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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation LEELOU FAMILY FOUNDATION C/O ETON ADVISORS LP % ETON ADVISORS LP		A Employer identification number 56-2114229	
Number and street (or P O box number if mail is not delivered to street address) 5915 farrington road Suite 202		B Telephone number (see instructions) (919) 442-1550	
City or town, state or province, country, and ZIP or foreign postal code CHAPEL HILL, NC 27517		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 492,517		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	9,721	9,721		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	22,991			
	b Gross sales price for all assets on line 6a 340,732				
	7 Capital gain net income (from Part IV , line 2) . . .		22,991		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	189	189		
	12 Total. Add lines 1 through 11	32,901	32,901		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500	2,500	0	0
	c Other professional fees (attach schedule)	2,544	2,544		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	1,611	61		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	6,655	5,105	0	0
	25 Contributions, gifts, grants paid	8,500			8,500
	26 Total expenses and disbursements. Add lines 24 and 25	15,155	5,105	0	8,500
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	17,746			
	b Net investment income (if negative, enter -0-)		27,796		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,841	10,308	10,308
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	202,682	245,567	135,204
	c	Investments—corporate bonds (attach schedule).	156,784	142,332	268,027
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	98,165	81,011	78,978
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	461,472	479,218	492,517
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)		0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	461,472	479,218	
	30	Total net assets or fund balances (see instructions)	461,472	479,218	
	31	Total liabilities and net assets/fund balances (see instructions) . .	461,472	479,218	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 461,472
2	Enter amount from Part I, line 27a	2 17,746
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 479,218
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 479,218

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE STATEMENT A			
b	SEE STATEMENT A			
c	CAPITAL GAIN DIVIDENDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 171,211		174,573	-3,362
b 169,520		148,787	20,733
c			5,620
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-3,362
b			20,733
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	22,991
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	7,000	466,707	0 014999
2012	6,000	434,211	0 013818
2011	14,000	428,279	0 032689
2010	18,750	401,751	0 046671
2009	32,200	380,143	0 084705

2	Total of line 1, column (d).	2	0 192882
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 038576
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	488,774
5	Multiply line 4 by line 3.	5	18,855
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	278
7	Add lines 5 and 6.	7	19,133
8	Enter qualifying distributions from Part XII, line 4.	8	8,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1556	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3556	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5556	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	825
b		Exempt foreign organizations—tax withheld at source		6b	
c		Tax paid with application for extension of time to file (Form 8868)		6c	
d		Backup withholding erroneously withheld		6d	
7		Total credits and payments. Add lines 6a through 6d.		7825	
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10269	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax 269 Refunded		11	

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>		1a	Yes	No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <input type="checkbox"/>		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
		If "Yes," attach the statement required by General Instruction T. <input type="checkbox"/>				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions)				
		NC				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of ETON ADVISORS LP Telephone no (919) 442-1550 Located at 5915 FARRINGTON ROAD STE 202 CHAPEL HILL NC ZIP +4 27517			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here. ☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN R LEEOLOU	pres/treas	0		
1102 harbor drive delray beach,FL 33483	0			
MARY DENISE LEEOLOU	vp/secretary	0		
1102 harbor drive delray beach,FL 33483	0			
ROBERT MALLERNEE	asst sec	0		
5915 farrington road raleigh,NC 27517	0			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000. ☐

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	491,743
b	Average of monthly cash balances.	1b	4,474
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	496,217
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	496,217
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,443
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	488,774
6	Minimum investment return. Enter 5% of line 5.	6	24,439

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	24,439
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	556
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	556
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	23,883
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	23,883
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	23,883

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	8,500
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	8,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	8,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				23,883
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.	13,487			
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				
f Total of lines 3a through e.	13,487			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 8,500			0	
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				8,500
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	13,487			13,487
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				1,896
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STEPHEN R LEELOU AND MARY DENISE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	8,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2015-05-13	*****	May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name AMY WEST	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00790384
	Firm's name <input checked="" type="checkbox"/> PESTA FINNIE & ASSOCIATES LLP			Firm's EIN <input checked="" type="checkbox"/>	
	Firm's address <input checked="" type="checkbox"/> 6826 MORRISON BLVD CHARLOTTE, NC 28211			Phone no (704) 364-1829	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE DIOCESAN SUPPORT APPEAL 1123 SOUTH CHURCH STREET CHARLOTTE,NC 28203	NONE	PC	General Purpose Support	1,500
special love for children with cancer 117 youth development court winchester,VA 22602	NONE	PC	General Purpose Support	2,000
Diocesan Services Appeal-St Vincent Ferrer Church 9995 N Military Trail PO Box 109650 Palm Beach Gardens, FL 33410	None	PC	General Purpose Support	1,500
YMCA of Greater Charlotte 500 E Morehead Street Suite 300 Charlotte,NC 28202	NONE	PC	General Purpose Support	1,000
Neighbor to Neighbor Outreach PO Box 25628 raleigh,NC 27611	NONE	PC	General Purpose Support	500
Friends for an earlier breast cancer test po box 10363 Greensboro,NC 274040363	NONE	PC	General Purpose Support	1,000
Environmental Defense Fund ATTN Fred Krupp 1875 Connecticut Avenue NW Washington,DC 20009	NONE	PC	General Purpose Support	1,000
Total			3a	8,500

