



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending

RICHARD JAMES FAMILY FOUNDATION
P.O. BOX 705
STROUD, OK 74079

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ 530,948.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number 73-1589377
B Telephone number (see instructions) 918-968-3315
C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule)				
2 Ck ▶ <input checked="" type="checkbox"/> if the foundn is not required to attach Sch B				
3 Interest on savings and temporary cash investments	14.	14.	14.	
4 Dividends and interest from securities	14,335.	14,335.	14,335.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	26,760.			
b Gross sales price for all assets on line 6a 82,510.		26,760.		
7 Capital gain net income (from Part IV, line 2)				
8 Net short term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	41,109.	41,109.	14,349.	
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)	600.			
c Other professional fees (attach sch)	2,291.	2,291.		
17 Interest				
18 Taxes (attach schedule) (see instr.)	186.	186.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences and meetings	100.			
22 Printing and publications	26.			
23 Other expenses (attach schedule)	211.			
24 Total operating and administrative expenses. Add lines 13 through 23	3,414.	2,477.		
25 Contributions, gifts, grants paid	24,900.			24,900.
26 Total expenses and disbursements. Add lines 24 and 25	28,314.	2,477.	0.	24,900.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	12,795.			
b Net investment income (if negative enter -0-)		38,632.		
c Adjusted net income (if negative enter -0-)			14,349.	

SCANNED MAY 27 2015

REVENUE

ADMINISTRATIVE EXPENSES

SEE STATEMENT 4

PART XV

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			4,749.
	2 Savings and temporary cash investments	3,595.	4,749.	
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	160.	200.	
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)	452,568.	464,349.	526,199.	
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe SEE STATEMENT 5)	253.	73.		
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	456,576.	469,371.	530,948.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T A S S E T S F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	<input checked="" type="checkbox"/>		
	27 Capital stock, trust principal, or current funds	456,576.	469,371.	
	28 Paid in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	456,576.	469,371.	
	31 Total liabilities and net assets/fund balances (see instructions)	456,576.	469,371.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	456,576.
2 Enter amount from Part I, line 27a	2	12,795.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	469,371.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	469,371.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 6				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). — [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	26,760.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	27,438.	489,041.	0.056106
2012	23,850.	441,744.	0.053991
2011	21,800.	449,438.	0.048505
2010	21,171.	447,361.	0.047324
2009	20,002.	388,783.	0.051448

2 Total of line 1, column (d)	2	0.257374
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051475
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	526,373.
5 Multiply line 4 by line 3	5	27,095.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	386.
7 Add lines 5 and 6	7	27,481.
8 Enter qualifying distributions from Part XII, line 4	8	24,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	773.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	773.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	773.
6 Credits/Payments			
a 2014 estimated tax pmts and 2013 overpayment credited to 2014	6 a	200.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	200.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	573.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) OK		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>PATSY JAMES</u> Telephone no <u>918-968-3315</u> Located at <u>P.O. BOX 705 STROUD OK</u> ZIP + 4 <u>74079</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If 'Yes,' enter the name of the foreign country <u>N/A</u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If 'Yes,' list the years <u>20 __, 20 __, 20 __, 20 __</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __, 20 __, 20 __, 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

BAA

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6 b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7 b N/A

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2014)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	530,217.
b Average of monthly cash balances	1 b	4,172.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	534,389.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	534,389.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	8,016.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	526,373.
6 Minimum investment return. Enter 5% of line 5	6	26,319.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	26,319.
2 a Tax on investment income for 2014 from Part VI, line 5	2 a	773.	
b Income tax for 2014 (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b		2 c	773.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	25,546.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	25,546.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	25,546.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	24,900.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,900.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,900.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				25,546.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009	403.			
b From 2010				
c From 2011				
d From 2012	2,063.			
e From 2013	3,310.			
f Total of lines 3a through e	5,776.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 24,900.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2014 distributable amount				24,900.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	646.			646.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,130.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	5,130.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012	1,820.			
d Excess from 2013	3,310.			
e Excess from 2014				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 9				
Total			▶ 3 a	24,900.
b Approved for future payment				
Total			▶ 3 b	

