Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No. 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation SECOND CHANCE FOUNDATION	A Employer identification number 59-2708392
Number and street (or P.O. box number if mail is not delivered to street address) 5085 SEAHORSE AVENUE — Room/suite	B Telephone number (see instructions) 239-821-9100
City or town, state or province, country, and ZIP or foreign postal code NAPLES, FL 34103	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 8531728 J Accounting method: ☐ Cash ☐ Accrual ☑ Other (specify) Modified Cash *(Part I, column (d) must be on cash basis.)*	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	101358			
	2	Check ▶ ☑ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	222439	221363	0	
	4	Dividends and interest from securities	0	0	0	
	5a	Gross rents	0	0	0	
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	343436			
	b	Gross sales price for all assets on line 6a 2177649				
	7	Capital gain net income (from Part IV, line 2)		343436		
	8	Net short-term capital gain			0	
	9	Income modifications			0	
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0		0	
	11	Other income (attach schedule)	133	0	0	
	12	**Total.** Add lines 1 through 11	667366	564799	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	95400	0	0	95400
	14	Other employee salaries and wages	0	0	0	0
	15	Pension plans, employee benefits	30371	0	0	30371
	16a	Legal fees (attach schedule)	0	0	0	0
	b	Accounting fees (attach schedule)	5775	0	0	5775
	c	Other professional fees (attach schedule)	42070	42070	0	0
	17	Interest	489	489	0	0
	18	Taxes (attach schedule) (see instructions)	26466	16938	0	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy	19568	0	0	19568
	21	Travel, conferences, and meetings	7491	0	0	7491
	22	Printing and publications	0	0	0	0
	23	Other expenses (attach schedule)	13216	0	0	13216
	24	**Total operating and administrative expenses.** Add lines 13 through 23	240846	59497	0	171821
	25	Contributions, gifts, grants paid	321149			321149
	26	**Total expenses and disbursements.** Add lines 24 and 25	561995	59497	0	492970
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	105371			
	b	**Net investment income** (if negative, enter -0-)		505302		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0	0	0
	2 Savings and temporary cash investments	0	0	0
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	0	0	0
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶	0	0	0
	5 Grants receivable	0	0	0
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶	0	0	0
	8 Inventories for sale or use	0	0	0
	9 Prepaid expenses and deferred charges	0	0	0
	10a Investments—U.S. and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	0	0	0
	c Investments—corporate bonds (attach schedule)	0	0	0
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	0	0	0
	12 Investments—mortgage loans	0	0	0
	13 Investments—other (attach schedule)	8671480	8531728	8531728
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	0	0	0
	15 Other assets (describe ▶)	0	0	0
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	8671480	8531728	8531728
Liabilities	17 Accounts payable and accrued expenses	0	0	
	18 Grants payable	0	0	
	19 Deferred revenue	0	0	
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	490265	0	
	22 Other liabilities (describe ▶)	0	0	
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	8181215	8531728	
	25 Temporarily restricted	0	0	
	26 Permanently restricted	0	0	
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
	30 **Total net assets or fund balances** (see instructions)	8181215	8531728	
	31 **Total liabilities and net assets/fund balances** (see instructions)	8671480	8531728	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8181215
2	Enter amount from Part I, line 27a	2	105371
3	Other increases not included in line 2 (itemize) ▶ Unrealized gain	3	245142
4	Add lines 1, 2, and 3	4	8531728
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8531728

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Publicly traded securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2177649		1834213	343436
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				343436
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — **2** — 343436

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . — **3** — 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	342090	7834321	.043665
2012	519891	7298251	.071235
2011	324733	7125807	.045571
2010	393490	6183659	.063634
2009	368705	6371808	.057865

2	**Total** of line 1, column (d) . . .	2	.28197
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	.056394
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 . . .	4	8411991
5	Multiply line 4 by line 3 . . .	5	474386
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	5053
7	Add lines 5 and 6 . . .	7	479439
8	Enter qualifying distributions from Part XII, line 4 . . .	8	492970

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	5053
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	5053
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5053
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a: 9203		
b	Exempt foreign organizations—tax withheld at source — 6b: 0		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 7317		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	16520
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	11467
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ 11467 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶

14 The books are in care of ▶ Bruce D. Conley Telephone no. ▶ 239-821-9000
Located at ▶ 5085 Seahorse Ave. Naples, FL ZIP+4 ▶ 34103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		✓
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Bruce D. Conley 5085 Seahorse Ave. Naples, FL 34103	Trustee, 30+	95400	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Facilities Support - Statement 8	30600
2 Holidays for Children / Tutoring - Statement 9	21809
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	NONE

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8540092
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8540092
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8540092
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	128101
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8411991
6	**Minimum investment return.** Enter 5% of line 5	6	420600

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	420600
2a	Tax on investment income for 2014 from Part VI, line 5	2a	5053		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	0		
c	Add lines 2a and 2b			2c	5053
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	415547
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	415547
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	415547

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	492970
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	492970
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	492970

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					415547
2	Undistributed income, if any, as of the end of 2014:					
a	Enter amount for 2013 only				0	
b	Total for prior years: 20 11 ,20 12 ,20 13			0		
3	Excess distributions carryover, if any, to 2014:					
a	From 2009	0				
b	From 2010	0				
c	From 2011	0				
d	From 2012	152906				
e	From 2013	0				
f	**Total** of lines 3a through e		152906			
4	Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 492970					
a	Applied to 2013, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see instructions)			0		
c	Treated as distributions out of corpus (Election required—see instructions)		0			
d	Applied to 2014 distributable amount					415547
e	Remaining amount distributed out of corpus		77423			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		230329			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)		0			
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)		0			
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		230329			
10	Analysis of line 9:					
a	Excess from 2010	0				
b	Excess from 2011	0				
c	Excess from 2012	152906				
d	Excess from 2013	0				
e	Excess from 2014	230329				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶ NOT APPLICABLE

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2014	Prior 3 years (b) 2013	(c) 2012	(d) 2011	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Holidays for Children / Tutoring 194 Ridge Drive, Naples, FL 34108	N/A	PC	Program Support	37649
Fidelity Charitable Gift Fund 8880 Tamiami Trail North, Naples, FL 34108	N/A	PC	Donor Advised Fund	282000
Naples Basket Brigade 1030 Collier Center Way #6, Naples, FL 34110	N/A	PC	Program Support	1500
Total ▶ 3a				321149
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	222439	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	343436	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 Total. Add line 12, columns (b), (d), and (e) 13 565875

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 11/11/15 | Title Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

TAXABLE YEAR 2014
SECOND CHANCE FOUNDATION
59-2708392

FORM 990-PF

	Revenue & Expenses Per Books	Net Investment Income	Adjusted Net Income	Charitable Purposes
Statement 1 Form 990PF, Part I, Line 11 Other Income				
Income from stock trade	$ 133			$ -
	$ 133	$ -	$ -	$ -
Statement 2 Form 990PF, Part I, Line 16b Accounting Fees				
Tax Preparation Fee	$ 5,775			$ 5,775
	$ 5,775	$ -	$ -	$ 5,775
Statement 3 Form 990PF, Part I, Line 16c Other Professional Fees				
Custodian & Agency Fees	$ 42,070	$ 42,070		
	$ 42,070	$ 42,070	$ -	$ -
Statement 4 Form 990PF, Part I, Line 17 Interest				
Non-Investment Interest Expense	$ 489	$ 489		
	$ 489	$ 489	$ -	$ -

TAXABLE YEAR 2014
SECOND CHANCE FOUNDATION
59-2708392

FORM 990-PF

Statement 5
Form 990PF, Part I, Line 18
Taxes

	Revenue & Expenses Per Books	Net Investment Income	Adjusted Net Income	Charitable Purposes
PR Taxes	6,273	6,273		
Foreign Taxes	1,462	1,462		
Federal Tax Payment - Prior Year	9,528			
Federal Tax Payment - Current Year	$ 9,203	$ 9,203		
	$ 26,466	$ 16,938	$ -	$ -

Statement 6
Form 990PF, Part I, Line 23
Other Expenses

	Revenue & Expenses Per Books	Net Investment Income	Adjusted Net Income	Charitable Purposes
Administration	10,668			10,668
Event Expense	1,090			1,090
Filing Fees	139			139
Office Supplies	595			595
Membership Renewal	$ 725			$ 725
	$ 13,216	$ -	$ -	$ 13,216

TAXABLE YEAR 2014
SECOND CHANCE FOUNDATION
59-2708392

FORM 990-PF

Statement 7
Form 990PF, Part VII, Line 12

During 2014, The Foundation distributed $282,000 to a Donor Advised Fund (DAF) at Fidelity Charitable Gift Fund. From the DAF, $188,400 was granted to qualified charities consistent with the Foundation's Charitable purposes. The Foundation uses the DAF for facilitating grant processing, verification of receiving organizations' qualification and programs, record keeping, and advice. All distributions to the DAF were treated as qualifiying distributions. The Foundation or disqualified person, had the advisory priviledges over the DAF.

