Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation
THE MADDREY FOUNDATION

A Employer identification number
57-6125748

Number and street (or P O box number if mail is not delivered to street address)
201 CRESCENT AVENUE

Room/suite

B Telephone number
864-232-8301

City or town, state or province, country, and ZIP or foreign postal code
GREENVILLE, SC 29605

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,674,835.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	0.			
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	49,689.	49,689.		STATEMENT 1
	4	Dividends and interest from securities	62,406.	60,568.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	99,837.			
	b	Gross sales price for all assets on line 6a 1,146,386.				
	7	Capital gain net income (from Part IV, line 2)		99,773.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	12,275.	23,395.	0.	STATEMENT 3
	12	**Total.** Add lines 1 through 11	224,207.	233,425.	0.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 4	2,750.	1,375.	0.	0.
	c	Other professional fees				
	17	Interest		203.		
	18	Taxes STMT 5	10,782.	853.	0.	0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	23,900.	23,900.	0.	0.
	24	**Total operating and administrative expenses** Add lines 13 through 23	37,432.	26,331.	0.	0.
	25	Contributions, gifts, grants paid	152,950.			152,950.
	26	**Total expenses and disbursements.** Add lines 24 and 25	190,382.	26,331.	0.	152,950.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	33,825.			
	b	**Net investment income** (if negative, enter -0-)		207,094.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	73,804.	89,433.	89,433.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 8	1,552,599.	1,591,782.	1,863,637.
	c	Investments - corporate bonds STMT 9	743,451.	721,534.	721,765.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,369,854.	2,402,749.	2,674,835.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	1,616,746.	1,616,746.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	753,108.	786,003.	
	30	**Total net assets or fund balances**	2,369,854.	2,402,749.	
	31	**Total liabilities and net assets/fund balances**	2,369,854.	2,402,749.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,369,854.
2	Enter amount from Part I, line 27a	2	33,825.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,403,679.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	930.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,402,749.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,146,386.		1,046,549.	99,773.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				99,773.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 99,773.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	133,050.	2,509,257.	.053024
2012	127,250.	2,528,604.	.050324
2011	119,800.	2,779,520.	.043101
2010	108,150.	2,422,444.	.044645
2009	107,150.	1,197,105.	.089508

2	**Total** of line 1, column (d)	2	.280602
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056120
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,687,444.
5	Multiply line 4 by line 3	5	150,819.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,071.
7	Add lines 5 and 6	7	152,890.
8	Enter qualifying distributions from Part XII, line 4	8	152,950.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	2,071.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,071.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	2,071.
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a 10,200.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	10,200.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	8,129.
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶	8,129. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ SC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* SEE STATEMENT 10	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MR. AND MRS. ERWIN MADDREY II Telephone no. ▶864-232-8301

Located at ▶201 CRESCENT AVE., GREENVILLE, SC ZIP+4 ▶29605

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
E ERWIN MADDREY II 201 CRESCENT AVENUE GREENVILLE, SC 29605	TRUSTEE 5.00	0.	0.	0.
NANCY B MADDREY 201 CRESCENT AVENUE GREENVILLE, SC 29605	TRUSTEE 5.00	0.	0.	0.
EDWIN ERWIN MADDREY III 201 CRESCENT AVENUE GREENVILLE, SC 29605	TRUSTEE 5.00	0.	0.	0.
ALEXANDER SMITH MADDREY 201 CRESCENT AVENUE GREENVILLE, SC 29605	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	2,630,221.
b	Average of monthly cash balances			1b	98,149.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	2,728,370.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,728,370.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	40,926.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	2,687,444.
6	**Minimum investment return** Enter 5% of line 5			6	134,372.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	134,372.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,071.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,071.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	132,301.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	132,301.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	132,301.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	152,950.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	152,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,071.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	150,879.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				132,301.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013 9,080.				
f **Total** of lines 3a through e	9,080.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 152,950.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				132,301.
e Remaining amount distributed out of corpus	20,649.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	29,729.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	29,729.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013 9,080.				
e Excess from 2014 20,649.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARTS & SCIENCE COUNCIL 227 W. TRADE STREET, SUITE 250 CHARLOTTE, NC 28202	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	500.
BECHTLER MUSEUM OF MODERN ART 420 SOUTH TRYON STREET CHARLOTTE, NC 28202	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	250.
CENTRE STAGE - SC 501 RIVER STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
CHARLOTTE COUNTRY DAY SCHOOL 1440 CARMEL ROAD CHARLOTTE, NC 28226	NONE	GRANT	TO SUPPORT ANNUAL FUND AND CLASS OF 1987	100.
CHILDREN'S MUSEUM OF THE UPSTATE 300 COLLEGE STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	10,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	152,950.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	49,689.	
4 Dividends and interest from securities			14	62,406.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	12,275.	
8 Gain or (loss) from sales of assets other than inventory			18	99,837.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		224,207.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 224,207.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Edwin Maddrey | 5/13/2015 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
FRANCES M. PATTERSON		5/11/15		P00445802

Firm's name ▶ ELLIOTT DAVIS DECOSIMO, LLC/PLLC | Firm's EIN ▶ 57-0381582

Firm's address ▶ PO BOX 6286
GREENVILLE, SC 29606-6286

Phone no. 864-242-3370

THE MADDREY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS ACCOUNT 13972 (SEE ATTACHED)	P		
b	UBS ACCOUNT 13972 (SEE ATTACHED)	P		
c	UBS ACCOUNT 13972 (SEE ATTACHED)	P		
d	UBS ACCOUNT 20101 (SEE ATTACHED)	P		
e	UBS ACCOUNT 20101 (SEE ATTACHED)	P		
f	UBS ACCOUNT 20102 (SEE ATTACHED)	P		
g	UBS ACCOUNT 20102 (SEE ATTACHED)	P		
h	UBS ACCOUNT 20102 (SEE ATTACHED)	P		
i	UBS ACCOUNT 20104 (SEE ATTACHED)	P		
j	UBS ACCOUNT 20104 (SEE ATTACHED)	P		
k	UBS ACCOUNT 20105 (SEE ATTACHED)	P		
l	ENTERPRISE PRODUCTS PARTNERS SEC 1231 LOSS	P		
m	KINDERMORGAN SEC 1231 LOSS	P		
n	ONEOK PARTNERS SEC 1231 LOSS	P		
o	ENERGY TRANSFER EQUITY (ETP) SEC 1231	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	97,021.		95,988.	1,033.
b	284,416.		285,189.	<773.>
c	866.			866.
d	117,400.		114,984.	2,416.
e	229,811.		192,577.	37,234.
f	140,755.		133,109.	7,646.
g	143,999.		120,366.	23,633.
h	5,475.		5,723.	<248.>
i	10,873.		10,764.	109.
j	71,139.		58,678.	12,461.
k	41,877.		29,171.	12,706.
l				<153.>
m				<56.>
n				<48.>
o				<24.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,033.
b				<773.>
c				866.
d				2,416.
e				37,234.
f				7,646.
g				23,633.
h				<248.>
i				109.
j				12,461.
k				12,706.
l				<153.>
m				<56.>
n				<48.>
o				<24.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

THE MADDREY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
57-6125748 PAGE 2 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ENERGY TRANSFER EQUITY (RGP) SEC 1231	P		
b	ENERGY TRANSFER EQUITY (RGP) LT CAP GAIN	P		
c	ENERGY TRANSFER EQUITY (APU) LT CAP GAIN	P		
d	ENERGY TRANSFER PARTNERS (ETP) SEC 1231	P		
e	ENERGY TRANSFER PARTNERS (APU) LT GAIN/LOSS	P		
f	PLAINS ALL AMERICAN SEC 1231	P		
g	PLAINS ALL AMERICAN LT CAP GAIN	P		
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				2.
b				<10.>
c				<547.>
d				<53.>
e				810.
f				4.
g				11.
h	2,754.			2,754.
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				2.
b				<10.>
c				<547.>
d				<53.>
e				810.
f				4.
g				11.
h				2,754.
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	99,773.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CLEMENTS KINDNESS 27 CLEVELAND STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
COMMUNITY BUILDING INITIATIVE 220 N. TRYON STREET CHARLOTTE, NC 28202	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	100.
COMMUNITY FOUNDATION OF GREENVILLE 630 E. WASHINGTON STREET, STE. A GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
CONSERVATION VOTERS OF SC PO BOX 50632 COLUMBIA, SC 29250	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	2,000.
CRISIS ASSISTANCE MINISTRY 500 SPRATT ST CHARLOTTE, NC 28206	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	100.
DAVIDSON COLLEGE DAVIDSON COLLEGE DAVIDSON, NC 28035	NONE	GRANT	TO SUPPORT THE ANNUAL FUND AND THE DAVIDSON TRUST	12,500.
EASTOVER ELEMENTARY PTA 500 CHEROKEE ROAD CHARLOTTE, NC 28207	NONE	GRANT	TO SUPPORT INVEST IN YOUR CHILD	250.
ETV ENDOWMENT 401 E. KENNEDY STREET, STE B-1 SPARTANBURG, SC 29302	NONE	GRANT	TO SUPPORT MEMBERSHIP AND REIMAGINING PUBLIC RADIO	2,000.
FIRST PRESBYTERIAN CHURCH 200 W. TRADE STREET CHARLOTTE, NC 28202	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	400.
FLAT ROCK PLAYHOUSE PO BOX 310 FLAT ROCK, NC 28731	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
Total from continuation sheets				141,100.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FURMAN UNIVERSITY 3300 POINSETT HWY GREENVILLE, SC 29613	NONE	GRANT	TO SUPPORT ANNUAL FUND, DAVID SHI CENTER, AND HERRING CENTER	15,000.
GOVERNOR'S SCHOOL FOR THE ARTS FOUNDATION PO BOX 8458 GREENVILLE, SC 29604	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	16,500.
GREENVILLE CHAUTAUQUA 943 RUTHERFORD ROAD GREENVILLE, SC 29609	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
GREENVILLE FORWARD 24 CLEVELAND STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
GREENVILLE FREE MEDICAL CLINIC INC PO BOX 8993 GREENVILLE, SC 29604	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
GREENVILLE SYMPHONY ASSOCIATION 200 MAIN STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT THE ANNUAL FUND	2,000.
GREENVILLE TEXTILE HERITAGE SOCIETY PO BOX 4355 GREENVILLE, SC 29608	NONE	GRANT	TO SUPPORT TEXTILE HERITAGE PARK AND ANNUAL DUES	1,000.
HOMES OF HOPE 3 DUNEAN ST GREENVILLE, SC 29611	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
LAKE SUMMIT FOUNDATION 15 WESTMINSTER COURT HENDERSONVILLE, NC 28739	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
LAND TRUST ALLIANCE 1660 L STREET NW SUITE 1100 WASHINGTON, DC 20036	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MCCOLL CENTER FOR VISUAL ARTS 721 N. TRYON STREET CHARLOTTE, NC 28202	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	250.
METROPOLITAN ARTS COUNCIL 16 AUGUSTA STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	5,000.
MINT MUSEUM OF ART 2730 RANDOLPH ROAD CHARLOTTE, NC 28207	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	250.
MUSEUM ASSOCIATION, INC. 420 COLLEGE STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	2,500.
NATIONAL ALOPECIA AREATA FOUNDATION 14 MITCHELL BLVD SAN RAFAEL, CA 94903	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	100.
NATURE CONSERVANCY PO BOX 5475 COLUMBIA, SC 29250	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	2,000.
PALMETTO PROJECT 1031 CHUCK DAWLEY BLVD, STE 5 MT. PLEASANT, SC 29464	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
PEACE CENTER 101 W. BROAD STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT PEACEKEEPERS AND CAPITAL CAMPAIGN	15,000.
PUBLIC EDUCATION PARTNERS 225 S. PLEASANTBURG DR, STE B1 GREENVILLE, SC 29607	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	10,000.
SC GOVERNOR'S SCHOOL FOR SCIENCE & MATHEMATICS 1201 MAIN ST., STE 2350 COLUMBIA, SC 29201	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SC INDEPENDENT COLLEGES & UNIVERSITIES PO BOX 12007 COLUMBIA, SC 29211	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
SMITHSONIAN PO BOX 9016 PITTSFIELD, MA 01201	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN ST., SUITE 14 CHARLOTTESVILLE, VA 22902-5065	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	5,000.
ST FRANCIS FOUNDATION 1 ST FRANCIS DRIVE GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	6,000.
SUSTAIN CHARLOTTE 1413 BRIAR CREEK RD CHARLOTTE, NC 28205	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	100.
UNC CHAPEL HILL CAMPUS BOX 6100 CHAPEL HILL, NC 27599	NONE	GRANT	TO SUPPORT KENAN FLAGLER SCHOOL OF BUSINESS	1,500.
UNC-TV 10 T.W. ALEXANDER DRIVE RESEARCH TRIANGLE PARK, NC 27709	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
UNITED MINISTRIES 606 PENDLETON STREET GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
UNITED WAY 105 EDINBURGH CT GREENVILLE, SC 29607	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	10,000.
UPCOUNTRY HISTORY MUSUEM 540 BUNCOMBE ST GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UPSTATE CHAPTER AMERICAN RED CROSS PO BOX 9035 GREENVILLE, SC 29604	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	2,000.
UPSTATE FOREVER PO BOX 2308 GREENVILLE, SC 29602	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	5,000.
VIRGINIA EPISCOPAL SCHOOL 400 VES ROAD LYNCHBURG, VA 24503	NONE	GRANT	TO SUPPORT ANNUAL FUND AND CLASS OF 1987	100.
WDAV PO BOX 8990 DAVIDSON, NC 28036	NONE	GRANT	TO SUPPORT CLASS OF 1991	100.
WFAE 8801 JM KEYNES DRIVE, STE 91 CHARLOTTE, NC 28262	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	250.
WILDACRES LEADERSHIP INITIATIVE 113 BROADWAY ST DURHAM, NC 27701	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	750.
YELLOWSTONE PARK FOUNDATION 222 E MAIN ST #301 BOZEMAN, MT 59715	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
YMCA 601 E MCBEE AVE, STE 212 GREENVILLE, SC 29601	NONE	GRANT	TO SUPPORT ANNUAL CAMPAIGN, OPEN DOORS CAMPAIGN, AND CAMP GREENVILLE	3,000.
THE LIGHT FACTORY 1817 CENTRAL AVE CHARLOTTE, NC 28205	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	100.
ALEXANDER GRAHAM MIDDLE SCHOOL PTO 1800 RUNNYMEDE LANE CHARLOTTE, NC 28211	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	250.
Total from continuation sheets				

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHRIST CHURCH EPISCOPAL SCHOOL 245 CAVALIER DRIVE GREENVILLE, SC 29607	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
GREENVILLE TECHNICAL COLLEGE FOUNDATION 225 S. PLEASANTBURG DRIVE GREENVILLE, SC 29607	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
INSTITUTE FOR CHILD SUCCESS 102 EDINBURGH COURT GREENVILLE, SC 29607	NONE	GRANT	TO SUPPORT GENERAL OPERATIONS OF THE ORGANIZATION	1,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS ACCOUNT 13972	50,001.	50,001.	50,001.
UBS ACCOUNT 13972 - ACCRUED INTEREST PAID	<4,385.>	<4,385.>	<4,385.>
UBS ACCOUNT 13972 - BOND PREMIUM	<6,725.>	<6,725.>	<6,725.>
UBS ACCOUNT 20101	14.	14.	14.
UBS ACCOUNT 20102	6,311.	6,311.	6,311.
UBS ACCOUNT 20102 - ACCRUED INTEREST PAID	<1,115.>	<1,115.>	<1,115.>
UBS ACCOUNT 20102 - BOND PREMIUM/MKT DISCOUNT	507.	507.	507.
UBS ACCOUNT 20102 - OID	5,071.	5,071.	5,071.
UBS ACCOUNT 20104	3.	3.	3.
UBS ACCOUNT 20105	5.	5.	5.
UBS ACCOUNT 20119	2.	2.	2.
TOTAL TO PART I, LINE 3	49,689.	49,689.	49,689.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ENERGY TRANSFER EQUITY (ETP) K-1	0.	0.	0.	169.	0.
ENERGY TRANSFER EQUITY (SXL) K-1	0.	0.	0.	2.	0.
ENERGY TRANSFER PARTNERS (APU) K-1	0.	0.	0.	1.	0.
ENERGY TRANSFER PARTNERS (ETP) K-1	0.	0.	0.	734.	0.
ENERGY TRANSFER PARTNERS (SXL) K-1	0.	0.	0.	8.	0.
ENTERPRISE PRODUCTS PARTNERS K1	0.	0.	0.	3.	0.
KINDERMORGAN K-1	0.	0.	0.	37.	0.
LINN ENERGY K-1	0.	0.	0.	13.	0.
PLAINS ALL AMERICAN PIPELINE (PAA) K-1	0.	0.	0.	10.	0.
UBS ACCOUNT 13972	5.	1.	4.	0.	4.

UBS ACCOUNT 20101	45,370.	490.	44,880.	44,880.	44,880.
UBS ACCOUNT 20101 - NONDIVIDEND	1,481.	0.	1,481.	0.	1,481.
UBS ACCOUNT 20102	982.	0.	982.	982.	982.
UBS ACCOUNT 20104	8,367.	2,263.	6,104.	6,104.	6,104.
UBS ACCOUNT 20104 - NONDIVIDEND	1,198.	0.	1,198.	0.	1,198.
UBS ACCOUNT 20105	7,625.	0.	7,625.	7,625.	7,625.
UBS ACCOUNT 20105 - NONDIVIDEND	132.	0.	132.	0.	132.
TO PART I, LINE 4	65,160.	2,754.	62,406.	60,568.	62,406.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS ACCOUNT 20102 - MISC INCOME	811.	811.	0.
KINDERMORGAN K-1 ROYALTY INCOME	0.	28.	0.
ENTERPRISE PRODUCTS PARTNERS	1,870.	<3,652.>	0.
KINDERMORGAN K-1	2,147.	<572.>	0.
LINN ENERGY K-1	1,404.	1,683.	0.
ONEOK PARTNERS K-1	1,142.	<3,229.>	0.
PLAINS ALL AMERICAN PIPELINE (PAA)	1,132.	<1,090.>	0.
ENERGY TRANSFER EQUITY (ETE) K-1	1,089.	<67.>	0.
ENERGY TRANSFER EQUITY (ETP) K-1	0.	<756.>	0.
ENERGY TRANSFER EQUITY (RGP) K-1	0.	<319.>	0.
ENERGY TRANSFER EQUITY (APU) K-1	0.	<17.>	0.
ENERGY TRANSFER EQUITY (SXL) K-1	0.	<179.>	0.
ENERGY TRANSFER PARTNERS (ETP) K-1	2,680.	<733.>	0.
ENERGY TRANSFER PARTNERS (APU) K-1	0.	191.	0.
ENERGY TRANSFER PARTNERS (SXL) K-1	0.	<86.>	0.
ENERGY TRANSFER PARTNERS (SUN) K-1	0.	<5.>	0.
CURRENT YEAR SUSPENDED PTP LOSSES	0.	21,932.	0.
ORDINARY GAIN/LOSS ON SALE OF PTP INTEREST	0.	9,455.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	12,275.	23,395.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,750.	1,375.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	2,750.	1,375.	0.	0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID 1099S	797.	797.	0.	0.
FOREIGN TAX PAID BY PASSTHROUGH ENTITIES	0.	56.	0.	0.
US TREASURY	9,985.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	10,782.	853.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	23,900.	23,900.	0.	0.
TO FORM 990-PF, PG 1, LN 23	23,900.	23,900.	0.	0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
FUND BALANCE RECONCILIATION ADJ - NO TAX EFFECT	930.
TOTAL TO FORM 990-PF, PART III, LINE 5	930.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS ACCOUNT 20101	863,573.	975,007.
UBS ACCOUNT 20102	273,338.	291,197.
UBS ACCOUNT 20104	243,811.	296,353.
UBS ACCOUNT 20105	211,060.	301,080.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,591,782.	1,863,637.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS ACCOUNT 13972	718,330.	718,701.
UBS ACCOUNT 20102	3,204.	3,064.
TOTAL TO FORM 990-PF, PART II, LINE 10C	721,534.	721,765.