RICHARD JAMES FAMILY FOUNDATION

73-1589377

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PREP OF FORM 990	\$ 600.			
TOTAL	<u>\$ 600.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	\$ 2,291.	\$ 2,291.		
TOTAL	<u>\$ 2,291.</u>	<u>\$ 2,291.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL INCOME TAX	\$ 162.	\$ 162.		
FOREIGN TAXES	24.	24.		
TOTAL	<u>\$ 186.</u>	<u>\$ 186.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION	\$ 180.			N/A
OFFICE SUPPLIES	21.			
POSTAGE	10.			
TOTAL	<u>\$ 211.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

RICHARD JAMES FAMILY FOUNDATION

73-1589377

STATEMENT 5
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
NET INTANGIBLE ASSETS	\$ 73.	
TOTAL	\$ 73.	\$ 0.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SHORT TERM COVERED-ATTACHMENT #1	PURCHASED	VARIOUS	VARIOUS
2	LONG TERM COVERED-ATTACHMENT #2	PURCHASED	VARIOUS	VARIOUS
3	LONG TERM NONCOVERED-ATTACHMENT #3	PURCHASED	VARIOUS	VARIOUS
4	0.625 HALYARD HEALTH INC	PURCHASED	11/21/2005	11/30/2014
5	465 JPMORGAN CHASE & CO	PURCHASED	5/30/2006	9/09/2014
6	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	2,407.		2,707.	-300.				\$ -300.
2	15,384.		12,208.	3,176.				3,176.
3	31,125.		20,669.	10,456.				10,456.
4	24.		12.	12.				12.
5	27,161.		20,154.	7,007.				7,007.
6								6,409.
							TOTAL	\$ 26,760.

STATEMENT 7
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
PATSY JAMES P.O. BOX 705 STROUD, OK 74079	TRUSTEE 0	\$ 0.	\$ 0.	\$ 0.
JUNE ANN JONES 1203 E 30TH PLACE TULSA, OK 74114	TRUSTEE 0	0.	0.	0.
STEPHEN T. JAMES 2323 LEGENDARY LANE STILLWATER, OK 74074	TRUSTEE 0	0.	0.	0.

RICHARD JAMES FAMILY FOUNDATION

73-1589377

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
MARY LYNN HILL 3704 HARRIS DRIVE EDMOND, OK 73013	TRUSTEE 0	\$ 0.	\$ 0.	\$ 0.
RANDA LEA HOHWELER 1005 EAST ELM STREET STROUD, OK 74079	TRUSTEE 0	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

STATEMENT 8
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
NAME: RICHARD JAMES FAMILY FOUNDATION
CARE OF: PATSY JAMES
STREET ADDRESS: P.O. BOX 705
CITY, STATE, ZIP CODE: STROUD, OK 74079
TELEPHONE:
E-MAIL ADDRESS:
FORM AND CONTENT:

APPLICATIONS SHOULD BE IN WRITING, OR ORAL, AND INCLUDE THE PROGRAMS PURPOSE, GOALS, SPONSORING ORGANIZATION AND A STATEMENT THAT THE PROGRAM WILL NOT ENGAGE IN ANY ACTIVITY INCONSISTENT WITH SECTION 504 (C) (3) OF THE INTERNAL REVENUE CODE.

SUBMISSION DEADLINES: DECEMBER 31
RESTRICTIONS ON AWARDS: RESTRICTIONS AND LIMITATIONS AS PROVIDED BY COMPLIANCE WITH SECTION 501 (C) (3) OF THE INTERNAL REVENUE CODE.

STATEMENT 9
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SALVATION ARMY 924 S HUDSON TULSA, OK 74101	NONE		FOR USE IN TULSA, OKLAHOMA	\$ 2,000.
STROUD PUBLIC SCHOOLS 212 W 7TH STROUD, OK 74079	NONE		VOCAL MUSIC/THEATER DEPARTMENT	1,000.

