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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation <b>Norma D. Hanley and Thomas R. Hanley Foundation</b>		A Employer identification number <b>61-1326779</b>
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) <b>502-228-0161</b>
<b>7504 Chestnut Hill Drive</b>		C If exemption application is pending, check here <input type="checkbox"/>
City or town, state or province, country, and ZIP or foreign postal code <b>Prospect, KY 40059-9484</b>		D 1. Foreign organizations, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>2,042,062.74</b>	J Accounting method. <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

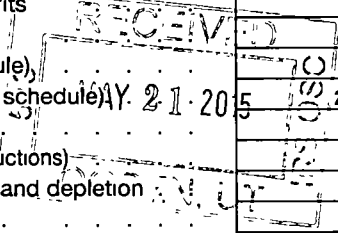
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,505,580.06			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,004.12	2,004.12	2,004.12	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	31,056.55			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		31,056.55		
	8 Net short-term capital gain			2,367.16	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,538,640.73	33,060.67	33,060.67	
	13 Compensation of officers, directors, trustees, etc.	2,000.00	2,000.00	2,000.00	2,000.00
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	2,286.69	2,286.69	2,286.69	2,286.69
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	224.75	224.75	224.75	224.75
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	295.40	295.40	295.40	295.40
	24 Total operating and administrative expenses. Add lines 13 through 23	4,806.84	4,806.84	4,806.84	4,806.84
	25 Contributions, gifts, grants paid	20,375.00			20,375.00
	26 Total expenses and disbursements. Add lines 24 and 25	25,181.84	4,806.84	4,806.84	25,181.84
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,513,458.89			
	b Net investment income (if negative, enter -0-)		28,253.83		
	c Adjusted net income (if negative, enter -0-)			28,253.83	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2014)

SCANNED MAY 28 2015 Revenue



<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	<b>11,703.28</b>	<b>316,701.34</b>	<b>316,701.34</b>
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	<b>4</b> Pledges receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	<b>136,415.40</b>	<b>1,338,621.53</b>	<b>1,719,149.81</b>
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .		<b>6,351.70</b>	<b>6,211.59</b>
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ . . . . .			
<b>Liabilities</b>	Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment: basis ▶ . . . . .			
	Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	<b>15</b> Other assets (describe ▶ . . . . .)			
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	<b>148,118.68</b>	<b>1,661,674.57</b>	<b>2,042,062.74</b>
	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .	<b>2,000.00</b>	<b>2,100.00</b>	
	<b>19</b> Deferred revenue . . . . .			
<b>Net Assets or Fund Balances</b>	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ . . . . .)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	<b>2,000.00</b>	<b>2,100.00</b>	
	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds	<b>146,118.68</b>	<b>1,659,574.57</b>	
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	<b>146,118.68</b>	<b>1,659,574.57</b>	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	<b>146,118.68</b>	<b>1,659,574.57</b>	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	<b>146,118.68</b>
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	<b>1,513,458.89</b>
<b>3</b> Other increases not included in line 2 (itemize) ▶ . . . . .	<b>3</b>	<b>0.00</b>
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	<b>1,659,574.57</b>
<b>5</b> Decreases not included in line 2 (itemize) ▶ . . . . .	<b>5</b>	<b>0.00</b>
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	<b>1,659,574.57</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> Merrill-Lynch 655-04086 (attached)	<b>P</b>	<b>various</b>	<b>various</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>31,056.55</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . .	<b>3</b>	<b>2,367.16</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	20,375	2,042,062	0.0100
2012	9,050	215,075	0.0421
2011	4,400	161,141	0.0273
2010	3,850	155,762	0.0247
2009	7,150	156,679	0.0456

<b>2</b> Total of line 1, column (d) . . . . .	<b>2</b>	<b>0.1497</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	<b>3</b>	<b>0.0300</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 . . . . .	<b>4</b>	<b>351,954.20</b>
<b>5</b> Multiply line 4 by line 3 . . . . .	<b>5</b>	<b>10,558.63</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	<b>6</b>	<b>282.54</b>
<b>7</b> Add lines 5 and 6 . . . . .	<b>7</b>	<b>10,841.66</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>25,181.84</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>282</b>	<b>54</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>282</b>	<b>54</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>282</b>	<b>54</b>
<b>6</b>	Credits/Payments:			
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>		
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	<b>282</b>	<b>54</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		<input checked="" type="checkbox"/>
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<input checked="" type="checkbox"/>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		<input checked="" type="checkbox"/>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		<input checked="" type="checkbox"/>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<input checked="" type="checkbox"/>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		<input checked="" type="checkbox"/>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input checked="" type="checkbox"/>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input checked="" type="checkbox"/>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		<input checked="" type="checkbox"/>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<input checked="" type="checkbox"/>

**Part VII-A. Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ <b>Thomas R. Hanley</b>	Telephone no. ▶	<b>502-228-0161</b>	
	Located at ▶ <b>7504 Chestnut Hill Drive, Prospect, KY</b>	ZIP+4 ▶	<b>40059-9484</b>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . ▶		<input type="checkbox"/>	
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			✓

**Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . .	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	✓

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>		<input checked="" type="checkbox"/>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<input checked="" type="checkbox"/>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	<b>13</b>	<input checked="" type="checkbox"/>	
Website address ▶				
<b>14</b>	The books are in care of ▶ <b>Thomas R. Hanley</b>	Telephone no ▶	<b>502-228-0161</b>	
	Located at ▶ <b>7504 Chestnut Hill Drive, Prospect, KY</b>	ZIP+4 ▶	<b>40059-9484</b>	
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here.	▶ <input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	<b>15</b>		
<b>16</b>	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	<b>16</b>	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			<input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly).		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	<b>1b</b>	
	Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	<b>1c</b>	<input checked="" type="checkbox"/>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<input checked="" type="checkbox"/>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	<b>4b</b>	<input checked="" type="checkbox"/>

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	<b>319,380.03</b>
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	<b>37,933.88</b>
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	<b>357,313.91</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	<b>357,313.91</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	<b>5,359.71</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>351,954.20</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	<b>17,597.71</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	<b>17,597.71</b>
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5 . . . . .	<b>2a</b>	<b>282.54</b>
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	<b>282.54</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	<b>17315.17</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	<b>17315.17</b>
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	<b>17315.17</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	<b>25,181.84</b>
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>25,181.84</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	<b>282.54</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	<b>24,899.30</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7 . . . . .				<b>17315.17</b>
<b>2</b> Undistributed income, if any, as of the end of 2014:				
<b>a</b> Enter amount for 2013 only . . . . .			<b>0.00</b>	
<b>b</b> Total for prior years: 20____, 20____, 20____		<b>0.00</b>		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009 . . . . .				
<b>b</b> From 2010 . . . . .				
<b>c</b> From 2011 . . . . .				
<b>d</b> From 2012 . . . . .				
<b>e</b> From 2013 . . . . .		<b>6,522.23</b>		
<b>f</b> <b>Total</b> of lines 3a through e . . . . .	<b>6,522.23</b>			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ► \$ <b>25,181.84</b>				
<b>a</b> Applied to 2013, but not more than line 2a . . . . .			<b>0.00</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		<b>0.00</b>		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .	<b>0.00</b>			
<b>d</b> Applied to 2014 distributable amount . . . . .				<b>17,315.17</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>7,866.67</b>			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	<b>0.00</b>			<b>0.00</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>14,388.90</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		<b>0.00</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		<b>0.00</b>		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		<b>0.00</b>		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			<b>0.00</b>	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 . . . . .				<b>0.00</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	<b>0.00</b>			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .	<b>0.00</b>			
<b>9</b> <b>Excess distributions carryover to 2015.</b> Subtract lines 7 and 8 from line 6a . . . . .	<b>14,388.90</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010 . . . . .				
<b>b</b> Excess from 2011 . . . . .				
<b>c</b> Excess from 2012 . . . . .				
<b>d</b> Excess from 2013 . . . . .	<b>6,522.23</b>			
<b>e</b> Excess from 2014 . . . . .	<b>7,866.67</b>			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . ▶ **N/A**

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter <sup>2</sup> / <sub>3</sub> of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  <b>Attached</b>				
<b>Total</b>			▶	<b>3a</b>
<b>b</b> <i>Approved for future payment</i>  <b>NONE</b>				
<b>Total</b>			▶	<b>3b</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities . . . . .					
5	Net rental income or (loss) from real estate.					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .					
13	Total. Add line 12, columns (b), (d), and (e) . . . . .					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]



**Norma D. Hanley and Thomas R. Hanley Foundation Corporation**  
**EIN 61-1326779**  
**SCHEDULE OF INFORMATION FOR FORM 990-PF**

**Part I – Line 1**

Funds Received from Margaret Dickins Foundation (book value) \$1,505,580.06

NOTE: These funds were transferred in the last week of December 2014.

