diego	CA	92102	n/a	501(c)(3)	St. Vincent de Paul Village Employment Services Program - provide employment services to the homeless adults residing at St. Vincent de Paul Village	\$ 10 000
Street Teens	P O Box 70478	Las Vegas	NV	89170	n/a	501(c)(3)	To purchase items such as food, clothing, school supplies, backpacks, bus passes and hygiene items for the youth program	\$ 5 000
Tenderloin Neighborhood Development	201 Eddy Street	San Francisco	CA	94102	n/a	501(c)(3)	General Operating Support - 3 400 low-income individuals, families, youth and seniors currently living in TNDC's 32 buildings and 225 children ages 7-18 who participate in TNDC's Tenderloin After-School Program	\$ 20 000
The Bndge Fund of New York	271 Madison Avenue Suite 907	New York	NY	10016	n/a	501(c)(3)	Financial assistance is in the form of an interest-free, one-time loan or grant paid to the landlord or management company to settle the arrears. Intensive budget counseling gives clients the financial know-how to better handle future budget shortfalls and achieve long term housing stability	\$ 20 000
The HOPE Program	One Smith Street 4th Floor	Brooklyn	NY	11201	n/a	501(c)(3)	Empowering New Yorkers through Employment - provides approximately 260 individuals with essential work readiness training and job placement assistance in addition to assisting hundreds of program graduates with career advancement and other resources	\$ 12 500
The Women's Home®	607 Westheimer Road	Houston	TX	77006	n/a	501(c)(3)	Women's Home Whole Life Program - provide housing and support services to low-income and homeless women	\$ 5 000
TMC Development Working Solutions	440 Pacific Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Gold sponsorship of Working Solutions Annual Breakfast. The funds are used to provide microloans of \$5 000 to \$50 000 to population of mostly low-income entrepreneurs as well as 5 years of business coaching, mentoring and support. 71% of services are provided to low-income individuals	\$ 5 000

**Cathay Bank Foundation**

EIN: 74-3052411

2014 Form 990PF Part XV –

Question 3A: Grants and Contribution Paid During the Year

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Tower Foundation of San Jose State University	One Washington Square	San Jose	CA	95192	n/a	501(c)(3)	Money Matters and Marketing Smarts - Financial Literacy and Business Development program will enable us to engage 300 low-income residents	\$ 10,000
Buddhist Tzu Chi Medical Foundation	10414 Vacco Street	S El Monte	CA	91733	n/a	501(c)(3)	Financial Literacy & Affordable Healthcare Collaboration Event - to purchase equipment and other materials necessary to treat patients during the course of the event	\$ 10,000
United Way Las Vegas	5830 West Flamingo Ave	Las Vegas	NV	89103	n/a	501(c)(3)	Teaching Financial Education in the Classroom in an at-risk Clark County elementary school, provide age-appropriate financial literacy education programs to at-risk students	\$ 5,000
Urban Solutions	1083 Mission Street, 2nd Floor	San Francisco	CA	94301	n/a	501(c)(3)	Small Business Services / Enterprising Women - For LMI entrepreneurs/businesses to develop or grow their business through services including financial education financing, credit assessment business planning marketing, and legal support services	\$ 10,000
Valley Economic Development Center	5121 Van Nuys Blvd 3rd Floor	Van Nuys	CA	91403	n/a	501(c)(3)	Retail and Capital Access Center in Boyle Heights - operating expenses in order to provide financial and technical assistance services to small and medium sized businesses in Boyle Heights and surrounding communities	\$ 25,000
Vietnamese Association of Illinois	5110 N Broadway	Chicago	IL	60640	n/a	501(c)(3)	Job Readiness Training and Placement Program - targeting low income clients through our employment program which provides services every Tuesdays and Thursdays of the week	\$ 10,000
Vietnamese Friendship Association	7101 Martin Luther King Jr Way S	Seattle	WA	98118	n/a	501(c)(3)	Cultural Navigators (Family Engagement) - support parent workshop using a peer-to-peer learning model which are facilitated by a trained Cultural Navigator	\$ 5,000
Web of Benefit	22 Howe Rd	Needham	MA	02492	n/a	501(c)(3)	Help women transition from safety to stability to self-sufficient, independent living and personal and financial empowerment	\$ 4,389
Western Center on Law and Poverty	3701 Wilshire Blvd Suite 208	Los Angeles	CA	90010	n/a	501(c)(3)	Implementing Family Stabilization - conduct administrative advocacy, monitor family stabilization program implementation, and educate advocates and stakeholders through trainings publications and other forms of outreach	\$ 5,000
Yolo Mutual Housing	8001 Fruitridge Rd Suite A	Sacramento	CA	95820	n/a	501(c)(3)	Preservation of the Foothill Plaza Housing Community - pre-construction phase of crucial renovation project	\$ 10,000
Asian American Senior Citizen Service Center	850 North Birch Street	Santa Ana	CA	92701	n/a	501(c)(3)	Provides social services to Asian American senior Citizens	\$ 13,000
Friends of the Chinatown Library	839 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	Provides library services for Chinatown's youth for after school and non Saturday school hours	\$ 13,000
International Institute of Los Angeles	3845 Selig Pl	Los Angeles	CA	90031	n/a	501(c)(3)	Provides employment skills to newly arrived low-income immigrants	\$ 13,000
Pacific Clinics	800 S Santa Anita Ave	Arcadia	CA	91006	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 13,000
Irvine Chinese School South Coast Chinese Cultural Association	9 Truman	Irvine	CA	92620	n/a	501(c)(3)	Provides an array of cultural services to the Chinese American community	\$ 13,000
Taiwanese American Heritage Week LA Chapter	3001 Walnut Grove Ave	Rosemead	CA	91770	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 13,000
Taiwanese American Heritage Week OC Chapter	2901 W McArthur Blvd	Santa Ana	CA	92704	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 10,000
CCHC - Herald Family Center	715 E Mission Rd	San Gabriel	CA	91776	n/a	501(c)(3)	Provides care to Southern California Chinese American communities through Prevention, Education and Counseling services to assist them in building healthy families	\$ 3,000
<b>Total</b>								<b>\$ 1,643,789</b>