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ **(F)** Long-term transactions not reported to you on Form 1099-B

[illegible]

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

TY 2014 Accounting Fees Schedule**Name:** LEELOU FAMILY FOUNDATION

C/O ETON ADVISORS LP

EIN: 56-2114229

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	2,500	2,500		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: LEELOU FAMILY FOUNDATION
C/O ETON ADVISORS LP

EIN: 56-2114229

TY 2014 Other Income Schedule

Name: LEEOLOU FAMILY FOUNDATION
C/O ETON ADVISORS LP
EIN: 56-2114229

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NON-DIVIDEND DISTRIBUTIONS	189	189	

TY 2014 Other Professional Fees Schedule

Name: LEELOU FAMILY FOUNDATION
C/O ETON ADVISORS LP
EIN: 56-2114229

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	2,544	2,544		

TY 2014 Taxes Schedule**Name:** LEELOU FAMILY FOUNDATION

C/O ETON ADVISORS LP

EIN: 56-2114229

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	61	61		
FEDERAL INCOME TAX	1,550			

Income for 2014

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount." We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
AQR DIVERSIFIED ARB-I		00203H602									
02/18/14	11/25/13	430.46	\$4,735.00	\$4,838.32	\$-103.32		\$0.00		\$0.00	\$0.00	\$0.00
CBRE CLARION LONG/ SHORT-INS		0075W0536									
02/18/14	11/25/13	605.7	\$6,160.00	\$6,020.69	\$139.31		\$0.00		\$0.00	\$0.00	\$0.00
09/30/14	11/25/13	156.1	\$1,600.00	\$1,543.35	\$56.65		\$0.00		\$0.00	\$0.00	\$0.00
CHAMPLAIN MID CAP FUND-INS		00766Y513									
09/30/14	11/25/13	322.79	\$4,896.70	\$5,000.00	\$-103.30		\$0.00		\$0.00	\$0.00	\$0.00
09/30/14	12/12/13	28.39	\$430.62	\$399.97	\$30.65		\$0.00		\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION		CUSIP									Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments					
ARTIO GLOBAL HIGH INCOME-I		04315J860										
09/30/14	12/31/13	1 04	\$10 32	\$10 47	\$-0 15		\$0 00		\$0 00	\$0 00		
09/30/14	01/30/14	0 87	\$8 56	\$8 71	\$-0 15		\$0 00		\$0 00	\$0 00		
09/30/14	02/27/14	0 87	\$8 57	\$8 83	\$-0 26		\$0 00		\$0 00	\$0 00		
09/30/14	03/28/14	0 98	\$9 73	\$10 01	\$-0 28		\$0 00		\$0 00	\$0 00		
09/30/14	04/29/14	0 8	\$7 89	\$8 11	\$-0 22		\$0 00		\$0 00	\$0 00		
09/30/14	05/29/14	0 83	\$8 20	\$8 50	\$-0 30		\$0 00		\$0 00	\$0 00		
09/30/14	12/20/13	1 97	\$19 50	\$19 86	\$-0 36		\$0 00		\$0 00	\$0 00		
09/30/14	07/30/14	1 17	\$11 62	\$11 96	\$-0 34		\$0 00		\$0 00	\$0 00		
09/30/14	08/28/14	0 75	\$7 42	\$7 63	\$-0 21		\$0 00		\$0 00	\$0 00		
09/30/14	06/27/14	1 05	\$10 40	\$10 83	\$-0 43		\$0 00		\$0 00	\$0 00		
09/30/14	11/26/13	1 01	\$10 02	\$10 23	\$-0 21		\$0 00		\$0 00	\$0 00		
09/30/14	10/30/13	1 67	\$16 49	\$16 83	\$-0 34		\$0 00		\$0 00	\$0 00		
BBH FD INC		05528X604										
09/30/14	12/13/13	28 89	\$646 80	\$597 69	\$49 11		\$0 00		\$0 00	\$0 00		
DOUBLELINE TOTAL RET BD-I		258620103										
09/30/14	02/28/14	10 61	\$116 02	\$116 23	\$-0 21		\$0 00		\$0 00	\$0 00		
09/30/14	08/29/14	10 66	\$116 66	\$117 30	\$-0 64		\$0 00		\$0 00	\$0 00		
09/30/14	09/30/13	16 03	\$175 37	\$175 69	\$-0 32		\$0 00		\$0 00	\$0 00		