TAXABLE YEAR 2014
SECOND CHANCE FOUNDATION
59-2708392

FORM 990-PF

Statement 8
Form 990PF, Part IX-A, Line 12
Facilities Support

During 2014, we provided counsel and expertise in advising 4 charitable organizations in their continuing development. This support included assistance in fund and endowment development, environmental and educational camp planning, and acquisition of permanent facilities. Our direct and indirect expenses, not including grant support were $30,600

Statement 9
Form 990PF, Part IX-A, Line 2
Holiday for Children/Homework Club

Engaged over 50 volunteers, raised funds and provided support for over 40 indigent families (over 125 children) selected by Lely and Avalon Elementary School.

Provided two summer sessions for 69 students at Calusa Park Elementary School
to prevent students from losing reading/academic ground ober the summer.
Eash session operated 3 days for three weeks, for a total of 18 days.
The content incorporated project-based literacy activities through the use of science, math and social studies.

Provided one hour tutoring sessions two times per week for approximately 10 weeks to 24 third grade students at Calusa Park Elementary School who read below grade level.

Provided one hour tutoring sessions two times per week for approximately 15 weeks to 30 third grade students at Lely Elementary School who read below grade level.

• Details of assets in your account

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM							
AMERICAN EXPRESS CENTRN CERTIFICATE OF DEPOSIT DTD 10/04/2012 1.350% 10/04/2016 NON CALLABLE							
Account: 000004116019	75,000.000	100.6950	75,521.25	75,312.24	209.01	1,012.50	1.34%
BMO PRIME MONEY MARKET CL I # 090							
Account: 000004116019	245,206.090	1.0000	245,206.09	245,206.09	0.00	24.77	0.01%
Account: 000004116027	16,615 250	1.0000	16,615.25	16,615.25	0.00	1.68	0.01%
BMO PRIME MONEY MARKET CL I # 090							
Account: 000004116035	12,797.410	1.0000	12,797.41	12,797 41	0 00	1.29	0.01%
Account: 000004116043	12,797.410	1.0000	12,797.41	12,797.41	0.00	1.29	0.01%
BMO PRIME MONEY MARKET CL I # 090							
Account: 000004116050	30,531.230	1.0000	30,531.23	30,531.23	0.00	3.08	0.01%
Account: 000004116050	30,531.230	1.0000	30,531.23	30,531.23	0.00	3.08	0.01%
TOTAL BMO PRIME MONEY MARKET CL I # 090	305,149.980	1.0000	305,149.98	305,149.98	0.00	30.82	
BMW BANK NORTH AMERICA CERTIFICATE OF DEPOSIT DTD 11/12/2010 2.000% 11/12/2015 NON CALLABLE							
Account: 000004116019	75,000 000	101.3950	76,046.25	75,000.00	1,046.25	1,500.00	1.97%
BMW BANK NORTH AMERICA CERTIFICATE OF DEPOSIT DTD 08/13/2010 2.350% 08/13/2015 NON CALLABLE							
Account: 000004116019	50,000.000	101.2310	50,615 50	50,000 00	615.50	1,175.00	2.32%
CIT BANK							

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CERTIFICATE OF DEPOSIT DTD 09/25/2013 2.100% 09/25/2018 NON CALLABLE Account: 000004116019	50,000.000	100.0800	50,040.00	50,000.00	40.00	1,050.00	2.10%
CIT BK SALT LAKE CITY UTAH CERTIFICATE OF DEPOSIT DTD 02/21/2013 1.100% 02/21/2018 NON CALLABLE Account: 000004116019	75,000.000	99.2150	74,411.25	75,000.00	-588.75	825.00	1.11%
DISCOVER BANK CERTIFICATE OF DEPOSIT DTD 04/04/2012 1.000% 04/06/2015 NON CALLABLE Account: 000004116019	50,000.000	100.1990	50,099.50	50,000.00	99.50	500.00	1.00%
GE CAP BK INC CERTIFICATE OF DEPOSIT DTD 10/03/2014 1.450% 10/03/2017 NON CALLABLE Account: 000004116019	75,000.000	98.9130	74,184.75	74,775.00	-590.25	1,087.50	1.47%
GE MONEY BANK CERTIFICATE OF DEPOSIT DTD 08/27/2010 2.150% 08/27/2015 NON CALLABLE Account: 000004116019	100,000.000	101.0460	101,046.00	100,000.00	1,046.00	2,150.00	2.13%
GOLDMAN SACHS BANK USA CERTIFICATE OF DEPOSIT DTD 07/05/2012 1.800% 07/05/2017 NON CALLABLE Account: 000004116019	25,000.000	101.2040	25,301.00	25,000.00	301.00	450.00	1.78%
GOLDMAN SACHS BANK USA							

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CERTIFICATE OF DEPOSIT DTD 01/16/2013 1.200% 01/16/2018 NON CALLABLE Account: 000004116019	50,000.000	99.1050	49,552.50	50,000.00	-447.50	600.00	1.21%
INVESTORS SAVINGS BK CERTIFICATE OF DEPOSIT DTD 05/05/2011 2.300% 05/05/2016 NON CALLABLE Account: 000004116019	50,000.000	101.9450	50,972.50	50,000.00	972.50	1,150.00	2.26%
SALLIE MAE BANK/MURRAY CERTIFICATE OF DEPOSIT DTD 10/24/2012 1.500% 10/24/2017 NON CALLABLE Account: 000004116019	100,000.000	100.3900	100,390.00	100,000.00	390.00	1,500.00	1.49%
STATE BANK OF INDIA CERTIFICATE OF DEPOSIT DTD 10/17/2014 2.400% 10/17/2019 NON CALLABLE Account: 000004116019	100,000.000	99.0670	99,067.00	100,000.00	-933.00	2,400.00	2.42%
TOTAL CASH & SHORT-TERM			**$1,182,410.50**	**$1,180,250.24**	**$2,160.26**	**$15,430.82**	**1.31%**
FIXED INCOME/LOW VOLATILITY							
U.S. government bonds							
UNITED STATES TREASURY NOTES DTD 02/15/2008 3.500% 02/15/2018 MOODYS: AAA S&P: N/A Account: 000004116019	100,000.000	107.1640	107,164.00	101,614.93	5,549.07	3,500.00	3.27%
UNITED STATES TREASURY NOTES DTD 05/15/2010 3.500% 05/15/2020 MOODYS: AAA S&P: N/A Account: 000004116019	100,000.000	109.0940	109,094.00	99,351.96	9,742.04	3,500.00	3.21%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
UNITED STATES TREASURY NOTES DTD 02/15/2013 2.000% 02/15/2023 MOODYS: AAA S&P: N/A Account: 000004116019	125,000.000	99.6250	124,531.25	118,498.14	6,033.11	2,500.00	2.01%
Total U.S. government bonds			**$340,789.25**	**$319,465.03**	**$21,324.22**	**$9,500.00**	**2.79%**
Corporate and other taxable							
Corporate							
ANHEUSER-BUSCH INBEV WOR DTD 07/16/2012 2.500% 07/15/2022 NON CALLABLE MOODYS: A2 S&P: A Account: 000004116019	50,000.000	97.1880	48,594.00	50,679.20	-2,085.20	1,250.00	2.57%
APPLE INC DTD 05/03/2013 2.400% 05/03/2023 NON CALLABLE MOODYS: AA1 S&P: AA+ Account: 000004116019	50,000.000	97.2000	48,600.00	48,740.00	-140.00	1,200.00	2.47%
DUPONT EI NEMOUR DTD 09/23/2010 1.950% 01/15/2016 NON CALLABLE MOODYS: A2 S&P: A Account: 000004116019	50,000.000	101.2940	50,647.00	49,986.14	660.86	975.00	1.92%
GENERAL ELECTRIC CO DTD 12/06/2007 5.250% 12/06/2017 NON CALLABLE MOODYS: AA3 S&P: AA+ Account: 000004116019	50,000.000	110.9310	55,465.50	49,114.50	6,351.00	2,625.00	4.73%
JOHN DEERE CAPITAL CORP							