**Part I – Line 16c**

Annual Merrill Lynch Account Fees \$ 2,286.69

**Part I – Line 18**

Federal Excise Tax on Investment Income \$ 224.75

**Part I – Line 23**

Kentucky Corporation Renewal Fee \$ 15.00

Courier-Journal Fee for Public Notice \$ 280.40

**Part XV – Line 3a**

Trinity High School, Louisville, KY	N/A	Public	Unrestricted Donation	250.00
University of Dayton, Dayton, OH	N/A	Public	Unrestricted Donation	100.00
Tau Beta Pi, Knoxville, TN	N/A	Public	Unrestricted Donation	50.00
United Way, Lee County, AL	N/A	Public	Unrestricted Donation	100.00
University of Louisville, Louisville, KY	N/A	Public	Unrestricted Donation	17,000.00
SH Cancer, Louisville, KY	N/A	Public	Unrestricted Donation	375.00
AIChE, New York NY	N/A	public	Unrestricted Donation	500.00
V Foundation, Louisville, KY	N/A	Public	Unrestricted Donation	1650.00
Top Gun Academy, Louisville, KY	N/A	Public	Unrestricted Donation	250.00
Wounded Warrior Project, Louisville, KY	N/A	Public	Unrestricted Donation	100.00
<b>TOTAL</b>				<b>20,375.00</b>

NORMA D & THOMAS R HANLEY

## 2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B

### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2</b>								
<b>COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)</b>								
ALASKA AIR GROUP INC COM	CUSIP Number	011659109						
3 0000 Sale	11/25/13	05/20/14	286 07	233 67		0 00	52 40	
1 0000 Sale	11/25/13	05/22/14	97 23	77 89		0 00	19 34	
1 0000 Sale	11/25/13	06/04/14	99 73	77 89		0 00	21 84	
11 0000 Sale	11/25/13	07/25/14	501 66	428 39		0 00	73 27	
<b>Security Subtotal</b>			<b>984 69</b>	<b>817 84</b>		<b>0 00</b>	<b>166 85</b>	
ADVANCE AUTO PARTS INC	CUSIP Number	00751Y106						
2 0000 Sale	02/07/14	05/20/14	242 99	252 07		0 00	(9 08)	
1 0000 Sale	02/07/14	05/22/14	122 78	126 03		0 00	(3 25)	
1 0000 Sale	02/07/14	06/04/14	124 81	126 03		0 00	(1 22)	
<b>Security Subtotal</b>			<b>490 58</b>	<b>504 13</b>		<b>0 00</b>	<b>(13 55)</b>	
AMERICAN INTERNATIONAL GROUP INC	CUSIP Number	026874784						
5 0000 Sale	09/05/13	05/20/14	261 89	243 39		0 00	18 50	
2 0000 Sale	09/05/13	05/22/14	107 39	97 36		0 00	10 03	
1 0000 Sale	09/05/13	06/04/14	54 37	48 68		0 00	5 69	
66 0000 Sale	09/05/13	06/05/14	3,626 40	3,212 76		0 00	413 64	
3 0000 Sale	11/25/13	06/05/14	164 83	150 20		0 00	14 63	

NORMA D & THOMAS R HANLEY

## 2014 ANNUAL STATEMENT SUMMARY

1099-B

### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>AMERICAN INTERNATIONAL GROUP INC</b>								
	CUSIP Number 026874784							
6 0000 Sale	01/15/14	06/05/14	329 67	311 62		0 00	18 05	
53 0000 Sale	01/28/14	06/05/14	2,912 12	2,589 00		0 00	323 12	
<b>Security Subtotal</b>			<b>7,456 67</b>	<b>6,653 01</b>		<b>0 00</b>	<b>803 66</b>	
<b>BALL CORP COM</b>								
	CUSIP Number 058498106							
3 0000 Sale	02/05/14	05/20/14	180 02	155 06		0 00	24 96	
1 0000 Sale	02/05/14	05/22/14	60 02	51 69		0 00	8 33	
1 0000 Sale	02/05/14	06/04/14	60 90	51 69		0 00	9 21	
54 0000 Sale	02/05/14	10/03/14	3,397 33	2,791 14		0 00	606 19	
26 0000 Sale	02/07/14	10/03/14	1,635 75	1,369 38		0 00	266 37	
1 0000 Sale	02/26/14	10/03/14	62 92	54 82		0 00	8 10	
<b>Security Subtotal</b>			<b>5,396 94</b>	<b>4,473 78</b>		<b>0 00</b>	<b>923.16</b>	
<b>BIOGEN IDEC INC</b>								
	CUSIP Number 09062X103							
1 0000 Sale	01/21/14	03/28/14	294 23	310 12	W	15 89 (w)	0 00	
3 0000 Sale	01/21/14	03/28/14	882 68	930 37		0 00	(47 69)	
8 0000 Sale	01/21/14	04/03/14	2,418 29	2,480 99		0 00	(62 70)	
1 0000 Sale	01/21/14	04/03/14	302 29	359 66		0 00 (w)	(57 37)	
<b>Security Subtotal</b>			<b>3,897 49</b>	<b>4,081.14</b>		<b>15 89</b>	<b>(167 76)</b>	
<b>CONTINENTAL RESOURCES INC</b>								
	CUSIP Number 212015101							
2 0000 Sale	06/07/13	05/20/14	268 79	170 39		0 00	98 40	
1 0000 Sale	06/07/13	05/22/14	138 38	85 19		0 00	53 19	
1 0000 Sale	06/07/13	06/04/14	142 03	85 20		0 00	56 83	
<b>Security Subtotal</b>			<b>549 20</b>	<b>340 78</b>		<b>0 00</b>	<b>208 42</b>	
<b>CIGNA CORP</b>								
	CUSIP Number 125509109							
3 0000 Sale	06/18/13	05/20/14	263 69	208 92		0 00	54 77	
1 0000 Sale	06/18/13	05/22/14	89 09	69 64		0 00	19 45	
1 0000 Sale	06/18/13	06/04/14	90 26	69 64		0 00	20 62	
<b>Security Subtotal</b>			<b>443 04</b>	<b>348.20</b>		<b>0.00</b>	<b>94 84</b>	
<b>CUMMINS INC COM</b>								
	CUSIP Number 231021106							
2 0000 Sale	09/04/13	05/20/14	295 15	252 94		0 00	42 21	
1 0000 Sale	09/04/13	05/22/14	148 75	126 47		0 00	22 28	
1 0000 Sale	09/04/13	06/04/14	153 37	126 47		0 00	26 90	

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## 2014 ANNUAL STATEMENT SUMMARY

