



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

|  |  |  |  |
|--|--|--|--|
| Name of foundation<br>THE JANE K LOWE CHARITABLE FOUNDATION  |  | A Employer identification number<br><br>63-6203439   |  |
| Number and street (or P O box number if mail is not delivered to street address)<br>PO BOX 348   |  | B Telephone number (see instructions)<br><br>(256) 536-1231  |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>HUNTSVILLE, AL 358040348   |  | C If exemption application is pending, check here <input type="checkbox"/>   |  |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |  |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 34,339,297   |  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |  |
| J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input checked="" type="checkbox"/> Other (specify) <u>MODIFIED CASH</u><br>(Part I, column (d) must be on cash basis.)  |  |  |  |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) ) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue   | 1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .                                     |                                    |                           |                         |   |
|   | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . . |                                    |                           |                         |   |
|   | 3 Interest on savings and temporary cash investments . . . . .   | 210,109                            | 210,109                   | 210,109                 |   |
|   | 4 Dividends and interest from securities. . . . .  | 650,465                            | 650,465                   | 650,465                 |   |
|   | 5a Gross rents . . . . .   |                                    |                           |                         |   |
|   | b Net rental income or (loss) _____  |                                    |                           |                         |   |
|   | 6a Net gain or (loss) from sale of assets not on line 10 _____   | 2,441,730                          |                           |                         |   |
|   | b Gross sales price for all assets on line 6a _____<br>8,630,171   |                                    |                           |                         |   |
|   | 7 Capital gain net income (from Part IV, line 2) . . . .   |                                    | 2,441,730                 |                         |   |
|   | 8 Net short-term capital gain . . . . .  |                                    |                           |                         |   |
|   | 9 Income modifications . . . . .   |                                    |                           |                         |   |
|   | 10a Gross sales less returns and allowances _____  |                                    |                           |                         |   |
|   | b Less Cost of goods sold . . . . .  |                                    |                           |                         |   |
|   | c Gross profit or (loss) (attach schedule) . . . . .   |                                    |                           |                         |   |
|   | 11 Other income (attach schedule) . . . . .  |                                    |                           |                         |   |
|   | 12 <b>Total.</b> Add lines 1 through 11 . . . . .  | 3,302,304                          | 3,302,304                 | 860,574                 |   |
| Operating and Administrative Expenses   | 13 Compensation of officers, directors, trustees, etc . . . . .  | 150,000                            | 150,000                   | 150,000                 | 0   |
|   | 14 Other employee salaries and wages . . . . .   | 31,000                             | 31,000                    | 31,000                  | 0   |
|   | 15 Pension plans, employee benefits . . . . .  |                                    |                           |                         |   |
|   | 16a Legal fees (attach schedule) . . . . .   |                                    |                           |                         |   |
|   | b Accounting fees (attach schedule) . . . . .  | 8,351                              | 8,351                     | 8,351                   | 0   |
|   | c Other professional fees (attach schedule) . . . . .  | 34,610                             | 34,610                    | 34,610                  | 0   |
|   | 17 Interest . . . . .  |                                    |                           |                         |   |
|   | 18 Taxes (attach schedule) (see instructions) . . . .  | 78,775                             | 17,656                    | 17,656                  | 0   |
|   | 19 Depreciation (attach schedule) and depletion . . .  | 1,151                              | 0                         | 1,151                   |   |
|   | 20 Occupancy . . . . .   | 9,400                              | 9,400                     | 9,400                   | 0   |
|   | 21 Travel, conferences, and meetings . . . . .   |                                    |                           |                         |   |
|   | 22 Printing and publications . . . . .   |                                    |                           |                         |   |
|   | 23 Other expenses (attach schedule) . . . . .  | 20,398                             | 20,398                    | 20,398                  | 0   |
|   | 24 <b>Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .                    | 333,685                            | 271,415                   | 272,566                 | 0   |
|   | 25 Contributions, gifts, grants paid . . . . .   | 1,583,820                          |                           |                         | 1,583,820   |
|   | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25  | 1,917,505                          | 271,415                   | 272,566                 | 1,583,820   |
|   | 27 Subtract line 26 from line 12   |                                    |                           |                         |   |
|   | a <b>Excess of revenue over expenses and disbursements</b>   | 1,384,799                          |                           |                         |   |
|   | b <b>Net investment income</b> (if negative, enter -0-)  |                                    | 3,030,889                 |                         |   |
|   | c <b>Adjusted net income</b> (if negative, enter -0-)  |                                    |                           | 588,008                 |   |
|   |  |                                    |                           |                         |   |

| Part II Balance Sheets      |     | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )                      | Beginning of year | End of year    |                       |
|-----------------------------|-----|--|-------------------|----------------|-----------------------|
|                             |     |  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash—non-interest-bearing . . . . .  |                   |                |                       |
|                             | 2   | Savings and temporary cash investments . . . . .   | 1,027,386         | 1,794,528      | 1,794,528             |
|                             | 3   | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____  |                   |                |                       |
|                             | 4   | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____   |                   |                |                       |
|                             | 5   | Grants receivable . . . . .  |                   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .        |                   |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____                               |                   |                |                       |
|                             | 8   | Inventories for sale or use . . . . .  |                   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges . . . . .  |                   | 577            | 577                   |
|                             | 10a | Investments—U S and state government obligations (attach schedule)   |                   |                |                       |
|                             | b   | Investments—corporate stock (attach schedule) . . . . .  |                   |                |                       |
|                             | c   | Investments—corporate bonds (attach schedule). . . . .   | 3,732,829         | 3,018,230      | 3,353,921             |
|                             | 11  | Investments—land, buildings, and equipment basis ▶ _____ 15,780<br>Less accumulated depreciation (attach schedule) ▶ _____               | 15,780            | 15,780         | 50,000                |
|                             | 12  | Investments—mortgage loans . . . . .   |                   |                |                       |
|                             | 13  | Investments—other (attach schedule) . . . . .  | 22,875,001        | 24,223,530     | 29,098,684            |
|                             | 14  | Land, buildings, and equipment basis ▶ _____ 14,498<br>Less accumulated depreciation (attach schedule) ▶ _____ 12,675                    | 2,974             | 1,823          | 1,823                 |
| Liabilities                 | 15  | Other assets (describe ▶ _____)  | 48,170            | 39,764         | 39,764                |
|                             | 16  | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)   | 27,702,140        | 29,094,232     | 34,339,297            |
|                             | 17  | Accounts payable and accrued expenses . . . . .  |                   |                |                       |
|                             | 18  | Grants payable . . . . .   |                   |                |                       |
|                             | 19  | Deferred revenue . . . . .   |                   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons   |                   |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule) . . . . .  |                   |                |                       |
|                             | 22  | Other liabilities (describe ▶ _____)   | 16,140            | 23,433         |                       |
|                             | 23  | Total liabilities (add lines 17 through 22) . . . . .  | 16,140            | 23,433         |                       |
| Net Assets or Fund Balances |     | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                   |                |                       |
|                             | 24  | Unrestricted . . . . .   |                   |                |                       |
|                             | 25  | Temporarily restricted . . . . .   | 27,686,000        | 29,070,799     |                       |
|                             | 26  | Permanently restricted . . . . .   |                   |                |                       |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds . . . . .   |                   |                |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg , and equipment fund   |                   |                |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds   |                   |                |                       |
|                             | 30  | Total net assets or fund balances (see instructions) . . . . .   | 27,686,000        | 29,070,799     |                       |
|                             | 31  | Total liabilities and net assets/fund balances (see instructions) . . .  | 27,702,140        | 29,094,232     |                       |

| Part III Analysis of Changes in Net Assets or Fund Balances |  |              |
|---|--|--------------|
| 1   | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 27,686,000 |
| 2   | Enter amount from Part I, line 27a . . . . .   | 2 1,384,799  |
| 3   | Other increases not included in line 2 (itemize) ▶ _____   | 3 0          |
| 4   | Add lines 1, 2, and 3 . . . . .  | 4 29,070,799 |
| 5   | Decreases not included in line 2 (itemize) ▶ _____   | 5 0          |
| 6   | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .  | 6 29,070,799 |

