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EXTENDED TO NOVEMBER 16, 2015

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: THE ANN AND MONROE CARELL FOUNDATION

A Employer identification number: 58-1537831

Number and street (or P O box number if mail is not delivered to street address): 95 WHITE BRIDGE ROAD — Room/suite: 514

B Telephone number: (615)356-0991

City or town, state or province, country, and ZIP or foreign postal code: NASHVILLE, TN 37205

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 33,158,201.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	10,967,006.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	418,100.	335,219.		STATEMENT 8
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	736,805.			STATEMENT 7
	b	Gross sales price for all assets on line 6a 9,134,605.				
	7	Capital gain net income (from Part IV, line 2)		1,367,569.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	567.	567.		STATEMENT 9
	12	**Total.** Add lines 1 through 11	12,122,478.	1,703,355.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees STMT 10	868.	0.		868.
	b	Accounting fees STMT 11	8,890.	0.		8,890.
	c	Other professional fees STMT 12	82,374.	82,374.		0.
	17	Interest				
	18	Taxes STMT 13	74,895.	8,145.		0.
	19	Depreciation and depletion	1,066.	1,066.		
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 14	32.	0.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	168,125.	91,585.		9,758.
	25	Contributions, gifts, grants paid	83,333.			83,333.
	26	**Total expenses and disbursements.** Add lines 24 and 25	251,458.	91,585.		93,091.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	11,871,020.			
	b	**Net investment income** (if negative, enter -0-)		1,611,770.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	3,513,640.	3,395,494.	3,395,494.
3 Accounts receivable ▶ 93,298.			
Less: allowance for doubtful accounts ▶		93,298.	93,298.
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock STMT 15	9,472,280.	18,759,099.	20,729,709.
c Investments - corporate bonds STMT 16	5,697,555.	5,771,446.	5,647,613.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 17	1,632,845.	3,141,860.	3,292,087.
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	20,316,320.	31,161,197.	33,158,201.
Liabilities 17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	20,316,320.	31,161,197.	
30 **Total net assets or fund balances**	20,316,320.	31,161,197.	
31 **Total liabilities and net assets/fund balances**	20,316,320.	31,161,197.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,316,320.
2 Enter amount from Part I, line 27a	2	11,871,020.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	32,187,340.
5 Decreases not included in line 2 (itemize) ▶ BOOK/TAX DIFFERENCE	5	1,026,143.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	31,161,197.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	9,134,605.		7,767,036.	1,367,569.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				1,367,569.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	1,367,569.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,745,204.	16,141,144.	.108121
2012	964,933.	8,084,997.	.119349
2011	1,621,292.	7,361,946.	.220226
2010	166,670.	8,011,013.	.020805
2009	373,242.	7,278,663.	.051279

2 Total of line 1, column (d)	2	.519780
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.103956
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	21,756,262.
5 Multiply line 4 by line 3	5	2,261,694.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,118.
7 Add lines 5 and 6	7	2,277,812.
8 Enter qualifying distributions from Part XII, line 4	8	93,091.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	32,235.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	32,235.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	32,235.
6 Credits/Payments:				
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	41,332.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	7,500.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	48,832.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	16,597.
11 Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ 16,597. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 18	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LBMC, P.C. Telephone no. ▶ (615) 377-4600

Located at ▶ 5250 VIRGINIA WAY, SUITE 400, BRENTWOOD, TN ZIP+4 ▶ 37027

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____ , ____ , ____ , ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____ , ____ , ____ , ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHRYN C. BROWN 4410 TRUXTON PLACE NASHVILLE, TN 37205	PRESIDENT 0.00	0.	0.	0.
EDITH C. JOHNSON 4407 IROQUOIS NASHVILLE, TN 37205	TREASURER 0.00	0.	0.	0.
JULIE CARELL STADLER 4432 TYNE BLVD NASHVILLE, TN 37215	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2014)

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,020,663.
b	Average of monthly cash balances	1b	3,066,913.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	22,087,576.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,087,576.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	331,314.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,756,262.
6	**Minimum investment return.** Enter 5% of line 5	6	1,087,813.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,087,813.
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 32,235.		
b	Income tax for 2014. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	32,235.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,055,578.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,055,578.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,055,578.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	93,091.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	93,091.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	93,091.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7					1,055,578.
2 Undistributed income, if any, as of the end of 2014					
a Enter amount for 2013 only				0.	
b Total for prior years: ___, ___, ___			0.		
3 Excess distributions carryover, if any, to 2014:					
a From 2009	12,433.				
b From 2010					
c From 2011	1,263,677.				
d From 2012	573,589.				
e From 2013	1,014,795.				
f Total of lines 3a through e		2,864,494.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 93,091.					
a Applied to 2013, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2014 distributable amount					93,091.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)		962,487.			962,487.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,902,007.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		1,902,007.			
10 Analysis of line 9:					
a Excess from 2010					
b Excess from 2011	313,623.				
c Excess from 2012	573,589.				
d Excess from 2013	1,014,795.				
e Excess from 2014					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2014	Prior 3 years (b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
POPE JOHN PAUL II HIGH SCHOOL 2201 WEST END AVENUE NASHVILLE, TN 37235	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	83,333.
Total			▶ 3a	83,333.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	418,100.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900099	567.			
8 Gain or (loss) from sales of assets other than inventory			18	736,805.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		567.		1,154,905.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	1,155,472.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Katy Carell Brown 11-16-15 PRESIDENT

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MELISSA B. COTHRAN	MELISSA B. COTHRA	11/11/15		P00368963
Firm's name ▶ LBMC, PC			Firm's EIN ▶ 62-1199757	
Firm's address ▶ P.O. BOX 1869 BRENTWOOD, TN 37024-1869			Phone no. (615)377-4600	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Organization type (check one):

Filers of: **Section:**

Form 990 or 990-EZ
- [ ] 501(c)( ) (enter number) organization
- [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- [ ] 527 political organization

Form 990-PF
- [X] 501(c)(3) exempt private foundation
- [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
- [ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- [X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- [ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MONROE CARELL, JR. TESTAMENTARY Q-TIP TR FBO ANN SCOTT CAREL 95 WHITE BRIDGE ROAD, STE. 514 NASHVILLE, TN 37205	$ 10,967,006.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	SEE ATTACHED SCHEDULE OF SECURITIES RECEIVED	$ 9,637,786.	VARIOUS

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
d	325,000.00 SHS NY ENV FCS CORP CLN DRKG WTR REV 5	P	06/27/13	12/29/14
e	GOLDMAN SACHS #71782-4 (SEE ATTACHMENT 2)	P	VARIOUS	VARIOUS
f	GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
g	GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
h	GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
i	GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	P	VARIOUS	VARIOUS
j	GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	P	VARIOUS	VARIOUS
k	GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	P	VARIOUS	VARIOUS
l	GOLDMAN SACHS #71792-3 (SEE ATTACHMENT 5)	P	VARIOUS	VARIOUS
m	GOLDMAN SACHS #71859-0 (SEE ATTACHMENT 6)	P	VARIOUS	VARIOUS
n	4,000.00 SHS SEAGATE TECHNOLOGY HDD HOLD 6.8% 10/	P	10/12/12	12/24/14
o	DONALD SMITH K-1	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,195,725.		4,211,506.	-15,781.
b	2,190,807.		1,803,481.	387,326.
c	505,850.		301,895.	203,955.
d	386,857.		346,143.	40,714.
e	138,964.		137,132.	1,832.
f	385,820.		354,195.	31,625.
g	36,186.		31,215.	4,971.
h	16.			16.
i	120,331.		137,196.	-16,865.
j	5,116.		6,464.	-1,348.
k	485.			485.
l	295,153.		290,025.	5,128.
m	160,975.		143,562.	17,413.
n	4,399.		4,222.	177.
o	434.			434.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-15,781.
b				387,326.
c				203,955.
d				40,714.
e				1,832.
f				31,625.
g				4,971.
h				16.
i				-16,865.
j				-1,348.
k				485.
l				5,128.
m				17,413.
n				177.
o				434.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DONALD SMITH K-1	P	VARIOUS	VARIOUS
b	MCJ QTIP K-1	P		
c	MCJ QTIP K-1	P		
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,233.			1,233.
b	24,807.			24,807.
c	580,982.			580,982.
d	100,465.			100,465.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,233.
b				24,807.
c				580,982.
d				100,465.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	1,367,569.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

423591
05-01-14

The Ann & Monroe Carell Foundation
EIN 58 1537831
Capital Gains & Losses - Attachment 1

