

## See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490





	RTON FOUNDAT				****
Part XIV Private Operating I	<del></del>			N/A	
1 a If the foundation has received a ruling		•	- I		
foundation, and the ruling is effective for	•	-	▶ └──	T4040(1)(0) T40	345(4/5)
b Check box to indicate whether the foun		g foundation describe		_ 4942(j)(3) or L _ J 49	942(j)(5) T
2 a Enter the lesser of the adjusted net	Tax year	(b) 2013	Prior 3 years (c) 2012	(d) 2011	(e) Total
income from Part I or the minimum	(a) 2014	(0)2013	(6) 2012	(0)2011	(0) 10121
investment return from Part X for					)
each year listed				<del></del>	ļ
b 85% of line 2a					
c Qualifying distributions from Part XII,	]		J		
line 4 for each year listed					
d Amounts included in line 2c not			1		i
used directly for active conduct of exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities	1				
Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment' alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test - enter.				1	
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)			ļ		
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
art XV Supplementary Infor	mation (Complete	this part only	if the foundation	had \$5,000 or mor	re in assets
at any time during th		tions.J			
Information Regarding Foundation	Managers:				
List any managers of the foundation who			ibutions received by the f	oundation before the close	of any tax
year (but only if they have contributed mo	re than \$5,000). (See section	on 507(d)(2).)			
NE					
List any managers of the foundation who i		ock of a corporation (	or an equally large portion	of the ownership of a part	nership or
other entity) of which the foundation has a	10% or greater interest.				
NE					
Information Regarding Contribution Check here  if the foundation only	makes contributions to pr	eselected charitable o	rganizations and does not	t accept unsolicited request	ts for funds, If
the foundation makes gifts, grants, etc. (se					
The name, address, and telephone number	or e-mail address of the p	erson to whom applic	ations should be addresse	ed:	
<b>-</b>					
E STATEMENT 14					
The form in which applications should be s	ubmitted and information a	and materials they sho	ould include;		
		· <del>····································</del>		······································	
Any submission deadlines					
Any restrictions or limitations on awards, si	uch as by geographical are	as, charitable fields, k	inds of institutions, or other	er factors	



Form 990-PF (2014) \*\*\_\*\*\* THE NORTON FOUNDATION, INC. Page 11 Part XV Supplementary Information (continued) Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to Recipient Foundation status of Purpose of grant or contribution Amount any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year CABBAGE PATCH SETTLEMENT HOUSE, INC. EXEMPT TO BE USED WITHIN THEIR EXEMPT FUNCTION 1413 S. SIXTH ST. 10,000. LOUISVILLE, KY 40208 CATHOLIC CHARITIES OF LOUISVILLE EXEMPT TO BE USED WITHIN 2220 W MARKET STREET THEIR EXEMPT FUNCTION LOUISVILLE, KY 40212 15,000. TO BE USED WITHIN FAMILY SCHOLAR HOUSE EXEMPT THEIR EXEMPT FUNCTION 403 REG SMITH CIRCLE 35,000. LOUISVILLE, KY 40208 FOOD LITERACY PROJECT AT OXMOOR FARM TO BE USED WITHIN EXEMPT 9001 LIMEHOUSE LN THEIR EXEMPT FUNCTION 15,000. LOUISVILLE, KY 40222 KENTUCKY CENTER FOR THE ARTS EXEMPT TO BE USED WITHIN 501 W. MAIN STREET THEIR EXEMPT FUNCTION 25,000. LOUISVILLE, KY 40202 635,000. SEE CONTINUATION SHEET(S) → 3a Total b Approved for future payment NONE Total **▶** 3b

423611

THE NORTON FOUNDATION, INC. Form 990-PF (2014) Page 12 Analysis of Income-Producing Activities Part XVI-A Unrelated business income Excluded by section 512, 513, or 514 Enter gross amounts unless otherwise indicated (e) (C) Exclu sion code Related or exempt (b) (a) Business (d) Amount Amount function income 1 Program service revenue. code g. Fees and contracts from government agencies. 2 Membership dues and assessments 3 Interest on savings and temporary cash investments 4 Dividence and interest from securities 516,391 5 Not rental income or (loss) from real estate a Debt-financed property b Not debt-financed property 6 Net rental income or (loss) from personal property -492. 18 7 Other Investment income 8 Gain or (loss) from sales of assets other 18 1,029,465 than inventory 9 Net income or (loss) from special events 10 Gross profit or (loss) from sales of inventory 11 Other revenue: 0. 1,545,364. 12 Subtotal Add columns (b), (d), and (e) 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Line No Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) ₹ Form 990-PF (2014)