Part IV

Capital Gains and Losses for Tax on Investment Income

|   |   |  |                                      |                                  |
|---|---|--|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |   | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 1 a   | WELLS FARGO                                     | P  | 2014-01-01                           | 2014-06-30                       |
| b   | WELLS FARGO                                     | P  | 2011-06-30                           | 2014-06-30                       |
| c   | WELLS FARGO                                     | P  | 2011-06-30                           | 2014-06-30                       |
| d   | REALIZED GAIN - INCREASE IN BASIS OF SECURITIES | P  | 2011-06-30                           | 2014-06-30                       |
| e   | CAPITAL GAINS DIVIDENDS                         | P  |                                      |                                  |

|                       |  |   |  |
|-----------------------|--|---|--|
| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a 600,876             |  | 650,925   | -50,049                                      |
| b 1,641,303           |  | 1,506,603                                       | 134,700                                      |
| c 5,301,773           |  | 4,030,913                                       | 1,270,860                                    |
| d 11,898              |  |   | 11,898                                       |
| e 1,074,321           |  |   | 1,074,321                                    |

|   |                                      |   |   |
|---|--------------------------------------|---|---|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (I) Gains (Col (h) gain minus<br>col (k), but not less than -0- ) or<br>Losses (from col (h)) |
| (i) F M V as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |   |
| a   |                                      |   | -50,049   |
| b   |                                      |   | 134,700   |
| c   |                                      |   | 1,270,860   |
| d   |                                      |   | 11,898  |
| e   |                                      |   | 1,074,321   |

|   |  |   |   |           |
|---|--|---|---|-----------|
| 2 | Capital gain net income or (net capital loss)  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 2,441,730 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 . . . . . | }   | 3 | -50,049   |

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

|  |  |  |   |
|--|--|--|---|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2013   | 1,501,821                                | 32,434,161                                   | 0 046304  |
| 2012   | 1,537,651                                | 30,482,234                                   | 0 050444  |
| 2011   | 1,429,179                                | 31,171,702                                   | 0 045849  |
| 2010   | 1,322,342                                | 28,939,771                                   | 0 045693  |
| 2009   | 1,639,487                                | 26,607,475                                   | 0 061618  |

|   |   |   |            |
|---|---|---|------------|
| 2 | Total of line 1, column (d). . . . .  | 2 | 0 249908   |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by<br>the number of years the foundation has been in existence if less than 5 years . . . . | 3 | 0 049982   |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .   | 4 | 34,350,916 |
| 5 | Multiply line 4 by line 3. . . . .  | 5 | 1,716,927  |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . .   | 6 | 30,309     |
| 7 | Add lines 5 and 6. . . . .  | 7 | 1,747,236  |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . .   | 8 | 1,583,820  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

|    |  |   |    |        |        |
|----|--|---|----|--------|--------|
| 1a |  | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                               |    |        |        |
| b  |  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |    | 1      | 60,618 |
| c  |  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                   |    |        |        |
| 2  |  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |    | 2      | 0      |
| 3  |  | Add lines 1 and 2. . . . .  |    | 3      | 60,618 |
| 4  |  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |    | 4      | 0      |
| 5  |  | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .   |    | 5      | 60,618 |
| 6  |  | Credits/Payments  |    |        |        |
| a  |  | 2014 estimated tax payments and 2013 overpayment credited to 2014   | 6a | 38,000 |        |
| b  |  | Exempt foreign organizations—tax withheld at source . . . . .   | 6b |        |        |
| c  |  | Tax paid with application for extension of time to file (Form 8868) . . . . .   | 6c |        |        |
| d  |  | Backup withholding erroneously withheld . . . . .   | 6d |        |        |
| 7  |  | Total credits and payments. Add lines 6a through 6d. . . . .  |    | 7      | 38,000 |
| 8  |  | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                              |    | 8      | 494    |
| 9  |  | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .   |    | 9      | 23,112 |
| 10 |  | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .  |    | 10     |        |
| 11 |  | Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                               |    | 11     |        |

Part VII-AStatements Regarding Activities

|    |  |    |     |    |
|----|--|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .   | 1a | Yes | No |
| b  | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | 1b |     | No |
| c  | Did the foundation file Form 1120-POL for this year? . . . . .   | 1c |     | No |
| d  | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0   |    |     |    |
| e  | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0   |    |     |    |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br><i>If "Yes," attach a detailed description of the activities.</i>   | 2  |     | No |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .  | 3  |     | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .  | 4a |     | No |
| b  | If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .   | 4b |     |    |
| 5  | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br><i>If "Yes," attach the statement required by General Instruction T.</i>   | 5  |     | No |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .             | 6  | Yes |    |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .  | 7  | Yes |    |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br><input type="checkbox"/> AL  |    |     |    |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .  | 8b | Yes |    |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)?<br><i>If "Yes," complete Part XIV</i> . . . . .   | 9  |     | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .   | 10 |     | No |

Part VII-A

Statements Regarding Activities *(continued)*

|   |   |    |     |    |
|---|---|----|-----|----|
| 11  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  | 11 |     | No |
| 12  | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12 |     | No |
| 13  | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   | 13 | Yes |    |
| Website address ▶ WWW LOWEFOUNDATION ORG  |   |    |     |    |
| 14  | The books are in care of ▶ JOHN R WYNN Telephone no ▶ (256) 536-1231<br>Located at ▶ PO BOX 348 HUNTSVILLE AL ZIP+4 ▶ 35801   |    |     |    |
| 15  | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ | 15 |     |    |
| 16  | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?   | 16 | Yes | No |
| See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ |   |    |     |    |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|   |   |    |     |    |
|---|---|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |   |    | Yes | No |
| 1a  | During the year did the foundation (either directly or indirectly)  |    |     |    |
|   | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |    |     |    |
|   | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |    |     |    |
|   | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |    |     |    |
|   | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |    |     |    |
|   | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |    |     |    |
|   | (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |    |     |    |
| b   | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> No<br>Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>   | 1b |     | No |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . . <input type="checkbox"/>  | 1c |     | No |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))   |    |     |    |
| a   | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____  |    |     |    |
| b   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . . <input type="checkbox"/>   | 2b |     |    |
| c   | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here<br>▶ 20____, 20____, 20____, 20____  |    |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |    |     |    |
| b   | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i> ) . . . . . <input type="checkbox"/> | 3b |     |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a |     | No |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?   | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                              | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| WF SANDERS JR                                     | TRUSTEE   | 50,000                                    | 0   | 0                                     |
| 700 GREENE STREET SE<br>HUNTSVILLE,AL 35801       | 4 00  |   |   |                                       |
| RICHARD J SMITH                                   | TRUSTEE   | 50,000                                    | 0   | 0                                     |
| 1697 E STONEHURST DRIVE SE<br>HUNTSVILLE,AL 35801 | 10 00   |   |   |                                       |
| JOHN R WYNN                                       | TRUSTEE   | 50,000                                    | 0   | 0                                     |
| 1 ST CHARLES ROAD<br>HUNTSVILLE,AL 35801          | 4 00  |   |   |                                       |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total

number of other employees paid over \$50,000.

0

Form 990-PF (2014)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". |                     |                  |
|--|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000  | (b) Type of service | (c) Compensation |
| NONE   |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . .                                |                     | 0                |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1  |          |
| 2  |          |
| 3  |          |
| 4  |          |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1  |        |
|  |        |
|  |        |
| 2  |        |
|  |        |
|  |        |
| All other program-related investments. See instructions.   |        |
| 3  |        |
|  |        |
|  |        |
| Total. Add lines 1 through 3. . . . .  | 0      |



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |  |    |            |
|---|--|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |    |            |
| a | Average monthly fair market value of securities. . . . .   | 1a | 33,344,293 |
| b | Average of monthly cash balances. . . . .  | 1b | 1,437,569  |
| c | Fair market value of all other assets (see instructions). . . . .  | 1c | 92,164     |
| d | Total (add lines 1a, b, and c). . . . .  | 1d | 34,874,026 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | 1e | 0          |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .  | 2  | 0          |
| 3 | Subtract line 2 from line 1d. . . . .  | 3  | 34,874,026 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | 4  | 523,110    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                | 5  | 34,350,916 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .   | 6  | 1,717,546  |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |   |    |           |
|----|---|----|-----------|
| 1  | Minimum investment return from Part X, line 6. . . . .  | 1  | 1,717,546 |
| 2a | Tax on investment income for 2014 from Part VI, line 5. . . . .   | 2a | 60,618    |
| b  | Income tax for 2014 (This does not include the tax from Part VI ). . . . .                                | 2b |           |
| c  | Add lines 2a and 2b. . . . .  | 2c | 60,618    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 1,656,928 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .  | 4  | 0         |
| 5  | Add lines 3 and 4. . . . .  | 5  | 1,656,928 |
| 6  | Deduction from distributable amount (see instructions). . . . .   | 6  | 0         |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 1,656,928 |

Part XII

Qualifying Distributions (see instructions)

|  |  |    |           |
|--|--|----|-----------|
| 1  | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes  |    |           |
| a  | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .   | 1a | 1,583,820 |
| b  | Program-related investments—total from Part IX-B. . . . .  | 1b | 0         |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .   | 2  |           |
| 3  | Amounts set aside for specific charitable projects that satisfy the  |    |           |
| a  | Suitability test (prior IRS approval required). . . . .  | 3a |           |
| b  | Cash distribution test (attach the required schedule). . . . .   | 3b |           |
| 4  | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4  | 4  | 1,583,820 |
| 5  | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | 5  | 0         |
| 6  | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .  | 6  | 1,583,820 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |  |    |           |