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 8B STATEMENT 10

EXPLANATION

NO LONGER REQUIRED BY SC

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 11

NAME OF MANAGER

E ERWIN MADDREY II
NANCY B MADDREY

IRC SECTION 754 STATEMENT

The taxpayer is a transferee partner in Enterprise Products Partners L.P. who has a special adjustment under Internal Revenue Code (IRC) Section 743(b) pursuant to the IRC Section 754 election made by Enterprise Products Partners L.P. The special basis adjustment was made in accordance with the rules provided in IRC Section 743 and 755 and the Regulations thereunder. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Enterprise Products Partners L.P., as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 754 STATEMENT

The taxpayer is a transferee partner in Energy Transfer Equity L.P. who has a special adjustment under Internal Revenue Code (IRC) Section 743(b) pursuant to the IRC Section 754 election made by Enterprise Products Partners L.P. The special basis adjustment was made in accordance with the rules provided in IRC Section 743 and 755 and the Regulations thereunder. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Energy Transfer Equity LP, as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 754 STATEMENT

The taxpayer is a transferee partner in Energy Transfer Partners L.P. who has a special adjustment under Internal Revenue Code (IRC) Section 743(b) pursuant to the IRC Section 754 election made by Enterprise Products Partners L.P. The special basis adjustment was made in accordance with the rules provided in IRC Section 743 and 755 and the Regulations thereunder. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Energy Transfer Partners LP, as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Linn Energy LLC, as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Oneok Partners LP, as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

PLAINS ALL AMERICAN PIPELINE LP EIN: 76-0582150

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Plains All American Pipeline LP, as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

IRC SECTION 751 STATEMENT

The taxpayer has reported ordinary income upon the disposition of units in Kinder Morgan Energy Partners L.P., as provided by the General Partner. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available in the office of the General Partner upon request.

UBS FINANCIAL SERVICES INC.
Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*
OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CIT GROUP INC RATE 5.00 % MATURES 08/15/2022 CALLABLE DATED DATE 08/03/2012 / CUSIP: 125581GQ5 / Symbol:							
	2 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS.						
	5,000.000	*5,200.00*	*03/03/14*	*5,264 34*	*0.00*	*-64 34*	*Sale* *Original basis $5,275 00*
	2,000 000	*2,080.00*	*03/31/14*	*2,065 39*	*0 00*	*14.61*	*Sale* *Original basis: $2,067.50*
07/23/14	7,000 000	7,280.00	VARIOUS	7,329.73	0.00	-49.73	Total of 2 lots
DISH DBS CORP NOTE RATE 5.875 % MATURES 07/15/2022 / CUSIP: 25470XAJ4 / Symbol:							
	2 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS						
	3,000.000	*3,105.00*	*03/06/14*	*3,171.45*	*0.00*	*-66 45*	*Sale* *Original basis: $3,183.75*
	2,000.000	*2,070.00*	*04/23/14*	*2,151.10*	*0.00*	*-81.10*	*Sale* *Original basis: $2,160.00*
11/10/14	5,000.000	5,175.00	VARIOUS	5,322 55	0.00	-147.55	Total of 2 lots
WHITING PETROLEUM CORP NOTE RATE 5.75 % MATURES 03/15/2021 CALLABLE / CUSIP: 966387AH5 / Symbol:							
11/20/14	2,000 000	2,030.00	10/23/14	2,128.67	0 00	-98 67	Sale Original basis: $2,130.00
11/20/14	2,000.000	2,030.00	10/21/14	2,103.86	73.86 W	0.00	Sale Original basis: $2,105.00
	2 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	*895.00*	*10/23/14*	*1,063.84*	*0.00*	*-168.84*	*Sale* *Original basis: $2,130.00*
	2,000 000	*1,790.00*	*10/23/14*	*2,201.54*	*0.00*	*-411 54*	*Sale* *Original basis: $2,130.00*
12/10/14	3,000.000	2,685.00	VARIOUS	3,265.38	0.00	-580.38	Total of 2 lots
	Security total:	6,745 00		7,497.91	73.86 W	-679.05	
	Totals:	19,200.00		20,150.19	73.86 W	-876.33	

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AES CORP NTS 8.000% 101517 DTD011808 FC041508 CALL@M/W +50BP / CUSIP: 00130HBH7 / Symbol.							
	2 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*2,370 00*	*08/22/13*	*2,254.72*	*0.00*	*115 28*	*Sale* *Original basis: $2,305 00*
	4,000 000	*4,740.00*	*09/03/13*	*4,517.04*	*0.00*	*222.96*	*Sale* *Original basis $4,615 00*
05/15/14	6,000 000	7,110.00	VARIOUS	6,771 76	0.00	338.24	Total of 2 lots
ACCESS MIDSTREAM PARTNERS L P NOTE RATE 4.875 % MATURES 05/15/2023 CALLABLE / CUSIP: 00434NAA3 / Symbol.							
06/17/14	2,000.000	2,120 00	10/11/13	1,937.50	0.00	182.50	Sale Original basis: $1,937.50
06/18/14	2,000.000	2,120.00	10/18/13	1,991.26	0.00	128.74	Sale Original basis: $1,991 26
	2 tax lots for 06/19/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000 000	*1,062.50*	*10/18/13*	*995.63*	*0.00*	*66 87*	*Sale* *Original basis. $995.63*
	1,000.000	*1,062.50*	*10/21/13*	*1,002.50*	*0.00*	*60.00*	*Sale* *Original basis. $1,002 50*
06/19/14	2,000.000	2,125.00	VARIOUS	1,998.13	0.00	126.87	Total of 2 lots
06/20/14	2,000.000	2,122.50	10/21/13	2,005.00	0.00	117.50	Sale Original basis· $2,005.00
	Security total:	8,487.50		7,931.89	0.00	555.61	
CLEAR CHANNEL WW HLDGS INC NOTE RATE 6.50 % MATURES 11/15/2022 CALLABLE / CUSIP: 18451QAM0 / Symbol:							
	4 tax lots for 02/25/14 Total proceeds (and cost when required) reported to the IRS.						
	4,000.000	*4,252.50*	*08/20/13*	*4,000.00*	*0 00*	*252 50*	*Sale* *Original basis. $4,000 00*
	5,000.000	*5,315.62*	*08/22/13*	*4,987.50*	*0 00*	*328.12*	*Sale* *Original basis· $4,987.50*
	2,000.000	*2,126 25*	*09/04/13*	*2,022.50*	*0.00*	*103 75*	*Sale* *Original basis: $2,022.50*
	4,000.000	*4,252.50*	*09/10/13*	*4,050.00*	*0.00*	*202.50*	*Sale* *Original basis· $4,050.00*
02/25/14	15,000.000	15,946.87	VARIOUS	15,060.00	0.00	886 87	Total of 4 lots

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued)* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CONTINENTAL RESOURCES INC NOTE RATE 4.50 % MATURES 04/15/2023 CALLABLE / CUSIP: 212015AL5 / Symbol:							
06/18/14	2,000.000	2,118.60	07/15/13	1,995.00	0.00	123 60	Sale Original basis: $1,995.00
06/19/14	2,000.000	2,130.00	07/16/13	1,995 00	0.00	135 00	Sale Original basis: $1,995.00
06/24/14	3,000.000	3,191.70	07/16/13	2,992.50	0.00	199.20	Sale Original basis: $2,992.50
Security total:		7,440.30		6,982.50	0.00	457.80	
ICAHN ENTERPRISES L P / ICAHN NOTE RATE 8.00 % MATURES 01/15/2018 CALLABLE / CUSIP: 451102AH0 / Symbol:							
01/21/14	7,000.000	7,297.50	02/12/13	7,414.68	0.00	-117.18	Bond call Original basis: $7,498.75
NCR CORP NOTE RATE 5.00 % MATURES 07/15/2022 CALLABLE DATED DATE 01/15/2013 / CUSIP: 62886EAJ7 / Symbol:							
3 tax lots for 03/11/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000.000	*2,012.50*	*06/12/13*	*1,977.50*	*0.00*	*35.00*	*Sale* *Original basis: $1,977.50*
	2,000.000	*2,015.00*	*06/12/13*	*1,977 50*	*0.00*	*37.50*	*Sale* *Original basis. $1,977 50*
	4,000 000	*4,030.00*	*06/26/13*	*3,850.00*	*0.00*	*180 00*	*Sale* *Original basis· $3,850 00*
03/11/14	8,000.000	8,057.50	VARIOUS	7,805 00	0.00	252.50	Total of 3 lots
NRG ENERGY INC NOTE RATE 8.50 % MATURES 06/15/2019 CALLABLE / CUSIP: 629377BG6 / Symbol:							
2 tax lots for 01/08/14 Total proceeds (and cost when required) reported to the IRS.							
	5,000.000	*5,337.50*	*03/06/13*	*5,488.16*	*0.00*	*-150 66*	*Sale* *Original basis. $5,550 00*
	5,000.000	*5,337.50*	*03/12/13*	*5,478.17*	*0.00*	*-140.67*	*Sale* *Original basis. $5,537.50*
01/08/14	10,000.000	10,675.00	VARIOUS	10,966.33	0.00	-291.33	Total of 2 lots
03/25/14	5,000 000	5,268.75	04/16/13	5,446.35	0.00	-177.60	Sale Original basis: $5,512.50
Security total:		15,943.75		16,412.68	0.00	-468 93	
OFFSHORE GROUP INVMT LTD NOTE RATE 7.50 % MATURES 11/01/2019 CALLABLE / CUSIP: 676253AJ6 / Symbol:							
01/30/14	2,000 000	2,155.00	05/24/13	2,154.76	0.00	0.24	Sale Original basis: $2,170.00

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UBS FINANCIAL SERVICES INC. · Account BL·13972

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B* · OMB No 1545-0715

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OFFSHORE GROUP INVMT LTD NOTE RATE 7.50 % MATURES 11/01/2019 CALLABLE / CUSIP: 676253AJ6 / Symbol: (cont'd)							
02/21/14	2,000.000	2,150.00	05/31/13	2,153.76	0.00	-3.76	Sale Original basis: $2,170.00
02/28/14	3,000.000	3,232.50	06/03/13	3,224 56	0.00	7.94	Sale Original basis. $3,247 50
	Security total:	7,537.50		7,533 08	0.00	4.42	
	Totals:	**77,820.92**		**75,911.59**	**0.00**	**1,909.33**	

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AIRCASTLE LTD NOTE RATE 6.75 % MATURES 04/15/2017 / CUSIP: 00928QAF8 / Symbol:							
	2 tax lots for 07/03/14. Total proceeds (and cost when required) reported to the IRS						
	5,000 000	*5,550.00*	*11/28/12*	*5,206.36*	*0 00*	*343 64*	*Sale* *Original basis $5,312 50*
	2,000 000	*2,220.00*	*04/12/13*	*2,150.92*	*0 00*	*69 08*	*Sale* *Original basis. $2,212.50*
07/03/14	7,000 000	7,770.00	VARIOUS	7,357.28	0.00	412.72	Total of 2 lots
ALLY FINANIAL INC NOTE RATE 8.00 % MATURES 03/15/2020 / CUSIP: 02005NAE0 / Symbol.							
10/08/14	1,000 000	1,207.50	12/04/12	1,178 23	0.00	29.27	Bond call Original basis. $1,230.00
AMERISTAR CASINOS INC NOTE RATE 7.50 % MATURES 04/15/2021 CALLABLE / CUSIP: 03070QAN1 / Symbol:							
08/07/14	3,000 000	3,135.00	11/30/12	3,179.05	0.00	-44.05	Sale Original basis· $3,202.50
08/08/14	2,000.000	2,090.00	11/30/12	2,119.34	0.00	-29.34	Sale Original basis. $2,135.00
08/11/14	2,000.000	2,090 00	02/08/13	2,140.40	0 00	-50 40	Sale Original basis: $2,165 00
	Security total:	7,315.00		7,438.79	0.00	-123.79	

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UBS FINANCIAL SERVICES INC.

Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AUTONATION INC NOTE RATE 6.75 % MATURES 04/15/2018 / CUSIP: 05329WAJ1 / Symbol:							
10/16/14	2,000.000	2,282.50	01/03/13	2,188.12	0.00	94.38	Sale Original basis: $2,275 00
10/30/14	2,000.000	2,275 00	01/03/13	2,186.15	0.00	88.85	Sale Original basis: $2,275.00
	2 tax lots for 11/03/14. Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	*1,137 50*	*01/03/13*	*1,092.86*	*0.00*	*44.64*	*Sale* *Original basis· $1,137 50*
	2,000.000	*2,275.00*	*03/07/13*	*2,201.66*	*0.00*	*73.34*	*Sale* *Original basis: $2,290 00*
11/03/14	3,000.000	3,412.50	VARIOUS	3,294.52	0.00	117.98	Total of 2 lots
Security total:		7,970.00		7,668.79	0.00	301.21	
AVIS BUDGET NOTE RATE 8.25 % MATURES 01/15/2019 CALLABLE DATED DATE 01/15/2011 / CUSIP: 053773AN7 / Symbol:							
04/10/14	3,000.000	3,240.87	12/13/12	3,279.49	0.00	-38.62	Sale Original basis: $3,345.00
	2 tax lots for 06/15/14. Total proceeds (and cost when required) reported to the IRS.						
	2,000 000	*2,133.66*	*01/17/13*	*2,192 57*	*0.00*	*-58 91*	*Sale*
	2,000.000	*2,133.66*	*02/26/13*	*2,153.46*	*0.00*	*-19 80*	*Sale*
06/15/14	4,000.000	4,267.32	VARIOUS	4,346 03	0.00	-78.71	Total of 2 lots
Security total:		7,508.19		7,625 52	0.00	-117 33	
BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP: 055381AS6 / Symbol:							
	4 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS.						
	2,000 000	*2,181.92*	*11/04/13*	*2,054 23*	*0.00*	*127.69*	*Bond call* *Original basis. $2,055.00*
	8,000.000	*8,727.68*	*11/05/13*	*8,223.82*	*0.00*	*503 86*	*Bond call* *Original basis: $8,230 00*
	2,000 000	*2,181 92*	*11/13/13*	*2,047.50*	*0.00*	*134.42*	*Bond call* *Original basis: $2,047.50*
	3,000.000	*3,272 88*	*11/15/13*	*3,075.00*	*0.00*	*197.88*	*Bond call* *Original basis· $3,075.00*
12/16/14	15,000.000	16,364.40	VARIOUS	15,400.55	0.00	963.85	Total of 4 lots

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CDW LLC / CDW FIN CORP 08.000% 121518 DTD061511 FC121511 CALL@MW+50BP / CUSIP: 12513GAW9 / Symbol:							
	2 tax lots for 09/05/14. Total proceeds (and cost when required) reported to the IRS.						
	2,000 000	*2,121.22*	*12/19/12*	*2,164.56*	*0 00*	*-43.34*	*Sale*
	2.000 000	*2,121.22*	*02/20/13*	*2,176.03*	*0 00*	*-54 81*	*Sale*
09/05/14	4,000 000	4,242.44	VARIOUS	4,340.59	0.00	-98 15	Total of 2 lots
CIT GROUP INC CALL@MW 50BP NOTE RATE 5.00 % MATURES 05/15/2017 / CUSIP: 125581GM4 / Symbol.							
03/03/14	5,000.000	5,362.50	12/04/12	5,220.43	0.00	142.07	Sale Original basis: $5,300.00
03/31/14	4,000.000	4,275.00	12/04/12	4,172.45	0.00	102 55	Sale Original basis: $4,240.00
	2 tax lots for 05/01/14. Total proceeds (and cost when required) reported to the IRS.						
	2,000.000	*2,137.50*	*12/04/12*	*2,083.98*	*0.00*	*53 52*	*Sale* *Original basis: $2,120 00*
	2,000 000	*2,137.50*	*01/22/13*	*2,113.03*	*0.00*	*24.47*	*Sale* *Original basis· $2,157.50*
05/01/14	4,000 000	4,275.00	VARIOUS	4,197.01	0 00	77.99	Total of 2 lots
	2 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS						
	1,000 000	*1,068.75*	*01/22/13*	*1,056.32*	*0.00*	*12.43*	*Sale* *Original basis: $1,078.75*
	2,000.000	*2,137.50*	*02/06/13*	*2,097.51*	*0 00*	*39.99*	*Sale* *Original basis: $2,135 00*
05/05/14	3,000 000	3,206.25	VARIOUS	3,153.83	0 00	52.42	Total of 2 lots
06/05/14	5,000.000	5,343.75	02/06/13	5,237.38	0 00	106.37	Sale Original basis: $5,337.50
	Security total:	22,462.50		21,981.10	0.00	481.40	
CASE CORP NOTE RATE 7.25 % MATURES 01/15/2016 DATED DATE 01/16/1996 / CUSIP: 14743RAB9 / Symbol:							
	2 tax lots for 08/04/14. Total proceeds (and cost when required) reported to the IRS						
	1,000 000	*1,058.75*	*04/19/13*	*1,064.20*	*0.00*	*-5.45*	*Sale* *Original basis: $1,119.50*
	3,000.000	*3,176.25*	*05/24/13*	*3,204.15*	*0 00*	*-27 90*	*Sale* *Original basis: $3.367.50*
08/04/14	4,000.000	4,235.00	VARIOUS	4,268 35	0 00	-33 35	Total of 2 lots
08/05/14	3,000.000	3,176 25	05/24/13	3,203.77	0.00	-27.52	Sale Original basis: $3,367.50

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Security total:		7,411 25		7,472.12	0.00	-60.87	
DELPHI CORP NOTE RATE 5.00 % MATURES 02/15/2023 CALLABLE DATED DATE 02/14/2013 / CUSIP: 247126AH8 / Symbol:							
10/27/14	2,000.000	2,142 50	03/15/13	2,090.77	0 00	51.73	Sale Original basis: $2,105.00
10/28/14	2,000.000	2,145.00	05/01/13	2,148.07	0.00	-3.07	Sale Original basis $2,170 00
11/07/14	4,000.000	4,280.00	05/07/13	4,321.71	0.00	-41.71	Sale Original basis: $4,370 00
Security total.		8,567.50		8,560.55	0 00	6.95	
ECHOSTAR DBS CORP MW+50BP RATE 7.125 % MATURES 02/01/2016 CALLABLE / CUSIP: 27876GBE7 / Symbol:							
03/06/14	3,000.000	3,303.75	01/02/13	3,235.38	0.00	68.37	Sale Original basis $3,375 00
03/14/14	3,000 000	3,285.00	01/02/13	3,232.69	0.00	52 31	Sale Original basis $3,375.00
04/23/14	6,000.000	6,562.50	01/02/13	6,439.18	0 00	123.32	Sale Original basis: $6,750.00
3 tax lots for 04/24/14. Total proceeds (and cost when required) reported to the IRS.							
	1,000.000	*1,093.75*	*01/02/13*	*1,073.08*	*0.00*	*20.67*	*Sale* *Original basis $1,125 00*
	5,000.000	*5,468.75*	*02/28/13*	*5,357.31*	*0.00*	*111 44*	*Sale* *Original basis $5,581 25*
	2,000.000	*2,187.50*	*03/04/13*	*2,142.18*	*0.00*	*45.32*	*Sale* *Original basis: $2,230.00*
04/24/14	8,000.000	8,750.00	VARIOUS	8,572.57	0.00	177.43	Total of 3 lots
Security total·		21,901.25		21,479.82	0.00	421 43	
EL PASO CORP MW@+50BP RATE 7.00 % MATURES 06/15/2017 CALLABLE / CUSIP· 28336LBQ1 / Symbol:							
12/02/14	5,000.000	5,600.00	11/28/12	5,400.91	0.00	199.09	Sale Original basis: $5,693.75
GMAC LLC RATE 8 00 % MATURES 11/01/2031 DATED DATE 12/31/2008 / CUSIP: 36186CBY8 / Symbol:							
04/08/14	4,000.000	4,956.40	12/18/12	5,074.22	0.00	-117 82	Sale Original basis· $5,120.00
2 tax lots for 05/27/14 Total proceeds (and cost when required) reported to the IRS							
	4,000 000	*4,965.00*	*12/18/12*	*5,069.26*	*0.00*	*-104 26*	*Sale* *Original basis· $5,120 00*

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GMAC LLC RATE 8.00 % MATURES 11/01/2031 DATED DATE 12/31/2008 / CUSIP: 36186CBY8 / Symbol: (cont'd)							
	4,000.000	*4,965 00*	*02/06/13*	*4,997.48*	*0.00*	*-32.48*	*Sale* *Original basis: $5,040 00*
05/27/14	8,000 000	9,930.00	VARIOUS	10,066 74	0.00	-136.74	Total of 2 lots
	Security total:	14,886.40		15,140 96	0.00	-254.56	
HCA INC NOTE RATE 7.875 % MATURES 02/15/2020 CALLABLE DATED DATE 02/15/2010 / CUSIP: 404119BJ7 / Symbol:							
	2 tax lots for 04/02/14 Total proceeds (and cost when required) reported to the IRS.						
	12,000.000	*12,791.63*	*12/18/12*	*13,234 40*	*0 00*	*-442.77*	*Sale*
	9.000 000	*9,593 71*	*03/21/13*	*9,856.13*	*0 00*	*-262 42*	*Sale*
04/02/14	21,000.000	22,385.34	VARIOUS	23,090.53	0 00	-705.19	Total of 2 lots
HALCON RESOURCES CORP NOTE RATE 8 875 % MATURES 05/15/2021 CALLABLE / CUSIP: 40537QAD2 / Symbol:							
12/03/14	2,000.000	1,475.00	09/06/13	2,000.00	0 00	-525 00	Sale Original basis: $2,000 00
12/09/14	2,000 000	1,405.00	09/09/13	2,005 00	0 00	-600 00	Sale Original basis· $2,005 00
12/18/14	3,000 000	2,287.50	09/19/13	3,120 00	0.00	-832.50	Sale Original basis: $3,120.00
	Security total:	5,167.50		7,125.00	0.00	-1,957.50	
HARRAHS OPER CO INC NOTE RATE 11.25 % MATURES 06/01/2017 CALLABLE / CUSIP: 413627BL3 / Symbol:							
	3 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS.						
	2,000 000	*1,935.00*	*12/05/12*	*2,117.68*	*0 00*	*-182.68*	*Sale* *Original basis· $2,155 00*
	3,000.000	*2,932.50*	*12/05/12*	*3,176.53*	*0.00*	*-244.03*	*Sale* *Original basis $3,232 50*
	2,000.000	*1,935.00*	*02/12/13*	*2,106 15*	*0 00*	*-171.15*	*Sale* *Original basis· $2,135.00*
03/06/14	7,000.000	6,802.50	VARIOUS	7,400.36	0.00	-597.86	Total of 3 lots
HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol:							
	2 tax lots for 12/02/14 Total proceeds (and cost when required) reported to the IRS						
	9,000 000	*9.199.44*	*12/26/12*	*9,555 03*	*0 00*	*-355.59*	*Bond call* *Original basis $9,843.75*