ci

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
MEDIUM TERM NOTE DTD 09/16/2010 2.800% 09/18/2017 NON CALLABLE MOODYS: A2 S&P: A Account: 000004116019		50,000.000	103.9160	51,958.00	50,220.86	1,737.14	1,400.00	2.69%
VERIZON COMMUNICATIONS DTD 02/12/2008 5.500% 02/15/2018 NON CALLABLE MOODYS: BAA1 S&P: BBB+ Account: 000004116019		50,000.000	110.9860	55,493.00	50,284.45	5,208.55	2,750.00	4.96%
International								
VODAFONE GROUP PLC DTD 09/26/2012 2.500% 09/26/2022 NON CALLABLE MOODYS: BAA1 S&P: A- Account: 000004116019		50,000.000	93.2570	46,628.50	50,652.18	-4,023.68	1,250.00	2.68%
Taxable funds								
BMO TCH CORPORATE INCOME FUND CLASS I Account: 000004116019	MCIIX	12,071.274	12.7900	154,391.59	153,769 82	621.77	5,190.65	3.36%
VANGUARD GNMA FUND Account: 000004116019	VFIJX	26,236.383	10.8200	283,877.66	281,629.33	2,248.33	7,634.79	2.69%
Total Corporate and other taxable				**$795,655.25**	**$785,076.48**	**$10,578.77**	**$24,275.44**	**3.05%**
Alternatives-Low Volatility								
Opportunistic low volatility								
FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND Account: 000004116019	FFRIX	10,030.101	9.6100	96,389.27	100,000 00	-3,610.73	3,360.08	3.49%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Total Alternatives-Low Volatility				**$96,389.27**	**$100,000.00**	**-$3,610.73**	**$3,360.08**	**3.49%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$1,232,833.77**	**$1,204,541.51**	**$28,292.26**	**$37,135.52**	**3.01%**
EQUITY/HIGH VOLATILITY								
U.S. equity								
ABBVIE INC Health care Account: 000004116027	ABBV	328.000	65.4400	21,464.32	14,825.93	6,638.39	642.88	2.99%
ABIOMED INC Health care Account: 000004116035	ABMD	176.000	38.0600	6,698.56	3,727.63	2,970.93	0.00	0.00%
ACACIA RESEARCH - ACACIA TEC Consumer discretionary Account: 000004116035	ACTG	1,190.000	16.9400	20,158.60	26,301.40	-6,142.80	595.00	2.95%
ACADIA HEALTHCARE Health care Account: 000004116035	ACHC	169.000	61.2100	10,344.49	4,355.21	5,989.28	0.00	0.00%
ACTUA CORP Information technology Account: 000004116035	ACTA	430.000	18.4700	7,942.10	4,390.81	3,551.29	0.00	0.00%
ACTUANT CORP CL A COMMON STOCK CLASS A Consumer discretionary Account: 000004116035	ATU	104.000	27.2400	2,832.96	2,462.79	370.17	4.16	0.15%
ADVANCED AUTO PARTS Consumer discretionary Account: 000004116050	AAP	102.000	159.2800	16,246.56	7,468.97	8,777.59	24.48	0.15%

ci

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
ADVISORY BOARD COMPANY								
Industrials								
Account: 000004116035	ABCO	143.000	48.9800	7,004.14	6,730.10	274.04	0.00	0.00%
AGCO CORP								
Industrials								
Account: 000004116050	AGCO	171.000	45.2000	7,729.20	9,580.84	-1,851.64	75.24	0.97%
AGL RES INC								
Utilities								
Account: 000004116027	GAS	161.000	54.5100	8,776.11	8,264.13	511.98	315.56	3.60%
AKORN INC								
Health care								
Account: 000004116035	AKRX	255.000	36.2000	9,231.00	3,582.89	5,648.11	0.00	0.00%
ALTRIA GROUP INC								
Consumer staples								
Account: 000004116027	MO	896.000	49.2700	44,145.92	18,394.31	25,751.61	1,863.68	4.22%
AMAG PHARMACEUTICALS INC								
Health care								
Account: 000004116035	AMAG	401.000	42.6200	17,090.62	7,678.02	9,412.60	0.00	0.00%
AMERICAN ELEC PWR INC								
Utilities								
Account: 000004116019	AEP	1,500.000	60.7200	91,080.00	90,721.35	358.65	3,180.00	3.49%
Account: 000004116027	AEP	716.000	60.7200	43,475.52	29,649.86	13,825.66	1,517.92	3.49%
TOTAL AMERICAN ELEC PWR INC		2,216.000	60.7200	134,555.52	120,371.21	14,184.31	4,697.92	
AMERIPRISE FINANCIAL INC.								
Financials								
Account: 000004116027	AMP	164.000	132.2500	21,689.00	15,459.72	6,229.28	380.48	1.75%
Account' 000004116050	AMP	90 000	132.2500	11,902.50	5,248.61	6,653.89	208.80	1.75%
TOTAL AMERIPRISE FINANCIAL INC		254 000	132 2500	33,591.50	20,708.33	12,883.17	589.28	

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
AMGEN INC Health care Account: 000004116027	AMGN	54.000	159.2900	8,601.66	4,578.93	4,022.73	170.64	1.98%
ANADARKO PETE CORP Energy Account: 000004116019	APC	750.000	82.5000	61,875.00	63,741.77	-1,866.77	810.00	1.31%
APPLE INC Information technology Account: 000004116027	AAPL	367.000	110.3800	40,509.46	25,049.44	15,460.02	689.96	1.70%
ARCHER DANIELS MIDLAND CO Consumer staples Account: 000004116027	ADM	455.000	52.0000	23,660.00	19,421.53	4,238.47	436.80	1.85%
ARUBA NETWORKS INC Information technology Account: 000004116035	ARUN	396.000	18.1800	7,199.28	7,566.42	-367.14	0.00	0.00%
ASHLAND INC Energy Account: 000004116050	ASH	105.000	119.7600	12,574.80	9,274.52	3,300.28	142.80	1.14%
ASPEN TECHNOLOGY INC Information technology Account: 000004116035	AZPN	220.000	35.0200	7,704.40	5,077.40	2,627.00	0.00	0 00%
AT & T INC Telecommunication services Account: 000004116027	T	1,428 000	33.5900	47,966.52	40,128.21	7,838.31	2,684.64	5.60%
AVERY DENNISON CORP Industrials Account: 000004116027	AVY	174.000	51.8800	9,027.12	8,245.20	781.92	243.60	2.70%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
AVNET INC Information technology Account: 000004116050	AVT	159.000	43.0200	6,840.18	5,666.10	1,174.08	101.76	1.49%
AXIS CAPITAL HOLDINGS LTD Financials Account: 000004116050	AXS	208.000	51.0900	10,626.72	7,663.87	2,962.85	241.28	2.27%
BARNES GROUP INC Industrials Account: 000004116035	B	202.000	37.0100	7,476.02	6,908.30	567.72	96.96	1.30%
BERRY PLASTICS G Materials Account: 000004116050	BERY	394.000	31.5500	12,430.70	8,418.52	4,012.18	0.00	0.00%
BOEING CO Industrials Account: 000004116027	BA	268.000	129.9800	34,834.64	31,981.09	2,853.55	975.52	2.80%
BROADCOM CORP COM COMMON STOCK CL A Information technology Account: 000004116050	BRCM	306.000	43.3300	13,258.98	8,301.82	4,957.16	146.88	1.11%
BRUKER CORP Industrials Account: 000004116050	BRKR	512.000	19.6200	10,045.44	8,344.68	1,700.76	0.00	0.00%
CA INC Information technology Account: 000004116027	CA	623.000	30.4500	18,970.35	16,533.27	2,437.08	623.00	3.28%
CALAMP CORP Telecommunication services Account. 000004116035	CAMP	348 000	18.3000	6,368.40	3,935.60	2,432.80	0.00	0.00%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CANCER GENETICS INC Health care Account: 000004116035	CGIX	284.000	6.6800	1,897.12	4,610.43	-2,713.31	0.00	0.00%
CAPITAL ONE FINANCIAL CORP Financials Account: 000004116027	COF	137.000	82.5500	11,309.35	7,669.69	3,639.66	164.40	1.45%
CARDINAL HEALTH INC Health care Account: 000004116050	CAH	164.000	80.7300	13,239.72	12,805.63	434.09	224.68	1.70%
CARDTRONICS INC Financials Account: 000004116035	CATM	258.000	38.5800	9,953.64	6,588.23	3,365.41	0.00	0.00%
CATERPILLAR INC Industrials Account: 000004116027	CAT	119.000	91.5300	10,892.07	12,425.67	-1,533.60	333.20	3.06%
CENTENE CORP Health care Account: 000004116035	CNC	76.000	103.8500	7,892.60	2,174.25	5,718.35	0.00	0.00%
CENTERPOINT ENERGY INC Utilities Account: 000004116027	CNP	397.000	23.4300	9,301.71	9,080.14	221.57	377.15	4.05%
CENTURYLINK INC Telecommunication services Account: 000004116050	CTL	173.000	39.5800	6,847.34	7,621.92	-774.58	373.68	5.46%
CHECK POINT SOFTWARE TECH LT ORD Information technology Account: 000004116050	CHKP	114.000	78.5700	8,956.98	5,368.57	3,588.41	0.00	0.00%