Form 990-	-PF (2014) THE	NORTON F	OUNDAT:	ION,	INC.		****	****	P	age 1
Part X	VII Information F Exempt Orga		ransfers T	o and	Transaction	s and F	Relationships With Nonch	aritable	;	
1 Did t	he organization directly or in-		any of the follo	wing with	h any other organiz	ration desc	ribed in section 501(c) of	1	Yes	No
	Code (other than section 501)			-						
a Trans	sfers from the reporting foun	dation to a noncha	arıtable exempt	organiza	ition of.					1
(1) (	Cash		•	•				1a(1)		X
(2) (	Other assets							18(2)		X
<b>b</b> Other	transactions.									
(1) 5	Sales of assets to a nonchard	able exempt organ	nization					1b(1)		X
(2) F	Purchases of assets from a n	oncharitable exem	pt organization	ı				16(2)		X
(3) F	Rental of facilities, equipment	, or other assets						1b(3)		X
(4) F	Reimbursement arrangement:	s						1b(4)		X
(5) L	oans or loan guarantees							1b(5)		X
(6) P	Performance of services or m	embership or func	traising solicita	tions				10(6)		Х
	ng of facilities, equipment, ma	· ·						10	1	X
							ow the fair market value of the goods,		ts,	
					than fair market vi	alue in any	transaction or sharing arrangement, s	how in		
	n (d) the value of the goods,									
1)Line no	(b) Amount involved	<u> </u>	of noncharitat	ole exem	ot organization	(0	Description of transfers transactions, and	sharing arrai	ngemer	its
		N/A	·							
								<del> </del>		
		ļ <u></u>								
	· · · · · · · · · · · · · · · · · · ·	<u> </u>			<del></del>					—
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			<del>!</del>							
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. 10.15 - 1			<del></del>			<del>-</del>				
	oundation directly or indirectly					uzations de	escrided	٦ ٧٠٠	$\mathbf{x}$	Ni a
	on 501(c) of the Gode (other		c)(3)) or in sec	tion 5277	,		L.	_ Yes	لها	NO
o ii yes,	complete the following sche-		· · ·-	/h) To	a of propagation		(a) Deservation of columns.			
	(a) Name of orga	inization	<u>-</u>	(0) 19	e of organization	<del> </del>	(c) Description of relationshi	μ		
	N/A			<del> </del>		ļ				
			<del></del>	<del> </del>		<del> </del>				
<del></del>		· ·				<del> </del>				
				<del> </del>		<del> </del>				
Lund	er penalties of perjury, I declare th	at I have examined th	us return includes	n accomer	nna saturhathas nnunn	statemente	and to the hest of my knowledge			
ign and	belief, it is true correct and comp	lete Declaration of p	reparer (other than	n (axpaver)	is based on all inform	nation of whi	ch preparer has any knowledge. May t	he IRS disci	parer	- 1
ere	•			E			1 211	pelow (see	instr )?	
P	gnature of officer or trustee					Title	RECTOR	Yes	<u> </u>	No
	Print/Type preparer's nam	ne .	Preparer's si		ite	Date	Check If PTIN			
	VICTORIA BU		i opinor 5 Si	gratuit	i	, 5410	self- employed			
aid	CPA CPA	CIEK,			ļ			02405	1	
eparer	Firm's name ▶ MOUN	יים עמד.יי	TATON MI	ים.זונים	V 1,1.D		Firm's EIN ▶ ★★-★★			
se Only	, I min s name P MOON	IOOI CHI	TOM MI	ظلالان	TIME		Film S & IN			
	Firm's address ▶ 462	S. FOIT	ਾਮ ਵਾ	CIT	Tጥፑ ጋደባብ					
		ISVILLE,					Phone no (502)	7/0-1	ann	١
	100	TOATINE,	VI 40		7447			990.P		

