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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation Ornoco Foundation Inc		A Employer identification number 63-1055908	
Number and street (or P O box number if mail is not delivered to street address) 1244 - 108th Avenue NE		B Telephone number (see instructions) (425) 823-5818	
City or town, state or province, country, and ZIP or foreign postal code Bellevue, WA 98004		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 1,084,778		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	26,960	26,960	26,960	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2) . . .		71,032		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	37	37		
	12 Total. Add lines 1 through 11	26,997	98,029	26,960	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,507			
	c Other professional fees (attach schedule)	9,131			
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	774			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	12,412	0		0
	25 Contributions, gifts, grants paid	70,000			70,000
	26 Total expenses and disbursements. Add lines 24 and 25	82,412	0		70,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-55,415			
	b Net investment income (if negative, enter -0-)		98,029		
	c Adjusted net income (if negative, enter -0-)			26,960	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,011	20,048	20,048
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	842,074	675,538	794,499
	c	Investments—corporate bonds (attach schedule).	108,038	282,523	268,872
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	2,339	1,359	1,359	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	957,462	979,468	1,084,778	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	957,462	979,468	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	957,462	979,468	
31	Total liabilities and net assets/fund balances (see instructions) . . .	957,462	979,468		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 957,462
2	Enter amount from Part I, line 27a	2 -55,415
3	Other increases not included in line 2 (itemize) ▶ _____	3 77,421
4	Add lines 1, 2, and 3	4 979,468
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 979,468

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	71,032
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	-245

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	82,605	1,077,825	0 076640
2012	60,821	987,211	0 061609
2011	60,317	1,038,921	0 058057
2010	55,729	1,034,570	0 053867
2009	62,000	1,071,396	0 057868

2	Total of line 1, column (d).	2	0 308041
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 061608
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	953,456
5	Multiply line 4 by line 3.	5	58,741
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	980
7	Add lines 5 and 6.	7	59,721
8	Enter qualifying distributions from Part XII, line 4.	8	70,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1

Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-

6

Credits/Payments

a

2014 estimated tax payments and 2013 overpayment credited to 2014

6a

2,339

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868)

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments Add lines 6a through 6d.

7

2,339

8

Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

1,359

11

Enter the amount of line 10 to be Credited to 2015 estimated tax 1,359 Refunded

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4a

No

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

By language in the governing instrument, or

By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)

WA

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

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Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶AMELIA J NASH Telephone no ▶(425) 453-4343 Located at ▶1244 - 108th Avenue NE Bellevue WA ZIP +4 ▶98004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?. 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AMELIA J NASH	President	0	0	0
1244-108th Avenue NE Bellevue, WA 98004	5 00			
THOMAS MITCHELL JR	Secretary	0	0	0
1244-108th Avenue NE Bellevue, WA 98004	1 00			
ARIEL AIKA MITCHELL	Director	0	0	0
1244 108th Avenue NE Bellevue, WA 98004	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See Schedule XV on Page 11	70,000
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	954,086
b	Average of monthly cash balances.	1b	12,531
c	Fair market value of all other assets (see instructions).	1c	1,359
d	Total (add lines 1a, b, and c).	1d	967,976
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	967,976
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,520
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	953,456
6	Minimum investment return. Enter 5% of line 5.	6	47,673

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	47,673
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	980
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	980
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	46,693
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	46,693
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	46,693

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	70,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	70,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	980
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	69,020

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				46,693
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			52,996	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009.				62,000
b From 2010.				56,000
c From 2011.				61,000
d From 2012.				61,000
e From 2013.				83,500
f Total of lines 3a through e.	323,500			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 70,000				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				
e Remaining amount distributed out of corpus	70,000			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	393,500			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			52,996	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				46,693
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	62,000			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	331,500			
10 Analysis of line 9				
a Excess from 2010. . . .				56,000
b Excess from 2011. . . .				61,000
c Excess from 2012. . . .				61,000
d Excess from 2013. . . .				83,500
e Excess from 2014. . . .				70,000

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1Information Regarding Foundation Managers:

aList any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

bList any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

aThe name, address, and telephone number or email address of the person to whom applications should be addressed

See Additional Data Table

bThe form in which applications should be submitted and information and materials they should include

See Additional Data Table

cAny submission deadlines

See Additional Data Table

dAny restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Additional Data Table

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2014)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UBS 392 Short Uncovered	P	2014-01-01	2014-12-31
UBS 392 Long Uncovered	P	2013-01-01	2014-12-31
UBS 392 Long Covered	P	2013-01-01	2014-12-31
UBS 396 Short Uncovered	P	2014-01-01	2014-12-31
UBS 396 Long Uncovered	P	2013-01-01	2014-12-31
UBS 396 Long Return Principal	P	2013-01-01	2014-12-31
UBS 395 Short Covered	P	2014-01-01	2014-12-31
UBS 395 Long Covered	P	2013-01-01	2014-12-31
UBS 395 Long Non Covered	P	2013-01-01	2014-12-31
UBS 394 Short Covered	P	2014-01-01	2014-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
97,979	0	98,280	-301
86,055	0	56,159	29,896
23,690	0	11,943	11,747
5,954	0	5,968	-14
25,870	0	25,833	37
3,330	0	3,330	0
11,930	0	14,060	-2,130
51,709	0	52,208	-499
7,474	0	4,945	2,529
14,544	0	13,790	754

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	-301
0	0	0	29,896
0	0	0	11,747
0	0	0	-14
0	0	0	37
0	0	0	0
0	0	0	-2,130
0	0	0	-499
0	0	0	2,529
0	0	0	754

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UBS 394 Long Covered	P	2013-01-01	2014-12-31
UBS 397 Short Covered	P	2014-01-01	2014-12-31
UBS 397 Long Covered	P	2013-01-01	2014-12-31
UBS 393 Short Covered	P	2014-01-01	2014-12-31
UBS 393 Long Covered	P	2013-01-01	2014-12-31
UBS 393 Long Uncovered	P	2014-01-01	2014-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,511	0	53,295	13,216
5,599	0	5,600	-1
88,987	0	84,013	4,974
59,301	0	58,653	648
53,064	0	43,687	9,377
1,720	0	921	799

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	13,216
0	0	0	-1
0	0	0	4,974
0	0	0	648
0	0	0	9,377
0	0	0	799

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a	The name, address, and telephone number of the person to whom applications should be addressed AMI NASH 1244 - 108th Avenue NE Bellevue, WA 98004
b	The form in which applications should be submitted and information and materials they should include NA
c	Any submission deadlines
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

a The name, address, and telephone number of the person to whom applications should be addressed

AMI NASH
1244 - 108th Avenue NE
Bellevue, WA 98004

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

NA

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NA

**TY 2014 Investments Corporate
Bonds Schedule**

Name: Orinoco Foundation Inc
EIN: 63-1055908

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS Fixed Income	282,523	268,872

TY 2014 Investments Corporate Stock Schedule

Name: Orinoco Foundation Inc

EIN: 63-1055908

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS Stocks	675,538	794,499

TY 2014 Other Income Schedule

Name: Orinoco Foundation Inc

EIN: 63-1055908

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Settlements	37	37	

TY 2014 Other Increases Schedule**Name:** Orinoco Foundation Inc**EIN:** 63-1055908

Description	Amount
Non Dividend Distributions	558
Capital Gain Income	71,032
Market Value Increase and Unrealized Gains	5,831

TY 2014 Other Professional Fees Schedule**Name:** Orinoco Foundation Inc**EIN:** 63-1055908

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS 396 Investment	639			
UBS 395 Investment	1,919			
UBS 394 Investment	2,063			
UBS 397 Investment	1,330			
UBS 393 Investment	1,264			
UBS 392 Investment	1,916			

TY 2014 Taxes Schedule

Name: Orinoco Foundation Inc

EIN: 63-1055908

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes	774			

UBS FINANCIAL SERVICES INC.

2014 1099-B*

Account 3H 00393

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

5- COVERED tax lots 3- Basis is reported to the IRS**

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds & 1e- Cost or other basis	1f- Adjustments & 1g- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
AES CORP COMMON STOCK / CUSIP: 00130H105 / Symbol: AES						
03/04/14	18 000	250.91	265.44	0.00	-14.53	Sale
05/09/14	3.000	42.24	44.09	0.00	-1.85	Sale
Security total:		293.15	309.53	0.00	-16.38	
AMERICAN CAPITAL AGENCY CORP / CUSIP: 02503X105 / Symbol: AGNC						
09/26/14	40.000	850.14	903.30	0.00	-53.16	Sale
AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC						
10/24/14	16.000	1,244.91	1,035.36	0.00	209.55	Sale
2 tax lots for 12/15/14 Total proceeds (and cost when required) reported to the IRS						
	4 000	362.06	258.84	0.00	103.22	Sale
	16.000	1,448.23	1,221.40	0.00	226.83	Sale
12/15/14	20.000	1,810.29	1,480.24	0.00	330.05	Total of 2 lots
Security total:		3,055.20	2,515.60	0.00	539.60	
BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX						
03/04/14	8.000	553.05	587.56	25.88 W	-8.63	Sale
05/09/14	1.000	74.74	73.45	0.00	1.29	Sale
09/26/14	17.000	1,225.36	1,195.24	0.00	30.12	Sale
Security total:		1,853.15	1,856.25	25.88 W	22.78	
CAMPBELL SOUP CO COMMON STOCK / CUSIP: 134429109 / Symbol: CPB						
12/15/14	6.000	258.47	265.74	0.00	-7.27	Sale
CATERPILLAR INC COMMON STOCK / CUSIP: 149123101 / Symbol: CAT						
03/04/14	1.000	97.26	82.99	0.00	14.27	Sale
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX						
07/30/14	14 000	1,847.84	1,619.28	0.00	228.56	Sale
COACH INC / CUSIP: 189754104 / Symbol: COH						
10/24/14	31 000	1,108.02	1,372.72	0.00	-264.70	Sale
CONTINENTAL RESOURCES INC (OKLA) / CUSIP: 212015101 / Symbol: CLR						
03/04/14	9.000	1,107.97	887.29	0.00	220.68	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.**Proceeds from Broker and Barter Exchange Transactions**

Account 3H 00393

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS**5- COVERED tax lots 3- Basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ENDO HEALTH SOLUTIONS INC **MERGER-EFF-03/2014** / CUSIP: 29264F205 / Symbol: 01/21/14	16,000	1,101.19	04/17/13	571.96	0.00	529.23	Sale
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD 12/15/14	6,000	630.45	04/30/14	461.99	0.00	168.46	Sale
HOLLYFRONTIER CORP COM / CUSIP: 436106108 / Symbol: HFC 04/30/14	1,000	52.64	08/12/13	44.83	0.00	7.81	Sale
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM 11/17/14	7,000	1,151.48	11/19/13	1,297.25	0.00	-145.77	Sale
12/02/14	7,000	1,133.80	08/04/14	1,323.70	0.00	-189.90	Sale
Security total:		2,285.28		2,620.95	0.00	-335.67	
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY 08/04/14	1,000	58.96	08/12/13	51.60	0.00	7.36	Sale
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol: K							
2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.							
	5,000	305.04	10/07/13	297.98	0.00	7.06	Sale
	3,000	183.03	10/08/13	179.30	0.00	3.73	Sale
03/04/14	8,000	488.07	VARIOUS	477.28	0.00	10.79	Total of 2 lots
2 tax lots for 03/17/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000	121.73	10/08/13	119.53	0.00	2.20	Sale
	8,000	486.94	10/09/13	475.54	0.00	11.40	Sale
03/17/14	10,000	608.67	VARIOUS	595.07	0.00	13.60	Total of 2 lots
03/18/14	6,000	365.76	10/09/13	356.66	0.00	9.10	Sale
03/18/14	17,000	1,036.32	10/29/13	1,091.36	55.04 W	0.00	Sale
3 tax lots for 04/30/14. Total proceeds (and cost when required) reported to the IRS.							
	6,000	400.51	10/29/13	385.18	0.00	15.33	Sale
	4,000	267.01	04/07/14	260.66	0.00	6.35	Sale
	9,000	600.76	04/07/14	615.61	0.00	-14.85	Sale
04/30/14	19,000	1,268.28	VARIOUS	1,261.45	0.00	6.83	Total of 3 lots
05/09/14	1,000	66.76	04/07/14	68.41	0.00	-1.65	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional Information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss or loss(-) & Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**							
KELLOGG CO COMMON STOCK / CUSIP: 487836108 / Symbol: K (cont'd)							
2 tax lots for 10/27/14 Total proceeds (and cost when required) reported to the IRS.							
	7,000	434.71	04/07/14	478.80	0.00	-44.09	Sale
	20,000	1,242.04	07/16/14	1,318.06	0.00	-76.02	Sale
10/27/14	27,000	1,676.75	VARIOUS	1,796.86	0.00	-120.11	Total of 2 lots
10/28/14	21,000	1,314.08	08/25/14	1,360.60	0.00	-46.52	Sale
Security total:		6,824.69		7,007.69	55.04 W	-127.96	
LANDSTAR SYSTEMS INC COMMON STOCK / CUSIP: 515098101 / Symbol: LSTR							
2 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	115.40	08/20/13	111.48	0.00	3.92	Sale
	3,000	173.11	08/21/13	167.58	0.00	5.53	Sale
02/27/14	5,000	288.51	VARIOUS	279.06	0.00	9.45	Total of 2 lots
2 tax lots for 03/03/14 Total proceeds (and cost when required) reported to the IRS.							
	4,000	230.10	08/21/13	223.43	0.00	6.67	Sale
	6,000	345.16	08/23/13	340.28	0.00	4.88	Sale
03/03/14	10,000	575.26	VARIOUS	563.71	0.00	11.55	Total of 2 lots
5 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	116.28	08/23/13	113.43	0.00	2.85	Sale
	3,000	174.43	08/26/13	170.87	0.00	3.56	Sale
	5,000	290.60	08/26/13	284.79	0.00	5.81	Sale
	1,000	58.11	10/08/13	55.22	0.00	2.89	Sale
	4,000	232.48	10/09/13	220.15	0.00	12.33	Sale
03/04/14	15,000	871.90	VARIOUS	844.46	0.00	27.44	Total of 5 lots
03/05/14	3,000	174.04	10/09/13	165.11	0.00	8.93	Sale
2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	119.03	10/09/13	110.08	0.00	8.95	Sale
	3,000	178.54	10/16/13	172.40	0.00	6.14	Sale
03/21/14	5,000	297.57	VARIOUS	282.48	0.00	15.09	Total of 2 lots
03/26/14	9,000	526.38	10/16/13	517.19	0.00	9.19	Sale
03/27/14	5,000	288.84	10/16/13	287.33	0.00	1.51	Sale
Security total:		3,022.50		2,939.34	0.00	83.16	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed **Quantity** **6- Reported (G)ross (N)et** **1d- Proceeds & 1b- Date acquired** **1e- Cost or other basis** **1f- Adjustments & Code(s), if any** **7- Loss not allowed(X)** **Gain or loss(-) & Additional Information**

MURPHY OIL CORP COMMON STOCK / CUSIP: 626717102 / Symbol: MUR

2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.

5,000	297.34	09/03/13	296.54	0.00	0.80	Sale
4,000	237.88	09/06/13	245.61	0.00	-7.73	Sale
9,000	535.22	VARIOUS	542.15	0.00	-6.93	Total of 2 lots

4 tax lots for 04/30/14. Total proceeds (and cost when required) reported to the IRS.

11,000	696.56	09/06/13	675.43	0.00	21.13	Sale
3,000	189.97	09/12/13	187.01	0.00	2.96	Sale
10,000	633.24	11/18/13	629.69	0.00	3.55	Sale
14,000	886.53	11/21/13	889.21	0.00	-2.68	Sale
38,000	2,406.30	VARIOUS	2,381.34	0.00	24.96	Total of 4 lots
Security total:	2,941.52		2,923.49	0.00	18.03	

MYLAN INC / CUSIP: 628530107 / Symbol: MYL

2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.

15,000	856.84	04/24/13	429.32	0.00	427.52	Sale
4,000	228.49	04/25/13	115.73	0.00	112.76	Sale
19,000	1,085.33	VARIOUS	545.05	0.00	540.28	Total of 2 lots

NAVIENT CORP COM / CUSIP: 63938C108 / Symbol: NAVI

1,000 16.23 05/14/13 13.69

2 tax lots for 05/29/14. Total proceeds (and cost when required) reported to the IRS.

10,000	156.15	01/10/14	171.08	0.00	-14.93	Sale
2,000	31.23	01/13/14	34.51	0.00	-3.28	Sale
12,000	187.38	VARIOUS	205.59	0.00	-18.21	Total of 2 lots

2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS.

31,000	486.73	01/13/14	534.93	0.00	-48.20	Sale
17,000	266.91	02/05/14	239.73	0.00	27.18	Sale
48,000	753.64	VARIOUS	774.66	0.00	-21.02	Total of 2 lots
Security total:	957.25		993.94	0.00	-36.69	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
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NEUSTAR INC CL A / CUSIP: 64126X201 / Symbol: NSR

2 tax lots for 04/30/14 Total proceeds (and cost when required) reported to the IRS.

5.000	127.74	03/18/14	172.94	0.00	-45.20	Sale
33.000	843.11	03/19/14	1,143.47	0.00	-300.36	Sale
38.000	970.85	VARIOUS	1,316.41	0.00	-345.56	Total of 2 lots

OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS

2 tax lots for 12/30/14 Total proceeds (and cost when required) reported to the IRS.

16.000	725.96	12/02/13	730.14	2.09 W	-2.09	Sale
3.000	143.18	12/02/13	136.90	0.00	6.28	Sale

3 tax lots for 12/30/14 Total proceeds (and cost when required) reported to the IRS.

5.000	81.51	01/10/14	213.50	0.00	-131.99	Sale
25.000	407.57	01/13/14	1,064.10	0.00	-656.53	Sale
6.000	97.82	10/24/14	183.19	0.00	-85.37	Sale
36.000	586.90	VARIOUS	1,460.79	0.00	-873.89	Total of 3 lots

Security total.

2,327.83

-869.70

PBF ENERGY INC CL A / CUSIP: 69318G106 / Symbol: PBF

2 tax lots for 06/20/14 Total proceeds (and cost when required) reported to the IRS.

15.000	385.45	06/20/14	479.65	0.00	-94.20	Sale
26.000	684.60	06/20/14	831.39	0.00	-146.79	Sale

Security total.

1,070.05

-240.99

ROCKWELL COLLINS INC / CUSIP: 774341101 / Symbol: COL

2 tax lots for 08/14/13 Total proceeds (and cost when required) reported to the IRS.

8.000	666.00	08/14/13	593.92	0.00	72.08	Sale
1.000	78.85	08/14/13	74.24	0.00	4.61	Sale

Security total.

744.85

76.69

ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST

2 tax lots for 11/12/13 Total proceeds (and cost when required) reported to the IRS.

7.000	508.05	11/12/13	560.07	52.02 W	0.00	Sale
1.000	69.09	11/12/13	80.01	0.00	-10.92	Sale

Security total.

577.14

-10.92

SLM CORP VTG / CUSIP: 78442P106 / Symbol: SLM

2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.

9.000	219.51	05/13/13	189.51	0.00	30.00	Sale
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UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**							
SLM CORP VTG / CUSIP: 78442P106 / Symbol. SLM (cont'd)							
	16,000	390.23	05/14/13	341.63	0.00	48.60	Sale
03/04/14	25,000	609.74	VARIOUS	531.14	0.00	78.60	Total of 2 lots
05/09/14	1,000	8.80	05/14/13	7.67	0.00	1.13	Sale
3 tax lots for 05/29/14. Total proceeds (and cost when required) reported to the IRS.							
	10,000	85.70	01/10/14	95.81	0.00	-10.11	Sale
	33,000	282.80	01/13/14	318.92	0.00	-36.12	Sale
	17,000	145.68	02/05/14	134.27	0.00	11.41	Sale
05/29/14	60,000	514.18	VARIOUS	549.00	0.00	-34.82	Total of 3 lots
Security total:		1,132.72		1,087.81	0.00	44.91	
SM ENERGY CO / CUSIP: 78454L100 / Symbol. SM							
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.							
	5,000	376.11	12/02/13	443.85	67.74 W	0.00	Sale
	6,000	451.33	12/03/13	542.87	91.54 W	0.00	Sale
03/04/14	11,000	827.44	VARIOUS	986.72	159.28 W	0.00	Total of 2 lots
5 tax lots for 04/08/14 Total proceeds (and cost when required) reported to the IRS.							
	6,000	431.94	12/03/13	542.87	0.00	-110.93	Sale
	1,000	71.99	12/09/13	83.95	0.00	-11.96	Sale
	5,000	359.95	02/27/14	433.74	0.00	-73.79	Sale
	1,000	71.99	03/03/14	89.38	0.00	-17.39	Sale
	4,000	287.96	03/03/14	296.47	0.00	-8.51	Sale
04/08/14	17,000	1,223.83	VARIOUS	1,446.41	0.00	-222.58	Total of 5 lots
05/09/14	2,000	146.91	03/03/14	178.74	0.00	-31.83	Sale
2 tax lots for 08/15/14. Total proceeds (and cost when required) reported to the IRS.							
	3,000	225.09	03/03/14	268.12	43.03 W	0.00	Sale
	2,000	150.06	03/05/14	151.51	1.45 W	0.00	Sale
08/15/14	5,000	375.15	VARIOUS	419.63	44.48 W	0.00	Total of 2 lots
08/18/14	14,000	1,068.09	03/05/14	1,060.58	0.00	7.51	Sale
Security total:		3,641.42		4,092.08	203.76 W	-246.90	

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SOUTHERN COPPER CORP / CUSIP: 84265V105 / Symbol: SCCO								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.								
	4,000	120.97	145.29	03/26/13	145.29	0.00	-24.32	Sale
	1,000	30.24	36.30	03/27/13	36.30	0.00	-6.06	Sale
03/04/14	5,000	151.21	181.59	VARIOUS	181.59	0.00	-30.38	Total of 2 lots
SOUTHWESTERN ENERGY CO / CUSIP: 845467109 / Symbol: SWN								
08/15/14	28,000	1,096.22	1,330.49	06/20/14	1,330.49	0.00	-234.27	Sale
ULTRA PETROLEUM CORP (CANADA) ORD CAD / CUSIP: 903914109 / Symbol: UPL								
04/08/14	4,000	115.96	88.67	08/12/13	88.67	0.00	27.29	Sale
UNITED THERAPEUTICS CORP / CUSIP: 91307C102 / Symbol: UTHR								
4 tax lots for 02/04/14. Total proceeds (and cost when required) reported to the IRS.								
	4,000	395.01	241.13	04/25/13	241.13	0.00	153.88	Sale
	17,000	1,678.80	1,072.22	04/26/13	1,072.22	0.00	606.58	Sale
	5,000	493.76	430.40	10/29/13	430.40	0.00	63.36	Sale
	3,000	296.26	267.46	11/01/13	267.46	0.00	28.80	Sale
02/04/14	29,000	2,863.83	2,011.21	VARIOUS	2,011.21	0.00	852.62	Total of 4 lots
03/04/14	2,000	205.77	178.31	11/01/13	178.31	0.00	27.46	Sale
05/09/14	1,000	105.88	89.15	11/01/13	89.15	0.00	16.73	Sale
2 tax lots for 05/29/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	665.40	624.07	11/01/13	624.07	0.00	41.33	Sale
	7,000	665.39	651.93	04/08/14	651.93	0.00	13.46	Sale
05/29/14	14,000	1,330.79	1,276.00	VARIOUS	1,276.00	0.00	54.79	Total of 2 lots
2 tax lots for 11/03/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	887.09	651.92	04/08/14	651.92	0.00	235.17	Sale
	13,000	1,647.44	1,264.39	04/30/14	1,264.39	0.00	383.05	Sale
11/03/14	20,000	2,534.53	1,916.31	VARIOUS	1,916.31	0.00	618.22	Total of 2 lots
	Security total:	7,040.80	5,470.98		5,470.98	0.00	1,569.82	
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ								
05/09/14	1,000	48.31	46.79	04/30/14	46.79	0.00	1.52	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed

1d- Proceeds & 6- Reported (G)ross (N)et

1b- Date acquired

1e- Cost or other basis

1g- Adjustments & 1f- Code(s), if any

7- Loss not allowed(X) Additional information

WESTERN UNION CO / CUSIP 959802109 / Symbol: WU

4 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS.

2,000	33.71	07/12/13	34.85	0.00	-1.14	Sale
20,000	337.13	09/03/13	350.37	0.00	-13.24	Sale
20,000	337.12	09/04/13	355.22	0.00	-18.10	Sale
8,000	134.85	09/05/13	144.05	0.00	-9.20	Sale
50,000	842.81	VARIOUS	884.49	0.00	-41.68	Total of 4 lots

2 tax lots for 01/13/14. Total proceeds (and cost when required) reported to the IRS.

32,000	526.38	09/05/13	576.21	0.00	-49.83	Sale
3,000	49.35	11/18/13	50.18	0.00	-0.83	Sale
35,000	575.73	VARIOUS	626.39	0.00	-50.66	Total of 2 lots
43,000	719.81	11/18/13	719.24	0.00	0.57	Sale

3 tax lots for 04/07/14. Total proceeds (and cost when required) reported to the IRS.

19,000	304.11	11/18/13	317.81	0.00	-13.70	Sale
5,000	80.03	11/19/13	83.69	0.00	-3.66	Sale
6,000	96.04	11/21/13	98.47	0.00	-2.43	Sale
30,000	480.18	VARIOUS	499.97	0.00	-19.79	Total of 3 lots
49,000	785.55	11/21/13	804.14	0.00	-18.59	Sale

3 tax lots for 04/30/14. Total proceeds (and cost when required) reported to the IRS.

14,000	220.36	11/21/13	229.76	9.40 W	0.00	Sale
5,000	78.70	02/26/14	82.32	3.62 W	0.00	Sale
4,000	62.96	02/27/14	66.17	3.21 W	0.00	Sale
23,000	362.02	VARIOUS	378.25	16.23 W	0.00	Total of 3 lots
2,000	32.63	02/27/14	33.09	0.46 W	0.00	Sale
	3,798.73		3,945.57	16.69 W	-130.15	

Security total:

ACCENTURE PLC IRELAND CL A FOREIGN STOCK / CUSIP: G1151C101 / Symbol: ACN

2 tax lots for 08/15/14. Total proceeds (and cost when required) reported to the IRS.