Symbol	Description	Purchase Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
GSARX	GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES	9/3/2013	8/14/2014	85,616 44	750,000 00	751,709 57	(1,709 57)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	9/3/2013	7/31/2014	61,222 50	614,673 89	616,510 57	(1,836 68)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	7/31/2013	7/31/2014	51 763	519 70	523 32	(3 62)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	8/20/2013	7/31/2014	49,652 43	498,510 43	500,000 00	(1,489 57)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	8/30/2013	7/31/2014	99 112	995 08	997 07	(1 99)
OJBSKEL3	JPMORGAN CHASE & CO LINKED TO CUSTOM ITALY BASKET DELTA ONE STRUCTURED NOTE DUE 12/31/2015	6/26/2014	9/12/2014	220,000	208,364 42	218,680 00	(10,315 58)
OJSX5E12	JPMORGAN CHASE & CO LINKED TO EURO STOXX 50 PR CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 04/21/2016	4/16/2014	11/21/2014	500,000	475,805 00	500,000 00	(24,195 00)
PMBIX	PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES	8/14/2014	9/30/2014	95,510 98	988,538 68	1,000,000 00	(11,461 32)
PMBIX	PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES	8/29/2014	9/30/2014	81 306	841 52	850 46	(8 94)
SJNK	SPDR BARCLAYS SHORT TERM HIGH YIELD BOND EXCHANGE TRADED FUND	10/3/2013	3/17/2014	10,000	308,705 17	305,152 00	3,553 17
SJNK	SPDR BARCLAYS SHORT TERM HIGH YIELD BOND EXCHANGE TRADED FUND	11/26/2013	3/17/2014	6,400	197,571 31	197,943 04	(371 73)
KBE	SPDR S&P BANK ETF	2/7/2013	1/3/2014	4,600	151,199 37	119,140 00	32,059 37
				Short-Term Gain(Loss) Totals	4,195,724 57	4,211,506 03	(15,781 46)
MXESELN1	BNP PARIBAS LNKD TO MSCI SPAIN 25/50 UPSIDE LEVERED NO CAP STRUCTURED NOTE DUE 03/10/2015	9/5/2013	9/12/2014	100	147,909 00	100,000 00	47,909 00
OCSX5EL5	CREDIT SUISSE AG LINKED TO EURO STOXX 50 PR CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 06/26/2014	3/8/2013	6/26/2014	130,000	141,440 00	130,000 00	11,440 00
OCSX5EL3	CREDIT SUISSE AG LINKED TO EURO STOXX 50 PR UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 06/25/2014	12/21/2012	6/25/2014	300,000	385,500 00	300,000 00	85,500 00
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	3/22/2013	7/31/2014	16,304 35	163,695 65	164,941 04	(1,245 39)
GSFRX	GS HIGH YIELD FLOATING FATE FUND INSTITUTIONAL SHARES	3/28/2013	7/31/2014	7 391	74 21	74 87	(0 66)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	4/30/2013	7/31/2014	48 183	483 76	489 54	(5 78)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	5/31/2013	7/31/2014	55 243	554 64	559 06	(4 42)
GSFRX	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	6/28/2013	7/31/2014	49 068	492 64	492 15	0 49
EEMS	ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF	1/30/2013	2/26/2014	2,000	92,567 58	100,435 00	(7,867 42)
OJSX5EL5	JPMORGAN CHASE & CO LINKED TO EURO STOXX 50 PR UPSIDE LEVERED NO CAP STRUCTURED NOTE DUE 10/14/2014	4/9/2013	8/8/2014	300,000	387,702 30	300,000 00	87,702 30
MXEAE153	ROYAL BANK OF CANADA LINKED TO MSCIEA UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 02/27/2014	8/23/2012	2/27/2014	105	130,987 50	105,000 00	25,987 50
KBE	SPDR S&P BANK ETF	2/2/2012	1/3/2014	3,100	101,895 23	66,876 61	35,018 62
KBE	SPDR S&P BANK ETF	2/2/2012	1/3/2014	3,300	108,469 11	71,191 23	37,277 88
KBE	SPDR S&P BANK ETF	2/2/2012	1/3/2014	700	23,008 60	15,101 17	7,907 43
XLK	TECHNOLOGY SELECT INDEX 'SPDR'	7/1/2013	10/10/2014	4,800	185,026 30	148,320 00	36,706 30
SPXCA101	THE GOLDMAN SACHS GROUP, INC LINKED TO S&P 500 INDEX AUTOCALLABLE W BUFFER STRUCTURED NOTE DUE 03/24/2016	3/21/2013	4/24/2014	300	321,000 00	300,000 00	21,000 00
				Long-Term Gain(Loss) Totals	2,190,806 52	1,803,480 67	387,325 85

The Ann & Monroe Carell Foundation
EIN: 58-1537831
Capital Gains & Losses - Attachment 1

					LTCG Per Cost Basis			LTCG Per FMV		
Symbol	Description	Purchase Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss	Proceeds	FMV	Gain/Loss
ALXN	ALEXION PHARMACEUTICALS INC CMN	4/25/2013	12/23/2014	23	4,299 06	2,141 32	2,157.74	4,299 06	130,073 56	(125,774 50)
ALXN	ALEXION PHARMACEUTICALS INC CMN	2/22/2013	12/23/2014	32	5,981 31	2,791 23	3,190 08	5,981 31	180,971 91	(174,990 60)
ALXN	ALEXION PHARMACEUTICALS INC CMN	2/21/2013	12/23/2014	22	4,112 15	1,921 57	2,190 58	4,112 15	124,418 19	(120,306 04)
ANTM	ANTHEM, INC CMN	1/9/2013	12/23/2014	100	12,877 71	6,140 56	6,737 15	12,877 71	12,847 00	30 71
AAPL	APPLE, INC CMN	7/8/2013	12/23/2014	133	15,045 62	7,866 11	7,179 51	15,045 62	15,021 02	24 60
BMY	BRISTOL-MYERS SQUIBB COMPANY CMN	11/12/2012	12/23/2014	120	7,335 43	3,844 79	3,490 64	7,335 43	7,327 20	8 23
SCHW	CHARLES SCHWAB CORPORATION CMN	2/7/2013	12/23/2014	463	13,988 86	7,899 71	6,089 15	13,988 86	13917 78	71 08
SCHW	CHARLES SCHWAB CORPORATION CMN	2/20/2013	12/23/2014	500	15,106 76	8,410 05	6,696 71	15,106 76	15030	76 76
CXO	CONCHO RESOURCES INC CMN	6/4/2013	12/23/2014	119	11,667 87	10,126 01	1,541 86	11,667 87	11564 42	103 45
CXO	CONCHO RESOURCES INC CMN	6/18/2013	12/23/2014	91	8,922 49	7,986 89	935 60	8,922 49	8843 38	79 11
CXO	CONCHO RESOURCES INC CMN	4/16/2013	12/23/2014	237	23,237 69	19,626 49	3,611 20	23,237 69	23031 66	206 03
CXO	CONCHO RESOURCES INC CMN	6/5/2013	12/23/2014	124	12,158 12	10,910 40	1,247 72	12,158 12	12050 32	107 80
DG	DOLLAR GENERAL CORPORATION CMN	12/11/2012	12/23/2014	100	6,921 84	4,495 95	2,425 89	6,921 84	6,866 00	55.84
ECL	ECOLAB INC CMN	12/19/2012	12/23/2014	49	5,254 64	3,524 79	1,729 85	5,254 64	5,234 67	19 97
ENDP	ENDO INTERNATIONAL PLC CMN	4/15/2013	12/23/2014	46	3,305 94	3,588 46	(282 52)	3,305.94	3323 5	(17 56)
ENDP	ENDO INTERNATIONAL PLC CMN	1/9/2013	12/23/2014	20	1,437 37	1,560 20	(122 83)	1,437 37	1445	(7 63)
ENDP	ENDO INTERNATIONAL PLC CMN	7/29/2013	12/23/2014	20	1,437 37	1,560 20	(122 83)	1,437 37	1445	(7 63)
ENDP	ENDO INTERNATIONAL PLC CMN	4/17/2013	12/23/2014	75	5,390 13	5,850 75	(460 62)	5,390 13	5418 75	(28 62)
ENDP	ENDO INTERNATIONAL PLC CMN	4/16/2013	12/23/2014	91	6,540 02	7,098 91	(558 89)	6,540 02	6574 75	(34 73)
FLT	FLEETCOR TECHNOLOGIES, INC CMN	3/5/2013	12/23/2014	150	22,378 96	10,789 71	11,589 25	22,378 96	22213 5	165 46
FLT	FLEETCOR TECHNOLOGIES, INC CMN	3/7/2013	12/23/2014	120	17,903 17	8,426 60	9,476 57	17,903 17	17770 8	132 37
FLT	FLEETCOR TECHNOLOGIES, INC CMN	3/13/2013	12/23/2014	60	8,951.59	4,229 90	4,721 69	8,951 59	8885 4	66 19
IT	GARTNER, INC CMN	11/14/2012	12/23/2014	229	19,571.12	10,547 42	9,023 70	19,571 12	19623 01	(51.89)
IT	GARTNER, INC CMN	1/8/2013	12/23/2014	119	10,170 14	5,829 45	4,340 69	10,170 14	17052 31	(6,882 17)
IT	GARTNER, INC CMN	1/3/2013	12/23/2014	94	8,033 56	4,603 19	3,430 37	8,033 56	8054 86	(21 30)
IT	GARTNER, INC CMN	1/15/2013	12/23/2014	315	26,920 97	15,769 91	11,151 06	26,920 97	26992 35	(71 38)
H	HYATT HOTELS CORPORATION CMN CLASS A	2/7/2013	12/23/2014	132	7,914 55	5,439 17	2,475 38	7,914 55	7,894 92	19 63
ILMN	ILLUMINA, INC CMN	3/15/2013	12/23/2014	184	34,834 62	9,664 14	25,170 48	34,834 62	34855 12	(20 50)
ILMN	ILLUMINA, INC CMN	4/25/2013	12/23/2014	125	23,664 83	7,837 08	15,827 75	23,664 83	23678 75	(13 92)
MHFI	MCGRAW-HILL COMPANIES INC CMN	2/25/2013	12/23/2014	91	8,269 90	4,194 03	4,075.87	8,269.90	8235 5	34 40
MHFI	MCGRAW-HILL COMPANIES INC CMN	2/26/2013	12/23/2014	72	6,543 22	3,292.35	3,250.87	6,543.22	6516	27 22
MHFI	MCGRAW-HILL COMPANIES INC CMN	3/25/2013	12/23/2014	91	8,269 90	4,541 11	3,728 79	8,269 90	8235 5	34 40
MHFI	MCGRAW-HILL COMPANIES INC CMN	2/27/2013	12/23/2014	69	6,270 58	3,209 02	3,061 56	6,270.58	6244 5	26 08
	MYLAN INC CMN	4/24/2013	12/23/2014	81	4,618 44	2,317 63	2,300 81	4,618 44	4617	1 44
	MYLAN INC CMN	4/25/2013	12/23/2014	58	3,307 03	1,679 18	1,627 85	3,307 03	3306	1 03
	MYLAN INC CMN	4/26/2013	12/23/2014	124	7,070 20	3,636 29	3,433 91	7,070 20	7068	2 20
	PALL CORP CMN	1/23/2013	12/23/2014	147	14,820 46	9,905 36	4,915 10	14,820 46	14805 84	14 62
	PALL CORP CMN	1/24/2013	12/23/2014	181	18,248.32	12,326.77	5,921 55	18,248.32	18230.32	18 00
	PALL CORP CMN	2/7/2013	12/23/2014	99	9,981 13	6,707 53	3,273 60	9,981 13	9971.28	9 85
PCP	PRECISION CASTPARTS CORP. CMN	11/28/2012	12/23/2014	27	6,470 40	4,894 84	1,575 56	6,470 40	6465 42	4 98
PCP	PRECISION CASTPARTS CORP CMN	12/17/2012	12/23/2014	24	5,751 47	4,444 59	1,306 88	5,751 47	5747 04	4 43
SLB	SCHLUMBERGER LTD CMN	11/12/2012	12/23/2014	60	5,227.08	4,101.49	1,125 59	5,227.08	5,205 00	22.08
TJX	TJX COMPANIES INC (NEW) CMN	8/20/2012	12/23/2014	471 (ii)	31,883 49	21,592.99	10,290 50	31,883 49	31679.46	204.03
TJX	TJX COMPANIES INC (NEW) CMN	11/19/2012	12/23/2014	88	5,957 00	3,850 51	2,106 49	5,957 00	5918 88	38 12
TJX	TJX COMPANIES INC (NEW) CMN	11/1/2012	12/23/2014	112	7,581.64	4,772.87	2,808 77	7,581 64	7533 12	48 52
WM	WASTE MANAGEMENT INC CMN	5/22/2009	12/23/2014	120	6,129 46	3,402 52	2,726 94	6,129 46	6134 4	(4 94)
WM	WASTE MANAGEMENT INC CMN	11/12/2012	12/23/2014	80	4,086 31	2,544 97	1,541 34	4,086 31	4089 6	(3 29)
				Long-Term Gain(Loss) Totals	505,849 92	301,895 01	203,954.91	505,849 92	932,428.00	(426,578.08)

THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 2

Tax Year	Account No	Legal Name
2014	003-71782-4	THE ANN & MONROE CARELL FOUNDA

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DELPHI AUTOMOTIVE PLC CMN (G27823106)	11/27/2013	01/27/2014	25 00	1,502 50	0 00	1,453 66	48 84
DELPHI AUTOMOTIVE PLC CMN (G27823106)	11/27/2013	01/28/2014	150 00	8,996 20	0 00	8,721 96	274 24
ILLINOIS TOOL WORKS CMN (452308109)	11/27/2013	01/29/2014	100 00	7,955 64	0 00	7,939 76	15 88
ILLINOIS TOOL WORKS CMN (452308109)	11/27/2013	01/30/2014	125 00	9,896 07	0 00	9,924 70	(28 63)
TRIUMPH GROUP INC CMN (896818101)	11/27/2013	01/31/2014	100 00	6,868 70	0 00	7,433 63	(564 93)
TRIUMPH GROUP INC CMN (896818101)	11/27/2013	02/10/2014	175 00	11,445 22	0 00	13,008 85	(1,563 63)
GAMING AND LEISURE PROP, INC CMN (36467J108)	02/19/2014	02/19/2014	0 62	22 63	0 00	0 00	22 63
DELPHI AUTOMOTIVE PLC CMN (G27823106)	11/27/2013	02/20/2014	175 00	11,476 02	0 00	10,175 62	1,300 40
DRESSER-RAND GROUP INC CMN (261608103)	11/27/2013	03/31/2014	75 00	4,356 30	0 00	4,241 17	115 13
DRESSER-RAND GROUP INC CMN (261608103)	11/27/2013	04/01/2014	100 00	5,884 19	0 00	5,654 89	229 30
DRESSER-RAND GROUP INC CMN (261608103)	11/27/2013	04/02/2014	100 00	5,894 32	0 00	5,654 89	239 43
WELLS FARGO & CO (NEW) CMN (949746101)	11/27/2013	05/14/2014	75 00	3,710 59	0 00	3,327 71	382 88
NOW INC CMN (67011P100)	11/27/2013	06/03/2014	75 00	2,612 74	0 00	2,485 06	127 68
TIFFANY & CO CMN (886547108)	11/27/2013	06/04/2014	25 00	2,489 37	0 00	2,212 50	276 87
GAMING AND LEISURE PROP, INC CMN (36467J108)	11/27/2013	06/05/2014	200 00	6,801 98	0 00	9,299 88	(2,497 90)
APPLIED MATERIALS INC CMN (038222105)	11/27/2013	06/06/2014	425 00	9,267 17	0 00	7,384 29	1,882 88

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GAMING AND LEISURE PROP, INC CMN (36467J108)	02/19/2014	06/06/2014	63 00	2,143 61	0 00	2,430 97	(287 36)
GAMING AND LEISURE PROP, INC CMN (36467J108)	11/27/2013	06/06/2014	125 00	4,253 20	0 00	5,812 43	(1,559 23)
FAMILY DOLLAR STORES INC CMN (307000109)	11/27/2013	08/18/2014	50 00	3,993 24	0 00	3,510 20	483 04
FAMILY DOLLAR STORES INC CMN (307000109)	11/27/2013	09/02/2014	25 00	2,007 49	0 00	1,755 10	252 39
ORACLE CORPORATION CMN (68389X105)	11/27/2013	10/30/2014	125 00	4,813 10	0 00	4,411 50	401 60
ORACLE CORPORATION CMN (68389X105)	11/27/2013	11/05/2014	575 00	22,573 54	0 00	20,292 90	2,280 64
Net Covered Short-Term Gains (Losses)				**138,963.82**	**0.00**	**137,131.67**	**1,832.15**

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Tax Year	Account No	Legal Name
2014	003-71783-2	THE ANN & MONROE CARELL FOUNDA

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	11/27/2013	01/23/2014	39 00	1,317 53	0 00	1,216 86	100 67
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	01/23/2014	27 00	1,363 85	0 00	1,269 47	94 38
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	11/27/2013	01/23/2014	11 00	905 50	0 00	835 40	70.10
ORACLE CORPORATION CMN (68389X105)	11/27/2013	01/23/2014	48 00	1,821 69	0 00	1,692 52	129 17
PARKER-HANNIFIN CORP CMN (701094104)	11/27/2013	01/23/2014	39 00	4,633 37	0 00	4,615 49	17 88
STARWOOD HOTELS & RESORTS CMN (85590A401)	11/27/2013	01/23/2014	13 00	1,028 55	0 00	966 99	61 56
UNITED TECHNOLOGIES CORP CMN (913017109)	11/27/2013	01/23/2014	42 00	4,811 81	0 00	4,683 00	128 81
VISA INC CMN CLASS A (92826C839)	11/27/2013	01/23/2014	5 00	1,139 14	0 00	1,021 35	117 79
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	11/27/2013	02/04/2014	119 00	8,685 83	0 00	8,056 44	629 39
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	11/27/2013	02/04/2014	184 00	5,841 03	0 00	5,741 06	99 97
THE BANK OF NY MELLON CORP CMN (064058100)	11/27/2013	02/04/2014	296 00	9,202 74	0 00	10,017 29	(814 55)
THE BANK OF NY MELLON CORP CMN (064058100) Disallowed Loss							107 32
UNITED TECHNOLOGIES CORP CMN (913017109)	11/27/2013	02/04/2014	45 00	4,900 99	0 00	5,017 50	(116 51)
APPLE, INC CMN (037833100)	11/27/2013	02/05/2014	3 00	1,541 74	0 00	1,629 63	(87 89)
APPLE, INC CMN (037833100) Disallowed Loss							87 89

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	11/27/2013	02/05/2014	120 00	3,814 30	0 00	3,744 17	70 13
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/27/2013	03/05/2014	9 00	618 22	0 00	552 28	65 94
COCA-COLA COMPANY (THE) CMN (191216100)	11/27/2013	03/05/2014	54 00	2,070 94	0 00	2,172 62	(101 68)
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	11/27/2013	03/05/2014	13 00	1,019 89	0 00	1,046.32	(26 43)
DOVER CORPORATION CMN (260003108)	11/27/2013	03/05/2014	17 00	1,359 48	0 00	1,294 43	65 05
EBAY INC CMN (278642103)	12/05/2013	03/05/2014	42 00	2,478 33	0 00	2,161 74	316 59
KNOWLES CORPORATION CMN (49926D109)	02/14/2014	03/05/2014	7 00	212 35	0 00	211 33	1 02
KNOWLES CORPORATION CMN (49926D109)	11/27/2013	03/05/2014	81 00	2,457 17	0 00	2,432 19	24 98
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	03/05/2014	118 00	6,332 61	0 00	5,548 04	784 57
ORACLE CORPORATION CMN (68389X105)	11/27/2013	03/05/2014	35 00	1,383 18	0 00	1,234 13	149 05
TIME WARNER INC CMN (887317303)	11/27/2013	03/05/2014	10 00	683 99	0 00	661 41	22 58
WALT DISNEY COMPANY (THE) CMN (254687106)	11/27/2013	03/05/2014	17 00	1,403 80	0 00	1,202 61	201 19
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/27/2013	03/14/2014	38 00	2,558 46	0 00	2,331 84	226 62
ABERDEEN ASSET MANAGEMENT PLC ADR CMN (00300A104)	02/07/2014	04/22/2014	40 00	594 73	0 00	555 98	38 75
ABERDEEN ASSET MANAGEMENT PLC ADR CMN (00300A104)	02/04/2014	04/22/2014	274 00	4,073 90	0 00	3,557 64	516 26
EVEREST RE GROUP LTD CMN (G3223R108)	11/27/2013	04/22/2014	10 00	1,588 33	0 00	1,589 65	(1 32)
QUALCOMM INC CMN (747525103)	11/27/2013	04/22/2014	115 00	9,307 12	0 00	8,429 31	877 81
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	12/05/2013	04/22/2014	31 00	455 35	0 00	509 54	(54 19)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	01/23/2014	04/22/2014	47 00	690 37	0 00	772 07	(81 70)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	03/14/2014	04/22/2014	83 00	1,219 16	0 00	1,270 95	(51 79)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	11/27/2013	04/22/2014	1,324 00	19,447 80	0 00	22,508 00	(3,060 20)
APPLE, INC CMN (037833100)	11/27/2013	04/25/2014	4 00	2,276 57	0 00	2,172 84	103 73
APPLE, INC CMN (037833100)	11/27/2013	04/29/2014	19 00	11,267 34	0 00	10,321 01	946 33
COCA-COLA COMPANY (THE) CMN (191216100)	11/27/2013	04/29/2014	277 00	11,276 67	0 00	11,144 74	131 93