Short Term Transactions

DESCRIPTION		CUSIP									Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments					
DOUBLELINE TOTAL RET BD-I		258620103										
09/30/14	10/31/13	16 77	\$183 46	\$184 14	\$-0 68		\$0 00		\$0 00	\$0 00		
09/30/14	11/29/13	11 33	\$123 90	\$123 56	\$0 34		\$0 00		\$0 00	\$0 00		
09/30/14	12/31/13	13 5	\$147 68	\$145 52	\$2 16		\$0 00		\$0 00	\$0 00		
09/30/14	01/31/14	11 88	\$129 95	\$130 30	\$-0 35		\$0 00		\$0 00	\$0 00		
09/30/14	03/31/14	10 62	\$116 22	\$115 79	\$0 43		\$0 00		\$0 00	\$0 00		
09/30/14	04/30/14	10 76	\$117 69	\$117 59	\$0 10		\$0 00		\$0 00	\$0 00		
09/30/14	05/30/14	10 39	\$113 68	\$114 40	\$-0 72		\$0 00		\$0 00	\$0 00		
09/30/14	06/30/14	10 29	\$112 52	\$113 03	\$-0 51		\$0 00		\$0 00	\$0 00		
09/30/14	07/31/14	11 14	\$121 82	\$121 82	\$0 00		\$0 00		\$0 00	\$0 00		
DRIEHAUS ACTIVE INCOME FUND		262028855										
02/18/14	11/25/13	523 63	\$5,650 00	\$5,650 00	\$0 00		\$0 00		\$0 00	\$0 00		
09/30/14	11/25/13	1148 01	\$12,100 00	\$12,387 01	\$-287 01		\$0 00		\$0 00	\$0 00		
PARAMETRIC MARKET NEUTRAL-I		277923181										
09/19/14	02/18/14	1030 74	\$10,014 86	\$10,060 00	\$-45 14		\$0 00		\$0 00	\$0 00		
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728										
09/30/14	12/30/13	4 12	\$38 57	\$37 61 *	\$0 96		\$0 00		\$0 00	\$0 00		
09/30/14	01/30/14	4 16	\$39 00	\$37 76 *	\$1 24		\$0 00		\$0 00	\$0 00		
09/30/14	03/28/14	4 03	\$37 76	\$36 55 *	\$1 21		\$0 00		\$0 00	\$0 00		

Short Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728								
09/30/14	05/29/14	4 06	\$38 02	\$36 84 *	\$1 18		\$0 00	\$0 00	\$0 00	
09/30/14	06/27/14	3 95	\$36 96	\$36 67 *	\$0 29		\$0 00	\$0 00	\$0 00	
09/30/14	11/27/13	3 98	\$37 27	\$36 22 *	\$1 05		\$0 00	\$0 00	\$0 00	
09/30/14	08/28/14	4 11	\$38 55	\$38 24 *	\$0 31		\$0 00	\$0 00	\$0 00	
09/30/14	09/29/14	3 97	\$37 16	\$37 12	\$0 04		\$0 00	\$0 00	\$0 00	
09/30/14	04/29/14	3 59	\$33 66	\$32 56 *	\$1 10		\$0 00	\$0 00	\$0 00	
09/30/14	10/30/13	4 56	\$42 69	\$41 69 *	\$1 00		\$0 00	\$0 00	\$0 00	
09/30/14	07/30/14	4 07	\$38 09	\$38 09 *	\$0 00		\$0 00	\$0 00	\$0 00	
EATON VANCE MUT FDS TR CL I		277923751								
09/30/14	02/18/14	385 14	\$6,008 25	\$5,600 00	\$408 25		\$0 00	\$0 00	\$0 00	
HARDING LOEVNER FRONT EMRG MKTS-INS		412295867								
09/30/14	11/25/13	62 43	\$614 28	\$528 13	\$86 15		\$0 00	\$0 00	\$0 00	
IVA FIDUCIARY TR IVA INTL FD		45070A404								
09/30/14	12/17/13	12 54	\$224 36	\$212 45	\$11 91		\$0 00	\$0 00	\$0 00	
JOHN HANCOCK II-US EQUITY-I		47804B161								
09/30/14	11/25/13	1116 54	\$15,229 59	\$16,000 00	\$-770 41		\$0 00	\$0 00	\$0 00	
09/30/14	12/23/13	152 26	\$2,076 84	\$1,926 10	\$150 74		\$0 00	\$0 00	\$0 00	