16,000	1,268.77	06/20/14	1,330.69	61.92 W	0.00	Sale
5,000	394.54	07/30/14	422.14	0.00	-27.60	Sale
11,000	867.98	07/31/14	928.24	0.00	-60.26	Sale
16,000	1,262.52	VARIOUS	1,350.38	0.00	-87.86	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
Security total:		2,531.29			2,681.07	61.92 W		-87.86
ENDO INTL PLC FOREIGN STOCK / CUSIP: G30401106 / Symbol: ENDP								
05/09/14	1.000		67.50	04/30/14	62.91	0.00		4.59 Sale
08/25/14	20.000		1,297.78	04/30/14	1,258.20	0.00		39.58 Sale
Security total:			1,365.28		1,321.11	0.00		44.17
HERBALIFE LTD FOREIGN STOCK / CUSIP: G4412G101 / Symbol: HLF								
02/03/14	18.000		1,150.35	12/02/13	1,290.89	93.69 W		-46.85 Sale
2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.								
	2.000		131.77	12/02/13	143.43	0.00		-11.66 Sale
	1.000		65.89	12/03/13	71.74	0.00		-5.85 Sale
03/04/14	3.000		197.66	VARIOUS	215.17	0.00		-17.51 Total of 2 lots
2 tax lots for 03/17/14. Total proceeds (and cost when required) reported to the IRS.								
	1.000		55.00	12/03/13	71.74	0.00		-16.74 Sale
	12.000		659.99	01/10/14	1,077.68	0.00		-417.69 Sale
03/17/14	13.000		714.99	VARIOUS	1,149.42	0.00		-434.43 Total of 2 lots
Security total:			2,063.00		2,655.48	93.69 W		-498.79
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A FOREIGN STOCK / CUSIP: N53745100 / Symbol: LYB								
03/04/14	8.000		716.08	05/13/13	497.06	0.00		219.02 Sale
05/09/14	1.000		94.95	05/13/13	62.13	0.00		32.82 Sale
Security total:			811.03		559.19	0.00		251.84
COPA HOLDINGS SA CL A FOREIGN STOCK / CUSIP: P31076105 / Symbol: CPA								
08/25/14	5.000		630.95	04/08/14	730.02	0.00		-99.07 Sale
2 tax lots for 08/26/14. Total proceeds (and cost when required) reported to the IRS.								
	4.000		499.80	04/08/14	584.02	0.00		-84.22 Sale
	1.000		124.95	07/16/14	151.93	0.00		-26.98 Sale
08/26/14	5.000		624.75	VARIOUS	735.95	0.00		-111.20 Total of 2 lots
Security total:			1,255.70		1,465.97	0.00		-210.27
Totals:			59,301.61		59,163.86	511.09 W		648.84

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

(continued)

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**
1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1d- Proceeds & 6- Reported (G)Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f. Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AFLAC INC COMMON STOCK / CUSIP: 001055102 / Symbol: AFL								
03/04/14	15.000		976.48	07/23/12	633.28	0.00		343.20 Sale
05/09/14	1.000		62.90	07/23/12	42.22	0.00		20.68 Sale
	Security total:		1,039.38		675.50	0.00		363.88
AMERICAN CAPITAL AGENCY CORP / CUSIP: 02503X105 / Symbol: AGNC								
03/04/14	15.000		337.79	07/23/12	518.15	180.36 W		0.00 Sale
05/09/14	3.000		69.26	07/23/12	103.63	0.00		-34.37 Sale
06/20/14	54.000		1,271.25	07/23/12	1,865.35	0.00		-594.10 Sale
3 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS.								
	16.000		340.06	07/23/12	552.70	0.00		-212.64 Sale
	2.000		42.51	08/06/12	68.22	0.00		-25.71 Sale
	15.000		318.81	03/17/14	519.10	0.00		-200.29 Sale
09/26/14	33.000		701.38	VARIOUS	1,140.02	0.00		-438.64 Total of 3 lots
	Security total:		2,379.68		3,627.15	180.36 W		-1,067.11
APACHE CORP / CUSIP: 037411105 / Symbol: APA								
03/04/14	7.000		562.44	07/23/12	586.66	0.00		-24.22 Sale
05/09/14	1.000		89.40	07/23/12	83.81	0.00		5.59 Sale
	Security total:		651.84		670.47	0.00		-18.63
APARTMENT INVT & MGMT CO CL A / CUSIP: 03748R101 / Symbol: AIV								
03/04/14	24.000		743.99	07/23/12	651.88	0.00		92.11 Sale
05/09/14	1.000		31.57	07/23/12	27.16	0.00		4.41 Sale
	Security total:		775.56		679.04	0.00		96.52
APPLE INC / CUSIP: 037833100 / Symbol: AAPL								
03/04/14	1.000		529.15	07/23/12	597.67	0.00		-68.52 Sale
05/09/14	1.000		581.26	07/23/12	597.67	0.00		-16.41 Sale
	Security total:		1,110.41		1,195.34	0.00		-84.93
BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX								
2 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS.								
	9.000		648.72	07/31/13	661.01	0.00		-12.29 Sale
	6.000		432.48	02/05/14	428.15	0.00		4.33 Sale
09/26/14	15.000		1,081.20	VARIOUS	1,089.16	0.00		-7.96 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed		1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
CBOE HLDGS INC COM / CUSIP: 12503M108 / Symbol: CBOE		Quantity					
03/04/14	17,000	960.65	07/23/12	471.89	0.00	488.76	Sale
05/09/14	1,000	50.60	07/23/12	27.76	0.00	22.84	Sale
	Security total:	1,011.25		499.65	0.00	511.60	
CF INDUSTRIES HOLDINGS INC / CUSIP: 125269100 / Symbol: CF							
03/04/14	3,000	774.59	07/23/12	602.05	0.00	172.54	Sale
CAMPBELL SOUP CO COMMON STOCK / CUSIP: 134429109 / Symbol: CPB							
03/04/14	14,000	611.37	01/02/13	498.07	0.00	113.30	Sale
05/09/14	1,000	45.29	01/02/13	35.57	0.00	9.72	Sale
	3 tax lots for 12/05/14 Total proceeds (and cost when required) reported to the IRS.						
	20,000	870.30	01/02/13	711.53	0.00	158.77	Sale
	5,000	217.58	04/15/13	228.25	0.00	-10.67	Sale
	3,000	130.54	04/17/13	137.76	0.00	-7.22	Sale
12/05/14	28,000	1,218.42	VARIOUS	1,077.54	0.00	140.88	Total of 3 lots
	2 tax lots for 12/15/14 Total proceeds (and cost when required) reported to the IRS.						
	21,000	904.66	04/17/13	964.32	0.00	-59.66	Sale
	1,000	43.08	08/12/13	47.70	0.00	-4.62	Sale
12/15/14	22,000	947.74	VARIOUS	1,012.02	0.00	-64.28	Total of 2 lots
	Security total:	2,822.82		2,623.20	0.00	199.62	
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX							
03/04/14	7,000	809.05	07/23/12	749.68	0.00	59.37	Sale
05/09/14	1,000	125.03	07/23/12	107.09	0.00	17.94	Sale
07/30/14	14,000	1,847.85	07/23/12	1,499.36	0.00	348.49	Sale
	Security total:	2,781.93		2,356.13	0.00	425.80	
COACH INC / CUSIP: 189754104 / Symbol: COH							
03/04/14	10,000	484.49	07/23/12	577.56	0.00	-93.07	Sale
05/09/14	2,000	84.27	07/23/12	115.51	31.24 W	0.00	Sale
06/19/14	26,000	923.45	07/23/12	1,501.67	0.00	-578.22	Sale
	3 tax lots for 10/24/14 Total proceeds (and cost when required) reported to the IRS.						
	12,000	428.91	07/23/12	693.07	0.00	-264.16	Sale
	1,000	35.74	08/12/13	52.67	0.00	-16.93	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00393

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
COACH INC / CUSIP: 189754104 / Symbol: COH (cont'd)							
	2,000	71.49	119.80	04/30/14	0.00	-48.31	Sale
10/24/14	15,000	536.14	865.54	VARIOUS	0.00	-329.40	Total of 3 lots
Security total:		2,028.35	3,060.28		31.24 W	-1,000.69	
COLGATE PALMOLIVE CO COMMON STOCK / CUSIP: 194162103 / Symbol: CL							
03/04/14	7,000	440.94	367.63	09/17/12	0.00	73.31	Sale
05/09/14	1,000	67.61	52.52	09/17/12	0.00	15.09	Sale
Security total:		508.55	420.15		0.00	88.40	
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol: DFS							
03/04/14	15,000	866.68	515.20	07/23/12	0.00	351.48	Sale
05/09/14	1,000	57.39	34.35	07/23/12	0.00	23.04	Sale
Security total:		924.07	549.55		0.00	374.52	
EATON VANCE CORP NON VTC COMMON STOCK / CUSIP: 278265103 / Symbol: EV							
03/04/14	17,000	655.34	437.87	07/23/12	0.00	217.47	Sale
EXXON MOBIL CORP / CUSIP: 30231G102 / Symbol: XOM							
03/04/14	7,000	674.51	594.42	07/23/12	0.00	80.09	Sale
05/09/14	1,000	101.79	84.92	07/23/12	0.00	16.87	Sale
Security total:		776.30	679.34		0.00	96.96	
HOLLYFRONTIER CORP COM / CUSIP: 436106108 / Symbol: HFC							
03/04/14	12,000	535.91	421.80	07/23/12	0.00	114.11	Sale
04/30/14	57,000	3,000.55	2,003.54	07/23/12	0.00	997.01	Sale
Security total:		3,536.46	2,425.34		0.00	1,111.12	
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM							
03/04/14	4,000	746.13	764.62	07/23/12	0.00	-18.49	Sale
07/16/14	7,000	1,350.02	1,338.08	07/23/12	0.00	11.94	Sale
11/17/14	2,000	328.99	382.31	07/23/12	0.00	-53.32	Sale
Security total:		2,425.14	2,485.01		0.00	-59.87	
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY							
03/04/14	15,000	826.64	762.50	07/23/12	0.00	64.14	Sale
05/09/14	2,000	117.81	101.66	07/23/12	0.00	16.15	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY (cont'd)								
2 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS.								
	2,000	120.95	101.67	07/23/12	0.00			19.28 Sale
	3,000	181.43	157.51	08/06/12	0.00			23.92 Sale
07/30/14	5,000	302.38	259.18	VARIOUS	0.00			43.20 Total of 2 lots
07/31/14	15,000	894.79	787.55	08/06/12	0.00			107.24 Sale
4 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS.								
	6,000	351.18	315.02	08/06/12	0.00			36.16 Sale
	5,000	292.66	245.26	07/08/13	0.00			47.40 Sale
	1,000	58.53	51.33	07/11/13	0.00			7.20 Sale
	3,000	175.59	154.19	07/12/13	0.00			21.40 Sale
08/01/14	15,000	877.96	765.80	VARIOUS	0.00			112.16 Total of 4 lots
08/04/14	16,000	943.35	822.35	07/12/13	0.00			121.00 Sale
	Security total	3,962.93	3,499.04		0.00			463.89
LOCKHEED MARTIN CORP COMMON STOCK / CUSIP: 539830109 / Symbol: LMT								
03/04/14	6,000	992.86	522.46	07/23/12	0.00			470.40 Sale
MCGRAW HILL FINANCIAL INC / CUSIP: 580645109 / Symbol: MHFI								
03/04/14	9,000	718.91	413.52	02/22/13	0.00			305.39 Sale
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT								
03/04/14	19,000	728.17	526.86	02/11/13	0.00			201.31 Sale
05/09/14	1,000	39.47	27.73	02/11/13	0.00			11.74 Sale
	Security total:	767.64	554.59		0.00			213.05
NAVIENT CORP COM / CUSIP: 63938C108 / Symbol: NAVI								
05/29/14	38,000	593.37	520.09	05/14/13	0.00			73.28 Sale
NEWMARKET CORP / CUSIP: 651587107 / Symbol: NEU								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.								
	1,000	371.47	230.79	07/30/12	0.00			140.68 Sale
	1,000	371.46	231.81	08/01/12	0.00			139.65 Sale
03/04/14	2,000	742.93	462.60	VARIOUS	0.00			280.33 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions
(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/Gross (N)/net	1d- Proceeds & 1e- Date acquired	1a- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss or gain not allowed(X)	Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**							
OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS							
3 tax lots for 12/30/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	16.30	12/02/13	45.63	0.00	-29.33	Sale
	13,000	211.94	12/03/13	607.71	0.00	-395.77	Sale
	8,000	130.42	02/05/14	315.20	0.00	-184.78	Sale
12/30/14	22,000	358.66	VARIOUS	968.54	0.00	-609.88	Total of 3 lots
PATTERSON-UTI ENERGY INC / CUSIP: 703481101 / Symbol: PTEN							
02/26/14	10,000	297.17	09/17/12	174.67	0.00	122.50	Sale
02/27/14	25,000	726.48	09/17/12	436.69	0.00	289.79	Sale
2 tax lots for 02/28/14. Total proceeds (and cost when required) reported to the IRS.							
	37,000	1,075.25	09/17/12	646.29	0.00	428.96	Sale
	3,000	87.18	09/18/12	51.64	0.00	35.54	Sale
02/28/14	40,000	1,162.43	VARIOUS	697.93	0.00	464.50	Total of 2 lots
03/03/14	70,000	2,040.97	09/18/12	1,204.97	0.00	836.00	Sale
	Security total:	4,227.05		2,514.26	0.00	1,712.79	
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM							
03/04/14	7,000	570.42	07/23/12	618.29	0.00	-47.87	Sale
05/09/14	1,000	86.35	07/23/12	88.32	0.00	-1.97	Sale
	Security total:	656.77		706.61	0.00	-49.84	
RPC INC COMMON STOCK / CUSIP: 749660106 / Symbol: RES							
02/03/14	15,000	245.04	07/23/12	184.03	0.00	61.01	Sale
02/04/14	35,000	570.91	07/23/12	429.40	0.00	141.51	Sale
02/05/14	38,000	619.58	07/23/12	466.19	0.00	153.39	Sale
02/26/14	5,000	93.61	07/23/12	61.35	0.00	32.26	Sale
02/27/14	10,000	183.51	07/23/12	122.68	0.00	60.83	Sale
02/28/14	20,000	368.97	07/23/12	245.37	0.00	123.60	Sale
03/03/14	10,000	181.82	07/23/12	122.68	0.00	59.14	Sale
03/04/14	15,000	272.53	07/23/12	184.03	0.00	88.50	Sale
03/05/14	62,000	1,123.91	07/23/12	760.64	0.00	363.27	Sale
	Security total:	3,659.88		2,576.37	0.00	1,083.51	
SLM CORP VTG / CUSIP: 78442P106 / Symbol: SLM							
05/29/14	38,000	325.64	05/14/13	291.28	0.00	34.36	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
SOUTHERN COPPER CORP / CUSIP: 84265V105 / Symbol: SCCO	17,000	555.32	617.11	03/27/13	0.00	-61.79	Sale
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX	17,000	1,044.46	746.95	07/23/12	0.00	297.51	Sale
05/09/14	2,000	116.69	87.88	07/23/12	0.00	28.81	Sale
Security total		1,161.15	834.83		0.00	326.32	
ULTRA PETROLEUM CORP (CANADA) ORD CAD / CUSIP: 903914109 / Symbol: UPL	29,000	730.50	473.62	02/20/13	0.00	256.88	Sale
04/07/14	20,000	569.53	326.63	02/20/13	0.00	242.90	Sale
3 tax lots for 04/08/14 Total proceeds (and cost when required) reported to the IRS	1,000	28.99	16.33	02/20/13	0.00	12.66	Sale
	2,000	57.98	46.30	11/18/13	0.00	11.68	Sale
	28,000	811.72	623.86	11/18/13	0.00	187.86	Sale
04/08/14	31,000	898.69	686.49	VARIOUS	0.00	212.20	Total of 3 lots
3 tax lots for 04/09/14 Total proceeds (and cost when required) reported to the IRS	5,000	140.98	115.73	11/18/13	0.00	25.25	Sale
	21,000	592.13	419.51	11/21/13	0.00	172.62	Sale
	22,000	620.32	518.38	11/21/13	0.00	101.94	Sale
04/09/14	48,000	1,353.43	1,053.62	VARIOUS	0.00	299.81	Total of 3 lots
Security total:		3,552.15	2,540.36		0.00	1,011.79	
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS	6,000	582.41	506.73	02/20/13	0.00	75.68	Sale
05/09/14	1,000	99.61	84.45	02/20/13	0.00	15.16	Sale
Security total:		682.02	591.18		0.00	90.84	
WADDELL & REED FINANCIAL INC CL A / CUSIP: 930059100 / Symbol: WDR	15,000	1,063.18	413.90	07/23/12	0.00	649.28	Sale
03/04/14							
WSTN DIGITAL CORP COMMON STOCK / CUSIP: 958102105 / Symbol: WDC	19,000	1,626.99	597.93	07/23/12	0.00	1,029.06	Sale
03/04/14	10,000	886.58	314.70	07/23/12	0.00	571.88	Sale
Security total:		2,513.57	912.63		0.00	1,600.94	

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UBS FINANCIAL SERVICES INC.

Account 3H 00393

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B*

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
YUM! BRANDS INC / CUSIP: 988498101 / Symbol: YUM	8,000		596.39	07/23/12	511.00	0.00		85.39 Sale
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A FOREIGN STOCK / CUSIP N63745100 / Symbol LYB	6,000		650.24	05/13/13	372.79	0.00		277.45 Sale
Totals:			53,063.53		43,898.39	211.60 W		9,376.74

5- COVERED tax lots 3- Basis is reported to the IRS**

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ALTRIA GROUP INC / CUSIP: 02209S103 / Symbol: MO	19,000		702.61	03/25/11	493.68	0.00		208.93 Sale
	2,000		80.41	03/25/11	51.96	0.00		28.45 Sale
Security total:			783.02		545.64	0.00		237.38
MARATHON PETROLEUM CO / CUSIP: 56585A102 / Symbol: MPC								
2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS	2,000		169.22	08/09/11	69.92	0.00		99.30 Sale
	8,000		676.87	09/20/11	271.69	0.00		405.18 Sale
	10,000		846.09	VARIOUS	341.61	0.00		504.48 Total of 2 lots
	1,000		91.07	09/20/11	33.96	0.00		57.11 Sale
Security total:			937.16		375.57	0.00		561.59
Totals:			1,720.18		921.21	0.00		798.97

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

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UBS FINANCIAL SERVICES INC.
Proceeds from Broker and Barter Exchange Transactions

Account 3H 00397

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol
5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
BLACKROCK HIGH YIELD BOND I / CUSIP 091929638 / Symbol								
14 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS								
	44.509	368.53	368.53	09/30/13	362.75	0.00		5.78 Sale
	42.896	355.18	355.18	10/31/13	355.18	0.00		0.00 Sale
	41.637	344.75	344.75	11/29/13	346.00	0.00		-1.25 Sale
	10.094	83.58	83.58	12/20/13	82.77	0.00		0.81 Sale
	119.078	985.97	985.97	12/20/13	976.44	0.00		9.53 Sale
	45.871	379.81	379.81	12/31/13	376.60	0.00		3.21 Sale
	48.642	402.76	402.76	01/31/14	400.32	0.00		2.44 Sale
	43.093	356.81	356.81	02/28/14	360.69	0.00		-3.88 Sale
	46.135	381.99	381.99	03/31/14	384.77	0.00		-2.78 Sale
	39.413	326.34	326.34	04/30/14	328.31	0.00		-1.97 Sale
	40.484	335.21	335.21	05/30/14	339.66	0.00		-4.45 Sale
	42.216	349.55	349.55	06/30/14	356.30	0.00		-6.75 Sale
	44.900	371.77	371.77	07/31/14	372.67	0.00		-0.90 Sale
	32.071	265.55	265.55	08/29/14	270.04	0.00		-4.49 Sale
09/24/14	641.039	5,307.80	5,307.80	VARIOUS	5,312.50	0.00		-4.70 Total of 14 lots

TCW EMERGING MARKETS INCOME FUND CLASS I / CUSIP: 87234N765 / Symbol

12 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.

	2.277	19.65	19.65	09/30/13	19.03	0.00		0.62 Sale
	2.242	19.34	19.34	11/01/13	19.11	0.00		0.23 Sale
	2.285	19.72	19.72	11/29/13	19.19	0.00		0.53 Sale
	2.295	19.80	19.80	12/30/13	19.26	0.00		0.54 Sale
	2.345	20.24	20.24	01/31/14	19.34	0.00		0.90 Sale
	2.294	19.79	19.79	02/28/14	19.41	0.00		0.38 Sale
	2.295	19.81	19.81	03/31/14	19.49	0.00		0.32 Sale
	2.297	19.82	19.82	04/30/14	19.57	0.00		0.25 Sale
	2.247	19.40	19.40	05/30/14	19.64	0.00		-0.24 Sale
	2.242	19.34	19.34	06/30/14	19.72	0.00		-0.38 Sale
	2.268	19.57	19.57	07/31/14	19.79	0.00		-0.22 Sale
	2.419	20.88	20.88	08/29/14	21.09	0.00		-0.21 Sale
09/24/14	27.504	237.36	237.36	VARIOUS	234.64	0.00		2.72 Total of 12 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00397

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND I / CUSIP 872365309 / Symbol MFTCAX							
3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.							
	1,256	12.20	11/29/13	12.25	0.00	-0.05	Sale
	2,654	25.80	12/30/13	25.80	0.00	0.00	Sale
	1,597	15.53	01/31/14	14.87	0.00	0.66	Sale
09/24/14	5,507	53.53	VARIOUS	52.92	0.00	0.61	Total of 3 lots
Totals:		5,598.69		5,600.06	0.00	-1.37	

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BLACKROCK HIGH YIELD BOND I / CUSIP 091929638 / Symbol							
16 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.							
	8,832,257	73,131.09	07/23/12	68,450.00	0.00	4,681.09	Sale
	7,414	61.38	07/31/12	57.90	0.00	3.48	Sale
	46,754	387.13	08/31/12	368.42	0.00	18.71	Sale
	43,872	363.26	09/28/12	348.34	0.00	14.92	Sale
	44,054	364.76	10/31/12	351.55	0.00	13.21	Sale
	45,399	375.91	11/30/12	363.19	0.00	12.72	Sale
	33,953	281.13	12/21/12	274.34	0.00	6.79	Sale
	44,963	372.29	12/31/12	363.75	0.00	8.54	Sale
	42,281	350.09	01/31/13	345.01	0.00	5.08	Sale
	39,644	328.25	02/28/13	323.89	0.00	4.36	Sale
	45,092	373.36	03/28/13	371.11	0.00	2.25	Sale
	46,152	382.14	04/30/13	384.45	0.00	-2.31	Sale
	47,424	392.67	05/31/13	390.77	0.00	1.90	Sale
	48,927	405.12	06/28/13	390.44	0.00	14.68	Sale
	47,600	394.13	07/31/13	386.99	0.00	7.14	Sale
	47,813	395.89	08/30/13	385.37	0.00	10.52	Sale
09/24/14	9,463,599	78,358.60	VARIOUS	73,555.52	0.00	4,803.08	Total of 16 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00397

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
TCW EMERGING MARKETS INCOME FUND CLASS I / CUSIP: 87234N765 / Symbol:	577.484		4,983.69	09/13/13	4,777.20	0.00		206.49 Sale
TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND I / CUSIP: 872365309 / Symbol: MFTCAX								
10 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS	464.457		4,514.52	07/23/12	4,635.07	0.00		-120.55 Sale
	1.977		19.22	11/30/12	20.48	0.00		-1.26 Sale
	1.939		18.84	12/28/12	20.51	0.00		-1.67 Sale
	2.176		21.15	01/31/13	23.42	0.00		-2.27 Sale
	2.861		27.81	02/28/13	30.60	0.00		-2.79 Sale
	3.561		34.62	03/28/13	37.91	0.00		-3.29 Sale
	3.479		33.81	04/30/13	38.21	0.00		-4.40 Sale
	3.732		36.28	05/31/13	38.45	0.00		-2.17 Sale
	3.928		38.18	06/28/13	38.73	0.00		-0.55 Sale
	3.165		30.76	07/31/13	31.21	0.00		-0.45 Sale
09/24/14	491.275		4,775.19	VARIOUS	4,914.59	0.00		-139.40 Total of 10 lots

VIRTUS EMERGING MARKETS OPPURTUNITIES FUND - CLASS I / CUSIP: 92828T889 / Symbol: 09/24/14

82.936 870.00 765.91 0.00 104.09 Sale

Totals: 88,987.48 84,013.22 0.00 4,974.26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed 1d- Proceeds & 6- Reported (Gross (Net) 1b- Date acquired 1e- Cost or other basis 1g- Adjustments & 1f- Code(s), if any 7- Loss not allowed(X) Gain or loss(-) & Additional Information

ANIK THERAPEUTICS INC / CUSIP: 035255108 / Symbol: ANIK 115.70 09/04/14 127.73 0.00 12.03 W 0.00 Sale Note: 26

ARTISAN PARTNERS ASSET MGMT INC / CUSIP: 04316A108 / Symbol: APAM

04/25/14 2.000 112.97 06/05/13 92.98 0.00 19.99 Sale

2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.

1.000 51.78 09/08/14 54.55 2.77 W 0.00 Sale Note: 26

3.000 155.36 09/09/14 162.42 7.06 W 0.00 Sale Note: 26

4.000 207.14 VARIOUS 216.97 9.83 W 0.00 Total of 2 lots

Security total:

320.11 309.95 9.83 W 19.99

BIO REFERENCE LAB INC NEW / CUSIP: 09057G602 / Symbol: BRLI

5 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.

1.000 28.27 10/21/13 32.10 0.00 -3.83 Sale Note: 26

4.000 113.11 10/22/13 131.81 0.00 -18.70 Sale Note: 26

2.000 56.55 10/23/13 66.65 0.00 -10.10 Sale Note: 26

1.000 28.27 10/24/13 33.36 0.00 -5.09 Sale Note: 26

1.000 28.27 10/25/13 33.27 0.00 -5.00 Sale Note: 26

9.000 254.47 VARIOUS 297.19 0.00 -42.72 Total of 5 lots

09/24/14

BRIGHT HORIZONS FAMILY SOLUTIONS INC / CUSIP: 109194100 / Symbol: BFAM

09/24/14 2.000 84.26 07/14/14 84.86 0.00 -0.60 Sale Note: 26

BROWN & BROWN INC / CUSIP: 115236101 / Symbol: BRO

09/24/14 3.000 97.91 07/23/14 94.73 0.00 3.18 Sale Note: 26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

5- COVERED tax lots 3- Basis is reported to the IRS**

2- SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CENTENE CORP / CUSIP 15135B101 / Symbol: CNC	2,000	166.21	166.21	06/24/14	148.78	0.00	17.43	Sale Note: 26
CHEESECAKE FACTORY INC COMMON STOCK / CUSIP 163072101 / Symbol: CAKE	5,000	228.44	228.44	07/09/14	233.17	0.00	-4.73	Sale
CHOICE HOTELS INTL INC NEW / CUSIP: 169905106 / Symbol: CHH	3,000	158.00	158.00	09/02/14	164.06	6.06 W	0.00	Sale Note: 26
COLUMBIA SPORTSWEAR CO / CUSIP: 198516106 / Symbol: COLM	10,000	801.52	801.52	07/19/13	630.48	0.00	171.04	Sale
COMMVAULT SYSTEMS INC / CUSIP: 204166102 / Symbol: CVLT								
3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	1,000	51.10	51.10	03/11/14	65.34	0.00	-14.24	Sale Note: 26
	2,000	102.20	102.20	03/11/14	130.32	0.00	-28.12	Sale Note: 26
	1,000	51.09	51.09	03/21/14	69.99	0.00	-18.90	Sale Note: 26
	4,000	204.39	204.39	VARIOUS	265.65	0.00	-61.26	Sale Note: 26 Total of 3 lots
COOPER COMPANIES INC NEW / CUSIP: 216648402 / Symbol: COO								
	1,000	128.96	128.96	01/24/14	123.87	0.00	5.09	Sale
	2,000	314.73	314.73	08/19/14	323.09	0.00	-8.36	Sale Note: 26
		443.69	443.69		446.96	0.00	-3.27	
Security total:								
CYBERONICS INC COMMON STOCK / CUSIP: 23251P102 / Symbol: CYBX	2,000	102.95	102.95	02/18/14	145.60	0.00	-42.65	Sale Note: 26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**								
DENBURY RESOURCES INC NEW / CUSIP 247916208 / Symbol DNR								
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	17.000		260.26	10/01/13	318.80	0.00	-58.54	Sale
	6.000		91.85	11/12/13	107.61	0.00	-15.76	Note: 26 Sale
09/24/14	23.000		352.11	VARIOUS	426.41	0.00	-74.30	Note: 26 Total of 2 lots
DIGITAL REALTY TRUST INC REIT SBI / CUSIP 253868103 / Symbol DLR								
09/24/14	4.000		247.15	04/08/14	215.02	0.00	32.13	Sale
DORMAN PRODUCTS INC / CUSIP 258278100 / Symbol: DORM								
09/24/14	5.000		206.29	11/21/13	246.07	0.00	-39.78	Sale Note: 26
DRESSER RAND GROUP INC / CUSIP: 261608103 / Symbol: DRC								
04/25/14	2.000		122.33	12/26/13	118.89	0.00	3.44	Sale
09/23/14	18.000		1,478.64	12/26/13	1,070.02	0.00	408.62	Sale
	Security total:		1,600.97		1,188.91	0.00	412.06	
ENCORE CAPITAL GROUP INC / CUSIP: 292554102 / Symbol ECPG								
3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	2.000		90.13	10/15/13	98.49	0.00	-8.36	Sale
	4.000		180.27	10/29/13	200.45	0.00	-20.18	Note: 26 Sale
	2.000		90.13	10/30/13	100.91	0.00	-10.78	Note: 26 Sale
09/24/14	8.000		360.53	VARIOUS	399.85	0.00	-39.32	Note: 26 Total of 3 lots
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT								
08/12/14	2.000		49.69	12/04/13	34.74	0.00	14.95	Sale
08/13/14	1.000		25.56	12/04/13	17.36	0.00	8.20	Note: 26 Sale
09/10/14	10.000		263.61	12/03/13	170.39	0.00	93.22	Note: 26 Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed Quantity 6- Reported (G)ross (N)et 1d- Proceeds & 1b- Date acquired 1e- Cost or other basis 1g- Adjustments & 1f- Code(s), if any 7- Loss not allowed(X) Gain or loss(-) & Additional information

FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT (cont'd)

2 tax lots for 09/11/14 Total proceeds (and cost when required) reported to the IRS:

	5,000	130.46	12/03/13	85.19	0.00		45.27	Sale
	29,000	756.68	12/04/13	503.67	0.00		253.01	Sale
09/11/14	34,000	887.14	VARIOUS	588.86	0.00		298.28	Total of 2 lots
	Security total	1,226.00		811.35	0.00		414.65	

FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET

04/25/14	4,000	127.35	05/20/13	119.22	0.00		8.13	Sale
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GENTHERM INC / CUSIP: 37253A103 / Symbol: THRM

09/24/14	3,000	146.27	04/15/14	103.77	0.00		42.50	Sale
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HCC INSURANCE HLDGS INC COMMON STOCK / CUSIP: 404132102 / Symbol: HCC

09/24/14	2,000	97.95	01/29/14	86.40	0.00		11.55	Sale Note: 26
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HUB GROUP INC CL A / CUSIP: 443320106 / Symbol: HUBG

09/24/14	1,000	40.38	04/25/14	44.49	0.00		-4.11	Sale Note: 26
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INTERVAL LEISURE GROUP INC / CUSIP: 46113M108 / Symbol: ILG

09/24/14	5,000	100.70	08/06/14	111.25	0.00		-10.55	Sale Note: 26
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LPL FINL HLDGS INC COM / CUSIP: 50212V100 / Symbol: LPLA

04/25/14	2,000	93.43	12/17/13	88.87	0.00		4.56	Sale
09/24/14	2,000	96.35	12/17/13	88.87	0.00		7.48	Sale
	Security total:	189.78		177.74	0.00		12.04	

LINDSAY CORPORATION / CUSIP: 535555106 / Symbol: LNN

09/24/14	1,000	74.99	04/25/14	89.50	0.00		-14.51	Sale Note: 26
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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed Quantity 6- Reported (Gross (Net) 1d- Proceeds & 1b- Date 1e- Cost or 1g- Adjustments & Gain or loss(-) & Additional information

LIQUIDITY SERVICES INC / CUSIP 53635B107 / Symbol: LQDT

4 tax lots for 05/15/14 Total proceeds (and cost when required) reported to the IRS.