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	04/29/2014	104 00	5,822 65	0 00	4,889 80	932 85
ORACLE CORPORATION CMN (68389X105)	11/27/2013	04/29/2014	269 00	10,833 17	0 00	9,485 15	1,348 02
STARWOOD HOTELS & RESORTS CMN (85590A401)	11/27/2013	04/29/2014	69 00	5,149 76	0 00	5,132 49	17 27
ABERDEEN ASSET MANAGEMENT PLC ADR CMN (00300A104)	02/07/2014	06/06/2014	4 00	62 32	0 00	55 60	6 72
ANTHEM, INC CMN (94973V107)	03/05/2014	06/06/2014	14 00	1,483 45	0 00	1,281 45	202 00
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	11/27/2013	06/06/2014	19 00	1,495 19	0 00	1,529 23	(34 04)
DOVER CORPORATION CMN (260003108)	11/27/2013	06/06/2014	67 00	5,913 74	0 00	5,101 59	812 15
EVEREST RE GROUP LTD CMN (G3223R108)	11/27/2013	06/06/2014	18 00	2,914 34	0 00	2,861 36	52 98
FRANKLIN RESOURCES INC CMN (354613101)	11/27/2013	06/06/2014	86 00	4,860 35	0 00	4,759 05	101 30
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	11/27/2013	06/06/2014	47 00	1,822 26	0 00	1,466 47	355 79
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	11/27/2013	06/06/2014	140 00	6,029 48	0 00	5,494 05	535 43
ABERDEEN ASSET MANAGEMENT PLC ADR CMN (00300A104)	02/07/2014	06/09/2014	237 00	3,607 18	0 00	3,294 18	313 00
ABERDEEN ASSET MANAGEMENT PLC ADR CMN (00300A104)	02/10/2014	06/09/2014	408 00	6,209 82	0 00	5,714 40	495 42
EVEREST RE GROUP LTD CMN (G3223R108)	11/27/2013	06/09/2014	11 00	1,769 44	0 00	1,748 61	20 83
TIME INC CMN (887228104)	11/27/2013	06/09/2014	0 13	3 05	0 00	0 00	3 05
TIME INC CMN (887228104)	01/23/2014	06/19/2014	2 00	46 02	0 00	31 43	14 59
TIME INC CMN (887228104)	02/04/2014	06/19/2014	9 00	207 07	0 00	191 18	15 89
TIME INC CMN (887228104)	11/27/2013	06/19/2014	17 00	391 13	0 00	366 99	24 14
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/01/2014	0 00	42 15	0 00	0 00	42 15
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/14/2014	15 00	633 03	0 00	507 92	125 11
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/15/2014	57 00	2,407 04	0 00	1,930 09	476 95

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/16/2014	6 00	252 89	0 00	203 17	49 72
TIME WARNER INC CMN (887317303)	11/27/2013	07/17/2014	127 00	10,926 27	0 00	8,059 75	2,866 52
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/18/2014	37 00	1,559 54	0 00	1,252 86	306 68
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/22/2014	10 00	421 49	0 00	338 61	82 88
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/23/2014	7 00	295 04	0 00	237 03	58 01
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	07/25/2014	73 00	3,039 27	0 00	2,471 87	567 40
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	11/27/2013	08/01/2014	112 00	9,167 67	0 00	9,014 41	153 26
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	03/05/2014	08/01/2014	18 00	716 18	0 00	648 33	67 85
INTERCONTINENTAL HOTELS GROUP SPONSORED ADR CMN (45857P509)	11/27/2013	08/01/2014	100 00	3,978 78	0 00	3,386 12	592 66
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	08/01/2014	50 00	2,089 68	0 00	1,940 03	149 65
CME GROUP INC CMN CLASS A (12572Q105)	05/01/2014	08/06/2014	36 00	2,651 87	0 00	2,537 39	114 48
CME GROUP INC CMN CLASS A (12572Q105)	05/01/2014	08/06/2014	77 00	5,672 05	0 00	5,401 01	271 04
THE BANK OF NY MELLON CORP CMN (064058100)	11/27/2013	08/06/2014	50 00	1,966 71	0 00	1,692 11	274 60
APPLE, INC CMN (037833100)	11/27/2013	08/22/2014	106 00	10,714 20	0 00	8,225 76	2,488 44
CISCO SYSTEMS, INC CMN (17275R102)	11/27/2013	08/22/2014	248 00	6,135 11	0 00	5,282 10	853 01
EBAY INC CMN (278642103)	12/05/2013	08/22/2014	38 00	2,109 49	0 00	1,955 86	153 63
STARWOOD HOTELS & RESORTS CMN (85590A401)	11/27/2013	08/22/2014	75 00	6,292 62	0 00	5,578 79	713 83
AETNA INC CMN (00817Y108)	05/05/2014	08/29/2014	80 00	6,586 55	0 00	5,760 74	825 81
ANTHEM, INC CMN (94973V107)	03/05/2014	08/29/2014	61 00	7,116 76	0 00	5,583 45	1,533 31
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	08/29/2014	55 00	2,394 22	0 00	2,134 03	260 19

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	09/02/2014	83 00	3,608 39	0 00	3,220 45	387 94
CME GROUP INC CMN CLASS A (12572Q105)	05/01/2014	09/08/2014	52 00	3,946 08	0 00	3,647 44	298 64
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	09/24/2014	28 00	1,998 47	0 00	1,316 49	681 98
ANTHEM, INC CMN (94973V107)	03/05/2014	10/09/2014	9 00	1,078 59	0 00	823 79	254 80
APPLE, INC CMN (037833100)	11/27/2013	10/09/2014	5 00	510 60	0 00	388 01	122 59
BOEING COMPANY CMN (097023105)	09/03/2014	10/09/2014	1 00	123 90	0 00	125 70	(1 80)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/27/2013	10/09/2014	9 00	630 70	0 00	552 28	78 42
CHUBB CORP CMN (171232101)	11/27/2013	10/09/2014	1 00	93 75	0 00	97 29	(3 54)
CISCO SYSTEMS, INC CMN (17275R102)	11/27/2013	10/09/2014	12 00	295 16	0 00	255 59	39 57
CME GROUP INC CMN CLASS A (12572Q105)	05/01/2014	10/09/2014	7 00	566 87	0 00	491 00	75 87
COCA-COLA COMPANY (THE) CMN (191216100)	11/27/2013	10/09/2014	4 00	178 15	0 00	160 94	17 21
EBAY INC CMN (278642103)	12/05/2013	10/09/2014	1 00	54 00	0 00	51 47	2 53
EVEREST RE GROUP LTD CMN (G3223R108)	11/27/2013	10/09/2014	5 00	807 41	0 00	794 82	12 59
QUALCOMM INC CMN (747525103)	11/27/2013	10/09/2014	9 00	675 99	0 00	659 69	16 30
STATE STREET CORPORATION (NEW) CMN (857477103)	05/06/2014	10/09/2014	14 00	986 60	0 00	895 01	91 59
VERIZON COMMUNICATIONS INC CMN (92343V104)	01/23/2014	10/09/2014	2 00	98 71	0 00	95 32	3 39
COCA-COLA COMPANY (THE) CMN (191216100)	01/23/2014	10/22/2014	28 00	1,139 23	0 00	1,097 61	41 62
COCA-COLA COMPANY (THE) CMN (191216100)	11/27/2013	10/22/2014	94 00	3,824 55	0 00	3,781 97	42 58
QUALCOMM INC CMN (747525103)	07/25/2014	10/23/2014	30 00	2,249 90	0 00	2,280 17	(30 27)
QUALCOMM INC CMN (747525103)	11/27/2013	10/23/2014	183 00	13,724 40	0 00	13,413 59	310 81
ANTHEM, INC CMN (94973V107)	03/05/2014	10/28/2014	43 00	5,091 80	0 00	3,935 87	1,155 93
APPLE, INC CMN (037833100)	11/27/2013	10/28/2014	4 00	425 06	0 00	310 41	114 65
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/27/2013	10/28/2014	5 00	367 41	0 00	306 82	60 59
CHUBB CORP CMN (171232101)	11/27/2013	10/28/2014	4 00	391 58	0 00	389 16	2 42
CME GROUP INC CMN CLASS A (12572Q105)	08/26/2014	10/28/2014	1 00	81 00	0 00	75 99	5 01