THE NORTON FOUNDATION, INC. Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Purpose of grant or Foundation show any relationship to Amount status of any foundation manager or substantial contributor Name and address (home or business) recipient KENTUCKY MUSEUM OF ART AND CRAFT EXEMPT TO BE USED WITHIN 115 W MAIN STREET THEIR EXEMPT FUNCTION 20,000. LOUISVILLE, KY 40202 LEGAL AID SOCIETY EXEMPT TO BE USED WITHIN 416 W. MUHAMMAD ALI BLVD, STE. 300 THEIR EXEMPT FUNCTION LOUISVILLE, KY 40202 10,000. LOUISVILLE GROWS EXEMPT TO BE USED WITHIN 967 SCHILLER AVENUE THEIR EXEMPT FUNCTION 10,000. LOUISVILLE, KY 40204 EXEMPT TO BE USED WITHIN LOUSIVILLE CENTRAL COMMUNITY CENTER 1300 W. MUHAMMAD ALI BLVD THEIR EXEMPT FUNCTION 16,000, LOUISVILLE, KY 40203 MARYHURST EXEMPT TO BE USED WITHIN 5227 BARDSTOWN RD. THEIR EXEMPT FUNCTION LOUISVILLE, KY 40291 30,000. NEIGHBORHOOD HOUSE EXEMPT TO BE USED WITHIN 201 N. 25TH ST. THEIR EXEMPT FUNCTION LOUISVILLE, KY 40212 10,000. NEW ROOTS EXEMPT TO BE USED WITHIN 4509 W MARKET STREET THEIR EXEMPT FUNCTION LOUISVILLE, KY 40212 30,000. RIVER CITY DRUM CORP EXEMPT TO BE USED WITHIN 3308 CHAUNCEY AVE THEIR EXEMPT FUNCTION LOUISVILLE, KY 40211 10,000. SHAKESPEARE BEHIND BARS CXEMPT TO BE USED WITHIN PO BOX 33293 THEIR EXEMPT FUNCTION LOUISVILLE, KY 40232 5,000. STAGE ONE FAMILY THEATRE EX EMPT TO BE USED WITHIN 315 W MARKET STREET THEIR EXEMPT FUNCTION LOUISVILLE, KY 40202 12,000. Total from continuation sheets 535,000.

423631 05-01-11

INC. THE NORTON FOUNDATION, Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to Purpose of grant or contribution Foundation **Amount** status of any foundation manager Name and address (home or business) recipient or substantial contributor WALDORF SCHOOL OF LOUISVILLE CXEMPT TO BE USED WITHIN THEIR EXEMPT FUNCTION 8005 NEW LAGRANGE RD 101,000. LOUISVILLE, KY 40222 WEST END SCHOOL TO BE USED WITHIN EXEMPT THEIR EXEMPT FUNCTION 23628 VIRGINIA AVE 80,000. LOUISVILLE, KY 40211 YMCA SAFE PLACE SERVICES EXEMPT TO BE USED WITHIN THEIR EXEMPT FUNCTION 2400 CRITTENDEN DR 20,000. LOUISVILLE, KY 40217 LOUISVILLE PUBLIC MEDIA EXEMPT TO BE USED WITHIN 619 S 4TH STREET THEIR EXEMPT FUNCTION 30,000. LOUISVILLE, KY 40202 TO BE USED WITHIN LINCOLN FOUNDATION EXEMPT 200 W BROADWAY #500 THEIR EXEMPT FUNCTION 20,000. LOUISVILLE, KY 40202 ST. GEORGE'S COMMUNITY CENTER, INC. EXEMPT TO BE USED WITHIN 1205 S. 26TH STREET THEIR EXEMPT FUNCTION LOUISVILLE, KY 40210 15,000. ST. JOSEPH CHILDREN'S HOME EXEMPT TO BE USED WITHIN 2823 FRANKFORT AVENUE THEIR EXEMPT FUNCTION LOHISVILLE, KY 40206 25,000. KENTUCKY SCIENCE CENTER EXEMPT TO BE USED WITHIN 727 W. MAIN STREET THEIR EXEMPT FUNCTION 25,000. LOUISVILLE, KY 40202 DARE TO CARE EXEMPT TO BE USED WITHIN 5803 FERN VALLEY ROAD THEIR EXEMPT FUNCTION LOUISVILLE, KY 40228 20,000. KENTUCKY SHAKESPEARE FESTIVAL **EXEMPT** TO BE USED WITHIN 323 W. BROADWAY #401 THEIR EXEMPT FUNCTION LOUISVILLE, KY 40202 11,000. Total from continuation sheets

423631 05-01-14

\*\*\_\*\*\* THE NORTON FOUNDATION, INC. Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual. Purpose of grant or contribution Foundation show any relationship to any foundation manager Amount status of Name and address (home or business) recipient or substantial contributor KENTUCKY YOUTH ADVOCATES EXEMPT TO BE USED WITHIN THEIR EXEMPT FUNCTION 11001 BLUEGRASS PKWY #100 10,000. LOUISVILLE, KY 40299 TO BE USED WITHIN SQUALLIS PUPPETEERS EXEMPT THEIR EXEMPT FUNCTION 1228 E. BRECKINRIDGE STREET 10,000. LOUISVILLE, KY 40204 EXEMPT TO BE USED WITHIN LOUISVILLE URBAN LEAGUE THEIR EXEMPT FUNCTION 1535 W. BROADWAY 5,000. LOUISVILLE, KY 40203 AMERICAN FARMLAND TRUST EXEMPT TO BE USED WITHIN 2717 BLUE RIDGE COURT THEIR EXEMPT FUNCTION BLOOMINGTON, IN 47408 10,000. Total from continuation sheets