05/15/14	5.000	67.73	05/15/13	187.99	0.00	-120.26	Sale
	2.000	27.09	05/16/13	75.94	0.00	-48.85	Sale
	2.000	27.09	05/17/13	76.14	0.00	-49.05	Sale
	19.000	257.36	07/30/13	542.41	0.00	-285.05	Sale
	28.000	379.27	VARIOUS	882.48	0.00	-503.21	Total of 4 lots

NORDSON CORP COMMON STOCK / CUSIP: 655663102 / Symbol: NDSN

09/24/14	3.000	230.30	07/29/14	232.90	0.00	-2.60	Sale Note: 26
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OCEANEERING INTL INC COMMON STOCK / CUSIP 675232102 / Symbol: OII

09/24/14	4.000	259.23	08/13/14	271.88	0.00	-12.65	Sale Note: 26
10/28/14	6.000	393.82	08/13/14	407.83	0.00	-14.01	Sale Note: 26
		653.05		679.71	0.00	-26.66	

ONE GAS INC / CUSIP: 68235P108 / Symbol: OGS

2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS							
09/24/14	5.000	176.94	07/24/14	191.20	0.00	-14.26	Sale Note: 26
	1.000	35.38	07/25/14	38.06	0.00	-2.68	Sale Note: 26
09/24/14	6.000	212.32	VARIOUS	229.26	0.00	-16.94	Total of 2 lots

SERVICE CORP INTL COMMON STOCK / CUSIP: 817565104 / Symbol: SCI

09/24/14	22.000	468.81	10/01/13	416.87	0.00	51.94	Sale
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SOLARWINDS INC / CUSIP: 83416B109 / Symbol: SWI

09/24/14	5.000	213.74	01/08/14	195.68	0.00	18.06	Sale Note: 26
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SOTHEBY'S / CUSIP: 835898107 / Symbol: BID

09/24/14	3.000	109.58	08/06/14	121.43	0.00	-11.85	Sale Note: 26
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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account 3H 00394
2014 1099-B*		(continued)		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS								5- COVERED tax lots 3- Basis is reported to the IRS**	
Report on Form 8949, Part I, with Box A checked									
1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1e- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Additional information	
TENNECO INC / CUSIP: 880349105 / Symbol: TEN	13.000	754.36	07/18/13	647.48	0.00	106.88	Sale		
04/15/14									
TERADATA CORP NEW (DELA) / CUSIP: 88076W103 / Symbol: TDC	4.000	172.59	09/10/14	179.58	0.00	-6.99	Sale		
09/24/14									
TEXAS ROADHOUSE INC CL A / CUSIP: 882681109 / Symbol: TXRH									
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.									
	5.000	133.71	12/12/13	137.79	0.00	-4.08	Sale	Note: 26	
								Sale	
	4.000	106.96	12/16/13	111.55	0.00	-4.59	Sale	Note: 26	
								Total of 2 lots	
09/24/14	9.000	240.67	VARIOUS	249.34	0.00	-8.67			
TIBCO SOFTWARE INC **MERGER EFF 12/2014** / CUSIP: 88632Q103 / Symbol	1.000	20.27	04/25/14	19.34	0.00	0.93	Sale	Note: 26	
09/24/14									
TUMI HLDGS INC / CUSIP: 89969Q104 / Symbol: TUMI									
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.									
	7.000	141.46	03/05/14	158.59	0.00	-17.13	Sale	Note: 26	
								Sale	
	5.000	101.04	03/06/14	114.29	0.00	-13.25	Sale	Note: 26	
								Total of 2 lots	
09/24/14	12.000	242.50	VARIOUS	272.88	0.00	-30.38			
TUPPERWARE BRANDS CORP / CUSIP: 899896104 / Symbol: TUP	1.000	71.22	09/12/14	73.96	2.74 W	0.00	Sale	Note: 26	
09/24/14									
UBIQUITI NETWORKS INC / CUSIP: 90347A100 / Symbol: UBNT	7.000	277.47	04/17/14	291.90	0.00	-14.43	Sale	Note: 26	
09/24/14									
UNIFIRST CORP MASS COMMON STOCK / CUSIP: 904708104 / Symbol: UNF	2.000	196.30	12/24/13	211.30	0.00	-15.00	Sale		
09/24/14									

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)/et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
VIRTUS INVESTMENT PARTNER INC / CUSIP. 92828Q109 / Symbol VRTS							
04/25/14	1.000	176.53	06/05/13	220.23	0.00		-43.70 Sale
09/05/14	1.000	183.68	10/29/13	186.28	0.00		-2.60 Sale
Security total:		360.21		406.51	0.00		-46.30 Note: 26
WEST PHARMACEUTICAL SERVICES INC / CUSIP 955306105 / Symbol WST							
09/24/14	9.000	400.61	12/23/13	446.55	0.00		-45.94 Sale
Security total:							Note: 26

WHITEWAVE FOODS CO CL A / CUSIP. 966244105 / Symbol: WWAV

7 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.

3.000	89.93	04/30/13	50.80	0.00		39.13 Sale
4.000	119.90	05/01/13	67.65	0.00		52.25 Sale
5.000	149.89	05/02/13	88.63	0.00		61.26 Sale
5.000	149.88	05/03/13	88.87	0.00		61.01 Sale
4.000	119.90	05/06/13	70.25	0.00		49.65 Sale
7.000	209.84	05/07/13	123.07	0.00		86.77 Sale
2.000	59.95	05/08/13	35.08	0.00		24.87 Sale
30.000	899.29	VARIOUS	524.35	0.00		374.94 Total of 7 lots

2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS.

9.000	244.44	05/08/13	157.88	0.00		86.56 Sale
12.000	325.93	05/09/13	217.89	0.00		108.04 Sale
21.000	570.37	VARIOUS	375.77	0.00		194.60 Total of 2 lots
Security total:	1,469.66		900.12	0.00		569.54

WILLIAMS SONOMA INC COMMON STOCK / CUSIP. 969904101 / Symbol. WSM

09/24/14	1.000	68.05	02/05/14	53.14	0.00		14.91 Sale
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SODA STREAM INTERNATIONAL LTD FOREIGN STOCK ILS / CUSIP. M9068E105 / Symbol SODA

02/07/14	3.000	115.18	08/01/13	198.81	0.00		-83.63 Sale
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SENSATA TECHNOLOGIES HOLDING NV FOREIGN STOCK EUR / CUSIP N7902X106 / Symbol ST

09/24/14	3.000	139.61	09/05/14	142.03	0.00		-2.42 Sale
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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Totals:		14,543.89		13,820.41	30.66 W	754.14	

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AGL RESOURCES INC / CUSIP 001204106 / Symbol: GAS	13.000	705.03	07/24/12	516.02	0.00	189.01	Sale
07/16/14	4.000	205.55	07/24/12	158.78	0.00	46.77	Sale
09/24/14		910.58		674.80	0.00	235.78	
Security total:							

5- COVERED tax lots 3- Basis is reported to the IRS**

AMER STATES WATER CO / CUSIP: 029899101 / Symbol: AWR

09/24/14	13.000	398.10	07/24/12	261.31	0.00	136.79	Sale
11/07/14	6.000	210.62	07/24/12	120.60	0.00	90.02	Sale
11/10/14	8.000	279.16	07/24/12	160.80	0.00	118.36	Sale
Security total:		887.88		542.71	0.00	345.17	

APTARGROUP INC COMMON STOCK / CUSIP: 038336103 / Symbol: ATR

09/24/14	7.000	427.55	07/24/12	342.02	0.00	85.53	Sale
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BOK FINANCIAL CORP NEW COMMON STOCK / CUSIP 05561Q201 / Symbol BOKF

09/24/14	2.000	135.51	11/21/12	112.02	0.00	23.49	Sale Note: 26
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BANK OF HAWAII CORP / CUSIP: 062540109 / Symbol: BOH

01/24/14	12.000	707.73	07/24/12	550.00	0.00	157.73	Sale
09/24/14	7.000	407.38	07/24/12	320.84	0.00	86.54	Sale
Security total:		1,115.11		870.84	0.00	244.27	

BIOMED REALTY TRUST INC REITS SBI / CUSIP 09063H107 / Symbol: BMR

09/24/14	11.000	223.66	05/14/13	251.71	0.00	-28.05	Sale Note: 26
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BLACKBAUD INC / CUSIP: 09227Q100 / Symbol: BLKB

09/24/14	4.000	156.19	07/24/12	106.24	0.00	49.95	Sale
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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
BRIGHT HORIZONS FAMILY SOLUTIONS INC / CUSIP: 109194100 / Symbol: BFAM	1.000	42.13	08/26/13	36.89	0.00		5.24 Sale Note: 26
BRUKER CORP / CUSIP: 116794108 / Symbol: BRKR	15.000	284.54	07/24/12	173.02	0.00		111.52 Sale
CALGON CARBON CORP COMMON STOCK / CUSIP: 129603106 / Symbol: CCC	15.000	299.15	07/24/12	204.79	0.00		94.36 Sale
CARBO CERAMICS INC / CUSIP: 140781105 / Symbol: CRR	2.000	270.47	07/24/12	161.09	0.00		109.38 Sale
07/10/14	1.000	143.60	07/24/12	80.55	0.00		63.05 Sale
08/12/14	4.000	435.90	07/24/12	322.20	0.00		113.70 Sale
09/24/14	2.000	132.19	07/24/12	161.09	0.00		-28.90 Sale
12/26/14					0.00		-123.07 Sale
12/29/14					0.00		-162.09 Sale
					0.00		-285.16 Total of 2 lots
					0.00		-445.10 Sale
					0.00		-473.03 Sale
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS	2.000	128.59	02/19/13	140.16	0.00		-11.57 Sale Note: 26
CITY NATIONAL CORP COMMON STOCK / CUSIP: 178566105 / Symbol: CYN	1.000	77.10	04/09/13	57.33	0.00		19.77 Sale
CLARCOR INC COMMON STOCK / CUSIP: 179895107 / Symbol: CLC	5.000	322.09	07/24/12	233.62	0.00		88.47 Sale
12/10/14	8.000	521.42	07/24/12	373.79	0.00		147.63 Sale
					0.00		236.10 Sale
COHERENT INC COMMON STOCK / CUSIP: 192479103 / Symbol: COHR	1.000	64.15	07/24/12	42.63	0.00		21.52 Sale

2 tax lots for 12/26/14 Total proceeds (and cost when required) reported to the IRS

3 000 118.58 07/24/12 241.65

4 000 160.11 07/24/12 322.20

7.000 278.69 VARIOUS 563.85

11.000 440.93 07/24/12 886.03

Security total: 1,701.78 2,174.81

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**								
COHEN & STEERS INC / CUSIP: 19247A100 / Symbol: CNS / Note: P5	6,000	236.93	236.93	07/24/12	182.83	0.00	54.10	Sale
COLUMBIA SPORTSWEAR CO / CUSIP: 198516106 / Symbol: COLM	3,000	220.32	220.32	11/19/12	165.95	0.00	54.37	Sale
4 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS.								
	2,000	147.26	147.26	11/20/12	111.35	0.00	35.91	Sale
	2,000	147.26	147.26	11/26/12	113.23	0.00	34.03	Sale
	2,000	147.25	147.25	11/27/12	113.54	0.00	33.71	Sale
	3,000	220.89	220.89	11/28/12	173.86	0.00	47.03	Sale
02/07/14	9,000	662.66	662.66	VARIOUS	511.98	0.00	150.68	Total of 4 lots
2 tax lots for 02/10/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	73.58	73.58	11/29/12	57.93	0.00	15.65	Sale
	1,000	73.57	73.57	11/30/12	57.84	0.00	15.73	Sale
02/10/14	2,000	147.15	147.15	VARIOUS	115.77	0.00	31.38	Total of 2 lots
06/24/14	2,000	168.22	168.22	11/30/12	115.67	0.00	52.55	Sale
3 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000	83.20	83.20	11/30/12	57.83	0.00	25.37	Sale
	4,000	332.82	332.82	12/03/12	231.93	0.00	100.89	Sale
	1,000	83.20	83.20	04/02/13	57.93	0.00	25.27	Sale
06/25/14	6,000	499.22	499.22	VARIOUS	347.69	0.00	151.53	Total of 3 lots
07/09/14	1,000	84.17	84.17	04/04/13	58.86	0.00	25.31	Sale
07/10/14	2,000	165.42	165.42	04/04/13	117.72	0.00	47.70	Note: 26 Sale
07/14/14	1,000	81.77	81.77	04/03/13	58.43	0.00	23.34	Note: 26 Sale
07/15/14	1,000	80.79	80.79	04/03/13	58.43	0.00	22.36	Note: 26 Sale
2 tax lots for 07/16/14. Total proceeds (and cost when required) reported to the IRS.								
	3,000	240.46	240.46	04/02/13	173.79	0.00	66.67	Sale
	3,000	240.45	240.45	04/03/13	175.30	0.00	65.15	Sale
07/16/14	6,000	480.91	480.91	VARIOUS	349.09	0.00	131.82	Total of 2 lots
Security total:					1,899.59	0.00	691.04	

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net) / CUSIP	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMMERCE BANCSHARES COMMON STOCK / CUSIP: 200525103 / Symbol CBSH	6.000	273.95	07/24/12	214.63	0.00	59.32	Sale
12/15/14	0.200	8.56	07/24/12	6.82	0.00	1.74	Sale
Security total:		282.51		221.45	0.00	61.06	
COMMERCIAL METALS CO COMMON STOCK / CUSIP: 201723103 / Symbol CMC	15.000	265.85	07/24/12	179.80	0.00	86.05	Sale
09/24/14							
CORESITE RLTY CORP COM REIT SBI / CUSIP: 21870Q105 / Symbol COR	2.000	61.52	09/25/12	52.88	0.00	8.64	Sale
04/25/14							
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS:							
	2.000	66.16	09/27/12	53.17	0.00	12.99	Sale
	1.000	33.07	09/28/12	27.10	0.00	5.97	Note: 26
09/24/14	3.000	99.23	VARIOUS	80.27	0.00	18.96	Sale
		160.75		133.15	0.00	27.60	Note: 26
Security total:							Total of 2 lots
COVANCE INC / CUSIP: 222816100 / Symbol: CVD	3.000	251.24	07/02/13	231.94	0.00	19.30	Sale
09/24/14							Note: 26
3 tax lots for 11/06/14 Total proceeds (and cost when required) reported to the IRS							
	14.000	1,416.13	02/26/13	919.12	0.00	497.01	Sale
	6.000	606.91	05/21/13	455.93	0.00	150.98	Sale
	3.000	303.46	07/02/13	231.93	0.00	71.53	Sale
11/06/14	23.000	2,326.50	VARIOUS	1,606.98	0.00	719.52	Total of 3 lots
Security total:		2,577.74		1,838.92	0.00	738.82	
CULLEN FROST BANKERS INC COMMON STOCK / CUSIP: 229899109 / Symbol. CFR	2.000	153.93	07/24/12	110.91	0.00	43.02	Sale
04/25/14							
09/24/14	2.000	156.49	07/24/12	110.91	0.00	45.58	Sale
12/05/14	6.000	446.57	07/24/12	332.73	0.00	113.84	Sale
Security total:		756.99		554.55	0.00	202.44	
DSW INC CL A / CUSIP: 23334L102 / Symbol: DSW	8.000	242.31	08/01/13	310.66	0.00	-68.35	Sale
09/24/14							Note: 26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DARLING INTL INC / CUSIP 237266101 / Symbol DAR	7.000		129.49	06/12/13	136.83	0.00	- 7.34	Sale Note: 26
2 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS								
	2.000		35.85	06/11/13	38.85	0.00	- 3.00	Sale Note: 26
	11.000		197.20	06/12/13	215.02	0.00	-17.82	Sale Note: 26
12/10/14	13.000		233.05	VARIOUS	253.87	0.00	-20.82	Total of 2 lots
12/26/14	14.000		256.63	07/24/12	224.25	0.00	32.38	Sale
2 tax lots for 12/29/14. Total proceeds (and cost when required) reported to the IRS.								
	30.000		545.49	07/24/12	480.53	0.00	64.96	Sale
	2.000		36.37	10/24/12	33.12	0.00	3.25	Sale
12/29/14	32.000		581.86	VARIOUS	513.65	0.00	68.21	Total of 2 lots
3 tax lots for 12/30/14. Total proceeds (and cost when required) reported to the IRS.								
	2.000		36.25	10/24/12	33.12	0.00	3.13	Sale
	5.000		90.62	10/25/12	83.12	0.00	7.50	Sale
	5.000		90.62	06/11/13	97.14	0.00	-6.52	Sale
12/30/14	12.000		217.49	VARIOUS	213.38	0.00	4.11	Total of 3 lots
	Security total:		1,418.52		1,341.98	0.00	76.54	
DENTSPLY INTL INC COMMON STOCK / CUSIP: 249030107 / Symbol: XRAY	6.000		277.13	12/13/12	238.34	0.00	38.79	Sale
DONALDSON CO INC COMMON STOCK / CUSIP: 257651109 / Symbol: DCI	9.000		370.37	07/24/12	293.10	0.00	77.27	Sale
DORMAN PRODUCTS INC / CUSIP: 258278100 / Symbol: DORM	10.000		558.94	07/24/12	247.53	0.00	311.41	Sale
DUPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT	33.000		805.48	07/24/12	854.55	0.00	-49.07	Sale
3 tax lots for 04/09/14. Total proceeds (and cost when required) reported to the IRS.								
	35.000		858.04	07/24/12	906.35	0.00	-48.31	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
DUPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT (cont'd)							
	3.000	73.55	10/03/12	73.96	0.00	-0.41	Sale
	17.000	416.76	10/04/12	421.40	0.00	-4.64	Sale
04/09/14	55.000	1,348.35	VARIOUS	1,401.71	0.00	-53.36	Total of 3 lots
	Security total:	2,153.83		2,256.26	0.00	-102.43	
EAST WEST BANCORP INC / CUSIP: 27579R104 / Symbol: EWBC							
01/24/14	11.000	394.02	07/24/12	238.00	0.00	156.02	Sale
09/09/14	8.000	281.67	07/24/12	173.10	0.00	108.57	Sale
09/24/14	10.000	341.09	07/24/12	216.36	0.00	124.73	Sale
11/18/14	5.000	183.64	07/24/12	108.18	0.00	75.46	Sale
	Security total:	1,200.42		735.64	0.00	464.78	
EATON VANCE CORP NON VTG COMMON STOCK / CUSIP: 278265103 / Symbol: EV							
09/24/14	6.000	229.01	11/20/12	187.93	0.00	41.08	Sale
						Note: 26	
FACTSET RESEARCH SYSTEMS INC / CUSIP: 303075105 / Symbol: FDS							
09/24/14	1.000	122.43	07/24/12	90.76	0.00	31.67	Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
7 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS.							
	6.000	149.10	06/11/13	110.10	0.00	39.00	Sale
	19.000	472.18	06/12/13	346.49	0.00	125.69	Note: 26
	2.000	49.69	06/13/13	35.68	0.00	14.01	Sale
	4.000	99.40	06/14/13	70.92	0.00	28.48	Note: 26
	4.000	99.40	06/17/13	71.15	0.00	28.25	Note: 26
	5.000	124.25	06/18/13	90.25	0.00	34.00	Sale
	16.000	397.63	06/19/13	286.92	0.00	110.71	Note: 26
08/12/14	56.000	1,391.65	VARIOUS	1,011.51	0.00	380.14	Sale
						Total of 7 lots	

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00394
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS		5- COVERED tax lots 3- Basis is reported to the IRS**						
Report on Form 8949, Part II, with Box D checked								
1a- Description of property/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Additional information
FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET								
4 tax lots for 08/13/14. Total proceeds (and cost when required) reported to the IRS.								
	6.000	195.35		06/11/13	182.28	0.00		
							13.07	Sale
	3.000	97.67		06/18/13	95.46	0.00	2.21	Note: 26 Sale
	4.000	130.71		06/18/13	127.29	0.00	3.42	Note: 26 Sale
	5.000	163.39		06/19/13	159.92	0.00	3.47	Note: 26 Sale
08/13/14	18.000	587.12		VARIOUS	564.95	0.00	22.17	Note: 26 Total of 4 lots
08/14/14	4.000	130.00		06/11/13	121.51	0.00	8.49	Sale
								Note: 26
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	2.000	64.23		06/10/13	60.71	0.00	3.52	Sale
	5.000	160.59		06/11/13	151.90	0.00	8.69	Note: 26 Sale
09/24/14	7.000	224.82		VARIOUS	212.61	0.00	12.21	Note: 26 Total of 2 lots
		941.94			899.07	0.00	42.87	
Security total								
FRANKLIN ELECTRIC CO COMMON STOCK / CUSIP: 353514102 / Symbol: FELE								
3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	1.000	35.66		01/16/13	32.59	0.00	3.07	Sale
	2.000	71.33		01/17/13	65.26	0.00	6.07	Note: 26 Sale
	2.000	71.33		01/18/13	65.72	0.00	5.61	Note: 26 Sale
09/24/14	5.000	178.32		VARIOUS	163.57	0.00	14.75	Note: 26 Total of 3 lots
GENESEE & WYOMING INC CL A / CUSIP: 371559105 / Symbol: GWR								
04/25/14	2.000	191.43		07/24/12	116.94	0.00	74.49	Sale
09/24/14	2.000	194.21		07/24/12	116.93	0.00	77.28	Sale
		385.64			233.87	0.00	151.77	

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) 1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**						
1a- Description of property/CUSIP/Symbol						
GEOSPACE TECHNOLOGIES CORP / CUSIP: 37364X109 / Symbol: GEOS						
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.						
1 000	38.82	93.70	04/10/13	0.00	-54.88	Sale Note 26
2 000	77.65	190.05	04/11/13	0.00	-112.40	Sale Note 26
3 000	116.47	283.75	VARIOUS	0.00	-167.28	Total of 2 lots
09/24/14						
7 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS.						
12 000	345.15	815.19	10/22/12	0.00	-470.04	Sale
3 000	86.29	280.94	04/09/13	0.00	-194.65	Sale
2 000	57.52	187.39	04/10/13	0.00	-129.87	Sale
1 000	28.76	87.52	05/14/13	0.00	-58.76	Sale
1 000	28.77	87.62	05/15/13	0.00	-58.85	Sale
1 000	28.76	87.48	05/16/13	0.00	-58.72	Sale
1 000	28.76	87.56	05/17/13	0.00	-58.80	Sale
21 000	604.01	1,633.70	VARIOUS	0.00	-1,029.69	Total of 7 lots
Security total:	720.48	1,917.45		0.00	-1,196.97	
HAEMONETICS CORP MASS COMMON STOCK / CUSIP: 405024100 / Symbol: HAE						
09/24/14	2.000	83.07	03/20/13	0.00	-14.46	Sale Note: 26
HIBBETT SPORTS INC / CUSIP: 428567101 / Symbol: HIBB						
08/05/14	8.000	467.72	07/24/12	0.00	-67.57	Sale
09/10/14	22.000	1,286.21	07/24/12	0.00	-350.87	Sale
Security total:	1,335.49	1,753.93		0.00	-418.44	
HUB GROUP INC CL A / CUSIP: 443320106 / Symbol: HUBG						
09/24/14	1 000	28.16	07/24/12	0.00	12.22	Sale Note: 26
HUBBELL INC CL B COMMON STOCK / CUSIP: 443510201 / Symbol: HUBB						
09/24/14	2.000	166.38	12/13/12	0.00	80.65	Sale Note: 26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & 5- Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ICU MEDICAL INC COMMON STOCK / CUSIP: 44930G107 / Symbol: ICU								
09/24/14	3,000		189.44	07/24/12	152.70	0.00		36.74 Sale
11/18/14	1,000		85.60	07/24/12	50.90	0.00		34.70 Sale
11/20/14	2,000		162.65	07/24/12	101.80	0.00		60.85 Sale
11/24/14	1,000		82.13	07/24/12	50.90	0.00		31.23 Sale
12/05/14	3,000		252.66	07/24/12	152.70	0.00		99.96 Sale
12/08/14	2,000		168.03	07/24/12	101.80	0.00		66.23 Sale
12/09/14	5,000		416.16	07/24/12	254.50	0.00		161.66 Sale
12/10/14	2,000		165.99	07/24/12	101.80	0.00		64.19 Sale
Security total:			1,522.66		967.10	0.00		555.56
IPG PHOTONICS CORP / CUSIP: 44980X109 / Symbol: IPGP								
09/24/14	6,000		405.56	07/24/12	263.45	0.00		142.11 Sale
12/10/14	4,000		296.43	10/08/13	239.98	0.00		56.45 Sale
Security total:			701.99		503.43	0.00		198.56 Note: 26
IDEX CORP COMMON STOCK / CUSIP: 45167R104 / Symbol: IEX								
09/24/14	2,000		149.13	07/24/12	71.50	0.00		77.63 Sale
INTL FLAVORS&FRGRNCS COMMON STOCK / CUSIP: 459506101 / Symbol: IFF								
09/24/14	4,000		389.71	06/10/13	318.52	0.00		71.19 Sale
Security total:								507.35 Total of 3 lots
IROBOT CORPORATION / CUSIP: 462726100 / Symbol: IROBT								
01/14/14	34,000		1,298.82	VARIOUS	791.47	0.00		507.35 Total of 3 lots
JONES LANG LASALLE INC / CUSIP: 48020Q107 / Symbol: JLL								
07/22/14	4,000		519.56	07/24/12	260.94	0.00		258.62 Sale
09/24/14	4,000		503.16	07/24/12	260.93	0.00		242.23 Sale
11/18/14	5,000		712.20	07/24/12	326.17	0.00		386.03 Sale
Security total:			1,734.92		848.04	0.00		886.88

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions		Account 3H 00394
2014 1099-B*	(continued)			OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS							5- COVERED tax lots 3- Basis is reported to the IRS**	
Report on Form 8949, Part II, with Box D checked								
1a- Description of property/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss or loss(-) & Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
LIFE TIME FITNESS INC / CUSIP: 53217R207 / Symbol: LTM								
04/25/14	2,000	94.11	07/24/12	84.32	0.00	9.79	Sale	
07/09/14	3,000	146.96	07/24/12	126.50	0.00	20.46	Sale	
07/10/14	3,000	144.66	07/24/12	126.49	0.00	18.17	Sale	
07/11/14	2,000	96.58	07/24/12	84.32	0.00	12.26	Sale	
07/29/14	24,000	943.42	07/24/12	1,011.93	0.00	-68.51	Sale	
	Security total.	1,425.73		1,433.56	0.00	-7.83		
LINCOLN ELECTRIC HOLDINGS NEW / CUSIP: 533900106 / Symbol: LECO								
09/24/14	3,000	215.18	12/13/12	143.63	0.00	71.55	Sale Note: 26	
LINDSAY CORPORATION / CUSIP: 535555106 / Symbol: LNN								
09/24/14	1,000	74.99	07/24/12	70.17	0.00	4.82	Sale Note: 26	
LIQUIDITY SERVICES INC / CUSIP 53635B107 / Symbol: LQDT								
05/14/14	9,000	123.51	07/24/12	364.21	0.00	-240.70	Sale	
2 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS.								
	33,000	447.00	07/24/12	1,335.41	0.00	-888.41	Sale	
	3,000	40.63	05/14/13	111.79	0.00	-71.16	Sale	
05/15/14	36,000	487.63	VARIOUS	1,447.20	0.00	-959.57	Total of 2 lots	
	Security total:	611.14		1,811.41	0.00	-1,200.27		
MARKETAXESS HOLDINGS INC / CUSIP: 57060D108 / Symbol: MKTX								
3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	1,000	60.38	09/19/12	30.63	0.00	29.75	Sale Note: 26	
	2,000	120.77	09/20/12	60.56	0.00	60.21	Sale Note: 26	
	4,000	241.55	09/21/12	121.04	0.00	120.51	Sale Note: 26	
09/24/14	7,000	422.70	VARIOUS	212.23	0.00	210.47	Total of 3 lots	
MEDNAX INC / CUSIP 58502B106 / Symbol: MD								
04/15/14	18,000	1,076.65	07/24/12	552.51	0.00	524.14	Sale	