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CME GROUP INC CMN CLASS A (12572Q105)	05/01/2014	10/28/2014	15 00	1,215 06	0 00	1,052 15	162 91
CME GROUP INC CMN CLASS A (12572Q105)	08/22/2014	10/28/2014	52 00	4,212 19	0 00	3,888 25	323 94
EBAY INC CMN (278642103)	12/05/2013	10/28/2014	185 00	9,451 92	0 00	9,521 95	(70 03)
EVEREST RE GROUP LTD CMN (G3223R108)	11/27/2013	10/28/2014	1 00	166 65	0 00	158 97	7 68
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	11/27/2013	10/28/2014	2 00	146 88	0 00	135 40	11 48
PARKER-HANNIFIN CORP CMN (701094104)	11/27/2013	10/28/2014	18 00	2,196 86	0 00	2,130 23	66 63
STATE STREET CORPORATION (NEW) CMN (857477103)	05/06/2014	10/28/2014	3 00	217 63	0 00	191 79	25 84
WALT DISNEY COMPANY (THE) CMN (254687106)	11/27/2013	10/28/2014	1 00	89 31	0 00	70 74	18 57
AETNA INC CMN (00817Y108)	05/05/2014	10/30/2014	4 00	317 98	0 00	288 04	29 94
ANTHEM, INC CMN (94973V107)	03/05/2014	10/30/2014	2 00	242 67	0 00	183 06	59 61
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	10/30/2014	6 00	444 35	0 00	282 10	162 25
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	11/27/2013	10/30/2014	23 00	1,861 69	0 00	1,746 75	114 94
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	10/30/2014	6 00	255 04	0 00	232 80	22 24
STATE STREET CORPORATION (NEW) CMN (857477103)	05/06/2014	10/30/2014	3 00	220 27	0 00	191 79	28 48
THE BANK OF NY MELLON CORP CMN (064058100)	11/27/2013	10/30/2014	48 00	1,808 40	0 00	1,624 43	183 97
VISA INC CMN CLASS A (92826C839)	11/27/2013	10/30/2014	8 00	1,845 15	0 00	1,634 16	210 99
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	11/04/2014	74 00	5,516 11	0 00	3,479 28	2,036 83
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	11/27/2013	11/04/2014	41 00	3,440 33	0 00	3,113 77	326 56
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	11/04/2014	72 00	3,146 06	0 00	2,793 64	352 42
VISA INC CMN CLASS A (92826C839)	11/27/2013	11/04/2014	15 00	3,635 28	0 00	3,064 06	571 22
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	12/05/2013	12/02/2014	13 00	1,007 88	0 00	595 78	412 10
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	06/06/2014	12/16/2014	16 00	1,280 88	0 00	1,141 92	138 96
TIME WARNER INC CMN (887317303)	07/25/2014	12/16/2014	8 00	649 79	0 00	679 90	(30 11)
TIME WARNER INC CMN (887317303)	01/23/2014	12/16/2014	12 00	974 68	0 00	744 53	230 15
TIME WARNER INC CMN (887317303)	02/04/2014	12/16/2014	76 00	6,172 98	0 00	4,529 30	1,643 68

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WATERS CORPORATION COMMON STOCK (941848103)	10/28/2014	12/17/2014	26 00	2,938 60	0 00	2,853 53	85 07
EBAY INC CMN (278642103)	04/22/2014	12/19/2014	34 00	1,943 83	0 00	1,866 88	76 95
EBAY INC CMN (278642103)	06/06/2014	12/19/2014	68 00	3,887 66	0 00	3,375 12	512 54
SABRE CORPORATION CMN (78573M104)	06/12/2014	12/19/2014	5 00	99 62	0 00	95 10	4 52
SABRE CORPORATION CMN (78573M104)	06/13/2014	12/19/2014	7 00	139 47	0 00	133 14	6 33
SABRE CORPORATION CMN (78573M104)	06/16/2014	12/19/2014	10 00	199 24	0 00	190 18	9 06
SABRE CORPORATION CMN (78573M104)	06/11/2014	12/19/2014	79 00	1,574 00	0 00	1,502 46	71 54
Net Covered Short-Term Disallowed Losses							**195.21**
Net Covered Short-Term Gains (Losses)				**385,819.62**	**0.00**	**354,390.38**	**31,624.45**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/27/2013	12/02/2014	63 00	4,884 36	0 00	2,962 09	1,922 27
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	11/27/2013	12/16/2014	97 00	7,765 35	0 00	6,567 02	1,198 33
STARWOOD HOTELS & RESORTS CMN (85590A401)	11/27/2013	12/16/2014	144 00	11,140 63	0 00	10,711 28	429 35
TIME WARNER INC CMN (887317303)	11/27/2013	12/16/2014	10 00	812 24	0 00	634 63	177 61
ORACLE CORPORATION CMN (68389X105)	11/27/2013	12/17/2014	48 00	1,978 67	0 00	1,692 52	286 15
EBAY INC CMN (278642103)	12/05/2013	12/19/2014	168 00	9,604 82	0 00	8,646 96	957 86
Net Covered Long-Term Gains (Losses)				**36,186.07**	**0.00**	**31,214.50**	**4,971.57**

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[8] Due to incomplete cost basis gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
KNOWLES CORPORATION CMN (49926D109)		04/21/2014	0 00	15 84	0 00	No Cost	15 84 [8]
Net NonCovered Long-Term Gains (Losses)				**15.84**	**0.00**	**0.00**	**15.84**

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 4

Tax Year	Account No	Legal Name
2014	003-71784-0	THE ANN & MONROE CARELL FOUNDA

REALIZED GAINS AND LOSSES

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
HENNES & MAURITZ AB ADR CMN (425883105)	11/27/2013	01/13/2014	362 00	3,128 67	0 00	3,069 76	58 91
RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (756255204)	11/27/2013	02/03/2014	169 00	2,569 02	0 00	2,714 14	(145 12)
WYNN MACAU LTD ADR CMN (98313R106)	11/27/2013	02/03/2014	56 00	2,318 81	0 00	2,161 04	157 77
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	11/27/2013	03/18/2014	28 00	3,354 21	0 00	3,584 00	(229 79)
MURATA MANUFACTURING CO , LTD CMN (J46840104)	11/27/2013	03/18/2014	22 00	1,947 27	0 00	1,896 40	50 87
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/27/2013	04/08/2014	27 00	704 01	0 00	687 15	16 86
COMPAGNIE FINANCIERE RICHEMONT UNSPONSORED ADR CMN (204319107)	11/27/2013	04/08/2014	439 00	4,174 48	0.00	4,447 07	(272 59)
ESSILOR INTERNATIONAL SA ADR CMN (297284200)	11/27/2013	04/08/2014	43 00	2,186 54	0 00	2,242 20	(55 66)
MURATA MANUFACTURING CO , LTD CMN (J46840104)	11/27/2013	04/08/2014	3 00	271 90	0 00	258 60	13 30
SOFTBANK CORP CMN (J75963108)	11/27/2013	04/08/2014	29 00	2,062 42	0 00	2,408 45	(346 03)
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/27/2013	04/09/2014	122 00	3,182 74	0 00	3,104 90	77 84
MURATA MANUFACTURING CO , LTD CMN (J46840104)	11/27/2013	04/09/2014	41 00	3,699 73	0 00	3,534 20	165 53
SOFTBANK CORP CMN (J75963108)	11/27/2013	04/09/2014	31 00	2,218 58	0 00	2,574 55	(355 97)
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (502441306)	11/27/2013	04/23/2014	78 00	3,054 96	0 00	2,985 56	69 40
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	11/27/2013	04/23/2014	95 00	7,211 83	0 00	6,934 05	277 78

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	11/27/2013	05/13/2014	75 00	3,856 86	0 00	4,200 00	(343 14)
SUMITOMO MITSUI TRUST HOLDINGS SPONSORED ADR CMN (86562X106)	11/27/2013	05/13/2014	864 00	3,656 70	0 00	4,250 88	(594 18)
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	11/27/2013	05/14/2014	53 00	2,851 92	0 00	2,878 96	(27 04)
MARKS AND SPENCER P L C SPONSORED ADR CMN (570912105)	11/27/2013	05/14/2014	164 00	2,546 60	0 00	2,610 88	(64 28)
SYNGENTA AG SPONSORED ADR CMN (87160A100)	11/27/2013	05/14/2014	81 00	6,310 11	0 00	6,313 95	(3 84)
AIR LIQUIDE ADR ADR CMN (009126202)	11/27/2013	05/28/2014	0 10	2 51	0 00	0 00	2 51
FIRST QUANTUM MINERALS LTD CMN (335934105)	11/27/2013	06/03/2014	114 00	2,320 48	0 00	1,862 76	457 72
SEADRILL LTD CMN (G7945E105)	11/27/2013	06/20/2014	75 00	2,997 57	0 00	3,211 42	(213 85)
BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)	11/27/2013	07/22/2014	167 00	2,412 39	0 00	2,870 73	(458 34)
BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)	11/27/2013	07/23/2014	277 00	3,926 80	0 00	4,761 63	(834 83)
BAYERISCHE MOTOREN WERKE AKTIE ADR CMN (072743206)	11/27/2013	08/12/2014	78 00	3,021 55	0 00	2,993 64	27 91
SYNGENTA AG SPONSORED ADR CMN (87160A100)	11/27/2013	08/12/2014	58 00	4,125 25	0 00	4,521 10	(395 85)
MEGGITT PLC ADR CMN (58517R104)	04/29/2014	08/15/2014	163 00	2,546 57	0 00	2,604 01	(57 44)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	11/27/2013	08/28/2014	30 00	3,990 58	0 00	3,946 20	44 38
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (191085208)	11/27/2013	09/29/2014	220 00	1,673 43	0 00	2,460 70	(787 27)
INDUSTRIA DE DISENO TEXTIL IND ADR CMN (455793109)	11/27/2013	09/29/2014	213 00	2,868 72	0 00	3,353 69	(484 97)
SCHNEIDER ELECTRIC SE UNSPONSORED ADR (FRANCE) SCHNEIDER ELECTRIC SE UNSPONSO (80687P106)	11/27/2013	09/29/2014	192 00	2,887 67	0 00	3,230 98	(343 31)
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (191085208)	11/27/2013	09/30/2014	198 00	1,494 84	0 00	2,214 63	(719 79)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	11/27/2013	10/14/2014	19 00	2,463 55	0 00	2,499 26	(35 71)
SEADRILL LTD CMN (G7945E105)	11/27/2013	10/14/2014	152 00	3,457 33	0 00	6,508 47	(3,051 14)
SUMITOMO MITSUI FINANCIAL GRP SPONSORED ADR CMN (86562M209)	11/27/2013	10/14/2014	506 00	3,801 84	0 00	5,161 20	(1,359 36)
STANDARD CHARTERED PLC CMN SRS# 18087095 (G84228157)	11/27/2013	11/24/2014	417 00	6,123 25	0 00	9,887 07	(3,763 82)

