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EXTENDED TO AUGUST 17, 2015
Return of Private Foundation

Form 990-PF

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.		A Employer identification number 58-2176142
Number and street (or P O box number if mail is not delivered to street address) 4705 LELAND DRIVE	Room/suite	B Telephone number 770-886-2250
City or town, state or province, country, and ZIP or foreign postal code CUMMING, GA 30041		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 45,780,994. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,066,885.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	836,050.	836,050.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	14,260,857.			
	b Gross sales price for all assets on line 6a 39,055,805.				
	7 Capital gain net income (from Part IV, line 2)		14,260,857.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	<263,459.>	<263,459.>		STATEMENT 2	
12 Total. Add lines 1 through 11	24,900,333.	14,833,448.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	146,445.	146,445.		0.
	17 Interest				
	18 Taxes STMT 4	30,959.	30,959.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	177,404.	177,404.		0.
	25 Contributions, gifts, grants paid	1,408,960.			1,408,960.
26 Total expenses and disbursements. Add lines 24 and 25	1,586,364.	177,404.		1,408,960.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	23,313,969.				
b Net investment income (if negative, enter -0-)		14,656,044.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		26,236,034.	43,944,274.	45,780,994.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		26,236,034.	43,944,274.	45,780,994.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐				
		and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒				
		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		26,236,034.	43,944,274.	
30	Total net assets or fund balances		26,236,034.	43,944,274.		
31	Total liabilities and net assets/fund balances		26,236,034.	43,944,274.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,236,034.
2	Enter amount from Part I, line 27a	2	23,313,969.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	33,392.
4	Add lines 1, 2, and 3	4	49,583,395.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	5,639,121.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	43,944,274.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 39,055,805.		24,794,948.	14,260,857.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			14,260,857.	
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 14,260,857.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,291,500.	32,040,942.	.040308
2012	1,189,162.	25,797,368.	.046096
2011	849,321.	23,010,711.	.036910
2010	649,610.	16,461,123.	.039463
2009	804,809.	13,697,433.	.058756
2 Total of line 1, column (d)			2 .221533
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .044307
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 39,161,469.
5 Multiply line 4 by line 3			5 1,735,127.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 146,560.
7 Add lines 5 and 6			7 1,881,687.
8 Enter qualifying distributions from Part XII, line 4			8 1,408,960.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	293,121.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	293,121.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	293,121.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	46,680.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	251,914.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	298,594.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	5,473.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be credited to 2015 estimated tax. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MIKE HULL Telephone no ► (770) 886-2250 Located at ► 4705 LELAND DRIVE,, CUMMING, GA ZIP+4 ► 30041			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? ☐ Yes ☒ No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS N. BAGWELL	PRESIDENT/CEO			
4705 LELAND DR				
CUMMING, GA 30041	0.00	0.	0.	0.
CHANTAL BAGWELL	CFO/TREASURER			
4705 LELAND DR				
CUMMING, GA 30041	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000☒ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments See instructions	
3 N/A	
	0.
	0.
Total. Add lines 1 through 3	

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	38,088,994.
b	Average of monthly cash balances	1b	1,668,843.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	39,757,837.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	39,757,837.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	596,368.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,161,469.
6	Minimum investment return. Enter 5% of line 5	6	1,958,073.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,958,073.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	293,121.
b	Income tax for 2014 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	293,121.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,664,952.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,664,952.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,664,952.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,408,960.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,408,960.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,408,960.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,664,952.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			1,401,106.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,408,960.				
a Applied to 2013, but not more than line 2a			1,401,106.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				7,854.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				1,657,098.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Form 990-PF (2014)

THE CHANTAL AND TOMMY BAGWELL FOUNDATION
INC.

Form 990-PF (2014)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
a Paid during the year				
ALBANY TECH FOUNDATION 1704 S. SLAPPEY BOULEVARD ALBANY, GA 31701	NONE	501(C)3	ANNUAL SCHOLARSHIP	8,000.
EAGLE RANCH CHILDREN'S HOME 5500 UNION CHURCH ROAD FLOWERY BRANCH, GA 30502	NONE	501(C)3	SUPPORT CHRISTIAN BASED CHILDREN'S HOME	50,000.
ELACHEE NATURE SCIENCE CENTER 2125 ELACHEE DRIVE GAINESVILLE, GA 30504	NONE	501(C)3	GIFT TO ANNUAL EDUCATION FUND	5,000.
FORSYTH COUNTY FAMILY YMCA 6050 Y STREET CUMMING, GA 30040	NONE	501(C)3	TO SUPPORT THE CUMMINGS YMCA IN ITS MISSION TO PUT CHRISTIAN PRINCIPLES INTO PRACTICE THROUGH	3,460.
GARDEN CITY ELEMENTARY SCHOOL 4037 KESSLER AVENUE GARDEN CITY, GA 31408	NONE	501(C)3	SUPPORT THE ELEMENTARY SCHOOL'S INITIATIVES.	75,000.
Total	SEE CONTINUATION SHEET(S)			1,408,960.
b Approved for future payment				
NONE				
Total				0.

(See worksheet in line 13 instructions to verify calculations)

423621
11-24-14 Form 990-PF (2014)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	 Signature of officer or trustee		5/17/15 Date		VICE PRESIDENT Title		
Paid Preparer Use Only	Print/Type preparer's name JAMES W. GODBEE, JR.		Preparer's signature 		Date 6/5/15	Check ☐ if self-employed	PTIN P00346450
	Firm's name ▶ HENDERSON & GODBEE, LLP					Firm's EIN ▶ 20-3934701	
	Firm's address ▶ P.O. BOX 2241 VALDOSTA, GA 31604-2241					Phone no. (229) 245-6040	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2014**Name of the organization**THE CHANTAL AND TOMMY BAGWELL FOUNDATION
INC.**Employer identification number**

58-2176142

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization
**THE CHANTAL AND TOMMY BAGWELL FOUNDATION
 INC.**

Employer identification number

58-2176142**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMERICAN PROTEINS 4705 LELAND DRIVE CUMMING, GA 30041	\$ 1,480.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	SOLON INVESTMENTS 4705 LELAND DRIVE CUMMING, GA 30041	\$ 10,065,405.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

58-2176142

[illegible]

Name of organization

THE CHANTAL AND TOMMY BAGWELL FOUNDATION
INC.

Employer identification number

58-2176142

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GREENHAVEN CONTINUOUS COMMODITY INDEX	107.	0.	107.	107.	
MERRILL LYNCH	244,170.	0.	244,170.	244,170.	
UBS AX 65898 GL	35,316.	0.	35,316.	35,316.	
UBS AX 65899 GL	27,678.	0.	27,678.	27,678.	
UBS AX 65900 GL	15,842.	0.	15,842.	15,842.	
UBS AX 65901 GL	14,161.	0.	14,161.	14,161.	
UBS AX 77805 GL	498,776.	0.	498,776.	498,776.	
TO PART I, LINE 4	836,050.	0.	836,050.	836,050.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GREENHAVEN FUND	<263,459.>	<263,459.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<263,459.>	<263,459.>	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	138,037.	138,037.		0.
ACCOUNTING FEE	1,450.	1,450.		0.
INVESTMENT EXPENSES	6,958.	6,958.		0.
TO FORM 990-PF, PG 1, LN 16C	146,445.	146,445.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	30,929.	30,929.		0.
TAXES AND LICENSES	30.	30.		0.
TO FORM 990-PF, PG 1, LN 18	30,959.	30,959.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
NONTAXABLE DISTRIBUTIONS	1,912.
FOREIGN TAXES WITHHELD	30,929.
PENALTIES AND INTEREST	551.
TOTAL TO FORM 990-PF, PART III, LINE 3	33,392.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
DIFFERENCE IN BOOK VALUE & TAX VALUE OF SECURITIES SOLD	5,639,121.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,639,121.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED - MERRILL LYNCH	P		
b	SEE ATTACHED - MERRILL LYNCH	P		
c	SEE ATTACHED - UBS AX 77805	P		
d	SEE ATTACHED - UBS AX 77805	P		
e	SEE ATTACHED - UBS AX 65898	P		
f	SEE ATTACHED - UBS AX 65898	P		
g	SEE ATTACHED - UBS AX 65900	P		
h	SEE ATTACHED - UBS AX 65900	P		
i	SEE ATTACHED - UBS AX 65901	P		
j	SEE ATTACHED - UBS AX 65901	P		
k	SEE ATTACHED - UBS AX 65899	P		
l	SEE ATTACHED - UBS AX 65899	P		
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	295,650.	244,298.	51,352.
b	2,430,289.	1,761,712.	668,577.
c	2,455,723.	2,390,902.	64,821.
d	9,895,645.	4,253,863.	5,641,782.
e	203,835.	204,170.	<335.>
f	5,628,148.	5,642,099.	<13,951.>
g	423,177.	364,904.	58,273.
h	2,481,394.	1,576,571.	904,823.
i	640,041.	606,333.	33,708.
j	2,497,957.	1,440,394.	1,057,563.
k	627,893.	578,522.	49,371.
l	11,476,053.	5,731,180.	5,744,873.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			51,352.
b			668,577.
c			64,821.
d			5,641,782.
e			<335.>
f			<13,951.>
g			58,273.
h			904,823.
i			33,708.
j			1,057,563.
k			49,371.
l			5,744,873.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	14,260,857.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THE CHANTAL AND TOMMY BAGWELL FOUNDATION
INC.

58-2176142

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JESSE'S HOUSE P.O. BOX 3318 CUMMING, GA 30028	NONE	501(C)3	TO PROVIDE EMERGENCY AND LONG-TERM CARE TO YOUNG WOMEN WHO HAVE SUFFERED ABUSE OR DELINQUENCY.	54,000.
KING'S RIDGE CHRISTIAN SCHOOL 2765 BETHANY BEND ALPHARETTA, GA 30004	NONE	501(C)3	TO SUPPORT THE SCHOOL'S INITIATIVES.	100,000.
LANIER TECHNICAL COLLEGE FOUNDATION 2990 LANDRUM EDUCATION DRIVE OAKWOOD, GA 30566	NONE	501(C)3	ADULT LITERACY PROGRAM-PERSONNEL OPERATION COSTS	9,000.
NORTH GEORGIA COMMUNITY FOUNDATION 615 OAK ST GAINESVILLE, GA 30501	NONE	501(C)3	TO SUPPORT NONPROFIT ORGANIZATIONS AND DONORS BY BUILDING, DISTRIBUTING AND PRESERVING	1,025,000.
NORTHEAST GEORGIA COUNCIL, BOY SCOUTS OF AMERICA P.O. BOX 399 JEFFERSON, GA 30594	NONE	501(C)3	SUPPORT BOY SCOUTS OF AMERICA MISSION TO SHAPE AND MINDS	35,000.
PLEASANT GROVE BAPTIST CHURCH 7227 A.C. SMITH ROAD DAWSONVILLE, GA 30534	NONE	501(C)3	ASSIST FAMILIES IN NEED OF FOOD, SHELTER, MEDICAL CARE	10,000.
THE HIGHTOWER BAPTIST ASSOCIATION 214 CANTON HIGHWAY CUMMING, GA 30040	NONE	501(C)3	FUNDS TO OPERATE AND SUPPLY FOOD PANTRY	24,000.
THE SALVATION ARMY 681 DORSEY ST GAINESVILLE, GA 30501	NONE	501(C)3	TO PREACH THE GOSPEL OF JESUS CHRIST AND TO MEET HUMAN NEEDS IN HIS NAME WITHOUT DISCRIMINATION.	2,500.
WALLACE STATE COMMUNITY COLLEGE 801 MAIN STREET HANCEVILLE, AL 35077	NONE	501(C)3	ANNUAL SCHOLARSHIP	8,000.
Total from continuation sheets				1,267,500.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - FORSYTH COUNTY FAMILY YMCA

TO SUPPORT THE CUMMINGS YMCA IN ITS MISSION TO PUT CHRISTIAN PRINCIPLES
INTO PRACTICE THROUGH PROGRAMS THAT BUILD HEALTHY SPIRITS, MINDS, AND
BODIES FOR ALL.

NAME OF RECIPIENT - NORTH GEORGIA COMMUNITY FOUNDATION

TO SUPPORT NONPROFIT ORGANIZATIONS AND DONORS BY BUILDING, DISTRIBUTING
AND PRESERVING PHILANTHROPIC ASSETS TO ENHANCE THE SPIRIT OF COMMUNITY
AND QUALITY OF LIFE OF THE NORTH GEORGIA REGION.



BAGWELL FAMILY FOUNDATION INC.

 Account No.
1614-57588

 Taxpayer No.
58-2176142

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2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2

COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)

LABORATORY CP AMER HLDGS	1190.0000	Sale	12/16/13	11/04/14	50540R409	119,968.87	106,966.01	0.00	13,002.86	
MICROS SYSTEMS INC	940.0000	Sale	02/21/14	08/26/14	594901100	63,760.67	51,847.54	0.00	11,913.13	
1650.0000	Sale	05/05/14	08/26/14			111,920.33	85,484.85	0.00	26,435.48	
Security Subtotal						175,681.00	137,332.39	0.00	38,348.61	
Covered Short Term Capital Gains and Losses Subtotal						295,649.87	244,298.40	0.00	51,351.47	
NET SHORT TERM CAPITAL GAINS AND LOSSES						295,649.87	244,298.40	0.00	51,351.47	



Bank of America Corporation

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16H-57588Taxpayer No.
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BAGWELL FAMILY FOUNDATION INC.

2014 ANNUAL STATEMENT SUMMARY

1099-B

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2								
COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)								
APACHE CORP	CUSIP Number	037411105						
1210.0000 Sale	09/08/11	06/23/14	122,995.35	120,862.78		0.00	2,132.57	
225.0000 Sale	04/25/12	06/23/14	22,871.03	20,864.25		0.00	2,006.78	
265.0000 Sale	11/06/12	06/23/14	26,937.01	21,585.18		0.00	5,251.83	
Security Subtotal			172,803.39	153,412.21		0.00	9,391.18	
BANK NEW YORK MELLON CORP	CUSIP Number	064058100						
2535.0000 Sale	03/14/11	03/07/14	83,903.44	73,271.18		0.00	10,632.26	
1465.0000 Sale	04/26/11	03/07/14	48,488.58	42,214.19		0.00	6,274.39	
Security Subtotal			132,392.02	115,485.37		0.00	16,906.65	
HESS CORP	CUSIP Number	42809H107						
3490.0000 Sale	08/15/12	02/19/14	281,192.44	172,154.36		0.00	109,038.08	
INTEL CORP	CUSIP Number	458140100						
2280.0000 Sale	03/18/13	10/17/14	71,676.87	48,787.17		0.00	22,889.70	
LABORATORY CP AMER HLDGS	CUSIP Number	50540R409						
1505.0000 Sale	11/16/12	11/04/14	151,725.33	124,953.33		0.00	26,772.00	
1070.0000 Sale	02/11/13	11/04/14	107,871.16	96,826.35		0.00	11,044.81	
Security Subtotal			259,596.49	221,779.68		0.00	37,816.81	
MEDTRONIC INC COM	CUSIP Number	585055106						
1730.0000 Sale	07/23/12	10/17/14	107,094.72	65,396.90		0.00	41,697.82	
MICROS SYSTEMS INC	CUSIP Number	594901100						
3190.0000 Sale	03/20/13	08/26/14	216,379.29	146,698.54		0.00	69,680.75	
NABORS INDUSTRIES LTD	CUSIP Number	G6359F103						
2620.0000 Sale	08/15/11	02/28/14	58,451.55	52,260.62		0.00	6,190.93	
220.0000 Sale	09/27/11	02/28/14	4,908.15	3,388.18		0.00	1,519.97	
4170.0000 Sale	09/27/11	06/23/14	116,745.74	64,221.41		0.00	52,524.33	
995.0000 Sale	04/25/12	06/23/14	27,856.59	16,774.21		0.00	11,082.38	
3860.0000 Sale	05/30/12	06/23/14	108,066.81	53,310.46		0.00	54,756.35	
Security Subtotal			316,028.84	189,954.88		0.00	126,073.96	



BAGWELL FAMILY FOUNDATION INC.

Account No.
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2014 ANNUAL STATEMENT SUMMARY
2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
PARAGON OFFSHORE PLC SHS								
WHEN ISSUED								
757.0000 Cash/Lieu	07/13/11	08/15/14	7,044.19	10,409.32		0.00	(3,365.13)	
Cash/Lieu	07/13/11	08/15/14	3.09	4.55		0.00	(1.46)	
Security Subtotal			7,047.28	10,413.87		0.00	(3,366.59)	
STRYKER CORP								
330.0000 Sale	04/25/12	01/10/14	25,644.84	17,690.08		0.00	7,954.76	
840.0000 Sale	11/05/12	01/10/14	65,277.79	44,613.46		0.00	20,664.33	
Security Subtotal			90,922.63	62,303.54		0.00	28,619.09	
WELLPOINT INC								
1155.0000 Sale	11/14/11	01/09/14	107,144.25	82,156.24		0.00	24,988.01	
2385.0000 Sale	02/28/12	01/09/14	221,245.92	156,591.92		0.00	64,654.00	
735.0000 Sale	11/06/12	01/09/14	68,182.71	44,293.97		0.00	23,888.74	
Security Subtotal			396,572.88	283,042.13		0.00	113,530.75	
Covered Long Term Capital Gains and Losses Subtotal				2,051,706.85		0.00	572,278.20	

NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)

BANK NEW YORK MELLON CORP								
970.0000 Sale	08/24/09	03/07/14	32,105.06	28,594.92		0.00	3,510.14	
MICROSOFT CORP								
1430.0000 Sale	03/27/08	09/11/14	66,845.30	40,409.80		0.00	26,435.50	
NABORS INDUSTRIES LTD								
780.0000 Sale	11/10/08	02/28/14	17,401.60	12,515.96		0.00	4,885.64	
1720.0000 Sale	05/08/09	02/28/14	24,986.92	20,423.65		0.00	4,563.27	
2900.0000 Sale	09/01/09	02/28/14	64,698.28	49,892.76		0.00	14,805.52	
880.0000 Sale	04/05/10	02/28/14	19,632.58	18,164.61		0.00	1,467.97	
Security Subtotal			126,719.38	100,996.98		0.00	25,722.40	



Taxpayer No.
58-2176142

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BAGWELL FAMILY FOUNDATION INC.

2014 ANNUAL STATEMENT SUMMARY

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS									
1099-B	1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
PARAGON OFFSHORE PLC SHS WHEN ISSUED									
	154,0000 Cash/Lieu	10/06/09	08/15/14	1,433.03	2,189.98		0.00	(756.95)	
	1087,0000 Cash/Lieu	05/05/10	08/15/14	10,114.95	15,251.33		0.00	(5,136.38)	
	410,0000 Cash/Lieu	06/10/10	08/15/14	3,815.21	4,365.79		0.00	(550.58)	
	<i>Security Subtotal</i>			<u>15,363.19</u>	<u>21,807.10</u>		<u>0.00</u>	<u>(6,443.91)</u>	
STRYKER CORP									
	1770,0000 Sale	10/20/10	01/10/14	137,549.61	90,474.08		0.00	47,075.53	
	Noncovered Long Term Capital Gains and Losses Subtotal			378,582.54	282,282.88		0.00	96,299.66	
	NET LONG TERM CAPITAL GAINS AND LOSSES			2,430,289.39	1,761,711.53		0.00	668,577.86	
	SALES PROCEEDS AND NET GAINS AND LOSSES			2,725,939.26				719,929.33	
	COVERED SHORT TERM GAINS/LOSSES							51,351.47	
	COVERED LONG TERM GAINS/LOSSES							572,278.20	
	NONCOVERED LONG TERM GAINS/LOSSES							96,299.66	

JBS FINANCIAL SERVICES INC.

Account: AX77805

PROCEEDS NOT REPORTED TO THE IRS

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND / CUSIP: 399258106 / Symbol: GCG								
10/15/14	3,461.000	85,639.45		04/07/14	97,495.96	11,856.51 W	0.00	Sale
ISHARES TRUST CORE S&P MID-CAP ETF / CUSIP: 464287507 / Symbol: IJH								
08/28/14	2,060.000	294,646.62		04/07/14	276,771.84	0.00	-17,874.78	Sale
10/15/14	1,088.000	139,989.98		04/07/14	146,178.53	6,188.55 W	0.00	Sale
Security total:		434,636.60			422,950.37	6,188.55 W	17,874.78	
ISHARES MSCI EAFE SMALL CAP ETF / CUSIP: 464288273 / Symbol: SCZ								
08/28/14	2,544.000	130,772.70		04/07/14	132,291.91	1,519.21 W	0.00	Sale
ISHARES CORE MSCI EAFE ETF / CUSIP: 46432F842 / Symbol: IEFA:								
08/28/14	6,450.000	391,893.99		04/07/14	391,819.15	0.00	74.84	Sale
ISHARES CORE MSCI EMERGING MARKETS ETF / CUSIP: 46434G103 / Symbol: IEMG								
10/15/14	14,674.000	711,199.12		04/07/14	727,229.05	16,029.93 W	0.00	Sale
VANGUARD ADMIRAL FDS INC 500 GRTH IDX F / CUSIP: 921992505 / Symbol: VOOG								
08/28/14	4,642.000	448,891.34		04/07/14	406,685.62	0.00	42,205.72	Sale
10/15/14	2,831.000	252,689.76		04/07/14	248,023.91	0.00	4,665.85	Sale
Security total:		701,581.10			654,709.53	0.00	46,871.57	
Totals:		2,455,722.96			2,426,495.97	35,594.20 W	64,821.19	

1	0	Original Cost	2,426,496.14
1	1	Wash sales	35,594.20
1	2	Adjusted Cost	2,390,902.14

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BIOMEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB								
09/15/14	2,000.000	640,616.44		05/02/12	269,718.00	0.00	370,898.44	Sale
COMCAST CORP NEW SPECIAL CL A / CUSIP: 20030N200 / Symbol: CMCSK								
09/15/14	10,000.000	567,721.45		05/02/12	299,799.00	0.00	267,922.45	Sale

UBS FINANCIAL SERVICES INC.

Account AX 77805

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
DIRECTV / CUSIP: 25490A309 / Symbol: DTV 09/15/14	5,550,000	481,290.65		03/17/11	250,576.95	0.00	230,713.70	Sale
ISIS PHARMACEUTICALS INC / CUSIP: 464330109 / Symbol: ISIS 09/15/14	13,000,000	505,688.82		05/02/12	104,954.20	0.00	400,734.62	Sale
LIBERTY INTERACTIVE CORP SER A / CUSIP: 53071M104 / Symbol: QVCA								
5 tax lots for 09/15/14.								
2,957,999	85,068.00			07/11/06	44,903.16	0.00	40,164.84	Sale
1,380,000	39,686.91			11/29/06	27,670.05	0.00	12,016.86	Sale
52,000	1,495.44			03/17/08	738.28	0.00	757.16	Sale
700,000	20,131.05			03/18/08	10,118.71	0.00	10,012.34	Sale
36,265,001	1,042,931.72			04/22/08	481,213.39	0.00	561,718.33	Sale
41,355,000	1,189,313.12			VARIOUS	564,643.59	0.00	624,669.53	Total of 5 lots
LIBERTY MEDIA CORP CL A / CUSIP: 531229102 / Symbol: LMCA								
2 tax lots for 09/15/14.								
1,700,000	82,701.55			03/17/11	37,439.36	0.00	45,262.19	Sale
1,000,000	48,647.97			05/02/12	26,724.55	0.00	21,923.42	Sale
2,700,000	131,349.52			VARIOUS	64,163.91	0.00	67,185.61	Total of 2 lots
LIBERTY MEDIA CORP / CUSIP: 531229300 / Symbol: LMCK								
2 tax lots for 09/15/14.								
3,400,000	165,334.12			03/17/11	72,185.46	0.00	93,148.66	Sale
2,000,000	97,255.36			05/02/12	51,526.63	0.00	45,728.73	Sale
5,400,000	262,589.48			VARIOUS	123,712.09	0.00	138,877.39	Total of 2 lots
SANDISK CORP / CUSIP: 80004C101 / Symbol: SNDK								
3 tax lots for 09/15/14.								
5,500,000	536,210.69			03/09/11	254,283.70	0.00	281,926.99	Sale
2,830,000	275,904.77			01/26/12	133,767.03	0.00	142,137.74	Sale
2,000,000	194,985.70			05/02/12	74,960.00	0.00	120,025.70	Sale
10,330,000	1,007,101.16			VARIOUS	463,010.73	0.00	544,090.43	Total of 3 lots

UBS FINANCIAL SERVICES INC.