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UBS FINANCIAL SERVICES INC.		Proceeds from Broker and Barter Exchange Transactions	
2014	1099-B*	(continued)	
		Account 3H 00394	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS							
Report on Form 8949, Part II, with Box D checked							
1a- Description of property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MEDNAX INC / CUSIP: 58502B106 / Symbol MD (cont'd)							
2 tax lots for 05/06/14 Total proceeds (and cost when required) reported to the IRS.							
	6,000	345.26	07/24/12	184.17	0.00	161.09	Sale
	2,000	115.09	09/25/12	74.84	0.00	40.25	Sale
05/06/14	8 000	460.35	VARIOUS	259.01	0.00	201.34	Total of 2 lots
09/24/14	4,000	218.47	07/02/13	184.46	0.00	34.01	Sale
				995.98	0.00	759.49	Note: 26
Security total							
MERIDIAN BIOSCIENCE INC / CUSIP: 589584101 / Symbol: VIVO							
08/19/14	1,000	20.45	07/24/12	18.64	0.00	1.81	Sale
08/20/14	23,000	462.09	07/24/12	428.75	0.00	33.34	Sale
08/26/14	1,000	19.66	07/24/12	18.64	0.00	1.02	Sale
08/27/14	4,000	78.60	07/24/12	74.57	0.00	4.03	Sale
08/28/14	1,000	19.62	07/24/12	18.64	0.00	0.98	Sale
08/29/14	1,000	19.55	07/24/12	18.64	0.00	0.91	Sale
09/02/14	1,000	19.54	07/24/12	18.64	0.00	0.90	Sale
09/04/14	2,000	38.04	07/24/12	37.29	0.00	0.75	Sale
09/08/14	1 000	18.73	07/24/12	18.64	0.00	0.09	Sale
09/09/14	1,000	18.75	07/24/12	18.64	0.00	0.11	Sale
09/10/14	5,000	94.18	07/24/12	93.21	0.00	0.97	Sale
09/11/14	1,000	18.95	07/24/12	18.64	0.00	0.31	Sale
09/15/14	2,000	37.15	07/24/12	37.28	0.00	-0.13	Sale
		865.31		820.22	0.00	45.09	
Security total							
MIDDLEBY CORP DELA COMMON STOCK / CUSIP 596278101 / Symbol: MIDD							
09/24/14	3,000	268.82	07/24/12	95.31	0.00	173.51	Sale
MINERALS TECHNOLOGIES INC COMMON STOCK / CUSIP 603158106 / Symbol: MTX							
07/09/14	13,000	848.54	07/24/12	403.15	0.00	445.39	Sale
09/24/14	5,000	317.94	07/24/12	155.06	0.00	162.88	Sale
		1,166.48		558.21	0.00	608.27	
Security total							
MYRIAD GENETICS INC NEW / CUSIP: 62855J104 / Symbol: MYGN							
01/07/14	47,000	984.11	07/24/12	1,184.61	0.00	-200.50	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / CUSIP	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
NEW JERSEY RESOURCES CRP / CUSIP 646025106 / Symbol: NJR								
07/22/14	1,000		55.10	07/24/12	44.99	0.00	10.11	Sale
07/23/14	1,000		54.93	07/24/12	44.98	0.00	9.95	Sale
07/24/14	2,000		109.22	07/24/12	89.96	0.00	19.26	Sale
07/25/14	1,000		53.57	07/24/12	44.99	0.00	8.58	Sale
07/28/14	2,000		107.36	07/24/12	89.96	0.00	17.40	Sale
09/24/14	2,000		100.67	07/24/12	89.97	0.00	10.70	Sale
	Security total:		480.85		404.85	0.00	76.00	
PLANTRONICS INC NEW COMMON STOCK / CUSIP 727493108 / Symbol: PLT								
09/24/14	6,000		294.30	07/24/12	186.81	0.00	107.49	Sale
POLYCOM INC / CUSIP 73172K104 / Symbol: PLCM								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	10,000		127.59	06/18/13	112.89	0.00	14.70	Sale
	3,000		38.27	06/19/13	32.63	0.00	5.64	Note: 26 Sale
09/24/14	13,000		165.86	VARIOUS	145.52	0.00	20.34	Note: 26 Total of 2 lots
POLYPURE INTL INC / CUSIP 73179V103 / Symbol: PPO								
08/26/14	7,000		310.30	08/14/13	305.33	0.00	4.97	Sale
3 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS.								
	7,000		307.20	04/30/13	293.35	0.00	13.85	Note: 26 Sale
	5,000		219.42	05/22/13	211.85	0.00	7.57	Note: 26 Sale
	2,000		87.76	08/13/13	87.18	0.00	0.58	Note: 26 Sale
09/05/14	14,000		614.38	VARIOUS	592.38	0.00	22.00	Note: 26 Total of 3 lots
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	2,000		81.77	04/30/13	83.81	0.00	-2.04	Sale Note: 26

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00394
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box D checked									
1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds &	1b- Date	1e- Cost or	1g- Adjustments &	Gain or loss(-) &	Additional information	
		Symbol PPO (cont'd)		acquired	other basis	1f- Code(s), if any	7- Loss not allowed(X)		
POLYPORE INTL INC / CUSIP 73179V103 / Symbol	1,000		40.88	05/21/13	41.52	0.00	-0.64	Sale	
								Note: 26	
09/24/14	3,000		122.65	VARIOUS	125.33	0.00	-2.68	Total of 2 lots	
	Security total:		1,047.33		1,023.04	0.00	24.29		
POWER INTEGRATIONS INC / CUSIP: 739276103 / Symbol: POWI									
01/24/14	12,000		718.14	07/24/12	408.89	0.00	309.25	Sale	
09/24/14	3,000		164.21	07/24/12	102.22	0.00	61.99	Sale	
12/10/14	4,000		209.44	07/24/12	136.29	0.00	73.15	Sale	
	Security total:		1,091.79		647.40	0.00	444.39		
QUESTAR CORP COMMON STOCK / CUSIP: 748356102 / Symbol: STR									
07/10/14	14,000		338.86	07/24/12	287.12	0.00	51.74	Sale	
07/16/14	23,000		542.47	07/24/12	471.70	0.00	70.77	Sale	
09/24/14	12,000		267.71	07/24/12	246.10	0.00	21.61	Sale	
	Security total:		1,149.04		1,004.92	0.00	144.12		
RPC INC COMMON STOCK / CUSIP: 749660106 / Symbol: RES									
09/24/14	9,000		196.31	08/29/12	112.98	0.00	83.33	Sale	
RIVERBED TECHNOLOGY INC / CUSIP: 768573107 / Symbol: RVBD									
09/24/14	12,000		227.99	03/12/13	190.60	0.00	37.39	Sale	Note: 26
SEI INVESTMENTS CO / CUSIP 784117103 / Symbol: SEIC									
09/24/14	10,000		365.23	11/20/12	219.66	0.00	145.57	Sale	Note: 26
SVB FINANCIAL GROUP / CUSIP: 78486Q101 / Symbol: SIVB									
2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS.									
	1,000		115.07	07/24/12	56.72	0.00	58.35	Sale	
	5,000		592.03	07/24/12	283.61	0.00	308.42	Sale	
01/24/14	6,000		707.10	VARIOUS	340.33	0.00	366.77	Total of 2 lots	
01/28/14	4,000		448.06	07/24/12	226.89	0.00	221.17	Sale	
09/24/14	4,000		453.39	01/09/13	241.81	0.00	211.58	Sale	Note: 26

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account 3H 00394

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
SVB FINANCIAL GROUP / CUSIP: 78486Q101 / Symbol: SVB (cont'd)								
2 tax lots for 12/10/14 Total proceeds (and cost when required) reported to the IRS								
	2,000	221.29	221.29	01/08/13	120.13	0.00		101.16 Sale Note: 26
	1,000	110.64	110.64	01/09/13	60.45	0.00		50.19 Sale Note: 26
12/10/14	3,000	331.93	331.93	VARIOUS	180.58	0.00		151.35 Total of 2 lots Note: 26
Security total:		1,940.48	1,940.48		989.61	0.00		950.87
SAPIENT CORP / CUSIP: 803062108 / Symbol: SAPE								
09/24/14	21,000	296.72	296.72	07/24/12	198.59	0.00		98.13 Sale
11/07/14	47,000	1,158.01	1,158.01	07/24/12	444.47	0.00		713.54 Sale
11/18/14	26,000	641.51	641.51	07/24/12	245.88	0.00		395.63 Sale
11/19/14	58,000	1,429.22	1,429.22	07/24/12	548.49	0.00		880.73 Sale
Security total:		3,525.46	3,525.46		1,437.43	0.00		2,088.03
SIGNATURE BANK NEW YORK N Y / CUSIP: 82669G104 / Symbol: SBNY								
01/24/14	7,000	873.56	873.56	07/24/12	419.71	0.00		453.85 Sale
01/28/14	4,000	489.07	489.07	07/24/12	239.83	0.00		249.24 Sale
09/24/14	3,000	343.01	343.01	07/24/12	179.88	0.00		163.13 Sale
Security total:		1,705.64	1,705.64		839.42	0.00		866.22
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT								
04/25/14	2,000	157.71	157.71	09/12/12	117.24	0.00		40.47 Sale
09/24/14	2,000	174.45	174.45	06/18/13	130.40	0.00		44.05 Sale
Security total:		332.16	332.16		247.64	0.00		84.52 Note: 26
TECHNE CORP MINN **NAME CHANGE 11/2014** / CUSIP: 878377100 / Symbol								
09/24/14	2,000	188.55	188.55	07/24/12	143.52	0.00		45.03 Sale
TENNECO INC / CUSIP: 880349105 / Symbol: TEN								
08/13/14	3,000	190.45	190.45	08/01/13	149.99	0.00		40.46 Sale
09/24/14	3,000	170.30	170.30	09/11/13	151.57	0.00		18.73 Sale Note: 26
Security total:		360.75	360.75		301.56	0.00		59.19 Note: 26

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net) / Symbol: TCBI	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
TEXAS CAPITAL BANCSHARES INC (DELA) / CUSIP 88224Q107 / Symbol: TCBI							
04/25/14	3,000	166.76	07/24/12	120.79	0.00		45.97 Sale
09/24/14	2,000	115.67	07/24/12	80.53	0.00		35.14 Sale
Security total:		282.43		201.32	0.00		81.11

THORATEC CORP NEW / CUSIP- 885175307 / Symbol: THOR

2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS							
	3,000	80.42	09/06/12	106.73	0.00		-26.31 Sale
	2,000	53.61	09/07/12	70.36	0.00		-16.75 Sale
09/24/14	5,000	134.03	VARIOUS	177.09	0.00		-43.06 Note: 26 Total of 2 lots

TIBCO SOFTWARE INC **MERGER EFF 12/2014 / CUSIP: 88632Q103 / Symbol**

2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS							
	17,000	367.82	01/29/13	405.08	0.00		-37.26 Sale
	10,000	216.36	07/29/13	244.67	0.00		-28.31 Note: 26 Sale
09/05/14	27,000	584.18	VARIOUS	649.75	0.00		-65.57 Note: 26 Total of 2 lots

2 tax lots for 09/10/14. Total proceeds (and cost when required) reported to the IRS.							
	23,000	491.71	01/29/13	548.05	0.00		-56.34 Sale
	4,000	85.52	03/12/13	94.35	0.00		-8.83 Sale
09/10/14	27,000	577.23	VARIOUS	642.40	0.00		-65.17 Total of 2 lots
09/24/14	4,000	81.12	03/12/13	94.35	0.00		-13.23 Sale
							Note: 26

3 tax lots for 10/03/14. Total proceeds (and cost when required) reported to the IRS.							
	14,000	331.62	03/12/13	330.23	0.00		1.39 Sale
	22,000	521.11	03/19/13	513.96	0.00		7.15 Sale
	12,000	284.24	06/17/13	261.04	0.00		23.20 Sale
10/03/14	48,000	1,136.97	VARIOUS	1,105.23	0.00		31.74 Total of 3 lots
Security total:		2,379.50		2,491.73	0.00		-112.23

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00394

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
II VI INC COMMON STOCK / CUSIP: 902104108 / Symbol: IIVI								
2 tax lots for 05/15/14 Total proceeds (and cost when required) reported to the IRS.								
	1,000	13.64	13.64	07/24/12	15.85	0.00	-2.21	Sale
	6,000	81.62	81.62	07/24/12	95.12	0.00	-13.50	Sale
05/15/14	7,000	95.26	95.26	VARIOUS	110.97	0.00	-15.71	Total of 2 lots
05/16/14	7,000	93.45	93.45	07/24/12	110.97	0.00	-17.52	Sale
05/19/14	9,000	120.71	120.71	07/24/12	142.68	0.00	-21.97	Sale
2 tax lots for 05/20/14 Total proceeds (and cost when required) reported to the IRS.								
	4,000	53.19	53.19	07/24/12	63.42	0.00	-10.23	Sale
	6,000	78.46	78.46	07/24/12	95.11	0.00	-16.65	Sale
05/20/14	10,000	131.65	131.65	VARIOUS	158.53	0.00	-26.88	Total of 2 lots
2 tax lots for 05/21/14 Total proceeds (and cost when required) reported to the IRS.								
	2,000	26.07	26.07	07/24/12	31.70	0.00	-5.63	Sale
	7,000	89.86	89.86	07/24/12	110.97	0.00	-21.11	Sale
05/21/14	9,000	115.93	115.93	VARIOUS	142.67	0.00	-26.74	Total of 2 lots
05/22/14	6,000	77.07	77.07	07/24/12	95.12	0.00	-18.05	Sale
	Security total	634.07	634.07		760.94	0.00	-126.87	
UMB FINANCIAL CORP COMMON STOCK / CUSIP: 902788108 / Symbol: UMBF								
07/22/14	11,000	628.16	628.16	07/24/12	559.35	0.00	68.81	Sale
09/24/14	2,000	112.11	112.11	07/24/12	101.70	0.00	10.41	Sale
	Security total:	740.27	740.27		661.05	0.00	79.22	
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI								
09/24/14	4,000	250.99	250.99	07/24/12	203.58	0.00	47.41	Sale
VIRTUS INVESTMENT PARTNER INC / CUSIP: 92828Q109 / Symbol: VRTS								
09/05/14	2,000	367.37	367.37	06/06/13	445.56	0.00	-78.19	Sale
							Note: 26	
VITAMIN SHOPPE INC / CUSIP: 92849E101 / Symbol: VSI								
08/12/14	7,000	270.21	270.21	07/24/12	375.10	0.00	-104.89	Sale
09/24/14	6,000	278.81	278.81	07/24/12	321.52	0.00	-42.71	Sale
	Security total:	549.02	549.02		696.62	0.00	-147.60	

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UBS FINANCIAL SERVICES INC.

Account 3H 00394

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
WABTEC INC / CUSIP: 929740108 / Symbol: WAB							
04/25/14	2,000	147.67	07/24/12	78.05	0.00		69.62 Sale
09/24/14	5,000	410.28	07/24/12	195.15	0.00		215.13 Sale
12/10/14	6,000	507.87	07/24/12	234.18	0.00		273.69 Sale
Security total:		1,065.82		507.38	0.00		558.44

WATTS WATER TECHNOLOGIES INC CL A / CUSIP: 942749102 / Symbol: WTS

2 tax lots for 07/29/14 Total proceeds (and cost when required) reported to the IRS

07/29/14	3,000	182.00	07/24/12	95.77	0.00		86.23 Sale
	4,000	242.33	07/24/12	127.68	0.00		114.65 Sale
	7,000	424.33	VARIOUS	223.45	0.00		200.88 Total of 2 lots
07/30/14	3,000	183.11	07/24/12	95.76	0.00		87.35 Sale
07/31/14	5,000	295.66	07/24/12	159.61	0.00		136.05 Sale
08/01/14	4,000	230.50	07/24/12	127.68	0.00		102.82 Sale
09/05/14	10,000	640.85	07/24/12	319.21	0.00		321.64 Sale
09/24/14	2,000	121.99	07/24/12	63.84	0.00		58.15 Sale
Security total:		1,896.44		989.55	0.00		906.89

WEX INC / CUSIP: 96208T104 / Symbol: WEX

08/26/14	6,000	694.10	07/24/12	377.86	0.00		316.24 Sale
09/24/14	3,000	334.31	07/24/12	188.94	0.00		145.37 Sale
Security total:		1,028.41		566.80	0.00		461.61

WHITEWAVE FOODS CO CL A / CUSIP: 966244105 / Symbol: WWAV

2 tax lots for 09/23/14. Total proceeds (and cost when required) reported to the IRS

	7,000	247.18	05/21/13	129.97	0.00		117.21 Sale
	26,000	918.11	07/30/13	489.17	0.00		428.94 Sale
09/23/14	33,000	1,165.29	VARIOUS	619.14	0.00		546.15 Total of 2 lots
09/24/14	5,000	180.14	05/21/13	92.84	0.00		87.30 Sale
							Note: 26

2 tax lots for 10/07/14 Total proceeds (and cost when required) reported to the IRS

	1,000	36.53	05/09/13	18.16	0.00		18.37 Sale
							Note: 26

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00394
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS		5- COVERED tax lots 3- Basis is reported to the IRS**				
Report on Form 8949, Part II, with Box D checked						
1a- Description of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1f- Code(s), if any	1g- Adjustments & 7- Loss not allowed(X) Additional information
SODA STREAM INTERNATIONAL LTD FOREIGN STOCK ILS / CUSIP: M9068E105 / Symbol: SODA (cont'd)	10.000	383.95	370.38	10/09/12	0.00	13.57 Sale
	38.000	1,459.00	1,443.08	VARIOUS	0.00	15.92 Total of 3 lots
Totals:		66,510.82	53,294.58		0.00	13,216.24

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 6- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
ANHUI CONCH CEM CO LTD ADR / CUSIP: 035243104 / Symbol: AHCY								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	15,000	252.22	252.22	03/21/14	284.74	0.00		-32.52 Sale
	6,000	100.89	100.89	03/25/14	117.68	0.00		-16.79 Sale
09/24/14	21,000	353.11	353.11	VARIOUS	402.42	0.00		-49.31 Total of 2 lots
3 tax lots for 11/04/14 Total proceeds (and cost when required) reported to the IRS.								
	1,000	16.36	16.36	03/25/14	19.61	0.00		-3.25 Sale
	7,000	114.55	114.55	04/11/14	135.16	0.00		-20.61 Sale
	4,000	65.46	65.46	04/28/14	73.30	0.00		-7.84 Sale
11/04/14	12,000	196.37	196.37	VARIOUS	228.07	0.00		-31.70 Total of 3 lots
Security total:					630.49	0.00		-81.01

BOC HONG KONG HOLDINGS LTD SPON ADR / CUSIP: 096813209 / Symbol: BHKLY

3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.								
	4,000	266.03	266.03	11/12/13	258.73	0.00		7.30 Sale
	6,000	399.06	399.06	11/15/13	393.39	0.00		5.67 Sale
	5,000	332.54	332.54	11/19/13	333.93	0.00		-1.39 Sale
09/24/14	15,000	997.63	997.63	VARIOUS	986.05	0.00		11.58 Total of 3 lots

2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS.

	1,000	66.18	66.18	11/19/13	66.79	0.00		-0.61 Sale
	4,000	264.74	264.74	11/20/13	270.46	0.00		-5.72 Sale
11/04/14	5,000	330.92	330.92	VARIOUS	337.25	0.00		-6.33 Total of 2 lots

Security total:

1,323.30

BRITISH SKY BROADCASTING GROUP PLC SPONSORED *NAME CHANGE EFF 12/2014 / CUSIP: 111013108 / Symbol.

09/24/14	4,000	231.33	231.33	08/22/14	230.30	0.00		1.03 Sale
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CHINA MOBILE LTD SPON ADR / CUSIP: 16941M109 / Symbol: CHL

3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000	432.55	432.55	11/07/13	365.78	0.00		66.77 Sale
	1,000	61.80	61.80	11/08/13	51.59	0.00		10.21 Sale
	10,000	617.93	617.93	11/12/13	516.62	0.00		101.31 Sale
09/24/14	18,000	1,112.28	1,112.28	VARIOUS	933.99	0.00		178.29 Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & 1f- Code(s), if any	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
CHINA MOBILE LTD SPON ADR / CUSIP: 16941M109 / Symbol	4,000	CHL (cont'd)	247 92	206.39	0.00	41 53	Sale
11/04/14			1,360.20	1,140.38	0.00	219 82	
Security total:							
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 20441A102 / Symbol	16,000	SBS	103.79	144.83	0.00	-41 04	Sale
12/26/14			318 68	443 54	0.00	-124 86	Sale
12/29/14			422.47	588 37	0.00	-165 90	
Security total:							
ISRAEL CHEMICALS LTD ADR / CUSIP: 465036200 / Symbol	42,000		288.55	363.59	0.00	-75 04	Sale
10/27/14			207 08	259 71	0.00	-52.63	Sale
10/28/14			495 63	623 30	0.00	-127 67	
Security total:							
METHANEX CORP FOREIGN STOCK / CUSIP: 59151K108 / Symbol: MEOH	4,000		278 90	243 55	0.00	35 35	Sale
09/24/14							
MOBILE TELESYSTEMS OJSC SPON ADR / CUSIP: 607409109 / Symbol: MBT	4,000		26 24	84 55	0.00	-58.31	Sale
5 tax lots for 12/17/14 Total proceeds (and cost when required) reported to the IRS.			6 56	21 28	0.00	-14.72	Sale
12/17/14			98.41	321.39	0.00	-222.98	Sale
			72 16	232.91	0.00	-160 75	Sale
			216.49	686.04	0.00	-469.55	Sale
			419.86	1,346 17	0.00	-926.31	Total of 5 lots
3 tax lots for 12/18/14. Total proceeds (and cost when required) reported to the IRS.			41.40	103.95	0.00	-62.55	Sale
12/18/14			132 46	334.56	0.00	-202.10	Sale
			24 84	62 31	0.00	-37.47	Sale
			198.70	500.82	0.00	-302.12	Total of 3 lots
Security total:			618 56	1,846 99	0.00	-1,228.43	
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY	7,000		177 83	162.11	0.00	15 72	Sale
10/21/14			342.93	301 07	0.00	41 86	Sale
10/22/14			315.39	277.90	0.00	37.49	Sale
10/23/14							

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & 6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY (cont'd)								
10/24/14	13,000	342.23	342.23	04/23/14	301.07	0.00	41.16	Sale
Security total:		1,178.38	1,178.38		1,042.15	0.00	136.23	
LUKOIL OIL CO SPON ADR / CUSIP: 677862104 / Symbol: LUKOY								
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	4,000	216.43	216.43	11/27/13	250.31	0.00	-33.88	Sale
	2,000	108.22	108.22	12/02/13	122.99	0.00	-14.77	Sale
09/24/14	6,000	324.65	324.65	VARIOUS	373.30	0.00	-48.65	Total of 2 lots
OPEN TEXT CORP FOREIGN STOCK CAD / CUSIP: 683715106 / Symbol: OTEX								
11/04/14	4,000	222.59	222.59	07/10/14	191.96	0.00	30.63	Sale
POSCO SPON ADR / CUSIP: 693483109 / Symbol: PKX								
01/15/14	3,000	218.01	218.01	07/23/13	217.86	0.00	0.15	Sale
01/16/14	5,000	364.05	364.05	07/23/13	363.10	0.00	0.95	Sale
Security total:		582.06	582.06		580.96	0.00	1.10	
PT BK RAKYAT ADR / CUSIP: 69366X100 / Symbol: BKRKY								
11/04/14	9,000	161.86	161.86	10/01/14	158.19	0.00	3.67	Sale
PERSIMMON ADR / CUSIP: 715318101 / Symbol: PSMMY								
4 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	5,000	222.44	222.44	04/23/14	222.80	0.00	-0.36	Sale
	5,000	222.45	222.45	04/24/14	222.22	0.00	0.23	Sale
	5,000	222.44	222.44	04/28/14	219.96	0.00	2.48	Sale
	3,000	133.47	133.47	04/29/14	132.23	0.00	1.24	Sale
09/24/14	18,000	800.80	800.80	VARIOUS	797.21	0.00	3.59	Total of 4 lots
3 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	92.53	92.53	04/29/14	88.15	0.00	4.38	Sale
	1,000	46.26	46.26	05/02/14	44.96	0.00	1.30	Sale
	7,000	323.85	323.85	05/06/14	328.17	0.00	-4.32	Sale
11/04/14	10,000	462.64	462.64	VARIOUS	461.28	0.00	1.36	Total of 3 lots
Security total:		1,263.44	1,263.44		1,258.49	0.00	4.95	

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
SBERBANK SPON ADR / CUSIP: 80585Y308 / Symbol: SBRCY	48,000	366.23	449.28	05/09/14	0.00	-83.05	Sale
10/02/14							
SERCO GROUP PLC ADR / CUSIP: 81748L100 / Symbol: SECCY	5,000	30.78	38.76	12/20/13	0.00	-7.98	Sale
07/08/14							
2 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS							
5,000	30.55	38.76		12/20/13	0.00	-8.21	Sale
13,000	79.43	107.72		12/30/13	0.00	-28.29	Sale
18,000	109.98	146.48		VARIOUS	0.00	-36.50	Total of 2 lots
07/09/14							
4 tax lots for 07/10/14 Total proceeds (and cost when required) reported to the IRS							
6,000	36.69	49.71		12/30/13	0.00	-13.02	Sale
4,000	24.46	33.28		12/31/13	0.00	-8.82	Sale
16,000	97.84	131.98		01/02/14	0.00	-34.14	Sale
3,000	18.35	25.05		01/03/14	0.00	-6.70	Sale
29,000	177.34	240.02		VARIOUS	0.00	-62.68	Total of 4 lots
07/10/14							
2 tax lots for 07/11/14 Total proceeds (and cost when required) reported to the IRS							
7,000	42.75	58.46		01/03/14	0.00	-15.71	Sale
6,000	36.64	50.46		01/06/14	0.00	-13.82	Sale
13,000	79.39	108.92		VARIOUS	0.00	-29.53	Total of 2 lots
07/11/14							
2 tax lots for 07/14/14 Total proceeds (and cost when required) reported to the IRS							
3,000	18.33	25.23		01/06/14	0.00	-6.90	Sale
13,000	79.42	107.70		01/07/14	0.00	-28.28	Sale
16,000	97.75	132.93		VARIOUS	0.00	-35.18	Total of 2 lots
07/14/14							
2 tax lots for 07/15/14 Total proceeds (and cost when required) reported to the IRS							
11,000	67.38	91.50		01/08/14	0.00	-24.12	Sale
1,000	6.13	8.32		01/09/14	0.00	-2.19	Sale
12,000	73.51	99.82		VARIOUS	0.00	-26.31	Total of 2 lots
07/15/14							
2 tax lots for 07/16/14 Total proceeds (and cost when required) reported to the IRS							
14,000	85.59	116.48		01/09/14	0.00	-30.89	Sale
1,000	6.11	8.24		01/10/14	0.00	-2.13	Sale
15,000	91.70	124.72		VARIOUS	0.00	-33.02	Total of 2 lots
07/16/14							