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TO FORM 990-PF, PG 1, LN 16C

THE NORTON FOUND	ATION, INC.						**_***	***
FORM 990-PF	DIVIDENDS	AND INTE	REST	FROM SEC	URITIES	STA	TEMENT	1
SOURCE	GROSS AMOUNT	CAPITA GAINS DIVIDEN		(A) REVENUE PER BOOK			(C) ADJUSTE NET INCO	
TRUST INVESTMENTS	532,857.	16,4	66.	516,39	516,39	1.		
TO PART I, LINE 4	532,857.	16,40	66.	516,391	516,39	1.		
•								
FORM 990-PF		OTHER 1	INCOM	E		STA'	TEMENT	2
DESCRIPTION		1	REV.		(B) NET INVEST- MENT INCOME		(C) ADJUSTED ET INCOM	
OTHER INCOME INCOME FROM TRILINC INCOME FROM ARJUNA	GLOBAL			69. 269. -830.	69. 269. -830.			
TOTAL TO FORM 990 P	F, PART I, L	INE 11		-492.	-492.			
FORM 990~PF	OTH	ER PROFES	SIONA	AL FEES		STAT	EMENT	3
DESCRIPTION		(A) XPENSES ER BOOKS		(B) INVEST-	(C) ADJUSTED NET INCOME	_	(D) HARITABI PURPOSES	
PROFESSIONAL FEES TRUST FEES	·	36,266. 103,680.		18,133. 103,680.			18,133	3.

139,946.

18,133.

121,813.

THE NORTON FOUNDATION, I	INC.			*******
FORM 990-PF	TA	XES		STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES
PAYROLL TAXES FOREIGN TAX EXPENSE TAXES - OTHER	5,998 8,421 9,356	. 8,421	•	5,998. 0. 0.
TO FORM 990-PF, PG 1, LN 18	23,775	8,421	•	5,998.
FORM 990-PF	OTHER :	EXPENSES		STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SUPPLIES AND POSTAGE DUES AND SUBSCRIPTIONS . INSURANCE MISCELLANEOUS	2,356, 2,853, 5,322, 6,923.	. 1,427. . 2,661.		1,178. 1,427. 2,661. 0.
TO FORM 990-PF, PG 1, LN 23	17,454.	12,189.		5,266.
FORM 990-PF DEPRECIATION	OF ASSETS NOT	HELD FOR INV	ESTMENT S	TATEMENT 6
DESCRIPTION		ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
DFFICE FURNITURE LEASHOLD IMPROVEMENTS GOFTWARE	71,643. 6,164. 6,359.	0. 0. 0.	71,643. 6,164. 6,359.	71,643. 6,164. 6,359.
CO 990-PF, PART II, LN 14	84,166.	0.	84,166.	84,166.

THE NORTON FOUNDATION, INC.			**_***
FORM 990 PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK REAL ESTATE INVESTMENT TRUSTS		7,702,989. 1,109,298.	9,458,274. 1,174,700.
TOTAL TO FORM 990-PF, PART II,	LINE 10B	8,812,287.	10,632,974.
FORM 990-PF	CORPORATE BONDS		STATEMENT 8
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS SPECIAL SITUATION BONDS		88,595. 67,469.	96,585.
TOTAL TO FORM 990-PF, PART II,	LINE 10C	156,064.	96,585.
FORM 990-PF	OTHER INVESTMENTS		STATEMENT 9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PUBLICALLY TRADED PARTNERSHIPS MUTUAL FUNDS INVESTMENT IN PARTNERSHIPS PRIVATE EQUITY	COST COST COST COST	625,753. 882,393. 604,216. 25,000.	656,550. 867,141. 607,872. 23,425.
TOTAL TO FORM 990-PF, PART II,	LINE 13 =	2,137,362.	2,154,988.
FORM 990-PF INTER	EST AND PENALTIES		STATEMENT 10
TAX DUE FROM FORM 990-PF, PART UNDERPAYMENT PENALTY LATE PAYMENT INTEREST LATE PAYMENT PENALTY	VI	_	20,212. 515. 308. 606.
TOTAL AMOUNT DUE		_	21,641.