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

(continued)

Account BL 13972

2014 1099-B*

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol: (cont'd)							
	5,000.000	*5,110 80*	*02/20/13*	*5,320.44*	*0.00*	*-209.64*	*Bond call* *Original basis· $5.475 00*
12/02/14	14,000 000	14,310.24	VARIOUS	14,875.47	0.00	-565.23	Total of 2 lots
IRON MOUNTAIN INC. NOTE RATE 8.375 % MATURES 08/15/2021 CALLABLE / CUSIP: 46284PAM6 / Symbol:							
	2 tax lots for 12/30/14 Total proceeds (and cost when required) reported to the IRS.						
	2,000 000	*2,083.76*	*12/07/12*	*2,176 44*	*0 00*	*-92.68*	*Sale* *Original basis· $2,217 50*
	3,000.000	*3,125.64*	*12/21/12*	*3,271 56*	*0.00*	*-145.92*	*Sale* *Original basis $3,333.75*
12/30/14	5,000.000	5,209.40	VARIOUS	5,448.00	0.00	-238.60	Total of 2 lots
MGM GRAND INC CALL @ M/W T+50BP RATE 7.625 % MATURES 01/15/2017 / CUSIP: 552953BB6 / Symbol:							
	2 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS						
	5,000 000	*5,681.25*	*12/05/12*	*5,260 87*	*0 00*	*420.38*	*Sale* *Original basis. $5,387.50*
	2,000 000	*2,272.50*	*02/06/13*	*2,128 98*	*0.00*	*143.52*	*Sale* *Original basis. $2,185.00*
05/15/14	7,000.000	7,953.75	VARIOUS	7,389.85	0.00	563.90	Total of 2 lots
MASCO CORP RATE 5.95 % MATURES 03/15/2022 DATED DATE 03/12/2012 / CUSIP. 574599BH8 / Symbol:							
07/30/14	4,000.000	4,430.00	11/28/12	4,365.15	0.00	64.85	Sale Original basis: $4,430.00
	2 tax lots for 08/01/14 Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	*1,095.00*	*11/28/12*	*1,091.26*	*0.00*	*3.74*	*Sale* *Original basis. $1,107.50*
	2,000 000	*2,190.00*	*02/05/13*	*2,204.73*	*0.00*	*-14.73*	*Sale* *Original basis $2,237.50*
08/01/14	3,000.000	3,285.00	VARIOUS	3,295.99	0.00	-10 99	Total of 2 lots
	Security total:	7,715.00		7,661.14	0.00	53.86	
OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol							
	3 tax lots for 05/29/14. Total proceeds (and cost when required) reported to the IRS.						
	3,000.000	*3,062.64*	*02/08/13*	*3,159.65*	*0 00*	*-97.01*	*Bond call* *Original basis $3,195 00*

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
OIL STATES INTL INC NOTE RATE 6 50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol: (cont'd)							
	2,000.000	*2,041.76*	*02/21/13*	*2,119.19*	*0.00*	*-77.43*	*Bond call* *Original basis: $2,145.00*
	2,000.000	*2,041.76*	*03/15/13*	*2,136.73*	*0.00*	*-94 97*	*Bond call* *Original basis: $2,165.00*
05/29/14	7,000.000	7,146.16	VARIOUS	7,415.57	0.00	-269.41	Total of 3 lots
PLAINS EXPLORATION & PROD CO RATE 6.625 % MATURES 05/01/2021 CALLABLE / CUSIP: 726505AK6 / Symbol:							
04/30/14	3,000.000	3,198.75	12/03/12	3,090.00	0.00	108.75	Sale Original basis: $3,090.00
	2 tax lots for 08/18/14. Total proceeds (and cost when required) reported to the IRS.						
	2,000.000	*2,218.75*	*12/03/12*	*2,060.00*	*0 00*	*158.75*	*Sale* *Original basis: $2,060 00*
	2,000.000	*2,218.75*	*02/15/13*	*2,183.80*	*0.00*	*34.95*	*Sale* *Original basis: $2,217.50*
08/18/14	4,000.000	4,437 50	VARIOUS	4,243.80	0.00	193.70	Total of 2 lots
	Security total.	7,636.25		7,333.80	0.00	302.45	
RANGE RESOURCES CORP NOTE RATE 6.75 % MATURES 08/01/2020 CALLABLE / CUSIP: 75281AAL3 / Symbol:							
02/12/14	7,000.000	7,612.50	12/11/12	7,579.27	0.00	33.23	Sale Original basis: $7,665.00
SANDRIDGE ENERGY INC NOTE RATE 7.50 % MATURES 03/15/2021 CALLABLE / CUSIP: 80007PAN9 / Symbol:							
10/14/14	5,000 000	4,462.50	12/10/12	5,317.22	0 00	-854.72	Sale Original basis: $5,387.50
10/20/14	1,000.000	922.50	02/15/13	1,032.50	0.00	-110.00	Sale Original basis: $1,032 50
10/21/14	1,000.000	947.50	02/15/13	1,032.50	0.00	-85 00	Sale Original basis: $1,032.50
	Security total:	6,332.50		7,382.22	0.00	-1,049.72	
SMITHFIELD FOODS INC NOTE RATE 7.75 % MATURES 07/01/2017 / CUSIP: 832248AQ1 / Symbol:							
	2 tax lots for 06/04/14 Total proceeds (and cost when required) reported to the IRS.						
	5.000.000	*5,775.00*	*11/30/12*	*5,475.79*	*0.00*	*299.21*	*Sale* *Original basis. $5,687 50*

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UBS FINANCIAL SERVICES INC.

Account BL 13972

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B*

OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SMITHFIELD FOODS INC NOTE RATE 7.75 % MATURES 07/01/2017 / CUSIP: 832248AQ1 / Symbol: (cont'd)							
	2,000 000	*2,310.00*	*02/07/13*	*2,220.28*	*0 00*	*89 72*	*Sale* *Original basis. $2,307 50*
06/04/14	7,000.000	8,085.00	VARIOUS	7,696.07	0.00	388 93	Total of 2 lots
SPRINT CAPITAL CORP MW+25BP GLOBAL RATE 6.90 % MATURES 05/01/2019 CALLABLE / CUSIP: 852060AG7 / Symbol							
04/07/14	8,000 000	8,800.00	12/04/12	8,571.97	0.00	228 03	Sale Original basis· $8,700.00
2 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000 000	*2,200.00*	*12/04/12*	*2,142.93*	*0 00*	*57 07*	*Sale* *Original basis: $2,175 00*
	5,000.000	*5,500.00*	*02/07/13*	*5,365.66*	*0 00*	*134.34*	*Sale* *Original basis $5,437.50*
04/08/14	7,000 000	7,700.00	VARIOUS	7,508.59	0 00	191.41	Total of 2 lots
	Security total·	16,500.00		16,080.56	0.00	419.44	
TW TELECOM HLDGS INC NTS 05.375% 100122 DTD100112 FC040113 CALL@MW+50BP / CUSIP: 87311XAD0 / Symbol:							
11/30/14	3,000.000	3,161.25	09/12/13	2,861.25	0.00	300.00	Sale Original basis· $2,861 25
12/02/14	4,000.000	4,535.80	09/12/13	3,815.00	0.00	720.80	Sale
	Security total:	7,697.05		6,676.25	0.00	1,020.80	
UNITED RENTALS NA INC 09.250% 121519 DTD111709 CALL @ MW +50BP / CUSIP: 911365AU8 / Symbol:							
3 tax lots for 04/14/14. Total proceeds (and cost when required) reported to the IRS.							
	2,000 000	*2,208.16*	*12/04/12*	*2,233.29*	*0 00*	*-25 13*	*Sale*
	7,000.000	*7,728.55*	*01/22/13*	*7,909.73*	*0.00*	*-181 18*	*Sale*
	4,000.000	*4,416.31*	*02/07/13*	*4,488.46*	*0 00*	*-72 15*	*Sale*
04/14/14	13,000.000	14,353.02	VARIOUS	14,631 48	0 00	-278.46	Total of 3 lots
VISTEON CORP MEDIUM TERM NOTE RATE 6.75 % MATURES 04/15/2019 CALLABLE / CUSIP: 92839UAF4 / Symbol:							
05/09/14	6,000.000	6,303.78	02/13/13	6,358.56	0.00	-54 78	Sale
	Totals:	**284,416.42**		**285,189.34**	**0.00**	**-772.92**	

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UBS FINANCIAL SERVICES INC. Account BL 13972

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

UNDETERMINED-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949 Use either Part I with Box B checked or Part II with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP: 055381AS6 / Symbol:								
12/16/14	0 000	450.00	N/A	...	...	...	Gross proceeds	C
HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol:								
12/02/14	0.000	205.87	N/A	...	...	...	Gross proceeds	C
OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol:								
05/29/14	0 000	210 00	N/A	..	...	..	Gross proceeds	C
	Totals:	865.87		0...	...	865.87		

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS

UBS FINANCIAL SERVICES INC. Account BL 20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ABBVIE INC COM / CUSIP 00287Y109 / Symbol. ABBV							
09/04/14	3.000	166 40	08/04/14	159 49	0 00	6.91	Sale
12/09/14	9 000	620 84	08/04/14	478 45	0 00	142 39	Sale
	Security total	787.24		637 94	0 00	149.30	
CA INC / CUSIP 12673P105 / Symbol: CA							
01/15/14	545.000	18,643.15	02/05/13	13,639.17	0.00	5,003.98	Sale
DARDEN RESTAURANTS INC / CUSIP. 237194105 / Symbol: DRI							
09/04/14	8.000	383.91	02/28/14	404.84	0.00	-20.93	Sale
11/25/14	183.000	10,179.81	02/28/14	9,260.60	0.00	919.21	Sale
12/09/14	7.000	407 60	02/28/14	354.23	0.00	53.37	Sale
12/17/14	182 000	10,361.18	02/28/14	9,210.00	0 00	1,151.18	Sale
	Security total:	21,332.50		19,229.67	0.00	2,102.83	
GENL ELECTRIC CO / CUSIP· 369604103 / Symbol· GE							
01/22/14	203 000	5,288.47	02/05/13	4,574 81	0.00	713 66	Sale
HOST HOTELS & RESORTS INC (REIT) SBI / CUSIP 44107P104 / Symbol: HST							
12/09/14	11 000	261 13	10/21/14	246.23	0.00	14.90	Sale
INTEL CORP COMMON STOCK / CUSIP· 458140100 / Symbol INTC							
01/22/14	258.000	6,533 28	02/05/13	5,453.09	0.00	1,080 19	Sale
KINDER MORGAN INC / CUSIP 49456B101 / Symbol. KMI							
12/03/14	0.799	33.40	11/28/14	33 17	0.00	0.23	Sale
12/09/14	38.000	1,543 53	11/28/14	1,578 33	0.00	-34.80	Sale
	Security total	1,576 93		1,611 50	0.00	-34.57	
LAMAR ADVERTISING CO NEW CL A / CUSIP: 512816109 / Symbol: LAMR							
12/09/14	28 000	1,499.09	08/18/14	1,435.23	0.00	63.86	Sale
MATTEL INC COMMON STOCK / CUSIP. 577081102 / Symbol· MAT							
09/04/14	5.000	174 34	03/20/14	190 14	0.00	-15.80	Sale
	2 tax lots for 10/16/14 Total proceeds (and cost when required) reported to the IRS						
	241.000	*7,127 99*	*03/20/14*	*9,164.74*	*0 00*	*-2,036 75*	*Sale*

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UBS FINANCIAL SERVICES INC Account BL 20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MATTEL INC COMMON STOCK / CUSIP· 577081102 / Symbol: MAT (cont'd)							
	243 000	*7,187 15*	*03/26/14*	*9,400 26*	*0 00*	*-2,213.11*	*Sale*
10/16/14	484 000	14,315.14	VARIOUS	18,565 00	0.00	-4,249.86	Total of 2 lots
Security total·		14,489.48		18,755 14	0.00	-4,265 66	
MERCK & CO INC NEW COM / CUSIP· 58933Y105 / Symbol: MRK							
04/04/14	24.000	1,349.48	05/22/13	1,139 18	0.00	210 30	Sale
MICROSOFT CORP / CUSIP· 594918104 / Symbol MSFT							
12/09/14	5 000	237.86	01/16/14	183 10	0.00	54 76	Sale
NOVARTIS AG SPON ADR / CUSIP: 66987V109 / Symbol: NVS							
09/04/14	2.000	186.49	01/09/14	161.32	0.00	25.17	Sale
12/09/14	4.000	376 31	01/09/14	322.63	0 00	53 68	Sale
Security total		562 80		483.95	0 00	78.85	
POTASH CORP SASK INC CANADA FOREIGN STOCK CAD / CUSIP. 73755L107 / Symbol· POT							
08/14/14	249 000	8,623.45	11/04/13	8,122.88	0.00	500.57	Sale
09/02/14	138 000	4,821.83	11/04/13	4,501.84	0.00	319 99	Sale
09/03/14	157.000	5,483 00	11/04/13	5,121.65	0.00	361.35	Sale
09/04/14	2 000	68 55	11/04/13	65.25	0.00	3.30	Sale
2 tax lots for 10/07/14 Total proceeds (and cost when required) reported to the IRS.							
	21 000	*697 98*	*11/04/13*	*685 06*	*0.00*	*12 92*	*Sale*
	125 000	*4,154 63*	*01/22/14*	*4,162 28*	*0 00*	*-7 65*	*Sale*
10/07/14	146.000	4,852.61	VARIOUS	4,847.34	0.00	5.27	Total of 2 lots
10/15/14	155 000	4,944.45	01/22/14	5,161.22	0 00	-216.77	Sale
Security total:		28,793.89		27,820.18	0.00	973.71	
VERIZON COMMUNICATIONS INC / CUSIP· 92343V104 / Symbol· VZ							
02/24/14	0.658	30.73	02/24/14	31 65	0 00	-0 92	Sale
09/04/14	4 000	198 60	02/24/14	192 46	0.00	6.14	Sale
12/09/14	3.000	139.70	02/24/14	144.34	0.00	-4.64	Sale
Security total.		369.03		368.45	0.00	0 58	
VODAFONE GROUP PLC NEW SPON ADR / CUSIP: 92857W209 / Symbol.							
01/22/14	225 000	8,823.72	02/05/13	6,070.07	0.00	2,753.65	Sale

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UBS FINANCIAL SERVICES INC. Account: BL 20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EATON CORP PLC FOREIGN STOCK / CUSIP. G29183103 / Symbol ETN							
12/09/14	3.000	204.59	07/07/14	231.79	0 00	-27 20	Sale
SEAGATE TECHNOLOGY PLC SHS FOREIGN STOCK / CUSIP: G7945M107 / Symbol· STX							
09/04/14	8 000	487.59	04/28/14	422.36	0.00	65.23	Sale
12/09/14	17 000	1,119 88	04/28/14	897.53	0.00	222 35	Sale
	Security total.	1,607.47		1,319.89	0.00	287 58	
	Totals:	112,360.11		103,199.39	0 00	9,160.72	

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LINN ENERGY LLC UNITS REPSTG LTD LIABILITY CO INTS MLP / CUSIP: 536020100 / Symbol: LINE							
12/08/14	349.000	5,039.80	01/22/14	11,784.61 -	0.00	-6,744 81	Sale

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AT&T INC / CUSIP: 00206R102 / Symbol. T							
09/04/14	4.000	139.51	11/30/12	136.47	0.00	3.04	Sale
12/09/14	5.000	162.99	11/30/12	170.59	0.00	-7.60	Sale
	Security total	302.50		307.06	0.00	-4.56	
AMERICAN WATER WORKS CO INC NEW / CUSIP. 030420103 / Symbol: AWK							
09/04/14	8.000	402.87	02/05/13	304.83	0.00	98.04	Sale
12/09/14	16.000	853.90	02/05/13	609 67	0.00	244.23	Sale
	Security total	1,256.77		914.50	0 00	342 27	

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2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ARES CAPITAL CORP / CUSIP· 04010L103 / Symbol. ARCC							
12/09/14	10 000	161.33	08/26/13	176.41	0.00	-15.08	Sale
BCE INC NEW FOREIGN STOCK CAD / CUSIP· 05534B760 / Symbol: BCE							
09/04/14	2 000	90.64	11/30/12	84.58	0.00	6 06	Sale
12/09/14	4.000	182.19	11/30/12	169.16	0.00	13.03	Sale
Security total		272.83		253 74	0.00	19.09	
BANK OF MONTREAL CANADA $0.69 FOREIGN STOCK / CUSIP: 063671101 / Symbol: BMO							
2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS							
	1.000	*69 11*	*11/30/12*	*60 01*	*0.00*	*9.10*	*Sale*
	5.000	*345.56*	*02/05/13*	*313.09*	*0.00*	*32.47*	*Sale*
12/09/14	6.000	414.67	VARIOUS	373 10	0.00	41.57	Total of 2 lots
BAXTER INTL INC / CUSIP· 071813109 / Symbol BAX							
09/04/14	1.000	73 91	11/30/12	65.91	0 00	8 00	Sale
12/09/14	8.000	589.06	11/30/12	527.28	0 00	61.78	Sale
Security total·		662 97		593.19	0.00	69 78	
CME GROUP INC / CUSIP 12572Q105 / Symbol CME							
12/09/14	8 000	707 58	11/30/12	443.97	0 00	263.61	Sale
CA INC / CUSIP. 12673P105 / Symbol. CA							
01/15/14	24.000	820.98	11/30/12	531 75	0.00	289 23	Sale
CISCO SYS INC / CUSIP· 17275R102 / Symbol: CSCO							
12/09/14	27.000	739.35	05/16/13	647 19	0 00	92 16	Sale
DIGITAL REALTY TRUST INC REIT SBI / CUSIP 253868103 / Symbol: DLR							
2 tax lots for 09/04/14 Total proceeds (and cost when required) reported to the IRS							
	1 000	*65.90*	*11/30/12*	*64 39*	*0.00*	*1 51*	*Sale*
	4 000	*263 59*	*02/05/13*	*274 38*	*0.00*	*-10 79*	*Sale*
09/04/14	5 000	329 49	VARIOUS	338 77	0.00	-9.28	Total of 2 lots
12/09/14	9.000	624.86	02/05/13	617 35	0.00	7.51	Sale
Security total		954 35		956.12	0.00	-1 77	

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UBS FINANCIAL SERVICES INC. Account BL 20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GENL ELECTRIC CO / CUSIP 369604103 / Symbol GE							
01/22/14	46.000	1,198 37	11/30/12	971 52	0.00	226 85	Sale
09/04/14	15.000	389.39	02/05/13	338 04	0.00	51 35	Sale
12/09/14	43.000	1,097 77	02/05/13	969 05	0.00	128 72	Sale
	Security total	2,685 53		2,278.61	0.00	406.92	
GLAXO SMITHKLINE PLC ADR / CUSIP· 37733W105 / Symbol: GSK							
09/04/14	3.000	144.47	11/30/12	129.07	0.00	15.40	Sale
12/09/14	8.000	351.27	11/30/12	344.21	0.00	7.06	Sale
	Security total	495 74		473.28	0.00	22.46	
HCP INC / CUSIP. 40414L109 / Symbol· HCP							
09/04/14	5.000	216.79	11/30/12	224.13	0 00	-7 34	Sale
12/09/14	3.000	134.88	11/30/12	134 41	0 00	0 47	Sale
	Security total.	351 67		358.54	0 00	-6 87	
INTEL CORP COMMON STOCK / CUSIP 458140100 / Symbol: INTC							
09/04/14	4 000	139 75	02/05/13	84.55	0.00	55 20	Sale
10/02/14	210.000	7,048 53	02/05/13	4,438.56	0 00	2,609 97	Sale
	2 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS						
	399 000	*14,761 75*	*02/05/13*	*8,433.26*	*0 00*	*6,328.49*	*Sale*
	260 000	*9,619 19*	*04/18/13*	*5.735 36*	*0 00*	*3,883 83*	*Sale*
12/08/14	659 000	24,380.94	VARIOUS	14,168 62	0.00	10,212.32	Total of 2 lots
12/09/14	21.000	774.76	04/18/13	463 25	0.00	311.51	Sale
	Security total:	32,343.98		19,154.98	0 00	13,189 00	
INTL PAPER CO COMMON STOCK / CUSIP 460146103 / Symbol IP							
04/04/14	107 000	4,912.85	02/05/13	4,573.17	0.00	339 68	Sale
07/16/14	211.000	10,549.14	02/05/13	8,890.50	0.00	1,658.64	Sale
	Security total:	15,461.99		13,463.67	0.00	1,998 32	
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP. 478160104 / Symbol. JNJ							
09/04/14	2 000	207.69	11/30/12	139.05	0.00	68.64	Sale
12/09/14	9 000	969 16	11/30/12	625.73	0.00	343 43	Sale
	Security total.	1,176.85		764.78	0.00	412.07	