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00395

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
SERCO GROUP PLC ADR / CUSIP: 81748L100 / Symbol: SECCY (cont'd)								
3 tax lots for 07/17/14 Total proceeds (and cost when required) reported to the IRS								
	6.000	36.63	36.63	01/10/14	49.47	0.00		-12.84 Sale
	3.000	18.32	18.32	01/14/14	25.23	0.00		-6.91 Sale
	3.000	18.31	18.31	01/15/14	25.41	0.00		-7.10 Sale
07/17/14	12.000	73.26	73.26	VARIOUS	100.11	0.00		-26.85 Total of 3 lots
2 tax lots for 07/18/14 Total proceeds (and cost when required) reported to the IRS								
	1.000	6.09	6.09	01/15/14	8.47	0.00		-2.38 Sale
	11.000	67.04	67.04	02/28/14	83.50	0.00		-16.46 Sale
07/18/14	12.000	73.13	73.13	VARIOUS	91.97	0.00		-18.84 Total of 2 lots
2 tax lots for 07/21/14. Total proceeds (and cost when required) reported to the IRS.								
	9.000	54.88	54.88	02/28/14	68.32	0.00		-13.44 Sale
	6.000	36.59	36.59	03/03/14	45.28	0.00		-8.69 Sale
07/21/14	15.000	91.47	91.47	VARIOUS	113.60	0.00		-22.13 Total of 2 lots
2 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS.								
	12.000	73.39	73.39	03/03/14	90.57	0.00		-17.18 Sale
	2.000	12.23	12.23	03/04/14	15.77	0.00		-3.54 Sale
07/22/14	14.000	85.62	85.62	VARIOUS	106.34	0.00		-20.72 Total of 2 lots
07/23/14	14.000	85.80	85.80	03/04/14	110.37	0.00		-24.57 Sale
3 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS.								
	5.000	30.44	30.44	03/04/14	39.42	0.00		-8.98 Sale
	30.000	182.80	182.80	03/04/14	236.52	0.00		-53.72 Sale
	13.000	79.16	79.16	03/06/14	103.52	0.00		-24.36 Sale
07/24/14	48.000	292.40	292.40	VARIOUS	379.46	0.00		-87.06 Total of 3 lots
2 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS.								
	8.000	48.71	48.71	03/06/14	63.70	0.00		-14.99 Sale
	6.000	36.53	36.53	03/07/14	48.52	0.00		-11.99 Sale
07/25/14	14.000	85.24	85.24	VARIOUS	112.22	0.00		-26.98 Total of 2 lots
2 tax lots for 07/28/14. Total proceeds (and cost when required) reported to the IRS								
	1.000	6.10	6.10	03/07/14	8.09	0.00		-1.99 Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SERCO GROUP PLC ADR / CUSIP 81748L100 / Symbol: SECCY (cont'd)	13,000	79.25	79.25	05/09/14	76.70	0.00	2.55	Sale
07/28/14	14,000	85.35	85.35	VARIOUS	84.79	0.00	0.56	Total of 2 lots
07/29/14	10,000	60.63	60.63	05/09/14	59.00	0.00	1.63	Sale
07/30/14	11,000	66.65	66.65	05/09/14	64.90	0.00	1.75	Sale
07/31/14	14,000	84.90	84.90	05/09/14	82.60	0.00	2.30	Sale
08/12/14	6,000	32.99	32.99	05/09/14	35.40	0.00	-2.41	Sale
08/13/14	29,000	152.11	152.11	05/09/14	171.10	0.00	-18.99	Sale
Security total:		1,930.00	1,930.00		2,403.51	0.00	-473.51	
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP 881575302 / Symbol: TSCDY	38,000	311.60	311.60	05/09/14	570.00	0.00	-258.40	Sale
10/03/14								
ENSCO PLC CL A FOREIGN STOCK / CUSIP G3157S106 / Symbol: ESV	8,000	304.54	304.54	05/09/14	405.52	0.00	-100.98	Sale
10/16/14								
Totals:		11,930.47	11,930.47		14,060.04	0.00	-2,129.57	

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AGRIUM INC (CANADA) FOREIGN STOCK CAD / CUSIP 008916108 / Symbol: AGU	9,000	803.90	803.90	07/24/12	833.94	0.00	-30.04	Sale
01/07/14								
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR / CUSIP: 052528304 / Symbol: ANZBY	9,000	276.38	276.38	07/24/12	208.71	0.00	67.67	Sale
05/09/14	17,000	497.89	497.89	07/24/12	394.23	0.00	103.66	Sale
11/04/14		774.27	774.27		602.94	0.00	171.33	
Security total								
BAE SYSTEMS PLC SPON ADR / CUSIP: 05523R107 / Symbol: BAESY	24,000	733.54	733.54	07/24/12	456.46	0.00	277.08	Sale
09/24/14								

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/ross (Net)	1d- Proceeds & Reported (G)/ross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANCO BRADESCO S.A. NEW SPON ADR / CUSIP: 059460303 / Symbol:								
05/09/14	27,000	419.84	419.84	07/24/12	349.52	0.00	70.32	Sale
09/24/14	29,000	456.26	456.26	07/24/12	375.42	0.00	80.84	Sale
Security total:		876.10	876.10		724.94	0.00	151.16	
BARCLAYS PLC ADR / CUSIP: 06738E204 / Symbol BCS								
11/04/14	12,000	181.67	181.67	09/14/12	178.02	0.00	3.65	Sale
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY								
05/09/14	12,000	414.11	414.11	07/24/12	192.24	0.00	221.87	Sale
09/24/14	7,000	248.00	248.00	07/24/12	112.13	0.00	135.87	Sale
Security total:		662.11	662.11		304.37	0.00	357.74	
CARLSBERG AS SPON ADR / CUSIP: 142795202 / Symbol: CABGY								
09/24/14	10,000	185.59	185.59	08/06/12	170.79	0.00	14.80	Sale
CHINA CONSTR BK CORP ADR / CUSIP: 168919108 / Symbol: CICHY								
09/24/14	47,000	689.00	689.00	07/25/13	700.16	0.00	-11.16	Sale
11/04/14	20,000	294.59	294.59	07/25/13	297.94	0.00	-3.35	Sale
Security total:		983.59	983.59		998.10	0.00	-14.51	
CHINA PETROLEUM & CHEMICAL CORP SPON ADR / CUSIP: 16941R108 / Symbol: SNP								
09/24/14	7,000	639.48	639.48	07/24/12	473.36	0.00	166.12	Sale
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 20441A102 / Symbol: SBS								
03/25/14	43,000	365.52	365.52	07/24/12	565.77	0.00	-200.25	Sale
03/26/14	32,000	275.94	275.94	07/24/12	421.04	0.00	-145.10	Sale
03/27/14	54,000	467.31	467.31	07/24/12	710.50	0.00	-243.19	Sale
05/09/14	50,000	476.69	476.69	07/24/12	657.87	0.00	-181.18	Sale
10/21/14	47,000	366.18	366.18	07/24/12	618.40	0.00	-252.22	Sale
10/24/14	17,000	125.32	125.32	07/24/12	223.67	0.00	-98.35	Sale
10/27/14	33,000	231.61	231.61	07/24/12	434.20	0.00	-202.59	Sale
10/28/14	67,000	492.25	492.25	07/24/12	881.54	0.00	-389.29	Sale
2 tax lots for 11/04/14 Total proceeds (and cost when required) reported to the IRS.								
	35,000	272.10	272.10	07/24/12	460.51	0.00	-188.41	Sale
	1,000	7.77	7.77	07/23/13	10.83	0.00	-3.06	Sale
11/04/14	36,000	279.87	279.87	VARIOUS	471.34	0.00	-191.47	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed 6- Reported (G)ross (N)et 1d- Proceeds & 1b- Date acquired 1e- Cost or other basis 1f- Adjustments & 1g- Code(s), if any 7- Loss not allowed(X) Additional Information

COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR / CUSIP: 20441A102 / Symbol SBS (cont'd)

12/17/14	47,000	286.22	07/23/13	508.84	0.00	-222.62	Sale
12/22/14	31,000	196.76	07/23/13	335.62	0.00	-138.86	Sale
12/23/14	41,000	258.60	07/23/13	443.89	0.00	-185.29	Sale
12/24/14	6,000	37.74	07/23/13	64.96	0.00	-27.22	Sale
12/26/14	6,000	38.92	07/23/13	64.96	0.00	-26.04	Sale
Security total:		3,898.93		6,402.60	0.00	-2,503.67	

CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol

05/09/14	71,000	1,058.83	07/24/12	1,400.69	0.00	-341.86	Sale
11/04/14	33,000	460.34	07/24/12	651.03	0.00	-190.69	Sale
Security total:		1,519.17		2,051.72	0.00	-532.55	

DEUTSCHE BOERSE ADR / CUSIP: 251542106 / Symbol: DBOEY

11/04/14	28,000	188.85	07/24/12	134.12	0.00	54.73	Sale
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DIAGEO PLC NEW GB SPON ADR / CUSIP: 25243Q205 / Symbol: DEO

08/22/14	1,000	118.30	07/24/12	102.11	0.00	16.19	Sale
08/28/14	1,000	119.75	07/24/12	102.11	0.00	17.64	Sale
08/29/14	2,000	239.13	07/24/12	204.22	0.00	34.91	Sale
09/02/14	3,000	359.64	07/24/12	306.33	0.00	53.31	Sale
09/03/14	2,000	241.74	07/24/12	204.22	0.00	37.52	Sale
09/04/14	2,000	242.95	07/24/12	204.22	0.00	38.73	Sale
09/08/14	2,000	238.75	07/24/12	204.22	0.00	34.53	Sale
09/09/14	2,000	240.00	07/24/12	204.22	0.00	35.78	Sale
Security total:		1,800.26		1,531.65	0.00	268.61	

HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280406 / Symbol HSBC

2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS							
09/24/14	8,000	426.19	09/10/12	359.79	0.00	66.40	Sale
	10,000	532.73	09/14/12	474.03	0.00	58.70	Sale
	18,000	958.92	VARIOUS	833.82	0.00	125.10	Total of 2 lots

HITACHI LTD ADR NEW JAPAN ADR / CUSIP: 433578507 / Symbol: HTHIY

05/09/14	4,000	288.23	07/24/12	225.60	0.00	62.63	Sale
09/24/14	9,000	696.36	07/24/12	507.60	0.00	188.76	Sale
11/04/14	3,000	232.25	07/24/12	169.20	0.00	63.05	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f. Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
	Security total:	1,216.84		902.40	0.00	314.44	
IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITBYB							
05/09/14	9.000	790.18	07/24/12	684.63	0.00	105.55	Sale
09/24/14	5.000	442.77	07/24/12	380.35	0.00	62.42	Sale
11/04/14	4.000	356.67	07/24/12	304.28	0.00	52.39	Sale
	Security total:	1,589.62		1,369.26	0.00	220.36	
ISRAEL CHEMICALS LTD ADR / CUSIP: 465036200 / Symbol:							
10/23/14	75.000	519.28	07/24/12	830.29	0.00	-311.01	Sale
10/27/14	68.000	467.18	07/24/12	752.79	0.00	-285.61	Sale
	Security total:	986.46		1,583.08	0.00	-596.62	
ISUZU MOTOR CO LTD ADR ADR / CUSIP: 465254209 / Symbol: ISUZY							
09/24/14	17.000	1,166.60	08/29/13	1,025.82	0.00	140.78	Sale
KDDI CORP ADR / CUSIP: 48667L106 / Symbol: KDDIY							
01/07/14	80.000	1,221.95	07/24/12	670.40	0.00	551.55	Sale
01/08/14	1.000	15.30	07/24/12	8.38	0.00	6.92	Sale
	Security total:	1,237.25		678.78	0.00	558.47	
KOC HOLDINGS ADR / CUSIP: 49989A109 / Symbol: KHOLY							
09/24/14	10.000	233.19	07/24/12	177.20	0.00	55.99	Sale
11/04/14	12.000	304.07	07/24/12	212.64	0.00	91.43	Sale
	Security total:	537.26		389.84	0.00	147.42	
KOMATSU LTD NEW SPON ADR / CUSIP: 500458401 / Symbol: KMTUY							
11/04/14	10.000	235.24	07/24/12	211.20	0.00	24.04	Sale
MAGNA INTL INC CL A SUB VTG CANADA ORD FOREIGN STOCK CAD / CUSIP: 559222401 / Symbol: MGA							
04/21/14	3.000	296.12	07/24/12	112.92	0.00	183.20	Sale
09/24/14	5.000	520.83	07/24/12	188.20	0.00	332.63	Sale
	Security total:	816.95		301.12	0.00	515.83	
MANULIFE FINANCIAL CORP FOREIGN STOCK CAD / CUSIP: 56501R106 / Symbol: MFC							
09/24/14	31.000	609.28	07/24/12	312.09	0.00	297.19	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00395

(continued)

OMB No. 1545-0715

2014 1099-B*

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
1a- Description of property/CUSIP/Symbol							
MITSUI & CO LTD ADR JAPAN ADR / CUSIP: 606827202 / Symbol: MITSY	2,000	663.57	575.90	07/24/12	0.00	87.67	Sale
NITTO DENKO CORP UNSPONS ADR / CUSIP: 654802206 / Symbol: NDEKY							
06/13/14	59,000	1,277.28	1,224.54	07/24/12	0.00	52.74	Sale
06/17/14	14,000	307.82	290.57	07/24/12	0.00	17.25	Sale
09/24/14	28,000	766.20	581.14	07/24/12	0.00	185.06	Sale
10/20/14	12,000	303.55	249.06	07/24/12	0.00	54.49	Sale
10/21/14	4,000	101.61	83.02	07/24/12	0.00	18.59	Sale
Security total:		2,756.46	2,428.33		0.00	328.13	
ORANGE SPON ADR / CUSIP: 684060106 / Symbol: ORAN							
2 tax lots for 05/09/14 Total proceeds (and cost when required) reported to the IRS							
05/09/14	58,000	963.77	718.00	07/24/12	0.00	245.77	Sale
	3,000	49.85	29.27	02/21/13	0.00	20.58	Sale
	61,000	1,013.62	747.27	VARIOUS	0.00	266.35	Total of 2 lots
2 tax lots for 11/04/14 Total proceeds (and cost when required) reported to the IRS.							
11/04/14	12,000	185.27	117.08	02/21/13	0.00	68.19	Sale
	2,000	30.88	19.22	02/26/13	0.00	11.66	Sale
	14,000	216.15	136.30	VARIOUS	0.00	79.85	Total of 2 lots
12/18/14	6,000	103.07	57.64	02/26/13	0.00	45.43	Sale
Security total:		1,332.84	941.21		0.00	391.63	
POSCO SPON ADR / CUSIP: 693483109 / Symbol: PKX							
01/09/14	5,000	362.65	384.72	07/24/12	0.00	-22.07	Sale
01/10/14	1,000	73.75	76.95	07/24/12	0.00	-3.20	Sale
01/13/14	2,000	146.66	153.88	07/24/12	0.00	-7.22	Sale
01/14/14	3,000	220.20	230.84	07/24/12	0.00	-10.64	Sale
01/15/14	1,000	72.67	76.94	07/24/12	0.00	-4.27	Sale
Security total:		875.93	923.33		0.00	-47.40	
RIO TINTO PLC SPON ADR / CUSIP: 767204100 / Symbol: RIO							
05/09/14	8,000	432.95	345.67	07/24/12	0.00	87.28	Sale
09/24/14	5,000	258.81	216.05	07/24/12	0.00	42.76	Sale
Security total:		691.76	561.72		0.00	130.04	

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol RDSA							
05/09/14	10,000	796.63	07/24/12	672.20	0.00	124.43	Sale
09/24/14	6,000	466.37	07/24/12	403.32	0.00	63.05	Sale
	Security total	1,263.00		1,075.52	0.00	187.48	
SAGE GROUP PLC UN SPONSORED ADR / CUSIP: 78663S201 / Symbol SGPVY							
05/09/14	16,000	426.07	07/24/12	293.31	0.00	132.76	Sale
SANOFI SPON ADR / CUSIP: 80105N105 / Symbol: SNY							
09/24/14	9,000	513.03	07/24/12	331.98	0.00	181.05	Sale
SASOL LTD SPON ADR / CUSIP: 803866300 / Symbol: SSL							
05/09/14	12,000	685.66	07/24/12	479.93	0.00	205.73	Sale
09/24/14	8,000	445.58	07/24/12	319.95	0.00	125.63	Sale
	Security total:	1,131.24		799.88	0.00	331.36	
SBERBANK SPON ADR / CUSIP: 80585Y308 / Symbol: SBRCY							
09/30/14	37,000	296.15	06/27/13	420.37	0.00	-124.22	Sale
2 tax lots for 10/01/14. Total proceeds (and cost when required) reported to the IRS							
	19,000	149.18	06/27/13	215.86	0.00	-66.68	Sale
	47,000	369.01	07/01/13	546.22	0.00	-177.21	Sale
10/01/14	66,000	518.19	VARIOUS	762.08	0.00	-243.89	Total of 2 lots
2 tax lots for 10/02/14. Total proceeds (and cost when required) reported to the IRS.							
	14,000	106.82	07/01/13	162.71	0.00	-55.89	Sale
	33,000	251.78	07/03/13	370.55	0.00	-118.77	Sale
10/02/14	47,000	358.60	VARIOUS	533.26	0.00	-174.66	Total of 2 lots
	Security total:	1,172.94		1,715.71	0.00	-542.77	
SEGA SAMMY HOLDING INC SPON ADR / CUSIP: 815794102 / Symbol: SGAMY							
04/25/14	33,000	173.45	07/24/12	171.27	0.00	2.18	Sale
04/28/14	27,000	136.35	07/24/12	140.13	0.00	-3.78	Sale
04/30/14	1,000	5.01	07/24/12	5.19	0.00	-0.18	Sale
05/01/14	4,000	20.10	07/24/12	20.76	0.00	-0.66	Sale
05/02/14	9,000	45.23	07/24/12	46.71	0.00	-1.48	Sale
05/08/14	15,000	75.79	07/24/12	77.85	0.00	-2.06	Sale
06/04/14	1,000	4.99	07/24/12	5.19	0.00	-0.20	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross / Net)	1d- Proceeds & Reported (Gross / Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SEGA SAMMY HOLDING INC SPON ADR / CUSIP 815794102 / Symbol SGAMY (cont'd)								
06/16/14	20,000		100.96	07/24/12	103.80	0.00	-2.84	Sale
06/17/14	32,000		161.34	07/24/12	166.08	0.00	-4.74	Sale
06/18/14	9,000		45.22	07/24/12	46.71	0.00	-1.49	Sale
06/19/14	5,000		25.21	07/24/12	25.95	0.00	-0.74	Sale
06/20/14	1,000		5.03	07/24/12	5.19	0.00	-0.16	Sale
07/28/14	20,000		102.18	07/24/12	103.80	0.00	-1.62	Sale
08/01/14	10,000		49.98	07/24/12	51.90	0.00	-1.92	Sale
08/04/14	3,000		15.03	07/24/12	15.57	0.00	-0.54	Sale
08/05/14	7,000		35.11	07/24/12	36.33	0.00	-1.22	Sale
08/22/14	24,000		115.20	07/24/12	124.56	0.00	-9.36	Sale
08/25/14	31,000		148.57	07/24/12	160.89	0.00	-12.32	Sale
08/26/14	3,000		14.31	07/24/12	15.57	0.00	-1.26	Sale
09/03/14	49,000		201.38	07/24/12	254.31	0.00	-52.93	Sale
09/04/14	1,000		3.92	07/24/12	5.19	0.00	-1.27	Sale
Security total:			1,484.36		1,582.95	0.00	-98.59	
SIEMENS A G SPON ADR / CUSIP: 826197501 / Symbol: SIEGY								
05/09/14	3,000		399.92	07/30/12	243.33	0.00	156.59	Sale
STATOIL ASA ADR SPON ADR / CUSIP: 85771P102 / Symbol: STO								
05/09/14	39,000		1,217.66	07/24/12	903.94	0.00	313.72	Sale
TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP: 874039100 / Symbol TSM								
05/09/14	22,000		447.25	07/24/12	282.62	0.00	164.63	Sale
09/24/14	11,000		226.52	07/24/12	141.31	0.00	85.21	Sale
11/04/14	11,000		240.71	07/24/12	141.31	0.00	99.40	Sale
Security total:			914.48		565.24	0.00	349.24	
TATA MOTORS LTD SPON ADR / CUSIP: 876568502 / Symbol: TTM								
05/09/14	25,000		958.73	07/24/12	478.18	0.00	480.55	Sale
09/24/14	12,000		539.68	07/24/12	229.53	0.00	310.15	Sale
11/04/14	4,000		184.19	07/24/12	76.51	0.00	107.68	Sale
Security total:			1,682.60		784.22	0.00	898.38	
TELENOR ASA ADR / CUSIP: 87944W105 / Symbol: TELNY								
11/04/14	3,000		198.23	05/06/13	204.39	0.00	-6.16	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00395

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
TESCO PLC SPONS ADR UNITED KINGDOM ADR / CUSIP 881575302 / Symbol TSCDY								
2 tax lots for 10/01/14 Total proceeds (and cost when required) reported to the IRS.								
	53,000	461.16	932.69	05/08/13		0.00		-471.53 Sale
	33,000	287.13	582.73	05/09/13		0.00		-295.60 Sale
10/01/14	86,000	748.29	1,515.42	VARIOUS		0.00		-767.13 Total of 2 lots
4 tax lots for 10/02/14. Total proceeds (and cost when required) reported to the IRS.								
	19,000	164.22	335.51	05/09/13		0.00		-171.29 Sale
	28,000	242.02	484.67	05/16/13		0.00		-242.65 Sale
	14,000	121.01	245.84	05/20/13		0.00		-124.83 Sale
	25,000	216.08	436.83	05/21/13		0.00		-220.75 Sale
10/02/14	86,000	743.33	1,502.85	VARIOUS		0.00		-759.52 Total of 4 lots
5 tax lots for 10/03/14 Total proceeds (and cost when required) reported to the IRS.								
	4,000	32.80	69.89	05/21/13		0.00		-37.09 Sale
	3,000	24.60	52.45	05/22/13		0.00		-27.85 Sale
	1,000	8.20	17.31	05/23/13		0.00		-9.11 Sale
	13,000	106.60	225.41	05/24/13		0.00		-118.81 Sale
	23,000	188.61	404.55	05/28/13		0.00		-215.94 Sale
10/03/14	44,000	360.81	769.61	VARIOUS		0.00		-408.80 Total of 5 lots
		1,852.43	3,787.88			0.00		-1,935.45
Security total:								
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP 881624209 / Symbol: TEVA								
09/24/14	18,000	967.21	728.64	07/24/12		0.00		238.57 Sale
11/04/14	11,000	636.56	445.28	07/24/12		0.00		191.28 Sale
		1,603.77	1,173.92			0.00		429.85
Security total:								
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD / CUSIP: 891160509 / Symbol TD								
09/24/14	15,000	763.99	574.38	07/24/12		0.00		189.61 Sale
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR / CUSIP: 911271302 / Symbol UOVEY								
09/24/14	11,000	395.66	335.50	07/24/12		0.00		60.16 Sale
11/04/14	8,000	287.35	244.00	07/24/12		0.00		43.35 Sale
		683.01	579.50			0.00		103.51
Security total:								
VALE SA-SP SPON ADR / CUSIP: 91912E105 / Symbol VALE								
12/17/14	94,000	684.63	1,647.59	07/24/12		0.00		-962.96 Sale

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2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed **Quantity** **6- Reported (Gross (Net) ADJUSTABLE ANNUAL MATURITY PERPETUAL / CUSIP 928662402 / Symbol: VLKPY** **1e- Cost or other basis** **1g- Adjustments & 1f- Code(s), if any** **7- Loss or loss(-) & 7- Loss not allowed(X)** **Gain or loss(-) & Additional Information**

VOLKSWAGEN AG SPON ADR PREF RATE: 6.000 307.67 04/03/13 242.24 0.00 65.43 Sale

YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP 98462Y100 / Symbol: AUJ

03/27/14 10.000 88.38 07/24/12 139.40 0.00 -51.02 Sale
03/31/14 6.000 53.16 07/24/12 83.64 0.00 -30.48 Sale
04/01/14 14.000 123.34 07/24/12 195.16 0.00 -71.82 Sale
04/02/14 71.000 631.44 07/24/12 989.74 0.00 -358.30 Sale

2 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS.

91.000 751.50 07/24/12 1,268.54 0.00 -517.04 Sale
97.000 801.05 05/31/13 1,102.42 0.00 -307.37 Sale
188.000 1,552.55 VARIOUS 2,370.96 0.00 -818.41 Total of 2 lots
07/09/14 28.000 233.13 05/31/13 318.23 0.00 -85.10 Sale
07/10/14 Security total: 2,682.00 4,097.13 0.00 -1,415.13

ZURICH INS GROUP LTD SPON ADR / CUSIP: 989825104 / Symbol: ZURVY

09/24/14 32.000 963.34 07/24/12 674.57 0.00 288.77 Sale
11/04/14 15.000 454.64 07/24/12 316.21 0.00 138.43 Sale
Security total: 1,417.98 990.78 0.00 427.20

AXIS CAPITAL HOLDINGS LTD SHS FOREIGN STOCK / CUSIP: G0692U109 / Symbol: AXS

09/24/14 8.000 386.15 07/24/12 258.61 0.00 127.54 Sale
11/04/14 6.000 289.13 07/24/12 193.95 0.00 95.18 Sale
Security total: 675.28 452.56 0.00 222.72

ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV

3 tax lots for 10/16/14 Total proceeds (and cost when required) reported to the IRS.
19.000 723.29 05/22/13 1,193.74 0.00 -470.45 Sale
4.000 152.27 05/28/13 250.04 0.00 -97.77 Sale
6.000 228.41 05/29/13 371.75 0.00 -143.34 Sale
29.000 1,103.97 VARIOUS 1,815.53 0.00 -711.56 Total of 3 lots

RENAISSANCECERE HOLDINGS LTD FOREIGN STOCK / CUSIP: G7496G103 / Symbol: RNR

05/09/14 2.000 203.09 07/24/12 148.44 0.00 54.65 Sale
09/24/14 2.000 200.27 07/24/12 148.44 0.00 51.83 Sale
11/04/14 2.000 205.43 07/24/12 148.44 0.00 56.99 Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00395

(continued)

2014 1099-B*

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 6- Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
		608.79	51,709.49		445.32	0.00		163.47	
Totals:					52,208.21	0.00		-498.72	

Security total:

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 6- Reported (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN	10,000	666.06	666.06	10/07/10	521.32	0.00	144.74	Sale
02/20/14	4,000	268.01	268.01	10/07/10	208.53	0.00	59.48	Sale
04/22/14								
2 tax lots for 04/24/14 Total proceeds (and cost when required) reported to the IRS.								
	9,000	629.35	629.35	10/07/10	469.18	0.00	160.17	Sale
	25,000	1,748.21	1,748.21	12/08/10	1,202.28	0.00	545.93	Sale
04/24/14	34,000	2,377.56	2,377.56	VARIOUS	1,671.46	0.00	706.10	Total of 2 lots
2 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS								
	15,000	1,154.20	1,154.20	12/08/10	721.36	0.00	432.84	Sale
	15,000	1,154.19	1,154.19	01/03/11	698.18	0.00	456.01	Sale
05/09/14	30,000	2,308.39	2,308.39	VARIOUS	1,419.54	0.00	888.85	Total of 2 lots
2 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS								
	10,000	741.50	741.50	11/09/11	454.43	0.00	287.07	Sale
	15,000	1,112.25	1,112.25	02/22/12	670.00	0.00	442.25	Sale
06/13/14	25,000	1,853.75	1,853.75	VARIOUS	1,124.43	0.00	729.32	Total of 2 lots
Security total:								
			7,473.77		4,945.28	0.00	2,528.49	
Totals:			7,473.77		4,945.28	0.00	2,528.49	

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00396
2014 1099-B*		OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
U S TREASURY INFL NOTE RATE 1.50 % MATURES 05/31/2019 / CUSIP 912828WLO / Symbol	07/14/14	6,000,000	5,954.06	5,954.06	06/26/14	5,967.66	0.00	-13.60	Sale Original basis: \$5,967.66

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box E checked

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & Reported (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
AT & T INC NOTE RATE 2.50 % MATURES 08/15/2015 DATED DATE 07/30/2010 / CUSIP 00206RAV4 / Symbol	07/15/14	2,000,000	2,047.51	2,047.51	08/15/12	2,036.15	0.00	11.36	Sale Original basis: \$2,099.34
	09/29/14	3,000,000	3,050.64	3,050.64	08/15/12	3,043.97	0.00	6.67	Sale Original basis: \$3,149.01
	Security total:		5,098.15	5,098.15		5,080.12	0.00	18.03	
CONOCOPHILLIPS NTS 04 600% 011515 DTD052109 CALL@MW+40BP FC011510 / CUSIP 20825CAT1 / Symbol	06/26/14	5,000,000	5,111.90	5,111.90	06/24/13	5,102.93	0.00	8.97	Sale Original basis: \$5,289.00
WALT DISNEY CO CALL@MAKE WHOLE +15BP MEDIUM TERM NOTE RATE 5.625 % / CUSIP 25468PCE4 / Symbol	06/26/14	5,000,000	5,531.10	5,531.10	08/03/12	5,517.19	0.00	13.91	Sale Original basis: \$5,951.30
METLIFE INC CALL@MW+15BP, NOTE RATE 5.00 % MATURES 06/15/2015 CALLABLE / CUSIP 59156RAN8 / Symbol	11/20/14	5,000,000	5,126.20	5,126.20	08/07/12	5,112.75	0.00	13.45	Sale Original basis: \$5,559.10
US BANK NOTE RATE 6.30 % MATURES 02/04/2014 DATED DATE 02/04/2002 / CUSIP 90333WAB4 / Symbol	01/28/14	5,000,000	5,002.45	5,002.45	07/25/12	5,020.47	0.00	-18.02	Sale Original basis: \$5,495.60
Totals:			25,869.80	25,869.80		25,833.46	0.00	36.34	

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00396

2014 1099-B*

(continued)

OMB No. 1545-0715

UNDETERMINED-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box B checked or Part II with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds & Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
FHLMC PL G18439 02.5000 DUE 07/01/27 FACTOR 0 577791600000 / CUSIP: 3128MMPZ0 / Symbol							
02/15/14	0.000	56.55	N/A	0.00	0.00	0.00	Principal payment
03/15/14	0.000	68.60	N/A	0.00	0.00	0.00	Principal payment
04/15/14	0.000	65.93	N/A	0.00	0.00	0.00	Principal payment
05/15/14	0.000	60.33	N/A	0.00	0.00	0.00	Principal payment
06/15/14	0.000	69.81	N/A	0.00	0.00	0.00	Principal payment
07/15/14	0.000	79.66	N/A	0.00	0.00	0.00	Principal payment
08/15/14	0.000	77.92	N/A	0.00	0.00	0.00	Principal payment
09/15/14	0.000	98.80	N/A	0.00	0.00	0.00	Principal payment
10/15/14	0.000	75.38	N/A	0.00	0.00	0.00	Principal payment
11/15/14	0.000	77.58	N/A	0.00	0.00	0.00	Principal payment
12/15/14	0.000	72.22	N/A	0.00	0.00	0.00	Principal payment
01/15/15	0.000	85.87	N/A	0.00	0.00	0.00	Principal payment
Security total		888.65		0.00	0.00	0.00	

SO CAROLINA STUDENT LN C TAX A-2 RV

BE/R/ FACTOR 0 389676190476 / CUSIP 83714RAY9 / Symbol.