Part XIII

Undistributed Income (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7  |               |                            |             | 1,656,928   |
| 2 Undistributed income, if any, as of the end of 2014   |               |                            |             |             |
| a Enter amount for 2013 only. . . . .   |               |                            | 1,583,813   |             |
| b Total for prior years 20____, 20____, 20____  |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2014   |               |                            |             |             |
| a From 2009. . . . .  |               |                            |             |             |
| b From 2010. . . . .  |               |                            |             |             |
| c From 2011. . . . .  |               |                            |             |             |
| d From 2012. . . . .  |               |                            |             |             |
| e From 2013. . . . .  |               |                            |             |             |
| f Total of lines 3a through e. . . . .  | 0             |                            |             |             |
| 4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,583,820  |               |                            |             |             |
| a Applied to 2013, but not more than line 2a  |               |                            | 1,583,813   |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .  |               | 0                          |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .  | 0             |                            |             |             |
| d Applied to 2014 distributable amount. . . . .   |               |                            |             | 7           |
| e Remaining amount distributed out of corpus  | 0             |                            |             |             |
| 5 Excess distributions carryover applied to 2014<br>(If an amount appears in column (d), the same amount must be shown in column (a).)  | 0             |                            |             | 0           |
| 6 Enter the net total of each column as indicated below:  |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5   | 0             |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .  |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               | 0                          |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .   |               | 0                          |             |             |
| e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .   |               |                            | 0           |             |
| f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .   |               |                            |             | 1,656,921   |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       | 0             |                            |             |             |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . . .  | 0             |                            |             |             |
| 9 Excess distributions carryover to 2015.<br>Subtract lines 7 and 8 from line 6a . . . . .  | 0             |                            |             |             |
| 10 Analysis of line 9   |               |                            |             |             |
| a Excess from 2010. . . .   |               |                            |             |             |
| b Excess from 2011. . . .   |               |                            |             |             |
| c Excess from 2012. . . .   |               |                            |             |             |
| d Excess from 2013. . . .   |               |                            |             |             |
| e Excess from 2014. . . .   |               |                            |             |             |

## Part XIV

\_\_\_\_\_

4942(j)(3) or 4942(j)(5)

3

## Part XV

## 1

## 2

[illegible]

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
|--|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business)                        |  |                                      |                                     |           |
| a Paid during the year<br>See Additional Data Table        |  |                                      |                                     |           |
| Total . . . . .  |  |                                      | ▶ 3a                                | 1,583,820 |
| b Approved for future payment<br>See Additional Data Table |  |                                      |                                     |           |
| Total . . . . .  |  |                                      | ▶ 3b                                | 994,152   |


Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution   | Amount    |
|--|---|--------------------------------|--|-----------|
| Name and address (home or business)  |   |                                |  |           |
| <b>a</b> <i>Paid during the year</i>   |   |                                |  |           |
| AGNES SCOTT COLLEGE 141 EAST COLLEGE AVE<br>DECATUR,GA 30030   |   | PUBLIC CHARITY                 | PROVIDE EDUCATION  | 79,191    |
| BOYS & GIRLS CLUBS OF NORTH ALABAMA PO BOX 73<br>HUNTSVILLE,AL 35804   |   | PUBLIC CHARITY                 | FUNDING FOR YEAR ROUND TUTORING PROGRAM FOR YOUTH                                | 50,000    |
| COMMUNITY FREE CLINIC 410 SIVLEY ROAD<br>HUNTSVILLE,AL 35805   |   | PUBLIC CHARITY                 | PURCHASE OF LIFE-SUSTAINING PHARMACEUTICALS AND MEDICAL SUPPLIES                 | 21,230    |
| FREE 2 TEACH PO BOX 1405<br>HUNTSVILLE,AL 35807  |   | PUBLIC CHARITY                 | PURCHASE OF DATABASE MANAGEMENT SOFTWARE & HIRING OUTREACH & DEVELOPMENT MANAGER | 21,230    |
| GIRLS INC 4600 BLUE SPRINGS RD NW<br>HUNTSVILLE,AL 35810   |   | PUBLIC CHARITY                 | EDUCATION-NON PROFIT ORGANIZATION FOR GIRLS                                      | 79,191    |
| GREATER HUNTSVILLE HUMANE SOCIETY 2812 JOHNSON ROAD SW<br>HUNTSVILLE,AL 35805  |   | PUBLIC CHARITY                 | FENCING & BUILD PERMANENT ROOF STRUCTURE OVER OUTSIDE KENNEL                     | 21,230    |
| HUNTSVILLE BOYS AND GIRLS CLUB 215 ABINGDON AVE NW<br>HUNTSVILLE,AL 35801  |   | PUBLIC CHARITY                 | EDUCATION-NON PROFIT ORGANIZATION FOR BOYS & GRILS                               | 79,191    |
| HUNTSVILLE CHAMBER MUSIC GUILD 301 SPARKMAN DRIVE<br>HUNTSVILLE,AL 35899   |   | PUBLIC CHARITY                 | FUNDING FOR CULTURAL ENRICHMENT PROGRAM  | 21,230    |
| HUNTSVILLE INNER CITY LEARNING CENTER 2450 BRAHAN AVENUE SW<br>HUNTSVILLE,AL 35805                                     |   | PUBLIC CHARITY                 | PURCHASE OF KITCHEN APPLIANCES/MATERIALS TO PROVIDE DAILY MEALS TO CHILDREN      | 21,230    |
| HUNTSVILLE MUSEUM OF ART 300 CHURCH STREET<br>HUNTSVILLE,AL 35801  |   | PUBLIC CHARITY                 | REPLACE FLOORING THROUGH 5 OLDER GALLERIES                                       | 50,000    |
| HUNTSVILLE SYMPHONY ORCHESTRA PO BOX 2400<br>HUNTSVILLE,AL 35804   |   | PUBLIC CHARITY                 | FUNDING FOR YOUTH VIOLIN PROGRAM   | 21,230    |
| LIBERTY LEARNING FOUNDATION 1015 AIRPORT ROAD SUITE 102<br>HUNTSVILLE,AL 35802   |   | PUBLIC CHARITY                 | SUPER CITIZEN PROGRAM-TEACHING TOOLS TO EDUCATORS THAT INSPIRE NEXT GENERATION   | 21,230    |
| LINCOLN VILLAGE PRESERVATION CORPORATION 1110 MERIDIAN STREET<br>HUNTSVILLE,AL 35801                                   |   | PUBLIC CHARITY                 | PARTNERSHIP WITH HEALS, INC - MEDICAL,DENTAL,&OPTOMETRY SERVICES                 | 100,000   |
| MERRIMACK HALL OF PERFORMING ARTS CENTER 3220 TRIANA BLVD<br>HUNTSVILLE,AL 35805                                       |   | PUBLIC CHARITY                 | PROGRAM FOR ADULTS WITH SPECIAL NEEDS  | 50,000    |
| RANDOLPH SCHOOL 1005 DRAKE AVE<br>HUNTSVILLE,AL 35802  |   | PUBLIC CHARITY                 | PROVIDE EDUCATION  | 79,191    |
| <b>Total . . . . .</b>  <b>3a</b> |   |                                |  | 1,583,820 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution  | Amount    |
|--|---|--------------------------------|---|-----------|
| Name and address (home or business)                                      |   |                                |   |           |
| <b>a</b> <i>Paid during the year</i>                                     |   |                                |   |           |
| RISE OF HUNTSVILLE 5050 TECHNOLOGY DRIVE NW HUNTSVILLE,AL 35805          |   | PUBLIC CHARITY                 | SERVICES TO ASSIST CHILDREN WITH TRANSITION INTO PUBLIC SCHOOL SYSTEM           | 21,230    |
| STILL SERVING VETERANS 626 CLINTON AVENUE HUNTSVILLE,AL 35801            |   | PUBLIC CHARITY                 | EXPAND ABILITY TO CONNECT WITH VETERANS AND FAMILIES WITH SERVICE PROVIDERS     | 21,230    |
| THE ARC OF MADISON COUNTY 1100 WASHINGTON STREET NW HUNTSVILLE,AL 35801  |   | PUBLIC CHARITY                 | PURCHASE OF 3 TRAMS AND 2 MINI VANS   | 21,230    |
| THE NATURE CONSERVANCY 2100 FIRST AVENUE NORTH BIRMINGHAM,AL 35203       |   | PUBLIC CHARITY                 | EXPANSION OF NATURE TRAILS, SIGNAGE, REMOVAL OF INVASIVE SPECIES, & RESTORATION | 21,230    |
| UNIV ALA HUNTSVILLE FOUNDATION 102 ALUMNI HOUSE HUNTSVILLE,AL 35899      |   | PUBLIC CHARITY                 | EDUCATION AND MAINTENANCE FOR LOWE HOUSE  | 50,000    |
| UNIVERSITY OF ALABAMA - BIRMINGHAM 701 SOUTH 20TH ST BIRMINGHAM,AL 35294 |   | PUBLIC CHARITY                 | EDUCATION AND RESEARCH  | 554,335   |
| VANDERBILT UNIVERSITY 105 KIRKLAND HALL NASHVILLE,TN 37240               |   | PUBLIC CHARITY                 | EDUCATION AND RESEARCH  | 79,191    |
| VILLAGE OF PROMISE 200 PRATT AVENUE SUITE B2 HUNTSVILLE,AL 35801         |   | PUBLIC CHARITY                 | INFANT UNIV PROGRAM- TEACH NURTURING & HEALTH SKILLS TO EXPECTANT PARENTS       | 100,000   |
| <b>Total . . . . .</b>   |   |                                | <b>3a</b>   | 1,583,820 |