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UBS FINANCIAL SERVICES INC. Account BL 20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MAXIM INTEGRATED PRODS INC COMMON STOCK / CUSIP· 57772K101 / Symbol· MXIM							
09/04/14	6 000	188.75	11/30/12	176.98	0 00	11.77	Sale
12/09/14	7.000	216.67	11/30/12	206 47	0.00	10.20	Sale
Security total:		405.42		383 45	0.00	21.97	
MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol. MRK							
02/28/14	69 000	3,946.69	02/05/13	2,846 68	0 00	1,100 01	Sale
04/04/14	125 000	7,028.54	02/05/13	5,157.04	0.00	1,871.50	Sale
12/09/14	27 000	1,622.48	05/22/13	1,281.58	0.00	340 90	Sale
Security total.		12,597.71		9,285.30	0.00	3,312.41	
MICROCHIP TECHNOLOGY INC COMMON STOCK / CUSIP: 595017104 / Symbol: MCHP							
09/04/14	2.000	97.29	11/30/12	55.84	0.00	41.45	Sale
12/09/14	10 000	453.19	11/30/12	275.66	0.00	177.53	Sale
Security total.		550 48		331.50	0.00	218 98	
NATIONAL GRID PLC NEW SPON ADR / CUSIP: 636274300 / Symbol: NGG							
2 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS							
	22 000	*1,483 68*	*11/30/12*	*1,238.74*	*0 00*	*244 94*	*Sale*
	110 000	*7,418.42*	*02/05/13*	*6,033 49*	*0 00*	*1,384 93*	*Sale*
03/20/14	132.000	8,902.10	VARIOUS	7,272.23	0 00	1,629.87	Total of 2 lots
07/01/14	71 000	5,348.92	02/05/13	3,894.34	0.00	1,454.58	Sale
07/07/14	68.000	5,078.12	02/05/13	3,729.79	0.00	1,348.33	Sale
07/09/14	36 000	2,684.46	02/05/13	1,974 60	0.00	709.86	Sale
09/04/14	2.000	149.06	02/05/13	109.70	0 00	39.36	Sale
Security total·		22,162.66		16,980 66	0 00	5,182.00	
NISOURCE INC / CUSIP. 65473P105 / Symbol: NI							
02/28/14	210.000	7,333.66	02/05/13	5,671 47	0.00	1,662.19	Sale
04/04/14	264.000	9,339.98	02/05/13	7,129.85	0.00	2,210.13	Sale
09/04/14	5.000	198.74	02/05/13	135 03	0.00	63.71	Sale
12/09/14	19.000	794.62	02/05/13	513.14	0.00	281.48	Sale
Security total.		17,667.00		13,449.49	0.00	4,217.51	
OMEGA HEALTHCARE INVS INC COMMON STOCK / CUSIP· 681936100 / Symbol· OHI							
09/04/14	3 000	111 56	11/30/12	67.15	0 00	44 41	Sale
12/09/14	6 000	230 71	11/30/12	134.01	0 00	96 70	Sale

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2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Security total·	342 27		201 16	0 00	141.11	
PFIZER INC COMMON STOCK / CUSIP· 717081103 / Symbol: PFE							
09/04/14	1 000	29 42	11/30/12	24.91	0 00	4.51	Sale
12/09/14	15 000	477.52	11/30/12	373.65	0.00	103 87	Sale
	Security total:	506.94		398.56	0.00	108.38	
SENIOR HOUSING PROPERTIES TRUST SBI / CUSIP 81721M109 / Symbol· SNH							
09/04/14	3.000	67.70	11/30/12	64.25	0 00	3.45	Sale
12/09/14	6 000	136.61	11/30/12	127.42	0.00	9.19	Sale
	Security total.	204.31		191.67	0.00	12 64	
SPECTRA ENERGY CORP / CUSIP: 847560109 / Symbol: SE							
09/04/14	3 000	125.39	11/30/12	83.57	0.00	41 82	Sale
12/09/14	12 000	434.79	11/30/12	334.29	0 00	100 50	Sale
	Security total	560.18		417 86	0 00	142 32	
TOTAL S.A. FRANCE SPON ADR / CUSIP. 89151E109 / Symbol. TOT							
	2 tax lots for 07/02/14 Total proceeds (and cost when required) reported to the IRS						
	12 000	*866 62*	*11/30/12*	*603 67*	*0 00*	*262 95*	*Sale*
	164 000	*11,843 78*	*02/05/13*	*8,817 36*	*0 00*	*3,026 42*	*Sale*
07/02/14	176 000	12,710.40	VARIOUS	9,421.03	0 00	3,289.37	Total of 2 lots
07/16/14	146 000	10,047.12	02/05/13	7,849.61	0.00	2,197.51	Sale
	Security total·	22,757.52		17,270 64	0.00	5,486 88	
VERITIV CORP COM / CUSIP: 923454102 / Symbol VRTV							
07/02/14	0 034	1.23	02/05/13	1.08	0.00	0 15	Sale
07/11/14	4 000	154.05	02/05/13	126.54	0.00	27 51	Sale
	Security total	155.28		127 62	0.00	27 66	
VODAFONE GROUP PLC SPON ADR / CUSIP· 92857W308 / Symbol. VOD							
02/27/14	0.636	26.21	02/05/13	31.48	0 00	-5.27	Sale
09/04/14	7.000	239.32	02/05/13	346.21	0.00	-106.89	Sale
12/09/14	6.000	209 93	02/05/13	296.76	0.00	-86 83	Sale
	Security total·	475 46		674.45	0.00	-198 99	

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UBS FINANCIAL SERVICES INC Account BL 20101

Proceeds from Broker and Barter Exchange Transactions (continued)

2014 1099-B* OMB No: 1545-0715

2- LONG-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WILLIAMS COS INC (DEL) COMMON STOCK / CUSIP: 969457100 / Symbol· WMB							
	3 tax lots for 02/28/14 Total proceeds (and cost when required) reported to the IRS.						
	21.000	*865.08*	*11/30/12*	*684 81*	*0 00*	*180.27*	*Sale*
	35.000	*1,441 80*	*12/18/12*	*1,133 35*	*0 00*	*308.45*	*Sale*
	40 000	*1,647.77*	*02/05/13*	*1,416.27*	*0.00*	*231 50*	*Sale*
02/28/14	96 000	3,954.65	VARIOUS	3,234 43	0 00	720.22	Total of 3 lots
09/04/14	108.000	6,391.70	02/05/13	3,823.94	0.00	2,567.76	Sale
12/09/14	16.000	753.66	02/05/13	566.50	0.00	187.16	Sale
	Secunty total·	11,100.01		7,624.87	0 00	3,475.14	
ENSCO PLC CL A FOREIGN STOCK / CUSIP· G3157S106 / Symbol· ESV							
12/09/14	9.000	266.57	11/07/13	539 12	0 00	-272.55	Sale
SEADRILL LTD US LINE / CUSIP. G7945E105 / Symbol· SDRL							
	2 tax lots for 08/14/14 Total proceeds (and cost when required) reported to the IRS.						
	26.000	*944 74*	*11/30/12*	*1,003.50*	*0 00*	*-58 76*	*Sale*
	232 000	*8,430 02*	*02/05/13*	*8,973 94*	*0 00*	*-543 92*	*Sale*
08/14/14	258.000	9,374.76	VARIOUS	9,977.44	0 00	-602 68	Total of 2 lots
09/04/14	3 000	105 72	02/05/13	116.05	0 00	-10 33	Sale
10/16/14	420.000	9,605.27	02/05/13	16,245.93	0 00	-6,640.66	Sale
	Security total:	19,085.75		26,339 42	0 00	-7,253 67	
GOLAR LNG LTD FOREIGN STOCK / CUSIP· G9456A100 / Symbol: GLNG							
12/09/14	6.000	242 87	07/11/13	213 28	0 00	29.59	Sale
	Totals:	**167,889.52**		**136,119.94**	**0.00**	**31,769.58**	

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UBS FINANCIAL SERVICES INC. Account BL-20101

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ENERGY TRANSFER PARTNERS LP MLP / CUSIP· 29273R109 / Symbol: ETP							
09/04/14	39 000	2,208 27	11/30/12	1,697 91	0.00	510 36	Sale
	2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS.						
	14 000	*875 54*	*11/30/12*	*609.50*	*0 00*	*266 04*	*Sale*
	16 000	*1,000 62*	*02/05/13*	*732.58*	*0.00*	*268.04*	*Sale*
12/09/14	30.000	1,876 16	VARIOUS	1,342.08	0 00	534.08	Total of 2 lots
	Security total	4,084 43		3,039.99	0 00	1,044 44	
ENERGY TRANSFER EQUITY LP UNITS LTD PARTNERSHIP MLP / CUSIP 29273V100 / Symbol: ETE							
	2 tax lots for 09/04/14 Total proceeds (and cost when required) reported to the IRS						
	38.000	*2,344.93*	*11/30/12*	*865.57*	*0 00*	*1,479.36*	*Sale*
	5.000	*308 54*	*02/05/13*	*124.00*	*0 00*	*184.54*	*Sale*
09/04/14	43.000	2,653.47	VARIOUS	989.57	0.00	1,663.90	Total of 2 lots
12/09/14	21.000	1,130.62	02/05/13	520.82	0.00	609.80	Sale
	Security total:	3,784.09		1,510.39	0.00	2,273.70	
ENTERPRISE PRODUCTS PARTNER LP MLP / CUSIP: 293792107 / Symbol: EPD							
03/26/14	120.000	8,237.63	02/05/13	6,582.85	0.00	1,654.78	Sale
09/04/14	12.000	482 15	02/05/13	329.14	0.00	153.01	Sale
12/09/14	42.000	1,499.98	02/05/13	1,152.00	0 00	347.98	Sale
	Security total	10,219.76		8,063 99	0 00	2,155 77	
KINDER MORGAN ENERGY PARTNERS **MERGER EFF 12/2014** MLP / CUSIP· 494550106 / Symbol							
09/04/14	15 000	1,413.18	02/05/13	1,331.79	0.00	81.39	Sale
11/28/14	377.000	38,401 37	02/05/13	33,472.17	0 00	4,929.20	Merger
	Security total:	39,814.55		34,803.96	0.00	5,010.59	
LINN ENERGY LLC UNITS REPSTG LTD LIABILITY CO INTS MLP / CUSIP: 536020100 / Symbol LINE							
	2 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS						
	6 000	*86 64*	*01/02/13*	*219 33*	*0 00*	*-132 69*	*Sale*
	205 000	*2,960 34*	*02/05/13*	*7,742.48*	*0 00*	*-4,782 14*	*Sale*
12/08/14	211.000	3,046.98	VARIOUS	7,961 81	0 00	-4,914 83	Total of 2 lots
ONEOK PARTNERS LP MLP / CUSIP 68268N103 / Symbol· OKS							
12/09/14	9 000	378 60	11/30/12	522.49	0.00	-143 89	Sale

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LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PLAINS ALL AMER PIPELINE LP UNIT LTD PARTNERSHIP INT MLP / CUSIP: 726503105 / Symbol: PAA							
12/09/14	12.000	592.73	11/30/12	553.98	0.00	38.75	Sale
	Totals:	61,921.14		56,456.61	0.00	5,464.53	

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UBS FINANCIAL SERVICES INC Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CLIFFS NAT RES INC DEP1/40TH PFD A RATE. 7.000% QUARTERLY / CUSIP: 18683K408 / Symbol: CLV							
	2 tax lots for 01/29/14 Total proceeds (and cost when required) reported to the IRS						
	3 000	*57.90*	*02/21/13*	*70.81*	*0 00*	*-12 91*	*Sale*
	32 000	*617 59*	*04/05/13*	*686.36*	*0 00*	*-68 77*	*Sale*
01/29/14	35 000	675.49	VARIOUS	757 17	0.00	-81 68	Total of 2 lots
EXELON CORP CORP UNIT RATE: 6 500% SEMI ANNUALLY MATURITY· 06/01/2017 / CUSIP· 30161N127 / Symbol:							
12/09/14	6.000	316.43	06/13/14	316 47	0.00	-0.04	Sale
HOVNANIAN ENTERPRISES INC CL A / CUSIP· 442487203 / Symbol: HOV							
02/18/14	0 682	3 95	04/17/13	3.60	0.00	0.35	Sale
02/21/14	209 000	1,220.75	04/17/13	1,102 83	0.00	117 92	Sale
	Security total.	1,224.70		1,106 43	0.00	118.27	
METLIFE INC / CUSIP· 59156R108 / Symbol: MET							
10/08/14	0 401	20.73	10/07/14	17 58	0.00	3 15	Sale
10/13/14	102.000	5,056.92	10/07/14	4,477 64	0.00	579 28	Sale
11/06/14	78.000	4,185.77	10/07/14	3,424.07	0.00	761 70	Sale
	Security total:	9,263.42		7,919.29	0.00	1,344 13	
METLIFE INC 5 000% DUE 10/08/14 / CUSIP. 59156R116 / Symbol							
10/07/14	85 000	2,136.95	12/17/13	2,553 81	0 00	-416 86	Sale
	Totals:	**13,616.99**		**12,653.17**	**0.00**	**963.82**	

SHORT-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ARES CAP CORP NOTE RATE 5 75 % MATURES 02/01/2016 / CUSIP. 04010LAB9 / Symbol:							
	2 tax lots for 05/14/14 Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*2,157 78*	*06/13/13*	*2,123 95*	*0.00*	*33 83*	*Sale* *Note 25* *Original basis $2,189 33*

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ARES CAP CORP NOTE RATE 5 75 % MATURES 02/01/2016 / CUSIP· 04010LAB9 / Symbol: (cont'd)							
	4 000.000	*4,315 57*	*03/06/14*	*4 335 46*	*0 00*	*-19 89*	*Sale* *Note 25* *Original basis· $4,372 50*
05/14/14	6,000.000	6,473 35	VARIOUS	6,459 41	0 00	13 94	Total of 2 lots
ARES CPTL CORP NOTE RATE 4 875 % MATURES 03/15/2017 / CUSIP: 04010LAG8 / Symbol							
12/11/14	1,000.000	1,032 50	05/14/14	1,067.02	0 00	-34 52	Sale Note· 25 Original basis $1,083 76
BIOMAR PHARMACEUTICAL INC RATE 1.50 % MATURES 10/15/2020 / CUSIP: 09061GAF8 / Symbol.							
02/10/14	1,000 000	1,095.71	11/12/13	1,009 47	0.00	86.24	Sale Note· 25 Original basis $1,009.79
CHESAPEAKE ENERGY CORP NOTE RATE 2 75 % MATURES 11/15/2035 / CUSIP: 165167BW6 / Symbol.							
04/10/14	3,000.000	3,117.67	02/13/14	3,188.85	0.00	-71 18	Sale Note 25 Original basis $3,155.91 Ordinary gain/loss
10/01/14	3,000.000	3,011.25	02/13/14	3,292.29	0 00	-281 04	Sale Note: 25 Original basis. $3,155 91 Ordinary gain/loss
	Security total.	6,128.92		6,481.14	0 00	-352 22	
CHESAPEAKE ENERGY CORP RATE 2.50 % MATURES 05/15/2037 / CUSIP· 165167BZ9 / Symbol·							
12/11/14	1,000.000	957 60	10/01/14	1,012.35	0.00	-54 75	Sale Note· 25 Original basis. $999.00 Ordinary gain/loss
CIENA CORP NOTE RATE 0 875 % MATURES 06/15/2017 CONVERTIBLE / CUSIP: 171779AE1 / Symbol.							
09/29/14	3,000 000	2,947.50	02/07/14	3,067.50	0.00	-120 00	Sale Note. 25 Original basis. $3,067 50

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No: 1545-0715

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CONTINENTAL AIRLINES INC NOTE RATE 4 50 % MATURES 01/15/2015 / CUSIP· 210795PU8 / Symbol:							
12/09/14	1,000.000	3,271 81	01/31/14	2,466.83	0.00	804.98	Sale Note. 25 Original basis: $2,466.83
CUBIST PHARMACEUTICALS INC NOTE RATE 2.50 % MATURES 11/01/2017 / CUSIP: 229678AD9 / Symbol.							
12/09/14	1,000.000	3,323 15	02/03/14	2,508.00	0.00	815.15	Sale Note. 25 Original basis: $2,508 00
DFC GLOB CORP RATE 3.25 % MATURES 04/15/2017 CONVERTIBLE / CUSIP: 23324TAB3 / Symbol:							
	3 tax lots for 04/30/14 Total proceeds (and cost when required) reported to the IRS						
	1,000.000	*961.25*	*10/01/13*	*926.16*	*0 00*	*35 09*	*Sale* *Note· 25* *Original basis $926 16*
	2,000 000	*1,922.50*	*10/18/13*	*1,890 00*	*0.00*	*32.50*	*Sale* *Note: 25* *Original basis· $1,890 00*
	2,000 000	*1,922.50*	*11/25/13*	*1,839 69*	*0.00*	*82.81*	*Sale* *Note 25* *Original basis· $1,839 69*
04/30/14	5,000.000	4,806.25	VARIOUS	4,655.85	0.00	150.40	Total of 3 lots
DANAHER CORP NOTE MATURES 01/22/2021 CONVERTIBLE CALLABLE PUTABLE / CUSIP: 235851AF9 / Symbol:							
12/11/14	1,000.000	2,450.00	01/15/14	2,274.76	0.00	175 24	Sale Note· 25 Original basis· $2,274.76
EMC CORP MASS / CUSIP. 268648102 / Symbol: EMC							
01/07/14	0.402	10.21	01/07/14	10 15	0 00	0.06	Sale
01/09/14	106.000	2,676.60	01/07/14	2,675 70	0.00	0 90	Sale
	Security total	2,686.81		2,685 85	0.00	0.96	
EMC CORP MASS RATE 1.75 % MATURES 12/01/2013 CONVERTIBLE / CUSIP: 268648AM4 / Symbol							
01/07/14	2,000.000	2,000 00	01/24/13	3,168.35	0 00	-1,168 35	Tender Note 25 Original basis $3,168 35

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ELECTRONIC ARTS INC NOTE RATE 0 75 % MATURES 07/15/2016 / CUSIP: 285512AA7 / Symbol:							
	2 tax lots for 04/08/14 Total proceeds (and cost when required) reported to the IRS.						
	2,000.000	*2,295.67*	*07/31/13*	*2,195.07*	*0.00*	*100 60*	*Sale* *Note: 25* *Original basis $2,195 07*
	1,000 000	*1,147 83*	*10/02/13*	*1,093.00*	*0.00*	*54 83*	*Sale* *Note 25* *Original basis: $1,093 00*
04/08/14	3,000.000	3,443.50	VARIOUS	3,288.07	0.00	155.43	Total of 2 lots
EQUINIX INC NOTE RATE 3.00 % MATURES 10/15/2014 CONVERTIBLE / CUSIP: 29444UAG1 / Symbol:							
	2 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS						
	2,000.000	*3,221.51*	*12/09/13*	*3,003 40*	*0.00*	*218.11*	*Sale* *Note. 25* *Original basis $3,003 40*
	1,000 000	*1,610 76*	*02/04/14*	*1,627.14*	*0.00*	*-16.38*	*Sale* *Note. 25* *Original basis $1,627 14*
04/02/14	3,000.000	4,832.27	VARIOUS	4,630 54	0.00	201.73	Total of 2 lots
GROUP 1 AUTOMOTIVE INC ADJUSTABLE RATE 2.25 % MATURES 06/15/2036 / CUSIP. 398905AE9 / Symbol:							
06/16/14	3,000 000	4,118.49	05/05/14	3,861 43	0.00	257.06	Sale Note 25 Original basis. $3,865 50
HOLOGIC INC NOTE ADJUSTABLE RATE 2 00 % MATURES 12/15/2037 / CUSIP: 436440AB7 / Symbol:							
01/13/14	1,000 000	1,155.54	05/02/13	1,184.06	0 00	-28 52	Sale Note: 25 Original basis $1,140.50 Ordinary gain/loss
	2 tax lots for 01/30/14 Total proceeds (and cost when required) reported to the IRS						
	1,000.000	*1,129 72*	*05/02/13*	*1,187 33*	*0 00*	*-57.61*	*Sale* *Note 25* *Original basis: $1,140 50* *Ordinary gain/loss*