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### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>CUMMINS INC COM</b>								
	CUSIP Number 231021106							
1 0000 Sale	11/25/13	10/07/14	129 89	130 77		0 00	(0 88)	
5 0000 Sale	02/07/14	10/07/14	649 48	663 96		0 00	(14 48)	
<b>Security Subtotal</b>			<b>1,376 64</b>	<b>1,300.61</b>		<b>0 00</b>	<b>76 03</b>	
<b>DISCOVER FINL SVCS</b>								
	CUSIP Number 254709108							
5 0000 Sale	04/03/14	05/20/14	281 09	297 40		0 00	(16 31)	
1 0000 Sale	04/03/14	05/22/14	57 13	59 48		0 00	(2 35)	
1 0000 Sale	04/03/14	06/04/14	59 25	59 48		0 00	(0 23)	
<b>Security Subtotal</b>			<b>397 47</b>	<b>416 36</b>		<b>0 00</b>	<b>(18 89)</b>	
<b>FORD MOTOR CO</b>								
	CUSIP Number 345370860							
335 0000 Sale	01/10/13	01/07/14	5,154 99	4,661 19		0 00	493 80	
1 0000 Sale	01/15/13	01/07/14	15 38	14 19		0 00	1 19	
24 0000 Sale	03/04/13	01/07/14	369 32	304 73		0 00	64 59	
13 0000 Sale	06/18/13	01/07/14	200 04	203 61		0 00	(3 57)	
6 0000 Sale	11/25/13	01/07/14	92 34	102 30		0 00	(9 96)	
<b>Security Subtotal</b>			<b>5,832 07</b>	<b>5,286 02</b>		<b>0 00</b>	<b>546 05</b>	
<b>GENERAC HLDGS INC</b>								
	CUSIP Number 368736104							
29 0000 Sale	07/01/13	01/24/14	1,316 15	1,130 91		0 00	185 24	
76 0000 Sale	07/02/13	01/24/14	3,449 23	2,971 41		0 00	477 82	
48 0000 Sale	09/20/13	01/24/14	2,178 47	2,069 02		0 00	109 45	
<b>Security Subtotal</b>			<b>6,943 85</b>	<b>6,171 34</b>		<b>0 00</b>	<b>772 51</b>	
<b>HELMERICH PAYNE INC</b>								
	CUSIP Number 423452101							
3 0000 Sale	02/05/14	05/20/14	314 30	255 09		0 00	59 21	
1 0000 Sale	02/05/14	05/22/14	106 63	85 03		0 00	21 60	
1 0000 Sale	02/05/14	06/04/14	108 67	85 03		0 00	23 64	
22 0000 Sale	02/05/14	10/30/14	1,866 22	1,870 69		0 00	(4 47)	
1 0000 Sale	02/05/14	11/13/14	78 02	85 03		0 00	(7 01)	
12 0000 Sale	02/07/14	11/13/14	936 36	1,047 14		0 00	(110 78)	
6 0000 Sale	04/03/14	11/13/14	468 17	646 42		0 00	(178 25)	
5 0000 Sale	04/14/14	11/13/14	390 15	536 79		0 00	(146 64)	
11 0000 Sale	08/29/14	11/13/14	858 34	1,153 00		0 00	(294 66)	
<b>Security Subtotal</b>			<b>5,126 86</b>	<b>5,764 22</b>		<b>0 00</b>	<b>(637 36)</b>	

NORMA D & THOMAS R HANLEY

### 2014 ANNUAL STATEMENT SUMMARY

#### 1099-B

#### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>INTERCEPT PHARMACEUTICAL INC</b>								
	CUSIP Number 45845P108							
9 0000 Sale	01/17/14	04/14/14	2,251 35	2,755 74		0 00	(504 39)	
1 0000 Sale	02/07/14	04/14/14	250 15	346 70		0 00	(96 55)	
<b>Security Subtotal</b>			<b>2,501 50</b>	<b>3,102 44</b>		<b>0 00</b>	<b>(600 94)</b>	
<b>LOCKHEED MARTIN CORP</b>								
	CUSIP Number 539830109							
33 0000 Sale	07/11/14	10/21/14	5,640 31	5,275 13		0 00	365 18	
9 0000 Sale	10/01/14	10/21/14	1,538 27	1,603 03		0 00	(64 76)	
<b>Security Subtotal</b>			<b>7,178 58</b>	<b>6,878 16</b>		<b>0 00</b>	<b>300 42</b>	
<b>LEAR CORP SHS</b>								
	CUSIP Number 521865204							
49 0000 Sale	07/25/14	10/14/14	3,910 06	4,864 87		0 00	(954 81)	
<b>LINKEDIN CORP CLASS A COMMON STOCK</b>								
	CUSIP Number 53578A108							
9 0000 Sale	07/01/13	01/06/14	1,843 25	1,648 43		0 00	194 82	
13 0000 Sale	07/01/13	01/27/14	2,665 31	2,381 06		0 00	284 25	
<b>Security Subtotal</b>			<b>4,508 56</b>	<b>4,029 49</b>		<b>0 00</b>	<b>479 07</b>	
<b>MYRIAD GENETICS INC</b>								
	CUSIP Number 62855J104							
8 0000 Sale	03/19/14	05/20/14	290 47	293 66	W	3 19 (w)	0 00	
2 0000 Sale	03/19/14	05/22/14	67 45	73 41	W	5 96 (w)	0 00	
2 0000 Sale	03/19/14	05/28/14	66 28	90 58		0 00 (v)	(24 30)	
74 0000 Sale	03/19/14	05/28/14	2,452 55	2,716 35		0 00	(263 80)	
8 0000 Sale	03/19/14	05/28/14	265 14	341 68		0 00 (v)	(76 54)	
37 0000 Sale	04/03/14	05/28/14	1,226 27	1,473 98		0 00	(247 71)	
15 0000 Sale	04/30/14	05/28/14	497 15	634 67		0 00	(137 52)	
<b>Security Subtotal</b>			<b>4,865 31</b>	<b>5,624 33</b>		<b>9 15</b>	<b>(749 87)</b>	
<b>NU SKIN ENTERPRS A \$ 001</b>								
	CUSIP Number 67018T105							
33 0000 Sale	04/10/13	01/16/14	2,974 10	1,613 87		0 00	1,360 23	
39 0000 Sale	04/10/13	01/17/14	3,100 36	1,907 30		0 00	1,193 06	
22 0000 Sale	05/02/13	01/17/14	1,748 93	1,210 14		0 00	538 79	
40 0000 Sale	06/06/14	07/18/14	2,497 14	3,091 61		0 00	(594 47)	
<b>Security Subtotal</b>			<b>10,320 53</b>	<b>7,822 92</b>		<b>0 00</b>	<b>2,497 61</b>	
<b>OCCIDENTAL PETE CORP CAL</b>								
	CUSIP Number 674599105							
47 0000 Sale	06/06/14	09/25/14	4,554 17	4,725 98		0 00	(171 81)	

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### 2014 ANNUAL STATEMENT SUMMARY

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#### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>PIONEER NATURAL RES CO</b>	<b>CUSIP Number</b> 723787107							
9 0000 Sale	05/03/13	04/30/14	1,740 17	1,168 75		0 00	571 42	
<b>PACIRA PHARMACEUTICALS I</b>	<b>CUSIP Number</b> 695127100							
2 0000 Sale	04/30/14	05/20/14	150 45	137 82		0 00	12 63	
1 0000 Sale	04/30/14	05/22/14	74 80	68 91		0 00	5 89	
1 0000 Sale	04/30/14	06/04/14	80 49	68 91		0 00	11 58	
<b>Security Subtotal</b>			<b>305.74</b>	<b>275 64</b>		<b>0 00</b>	<b>30 10</b>	
<b>QUESTCOR PHARMACEUTICALS</b>	<b>CUSIP Number</b> 74835Y101							
4 0000 Exchange	01/15/14	08/18/14	374 32	220 05		0 00	154 27	
<b>SWIFT TRANSPORTATION COMPANY INC</b>	<b>CUSIP Number</b> 87074U101							
61 0000 Sale	03/25/13	01/02/14	1,283 52	888 90		0 00	394 62	
4 0000 Sale	11/25/13	07/25/14	84 12	89 98		0 00	(5 86)	
<b>Security Subtotal</b>			<b>1,367 64</b>	<b>978 88</b>		<b>0 00</b>	<b>388 76</b>	
<b>SANTANDER CONSUMER USA HOLDINGS INC SHS</b>	<b>CUSIP Number</b> 80283M101							
234 0000 Sale	10/21/14	11/20/14	4,266 07	4,198 33		0 00	67 74	
85 0000 Sale	10/28/14	11/20/14	1,549 65	1,576 57		0 00	(26 92)	
<b>Security Subtotal</b>			<b>5,815 72</b>	<b>5,774 90</b>		<b>0 00</b>	<b>40 82</b>	
<b>TEAM HEALTH HOLDINGS INC</b>	<b>CUSIP Number</b> 87817A107							
1 0000 Sale	05/28/14	06/04/14	51 40	50 20		0 00	1 20	
70 0000 Sale	05/28/14	07/15/14	3,434 45	3,513 94		0 00	(79 49)	
<b>Security Subtotal</b>			<b>3,485 85</b>	<b>3,564 14</b>		<b>0 00</b>	<b>(78 29)</b>	
<b>TENNECO INC DEL</b>	<b>CUSIP Number</b> 880349105							
68 0000 Sale	11/25/13	02/05/14	3,726 13	3,892 22		0 00	(166 09)	
16 0000 Sale	12/26/13	02/05/14	876 74	910 74		0 00	(34 00)	
7 0000 Sale	01/15/14	02/05/14	383 58	398 74		0 00	(15 16)	
<b>Security Subtotal</b>			<b>4,986 45</b>	<b>5,201 70</b>		<b>0 00</b>	<b>(215 25)</b>	