Account AX-77895

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
STARZ SER A / CUSIP: 85571Q102 / Symbol: STRZA								
2 tax lots for 09/15/14.								
	1,700,000		51,934.02	03/17/11	12,604.67	0.00	39,329.35	Sale
	1,000,000		30,549.43	05/02/12	8,997.32	0.00	21,552.11	Sale
09/15/14	2,700,000		82,483.45	VARIOUS	21,601.99	0.00	60,881.46	Total of 2 lots
VERTEX PHARMACEUTICAL INC. COMMON STOCK / CUSIP: 92532F100 / Symbol: VRTX								
5 tax lots for 09/15/14.								
	174,000		16,045.94	11/12/08	4,650.85	0.00	11,395.09	Sale
	161,000		14,847.11	11/13/08	4,287.19	0.00	10,559.92	Sale
	370,000		34,120.68	11/17/08	9,976.13	0.00	24,144.55	Sale
	110,000		10,143.99	08/10/11	4,712.90	0.00	5,431.09	Sale
09/15/14	6,700,000		617,861.01	05/02/12	258,270.26	0.00	359,590.75	Sale
	7,515,000		693,018.73	VARIOUS	281,897.33	0.00	411,121.40	Total of 5 lots
ACTAVIS PLC / CUSIP: G0083B108 / Symbol: ACT								
2 tax lots for 09/15/14.								
	3,972,763		931,990.00	04/22/08	403,810.72	0.00	528,179.28	Sale
	201,237		47,209.18	02/15/13	21,822.89	0.00	25,386.29	Sale
09/15/14	4,174,000		979,199.18	VARIOUS	425,633.61	0.00	553,565.57	Total of 2 lots
COVIDIEN PLC FOREIGN STOCK / CUSIP: G2554F113 / Symbol: COV								
2 tax lots for 09/15/14.								
	2,080,000		187,865.75	03/20/08	81,349.33	0.00	106,516.42	Sale
	4,365,000		394,247.11	03/27/08	176,424.45	0.00	217,822.66	Sale
09/15/14	6,445,000		582,112.86	VARIOUS	257,773.78	0.00	324,339.08	Total of 2 lots
SEAGATE TECHNOLOGY PLC SHS FOREIGN STOCK / CUSIP: G7945M107 / Symbol: STX								
4 tax lots for 09/15/14.								
	485,000		28,610.44	07/11/06	11,077.40	0.00	17,533.04	Sale
	8,035,000		473,989.50	03/20/08	169,033.10	0.00	304,956.40	Sale
	18,750,000		1,106,073.81	03/27/08	389,533.13	0.00	716,540.68	Sale

JBS FINANCIAL SERVICES INC

Account AX-77805

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SEAGATE TECHNOLOGY PLC SHS FOREIGN STOCK / CUSIP: G7945M107 / Symbol: STX (cont'd)								
09/15/14	3,350,000	197,618.52	197,618.52	04/22/08	65,010.77	0.00	132,607.75	Sale
	30,820,000	1,806,292.27	1,806,292.27	VARIOUS	634,654.40	0.00	1,171,637.87	Total of 4 lots
PENTAIR PLC FOREIGN STOCK / CUSIP: G7S00T104 / Symbol: PNR								
6 tax lots for 09/15/14.								
	721,000	48,548.37	48,548.37	12/27/05	24,254.25	0.00	24,294.12	Sale
	156,000	10,504.22	10,504.22	01/27/06	4,799.84	0.00	5,704.38	Sale
	177,000	11,918.25	11,918.25	06/13/06	5,453.91	0.00	6,464.34	Sale
	135,000	9,090.20	9,090.20	07/11/06	4,273.82	0.00	4,816.38	Sale
	59,000	3,972.75	3,972.75	11/29/06	2,055.11	0.00	1,917.64	Sale
	2,589,000	174,329.71	174,329.71	04/22/08	88,698.55	0.00	85,631.16	Sale
09/15/14	3,937,000	258,363.50	258,363.50	VARIOUS	129,535.48	0.00	128,828.02	Total of 6 lots

TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: HB9128104 / Symbol:

6 tax lots for 09/15/14.

	3,006,932	133,209.73	133,209.73	12/27/05	67,809.72	0.00	65,400.01	Sale
	649,945	28,793.13	28,793.13	01/27/06	13,419.31	0.00	15,373.82	Sale
	737,438	32,669.15	32,669.15	06/13/06	15,247.97	0.00	17,421.18	Sale
	563,703	24,972.54	24,972.54	07/11/06	11,948.68	0.00	13,023.86	Sale
	244,979	10,852.78	10,852.78	11/29/06	5,745.66	0.00	5,107.12	Sale
	10,790,003	478,006.61	478,006.61	04/22/08	248,016.71	0.00	229,989.90	Sale
09/15/14	15,993,000	708,503.94	708,503.94	VARIOUS	362,188.05	0.00	346,315.89	Total of 6 lots
Totals:		9,895,644.57	9,895,644.57		4,253,863.11	0.00	5,641,781.46	

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

Account AX 65898

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
PIMCO LOW DURATION FUND CL A / CUSIP: 693339D411 / Symbol:								
13 tax lots for 03/26/14.								
	462,098	4,778.09		03/28/13	4,852.03	0.00	-73.94	Sale
	517,705	5,353.06		04/30/13	5,451.43	0.00	-98.37	Sale
	409,203	4,231.15		05/31/13	4,255.71	0.00	-24.56	Sale
	318,625	3,294.57		06/28/13	3,265.91	0.00	28.66	Sale
	340,934	3,525.26		07/31/13	3,504.80	0.00	20.46	Sale
	292,432	3,023.74		08/30/13	2,988.66	0.00	35.08	Sale
	199,306	2,060.82		09/30/13	2,052.85	0.00	7.97	Sale
	258,318	2,671.00		10/31/13	2,671.01	0.00	-0.01	Sale
	256,995	2,657.32		11/29/13	2,667.61	0.00	-10.29	Sale
	173,229	1,791.19		12/11/13	1,794.65	0.00	-3.46	Sale
	105,175	1,087.51		12/31/13	1,086.46	0.00	1.05	Sale
	106,476	1,100.96		01/31/14	1,102.03	0.00	-1.07	Sale
	189,260	1,956.94		02/28/14	1,968.30	0.00	-11.36	Sale
03/26/14	3,629,756	37,531.61		VARIOUS	37,661.45	0.00	-129.84	Total of 13 lots

PIONEER STRATEGIC INCOME FUND CLASS A / CUSIP: 723884102 / Symbol:

14 tax lots for 03/26/14.

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
14 tax lots for 03/26/14.								
	935,637	10,263.32		03/28/13	10,628.84	0.00	-364.92	Sale
	907,848	9,959.08		04/30/13	10,403.94	0.00	-444.86	Sale
	923,370	10,129.35		05/31/13	10,443.31	0.00	-313.96	Sale
	954,495	10,470.79		06/28/13	10,480.36	0.00	-9.57	Sale
	955,129	10,477.75		07/31/13	10,515.97	0.00	-38.22	Sale
	972,072	10,663.61		08/30/13	10,556.70	0.00	106.91	Sale
	921,772	10,111.82		09/30/13	10,065.75	0.00	46.07	Sale
	876,429	9,614.41		10/31/13	9,702.07	0.00	-87.66	Sale
	211,578	2,321.01		11/26/13	2,293.51	0.00	27.50	Sale
	3,692,781	40,509.73		11/26/13	40,029.75	0.00	479.98	Sale
	900,169	9,874.84		11/29/13	9,748.83	0.00	126.01	Sale
	1,108,244	12,157.41		12/31/13	11,980.12	0.00	177.29	Sale
	902,818	9,903.90		01/31/14	9,813.63	0.00	90.27	Sale
	897,553	9,846.14		02/28/14	9,846.16	0.00	-0.02	Sale
03/26/14	15,159,895	166,303.76		VARIOUS	166,508.94	0.00	-205.18	Total of 14 lots
Totals:		203,835.37			204,170.39	0.00	-335.02	

UBS FINANCIAL SERVICES INC

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PIMCO LOW DURATION FUND CL A / CUSIP: 693390411 / Symbol: 56 tax lots for 03/26/14.								
	1,662.009	17,185.14		03/05/10	17,284.89	0.00	-99.75	Sale
	48.623	502.76		04/01/10	507.62	0.00	-4.86	Sale
	55.910	578.11		05/03/10	587.06	0.00	-8.95	Sale
	50.943	526.75		06/01/10	530.83	0.00	-4.08	Sale
	53.067	548.71		07/01/10	556.14	0.00	-7.43	Sale
	58.034	600.07		08/02/10	612.26	0.00	-12.19	Sale
	69.618	719.85		09/01/10	735.86	0.00	-16.01	Sale
	73.745	762.52		10/01/10	783.91	0.00	-21.39	Sale
	15.674	162.07		11/01/10	167.87	0.00	-5.80	Sale
	67.553	698.50		11/01/10	723.49	0.00	-24.99	Sale
	0.005	0.05		12/01/10	0.05	0.00	0.00	Sale
	92.795	959.50		12/01/10	984.55	0.00	-25.05	Sale
	148.903	1,539.65		12/08/10	1,541.15	0.00	-1.50	Sale
	746.963	7,723.59		12/09/10	7,731.07	0.00	-7.48	Sale
	100.344	1,037.55		01/03/11	1,042.57	0.00	-5.02	Sale
	91.203	943.04		02/01/11	949.42	0.00	-6.38	Sale
	91.506	946.17		03/01/11	953.49	0.00	-7.32	Sale
	62,380.038	645,008.39		03/15/11	650,000.00	0.00	-4,991.61	Sale
	45.612	471.63		04/01/11	476.19	0.00	-4.56	Sale
	84.675	875.54		04/01/11	884.01	0.00	-8.47	Sale
	58.634	606.27		05/02/11	616.83	0.00	-10.56	Sale
	104.964	1,085.33		05/02/11	1,104.22	0.00	-18.89	Sale
	5.606	57.96		06/01/11	59.03	0.00	-1.07	Sale
	138.888	1,436.10		06/01/11	1,462.49	0.00	-26.39	Sale
	162.618	1,681.47		07/01/11	1,707.49	0.00	-26.02	Sale
	146.888	1,518.82		08/01/11	1,545.26	0.00	-26.44	Sale
	163.902	1,694.74		09/01/11	1,712.78	0.00	-18.04	Sale
	150.164	1,552.69		10/03/11	1,543.69	0.00	9.00	Sale
	146.571	1,515.54		11/01/11	1,519.94	0.00	-4.40	Sale
	142.009	1,468.37		12/01/11	1,462.69	0.00	5.68	Sale
	1.208	12.50		12/08/11	12.49	0.00	0.01	Sale
	582.907	6,027.24		12/29/11	5,986.45	0.00	40.79	Sale
	207.149	2,141.92		01/03/12	2,131.56	0.00	10.36	Sale
	16.085	166.32		02/01/12	167.61	0.00	-1.29	Sale
	210.469	2,176.24		02/01/12	2,193.09	0.00	-16.85	Sale

UBS FINANCIAL SERVICES INC

Account: AX-65888

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et PIMCO LOW DURATION FUND CL A / CUSIP: 693390411 / Symbol: (cont'd)	Proceeds 772,522.71	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	74,712,200							
	9.922		102.60	02/24/12	777,754.00	0.00	-5,231.29	Sale
	200.101		2,069.04	03/01/12	103.39	0.00	-0.79	Sale
	165.344		1,709.65	04/02/12	2,085.05	0.00	-16.01	Sale
	254.062		2,627.00	04/02/12	1,719.58	0.00	-9.93	Sale
	0.012		0.12	04/02/12	2,642.24	0.00	-15.24	Sale
	366.381		3,788.37	05/01/12	0.13	0.00	-0.01	Sale
	117,903,442		1,219,119.32	05/01/12	3,836.01	0.00	-47.64	Sale
	391.015		4,043.09	05/31/12	1,233,270.00	0.00	-14,150.68	Sale
	504.352		5,214.99	06/29/12	4,093.93	0.00	-50.84	Sale
	389.911		4,031.67	07/31/12	5,285.61	0.00	-70.62	Sale
	370.544		3,831.42	08/31/12	4,125.26	0.00	-93.59	Sale
	318.445		3,292.72	09/28/12	3,927.77	0.00	-96.35	Sale
	528.851		5,468.31	10/31/12	3,394.62	0.00	-101.90	Sale
	543.757		5,622.43	11/30/12	5,626.97	0.00	-158.66	Sale
	461.624		4,773.19	12/12/12	5,791.01	0.00	-168.58	Sale
	2,182.867		22,570.80	12/12/12	4,865.52	0.00	-92.33	Sale
	887.437		9,176.08	12/27/12	23,007.42	0.00	-436.62	Sale
	205.035		2,120.06	12/31/12	9,326.96	0.00	-150.88	Sale
	340.332		3,519.02	01/31/13	2,154.92	0.00	-34.86	Sale
	362.810		3,751.45	02/28/13	3,563.28	0.00	-44.26	Sale
03/26/14	269,273.726		2,784,285.14	VARIOUS	3,809.51	0.00	-58.06	Sale
					2,810,661.23	0.00	-26,376.09	Total of 56 lots

PIONEER STRATEGIC INCOME FUND CLASS A / CUSIP: 723884102 / Symbol:

53 tax lots for 03/26/14.

3,492.555	38,313.26	03/05/10	36,776.60	0.00	1,536.66	Sale
130.759	1,434.43	04/01/10	1,389.97	0.00	44.46	Sale
208.367	2,285.78	05/03/10	2,237.86	0.00	47.92	Sale
209.309	2,296.11	06/01/10	2,199.84	0.00	96.27	Sale
209.229	2,295.24	07/01/10	2,209.46	0.00	85.78	Sale
206.235	2,262.40	08/02/10	2,219.09	0.00	43.31	Sale
205.777	2,257.36	09/01/10	2,228.56	0.00	28.80	Sale
203.643	2,233.96	10/01/10	2,238.04	0.00	-4.08	Sale
27.394	300.52	11/01/10	303.25	0.00	-2.73	Sale
171.210	1,878.17	11/01/10	1,895.29	0.00	-17.12	Sale
150.836	1,654.66	11/23/10	1,653.16	0.00	1.50	Sale

UBS FINANCIAL SERVICES INC. Account #X-13388

2014

Proceeds Not Reported to the IRS
(continued)

LONG-TERM TRANSACTIONS
NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked
Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
PIONEER STRATEGIC INCOME FUND CLASS A / CUSIP: 723884102 / Symbol: (cont'd)								
	0.065		0.72	12/01/10	0.71	0.00	0.01	Sale
	197.898	2,170.94	2,170.94	12/01/10	2,161.05	0.00	9.89	Sale
	275.756	3,025.03	3,025.03	01/03/11	3,016.77	0.00	8.26	Sale
	207.375	2,274.90	2,274.90	02/01/11	2,285.27	0.00	-10.37	Sale
	206.554	2,265.90	2,265.90	03/01/11	2,294.81	0.00	-28.91	Sale
	56,509.946	619,913.02	619,913.02	03/15/11	625,000.00	0.00	-5,086.98	Sale
	98.562	1,081.23	1,081.23	04/01/11	1,090.10	0.00	-8.87	Sale
	208.346	2,285.55	2,285.55	04/01/11	2,304.31	0.00	-18.76	Sale
	156.171	1,713.19	1,713.19	05/02/11	1,744.43	0.00	-31.24	Sale
	274.534	3,011.63	3,011.63	05/02/11	3,066.54	0.00	-54.91	Sale
	40.508	444.38	444.38	06/01/11	452.07	0.00	-7.69	Sale
	392.320	4,303.74	4,303.74	06/01/11	4,378.29	0.00	-74.55	Sale
	0.005	0.05	0.05	07/01/11	0.06	0.00	-0.01	Sale
	429.918	4,716.20	4,716.20	07/01/11	4,742.00	0.00	-25.80	Sale
	429.691	4,713.70	4,713.70	08/01/11	4,760.98	0.00	-47.28	Sale
	430.530	4,722.90	4,722.90	09/01/11	4,671.25	0.00	51.65	Sale
	441.183	4,839.77	4,839.77	10/03/11	4,689.78	0.00	149.99	Sale
	452.918	4,968.51	4,968.51	11/01/11	4,927.75	0.00	40.76	Sale
	220.750	2,421.62	2,421.62	11/29/11	2,331.12	0.00	90.50	Sale
	787.203	8,635.60	8,635.60	11/29/11	8,312.86	0.00	322.74	Sale
	478.372	5,247.73	5,247.73	12/01/11	5,061.18	0.00	186.55	Sale
	603.505	6,620.44	6,620.44	01/03/12	6,415.26	0.00	205.18	Sale
	56.799	623.08	623.08	02/01/12	616.27	0.00	6.81	Sale
	397.591	4,361.57	4,361.57	02/01/12	4,313.86	0.00	47.71	Sale
	67,731.627	743,014.65	743,014.65	02/24/12	740,984.00	0.00	2,030.65	Sale
	14.861	163.03	163.03	03/01/12	163.03	0.00	0.00	Sale
	415.870	4,562.08	4,562.08	03/01/12	4,562.09	0.00	-0.01	Sale
	266.467	2,923.14	2,923.14	04/02/12	2,912.48	0.00	10.66	Sale
	444.292	4,873.88	4,873.88	04/02/12	4,856.11	0.00	17.77	Sale
	0.101	1.10	1.10	05/01/12	1.10	0.00	0.00	Sale
	684.852	7,512.82	7,512.82	05/01/12	7,492.28	0.00	20.54	Sale
	112,244.373	1,231,318.62	1,231,318.62	05/21/12	1,216,729.00	0.00	14,589.62	Sale
	634.328	6,958.57	6,958.57	05/31/12	6,863.43	0.00	95.14	Sale
	966.068	10,597.75	10,597.75	06/29/12	10,539.80	0.00	57.95	Sale
	933.860	10,244.42	10,244.42	07/31/12	10,328.49	0.00	-84.07	Sale
	931.424	10,217.71	10,217.71	08/31/12	10,366.75	0.00	-149.04	Sale
	928.191	10,182.23	10,182.23	09/28/12	10,405.02	0.00	-222.79	Sale

UBS FINANCIAL SERVICES INC. Account: AX55888
 2014
 Proceeds Not Reported to the IRS
 (continued)

LONG-TERM TRANSACTIONS									
Report on Form 8949, Part II, with Box F checked									
Description of property									
Date sold or disposed	Quantity	Shown (G)/ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information	
PIONEER STRATEGIC INCOME FUND CLASS A / CUSIP: 723884102 / Symbol: (cont'd)									
	903.871	9,915.45	9,915.45	10/31/12	10,186.63	0.00	-271.18	Sale	
	906.432	9,943.54	9,943.54	11/30/12	10,224.55	0.00	-281.01	Sale	
	859.212	9,425.54	9,425.54	12/31/12	9,691.91	0.00	-266.37	Sale	
	929.731	10,199.13	10,199.13	01/31/13	10,552.45	0.00	-353.32	Sale	
	933.098	10,236.07	10,236.07	02/28/13	10,590.66	0.00	-354.59	Sale	
03/26/14	259,240.472	2,843,863.02	2,843,863.02	VARIOUS	2,831,437.62	0.00	12,425.40	Total of 53 lots	
Totals:		5,628,148.16	5,628,148.16		5,642,098.85	0.00	-13,950.69		

UBS FINANCIAL SERVICES INC.

Account AX 65900

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ADT CORP COM / CUSIP: 00101J106 / Symbol: ADT	33.000		981.07	11/25/13	1,366.79	0.00	-385.72	Sale
AES CORP COMMON STOCK / CUSIP: 00130H105 / Symbol: AES	169.000		2,324.63	07/29/13	2,099.52	0.00	225.11	Sale
ALLEGHENY TECHNOLOGY INC / CUSIP: 01741R102 / Symbol: ATI								
10 tax lots for 03/27/14.								
166.000			6,206.65	10/23/13	5,441.23	0.00	765.42	Sale
122.000			4,561.52	10/31/13	4,058.18	0.00	503.34	Sale
83.000			3,103.33	11/06/13	2,812.87	0.00	290.46	Sale
59.000			2,205.97	01/10/14	2,026.01	0.00	179.96	Sale
75.000			2,804.22	01/10/14	2,634.75	0.00	169.47	Sale
35.000			1,308.63	01/21/14	1,201.36	0.00	107.27	Sale
48.000			1,794.69	01/23/14	1,552.60	0.00	242.09	Sale
75.000			2,804.21	02/24/14	2,383.28	0.00	420.93	Sale
90.000			3,365.06	03/18/14	3,247.93	0.00	117.13	Sale
87.000			3,252.88	03/24/14	3,248.90	0.00	3.98	Sale
840.000			31,407.16	VARIOUS	28,607.11	0.00	2,800.05	Total of 10 lots

APPLIED MATERIALS INC COMMON STOCK / CUSIP: 038222105 / Symbol: AMAT

5 tax lots for 03/27/14.

36.000			716.75	06/03/13	551.27	0.00	165.48	Sale
224.000			4,459.76	06/27/13	3,357.76	0.00	1,102.00	Sale
101.000			2,010.88	07/17/13	1,685.69	0.00	325.19	Sale
155.000			3,085.99	08/16/13	2,461.37	0.00	624.62	Sale
131.000			2,608.17	01/23/14	2,286.98	0.00	321.19	Sale
647.000			12,881.55	VARIOUS	10,343.07	0.00	2,538.48	Total of 5 lots

BAKER HUGHES INC COMMON STOCK / CUSIP: 057224107 / Symbol: BHI

03/27/14

41.000

07/18/13

2,026.59

0.00

558.82

Sale

CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI

3 tax lots for 03/27/14.