RICHARD JAMES FAMILY FOUNDATION

73-1589377

STATEMENT 9 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
STROUD HABITAT FOR HUMANITY PO BOX 352 STROUD, OK 74079	NONE		HOUSING ASSISTANCE	\$ 3,000.
TULANE UNIVERSITY 1555 POYDRAS ST SUITE 1000 NEW ORLEANS, LA 70112	NONE		UNRESTRICTED	1,000.
OKLAHOMA FOUNDATION FOR EXCELLANCE 120 N ROBINSON #1420W ACADEMIC ALL STATE, OK 73102	NONE		ACADEMIC ALL STATE	1,900.
OKLAHOMA HISTORICAL SOCIETY 800 NAZIH ZUHDI DR OKLAHOMA CITY, OK 73105	NONE		UNRESTRICTED	2,500.
AMERICAN RED CROSS 601 NE 60TH STREET OKLAHOMA CITY, OK 73104	NONE		FOR USE IN LINCOLN COUNTY, OKLAHOMA	2,000.
OKLAHOMA STATE UNIVERSITY FOUNDATION 400S MONROE STILLWATER, OK 74074	NONE		SCHOLARSHIPS	2,750.
MAYFLOWER CONGREGATIONAL CHURCH 3901 NW 63RD STREET OKLAHOMA CITY, OK 73116	NONE		363 GROUP	2,000.
STROUD FOOD PANTRY 211 N 3RD STREET STROUD, OK 74079	NONE		UNRESTRICTED	2,000.
CITY RESCUE MISSION 800 W CALIFORNIA OKLAHOMA CITY, OK 73106	NONE		UNRESTRICTED	1,750.
FIRST BAPTIST CHURCH 302 W 4TH STROUD, OK 74079	NONE		LOTTIE MOON PROGRAM	1,000.
FIRST BAPTIST CHURCH 1201 N ROBINSON AVE OKLAHOMA CITY, OK 73103	NONE		CARE FOR OKC PROGRAM	2,000.
TOTAL				\$ 24,900.

2014 Year-End Account Summary

As of Date: 03/14/15

Page 4 of 16

Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
AIR PRODUCTS & CHEMICALS INC CUSIP: 009158106	2.00000	12/06/2013	09/11/2014	257.34	216.88		0.00	40.46	
HARLEY DAVIDSON INC CUSIP: 412822108	3.00000	12/06/2013	10/14/2014	170.01	204.27		0.00	-34.26	
INTERNATIONAL BUSINESS MACHINE CORP CUSIP: 459200101	12.00000	09/26/2014	10/31/2014	1,967.17	2,274.64		0.00	-307.47	
LIBERTY INTERACTIVE CORP VENTURES-SERIES A CUSIP: 53071M880	0.39300	01/23/2014	10/20/2014	12.11	11.54		0.00	0.57	
Totals				\$2,496.63	\$2,707.33		\$0.00	(\$300.70)	