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CHEVRON CORPORATION								
Energy								
Account: 000004116027	CVX	367.000	112.1800	41,170.06	29,430.28	11,739.78	1,570.76	3.81%
CIGNA CORP								
Health care								
Account: 000004116050	CI	132.000	102.9100	13,584.12	5,578.56	8,005.56	5.28	0.04%
CIMAREX ENERGY CO								
Energy								
Account: 000004116050	XEC	63.000	106.0000	6,678.00	5,439.18	1,238.82	40.32	0.60%
CISCO SYSTEMS INC								
Information technology								
Account: 000004116019	CSCO	3,300.000	27.8150	91,789.50	52,935.54	38,853.96	2,508.00	2.73%
Account: 000004116027	CSCO	2,019.000	27.8150	56,158.49	44,822.45	11,336.04	1,534.44	2.73%
TOTAL CISCO SYSTEMS INC		5,319.000	27.8150	147,947.99	97,757.99	50,190.00	4,042.44	
CMS ENERGY CORP								
Utilities								
Account: 000004116027	CMS	534.000	34.7500	18,556.50	11,095.14	7,461.36	576.72	3.11%
COACH INC								
Consumer discretionary								
Account: 000004116027	COH	247.000	37.5600	9,277.32	8,960.72	316.60	333.45	3.59%
COLUMBIA SPORTSWEAR CO								
Consumer discretionary								
Account: 000004116035	COLM	110.000	44.5400	4,899.40	4,603.89	295.51	66.00	1.35%
COMCAST CORP-CL A								
CL A COMMON STOCK								
Consumer discretionary								
Account. 000004116019	CMCSA	1,700.000	58.0100	98,617.00	36,167.33	62,449.67	1,530.00	1.55%
Account: 000004116027	CMCSA	357.000	58.0100	20,709.57	6,973.31	13,736.26	321.30	1.55%
TOTAL COMCAST CORP-CL A		2,057.000	58.0100	119,326.57	43,140 64	76,185.93	1,851.30	

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
COMMSCOPE HOLDING CO INC Information technology Account: 000004116050	COMM	410.000	22.8300	9,360.30	9,841.88	-481.58	0.00	0.00%
CONOCOPHILLIPS Energy Account: 000004116027	COP	469.000	69.0600	32,389.14	21,966.07	10,423.07	1,369.48	4.23%
CONSOL ENERGY INC Materials Account: 000004116050	CNX	249.000	33.8100	8,418.69	8,069.49	349.20	62.25	0.74%
CONTINENTAL BUILDING PRODUCTS Industrials Account: 000004116035	CBPX	241.000	17.7300	4,272.93	3,556.79	716.14	0.00	0.00%
CRITEO SA SPONSORED AMERICAN DEPOSITORY RECEIPT Information technology Account: 000004116035	CRTO	221.000	40.4200	8,932.82	7,238.46	1,694.36	0.00	0.00%
DARLING INGREDIENTS INC Consumer staples Account: 000004116050	DAR	471.000	18.1600	8,553.36	7,360.70	1,192.66	0.00	0.00%
DEXCOM Health care Account: 000004116035	DXCM	130.000	55.0500	7,156.50	1,383.12	5,773.38	0.00	0.00%
DIAMONDBACK ENERGY INC Energy Account: 000004116019	FANG	600.000	59.7800	35,868.00	40,919.27	-5,051.27	0.00	0.00%
DISCOVER FINL SVCS Financials Account: 000004116027	DFS	143 000	65.4900	9,365.07	3,290.49	6,074.58	137 28	1.47%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Account: 000004116050	DFS	236.000	65.4900	15,455.64	6,197.97	9,257.67	226.56	1.47%
TOTAL DISCOVER FINL SVCS		379.000	65.4900	24,820.71	9,488.46	15,332.25	363.84	
DOVER CORP								
Industrials								
Account: 000004116050	DOV	95.000	71.7200	6,813.40	5,279.85	1,533.55	152.00	2.23%
DOW CHEMICAL COMPANY								
Materials								
Account: 000004116027	DOW	485.000	45.6100	22,120.85	23,189.93	-1,069.08	814.80	3.68%
DR PEPPER SNAPPLE GROUP INC								
Consumer staples								
Account: 000004116027	DPS	341.000	71.6800	24,442.88	19,394.75	5,048.13	559.24	2.29%
DU PONT E I DE NEMOURS & CO								
Materials								
Account: 000004116019	DD	1,100.000	73.9400	81,334.00	58,588.74	22,745.26	2,068.00	2.54%
EAST WEST BANCORP INC								
Financials								
Account: 000004116050	EWBC	384.000	38.7100	14,864.64	10,018.24	4,846.40	276.48	1.86%
EDISON INTERNATIONAL								
Utilities								
Account: 000004116050	EIX	158.000	65.4800	10,345.84	6,147.38	4,198.46	263.86	2.55%
ENDOLOGIX INC								
Health care								
Account: 000004116035	ELGX	260.000	15.2900	3,975.40	3,857.92	117.48	0.00	0.00%
ENDURANCE INTERNATIONAL GROUP								
Industrials								
Account: 000004116035	EIGI	344.000	18.4300	6,339.92	5,410.65	929.27	0.00	0.00%
ENERGIZER HLDGS INC								