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UBS FINANCIAL SERVICES INC. Account: BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949 Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HOLOGIC INC NOTE ADJUSTABLE RATE 2 00 % MATURES 12/15/2037 / CUSIP: 436440AB7 / Symbol· (cont'd)							
	1,000 000	*1,129 72*	*06/07/13*	*1,218.61*	*0 00*	*-88.89*	*Sale* *Note. 25* *Original basis. $1,178 02* *Ordinary gain/loss*
01/30/14	2,000.000	2,259 44	VARIOUS	2,405 94	0.00	-146 50	Total of 2 lots
	Security total·	3,414.98		3,590 00	0.00	-175 02	
ISIS PHARMACEUTICALS INC NOTE RATE 2 75 % MATURES 10/01/2019 / CUSIP: 464337AG9 / Symbol·							
	2 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*6,295 80*	*12/13/13*	*4,682.01*	*0.00*	*1,613 79*	*Sale* *Note. 25* *Original basis $4,682 01*
	1,000.000	*3,147.90*	*01/31/14*	*3,121 04*	*0 00*	*26.86*	*Sale* *Note: 25* *Original basis $3,121 04*
11/11/14	3,000 000	9,443.70	VARIOUS	7,803.05	0.00	1,640 65	Total of 2 lots
LAM RESEARCH CORP NOTE RATE 0.50 % MATURES 05/15/2016 / CUSIP· 512807AJ7 / Symbol.							
12/11/14	1,000.000	1,340.00	10/20/14	1,242.33	0.00	97.67	Sale Note: 25 Original basis· $1,242.33
MGIC INVESTMENT CORP WIS RATE 5.00 % MATURES 05/01/2017 / CUSIP: 552848AD5 / Symbol.							
12/11/14	1,000 000	1,115.00	03/31/14	1,152.96	0.00	-37.96	Sale Note: 25 Original basis. $1,152 96
MGIC INVMT CORP NOTE RATE 2 00 % MATURES 04/01/2020 / CUSIP. 552848AE3 / Symbol·							
03/31/14	3,000.000	4,222.89	01/28/14	4,275.14	0 00	-52.25	Sale Note· 25 Original basis $4,275 14
10/28/14	3,000.000	4,176.93	05/08/14	4,405.31	0.00	-228 38	Sale Note. 25 Original basis: $4,405 31
	Security total	8,399.82		8,680.45	0 00	-280 63	

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949 Part I, with Box B checked

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MACQUARIE INFRASTRUCTURE CO LLC NOTE RATE 2.875 % MATURES 07/15/2019 / CUSIP· 55608BAA3 / Symbol:							
12/11/14	1,000.000	1,093.75	09/30/14	1,110.18	0 00	-16.43	Sale Note. 25 Original basis: $1,115.00
MEDIVATION INC NOTE RATE 2 625 % MATURES 04/01/2017 / CUSIP· 58501NAA9 / Symbol.							
01/29/14	2,000 000	3,486.35	11/06/13	2,906.29	0 00	580.06	Sale Note. 25 Original basis: $2,906 29
NAVISTAR INTL CORP NEW RATE 3.00 % MATURES 10/15/2014 / CUSIP. 63934EAL2 / Symbol:							
09/25/14	3,000 000	3,000.00	06/20/14	3,026.25	0.00	-26.25	Sale Note 25 Original basis: $3,026.25
NETSUITE INC NOTE RATE 0 25 % MATURES 06/01/2018 CONVERTIBLE / CUSIP: 64118QAB3 / Symbol							
10/20/14	3,000 000	3,086 94	06/13/14	3,030.33	0.00	56 61	Sale Note· 25 Original basis: $3,030 33
NOVELLUS SYSTEMS INC RATE 2 625 % MATURES 05/15/2041 / CUSIP 670008AD3 / Symbol.							
10/20/14	1,000.000	2,060.55	02/07/14	1,639.22	0.00	421.33	Sale Note 25 Original basis: $1,595 21 Ordinary gain/loss
OMNICARE INC NOTE RATE 3.50 % MATURES 02/15/2044 CONVERTIBLE CALLABLE / CUSIP· 681904AQ1 / Symbol.							
12/11/14	1,000 000	1,176 25	05/19/14	1,089 93	0.00	86 32	Sale Note: 25 Original basis: $1,091 01
RTI INTL METALS INC NOTE RATE 1.625 % MATURES 10/15/2019 / CUSIP: 74973WAB3 / Symbol·							
	2 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*2,048 80*	*06/12/13*	*1,954 18*	*0.00*	*94 62*	*Sale* *Note· 25* *Original basis: $1,954 18*
	1,000 000	*1,024.40*	*07/10/13*	*978 38*	*0 00*	*46 02*	*Sale* *Note· 25* *Original basis $978 38*
01/27/14	3,000 000	3,073.20	VARIOUS	2,932.56	0.00	140.64	Total of 2 lots

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SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
RTI INTL METALS INC NOTE RATE 1 625 % MATURES 10/15/2019 / CUSIP· 74973WAB3 / Symbol: (cont'd)							
12/11/14	1,000 000	951.25	03/26/14	972.07	0.00	-20 82	Sale Note 25 Original basis· $972.07
Security total:		4,024.45		3,904.63	0.00	119.82	
SALESFORCE COM INC NOTE RATE 0.75 % MATURES 01/15/2015 / CUSIP: 79466LAB0 / Symbol:							
09/16/14	1,000 000	2,687 91	02/03/14	2,758.33	0.00	-70 42	Sale Note. 25 Original basis: $2,758.33
SANDISK CORP NOTE RATE 0 50 % MATURES 10/15/2020 CONVERTIBLE / CUSIP: 80004CAF8 / Symbol·							
12/11/14	1,000.000	1,227.50	11/12/14	1,165 95	0 00	61.55	Sale Note: 25 Original basis. $1,165 95
SOLARCITY CORP NOTE RATE 2 75 % MATURES 11/01/2018 / CUSIP: 83416TAA8 / Symbol							
	2 tax lots for 02/10/14 Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*2,801 69*	*11/18/13*	*2,334.34*	*0 00*	*467 35*	*Sale* *Note 25* *Original basis $2.334 34*
	1,000 000	*1,400 84*	*02/07/14*	*1,355 00*	*0 00*	*45 84*	*Sale* *Note 25* *Original basis. $1.355 00*
02/10/14	3,000 000	4,202 53	VARIOUS	3,689 34	0 00	513 19	Total of 2 lots
STILLWATER MINING CO NOTE RATE 1.75 % MATURES 10/15/2032 / CUSIP. 86074QAL6 / Symbol							
06/18/14	3,000.000	4,201.78	02/25/14	3,575.20	0 00	626.58	Sale Note. 25 Original basis $3,575 20
TRW AUTO INC NOTE RATE 3.50 % MATURES 12/01/2015 CONVERTIBLE / CUSIP 87264MAH2 / Symbol:							
	2 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS						
	1,000 000	*2,845 07*	*06/17/13*	*2,230 04*	*0 00*	*615 03*	*Sale* *Note. 25* *Original basis $2,230 04*

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UBS FINANCIAL SERVICES INC. Account: BL 20102

Proceeds from Broker and Barter Exchange Transactions (continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TRW AUTO INC NOTE RATE 3.50 % MATURES 12/01/2015 CONVERTIBLE / CUSIP. 87264MAH2 / Symbol: (cont'd)							
	2,000.000	*5,690 14*	*10/17/13*	*5,275 96*	*0 00*	*414 18*	*Sale* *Note: 25* *Original basis. $5,275.96*
03/04/14	3,000.000	8,535.21	VARIOUS	7,506.00	0.00	1,029 21	Total of 2 lots
TEREX CORP DELA NEW RATE 4 00 % MATURES 06/01/2015 / CUSIP: 880779AV5 / Symbol:							
2 tax lots for 05/30/14 Total proceeds (and cost when required) reported to the IRS							
	2,000 000	*4,781.28*	*11/08/13*	*4,379 30*	*0.00*	*401 98*	*Sale* *Note. 25* *Original basis $4,379.30*
	1,000 000	*2,390.64*	*02/03/14*	*2.478 74*	*0 00*	*-88 10*	*Sale* *Note. 25* *Original basis $2,478 74*
05/30/14	3,000.000	7,171.92	VARIOUS	6,858.04	0.00	313.88	Total of 2 lots
UNITED STATES STEEL CORP NEW NOTE RATE 2 75 % MATURES 04/01/2019 / CUSIP: 912909AH1 / Symbol							
2 tax lots for 08/18/14 Total proceeds (and cost when required) reported to the IRS							
	2,000 000	*3,188.64*	*11/06/13*	*2,531 70*	*0.00*	*656 94*	*Sale* *Note 25* *Original basis. $2,531 70*
	1,000.000	*1,594 32*	*12/06/13*	*1,245 63*	*0.00*	*348 69*	*Sale* *Note 25* *Original basis. $1,245 63*
08/18/14	3,000.000	4,782.96	VARIOUS	3,777 33	0 00	1,005.63	Total of 2 lots
WEB COM GROUP INC NOTE RATE 1 00 % MATURES 08/15/2018 / CUSIP: 94733AAA2 / Symbol:							
2 tax lots for 07/29/14. Total proceeds (and cost when required) reported to the IRS							
	1,000 000	*1,039 51*	*09/19/13*	*1,122 07*	*0 00*	*-82.56*	*Sale* *Note 25* *Original basis $1,122 07*
	2.000 000	*2,079 02*	*10/09/13*	*2,191 84*	*0.00*	*-112.82*	*Sale* *Note 25* *Original basis $2,191 84*
07/29/14	3,000 000	3,118 53	VARIOUS	3,313.91	0 00	-195.38	Total of 2 lots

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UBS FINANCIAL SERVICES INC Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

SHORT-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Totals:	127,137.99		120,456.29	0.00	6,681.70	

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ENERGY XXI BERMUDA LTD PFD CV 5 625% RATE· 5.625% QUARTERLY / CUSIP: 29274U309 / Symbol: EXIXF							
04/01/14	6 000	1,614 26	02/05/13	2,025.36	0.00	-411.10	Sale
METLIFE INC 5 000% DUE 10/08/14 / CUSIP 59156R116 / Symbol:							
01/27/14	5 000	146 04	12/03/12	111.70	0.00	34 34	Sale
	5 tax lots for 10/07/14. Total proceeds (and cost when required) reported to the IRS						
	33 000	*829.64*	*12/03/12*	*737.01*	*0 00*	*92 63*	*Sale*
	87 000	*2,187 23*	*12/05/12*	*1,949.11*	*0.00*	*238 12*	*Sale*
	24 000	*603.38*	*06/21/13*	*642 32*	*0 00*	*-38 94*	*Sale*
	33 000	*829.64*	*09/18/13*	*1,262 72*	*0 00*	*-433 08*	*Sale*
	53 000	*1,332.45*	*09/18/13*	*2,024 28*	*0 00*	*-691 83*	*Sale*
10/07/14	230 000	5,782.34	VARIOUS	6,615 44	0.00	-833.10	Total of 5 lots
	Security total·	5,928.38		6,727.14	0.00	-798.76	
STANLEY BLACK & DECKER INC CORP UNIT RATE· 4 750% QUARTERLY / CUSIP· 854502309 / Symbol· SWU							
	2 tax lots for 06/16/14 Total proceeds (and cost when required) reported to the IRS						
	8 000	*1,059.98*	*12/03/12*	*957.20*	*0.00*	*102 78*	*Sale*
	15 000	*1,987.45*	*12/05/12*	*1,806.90*	*0 00*	*180 55*	*Sale*
06/16/14	23 000	3,047.43	VARIOUS	2,764.10	0.00	283.33	Total of 2 lots
12/09/14	2 000	268.57	12/05/12	240.92	0 00	27.65	Sale
	Security total·	3,316.00		3,005.02	0 00	310.98	
UNITED TECHNOLOGIES CORP CORP UNIT RATE: 7.500% QUARTERLY / CUSIP: 913017117 / Symbol· UTXPRA							
	3 tax lots for 07/25/14 Total proceeds (and cost when required) reported to the IRS						
	5.000	*305 99*	*12/03/12*	*274.20*	*0 00*	*31 79*	*Sale*
	14 000	*856.78*	*12/05/12*	*773.22*	*0 00*	*83 56*	*Sale*

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
5- *COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNITED TECHNOLOGIES CORP CORP UNIT RATE: 7.500% QUARTERLY / CUSIP 913017117 / Symbol UTXPRA (cont'd)							
	3 000	*183.60*	*01/22/13*	*172 50*	*0 00*	*11.10*	*Sale*
07/25/14	22 000	1,346.37	VARIOUS	1,219.92	0 00	126.45	Total of 3 lots
12/09/14	14 000	864.30	01/22/13	805.00	0.00	59 30	Sale
Security total.		2,210.67		2,024.92	0 00	185 75	
WELLS FARGO & CO NEW PERP PFD CNV A RATE· 7 500% QUARTERLY / CUSIP: 949746804 / Symbol. WFCPRL							
02/10/14	1 000	1,155.66	12/03/12	1,238.00	0 00	-82 34	Sale
12/09/14	1.000	1,206.07	12/05/12	1,239 49	0 00	-33.42	Sale
Security total		2,361 73		2,477.49	0.00	-115 76	
Totals:		**15,431.04**		**16,259.93**	**0.00**	**-828.89**	

LONG-TERM TRANSACTIONS
5- *NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMG CAP TR II 028G54 DUE 10/15/37 / CUSIP: 00170F209 / Symbol·							
11/03/14	20.000	1,217.37	07/08/13	1,173.78	0.00	43.59	Sale Note· 25 Original basis: $1,113 20 Ordinary gain/loss
12/11/14	12.000	740 98	07/08/13	707.53	0.00	33 45	Sale Note: 25 Original basis. $667 92 Ordinary gain/loss
Security total		1,958.35		1,881.31	0.00	77 04	
ALLEGHENY TECHNOLOGY INC NOTE RATE 4.25 % MATURES 06/01/2014 / CUSIP: 01741RAD4 / Symbol.							
01/30/14	5,000.000	5,081.25	12/06/12	5,415.63	0.00	-334 38	Sale Note· 25 Original basis $5,415 63

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLIANCE DATA SYST NOTE RATE 4 75 % MATURES 05/15/2014 / CUSIP· 018581AC2 / Symbol:							
02/03/14	2,000 000	9,891 84	12/06/12	6,040 00	0.00	3,851 84	Sale Note· 25 Original basis: $6,040.00
ARES CAP CORP NOTE RATE 5.75 % MATURES 02/01/2016 / CUSIP: 04010LAB9 / Symbol:							
05/14/14	3,000 000	3,236.68	12/13/12	3,124 26	0.00	112 42	Sale Note 25 Original basis. $3,223.13
BIOMAR PHARMACEUTICAL INC RATE 1.50 % MATURES 10/15/2020 / CUSIP· 09061GAF8 / Symbol.							
	2 tax lots for 12/23/14 Total proceeds (and cost when required) reported to the IRS.						
	1,000 000	*1,199 12*	*11/12/13*	*1.008 26*	*0.00*	*190 86*	*Sale* *Note 25* *Original basis $1,009 79*
	2,000 000	*2,398 24*	*11/18/13*	*2,129 69*	*0 00*	*268.55*	*Sale* *Note 25* *Original basis $2,154 12*
12/23/14	3,000 000	3,597 36	VARIOUS	3,137 95	0 00	459.41	Total of 2 lots
CENTERPOINT ENERGY INC NT EX SUB 2029 RATE· ADJUSTABLE QUARTERLY / CUSIP· 15189T206 / Symbol.							
12/11/14	11 000	712 25	12/11/12	837.86	0.00	-125 61	Sale Note· 25 Original basis· $456 50 Ordinary gain/loss
CHESAPEAKE ENERGY CORP RATE 2.50 % MATURES 05/15/2037 / CUSIP: 165167BZ9 / Symbol.							
	3 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS.						
	1,000 000	*1,006 25*	*12/04/12*	*964 66*	*0.00*	*41 59*	*Sale* *Note. 25* *Original basis· $892 50* *Ordinary gain/loss*
	4,000 000	*4,025.00*	*12/18/12*	*3,909 14*	*0.00*	*115 86*	*Sale* *Note 25* *Original basis $3,630 00* *Ordinary gain/loss*

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC Account BL-20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHESAPEAKE ENERGY CORP RATE 2.50 % MATURES 05/15/2037 / CUSIP: 165167BZ9 / Symbol· (cont'd)							
	2,000.000	*2,012.50*	*01/16/13*	*1,964 02*	0 00	*48 48*	*Sale* *Note. 25* *Original basis $1,835 00* *Ordinary gain/loss*
01/27/14	7,000.000	7,043.75	VARIOUS	6,837 82	0 00	205 93	Total of 3 lots
CIENA CORP NOTE RATE 0 875 % MATURES 06/15/2017 CONVERTIBLE / CUSIP· 171779AE1 / Symbol:							
	2 tax lots for 09/29/14 Total proceeds (and cost when required) reported to the IRS.						
	1.000.000	*982.50*	*12/06/12*	*869 38*	*0.00*	*113 12*	*Sale* *Note: 25* *Original basis $869.38*
	2,000 000	*1,965.00*	*05/01/13*	*1.845 00*	*0 00*	*120 00*	*Sale* *Note. 25* *Original basis $1,845 00*
09/29/14	3,000 000	2,947 50	VARIOUS	2,714.38	0.00	233.12	Total of 2 lots
COBALT INTL ENERGY INC NOTE RATE 2 625 % MATURES 12/01/2019 / CUSIP: 19075FAA4 / Symbol.							
	2 tax lots for 03/18/14 Total proceeds (and cost when required) reported to the IRS.						
	1,000 000	*945 66*	*01/14/13*	*1,053 00*	*0 00*	*-107.34*	*Sale* *Note. 25* *Original basis $1,063 36*
	2,000 000	*1,891.32*	*01/30/13*	*2,048 33*	*0.00*	*-157.01*	*Sale* *Note· 25* *Original basis. $2,057 25*
03/18/14	3,000.000	2,836.98	VARIOUS	3,101.33	0.00	-264.35	Total of 2 lots
CONTINENTAL AIRLINES INC NOTE RATE 4.50 % MATURES 01/15/2015 / CUSIP: 210795PU8 / Symbol:							
12/09/14	2,000.000	6,543 63	12/13/12	2,845.00	0 00	3,698.63	Sale Note· 25 Original basis $2,845 00
CORSICANTO LTD NOTE RATE 3.50 % MATURES 01/15/2032 / CUSIP 220480AC1 / Symbol:							
02/04/14	2,000.000	1,335.41	02/01/13	2,633.62	0 00	-1,298.21	Sale Note· 25 Original basis $2,664 34

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), If any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CUBIST PHARMACEUTICALS INC NOTE RATE 2.50 % MATURES 11/01/2017 / CUSIP. 229678AD9 / Symbol							
12/09/14	2,000.000	6,646 29	01/08/13	3,264.36	0.00	3,381 93	Sale Note: 25 Original basis $3,264.36
EMC CORP MASS RATE 1.75 % MATURES 12/01/2013 CONVERTIBLE / CUSIP. 268648AM4 / Symbol:							
01/07/14	3,000.000	3,000.00	12/11/12	4,803 75	0.00	-1,803 75	Tender Note: 25 Original basis. $4,803 75
GILEAD SCIENCES INC NOTE RATE 1.00 % MATURES 05/01/2014 / CUSIP. 375558AN3 / Symbol							
02/07/14	2,000.000	6,865.23	12/11/12	3,413 75	0.00	3,451.48	Sale Note: 25 Original basis: $3,413 75
05/01/14	3,000.000	9,484.57	12/11/12	5,120.63	0.00	4,363.94	Tender Note: 25 Original basis. $5,120 63
Security total		16,349.80		8,534 38	0.00	7,815.42	
HEALTH CARE REIT INC NOTE RATE 3.00 % MATURES 12/01/2029 / CUSIP: 42217KAR7 / Symbol							
2 tax lots for 01/30/14 Total proceeds (and cost when required) reported to the IRS							
	1,000 000	*1,156 25*	*12/04/12*	*1,196 82*	*0.00*	*-40 57*	*Sale* *Note: 25* *Original basis $1,209 38*
	1,000.000	*1,156 25*	*12/18/12*	*1,186.68*	*0.00*	*-30 43*	*Sale* *Note 25* *Original basis $1,198 13*
01/30/14	2,000.000	2,312.50	VARIOUS	2,383.50	0.00	-71.00	Total of 2 lots
INTEL CORP RATE 3.25 % MATURES 08/01/2039 CONVERTIBLE / CUSIP. 458140AF7 / Symbol.							
01/07/14	1,000 000	1,350.22	12/06/12	1,224.84	0.00	125 38	Sale Note: 25 Original basis $1,170 00 Ordinary gain/loss
12/11/14	1,000 000	1,780.00	12/06/12	1,275.80	0 00	504 20	Sale Note 25 Original basis $1,170 00 Ordinary gain/loss
Security total		3,130 22		2,500.64	0 00	629.58	