## TY 2014 Accounting Fees Schedule

**Name:** THE JANE K LOWE CHARITABLE FOUNDATION

**EIN:** 63-6203439

| Category   | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|------------|--------|--------------------------|------------------------|---|
| ACCOUNTING | 8,351  | 8,351                    | 8,351                  | 0   |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: THE JANE K LOWE CHARITABLE FOUNDATION

EIN: 63-6203439

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|-------------------------|---------------|---------------------|---------------------------|--------------------|--------------------------|-------------------------------------|-----------------------|---------------------|---------------------------------|
| OFFICE EQUIPMENT        | 1999-06-30    | 4,254               | 4,254                     | 200DB              | 5 000000000000           | 0                                   | 0                     | 0                   |                                 |
| FURNITURE AND FIXTURES  | 1997-08-21    | 2,580               | 2,580                     | SL                 | 7 000000000000           | 0                                   | 0                     | 0                   |                                 |
| COMPUTER                | 2004-01-20    | 1,259               | 629                       | 200DB              | 5 000000000000           | 0                                   | 0                     | 0                   |                                 |
| PRINTER                 | 2004-07-12    | 649                 | 324                       | 200DB              | 5 000000000000           | 0                                   | 0                     | 0                   |                                 |
| COMPUTER                | 2011-02-01    | 3,596               | 2,500                     | 200DB              | 5 000000000000           | 414                                 | 0                     | 719                 |                                 |
| PRINTER/COPIER/SCANNER  | 2012-05-29    | 2,160               | 1,123                     | 200DB              | 5 000000000000           | 415                                 | 0                     | 432                 |                                 |

**TY 2014 Investments Corporate  
Bonds Schedule****Name:** THE JANE K LOWE CHARITABLE FOUNDATION**EIN:** 63-6203439

| Name of Bond    | End of Year Book<br>Value | End of Year Fair<br>Market Value |
|-----------------|---------------------------|----------------------------------|
| CORPORATE BONDS | 3,018,230                 | 3,353,921                        |

## TY 2014 Investments - Other Schedule

**Name:** THE JANE K LOWE CHARITABLE FOUNDATION

**EIN:** 63-6203439

| Category / Item  | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|------------------|-----------------------|------------|-------------------------------|
| MUTUAL FUNDS     | AT COST               | 23,196,503 | 28,088,433                    |
| STOCKS & OPTIONS | AT COST               | 1,027,027  | 1,010,251                     |

**TY 2014 Land, Etc. Schedule****Name:** THE JANE K LOWE CHARITABLE FOUNDATION**EIN:** 63-6203439

| Category / Item        | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|------------------------|--------------------|--------------------------|------------|-------------------------------|
| OFFICE EQUIPMENT       | 4,254              | 4,254                    | 0          |                               |
| FURNITURE AND FIXTURES | 2,580              | 2,580                    | 0          |                               |
| COMPUTER               | 1,259              | 1,259                    | 0          |                               |
| PRINTER                | 649                | 649                      | 0          |                               |
| COMPUTER               | 3,596              | 2,914                    | 682        |                               |
| PRINTER/COPIER/SCANNER | 2,160              | 1,538                    | 622        |                               |

# TY 2014 Other Assets Schedule

**Name:** THE JANE K LOWE CHARITABLE FOUNDATION

**EIN:** 63-6203439

| Description                 | Beginning of Year -<br>Book Value | End of Year - Book<br>Value | End of Year - Fair<br>Market Value |
|-----------------------------|-----------------------------------|-----------------------------|------------------------------------|
| ACCRUED INTEREST RECEIVABLE | 48,170                            | 39,764                      | 39,764                             |

## TY 2014 Other Expenses Schedule

**Name:** THE JANE K LOWE CHARITABLE FOUNDATION

**EIN:** 63-6203439

| Description     | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|-----------------|-----------------------------------|--------------------------|---------------------|--|
| OFFICE EXPENSES | 12,941                            | 12,941                   | 12,941              | 0  |
| INSURANCE       | 7,457                             | 7,457                    | 7,457               | 0  |

**TY 2014 Other Liabilities Schedule****Name:** THE JANE K LOWE CHARITABLE FOUNDATION**EIN:** 63-6203439

| Description                        | Beginning of Year -<br>Book Value | End of Year - Book<br>Value |
|------------------------------------|-----------------------------------|-----------------------------|
| PAYROLL TAXES WITHHELD AND PAYABLE | 320                               | 321                         |
| INCOME TAXES PAYABLE               | 15,820                            | 23,112                      |



**TY 2014 Other Professional Fees Schedule****Name:** THE JANE K LOWE CHARITABLE FOUNDATION**EIN:** 63-6203439

| Category                     | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|------------------------------|--------|--------------------------|------------------------|---|
| INVESTMENT CUSTODIAL<br>FEES | 34,610 | 34,610                   | 34,610                 | 0   |

**TY 2014 Taxes Schedule****Name:** THE JANE K LOWE CHARITABLE FOUNDATION**EIN:** 63-6203439

| Category                        | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|---------------------------------|--------|--------------------------|------------------------|---|
| FOREIGN TAXES                   | 14,394 | 14,394                   | 14,394                 | 0   |
| PAYROLL TAXES                   | 3,174  | 3,174                    | 3,174                  | 0   |
| TAXES PAID ON INVESTMENT INCOME | 60,618 | 0                        | 0                      | 0   |
| PENALTIES AND INTEREST          | 501    | 0                        | 0                      | 0   |
| AD VALOREM TAXES                | 88     | 88                       | 88                     | 0   |

# 2014 Year-End Account Summary

Page 4 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions

### Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)  | Quantity Sold    | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis<br>(Box 1e) | Code<br>(Box 1f) | Adjustments<br>(Box 1g) | Gain/Loss<br>Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|------------------|-------------------------|---------------------|------------------------|
| BLACKROCK GLOBAL ALLOC *<br>GLOBAL ALLOCATION INSTIT<br>CUSIP 09251T509 | 951 51800        | 12/10/2013                   | 07/18/2014                           | 21,000 00            | 21,114 19                          |                  | 0 00                    | -114 19             |                        |
| FIRST EAGLE FDS INC *   | 379 80600        | 12/18/2013                   | 07/18/2014                           | 21,743 89            | 19,814 47                          |                  | 0 00                    | 1,929 42            |                        |
| GLOBAL FD CL I  | 205 92500        | 12/18/2013                   | 07/18/2014                           | 11,789 20            | 10,743 12                          |                  | 0 00                    | 1,046 08            |                        |
| CUSIP 32008F606   | 86 41500         | 12/18/2013                   | 07/18/2014                           | 4,947 25             | 4,508 27                           |                  | 0 00                    | 438 98              |                        |
| <b>Subtotals</b>  | <b>672.14600</b> |                              |                                      | <b>\$38,480.34</b>   | <b>\$35,065.86</b>                 |                  | <b>\$0.00</b>           | <b>\$3,414.48</b>   |                        |
| GABELLI EQUITY SER FD *   | 550 60900        | 11/27/2013                   | 08/06/2014                           | 26,594 41            | 26,153 93                          |                  | 0 00                    | 440 48              |                        |
| SML CAP GROWTH FUND   | 5 48700          | 11/27/2013                   | 08/06/2014                           | 265 02               | 260 61                             |                  | 0 00                    | 4 41                |                        |
| CUSIP 36239T830   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| <b>Subtotals</b>  | <b>556.09600</b> |                              |                                      | <b>\$26,859.43</b>   | <b>\$26,414.54</b>                 |                  | <b>\$0.00</b>           | <b>\$444.89</b>     |                        |
| HARBOR FUND SMALL CAP *   | 4325 60000       | 12/18/2013                   | 08/06/2014                           | 110,000 00           | 106,842 32                         |                  | 0 00                    | 3,157 68            |                        |
| VALUE FD INSTL CL   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| CUSIP 411511843   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| IVY FDS INC *   | 157 52000        | 12/13/2013                   | 07/18/2014                           | 5,064 26             | 4,911 46                           |                  | 0 00                    | 152 80              |                        |
| ASSET STRATEGY FD CL I  |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| CUSIP 466001864   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| PIMCO *   | 1649 47100       | 12/31/2013                   | 12/26/2014                           | 15,620 51            | 16,346 26                          |                  | 0 00                    | -725 75             |                        |
| ALL ASSET ALL AUTHORITY   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |
| CUSIP 72200Q182   |                  |                              |                                      |                      |                                    |                  |                         |                     |                        |