16.000

04/23/13

1,053.09

0.00

223.43

Sale

UBS FINANCIAL SERVICES INC

Account: AX 65900

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(1) & 7-Loss not allowed(2)	Additional information
CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI (cont'd)								
	49,000	3,909.37		08/08/13	3,871.69	0.00	37.68	Sale
	11,000	877.61		02/07/14	858.88	0.00	18.73	Sale
03/27/14	76,000	6,063.50		VARIOUS	5,783.66	0.00	279.84	Total of 3 lots
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX								
03/27/14	3,000	353.46		03/24/14	347.51	0.00	5.95	Sale
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO								
	4 tax lots for 03/27/14.							
	108,000	2,417.44		04/08/13	2,220.21	0.00	197.23	Sale
	100,000	2,238.37		08/15/13	2,465.88	0.00	-227.51	Sale
	21,000	470.06		10/16/13	480.59	0.00	-10.53	Sale
	63,000	1,410.17		02/14/14	1,427.32	0.00	-17.15	Sale
03/27/14	292,000	6,536.04		VARIOUS	6,594.00	0.00	-57.96	Total of 4 lots
CITIGROUP INC / CUSIP: 172967424 / Symbol: C								
	2 tax lots for 03/27/14.							
	46,000	2,189.56		01/16/14	2,415.92	0.00	-226.36	Sale
	41,000	1,951.56		03/03/14	1,959.22	0.00	-7.66	Sale
03/27/14	87,000	4,141.12		VARIOUS	4,375.14	0.00	-234.02	Total of 2 lots
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA								
	2 tax lots for 03/27/14.							
	49,000	2,423.26		05/08/13	2,112.37	0.00	310.89	Sale
	117,000	5,786.17		08/08/13	5,282.30	0.00	503.87	Sale
03/27/14	166,000	8,209.43		VARIOUS	7,394.67	0.00	814.76	Total of 2 lots
CORNING INC COMMON STOCK / CUSIP: 219350105 / Symbol: GLW								
	118,000	2,035.46		03/22/13	1,539.36	0.00	496.10	Sale
01/29/14	85,000	1,642.58		03/22/13	1,108.86	0.00	533.72	Sale
02/24/14	4 tax lots for 03/27/14.							
	162,000	3,438.11		04/01/13	2,235.87	0.00	1,202.24	Sale
	35,000	732.38		05/06/13	539.50	0.00	192.88	Sale

UBS FINANCIAL SERVICES INC.	
2014	Account AX-65900
Proceeds Not Reported to the IRS (continued)	

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B						
Report on Form 8949, Part I, with Box C checked								
Description of property								
Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CORNING INC COMMON STOCK / CUSIP: 219350105 / Symbol: GLW (cont'd)								
	317,000		6,449.00	07/17/13	4,701.11	0.00	1,747.89	Sale
	183,000		3,722.92	07/30/13	2,757.61	0.00	965.31	Sale
03/27/14	705,000		14,342.41	VARIOUS	10,234.09	0.00	4,108.32	Total of 4 lots
	Security total:		18,020.45		12,882.31	0.00	5,138.14	
DANA HOLDING CORP / CUSIP: 235825205 / Symbol: DAN								
6 tax lots for 03/27/14.								
	47,000		1,039.15	04/18/13	744.32	0.00	294.83	Sale
	37,000		818.05	04/23/13	615.57	0.00	202.48	Sale
	92,000		2,034.07	05/08/13	1,603.27	0.00	430.80	Sale
	21,000		464.30	10/29/13	405.21	0.00	59.09	Sale
	101,000		2,233.06	02/14/14	2,038.15	0.00	194.91	Sale
	170,000		3,758.62	02/19/14	3,478.13	0.00	280.49	Sale
03/27/14	468,000		10,347.25	VARIOUS	8,884.65	0.00	1,462.60	Total of 6 lots
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS								
2 tax lots for 03/27/14.								
	60,000		2,415.55	04/23/13	2,192.79	0.00	222.76	Sale
	101,000		4,066.18	05/08/13	3,935.77	0.00	130.41	Sale
03/27/14	161,000		6,481.73	VARIOUS	6,128.56	0.00	353.17	Total of 2 lots
GENL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE								
3 tax lots for 03/27/14.								
	202,000		5,175.97	06/28/13	4,713.87	0.00	462.10	Sale
	347,000		8,891.40	07/26/13	8,523.05	0.00	368.35	Sale
	167,000		4,279.15	03/26/14	4,325.30	0.00	-46.15	Sale
03/27/14	716,000		18,346.52	VARIOUS	17,562.22	0.00	784.30	Total of 3 lots
HARTFORD FINCL SERVICES GROUP INC / CUSIP: 416515104 / Symbol: HIG								
6 tax lots for 03/27/14.								
	98,000		3,409.48	04/11/13	2,716.41	0.00	693.07	Sale
	33,000		1,148.09	05/08/13	968.22	0.00	179.87	Sale
	53,000		1,843.91	05/08/13	1,565.46	0.00	278.45	Sale

UBS FINANCIAL SERVICES INC. ACCOUNT AX: 65900

2014

Proceeds Not Reported to the IRS (continued)

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HARTFORD FINCL SERVICES GROUP INC / CUSIP: 446515104 / Symbol: HIG (cont'd)								
	1,000	34.79	34.79	05/16/13	30.70	0.00	4.09	Sale
	221,000	7,688.73	7,688.73	08/08/13	6,923.00	0.00	765.73	Sale
	61,000	2,122.23	2,122.23	01/28/14	2,038.61	0.00	83.62	Sale
03/27/14	467,000	16,247.23	16,247.23	VARIOUS	14,242.40	0.00	2,004.83	Total of 6 lots
HOLOGIC INC COMMON STOCK / CUSIP: 436440101 / Symbol: HOLX								
03/27/14	30,000	627.36	627.36	07/15/13	589.47	0.00	37.89	Sale
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC								
03/27/14	89,000	2,260.03	2,260.03	01/17/14	2,291.37	0.00	-31.34	Sale
LAM RESEARCH CORP COMMON STOCK / CUSIP: 512807108 / Symbol: LRCX								
	2 tax lots for 03/27/14.							
	35,000	1,927.76	1,927.76	08/01/13	1,754.55	0.00	173.21	Sale
	60,000	3,304.73	3,304.73	01/23/14	3,272.42	0.00	32.31	Sale
03/27/14	95,000	5,232.49	5,232.49	VARIOUS	5,026.97	0.00	205.52	Total of 2 lots
LENNAR CORP *RECLASSIFICATION AS OF 4/03* / CUSIP: 526057104 / Symbol: LEN								
	3 tax lots for 03/27/14.							
	54,000	2,088.29	2,088.29	08/08/13	1,751.65	0.00	336.64	Sale
	25,000	966.80	966.80	08/16/13	854.48	0.00	112.32	Sale
	28,000	1,082.81	1,082.81	11/27/13	1,019.12	0.00	63.69	Sale
03/27/14	107,000	4,137.90	4,137.90	VARIOUS	3,625.25	0.00	512.65	Total of 3 lots
MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol: MRK								
	10 tax lots for 03/27/14.							
	191,000	10,651.83	10,651.83	10/07/13	9,182.71	0.00	1,469.12	Sale
	64,000	3,569.21	3,569.21	10/14/13	2,982.37	0.00	586.84	Sale
	73,000	4,071.12	4,071.12	11/07/13	3,369.67	0.00	701.45	Sale
	56,000	3,123.05	3,123.05	11/19/13	2,687.96	0.00	435.09	Sale
	23,000	1,282.68	1,282.68	12/27/13	1,145.56	0.00	137.12	Sale
	58,000	3,234.59	3,234.59	12/31/13	2,895.34	0.00	339.25	Sale
	75,000	4,182.65	4,182.65	01/23/14	3,842.17	0.00	340.48	Sale
03/27/14	69,000	3,848.05	3,848.05	01/24/14	3,586.52	0.00	261.53	Sale

UBS FINANCIAL SERVICES INC.

Account: AX65900

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol: MRK (cont'd)								
	36,000		2,007.68	01/30/14	1,924.75	0.00	82.93	Sale
	37,000		2,063.44	02/06/14	1,983.78	0.00	79.66	Sale
03/27/14	582,000		38,034.30	VARIOUS	33,600.83	0.00	4,433.47	Total of 10 lots
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT								
14 tax lots for 03/27/14.								
	229,000		9,075.09	08/28/13	7,579.65	0.00	1,495.44	Sale
	75,000		2,972.19	08/30/13	2,505.70	0.00	466.49	Sale
	175,000		6,935.12	09/03/13	5,545.75	0.00	1,389.37	Sale
	81,000		3,209.96	09/13/13	2,645.70	0.00	564.26	Sale
	80,000		3,170.34	09/17/13	2,641.84	0.00	528.50	Sale
	93,000		3,685.52	09/20/13	3,044.75	0.00	640.77	Sale
	73,000		2,892.93	09/23/13	2,396.59	0.00	496.34	Sale
	56,000		2,219.24	11/22/13	2,103.09	0.00	116.15	Sale
	65,000		2,575.90	12/17/13	2,395.59	0.00	180.31	Sale
	101,000		4,002.55	12/18/13	3,636.00	0.00	366.55	Sale
	34,000		1,347.39	12/27/13	1,267.38	0.00	80.01	Sale
	117,000		4,636.62	01/23/14	4,182.90	0.00	453.72	Sale
	49,000		1,941.83	02/24/14	1,854.43	0.00	87.40	Sale
	68,000		2,694.79	03/26/14	2,715.24	0.00	-20.45	Sale
03/27/14	1,296,000		51,359.47	VARIOUS	44,514.61	0.00	6,844.86	Total of 14 lots
MONDELEZ INTL INC / CUSIP: 609207105 / Symbol: MDLZ								
4 tax lots for 03/27/14.								
	208,000		7,089.62	10/30/13	7,046.92	0.00	42.70	Sale
	257,000		8,759.78	11/01/13	8,657.94	0.00	101.84	Sale
	154,000		5,249.05	11/27/13	5,206.48	0.00	42.57	Sale
	29,000		988.46	01/22/14	1,007.03	0.00	-18.57	Sale
03/27/14	648,000		22,086.91	VARIOUS	21,918.37	0.00	168.54	Total of 4 lots
MYLAN INC / CUSIP: 628530107 / Symbol: MYL								
03/27/14	199,000		9,674.57	04/17/13	5,553.59	0.00	4,120.98	Sale

UBS FINANCIAL SERVICES INC

Proceeds Not Reported to the IRS

(continued)

Account AX 65800

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part 1, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or
disposed

Quantity

Proceeds
(Gross Net)Date
acquiredCost or
other basisAdjustments &
Code(s), if anyGain or loss(-) &
7-Loss not allowed(X) Additional information

PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol: PEP

5 tax lots for 03/27/14.

03/27/14	8,000	663.80	05/31/13	648.69	0.00	15.11	Sale
	49,000	4,065.79	10/30/13	4,162.11	0.00	-96.32	Sale
	111,000	9,210.26	11/01/13	9,383.51	0.00	-173.25	Sale
	18,000	1,493.55	11/29/13	1,525.35	0.00	-31.80	Sale
	34,000	2,821.16	01/31/14	2,730.84	0.00	90.32	Sale
	220,000	18,254.56	VARIOUS	18,450.50	0.00	-195.94	Total of 5 lots

PFIZER INC COMMON STOCK / CUSIP: 717081103 / Symbol: PFE
03/27/14

4,594.95

4,107.99

0.00

486.96

Sale

SLM CORP VTC / CUSIP: 78442P106 / Symbol: SLM

6 tax lots for 03/27/14.

03/27/14	49,000	1,214.20	04/23/13	984.71	0.00	229.49	Sale
	94,000	2,329.28	05/08/13	1,983.95	0.00	345.33	Sale
	82,000	2,031.92	06/26/13	1,864.53	0.00	167.39	Sale
	80,000	1,982.36	09/09/13	1,964.20	0.00	18.16	Sale
	95,000	2,354.06	01/21/14	2,293.30	0.00	60.76	Sale
	94,000	2,329.28	01/23/14	2,187.36	0.00	141.92	Sale
	494,000	12,241.10	VARIOUS	11,278.05	0.00	963.05	Total of 6 lots

SEALED AIR CORP NEW / CUSIP: 81211K100 / Symbol: SEE

6 tax lots for 03/27/14.

03/27/14	90,000	2,801.64	04/03/13	2,007.38	0.00	794.26	Sale
	72,000	2,241.32	05/08/13	1,642.31	0.00	599.01	Sale
	171,000	5,323.13	06/21/13	4,066.84	0.00	1,256.29	Sale
	19,000	591.46	08/28/13	535.92	0.00	55.54	Sale
	88,000	2,739.39	08/30/13	2,503.28	0.00	236.11	Sale
	133,000	4,140.21	09/30/13	3,650.01	0.00	490.20	Sale
	573,000	17,837.15	VARIOUS	14,405.74	0.00	3,431.41	Total of 6 lots

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B	
Report on Form 8949, Part I, with Box C checked			
Description of property			
Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired
TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX			
	2 tax lots for 03/27/14.		
	8.000	337.05	06/17/13
	95.000	4,002.53	07/11/13
03/27/14	103.000	4,339.58	VARIOUS
TEXTRON INC COMMON STOCK / CUSIP: 883203101 / Symbol: TXT			
	2 tax lots for 03/27/14.		
	95.000	3,584.46	04/17/13
	61.000	2,301.60	05/08/13
03/27/14	156.000	5,886.06	VARIOUS
THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO			
01/30/14	23.000	2,643.45	02/21/13
WELLPOINT INC **NAME CHANGE 12/2014** / CUSIP: 94973V107 / Symbol:			
	11 tax lots for 03/27/14.		
	55.000	5,459.83	05/23/13
	1.000	99.27	05/30/13
	18.000	1,786.86	06/06/13
	20.000	1,985.39	06/12/13
	37.000	3,672.98	06/17/13
	126.000	12,507.98	06/25/13
	35.000	3,474.44	07/17/13
	46.000	4,566.41	01/23/14
	32.000	3,176.63	01/29/14
	22.000	2,183.93	01/31/14
	17.000	1,687.59	02/24/14
03/27/14	409.000	40,601.31	VARIOUS
NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol: NBR			
	2 tax lots for 03/27/14.		
	145.000	3,501.80	04/05/13

UBS FINANCIAL SERVICES INC
 Proceeds Not Reported to the IRS
 (continued)

Account AX 65900

SHORT-TERM TRANSACTIONS		NOT reported on Form 1099-B	
Report on Form 8949, Part I, with Box C checked			
Description of property			
Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired
TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX			
	2 tax lots for 03/27/14.		
	8.000	337.05	06/17/13
	95.000	4,002.53	07/11/13
03/27/14	103.000	4,339.58	VARIOUS
TEXTRON INC COMMON STOCK / CUSIP: 883203101 / Symbol: TXT			
	2 tax lots for 03/27/14.		
	95.000	3,584.46	04/17/13
	61.000	2,301.60	05/08/13
03/27/14	156.000	5,886.06	VARIOUS
THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO			
01/30/14	23.000	2,643.45	02/21/13
WELLPOINT INC **NAME CHANGE 12/2014** / CUSIP: 94973V107 / Symbol:			
	11 tax lots for 03/27/14.		
	55.000	5,459.83	05/23/13
	1.000	99.27	05/30/13
	18.000	1,786.86	06/06/13
	20.000	1,985.39	06/12/13
	37.000	3,672.98	06/17/13
	126.000	12,507.98	06/25/13
	35.000	3,474.44	07/17/13
	46.000	4,566.41	01/23/14
	32.000	3,176.63	01/29/14
	22.000	2,183.93	01/31/14
	17.000	1,687.59	02/24/14
03/27/14	409.000	40,601.31	VARIOUS
NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol: NBR			
	2 tax lots for 03/27/14.		
	145.000	3,501.80	04/05/13

Account AX 65900

UBS FINANCIAL SERVICES INC.	
2014	Account: AX 65800
Proceeds Not Reported to the IRS (continued)	

SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol: NBR (cont'd)								
03/27/14	240,000	5,796.09	3,971.71	10/24/13	3,971.71	0.00	1,824.38	Sale
	385,000	9,297.89	6,237.41	VARIOUS	6,237.41	0.00	3,060.48	Total of 2 lots
PENTAIR LTD ** MERGER EFF 06/20/14** \$0.22 / CUSIP: H6169Q108 / Symbol:								
2 tax lots for 03/27/14.								
	45,000	3,452.21	2,499.83	05/08/13	2,499.83	0.00	952.38	Sale
	64,000	4,909.80	3,690.84	07/03/13	3,690.84	0.00	1,218.96	Sale
03/27/14	109,000	8,362.01	6,190.67	VARIOUS	6,190.67	0.00	2,171.34	Total of 2 lots
TYCO INTL LTD **MERGER EFF 11/20/14** CHF / CUSIP: H89128104 / Symbol:								
4 tax lots for 03/27/14.								
	123,000	5,194.77	3,865.51	03/28/13	3,865.51	0.00	1,329.26	Sale
	209,000	8,826.90	7,049.76	06/19/13	7,049.76	0.00	1,777.14	Sale
	78,000	3,294.25	2,552.11	06/28/13	2,552.11	0.00	742.14	Sale
	82,000	3,463.19	2,924.90	07/15/13	2,924.90	0.00	538.29	Sale
03/27/14	492,000	20,779.11	16,392.28	VARIOUS	16,392.28	0.00	4,386.83	Total of 4 lots
Totals:		423,176.75	364,904.36			0.00	58,272.39	

LONG-TERM TRANSACTIONS Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ADT CORP COM / CUSIP: 00101J106 / Symbol: ADT								
02/14/14	27,000	851.86	711.57	11/05/07	711.57	0.00	140.29	Sale
14 tax lots for 03/27/14.								
	1,000	29.73	26.35	11/05/07	26.35	0.00	3.38	Sale
	15,000	445.94	397.01	12/13/07	397.01	0.00	48.93	Sale
	88,000	2,616.18	2,196.87	12/27/07	2,196.87	0.00	419.31	Sale
	62,000	1,843.22	1,563.55	09/17/08	1,563.55	0.00	279.67	Sale
	5,000	148.65	91.93	05/18/09	91.93	0.00	56.72	Sale

UBS FINANCIAL SERVICES INC.

Account AX65900

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ADT CORP COM / CUSIP: 00101J106 / Symbol: ADT (cont'd)								
	13,000		386.48	06/23/09	211.38	0.00	175.10	Sale
	14,000		416.21	07/30/09	273.56	0.00	142.65	Sale
	47,000		1,397.28	03/05/10	1,126.47	0.00	270.81	Sale
	9,000		267.56	03/16/11	264.04	0.00	3.52	Sale
	32,000		951.34	03/23/12	1,085.01	0.00	-133.67	Sale
	57,000		1,694.57	05/21/12	1,963.47	0.00	-268.90	Sale
	35,000		1,040.53	12/03/12	1,560.38	0.00	-519.85	Sale
	59,000		1,754.03	12/21/12	2,621.50	0.00	-867.47	Sale
	164,000		4,875.61	12/27/12	7,524.60	0.00	-2,648.99	Sale
03/27/14	601,000		17,867.33	VARIOUS	20,906.12	0.00	-3,038.79	Total of 14 lots
	Security total:		18,719.19		21,617.69	0.00	-2,898.50	

AES CORP COMMON STOCK / CUSIP: 00130H105 / Symbol: AES

18 tax lots for 03/27/14.

	537,000		7,386.54	06/29/11	6,650.73	0.00	735.81	Sale
	66,000		907.84	07/01/11	840.02	0.00	67.82	Sale
	163,000		2,242.10	07/05/11	2,064.94	0.00	177.16	Sale
	122,000		1,678.13	07/08/11	1,556.41	0.00	121.72	Sale
	82,000		1,127.93	07/11/11	1,028.38	0.00	99.55	Sale
	138,000		1,898.22	07/18/11	1,664.63	0.00	233.59	Sale
	54,000		742.78	07/22/11	673.79	0.00	68.99	Sale
	106,000		1,458.05	07/28/11	1,316.26	0.00	141.79	Sale
	80,000		1,100.41	08/01/11	971.03	0.00	129.38	Sale
	70,000		962.87	08/05/11	728.50	0.00	234.37	Sale
	71,000		976.62	09/28/11	710.86	0.00	265.76	Sale
	153,000		2,104.54	09/29/11	1,522.50	0.00	582.04	Sale
	284,000		3,906.48	11/23/11	3,080.65	0.00	825.83	Sale
	91,000		1,251.72	02/28/12	1,215.53	0.00	36.19	Sale
	257,000		3,535.09	05/21/12	3,042.25	0.00	492.84	Sale
	256,000		3,521.33	05/24/12	3,097.88	0.00	423.45	Sale
	81,000		1,114.17	11/15/12	763.58	0.00	350.59	Sale
	176,000		2,420.91	11/15/12	1,702.19	0.00	718.72	Sale
03/27/14	2,787,000		38,335.73	VARIOUS	32,630.13	0.00	5,705.60	Total of 18 lots

UBS FINANCIAL SERVICES INC.
2014
Proceeds Not Reported to the IRS
(continued)

LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box F checked

Date sold or disposed	Quantity	Proceeds Shown (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (7) Loss not allowed (X)	Additional information
AT&T INC / CUSIP: 00206R102 / Symbol: T							
01/24/14	41,000	1,376.35	12/07/06	1,432.06	0.00	-55.71	Sale
03/26/14	57,000	1,989.27	12/07/06	1,990.93	0.00	-1.66	Sale
7 tax lots for 03/27/14.							
	38,000	1,316.07	12/07/06	1,327.28	0.00	-11.21	Sale
	110,000	3,809.69	02/07/07	4,128.01	0.00	-318.32	Sale
	603,000	20,884.03	05/23/07	24,408.48	0.00	-3,524.45	Sale
	200,000	6,926.70	03/05/10	4,989.40	0.00	1,937.30	Sale
	30,000	1,039.01	03/16/11	824.74	0.00	214.27	Sale
	93,000	3,220.92	04/24/12	2,962.05	0.00	258.87	Sale
	153,000	5,298.93	05/21/12	5,140.80	0.00	158.13	Sale
03/27/14	1,227,000	42,495.35	VARIOUS	43,780.76	0.00	-1,285.41	Total of 7 lots
Security total:				47,203.75	0.00	-1,342.78	

AMER ELECTRIC POWER CO COMMON STOCK / CUSIP: 025537101 / Symbol: AEP

7 tax lots for 03/27/14.							
	623,000	30,550.44	05/23/07	30,601.76	0.00	-51.32	Sale
	89,000	4,364.34	06/04/08	3,830.92	0.00	533.42	Sale
	109,000	5,345.10	03/05/10	3,733.03	0.00	1,612.07	Sale
	14,000	686.53	03/16/11	482.79	0.00	203.74	Sale
	60,000	2,942.26	02/23/12	2,291.69	0.00	650.57	Sale
	122,000	5,982.59	05/21/12	4,600.62	0.00	1,381.97	Sale
	16,000	784.60	02/19/13	727.20	0.00	57.40	Sale
03/27/14	1,033,000	50,655.86	VARIOUS	46,268.01	0.00	4,387.85	Total of 7 lots

AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP

2 tax lots for 03/21/14.							
	9,000	828.43	05/23/07	577.26	0.00	251.17	Sale
	37,000	3,281.87	05/23/07	2,373.18	0.00	908.69	Sale
	2,000	185.20	05/23/07	128.28	0.00	56.92	Sale
	44,000	4,003.32	05/23/07	2,822.16	0.00	1,181.16	Sale
2 tax lots for 03/21/14.							
	2,000	183.68	05/23/07	128.28	0.00	55.40	Sale
	5,000	459.19	06/20/07	313.38	0.00	145.81	Sale
	7,000	642.87	VARIOUS	441.66	0.00	201.21	Total of 2 lots
03/21/14	29,000	2,630.58	06/20/07	1,817.63	0.00	812.95	Sale

UBS FINANCIAL SERVICES, INC.	
2014	Account AX66900
Proceeds Not Reported to the IRS	
(Continued)	

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP (cont'd)								
18 tax lots for 03/27/14.								
	72,000	6,484.29		06/20/07	4,512.74	0.00	1,971.55	Sale
	34,000	3,062.03		04/25/08	1,626.44	0.00	1,435.59	Sale
	35,000	3,152.09		06/04/08	1,604.41	0.00	1,547.68	Sale
	32,000	2,881.90		11/14/08	639.38	0.00	2,242.52	Sale
	39,000	3,512.33		11/21/08	670.69	0.00	2,841.64	Sale
	97,000	8,735.78		03/04/09	1,151.65	0.00	7,584.13	Sale
	90,000	8,105.36		03/05/10	3,613.50	0.00	4,491.86	Sale
	33,000	2,971.97		10/06/10	1,261.47	0.00	1,710.50	Sale
	28,000	2,521.67		12/21/10	1,210.97	0.00	1,310.70	Sale
	29,000	2,611.73		02/16/11	1,357.18	0.00	1,254.55	Sale
	31,000	2,791.85		03/16/11	1,324.99	0.00	1,466.86	Sale
	38,000	3,422.26		08/12/11	1,737.47	0.00	1,684.79	Sale
	50,000	4,502.98		10/20/11	2,256.41	0.00	2,246.57	Sale
	34,000	3,062.03		01/27/12	1,703.67	0.00	1,358.36	Sale
	98,000	8,825.84		05/21/12	5,508.58	0.00	3,317.26	Sale
	28,000	2,521.67		10/11/12	1,636.70	0.00	884.97	Sale
	17,000	1,531.01		10/18/12	983.15	0.00	547.86	Sale
	62,000	5,583.70		01/17/13	3,773.70	0.00	1,810.00	Sale
03/27/14	847,000	76,280.49		VARIOUS	36,573.10	0.00	39,707.39	Total of 18 lots
	Security total:	87,852.76			44,733.27	0.00	43,119.49	

AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP

2 tax lots for 01/10/14.

	20,000	2,296.60		07/24/09	491.45	0.00	1,805.15	Sale
	5,000	574.15		07/27/09	133.12	0.00	441.03	Sale
01/10/14	25,000	2,870.75		VARIOUS	624.57	0.00	2,246.18	Total of 2 lots

23 tax lots for 03/27/14.

	75,000	8,116.33		07/27/09	1,996.74	0.00	6,119.59	Sale
	47,000	5,086.23		08/05/09	1,318.81	0.00	3,767.42	Sale
	30,000	3,246.53		08/10/09	867.59	0.00	2,378.94	Sale
	23,000	2,489.01		08/14/09	663.25	0.00	1,825.76	Sale
	15,000	1,623.26		08/18/09	419.64	0.00	1,203.62	Sale
	23,000	2,489.01		09/09/09	675.25	0.00	1,813.76	Sale

UBS FINANCIAL SERVICES INC.