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Consumer staples								
Account: 000004116050	ENR	33.000	128.5600	4,242.48	3,587.87	654.61	66.00	1.56%
EXELON CORP								
Utilities								
Account: 000004116027	EXC	404.000	37.0800	14,980.32	13,022.78	1,957.54	500.96	3.34%
EXXON MOBIL CORPORATION								
Energy								
Account: 000004116027	XOM	88.000	92.4500	8,135.60	9,009.45	-873.85	242.88	2.98%
FIFTH THIRD BANCORP								
Financials								
Account: 000004116027	FITB	1,274.000	20.3750	25,957.75	25,129.36	828.39	662.48	2.55%
Account: 000004116050	FITB	683.000	20.3750	13,916.13	10,399.80	3,516.33	355.16	2.55%
TOTAL FIFTH THIRD BANCORP		1,957.000	20.3750	39,873.88	35,529.16	4,344.72	1,017.64	
FLUOR CORP NEW								
Industrials								
Account: 000004116050	FLR	149.000	60.6300	9,033.87	8,768.62	265.25	125.16	1.38%
FOREST CITY ENTERPRISES INC COMMON STOCK CLASS A								
Consumer discretionary								
Account: 000004116050	FCE/A	518.000	21.3000	11,033.40	9,961.06	1,072.34	0.00	0.00%
FRANCESCAS HLDGS								
Consumer discretionary								
Account: 000004116035	FRAN	174.000	16.7000	2,905.80	2,558.52	347.28	0.00	0.00%
GAIN CAPITAL HOLDINGS								
Financials								
Account: 000004116035	GCAP	1,408.000	9 0200	12,700.16	12,626.64	73 52	281.60	2.22%
GAMESTOP CORP-A								
Consumer discretionary								
Account: 000004116027	GME	210 000	33.8000	7,098.00	8,367.68	-1,269.68	277.20	3.90%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
GANNETT INC								
Consumer discretionary								
Account: 000004116027	GCI	506.000	31.9300	16,156.58	11,495.45	4,661.13	404.80	2.50%
GENERAL DYNAMICS CORP								
Industrials								
Account: 000004116027	GD	84.000	137 6200	11,560.08	7,325.50	4,234.58	208.32	1.80%
GENERAL ELECTRIC CORP								
Industrials								
Account: 000004116019	GE	4,100.000	25.2700	103,607.00	60,224.55	43,382.45	3,772.00	3.64%
Account: 000004116027	GE	697.000	25.2700	17,613.19	11,570.99	6,042.20	641.24	3.64%
TOTAL GENERAL ELECTRIC CORP		4,797.000	25.2700	121,220.19	71,795.54	49,424.65	4,413.24	
GILEAD SCIENCES INC								
Health care								
Account: 000004116019	GILD	1,000.000	94.2600	94,260.00	18,955.00	75,305.00	0.00	0.00%
GLOBUS MEDICAL INC								
Health care								
Account: 000004116035	GMED	296.000	23.7700	7,035.92	5,717.04	1,318.88	0.00	0.00%
GOOGLE INC-CL A								
COMMON STOCK CL A								
Information technology								
Account: 000004116019	GOOGL	90.000	530.6600	47,759 40	25,696.17	22,063.23	0.00	0.00%
GREAT PLAINS ENERGY INC								
Utilities								
Account: 000004116050	GXP	314.000	28.4100	8,920.74	6,717.36	2,203.38	307.72	3.45%
HAIN CELESTIAL GROUP INC								
Consumer staples								
Account: 000004116035	HAIN	92.000	58.2900	5,362.68	2,827.77	2,534.91	0.00	0.00%
HASBRO INC								

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Consumer discretionary Account: 000004116050	HAS	176.000	54.9900	9,678.24	7,653.48	2,024.76	302.72	3.13%
HEALTHSOUTH CORP Health care Account: 000004116035	HLS	185.000	38.4600	7,115.10	5,238.54	1,876.56	155.40	2.18%
HELMERICH & PAYNE INC Energy Account· 000004116027	HP	144.000	67.4200	9,708.48	11,929.67	-2,221.19	396.00	4.08%
HEWLETT PACKARD CO Information technology Account: 000004116027	HPQ	296.000	40.1300	11,878.48	8,141.93	3,736.55	189.44	1.59%
HEXCEL CORP Consumer discretionary Account: 000004116035	HXL	225.000	41.4900	9,335.25	5,477.66	3,857.59	0.00	0.00%
HMS HOLDINGS CORP Information technology Account: 000004116035	HMSY	166.000	21.1400	3,509.24	3,763.42	-254.18	0.00	0.00%
HOME BANCSHARES Financials Account: 000004116035	HOMB	216.000	32.1600	6,946.56	6,417.39	529.17	86.40	1.24%
HOME DEPOT INC Consumer discretionary Account: 000004116027	HD	224.000	104.9700	23,513.28	7,277.63	16,235.65	421.12	1.79%
HONEYWELL INTERNATIONAL INC Industrials Account: 000004116027	HON	111.000	99.9200	11,091.12	4,661.78	6,429.34	229.77	2.07%
HUNTINGTON BANCSHARES INC								

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Financials								
Account: 000004116027	HBAN	858.000	10.5200	9,026.16	8,935.73	90.43	205.92	2.28%
Account: 000004116050	HBAN	1,342.000	10.5200	14,117.84	11,705.00	2,412.84	322.08	2.28%
TOTAL HUNTINGTON BANCSHARES INC		2,200.000	10.5200	23,144.00	20,640.73	2,503.27	528.00	
INCONTACT INC								
Telecommunication services								
Account: 000004116035	SAAS	2,508.000	8.7900	22,045.32	17,565.89	4,479.43	0.00	0.00%
INDEPENDENCE CONTRACT DRILLING								
Energy								
Account: 000004116035	ICD	249.000	5.2200	1,299.78	2,680.32	-1,380.54	0.00	0.00%
INSULET CORP								
Health care								
Account: 000004116035	PODD	123.000	46.0600	5,665.38	2,468.42	3,196.96	0.00	0.00%
INTEL CORP								
Information technology								
Account: 000004116019	INTC	3,000.000	36.2900	108,870.00	56,077.68	52,792.32	2,700.00	2.48%
Account: 000004116027	INTC	990.000	36.2900	35,927.10	21,064.69	14,862.41	891.00	2.48%
TOTAL INTEL CORP		3,990.000	36.2900	144,797.10	77,142.37	67,654.73	3,591.00	
INTERDIGITAL INC								
Information technology								
Account: 000004116050	IDCC	200.000	52.9000	10,580.00	7,018.27	3,561.73	160.00	1.51%
INTERNAP CORPORATION								
Information technology								
Account: 000004116035	INAP	827.000	7.9600	6,582.92	5,670.45	912.47	0.00	0.00%
INTERNATIONAL PAPER CO								
Materials								
Account: 000004116027	IP	659.000	53.5800	35,309.22	30,105.28	5,203.94	1,054.40	2.99%
Account: 000004116050	IP	158.000	53.5800	8,465.64	4,366.32	4,099.32	252.80	2.99%
TOTAL INTERNATIONAL PAPER CO		817.000	53.5800	43,774.86	34,471.60	9,303.26	1,307.20	