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* **(continued)** OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information	
INTL GAME TECHNOLOGY RATE 3.25 % MATURES 05/01/2014 / CUSIP: 459902AQ5 / Symbol:								
05/01/14	7,000.000	7,000.00	12/06/12	7,319.38	0.00	-319.38	Bond call Note 25 Original basis	 $7,319.38
KB HOME RATE 1.375 % MATURES 02/01/2019 CONVERTIBLE CALLABLE / CUSIP: 48666KAS8 / Symbol:								
	2 tax lots for 05/12/14 Total proceeds (and cost when required) reported to the IRS							
	1,000.000	*972.50*	*03/06/13*	*1,037.98*	*0.00*	*-65.48*	*Sale* *Note: 25* *Original basis*	 *$1,047.31*
	1,000.000	*972.50*	*03/21/13*	*1,103.58*	*0 00*	*-131 08*	*Sale* *Note: 25* *Original basis*	 *$1,103 58*
05/12/14	2,000.000	1,945.00	VARIOUS	2,141.56	0.00	-196.56	Total of 2 lots	
MGIC INVMT CORP NOTE RATE 2 00 % MATURES 04/01/2020 / CUSIP: 552848AE3 / Symbol:								
	2 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS.							
	2,000.000	*2,773.40*	*03/12/13*	*2,030 77*	*0.00*	*742 63*	*Sale* *Note 25* *Original basis*	 *$2,035 75*
	1,000.000	*1,386.70*	*03/19/13*	*1,010.10*	*0.00*	*376 60*	*Sale* *Note 25* *Original basis*	 *$1,011 70*
03/25/14	3,000 000	4,160 10	VARIOUS	3,040.87	0.00	1,119 23	Total of 2 lots	
MEDIVATION INC NOTE RATE 2.625 % MATURES 04/01/2017 / CUSIP: 58501NAA9 / Symbol:								
01/29/14	1,000 000	1,743.17	12/18/12	1,339.38	0.00	403 79	Sale Note: 25 Original basis	 $1,339 38
NEWMONT MINING CORP NOTE RATE 1.625 % MATURES 07/15/2017 / CUSIP: 651639AJ5 / Symbol:								
01/24/14	3,000.000	3,198.75	12/13/12	3,804.38	0 00	-605 63	Sale Note 25 Original basis	 $3,804 38

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UBS FINANCIAL SERVICES INC Account BL 20102

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NOVELLUS SYSTEMS INC RATE 2.625 % MATURES 05/15/2041 / CUSIP: 670008AD3 / Symbol:							
	2 tax lots for 09/17/14	*Total proceeds (and cost when required) reported to the IRS*					
	2,000 000	*4,420 83*	*02/08/13*	*3,056.02*	*0.00*	*1,364 81*	*Sale* *Note 25* *Original basis: $2,857 03* *Ordinary gain/loss*
	1,000 000	*2,210 41*	*05/01/13*	*1,566 11*	*0 00*	*644 30*	*Sale* *Note 25* *Original basis $1.480 63* *Ordinary gain/loss*
09/17/14	3,000 000	6,631 24	VARIOUS	4,622.13	0 00	2,009 11	Total of 2 lots
	2 tax lots for 10/20/14	*Total proceeds (and cost when required) reported to the IRS*					
	1.000.000	*2,060.55*	*05/01/13*	*1,571.70*	*0 00*	*488.85*	*Sale* *Note 25* *Original basis $1,480 63* *Ordinary gain/loss*
	1.000 000	*2.060.55*	*09/25/13*	*1,679 83*	*0.00*	*380 72*	*Sale* *Note 25* *Original basis $1.614 11* *Ordinary gain/loss*
10/20/14	2,000.000	4,121.10	VARIOUS	3,251 53	0.00	869 57	Total of 2 lots
	Security total.	10,752.34		7,873 66	0 00	2,878 68	
ON SEMICONDUCTOR CORP RATE 2.625 % MATURES 12/15/2026 / CUSIP: 682189AH8 / Symbol:							
07/23/14	1,000.000	1,171.52	03/26/13	1,124.02	0.00	47.50	Sale Note. 25 Original basis $1,135.96
PRICELINE COM INC RATE 1 00 % MATURES 03/15/2018 CONVERTIBLE / CUSIP: 741503AQ9 / Symbol:							
12/11/14	1,000 000	1,298 75	04/04/13	1,113 12	0.00	185 63	Sale Note: 25 Original basis: $1,113 12
SALESFORCE COM INC NOTE RATE 0 75 % MATURES 01/15/2015 / CUSIP: 79466LAB0 / Symbol							
	2 tax lots for 09/16/14	*Total proceeds (and cost when required) reported to the IRS*					
	1.000.000	*2,687.91*	*06/17/13*	*1,810.47*	*0 00*	*877 44*	*Sale* *Note. 25* *Original basis $1.810.47*

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UBS FINANCIAL SERVICES INC. Account BL 20102

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
SALESFORCE COM INC NOTE RATE 0 75 % MATURES 01/15/2015 / CUSIP: 79466LAB0 / Symbol: (cont'd)							
	1,000 000	*2,687 91*	*07/09/13*	*1,836 72*	*0 00*	*851 19*	*Sale* *Note 25* *Original basis· $1,836 72*
09/16/14	2,000.000	5,375.82	VARIOUS	3,647.19	0 00	1,728 63	Total of 2 lots
SUNPWR CORP RATE 4 50 % MATURES 03/15/2015 CONVERTIBLE / CUSIP: 867652AE9 / Symbol:							
04/17/14	3,000.000	3,961.45	12/11/12	2,793.75	0.00	1,167 70	Sale Note· 25 Original basis. $2,793 75
XILINX INC RATE 2.625 % MATURES 06/15/2017 CONVERTIBLE MAY BE CALLABLE / CUSIP: 983919AF8 / Symbol:							
02/10/14	1,000.000	1,625.27	12/13/12	1,349.38	0.00	275.89	Sale Note: 25 Original basis: $1,349 38
	3 tax lots for 06/06/14. Total proceeds (and cost when required) reported to the IRS						
	2,000 000	*3,237.16*	*12/13/12*	*2,698.75*	*0.00*	*538 41*	*Sale* *Note 25* *Original basis $2,698 75*
	2,000 000	*3,237.16*	*03/18/13*	*2,842.50*	*0 00*	*394.66*	*Sale* *Note 25* *Original basis: $2,842 50*
	2,000 000	*3,237.16*	*06/05/13*	*2.962 73*	*0.00*	*274 43*	*Sale* *Note. 25* *Original basis. $2,962 73*
06/06/14	6,000 000	9,711.48	VARIOUS	8,503.98	0.00	1,207.50	Total of 3 lots
	Security total.	11,336.75		9,853 36	0 00	1,483.39	
	Totals:	**128,567.46**		**104,106.46**	**0.00**	**24,461.00**	

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UNDETERMINED-TERM TRANSACTIONS *5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949 Use either Part I with Box B checked or Part II with Box E checked.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FORD MOTOR CO COM NEW / CUSIP: 345370860 / Symbol: F							
11/19/14	0.057	0.88	04/03/14	0.94	0.00	0 00	Sale
11/24/14	350.000	5,473.64	04/03/14	5,721 68	0 00	0.00	Sale
	Security total.	5,474.52		5,722.62	0.00	0.00	
	Totals:	5,474.52		5,722.62	0.00	248.10	

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
BOSTON PROPERTIES INC / CUSIP: 101121101 / Symbol: BXP							
01/08/14	4.000	411.98	04/09/13	430.43	0.00	-18.45	Sale
BROOKDALE SENIOR LIVING INC / CUSIP: 112463104 / Symbol: BKD							
	2 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*59.59*	*09/17/13*	*52.30*	*0.00*	*7.29*	*Sale*
	1.000	*29.80*	*09/18/13*	*26.94*	*0.00*	*2.86*	*Sale*
02/14/14	3.000	89.39	VARIOUS	79.24	0.00	10.15	Total of 2 lots
	2 tax lots for 02/18/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*29.91*	*09/18/13*	*26.93*	*0.00*	*2.98*	*Sale*
	3.000	*89.72*	*09/18/13*	*80.78*	*0.00*	*8.94*	*Sale*
02/18/14	4.000	119.63	VARIOUS	107.71	0.00	11.92	Total of 2 lots
02/19/14	7.000	209.46	09/18/13	188.48	0.00	20.98	Sale
	2 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*60.00*	*09/18/13*	*53.71*	*0.00*	*6.29*	*Sale*
	3.000	*90.00*	*09/18/13*	*80.78*	*0.00*	*9.22*	*Sale*
02/20/14	5.000	150.00	VARIOUS	134.49	0.00	15.51	Total of 2 lots
	2 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS.						
	3.000	*99.80*	*09/18/13*	*79.14*	*0.00*	*20.66*	*Sale*
	3.000	*99.79*	*09/19/13*	*81.14*	*0.00*	*18.65*	*Sale*
02/27/14	6.000	199.59	VARIOUS	160.28	0.00	39.31	Total of 2 lots
	Security total:	768.07		670.20	0.00	97.87	
CROWN CASTLE INTL CORP **MERGER EFF 12/2014** / CUSIP: 228227104 / Symbol:							
	3 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*157.82*	*01/07/14*	*144.88*	*0.00*	*12.94*	*Sale*
	3.000	*236.73*	*01/07/14*	*215.70*	*0.00*	*21.03*	*Sale*
	8.000	*631.26*	*01/07/14*	*581.68*	*0.00*	*49.58*	*Sale*
12/09/14	13.000	1,025.81	VARIOUS	942.26	0.00	83.55	Total of 3 lots
HILTON WORLDWIDE HOLDINGS INC / CUSIP: 43300A104 / Symbol: HLT							
12/09/14	19.000	495.70	06/26/14	434.07	0.00	61.63	Sale

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions
(continued)

Account BL 20104

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LIBERTY PROPERTY TRUST COMMON STOCK / CUSIP: 531172104 / Symbol: LPT							
06/10/14	4.000	154.92	12/04/13	131.66	0.00	23.26	Sale
	6 tax lots for 06/11/14. Total proceeds (and cost when required) reported to the IRS.						
	4.000	*153.26*	*12/04/13*	*131.65*	*0.00*	*21.61*	*Sale*
	5.000	*191.57*	*12/04/13*	*164.74*	*0.00*	*26.83*	*Sale*
	2.000	*76.63*	*12/05/13*	*65.24*	*0.00*	*11 39*	*Sale*
	2.000	*76.63*	*12/05/13*	*65.39*	*0.00*	*11.24*	*Sale*
	4.000	*153.25*	*12/05/13*	*131.64*	*0.00*	*21.61*	*Sale*
	2.000	*77.43*	*12/06/13*	*66.68*	*0.00*	*10.75*	*Sale*
06/11/14	19.000	728.77	VARIOUS	625.34	0.00	103.43	Total of 6 lots
	2 tax lots for 06/12/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*38.03*	*12/06/13*	*33.32*	*0.00*	*4.71*	*Sale*
	3.000	*114.11*	*12/06/13*	*100.01*	*0.00*	*14.10*	*Sale*
06/12/14	4.000	152.14	VARIOUS	133.33	0.00	18.81	Total of 2 lots
	2 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS.						
	3.000	*113.87*	*12/06/13*	*99.94*	*0.00*	*13.93*	*Sale*
	2.000	*75.92*	*12/10/13*	*67 14*	*0.00*	*8.78*	*Sale*
06/13/14	5.000	189.79	VARIOUS	167.08	0.00	22.71	Total of 2 lots
	3 tax lots for 06/16/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*37.74*	*12/10/13*	*33.57*	*0.00*	*4.17*	*Sale*
	2.000	*75.43*	*12/10/13*	*67.23*	*0.00*	*8.20*	*Sale*
	2.000	*75.49*	*12/10/13*	*67.22*	*0.00*	*8.27*	*Sale*
06/16/14	5.000	188.66	VARIOUS	168.02	0.00	20.64	Total of 3 lots
	3 tax lots for 06/17/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*37.66*	*12/10/13*	*33.61*	*0.00*	*4.05*	*Sale*
	1.000	*37.65*	*12/11/13*	*33.05*	*0.00*	*4.60*	*Sale*
	3.000	*112.89*	*12/11/13*	*99.16*	*0.00*	*13.73*	*Sale*
06/17/14	5.000	188.20	VARIOUS	165.82	0.00	22.38	Total of 3 lots
06/18/14	2 000	75.24	12/11/13	66.10	0.00	9.14	Sale
06/23/14	2.000	76.12	12/11/13	66.11	0.00	10.01	Sale
	2 tax lots for 06/24/14 Total proceeds (and cost when required) reported to the IRS						
	2.000	*76.35*	*12/11/13*	*66.10*	*0.00*	*10 25*	*Sale*

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
LIBERTY PROPERTY TRUST COMMON STOCK / CUSIP: 531172104 / Symbol: LPT (cont'd)							
	1 000	*38.18*	*12/12/13*	*32.70*	*0.00*	*5 48*	*Sale*
06/24/14	3.000	114.53	VARIOUS	98.80	0.00	15.73	Total of 2 lots
06/25/14	4.000	152.33	12/12/13	130.80	0.00	21.53	Sale
	2 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*37 80*	*12/13/13*	*32.99*	*0 00*	*4.81*	*Sale*
	3.000	*113.39*	*12/13/13*	*98.72*	*0.00*	*14 67*	*Sale*
06/26/14	4.000	151.19	VARIOUS	131.71	0.00	19.48	Total of 2 lots
06/27/14	5.000	189.60	12/16/13	163 99	0.00	25.61	Sale
06/30/14	4.000	151.37	12/16/13	131.20	0.00	20 17	Sale
	3 tax lots for 07/01/14. Total proceeds (and cost when required) reported to the IRS.						
	2 000	*76 56*	*01/24/14*	*70.72*	*0 00*	*5 84*	*Sale*
	3 000	*114 85*	*01/24/14*	*106.27*	*0.00*	*8.58*	*Sale*
	1.000	*38.28*	*01/27/14*	*35.47*	*0.00*	*2.81*	*Sale*
07/01/14	6.000	229.69	VARIOUS	212.46	0.00	17.23	Total of 3 lots
	2 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*37.97*	*01/27/14*	*35.46*	*0 00*	*2 51*	*Sale*
	3 000	*113.91*	*01/27/14*	*106.17*	*0.00*	*7.74*	*Sale*
07/02/14	4.000	151.88	VARIOUS	141.63	0.00	10.25	Total of 2 lots
07/03/14	4.000	150.13	01/27/14	141.55	0.00	8 58	Sale
	2 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS						
	1.000	*37.51*	*01/27/14*	*35.39*	*0.00*	*2.12*	*Sale*
	4 000	*150.06*	*01/28/14*	*143.53*	*0.00*	*6.53*	*Sale*
07/08/14	5.000	187.57	VARIOUS	178.92	0.00	8.65	Total of 2 lots
	Security total.	3,232.13		2,854.52	0.00	377.61	
PARAMOUNT GROUP INC REIT SBI / CUSIP: 69924R108 / Symbol: PGRE							
12/09/14	12.000	218.99	11/19/14	220.10	0 00	-1.11	Sale
REALTY INCOME CORP MARYLAND SBI / CUSIP: 756109104 / Symbol: O							
	3 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS						
	2.000	*91.10*	*01/24/14*	*77.89*	*0 00*	*13.21*	*Sale*
	3.000	*136 66*	*01/24/14*	*117.12*	*0 00*	*19.54*	*Sale*

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No 1545-0715

2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
REALTY INCOME CORP MARYLAND SBI / CUSIP: 756109104 / Symbol: O (cont'd)							
	7.000	*318.87*	*01/27/14*	*274.07*	*0.00*	*44.80*	*Sale*
12/09/14	12.000	546.63	VARIOUS	469.08	0.00	77.55	Total of 3 lots
SENIOR HOUSING PROPERTIES TRUST SBI / CUSIP: 81721M109 / Symbol: SNH							
	2 tax lots for 01/07/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*22.24*	*02/22/13*	*24.50*	*0.00*	*-2.26*	*Sale*
	3 000	*66.77*	*02/22/13*	*73.50*	*0 00*	*-6.73*	*Sale*
01/07/14	4.000	89.01	VARIOUS	98.00	0.00	-8.99	Total of 2 lots
	2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS						
	12 000	*264.25*	*02/22/13*	*294.01*	*0.00*	*-29 76*	*Sale*
	1.000	*22.02*	*02/25/13*	*24 47*	*0.00*	*-2 45*	*Sale*
01/08/14	13.000	286.27	VARIOUS	318.48	0.00	-32 21	Total of 2 lots
01/24/14	4.000	90.28	02/25/13	97.16	0.00	-6 88	Sale
01/27/14	6.000	134.04	02/25/13	146.76	0.00	-12.72	Sale
	3 tax lots for 01/28/14 Total proceeds (and cost when required) reported to the IRS.						
	3 000	*67.25*	*02/25/13*	*73.38*	*0.00*	*-6.13*	*Sale*
	4 000	*89.67*	*03/15/13*	*100.36*	*0 00*	*-10.69*	*Sale*
	2 000	*44.84*	*03/18/13*	*50.34*	*0.00*	*-5 50*	*Sale*
01/28/14	9.000	201.76	VARIOUS	224.08	0.00	-22.32	Total of 3 lots
01/29/14	5.000	111.28	03/18/13	125.85	0.00	-14.57	Sale
	2 tax lots for 01/30/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*22.47*	*03/18/13*	*25.17*	*0.00*	*-2.70*	*Sale*
	6.000	*135.39*	*03/18/13*	*151.01*	*0.00*	*-15.62*	*Sale*
01/30/14	7.000	157.86	VARIOUS	176.18	0.00	-18.32	Total of 2 lots
01/31/14	4.000	90.15	03/18/13	100 68	0.00	-10.53	Sale
02/03/14	6.000	132.13	03/18/13	151.02	0.00	-18.89	Sale
	2 tax lots for 02/04/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*21.99*	*03/18/13*	*25.17*	*0.00*	*-3 18*	*Sale*
	4.000	*87.96*	*03/26/13*	*103 40*	*0.00*	*-15.44*	*Sale*
02/04/14	5.000	109.95	VARIOUS	128.57	0.00	-18.62	Total of 2 lots
02/05/14	3.000	65.56	03/27/13	77.81	0.00	-12.25	Sale

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2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SENIOR HOUSING PROPERTIES TRUST SBI / CUSIP: 81721M109 / Symbol: SNH (cont'd)							
2 tax lots for 02/06/14. Total proceeds (and cost when required) reported to the IRS.							
	1 000	*21.74*	*03/27/13*	*25 93*	*0.00*	*-4.19*	*Sale*
	4.000	*86.95*	*03/28/13*	*104.99*	*0.00*	*-18.04*	*Sale*
02/06/14	5.000	108.69	VARIOUS	130.92	0 00	-22.23	Total of 2 lots
2 tax lots for 02/07/14. Total proceeds (and cost when required) reported to the IRS.							
	2.000	*43.68*	*03/28/13*	*52 49*	*0.00*	*-8.81*	*Sale*
	3 000	*65.52*	*04/01/13*	*79.42*	*0.00*	*-13 90*	*Sale*
02/07/14	5.000	109.20	VARIOUS	131.91	0.00	-22.71	Total of 2 lots
Security total:		1,686.18		1,907.42	0.00	-221.24	
VENTAS INC / CUSIP: 92276F100 / Symbol: VTR							
01/08/14	3.000	174.01	02/20/13	208.91	0.00	-34.90	Sale
01/09/14	1.000	58.14	02/20/13	69.64	0.00	-11.50	Sale
01/10/14	2.000	120.66	02/20/13	139.27	0.00	-18.61	Sale
01/13/14	1.000	59.91	02/20/13	69.64	0.00	-9.73	Sale
01/14/14	4.000	239.83	02/20/13	278.54	0.00	-38.71	Sale
01/15/14	1.000	60.26	02/20/13	69.64	0.00	-9.38	Sale
01/16/14	2.000	121.84	02/20/13	139.27	0.00	-17.43	Sale
2 tax lots for 01/17/14. Total proceeds (and cost when required) reported to the IRS							
	1.000	*60.99*	*02/20/13*	*69.64*	*0.00*	*-8.65*	*Sale*
	1 000	*60.99*	*03/15/13*	*70.44*	*0.00*	*-9.45*	*Sale*
01/17/14	2 000	121.98	VARIOUS	140.08	0.00	-18.10	Total of 2 lots
2 tax lots for 01/21/14 Total proceeds (and cost when required) reported to the IRS.							
	1 000	*61.59*	*03/15/13*	*70.44*	*0 00*	*-8 85*	*Sale*
	3.000	*184.76*	*03/18/13*	*210.07*	*0.00*	*-25.31*	*Sale*
01/21/14	4.000	246.35	VARIOUS	280.51	0.00	-34.16	Total of 2 lots
01/23/14	1.000	61.46	04/09/13	75.49	0.00	-14.03	Sale
01/24/14	6.000	369.12	04/09/13	452.95	0.00	-83.83	Sale
2 tax lots for 01/27/14 Total proceeds (and cost when required) reported to the IRS.							
	3.000	*184 88*	*04/09/13*	*226.47*	*0.00*	*-41 59*	*Sale*
	3.000	*184.88*	*04/10/13*	*228.17*	*0.00*	*-43.29*	*Sale*
01/27/14	6.000	369.76	VARIOUS	454.64	0.00	-84.88	Total of 2 lots