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Goldman Sachs

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TULLOW OIL PLC ADR CMN (899415202)	03/19/2014	11/24/2014	31 00	119 57	0 00	200 11	(80 54)
TULLOW OIL PLC ADR CMN (899415202)	11/27/2013	11/24/2014	312 00	1,203 36	0 00	2,171 52	(968 16)
TULLOW OIL PLC ADR CMN (899415202)	03/19/2014	11/25/2014	497 00	1,890 04	0 00	3,208 14	(1,318 10)
UBS GROUP AG CMN (H42097107)	06/03/2014	12/12/2014	230 00	4,013 80	0 00	4,588 43	(574 63)
SUMITOMO MITSUI FINANCIAL GRP SPONSORED ADR CMN (86562M209)	03/18/2014	12/16/2014	241 00	1,682 21	0 00	2,083 59	(401 38)
Net Covered Short-Term Gains (Losses)				**120,330.67**	**0.00**	**137,196.02**	**(16,865.35)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
INDUSTRIA DE DISENO TEXTIL IND ADR CMN (455793109)	11/27/2013	12/16/2014	235 00	3,224 66	0 00	3,700 08	(475 42)
SUMITOMO MITSUI FINANCIAL GRP SPONSORED ADR CMN (86562M209)	11/27/2013	12/16/2014	271 00	1,891 62	0 00	2,764 20	(872 58)
Net Covered Long-Term Gains (Losses)				**5,116.28**	**0.00**	**6,464.28**	**(1,348.00)**
NonCovered Long-Term Gains (Losses)							
AMCOR LTD ADR (NEW) ADR CMN (02341R302)		01/29/2014	0 00	484 89	0 00	No Cost	484 89 [8]
Net NonCovered Long-Term Gains (Losses)				**484.89**	**0.00**	**0.00**	**484.89**

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[8] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 5

Tax Year	Account No	Legal Name
2014	003-71792-3	THE ANN & MONROE CARELL FOUNDA

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ALLERGAN, INC CMN (018490102)	12/19/2013	01/16/2014	14 00	1,672 62	0 00	1,479 74	192 88
APPLE, INC CMN (037833100)	12/19/2013	02/10/2014	37 00	19,569 41	0 00	20,204 22	(634 81)
LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (53071M104)	12/19/2013	02/10/2014	179 00	4,887 00	0 00	5,071 07	(184 07)
KINDER MORGAN INC CMN CLASS P (49456B101)	12/19/2013	03/13/2014	482 00	14,936 55	0 00	16,792 88	(1,856 33)
VERISIGN INC CMN (92343E102)	12/19/2013	04/15/2014	185 00	9,063 11	0 00	10,750 35	(1,687 24)
EOG RESOURCES INC CMN (26875P101)	12/19/2013	04/28/2014	128 00	12,484 08	0 00	10,559 62	1,924 46
KINDER MORGAN INC CMN CLASS P (49456B101)	12/19/2013	04/28/2014	206 00	6,679 07	0 00	7,177 04	(497 97)
VERISIGN INC CMN (92343E102)	12/19/2013	05/05/2014	202 00	9,746 04	0 00	11,738 22	(1,992 18)
KINDER MORGAN INC CMN CLASS P (49456B101)	12/19/2013	05/22/2014	462 00	15,556 02	0 00	16,096 08	(540 06)
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	06/06/2014	190 00	4,804 38	0 00	5,082 56	(278 18)
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	07/07/2014	230 00	5,771 15	0 00	6,152 57	(381 42)
TERADATA CORPORATION CMN (88076W103)	12/19/2013	07/09/2014	414 00	16,726 06	0 00	17,532 90	(806 84)
SYNGENTA AG SPONSORED ADR CMN (87160A100)	12/19/2013	07/14/2014	35 00	2,559 86	0 00	2,734 28	(174 42)
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	07/15/2014	162 00	3,966 19	0 00	4,333 55	(367 36)
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	07/23/2014	59 00	4,240 55	0 00	3,430 26	810 29
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	07/24/2014	242 00	5,876 38	0 00	6,473 57	(597 19)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	08/05/2014	204 00	4,789 26	0 00	5,457 06	(667 80)
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	08/08/2014	44 00	3,008 32	0 00	2,558 16	450 16
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	08/18/2014	82 00	5,791 72	0 00	4,767 48	1,024 24
CME GROUP INC CMN CLASS A (12572Q105)	12/19/2013	08/18/2014	231 00	17,070 08	0 00	19,334 70	(2,264 62)
VISA INC CMN CLASS A (92826C839)	12/19/2013	08/18/2014	10 00	2,129 71	0 00	2,168 63	(38 92)
CME GROUP INC CMN CLASS A (12572Q105)	12/19/2013	08/19/2014	37 00	2,712 07	0 00	3,096 90	(384 83)
CROWN CASTLE INTERNATIONAL COR COMMON STOCK (228227104)	12/19/2013	08/19/2014	63 00	4,933 99	0 00	4,598 41	335 58
EOG RESOURCES INC CMN (26875P101)	12/19/2013	08/19/2014	60 00	6,388 43	0 00	4,949 82	1,438 61
INTUIT INC CMN (461202103)	12/19/2013	08/19/2014	12 00	1,023 48	0 00	909 36	114 12
L BRANDS, INC CMN (501797104)	12/19/2013	08/19/2014	44 00	2,783 29	0 00	2,692 42	90 87
VERIFONE SYSTEMS INC CMN (92342Y109)	12/19/2013	09/09/2014	322 00	11,221 32	0 00	7,489 72	3,731 60
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	10/03/2014	60 00	4,102 33	0 00	3,488 40	613 93
SYNGENTA AG SPONSORED ADR CMN (87160A100)	12/19/2013	10/10/2014	116 00	6,917 06	0 00	9,062 17	(2,145 11)
SYNGENTA AG SPONSORED ADR CMN (87160A100)	12/19/2013	10/13/2014	105 00	6,280 02	0 00	8,202 83	(1,922 81)
CELGENE CORPORATION CMN (151020104)	12/19/2013	10/16/2014	30 00	2,560 34	0 00	2,458 44	101 90
CROWN CASTLE INTERNATIONAL COR COMMON STOCK (228227104)	12/19/2013	10/16/2014	47 00	3,708 98	0 00	3,430 56	278 42
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	12/19/2013	10/16/2014	46 00	3,241 11	0 00	3,714 87	(473 76)
MICROSOFT CORPORATION CMN (594918104)	12/19/2013	10/16/2014	75 00	3,177 67	0 00	2,715 75	461 92
VISA INC CMN CLASS A (92826C839)	12/19/2013	10/16/2014	15 00	3,000 78	0 00	3,252 94	(252 16)
LIBERTY VENTURES CMN SERIES CLASS A (53071M880)	12/19/2013	10/20/2014	0 08	2 72	0 00	0 00	2 72
L BRANDS, INC CMN (501797104)	12/19/2013	11/10/2014	29 00	2,197 29	0 00	1,774 55	422 74
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	11/11/2014	46 00	3,298 24	0 00	2,674 44	623 80
ADOBE SYSTEMS INC CMN (00724F101)	12/19/2013	11/14/2014	38 00	2,722 19	0 00	2,209 32	512 87
LIBERTY VENTURES CMN SERIES CLASS A (53071M880)	12/19/2013	11/18/2014	76 00	2,705 51	0 00	2,251 95	453 56
MICROSOFT CORPORATION CMN (594918104)	12/19/2013	11/18/2014	227 00	11,114 67	0 00	8,219 67	2,895 00

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
L BRANDS, INC CMN (501797104)	12/19/2013	11/20/2014	101 00	7,919 23	0 00	6,180 32	1,738 91
EQUINIX, INC REIT (29444U502)	11/25/2014	11/25/2014	0 67	155 41	0 00	0 00	155 41
ALLERGAN, INC CMN (018490102)	12/19/2013	12/01/2014	20 00	4,227 27	0 00	2,113 91	2,113 36
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	08/15/2014	12/08/2014	38 00	1,263 39	0 00	1,573 71	(310 32)
DISCOVERY COMMUNICATIONS, INC CMN (25470F302) Disallowed Loss							310 33
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	08/18/2014	12/08/2014	105 00	3,490 93	0 00	4,436 78	(945 85)
DISCOVERY COMMUNICATIONS, INC CMN (25470F302) Disallowed Loss							81 07
DISCOVERY COMMUNICATIONS, INC CMN (25470F302)	08/15/2014	12/08/2014	145 00	4,811 77	0 00	6,004 93	(1,193 16)
LIBERTY VENTURES CMN SERIES CLASS A (53071M880)	10/06/2014	12/08/2014	6 00	222 49	0 00	191 23	31 26
LIBERTY VENTURES CMN SERIES CLASS A (53071M880)	12/19/2013	12/08/2014	137 00	5,080 03	0 00	4,059 44	1,020 59
CELGENE CORPORATION CMN (151020104)	12/19/2013	12/10/2014	107 00	12,562 98	0 00	8,768 45	3,794 53
Net Covered Short-Term Disallowed Losses							**391.40**
Net Covered Short-Term Gains (Losses)				**295,152.55**	**0.00**	**290,416.23**	**5,127.72**

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 6

Tax Year	Account No	Legal Name
2014	003-71859-0	THE ANN & MONROE CARELL FOUNDA

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTL BUSINESS MACHINES CORP CMN (459200101)	12/19/2013	01/28/2014	90 00	15,928 92	0 00	16,238 70	(309 78)
SPX CORPORATION CMN (784635104)	12/19/2013	01/28/2014	230 00	23,062 73	0 00	22,264 00	798 73
BANCO SANTANDER, S A SPON ADR (05964H105)	02/12/2014	02/12/2014	0 09	0 84	0 00	0 00	0 84
BAXTER INTERNATIONAL INC CMN (071813109)	12/19/2013	04/16/2014	330 00	24,260 07	0 00	22,073 70	2,186 37
BANCO SANTANDER, S A SPON ADR (05964H105)	05/12/2014	05/12/2014	0 49	4 98	0 00	0 00	4 98
TIME INC CMN (887228104)	12/19/2013	06/09/2014	0 50	12 18	0 00	0 00	12 18
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/19/2013	06/10/2014	640 00	25,838 59	0 00	23,142 40	2,696 19
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	12/19/2013	06/27/2014	340 00	30,894 26	0 00	22,916 00	7,978 26
PROGRESSIVE CORPORATION (THE) CMN (743315103)	12/19/2013	07/29/2014	520 00	12,460 01	0 00	13,884 00	(1,423 99)
BANCO SANTANDER, S A SPON ADR (05964H105)	08/11/2014	08/11/2014	0 10	0 99	0 00	0 00	0 99
HESS CORPORATION CMN (42809H107)	12/19/2013	08/11/2014	270 00	27,137 61	0 00	21,778 20	5,359 41
TIME INC CMN (887228104)	12/19/2013	09/02/2014	57 00	1,366 43	0 00	1,265 16	101 27
BANCO SANTANDER, S A SPON ADR (05964H105)	11/13/2014	11/13/2014	0 85	7 35	0 00	0 00	7 35
Net Covered Short-Term Gains (Losses)				**160,974.96**	**0.00**	**143,562.16**	**17,412.80**