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
INTERPUBLIC GROUP COS INC								
Consumer discretionary								
Account: 000004116050	IPG	509.000	20.7700	10,571.93	6,053.65	4,518.28	193.42	1.83%
JACOBS ENGR GROUP INC								
Industrials								
Account: 000004116050	JEC	188.000	44.6900	8,401.72	9,739.37	-1,337.65	0.00	0.00%
JOHNSON & JOHNSON								
Health care								
Account: 000004116027	JNJ	415.000	104.5700	43,396.55	27,441.32	15,955.23	1,162.00	2.68%
JP MORGAN CHASE & CO								
Financials								
Account: 000004116027	JPM	708.000	62.5800	44,306.64	34,197.44	10,109.20	1,132.80	2.56%
KIMBERLY CLARK CORP								
Consumer staples								
Account: 000004116019	KMB	700.000	115.5400	80,878.00	58,379.99	22,498.01	2,352.00	2.91%
Account: 000004116027	KMB	240.000	115.5400	27,729.60	15,018.17	12,711.43	806.40	2.91%
TOTAL KIMBERLY CLARK CORP		940.000	115.5400	108,607.60	73,398.16	35,209.44	3,158.40	
KINDER MORGAN INC								
Utilities								
Account: 000004116027	KMI	358.000	42.3100	15,146.98	14,652.05	494.93	630.08	4.16%
KLA-TENCOR CORP								
Information technology								
Account: 000004116019	KLAC	1,200.000	70.3200	84,384.00	80,602.30	3,781.70	2,400.00	2.84%
Account: 000004116027	KLAC	176.000	70.3200	12,376.32	7,729.47	4,646.85	352.00	2.84%
TOTAL KLA-TENCOR CORP		1,376.000	70.3200	96,760.32	88,331.77	8,428.55	2,752.00	
KOHLS CORP								
Consumer discretionary								
Account: 000004116050	KSS	108.000	61.0400	6,592.32	5,178.76	1,413.56	168.48	2.56%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
KRISPY KREME DOUGHNUTS INC Consumer staples Account: 000004116035	KKD	401.000	19.7400	7,915.74	6,620.53	1,295.21	0.00	0.00%
KROGER CO Consumer staples Account: 000004116050	KR	171.000	64.2100	10,979.91	4,118.87	6,861.04	126.54	1.15%
LANNETT INC Health care Account: 000004116035	LCI	115.000	42.8800	4,931.20	4,671.65	259.55	0.00	0.00%
LEGGETT & PLATT INC Consumer discretionary Account: 000004116027	LEG	439.000	42.6100	18,705.79	9,358.39	9,347.40	544.36	2.91%
LENNOX INTERNATIONAL INC Industrials Account: 000004116035	LII	61.000	95.0700	5,799.27	3,522.25	2,277.02	73.20	1.26%
LIBBEY INC Consumer discretionary Account: 000004116035	LBY	344.000	31.4400	10,815.36	9,043.82	1,771.54	0.00	0.00%
LIBERTY INTERACTIVE CORP Consumer discretionary Account: 000004116050	QVCA	382.000	29.4200	11,238.44	5,083.48	6,154.96	0.00	0.00%
LIFELOCK INC Industrials Account: 000004116035	LOCK	412.000	18.5100	7,626.12	4,682.94	2,943.18	0.00	0.00%
LILLY ELI & CO Health care Account: 000004116027	LLY	346.000	68.9900	23,870.54	12,568.27	11,302.27	692.00	2.90%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
LINCOLN NATL CORP								
Financials								
Account: 000004116050	LNC	252.000	57.6700	14,532.84	11,177.74	3,355.10	201.60	1.39%
LOCKHEED MARTIN CORP								
Industrials								
Account: 000004116027	LMT	243.000	192.5700	46,794.51	20,511.71	26,282.80	1,458.00	3.12%
LOGMEIN INC								
Industrials								
Account: 000004116035	LOGM	169.000	49.3400	8,338.46	7,420.08	918.38	0.00	0.00%
LORILLARD, INC								
Consumer staples								
Account: 000004116027	LO	540.000	62.9400	33,987.60	17,215.84	16,771.76	1,328.40	3.91%
L3 COMMUNICATIONS HLDGS INCCOM								
Industrials								
Account: 000004116050	LLL	91.000	126.2100	11,485.11	6,308.62	5,176.49	218.40	1 90%
M/I HOMES, INC.								
Consumer discretionary								
Account: 000004116035	MHO	423.000	22.9600	9,712.08	8,638.96	1,073.12	0.00	0.00%
MACY'S INC								
Consumer discretionary								
Account: 000004116019	M	1,450.000	65 7500	95,337.50	57,862.41	37,475.09	1,812.50	1 90%
Account: 000004116027	M	373.000	65.7500	24,524.75	13,692.71	10,832.04	466.25	1.90%
TOTAL MACY'S INC		1,823.000	65.7500	119,862.25	71,555.12	48,307.13	2,278.75	
MANHATTAN ASSOCS INC								
Information technology								
Account: 000004116035	MANH	174.000	40.7200	7,085.28	5,293.21	1,792.07	0.00	0.00%
MARIN SOFTWARE INC								

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• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Industrials Account: 000004116035	MRIN	926.000	8.4600	7,833.96	10,170.68	-2,336.72	0.00	0.00%
MAXIMUS INC Health care Account: 000004116035	MMS	156.000	54.8400	8,555.04	5,642.03	2,913.01	28.08	0.33%
MEDICINES CO Health care Account: 000004116035	MDCO	91.000	27.6700	2,517.97	2,913.75	-395.78	0.00	0.00%
MEDTRONIC INC Health care Account: 000004116027	MDT	191.000	72.2000	13,790.20	6,788.52	7,001.68	233.02	1.69%
MERCK & CO INC NEW Health care Account: 000004116027	MRK	796.000	56.7900	45,204.84	29,402.19	15,802.65	1,432.80	3.17%
MICROSEMI CORP Information technology Account: 000004116035	MSCC	155.000	28.3800	4,398.90	2,586.81	1,812.09	0.00	0.00%
MICROSOFT CORP Information technology Account: 000004116027	MSFT	1,231.000	46.4500	57,179.95	44,408.55	12,771.40	1,526.44	2.67%
MIX TELEMATICS LTD SPONSORED AMERICAN DEPOSITORY RECEIPT Information technology Account: 000004116035	MIXT	798.000	6.5000	5,187.00	9,340.89	-4,153.89	0.00	0.00%
MOTORCAR PARTS Consumer discretionary Account: 000004116035	MPAA	133.000	31.0900	4,134.97	3,544.09	590.88	0.00	0.00%
MYRIAD GENETICS INC								