2014 Year-End Account Summary

As of Date: 03/14/15

Page 5 of 16



Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
AIR PRODUCTS & CHEMICALS INC CUSIP: 009158106	12.00000 8.00000 14.00000	04/10/2012 04/24/2012 02/20/2013	09/11/2014 09/11/2014 09/11/2014	1,543.98 1,029.32 1,801.31	1,064.52 678.98 1,228.52		0.00 0.00 0.00	479.46 350.34 572.79	
Subtotals	34.00000			\$4,374.61	\$2,972.02		\$0.00	\$1,402.59	
ALLEGHANY CORP NEW DELAWARE CUSIP: 017175100	3.00000	07/02/2013	09/10/2014	1,281.91	1,174.09		0.00	107.82	
BED BATH & BEYOND INC CUSIP: 075896100	18.00000 24.00000	09/25/2012 01/08/2013	05/09/2014 05/09/2014	1,103.69 1,471.60	1,121.25 1,354.17		0.00 0.00	-17.56 117.43	
Subtotals	42.00000			\$2,575.29	\$2,475.42		\$0.00	\$99.87	
DISNEY WALT COMPANY CUSIP: 254687106	6.00000 9.00000 16.00000	09/26/2012 09/26/2012 02/28/2013	04/10/2014 10/23/2014 10/23/2014	470.41 795.60 1,414.42	311.88 467.81 879.45		0.00 0.00 0.00	158.53 327.79 534.97	
Subtotals	31.00000			\$2,680.43	\$1,659.14		\$0.00	\$1,021.29	
HARLEY DAVIDSON INC CUSIP: 412822108	16.00000	07/17/2012	10/14/2014	906.72	701.30		0.00	205.42	
IRON MTN INC PA CUSIP: 462846106	7.00000	11/21/2012	02/03/2014	181.36	230.09		0.00	-48.73	
LABORATORY CORP OF AMER HLDGS CUSIP: 50540R409	8.00000	06/20/2013	06/30/2014	820.00	797.24		0.00	22.76	

2014 Year-End Account Summary

As of Date: 03/14/15

Page 6 of 16

Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
MONSANTO CO NEW CUSIP: 61166W101	4.00000	09/24/2012	11/25/2014	481.44	364.70		0.00	116.74	
	10.00000	04/15/2013	11/25/2014	1,203.60	1,041.90		0.00	161.70	
Subtotals	14.00000			\$1,685.04	\$1,406.60		\$0.00	\$278.44	
PROGRESSIVE CORP OHIO CUSIP: 743315103	35.00000	01/16/2013	05/23/2014	878.75	792.25		0.00	86.50	
Totals				\$15,384.11	\$12,208.15		\$0.00	\$3,175.96	

2014 Year-End Account Summary

As of Date: 03/14/15

Page 7 of 16

Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATIONWELLS
FARGO

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis Code	Adjustments	Gain/Loss Amount	Additional Information
ALLEGHANY CORP NEW DELAWARE CUSIP: 017175100	2.33333 1.66667	01/03/2008 06/23/2008	02/28/2014 02/28/2014	899.97 642.84	899.10 536.62	0.00 0.00	0.87 106.22	
Subtotals	4.00000			\$1,542.81	\$1,435.72	\$0.00	\$107.09	
AMERICAN EXPRESS COMPANY CUSIP: 025816109	2.00000	01/03/2008	01/24/2014	175.83	101.74	0.00	74.09	
AMERIPRISE FINANCIAL INC CUSIP: 03076C106	6.00000 8.00000	01/03/2008 01/03/2008	01/27/2014 01/30/2014	634.26 852.32	334.74 446.32	0.00 0.00	299.52 406.00	
Subtotals	14.00000			\$1,486.58	\$781.06	\$0.00	\$705.52	
BED BATH & BEYOND INC CUSIP: 075896100	1.00000 30.00000	06/23/2008 10/26/2009	05/09/2014 05/09/2014	61.31 1,639.48	28.20 1,081.66	0.00 0.00	33.11 757.82	
Subtotals	31.00000			\$1,900.79	\$1,109.86	\$0.00	\$790.93	
BERKSHIRE HATHAWAY INC SERIES B NEW CUSIP: 084670702	6.00000	06/23/2008	01/09/2014	692.09	489.48	0.00	202.61	
CVS CAREMARK CORP CUSIP: 126650100	25.00000 20.00000 10.00000 17.00000 8.00000 15.00000 25.00000 10.00000	01/03/2008 06/23/2008 12/01/2008 12/29/2009 12/29/2009 01/15/2010 06/11/2010 08/19/2010	02/04/2014 02/04/2014 02/04/2014 02/04/2014 10/02/2014 10/02/2014 10/02/2014 10/02/2014	1,652.96 1,322.37 661.18 1,124.03 634.24 1,189.20 1,982.02 792.81	913.25 806.40 281.06 553.29 260.37 508.24 788.46 286.85	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	739.71 515.97 380.12 570.74 373.87 680.96 1,193.56 505.96	
Subtotals	130.00000			\$9,358.81	\$4,397.92	\$0.00	\$4,960.89	

2014 Year-End Account Summary

As of Date: 03/14/15

Page 8 of 16

Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.

Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

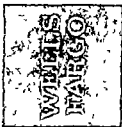
Description CUSIP (Box 1)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101	18.00000	01/03/2008	06/19/2014	794.85	679.39		0.00	115.46	
	10.00000	06/23/2008	06/19/2014	441.59	509.35		0.00	-67.76	
	16.00000	09/01/2009	06/19/2014	706.55	448.91		0.00	257.64	
Subtotals	44.00000			\$1,942.99	\$1,637.65		\$0.00	\$305.34	
COCA-COLA COMPANY CUSIP: 191216100	11.00000	02/04/2010	02/11/2014	424.77	299.42		0.00	125.35	
	12.00000	03/18/2010	02/11/2014	463.39	322.80		0.00	140.59	
	20.00000	05/24/2010	02/11/2014	772.32	515.30		0.00	257.02	
Subtotals	43.00000			\$1,660.48	\$1,137.52		\$0.00	\$522.96	
COSTCO WHSL CORP NEW COM CUSIP: 22160K105	22.00000	01/03/2008	10/08/2014	2,816.00	1,504.67		0.00	1,311.33	
EOG RESOURCES INC CUSIP: 26875P101	6.00000	01/03/2008	08/06/2014	648.52	278.31		0.00	370.21	
HARLEY DAVIDSON INC CUSIP: 412822108	2.00000	06/23/2008	10/14/2014	113.34	74.88		0.00	38.46	
HEWLETT-PACKARD COMPANY CUSIP: 428236103	3.00000	10/24/2008	01/08/2014	82.87	98.18		0.00	-15.31	
	19.00000	09/01/2009	01/08/2014	524.85	834.25		0.00	-309.40	
Subtotals	22.00000			\$607.72	\$932.43		\$0.00	(\$324.71)	
IRON MTN INC PA CUSIP: 462846106	3.00000	01/03/2008	02/03/2014	77.71	107.21		0.00	-29.50	
	25.00000	06/23/2008	02/03/2014	647.66	752.75		0.00	-105.09	
	12.00000	09/01/2009	02/03/2014	310.87	342.70		0.00	-31.83	
	5.00000	10/11/2010	02/03/2014	129.53	102.99		0.00	26.54	
Subtotals	45.00000			\$1,165.77	\$1,305.65		\$0.00	(\$139.88)	

2014 Year-End Account Summary

As of Date: 03/14/15

Page 9 of 16

Account Number: 6671-3839

RICHARD JAMES FAMILY
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
OCCIDENTAL PETE CORP CUSIP: 674599105	16.00000	06/23/2008	01/14/2014	1,471.97	1,395.36		0.00	76.61	
	11.00000	06/23/2008	07/21/2014	1,105.69	959.31		0.00	146.38	
Subtotals	27.00000			\$2,577.66	\$2,354.67		\$0.00	\$222.99	
PHILIP MORRIS INTERNATIONAL INC CUSIP: 718172109	2.00000	06/23/2008	04/23/2014	167.07	101.12		0.00	65.95	
	17.00000	06/23/2008	11/12/2014	1,492.84	859.52		0.00	633.32	
Subtotals	19.00000			\$1,659.91	\$960.64		\$0.00	\$699.27	
PROGRESSIVE CORP OHIO CUSIP: 743315103	63.00000	01/03/2008	04/30/2014	1,521.45	1,170.54		0.00	350.91	
	1.00000	06/23/2008	04/30/2014	24.15	19.92		0.00	4.23	
	49.00000	06/23/2008	05/23/2014	1,230.23	976.08		0.00	254.15	
Subtotals	113.00000			\$2,775.83	\$2,166.54		\$0.00	\$609.29	
Totals				\$31,125.13	\$20,668.74		\$0.00	\$10,456.39	

The Code column will reflect W for wash sale, C for collectibles, or D for market discount.
For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.