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS
*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
VENTAS INC / CUSIP: 92276F100 / Symbol: VTR (cont'd)							
01/28/14	2.000	123.93	04/10/13	152.12	0.00	-28.19	Sale
12/09/14	5.000	359.84	09/23/14	304.87	0.00	54.97	Sale
	Security total:	2,487.09		2,835.57	0.00	-348.48	
	Totals:	10,872.58		10,763.65	0.00	108.93	

2- LONG-TERM TRANSACTIONS
*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
ACADIA REALTY TRUST SBI / CUSIP: 004239109 / Symbol: AKR							
01/07/14	3.000	75.68	12/04/12	74.70	0.00	0.98	Sale
	2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*25.04*	*12/04/12*	*24.90*	*0.00*	*0.14*	*Sale*
	5.000	*124.99*	*12/04/12*	*124.50*	*0.00*	*0.49*	*Sale*
01/08/14	6.000	150.03	VARIOUS	149.40	0.00	0.63	Total of 2 lots
01/09/14	2.000	50.08	12/04/12	49.80	0.00	0.28	Sale
01/10/14	3.000	75.68	12/04/12	74.70	0.00	0.98	Sale
01/13/14	2.000	49.73	12/04/12	49.80	0.00	-0.07	Sale
01/14/14	4.000	100.27	12/04/12	99.60	0.00	0.67	Sale
01/15/14	1.000	25.20	12/04/12	24.90	0.00	0.30	Sale
01/16/14	4.000	100.39	12/04/12	99.60	0.00	0.79	Sale
	2 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS.						
	3.000	*75.09*	*12/04/12*	*74.69*	*0.00*	*0.40*	*Sale*
	20.000	*500.56*	*12/04/12*	*498.00*	*0.00*	*2.56*	*Sale*
01/21/14	23.000	575.65	VARIOUS	572.69	0.00	2.96	Total of 2 lots
01/24/14	17.000	423.73	12/04/12	423.30	0.00	0.43	Sale
	Security total:	1,626.44		1,618.49	0.00	7.95	

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMERICAN TOWER CORP REIT SBI / CUSIP: 03027X100 / Symbol: AMT							
	2 tax lots for 01/24/14 Total proceeds (and cost when required) reported to the IRS.						
	2.000	*158.74*	*12/03/12*	*149.44*	*0.00*	*9.30*	*Sale*
	8.000	*636.23*	*12/03/12*	*597.76*	*0.00*	*38.47*	*Sale*
01/24/14	10.000	794.97	VARIOUS	747.20	0.00	47.77	Total of 2 lots
05/16/14	8.000	707.07	12/03/12	597.76	0.00	109.31	Sale
10/30/14	7.000	692.34	12/03/12	523.04	0.00	169.30	Sale
12/09/14	17.000	1,707.10	12/03/12	1,270.24	0.00	436.86	Sale
Security total.		3,901.48		3,138.24	0.00	763 24	
AVALONBAY COMMUNITIES INC SBI / CUSIP: 053484101 / Symbol: AVB							
12/09/14	7.000	1,149.02	12/04/12	938.42	0.00	210.60	Sale
BOSTON PROPERTIES INC / CUSIP: 101121101 / Symbol: BXP							
01/07/14	4.000	414.47	12/04/12	413.32	0.00	1 15	Sale
	2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS.						
	4.000	*533 79*	*04/09/13*	*430.43*	*0.00*	*103 36*	*Sale*
	6 000	*800 68*	*04/10/13*	*657.61*	*0.00*	*143 07*	*Sale*
12/09/14	10.000	1,334.47	VARIOUS	1,088.04	0.00	246.43	Total of 2 lots
Security total.		1,748.94		1,501.36	0.00	247.58	
BRIXMOR PPTY GROUP INC REIT SBI / CUSIP: 11120U105 / Symbol: BRX							
12/09/14	14.000	339.91	10/30/13	287.08	0 00	52 83	Sale
BROOKDALE SENIOR LIVING INC / CUSIP: 112463104 / Symbol: BKD							
	3 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS.						
	2.000	*70.30*	*09/20/13*	*53.28*	*0.00*	*17.02*	*Sale*
	4.000	*140.59*	*09/20/13*	*105.42*	*0.00*	*35.17*	*Sale*
	1 000	*35.15*	*09/23/13*	*26.46*	*0.00*	*8.69*	*Sale*
12/09/14	7.000	246.04	VARIOUS	185.16	0.00	60.88	Total of 3 lots
COUSINS PROPERTIES COMMON STOCK / CUSIP: 222795106 / Symbol: CUZ							
11/18/14	5.000	61.55	07/31/13	51.73	0.00	9.82	Sale
11/25/14	10.000	122.27	07/31/13	103.46	0.00	18.81	Sale
12/02/14	15.000	174.03	07/31/13	155.18	0.00	18.85	Sale

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COUSINS PROPERTIES COMMON STOCK / CUSIP: 222795106 / Symbol: CUZ (cont'd)							
12/03/14	56.000	628.93	07/31/13	579.36	0.00	49.57	Sale
	2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS						
	44.000	*507.48*	*07/31/13*	*455 21*	*0.00*	*52.27*	*Sale*
	4.000	*46 13*	*10/24/13*	*44.80*	*0.00*	*1 33*	*Sale*
12/09/14	48.000	553.61	VARIOUS	500 01	0.00	53.60	Total of 2 lots
	Security total:	1,540.39		1,389.74	0.00	150.65	
CUBESMART SBI / CUSIP: 229663109 / Symbol: CUBE							
01/07/14	3.000	48.41	12/04/12	41.87	0.00	6.54	Sale
01/08/14	3.000	47.99	12/04/12	41.87	0.00	6.12	Sale
	2 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS.						
	4 000	*63.41*	*12/04/12*	*55.83*	*0.00*	*7.58*	*Sale*
	10.000	*158.93*	*12/04/12*	*139.57*	*0.00*	*19.36*	*Sale*
01/09/14	14.000	222.34	VARIOUS	195.40	0.00	26.94	Total of 2 lots
12/09/14	21.000	455.90	12/04/12	293.11	0.00	162.79	Sale
	Security total:	774.64		572.25	0.00	202.39	
DDR CORP REIT SBI / CUSIP· 23317H102 / Symbol: DDR							
	3 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS.						
	8.000	*138.48*	*12/03/12*	*117 50*	*0.00*	*20.98*	*Sale*
	10.000	*172.16*	*12/03/12*	*146.87*	*0.00*	*25.29*	*Sale*
	31.000	*535.17*	*12/03/12*	*455.31*	*0.00*	*79 86*	*Sale*
05/30/14	49.000	845.81	VARIOUS	719.68	0.00	126.13	Total of 3 lots
12/09/14	74.000	1,362.58	12/03/12	1,069.41	0.00	293.17	Sale
	Security total:	2,208.39		1,789.09	0.00	419.30	
EASTGROUP PROPERTIES INC (MARYLAND CORP) / CUSIP: 277276101 / Symbol: EGP							
	2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*65.50*	*07/24/13*	*63.03*	*0.00*	*2 47*	*Sale*
	2.000	*130 99*	*07/24/13*	*125.41*	*0 00*	*5.58*	*Sale*
12/09/14	3.000	196.49	VARIOUS	188.44	0.00	8.05	Total of 2 lots

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EMPIRE STATE REALTY TRUST IN REIT SBI / CUSIP: 292104106 / Symbol: ESRT							
4 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS.							
	5.000	*85.02*	*10/03/13*	*65.50*	*0.00*	*19 52*	*Sale*
	2.000	*34.00*	*10/04/13*	*26.20*	*0.00*	*7 80*	*Sale*
	8 000	*136.03*	*10/08/13*	*105.90*	*0.00*	*30.13*	*Sale*
	4.000	*68.01*	*10/09/13*	*52.98*	*0.00*	*15.03*	*Sale*
12/09/14	19.000	323.06	VARIOUS	250.58	0.00	72.48	Total of 4 lots
ESSEX PROPERTY TRUST INC COMMON STOCK / CUSIP: 297178105 / Symbol: ESS							
06/18/14	3.000	542.43	12/03/12	423.87	0.00	118.56	Sale
06/20/14	2.000	365.95	12/03/12	282.58	0.00	83.37	Sale
06/24/14	1.000	184.27	12/03/12	141.29	0.00	42.98	Sale
2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.							
	3.000	*616.69*	*12/03/12*	*423.87*	*0.00*	*192 82*	*Sale*
	1.000	*205.56*	*12/04/12*	*142.59*	*0.00*	*62.97*	*Sale*
12/09/14	4.000	822.25	VARIOUS	566.46	0.00	255.79	Total of 2 lots
Security total:		1,914.90		1,414.20	0.00	500 70	
FEDERAL RLTY INV TR BI MD / CUSIP: 313747206 / Symbol: FRT							
2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.							
	2.000	*266 47*	*05/29/13*	*219.69*	*0.00*	*46 78*	*Sale*
	4.000	*532.95*	*07/17/13*	*420 50*	*0.00*	*112.45*	*Sale*
12/09/14	6.000	799.42	VARIOUS	640.19	0.00	159.23	Total of 2 lots
GENERAL GROWTH PPTYS INC NEW COM / CUSIP: 370023103 / Symbol: GGP							
12/09/14	32.000	879.77	12/04/12	623.68	0.00	256 09	Sale
HEALTHCARE REALTY TR INC / CUSIP: 421946104 / Symbol: HR							
12/09/14	20.000	534.39	12/04/12	450.70	0.00	83.69	Sale
HEALTH CARE REIT INC SBI / CUSIP: 42217K106 / Symbol: HCN							
01/08/14	9.000	484.79	12/03/12	520.13	0.00	-35 34	Sale
01/24/14	4 000	223.93	12/03/12	231.17	0.00	-7.24	Sale

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS
Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS**

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HEALTH CARE REIT INC SBI / CUSIP: 42217K106 / Symbol: HCN (cont'd)							
	2 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS.						
	6.000	*337.33*	*12/03/12*	*346.76*	*0.00*	*-9.43*	*Sale*
	3.000	*168.66*	*12/04/12*	*174.04*	*0.00*	*-5.38*	*Sale*
01/27/14	9.000	505.99	VARIOUS	520.80	0.00	-14.81	Total of 2 lots
01/28/14	4.000	226.95	12/04/12	232.05	0.00	-5.10	Sale
06/26/14	23.000	1,448.54	12/04/12	1,324.69	0.00	123.85	Sale
09/23/14	10.000	628.62	12/04/12	573.86	0.00	54.76	Sale
09/25/14	10.000	626.90	12/04/12	573.86	0.00	53 04	Sale
09/29/14	10.000	626.57	12/04/12	573.86	0.00	52.71	Sale
09/30/14	5 000	312.70	12/04/12	286.93	0.00	25.77	Sale
10/01/14	10.000	626.80	12/04/12	573.86	0.00	52.94	Sale
10/02/14	15.000	935.63	12/04/12	860.79	0.00	74.84	Sale
10/03/14	10.000	626.10	12/04/12	573.86	0.00	52.24	Sale
	2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS.						
	4.000	*288.15*	*12/04/12*	*229.54*	*0 00*	*58 61*	*Sale*
	6.000	*432.23*	*12/17/12*	*338.86*	*0.00*	*93.37*	*Sale*
11/04/14	10.000	720.38	VARIOUS	568.40	0.00	151.98	Total of 2 lots
	2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*143 01*	*12/17/12*	*112.95*	*0.00*	*30.06*	*Sale*
	1.000	*71.51*	*12/18/12*	*56.81*	*0.00*	*14.70*	*Sale*
11/05/14	3.000	214.52	VARIOUS	169.76	0.00	44.76	Total of 2 lots
	2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	8 000	*606.87*	*12/18/12*	*452.84*	*0.00*	*154.03*	*Sale*
	4.000	*303.43*	*02/26/13*	*242.88*	*0.00*	*60.55*	*Sale*
12/09/14	12.000	910.30	VARIOUS	695.72	0.00	214.58	Total of 2 lots
	2 tax lots for 12/23/14. Total proceeds (and cost when required) reported to the IRS.						
	3.000	*230.89*	*02/27/13*	*186.14*	*0.00*	*44 75*	*Sale*
	7.000	*538.74*	*02/27/13*	*434.22*	*0 00*	*104.52*	*Sale*
12/23/14	10.000	769.63	VARIOUS	620.36	0.00	149.27	Total of 2 lots
	2 tax lots for 12/26/14. Total proceeds (and cost when required) reported to the IRS.						
	8.000	*612.99*	*02/27/13*	*496.38*	*0.00*	*116.61*	*Sale*

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HEALTH CARE REIT INC SBI / CUSIP: 42217K106 / Symbol: HCN (cont'd)							
	12.000	*919.49*	*05/29/13*	*818.95*	*0.00*	*100.54*	*Sale*
12/26/14	20.000	1,532.48	VARIOUS	1,315.33	0.00	217.15	Total of 2 lots
	Security total:	11,420.83		10,215.43	0.00	1,205.40	
HEALTHCARE TR AMER INC **REVERSE SPLIT 12/2014** CL A / CUSIP: 42225P105 / Symbol:							
	9 tax lots for 10/09/14. Total proceeds (and cost when required) reported to the IRS						
	2.000	*24.19*	*01/29/13*	*20.45*	*0.00*	*3.74*	*Sale*
	5.000	*60.46*	*01/29/13*	*51.18*	*0.00*	*9.28*	*Sale*
	5.000	*60.47*	*01/31/13*	*51.42*	*0.00*	*9.05*	*Sale*
	5.000	*60.46*	*02/01/13*	*51.83*	*0.00*	*8.63*	*Sale*
	10.000	*120.93*	*02/04/13*	*104.01*	*0.00*	*16.92*	*Sale*
	5.000	*60.47*	*02/05/13*	*52.11*	*0.00*	*8.36*	*Sale*
	9.000	*108.83*	*02/06/13*	*94.15*	*0.00*	*14.68*	*Sale*
	38.000	*459.54*	*04/18/13*	*426.79*	*0.00*	*32.75*	*Sale*
	7.000	*84.65*	*06/12/13*	*77.39*	*0.00*	*7.26*	*Sale*
10/09/14	86.000	1,040.00	VARIOUS	929.33	0.00	110.67	Total of 9 lots
	4 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	14.000	*181.07*	*06/12/13*	*154.78*	*0.00*	*26.29*	*Sale*
	6.000	*77.60*	*06/13/13*	*67.01*	*0.00*	*10.59*	*Sale*
	10.000	*129.34*	*06/14/13*	*114.34*	*0.00*	*15.00*	*Sale*
	10.000	*129.33*	*06/17/13*	*114.47*	*0.00*	*14.86*	*Sale*
12/09/14	40.000	517.34	VARIOUS	450.60	0.00	66.74	Total of 4 lots
	Security total:	1,557.34		1,379.93	0.00	177.41	
HOST HOTELS & RESORTS INC (REIT) SBI / CUSIP: 44107P104 / Symbol: HST							
01/07/14	21.000	402.68	12/03/12	305.06	0.00	97.62	Sale
01/08/14	20.000	382.83	12/03/12	290.54	0.00	92.29	Sale
06/13/14	1.000	22.15	12/03/12	14.52	0.00	7.63	Sale
06/16/14	13.000	285.72	12/03/12	188.85	0.00	96.87	Sale
06/17/14	9.000	197.80	12/03/12	130.74	0.00	67.06	Sale
06/18/14	5.000	110.64	12/03/12	72.64	0.00	38.00	Sale
	2 tax lots for 06/19/14. Total proceeds (and cost when required) reported to the IRS						
	8.000	*178.06*	*12/03/12*	*116.21*	*0.00*	*61.85*	*Sale*

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HOST HOTELS & RESORTS INC (REIT) SBI / CUSIP: 44107P104 / Symbol: HST (cont'd)							
	1.000	*22.26*	*12/04/12*	*14.67*	*0.00*	*7.59*	*Sale*
06/19/14	9.000	200.32	VARIOUS	130.88	0.00	69.44	Total of 2 lots
06/20/14	10.000	222.47	12/04/12	146.66	0.00	75.81	Sale
06/23/14	7.000	157.07	12/04/12	102.66	0.00	54.41	Sale
06/24/14	5.000	111.15	12/04/12	73.33	0.00	37.82	Sale
06/25/14	16.000	353.71	12/04/12	234.66	0.00	119.05	Sale
	3 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS.						
	11.000	*240.65*	*12/04/12*	*161.32*	*0.00*	*79.33*	*Sale*
	27.000	*592.99*	*12/04/12*	*395.99*	*0.00*	*197.00*	*Sale*
	77.000	*1,691.10*	*12/04/12*	*1,129.30*	*0.00*	*561.80*	*Sale*
06/26/14	115.000	2,524.74	VARIOUS	1,686.61	0.00	838.13	Total of 3 lots
06/27/14	5.000	110.49	12/04/12	73.33	0.00	37.16	Sale
06/30/14	4.000	87.95	12/04/12	58.67	0.00	29.28	Sale
07/01/14	3.000	66.37	12/04/12	44.00	0.00	22.37	Sale
07/02/14	3.000	66.06	12/04/12	44.00	0.00	22.06	Sale
11/03/14	14.000	325.41	12/04/12	205.32	0.00	120.09	Sale
12/09/14	26.000	614.89	12/04/12	381.32	0.00	233.57	Sale
	Security total:	6,242.45		4,183.79	0.00	2,058.66	
HUDSON PAC PPTYS INC COM / CUSIP: 444097109 / Symbol: HPP							
12/09/14	24.000	701.02	12/03/12	450.13	0.00	250.89	Sale
LASALLE HOTEL PROPERTIES SBI / CUSIP: 517942108 / Symbol: LHO							
	5 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	3.000	*118.86*	*08/22/13*	*80.25*	*0.00*	*38.61*	*Sale*
	2.000	*79.24*	*08/27/13*	*54.47*	*0.00*	*24.77*	*Sale*
	6.000	*237.71*	*08/27/13*	*164.31*	*0.00*	*73.40*	*Sale*
	5.000	*198.09*	*08/28/13*	*133.55*	*0.00*	*64.54*	*Sale*
	3.000	*118.86*	*08/29/13*	*79.79*	*0.00*	*39.07*	*Sale*
12/09/14	19.000	752.76	VARIOUS	512.37	0.00	240.39	Total of 5 lots
MACERICH COMPANY COMMON STOCK / CUSIP. 554382101 / Symbol: MAC							
01/07/14	9.000	531.44	12/04/12	516.69	0.00	14.75	Sale

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MACERICH COMPANY COMMON STOCK / CUSIP: 554382101 / Symbol: MAC (cont'd)							
	2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*117.82*	*12/04/12*	*114.82*	*0.00*	*3.00*	*Sale*
	9.000	*530.27*	*12/04/12*	*516.69*	*0.00*	*13.58*	*Sale*
01/08/14	11.000	648.09	VARIOUS	631.51	0.00	16.58	Total of 2 lots
	2 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS.						
	2 000	*116.95*	*12/04/12*	*114.82*	*0.00*	*2.13*	*Sale*
	3.000	*175.77*	*12/04/12*	*172.23*	*0.00*	*3.54*	*Sale*
01/23/14	5.000	292.72	VARIOUS	287.05	0.00	5.67	Total of 2 lots
01/24/14	2.000	115.06	12/04/12	114.82	0.00	0.24	Sale
01/27/14	4.000	225.26	12/04/12	229.64	0.00	-4.38	Sale
01/28/14	2.000	112.64	12/04/12	114.82	0.00	-2.18	Sale
01/29/14	2.000	111.12	12/04/12	114.82	0.00	-3.70	Sale
01/30/14	3.000	168.22	12/04/12	172.23	0.00	-4.01	Sale
01/31/14	4.000	225.62	12/04/12	229.64	0 00	-4 02	Sale
02/03/14	1.000	56.21	12/04/12	57.41	0.00	-1.20	Sale
03/05/14	14.000	864.64	12/04/12	802.42	0.00	62.22	Sale
03/06/14	3.000	183.49	12/04/12	171.95	0.00	11.54	Sale
03/07/14	2.000	120.44	12/04/12	114.63	0.00	5.81	Sale
	2 tax lots for 03/10/14. Total proceeds (and cost when required) reported to the IRS.						
	1 000	*59.59*	*12/04/12*	*57.32*	*0.00*	*2.27*	*Sale*
	4.000	*238.38*	*12/10/12*	*231.39*	*0.00*	*6.99*	*Sale*
03/10/14	5.000	297.97	VARIOUS	288.71	0.00	9.26	Total of 2 lots
	2 tax lots for 03/11/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*59.86*	*12/10/12*	*57.85*	*0.00*	*2 01*	*Sale*
	3.000	*179.56*	*12/10/12*	*173.57*	*0.00*	*5.99*	*Sale*
03/11/14	4.000	239.42	VARIOUS	231.42	0.00	8.00	Total of 2 lots
	4 tax lots for 03/13/14 Total proceeds (and cost when required) reported to the IRS.						
	1 000	*59.80*	*12/10/12*	*57.86*	*0 00*	*1 94*	*Sale*
	1 000	*59.95*	*12/11/12*	*58.15*	*0.00*	*1 80*	*Sale*
	2.000	*119.60*	*12/11/12*	*116.31*	*0 00*	*3.29*	*Sale*
	2 000	*119.95*	*12/11/12*	*116.95*	*0 00*	*3.00*	*Sale*
03/13/14	6.000	359.30	VARIOUS	349.27	0.00	10.03	Total of 4 lots