## 2014 Year-End Account Summary

As of Date: 03/14/15

Page 5 of 24

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

### Proceeds from Broker and Barter Exchange Transactions, continued

#### Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)                                       | Quantity Sold                              | Date<br>Acquired<br>(Box 1b)                         | Date Sold<br>or Disposed<br>(Box 1c)                 | Proceeds<br>(Box 1d)                       | Cost or<br>Other Basis<br>(Box 1e)       | Code<br>(Box 1f) | Adjustments<br>(Box 1g)      | Gain/Loss<br>Amount               | Additional Information |
|--|--|--|--|--|--|------------------|------------------------------|-----------------------------------|------------------------|
| RUSSELL INVT CO *<br>COMMODITY STRATEGIES FD<br>CUSIP 782494363        | 40047 39300                                | 02/06/2014   | 12/26/2014   | 277,928 91                                 | 338,000 00                               |                  | 0 00                         | -60,071 09                        |                        |
| VANGUARD SPECIALIZED *<br>ENERGY FD ADMIRAL SHS<br>CUSIP 921908802     | 8 18400<br>61 62900<br>60 56100<br>1 94500 | 03/20/2013<br>12/18/2013<br>12/18/2013<br>12/18/2013 | 01/23/2014<br>01/23/2014<br>01/23/2014<br>01/23/2014 | 1,017 51<br>7,662 34<br>7,529 56<br>241 83 | 956 80<br>7,467 57<br>7,338 13<br>235 64 |                  | 0 00<br>0 00<br>0 00<br>0 00 | 60 71<br>194 77<br>191 43<br>6 19 |                        |
| <b>Subtotals</b>   | <b>132.31900</b>                           |  |  | <b>\$16,451.24</b>                         | <b>\$15,998.14</b>                       |                  | <b>\$0.00</b>                | <b>\$453.10</b>                   |                        |
| VANGUARD INTL EQUITY *<br>INDEX FDS PACIFIC STOCK<br>CUSIP 922042700   | 48 95300<br>200 39700                      | 09/23/2013<br>12/20/2013                             | 08/07/2014<br>08/07/2014                             | 3,728 25<br>15,262 23                      | 3,680 29<br>14,663 08                    |                  | 0 00<br>0 00                 | 47 96<br>599 15                   |                        |
| <b>Subtotals</b>   | <b>249.35000</b>                           |  |  | <b>\$18,990.48</b>                         | <b>\$18,343.37</b>                       |                  | <b>\$0.00</b>                | <b>\$647.11</b>                   |                        |
| VANGUARD INTL EQUITY *<br>INDEX FDS EUROPEAN STOCK<br>CUSIP 922042809  | 60 62700<br>68 84700                       | 09/23/2013<br>12/20/2013                             | 07/22/2014<br>07/22/2014                             | 4,479 12<br>5,086 41                       | 4,161 48<br>4,864 01                     |                  | 0 00<br>0 00                 | 317 64<br>222 40                  |                        |
| <b>Subtotals</b>   | <b>129.47400</b>                           |  |  | <b>\$9,565.53</b>                          | <b>\$9,025.49</b>                        |                  | <b>\$0.00</b>                | <b>\$540.04</b>                   |                        |
| VANGUARD INDEX *<br>VANGUARD 500 INDEX FD<br>CUSIP 922908710           | 74 80800<br>81 72200                       | 09/23/2013<br>12/24/2013                             | 07/22/2014<br>07/22/2014                             | 13,701 83<br>14,968 19                     | 11,784 57<br>13,762 84                   |                  | 0 00<br>0 00                 | 1,917 26<br>1,205 35              |                        |
| <b>Subtotals</b>   | <b>156.53000</b>                           |  |  | <b>\$28,670.02</b>                         | <b>\$25,547.41</b>                       |                  | <b>\$0.00</b>                | <b>\$3,122.61</b>                 |                        |
| WELLS FARGO FDS TRUST *<br>WFA ABSOLUTE RETURN FUND<br>CUSIP 94987W307 | 14 06400<br>0 09000<br>782 17100           | 12/10/2013<br>12/10/2013<br>12/31/2013               | 07/18/2014<br>07/18/2014<br>07/18/2014               | 163 28<br>1 04<br>9,081 00                 | 157 66<br>1 01<br>8,713 39               |                  | 0 00<br>0 00<br>0 00         | 5 62<br>0 03<br>367 61            |                        |
| <b>Subtotals</b>   | <b>796.32500</b>                           |  |  | <b>\$9,245.32</b>                          | <b>\$8,872.06</b>                        |                  | <b>\$0.00</b>                | <b>\$373.26</b>                   |                        |

# 2014 Year-End Account Summary

Page 6 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions, continued

### Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)                                  | Quantity Sold | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis<br>(Box 1e) | Code<br>(Box 1f) | Adjustments<br>(Box 1g) | Gain/Loss<br>Amount  | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|------------------|-------------------------|----------------------|------------------------|
| WELLS FARGO FUNDS TR *<br>EMERGING GROWTH FUND<br>CUSIP 949921688 | 1488 67300    | 12/12/2013                   | 08/06/2014                           | 23,000 00            | 24,444 02                          |                  | 0 00                    | -1,444 02            |                        |
| <b>Totals</b>   |               |                              |                                      | <b>\$600,876.04</b>  | <b>\$650,925.12</b>                |                  | <b>\$0.00</b>           | <b>(\$50,049.08)</b> |                        |



## 2014 Year-End Account Summary

As of Date: 03/14/15

Page 7 of 24

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

### Proceeds from Broker and Barter Exchange Transactions, continued

#### Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a) | Quantity Sold      | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis<br>(Box 1e) | Code<br>(Box 1f) | Adjustments<br>(Box 1g) | Gain/Loss<br>Amount  | Additional Information |
|----------------------------------|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|------------------|-------------------------|----------------------|------------------------|
| BLACKROCK GLOBAL ALLOC *         | 21581 15400        | 12/10/2013                   | 12/26/2014                           | 432,054 70           | 478,885 81                         |                  | 0 00                    | -46,831 11           |                        |
| GLOBAL ALLOCATION INSTIT         | 765 85200          | 12/18/2013                   | 12/26/2014                           | 15,332 36            | 16,013 97                          |                  | 0 00                    | -681 61              |                        |
| CUSIP 09251T509                  | 272 77100          | 12/18/2013                   | 12/26/2014                           | 5,460 87             | 5,703 65                           |                  | 0 00                    | -242 78              |                        |
|                                  | 164 04500          | 12/18/2013                   | 12/26/2014                           | 3,284 19             | 3,430 19                           |                  | 0 00                    | -146 00              |                        |
| <b>Subtotals</b>                 | <b>22783.82200</b> |                              |                                      | <b>\$456,132.12</b>  | <b>\$504,033.62</b>                |                  | <b>\$0.00</b>           | <b>(\$47,901.50)</b> |                        |
| FIRST EAGLE FDS INC *            | 2559 90200         | 11/09/2012                   | 07/18/2014                           | 146,554 38           | 125,000 00                         |                  | 0 00                    | 21,554 38            |                        |
| GLOBAL FD CL I                   | 313 10000          | 12/14/2012                   | 07/18/2014                           | 17,924 97            | 15,069 51                          |                  | 0 00                    | 2,855 46             |                        |
| CUSIP 32008F606                  | 172 19100          | 12/14/2012                   | 07/18/2014                           | 9,857 93             | 8,287 57                           |                  | 0 00                    | 1,570 36             |                        |
|                                  | 71 27000           | 12/14/2012                   | 07/18/2014                           | 4,080 20             | 3,430 24                           |                  | 0 00                    | 649 96               |                        |
|                                  | 53 86100           | 12/17/2012                   | 07/18/2014                           | 3,083 54             | 2,592 32                           |                  | 0 00                    | 491 22               |                        |
| <b>Subtotals</b>                 | <b>3170.32400</b>  |                              |                                      | <b>\$181,501.02</b>  | <b>\$154,379.64</b>                |                  | <b>\$0.00</b>           | <b>\$27,121.38</b>   |                        |
| GABELLI EQUITY SER FD *          | 387 55000          | 11/29/2012                   | 08/06/2014                           | 18,718 66            | 13,746 40                          |                  | 0 00                    | 4,972 26             |                        |
| SML CAP GROWTH FUND              | 129 79100          | 11/29/2012                   | 08/06/2014                           | 6,268 90             | 4,603 70                           |                  | 0 00                    | 1,665 20             |                        |
| CUSIP 36239T830                  | 35 46200           | 11/29/2012                   | 08/06/2014                           | 1,712 81             | 1,257 84                           |                  | 0 00                    | 454 97               |                        |
|                                  | 92 93900           | 12/28/2012                   | 08/06/2014                           | 4,488 95             | 3,393 22                           |                  | 0 00                    | 1,095 73             |                        |
| <b>Subtotals</b>                 | <b>645.74200</b>   |                              |                                      | <b>\$31,189.32</b>   | <b>\$23,001.16</b>                 |                  | <b>\$0.00</b>           | <b>\$8,188.16</b>    |                        |
| IVY FDS INC *                    | 5443 23500         | 04/12/2012                   | 07/18/2014                           | 175,000 00           | 140,000 00                         |                  | 0 00                    | 35,000 00            |                        |
| ASSET STRATEGY FD CL I           | 3492 46500         | 11/09/2012                   | 07/18/2014                           | 112,282 77           | 88,534 00                          |                  | 0 00                    | 23,748 77            |                        |
| CUSIP 466001864                  | 735 70700          | 12/14/2012                   | 07/18/2014                           | 23,652 97            | 18,856 17                          |                  | 0 00                    | 4,796 80             |                        |
| <b>Subtotals</b>                 | <b>9671.40700</b>  |                              |                                      | <b>\$310,935.74</b>  | <b>\$247,390.17</b>                |                  | <b>\$0.00</b>           | <b>\$63,545.57</b>   |                        |
| PIMCO *                          | 411 20100          | 09/21/2012                   | 07/18/2014                           | 4,255 93             | 4,589 00                           |                  | 0 00                    | -333 07              |                        |
| ALL ASSET ALL AUTHORITY          | 1487 01500         | 12/28/2012                   | 07/18/2014                           | 15,390 60            | 16,639 70                          |                  | 0 00                    | -1,249 10            |                        |
| CUSIP 72200Q182                  | 425 50300          | 03/23/2012                   | 12/26/2014                           | 4,029 51             | 4,506 08                           |                  | 0 00                    | -476 57              |                        |