06/02/14	0.000	23.66	N/A	0.00	0.00	0.00	Principal payment
09/02/14	0.000	1,991.81	N/A	0.00	0.00	0.00	Principal payment
12/01/14	0.000	425.83	N/A	0.00	0.00	0.00	Principal payment
Security total.		2,441.30		0.00	0.00	0.00	
Totals:		3,329.95		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & 6- Reported (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
ABBVIE INC COM / CUSIP: 00287Y109 / Symbol ABBV	11,000	648.22	563.05	04/29/14	563.05	0.00	85.17	Sale
2 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS	1,000	53.09	51.19	04/29/14	51.19	0.00	1.90	Sale
	5,000	265.42	260.36	04/30/14	260.36	0.00	5.06	Sale
	6,000	318.51	311.55	VARIOUS	311.55	0.00	6.96	Total of 2 lots
10/17/14								
2 tax lots for 10/21/14 Total proceeds (and cost when required) reported to the IRS	2,000	111.63	104.15	04/30/14	104.15	0.00	7.48	Sale
	2,000	111.62	104.74	05/12/14	104.74	0.00	6.88	Sale
	4,000	223.25	208.89	VARIOUS	208.89	0.00	14.36	Total of 2 lots
10/21/14								
2 tax lots for 12/23/14 Total proceeds (and cost when required) reported to the IRS	6,000	385.29	314.21	05/12/14	314.21	0.00	71.08	Sale
	6,000	385.29	320.65	05/20/14	320.65	0.00	64.64	Sale
	12,000	770.58	634.86	VARIOUS	634.86	0.00	135.72	Total of 2 lots
12/23/14								
2 tax lots for 12/24/14 Total proceeds (and cost when required) reported to the IRS	5,000	333.24	267.20	05/20/14	267.20	0.00	66.04	Sale
	2,000	133.30	109.90	07/14/14	109.90	0.00	23.40	Sale
	7,000	466.54	377.10	VARIOUS	377.10	0.00	89.44	Total of 2 lots
12/24/14								
Security total:		2,427.10	2,095.45		2,095.45	0.00	331.65	
ALEXION PHARMACEUTICALS INC / CUSIP: 015351109 / Symbol: ALXN	1,000	179.81	98.74	04/03/13	98.74	0.00	81.07	Sale
02/20/14								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS	1,000	172.47	98.75	04/03/13	98.75	0.00	73.72	Sale
	2,000	344.93	194.79	04/04/13	194.79	0.00	150.14	Sale
	3,000	517.40	293.54	VARIOUS	293.54	0.00	223.86	Total of 2 lots
03/04/14								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS	1,000	161.99	97.40	04/04/13	97.40	0.00	64.59	Sale
	1,000	859.20	489.68		489.68	0.00	369.52	
03/21/14								
Security total:								
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01609W102 / Symbol BABA	6,000	539.71	548.51	09/22/14	548.51	8.80 W	0.00	Sale
09/24/14								
	2,000	234.57	182.83	09/22/14	182.83	0.00	51.74	Sale
	4,000	446.52	365.67	09/22/14	365.67	0.00	80.85	Sale
11/13/14								
11/20/14								

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked.

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 6- Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
			1,220.80		1,097.01	8.80 W		132.59
Security total:								
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP	5 000	459.84		05/22/13	384.13	0.00		75.71 Sale
APPLIED MATERIALS INC COMMON STOCK / CUSIP 038222105 / Symbol: AMAT	18.000	337.85		09/24/13	312.25	0.00		25.60 Sale
2 tax lots for 08/21/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	44.08		09/24/13	34.69	0.00		9.39 Sale
	15.000	330.63		09/25/13	266.73	0.00		63.90 Sale
08/21/14	17 000	374.71		VARIOUS	301.42	0.00		73.29 Total of 2 lots
09/24/14	16 000	351.42		09/25/13	284.50	0.00		66.92 Sale
Security total:								
		1,063.98			898.17	0.00		165.81
ARM HOLDINGS PLC SPON ADR / CUSIP: 042068106 / Symbol: ARMH	7.000	352.93		07/08/13	271.00	0.00		81.93 Sale
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR / CUSIP 056752108 / Symbol: BIDU	3.000	517.49		10/30/13	484.25	0.00		33.24 Sale
09/24/14	4 000	886.62		10/30/13	645.66	0.00		240.96 Sale
11/25/14	1.000	245.73		12/03/13	166.87	0.00		78.86 Sale
Security total:								
		1,649.84			1,296.78	0.00		353.06
BIOMARIN PHARMACEUTICAL INC / CUSIP: 09061G101 / Symbol: BMRN	2 000	161.03		02/25/14	163.86	2.83 W		0.00 Sale
3 tax lots for 05/27/14. Total proceeds (and cost when required) reported to the IRS.								
	7 000	399.39		02/25/14	573.50	0.00		-174.11 Sale
	2.000	114.11		02/27/14	167.64	0.00		-53.53 Sale
	4.000	228.22		02/27/14	329.61	0.00		-101.39 Sale
05/27/14	13 000	741.72		VARIOUS	1,070.75	0.00		-329.03 Total of 3 lots
3 tax lots for 06/06/14. Total proceeds (and cost when required) reported to the IRS.								
	7.000	435.14		03/07/14	518.61	0.00		-83.47 Sale
	2.000	124.33		03/10/14	153.18	0.00		-28.85 Sale
	6.000	372.98		03/13/14	466.95	0.00		-93.97 Sale
06/06/14	15.000	932.45		VARIOUS	1,138.74	0.00		-206.29 Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) (Net)	1d- Proceeds & 1e- Reported (Gross) (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
			1,835 20		2,373 35	2 83 W		-535.32
Security total:								

BRISTOL MYERS SQUIBB CO COMMON STOCK / CUSIP: 110122108 / Symbol BMY

2 tax lots for 01/27/14 Total proceeds (and cost when required) reported to the IRS.

	13 000	650 20	671.94	10/28/13	671.94	0 00		-21 74 Sale
	7 000	350.11	374 61	10/29/13	374 61	0 00		-24.50 Sale
01/27/14	20,000	1,000.31	1,046.55	VARIOUS	1,046.55	0 00		-46.24 Total of 2 lots

2 tax lots for 01/28/14, Total proceeds (and cost when required) reported to the IRS

	6 000	302 81	321.10	10/29/13	321.10	0 00		-18.29 Sale
	13,000	656 08	688.10	10/30/13	688.10	0 00		-32 02 Sale
01/28/14	19,000	958 89	1,009 20	VARIOUS	1,009 20	0 00		-50 31 Total of 2 lots

Security total:

1,959.20 2,055 75 0 00 -96.55

CATAMARAN CORP FOREIGN STOCK / CUSIP: 148887102 / Symbol CTRX

2 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS

	14,000	643.34	697.35	10/28/13	697.35	0 00		-54 01 Sale
	5,000	229.77	250 02	10/30/13	250 02	0 00		-20 25 Sale
02/27/14	19,000	873.11	947 37	VARIOUS	947 37	0 00		-74 26 Total of 2 lots

2 tax lots for 02/28/14 Total proceeds (and cost when required) reported to the IRS.

	8 000	367 75	400 04	10/30/13	400 04	0 00		-32.29 Sale
	13,000	597 60	662.08	10/31/13	662.08	0 00		-64.48 Sale
02/28/14	21,000	965.35	1,062.12	VARIOUS	1,062.12	0 00		-96.77 Total of 2 lots

Security total:

1,838.46 2,009 49 0 00 -171.03

CBS OUTDOOR AMERS INC ***NAME CHANGE 11/2014** / CUSIP: 14987106 / Symbol

09/04/14

	13,000	436.77	419 70	07/09/14	419 70	0 00		17.07 Sale
	14,000	466 05	451.98	07/09/14	451.98	0 00		14 07 Sale
	12,000	399 46	393.59	07/10/14	393.59	0 00		5.87 Sale
	13,000	432.76	423.79	07/14/14	423.79	0 00		8.97 Sale
09/09/14	39,000	1,298 27	1,269 36	VARIOUS	1,269 36	0 00		28.91 Total of 3 lots

Security total:

1,735 04 1,689 06 0 00 45 98

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross Net)	1d- Proceeds & Reported (Gross Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CHIPOTLE MEXICAN GRILL INC CL A / CUSIP: 169656105 / Symbol CMG	1.000		581.61	10/09/13	425.98	0.00	155.63	Sale
	2 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS:							
	1.000		567.45	10/09/13	428.57	0.00	138.88	Sale
	1.000		567.44	10/14/13	443.52	0.00	123.92	Sale
	2.000		1,134.89	VARIOUS	872.09	0.00	262.80	Total of 2 lots
Security total:			1,716.50		1,298.07	0.00	418.43	
COGNIZANT TECH SOLUTIONS CRP / CUSIP 192446102 / Symbol CTSH	4.000		420.27	05/09/13	271.99	0.00	148.28	Sale
COMCAST CORP NEW CL A / CUSIP 20030N101 / Symbol: CMCSA	5.000		274.31	09/09/14	283.63	9.32 W	0.00	Sale
CONCHO RESOURCES INC / CUSIP 20605P101 / Symbol CXO								
	2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS							
	3.000		382.88	05/12/14	399.46	0.00	-16.58	Sale
	2.000		255.26	05/14/14	266.23	0.00	-10.97	Sale
	5.000		638.14	VARIOUS	665.69	0.00	-27.55	Total of 2 lots
	5.000		486.47	05/14/14	665.57	0.00	-179.10	Sale
	7 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS							
	5.000		415.18	05/14/14	665.57	0.00	-250.39	Sale
	3.000		249.11	06/19/14	418.23	0.00	-169.12	Sale
	1.000		83.04	06/23/14	144.95	0.00	-61.91	Sale
	1.000		83.04	07/10/14	147.72	0.00	-64.68	Sale
	3.000		249.11	07/11/14	438.74	0.00	-189.63	Sale
	2.000		166.07	07/14/14	294.01	0.00	-127.94	Sale
	3.000		249.11	08/14/14	392.61	0.00	-143.50	Sale
	18.000		1,494.66	VARIOUS	2,501.83	0.00	-1,007.17	Total of 7 lots
Security total:			2,619.27		3,833.09	0.00	-1,213.82	
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG	8.000		443.59	12/06/13	480.34	0.00	-36.75	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et / Symbol, DG (cont'd)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
5- COVERED tax lots 3- Basis is reported to the IRS**							
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol, DG (cont'd)							
5 tax lots for 07/18/14 Total proceeds (and cost when required) reported to the IRS							
	2,000	109.01	12/06/13	120.08	0.00	-11 07	Sale
	6,000	327.02	04/03/14	343.98	0.00	-16.96	Sale
	6,000	327.02	04/09/14	338.18	0.00	-11 16	Sale
	8,000	436.02	04/11/14	437.34	0.00	-1.32	Sale
	5,000	272.52	04/28/14	283.91	0.00	-11.39	Sale
07/18/14	27,000	1,471.59	VARIOUS	1,523.49	0.00	-51 90	Total of 5 lots
09/05/14	3,000	187.74	08/20/14	190.12	0.00	-2 38	Sale
09/05/14	7,000	438.06	08/18/14	444.20	6 14 W	0.00	Sale
2 tax lots for 09/08/14 Total proceeds (and cost when required) reported to the IRS.							
	7,000	442.10	08/20/14	443.62	0.00	-1 52	Sale
	7,000	442.10	08/20/14	449.76	0.00	-7 66	Sale
09/08/14	14,000	884.20	VARIOUS	893.38	0.00	-9 18	Total of 2 lots
Security total:		3,425.18		3,531.53	6 14 W	-100 21	
EBAY INC / CUSIP: 278642103 / Symbol: EBAY							
05/28/14	8,000	404.95	07/09/13	436.07	0.00	-31.12	Sale
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX							
03/04/14	4,000	301.47	02/12/14	303.60	2.13 W	0.00	Sale
2 tax lots for 06/19/14 Total proceeds (and cost when required) reported to the IRS.							
	5,000	341.69	02/12/14	379.50	0.00	-37 81	Sale
	5,000	341.69	02/19/14	383.38	0.00	-41 69	Sale
06/19/14	10,000	683.38	VARIOUS	762.88	0.00	-79.50	Total of 2 lots
5 tax lots for 06/20/14 Total proceeds (and cost when required) reported to the IRS							
	1,000	68.54	02/19/14	76.68	0.00	-8.14	Sale
	4,000	274.14	02/19/14	308.84	0.00	-34.70	Sale
	7,000	479.74	02/24/14	525.94	0.00	-46 20	Sale
	5,000	342.68	03/11/14	395.12	0.00	-52.44	Sale
	5,000	342.67	04/16/14	366.02	0.00	-23 35	Sale
06/20/14	22,000	1,507.77	VARIOUS	1,672.60	0.00	-164.83	Total of 5 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 1e- Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Security total:		2,492.62		2,739.08	2.13 W	-244.33	
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB								
03/04/14	7 000		479.52	07/25/13	230.89	0.00	248.63	Sale
04/23/14	7 000		434.86	07/25/13	230.90	0.00	203.96	Sale
	Security total:		914.38		461.79	0.00	452.59	
FIREEYE INC / CUSIP: 31816Q101 / Symbol: FEYE								
	3 tax lots for 08/20/14	Total proceeds (and cost when required) reported to the IRS.						
	24.000	684.62	823.80	05/28/14	823.80	0.00	-139.18	Sale
	8 000	228.21	263.64	05/30/14	263.64	0.00	-35.43	Sale
	11 000	313.78	433.87	06/24/14	433.87	0.00	-120.09	Sale
08/20/14	43.000	1,226.61	1,521.31	VARIOUS	1,521.31	0.00	-294.70	Total of 3 lots
HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406216101 / Symbol: HAL								
09/24/14	8.000	517.75	583.96	07/22/14	583.96	66.21 W	0.00	Sale
	5 tax lots for 10/08/14	Total proceeds (and cost when required) reported to the IRS.						
	1.000	58.52	72.99	07/22/14	72.99	0.00	-14.47	Sale
	6.000	351.15	439.52	07/24/14	439.52	0.00	-88.37	Sale
	1.000	58.52	69.59	08/14/14	69.59	0.00	-11.07	Sale
	5.000	292.28	347.96	08/14/14	347.96	0.00	-55.68	Sale
	1.000	58.45	78.46	08/26/14	78.46	0.00	-20.01	Sale
10/08/14	14.000	818.92	1,008.52	VARIOUS	1,008.52	0.00	-189.60	Total of 5 lots
10/09/14	2.000	115.57	156.90	08/26/14	156.90	0.00	-41.33	Sale
	2 tax lots for 10/10/14	Total proceeds (and cost when required) reported to the IRS.						
	4.000	222.65	313.80	08/26/14	313.80	0.00	-91.15	Sale
	5.000	278.31	335.87	09/03/14	335.87	0.00	-57.56	Sale
10/10/14	9.000	500.96	649.67	VARIOUS	649.67	0.00	-148.71	Total of 2 lots
	3 tax lots for 10/13/14	Total proceeds (and cost when required) reported to the IRS.						
	1 000	52.28	75.45	09/03/14	75.45	0.00	-23.17	Sale
	2.000	104.56	134.35	09/03/14	134.35	0.00	-29.79	Sale
	13 000	679.63	876.49	09/05/14	876.49	0.00	-196.86	Sale
10/13/14	16.000	836.47	1,086.29	VARIOUS	1,086.29	0.00	-249.82	Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 9999, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported Gross (Net)	1d- Proceeds & Reported (Gross (Net))	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
	Security total:		2,789.67		3,485.34	66.21 W	-629.46	
HILTON WORLDWIDE HOLDINGS INC / CUSIP: 43300A104 / Symbol: HLT								
03/04/14	12,000	270.27	270.27	12/12/13	262.32	0.00	7.95	Sale
09/24/14	16,000	395.09	395.09	12/12/13	349.77	0.00	45.32	Sale
	Security total:		665.36		612.09	0.00	53.27	
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON								
	2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.							
	4,000	375.39	375.39	07/14/14	382.31	0.00	-6.92	Sale
	2,000	187.70	187.70	07/15/14	191.99	0.00	-4.29	Sale
09/24/14	6,000	563.09	563.09	VARIOUS	574.30	0.00	-11.21	Total of 2 lots
IMS HEALTH HLDGS INC / CUSIP: 44970B109 / Symbol: IMS								
09/24/14	8,000	214.96	214.96	04/11/14	190.08	0.00	24.88	Sale
10/28/14	21,000	513.23	513.23	04/11/14	498.96	0.00	14.27	Sale
	2 tax lots for 11/03/14 Total proceeds (and cost when required) reported to the IRS							
	4,000	95.49	95.49	04/11/14	95.04	0.00	0.45	Sale
	18,000	429.70	429.70	06/25/14	445.23	0.00	-15.53	Sale
11/03/14	22,000	525.19	525.19	VARIOUS	540.27	0.00	-15.08	Total of 2 lots
	Security total:		1,253.38		1,229.31	0.00	24.07	
INCYTE CORP / CUSIP: 45337C102 / Symbol: INCY								
03/04/14	5,000	330.21	330.21	01/14/14	299.75	0.00	30.46	Sale
	2 tax lots for 04/16/14. Total proceeds (and cost when required) reported to the IRS.							
	7,000	307.48	307.48	01/14/14	419.64	0.00	-112.16	Sale
	6,000	263.56	263.56	01/17/14	377.95	0.00	-114.39	Sale
04/16/14	13,000	571.04	571.04	VARIOUS	797.59	0.00	-226.55	Total of 2 lots
	2 tax lots for 04/21/14 Total proceeds (and cost when required) reported to the IRS							
	8,000	362.50	362.50	01/17/14	503.94	0.00	-141.44	Sale
	7,000	317.18	317.18	02/27/14	462.79	0.00	-145.61	Sale
04/21/14	15,000	679.68	679.68	VARIOUS	966.73	0.00	-287.05	Total of 2 lots
	Security total:		1,580.93		2,064.07	0.00	-483.14	

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 6- Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM	5.000		284.99	11/20/13	280.00	0.00	4.99	Sale
03/04/14								
2 tax lots for 04/16/14. Total proceeds (and cost when required) reported to the IRS								
	7.000		383.52	11/20/13	392.00	0.00	-8.48	Sale
	3.000		164.37	11/21/13	171.66	0.00	-7.29	Sale
04/16/14	10.000		547.89	VARIOUS	563.66	0.00	-15.77	Total of 2 lots
04/17/14	10.000		551.05	11/21/13	572.18	0.00	-21.13	Sale
2 tax lots for 04/21/14. Total proceeds (and cost when required) reported to the IRS.								
	7.000		386.40	11/21/13	400.53	0.00	-14.13	Sale
	8.000		441.60	12/04/13	455.92	0.00	-14.32	Sale
04/21/14	15.000		828.00	VARIOUS	856.45	0.00	-28.45	Total of 2 lots
Security total: 2,211.93								
KANSAS CITY SOUTHERN NEW / CUSIP: 485170302 / Symbol: KSU								
3 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS.								
	4.000		410.45	10/07/13	445.14	0.00	-34.69	Sale
	2.000		205.22	10/08/13	223.88	0.00	-18.66	Sale
	3.000		307.84	10/22/13	360.80	0.00	-52.96	Sale
01/24/14	9.000		923.51	VARIOUS	1,029.82	0.00	-106.31	Total of 3 lots
LAUDER ESTEE COS CL A / CUSIP: 518439104 / Symbol: EL								
03/31/14	4.000		268.35	09/03/13	267.54	0.00	0.81	Sale
LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD								
03/04/14	1.000		203.40	09/05/13	241.10	0.00	-37.70	Sale
3 tax lots for 04/28/14. Total proceeds (and cost when required) reported to the IRS								
	5.000		766.80	09/05/13	1,205.50	0.00	-438.70	Sale
	2.000		306.72	12/16/13	454.17	0.00	-147.45	Sale
	2.000		306.72	01/03/14	414.61	0.00	-107.89	Sale
04/28/14	9.000		1,380.24	VARIOUS	2,074.28	0.00	-694.04	Total of 3 lots
09/24/14	2.000		422.91	08/01/14	395.06	0.00	27.85	Sale
11/04/14	1.000		237.11	08/01/14	197.53	0.00	39.58	Sale
Security total: 2,243.66								

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LOWES COMPANIES INC / CUSIP: 548661107 / Symbol: LOW	8,000		405.69	10/15/13	387.08	0.00	18.61	Sale
03/04/14								
3 tax lots for 06/16/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000		45.41	10/15/13	48.39	0.00	-2.98	Sale
	9,000		408.74	10/16/13	437.50	0.00	-28.76	Sale
	3,000		136.24	10/18/13	143.76	0.00	-7.52	Sale
06/16/14	13,000		590.39	VARIOUS	629.65	0.00	-39.26	Total of 3 lots
2 tax lots for 06/17/14. Total proceeds (and cost when required) reported to the IRS.								
	7,000		321.79	10/18/13	335.43	0.00	-13.64	Sale
	7,000		321.79	10/21/13	334.10	0.00	-12.31	Sale
06/17/14	14,000		643.58	VARIOUS	669.53	0.00	-25.95	Total of 2 lots
4 tax lots for 06/19/14. Total proceeds (and cost when required) reported to the IRS.								
	8,000		368.21	10/21/13	381.82	0.00	-13.61	Sale
	4,000		184.10	10/25/13	200.67	0.00	-16.57	Sale
	3,000		138.08	10/28/13	150.67	0.00	-12.59	Sale
	8,000		368.21	11/27/13	382.29	0.00	-14.08	Sale
06/19/14	23,000		1,058.60	VARIOUS	1,115.45	0.00	-56.85	Total of 4 lots
Security total:			2,698.26		2,801.71	0.00	-103.45	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: MGM	16,000		381.79	05/23/14	400.74	0.00	-18.95	Sale
09/03/14								
2 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS.								
	1,000		23.08	05/23/14	25.05	1.97 W	0.00	Sale
	16,000		369.27	06/05/14	405.44	29.39 W	-6.78	Sale
09/17/14	17,000		392.35	VARIOUS	430.49	31.36 W	-6.78	Total of 2 lots
09/24/14	7,000		159.38	06/24/14	182.77	0.00	-23.39	Sale
2 tax lots for 12/17/14. Total proceeds (and cost when required) reported to the IRS.								
	9,000		161.12	06/24/14	234.98	0.00	-73.86	Sale
	15,000		268.53	06/26/14	401.11	0.00	-132.58	Sale
12/17/14	24,000		429.65	VARIOUS	636.09	0.00	-206.44	Total of 2 lots
Security total:			1,363.17		1,650.09	31.36 W	-255.56	

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MCKESSON CORP / CUSIP: 58155Q103 / Symbol: MCK	3,000	501.13	12/11/13	476.70	0.00	24.43	Sale
01/14/14							
2 tax lots for 01/15/14 Total proceeds (and cost when required) reported to the IRS							
	4,000	668.99	12/11/13	635.59	0.00	33.40	Sale
01/15/14	3,000	501.74	12/18/13	473.73	0.00	28.01	Sale
	7,000	1,170.73	VARIOUS	1,109.32	0.00	61.41	Total of 2 lots
03/04/14	2,000	361.88	01/24/14	348.01	0.00	13.87	Sale
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS							
	1,000	197.24	01/24/14	174.00	0.00	23.24	Sale
09/24/14	2,000	394.47	01/27/14	347.62	0.00	46.85	Sale
	3,000	591.71	VARIOUS	521.62	0.00	70.09	Total of 2 lots
11/04/14	1,000	203.07	01/27/14	173.81	0.00	29.26	Sale
Security total		2,828.52		2,629.46	0.00	199.06	

MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT

2 tax lots for 08/01/14 Total proceeds (and cost when required) reported to the IRS.							
08/01/14	10,000	427.17	07/16/14	438.86	0.00	-11.69	Sale
	28,000	1,196.08	07/17/14	1,251.43	0.00	-55.35	Sale
	38,000	1,623.25	VARIOUS	1,690.29	0.00	-67.04	Total of 2 lots

MORGAN STANLEY NEW / CUSIP: 617446448 / Symbol: MS

01/13/14	12,000	375.88	05/31/13	314.28	0.00	61.60	Sale
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS							
	5,000	155.15	05/31/13	130.95	0.00	24.20	Sale
03/04/14	10,000	310.30	06/03/13	258.26	0.00	52.04	Sale
	15,000	465.45	VARIOUS	389.21	0.00	76.24	Total of 2 lots
Security total		841.33		703.49	0.00	137.84	

NETFLIX INC / CUSIP: 64110L106 / Symbol: NFLX

03/04/14	1,000	454.24	02/03/14	404.69	0.00	49.55	Sale
2 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS.							
	1,000	369.28	02/03/14	404.69	0.00	-35.41	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross) NFX (cont'd)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss or loss(-) & 7- Loss not allowed(X)	Additional Information
NETFLIX INC / CUSIP 64110L106 / Symbol NFLX	1,000	369.27	02/10/14	428.05	0.00	-58.78	Sale
03/27/14	2,000	738.55	VARIOUS	832.74	0.00	-94.19	Total of 2 lots
10/17/14	1,000	359.36	08/07/14	448.56	89.20 W	0.00	Sale
11/12/14	1,000	381.73	08/07/14	448.55	0.00	-66.82	Sale
3 tax lots for 11/20/14 Total proceeds (and cost when required) reported to the IRS							
	1,000	369.00	08/15/14	461.66	0.00	-92.66	Sale
	1,000	372.55	09/25/14	538.73	0.00	-166.18	Sale
	1,000	372.54	10/09/14	462.15	0.00	-89.61	Sale
11/20/14	3,000	1,114.09	VARIOUS	1,462.54	0.00	-348.45	Total of 3 lots
Security total.							
		3,047.97		3,597.08	89.20 W	-459.91	
NIKE INC CL B / CUSIP 654106103 / Symbol NKE							
03/04/14	7,000	550.48	08/06/13	465.11	0.00	85.37	Sale
NOBLE ENERGY INC / CUSIP 655044105 / Symbol NBL							
03/04/14	6,000	413.03	05/08/13	349.03	0.00	64.00	Sale
07/30/14	6,000	406.75	12/09/13	411.40	0.00	-4.65	Sale
Security total:							
		819.78		760.43	0.00	59.35	
ORACLE CORP / CUSIP: 68389X105 / Symbol ORCL							
05/23/14	10,000	419.80	03/27/14	393.70	0.00	26.10	Sale
05/27/14	4,000	167.22	03/27/14	157.48	0.00	9.74	Sale
06/30/14	11,000	446.48	03/27/14	433.08	0.00	13.40	Sale
2 tax lots for 07/11/14. Total proceeds (and cost when required) reported to the IRS.							
	11,000	441.39	03/27/14	433.07	0.00	8.32	Sale
	4,000	160.50	03/28/14	159.13	0.00	1.37	Sale
07/11/14	15,000	601.89	VARIOUS	592.20	0.00	9.69	Total of 2 lots
07/16/14	11,000	443.39	03/28/14	437.59	0.00	5.80	Sale
3 tax lots for 07/17/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	40.62	03/28/14	39.78	0.00	0.84	Sale
	22,000	893.59	04/01/14	917.20	0.00	-23.61	Sale
	9,000	365.56	04/29/14	362.61	0.00	2.95	Sale
07/17/14	32,000	1,299.77	VARIOUS	1,319.59	0.00	-19.82	Total of 3 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional Information
Security total:		3,378.55		3,333.64	0.00		44.91

PIONEER NATURAL RES CO / CUSIP: 723787107 / Symbol: PXD

03/04/14	1,000	203.25	02/06/14	178.65	0.00		24.60 Sale
07/09/14	1,000	224.14	02/06/14	178.66	0.00		45.48 Sale
3 tax lots for 08/15/14 Total proceeds (and cost when required) reported to the IRS							
	2,000	403.41	02/06/14	357.30	0.00		46.11 Sale
	5,000	1,008.51	02/11/14	918.93	0.00		89.58 Sale
	3,000	605.11	04/11/14	558.75	0.00		46.36 Sale
08/15/14	10,000	2,017.03	VARIOUS	1,834.98	0.00		182.05 Total of 3 lots
Security total:		2,444.42		2,192.29	0.00		252.13

QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol: QCOM

06/13/14	6,000	474.83	10/29/13	414.23	0.00		60.60 Sale
06/16/14	8,000	628.77	10/29/13	552.31	0.00		76.46 Sale
06/17/14	11,000	869.48	11/07/13	735.56	0.00		133.92 Sale
Security total:		1,973.08		1,702.10	0.00		270.98

RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL

2 tax lots for 02/05/14 Total proceeds (and cost when required) reported to the IRS.							
	1,000	149.17	07/11/13	182.74	0.00		-33.57 Sale
	2,000	298.33	07/12/13	366.04	0.00		-67.71 Sale
02/05/14	3,000	447.50	VARIOUS	548.78	0.00		-101.28 Total of 2 lots

RANGE RESOURCES CORP / CUSIP: 75281A109 / Symbol: RRC

2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	170.37	06/18/13	157.87	0.00		12.50 Sale
	1,000	85.19	06/20/13	77.90	0.00		7.29 Sale
03/04/14	3,000	255.56	VARIOUS	235.77	0.00		19.79 Total of 2 lots

2 tax lots for 04/24/14. Total proceeds (and cost when required) reported to the IRS.