STATEMENT(S) 7, 8, 9, 10

FORM 990-PF	LATE	PAYMENT PE	NALTY		STA	rement	1
DESCRIPTION	DATE	AMOUNT	BAL	ANCE	MONTHS	PENAL	·ΤΥ
TAX DUE DATE FILED	05/15/1 11/15/1	-		20,212. 20,212.	6	6	06
TOTAL LATE PAYMENT PENAL	TY					6	06
FORM 990-PF	LATE	PAYMENT IN	TEREST		STAT	EMENT	1
DESCRIPTION I	DATE :	AMOUNT	BALANCE	RATE	E DAYS	INTER	ES'
	/15/15	20,212.	20,21; 20,520		00 184	3	08
COTAL LATE PAYMENT INTERE	EST					3(	08
		OF OFFICERS		DRS	STAT	EMENT	1
TRUS		TITLE AND	IANAGERS COMP	E EN- B	MPLOYEE EN PLAN	EXPENS	SE.
AME AND ADDRESS  ANE NORTON NEWTON 33 E. MAIN ST., STE 400	TEES AND F	OUNDATION M	IANAGERS COMP	E EN- B	MPLOYEE	EXPENS	SE NT
	TEES AND F	TITLE AND AVRG HRS/W	IANAGERS COMP	EN- B ON -	MPLOYEE EN PLAN CONTRIB	EXPENS ACCOUN	
TRUS  AME AND ADDRESS  ANE NORTON NEWTON 33 E. MAIN ST., STE 400 OUISVILLE, KY 40202  OBERT W. DULANEY 33 E. MAIN ST., STE 400 OUISVILLE, KY 40202  ICHARD H.C. CLAY 33 E. MAIN ST., STE 400	TEES AND F	TITLE AND AVRG HRS/WPRESIDENT 0.10	IANAGERS COMP	EN- B ON -	MPLOYEE EN PLAN CONTRIB	EXPENS ACCOUN	SE NT
AME AND ADDRESS  ANE NORTON NEWTON 33 E. MAIN ST., STE 400 OUISVILLE, KY 40202  OBERT W. DULANEY 33 E. MAIN ST., STE 400	TEES AND F	TITLE AND AVRG HRS/W PRESIDENT 0.10  VP 0.10  DIRECTOR	ANAGERS COMP K SATI	EN- B ON 0.	MPLOYEE EN PLAN CONTRIB	EXPENS	5E NT 0.

THE NORTON FOUNDATION, INC.			**	*_*****
MAGGIE BARRETT KEITH 333 E. MAIN ST., STE 400 LOUISVILLE, KY 40202	DIRECTOR 0.10	0.	0.	0.
BRANDON COAN 333 E. MAIN ST., STE 400 LOUISVILLE, KY 40202	DIRECTOR 0.10	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAG	E 6, PART VIII	78,409.	20,522.	0.

THE NORTON FOUNDATION, INC.

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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT 14

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MEREDITH ERICKSON 333 EAST MAIN STREET, SUITE 400 LOUISVILLE, KY 40202

TELEPHONE NUMBER

502-893-9549

## FORM AND CONTENT OF APPLICATIONS

THE APPLICATION MUST INCLUDE AN OUTLINE OF THE PROJECT, A BUDGET OF ALL INCOME & EXPENSES AND A COPY OF THE IRS DETERMININATION LETTER VERIFYING THE ORGANIZATION'S EXEMPT STATUS. THE APPLICATIONS ARE FILED IN TRIPLICATE AND ARE MAINTAINED BY THE FOUNDATION'S EXECUTIVE DIRECTOR.

## ANY SUBMISSION DEADLINES

QUARTERLY

## RESTRICTIONS AND LIMITATIONS ON AWARDS

NO GRANTS ARE MADE TO INDIVIDUALS OR PRIVATE FOUNDATIONS. LOCAL CHARITABLE NEEDS ARE GIVEN FIRST PRIORITY.

STATEMENT(S) 14



0425874673

Sep. 27, 2017 LTR 2697C 0 R

61-6024040 201412 44

Input Op: 0425874673 00021797

THE NORTON LOUNDATION INC 333 EAST MAIN STREET STE 400 LOUISVILL KY 40202



DUCLARATION

23218

Under penalties of perbury, I declare I've examined the return identified in this letter, including any accompanying schedules and statements, and any supplemental statements attached hereto, which are intended as supplements to the return, and to the best of my knowledge and belief, it's true, correct, and complete. I understand this declaration will become a permanent part of the return.