# 2014 Year-End Account Summary

Page 8 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a) | Quantity Sold     | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis<br>(Box 1e) | Code<br>(Box 1f) | Adjustments<br>(Box 1g) | Gain/Loss<br>Amount | Additional Information |
|----------------------------------|-------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|------------------|-------------------------|---------------------|------------------------|
| PIMCO *                          | 257 53800         | 06/22/2012                   | 12/26/2014                           | 2,438 88             | 2,668 09                           |                  | 0 00                    | -229 21             |                        |
| ALL ASSET ALL AUTHORITY          | 401 46300         | 01/02/2013                   | 12/26/2014                           | 3,801 85             | 4,452 22                           |                  | 0 00                    | -650 37             |                        |
| CUSIP 72200Q182                  | 443 11600         | 03/22/2013                   | 12/26/2014                           | 4,196 31             | 4,843 26                           |                  | 0 00                    | -646 95             |                        |
|                                  | 399 39000         | 06/21/2013                   | 12/26/2014                           | 3,782 22             | 4,085 76                           |                  | 0 00                    | -303 54             |                        |
|                                  | 421 85600         | 09/20/2013                   | 12/26/2014                           | 3,994 98             | 4,349 34                           |                  | 0 00                    | -354 36             |                        |
| <b>Subtotals</b>                 | <b>4247.08200</b> |                              |                                      | <b>\$41,890.28</b>   | <b>\$46,133.45</b>                 |                  | <b>\$0.00</b>           | <b>(\$4,243.17)</b> |                        |
| VANGUARD SPECIALIZED *           | 52 04800          | 03/22/2012                   | 01/23/2014                           | 6,471 13             | 6,178 30                           |                  | 0 00                    | 292 83              |                        |
| ENERGY FD ADMIRAL SHS            | 2 47800           | 03/22/2012                   | 01/23/2014                           | 308 09               | 292 78                             |                  | 0 00                    | 15 31               |                        |
| CUSIP 921908802                  | 73 89200          | 12/31/2012                   | 01/23/2014                           | 9,187 00             | 8,072 69                           |                  | 0 00                    | 1,114 31            |                        |
| <b>Subtotals</b>                 | <b>128.41800</b>  |                              |                                      | <b>\$15,966.22</b>   | <b>\$14,543.77</b>                 |                  | <b>\$0.00</b>           | <b>\$1,422.45</b>   |                        |
| VANGUARD INTL EQUITY *           | 2635 73700        | 02/11/2013                   | 08/07/2014                           | 200,737 72           | 180,480 06                         |                  | 0 00                    | 20,257 66           |                        |
| INDEX FDS PACIFIC STOCK          | 34 39300          | 03/22/2013                   | 08/07/2014                           | 2,619 37             | 2,444 22                           |                  | 0 00                    | 175 15              |                        |
| CUSIP 922042700                  |                   |                              |                                      |                      |                                    |                  |                         |                     |                        |
| <b>Subtotals</b>                 | <b>2670.13000</b> |                              |                                      | <b>\$203,357.09</b>  | <b>\$182,924.28</b>                |                  | <b>\$0.00</b>           | <b>\$20,432.81</b>  |                        |
| VANGUARD INTL EQUITY *           | 1751 95500        | 02/11/2013                   | 07/22/2014                           | 129,434 47           | 109,186 42                         |                  | 0 00                    | 20,248 05           |                        |
| INDEX FDS EUROPEAN STOCK         | 1457 15300        | 02/11/2013                   | 08/07/2014                           | 101,927 85           | 90,813 58                          |                  | 0 00                    | 11,114 27           |                        |
| CUSIP 922042809                  |                   |                              |                                      |                      |                                    |                  |                         |                     |                        |
| <b>Subtotals</b>                 | <b>3209.10800</b> |                              |                                      | <b>\$231,362.32</b>  | <b>\$200,000.00</b>                |                  | <b>\$0.00</b>           | <b>\$31,362.32</b>  |                        |
| VANGUARD INDEX *                 | 64 29800          | 03/26/2012                   | 07/22/2014                           | 11,776 81            | 8,274 14                           |                  | 0 00                    | 3,502 67            |                        |
| VANGUARD 500 INDEX FD            | 76 13300          | 06/25/2012                   | 07/22/2014                           | 13,944 51            | 9,363 07                           |                  | 0 00                    | 4,581 44            |                        |
| CUSIP 922908710                  | 74 66000          | 09/24/2012                   | 07/22/2014                           | 13,674 72            | 10,046 82                          |                  | 0 00                    | 3,627 90            |                        |
|                                  | 105 07100         | 12/24/2012                   | 07/22/2014                           | 19,244 80            | 13,836 50                          |                  | 0 00                    | 5,408 30            |                        |
|                                  | 70 05100          | 03/22/2013                   | 07/22/2014                           | 12,830 53            | 9,974 56                           |                  | 0 00                    | 2,855 97            |                        |
|                                  | 75 03200          | 06/24/2013                   | 07/22/2014                           | 13,742 85            | 11,008 03                          |                  | 0 00                    | 2,734 82            |                        |



## 2014 Year-End Account Summary

As of Date: 03/14/15

Page 9 of 24

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

### Proceeds from Broker and Barter Exchange Transactions, continued

#### Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a) | Quantity Sold     | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d)  | Cost or<br>Other Basis<br>(Box 1e) | Code<br>(Box 1f) | Adjustments<br>(Box 1g) | Gain/Loss<br>Amount | Additional Information |
|----------------------------------|-------------------|------------------------------|--------------------------------------|-----------------------|------------------------------------|------------------|-------------------------|---------------------|------------------------|
| VANGUARD INDEX *                 |                   |                              |                                      |                       |                                    |                  |                         |                     |                        |
| VANGUARD 500 INDEX FD            |                   |                              |                                      |                       |                                    |                  |                         |                     |                        |
| CUSIP 922908710                  |                   |                              |                                      |                       |                                    |                  |                         |                     |                        |
| <b>Subtotals</b>                 | <b>465.24500</b>  |                              |                                      | <b>\$85,214.22</b>    | <b>\$62,503.12</b>                 |                  | <b>\$0.00</b>           | <b>\$22,711.10</b>  |                        |
| WELLS FARGO FDS TRUST *          | 7036 01100        | 04/12/2012                   | 07/18/2014                           | 81,688 11             | 69,867 59                          |                  | 0 00                    | 11,820 52           |                        |
| WFA ABSOLUTE RETURN FUND         | 178 00000         | 12/31/2012                   | 07/18/2014                           | 2,066 57              | 1,826 28                           |                  | 0 00                    | 240 29              |                        |
| CUSIP 94987W307                  |                   |                              |                                      |                       |                                    |                  |                         |                     |                        |
| <b>Subtotals</b>                 | <b>7214.01100</b> |                              |                                      | <b>\$83,754.68</b>    | <b>\$71,693.87</b>                 |                  | <b>\$0.00</b>           | <b>\$12,060.81</b>  |                        |
| <b>Totals</b>                    |                   |                              |                                      | <b>\$1,641,303.01</b> | <b>\$1,506,603.08</b>              |                  | <b>\$0.00</b>           | <b>\$134,699.93</b> |                        |