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Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description		Fair Market Value
9/30/2014	2,114 55	GMSSA1DF	DONALD SMITH SMALL CAP VALUE GMS TRANSFER -	$	435,463 67
12/22/2014	77	ALXN	ALEXION PHARMACEUTICALS INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	14,487 55
12/22/2014	100	ANTM	ANTHEM, INC. CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	12,847 00
12/22/2014	133	AAPL	APPLE, INC. CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	15,021 02
12/22/2014	120	BMY	BRISTOL-MYERS SQUIBB COMPANY CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	7,327 20
12/22/2014	963	SCHW	CHARLES SCHWAB CORPORATION CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	28,947 78
12/22/2014	571	CXO	CONCHO RESOURCES INC. CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	55,489 78
12/22/2014	100	DG	DOLLAR GENERAL CORPORATION CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	6,866 00
12/22/2014	49	ECL	ECOLAB INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	5,234 67
12/22/2014	252	ENDP	ENDO INTERNATIONAL PLC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	18,207 00
12/22/2014	330	FLT	FLEETCOR TECHNOLOGIES, INC. CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	48,869 70
12/22/2014	757	IT	GARTNER, INC. CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	64,867 33
12/22/2014	19,704 96	GSMYX	GS SMALL/MID-CAP GROWTH FUND INSTITUTIONAL SHARES TRFR TO ACCT THE ANN & MONROE CARELL	$	418,927 39
12/22/2014	132	H	HYATT HOTELS CORPORATION CMN CLASS A TRFR TO ACCT THE ANN & MONROE CARELL	$	7,894 92
12/22/2014	309	ILMN	ILLUMINA, INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	58,533 87
12/22/2014	323	MHFI	MCGRAW-HILL COMPANIES INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	29,231 50
12/22/2014	263	MYL	MYLAN INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	14,991 00
12/22/2014	427	PLL	PALL CORP CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	43,007 44
12/22/2014	51	PCP	PRECISION CASTPARTS CORP CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	12,212 46
12/22/2014	1,000,000	OREEMEL4	ROYAL BANK OF CANADA LNKD TO MSCI EMERGING MKT TRFR TO ACCT THE ANN & MONROE CARELL	$	1,051,000 00
12/22/2014	60	SLB	SCHLUMBERGER LTD CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	5,205 00
12/22/2014	671	TJX	TJX COMPANIES INC (NEW) CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	45,131 46
12/22/2014	5,000	VBR	VANGUARD INDEX FUNDS - VANGUAR SMALL-CAP VALUE ETF TRFR TO ACCT THE ANN & MONROE CARELL	$	527,050 00
12/22/2014	200	WM	WASTE MANAGEMENT INC CMN TRFR TO ACCT THE ANN & MONROE CARELL	$	10,224 00
12/22/2014	30,000	3VOHK6	ACCESS MIDSTREAM PARTNERS LP 4 875% 05/15/2023 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	30,750 31
12/22/2014	45,000	4XAQU0	AIR CANADA 2013-1 CLASS B PAS 5 375% 11/15/2022 USD PVT REGS TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	42,588 67
12/22/2014	20,000	3JH6N1	ALCOA INC 6 15% 08/15/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	22,823 12
12/22/2014	45,000	2D0RD5	ASHLAND INC STEP 08/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	45,529 06
12/22/2014	15,000	013TL6	AUTONATION, INC 5 5% 02/01/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	16,604 43
12/22/2014	25,000	3G44T2	AUTONATION, INC 6 75% 04/15/2018 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	28,355 06
12/22/2014	45,000	019XW4	BALL CORPORATION 5 0% 03/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	46,956 25
12/22/2014	15,000	3G4FL7	CABLEVISION SYSTEMS CORP 7 75 04/15/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	16,753 85
12/22/2014	20,000	2R96R3	CASE NEW HOLLAND INC 7 875% 12/01/2017 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	22,091 88
12/22/2014	70,000	250TJ9	CCO HLGS LLC/CCO HLGS CAP CORP 7 375% 06/01/2020 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	74,501 15
12/22/2014	45,000	5MWJQ8	CELANESE US HOLDINGS LLC 5 875% 06/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	47,526 41
12/22/2014	30,000	3V2UM5	CEQUEL COMMN ESCROW I, LLC 6 375% 09/15/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	31,790 31
12/22/2014	25,000	3JBJA8	CF INDUSTRIES HOLDINGS, INC 7 125% 05/01/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	29,954 34
12/22/2014	60,000	9FPLB3	CHS/COMMUNITY HLTH SYS, INC 5 125% 08/15/2018 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	63,034 79
12/22/2014	45,000	3V5546	CLEAN HARBORS, INC 5 25% 08/01/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	46,150 31
12/22/2014	30,000	01CB43	CMS ENERGY CORPORATION 5 05% 03/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	34,010 01
12/22/2014	5,000	4XM8R1	CNH INDUSTRIAL CAPITAL LLC 3 375% 07/15/2019 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	4,880 63
12/22/2014	30,000	9FGKH1	CONCHO RESOURCES INC 5 5% 04/01/2023 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	30,296 25
12/22/2014	5,000	1IUQO3	CONSTELLATION BRANDS, INC 4 25% 05/01/2023 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	4,967 60
12/22/2014	35,000	4D1U60	CONSTELLATION BRANDS, INC 6 0% 05/01/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	38,885 00
12/22/2014	70,000	9FQLR6	CONTINENTAL RESOURCES, INC 5 0% 09/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	67,621 56
12/22/2014	80,000	1I2CE2	CROWN CASTLE INTERNATIONAL COR 5 25% 01/15/2023 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	83,231.67
12/22/2014	45,000	4S2A72	D R HORTON, INC. 4 375% 09/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	44,742 97
12/22/2014	60,000	9FSVN0	DAVITA HEALTHCARE PARTNERS INC 5 75% 08/15/2022 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	64,217 08
12/22/2014	15,000	1H49A1	DDR CORP 4 625% 07/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	16,326 90
12/22/2014	30,000	11KRP9	DIRECTV HOLDINGS/FINANCE 4 6 02/15/21 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	32,506 73
12/22/2014	25,000	USCORP	DISH DBS CORPORATION 7 125% 02/01/2016 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	26,947 66
12/22/2014	20,000	3JYVT3	DISH DBS CORPORATION 7 875% 09/01/2019 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	23,135 63
12/22/2014	50,000	3JYIN1	FRONTIER COMMUNICATIONS CORP 8 125% 10/01/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	57,226 56
12/22/2014	15,000	3JHM03	FRONTIER COMMUNICATIONS CORP 8 25% 04/15/2017 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	16,805 31
12/22/2014	50,000	1IM6R6	GRAPHIC PACKAGING INTL INC 4 75% 04/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	50,817 01
12/22/2014	75,000	2R7QY0	HCA INC 6 5% 02/15/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	85,157 29
12/22/2014	45,000	5M8A49	HCP, INC 5 375% 02/01/2021 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	51,243 39
12/22/2014	5,000	4U9455	HOST HOTELS & RESORTS, L P 4 75% 03/01/2023 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	5,390 43
12/22/2014	55,000	1H9WL0	HOST HOTELS & RESORTS, L P 6 0% 10/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	64,016 15
12/22/2014	30,000	015GX9	HUNTINGTON INGALLS INDUSTRIES, 7 125% 03/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	32,975 94
12/22/2014	15,000	3V0471	HUNTSMAN INTERNATIONAL LLC 4 875% 11/15/2020 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	14,990 86
12/22/2014	20,000	5MZ3V7	HUNTSMAN INTERNATIONAL LLC 8 625% 03/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	21,934 79
12/22/2014	30,000	15065	INTELSAT JACKSON HOLDINGS S A 7 5% 04/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	32,606 25
12/22/2014	30,000	4U51P2	JABIL CIRCUIT, INC 4 7% 09/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	30,004 92
12/22/2014	30,000	3JJ2U5	JARDEN CORPORATION 6 125% 11/15/2022 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	31,688 85
12/22/2014	45,000	4U8QE4	LAMAR MEDIA CORP 5 875% 02/01/2022 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	47,497 97
12/22/2014	30,000	1H08Y8	LEVEL 3 FINANCING, INC 8 625% 07/15/2020 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	33,528 44
12/22/2014	45,000	5MRP74	LIMITED BRANDS, INC 6 625% 04/01/2021 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	51,295 78
12/22/2014	58,000	5MAG22	MARKWEST ENERGY PARTNERS, L.P 6 5% 08/15/2021 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	60,779 97
12/22/2014	30,000	USCORP	MGM MIRAGE 7 5% 06/01/2016 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	37,006 25
12/22/2014	5,000	4RPUU9	MPT OPERATING PARTNERSHIP 5 5% 05/01/2024 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	5,251 46
12/22/2014	60,000	2501U4	MPT OPERATING PARTNERSHIP 6 875% 05/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	64,484 38
12/22/2014	40,000	2REKQ8	NBCUNIVERSAL MEDIA, LLC 4 375% 04/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	44,301 75
12/22/2014	55,000	2RQAI0	NEWFIELD EXPLORATION COMPANY 5 75% 01/30/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	55,972 43
12/22/2014	25,000	2RG432	NEWS AMERICA INCORPORATED 4 5% 02/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	27,739 63
12/22/2014	30,000	2RXJU9	NRG ENERGY, INC 7 625% 01/15/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	33,847 60
12/22/2014	55,000	5M01R5	NV ENERGY, INC 6 25% 11/15/2020 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	64,924 95
12/22/2014	45,000	2R5J71	PAETEC HOLDING CORP 9 875% 12/01/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	47,576 72
12/22/2014	35,000	3JZMH6	PIONEER NATURAL RESOURCES 7 5% 01/15/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	42,165 49
12/22/2014	20,000	4D2AF0	PLAINS EXPLORATION & PROD CO 6 125% 06/15/2019 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	21,804 42
12/22/2014	30,000	3V1CJ4	POST HOLDINGS, INC 7 375% 02/15/2022 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	30 855 52
12/22/2014	35,000	2R9UG0	PUGET ENERGY, INC 6 0% 09/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	41,594 00
12/22/2014	60,000	019XY0	RANGE RESOURCES CORP 5 0% 08/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	61,358 33
12/22/2014	30,000	2DT5K0	REGENCY ENERGY PARTNERS LP 5 75% 09/01/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	30,531 88
12/22/2014	30,000	5MZFI3	REGENCY ENERGY PARTNERS LP 6 5% 07/15/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	31,150 42
12/22/2014	45,000	4XOT11	RJS POWER HOLDINGS LLC 5 125% 07/15/2019 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	45,137 81
12/22/2014	50,000	3V4747	ROCKWOOD SPECIALTIES GROUP, IN 4 625% 10/15/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	52,180 38
12/22/2014	40,000	6J8ZV4	SALLY HOLDINGS LLC 5 75% 06/01/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	42,634 17
12/22/2014	4,000	USCORP	SEAGATE TECHNOLOGY HDD HOLD 6 8% 10/01/2016 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	4,453 96
12/22/2014	60,000	9F0BP8	SILGAN HOLDINGS INC 5 0% 04/01/2020 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	61,875 00
12/22/2014	25,000	USCORP	SPRINT NEXTEL CORPORATION 6 0% 12/01/2016 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	26 222 50
12/22/2014	25,000	2R9XG7	SPX CORPORATION 6 875% 09/01/2017 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	27,842 45
12/22/2014	25,000	USCORP	SUBSID OF CABLEVISION SYS CRP CVC 7 625 07/15/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	28,925 09