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net))	Proceeds (Gross (Net))	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP (cont'd)								
	22,000		2,380.79	12/17/09	835.63	0.00	1,545.16	Sale
	18,000		1,947.92	02/05/10	681.16	0.00	1,266.76	Sale
	35,000		3,787.62	03/05/10	1,499.05	0.00	2,288.57	Sale
	29,000		3,198.31	03/18/10	1,299.32	0.00	1,898.99	Sale
	35,000		3,787.62	04/16/10	1,648.71	0.00	2,138.91	Sale
	53,000		5,735.54	05/26/10	2,091.19	0.00	3,644.35	Sale
	30,000		3,246.53	06/30/10	1,093.07	0.00	2,153.46	Sale
	40,000		4,328.71	10/11/10	1,985.91	0.00	2,342.80	Sale
	22,000		2,380.79	02/03/11	1,278.45	0.00	1,102.34	Sale
	34,000		3,679.40	03/16/11	2,007.71	0.00	1,671.69	Sale
	5,000		541.09	06/03/11	289.75	0.00	251.34	Sale
	20,000		2,164.36	08/27/11	1,113.94	0.00	1,050.42	Sale
	59,000		6,384.84	08/31/11	2,709.82	0.00	3,675.02	Sale
	87,000		9,414.94	05/21/12	4,087.25	0.00	5,327.69	Sale
	35,000		3,787.62	06/26/12	1,735.06	0.00	2,052.56	Sale
	24,000		2,597.23	07/24/12	1,170.74	0.00	1,426.49	Sale
	33,000		3,571.18	12/19/12	2,079.66	0.00	1,491.52	Sale
03/27/14	794,000		85,924.86	VARIOUS	33,547.70	0.00	52,377.16	Total of 23 lots
Security total:			88,795.61		34,172.27	0.00	54,623.34	

APPLIED MATERIALS INC COMMON STOCK / CUSIP: 038222105 / Symbol: AMAT

7 tax lots for 03/27/14.

	447,000		8,899.62	08/02/12	4,934.43	0.00	3,965.19	Sale
	226,000		4,499.58	08/13/12	2,691.95	0.00	1,807.63	Sale
	179,000		3,563.83	08/16/12	2,124.43	0.00	1,439.40	Sale
	200,000		3,981.94	09/11/12	2,328.22	0.00	1,653.72	Sale
	163,000		3,245.27	09/21/12	1,867.36	0.00	1,377.91	Sale
	188,000		3,743.02	10/04/12	2,080.97	0.00	1,662.05	Sale
	115,000		2,289.61	10/11/12	1,264.67	0.00	1,024.94	Sale
03/27/14	1,518,000		30,222.87	VARIOUS	17,292.03	0.00	12,930.84	Total of 7 lots

BAKER HUGHES INC COMMON STOCK / CUSIP: 057224107 / Symbol: BHI

31 tax lots for 03/27/14.

	16,000		1,008.94	08/05/09	622.80	0.00	386.14	Sale
	30,000		1,891.76	08/31/09	1,037.99	0.00	853.77	Sale

UBS FINANCIAL SERVICES INC

Account AX 65909

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol: BHI (cont'd)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BAKER HUGHES INC COMMON STOCK / CUSIP: 057224107	24,000	1,513.41	819.76	09/17/09	965.89	0.00	547.52	Sale
	13,000	819.76	4,098.82	11/02/09	554.53	0.00	265.23	Sale
	65,000	4,098.82	1,828.70	03/05/10	3,311.75	0.00	787.07	Sale
	29,000	1,828.70	3,152.94	03/26/10	1,293.97	0.00	534.73	Sale
	50,000	3,152.94	1,198.11	05/07/10	2,242.98	0.00	909.96	Sale
	19,000	1,198.11	3,846.58	05/19/10	831.88	0.00	366.23	Sale
	61,000	3,846.58	1,576.47	08/04/10	2,577.24	0.00	1,269.34	Sale
	25,000	1,576.47	3,909.64	10/28/10	1,140.10	0.00	436.37	Sale
	62,000	3,909.64	1,702.59	11/22/10	3,075.83	0.00	833.81	Sale
	27,000	1,702.59	1,008.94	03/16/11	1,840.55	0.00	-137.96	Sale
	16,000	1,008.94	819.76	03/30/11	1,172.18	0.00	-163.24	Sale
	13,000	819.76	1,324.23	08/24/11	719.26	0.00	100.50	Sale
	21,000	1,324.23	1,891.76	09/07/11	1,263.56	0.00	60.67	Sale
	30,000	1,891.76	693.65	09/20/11	1,792.71	0.00	99.05	Sale
	11,000	693.65	2,837.64	09/28/11	558.74	0.00	134.91	Sale
	45,000	2,837.64	1,135.06	09/28/11	2,285.75	0.00	551.89	Sale
	18,000	1,135.06	2,837.64	09/30/11	838.39	0.00	296.67	Sale
	45,000	2,837.64	1,387.29	11/01/11	2,386.91	0.00	450.73	Sale
	22,000	1,387.29	1,765.65	01/25/12	1,031.37	0.00	355.92	Sale
	28,000	1,765.65	2,270.11	03/21/12	1,260.75	0.00	504.90	Sale
	36,000	2,270.11	6,305.87	04/24/12	1,564.86	0.00	705.25	Sale
	100,000	6,305.87	5,423.05	05/21/12	4,160.00	0.00	2,145.87	Sale
	86,000	5,423.05	4,351.05	06/25/12	3,272.37	0.00	2,150.68	Sale
	69,000	4,351.05	1,954.82	06/26/12	2,617.03	0.00	1,734.02	Sale
	31,000	1,954.82	2,774.58	06/29/12	1,267.66	0.00	687.16	Sale
	44,000	2,774.58	2,333.17	08/13/12	2,138.48	0.00	636.10	Sale
	37,000	2,333.17	1,198.12	09/26/12	1,695.40	0.00	637.77	Sale
	19,000	1,198.12	1,828.70	10/04/12	826.97	0.00	371.15	Sale
	29,000	1,828.70	70,688.81	12/19/12	1,196.16	0.00	632.54	Sale
03/27/14	1,121,000			VARIOUS	51,544.06	0.00	19,144.75	Total of 31 lots

CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI

6 tax lots for 03/27/14.

127,000	10,132.43	07/31/12	0.00	4,934.30	Sale
52,000	4,148.72	08/02/12	0.00	1,980.31	Sale
18,000	1,436.09	08/07/12	0.00	658.56	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS (continued)

2014

LONG-TERM TRANSACTIONS

Report on Form 8249, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7-Loss not allowed(X)	Additional information
CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI (cont'd)							
	41,000	3,271.10	08/13/12	1,785.89	0.00	1,485.21	Sale
	85,000	6,781.55	08/28/12	3,789.61	0.00	2,991.94	Sale
	39,000	3,111.54	09/11/12	1,804.55	0.00	1,306.99	Sale
03/27/14	362,000	28,881.43	VARIOUS	15,524.12	0.00	13,357.31	Total of 6 lots
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX							
11 tax lots for 03/27/14.							
	52,000	6,126.64	05/08/06	3,224.52	0.00	2,902.12	Sale
	85,000	10,014.69	12/07/06	6,266.20	0.00	3,748.49	Sale
	100,000	11,781.99	02/07/07	7,373.73	0.00	4,408.26	Sale
	167,000	19,675.92	05/23/07	13,606.71	0.00	6,069.21	Sale
	59,000	6,951.38	03/05/10	4,383.70	0.00	2,567.68	Sale
	13,000	1,531.66	03/26/10	965.61	0.00	566.05	Sale
	16,000	1,885.11	04/12/10	1,288.29	0.00	596.82	Sale
	72,000	8,483.04	04/15/10	5,843.24	0.00	2,639.80	Sale
	10,000	1,178.20	03/16/11	1,003.26	0.00	174.94	Sale
	71,000	8,365.21	05/21/12	7,028.29	0.00	1,336.92	Sale
	2,000	235.64	02/19/13	231.34	0.00	4.30	Sale
03/27/14	647,000	76,229.48	VARIOUS	51,214.89	0.00	25,014.59	Total of 11 lots
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO							
22 tax lots for 03/27/14.							
	510,000	11,415.69	07/19/11	7,981.20	0.00	3,434.49	Sale
	107,000	2,395.06	07/22/11	1,739.82	0.00	655.24	Sale
	83,000	1,857.84	07/28/11	1,338.79	0.00	519.05	Sale
	190,000	4,252.91	08/09/11	2,629.90	0.00	1,623.01	Sale
	10,000	223.84	08/10/11	140.88	0.00	82.96	Sale
	19,000	425.29	08/31/11	301.07	0.00	124.22	Sale
	169,000	3,782.84	10/05/11	2,745.35	0.00	1,037.49	Sale
	50,000	1,119.19	11/10/11	929.93	0.00	189.26	Sale
	119,000	2,663.66	11/23/11	2,099.16	0.00	564.50	Sale
	137,000	3,066.57	12/14/11	2,459.12	0.00	607.45	Sale
	104,000	2,327.90	12/21/11	1,884.48	0.00	443.42	Sale
	36,000	805.82	03/15/12	718.53	0.00	87.29	Sale
	87,000	1,947.38	03/27/12	1,826.13	0.00	121.25	Sale

UBS FINANCIAL SERVICES INC

Account AX65900

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO (cont'd)								
	62,000		1,387.79	04/04/12	1,264.17	0.00	123.62	Sale
	34,000		761.04	05/10/12	587.42	0.00	173.62	Sale
	45,000		1,007.27	05/11/12	749.83	0.00	257.44	Sale
	284,000		6,356.97	05/21/12	4,663.05	0.00	1,693.92	Sale
	101,000		2,260.76	05/24/12	1,657.40	0.00	603.36	Sale
	70,000		1,566.86	06/08/12	1,164.97	0.00	401.89	Sale
	158,000		3,536.62	12/21/12	3,152.10	0.00	384.52	Sale
	41,000		917.73	01/17/13	861.70	0.00	56.03	Sale
	106,000		2,372.68	03/21/13	2,203.48	0.00	169.20	Sale
03/27/14	2,522,000		56,451.71	VARIOUS	43,098.48	0.00	13,353.23	Total of 22 lots

CITIGROUP INC / CUSIP: 172967424 / Symbol: C

25 tax lots for 03/27/14.

167,000		7,949.04		11/22/11	4,159.47	0.00	3,789.57	Sale
38,000		1,808.76		11/23/11	897.48	0.00	911.28	Sale
34,000		1,618.37		12/08/11	962.83	0.00	655.54	Sale
64,000		3,046.34		12/12/11	1,729.96	0.00	1,316.38	Sale
37,000		1,761.16		12/21/11	951.50	0.00	809.66	Sale
54,000		2,570.35		01/13/12	1,662.58	0.00	907.77	Sale
62,000		2,951.14		01/17/12	1,808.91	0.00	1,142.23	Sale
61,000		2,903.55		01/23/12	1,844.61	0.00	1,058.94	Sale
44,000		2,094.35		01/27/12	1,344.13	0.00	750.22	Sale
28,000		1,332.78		02/14/12	886.67	0.00	446.11	Sale
15,000		713.98		03/09/12	518.09	0.00	195.89	Sale
56,000		2,665.55		03/14/12	1,972.07	0.00	693.48	Sale
89,000		4,236.31		04/13/12	3,020.66	0.00	1,215.65	Sale
16,000		761.59		05/15/12	453.88	0.00	307.71	Sale
98,000		4,664.70		05/21/12	2,584.26	0.00	2,080.44	Sale
28,000		1,332.78		05/22/12	760.55	0.00	572.23	Sale
40,000		1,903.96		06/11/12	1,084.86	0.00	819.10	Sale
76,000		3,617.53		07/16/12	2,050.34	0.00	1,567.19	Sale
71,000		3,379.53		08/21/12	2,183.25	0.00	1,196.28	Sale
99,000		4,712.30		09/04/12	2,937.65	0.00	1,774.65	Sale
51,000		2,427.56		09/18/12	1,728.97	0.00	698.59	Sale
57,000		2,713.14		10/01/12	1,901.66	0.00	811.48	Sale
24,000		1,142.38		10/10/12	842.88	0.00	299.50	Sale

UBS FINANCIAL SERVICES INC	Account: AX 65800
2014	

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
CITIGROUP INC / CUSIP: 172967424 / Symbol: C (cont'd)								
	327,000		15,564.88	12/27/12	12,817.16	0.00	2,747.72	Sale
	12,000		571.19	12/28/12	468.12	0.00	103.07	Sale
03/27/14	1,648,000		78,443.22	VARIOUS	51,572.54	0.00	26,870.68	Total of 25 lots
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA								
12 tax lots for 03/27/14.								
	228,000		11,275.61	05/23/07	6,239.90	0.00	5,035.71	Sale
	350,000		17,309.04	06/07/07	9,160.38	0.00	8,148.66	Sale
	271,000		13,402.14	12/31/07	4,952.74	0.00	8,449.40	Sale
	148,000		7,319.26	09/23/08	2,884.71	0.00	4,434.55	Sale
	43,000		2,126.54	08/06/09	651.02	0.00	1,475.52	Sale
	21,000		1,038.54	12/22/09	365.82	0.00	672.72	Sale
	199,000		9,841.43	03/05/10	3,462.30	0.00	6,379.13	Sale
	56,000		2,769.44	03/16/11	1,333.52	0.00	1,435.92	Sale
	84,000		4,154.17	08/03/11	1,902.60	0.00	2,251.57	Sale
	134,000		6,626.89	08/03/11	3,006.65	0.00	3,620.24	Sale
	214,000		10,583.25	05/21/12	6,062.62	0.00	4,520.63	Sale
	93,000		4,599.26	12/27/12	3,441.00	0.00	1,158.26	Sale
03/27/14	1,841,000		91,045.57	VARIOUS	43,463.26	0.00	47,582.31	Total of 12 lots
CORNING INC COMMON STOCK / CUSIP: 219350105 / Symbol: GLW								
2 tax lots for 03/27/14.								
	631,000		12,836.97	03/22/13	8,231.64	0.00	4,605.33	Sale
	379,000		7,710.32	03/25/13	4,935.87	0.00	2,774.45	Sale
03/27/14	1,010,000		20,547.29	VARIOUS	13,167.51	0.00	7,379.78	Total of 2 lots
DANA HOLDING CORP / CUSIP: 235825205 / Symbol: DAN								
21 tax lots for 03/27/14.								
	326,000		7,207.70	12/14/10	5,536.88	0.00	1,670.82	Sale
	91,000		2,011.97	01/05/11	1,611.57	0.00	400.40	Sale
	88,000		1,945.63	01/12/11	1,603.35	0.00	342.28	Sale
	43,000		950.71	01/18/11	809.09	0.00	141.62	Sale
	136,000		3,006.90	01/19/11	2,455.41	0.00	551.49	Sale
	65,000		1,437.11	01/21/11	1,138.72	0.00	298.39	Sale

JBS FINANCIAL SERVICES INC.

Account AX 65900

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or
disposed

Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DANA HOLDING CORP / CUSIP: 235825205 / Symbol: DAN (cont'd)	Shown: DAN (cont'd)					
27.000	596.96	01/24/11	473.93	0.00	123.03	Sale
18.000	397.97	01/31/11	316.61	0.00	81.36	Sale
40.000	884.38	03/16/11	671.59	0.00	212.79	Sale
278.000	6,146.45	07/15/11	5,089.01	0.00	1,057.44	Sale
96.000	2,122.51	07/28/11	1,679.50	0.00	443.01	Sale
113.000	2,498.37	08/03/11	1,746.60	0.00	751.77	Sale
217.000	4,797.77	08/08/11	2,424.43	0.00	2,373.34	Sale
48.000	1,061.26	04/26/12	719.61	0.00	341.65	Sale
167.000	3,692.28	05/21/12	2,112.55	0.00	1,579.73	Sale
120.000	2,653.14	06/08/12	1,524.79	0.00	1,128.35	Sale
51.000	1,127.59	06/25/12	618.82	0.00	508.77	Sale
180.000	3,979.71	07/20/12	2,129.63	0.00	1,850.08	Sale
126.000	2,785.80	08/13/12	1,730.13	0.00	1,055.67	Sale
172.000	3,802.84	12/05/12	2,460.49	0.00	1,342.35	Sale
180.000	3,979.71	12/21/12	2,737.96	0.00	1,241.75	Sale
2,582.000	57,086.76	VARIOUS	39,590.67	0.00	17,496.09	Total of 21 lots

03/27/14

GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS

16 tax lots for 03/27/14.

43.000	1,731.15	01/24/08	782.12	0.00	949.03	Sale
69.000	2,777.88	04/09/08	1,294.81	0.00	1,483.07	Sale
88.000	3,542.81	04/11/08	1,586.52	0.00	1,956.29	Sale
117.000	4,710.33	05/05/08	2,129.06	0.00	2,581.27	Sale
24.000	966.22	05/28/08	435.31	0.00	530.91	Sale
117.000	4,710.33	07/16/08	1,768.54	0.00	2,941.79	Sale
39.000	1,570.11	11/20/08	397.53	0.00	1,172.58	Sale
44.000	1,771.40	07/09/09	660.94	0.00	1,110.46	Sale
161.000	6,481.73	03/05/10	3,591.67	0.00	2,890.06	Sale
37.000	1,489.59	08/09/10	684.80	0.00	804.79	Sale
7.000	281.82	03/16/11	151.74	0.00	130.08	Sale
28.000	1,127.26	06/03/11	507.02	0.00	620.24	Sale
93.000	3,744.10	07/28/11	1,835.62	0.00	1,908.48	Sale
162.000	6,521.99	08/08/11	2,560.52	0.00	3,961.47	Sale
79.000	3,180.48	01/19/12	1,512.06	0.00	1,668.42	Sale

UPS FINANCIAL SERVICES INC.

Account: AX65900

Proceeds Not Reported to the IRS

2014

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS (cont'd)								
03/27/14	154,000	6,199.92	50,807.12	05/21/12	4,026.02	0.00	2,173.90	Sale
	1,262,000			VARIOUS	23,924.28	0.00	26,882.84	Total of 16 lots
30 tax lots for 03/27/14.								
12,000			307.48	05/23/07	453.09	0.00	-145.61	Sale
117,000			2,997.97	06/07/07	4,336.66	0.00	-1,338.69	Sale
112,000			2,869.85	09/04/07	4,370.56	0.00	-1,500.71	Sale
58,000			1,486.17	09/10/07	2,244.29	0.00	-758.12	Sale
230,000			5,893.43	09/26/07	9,479.24	0.00	-3,585.81	Sale
48,000			1,229.94	09/28/07	1,976.62	0.00	-746.68	Sale
60,000			1,537.42	10/23/07	2,426.69	0.00	-889.27	Sale
51,000			1,306.80	11/05/07	2,055.51	0.00	-748.71	Sale
117,000			2,997.97	12/31/07	4,337.11	0.00	-1,339.14	Sale
61,000			1,563.04	05/16/08	1,957.47	0.00	-394.43	Sale
5,000			128.12	09/17/08	116.80	0.00	11.32	Sale
132,000			3,382.32	01/14/09	1,867.47	0.00	1,514.85	Sale
105,000			2,690.48	02/23/09	939.74	0.00	1,750.74	Sale
88,000			2,254.88	03/12/09	761.31	0.00	1,493.57	Sale
169,000			4,330.39	03/05/10	2,764.59	0.00	1,565.80	Sale
139,000			3,561.69	03/26/10	2,565.70	0.00	995.99	Sale
98,000			2,511.11	04/15/10	1,921.17	0.00	589.94	Sale
88,000			2,254.88	03/16/11	1,680.30	0.00	574.58	Sale
213,000			5,457.84	03/25/11	4,216.70	0.00	1,241.14	Sale
53,000			1,358.05	06/09/11	985.69	0.00	372.36	Sale
62,000			1,588.67	10/12/11	1,024.07	0.00	564.60	Sale
144,000			3,689.80	12/07/11	2,394.71	0.00	1,295.09	Sale
167,000			4,279.15	12/27/11	3,034.27	0.00	1,244.88	Sale
70,000			1,793.65	01/19/12	1,339.10	0.00	454.55	Sale
28,000			717.46	05/02/12	551.25	0.00	166.21	Sale
294,000			7,533.35	05/21/12	5,603.64	0.00	1,929.71	Sale
150,000			3,843.55	05/24/12	2,871.12	0.00	972.43	Sale
127,000			3,254.20	08/13/12	2,671.89	0.00	582.31	Sale
241,000			6,175.29	12/27/12	4,983.88	0.00	1,191.41	Sale

GENL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE

UBS FINANCIAL SERVICES INC.	
2011/14	Account AX 65900
Proceeds Not Reported to the IRS (continued)	

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*
 Report on Form 8949, Part II, with Box F checked
 Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
GENL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE (cont'd)	1,000	25.63	25.63	12/28/12	20.58	0.00	5.05	Sale
03/27/14	3,240,000	83,020.58	83,020.58	VARIOUS	75,951.22	0.00	7,069.36	Total of 30 lots
HARTFORD FINCL SERVICES GROUP INC / CUSIP: 416515104 / Symbol: HIG	35,000	1,253.51	1,253.51	01/07/13	846.53	0.00	406.98	Sale
03/17/14	6 tax lots for 03/27/14.							
	194,000	6,749.38	6,749.38	01/09/13	4,643.62	0.00	2,105.76	Sale
	183,000	6,366.69	6,366.69	01/17/13	4,471.97	0.00	1,894.72	Sale
	100,000	3,479.06	3,479.06	02/05/13	2,472.58	0.00	1,006.48	Sale
	81,000	2,818.05	2,818.05	03/01/13	1,916.20	0.00	901.85	Sale
	88,000	3,061.57	3,061.57	03/20/13	2,289.45	0.00	772.12	Sale
	63,000	2,191.81	2,191.81	03/26/13	1,632.75	0.00	559.06	Sale
03/27/14	709,000	24,666.56	24,666.56	VARIOUS	17,426.57	0.00	7,239.99	Total of 6 lots
	Security total:	25,920.07	25,920.07		18,273.10	0.00	7,646.97	
HOLOGIC INC COMMON STOCK / CUSIP: 436440101 / Symbol: HOLX								
	10 tax lots for 01/14/14.							
	14,000	309.98	309.98	05/28/08	334.29	0.00	-24.31	Sale
	36,000	797.08	797.08	06/04/08	880.67	0.00	-83.59	Sale
	48,000	1,062.78	1,062.78	06/10/08	1,138.20	0.00	-75.42	Sale
	72,000	1,594.17	1,594.17	06/23/08	1,655.36	0.00	-61.19	Sale
	30,000	664.24	664.24	06/25/08	681.66	0.00	-17.42	Sale
	30,000	664.23	664.23	07/01/08	657.04	0.00	7.19	Sale
	64,000	1,417.04	1,417.04	08/08/08	1,320.08	0.00	96.96	Sale
	105,000	2,324.83	2,324.83	09/17/08	2,016.78	0.00	308.05	Sale
	38,000	841.37	841.37	09/26/08	750.94	0.00	90.43	Sale
	118,000	2,612.66	2,612.66	05/06/09	1,443.00	0.00	1,169.66	Sale
01/14/14	555,000	12,288.38	12,288.38	VARIOUS	10,878.02	0.00	1,410.36	Total of 10 lots
	5 tax lots for 03/18/14.							
	7,000	151.64	151.64	05/06/09	85.60	0.00	66.04	Sale
	200,000	4,332.43	4,332.43	06/16/09	2,737.12	0.00	1,595.31	Sale
	7,000	151.64	151.64	06/25/09	100.38	0.00	51.26	Sale
	84,000	1,819.62	1,819.62	10/14/09	1,418.10	0.00	401.52	Sale

UBS FINANCIAL SERVICES INC
 2014
 Proceeds Not Reported to the IRS
 (continued)
 Account AX 65900

LONG-TERM TRANSACTIONS
 NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)/ross (N)/net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HOLOGIC INC COMMON STOCK / CUSIP: 436440101 / Symbol: HOLX (cont'd)							
	48,000	1,039.79	03/05/10	833.57	0.00	206.22	Sale
03/18/14	346,000	7,495.12	VARIOUS	5,174.77	0.00	2,320.35	Total of 5 lots
03/26/14	123,000	2,584.55	03/05/10	2,136.02	0.00	448.53	Sale
8 tax lots for 03/27/14.							
	20,000	418.24	03/05/10	347.32	0.00	70.92	Sale
	41,000	857.39	04/15/10	748.56	0.00	108.83	Sale
	127,000	2,655.83	08/16/11	2,130.17	0.00	525.66	Sale
	110,000	2,300.33	11/14/11	1,932.68	0.00	367.65	Sale
	118,000	2,467.62	12/27/11	2,078.81	0.00	388.81	Sale
	145,000	3,032.24	01/19/12	2,843.78	0.00	188.46	Sale
	112,000	2,342.15	05/10/12	1,894.61	0.00	447.54	Sale
	238,000	4,977.07	05/21/12	4,053.14	0.00	923.93	Sale
03/27/14	911,000	19,050.87	VARIOUS	16,029.07	0.00	3,021.80	Total of 8 lots
Security total:		41,418.92		34,217.88	0.00	7,201.04	

Security total:

HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD

16 tax lots for 03/27/14.