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
KALMAR POOLED INVT TR SMALL CAP		483438206									
09/30/14	12/30/13	2 04	\$40 09	\$46 35	\$-6 26		\$0 00		\$0 00	\$0 00	\$0 00
MAINSTAY MARKETFIELD FUND-I		56064B852									
02/18/14	11/25/13	125 94	\$2,340 00	\$2,274 51	\$65 49		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/04/13	0 18	\$2 95	\$3 16	\$-0 21		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	11/25/13	1369 08	\$23,068 91	\$24,725 49	\$-1,656 58		\$0 00		\$0 00	\$0 00	\$0 00
PIMCO FDS TOTAL RETURN INSTL FD		693390700									
02/18/14	02/28/13	2 29	\$24 84	\$25 69 *	\$-0 85		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	03/28/13	2 93	\$31 77	\$32 89 *	\$-1 12		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	04/30/13	3 44	\$37 35	\$39 01 *	\$-1 66		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	05/31/13	2 93	\$31 75	\$32 37 *	\$-0 62		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	06/28/13	2 07	\$22 47	\$22 27 *	\$0 20		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	07/31/13	2 56	\$27 74	\$27 57 *	\$0 17		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	01/31/14	1 68	\$18 17	\$18 12	\$0 05		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	09/30/13	2 12	\$22 96	\$22 89 *	\$0 07		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	10/31/13	2 37	\$25 75	\$25 85 *	\$-0 10		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	11/29/13	2 28	\$24 68	\$24 73 *	\$-0 05		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/11/13	8 19	\$88 83	\$87 96 *	\$0 87		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/31/13	1 67	\$18 15	\$17 87 *	\$0 28		\$0 00		\$0 00	\$0 00	\$0 00

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
PIMCO FDS TOTAL RETURN INSTL FD		693390700									
02/18/14	08/30/13	2 76	\$29 97	\$29 39 *	\$0 58		\$0 00		\$0 00	\$0 00	\$0 00
PIMCO UNCONSTRAINED BOND-INS		72201M487									
09/30/14	09/30/13	0 82	\$9 20	\$9 17 *	\$0 03		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/29/14	2 55	\$28 76	\$28 89	\$-0 13		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	07/31/14	2 26	\$25 44	\$25 39	\$0 05		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/30/14	1 69	\$19 06	\$19 12	\$-0 06		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	05/30/14	1 77	\$19 99	\$20 05	\$-0 06		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	04/30/14	1 5	\$16 94	\$16 86	\$0 08		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/31/14	1 32	\$14 91	\$14 78	\$0 13		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	02/28/14	1 03	\$11 55	\$11 47 *	\$0 08		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	01/31/14	1 14	\$12 79	\$12 65 *	\$0 14		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/31/13	1 19	\$13 40	\$13 18 *	\$0 22		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/11/13	5 65	\$63 71	\$62 91 *	\$0 80		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	11/29/13	1 35	\$15 19	\$15 08 *	\$0 11		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	10/31/13	0 81	\$9 15	\$9 13 *	\$0 02		\$0 00		\$0 00	\$0 00	\$0 00
PIMCO EQS LONG/SHORT- INS		72201T540									
09/30/14	02/18/14	2863 42	\$32,900 64	\$35,220 00	\$-2,319 36		\$0 00		\$0 00	\$0 00	\$0 00

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
ROBECO BP LNG/SHRT RES-INS		74925K581									
09/30/14	02/18/14	73 48	\$1,100 00	\$1,060 31	\$39 69		\$0 00		\$0 00	\$0 00	\$0 00
RIDGEWORTH SEIX FLTG RATE INCOME FD CI		76628T678									
09/30/14	06/30/14	0 66	\$5 84	\$5 94	\$-0 10		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	05/30/14	0 68	\$6 07	\$6 15	\$-0 08		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	04/30/14	0 66	\$5 92	\$6 00	\$-0 08		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/31/14	0 71	\$6 32	\$6 41	\$-0 09		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	01/31/14	0 72	\$6 39	\$6 50	\$-0 11		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/31/13	0 71	\$6 32	\$6 42	\$-0 10		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	11/29/13	0 96	\$8 52	\$8 64	\$-0 12		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	10/31/13	1 02	\$9 11	\$9 24	\$-0 13		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	09/30/13	0 95	\$8 48	\$8 55	\$-0 07		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	07/31/14	0 69	\$6 15	\$6 22	\$-0 07		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/29/14	0 75	\$6 72	\$6 79	\$-0 07		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	02/28/14	0 67	\$5 94	\$6 05	\$-0 11		\$0 00		\$0 00	\$0 00	\$0 00
TWEEDY BROWNE FD INC WORLDWIDE		901165308									
02/18/14	11/25/13	992 79	\$11,744 72	\$11,645 44	\$99 28		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/27/13	31 55	\$373 18	\$372 54	\$0 64		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/27/14	5 33	\$63 33	\$65 93	\$-2 60		\$0 00		\$0 00	\$0 00	\$0 00