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MACERICH COMPANY COMMON STOCK / CUSIP: 554382101 / Symbol: MAC (cont'd)							
03/14/14	2.000	119.97	12/12/12	116.48	0.00	3.49	Sale
	3 tax lots for 03/17/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*121.03*	*12/12/12*	*116.47*	*0.00*	*4.56*	*Sale*
	3.000	*181.54*	*12/12/12*	*174.84*	*0.00*	*6 70*	*Sale*
	1.000	*60.51*	*12/13/12*	*57.94*	*0.00*	*2.57*	*Sale*
03/17/14	6.000	363.08	VARIOUS	349.25	0.00	13 83	Total of 3 lots
03/18/14	3.000	182.35	12/13/12	173.80	0.00	8.55	Sale
	2 tax lots for 03/19/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*60.12*	*12/14/12*	*57.71*	*0.00*	*2.41*	*Sale*
	2.000	*120.24*	*12/14/12*	*115.34*	*0.00*	*4.90*	*Sale*
03/19/14	3.000	180.36	VARIOUS	173.05	0.00	7.31	Total of 2 lots
03/20/14	4.000	237.32	12/14/12	230.90	0.00	6.42	Sale
	Security total:	5,634.72		5,470 51	0.00	164.21	
MID AMERICA APARTMENT COMMUNITIES INC / CUSIP: 59522J103 / Symbol: MAA							
	8 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	5.000	*366.54*	*02/14/13*	*337.42*	*0.00*	*29.12*	*Sale*
	2.000	*146.62*	*02/15/13*	*135.52*	*0.00*	*11.10*	*Sale*
	3.000	*219.92*	*02/20/13*	*207.10*	*0.00*	*12.82*	*Sale*
	2.000	*146.62*	*02/22/13*	*138.45*	*0.00*	*8.17*	*Sale*
	2.000	*146.62*	*02/22/13*	*138.45*	*0.00*	*8.17*	*Sale*
	1.000	*73.30*	*02/25/13*	*69.01*	*0.00*	*4.29*	*Sale*
	1.000	*73.31*	*02/26/13*	*68.83*	*0.00*	*4.48*	*Sale*
	1.000	*73.31*	*02/28/13*	*69.30*	*0.00*	*4.01*	*Sale*
12/09/14	17.000	1,246.24	VARIOUS	1,164.08	0.00	82.16	Total of 8 lots
NATL HEALTH INVESTORS INC COMMON STOCK / CUSIP: 63633D104 / Symbol: NHI							
12/09/14	5.000	332.44	11/22/13	290.23	0.00	42.21	Sale
NATIONAL RETAIL PROPERTIES INC REIT SBI / CUSIP: 637417106 / Symbol: NNN							
	5 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS						
	2.000	*76.16*	*04/17/13*	*72.53*	*0.00*	*3.63*	*Sale*
	5.000	*190.40*	*04/18/13*	*182.56*	*0.00*	*7.84*	*Sale*

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NATIONAL RETAIL PROPERTIES INC REIT SBI / CUSIP: 637417106 / Symbol: NNN (cont'd)							
	3.000	*114.23*	*04/22/13*	*113.26*	*0.00*	*0.97*	*Sale*
	2.000	*76.16*	*04/23/13*	*76.58*	*0.00*	*-0.42*	*Sale*
	3.000	*114.24*	*04/23/13*	*114.93*	*0.00*	*-0 69*	*Sale*
12/09/14	15 000	571.19	VARIOUS	559.86	0.00	11.33	Total of 5 lots
PEBBLEBROOK HOTEL TR / CUSIP: 70509V100 / Symbol. PEB							
06/25/14	2.000	73.75	12/04/12	42.64	0.00	31.11	Sale
	2 tax lots for 06/27/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*36.86*	*12/04/12*	*21.32*	*0 00*	*15.54*	*Sale*
	3 000	*110.87*	*12/04/12*	*63.96*	*0.00*	*46 91*	*Sale*
06/27/14	4 000	147.73	VARIOUS	85.28	0.00	62 45	Total of 2 lots
06/30/14	3.000	111.12	12/04/12	63.96	0.00	47.16	Sale
07/01/14	4.000	149.11	12/04/12	85 28	0.00	63.83	Sale
07/02/14	2.000	74.33	12/04/12	42.64	0.00	31.69	Sale
07/03/14	2.000	74.01	12/04/12	42.64	0.00	31.37	Sale
07/07/14	3.000	111.22	12/04/12	63.96	0.00	47.26	Sale
07/08/14	1.000	37.12	12/04/12	21.32	0.00	15.80	Sale
07/09/14	3.000	111.26	12/04/12	63.96	0.00	47.30	Sale
07/10/14	7.000	260.63	12/04/12	149 24	0.00	111 39	Sale
07/11/14	2.000	74.39	12/04/12	42.64	0.00	31.75	Sale
07/14/14	2.000	74.54	12/04/12	42.64	0.00	31.90	Sale
07/16/14	11.000	409.51	12/04/12	234.52	0.00	174.99	Sale
Security total:		1,708.72		980.72	0.00	728.00	
PIEDMONT OFFICE RLTY TR INC CL A / CUSIP: 720190206 / Symbol: PDM							
06/16/14	3.000	56.74	12/03/12	51.39	0.00	5 35	Sale
06/17/14	2.000	37.77	12/03/12	34.26	0.00	3 51	Sale
06/18/14	2.000	37.76	12/03/12	34.26	0.00	3.50	Sale
06/19/14	2.000	38.02	12/03/12	34.26	0.00	3.76	Sale
06/20/14	3.000	56.94	12/03/12	51.39	0.00	5.55	Sale
06/23/14	3.000	56.81	12/03/12	51.39	0.00	5.42	Sale
06/24/14	6.000	113.57	12/03/12	102.78	0.00	10.79	Sale
06/25/14	5 000	94.25	12/03/12	85.65	0.00	8.60	Sale
06/26/14	7 000	131.51	12/03/12	119.91	0.00	11.60	Sale

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PIEDMONT OFFICE RLTY TR INC CL A / CUSIP: 720190206 / Symbol: PDM (cont'd)							
	2 tax lots for 06/27/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*37.99*	*12/03/12*	*34.26*	*0.00*	*3.73*	*Sale*
	3.000	*57.00*	*12/03/12*	*51.39*	*0.00*	*5.61*	*Sale*
06/27/14	5.000	94.99	VARIOUS	85.65	0.00	9.34	Total of 2 lots
06/30/14	2.000	37.97	12/03/12	34.26	0.00	3.71	Sale
07/01/14	1.000	18.98	12/03/12	17.13	0.00	1.85	Sale
07/02/14	2.000	37.53	12/03/12	34.26	0.00	3.27	Sale
07/07/14	3.000	56.43	12/03/12	51.39	0.00	5.04	Sale
07/08/14	3.000	56.67	12/03/12	51.39	0.00	5.28	Sale
	2 tax lots for 07/09/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*18.86*	*12/03/12*	*17.13*	*0.00*	*1.73*	*Sale*
	1 000	*18 85*	*12/04/12*	*17.09*	*0.00*	*1.76*	*Sale*
07/09/14	2.000	37.71	VARIOUS	34.22	0.00	3.49	Total of 2 lots
12/09/14	21.000	396.13	12/04/12	352.80	0.00	43.33	Sale
12/18/14	15.000	278.05	12/04/12	252.00	0.00	26.05	Sale
	4 tax lots for 12/19/14. Total proceeds (and cost when required) reported to the IRS.						
	39 000	*734.35*	*12/04/12*	*655.21*	*0.00*	*79 14*	*Sale*
	2.000	*37.66*	*01/14/13*	*35.16*	*0.00*	*2.50*	*Sale*
	2.000	*37.66*	*01/15/13*	*35 55*	*0.00*	*2.11*	*Sale*
	19.000	*357.76*	*01/16/13*	*335.17*	*0.00*	*22.59*	*Sale*
12/19/14	62.000	1,167.43	VARIOUS	1,061 09	0.00	106.34	Total of 4 lots
	Security total·	2,805.26		2,539.48	0.00	265.78	
PROLOGIS INC COM / CUSIP: 74340W103 / Symbol: PLD							
12/09/14	23.000	984.61	12/03/12	781.01	0.00	203.60	Sale
PUBLIC STORAGE REIT SBI / CUSIP: 74460D109 / Symbol: PSA							
05/28/14	2.000	341.41	12/03/12	285.22	0.00	56.19	Sale
07/15/14	1.000	172.01	12/03/12	142.61	0.00	29.40	Sale
	2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.						
	2 000	*371.01*	*12/03/12*	*285 22*	*0.00*	*85 79*	*Sale*
	3.000	*556.52*	*12/04/12*	*430.95*	*0 00*	*125 57*	*Sale*
12/09/14	5.000	927.53	VARIOUS	716.17	0.00	211.36	Total of 2 lots

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.UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

*5- COVERED tax lots 3- Basis is reported to the IRS***

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
Security total:		1,440.95		1,144.00	0.00	296.95	
RLJ LODGING TRUST SBI / CUSIP: 74965L101 / Symbol: RLJ							
6 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.							
	2.000	*66.82*	*05/21/13*	*47.38*	*0.00*	*19.44*	*Sale*
	5.000	*167.04*	*05/22/13*	*117 53*	*0.00*	*49.51*	*Sale*
	4.000	*133.64*	*05/23/13*	*93.34*	*0.00*	*40.30*	*Sale*
	2.000	*66.82*	*05/24/13*	*46.96*	*0.00*	*19.86*	*Sale*
	4.000	*133.64*	*05/28/13*	*94.24*	*0.00*	*39.40*	*Sale*
	7.000	*233.86*	*05/29/13*	*163.82*	*0.00*	*70.04*	*Sale*
12/09/14	24.000	801.82	VARIOUS	563.27	0.00	238.55	Total of 6 lots
RAYONIER INC REIT SBI / CUSIP: 754907103 / Symbol: RYN							
3 tax lots for 01/07/14. Total proceeds (and cost when required) reported to the IRS.							
	1.000	*42.49*	*12/04/12*	*50.28*	*0.00*	*-7.79*	*Sale*
	7.000	*297.42*	*12/04/12*	*351.96*	*0.00*	*-54.54*	*Sale*
	9 000	*382.47*	*12/04/12*	*452.52*	*0.00*	*-70.05*	*Sale*
01/07/14	17.000	722.38	VARIOUS	854.76	0.00	-132.38	Total of 3 lots
01/08/14	4.000	169.56	12/04/12	201.12	0.00	-31.56	Sale
03/17/14	15.000	677.41	12/04/12	752.67	0.00	-75.26	Sale
03/18/14	21.000	954.28	12/04/12	1,053.74	0.00	-99.46	Sale
Security total:		2,523.63		2,862.29	0.00	-338.66	
RETAIL OPPORTUNITY INVTS CORP COM / CUSIP: 76131N101 / Symbol: ROIC							
12/09/14	23.000	382.25	12/03/12	282.32	0 00	99.93	Sale
SL GREEN REALTY CORP / CUSIP. 78440X101 / Symbol: SLG							
2 tax lots for 08/19/14 Total proceeds (and cost when required) reported to the IRS							
	1.000	*111.05*	*12/03/12*	*75.90*	*0.00*	*35.15*	*Sale*
	2.000	*222 38*	*12/03/12*	*151.80*	*0.00*	*70 58*	*Sale*
08/19/14	3.000	333.43	VARIOUS	227.70	0.00	105.73	Total of 2 lots
2 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS							
	2.000	*221.78*	*12/03/12*	*151.80*	*0 00*	*69.98*	*Sale*

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UBS FINANCIAL SERVICES INC. Account BL 20104

Proceeds from Broker and Barter Exchange Transactions
(continued)

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

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SL GREEN REALTY CORP / CUSIP: 78440X101 / Symbol: SLG (cont'd)							
	2.000	*222.24*	*12/03/12*	*151.80*	*0.00*	*70.44*	*Sale*
08/20/14	4.000	444.02	VARIOUS	303.60	0.00	140.42	Total of 2 lots
	2 tax lots for 08/21/14. Total proceeds (and cost when required) reported to the IRS.						
	1.000	*110.71*	*12/03/12*	*75.90*	*0.00*	*34.81*	*Sale*
	2.000	*221.39*	*12/03/12*	*151 80*	*0.00*	*69 59*	*Sale*
08/21/14	3.000	332.10	VARIOUS	227.70	0.00	104.40	Total of 2 lots
08/22/14	2.000	219.60	12/03/12	151.80	0.00	67.80	Sale
	2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS.						
	2.000	*218.61*	*12/03/12*	*151.80*	*0.00*	*66 81*	*Sale*
	2.000	*218.80*	*12/03/12*	*151.80*	*0.00*	*67.00*	*Sale*
08/25/14	4.000	437.41	VARIOUS	303.60	0.00	133.81	Total of 2 lots
08/26/14	2.000	219.40	12/03/12	151.80	0.00	67.60	Sale
08/27/14	1.000	109.98	12/03/12	75.90	0.00	34.08	Sale
	3 tax lots for 08/29/14. Total proceeds (and cost when required) reported to the IRS.						
	7.000	*765.40*	*12/03/12*	*531.30*	*0.00*	*234.10*	*Sale*
	2.000	*219.21*	*12/04/12*	*152.20*	*0.00*	*67 01*	*Sale*
	7.000	*765.40*	*12/04/12*	*532.70*	*0.00*	*232.70*	*Sale*
08/29/14	16.000	1,750.01	VARIOUS	1,216.20	0.00	533.81	Total of 3 lots
11/03/14	7.000	815.26	12/04/12	532.70	0.00	282.56	Sale
12/01/14	10.000	1,161.53	12/04/12	761.00	0.00	400.53	Sale
12/09/14	7.000	854.47	12/04/12	532.70	0.00	321.77	Sale
	Security total:	6,677.21		4,484.70	0.00	2,192.51	
SIMON PROPERTY GROUP INC SBI / CUSIP: 828806109 / Symbol: SPG							
02/14/14	2.000	320.54	12/03/12	306.28	0.00	14 26	Sale
07/15/14	1.000	169.75	12/03/12	143.98	0.00	25.77	Sale
12/09/14	16.000	2,912.85	12/03/12	2,303.62	0.00	609.23	Sale
	Security total.	3,403.14		2,753.88	0.00	649.26	
UDR INC REITS SBI / CUSIP: 902653104 / Symbol: UDR							
01/07/14	9.000	214.82	12/03/12	206.51	0.00	8.31	Sale
01/08/14	14.000	326.81	12/03/12	321.25	0.00	5.56	Sale

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UBS FINANCIAL SERVICES INC.

Account BL 20104

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
UDR INC REITS SBI / CUSIP: 902653104 / Symbol: UDR (cont'd)							
	3 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS.						
	6.000	*165.14*	*12/03/12*	*137.68*	*0.00*	*27 46*	*Sale*
	7.000	*192.72*	*12/03/12*	*160 62*	*0.00*	*32 10*	*Sale*
	8.000	*220 50*	*12/03/12*	*183.57*	*0.00*	*36 93*	*Sale*
05/30/14	21.000	578.36	VARIOUS	481.87	0.00	96.49	Total of 3 lots
	2 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS.						
	3 000	*82.70*	*12/03/12*	*68 84*	*0 00*	*13.86*	*Sale*
	5.000	*137.84*	*12/04/12*	*116 13*	*0.00*	*21.71*	*Sale*
06/02/14	8.000	220 54	VARIOUS	184.97	0.00	35.57	Total of 2 lots
12/09/14	21.000	655 82	12/04/12	487.75	0.00	168.07	Sale
	Security total:	1,996.35		1,682.35	0 00	314.00	
WASHINGTON PRIME GROUP INC COM REIT / CUSIP: 939647103 / Symbol: WPG							
05/29/14	0.500	10.06	12/03/12	9.16	0 00	0.90	Sale
WEYERHAEUSER CO / CUSIP: 962166104 / Symbol. WY							
05/28/14	9.000	278.11	12/03/12	248.63	0.00	29 48	Sale
12/09/14	41.000	1,484.58	12/03/12	1,132.68	0.00	351.90	Sale
	Security total:	1,762.69		1,381.31	0.00	381 38	
	Totals:	**71,138.96**		**58,678.44**	**0.00**	**12,460.52**	

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UBS FINANCIAL SERVICES INC.

Proceeds from Broker and Barter Exchange Transactions

Account BL 20105

2014 1099-B*

OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ALLSTATE CORP CLBL / CUSIP: 020002101 / Symbol: ALL							
12/09/14	49.000	3,365.74	12/03/12	1,993.32	0.00	1,372.42	Sale
BROADCOM CORP CL A / CUSIP. 111320107 / Symbol: BRCM							
12/09/14	61 000	2,621.12	07/10/13	2,033.39	0.00	587.73	Sale
CVS HEALTH CORP / CUSIP: 126650100 / Symbol· CVS							
12/09/14	31.000	2,813.92	12/03/12	1,437.47	0.00	1,376 45	Sale
CALIFORNIA RESOURCES CORP / CUSIP: 13057Q107 / Symbol: CRC							
12/01/14	0.400	2.60	12/03/12	2.60	0.00	0 00	Sale
2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS.							
	15 600	*93.60*	*12/03/12*	*101.27*	*0.00*	*-7.67*	*Sale*
	24.400	*146 39*	*12/06/12*	*157.29*	*0 00*	*-10 90*	*Sale*
12/09/14	40.000	239.99	VARIOUS	258.56	0 00	-18.57	Total of 2 lots
Security total:		242.59		261.16	0.00	-18.57	
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC							
12/09/14	123.000	4,530.54	12/03/12	2,409.57	0.00	2,120.97	Sale
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP. 478160104 / Symbol· JNJ							
12/09/14	21.000	2,254.51	12/03/12	1,461 39	0.00	793 12	Sale
LOWES COMPANIES INC / CUSIP: 548661107 / Symbol: LOW							
12/09/14	44.000	2,893.82	12/03/12	1,597.20	0 00	1,296.62	Sale
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC							
12/09/14	56 000	3,258.57	12/03/12	1,972 88	0.00	1,285.69	Sale
MOTOROLA SOLUTIONS INC / CUSIP: 620076307 / Symbol: MSI							
05/09/14	45.000	3,019.62	12/03/12	2,448.00	0 00	571 62	Sale
2 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS.							
	10 000	*673.17*	*12/03/12*	*544 00*	*0 00*	*129 17*	*Sale*
	35 000	*2,356 10*	*12/06/12*	*1,916.25*	*0 00*	*439 85*	*Sale*
06/02/14	45.000	3,029.27	VARIOUS	2,460.25	0.00	569.02	Total of 2 lots
08/22/14	47.000	2,888.18	12/06/12	2,573.25	0.00	314.93	Sale

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UBS FINANCIAL SERVICES INC. Account BL 20105

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* (continued) OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Security total:	8,937 07		7,481.50	0.00	1,455.57	

NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC

2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	11 000	*1,617.01*	*12/03/12*	*728 20*	*0 00*	*888 81*	*Sale*
	5 000	*735 00*	*12/06/12*	*332.50*	*0 00*	*402 50*	*Sale*
12/09/14	16.000	2,352.01	VARIOUS	1,060.70	0.00	1,291.31	Total of 2 lots

TRAVELERS COS INC/THE / CUSIP· 89417E109 / Symbol: TRV

2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	42.000	*3,509 83*	*12/03/12*	*2,997 96*	*0.00*	*511.87*	*Sale*
	61.000	*5,097 62*	*12/06/12*	*4,464 59*	*0.00*	*633.03*	*Sale*
03/21/14	103.000	8,607.45	VARIOUS	7,462.55	0.00	1,144.90	Total of 2 lots
	Totals:	41,877.34		29,171.13	0.00	12,706.21	

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