41,000	3,227.39	10/15/08	830.62	0.00	2,396.77	Sale
29,000	2,282.79	10/16/08	557.87	0.00	1,724.92	Sale
73,000	5,746.33	10/23/08	1,380.93	0.00	4,365.40	Sale
59,000	4,644.30	03/17/09	1,250.43	0.00	3,393.87	Sale
23,000	1,810.48	05/19/09	568.56	0.00	1,241.92	Sale
33,000	2,597.66	07/01/09	785.36	0.00	1,812.30	Sale
54,000	4,250.71	07/22/09	1,344.60	0.00	2,906.11	Sale
40,000	3,148.68	08/19/09	1,068.00	0.00	2,080.68	Sale
40,000	3,148.67	11/17/09	1,068.80	0.00	2,079.87	Sale
130,000	10,233.19	03/05/10	4,144.14	0.00	6,089.05	Sale
76,000	5,982.48	05/07/10	2,513.32	0.00	3,469.16	Sale
23,000	1,810.49	03/16/11	826.48	0.00	984.01	Sale
37,000	2,912.53	09/29/11	1,254.59	0.00	1,657.94	Sale
57,000	4,486.86	12/27/11	-2,411.46	0.00	2,075.40	Sale
72,000	5,667.61	05/15/12	3,558.87	0.00	2,108.74	Sale
185,000	14,562.62	05/21/12	8,773.27	0.00	5,789.35	Sale
972,000	76,512.79	VARIOUS	32,337.30	0.00	44,175.49	Total of 16 lots

03/27/14

UBS FINANCIAL SERVICES INC

Account AX65800

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: NTC								
16 tax lots for 03/27/14.								
	154,000		3,910.62	09/26/08	2,881.65	0.00	1,028.97	Sale
	114,000		2,894.87	10/08/08	1,896.68	0.00	998.19	Sale
	157,000		3,986.81	01/07/09	2,267.52	0.00	1,719.29	Sale
	74,000		1,879.13	04/15/09	1,127.72	0.00	751.41	Sale
	57,000		1,447.43	04/16/09	905.72	0.00	541.71	Sale
	77,000		1,955.31	06/23/09	1,228.27	0.00	727.04	Sale
	173,000		4,393.10	03/05/10	3,601.68	0.00	791.42	Sale
	104,000		2,640.94	08/10/10	2,066.24	0.00	574.70	Sale
	36,000		914.17	10/20/10	709.08	0.00	205.09	Sale
	70,000		1,777.56	11/04/10	1,452.50	0.00	325.06	Sale
	101,000		2,564.75	03/16/11	2,015.12	0.00	549.63	Sale
	167,000		4,240.74	12/12/11	3,964.48	0.00	276.26	Sale
	66,000		1,675.98	12/21/11	1,559.36	0.00	116.62	Sale
	209,000		5,307.27	05/21/12	5,412.10	0.00	-104.83	Sale
	120,000		3,047.24	05/30/12	3,103.76	0.00	-56.52	Sale
	126,000		3,199.60	03/04/13	2,662.83	0.00	536.77	Sale
03/27/14	1,805,000		45,835.52	VARIOUS	36,854.71	0.00	8,980.81	Total of 16 lots
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM								
02/10/14	14,000		792.15	05/23/07	731.22	0.00	60.93	Sale
02/18/14	30,000		1,753.19	05/23/07	1,566.90	0.00	186.29	Sale
03/26/14	28,000		1,694.66	05/23/07	1,462.44	0.00	232.22	Sale
17 tax lots for 03/27/14.								
	438,000		26,476.56	05/23/07	22,876.74	0.00	3,599.82	Sale
	5,000		302.24	05/24/07	257.75	0.00	44.49	Sale
	67,000		4,050.07	06/10/08	2,560.72	0.00	1,489.35	Sale
	81,000		4,896.35	08/25/08	2,960.24	0.00	1,936.11	Sale
	9,000		544.04	09/23/08	369.92	0.00	174.12	Sale
	9,000		544.04	01/25/10	353.66	0.00	190.38	Sale
	136,000		8,221.03	03/05/10	5,825.83	0.00	2,395.20	Sale
	77,000		4,654.56	01/10/11	3,336.41	0.00	1,318.15	Sale
	38,000		2,297.05	03/16/11	1,671.38	0.00	625.67	Sale
	48,000		2,901.54	05/16/11	2,079.26	0.00	822.28	Sale
	12,000		725.39	06/13/11	495.22	0.00	230.17	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(+) & 7- Loss not allowed(x)	Additional Information
		JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM (cont'd)					
	94,000	5,682.18	01/13/12	3,337.94	0.00	2,344.24	Sale
	222,000	13,419.63	05/21/12	7,259.40	0.00	6,160.23	Sale
	50,000	3,022.43	06/04/12	1,554.22	0.00	1,468.21	Sale
	73,000	4,412.76	06/04/12	2,280.28	0.00	2,132.48	Sale
	149,000	9,006.87	11/08/12	6,115.17	0.00	2,891.70	Sale
	65,000	3,929.17	12/27/12	2,830.54	0.00	1,098.63	Sale
03/27/14	1,573,000	95,085.91	VARIOUS	66,164.88	0.00	28,921.23	Total of 17 lots
	Security total:	99,325.91		69,925.24	0.00	29,400.67	

JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNU

16 tax lots for 03/27/14.

	65,000	6,299.95	03/01/11	3,965.47	0.00	2,334.48	Sale
	27,000	2,616.91	03/02/11	1,643.18	0.00	973.73	Sale
	31,000	3,004.59	03/04/11	1,878.25	0.00	1,126.34	Sale
	25,000	2,423.06	03/09/11	1,524.68	0.00	898.38	Sale
	17,000	1,647.68	03/14/11	1,006.37	0.00	641.31	Sale
	2,000	193.84	03/16/11	115.58	0.00	78.26	Sale
	58,000	5,621.50	03/21/11	3,418.65	0.00	2,202.85	Sale
	38,000	3,683.05	03/25/11	2,238.27	0.00	1,444.78	Sale
	29,000	2,810.75	03/29/11	1,717.06	0.00	1,093.69	Sale
	48,000	4,652.27	08/08/11	2,965.79	0.00	1,686.48	Sale
	4,000	387.69	09/30/11	255.62	0.00	132.07	Sale
	12,000	1,163.07	12/20/11	771.46	0.00	391.61	Sale
	59,000	5,718.42	05/21/12	3,746.50	0.00	1,971.92	Sale
	22,000	2,132.29	07/30/12	1,529.56	0.00	602.73	Sale
	56,000	5,427.65	08/20/12	3,793.18	0.00	1,634.47	Sale
	38,000	3,683.05	01/17/13	2,767.02	0.00	916.03	Sale
03/27/14	531,000	51,465.77	VARIOUS	33,336.64	0.00	18,129.13	Total of 16 lots

LAM RESEARCH CORP COMMON STOCK / CUSIP: 512807108 / Symbol: LRCX

7 tax lots for 03/27/14.

	197,000	10,850.54	01/27/12	8,499.74	0.00	2,350.80	Sale
	40,000	2,203.16	01/30/12	1,706.34	0.00	496.82	Sale
	44,000	2,423.47	02/07/12	1,907.25	0.00	516.22	Sale
	85,000	4,681.70	04/19/12	3,562.46	0.00	1,119.24	Sale

UBS FINANCIAL SERVICES INC	
2014	Account # 85900
Proceeds Not Reported to the IRS	
(continued)	

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(S), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
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LAM RESEARCH CORP COMMON STOCK / CUSIP: 512807108 / Symbol: LRCX (cont'd)								
	39,000	2,148.08	2,148.08	05/21/12	1,473.81	0.00	674.27	Sale
	58,000	3,194.58	3,194.58	05/24/12	2,170.64	0.00	1,023.94	Sale
	110,000	6,058.68	6,058.68	12/27/12	3,968.49	0.00	2,090.19	Sale
03/27/14	573,000	31,560.21	31,560.21	VARIOUS	23,288.73	0.00	8,271.48	Total of 7 lots

LENNAR CORP *RECLASSIFICATION AS OF 4/03* / CUSIP: 526057104 / Symbol: LEN

12 tax lots for 03/27/14.

	119,000	4,601.96	4,601.96	06/16/09	924.86	0.00	3,677.10	Sale
	48,000	1,856.25	1,856.25	06/24/09	382.87	0.00	1,473.38	Sale
	91,000	3,519.15	3,519.15	07/10/09	750.09	0.00	2,769.06	Sale
	85,000	3,287.12	3,287.12	09/25/09	1,265.93	0.00	2,021.19	Sale
	147,000	5,684.77	5,684.77	03/05/10	2,498.99	0.00	3,185.78	Sale
	50,000	1,933.60	1,933.60	03/31/10	865.50	0.00	1,068.10	Sale
	113,000	4,369.93	4,369.93	06/04/10	1,752.61	0.00	2,617.32	Sale
	27,000	1,044.14	1,044.14	06/25/10	384.67	0.00	659.47	Sale
	164,000	6,342.20	6,342.20	06/29/10	2,313.47	0.00	4,028.73	Sale
	88,000	3,403.13	3,403.13	08/25/10	1,148.19	0.00	2,254.94	Sale
	177,000	6,844.93	6,844.93	08/03/11	3,042.12	0.00	3,802.81	Sale
	185,000	7,154.31	7,154.31	05/21/12	4,965.40	0.00	2,188.91	Sale
03/27/14	1,294,000	50,041.49	50,041.49	VARIOUS	20,294.70	0.00	29,746.79	Total of 12 lots

MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT

01/06/14

Sale

2 tax lots for 01/10/14.

	8,000	480.27	480.27	12/30/11	841.95	0.00	457.00	Sale
	17,000	1,020.56	1,020.56	12/30/11	306.16	0.00	174.11	Sale
01/10/14	25,000	1,500.83	1,500.83	01/09/12	665.69	0.00	354.87	Sale
				VARIOUS	971.85	0.00	528.98	Total of 2 lots

14 tax lots for 03/27/14.

	24,000	1,414.02	1,414.02	01/09/12	939.79	0.00	474.23	Sale
	42,000	2,474.52	2,474.52	01/11/12	1,636.11	0.00	838.41	Sale
	47,000	2,769.12	2,769.12	01/11/12	1,830.89	0.00	938.23	Sale
	21,000	1,237.26	1,237.26	01/17/12	821.65	0.00	415.61	Sale
	35,000	2,062.11	2,062.11	01/30/12	1,357.87	0.00	704.24	Sale
	14,000	824.84	824.84	02/22/12	534.22	0.00	290.62	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net))	Proceeds (Gross (Net))	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT (cont'd)								
	28,000	1,649.68	1,649.68	03/19/12	1,106.98	0.00	542.70	Sale
	23,000	1,355.10	1,355.10	04/12/12	872.56	0.00	482.54	Sale
	29,000	1,706.60	1,706.60	05/18/12	1,077.61	0.00	630.99	Sale
	58,000	3,417.21	3,417.21	05/21/12	2,173.26	0.00	1,243.95	Sale
	56,000	3,299.37	3,299.37	06/05/12	2,012.91	0.00	1,286.46	Sale
	83,000	4,890.13	4,890.13	10/01/12	3,611.04	0.00	1,279.09	Sale
	44,000	2,592.36	2,592.36	11/20/12	1,877.96	0.00	714.40	Sale
	135,000	7,953.84	7,953.84	12/27/12	5,579.10	0.00	2,374.74	Sale
03/27/14	639,000	37,648.16	37,648.16	VARIOUS	25,431.95	0.00	12,216.21	Total of 14 lots
Security total:		40,447.94	40,447.94		27,245.75	0.00	13,202.19	

MONDELEZ INTL INC / CUSIP: 609207105 / Symbol: MDLZ

8 tax lots for 03/27/14.

933,000	31,801.07	05/23/07	20,184.99	0.00	11,616.08	Sale
21,000	715.78	09/09/09	362.57	0.00	353.21	Sale
53,000	1,806.49	09/15/09	898.72	0.00	907.77	Sale
58,000	1,976.91	09/30/09	983.11	0.00	993.80	Sale
156,000	5,317.22	03/05/10	2,968.73	0.00	2,348.49	Sale
5,000	170.43	03/16/11	100.72	0.00	69.71	Sale
171,000	5,828.49	05/21/12	4,266.69	0.00	1,561.80	Sale
10,000	340.85	07/30/12	256.39	0.00	84.46	Sale
1,407,000	47,957.24	VARIOUS	30,021.92	0.00	17,935.32	Total of 8 lots

MYLAN INC / CUSIP: 628530107 / Symbol: MYL

11 tax lots for 03/27/14.

51,000	2,340.39	08/23/11	925.42	0.00	1,414.97	Sale
47,000	2,297.91	08/23/11	852.84	0.00	1,445.07	Sale
40,000	2,039.98	08/23/11	725.82	0.00	1,314.16	Sale
37,000	2,094.92	08/23/11	671.38	0.00	1,423.54	Sale
30,000	1,634.66	08/23/11	544.37	0.00	1,090.29	Sale
46,000	2,405.80	08/23/11	834.69	0.00	1,571.11	Sale
2,000	100.41	08/23/11	36.29	0.00	64.12	Sale
63,000	3,062.80	08/23/11	1,143.17	0.00	1,919.63	Sale
38,000	1,847.41	09/29/11	694.78	0.00	1,152.63	Sale
119,000	5,785.29	10/04/11	1,866.47	0.00	3,918.82	Sale

UBS FINANCIAL SERVICES INC.

Account AX 65900

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
MYLAN INC / CUSIP: 628530107 / Symbol: MYL (cont'd)								
	26,000		1,264.02	10/19/11	454.44	0.00	809.58	Sale
	54,000		2,625.26	10/27/11	1,090.86	0.00	1,534.40	Sale
	58,000		2,819.72	11/25/11	1,015.48	0.00	1,804.24	Sale
	96,000		4,667.13	12/27/11	2,077.17	0.00	2,589.96	Sale
	77,000		3,743.43	02/28/12	1,769.91	0.00	1,973.52	Sale
	163,000		7,924.39	05/21/12	3,400.18	0.00	4,524.21	Sale
	15,000		729.24	08/13/12	351.55	0.00	377.69	Sale
	29,000		1,409.86	03/01/13	876.86	0.00	533.00	Sale
	738,000		35,878.55	VARIOUS	14,740.87	0.00	21,137.68	Total of 11 lots
03/27/14		Security total:	48,792.62		19,331.88	0.00	29,460.94	
PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol: PEP								
11 tax lots for 03/27/14.								
	237,000		19,665.14	03/22/12	15,529.05	0.00	4,136.09	Sale
	36,000		2,987.11	03/29/12	2,364.12	0.00	622.99	Sale
	8,000		663.80	04/27/12	530.15	0.00	133.65	Sale
	61,000		5,061.49	05/21/12	4,134.71	0.00	926.78	Sale
	16,000		1,327.61	05/31/12	1,087.53	0.00	240.08	Sale
	29,000		2,406.28	06/25/12	1,985.76	0.00	420.52	Sale
	25,000		2,074.38	06/26/12	1,715.40	0.00	358.98	Sale
	5,000		414.88	07/30/12	363.70	0.00	51.18	Sale
	61,000		5,061.49	08/13/12	4,398.21	0.00	663.28	Sale
	38,000		3,153.06	12/05/12	2,641.25	0.00	511.81	Sale
	93,000		7,716.70	12/27/12	6,387.24	0.00	1,329.46	Sale
03/27/14	609,000		50,531.94	VARIOUS	41,137.12	0.00	9,394.82	Total of 11 lots
PFIZER INC COMMON STOCK / CUSIP: 717081103 / Symbol: PFE								
02/24/14	123,000		3,920.09	05/23/07	3,370.07	0.00	550.02	Sale
13 tax lots for 03/27/14.								
	1,266,000		40,679.73	05/23/07	34,687.14	0.00	5,992.59	Sale
	119,000		3,823.77	07/18/07	3,002.73	0.00	821.04	Sale
	143,000		4,594.94	12/31/07	3,254.57	0.00	1,340.37	Sale
	39,000		1,253.17	07/01/09	583.83	0.00	669.34	Sale
	278,000		8,932.83	03/05/10	4,861.53	0.00	4,071.30	Sale
	8,000		257.06	03/26/10	138.24	0.00	118.82	Sale

UBS FINANCIAL SERVICES INC

Account AX 65800

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or
disposed

Quantity

Proceeds
Shown (Gross) NetDate
acquiredCost or
other basisAdjustments &
Code(s), if anyGain or (loss) &
7-1 loss not allowed

Additional information

PFIZER INC COMMON STOCK / CUSIP: 717081103 / Symbol: PFE (cont'd)

5,205.47

3,888.03

2,506.33

6,555.03

2,827.66

12,242.48

192.79

92,959.29

96,879.38

06/28/10

02/03/11

03/16/11

04/14/11

08/09/11

05/21/12

01/17/13

VARIOUS

2,361.96

2,324.36

1,518.67

4,179.67

1,515.75

8,576.31

160.17

67,164.93

70,535.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

2,843.51

1,563.67

987.66

2,375.36

1,311.91

3,666.17

32.62

25,794.36

26,344.38

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Total of 13 lots

Security total:

SLM CORP VTG / CUSIP: 78442P106 / Symbol: SLM

7 tax lots for 03/27/14.

231,000

113,000

122,000

213,000

501,000

149,000

141,000

1,470,000

5,724.08

2,800.09

3,023.10

5,278.05

12,414.55

3,692.15

3,493.92

36,425.94

11/07/12

11/09/12

11/13/12

11/20/12

12/21/12

03/11/13

03/18/13

VARIOUS

3,930.17

1,925.67

2,056.71

3,582.23

8,357.98

2,854.33

2,819.37

25,526.46

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

1,793.91

874.42

966.39

1,695.82

4,056.57

837.82

674.55

10,899.48

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Total of 7 lots

SEALED AIR CORP NEW / CUSIP: 81211K100 / Symbol: SEE

9 tax lots for 03/27/14.

318,000

1,000

119,000

166,000

51,000

140,000

223,000

65,000

78,000

1,161,000

9,899.15

31.13

3,704.40

5,167.48

1,587.60

4,358.12

6,941.86

2,023.41

2,428.10

36,141.25

12/18/12

12/19/12

12/19/12

12/24/12

12/26/12

12/27/12

12/31/12

01/22/13

01/28/13

VARIOUS

5,280.96

16.72

2,054.68

2,900.04

900.66

2,434.60

3,891.08

1,223.50

1,456.26

20,158.50

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

4,618.19

14.41

1,649.72

2,267.44

686.94

1,923.52

3,050.78

799.91

971.84

15,982.75

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Sale

Total of 9 lots

03/27/14

UBS FINANCIAL SERVICES INC.	Account AX 65900
2014	Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B	
Report on Form 8949, Part II, with Box F checked			
Description of property	Quantity	Proceeds Shown (G)ross (N)et	Date acquired
Date sold or disposed			
STATE STREET CORP / CUSIP: 857477103 / Symbol: STT			

29 tax lots for 03/27/14.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	13.000	889.83	04/16/10	604.07	0.00	285.76	Sale
	70.000	4,791.40	04/21/10	3,097.21	0.00	1,694.19	Sale
	20.000	1,368.97	04/23/10	889.37	0.00	479.60	Sale
	42.000	2,874.85	06/14/10	1,594.24	0.00	1,280.61	Sale
	33.000	2,258.80	06/28/10	1,171.46	0.00	1,087.34	Sale
	83.000	5,681.23	06/29/10	2,847.00	0.00	2,834.23	Sale
	10.000	684.49	08/02/10	399.79	0.00	284.70	Sale
	43.000	2,943.29	08/20/10	1,580.62	0.00	1,362.67	Sale
	11.000	752.93	08/30/10	387.95	0.00	364.98	Sale
	32.000	2,190.36	10/08/10	1,238.64	0.00	951.72	Sale
	32.000	2,190.35	10/21/10	1,279.35	0.00	911.00	Sale
	19.000	1,300.52	11/05/10	855.54	0.00	444.98	Sale
	50.000	3,422.43	02/09/11	2,339.14	0.00	1,083.29	Sale
	83.000	5,681.24	02/17/11	3,783.36	0.00	1,897.88	Sale
	15.000	1,026.72	04/19/11	691.28	0.00	335.44	Sale
	41.000	2,806.40	05/16/11	1,893.31	0.00	913.09	Sale
	23.000	1,574.31	06/03/11	997.22	0.00	577.09	Sale
	42.000	2,874.84	06/24/11	1,809.73	0.00	1,065.11	Sale
	29.000	1,985.01	08/31/11	1,036.35	0.00	948.66	Sale
	106.000	7,255.55	09/30/11	3,416.76	0.00	3,838.79	Sale
	29.000	1,985.01	01/18/12	1,162.51	0.00	822.50	Sale
	48.000	3,285.53	02/23/12	1,955.61	0.00	1,329.92	Sale
	36.000	2,464.15	03/29/12	1,615.26	0.00	848.89	Sale
	121.000	8,282.28	05/21/12	5,014.24	0.00	3,268.04	Sale
	62.000	4,243.82	09/12/12	2,684.87	0.00	1,558.95	Sale
	49.000	3,353.98	10/18/12	2,190.25	0.00	1,163.73	Sale
	34.000	2,327.25	11/06/12	1,573.62	0.00	753.63	Sale
	100.000	6,844.86	12/18/12	4,690.68	0.00	2,154.18	Sale
	47.000	3,217.08	01/16/13	2,356.88	0.00	860.20	Sale
03/27/14	1,323.000	90,557.48	VARIOUS	55,156.31	0.00	35,401.17	Total of 29 lots

TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX

24 tax lots for 03/27/14.

14.000

589.85

06/07/10

285.20

0.00

304.65

Sale

UBS FINANCIAL SERVICES INC

Account: AX 65800

Proceeds Not Reported to the IRS

(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX (cont'd)								
	58,000	2,443.64	2,443.64	06/11/10	1,189.61	0.00	1,254.03	Sale
	87,000	3,665.48	3,665.48	06/18/10	1,902.32	0.00	1,763.16	Sale
	36,000	1,516.74	1,516.74	06/22/10	790.13	0.00	726.61	Sale
	70,000	2,949.23	2,949.23	06/28/10	1,443.20	0.00	1,506.03	Sale
	101,000	4,255.32	4,255.32	06/29/10	1,950.10	0.00	2,305.22	Sale
	52,000	2,190.86	2,190.86	06/30/10	988.03	0.00	1,202.83	Sale
	35,000	1,474.62	1,474.62	07/01/10	622.59	0.00	852.03	Sale
	59,000	2,485.78	2,485.78	08/02/10	1,215.91	0.00	1,269.87	Sale
	60,000	2,527.91	2,527.91	08/20/10	1,184.93	0.00	1,342.98	Sale
	69,000	2,907.10	2,907.10	08/25/10	1,262.33	0.00	1,644.77	Sale
	88,000	3,707.60	3,707.60	10/22/10	2,026.48	0.00	1,681.12	Sale
	45,000	1,895.94	1,895.94	10/27/10	992.12	0.00	903.82	Sale
	22,000	926.90	926.90	12/14/10	610.30	0.00	316.60	Sale
	46,000	1,938.06	1,938.06	01/13/11	1,445.31	0.00	492.75	Sale
	60,000	2,527.91	2,527.91	03/11/11	1,973.28	0.00	554.63	Sale
	82,000	3,454.82	3,454.82	04/11/11	2,827.68	0.00	627.14	Sale
	53,000	2,232.99	2,232.99	04/12/11	1,741.17	0.00	491.82	Sale
	30,000	1,263.95	1,263.95	05/17/11	871.92	0.00	392.03	Sale
	104,000	4,381.72	4,381.72	08/12/11	1,750.06	0.00	2,631.66	Sale
	48,000	2,022.33	2,022.33	12/16/11	611.45	0.00	1,410.88	Sale
	36,000	1,516.74	1,516.74	12/27/11	484.89	0.00	1,031.85	Sale
	306,000	12,892.36	12,892.36	05/21/12	5,301.97	0.00	7,590.39	Sale
	103,000	4,339.58	4,339.58	12/21/12	2,728.10	0.00	1,611.48	Sale
03/27/14	1,664,000	70,107.43	70,107.43	VARIOUS	36,199.08	0.00	33,908.35	Total of 24 lots

TEXTRON INC COMMON STOCK / CUSIP: 883203101 / Symbol: TXT

33 tax lots for 03/27/14.