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
TWEEDY BROWNE FD INC WORLDWIDE		901165308									
09/30/14	11/25/13	499 11	\$5,934 42	\$5,854 56	\$79 86		\$0 00		\$0 00		\$0 00
VANGUARD FTSE ALL WORLD-INV		922042791									
02/18/14	11/25/13	74 76	\$1,475 00	\$1,475 74	\$-0 74		\$0 00		\$0 00		\$0 00
WINTERGREEN FUND-INST		97607W201									
02/18/14	11/25/13	2 89	\$50 00	\$49 82	\$0 18		\$0 00		\$0 00		\$0 00
09/30/14	12/19/13	1 31	\$22 90	\$22 49	\$0 41		\$0 00		\$0 00		\$0 00
09/30/14	11/25/13	286 63	\$5,030 43	\$4,950 18	\$80 25		\$0 00		\$0 00		\$0 00
WORLD FDS TR REMS REAL ESTATE		98147A394									
09/30/14	05/28/14	0 22	\$3 60	\$3 65	\$-0 05		\$0 00		\$0 00		\$0 00
09/30/14	06/26/14	1 54	\$25 38	\$26 13	\$-0 75		\$0 00		\$0 00		\$0 00
09/30/14	07/29/14	0 19	\$3 15	\$3 27	\$-0 12		\$0 00		\$0 00		\$0 00
09/30/14	04/28/14	0 33	\$5 40	\$5 31	\$0 09		\$0 00		\$0 00		\$0 00
09/30/14	03/27/14	1 55	\$25 54	\$24 56	\$0 98		\$0 00		\$0 00		\$0 00
09/30/14	02/26/14	0 12	\$1 96	\$1 93	\$0 03		\$0 00		\$0 00		\$0 00
09/30/14	02/18/14	154 51	\$2,549 45	\$2,500 00	\$49 45		\$0 00		\$0 00		\$0 00
09/30/14	01/29/14	0 08	\$1 25	\$1 18	\$0 07		\$0 00		\$0 00		\$0 00
09/30/14	12/27/13	7 59	\$125 25	\$117 80	\$7 45		\$0 00		\$0 00		\$0 00
09/30/14	08/27/14	0 14	\$2 36	\$2 46	\$-0 10		\$0 00		\$0 00		\$0 00

Short Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
WORLD FDS TR REMS REAL ESTATE		98147A394									
09/30/14	11/25/13	223 7	\$3,691 07	\$3,530 00	\$161 07		\$0 00		\$0 00	\$0 00	
09/30/14	11/26/13	0 22	\$3 70	\$3 53	\$0 17		\$0 00		\$0 00	\$0 00	
WORLD FDS TR REMS REAL ESTATE		98147A428									
09/30/14	03/27/14	5 26	\$72 20	\$68 27 *	\$3 93		\$0 00		\$0 00	\$0 00	
09/30/14	04/28/14	0 52	\$7 14	\$6 96 *	\$0 18		\$0 00		\$0 00	\$0 00	
09/30/14	05/28/14	1 35	\$18 53	\$18 63 *	\$-0 10		\$0 00		\$0 00	\$0 00	
09/30/14	06/26/14	4 8	\$66 00	\$66 83 *	\$-0 83		\$0 00		\$0 00	\$0 00	
09/30/14	07/29/14	0 3	\$4 05	\$4 16 *	\$-0 11		\$0 00		\$0 00	\$0 00	
09/30/14	08/27/14	1 25	\$17 19	\$17 69 *	\$-0 50		\$0 00		\$0 00	\$0 00	
09/30/14	11/25/13	318 78	\$4,379 77	\$4,115 66 *	\$264 11		\$0 00		\$0 00	\$0 00	
09/30/14	11/26/13	1 08	\$14 82	\$13 86 *	\$0 96		\$0 00		\$0 00	\$0 00	
09/30/14	12/27/13	10 39	\$142 79	\$129 73 *	\$13 06		\$0 00		\$0 00	\$0 00	
09/30/14	01/29/14	0 24	\$3 31	\$3 03 *	\$0 28		\$0 00		\$0 00	\$0 00	
09/30/14	02/18/14	191 13	\$2,625 99	\$2,494 40 *	\$131 59		\$0 00		\$0 00	\$0 00	
09/30/14	02/26/14	1 46	\$20 10	\$19 21 *	\$0 89		\$0 00		\$0 00	\$0 00	
<i>Total Short Term Gain/Loss</i>			<i>\$171,211 59</i>	<i>\$174,573 37</i>	<i>\$-3,361 78</i>		<i>\$0 00</i>		<i>\$0 00</i>	<i>\$0 00</i>	