	4,000	376.27	06/20/13	311.60	0.00		64.67 Sale
	1,000	94.07	06/21/13	76.95	0.00		17.12 Sale
04/24/14	5,000	470.34	VARIOUS	388.55	0.00		81.79 Total of 2 lots
Security total:		725.90		624.32	0.00		101.58

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 75886F107 / Symbol REGN	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
REGENERON PHARMACEUTICALS INC / CUSIP 06/27/14	1.000		273.05	05/30/14	304.48	0.00	-31.43	Sale
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP 806857108 / Symbol SLB								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.								
	4.000		370.69	08/14/13	328.41	0.00	42.28	Sale
	2.000		185.34	08/16/13	163.91	0.00	21.43	Sale
03/04/14	6.000		556.03	VARIOUS	492.32	0.00	63.71	Total of 2 lots
03/17/14	4.000		358.78	08/16/13	327.83	0.00	30.95	Sale
2 tax lots for 05/15/14 Total proceeds (and cost when required) reported to the IRS								
	2.000		198.03	08/16/13	163.91	0.00	34.12	Sale
	2.000		198.03	09/06/13	171.00	0.00	27.03	Sale
05/15/14	4.000		396.06	VARIOUS	334.91	0.00	61.15	Total of 2 lots
08/20/14	3.000		327.93	09/06/13	256.51	0.00	71.42	Sale
09/24/14	5.000		510.34	10/02/13	446.97	0.00	63.37	Sale
5 tax lots for 10/27/14 Total proceeds (and cost when required) reported to the IRS.								
	2.000		186.76	11/08/13	186.83	0.00	-0.07	Sale
	3.000		277.81	11/08/13	280.25	0.00	-2.44	Sale
	2.000		186.41	12/27/13	179.19	0.00	7.22	Sale
	5.000		463.01	12/27/13	447.96	0.00	15.05	Sale
	4.000		372.83	06/26/14	464.80	0.00	-91.97	Sale
10/27/14	16.000		1,486.82	VARIOUS	1,559.03	0.00	-72.21	Total of 5 lots
	Security total:		3,635.96		3,417.57	0.00	218.39	
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW								
03/04/14	13.000		348.56	10/15/13	300.83	0.00	47.73	Sale
09/24/14	9.000		269.63	10/15/13	208.27	0.00	61.36	Sale
2 tax lots for 10/09/14 Total proceeds (and cost when required) reported to the IRS.								
	7.000		195.22	10/15/13	161.99	0.00	33.23	Sale
	10.000		278.88	11/12/13	237.48	0.00	41.40	Sale
10/09/14	17.000		474.10	VARIOUS	399.47	0.00	74.63	Total of 2 lots
2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.								
	8.000		213.44	11/12/13	189.99	0.00	23.45	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW (cont'd)								
10/10/14	11,000	293.47	293.47	11/15/13	268.64	0.00	24.83	Sale
	19,000	506.91	506.91	VARIOUS	458.63	0.00	48.28	Total of 2 lots
2 tax lots for 10/13/14 Total proceeds (and cost when required) reported to the IRS:								
	8,000	204.67	204.67	11/15/13	195.37	0.00	9.30	Sale
	18,000	460.50	460.50	02/03/14	447.72	0.00	12.78	Sale
10/13/14	26,000	665.17	665.17	VARIOUS	643.09	0.00	22.08	Total of 2 lots
	Security total:	2,264.37	2,264.37		2,010.29	0.00	254.08	
SERVICENOW INC / CUSIP: 81762P102 / Symbol: NOW								
03/04/14	5,000	354.94	354.94	08/26/13	232.29	0.00	122.65	Sale
2 tax lots for 04/09/14 Total proceeds (and cost when required) reported to the IRS:								
	9,000	468.25	468.25	08/26/13	418.13	0.00	50.12	Sale
	9,000	468.25	468.25	08/30/13	422.30	0.00	45.95	Sale
04/09/14	18,000	936.50	936.50	VARIOUS	840.43	0.00	96.07	Total of 2 lots
	Security total:	1,712.41	1,712.41		1,450.43	0.00	261.98	
SPLUNK INC / CUSIP: 848637104 / Symbol: SPLK								
03/04/14	3,000	276.05	276.05	01/23/14	243.03	0.00	33.02	Sale
04/01/14	12,000	885.30	885.30	01/23/14	972.10	0.00	-86.80	Sale
09/24/14	4,000	223.87	223.87	09/03/14	225.40	0.00	-1.53	Sale
	Security total:	1,385.22	1,385.22		1,440.53	0.00	-55.31	
TESLA MTRS INC COM / CUSIP: 88160R101 / Symbol: TSLA								
2 tax lots for 09/16/14. Total proceeds (and cost when required) reported to the IRS:								
	3,000	762.77	762.77	07/07/14	669.11	0.00	93.66	Sale
	1,000	254.25	254.25	08/04/14	234.42	0.00	19.83	Sale
09/16/14	4,000	1,017.02	1,017.02	VARIOUS	903.53	0.00	113.49	Total of 2 lots
TRIPADVISOR INC / CUSIP: 896945201 / Symbol: TRIP								
03/04/14	2,000	212.05	212.05	01/13/14	168.84	0.00	43.21	Sale
09/24/14	2,000	188.89	188.89	01/13/14	168.83	0.00	20.06	Sale
10/15/14	3,000	235.91	235.91	01/13/14	253.25	0.00	-17.34	Sale

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Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross) Net	1d- Proceeds & 6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TRIPADVISOR INC / CUSIP 896945201 / Symbol TRIP (cont'd)								
3 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	143.26	143.26	01/13/14	168.84	0.00	-25.58	Sale
	5,000	358.14	358.14	04/16/14	423.08	0.00	-64.94	Sale
	4,000	286.52	286.52	04/17/14	343.97	0.00	-57.45	Sale
11/05/14	11,000	787.92	787.92	VARIOUS	935.89	0.00	-147.97	Total of 3 lots
Security total:		1,424.77	1,424.77		1,526.81	0.00	-102.04	
TWENTY-FIRST CENTY FOX INC CL A / CUSIP 90130A101 / Symbol FOXA								
07/31/14	2,000	63.77	63.77	08/29/13	63.32	0.00	0.45	Sale
TWITTER INC / CUSIP: 90184L 102 / Symbol: TWTR								
07/16/14	9,000	338.49	338.49	06/17/14	337.65	0.00	0.84	Sale
2 tax lots for 07/17/14. Total proceeds (and cost when required) reported to the IRS.								
	8,000	295.20	295.20	06/17/14	300.14	4.94 W	0.00	Sale
	9,000	332.09	332.09	06/18/14	341.78	9.69 W	0.00	Sale
07/17/14	17,000	627.29	627.29	VARIOUS	641.92	14.63 W	0.00	Total of 2 lots
3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000	105.86	105.86	06/18/14	77.19	0.00	28.67	Sale
	4,000	211.72	211.72	06/23/14	162.18	0.00	49.54	Sale
	6,000	317.57	317.57	06/23/14	240.50	0.00	77.07	Sale
09/24/14	12,000	635.15	635.15	VARIOUS	479.87	0.00	155.28	Total of 3 lots
12/17/14	7,000	246.94	246.94	07/30/14	327.47	0.00	-80.53	Sale
12/30/14	14,000	498.41	498.41	07/30/14	654.94	0.00	-156.53	Sale
Security total		2,346.28	2,346.28		2,441.85	14.63 W	-80.94	
UNDER ARMOUR INC CL A / CUSIP: 904311107 / Symbol: UA								
09/24/14	2,000	137.35	137.35	07/10/14	116.39	0.00	20.96	Sale
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH								
09/24/14	5,000	441.09	441.09	03/21/14	407.84	0.00	33.25	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed **Quantity** **6- Reported (Gross Net)** **1d- Proceeds & 1b- Date acquired** **1e- Cost or other basis** **1g- Adjustments & 1f- Code(s), if any** **7- Loss not allowed(X)** **Gain or loss(-) & Additional information**

VALEANT PHARMACEUTICALS INTL I FOREIGN STOCK / CUSIP 91911K102 / Symbol. VRX

2 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS

5.000	599.86	04/23/14	670.85	0.00	-70.99	Sale
3.000	359.92	05/09/14	390.05	0.00	-30.13	Sale
8.000	959.78	VARIOUS	1,060.90	0.00	-101.12	Total of 2 lots

VERIZON COMMUNICATIONS INC / CUSIP 92343V104 / Symbol. VZ

4.000	191.75	02/28/14	190.66	0.00	1.09	Sale
22.000	1,025.91	02/28/14	1,048.60	0.00	-22.69	Sale
Security total:	1,217.66		1,239.26	0.00	-21.60	

WILLIAMS COS INC (DEL) COMMON STOCK / CUSIP 969457100 / Symbol. WMB

6.000	269.67	10/17/14	312.63	0.00	-42.96	Sale
4.000	172.74	10/17/14	208.42	0.00	-35.68	Sale
1.000	43.16	10/20/14	52.16	0.00	-9.00	Sale
9.000	388.66	10/20/14	469.40	0.00	-80.74	Sale
6.000	258.98	10/29/14	330.72	0.00	-71.74	Sale
20.000	863.54	VARIOUS	1,060.70	0.00	-197.16	Total of 4 lots

2 tax lots for 12/15/14 Total proceeds (and cost when required) reported to the IRS.

7.000	295.24	10/29/14	385.84	0.00	-90.60	Sale
10.000	421.77	10/30/14	549.81	0.00	-128.04	Sale
17.000	717.01	VARIOUS	935.65	0.00	-218.64	Total of 2 lots
Security total:	1,850.22		2,308.98	0.00	-458.76	

WORKDAY INC CL A / CUSIP: 98138H101 / Symbol: WDAY

2.000	217.71	12/27/13	164.44	0.00	53.27	Sale
4.000	338.35	12/27/13	328.89	0.00	9.46	Sale
1.000	84.59	01/13/14	87.89	0.00	-3.30	Sale
5.000	422.94	VARIOUS	416.78	0.00	6.16	Total of 2 lots
3.000	274.77	01/13/14	263.65	0.00	11.12	Sale
Security total:	915.42		844.87	0.00	70.55	

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN							
01/27/14	3,000	571.14	08/14/13	420.40	0.00		150.74 Sale
03/04/14	1,000	246.58	08/14/13	140.14	0.00		106.44 Sale
03/10/14	2,000	480.51	08/14/13	280.27	0.00		200.24 Sale
04/08/14	3,000	633.71	08/14/13	420.40	0.00		213.31 Sale
2 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS							
	1,000	205.12	08/14/13	140.14	0.00		64.98 Sale
	1,000	205.11	08/21/13	139.40	0.00		65.71 Sale
04/16/14	2,000	410.23	VARIOUS	279.54	0.00		130.69 Total of 2 lots
04/28/14	2,000	380.21	08/21/13	278.79	0.00		101.42 Sale
08/06/14	4,000	806.28	07/29/14	869.85	47.68 W		-15.89 Sale
2 tax lots for 08/07/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	196.99	07/29/14	217.46	0.00		-20.47 Sale
	3,000	590.96	07/30/14	703.01	0.00		-112.05 Sale
08/07/14	4,000	787.95	VARIOUS	920.47	0.00		-132.52 Total of 2 lots
Security total:		4,316.61		3,609.86	47.68 W		754.43
ZOTIS INC / CUSIP: 98978V103 / Symbol: ZTS							
2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.							
	8,000	247.75	04/09/13	266.17	0.00		-18.42 Sale
	2,000	61.94	04/16/13	66.16	0.00		-4.22 Sale
03/04/14	10,000	309.69	VARIOUS	332.33	0.00		-22.64 Total of 2 lots
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA							
01/31/14	8,000	636.93	07/18/13	652.39	0.00		-15.46 Sale
2 tax lots for 02/12/14. Total proceeds (and cost when required) reported to the IRS.							
	6,000	502.27	07/18/13	489.30	0.00		12.97 Sale
	6,000	502.27	07/31/13	491.95	0.00		10.32 Sale
02/12/14	12,000	1,004.54	VARIOUS	981.25	0.00		23.29 Total of 2 lots
2 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000	167.39	07/31/13	163.98	0.00		3.41 Sale
	3,000	251.09	08/01/13	244.93	0.00		6.16 Sale
02/14/14	5,000	418.48	VARIOUS	408.91	0.00		9.57 Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

(continued)

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)/gross (N)/net	1d- Proceeds & 6- Reported (G)/gross (N)/net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss or loss(-) & 7- Loss not allowed(X)	Additional information
LIBERTY GLOBAL PLC CL A / CUSIP: G5480U104 / Symbol: LBTYA (cont'd)								
3 tax lots for 02/26/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	254.47	244.92	08/01/13	244.92	0.00	9.55	Sale
	2 000	169.64	159.57	10/01/13	159.57	0.00	10.07	Sale
	4 000	339.29	317.09	11/07/13	317.09	0.00	22.20	Sale
02/26/14	9 000	763.40	721.58	VARIOUS	721.58	0.00	41.82	Total of 3 lots
	Security total:	2,823.35	2,764.13		2,764.13	0.00	59.22	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	18 000	767.75	711.37	01/31/14	711.37	0.00	56.38	Sale
	2 000	85.30	78.91	02/05/14	78.91	0.00	6.39	Sale
09/24/14	20 000	853.05	790.28	VARIOUS	790.28	0.00	62.77	Total of 2 lots
MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP: G60754101 / Symbol: KORS								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	196.75	160.98	01/24/14	160.98	0.00	35.77	Sale
	5 000	468.57	402.47	01/24/14	402.47	0.00	66.10	Sale
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	149.18	160.98	01/24/14	160.98	0.00	-11.80	Sale
	2 000	149.18	161.62	01/27/14	161.62	0.00	-12.44	Sale
09/24/14	4 000	298.36	322.60	VARIOUS	322.60	0.00	-24.24	Total of 2 lots
11/03/14	5 000	394.69	404.04	01/27/14	404.04	0.00	-9.35	Sale
2 tax lots for 11/04/14 Total proceeds (and cost when required) reported to the IRS								
	1 000	71.78	80.81	01/27/14	80.81	0.00	-9.03	Sale
	3 000	215.33	245.52	01/28/14	245.52	0.00	-30.19	Sale
11/04/14	4 000	287.11	326.33	VARIOUS	326.33	0.00	-39.22	Total of 2 lots
2 tax lots for 11/07/14 Total proceeds (and cost when required) reported to the IRS								
	3 000	214.04	293.97	03/11/14	293.97	0.00	-79.93	Sale
	4 000	285.39	357.15	04/28/14	357.15	0.00	-71.76	Sale
11/07/14	7 000	499.43	651.12	VARIOUS	651.12	0.00	-151.69	Total of 2 lots
2 tax lots for 11/10/14 Total proceeds (and cost when required) reported to the IRS								
	2 000	138.15	178.57	04/28/14	178.57	0.00	-40.42	Sale

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00392
2014 1099-B*	(continued)	OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS									
Report on Form 8949, Part I, with Box A checked									
1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information		
MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP: G60754101 / Symbol KORS (cont'd)									
	6,000	414.45	07/18/14	490.56	0.00		-76.11	Sale	
11/10/14	8,000	552.60	VARIOUS	669.13	0.00		-116.53	Total of 2 lots	
Security total:		2,697.51		2,936.67	0.00		-239.16		
MOBILEYE N V AMSTELVEEN FOREIGN STOCK EUR / CUSIP N51488117 / Symbol MBL Y									
2 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS.									
	7,000	343.26	08/06/14	258.29	0.00		84.97	Sale	
	10,000	495.22	08/06/14	368.98	0.00		126.24	Sale	
09/25/14	17,000	838.48	VARIOUS	627.27	0.00		211.21	Total of 2 lots	
NIELSEN HOLDINGS B V FOREIGN STOCK EUR / CUSIP N63218106 / Symbol: NLSN									
09/24/14	8,000	355.83	03/05/14	370.95	0.00		-15.12	Sale	
11/04/14	1,000	41.65	03/05/14	46.37	0.00		-4.72	Sale	
Security total:		397.48		417.32	0.00		-19.84		
NXP SEMICONDUCTORS N V COM FOREIGN STOCK EUR / CUSIP N6596X109 / Symbol: NXPI									
01/02/14	7,000	307.37	01/28/13	213.14	0.00		94.23	Sale	
2 tax lots for 01/13/14. Total proceeds (and cost when required) reported to the IRS.									
	10,000	427.47	01/28/13	304.49	0.00		122.98	Sale	
	6,000	256.48	02/04/13	181.60	0.00		74.88	Sale	
01/13/14	16,000	683.95	VARIOUS	486.09	0.00		197.86	Total of 2 lots	
01/14/14	22,000	968.88	02/04/13	665.88	0.00		303.00	Sale	
Security total:		1,960.20		1,365.11	0.00		595.09		
Totals:		97,978.51		98,557.97	278.30 W		-301.16		

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross/Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss or loss(-) & 7- Loss not allowed(X)	Additional information
ALEXION PHARMACEUTICALS INC / CUSIP 015351109 / Symbol: ALXN							
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.							
	3,000	506.16	292.19	04/04/13	0.00	213.97	Sale
	1,000	168.72	97.82	04/09/13	0.00	70.90	Sale
09/24/14	4,000	674.88	390.01	VARIOUS	0.00	284.87	Total of 2 lots
11/04/14	1,000	191.27	97.81	04/09/13	0.00	93.46	Sale
Security total:		866.15	487.82		0.00	378.33	
AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN							
01/31/14	1,000	365.77	222.37	07/23/12	0.00	143.40	Sale
03/04/14	3,000	1,090.03	667.11	07/23/12	0.00	422.92	Sale
04/25/14	3,000	920.67	667.11	07/23/12	0.00	253.56	Sale
2 tax lots for 04/28/14 Total proceeds (and cost when required) reported to the IRS							
	2,000	590.92	444.74	07/23/12	0.00	146.18	Sale
	2,000	590.92	504.96	12/03/12	0.00	85.96	Sale
04/28/14	4,000	1,181.84	949.70	VARIOUS	0.00	232.14	Total of 2 lots
07/25/14	3,000	956.53	771.45	02/07/13	0.00	185.08	Sale
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS							
	1,000	328.40	264.34	02/13/13	0.00	64.06	Sale
	1,000	328.39	286.46	08/26/13	0.00	41.93	Sale
09/24/14	2,000	656.79	550.80	VARIOUS	0.00	105.99	Total of 2 lots
Security total:		5,171.63	3,828.54		0.00	1,343.09	
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP							
09/24/14	4,000	353.95	307.30	05/22/13	0.00	46.65	Sale
11/04/14	1,000	91.31	76.82	05/22/13	0.00	14.49	Sale
Security total:		445.26	384.12		0.00	61.14	
AMERICAN TOWER CORP REIT SBI / CUSIP: 03027X100 / Symbol: AMT							
03/04/14	6,000	489.10	419.36	07/23/12	0.00	69.74	Sale
09/02/14	4,000	394.08	279.58	07/23/12	0.00	114.50	Sale
09/11/14	1,000	97.33	69.89	07/23/12	0.00	27.44	Sale
09/24/14	7,000	659.44	489.26	07/23/12	0.00	170.18	Sale
Security total:		1,639.95	1,258.09		0.00	381.86	

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

2014 1099-B*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & 1e- Reported (Gross) / Symbol	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMGEN INC COMMON STOCK / CUSIP 031162100 / Symbol: AMGN								
02/20/14	5,000		618.56	01/29/13	431.84	0.00	186.72	Sale
02/27/14	3,000		367.36	01/29/13	259.11	0.00	108.25	Sale
03/04/14								
	2 tax lots for 03/04/14		Total proceeds (and cost when required) reported to the IRS.					
	3,000		376.61	01/29/13	259.10	0.00	117.51	Sale
	1,000		125.54	02/04/13	86.00	0.00	39.54	Sale
	4,000		502.15	VARIOUS	345.10	0.00	157.05	Total of 2 lots
05/12/14								
	2 tax lots for 05/12/14		Total proceeds (and cost when required) reported to the IRS.					
	2,000		224.75	02/04/13	171.99	0.00	52.76	Sale
	3,000		337.12	02/06/13	259.54	0.00	77.58	Sale
	5,000		561.87	VARIOUS	431.53	0.00	130.34	Total of 2 lots
05/30/14								
	3,000		347.25	02/06/13	259.54	0.00	87.71	Sale
09/24/14								
	2 tax lots for 09/24/14		Total proceeds (and cost when required) reported to the IRS.					
	1,000		142.07	02/06/13	86.51	0.00	55.56	Sale
	3,000		426.19	03/12/13	275.15	0.00	151.04	Sale
	4,000		568.26	VARIOUS	361.66	0.00	206.60	Total of 2 lots
11/04/14								
	1,000		160.15	03/12/13	91.71	0.00	68.44	Sale
12/23/14								
	2,000		315.57	03/18/13	182.21	0.00	133.36	Sale
12/24/14								
	2 tax lots for 12/24/14		Total proceeds (and cost when required) reported to the IRS.					
	2,000		323.63	03/18/13	182.20	0.00	141.43	Sale
	1,000		161.82	10/04/13	112.69	0.00	49.13	Sale
	3,000		485.45	VARIOUS	294.89	0.00	190.56	Total of 2 lots
	Security total:		3,926.62		2,657.59	0.00	1,269.03	
ARM HOLDINGS PLC SPON ADR / CUSIP: 042088106 / Symbol: ARMH								
	2 tax lots for 09/24/14		Total proceeds (and cost when required) reported to the IRS.					
	1,000		45.51	07/08/13	38.71	0.00	6.80	Sale
	8,000		364.07	07/08/13	308.25	0.00	55.82	Sale
09/24/14								
	9,000		409.58	VARIOUS	346.96	0.00	62.62	Total of 2 lots
11/10/14								
	5,000		210.06	07/08/13	192.66	0.00	17.40	Sale
	Security total:		619.64		539.62	0.00	80.02	

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UBS FINANCIAL SERVICES INC.
Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**
1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross) Net	1b- Date acquired	1e- Cost or other basis	1f- Adjustments & Code(s), if any	7- Loss or loss(-) & Gain or loss(-) & Loss not allowed(X)	Additional Information
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR / CUSIP 056752108 / Symbol BIDU							
11/13/14	1,000	245.26	10/30/13	161.41	0.00	83.85	Sale
11/17/14	2,000	484.68	10/30/13	322.83	0.00	161.85	Sale
11/18/14	3,000	729.41	11/15/13	484.79	0.00	244.62	Sale
Security total:		1,459.35		969.03	0.00	490.32	
BIOGEN IDEC INC / CUSIP 09062X103 / Symbol BIIB							
03/04/14	2,000	687.01	07/23/12	276.54	0.00	410.47	Sale
03/27/14	2,000	617.93	07/23/12	276.54	0.00	341.39	Sale
04/07/14	3,000	870.21	07/23/12	414.81	0.00	455.40	Sale
04/09/14	2,000	595.91	07/23/12	276.54	0.00	319.37	Sale
04/14/14	1,000	277.90	12/04/12	152.01	0.00	125.89	Sale
09/24/14	2,000	692.20	12/04/12	304.02	0.00	388.18	Sale
Security total:		3,741.16		1,700.46	0.00	2,040.70	
BLACKROCK INC / CUSIP: 09247X101 / Symbol BLK							
03/04/14	2,000	612.17	07/23/12	334.89	0.00	277.28	Sale
09/17/14	1,000	330.83	07/23/12	167.44	0.00	163.39	Sale
09/24/14	1,000	329.02	07/23/12	167.45	0.00	161.57	Sale
10/20/14	1,000	312.48	07/23/12	167.44	0.00	145.04	Sale
11/04/14	1,000	342.59	07/23/12	167.45	0.00	175.14	Sale
11/06/14	1,000	346.58	07/23/12	167.44	0.00	179.14	Sale
Security total:		2,273.67		1,172.11	0.00	1,101.56	
BORGWARNER INC / CUSIP: 099724106 / Symbol BWA							
10/31/14	14,000	788.32	07/23/12	441.14	0.00	347.18	Sale
11/12/14	6,000	332.04	07/23/12	189.06	0.00	142.98	Sale
11/14/14	10,000	550.48	07/23/12	315.10	0.00	235.38	Sale
Security total:		1,670.84		945.30	0.00	725.54	
CBS CORP NEW CL B / CUSIP: 124857202 / Symbol CBS							
03/04/14	8,000	539.43	07/23/12	246.85	0.00	292.58	Sale
06/25/14	11,000	682.01	07/23/12	339.43	0.00	342.58	Sale
07/08/14	7,000	444.71	07/23/12	216.00	0.00	228.71	Sale
07/09/14	17,000	1,071.59	07/23/12	524.56	0.00	547.03	Sale
07/10/14	10,000	616.61	07/23/12	308.57	0.00	308.04	Sale
Security total:		3,354.35		1,635.41	0.00	1,718.94	

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Additional Information
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS							
03/04/14	5,000	365 49	07/23/12	223.63	0.00	141.86	Sale
09/24/14	6,000	484 67	07/23/12	268.36	0.00	216 31	Sale
	Security total	850 16		491 99	0.00	358 17	
CELGENE CORP COMMON STOCK / CUSIP: 151020104 / Symbol: CELG							
03/04/14	6,000	973.54	07/23/12	392 28	0.00	581 26	Sale
03/21/14	3,000	439.52	07/23/12	196.13	0.00	243 39	Sale
09/10/14	3,000	281.55	07/23/12	98.07	0.00	183.48	Sale
09/24/14	13,000	1,248 75	07/23/12	424 97	0.00	823 78	Sale
10/22/14	1,000	94.52	07/23/12	32 69	0.00	61 83	Sale
11/07/14	5,000	529 99	07/23/12	163.44	0.00	366.55	Sale
12/04/14	4,000	455.90	07/23/12	130.76	0.00	325 14	Sale
	Security total:	4,023.77		1,438 34	0.00	2,585 43	
CERNER CORP COMMON STOCK / CUSIP: 156782104 / Symbol: CERN							
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS							
	2,000	123 42	07/23/12	75.87	0.00	47 55	Sale
03/04/14	4,000	246.84	07/27/12	147 59	0.00	99.25	Sale
	6,000	370.26	VARIOUS	223 46	0.00	146.80	Total of 2 lots
09/24/14	7,000	412 57	07/27/12	258 27	0.00	154.30	Sale
	Security total:	782.83		481 73	0.00	301 10	
COGNIZANT TECH SOLUTIONS CORP / CUSIP: 192446102 / Symbol: CTSH							
09/11/14	4,000	181.18	05/09/13	136.00	0.00	45 18	Sale
09/24/14	8,000	361 70	05/10/13	259.68	0.00	102.02	Sale
	Security total	542.88		395 68	0.00	147 20	
COSTCO WHOLESALE CORP / CUSIP: 22160K105 / Symbol: COST							
03/04/14	2,000	232.81	07/23/12	188.20	0.00	44 61	Sale
09/24/14	3,000	382.87	07/23/12	282.30	0.00	100 57	Sale
09/26/14	3,000	374.19	07/23/12	282.30	0.00	91.89	Sale
	Security total:	989 87		752.80	0.00	237 07	
DELTA AIR LINES INC DELA NEW / CUSIP: 247361702 / Symbol: DAL							
03/04/14	10,000	344.42	01/23/13	138 48	0.00	205 94	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed Quantity 6- Reported (Gross (Net) 1d- Proceeds & 1b- Date 1e- Cost or 1g- Adjustments & Gain or loss(-) & Additional information
acquired Symbol DAL (cont'd)

2 tax lots for 06/16/14 Total proceeds (and cost when required) reported to the IRS

06/16/14	1,000	39.03	01/23/13	13.85	0.00	25.18	Sale
	8,000	312.26	04/15/13	122.89	0.00	189.37	Sale
	9,000	351.29	VARIOUS	136.74	0.00	214.55	Total of 2 lots
09/24/14	9,000	339.56	04/15/13	138.25	0.00	201.31	Sale
Security total:		1,035.27		413.47	0.00	621.80	

WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS

03/04/14	6,000	489.05	01/23/13	322.11	0.00	166.94	Sale
09/24/14	5,000	446.99	01/23/13	268.43	0.00	178.56	Sale
11/07/14	3,000	269.23	01/23/13	161.06	0.00	108.17	Sale
Security total:		1,205.27		751.60	0.00	453.67	

DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG

2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS

05/30/14	9,000	478.94	07/23/12	466.11	0.00	12.83	Sale
	1,000	53.21	07/31/12	51.00	0.00	2.21	Sale
	10,000	532.15	VARIOUS	517.11	0.00	15.04	Total of 2 lots

2 tax lots for 07/09/14. Total proceeds (and cost when required) reported to the IRS

07/09/14	5,000	288.12	07/31/12	255.00	0.00	33.12	Sale
	6,000	345.75	10/31/12	289.63	0.00	56.12	Sale
	11,000	633.87	VARIOUS	544.63	0.00	89.24	Total of 2 lots

2 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS.