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## 2014 ANNUAL STATEMENT SUMMARY

### 1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>UNITED RENTALS INC COM</b>								
	CUSIP Number 911363109							
2 0000 Sale	05/06/14	05/20/14	191 25	187 23		0 00	4 02	
1 0000 Sale	05/06/14	05/22/14	98 75	93 62		0 00	5 13	
1 0000 Sale	05/06/14	06/04/14	100 99	93 62		0 00	7 37	
<b>Security Subtotal</b>			<b>390 99</b>	<b>374 47</b>		<b>0 00</b>	<b>16 52</b>	
<b>UBIQUITI NETWORKS INC</b>								
	CUSIP Number 90347A100							
8 0000 Sale	10/11/13	05/20/14	263 51	298 29		0 00	(34 78)	
2 0000 Sale	10/11/13	05/22/14	67 81	74 57		0 00	(6 76)	
1 0000 Sale	10/11/13	06/04/14	34 42	37 29		0 00	(2 87)	
52 0000 Sale	11/14/13	11/07/14	1,473 52	2,264 42		0 00	(790 90)	
1 0000 Sale	11/25/13	11/07/14	28 33	38 66		0 00	(10 33)	
6 0000 Sale	12/26/13	11/07/14	170 02	273 87		0 00	(103 85)	
5 0000 Sale	01/15/14	11/07/14	141 68	224 23		0 00	(82 55)	
14 0000 Sale	04/03/14	11/07/14	396 74	604 90		0 00	(208 16)	
<b>Security Subtotal</b>			<b>2,576.03</b>	<b>3,816 23</b>		<b>0 00</b>	<b>(1,240.20)</b>	
<b>WADDELL &amp; REED FINL A</b>								
	CUSIP Number 930059100							
57 0000 Sale	10/30/13	07/11/14	3,362 98	3,559 45		0 00	(196 47)	
7 0000 Sale	01/03/14	07/11/14	412 99	463 22		0 00	(50 23)	
8 0000 Sale	01/15/14	07/11/14	471 99	544 64		0 00	(72 65)	
16 0000 Sale	04/03/14	07/11/14	944 00	1,191 88		0 00	(247 88)	
9 0000 Sale	04/14/14	07/11/14	531 01	597 78		0 00	(66 77)	
<b>Security Subtotal</b>			<b>5,722 97</b>	<b>6,356 97</b>		<b>0 00</b>	<b>(634 00)</b>	
<b>WYNN RESORTS LTD</b>								
	CUSIP Number 983134107							
1 0000 Sale	01/15/14	05/20/14	200 52	210 06		0 00	(9 54)	
22 0000 Sale	01/15/14	07/15/14	4,446 09	4,621 42		0 00	(175 33)	
2 0000 Sale	02/07/14	07/15/14	404 20	439 95		0 00	(35 75)	
<b>Security Subtotal</b>			<b>5,050 81</b>	<b>5,271 43</b>		<b>0 00</b>	<b>(220 62)</b>	
<b>Covered Short Term Capital Gains and Losses Subtotal</b>			<b>108,550 90</b>	<b>106,208.78</b>		<b>25 04</b>	<b>2,367 16</b>	
<b>NET SHORT TERM CAPITAL GAINS AND LOSSES</b>			<b>108,550 90</b>	<b>106,208.78</b>		<b>25.04</b>	<b>2,367.16</b>	

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## 2014 ANNUAL STATEMENT SUMMARY

### 1099-B

### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2</b>								
<b>COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)</b>								
<b>ASBURY AUTOMOTIVE GROUP</b>								
	CUSIP Number 043436104							
6 0000 Sale	08/03/12	01/15/14	293 94	161 24		0 00	132 70	
4 0000 Sale	08/03/12	05/20/14	250 59	107 49		0 00	143 10	
1 0000 Sale	08/03/12	05/22/14	63 84	26 87		0 00	36 97	
1 0000 Sale	08/03/12	06/04/14	65 84	26 87		0 00	38 97	
<b>Security Subtotal</b>			<b>674 21</b>	<b>322 47</b>		<b>0 00</b>	<b>351 74</b>	
<b>BLACKROCK INC</b>								
	CUSIP Number 09247X101							
1 0000 Sale	11/28/12	05/20/14	295 46	197 93		0 00	97 53	
1 0000 Sale	11/28/12	05/22/14	301 37	197 93		0 00	103 44	
<b>Security Subtotal</b>			<b>596 83</b>	<b>395 86</b>		<b>0 00</b>	<b>200 97</b>	
<b>BOEING COMPANY</b>								
	CUSIP Number 097023105							
2 0000 Sale	03/05/13	05/20/14	258 95	157 56		0 00	101 39	
1 0000 Sale	03/05/13	05/22/14	131 98	78 78		0 00	53 20	
1 0000 Sale	03/05/13	06/04/14	135 34	78 78		0 00	56 56	
45 0000 Sale	03/05/13	07/25/14	5,522 56	3,544 99		0 00	1,977 57	
16 0000 Sale	03/07/13	07/25/14	1,963 58	1,308 04		0 00	655 54	
5 0000 Sale	04/05/13	07/25/14	613 62	429 89		0 00	183 73	
<b>Security Subtotal</b>			<b>8,626 03</b>	<b>5,598 04</b>		<b>0 00</b>	<b>3,027 99</b>	
<b>CONTINENTAL RESOURCES INC</b>								
	CUSIP Number 212015101							
42 0000 Sale	06/07/13	09/22/14	2,799 56	1,789 10		0 00	1,010 46	
46 0000 Sale	06/07/13	11/28/14	1,879 11	1,959 48		0 00	(80 37)	
28 0000 Sale	06/18/13	11/28/14	1,143 81	1,246 79		0 00	(102 98)	
<b>Security Subtotal</b>			<b>5,822 48</b>	<b>4,995 37</b>		<b>0 00</b>	<b>827 11</b>	
<b>CUMMINS INC COM</b>								
	CUSIP Number 231021106							
31 0000 Sale	09/04/13	10/07/14	4,026 70	3,920 57		0 00	106 13	
<b>DEERE CO</b>								
	CUSIP Number 244199105							
4 0000 Sale	10/24/11	08/18/14	343 93	297 88		0 00	46 05	
2 0000 Sale	08/17/12	08/18/14	171 97	152 45		0 00	19 52	
<b>Security Subtotal</b>			<b>515 90</b>	<b>450 33</b>		<b>0 00</b>	<b>65 57</b>	

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## 2014 ANNUAL STATEMENT SUMMARY