134,000	5,055.98	2,570.04	02/05/10	2,485.94	0.00	2,485.94	Sale
69,000	2,603.45	1,283.66	02/08/10	1,319.79	0.00	1,319.79	Sale
42,000	1,584.71	798.81	02/09/10	785.90	0.00	785.90	Sale
76,000	2,867.57	1,411.11	02/10/10	1,456.46	0.00	1,456.46	Sale
24,000	905.54	454.25	02/11/10	451.29	0.00	451.29	Sale
36,000	1,358.33	702.98	02/26/10	655.35	0.00	655.35	Sale
57,000	2,150.67	1,239.75	03/05/10	910.92	0.00	910.92	Sale
70,000	2,641.18	1,528.03	03/15/10	1,113.15	0.00	1,113.15	Sale
51,000	1,924.29	1,135.10	03/26/10	789.19	0.00	789.19	Sale

UBS FINANCIAL SERVICES INC. Account AX165900

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box F checked
 Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross (Net) / Symbol: TXT (cont'd)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TEXTRON INC COMMON STOCK / CUSIP: 883203101 / Symbol: TXT (cont'd)								
	46,000	1,735.64	1,735.64	05/07/10	966.74	0.00	768.90	Sale
	116,000	4,376.81	4,376.81	05/07/10	2,373.23	0.00	2,003.58	Sale
	56,000	2,112.95	2,112.95	05/18/10	1,248.74	0.00	864.21	Sale
	71,000	2,678.91	2,678.91	06/03/10	1,452.57	0.00	1,226.34	Sale
	56,000	2,112.95	2,112.95	06/07/10	1,043.86	0.00	1,069.09	Sale
	7,000	264.11	264.11	06/08/10	131.86	0.00	132.25	Sale
	53,000	1,999.76	1,999.76	06/15/10	1,053.06	0.00	946.70	Sale
	90,000	3,395.80	3,395.80	06/25/10	1,706.46	0.00	1,689.34	Sale
	90,000	3,395.81	3,395.81	06/28/10	1,694.59	0.00	1,701.22	Sale
	90,000	3,395.80	3,395.80	07/16/10	1,583.53	0.00	1,812.27	Sale
	74,000	2,792.11	2,792.11	08/20/10	1,303.03	0.00	1,489.08	Sale
	6,000	226.39	226.39	10/28/10	125.50	0.00	100.89	Sale
	87,000	3,282.61	3,282.61	12/23/10	2,056.93	0.00	1,225.68	Sale
	107,000	4,037.23	4,037.23	01/26/11	2,809.21	0.00	1,228.02	Sale
	6,000	226.39	226.39	03/02/11	159.05	0.00	67.34	Sale
	101,000	3,810.85	3,810.85	03/16/11	2,533.99	0.00	1,276.86	Sale
	71,000	2,678.91	2,678.91	05/03/11	1,795.01	0.00	883.90	Sale
	14,000	528.24	528.24	07/29/11	325.62	0.00	202.62	Sale
	156,000	5,886.06	5,886.06	12/30/11	2,877.51	0.00	3,008.55	Sale
	61,000	2,301.60	2,301.60	04/18/12	1,612.97	0.00	688.63	Sale
	278,000	10,489.26	10,489.26	05/21/12	6,510.76	0.00	3,978.50	Sale
	21,000	792.36	792.36	07/30/12	549.09	0.00	243.27	Sale
	135,000	5,093.71	5,093.71	12/27/12	3,285.47	0.00	1,808.24	Sale
	52,000	1,962.02	1,962.02	01/17/13	1,392.15	0.00	569.87	Sale
03/27/14	2,403,000	90,668.00	90,668.00	VARIOUS	51,714.66	0.00	38,953.34	Total of 33 lots

THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO

2 tax lots for 01/10/14.

01/10/14	36,000	4,102.55	4,102.55	12/22/11	1,639.95	0.00	2,462.60	Sale
	5,000	569.80	569.80	04/24/12	264.49	0.00	305.31	Sale
	41,000	4,672.35	4,672.35	VARIOUS	1,904.44	0.00	2,767.91	Total of 2 lots

2 tax lots for 01/16/14.

	15,000	1,736.91	1,736.91	04/24/12	793.46	0.00	943.45	Sale
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UBS FINANCIAL SERVICES INC.

Account AX 65909

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO (cont'd)								
01/16/14	25,000	2,894.86	2,894.86	05/21/12	1,288.75	0.00	1,606.11	Sale
	40,000	4,631.77	4,631.77	VARIOUS	2,082.21	0.00	2,549.56	Total of 2 lots
3 tax lots for 01/28/14.								
	13,000	1,457.74	1,457.74	05/21/12	670.15	0.00	787.59	Sale
	32,000	3,588.28	3,588.28	07/10/12	1,633.37	0.00	1,954.91	Sale
	11,000	1,233.47	1,233.47	11/07/12	666.07	0.00	567.40	Sale
01/28/14	56,000	6,279.49	6,279.49	VARIOUS	2,969.59	0.00	3,309.90	Total of 3 lots
01/30/14	34,000	3,907.72	3,907.72	11/07/12	2,058.76	0.00	1,848.96	Sale
	Security total:	19,491.33	19,491.33		9,015.00	0.00	10,476.33	
TIME WARNER INC NEW / CUSIP: 887317303 / Symbol: TWX								
6 tax lots for 03/27/14.								
	283,099	18,120.94	18,120.94	05/23/07	12,892.47	0.00	5,228.47	Sale
	103,591	6,630.77	6,630.77	06/20/07	4,783.25	0.00	1,847.52	Sale
	33,309	2,132.09	2,132.09	03/11/08	1,025.80	0.00	1,106.29	Sale
	100,000	6,400.91	6,400.91	03/05/10	3,055.60	0.00	3,345.31	Sale
	23,001	1,472.28	1,472.28	03/16/11	811.47	0.00	660.81	Sale
	73,000	4,672.67	4,672.67	05/21/12	2,512.65	0.00	2,160.02	Sale
03/27/14	616,000	39,429.66	39,429.66	VARIOUS	25,081.24	0.00	14,348.42	Total of 6 lots
TRAVELERS COS INC/THE / CUSIP: 89417E109 / Symbol: TRV								
11 tax lots for 03/27/14.								
	25,000	2,094.21	2,094.21	02/24/06	1,067.50	0.00	1,026.71	Sale
	100,000	8,376.82	8,376.82	05/08/06	4,559.00	0.00	3,817.82	Sale
	110,000	9,214.51	9,214.51	12/07/06	5,703.50	0.00	3,511.01	Sale
	105,000	8,795.67	8,795.67	02/07/07	5,554.50	0.00	3,241.17	Sale
	194,000	16,251.04	16,251.04	05/23/07	10,832.96	0.00	5,418.08	Sale
	5,000	418.84	418.84	05/24/07	276.00	0.00	142.84	Sale
	11,000	921.45	921.45	06/16/09	467.35	0.00	454.10	Sale
	19,000	1,591.59	1,591.59	06/23/09	775.53	0.00	816.06	Sale
	118,000	9,884.66	9,884.66	03/05/10	6,362.38	0.00	3,522.28	Sale
	17,000	1,424.06	1,424.06	03/16/11	992.89	0.00	431.17	Sale

UBS FINANCIAL SERVICES INC. Account: AX 6590

2014

Proceeds Not Reported to the IRS (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) / Symbol: TRV (cont'd)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TRAVELERS COS INC/THE / CUSIP: 89417E109 / Symbol: TRV (cont'd)								
03/27/14	108.000	9,046.97		05/21/12	6,757.56	0.00	2,289.41	Sale
	812.000	68,019.82		VARIOUS	43,349.17	0.00	24,670.65	Total of 11 lots
VALERO ENERGY CORP NEW / CUSIP: 91913Y100 / Symbol: VLO								
2 tax lots for 03/03/14.								
	3.000	144.28		09/17/08	89.18	0.00	55.10	Sale
	27.000	1,298.50		09/24/08	829.94	0.00	468.56	Sale
03/03/14	30.000	1,442.78		VARIOUS	919.12	0.00	523.66	Total of 2 lots
03/12/14	49.000	2,683.20		09/24/08	1,506.20	0.00	1,177.00	Sale
3 tax lots for 03/24/14.								
	8.000	431.67		09/24/08	245.91	0.00	185.76	Sale
	11.000	593.55		09/30/08	307.89	0.00	285.66	Sale
	6.000	323.75		06/04/09	102.88	0.00	220.87	Sale
03/24/14	25.000	1,348.97		VARIOUS	656.68	0.00	692.29	Total of 3 lots
16 tax lots for 03/27/14.								
	82.000	4,343.34		06/04/09	1,406.01	0.00	2,937.33	Sale
	56.000	2,966.18		07/01/09	887.66	0.00	2,078.52	Sale
	79.000	4,184.43		09/09/09	1,328.16	0.00	2,856.27	Sale
	17.000	900.45		10/23/09	325.97	0.00	574.48	Sale
	58.000	3,072.12		12/09/09	861.13	0.00	2,210.99	Sale
	36.000	1,906.83		12/24/09	557.90	0.00	1,348.93	Sale
	32.000	1,694.96		01/27/10	545.40	0.00	1,149.56	Sale
	78.000	4,131.47		03/05/10	1,393.60	0.00	2,737.87	Sale
	49.000	2,595.41		06/28/10	831.07	0.00	1,764.34	Sale
	108.000	5,720.49		02/03/11	2,581.21	0.00	3,139.28	Sale
	6.000	317.81		02/25/11	152.13	0.00	165.68	Sale
	36.000	1,906.83		03/16/11	909.24	0.00	997.59	Sale
	39.000	2,065.73		06/07/11	917.27	0.00	1,148.46	Sale
	106.000	5,614.56		07/27/11	2,497.94	-0.00	3,116.62	Sale
	40.000	2,118.70		01/13/12	767.23	0.00	1,351.47	Sale
	139.000	7,362.49		05/21/12	2,757.73	0.00	4,604.76	Sale
03/27/14	961.000	50,901.80		VARIOUS	18,719.65	0.00	32,182.15	Total of 16 lots
Security total:					21,801.65	0.00	34,575.10	

UBS FINANCIAL SERVICES INC.

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
WSTN DIGITAL CORP COMMON STOCK / CUSIP: 958102105 / Symbol: WDC			1,946.24					
01/22/14	22.000			06/26/12	642.66	0.00	1,303.58	Sale
13 tax lots for 03/27/14.								
	116.000		10,338.54	06/26/12	3,388.61	0.00	6,949.93	Sale
	61.000		5,436.64	06/28/12	1,767.63	0.00	3,669.01	Sale
	79.000		7,040.90	06/29/12	2,330.14	0.00	4,710.76	Sale
	28.000		2,495.51	07/06/12	859.23	0.00	1,636.28	Sale
	82.000		7,308.28	08/14/12	3,654.86	0.00	3,653.42	Sale
	66.000		5,882.27	08/28/12	2,839.99	0.00	3,042.28	Sale
	63.000		5,614.90	09/14/12	2,572.26	0.00	3,042.64	Sale
	53.000		4,723.64	09/21/12	2,127.72	0.00	2,595.92	Sale
	30.000		2,673.76	10/23/12	1,045.11	0.00	1,628.65	Sale
	34.000		3,030.26	12/19/12	1,401.82	0.00	1,628.44	Sale
	28.000		2,495.51	12/21/12	1,160.72	0.00	1,334.79	Sale
	15.000		1,336.88	01/31/13	701.86	0.00	635.02	Sale
	64.000		5,704.02	03/22/13	3,054.83	0.00	2,649.19	Sale
03/27/14	719.000		64,081.11	VARIOUS	26,904.78	0.00	37,176.33	Total of 13 lots
			Security total:		27,547.44	0.00	38,479.91	

ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV

33 tax lots for 03/27/14.

23.000		1,200.58	10/20/09	1,097.41	0.00	103.17	Sale
36.000		1,879.18	10/22/09	1,746.54	0.00	132.64	Sale
24.000		1,252.79	10/27/09	1,169.66	0.00	83.13	Sale
27.000		1,409.38	10/28/09	1,262.34	0.00	147.04	Sale
39.000		2,035.77	11/02/09	1,809.66	0.00	226.11	Sale
24.000		1,252.79	11/09/09	1,148.09	0.00	104.70	Sale
26.000		1,357.18	11/10/09	1,204.60	0.00	152.58	Sale
41.000		2,140.17	11/17/09	1,942.14	0.00	198.03	Sale
10.000		522.00	11/23/09	458.00	0.00	64.00	Sale
35.000		1,826.97	12/09/09	1,476.30	0.00	350.67	Sale
43.000		2,244.58	01/21/10	1,868.81	0.00	375.77	Sale
29.000		1,513.78	01/22/10	1,219.11	0.00	294.67	Sale
6.000		313.19	01/27/10	244.97	0.00	68.22	Sale
15.000		782.99	02/03/10	622.28	0.00	160.71	Sale
27.000		1,409.38	02/08/10	1,047.16	0.00	362.22	Sale

UBS FINANCIAL SERVICES INC. Account AX 68900
 2014
 Proceeds Not Reported to the IRS
 (continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ENSCO PLC CL A FOREIGN STOCK / CUSIP: G3157S106 / Symbol: ESV (cont'd)								
	8,000		417.60	02/12/10	321.41	0.00	96.19	Sale
	11,000		574.19	02/18/10	464.48	0.00	109.71	Sale
	28,000		1,461.58	02/23/10	1,188.77	0.00	272.81	Sale
	14,000		730.80	02/25/10	611.77	0.00	119.03	Sale
	48,000		2,505.56	03/05/10	2,208.00	0.00	297.56	Sale
	19,000		991.79	03/23/10	840.84	0.00	150.95	Sale
	13,000		678.59	03/26/10	559.76	0.00	118.83	Sale
	21,000		1,096.19	04/08/10	983.66	0.00	112.53	Sale
	61,000		3,184.16	05/04/10	2,825.12	0.00	359.04	Sale
	7,000		365.39	05/07/10	290.54	0.00	74.85	Sale
	34,000		1,774.78	10/01/10	1,530.24	0.00	244.54	Sale
	24,000		1,252.79	12/06/10	1,198.07	0.00	54.72	Sale
	48,000		2,505.57	02/07/11	2,501.37	0.00	4.20	Sale
	36,000		1,879.17	02/08/11	1,830.74	0.00	48.43	Sale
	27,000		1,409.38	03/16/11	1,485.87	0.00	-76.49	Sale
	25,000		1,304.99	06/29/11	1,334.23	0.00	-29.24	Sale
	36,000		1,879.17	09/08/11	1,783.44	0.00	95.73	Sale
	113,000		5,898.53	05/21/12	5,463.55	0.00	434.98	Sale
03/27/14	978,000		51,050.96	VARIOUS	45,738.93	0.00	5,312.03	Total of 33 lots
NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol: NBR								
02/20/14	160,000		3,500.77	03/31/11	4,872.53	0.00	-1,371.76	Sale
13 tax lots for 03/27/14.								
	429,000		10,360.51	03/31/11	13,064.46	0.00	-2,703.95	Sale
	72,000		1,738.82	04/11/11	2,268.72	0.00	-529.90	Sale
	62,000		1,497.33	04/12/11	1,820.94	0.00	-323.61	Sale
	70,000		1,690.52	04/14/11	2,127.05	0.00	-436.53	Sale
	5,000		120.75	04/29/11	152.18	0.00	-31.43	Sale
	35,000		845.27	04/29/11	1,065.28	0.00	-220.01	Sale
	39,000		941.86	05/19/11	1,050.08	0.00	-108.22	Sale
	27,000		652.06	06/20/11	634.72	0.00	17.34	Sale
	84,000		2,028.63	06/29/11	2,046.16	0.00	-17.53	Sale
	78,000		1,883.73	11/01/11	1,345.57	0.00	538.16	Sale
	63,000		1,521.47	02/09/12	1,222.52	0.00	298.95	Sale
	155,000		3,743.31	05/21/12	2,151.40	0.00	1,591.91	Sale

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

(continued)

Account AX 65900

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TE CONNECTIVITY LTD FOREIGN STOCK CHF / CUSIP: H84989104 / Symbol: TEL (cont'd)								
	103,000	6,053.90		09/26/07	3,603.67	0.00	2,450.23	Sale
	51,000	2,997.56		10/04/07	1,816.94	0.00	1,180.62	Sale
	50,000	2,938.79		12/20/07	1,838.94	0.00	1,099.85	Sale
	91,000	5,348.59		12/27/07	3,443.39	0.00	1,905.20	Sale
	39,000	2,292.25		03/17/08	1,220.94	0.00	1,071.31	Sale
	49,000	2,880.01		11/21/08	664.44	0.00	2,215.57	Sale
	147,000	8,640.03		12/04/08	2,466.37	0.00	6,173.66	Sale
	79,000	4,643.28		12/19/08	1,263.68	0.00	3,379.60	Sale
	42,000	2,468.58		01/28/09	627.80	0.00	1,840.78	Sale
	38,000	2,233.47		05/15/09	651.39	0.00	1,582.08	Sale
	76,000	4,466.96		06/23/09	1,414.67	0.00	3,052.29	Sale
	177,000	10,403.30		03/05/10	4,581.88	0.00	5,821.42	Sale
	20,000	1,175.51		03/16/11	651.08	0.00	524.43	Sale
	147,000	8,640.03		05/21/12	4,615.80	0.00	4,024.23	Sale
03/27/14	1,180,000	69,355.33		VARIOUS	31,236.60	0.00	38,118.73	Total of 15 lots
Security total:		73,838.20			33,847.49	0.00	39,990.71	

TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: H89128104 / Symbol:

2 tax lots for 03/26/14.

03/26/14	35,250	1,498.07		05/23/07	907.40	0.00	590.67	Sale
	11,750	499.36		06/20/07	300.05	0.00	199.31	Sale
	47,000	1,997.43		VARIOUS	1,207.45	0.00	789.98	Total of 2 lots

14 tax lots for 03/27/14.

	32,000	1,351.49		06/20/07	817.17	0.00	534.32	Sale
	108,000	4,561.27		11/05/07	2,217.36	0.00	2,343.91	Sale
	30,000	1,267.02		12/13/07	618.56	0.00	648.46	Sale
	173,000	7,306.47		12/27/07	3,445.98	0.00	3,860.49	Sale
	124,000	5,237.01		09/17/08	2,438.17	0.00	2,798.84	Sale
	11,000	464.58		05/18/09	144.36	0.00	320.22	Sale
	26,000	1,098.08		06/23/09	333.19	0.00	764.89	Sale
	29,000	1,224.79		07/30/09	429.00	0.00	795.79	Sale
	94,000	3,969.99		03/05/10	1,758.34	0.00	2,211.65	Sale
	19,000	802.45		03/16/11	410.63	0.00	391.82	Sale
	64,000	2,702.97		03/23/12	1,683.51	0.00	1,019.46	Sale
	115,000	4,856.91		05/21/12	3,045.82	0.00	1,811.09	Sale

UBS FINANCIAL SERVICES INC
2014
Proceeds Not Reported to the IRS
(continued)
Account AX65900

LONG-TERM TRANSACTIONS
 Report on Form 8949, Part II, with Box F checked
NOT reported on Form 1099-B

Description of property		Quantity	Proceeds Shown (Gross)(Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TYCO INTL LTD **MERGER EFF 11/2014** OHF-/ OUSIP: H89128104-/ Symbol: (cont'd)	Date sold or disposed	46,000	1,942.76	12/19/12	1,348.26	0.00	594.50	Sale
		65,000	2,745.21	12/21/12	1,903.54	0.00	841.67	Sale
03/27/14		936,000	39,531.00	VARIOUS	20,593.89	0.00	18,937.11	Total of 14 lots
	Security total:		41,528.43		21,801.34	0.00	19,727.09	
	Totals:		2,481,393.79		1,576,571.45	0.00	904,822.34	

UBS FINANCIAL SERVICES INC. Account AX 6590
 2014
 Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BANK UNITED INC / CUSIP: 06652K103 / Symbol: BKU								
	3 tax lots for 03/27/14.							
	1,870.000	64,345.47		08/19/13	57,372.53	0.00	6,972.94	Sale
	10.000	344.09		10/29/13	309.22	0.00	34.87	Sale
	1,090.000	37,506.18		10/29/13	33,704.54	0.00	3,801.64	Sale
03/27/14	2,970.000	102,195.74		VARIOUS	91,386.29	0.00	10,809.45	Total of 3 lots
BROOKDALE SENIOR LIVING INC / CUSIP: 112463104 / Symbol: BKD								
03/27/14	215.000	7,045.50		08/30/13	5,399.73	0.00	1,645.77	Sale
CASEYS GEN STORES INC COMMON STOCK / CUSIP: 14752B103 / Symbol: CASY								
	2 tax lots for 03/27/14.							
	705.000	47,000.53		04/24/13	40,772.97	0.00	6,227.56	Sale
	670.000	44,667.18		01/29/14	45,262.52	0.00	-595.34	Sale
03/27/14	1,375.000	91,667.71		VARIOUS	86,035.49	0.00	5,632.22	Total of 2 lots
DARLING INTL INC / CUSIP: 237266101 / Symbol: DAR								
03/27/14	1,250.000	24,255.22		12/13/13	23,703.38	0.00	551.84	Sale
FOREST OIL CORPORATION NEW 2000 / CUSIP: 346091705 / Symbol: FSTO								
	2 tax lots for 02/21/14.							
	2,640.000	8,281.01		07/16/13	13,580.42	0.00	-5,299.41	Sale
	3,585.000	11,245.23		07/30/13	17,925.35	0.00	-6,680.12	Sale
02/21/14	6,225.000	19,526.24		VARIOUS	31,505.77	0.00	-11,979.53	Total of 2 lots
HERTZ GLOBAL HOLDINGS INC / CUSIP: 42805T105 / Symbol: HTZ								
03/27/14	1,235.000	31,652.10		09/27/13	27,610.15	0.00	4,041.95	Sale
INGREDION INC COM / CUSIP: 457187102 / Symbol: INGR								
03/27/14	500.000	33,528.86		10/30/13	32,842.55	0.00	686.31	Sale
IRON MOUNTAIN INC NEW / CUSIP: 462846106 / Symbol: IRM								
03/27/14	1,205.000	32,556.46		07/03/13	32,502.70	0.00	53.76	Sale

DES FINANCIAL SERVICES INC.**Proceeds Not Reported to the IRS**

(continued)

SHORT-TERM TRANSACTIONS*NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
KEYCORP NEW COMMON STOCK / CUSIP: 493267108 / Symbol: KEY	2,255.000	32,345.00	06/21/13	23,554.60	0.00	8,790.40	Sale
LIVE NATION ENTERTAINMENT INC / CUSIP: 538034109 / Symbol: LYV	1,575.000	34,054.69	05/07/13	20,816.78	0.00	13,237.91	Sale
MBIA INC COMMON STOCK / CUSIP: 55262C100 / Symbol: MBI	4,490.000	62,858.61	03/24/14	65,202.88	0.00	-2,344.27	Sale
QUESTAR CORP COMMON STOCK / CUSIP: 748356102 / Symbol: STR	2,675.000	62,807.61	09/27/13	59,793.74	0.00	3,013.87	Sale
SBA COMMUNICATIONS CORP CL A / CUSIP: 78388J106 / Symbol: SBAC	10.000	902.81	06/04/13	758.50	0.00	144.31	Sale
TIDEWATER INC COMMON STOCK / CUSIP: 886423102 / Symbol: TDW	1,050.000	49,874.74	01/29/14	54,750.47	0.00	-4,875.73	Sale
WPX ENERGY INC / CUSIP: 98212B103 / Symbol: WPX	1,480.000	25,929.03	04/09/13	25,215.35	0.00	713.68	Sale
ASSURED GUARANTY LTD FOREIGN STOCK / CUSIP: G0585R106 / Symbol: AGO	1,150.000	28,840.56	08/13/13	25,255.03	0.00	3,585.53	Sale
Totals:		640,040.88		606,333.41	0.00	33,707.47	

LONG-TERM TRANSACTIONS*NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
ASHLAND INC NEW / CUSIP: 044209104 / Symbol: ASH	3 tax lots for 03/27/14.	9,248.68	03/23/11	5,430.52	0.00	3,818.16	Sale
	95.000	31,640.23	04/13/11	18,333.15	0.00	13,307.08	Sale
	325.000						

UBS FINANCIAL SERVICES INC.