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Health care								
Account: 000004116035	MYGN	139.000	34.0600	4,734.34	3,744.38	989.96	0.00	0.00%
NAVIENT CORP								
Financials								
Account: 000004116027	NAVI	2,058.000	21.6100	44,473.38	25,547.47	18,925.91	1,234.80	2.78%
NETAPP INC								
Information technology								
Account: 000004116027	NTAP	280.000	41.4500	11,606.00	11,000.43	605.57	184.80	1.59%
Account: 000004116050	NTAP	314.000	41.4500	13,015.30	11,245.18	1,770.12	207.24	1.59%
TOTAL NETAPP INC		594.000	41.4500	24,621.30	22,245.61	2,375.69	392.04	
NEW MEDIA INVESTMENT GROUP INC								
Industrials								
Account: 000004116035	NEWM	279.000	23.6300	6,592.77	3,465.91	3,126.86	301.32	4.57%
NEWFIELD EXPLORATION CO								
Energy								
Account: 000004116050	NFX	242.000	27.1200	6,563.04	6,144.44	418.60	0.00	0.00%
NORTHERN TR CORP								
Financials								
Account: 000004116019	NTRS	1,350.000	67.4000	90,990.00	46,120.72	44,869.28	1,782.00	1.96%
NPS PHARMACEUTICALS INC								
Health care								
Account: 000004116035	NPSP	134.000	35.7700	4,793.18	3,250.40	1,542.78	0.00	0.00%
NXSTAGE MEDICAL INC								
Health care								
Account: 000004116035	NXTM	499.000	17.9300	8,947.07	7,244.45	1,702.62	0.00	0.00%
OCCIDENTAL PETE CORP								
Energy								
Account: 000004116027	OXY	394.000	80.6100	31,760.34	35,289.05	-3,528.71	1,134.72	3.57%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
OMNICARE INC Health care Account: 000004116050	OCR	197.000	72.9300	14,367.21	11,699.85	2,667.36	173.36	1.21%
OMNICOM GROUP Consumer discretionary Account: 000004116027	OMC	398.000	77.4700	30,833.06	27,669.85	3,163.21	796.00	2.58%
ON ASSIGNMENT INC Industrials Account: 000004116035	ASGN	238.000	33.1900	7,899.22	4,698.97	3,200.25	0.00	0.00%
PACCAR INC COM Industrials Account: 000004116019	PCAR	1,200.000	68.0100	81,612.00	47,464.80	34,147.20	1,056.00	1.29%
PACIRA PHARMACEUTICALS INC Health care Account: 000004116035	PCRX	84.000	88.6600	7,447.44	2,973.20	4,474.24	0.00	0.00%
PAREXEL INTL CORP Health care Account: 000004116035	PRXL	138.000	55.5600	7,667.28	3,594.00	4,073.28	0.00	0.00%
PARKER HANNIFIN CORP Industrials Account: 000004116050	PH	76.000	128.9500	9,800.20	7,512.07	2,288.13	191.52	1.95%
PARTNERRE LTD BERMUDA Financials Account: 000004116050	PRE	83.000	114.1300	9,472.79	6,702.68	2,770.11	222.44	2.35%
PEPSICO INC Consumer staples Account: 000004116027	PEP	94 000	94.5600	8,888.64	6,232.20	2,656.44	246.28	2.77%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
PFIZER INC Health care Account: 000004116027	PFE	2,043.000	31.1500	63,639.45	43,738.70	19,900.75	2,288.16	3.60%
PHILIP MORRIS INTERNATIONAL Consumer staples Account: 000004116027	PM	138.000	81.4500	11,240.10	7,043.68	4,196.42	552.00	4.91%
PHILLIPS 66 Energy Account: 000004116027	PSX	111.000	71.7000	7,958.70	8,810.85	-852.15	222.00	2.79%
PICO HOLDINGS INC Financials Account: 000004116035	PICO	809.000	18.8500	15,249.65	17,457.70	-2,208.05	0.00	0.00%
PINNACLE WEST CAP CORP Utilities Account: 000004116027	PNW	456.000	68.3100	31,149.36	25,269.33	5,880.03	1,085.28	3.48%
PNC FINANCIAL SERVICES GROUP Financials Account: 000004116027	PNC	105.000	91.2300	9,579.15	8,255.97	1,323.18	201.60	2.10%
PROOFPOINT INC Industrials Account: 000004116035	PFPT	156.000	48.2300	7,523.88	1,830.92	5,692.96	0.00	0.00%
QEP RESOURCES INC Energy Account: 000004116050	QEP	319.000	20.2200	6,450.18	8,882.43	-2,432.25	25.52	0.40%
QUINTILES TRANSNATIONAL HOLDIN Health care Account: 000004116019	Q	800.000	58.8700	47,096.00	41,969.48	5,126 52	0.00	0.00%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
RED ROBIN GOURMET BURGERS INC								
Consumer discretionary								
Account: 000004116035	RRGB	51.000	76.9750	3,925.73	2,518.22	1,407.51	0.00	0.00%
REGAL BELOIT CORP								
Industrials								
Account: 000004116050	RBC	138.000	75.2000	10,377.60	9,136.13	1,241.47	121.44	1.17%
REGIONS FINANCIAL CORP								
Financials								
Account: 000004116050	RF	1,136.000	10.5600	11,996.16	10,427.85	1,568.31	227.20	1.89%
REINSURANCE GROUP OF AMERICA INC								
Financials								
Account: 000004116050	RGA	135.000	87.6200	11,828.70	7,916.17	3,912.53	178.20	1.51%
RELIANCE STEEL & ALUMINUM CO								
Industrials								
Account: 000004116050	RS	165.000	61 2700	10,109.55	9,262.67	846.88	231.00	2.28%
RESTORATION HARDWARE HOLDING								
Consumer discretionary								
Account: 000004116035	RH	60.000	96.0100	5,760.60	3,472.12	2,288.48	0.00	0.00%
RUBICON PROJECT INC/THE								
Consumer discretionary								
Account: 000004116035	RUBI	380.000	16.1400	6,133.20	5,530.59	602.61	0.00	0.00%
RUCKUS WIRELESS								
Information technology								
Account: 000004116035	RKUS	422.000	12.0200	5,072.44	5,862.27	-789.83	0.00	0.00%
SEAGATE TECHNOLOGY PLC								
Information technology								
Account: 000004116027	STX	494.000	66.5000	32,851.00	21,307.78	11,543.22	1,067.04	3.25%
Account: 000004116050	STX	139.000	66.5000	9,243.50	7,009.12	2,234.38	300.24	3.25%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY X	CURRENT UNIT VALUE =	CURRENT TOTAL MARKET VALUE -	FEDERAL TAX COST =	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
TOTAL SEAGATE TECHNOLOGY PLC		633.000	66.5000	42,094.50	28,316.90	13,777.60	1,367.28	
SPECTRANETICS CORP								
Health care								
Account: 000004116035	SPNC	220.000	34.5800	7,607.60	6,380.38	1,227.22	0.00	0.00%
SPIRIT AEROSYSTEMS HOLD-CL A								
Industrials								
Account: 000004116050	SPR	263.000	43.0400	11,319.52	6,032.94	5,286.58	0.00	0.00%
SYMANTEC CORPORATION								
Information technology								
Account: 000004116050	SYMC	397.000	25.6550	10,185.04	8,732.61	1,452.43	238.20	2.34%
SYNNEX CORP								
Information technology								
Account: 000004116035	SNX	69.000	78.1600	5,393.04	4,698.82	694.22	34.50	0.64%
TERADATA CORP								
Information technology								
Account: 000004116050	TDC	310.000	43.6800	13,540.80	13,922.99	-382.19	0.00	0.00%
THORATEC CORPORATION COMMON STOCK NEW								
Health care								
Account: 000004116035	THOR	87.000	32.4600	2,824.02	2,662.22	161.80	0.00	0.00%
TIDEWATER INC								
Energy								
Account: 000004116050	TDW	207.000	32.4100	6,708.87	9,934.49	-3,225.62	207.00	3.08%
TRI POINTE HOMES INC								
Consumer discretionary								
Account: 000004116035	TPH	1,182.000	15.2500	18,025.50	19,015.93	-990.43	0.00	0.00%
Account: 000004116050	TPH	527.000	15.2500	8,036.75	8,532.96	-496.21	0.00	0.00%
TOTAL TRI POINTE HOMES INC		1,709.000	15.2500	26,062.25	27,548.89	-1,486.64	0.00	

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
UCP INC								
Consumer discretionary								
Account: 000004116035	UCP	314.000	10.5000	3,297.00	4,688.28	-1,391.28	0.00	0.00%
UNILIFE CORP								
Health care								
Account: 000004116035	UNIS	1,893.000	3.3500	6,341.55	5,046.65	1,294.90	0.00	0.00%
UNION PAC CORP								
Industrials								
Account: 000004116027	UNP	125.000	119.1300	14,891.25	12,329.35	2,561.90	250.00	1.68%
UNITED PARCEL SERVICE-CLASS B COMMON STOCK CL B								
Industrials								
Account: 000004116027	UPS	104.000	111.1700	11,561.68	6,323.08	5,238.60	278.72	2.41%
US BANCORP NEW								
Financials								
Account: 000004116019	USB	1,400.000	44.9500	62,930.00	40,995.78	21,934.22	1,372.00	2.18%
Account: 000004116027	USB	210.000	44.9500	9,439.50	5,090.93	4,348.57	205.80	2.18%
TOTAL US BANCORP NEW		1,610.000	44.9500	72,369.50	46,086.71	26,282.79	1,577.80	
VERIZON COMMUNICATIONS								
Telecommunication services								
Account: 000004116027	VZ	1,168.000	46 7800	54,639.04	46,998.19	7,640.85	2,569.60	4.70%
VINCE HOLDING CORP								
Consumer discretionary								
Account: 000004116035	VNCE	173.000	26.1400	4,522.22	4,978.89	-456.67	0.00	0.00%
VIRTUSA CORP								
Industrials								
Account: 000004116035	VRTU	146.000	41.6700	6,083.82	5,112.46	971.36	0 00	0.00%
VISTEON CORP								

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Consumer discretionary								
Account: 000004116050	VC	136.000	106.8600	14,532.96	7,338.09	7,194.87	0.00	0.00%
WASTE MANAGEMENT INTERNATIONAL								
Industrials								
Account: 000004116027	WM	175.000	51.3200	8,981.00	8,858.10	122.90	262.50	2.92%
WATSCO INC CLASS A								
Industrials								
Account: 000004116035	WSO	65.000	107.0000	6,955.00	4,759.57	2,195.43	156.00	2.24%
WELLS FARGO & CO								
Financials								
Account: 000004116019	WFC	1,000.000	54.8200	54,820.00	32,915.40	21,904.60	1,400.00	2.55%
Account: 000004116027	WFC	1,174.000	54.8200	64,358.68	46,072.57	18,286.11	1,643.60	2.55%
TOTAL WELLS FARGO & CO		2,174.000	54.8200	119,178.68	78,987.97	40,190.71	3,043.60	
WESTERN DIGITAL CORP								
Information technology								
Account: 000004116050	WDC	115.000	110.7000	12,730.50	7,674.51	5,055.99	184.00	1.44%
WESTERN UNION-WI								
Information technology								
Account: 000004116050	WU	345.000	17.9100	6,178.95	5,066.93	1,112.02	172.50	2.79%
WEYERHAEUSER CO								
Materials								
Account: 000004116019	WY	2,600.000	35.8900	93,314.00	43,678.69	49,635.31	3,016.00	3.23%
Account: 000004116027	WY	262.000	35.8900	9,403.18	8,973.40	429.78	303.92	3.23%
TOTAL WEYERHAEUSER CO		2,862.000	35.8900	102,717.18	52,652.09	50,065.09	3,319.92	
WILLIAM LYONS HOMES								
Consumer discretionary								
Account: 000004116035	WLH	558.000	20.2700	11,310.66	12,815.36	-1,504.70	0.00	0.00%
WINNEBAGO INDS INC								