### 1099-B

### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>GOOGLE INC CL A</b>	<b>CUSIP Number</b>	<b>38259P508</b>						
1 0000 Sale	02/27/13	05/20/14	538 91	402 82		0 00	136 09	
<b>GOOGLE INC SHS CL C</b>	<b>CUSIP Number</b>	<b>38259P706</b>						
4 0000 Sale	02/27/13	05/06/14	2,078 02	1,606 13		0 00	471 89	
1 0000 Sale	03/01/13	05/06/14	519 51	402 03		0 00	117 48	
<b>Security Subtotal</b>			<b>2,597 53</b>	<b>2,008 16</b>		<b>0 00</b>	<b>589 37</b>	
<b>GILEAD SCIENCES INC COM</b>	<b>CUSIP Number</b>	<b>375558103</b>						
4 0000 Sale	12/05/12	05/20/14	325 31	149 76		0 00	175 55	
1 0000 Sale	12/05/12	05/22/14	83 35	37 44		0 00	45 91	
1 0000 Sale	12/05/12	06/04/14	82 25	37 44		0 00	44 81	
18 0000 Sale	12/05/12	12/22/14	1,673 60	673 91		0 00	999 69	
14 0000 Sale	12/18/12	12/22/14	1,301 69	531 27		0 00	770 42	
42 0000 Sale	01/02/13	12/22/14	3,905 07	1,560 64		0 00	2,344 43	
<b>Security Subtotal</b>			<b>7,371 27</b>	<b>2,990 46</b>		<b>0 00</b>	<b>4,380 81</b>	
<b>LITHIA MOTORS INC CL A</b>	<b>CUSIP Number</b>	<b>536797103</b>						
3 0000 Sale	08/03/12	05/20/14	219 80	86 82		0 00	132 98	
1 0000 Sale	08/03/12	05/22/14	75 94	28 94		0 00	47 00	
1 0000 Sale	08/03/12	06/04/14	78 25	28 94		0 00	49 31	
29 0000 Sale	08/03/12	10/13/14	1,936 08	839 31		0 00	1,096 77	
29 0000 Sale	09/07/12	10/13/14	1,936 08	894 64		0 00	1,041 44	
<b>Security Subtotal</b>			<b>4,246 15</b>	<b>1,878 65</b>		<b>0 00</b>	<b>2,367 50</b>	
<b>THE PRICELINE GROUP INC</b>	<b>CUSIP Number</b>	<b>741503403</b>						
1 0000 Sale	03/01/13	05/20/14	1,149 72	695 66		0 00	454 06	
<b>PIONEER NATURAL RES CO</b>	<b>CUSIP Number</b>	<b>723787107</b>						
1 0000 Sale	05/03/13	05/20/14	200 99	129 86		0 00	71 13	
1 0000 Sale	05/03/13	05/22/14	204 38	129 86		0 00	74 52	
<b>Security Subtotal</b>			<b>405 37</b>	<b>259 72</b>		<b>0 00</b>	<b>145 65</b>	
<b>PACKAGING CORP AMERICA</b>	<b>CUSIP Number</b>	<b>695156109</b>						
4 0000 Sale	03/20/13	05/20/14	268 43	173 12		0 00	95 31	
1 0000 Sale	03/20/13	05/22/14	67 35	43 28		0 00	24 07	
1 0000 Sale	03/20/13	06/04/14	70 03	43 28		0 00	26 75	
<b>Security Subtotal</b>			<b>405 81</b>	<b>259 68</b>		<b>0 00</b>	<b>146 13</b>	

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## 2014 ANNUAL STATEMENT SUMMARY

### 1099-B

### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>QUESTCOR PHARMACEUTICALS</b>								
CUSIP Number 74835Y101								
3 0000 Sale	04/19/13	05/20/14	276 53	84 36		0 00	192 17	
29 0000 Sale	04/19/13	05/21/14	2,680 31	815 50		0 00	1,864 81	
1 0000 Sale	04/19/13	05/22/14	92 01	28 12		0 00	63 89	
1 0000 Sale	04/19/13	06/04/14	90 15	28 12		0 00	62 03	
16 0000 Exchange	04/19/13	08/18/14	1,497 27	449 93		0 00	1,047 34	
25 0000 Exchange	04/24/13	08/18/14	2,339 48	745 00		0 00	1,594 48	
21 0000 Exchange	05/01/13	08/18/14	1,965 17	648 53		0 00	1,316 64	
<b>Security Subtotal</b>			<b>8,940 92</b>	<b>2,799 56</b>		<b>0 00</b>	<b>6,141 36</b>	
<b>SECTOR SPDR FINANCIAL</b>								
CUSIP Number 81369Y605								
138 0000 Sale	02/12/13	03/19/14	3,055 14	2,459 05		0 00	596 09	
122 0000 Sale	02/12/13	04/02/14	2,740 06	2,173 94		0 00	566 12	
84 0000 Sale	02/19/13	04/02/14	1,886 60	1,505 99		0 00	380 61	
<b>Security Subtotal</b>			<b>7,681 80</b>	<b>6,138 98</b>		<b>0 00</b>	<b>1,542 82</b>	
<b>SWIFT TRANSPORTATION COMPANY INC</b>								
CUSIP Number 87074U101								
11 0000 Sale	03/25/13	05/20/14	244 63	160 29		0 00	84 34	
5 0000 Sale	03/25/13	05/22/14	115 54	72 86		0 00	42 68	
3 0000 Sale	03/25/13	06/04/14	73 31	43 72		0 00	29 59	
49 0000 Sale	03/25/13	07/25/14	1,030 44	714 04		0 00	316 40	
120 0000 Sale	05/01/13	07/25/14	2,523 55	1,696 75		0 00	826 80	
<b>Security Subtotal</b>			<b>3,987 47</b>	<b>2,687 66</b>		<b>0 00</b>	<b>1,299 81</b>	
<b>TRACTOR SUPPLY CO</b>								
CUSIP Number 892356106								
2 0000 Sale	10/04/12	07/11/14	119 66	99 03		0 00	20 63	
6 0000 Sale	03/04/13	07/11/14	358 99	310 17		0 00	48 82	
2 0000 Sale	03/15/13	07/11/14	119 67	101 98		0 00	17 69	
<b>Security Subtotal</b>			<b>598 32</b>	<b>511 18</b>		<b>0 00</b>	<b>87 14</b>	
<b>UBIQUITI NETWORKS INC</b>								
CUSIP Number 90347A100								
47 0000 Sale	10/11/13	11/07/14	1,331 84	1,752 42		0 00	(420 58)	
<b>UNION PACIFIC CORP</b>								
CUSIP Number 907818108								
1 0000 Sale	12/17/12	05/20/14	193 83	125 52		0 00	68 31	
1 0000 Sale	12/17/12	05/22/14	195 48	125 52		0 00	69 96	
<b>Security Subtotal</b>			<b>389 31</b>	<b>251 04</b>		<b>0 00</b>	<b>138 27</b>	

NORMA D & THOMAS R HANLEY

### 2014 ANNUAL STATEMENT SUMMARY

#### 1099-B

#### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
<b>VIACOM INC NEW CL B</b>	<b>CUSIP Number</b>	<b>92553P201</b>						
3 0000 Sale	04/19/13	05/20/14	249 59	198 60		0 00	50 99	
1 0000 Sale	04/19/13	05/22/14	84 81	66 20		0 00	18 61	
1 0000 Sale	04/19/13	06/04/14	86 76	66 20		0 00	20 56	
19 0000 Sale	04/19/13	10/16/14	1,296 49	1,257 80		0 00	38 69	
<b>Security Subtotal</b>			<b>1,717 65</b>	<b>1,588 80</b>		<b>0 00</b>	<b>128 85</b>	
<b>VISA INC CL A SHRS</b>	<b>CUSIP Number</b>	<b>92826C839</b>						
2 0000 Sale	12/31/12	05/20/14	415 05	298 82		0 00	116 23	
1 0000 Sale	12/31/12	05/22/14	209 91	149 41		0 00	60 50	
<b>Security Subtotal</b>			<b>624 96</b>	<b>448 23</b>		<b>0 00</b>	<b>176 73</b>	

Covered Long Term Capital Gains and Losses Subtotal 62,249.18 40,355 66 0.00 21,893.52

#### NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)

<b>APPLE INC</b>	<b>CUSIP Number</b>	<b>037833100</b>						
1 0000 Sale	06/01/10	05/20/14	602 85	265 49		0 00	337 36	
<b>DEERE CO</b>	<b>CUSIP Number</b>	<b>244199105</b>						
3 0000 Sale	06/01/10	05/20/14	269 42	175 28		0 00	94 14	
1 0000 Sale	06/01/10	05/22/14	90 16	58 43		0 00	31 73	
1 0000 Sale	06/01/10	06/04/14	90 87	58 43		0 00	32 44	
29 0000 Sale	06/01/10	08/18/14	2,493 47	1,694 41		0 00	799 06	
27 0000 Sale	08/02/10	08/18/14	2,321 50	1,814 01		0 00	507 49	
<b>Security Subtotal</b>			<b>5,265 42</b>	<b>3,800.56</b>		<b>0 00</b>	<b>1,464.86</b>	
<b>TRACTOR SUPPLY CO</b>	<b>CUSIP Number</b>	<b>892356106</b>						
35 0000 Sale	08/09/10	03/26/14	2,466 84	631 09		0 00	1,835 75	
4 0000 Sale	08/09/10	05/20/14	253 11	72 13		0 00	180 98	
1 0000 Sale	08/09/10	05/22/14	63 71	18 03		0 00	45 68	
1 0000 Sale	08/09/10	06/04/14	65 04	18 03		0 00	47 01	
69 0000 Sale	08/09/10	07/11/14	4,128 39	1,244 16		0 00	2,884 23	
<b>Security Subtotal</b>			<b>6,977 09</b>	<b>1,983 44</b>		<b>0 00</b>	<b>4,993.65</b>	

Noncovered Long Term Capital Gains and Losses Subtotal 12,845.36 6,049 49 0.00 6,795 87



Account No  
655-04086

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NORMA D & THOMAS R HANLEY

### 2014 ANNUAL STATEMENT SUMMARY

1099-B

#### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
NET LONG TERM CAPITAL GAINS AND LOSSES			75,094.54	46,405.15		0.00	28,689.39	
<b>OTHER TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code X)</b>								
MALLINCKRODT PLC SHS Cash/Lieu	CUSIP Number 08/29/14	G5785G107 08/29/14	16 39	N/A		0 00	N/A	
Other Transactions Subtotal			16 39			0.00		

SALES PROCEEDS AND NET GAINS AND LOSSES 183,661.83 31,056.55

COVERED SHORT TERM GAINS/LOSSES 2,367.16  
COVERED LONG TERM GAINS/LOSSES 21,893.52  
NONCOVERED LONG TERM GAINS/LOSSES 6,795.87  
OTHER TRANSACTIONS N/C

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Adjustments column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

N/A Cost basis information is not available. As a result, gain/loss will not be calculated (N/C).

# EMA<sup>SM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
85	ALBEMARLE CORP COM	09/29/11	3,566 28	5,111 05	1,544 77	94 00
114	ALBEMARLE CORP COM	09/30/11	4,680 68	6,854 82	2,174 14	126 00
22	ALBEMARLE CORP COM	10/03/11	875 88	1,322 86	446 98	25 00
388	ALTRIA GROUP INC	03/27/09	6,452 45	19,116 76	12,664 31	808 00
79	ALASKA AIR GROUP INC COM	11/25/13	3,076 62	4,721 04	1,644 42	40 00
269	ALASKA AIR GROUP INC COM	11/25/13	10,476 10	16,075 44	5,599 34	135 00
16	ALASKA AIR GROUP INC COM	01/02/14	586 67	956 16	369 49	8 00
12	ALASKA AIR GROUP INC COM	01/15/14	472 14	717 12	244 98	6 00
10	ALASKA AIR GROUP INC COM	04/03/14	473 57	597 60	124 03	5 00
14	ALASKA AIR GROUP INC COM	04/30/14	658 82	836 64	177 82	7 00
9	ALASKA AIR GROUP INC COM	10/27/14	465 87	537 84	71 97	5 00
9	ALASKA AIR GROUP INC COM	10/28/14	476 13	537 84	61 71	5 00
26	ADVANCE AUTO PARTS INC	02/07/14	3,276 91	4,141 28	864 37	7 00
84	ADVANCE AUTO PARTS INC	02/07/14	10,586 92	13,379 52	2,792 60	21 00
6	ADVANCE AUTO PARTS INC	04/14/14	702 80	955 68	252 88	2 00
15	ADVANCE AUTO PARTS INC	10/20/14	2,092 69	2,389 20	296 51	4 00
26	ASBURY AUTOMOTIVE GROUP	08/03/12	698 70	1,973 92	1,275 22	

PLEASE SEE REVERSE SIDE

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
201	ASBURY AUTOMOTIVE GROUP	08/03/12	5,401 43	15,259 92	9,858 49	
57	ASBURY AUTOMOTIVE GROUP	08/13/12	1,523 79	4,327 44	2,803 65	
5	ASBURY AUTOMOTIVE GROUP	09/07/12	149 01	379 60	230 59	
1	ASBURY AUTOMOTIVE GROUP	10/04/12	29 26	75 92	46 66	
26	ASBURY AUTOMOTIVE GROUP	04/01/13	948 62	1,973 92	1,025 30	
91	APPLE INC	06/01/10	3,451 31	10,044 58	6,593 27	172 00
151	APPLE INC	08/22/11	7,787 29	16,667 38	8,880 09	284 00
25	BLACKROCK INC	11/28/12	4,948 26	8,939 00	3,990 74	193 00
60	BLACKROCK INC	11/28/12	11,875 82	21,453 60	9,577 78	464 00
3	BLACKROCK INC	12/05/12	578 05	1,072 68	494 63	24 00
9	BLACKROCK INC	12/10/12	1,759 32	3,218 04	1,458 72	70 00
16	BLACKROCK INC	12/11/12	3,170 52	5,720 96	2,550 44	124 00
13	BLACKROCK INC	12/12/12	2,605 54	4,648 28	2,042 74	101 00
8	BLACKROCK INC	12/13/12	1,604 92	2,860 48	1,255 56	62 00
2	BLACKROCK INC	12/18/12	413 68	715 12	301 44	16 00
199	BRISTOL-MYERS SQUIBB CO	02/19/10	4,967 80	11,746 97	6,779 17	295 00
12	CARNIVAL CORP PAIRED SHS	07/23/13	441 02	543 96	102 94	12 00

PLEASE SEE REVERSE SIDE

# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
126	CARNIVAL CORP PAIRED SHS	07/24/13	4,652 42	5,711 58	1,059 16	126 00
119	CARNIVAL CORP PAIRED SHS	07/25/13	4,392 98	5,394 27	1,001 29	119 00
56	CARNIVAL CORP PAIRED SHS	07/26/13	2,083 69	2,538 48	454 79	56 00
97	CORRECTIONS CORP OF AMER	04/26/13	3,441 30	3,524 98	83 68	198 00
58	CORRECTIONS CORP OF AMER	04/29/13	2,067 88	2,107 72	39 84	119 00
54	CORRECTIONS CORP OF AMER	04/30/13	1,934 68	1,962 36	27 68	111 00
97	CORRECTIONS CORP OF AMER	05/09/13	3,676 07	3,524 98	(151 09)	198 00
51	CHEVRON CORP	01/04/08	4,766 18	5,721 18	955 00	219 00
37	CHEVRON CORP	03/27/09	2,558 15	4,150 66	1,592 51	159 00
177	CONOCOPHILLIPS	03/27/09	5,539 57	12,223 62	6,684 05	517 00
8	CIGNA CORP	06/18/13	557 14	823 28	266 14	1 00
39	CIGNA CORP	06/18/13	2,716 01	4,013 49	1,297 48	2 00
82	CIGNA CORP	06/25/13	5,823 64	8,438 62	2,614 98	4 00
54	CIGNA CORP	07/01/13	3,934 43	5,557 14	1,622 71	3 00
18	CIGNA CORP	07/01/13	1,311 48	1,852 38	540 90	1 00
1	CIGNA CORP	11/25/13	87 93	102 91	14 98	1 00
19	CIGNA CORP	04/14/14	1,469 06	1,955 29	486 23	1 00