# 2014 Year-End Account Summary

Page 10 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)                                       | Quantity Sold                                    | Date<br>Acquired<br>(Box 1b)                         | Date Sold<br>or Disposed<br>(Box 1c)                 | Proceeds<br>(Box 1d)                             | Cost or<br>Other Basis                           | Code | Adjustments                  | Gain/Loss<br>Amount                           | Additional Information |           |
|--|--|--|--|--|--|------|------------------------------|---|------------------------|-----------|
| ALLSTATE CORP<br>NOTES<br>CPN 5 00% DUE 08/15/2014<br>CUSIP 020002AR2  | 100000 00000                                     | 03/19/2009   | 08/15/2014   | 100,000 00                                       | 99,556 19  |      | 0 00                         | 443 81  | Original Basis         | 93,292 00 |
| BELLSOUTH CORP<br>NOTES<br>CPN 5 20% DUE 12/15/2016<br>CUSIP 079860AL6 | 100000 00000                                     | 12/01/2008   | 07/15/2014   | 110,211 89                                       | 90,929 78  |      | 0 00                         | 19,282 11                                     | Original Basis         | 90,756 00 |
| DWS VALUE SER INC *<br>MID CAP VALUE FD CL S<br>CUSIP 23338F713        | 4562 50000                                       | 11/30/2009   | 01/24/2014   | 73,000 00  | 41,746 88  |      | 0 00                         | 31,253 12                                     |                        |           |
| DODGE & COX FDS *<br>INTL STK FD<br>CUSIP 256206103                    | 3606 63600                                       | 12/15/2009   | 01/24/2014   | 150,000 00                                       | 115,484 49                                       |      | 0 00                         | 34,515 51                                     |                        |           |
| FAIRHOLME FDS INC *<br>CUSIP 304871106                                 | 3282 69800                                       | 05/08/2009   | 01/23/2014   | 127,500 00                                       | 81,148 29  |      | 0 00                         | 46,351 71                                     |                        |           |
| FIDELITY ADV NEW *<br>INSIGHTS FD CL I<br>CUSIP 316071604              | 1857 35500                                       | 10/12/2009   | 01/23/2014   | 50,000 00  | 30,757 80  |      | 0 00                         | 19,242 20                                     |                        |           |
| FIRST EAGLE FDS INC *<br>GLOBAL FD CL I<br>CUSIP 32008F606             | 965 00000<br>287 18700<br>253 56300<br>241 30000 | 12/15/2009<br>12/20/2010<br>12/14/2011<br>12/14/2011 | 07/18/2014<br>07/18/2014<br>07/18/2014<br>07/18/2014 | 55,246 29<br>16,441 45<br>14,516 48<br>13,814 42 | 39,507 11<br>13,184 75<br>11,319 03<br>10,771 62 |      | 0 00<br>0 00<br>0 00<br>0 00 | 15,739 18<br>3,256 70<br>3,197 45<br>3,042 80 |                        |           |
| <b>Subtotals</b>   | <b>1747.05000</b>                                |  |  | <b>\$100,018.64</b>                              | <b>\$74,782.51</b>                               |      | <b>\$0.00</b>                | <b>\$25,236.13</b>                            |                        |           |



## 2014 Year-End Account Summary

As of Date: 03/14/15

Page 11 of 24

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

### Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)   | Quantity Sold     | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis | Code | Adjustments   | Gain/Loss<br>Amount | Additional Information |
|--|-------------------|------------------------------|--------------------------------------|----------------------|------------------------|------|---------------|---------------------|------------------------|
| JPMORGAN MID CAP VALUE *<br>FUND CL I<br>CUSIP 339128100                                     | 2935 13400        | 09/10/2010                   | 01/24/2014                           | 100,000 00           | 60,111 55              |      | 0 00          | 39,888 45           |                        |
| GABELLI EQUITY SER FD *  | 3274 87900        | 11/30/2009                   | 01/24/2014                           | 155,000 00           | 83,954 20              |      | 0 00          | 71,045 80           |                        |
| SML CAP GROWTH FUND  | 824 30500         | 03/15/2010                   | 08/06/2014                           | 39,813 97            | 23,598 22              |      | 0 00          | 16,215 75           |                        |
| CUSIP 36239T830  | 433 32600         | 11/29/2011                   | 08/06/2014                           | 20,929 64            | 13,233 17              |      | 0 00          | 7,696 47            |                        |
|  | 4 29900           | 11/29/2011                   | 08/06/2014                           | 207 64               | 131 14                 |      | 0 00          | 76 50               |                        |
| <b>Subtotals</b>   | <b>4536.80900</b> |                              |                                      | <b>\$215,951.25</b>  | <b>\$120,916.73</b>    |      | <b>\$0.00</b> | <b>\$95,034.52</b>  |                        |
| GOLDMAN SACHS GROUP INC<br>GLOBAL BONDS<br>CPN 5 50% DUE 11/15/2014<br>CUSIP 38141GCM4       | 100000 00000      | 11/25/2002                   | 11/17/2014                           | 100,000 00           | 100,376 00             |      | 0 00          | -376 00             |                        |
| GOLDMAN SACHS TRUST *<br>GROWTH OPPORTUNITIES FD<br>CUSIP 38142Y401                          | 2768 40000        | 10/12/2009                   | 01/24/2014                           | 82,000 00            | 53,374 76              |      | 0 00          | 28,625 24           |                        |
| GOLDMAN SACHS GROUP INC<br>SR UNSECURED NOTES<br>CPN 5 00% DUE 10/01/2014<br>CUSIP 38143UAW1 | 50000 00000       | 10/25/2005                   | 10/01/2014                           | 50,000 00            | 50,000 00              |      | 0 00          | 0 00                |                        |
| HARBOR FUND SMALL CAP *<br>VALUE FD INSTL CL<br>CUSIP 411511843                              | 5615 72400        | 11/30/2009                   | 01/24/2014                           | 140,000 00           | 85,639 79              |      | 0 00          | 54,360 21           |                        |
| LONGLEAF PARTNERS FDS *<br>SH BEN INT<br>CUSIP 543069108                                     | 7843 13700        | 05/08/2009                   | 01/23/2014                           | 260,000 00           | 155,137 25             |      | 0 00          | 104,862 75          |                        |

# 2014 Year-End Account Summary

Page 12 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)  | Quantity Sold      | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis | Code | Adjustments   | Gain/Loss<br>Amount  | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------|------|---------------|----------------------|------------------------|
| MIDAMERICAN ENERGY HLDGS<br>SENIOR NOTES SER D<br>CPN 5.00% DUE 02/15/2014<br>CUSIP 59562VAK3 | 100000 00000       | 11/21/2005                   | 02/18/2014                           | 100,000 00           | 99,697 00              |      | 0 00          | 303 00               |                        |
| OPPENHEIMER INTL GRWTH *<br>FD CL Y SHS<br>CUSIP 68380L407                                    | 6124 11500         | 11/03/2009                   | 01/24/2014                           | 225,000 00           | 143,794 22             |      | 0 00          | 81,205 78            |                        |
| PIMCO *   | 2256 37300         | 08/02/2011                   | 07/18/2014                           | 23,353 47            | 25,090 87              |      | 0 00          | -1,737 40            |                        |
| ALL ASSET ALL AUTHORITY   | 41301 13600        | 08/02/2011                   | 12/26/2014                           | 391,121 75           | 459,268 63             |      | 0 00          | -68,146 88           |                        |
| CUSIP 72200Q182   | 499 39400          | 09/19/2011                   | 12/26/2014                           | 4,729 26             | 5,303 56               |      | 0 00          | -574 30              |                        |
|   | 2416 68400         | 12/30/2011                   | 12/26/2014                           | 22,886 00            | 24,118 51              |      | 0 00          | -1,232 51            |                        |
| <b>Subtotals</b>  | <b>46473.58700</b> |                              |                                      | <b>\$442,090.48</b>  | <b>\$513,781.57</b>    |      | <b>\$0.00</b> | <b>(\$71,691.09)</b> |                        |
| PRUDENTIAL FINANCIAL INC<br>INTERNOTES<br>CPN 5.00% DUE 10/15/2014<br>CUSIP 74432AAN0         | 100000 00000       | 04/26/2004                   | 10/15/2014                           | 100,000 00           | 100,000 00             |      | 0 00          | 0 00                 |                        |
| T ROWE PRICE MD-CP VAL *<br>CUSIP 77957Y106   | 1530 61200         | 11/30/2009                   | 01/24/2014                           | 45,000 00            | 30,581 63              |      | 0 00          | 14,418 37            |                        |
| VANGUARD SPECIALIZED *  | 1045 78200         | 12/15/2009                   | 01/23/2014                           | 130,022 07           | 116,675 00             |      | 0 00          | 13,347 07            |                        |
| ENERGY FD ADMIRAL SHS   | 15 89100           | 01/04/2010                   | 01/23/2014                           | 1,975 72             | 1,804 52               |      | 0 00          | 171 20               |                        |
| CUSIP 921908802   | 5 83100            | 03/24/2010                   | 01/23/2014                           | 724 96               | 645 86                 |      | 0 00          | 79 10                |                        |
|   | 1 40300            | 03/24/2010                   | 01/23/2014                           | 174 43               | 155 49                 |      | 0 00          | 18 94                |                        |
|   | 1799 60700         | 10/19/2010                   | 01/23/2014                           | 223,745 16           | 200,000 00             |      | 0 00          | 23,745 16            |                        |
|   | 68 23200           | 12/20/2010                   | 01/23/2014                           | 8,483 28             | 8,025 04               |      | 0 00          | 458 24               |                        |
|   | 44 74000           | 12/20/2010                   | 01/23/2014                           | 5,562 52             | 5,262 06               |      | 0 00          | 300 46               |                        |