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Consumer discretionary								
Account: 000004116035	WGO	146.000	21.7600	3,176.96	4,073.50	-896.54	52.56	1.65%
WR GRACE & CO								
Materials								
Account: 000004116050	GRA	135.000	95.3900	12,877.65	7,884.92	4,992.73	0.00	0.00%
WYNDHAM WORLDWIDE CORP								
Consumer discretionary								
Account: 000004116027	WYN	113.000	85.7600	9,690.88	8,263.93	1,426.95	158.20	1.63%
ZOETIS INC								
Health care								
Account: 000004116019	ZTS	2,500.000	43.0300	107,575.00	80,084.65	27,490.35	830.00	0.77%
8X8 INC								
Information technology								
Account: 000004116035	EGHT	530.000	9.1600	4,854.80	3,290.32	1,564.48	0.00	0.00%
Total U.S. equity				**$4,435,488.37**	**$3,130,033.46**	**$1,305,454.91**	**$93,977.97**	**2.12%**
International								
ADVANTAGE OIL & GAS LTD COM								
Energy								
Account: 000004116035	AAV	141.000	4.7900	675.39	616.47	58.92	0.00	0.00%
BELLATRIX EXPLORATION LTD								
Energy								
Account: 000004116035	BXE	5,306.000	3.6400	19,313.84	35,479.15	-16,165.31	0.00	0.00%
BMO LGM FRONTIER MARKETS EQUITY Y								
Diversified								
Account: 000004116019	BLGFX	10,466.424	9.2900	97,233.08	102,508.83	-5,275.75	1,664.16	1.71%
DODGE & COX INTERNATIONAL STOCK FUND								

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Developed large cap								
Account: 000004116019	DODFX	5,805.377	42.1100	244,464.43	176,541.51	67,922.92	0.00	0.00%
FLEETMATICS GROUP PLC COM								
Information technology								
Account: 000004116035	FLTX	212.000	35.4900	7,523.88	6,286.72	1,237.16	0.00	0.00%
GASLOG LTD.								
Industrials								
Account: 000004116035	GLOG	319.000	20.3500	6,491.65	5,838.86	652.79	178.64	2.75%
HARBOR INTERNATIONAL FUND #11								
Developed large cap								
Account: 000004116019	HAINX	2,698.465	64.7800	174,806.56	163,500.00	11,306.56	3,826.42	2.19%
HORIZON PHARMA PLC								
Health care								
Account: 000004116035	HZNP	654.000	12.8900	8,430.06	8,289.71	140.35	0.00	0.00%
ICON PLC								
Health care								
Account: 000004116035	ICLR	145.000	50.9900	7,393.55	3,884.27	3,509.28	0.00	0.00%
INVESCO LIMITED								
Financials								
Account: 000004116027	IVZ	385.000	39.5200	15,215.20	13,521.38	1,693.82	385.00	2.53%
Account: 000004116050	IVZ	334.000	39.5200	13,199.68	9,147.15	4,052.53	334.00	2.53%
TOTAL INVESCO LIMITED		719.000	39.5200	28,414.88	22,668.53	5,746.35	719.00	
LAZARD EMERGING MARKETS EQUITY INST								
Emerging								
Account: 000004116019	LZEMX	4,710.380	17 1900	80,971.43	85,387 92	-4,416.49	1,761.68	2.18%
LYONDELLBASELL INDUSTRIES NV								
Materials								
Account: 000004116027	LYB	536.000	79.3900	42,553.04	35,112.27	7,440.77	1,500.80	3.53%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
MALLINCKRODT PLC Health care Account: 000004116050	MNK	97.000	99.0300	9,605.91	5,495.72	4,110.19	0 00	0.00%
MFS INTERNATIONAL GROWTH FUND Developed large cap Account: 000004116019	MQGIX	9,915.862	28.7900	285,477.67	240,000.00	45,477.67	3,916.77	1.37%
TEMPLETON INSTITUTIONAL FOREIGN SMALLER COMPANY FUND Developed small cap Account: 000004116019	TFSCX	2,163.821	20.8000	45,007.48	47,091.26	-2,083.78	348.38	0.77%
WEATHERFORD INTERNATIONAL PLC Energy Account: 000004116050	WFT	374.000	11.4500	4,282.30	6,186.30	-1,904.00	0.00	0.00%
Total International				**$1,062,645.15**	**$944,887.52**	**$117,757.63**	**$13,915.85**	**1.31%**
REITS								
BRIXMOR PROPERTY GROUP INC REAL ESTATE INVESTMENT TRUST Account: 000004116050		470.000	24.8400	11,674.80	11,023.13	651.67	423.00	3.62%
HOST HOTELS & RESORTS, INC Account: 000004116027		1,169.000	23.7700	27,787.13	26,677.82	1,109.31	935.20	3.37%
KIMCO REALTY CORP Account: 000004116027		557.000	25.1400	14,002.98	11,649.88	2,353.10	534.72	3.82%
LASALLE HOTEL PPTYS COM SH BEN INT Account: 000004116035		232.000	40.4700	9,389.04	5,990.66	3,398.38	348.00	3 71%
NEW SENIOR INVESTMENT GROUP INC Account. 000004116035		1,009.000	16.4500	16,598.05	19,398.73	-2,800.68	0.00	0.00%

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
NEWCASTLE INVESTMENT CORP REAL ESTATE INVESTMENT TRUST Account: 000004116035	756.000	4.4900	3,394.44	20,983.13	-17,588.69	362.88	10.69%
SUMMIT HOTEL PROPERTIES REAL ESTATE INVESTMENT TRUST Account: 000004116035	677.000	12.4400	8,421.88	6,013.17	2,408.71	318.19	3.78%
UDR INC REAL ESTATE INVESTMENT TRUST Account: 000004116050	319.000	30.8200	9,831.58	7,764.61	2,066.97	331.76	3.37%
Total REITS			**$101,099.90**	**$109,501.13**	**-$8,401.23**	**$3,253.75**	**3.22%**
TOTAL EQUITY/HIGH VOLATILITY			**$5,599,233.42**	**$4,184,422.11**	**$1,414,811.31**	**$111,147.57**	**1.99%**
OTHER ASSETS							
Promissory notes and loans							
MORTGAGE OF SARASOTA-MANATEE ASSOCIATION FOR RIDING THERAPY INC DTD 12/08/2011 DUE 12/08/2015 @ 7.00% IN THE AMT OF $600,000.00 *HELD IN TRUST VAULT 517 251.01* Account: 000004116019	517,251.010	100.0000	517,251.01	517,251.01	0.00	36,207.57	7.00%
Total Promissory notes and loans			**$517,251.01**	**$517,251.01**	**$0.00**	**$36,207.57**	**7.00%**
Miscellaneous							
M&I ACCOUNT PLEDGE AGREEMENT DTD 01/02/2004 $3.4 MILLION Account: 000004116019	1.000	0.0000	0.00	0.00 *	0.00	0.00	0.00%
Account: 000004116027	1.000	0.0000	0.00	0.00 *	0.00	0.00	0.00%
TOTAL M&I ACCOUNT PLEDGE AGREEMENT	2.000	0.0000	0.00	0.00 *	0.00	0.00	
M&I ACCOUNT PLEDGE AGREEMENT							

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• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET	QUANTITY	X	CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
DTD 01/02/2004 $1.3 MILLION								
Account: 000004116027	1.000		0.0000	0.00	0.00 *	0.00	0.00	0.00%
TOTAL M&I ACCOUNT PLEDGE AGREEMENT	2.000		0.0000	0.00	0.00 *	0.00	0.00	
Total Miscellaneous				**$0.00**	**$0.00**	**$0.00**	**$0.00**	**.00%**
TOTAL OTHER ASSETS				**$517,251.01**	**$517,251.01**	**$0.00**	**$36,207.57**	**7.00%**
TOTAL ASSETS IN YOUR ACCOUNT				**$8,531,728.70**	**$7,086,464.87**	**$1,445,263.83**	**$199,921.48**	**2.34%**

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