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# **EMA** Fiscal Statement

NORMA D & THOMAS R HANLEY

## **CURRENT PORTFOLIO SUMMARY**

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
175	CINN FINCL CRP OHIO	02/16/12	6,193 74	9,070 25	2,876 51	308 00
52	CINN FINCL CRP OHIO	02/17/12	1,856 13	2,695 16	839 03	92 00
392	CISCO SYSTEMS INC COM	07/22/11	6,442 92	10,903 48	4,460 56	298 00
215	COCA COLA COM	03/27/09	4,820 24	9,077 30	4,257 06	263 00
716	CORNING INC	05/17/13	11,367 93	16,417 88	5,049 95	344 00
53	DOMINION RES INC NEW VA	02/19/10	2,073 35	4,075 70	2,002 35	128 00
3	DOMINION RES INC NEW VA	03/12/12	153 86	230 70	76 84	8 00
111	DOMINION RES INC NEW VA	10/02/12	5,889 98	8,535 90	2,645 92	267 00
82	DELTA AIR LINES INC	07/15/14	3,121 12	4,033 58	912 46	30 00
357	DELTA AIR LINES INC	07/15/14	13,588 28	17,560 83	3,972 55	129 00
20	DELTA AIR LINES INC	07/25/14	763 11	983 80	220 69	8 00
22	DELTA AIR LINES INC	08/18/14	867 13	1,082 18	215 05	8 00
58	DELTA AIR LINES INC	10/20/14	2,092 62	2,853 02	760 40	21 00
16	DELTA AIR LINES INC	10/28/14	637 42	787 04	149 62	6 00
60	DISCOVER FINL SVCS	04/03/14	3,568 82	3,929 40	360 58	58 00
214	DISCOVER FINL SVCS	04/03/14	12,728 79	14,014 86	1,286 07	206 00
26	DISCOVER FINL SVCS	04/14/14	1,436 76	1,702 74	265 98	25 00

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
32	DISCOVER FINL SVCS	10/21/14	2,058 60	2,095 68	37 08	31 00
5	DISCOVER FINL SVCS	12/23/14	331 68	327 45	(4 23)	5 00
98	DUKE ENERGY CORP NEW	03/27/09	4,204 71	8,186 92	3,982 21	312 00
166	FEDERATED INVESTRS B	02/14/12	2,991 98	5,466 38	2,474 40	166 00
280	FEDERATED INVESTRS B	02/15/12	5,047 06	9,220 40	4,173 34	280 00
51	GENL DYNAMICS CORP COM	02/20/13	3,405 88	7,018 62	3,612 74	127 00
10	GENL DYNAMICS CORP COM	02/21/13	663 98	1,376 20	712 22	25 00
3	GOOGLE INC CL A	02/27/13	1,208 45	1,591 98	383 53	
9	GOOGLE INC CL A	02/27/13	3,625 38	4,775 94	1,150 56	
1	GOOGLE INC CL A	03/01/13	403 32	530 66	127 34	
65	GILEAD SCIENCES INC COM	12/05/12	2,433 56	6,126 90	3,693 34	
66	GILEAD SCIENCES INC COM	12/18/12	2,504 58	6,221 16	3,716 58	
7	HUNTINGTON INGALLS INDS INC	11/28/14	764 54	787 22	22 68	12 00
42	HUNTINGTON INGALLS INDS INC	11/28/14	4,587 24	4,723 32	136 08	68 00
10	HUNTINGTON INGALLS INDS INC	12/05/14	1,096 80	1,124 60	27 80	16 00

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# **EMA** Fiscal Statement

NORMA D & THOMAS R HANLEY

## **CURRENT PORTFOLIO SUMMARY**

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
17	HUNTINGTON INGALLS INDS INC	12/09/14	1,825 70	1,911 82	86 12	28 00
11	HUNTINGTON INGALLS INDS INC	12/23/14	1,269 58	1,237 06	(32 52)	18 00
248	HASBRO INC COM	02/13/12	9,155 42	13,637 52	4,482 10	427 00
70	HASBRO INC COM	02/14/12	2,562 46	3,849 30	1,286 84	121 00
10	ILLUMINA INC COM	10/16/14	1,647 45	1,845 80	198 35	
57	ILLUMINA INC COM	10/16/14	9,390 45	10,521 06	1,130 61	
21	ILLUMINA INC COM	11/18/14	4,015 54	3,876 18	(139 36)	
543	INTEL CORP	03/27/09	8,400 16	19,705 47	11,305 31	489 00
39	INTL BUSINESS MACHINES CORP IBM	03/27/09	3,624 25	6,257 16	2,632 91	172 00
578	KINDER MORGAN INC DEL	03/27/09	6,599 39	24,455 18	17,855 79	1,018 00
106	KROGER CO	07/11/14	5,190 74	6,806 26	1,615 52	79 00
255	KROGER CO	07/11/14	12,487 15	16,373 55	3,886 40	189 00
36	KROGER CO	10/20/14	1,900 42	2,311 56	411 14	27 00
17	KROGER CO	12/23/14	1,088 02	1,091 57	3 55	13 00
11	LITHIA MOTORS INC CL A	09/07/12	339 34	953 59	614 25	8 00

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
83	LITHIA MOTORS INC CL A	09/07/12	2,560 52	7,195 27	4,634 75	54 00
2	LITHIA MOTORS INC CL A	10/04/12	71 49	173 38	101 89	2 00
1	LITHIA MOTORS INC CL A	11/05/12	34 81	86 69	51 88	1 00
33	LITHIA MOTORS INC CL A	02/20/13	1,364 93	2,860 77	1,495 84	22 00
309	LORILLARD INC	06/02/09	7,156 41	19,448 46	12,292 05	761 00
153	ELI LILLY & CO	01/15/14	8,174 97	10,555 47	2,380 50	306 00
51	ELI LILLY & CO	01/16/14	2,790 09	3,518 49	728 40	102 00
237	LOWE'S COMPANIES INC	11/01/11	4,921 68	16,305 60	11,383 92	219 00
59	MALLINCKRODT PLC SHS	08/19/14	4,181 92	5,842 77	1,660 85	
99	MALLINCKRODT PLC SHS	10/31/14		9,803 97		
212	MICROSOFT CORP	03/27/09	3,881 70	9,847 40	5,965 70	263 00
117	MICRON TECHNOLOGY INC	07/15/14	3,955 72	4,096 17	140 45	
436	MICRON TECHNOLOGY INC	07/15/14	14,740 99	15,264 36	523 37	
29	MICRON TECHNOLOGY INC	07/25/14	968 91	1,015 29	46 38	
60	MICRON TECHNOLOGY INC	10/20/14	1,780 67	2,100 60	319 93	
36	MICRON TECHNOLOGY INC	10/28/14	1,173 06	1,260 36	87 30	
6	MICRON TECHNOLOGY INC	12/23/14	210 09	210 06	(0 03)	

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
29	NEWMARKET CORP	11/04/09	2,924 87	11,702 37	8,777 50	163 00
3	THE PRICELINE GROUP INC	03/01/13	2,086 96	3,420 63	1,333 67	
10	THE PRICELINE GROUP INC	03/01/13	6,956 54	11,402 10	4,445 56	
1	THE PRICELINE GROUP INC	03/12/13	719 12	1,140 21	421 09	
1	THE PRICELINE GROUP INC	03/22/13	696 43	1,140 21	443 78	
9	PIONEER NATURAL RES CO	05/03/13	1,168 75	1,339 65	170 90	1 00
51	PIONEER NATURAL RES CO	05/03/13	6,622 91	7,591 35	968 44	5 00
13	PIONEER NATURAL RES CO	05/09/13	1,801 54	1,935 05	133 51	2 00
1	PIONEER NATURAL RES CO	05/09/13	138 58	148 85	10 27	1 00
9	PIONEER NATURAL RES CO	06/18/13	1,409 54	1,339 65	(69 89)	1 00
43	PACKAGING CORP AMERICA	03/20/13	1,861 01	3,356 15	1,495 14	69 00
44	PACKAGING CORP AMERICA	03/27/13	1,953 79	3,434 20	1,480 41	71 00
168	PACKAGING CORP AMERICA	03/27/13	7,459 92	13,112 40	5,652 48	269 00
2	PACKAGING CORP AMERICA	04/01/13	89 07	156 10	67 03	4 00
7	PACKAGING CORP AMERICA	04/05/13	309 52	546 35	236 83	12 00
245	PAYCHEX INC	01/10/11	7,792 79	11,311 65	3,518 86	373 00
73	PHILIP MORRIS INTL INC	03/27/09	2,756 29	5,945 85	3,189 56	292 00