Account AX 6590

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ASHLAND INC NEW / CUSIP: 044209104 / Symbol: ASH (cont'd)	255.000	24,825.41		05/21/12	15,875.84	0.00	8,949.57	Sale
03/27/14	675.000	65,714.32		VARIOUS	39,639.51	0.00	26,074.81	Total of 3 lots
BROOKDALE SENIOR LIVING INC / CUSIP: 112463104 / Symbol: BKD								
7 tax lots for 03/27/14.	43.000	1,409.10		03/25/08	1,000.97	0.00	408.13	Sale
	400.000	13,107.91		07/08/08	7,310.20	0.00	5,797.71	Sale
	1,530.000	50,137.76		11/18/08	6,796.87	0.00	43,340.89	Sale
	259.000	8,487.37		04/07/09	1,482.02	0.00	7,005.35	Sale
	460.000	15,074.09		04/08/09	2,696.20	0.00	12,377.89	Sale
	1,085.000	35,555.21		05/21/12	17,555.19	0.00	18,000.02	Sale
	275.000	9,071.69		12/18/12	6,851.63	0.00	2,160.06	Sale
03/27/14	4,052.000	132,783.13		VARIOUS	43,693.08	0.00	89,090.05	Total of 7 lots
CNO FINL GROUP INC-COM / CUSIP: 12621E103 / Symbol: CNO								
4 tax lots for 03/27/14.	70.000	1,280.99		02/17/10	349.53	0.00	931.46	Sale
	750.000	13,724.84		12/13/11	4,582.80	0.00	9,142.04	Sale
	2,845.000	52,062.92		05/21/12	18,482.83	0.00	33,580.09	Sale
	310.000	5,672.94		12/18/12	2,941.03	0.00	2,731.91	Sale
03/27/14	3,975.000	72,741.69		VARIOUS	26,356.19	0.00	46,385.50	Total of 4 lots
CINTAS CORP COMMON STOCK / CUSIP: 172908105 / Symbol: CTAS								
4 tax lots for 03/27/14.	1,100.000	64,653.27		04/21/11	33,892.43	0.00	30,760.84	Sale
	330.000	19,395.98		09/21/11	10,081.47	0.00	9,314.51	Sale
	225.000	13,224.54		05/21/12	8,271.09	0.00	4,953.45	Sale
	295.000	17,338.83		12/18/12	12,601.46	0.00	4,737.37	Sale
03/27/14	1,950.000	114,612.62		VARIOUS	64,846.45	0.00	49,766.17	Total of 4 lots
CROWN HOLDINGS INC / CUSIP: 228368106 / Symbol: CCK								
4 tax lots for 03/27/14.	1,505.000	65,301.86		05/23/07	36,917.65	0.00	28,384.21	Sale

UBS FINANCIAL SERVICES INC.

Account: AX-65901

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross Net)	Proceeds (GK) (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
CROWN HOLDINGS INC / CUSIP: 228368106 / Symbol: CGK (cont'd)								
	165,000	7,159.34		05/08/09	3,748.09	0.00	3,411.25	Sale
	560,000	24,298.36		05/21/12	18,978.62	0.00	5,319.74	Sale
	175,000	7,593.24		12/18/12	6,519.89	0.00	1,073.35	Sale
03/27/14	2,405,000	104,352.80		VARIOUS	66,164.25	0.00	38,188.55	Total of 4 lots
DARLING INTL INC / CUSIP: 237266101 / Symbol: DAR								
4 tax lots for 03/27/14.								
	1,630,000	31,628.80		11/11/11	21,130.34	0.00	10,498.46	Sale
	1,285,000	24,934.36		12/28/11	16,852.52	0.00	8,081.84	Sale
	190,000	3,686.79		05/08/12	3,025.24	0.00	661.55	Sale
	1,105,000	21,441.61		05/21/12	15,673.98	0.00	5,767.63	Sale
03/27/14	4,210,000	81,691.56		VARIOUS	56,682.08	0.00	25,009.48	Total of 4 lots
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR								
4 tax lots for 03/27/14.								
	20,000	1,515.39		05/23/07	1,031.08	0.00	484.31	Sale
	160,000	12,123.09		05/05/09	6,436.43	0.00	5,686.66	Sale
	390,000	29,550.04		01/07/10	18,834.04	0.00	10,716.00	Sale
	340,000	25,761.57		05/21/12	16,895.79	0.00	8,865.78	Sale
03/27/14	910,000	68,950.09		VARIOUS	43,197.34	0.00	25,752.75	Total of 4 lots
FOREST OIL CORPORATION NEW 2000 / CUSIP: 346091705 / Symbol: FSTO								
02/21/14	1,960,000	6,148.02		11/27/12	12,882.49	0.00	-6,734.47	Sale
GENWORTH FINANCIAL INC CL A / CUSIP: 37247D106 / Symbol: GNW								
2 tax lots for 03/24/14.								
	70,000	1,265.26		02/03/12	642.63	0.00	622.63	Sale
	425,000	7,681.91		03/07/12	3,687.26	0.00	3,994.65	Sale
03/24/14	495,000	8,947.17		VARIOUS	4,329.89	0.00	-4,617.28	Total of 2 lots
3 tax lots for 03/27/14.								
	1,910,000	32,778.51		03/07/12	16,570.97	0.00	16,207.54	Sale
	2,395,000	41,101.84		05/21/12	11,902.19	0.00	29,199.65	Sale

UBS FINANCIAL SERVICES INC

Account AX-65901

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)et	Proceeds (G)/ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
GENWORTH FINANCIAL INC CL A / CUSIP: 37247D106 / Symbol: GNW (cont'd)	4,735,000	81,259.80	10/10/12	25,816.17	0.00	55,443.63	Sale	
03/27/14	9,040,000	155,140.15	VARIOUS	54,289.33	0.00	100,850.82	Total of 3 lots	
Security total:		164,087.32		58,619.22	0.00	105,468.10		
HERTZ GLOBAL HOLDINGS INC / CUSIP: 42805T105 / Symbol: HTZ								
3 tax lots for 03/27/14.								
70,000	1,794.05	05/20/10	730.53	0.00	1,063.52	Sale		
1,485,000	38,059.41	06/23/10	15,034.44	0.00	23,024.97	Sale		
1,870,000	47,926.67	05/21/12	22,942.47	0.00	24,984.20	Sale		
03/27/14	3,425,000	87,780.13	VARIOUS	38,707.44	0.00	49,072.69	Total of 3 lots	
HILLSHIRE BRANDS CO *MERGER ETF 09/2014* / CUSIP: 432589109 / Symbol:								
2 tax lots for 03/27/14.								
1,650,000	61,092.54	12/18/12	46,611.67	0.00	14,480.87	Sale		
865,000	32,027.30	01/14/13	25,713.77	0.00	6,313.53	Sale		
03/27/14	2,515,000	93,119.84	VARIOUS	72,325.44	0.00	20,794.40	Total of 2 lots	
INGREDION INC COM / CUSIP: 457187102 / Symbol: INGR								
2 tax lots for 03/27/14.								
910,000	61,022.52	07/20/12	42,980.49	0.00	18,042.03	Sale		
10,000	670.58	12/18/12	647.60	0.00	22.98	Sale		
03/27/14	920,000	61,693.10	VARIOUS	43,628.09	0.00	18,065.01	Total of 2 lots	
IRON MOUNTAIN INC NEW / CUSIP: 462846106 / Symbol: IRM								
4 tax lots for 03/27/14.								
483,000	13,049.60	09/30/10	10,733.03	0.00	2,316.57	Sale		
745,000	20,128.26	10/29/10	16,200.03	0.00	3,928.23	Sale		
410,000	11,077.31	05/21/12	11,743.22	0.00	-665.91	Sale		
228,000	6,160.05	11/21/12	7,494.36	0.00	-1,334.31	Sale		
03/27/14	1,866,000	50,415.22	VARIOUS	46,170.64	0.00	4,244.58	Total of 4 lots	

UBS FINANCIAL SERVICES INC

Account AX 65801

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part III, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(2) & 7-Loss not allowed(X)	Additional information
KLA - TENCOR CORP / CUSIP: 482480100 / Symbol: KLAC								
3 tax lots for 03/27/14.								
	610.000		41,985.13	07/14/10	18,870.78	0.00	23,114.35	Sale
	145.000		9,980.07	05/21/12	6,628.40	0.00	3,351.67	Sale
	375.000		25,810.53	10/17/12	17,281.01	0.00	8,529.52	Sale
03/27/14	1,130.000		77,775.73	VARIOUS	42,780.19	0.00	34,995.54	Total of 3 lots
KEYCORP NEW COMMON STOCK / CUSIP: 493267108 / Symbol: KEY								
2 tax lots for 03/27/14.								
	5,430.000		77,886.20	05/23/12	40,345.99	0.00	37,540.21	Sale
	125.000		1,792.96	12/18/12	1,060.65	0.00	732.31	Sale
03/27/14	5,555.000		79,679.16	VARIOUS	41,406.64	0.00	38,272.52	Total of 2 lots
LIVE NATION ENTERTAINMENT INC / CUSIP: 538034109 / Symbol: LYV								
03/27/14	3,350.000		72,433.77	03/05/13	36,451.01	0.00	35,982.76	Sale
MANPOWERGROUP INC / CUSIP: 56418H100 / Symbol: MAN								
2 tax lots for 03/27/14.								
	415.000		32,181.04	03/23/12	18,895.78	0.00	13,285.26	Sale
	285.000		22,100.24	05/21/12	10,065.20	0.00	12,035.04	Sale
03/27/14	700.000		54,281.28	VARIOUS	28,960.98	0.00	25,320.30	Total of 2 lots
MEADWESTVACO CORP / CUSIP: 583334107 / Symbol: MWV								
6 tax lots for 03/27/14.								
	710.000		25,648.33	05/05/09	10,484.85	0.00	15,163.48	Sale
	250.000		9,031.10	05/11/09	3,499.87	0.00	5,531.23	Sale
	420.000		15,172.25	06/17/09	5,794.80	0.00	9,377.45	Sale
	320.000		11,559.80	02/12/10	6,550.27	0.00	5,009.53	Sale
	530.000		19,145.94	05/21/12	14,365.60	0.00	4,780.34	Sale
	80.000		2,889.95	08/08/12	2,223.77	0.00	666.18	Sale
03/27/14	2,310.000		83,447.37	VARIOUS	42,919.16	0.00	40,528.21	Total of 6 lots

UBS FINANCIAL SERVICES INC

Account AX 65901

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OIL STATES INTL INC / CUSIP: 678026105 / Symbol: OIS								
4 tax lots for 03/27/14.								
	5,000		478.17	09/25/12	412.27	0.00	65.90	Sale
	215,000		20,561.51	11/06/12	15,218.95	0.00	5,342.56	Sale
	30,000		2,869.04	12/18/12	2,070.27	0.00	798.77	Sale
	310,000		29,646.82	01/17/13	23,814.01	0.00	5,832.81	Sale
03/27/14	560,000		53,555.54	VARIOUS	41,515.50	0.00	12,040.04	Total of 4 lots
OMEGA HEALTHCARE INVS INC COMMON STOCK / CUSIP: 681936100 / Symbol: OHI								
4 tax lots for 03/27/14.								
	1,023,000		34,154.15	05/23/07	15,461.87	0.00	18,692.28	Sale
	560,000		18,696.31	05/21/12	11,198.60	0.00	7,497.71	Sale
	285,000		9,515.09	08/13/12	6,605.70	0.00	2,909.39	Sale
	130,000		4,340.21	12/18/12	2,901.39	0.00	1,438.82	Sale
03/27/14	1,998,000		66,705.76	VARIOUS	36,167.56	0.00	30,538.20	Total of 4 lots
ONE GAS INC / CUSIP: 68235P108 / Symbol: OGS								
4 tax lots for 03/04/14.								
	76,250		2,668.70	05/23/07	1,032.05	0.00	1,636.65	Sale
	92,500		3,237.45	05/05/09	645.23	0.00	2,592.22	Sale
	115,000		4,024.93	05/21/12	2,393.64	0.00	1,631.29	Sale
	16,250		568.74	12/18/12	363.13	0.00	205.61	Sale
03/04/14	300,000		10,499.82	VARIOUS	4,434.05	0.00	6,065.77	Total of 4 lots
ONEOK INC NEW / CUSIP: 682680103 / Symbol: OKE								
4 tax lots for 03/27/14.								
	305,000		17,538.58	05/23/07	7,109.92	0.00	10,428.66	Sale
	370,000		21,276.30	05/05/09	4,445.01	0.00	16,831.29	Sale
	460,000		26,451.62	05/21/12	16,489.96	0.00	9,961.66	Sale
	65,000		3,737.73	12/18/12	2,501.65	0.00	1,236.08	Sale
03/27/14	1,200,000		69,004.23	VARIOUS	30,546.54	0.00	38,457.69	Total of 4 lots

UBS FINANCIAL SERVICES INC

Account: 01658011

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross/Net)	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OWENS ILLINOIS INC NEW COMMON STOCK / CUSIP: 698768403 / Symbol: OI								
	6 tax lots for 03/27/14.							
	890.000		28,529.65	05/23/07	29,763.47	0.00	-1,233.82	Sale
	140.000		4,487.82	07/08/08	5,776.99	0.00	-1,289.17	Sale
	285.000		9,135.90	09/29/08	8,410.64	0.00	725.26	Sale
	820.000		26,285.74	08/04/11	17,222.71	0.00	9,063.03	Sale
	1,165.000		37,345.00	05/21/12	22,357.52	0.00	14,987.48	Sale
	725.000		23,240.45	10/23/12	14,260.97	0.00	8,979.48	Sale
03/27/14	4,025.000		129,024.56	VARIOUS	97,792.30	0.00	31,232.26	Total of 6 lots
REDWOOD TRUST INC / CUSIP: 758075402 / Symbol: RWT								
	3 tax lots for 03/27/14.							
	1,515.000		30,284.18	09/18/12	22,057.66	0.00	8,226.52	Sale
	2,130.000		42,577.76	11/20/12	33,143.45	0.00	9,434.31	Sale
	5.000		99.95	12/18/12	80.86	0.00	19.09	Sale
03/27/14	3,650.000		72,961.89	VARIOUS	55,281.97	0.00	17,679.92	Total of 3 lots
SBA COMMUNICATIONS CORP CL A / CUSIP: 7838881106 / Symbol: SBAC								
	3 tax lots for 03/27/14.							
	278.000		25,097.98	09/14/09	7,218.69	0.00	17,879.29	Sale
	580.000		52,362.70	04/23/10	20,747.30	0.00	31,615.40	Sale
	250.000		22,570.12	05/21/12	12,477.00	0.00	10,093.12	Sale
03/27/14	1,108.000		100,030.80	VARIOUS	40,442.99	0.00	59,587.81	Total of 3 lots
SLM CORP VTG / CUSIP: 78442P106 / Symbol: SLM								
	3 tax lots for 01/21/14.							
	970.000		23,488.43	05/13/09	5,397.27	0.00	18,091.16	Sale
	1,580.000		38,259.50	05/21/12	20,705.58	0.00	17,553.92	Sale
	170.000		4,116.53	12/18/12	2,896.26	0.00	1,220.27	Sale
01/21/14	2,720.000		65,864.46	VARIOUS	28,999.11	0.00	36,865.35	Total of 3 lots

UBS FINANCIAL SERVICES INC	Account: AX 65901
2014	

Proceeds Not Reported to the IRS
(continued)

NOT reported on Form 1099-B

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TIDEWATER INC COMMON STOCK / CUSIP: 886423102 / Symbol: TDW								
	2 tax lots for 03/27/14.							
	370.000		17,574.91	11/10/11	17,637.90	0.00	-62.99	Sale
	375.000		17,812.41	05/21/12	17,270.25	0.00	542.16	Sale
03/27/14	745.000		35,387.32	VARIOUS	34,908.15	0.00	479.17	Total of 2 lots
VERISK ANALYTICS INC CL A / CUSIP: 92345Y106 / Symbol: VRSK								
	4 tax lots for 03/27/14.							
	605.000		36,845.38	10/08/09	16,298.52	0.00	20,546.86	Sale
	870.000		52,984.27	01/11/10	26,079.56	0.00	26,904.71	Sale
	190.000		11,571.27	05/21/12	9,002.20	0.00	2,569.07	Sale
	170.000		10,353.25	12/18/12	8,585.85	0.00	1,767.40	Sale
03/27/14	1,835.000		111,754.17	VARIOUS	59,966.13	0.00	51,788.04	Total of 4 lots
WILLIAMS COS INC (DEL) COMMON STOCK / CUSIP: 969457100 / Symbol: WMB								
	4 tax lots for 03/27/14.							
	780.000		31,277.31	01/15/09	8,913.56	0.00	22,363.75	Sale
	760.000		30,475.33	02/19/09	7,820.98	0.00	22,654.35	Sale
	710.000		28,470.37	05/21/12	21,358.79	0.00	7,111.58	Sale
	35.000		1,403.47	12/18/12	1,129.69	0.00	273.78	Sale
03/27/14	2,285.000		91,626.48	VARIOUS	39,223.02	0.00	52,403.46	Total of 4 lots
WPX ENERGY INC / CUSIP: 98212B103 / Symbol: WPX								
	7 tax lots for 03/27/14.							
	202.925		3,555.17	01/15/09	1,577.07	0.00	1,978.10	Sale
	253.074		4,433.76	02/19/09	1,771.15	0.00	2,662.61	Sale
	320.000		5,606.27	03/23/12	6,135.10	0.00	-528.83	Sale
	485.000		8,497.01	03/27/12	9,407.01	0.00	-910.00	Sale
	1,300.001		22,775.52	04/09/12	22,019.53	0.00	755.99	Sale
	675.000		11,825.73	05/21/12	10,470.74	0.00	1,354.99	Sale
	75.000		1,313.97	12/18/12	1,195.50	0.00	118.47	Sale
03/27/14	3,311.000		58,007.43	VARIOUS	52,576.10	0.00	5,431.33	Total of 7 lots

JBS FINANCIAL SERVICES INC

Account: AX 66801

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999-B, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss (3) & 7- Loss not allowed (X)	Additional information
XYLEM INC / CUSIP: 98419M100 / Symbol: XYL							
5 tax lots for 03/27/14.							
	434.000	15,392.64	12/07/11	10,607.09	0.00	4,785.55	Sale
	320.000	11,349.42	12/08/11	7,799.68	0.00	3,549.74	Sale
	945.000	33,516.23	12/19/11	23,171.59	0.00	10,344.64	Sale
	590.000	20,925.49	05/21/12	14,800.33	0.00	6,125.16	Sale
	255.000	9,044.06	08/13/12	6,244.34	0.00	2,799.72	Sale
03/27/14	2,544.000	90,227.84	VARIOUS	62,623.03	0.00	27,604.81	Total of 5 lots
ASSURED GUARANTY LTD FOREIGN STOCK / CUSIP: G0585R106 / Symbol: AGO							
03/27/14	2,855.000	71,599.82	01/30/13	50,487.82	0.00	21,112.00	Sale
Totals:		2,497,957.27		1,440,394.47	0.00	1,057,562.80	

JBS FINANCIAL SERVICES INC

Account AX 55899

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

NOT reported on Form 1099-B

Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds (Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
BROADCOM CORP CL A / CUSIP: 111320107 / Symbol: BRCM								
2 tax lots for 03/26/14.								
	6,663,000	212,316.33		07/23/13	208,195.43	0.00	4,120.90	Sale
	1,957,000	62,359.75		08/12/13	50,119.75	0.00	12,240.00	Sale
03/26/14	8,620,000	274,676.08		VARIOUS	258,315.18	0.00	16,360.90	Total of 2 lots
CITRIX SYSTEMS INC / CUSIP: 177376100 / Symbol: CTXS								
2 tax lots for 03/26/14.								
	535,000	31,237.10		04/25/13	34,357.65	0.00	-3,120.55	Sale
	363,000	21,194.52		12/04/13	21,358.92	0.00	-164.40	Sale
03/26/14	898,000	52,431.62		VARIOUS	55,716.57	0.00	-3,284.95	Total of 2 lots
IMMUNOGEN INC COMMON STOCK / CUSIP: 45253H101 / Symbol: IMGN								
03/26/14	417,000	6,157.25		11/11/13	5,740.09	0.00	417.16	Sale
NUANCE COMMUNICATIONS INC / CUSIP: 67020Y100 / Symbol: NUAN								
3 tax lots for 03/26/14.								
	1,733,000	29,287.05		03/14/14	26,595.66	0.00	2,691.39	Sale
	1,409,000	23,811.58		03/18/14	22,671.80	0.00	1,139.78	Sale
	4,286,000	72,431.80		03/20/14	70,438.70	0.00	1,993.10	Sale
03/26/14	7,428,000	125,530.43		VARIOUS	119,706.16	0.00	5,824.27	Total of 3 lots
VERTEX PHARMACEUTICAL INC COMMON STOCK / CUSIP: 92532F100 / Symbol: VRTX								
2 tax lots for 03/26/14.								
	119,000	8,407.18		10/09/13	8,286.73	0.00	120.45	Sale
	143,000	10,102.74		11/06/13	9,000.36	0.00	1,102.38	Sale
03/26/14	262,000	18,509.92		VARIOUS	17,287.09	0.00	1,222.83	Total of 2 lots
WEATHERFORD INTL LTD **MERGER - EFF - 06/2014** CHF / CUSIP: H27013103 / Symbol:								
03/26/14	8,957,000	150,588.10		05/03/13	121,756.98	0.00	28,831.12	Sale
Totals:		627,893.40			578,522.07	0.00	49,371.33	

UBS FINANCIAL SERVICES INC.

Account AX65889

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description of property

Date sold or
disposed

Quantity

Proceeds
Shown (Gross) (Net)Date
acquiredCost or
other basisAdjustments &
Code(s), if anyGain or loss (1) &
7-Loss not allowed (2)

Additional information

ADT CORP COM / CUSIP: 00101J106 / Symbol: ADT

7 tax lots for 03/26/14.

	1.000	29.73	01/27/06	30.52	0.00	-0.79	Sale
	194.000	5,768.31	02/24/06	5,013.52	0.00	754.79	Sale
	118.000	3,508.55	05/08/06	3,340.09	0.00	168.46	Sale
	131.000	3,895.09	12/08/06	3,925.28	0.00	-30.19	Sale
	480.000	14,272.09	02/06/07	15,760.01	0.00	-1,487.92	Sale
	25.000	743.34	05/19/10	602.19	0.00	141.15	Sale
	2,340.000	69,576.42	03/16/11	65,838.87	0.00	3,737.55	Sale
03/26/14	3,289.000	97,793.53	VARIOUS	94,510.48	0.00	3,283.05	Total of 7 lots

AMC NETWORKS INC CL A / CUSIP: 00164V103 / Symbol: AMCX

10 tax lots for 03/26/14.

	798.658	57,986.72	03/27/08	15,798.26	0.00	42,188.46	Sale
	329.962	23,956.96	04/08/08	7,122.86	0.00	16,834.10	Sale
	102.488	7,441.16	04/22/08	2,128.79	0.00	5,312.37	Sale
	43.592	3,165.00	12/10/08	620.33	0.00	2,544.67	Sale
	51.422	3,733.51	04/01/09	598.22	0.00	3,135.29	Sale
	34.850	2,530.29	06/10/09	602.91	0.00	1,927.38	Sale
	29.780	2,162.18	09/02/09	606.08	0.00	1,556.10	Sale
	26.783	1,944.59	12/07/09	608.78	0.00	1,335.81	Sale
	244.971	17,786.16	03/16/11	9,342.36	0.00	8,443.80	Sale
	77.494	5,626.47	04/01/11	2,956.68	0.00	2,669.79	Sale
03/26/14	1,740.000	126,333.04	VARIOUS	40,385.27	0.00	85,947.77	Total of 10 lots

ANADARKO PETROLEUM CORP COMMON STOCK / CUSIP: 032511107 / Symbol: APC

6 tax lots for 03/26/14.