Long Term Transactions

DESCRIPTION		CUSIP									Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments						
ARTIO GLOBAL HIGH INCOME-I		04315J860											
02/18/14	08/19/10	11 81	\$120 00	\$121 77	\$-1 77			\$0 00		\$0 00	\$0 00		
09/30/14	12/29/10	20 31	\$201 03	\$206 72	\$-5 69			\$0 00		\$0 00	\$0 00		
09/30/14	06/29/11	1 37	\$13 55	\$14 09	\$-0 54			\$0 00		\$0 00	\$0 00		
09/30/14	08/30/11	1 62	\$16 04	\$15 67	\$0 37			\$0 00		\$0 00	\$0 00		
09/30/14	09/29/11	1 44	\$14 27	\$13 39	\$0 88			\$0 00		\$0 00	\$0 00		
09/30/14	10/28/11	1 33	\$13 19	\$12 99	\$0 20			\$0 00		\$0 00	\$0 00		
09/30/14	11/29/11	1 68	\$16 66	\$15 72	\$0 94			\$0 00		\$0 00	\$0 00		
09/30/14	12/28/11	6 44	\$63 71	\$59 72	\$3 99			\$0 00		\$0 00	\$0 00		
09/30/14	01/30/12	1 39	\$13 75	\$13 25	\$0 50			\$0 00		\$0 00	\$0 00		
09/30/14	02/28/12	1 56	\$15 40	\$15 08	\$0 32			\$0 00		\$0 00	\$0 00		
09/30/14	03/29/12	1 52	\$15 00	\$14 67	\$0 33			\$0 00		\$0 00	\$0 00		
09/30/14	04/27/12	1 74	\$17 23	\$16 79	\$0 44			\$0 00		\$0 00	\$0 00		
09/30/14	05/30/12	1 9	\$18 82	\$17 93	\$0 89			\$0 00		\$0 00	\$0 00		
09/30/14	06/28/12	1 61	\$15 89	\$15 23	\$0 66			\$0 00		\$0 00	\$0 00		
09/30/14	07/30/12	1 76	\$17 39	\$16 88	\$0 51			\$0 00		\$0 00	\$0 00		
09/30/14	08/30/12	1 78	\$17 61	\$17 26	\$0 35			\$0 00		\$0 00	\$0 00		
09/30/14	09/27/12	1 42	\$14 09	\$13 95	\$0 14			\$0 00		\$0 00	\$0 00		
09/30/14	10/31/12	1 72	\$17 05	\$16 94	\$0 11			\$0 00		\$0 00	\$0 00		