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# EMA<sup>SM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
39	PACIRA PHARMACEUTICALS I	04/30/14	2,687 51	3,457 74	770 23	
111	PACIRA PHARMACEUTICALS I	04/30/14	7,649 07	9,841 26	2,192 19	
12	PACIRA PHARMACEUTICALS I	05/28/14	943 45	1,063 92	120 47	
2	PACIRA PHARMACEUTICALS I	10/27/14	216 21	177 32	(38 89)	
4	PACIRA PHARMACEUTICALS I	11/28/14	387 44	354 64	(32 80)	
393	PFIZER INC	03/27/09	5,517 52	12,241 95	6,724 43	441 00
38	PFIZER INC	04/01/09	526 66	1,183 70	657 04	43 00
66	PFIZER INC	02/19/10	1,192 64	2,055 90	863 26	74 00
151	REYNOLDS AMERICAN INC	12/20/10	4,930 05	9,704 77	4,774 72	405 00
71	SKYWORKS SOLUTIONS INC	10/16/14	3,620 45	5,162 41	1,541 96	37 00
240	SKYWORKS SOLUTIONS INC	10/16/14	12,238 13	17,450 40	5,212 27	125 00
35	SKYWORKS SOLUTIONS INC	10/28/14	1,951 14	2,544 85	593 71	19 00
26	SKYWORKS SOLUTIONS INC	11/07/14	1,553 12	1,890 46	337 34	14 00
132	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	4,878 60	5,681 28	802 68	
366	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	13,527 03	15,752 64	2,225 61	

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
Equities						
24	SPIRIT AEROSYSTEMS HLDGS INC	08/29/14	920 24	1,032 96	112 72	
22	SPIRIT AEROSYSTEMS HLDGS INC	10/20/14	809 42	946 88	137 46	
25	SPIRIT AEROSYSTEMS HLDGS INC	10/28/14	971 26	1,076 00	104 74	
9	SPIRIT AEROSYSTEMS HLDGS INC	12/23/14	389 87	387 36	(2 51)	
227	WINDSTREAM HOLDINGS INC SHS	06/30/14	2,256 27	1,870 48	(385 79)	227 00
344	WINDSTREAM HOLDINGS INC SHS	07/01/14	3,421 97	2,834 56	(587 41)	344 00
483	WINDSTREAM HOLDINGS INC SHS	07/02/14	4,784 02	3,979 92	(804 10)	483 00
152	WINDSTREAM HOLDINGS INC SHS	07/08/14	1,519 44	1,252 48	(266 96)	152 00
91	WINDSTREAM HOLDINGS INC SHS	07/09/14	908 64	749 84	(158 80)	91 00
78	TRACTOR SUPPLY CO	11/20/14	5,900 03	6,147 96	247 93	50 00
171	TRACTOR SUPPLY CO	11/20/14	12,934 68	13,478 22	543 54	110 00
13	TRACTOR SUPPLY CO	11/28/14	1,003 85	1,024 66	20 81	9 00

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
65	THE MOSAIC COMPANY COMMON SHARES	01/28/14	2,929 53	2,967 25	37 72	65 00
81	THE MOSAIC COMPANY COMMON SHARES	01/29/14	3,659 85	3,697 65	37 80	81 00
57	THE MOSAIC COMPANY COMMON SHARES	01/30/14	2,534 62	2,602 05	67 43	57 00
18	TUBEMOGUL INC	12/09/14	339 49	405 90	66 41	
105	TUBEMOGUL INC	12/09/14	1,980 33	2,367 75	387 42	
22	TUBEMOGUL INC	12/10/14	424 36	496 10	71 74	
123	TUBEMOGUL INC	12/10/14	2,372 58	2,773 65	401 07	
34	TUBEMOGUL INC	12/15/14	672 76	766 70	93 94	
22	TUBEMOGUL INC	12/15/14	435 32	496 10	60 78	
34	TUBEMOGUL INC	12/16/14	682 92	766 70	83 78	
20	TUBEMOGUL INC	12/23/14	465 92	451 00	(14 92)	
23	UNITED RENTALS INC COM	05/06/14	2,153 20	2,346 23	193 03	
72	UNITED RENTALS INC COM	05/06/14	6,740 44	7,344 72	604 28	
10	UNITED RENTALS INC COM	07/25/14	1,126 93	1,020 10	(106 83)	
70	UNION PACIFIC CORP	12/17/12	4,393 03	8,339 10	3,946 07	140 00

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# EMA<sup>TM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Equities</b>						
171	UNION PACIFIC CORP	12/17/12	10,731 57	20,371 23	9,639 66	342 00
6	UNION PACIFIC CORP	12/18/12	381 47	714 78	333 31	12 00
14	UNION PACIFIC CORP	01/07/13	912 68	1,667 82	755 14	28 00
180	VERIZON COMMUNICATNS COM	03/27/09	5,128 96	8,420 40	3,291 44	396 00
21	VIACOM INC NEW CL B	04/19/13	1,390 21	1,580 25	190 04	28 00
85	VIACOM INC NEW CL B	04/19/13	5,627 01	6,396 25	769 24	113 00
27	VIACOM INC NEW CL B	05/17/13	1,878 58	2,031 75	153 17	36 00
37	VISA INC CL A SHRS	12/31/12	5,528 23	9,701 40	4,173 17	72 00
60	VISA INC CL A SHRS	12/31/12	8,964 69	15,732 00	6,767 31	116 00
384	WELLS FARGO & CO NEW DEL	11/07/08	10,570 91	21,050 88	10,479 97	538 00
<b>Total Equities</b>			<b>619,093.40</b>	<b>978,296 09</b>	<b>349,398 72</b>	<b>18,421.00</b>

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# EMA<sup>SM</sup> Fiscal Statement

NORMA D & THOMAS R HANLEY

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/14	Unrealized Gain or (Loss)	Est Annual Income
<b>Mutual Funds/Closed End Funds/UIT</b>						
1,260	FIRST EAGLE GLOBAL CLASS I	02/18/11	60,129 02	66,326 40	6,197 38	
2,213	GOLDMAN SACHS INCOME BUILDER FD INSTL	12/17/13	50,080 19	50,721 96	641 77	2,364 00
5,465	JOHN HANCOCK GLOBAL ABS RTRN STRGYS FUND CL I	02/18/14	60,825 45	59,732 45	(1,093 00)	3,159 00
7,046	CALAMOS MARKET NEUTRAL INCOME FD CL I	01/30/13	89,413 73	90,329 72	915 99	1,093 00
10,157	BLACKROCK STRATEGIC INCOME OPPRTNTS PTF INST	07/15/13	101,571 07	102,687 27	1,116 20	2,489 00
10,987	BLACKROCK MULTI ASSET INCOME PORTFOLIO INSTL	10/23/12	118,439 83	123,493 88	5,054 05	6,284 00
7,011	JP MORGAN STRATEGIC INCOME OPP FUND	02/18/14	83,641 23	82,168 92	(1,472 31)	1,305 00
7,323	GOTHAM ABSOLUTE RETURN FUND INSTL CLASS	12/17/13	92,782 41	101,789 70	9,007 29	
3,742	BLACKROCK LOW DURATION BD INSTL CL	08/15/11	36,077 43	36,222 56	145 13	771 00
1,378	BLACKROCK GLOBAL ALLOCATION FD INC INSTL	08/15/11	26,567 77	27,380 86	813 09	723 00
<b>Total Mutual Funds/Closed End Funds/UIT</b>			<b>719,528 13</b>	<b>740,853 72</b>	<b>21,325 59</b>	<b>18,188.00</b>

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