	450.000	37,571.35	12/08/06	22,104.00	0.00	15,467.35	Sale
	500.000	41,745.95	12/21/06	21,322.05	0.00	20,423.90	Sale
	100.000	8,349.19	01/04/07	4,108.00	0.00	4,241.19	Sale
	2,700.000	225,428.13	02/06/07	114,766.20	0.00	110,661.93	Sale
	10.000	834.92	04/11/12	745.96	0.00	88.96	Sale
	2,715.000	226,680.50	06/01/12	160,998.14	0.00	65,682.36	Sale
03/26/14	6,475.000	540,610.04	VARIOUS	324,044.35	0.00	216,565.69	Total of 6 lots

UBS FINANCIAL SERVICES INC.

Account: AX65899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AUTODESK INC / CUSIP: 052769106 / Symbol: ADSK								
9 tax lots for 03/26/14.								
	300.000		14,796.39	02/24/06	11,117.10	0.00	3,679.29	Sale
	280.000		13,809.97	05/08/06	11,186.00	0.00	2,623.97	Sale
	390.000		19,235.31	12/08/06	16,491.58	0.00	2,743.73	Sale
	1,215.000		59,925.39	02/06/07	53,094.41	0.00	6,830.98	Sale
	503.000		24,808.62	08/01/08	16,262.90	0.00	8,545.72	Sale
	25.000		1,233.03	05/20/10	724.12	0.00	508.91	Sale
	1,715.000		84,586.05	03/16/11	66,696.35	0.00	17,889.70	Sale
	80.000		3,945.70	08/10/11	2,295.50	0.00	1,650.20	Sale
	3,990.000		196,792.03	08/28/12	125,558.12	0.00	71,233.91	Sale
03/26/14	8,498.000		419,132.49	VARIOUS	303,426.08	0.00	115,706.41	Total of 9 lots
BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIB								
3 tax lots for 03/26/14.								
	491.000		151,870.68	04/29/08	30,392.41	0.00	121,478.27	Sale
	260.000		80,420.33	05/19/08	16,501.81	0.00	63,918.52	Sale
	640.000		197,957.71	03/16/11	44,249.60	0.00	153,708.11	Sale
03/26/14	1,391.000		430,248.72	VARIOUS	91,143.82	0.00	339,104.90	Total of 3 lots
BROADCOM CORP CL A / CUSIP: 111320107 / Symbol: BRCM								
5 tax lots for 03/26/14.								
	2,165.000		68,987.67	03/27/08	39,034.95	0.00	29,952.72	Sale
	270.000		8,603.54	05/05/10	8,904.60	0.00	-301.06	Sale
	650.000		20,712.24	03/09/11	25,732.92	0.00	-5,020.68	Sale
	1,280.000		40,787.16	03/16/11	49,651.20	0.00	-8,864.04	Sale
	90.000		2,867.85	10/04/11	2,841.84	0.00	26.01	Sale
03/26/14	4,455.000		141,958.46	VARIOUS	126,165.51	0.00	15,792.95	Total of 5 lots
CABLEVISION SYSTEMS CORP N Y GROUP CL A / CUSIP: 12686C109 / Symbol: CVC								
10 tax lots for 03/26/14.								
	3,194.200		53,772.53	03/27/08	41,728.01	0.00	12,044.52	Sale
	1,320.000		22,221.45	04/08/08	18,818.34	0.00	3,403.11	Sale
	410.000		6,902.11	04/22/08	5,624.17	0.00	1,277.94	Sale

UBS FINANCIAL SERVICES INC.

Account No. 65899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CABLEVISION SYSTEMS CORP. N.Y. GROUP CL A / CUSIP: 12686C109 / Symbol: CVC (cont'd)								
	174.391	2,935.77		12/10/08	1,638.89	0.00	1,296.88	Sale
	205.711	3,463.03		04/01/09	1,580.49	0.00	1,882.54	Sale
	139.416	2,346.99		06/10/09	1,592.86	0.00	754.13	Sale
	119.133	2,005.54		09/02/09	1,601.26	0.00	404.28	Sale
	107.146	1,803.74		12/07/09	1,608.39	0.00	195.35	Sale
	980.000	16,497.74		03/16/11	24,682.17	0.00	-8,184.43	Sale
	310.003	5,218.72		04/01/11	7,811.45	0.00	-2,592.73	Sale
03/26/14	6,960.000	117,167.62		VARIOUS	106,686.03	0.00	10,481.59	Total of 10 lots
CITRIX SYSTEMS INC / CUSIP: 177376100 / Symbol: CTXS								
6 tax lots for 03/26/14.								
	70.000	4,087.10		10/14/10	4,104.96	0.00	-17.86	Sale
	570.000	33,280.65		10/15/10	33,650.58	0.00	-369.93	Sale
	90.000	5,254.84		11/16/10	5,686.78	0.00	-431.94	Sale
	945.000	55,175.82		03/16/11	65,186.10	0.00	-10,010.28	Sale
	495.000	28,901.62		09/11/12	39,605.15	0.00	-10,703.53	Sale
	510.000	29,777.43		10/25/12	31,035.23	0.00	-1,257.80	Sale
03/26/14	2,680.000	156,477.46		VARIOUS	179,268.80	0.00	-22,791.34	Total of 6 lots
COMCAST CORP NEW SPECIAL CL A / CUSIP: 20030N200 / Symbol: CMCSK								
4 tax lots for 03/26/14.								
	4,036.999	197,752.82		03/27/08	78,250.36	0.00	119,502.46	Sale
	2,455.000	120,258.43		04/22/08	48,041.90	0.00	72,216.53	Sale
	4,850.000	237,577.75		06/23/08	93,553.59	0.00	144,024.16	Sale
	5,770.001	282,644.10		03/16/11	130,171.20	0.00	152,472.90	Sale
03/26/14	17,112.000	838,233.10		VARIOUS	350,017.05	0.00	488,216.05	Total of 4 lots
CREE INC / CUSIP: 225447101 / Symbol: CREE								
2 tax lots for 03/26/14.								
	38.000	2,220.39		06/16/11	1,362.11	0.00	858.28	Sale
	6,175.000	360,812.71		07/05/12	156,473.27	0.00	204,339.44	Sale
03/26/14	6,213.000	363,033.10		VARIOUS	157,835.38	0.00	205,197.72	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account AX65899

2014 **Proceeds Not Reported to the IRS** (continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DIRECTV / CUSIP: 25490A309 / Symbol: DTV								
2 tax lots for 03/26/14.								
	1,735.000	135,143.28		03/31/08	33,783.99	0.00	101,359.29	Sale
	2,010.000	156,563.69		03/31/08	39,138.81	0.00	117,424.88	Sale
03/26/14	3,745.000	291,706.97		VARIOUS	72,922.80	0.00	218,784.17	Total of 2 lots
DOLBY LABORATORIES INC CL A / CUSIP: 25659T107 / Symbol: DLB								
10 tax lots for 03/26/14.								
	202.000	8,892.48		10/24/08	6,056.45	0.00	2,836.03	Sale
	243.000	10,697.39		10/27/08	7,401.00	0.00	3,296.39	Sale
	217.000	9,552.81		10/28/08	6,341.35	0.00	3,211.46	Sale
	470.000	20,690.43		10/29/08	13,977.66	0.00	6,712.77	Sale
	176.000	7,747.90		10/30/08	5,390.23	0.00	2,357.67	Sale
	170.000	7,483.77		02/04/11	9,574.13	0.00	-2,090.36	Sale
	845.000	37,198.74		03/16/11	42,342.95	0.00	-5,144.21	Sale
	88.000	3,873.95		04/06/11	4,312.76	0.00	-438.81	Sale
	20.000	880.44		04/12/11	1,001.18	0.00	-120.74	Sale
	30.000	1,320.67		04/15/11	1,412.64	0.00	-91.97	Sale
03/26/14	2,461.000	108,338.58		VARIOUS	97,810.35	0.00	10,528.23	Total of 10 lots
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR								
5 tax lots for 03/26/14.								
	95.000	7,275.49		11/20/08	2,894.59	0.00	4,380.90	Sale
	883.000	67,623.79		03/14/11	60,144.75	0.00	7,479.04	Sale
	560.000	42,887.11		03/15/11	38,098.65	0.00	4,788.46	Sale
	985.000	75,435.37		03/16/11	67,876.35	0.00	7,559.02	Sale
	70.000	5,360.89		10/04/11	3,231.09	0.00	2,129.80	Sale
03/26/14	2,593.000	198,582.65		VARIOUS	172,245.43	0.00	26,337.22	Total of 5 lots
FOREST LABORATORIES **MERGER EFF 07/2014** / CUSIP: 345838106 / Symbol:								
4 tax lots for 02/19/14.								
	310.000	29,189.93		02/24/06	14,526.20	0.00	14,663.73	Sale
	880.000	82,861.73		05/08/06	35,635.16	0.00	47,226.57	Sale
	65.000	6,120.47		12/08/06	3,343.27	0.00	2,777.20	Sale

UBS FINANCIAL SERVICES INC

ACCOUNT: AX 65899

Proceeds Not Reported to the IRS

(Continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or (loss) & 7-Loss not allowed (X)	Additional information
FOREST LABORATORIES **MERGER EFF 07/2014** / CUSIP: 345838106 / Symbol: (cont'd)								
02/19/14	110,000	10,357.72	02/06/07	6,373.08	0.00	3,984.64	Sale	
	1,365,000	128,529.85	VARIOUS	59,877.71	0.00	68,652.14	Total of 4 lots	
8 tax lots for 03/26/14.								
	1,360,000	124,870.10	02/06/07	78,794.46	0.00	46,075.64	Sale	
	1,030,000	94,570.75	06/08/07	48,865.98	0.00	45,704.77	Sale	
	100,000	9,181.62	05/11/10	2,726.28	0.00	6,455.34	Sale	
	2,500,000	229,540.64	03/16/11	76,825.00	0.00	152,715.64	Sale	
	1,595,000	146,446.92	04/04/11	52,412.98	0.00	94,033.94	Sale	
	832,000	76,391.12	04/05/11	27,429.54	0.00	48,961.58	Sale	
	330,000	30,299.37	04/07/11	10,887.03	0.00	19,412.34	Sale	
	4,438,000	407,480.53	02/15/13	160,082.65	0.00	247,397.88	Sale	
03/26/14	12,185,000	1,118,781.05	VARIOUS	458,023.92	0.00	660,757.13	Total of 8 lots	
	Security total:	1,247,310.90		517,901.63	0.00	729,409.27		

FREEPORT-MCMORAN INC / CUSIP: 35671D857 / Symbol: FCX

4 tax lots for 03/26/14.

	360,000	11,458.55	02/11/09	5,078.92	0.00	6,379.63	Sale	
	970,000	30,874.42	03/10/11	45,775.37	0.00	-14,900.95	Sale	
	360,000	11,458.54	03/16/11	18,828.00	0.00	-7,369.46	Sale	
	190,000	6,047.57	09/28/11	6,314.76	0.00	-267.19	Sale	
03/26/14	1,880,000	59,839.08	VARIOUS	75,997.05	0.00	-16,157.97	Total of 4 lots	

IMMUNOGEN INC COMMON STOCK / CUSIP: 45253H101 / Symbol: IMGX

5 tax lots for 03/26/14.

	12,000	177.19	10/14/10	88.68	0.00	88.51	Sale	
	5,000	73.83	10/15/10	38.71	0.00	35.12	Sale	
	50,000	738.27	11/16/10	388.28	0.00	349.99	Sale	
	990,000	14,617.94	02/15/11	8,789.32	0.00	5,828.62	Sale	
	5,810,000	85,788.11	03/16/11	49,385.00	0.00	36,403.11	Sale	
03/26/14	6,867,000	101,395.34	VARIOUS	58,689.99	0.00	42,705.35	Total of 5 lots	

ISIS PHARMACEUTICALS INC / CUSIP: 464330109 / Symbol: ISIS

6,545,000

221,260.64

58,577.75

03/16/11

279,838.39

03/26/14

Sale

UPS FINANCIAL SERVICES INC.

Account: 5X 58899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
L-3 COMMUNICATIONS HOLDINGS CORP / CUSIP: 502424104 / Symbol: LLL								
5 tax lots for 03/26/14.								
	165,000	19,532.28		02/24/06	13,142.50	0.00	6,389.78	Sale
	220,000	26,043.05		05/08/06	17,969.07	0.00	8,073.98	Sale
	60,000	7,102.64		05/30/06	4,489.36	0.00	2,613.28	Sale
	1,160,000	137,317.87		02/06/07	95,924.33	0.00	41,393.54	Sale
	1,365,000	161,585.25		03/16/11	102,219.39	0.00	59,365.86	Sale
03/26/14	2,970,000	351,581.09		VARIOUS	233,744.65	0.00	117,836.44	Total of 5 lots
LIBERTY INTERACTIVE CORP SER A / CUSIP: 53071M104 / Symbol: QVCA								
5 tax lots for 03/26/14.								
	125,999	3,573.27		02/24/06	2,058.96	0.00	1,514.31	Sale
	775,000	21,978.62		05/08/06	13,353.13	0.00	8,625.49	Sale
	6,170,000	174,978.17		02/06/07	130,996.01	0.00	43,982.16	Sale
	18,000	510.47		03/17/08	255.56	0.00	254.91	Sale
	260,001	7,373.50		03/18/08	3,758.38	0.00	3,615.12	Sale
03/26/14	7,349,000	208,414.03		VARIOUS	150,422.04	0.00	57,991.99	Total of 5 lots
LIBERTY MEDIA CORP CL A / CUSIP: 531229102 / Symbol: LMCA								
2 tax lots for 03/26/14.								
	1,066,000	139,147.95		06/26/08	14,339.15	0.00	124,808.80	Sale
	2,340,000	305,446.73		06/27/08	30,570.18	0.00	274,876.55	Sale
03/26/14	3,406,000	444,594.68		VARIOUS	44,909.33	0.00	399,685.35	Total of 2 lots
NATL-OILWELLVARCO INC / CUSIP: 637071101 / Symbol: NOV								
4 tax lots for 03/26/14.								
	439,000	32,958.78		02/06/07	32,618.23	0.00	340.55	Sale
	270,000	20,270.77		08/12/08	18,654.65	0.00	1,616.12	Sale
	599,000	44,971.08		10/24/08	14,409.72	0.00	30,561.36	Sale
	220,000	16,516.93		10/27/08	5,598.80	0.00	10,918.13	Sale
03/26/14	1,528,000	114,717.56		VARIOUS	71,281.40	0.00	43,436.16	Total of 4 lots

UBS FINANCIAL SERVICES INC.

Account XXX68899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
NUCOR CORP COMMON STOCK / CUSIP: 670346105 / Symbol: NUE								
3 tax lots for 03/26/14.								
	610.000		31,073.81	02/24/09	22,631.86	0.00	8,441.95	Sale
	960.000		48,903.05	02/04/11	45,921.89	0.00	2,981.16	Sale
	2,165.000		110,286.56	03/16/11	100,326.10	0.00	9,960.46	Sale
03/26/14	3,735.000		190,263.42	VARIOUS	168,879.85	0.00	21,383.57	Total of 3 lots
PALL CORP COMMON STOCK / CUSIP: 696429307 / Symbol: PLL								
2 tax lots for 03/26/14.								
	5,510.000		484,734.31	03/27/08	212,839.18	0.00	271,895.13	Sale
	1,055.000		92,812.10	04/22/08	38,535.14	0.00	54,276.96	Sale
03/26/14	6,565.000		577,546.41	VARIOUS	251,374.32	0.00	326,172.09	Total of 2 lots
SANDISK CORP / CUSIP: 80004C101 / Symbol: SNDK								
2 tax lots for 03/26/14.								
	558.000		44,797.59	07/09/08	9,743.35	0.00	35,054.24	Sale
	3,899.000		313,021.19	07/09/08	68,081.22	0.00	244,939.97	Sale
03/26/14	4,457.000		357,818.78	VARIOUS	77,824.57	0.00	279,994.21	Total of 2 lots
STARZ SER A / CUSIP: 85571Q102 / Symbol: STRZA								
2 tax lots for 03/26/14.								
	1,066.000		33,734.26	06/26/08	1,648.72	0.00	32,085.54	Sale
	2,340.000		74,050.80	06/27/08	3,514.96	0.00	70,535.84	Sale
03/26/14	3,406.000		107,785.06	VARIOUS	5,163.68	0.00	102,621.38	Total of 2 lots
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH								
5 tax lots for 03/26/14.								
	8,610.000		705,543.47	04/22/08	292,350.83	0.00	413,192.64	Sale
	140.000		11,472.25	04/29/08	4,674.77	0.00	6,797.48	Sale
	10.000		819.45	04/30/08	331.72	0.00	487.73	Sale
	1,540.000		126,194.77	05/01/08	50,757.48	0.00	75,437.29	Sale
	3,305.000		270,827.08	03/16/11	141,057.40	0.00	129,769.68	Sale
03/26/14	13,605.000		1,114,857.02	VARIOUS	489,172.20	0.00	625,684.82	Total of 5 lots

UBS FINANCIAL SERVICES INC	
2014	
Proceeds Not Reported to the IRS	
(continued)	
Account: X65899	

LONG-TERM TRANSACTIONS		NOT reported on Form 1099-B						
Report on Form 8949, Part II, with Box F checked								
Description of property								
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
VERTEX PHARMACEUTICAL INC COMMON STOCK / CUSIP: 92532F100 / Symbol: VRTX								
6 tax lots for 03/26/14.								
	19,000	1,342.32	1,342.32	11/11/08	512.29	0.00	830.03.	Sale
	502,000	35,465.57	35,465.57	11/12/08	13,417.96	0.00	22,047.61	Sale
	186,000	13,140.63	13,140.63	11/13/08	4,952.90	0.00	8,187.73	Sale
	510,000	36,030.75	36,030.75	11/17/08	13,750.88	0.00	22,279.87	Sale
	1,320,000	93,256.08	93,256.08	03/16/11	60,033.60	0.00	33,222.48	Sale
	540,000	38,150.21	38,150.21	09/11/12	30,229.85	0.00	7,920.36	Sale
03/26/14	3,077,000	217,385.56	217,385.56	VARIOUS	122,897.48	0.00	94,488.08	Total of 6 lots
COVIDIEN PLC FOREIGN STOCK / CUSIP: G2554F113 / Symbol: COV								
6 tax lots for 03/26/14.								
	2,289	162.18	162.18	01/27/06	70.60	0.00	91.58	Sale
	387,386	27,447.83	27,447.83	02/24/06	11,617.34	0.00	15,830.49	Sale
	236,181	16,734.35	16,734.35	05/08/06	7,730.04	0.00	9,004.31	Sale
	261,173	18,505.13	18,505.13	12/08/06	9,077.74	0.00	9,427.39	Sale
	980,969	68,088.44	68,088.44	02/06/07	36,407.93	0.00	31,680.51	Sale
	420,002	29,758.79	29,758.79	03/16/11	19,551.16	0.00	10,207.63	Sale
03/26/14	2,268,000	160,696.72	160,696.72	VARIOUS	84,454.81	0.00	76,241.91	Total of 6 lots
SEAGATE TECHNOLOGY PLC SHS FOREIGN STOCK / CUSIP: G7945M107 / Symbol: STX								
6 tax lots for 03/26/14.								
	499,000	22,896.52	22,896.52	05/08/06	19,843.48	0.00	12,053.04	Sale
	1,790,000	100,207.28	100,207.28	12/08/06	47,375.04	0.00	52,832.24	Sale
	3,065,000	171,583.97	171,583.97	02/06/07	80,456.25	0.00	91,127.72	Sale
	452,000	25,303.73	25,303.73	08/18/10	5,049.61	0.00	20,254.12	Sale
	830,000	46,464.83	46,464.83	08/23/10	9,097.13	0.00	37,367.70	Sale
	7,000,000	391,872.03	391,872.03	03/16/11	90,930.00	0.00	300,942.03	Sale
03/26/14	13,546,000	758,328.36	758,328.36	VARIOUS	243,751.51	0.00	514,576.85	Total of 6 lots
WEATHERFORD INTL LTD **MERGER - EFF - 06/2014** CHF / CUSIP: H27013103 / Symbol:								
6 tax lots for 03/26/14.								
	450,000	7,565.55	7,565.55	02/24/06	9,969.30	0.00	-2,403.75	Sale
	190,000	3,194.35	3,194.35	05/08/06	5,427.07	0.00	-2,232.72	Sale

UBS FINANCIAL SERVICES INC.

Account AX-65899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 999, Part III, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross/Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or (loss) & 7-Loss not allowed(X)	Additional Information
WEATHERFORD INTL LTD **MERGER - EFF - 06/2014**	CHP / CUSIP: H27013103 / Symbol: (cont'd)						
	2,280.000	36,332.12	12/08/06	51,588.88	0.00	-13,256.76	Sale
	5,430.000	91,290.99	02/06/07	110,829.56	0.00	-19,538.57	Sale
	5,840.000	98,184.04	03/16/11	117,734.40	0.00	-19,550.36	Sale
	18,000.000	302,622.06	07/05/12	230,016.60	0.00	72,605.46	Sale
03/26/14	32,190.000	541,189.11	VARIOUS	525,565.81	0.00	15,623.30	Total of 6 lots

PENTAIR LTD **MERGER EFF 06/2014** \$0.22 / CUSIP: H6169Q108 / Symbol:

7 tax lots for 03/26/14.

1.000	77.90	01/27/06	16.91	0.00	60.99	Sale
93.000	7,244.33	02/24/06	2,781.76	0.00	4,462.57	Sale
57.000	4,440.07	05/08/06	1,850.95	0.00	2,589.12	Sale
63.000	4,907.46	12/08/06	2,173.65	0.00	2,733.81	Sale
231.000	17,993.99	02/06/07	8,717.85	0.00	9,276.14	Sale
12.000	934.75	05/19/10	334.48	0.00	600.27	Sale
1,121.000	87,321.48	03/16/11	36,477.67	0.00	50,843.81	Sale
1,578.000	122,919.98	VARIOUS	52,353.27	0.00	70,566.71	Total of 7 lots

TE CONNECTIVITY LTD FOREIGN STOCK CHF / CUSIP: H84989104 / Symbol: TEL

6 tax lots for 03/26/14.

2.289	136.20	01/27/06	71.22	0.00	64.98	Sale
387.388	23,050.63	02/24/06	11,719.91	0.00	11,330.72	Sale
236.181	14,053.40	05/08/06	7,798.27	0.00	6,255.13	Sale
261.173	15,540.50	12/08/06	9,157.88	0.00	6,382.62	Sale
960.969	57,180.24	02/06/07	36,729.36	0.00	20,450.88	Sale
5,050.000	300,488.57	03/16/11	169,882.00	0.00	130,606.57	Sale
6,898.000	410,449.54	VARIOUS	235,358.64	0.00	175,090.90	Total of 6 lots

TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: H89128104 / Symbol:

7 tax lots for 03/26/14.

2.289	97.26	01/27/06	47.26	0.00	50.00	Sale
387.386	16,460.42	02/24/06	7,777.22	0.00	8,683.20	Sale
236.181	10,035.57	05/08/06	5,174.86	0.00	4,860.71	Sale
261.173	11,097.50	12/08/06	6,077.08	0.00	5,020.42	Sale
960.969	40,832.54	02/06/07	24,373.25	0.00	16,459.29	Sale

UBS FINANCIAL SERVICES INC.

Account AX 68899

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TYCO INTL LTD **MERGER EFF 11/2014** CHF / CUSIP: H89128104 / Symbol: (cont'd)	50.000	2,124.55	05/19/10	935.15	0.00	1,189.40	Sale
	4,680.002	198,857.98	03/16/11	102,013.38	0.00	96,844.60	Sale
03/26/14	6,578.000	279,505.82	VARIOUS	146,398.20	0.00	133,107.62	Total of 7 lots
Totals:		11,476,052.61		5,731,179.53	0.00	5,744,873.08	

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.	Employer identification number (EIN) or 58-2176142
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 4705 LELAND DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CUMMING, GA 30041	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MIKE HULL

- The books are in the care of ► **4705 LELAND DRIVE, - CUMMING, GA 30041**
Telephone No. ► **(770) 886-2250** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2014** or
► ☐ tax year beginning , and ending .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 293,121.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 46,680.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 251,914.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.