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
ARTIO GLOBAL HIGH INCOME-I		04315J860									
09/30/14	11/29/12	1 98	\$19 59	\$19 45	\$0 14		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/27/12	1 72	\$17 04	\$17 11	\$-0 07		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	01/30/13	1 61	\$15 91	\$16 20	\$-0 29		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	02/27/13	1 79	\$17 71	\$17 93	\$-0 22		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/27/13	1 65	\$16 34	\$16 68	\$-0 34		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	04/29/13	1 67	\$16 56	\$17 06	\$-0 50		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	05/30/13	1 87	\$18 54	\$19 10	\$-0 56		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/27/13	1 63	\$16 18	\$16 16	\$0 02		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	07/30/13	1 7	\$16 86	\$17 10	\$-0 24		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/29/13	1 71	\$16 89	\$16 92	\$-0 03		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	09/27/13	1 48	\$14 64	\$14 75	\$-0 11		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/19/10	95 26	\$943 11	\$982 17	\$-39 06		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/30/10	3 42	\$33 86	\$34 92	\$-1 06		\$0 00		\$0 00	\$0 00	\$0 00
BBH FD INC		05528X604									
09/30/14	02/26/13	1125 79	\$25,206 44	\$20,725 80	\$4,480 64		\$0 00		\$0 00	\$0 00	\$0 00
BRIDGEWAY FD INC		108747403									
05/09/14	09/10/09	68 59	\$1,112 51	\$809 35	\$303 16		\$0 00		\$0 00	\$0 00	\$0 00
05/09/14	12/21/12	208 85	\$3,387 49	\$2,612 67	\$774 82		\$0 00		\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
DOUBLELINE TOTAL RET BD-I		258620103									
02/18/14	11/30/12	15 54	\$170 63	\$176 54	\$-5 91		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/31/12	17 39	\$190 91	\$196 99	\$-6 08		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	01/31/13	14 28	\$156 79	\$161 94	\$-5 15		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	08/19/10	101 7	\$1,116 67	\$1,116 67	\$0 00		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/28/13	13 76	\$150 53	\$156 04	\$-5 51		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	04/30/13	13 37	\$146 22	\$152 51	\$-6 29		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	05/31/13	14 01	\$153 29	\$157 91	\$-4 62		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/28/13	14 42	\$157 75	\$159 05	\$-1 30		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	07/31/13	15 02	\$164 32	\$164 62	\$-0 30		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/30/13	15 12	\$165 46	\$164 55	\$0 91		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	02/28/13	13 29	\$145 34	\$150 79	\$-5 45		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/31/11	21 8	\$238 49	\$238 93	\$-0 44		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	12/31/10	26 13	\$285 91	\$285 64	\$0 27		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/19/10	2334 57	\$25,540 19	\$25,633 57	\$-93 38		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/31/10	19 34	\$211 52	\$211 14	\$0 38		\$0 00		\$0 00	\$0 00	\$0 00
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728									
02/18/14	08/19/10	46 19	\$430 00	\$473 05 *	\$-43 05		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	10/29/10	3 88	\$36 35	\$38 55 *	\$-2 20		\$0 00		\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728								
09/30/14	01/31/11	3 96	\$37 10	\$38 96 *	\$-1 86		\$0 00	\$0 00	\$0 00	
09/30/14	02/28/11	198 14	\$1,856 58	\$1,951 44 *	\$-94 86		\$0 00	\$0 00	\$0 00	
09/30/14	02/28/11	3 59	\$33 65	\$35 41 *	\$-1 76		\$0 00	\$0 00	\$0 00	
09/30/14	03/31/11	4 3	\$40 25	\$42 16 *	\$-1 91		\$0 00	\$0 00	\$0 00	
09/30/14	04/29/11	4 15	\$38 87	\$40 96 *	\$-2 09		\$0 00	\$0 00	\$0 00	
09/30/14	05/31/11	3 81	\$35 73	\$37 55 *	\$-1 82		\$0 00	\$0 00	\$0 00	
09/30/14	06/30/11	3 72	\$34 89	\$36 51 *	\$-1 62		\$0 00	\$0 00	\$0 00	
09/30/14	07/29/11	3 86	\$36 17	\$38 07 *	\$-1 90		\$0 00	\$0 00	\$0 00	
09/30/14	08/31/11	3 89	\$36 47	\$38 19 *	\$-1 72		\$0 00	\$0 00	\$0 00	
09/30/14	09/30/11	3 88	\$36 37	\$37 04 *	\$-0 67		\$0 00	\$0 00	\$0 00	
09/30/14	10/31/11	3 98	\$37 28	\$38 38 *	\$-1 10		\$0 00	\$0 00	\$0 00	
09/30/14	11/30/11	3 89	\$36 43	\$37 29 *	\$-0 86		\$0 00	\$0 00	\$0 00	
09/30/14	12/30/11	4 06	\$38 03	\$38 63 *	\$-0 60		\$0 00	\$0 00	\$0 00	
09/30/14	01/31/12	3 99	\$37 41	\$38 67 *	\$-1 26		\$0 00	\$0 00	\$0 00	
09/30/14	02/29/12	3 74	\$35 04	\$36 38 *	\$-1 34		\$0 00	\$0 00	\$0 00	
09/30/14	03/30/12	4 03	\$37 76	\$38 92 *	\$-1 16		\$0 00	\$0 00	\$0 00	
09/30/14	04/30/12	3 92	\$36 74	\$37 80 *	\$-1 06		\$0 00	\$0 00	\$0 00	
09/30/14	05/31/12	4 14	\$38 83	\$39 14 *	\$-0 31		\$0 00	\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP									Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments					
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728										
09/30/14	06/29/12	4 01	\$37 55	\$38 01 *	\$-0 46		\$0 00		\$0 00	\$0 00		
09/30/14	07/31/12	4 15	\$38 85	\$39 41 *	\$-0 56		\$0 00		\$0 00	\$0 00		
09/30/14	08/31/12	4 14	\$38 82	\$39 68 *	\$-0 86		\$0 00		\$0 00	\$0 00		
09/30/14	09/28/12	3 98	\$37 26	\$38 52 *	\$-1 26		\$0 00		\$0 00	\$0 00		
09/30/14	10/31/12	4 15	\$38 87	\$39 89 *	\$-1 02		\$0 00		\$0 00	\$0 00		
09/30/14	11/30/12	4 08	\$38 23	\$38 79 *	\$-0 56		\$0 00		\$0 00	\$0 00		
09/30/14	12/31/12	4 2	\$39 34	\$40 13 *	\$-0 79		\$0 00		\$0 00	\$0 00		
09/30/14	01/31/13	4 2	\$39 32	\$40 43 *	\$-1 11		\$0 00		\$0 00	\$0 00		
09/30/14	02/28/13	3 82	\$35 80	\$36 73 *	\$-0 93		\$0 00		\$0 00	\$0 00		
09/30/14	03/27/13	4 24	\$39 76	\$40 76 *	\$-1 00		\$0 00		\$0 00	\$0 00		
09/30/14	04/29/13	4 13	\$38 68	\$39 53 *	\$-0 85		\$0 00		\$0 00	\$0 00		
09/30/14	05/30/13	4 3	\$40 30	\$41 02 *	\$-0 72		\$0 00		\$0 00	\$0 00		
09/30/14	06/27/13	4 25	\$39 79	\$39 77 *	\$0 02		\$0 00		\$0 00	\$0 00		
09/30/14	07/30/13	4 42	\$41 42	\$41 28 *	\$0 14		\$0 00		\$0 00	\$0 00		
09/30/14	08/29/13	4 51	\$42 26	\$41 40 *	\$0 86		\$0 00		\$0 00	\$0 00		
09/30/14	09/27/13	4 39	\$41 13	\$40 15 *	\$0 98		\$0 00		\$0 00	\$0 00		
09/30/14	09/30/10	3 73	\$34 92	\$37 21 *	\$-2 29		\$0 00		\$0 00	\$0 00		
09/30/14	08/31/10	1 49	\$13 93	\$14 80 *	\$-0 87		\$0 00		\$0 00	\$0 00		