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description		Fair Market Value
12/22/2014	25,000	3JNUH4	TELECOM ITALIA CAPITAL SA 6 999% 06/04/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	27,693 74
12/22/2014	40,000	1H49X9	TENET HEALTHCARE CORPORATION 6 25% 11/01/2018 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	43,754 17
12/22/2014	50,000	4U8ZU8	VIDEOTRON LTEE 5 0% 07/15/2022 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	52,090 28
12/22/2014	60,000	6VFK40	W R GRACE & CO-CONN 5 125% 10/01/2021 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	62,320 00
12/22/2014	50,000	3JI4A9	WEATHERFORD INTERNATIONAL LTD 5 125% 09/15/2020 USD TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	50,351 45
12/22/2014	30,000	3JI9M8	WHITING PETROLEUM CORPORATION 6 5% 10/01/2018 TRFR TO ACCT THE ANN & MONROE CARELL FOUNDA	$	28,938 75
12/23/2014	19,529 39	ARTIX	ARTISAN INTERNATIONAL FUND INV TRFR TO ACCT THE ANN & MONROE CARELL	$	594,474 54
12/23/2014	500,000	OYRUTEL4	BARCLAYS BANK PLC LINKED TO RUSSELL 2000 INDEX TRFR TO ACCT THE ANN & MONROE CARELL	$	522,900 00
12/23/2014	1,000,000	OBSPXEL1	BNP PARIBAS LINKED TO S&P 500 INDEX TRFR TO ACCT THE ANN & MONROE CARELL	$	1,146,300 00
12/23/2014	500,000	OBSPXEL3	BNP PARIBAS LINKED TO S&P 500 INDEX TRFR TO ACCT THE ANN & MONROE CARELL	$	610,550 00
12/23/2014	500	MXEADI31	THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE TRFR TO ACCT THE ANN & MONROE CARELL	$	523,878 50
12/23/2014	325,000	M1823429	NY ENV FCS CORP CLN DRKG WTR REV 5 0000% 06/15/29-CA JD To Acct CARELL FOUNDATION BRKG FREE MOVEMENT TO ACCOUNT	$	387,520 61
			Total FMV of Securities Transferred from the Monroe Carell, Jr. Testamentary Q-TIP Trust FBO Ann Scott Carell	**$**	**9,637,785.91**

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 7

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,195,725.	4,211,506.	0.	0.	-15,781.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,190,807.	1,803,481.	0.	0.	387,326.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
505,850.	932,428.	0.	0.	-426,578.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
325,000.00 SHS NY ENV FCS CORP CLN DRKG WTR REV 5.0000% 6/15/29	PURCHASED	06/27/13	12/29/14

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
386,857.	346,143.	0.	0.	40,714.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71782-4 (SEE ATTACHMENT 2)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
138,964.	137,132.	0.	0.	1,832.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
385,820.	354,195.	0.	0.	31,625.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
36,186.	31,215.	0.	0.	4,971.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 3)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16.	0.	0.	0.	16.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
120,331.	137,196.	0.	0.	-16,865.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,116.	6,464.	0.	0.	-1,348.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71784-0 (SEE ATTACHMENT 4)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
485.	0.	0.	0.	485.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71792-3 (SEE ATTACHMENT 5)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
295,153.	290,025.	0.	0.	5,128.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS #71859-0 (SEE ATTACHMENT 6)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
160,975.	143,562.	0.	0.	17,413.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,000.00 SHS SEAGATE TECHNOLOGY HDD HOLD 6.8% 10/1/2016	PURCHASED	10/12/12	12/24/14

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,399.	4,453.	0.	0.	-54.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DONALD SMITH K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
434.	0.	0.	0.	434.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DONALD SMITH K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,233.	0.	0.	0.	1,233.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MCJ QTIP K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
24,807.	0.	0.	0.	24,807.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MCJ QTIP K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
580,982.	0.	0.	0.	580,982.

CAPITAL GAINS DIVIDENDS FROM PART IV	100,465.
TOTAL TO FORM 990-PF, PART I, LINE 6A	736,805.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 8

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DONALD SMITH: SMALL CAP VALUE [SERIES] K-1	1,097.	0.	1,097.	1,097.	
GOLDMAN SACHS #52431-1	331,704.	100,450.	231,254.	231,254.	
GOLDMAN SACHS #52432-9	1,110.	0.	1,110.	560.	
GOLDMAN SACHS #52433-7	1,620.	0.	1,620.	1,620.	
GOLDMAN SACHS #52434-5	408.	0.	408.	408.	
GOLDMAN SACHS #52484-0	242.	0.	242.	242.	
GOLDMAN SACHS #71782-4	13,247.	15.	13,232.	13,232.	
GOLDMAN SACHS #71783-2	10,143.	0.	10,143.	10,143.	
GOLDMAN SACHS #71784-0	12,052.	0.	12,052.	12,052.	
GOLDMAN SACHS #71792-3	15,302.	0.	15,302.	15,302.	
GOLDMAN SACHS #71859-0	21,258.	0.	21,258.	21,258.	
GOLDMAN SACHS #72412-7	-1,148.	0.	-1,148.	-1,148.	
MONROE CARELL, JR. TESTAMENTARY Q-TIP TRUST K-1	111,530.	0.	111,530.	29,199.	
TO PART I, LINE 4	518,565.	100,465.	418,100.	335,219.	

FORM 990-PF OTHER INCOME STATEMENT 9

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY INCOME - DONALD SMITH K-1	567.	567.	
TOTAL TO FORM 990-PF, PART I, LINE 11	567.	567.	

FORM 990-PF LEGAL FEES STATEMENT 10

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	868.	0.		868.
TO FM 990-PF, PG 1, LN 16A	868.	0.		868.

FORM 990-PF ACCOUNTING FEES STATEMENT 11

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEE	8,890.	0.		8,890.
TO FORM 990-PF, PG 1, LN 16B	8,890.	0.		8,890.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 12

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	80,510.	80,510.		0.
PORTFOLIO DEDUCTIONS - DONALD SMITH K-1	1,864.	1,864.		0.
TO FORM 990-PF, PG 1, LN 16C	82,374.	82,374.		0.

FORM 990-PF TAXES STATEMENT 13

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES GOLDMAN SACHS	6,275.	6,275.		0.
TN SEC OF STATE ANNUAL REPORT	20.	20.		0.
TAXES	66,750.	0.		0.

FOREIGN TAXES - MCJ QTIP K-1	1,791.	1,791.		0.
FOREIGN TAXES - DONALD SMITH K-1	59.	59.		0.
TO FORM 990-PF, PG 1, LN 18	74,895.	8,145.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 14

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NONDEDUCTIBLE EXPENSES - DONALD SMITH K-1	32.	0.		0.
TO FORM 990-PF, PG 1, LN 23	32.	0.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 15

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	12,056,120.	13,555,399.
GOLDMAN SACHS #71859-0	986,006.	1,092,066.
GOLDMAN SACHS #71792-3	1,004,728.	1,148,536.
GOLDMAN SACHS #71784-0	471,128.	468,289.
GOLDMAN SACHS #71783-2	500,666.	550,770.
GOLDMAN SACHS #71782-4	479,749.	549,971.
GOLDMAN SACHS #72412-7	2,905,059.	2,917,361.
DONALD SMITH: SMALL CAP VALUE SERIES K-1	355,643.	447,317.
TOTAL TO FORM 990-PF, PART II, LINE 10B	18,759,099.	20,729,709.

FORM 990-PF CORPORATE BONDS STATEMENT 16

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	5,771,446.	5,647,613.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,771,446.	5,647,613.

FORM 990-PF OTHER INVESTMENTS STATEMENT 17

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	COST	3,141,860.	3,292,087.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,141,860.	3,292,087.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 18

NAME OF CONTRIBUTOR	ADDRESS
MONROE CARELL, JR. TESTAMENTARY Q-TIP TR FBO ANN SCOTT CARELL	95 WHITE BRIDGE ROAD, STE 514 NASHVILLE, TN 37205