## 2014 Year-End Account Summary

As of Date: 03/14/15

Page 13 of 24

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

### Proceeds from Broker and Barter Exchange Transactions, continued

#### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a) | Quantity Sold     | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d) | Cost or<br>Other Basis | Code | Adjustments   | Gain/Loss<br>Amount | Additional Information |
|----------------------------------|-------------------|------------------------------|--------------------------------------|----------------------|------------------------|------|---------------|---------------------|------------------------|
| VANGUARD SPECIALIZED *           | 11 76900          | 12/20/2010                   | 01/23/2014                           | 1,463 24             | 1,384 19               |      | 0 00          | 79 05               |                        |
| ENERGY FD ADMIRAL SHS            | 16 17900          | 03/24/2011                   | 01/23/2014                           | 2,011 53             | 2,203 10               |      | 0 00          | -191 57             |                        |
| CUSIP 921908802                  | 4 58100           | 03/24/2011                   | 01/23/2014                           | 569 55               | 623 84                 |      | 0 00          | -54 29              |                        |
|                                  | 0 74200           | 03/24/2011                   | 01/23/2014                           | 92 25                | 101 16                 |      | 0 00          | -8 91               |                        |
|                                  | 101 99200         | 12/20/2011                   | 01/23/2014                           | 12,680 67            | 11,032 37              |      | 0 00          | 1,648 30            |                        |
|                                  | 56 72600          | 12/20/2011                   | 01/23/2014                           | 7,052 74             | 6,136 01               |      | 0 00          | 916 73              |                        |
|                                  | 8 84300           | 12/20/2011                   | 01/23/2014                           | 1,099 45             | 956 63                 |      | 0 00          | 142 82              |                        |
| <b>Subtotals</b>                 | <b>3182.31800</b> |                              |                                      | <b>\$395,657.57</b>  | <b>\$355,005.27</b>    |      | <b>\$0.00</b> | <b>\$40,652.30</b>  |                        |
| VANGUARD INTL EQUITY *           | 1677 14900        | 10/12/2009                   | 01/27/2014                           | 120,000 00           | 108,646 12             |      | 0 00          | 11,353 88           |                        |
| INDEX FDS PACIFIC STOCK          | 2336 62900        | 10/12/2009                   | 07/22/2014                           | 182,000 00           | 151,367 39             |      | 0 00          | 30,632 61           |                        |
| CUSIP 922042700                  | 336 82300         | 12/23/2010                   | 08/07/2014                           | 25,652 43            | 23,328 11              |      | 0 00          | 2,324 32            |                        |
| <b>Subtotals</b>                 | <b>4350.60100</b> |                              |                                      | <b>\$327,652.43</b>  | <b>\$283,341.62</b>    |      | <b>\$0.00</b> | <b>\$44,310.81</b>  |                        |
| VANGUARD INTL EQUITY *           | 2810 96300        | 10/12/2009                   | 01/27/2014                           | 200,000 00           | 175,051 99             |      | 0 00          | 24,948 01           |                        |
| INDEX FDS EUROPEAN STOCK         | 1788 02200        | 10/12/2009                   | 08/07/2014                           | 125,072 15           | 111,348 60             |      | 0 00          | 13,723 55           |                        |
| CUSIP 922042809                  |                   |                              |                                      |                      |                        |      |               |                     |                        |
| <b>Subtotals</b>                 | <b>4598.98500</b> |                              |                                      | <b>\$325,072.15</b>  | <b>\$286,400.59</b>    |      | <b>\$0.00</b> | <b>\$38,671.56</b>  |                        |
| VANGUARD INDEX *                 | 3260 99800        | 10/12/2009                   | 01/23/2014                           | 550,000 00           | 323,800 53             |      | 0 00          | 226,199 47          |                        |
| VANGUARD 500 INDEX FD            | 1011 73300        | 10/12/2009                   | 07/22/2014                           | 185,309 08           | 100,459 95             |      | 0 00          | 84,849 13           |                        |
| CUSIP 922908710                  | 87 55500          | 12/30/2009                   | 07/22/2014                           | 16,036 57            | 9,091 50               |      | 0 00          | 6,945 07            |                        |
|                                  | 56 12500          | 03/31/2010                   | 07/22/2014                           | 10,279 85            | 6,067 08               |      | 0 00          | 4,212 77            |                        |
|                                  | 71 57900          | 09/27/2010                   | 07/22/2014                           | 13,110 40            | 7,411 78               |      | 0 00          | 5,698 62            |                        |
|                                  | 60 10100          | 12/28/2010                   | 07/22/2014                           | 11,008 09            | 6,956 76               |      | 0 00          | 4,051 33            |                        |
|                                  | 59 21000          | 03/28/2011                   | 07/22/2014                           | 10,844 90            | 7,142 03               |      | 0 00          | 3,702 87            |                        |
|                                  | 64 38900          | 06/27/2011                   | 07/22/2014                           | 11,793 48            | 7,612 20               |      | 0 00          | 4,181 28            |                        |

# 2014 Year-End Account Summary

Page 14 of 24

As of Date: 03/14/15

Account Number: 6636-7948

THE JANE K LOWE CHARITABLE  
FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

| Description<br>CUSIP<br>(Box 1a)   | Quantity Sold     | Date<br>Acquired<br>(Box 1b) | Date Sold<br>or Disposed<br>(Box 1c) | Proceeds<br>(Box 1d)  | Cost or<br>Other Basis | Code | Adjustments   | Gain/Loss<br>Amount   | Additional Information |           |
|--|-------------------|------------------------------|--------------------------------------|-----------------------|------------------------|------|---------------|-----------------------|------------------------|-----------|
| VANGUARD INDEX *   | 75 99700          | 09/26/2011                   | 07/22/2014                           | 13,919 60             | 7,903 51               |      | 0 00          | 6,016 09              |                        |           |
| VANGUARD 500 INDEX FD  | 80 87900          | 12/27/2011                   | 07/22/2014                           | 14,813 79             | 9,336 83               |      | 0 00          | 5,476 96              |                        |           |
| CUSIP 922908710  | 2525 20000        | 10/12/2009                   | 08/07/2014                           | 445,697 85            | 250,739 52             |      | 0 00          | 194,958 33            |                        |           |
|  | 9 75400           | 11/03/2009                   | 08/07/2014                           | 1,721 59              | 941 31                 |      | 0 00          | 780 28                |                        |           |
|  | 71 27800          | 06/30/2010                   | 08/07/2014                           | 12,580 56             | 7,053 45               |      | 0 00          | 5,527 11              |                        |           |
| <b>Subtotals</b>   | <b>7434.79800</b> |                              |                                      | <b>\$1,297,115.76</b> | <b>\$744,516.45</b>    |      | <b>\$0.00</b> | <b>\$552,599.31</b>   |                        |           |
| VERIZON COMMUNICATIONS<br>NOTES<br>CPN 5 50% DUE 04/01/2017<br>CUSIP 92343VAG9                 | 100000 00000      | 12/04/2008                   | 11/24/2014                           | 110,503 00            | 96,198 67              |      | 0 00          | 14,304 33             | Original Basis         | 89,721 00 |
| WELLS FARGO COMPANY<br>SUBORDINATE GLOBAL NOTES<br>CPN 5 00% DUE 11/15/2014<br>CUSIP 949746CR0 | 100000 00000      | 03/28/2006                   | 11/17/2014                           | 100,000 00            | 99,205 00              |      | 0 00          | 795 00                |                        |           |
| WELLS FARGO FUNDS TR *<br>EMERGING GROWTH FUND<br>CUSIP 949921688                              | 10227 93700       | 11/30/2009                   | 01/24/2014                           | 175,000 00            | 118,429 10             |      | 0 00          | 56,570 90             |                        |           |
| <b>Totals</b>  |                   |                              |                                      | <b>\$5,301,773.17</b> | <b>\$4,030,913.14</b>  |      | <b>\$0.00</b> | <b>\$1,270,860.03</b> |                        |           |

The Code column will reflect W for wash sale, C for collectibles, or D for market discount

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.