07/10/14	3,000	172.65	10/31/12	144.82	0.00	27.83	Sale
	8,000	460.41	02/28/13	372.39	0.00	88.02	Sale
	11,000	633.06	VARIOUS	517.21	0.00	115.85	Total of 2 lots

Security total:

07/16/14	2,000	110.90	02/28/13	93.10	0.00	17.80	Sale
		1,909.98		1,672.05	0.00	237.93	

EBAY INC / CUSIP: 278642103 / Symbol: EBAY

01/07/14	16,000	833.21	07/23/12	694.88	0.00	138.33	Sale
01/13/14	9,000	473.40	07/23/12	390.87	0.00	82.53	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**								
2 tax lots for 01/23/14 Total proceeds (and cost when required) reported to the IRS								
EBAY INC / CUSIP: 278642103 / Symbol: EBAY (cont'd)	2,000		109.56	07/23/12	86.86	0.00		22.70 Sale
	6,000		328.69	10/15/12	283.11	0.00		45.58 Sale
01/23/14	8,000		438.25	VARIOUS	369.97	0.00		68.28 Total of 2 lots
03/04/14	5,000		294.79	10/15/12	235.92	0.00		58.87 Sale
05/27/14	8,000		414.67	10/15/12	377.47	0.00		37.20 Sale
05/28/14	12,000		607.43	04/26/13	633.83	0.00		-26.40 Sale
Security total			3,061.75		2,702.94	0.00		358.81
ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL								
03/04/14	4,000		437.51	07/23/12	265.55	0.00		171.96 Sale
09/24/14	4,000		470.47	07/23/12	265.54	0.00		204.93 Sale
Security total:			907.98		531.09	0.00		376.89
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB								
08/21/14	5,000		374.47	07/25/13	164.93	0.00		209.54 Sale
09/11/14	8,000		619.77	07/25/13	263.88	0.00		355.89 Sale
09/24/14	10,000		784.88	07/25/13	329.85	0.00		455.03 Sale
11/04/14	1,000		75.01	07/25/13	32.98	0.00		42.03 Sale
Security total			1,854.13		791.64	0.00		1,062.49
GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD								
03/04/14	11,000		913.86	10/02/12	379.50	0.00		534.36 Sale
2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS								
	4,000		293.48	10/02/12	138.00	0.00		155.48 Sale
	3,000		220.11	10/03/12	105.00	0.00		115.11 Sale
03/21/14	7,000		513.59	VARIOUS	243.00	0.00		270.59 Total of 2 lots
2 tax lots for 04/11/14 Total proceeds (and cost when required) reported to the IRS.								
	9,000		611.07	10/03/12	314.99	0.00		296.08 Sale
	10,000		678.96	10/10/12	341.26	0.00		337.70 Sale
04/11/14	19,000		1,290.03	VARIOUS	656.25	0.00		633.78 Total of 2 lots
07/14/14	6,000		540.30	10/10/12	204.76	0.00		335.54 Sale

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UBS FINANCIAL SERVICES INC.	Proceeds from Broker and Barter Exchange Transactions	Account 3H 00392
2014 1099-B*	(continued)	OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS			5- COVERED tax lots 3- Basis is reported to the IRS**					
Report on Form 8949, Part II, with Box D checked								
1a- Description of property/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	6- Reported / CUSIP	1d- Proceeds & 1e- Reported (Gross (Net) / Symbol	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GILEAD SCIENCES INC COMMON STOCK / CUSIP 375558103 / Symbol GILD (cont'd)								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	2,000		217.26	10/10/12	68.25	0.00	149.01	Sale
	7 000		760.39	10/15/12	235.78	0.00	524.61	Sale
09/24/14	9,000		977 65	VARIOUS	304 03	0.00	673.62	Total of 2 lots
12/24/14	4,000		367.04	10/15/12	134 74	0.00	232 30	Sale
	Security total:		4,602.47		1,922.28	0.00	2,680 19	
LAUDER ESTEE COS CL A / CUSIP 518439104 / Symbol EL								
03/27/14	4,000		270 37	07/23/12	208.36	0.00	62 01	Sale
03/28/14	6,000		400.43	12/04/12	352 82	0.00	47.61	Sale
03/31/14	2,000		134 17	12/04/12	117.60	0.00	16 57	Sale
	Security total:		804 97		678.78	0.00	126.19	
MASTERCARD INC CL A / CUSIP 57636Q104 / Symbol: MA								
03/04/14	7,000		549.63	07/30/12	308 47	0.00	241 16	Sale
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS								
	3,000		230 86	07/30/12	132.20	0.00	98 66	Sale
	6 000		461.72	07/31/12	261 80	0.00	199 92	Sale
09/24/14	9,000		692.58	VARIOUS	394 00	0.00	298.58	Total of 2 lots
	Security total:		1,242.21		702 47	0.00	539.74	
MONSANTO CO NEW / CUSIP 61166W101 / Symbol: MON								
09/24/14	1,000		115 32	07/23/12	84.74	0.00	30.58	Sale
09/26/14	3,000		337.72	07/23/12	254 21	0.00	83 51	Sale
10/02/14	1,000		110 25	07/23/12	84 74	0.00	25 51	Sale
10/07/14	2,000		218 23	07/23/12	169.47	0.00	48.76	Sale
	Security total:		781.52		593 16	0.00	188 36	
MORGAN STANLEY NEW / CUSIP 617446448 / Symbol: MS								
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS								
	6 000		209.82	06/03/13	154.95	0.00	54 87	Sale
	6 000		209.81	06/07/13	159 82	0.00	49.99	Sale
09/24/14	12,000		419.63	VARIOUS	314.77	0.00	104.86	Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

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OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed **Quantity** **6- Reported (Gross (Net)** **1d- Proceeds & 1b- Date acquired** **1e- Cost or other basis** **1g- Adjustments & 1f- Code(s), if any** **7- Loss not allowed(X)** **Additional information**

MORGAN STANLEY NEW / CUSIP: 617446448 / Symbol: MS (cont'd)

2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS.

8 000	260.31	06/07/13	213.10	0.00	47.21	Sale
2 000	65.08	06/11/13	52.61	0.00	12.47	Sale
10 000	325.39	VARIOUS	265.71	0.00	59.68	Total of 2 lots
Security total:	745.02		580.48	0.00	164.54	

NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE

09/24/14

08/09/13

103.29

Sale

NOBLE ENERGY INC / CUSIP: 655044105 / Symbol: NBL

2 tax lots for 05/14/14. Total proceeds (and cost when required) reported to the IRS

3 000	214.54	05/08/13	174.51	0.00	40.03	Sale
3 000	214.54	05/09/13	175.19	0.00	39.35	Sale
6 000	429.08	VARIOUS	349.70	0.00	79.38	Total of 2 lots

3 tax lots for 07/30/14. Total proceeds (and cost when required) reported to the IRS

3 000	203.38	05/09/13	175.19	0.00	28.19	Sale
8 000	542.34	05/15/13	467.32	0.00	75.02	Sale
12 000	813.51	05/17/13	721.63	0.00	91.88	Sale
23 000	1,559.23	VARIOUS	1,364.14	0.00	195.09	Total of 3 lots
Security total:	1,988.31		1,713.84	0.00	274.47	

PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN

1 000	1,272.18	07/23/12	659.05	0.00	613.13	Sale
1 000	1,160.25	07/23/12	659.05	0.00	501.20	Sale
1 000	1,181.59	07/23/12	659.05	0.00	522.54	Sale
Security total:	3,614.02		1,977.15	0.00	1,636.87	

QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol: QCOM

2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS.

6 000	458.12	07/23/12	340.60	0.00	117.52	Sale
1 000	76.35	01/03/13	64.37	0.00	11.98	Sale
7 000	534.47	VARIOUS	404.97	0.00	129.50	Total of 2 lots
11 000	879.63	01/03/13	708.05	0.00	171.58	Sale

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Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol: QCOM (cont'd)								
06/13/14	1,000	79.14	79.14	01/03/13	64.37	0.00	14.77	Sale
	Security total:	1,493.24			1,177.39	0.00	315.85	
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL								
	6 tax lots for 02/05/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	149.19	149.19	07/23/12	146.56	0.00	2.63	Sale
	3,000	447.58	447.58	09/07/12	480.23	0.00	-32.65	Sale
	1,000	149.17	149.17	09/11/12	154.99	0.00	-5.82	Sale
	2,000	298.38	298.38	09/11/12	309.98	0.00	-11.60	Sale
	1,000	149.17	149.17	10/12/12	155.52	0.00	-6.35	Sale
	3,000	447.50	447.50	12/07/12	461.61	0.00	-14.11	Sale
02/05/14	11,000	1,640.99	1,640.99	VARIOUS	1,708.89	0.00	-67.90	Total of 6 lots
RANGE RESOURCES CORP / CUSIP: 75281A109 / Symbol: RRC								
	3 tax lots for 07/21/14. Total proceeds (and cost when required) reported to the IRS.							
	4,000	307.55	307.55	06/21/13	307.82	0.00	-0.27	Sale
	5,000	384.43	384.43	06/25/13	395.96	0.00	-11.53	Sale
	6,000	461.32	461.32	07/09/13	477.92	0.00	-16.60	Sale
07/21/14	15,000	1,153.30	1,153.30	VARIOUS	1,181.70	0.00	-28.40	Total of 3 lots
	2 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	76.60	76.60	07/09/13	79.65	0.00	-3.05	Sale
	5,000	383.02	383.02	07/16/13	382.48	0.00	0.54	Sale
07/22/14	6,000	459.62	459.62	VARIOUS	462.13	0.00	-2.51	Total of 2 lots
	Security total	1,612.92	1,612.92		1,643.83	0.00	-30.91	
ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST								
	2 tax lots for 02/05/14. Total proceeds (and cost when required) reported to the IRS.							
	5,000	326.80	326.80	07/23/12	331.10	0.00	-4.30	Sale
	5,000	326.80	326.80	08/16/12	339.26	0.00	-12.46	Sale
02/05/14	10,000	653.60	653.60	VARIOUS	670.36	0.00	-16.76	Total of 2 lots
	3 tax lots for 02/19/14. Total proceeds (and cost when required) reported to the IRS.							
	3,000	205.35	205.35	08/16/12	203.55	0.00	1.80	Sale

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UBS FINANCIAL SERVICES INC.

Account 3H 00392

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ROSS STORES INC COMMON STOCK / CUSIP 778296103 / Symbol ROST (cont'd)							
	8,000	547.60	10/16/12	502.27	0.00	45.33	Sale
	3,000	205.35	10/26/12	182.45	0.00	22.90	Sale
02/19/14	14,000	958.30	VARIOUS	888.27	0.00	70.03	Total of 3 lots
2 tax lots for 02/24/14 Total proceeds (and cost when required) reported to the IRS.							
	3,000	208.10	10/26/12	182.45	0.00	25.65	Sale
	8,000	554.95	11/16/12	428.44	0.00	126.51	Sale
02/24/14	11,000	763.05	VARIOUS	610.89	0.00	152.16	Total of 2 lots
Security total:		2,374.95		2,169.52	0.00	205.43	
SBA COMMUNICATIONS CORP CL A / CUSIP: 78388J106 / Symbol: SBAC							
03/04/14	5,000	477.44	07/23/12	281.75	0.00	195.69	Sale
09/11/14	4,000	453.86	07/23/12	225.40	0.00	228.46	Sale
09/24/14	5,000	553.49	07/23/12	281.75	0.00	271.74	Sale
Security total:		1,484.79		788.90	0.00	695.89	
SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM							
03/04/14	17,000	1,070.28	07/23/12	557.53	0.00	512.75	Sale
04/28/14	11,000	541.40	07/23/12	360.76	0.00	180.64	Sale
05/13/14	7,000	367.50	07/23/12	229.57	0.00	137.93	Sale
09/24/14	16,000	922.38	07/23/12	524.74	0.00	397.64	Sale
2 tax lots for 11/21/14, Total proceeds (and cost when required) reported to the IRS.							
	6,000	348.43	07/23/12	196.77	0.00	151.66	Sale
	11,000	640.85	07/23/12	360.76	0.00	280.09	Sale
11/21/14	17,000	989.28	VARIOUS	557.53	0.00	431.75	Total of 2 lots
Security total:		3,890.84		2,230.13	0.00	1,660.71	
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP 806857108 / Symbol SLB							
2 tax lots for 10/09/14 Total proceeds (and cost when required) reported to the IRS							
	1,000	95.62	10/02/13	89.39	0.00	6.23	Sale
	5,000	478.11	10/03/13	445.77	0.00	32.34	Sale
10/09/14	6,000	573.73	VARIOUS	535.16	0.00	38.57	Total of 2 lots
10/27/14	3,000	280.14	10/03/13	267.46	0.00	12.68	Sale
Security total:		853.87		802.62	0.00	51.25	

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UBS FINANCIAL SERVICES INC.
2014 1099-B*
Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

OMB No. 1545-0715

(continued)

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (G)ross (N)et	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
STARBUCKS CORP COMMON STOCK / CUSIP- 855244109 / Symbol: SBUX	8,000		602.94	07/23/12	402.56	0.00	200.38	Sale
2 tax lots for 10/03/14 Total proceeds (and cost when required) reported to the IRS.	2,000	150.99		07/23/12	100.64	0.00	50.35	Sale
	1,000	75.50		10/16/12	48.98	0.00	26.52	Sale
	3,000	226.49		VARIOUS	149.62	0.00	76.87	Total of 2 lots
	3,000	239.22		10/16/12	146.95	0.00	92.27	Sale
Security total:		1,068.65			699.13	0.00	369.52	
TWENTY-FIRST CENTY FOX INC CLA / CUSIP 90130A101 / Symbol FOXA	19,000		638.96	01/30/13	471.07	0.00	167.89	Sale
2 tax lots for 07/16/14. Total proceeds (and cost when required) reported to the IRS.	5,000	168.45		01/30/13	123.96	0.00	44.49	Sale
	9,000	303.22		02/04/13	222.90	0.00	80.32	Sale
	14,000	471.67		VARIOUS	346.86	0.00	124.81	Total of 2 lots
2 tax lots for 07/21/14 Total proceeds (and cost when required) reported to the IRS.	6,000	198.29		02/04/13	148.60	0.00	49.69	Sale
	18,000	594.86		02/27/13	458.99	0.00	135.87	Sale
	24,000	793.15		VARIOUS	607.59	0.00	185.56	Total of 2 lots
	11,000	362.05		04/15/13	298.86	0.00	63.19	Sale
2 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS.	3,000	96.07		04/15/13	81.51	0.00	14.56	Sale
	12,000	384.30		06/11/13	337.48	0.00	46.82	Sale
	15,000	480.37		VARIOUS	418.99	0.00	61.38	Total of 2 lots
	1,000	31.89		06/11/13	28.12	0.00	3.77	Sale
	13,000	426.39		08/28/13	411.54	0.00	14.85	Sale
Security total		3,204.48			2,583.03	0.00	621.45	
ULTA SALON COSMETICS & FRAGRANCE INC / CUSIP- 90384S303 / Symbol: ULTA	4,000	355.75		07/23/12	341.56	0.00	14.19	Sale
	3,000	358.93		11/07/12	281.49	0.00	77.44	Sale
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.	1,000	120.39		11/07/12	93.83	0.00	26.56	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & 1e- Cost or other basis	1b- Date acquired	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
5- COVERED tax lots 3- Basis is reported to the IRS**							
1a- Description of property/CUSIP/Symbol							
ULTA SALON COSMETICS & FRAGRANCE INC / CUSIP 90384S303 / Symbol ULTA (cont'd)	3,000	361.16	261.50	03/13/13	0.00	99.66	Sale
09/24/14	4,000	481.55	355.33	VARIOUS	0.00	126.22	Total of 2 lots
Security total		1,196.23	978.38		0.00	217.85	
UNION PACIFIC CORP COMMON STOCK / CUSIP 907818108 / Symbol: UNP							
03/04/14	8,000	1,476.04	939.36	07/23/12	0.00	536.68	Sale
09/16/14	1,000	107.17	58.72	07/23/12	0.00	48.45	Sale
09/17/14	5,000	546.54	293.55	07/23/12	0.00	252.99	Sale
09/24/14	13,000	1,413.63	763.23	07/23/12	0.00	650.40	Sale
10/02/14	2,000	212.73	117.42	07/23/12	0.00	95.31	Sale
11/17/14	4,000	482.61	234.85	07/23/12	0.00	247.76	Sale
Security total:		4,238.72	2,407.13		0.00	1,831.59	
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP 913017109 / Symbol: UTX							
03/04/14	3,000	352.15	218.71	07/23/12	0.00	133.44	Sale
04/02/14	5,000	597.61	364.52	07/23/12	0.00	233.09	Sale
07/11/14	4,000	456.39	291.62	07/23/12	0.00	164.77	Sale
07/16/14	6,000	686.28	437.42	07/23/12	0.00	248.86	Sale
07/18/14	5,000	564.91	364.52	07/23/12	0.00	200.39	Sale
07/21/14	4,000	451.46	291.61	07/23/12	0.00	159.85	Sale
Security total:		3,108.80	1,968.40		0.00	1,140.40	
VISA INC CL A / CUSIP 92826C839 / Symbol: V							
03/04/14	2,000	449.80	248.04	07/23/12	0.00	201.76	Sale
09/24/14	6,000	1,286.01	744.12	07/23/12	0.00	541.89	Sale
Security total:		1,735.81	992.16		0.00	743.65	
ZOETIS INC / CUSIP 98978V103 / Symbol: ZTS							
2 tax lots for 05/29/14 Total proceeds (and cost when required) reported to the IRS							
	10,000	306.64	330.82	04/16/13	0.00	-24.18	Sale
	2,000	61.33	64.13	04/19/13	0.00	-2.80	Sale
05/29/14	12,000	367.97	394.95	VARIOUS	0.00	-26.98	Total of 2 lots
08/04/14	10,000	328.36	320.62	04/19/13	0.00	7.74	Sale
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000	73.22	64.13	04/19/13	0.00	9.09	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

5- COVERED tax lots 3- Basis is reported to the IRS**

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 6- Reported (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ZOETIS INC / CUSIP: 98978V103 / Symbol: ZTS	(cont'd)							
	9 000	329.48	329.48	05/22/13	301.29	0.00	28.19	Sale
09/24/14	11,000	402.70	402.70	VARIOUS	365.42	0.00	37.28	Total of 2 lots
10/02/14	3 000	110.11	110.11	05/22/13	100.43	0.00	9.68	Sale
2 tax lots for 12/08/14. Total proceeds (and cost when required) reported to the IRS.								
	4 000	176.60	176.60	05/22/13	133.90	0.00	42.70	Sale
	2 000	88.30	88.30	06/18/13	62.00	0.00	26.30	Sale
12/08/14	6,000	264.90	264.90	VARIOUS	195.90	0.00	69.00	Total of 2 lots
Security total:		1,474.04	1,474.04		1,377.32	0.00	96.72	
Totals:		86,055.37	86,055.37		56,159.13	0.00	29,896.24	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (N)et	1d- Proceeds & 6- Reported (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**								
AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN								
	2 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000	365.77	365.77	03/10/11	166.12	0.00	199.65	Sale
	3,000	1,097.32	1,097.32	05/24/11	583.10	0.00	514.22	Sale
01/31/14	4,000	1,463.09	1,463.09	VARIOUS	749.22	0.00	713.87	Total of 2 lots
APPLE INC / CUSIP: 037833100 / Symbol: AAPL								
	1,000	529.00	529.00	05/11/10	252.13	0.00	276.87	Sale
03/04/14	4,000	397.50	397.50	05/11/10	144.07	0.00	253.43	Sale
09/04/14	3,000	300.38	300.38	05/11/10	108.06	0.00	192.32	Sale
09/10/14	13,000	1,322.51	1,322.51	05/11/10	468.24	0.00	854.27	Sale
09/24/14	2,000	217.77	217.77	05/11/10	72.04	0.00	145.73	Sale
11/04/14		2,767.16	2,767.16		1,044.54	0.00	1,722.62	
Security total:								
BORGWARNER INC / CUSIP: 099724106 / Symbol: BWA								
03/04/14	9,000	557.39	557.39	05/25/11	313.13	0.00	244.26	Sale

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UBS FINANCIAL SERVICES INC.
Proceeds from Broker and Barter Exchange Transactions

Account 3H 00392
OMB No. 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net)	1d- Proceeds & Reported (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BORGWARNER INC / CUSIP: 099724106 / Symbol: BWA (cont'd)								
2 tax lots for 03/05/14. Total proceeds (and cost when required) reported to the IRS								
	5,000		307.44	05/25/11	173.96	0.00	133.48	Sale
	2,000		122.97	02/16/12	81.83	0.00	41.14	Sale
03/05/14	7,000		430.41	VARIOUS	255.79	0.00	174.62	Total of 2 lots
07/31/14	5,000		313.63	02/16/12	204.57	0.00	109.06	Sale
09/24/14	7,000		397.80	02/16/12	286.40	0.00	111.40	Sale
Security total:			1,699.23		1,059.89	0.00	639.34	
DANAHER CORP COMMON STOCK / CUSIP: 235851102 / Symbol: DHR								
03/04/14	13,000		993.71	09/21/09	440.28	0.00	553.43	Sale
2 tax lots for 07/18/14. Total proceeds (and cost when required) reported to the IRS.								
	3,000		223.94	09/21/09	101.60	0.00	122.34	Sale
	5,000		373.24	02/12/10	178.52	0.00	194.72	Sale
07/18/14	8,000		597.18	VARIOUS	280.12	0.00	317.06	Total of 2 lots
07/30/14	7,000		516.22	02/12/10	249.92	0.00	266.30	Sale
08/01/14	6,000		439.79	02/12/10	214.21	0.00	225.58	Sale
2 tax lots for 08/13/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000		149.08	02/12/10	71.41	0.00	77.67	Sale
	5,000		372.69	05/07/10	201.24	0.00	171.45	Sale
08/13/14	7,000		521.77	VARIOUS	272.65	0.00	249.12	Total of 2 lots
09/03/14	8,000		613.73	05/07/10	321.98	0.00	291.75	Sale
09/05/14	5,000		380.45	05/07/10	201.24	0.00	179.21	Sale
2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.								
	2,000		155.36	05/07/10	80.50	0.00	74.86	Sale
	3,000		233.05	10/25/10	128.78	0.00	104.27	Sale
09/24/14	5,000		388.41	VARIOUS	209.28	0.00	179.13	Total of 2 lots
12/16/14	3,000		249.18	10/25/10	128.78	0.00	120.40	Sale
Security total:			4,700.44		2,318.46	0.00	2,381.98	
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG								
2 tax lots for 01/22/14. Total proceeds (and cost when required) reported to the IRS.								
	6,000		348.91	09/20/11	226.90	0.00	122.01	Sale

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Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B*

(continued)

LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & Reported (G)ross (N)et DG (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol: DG	1 000		58.15	12/07/11	39.00	0.00	19.15	Sale
01/22/14	7.000		407.06	VARIOUS	265.90	0.00	141.16	Total of 2 lots
02/13/14	7.000		392.97	12/07/11	273.00	0.00	119.97	Sale
03/04/14	6.000		356.74	12/07/11	234.00	0.00	122.74	Sale
05/30/14	1.000		53.21	12/07/11	39.00	0.00	14.21	Sale
Security total:			1,209.98		811.90	0.00	398.08	
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL	1.000		1,212.18	12/02/08	271.18	0.00	941.00	Sale
03/04/14								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS:			598.14	12/02/08	135.79	0.00	462.35	Sale
09/24/14	1.000		598.13	03/24/11	292.91	0.00	305.22	Sale
	2.000		1,196.27	VARIOUS	428.70	0.00	767.57	Total of 2 lots
Security total:			2,408.45		699.88	0.00	1,708.57	
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOOG								
2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS:			587.91	12/02/08	135.38	0.00	452.53	Sale
09/24/14	1.000		587.90	03/24/11	292.00	0.00	295.90	Sale
	2.000		1,175.81	VARIOUS	427.38	0.00	748.43	Total of 2 lots
LAUDER ESTEE COS CL A / CUSIP: 518439104 / Symbol: EL								
03/04/14	5.000		347.59	06/05/12	268.42	0.00	79.17	Sale
03/05/14	4.000		276.51	06/05/12	214.74	0.00	61.77	Sale
03/25/14	7.000		471.13	06/05/12	375.79	0.00	95.34	Sale
03/27/14	2.000		135.18	06/05/12	107.37	0.00	27.81	Sale
Security total:			1,230.41		966.32	0.00	264.09	
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON								
2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS:			791.90	04/07/11	479.11	0.00	312.79	Sale
03/04/14	7.000		113.13	04/26/11	66.80	0.00	46.33	Sale
	8.000		905.03	VARIOUS	545.91	0.00	359.12	Total of 2 lots

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Account 3H 00392

2014 1099-B*

(continued)

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1c- Date sold or disposed	Quantity	6- Reported (Gross (Net	1d- Proceeds &	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON (cont'd)								
07/14/14	3,000		360.03	04/26/11	200.40	0.00	159.63	Sale
07/23/14	3,000		350.73	04/26/11	200.41	0.00	150.32	Sale
07/30/14	3,000		345.35	04/26/11	200.40	0.00	144.95	Sale
09/02/14	5,000		574.49	05/27/11	346.91	0.00	227.58	Sale
09/24/14	5,000		576.60	05/27/11	346.91	0.00	229.69	Sale
Security total			3,112.23		1,840.94	0.00	1,271.29	
PRECISION CASTPARTS CORP COMMON STOCK / CUSIP: 740189105 / Symbol: PCP								
03/04/14	2,000		521.83	11/02/11	324.49	0.00	197.34	Sale
09/24/14	2,000		480.24	11/02/11	324.50	0.00	155.74	Sale
Security total			1,002.07		648.99	0.00	353.08	
STARBUCKS CORP COMMON STOCK / CUSIP: 855244109 / Symbol: SBUX								
2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.								
	7,000		500.42	11/10/10	213.04	0.00	287.38	Sale
	4,000		285.96	05/17/11	140.39	0.00	145.57	Sale
03/04/14	11,000		786.38	VARIOUS	353.43	0.00	432.95	Total of 2 lots
07/28/14	5,000		393.04	05/17/11	175.49	0.00	217.55	Sale
08/14/14	5,000		383.42	05/17/11	175.49	0.00	207.93	Sale
09/03/14	4,000		308.19	05/17/11	140.39	0.00	167.80	Sale
09/24/14	2,000		150.74	05/17/11	70.19	0.00	80.55	Sale
Security total			2,021.77		914.99	0.00	1,106.78	
VISA INC CL A / CUSIP: 92826C839 / Symbol: V								
03/04/14	4,000		899.59	03/06/12	460.77	0.00	438.82	Sale
Totals:			23,690.23		11,943.28	0.00	11,746.95	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.