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
EATON VANCE GLOBAL MACRO ABSOLUTE-I		277923728									
09/30/14	08/19/10	770 15	\$7,216 34	\$7,685 04 *	\$-468 70		\$0 00		\$0 00	\$0 00	
09/30/14	11/30/10	3 77	\$35 28	\$37 44 *	\$-2 16		\$0 00		\$0 00	\$0 00	
09/30/14	12/31/10	3 92	\$36 77	\$38 84 *	\$-2 07		\$0 00		\$0 00	\$0 00	
HARDING LOEVNER FRONT EMRG MKTS-INS		412295867									
02/18/14	02/28/11	10 92	\$95 00	\$81 66	\$13 34		\$0 00		\$0 00	\$0 00	
09/30/14	12/20/12	4 43	\$43 56	\$32 23	\$11 33		\$0 00		\$0 00	\$0 00	
09/30/14	12/19/11	1 95	\$19 15	\$11 87	\$7 28		\$0 00		\$0 00	\$0 00	
09/30/14	02/28/11	368 19	\$3,623 01	\$2,753 34	\$869 67		\$0 00		\$0 00	\$0 00	
HARRIS OAKMARK INTL SM CAP FD CL I		413838509									
02/18/14	12/16/10	3 56	\$61 89	\$49 71	\$12 18		\$0 00		\$0 00	\$0 00	
02/18/14	12/13/12	2 86	\$49 71	\$39 10	\$10 61		\$0 00		\$0 00	\$0 00	
02/18/14	12/15/11	0 28	\$4 88	\$3 33	\$1 55		\$0 00		\$0 00	\$0 00	
02/18/14	09/10/09	127 94	\$2,223 52	\$1,429 05	\$794 47		\$0 00		\$0 00	\$0 00	
IVA FIDUCIARY TR IVA INTL FD		45070A404									
09/30/14	09/10/09	236 57	\$4,232 18	\$3,399 47	\$832 71		\$0 00		\$0 00	\$0 00	
09/30/14	12/13/12	36 27	\$648 89	\$562 57	\$86 32		\$0 00		\$0 00	\$0 00	
KALMAR POOLED INVT TR SMALL CAP		483438206									
09/30/14	12/28/12	92 65	\$1,820 49	\$1,469 37	\$351 12		\$0 00		\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
KALMAR POOLED INVT TR SMALL CAP		483438206									
09/30/14	09/10/09	30 39	\$597 22	\$348 61	\$248 61		\$0 00		\$0 00	\$0 00	
PIMCO FDS TOTAL RETURN INSTL FD		693390700									
02/18/14	09/10/09	999 64	\$10,846 10	\$10,829 21 *	\$16 89		\$0 00		\$0 00	\$0 00	
02/18/14	01/31/13	2 04	\$22 10	\$22 78 *	\$-0 68		\$0 00		\$0 00	\$0 00	
02/18/14	12/08/10	87 59	\$950 34	\$945 37 *	\$4 97		\$0 00		\$0 00	\$0 00	
02/18/14	09/30/11	3 78	\$40 98	\$40 72 *	\$0 26		\$0 00		\$0 00	\$0 00	
02/18/14	10/31/11	3 72	\$40 31	\$40 50 *	\$-0 19		\$0 00		\$0 00	\$0 00	
02/18/14	11/30/11	4 09	\$44 33	\$44 02 *	\$0 31		\$0 00		\$0 00	\$0 00	
02/18/14	12/28/11	8 24	\$89 41	\$89 19 *	\$0 22		\$0 00		\$0 00	\$0 00	
02/18/14	12/30/11	4 02	\$43 65	\$43 70 *	\$-0 05		\$0 00		\$0 00	\$0 00	
02/18/14	01/31/12	3 74	\$40 55	\$41 53 *	\$-0 98		\$0 00		\$0 00	\$0 00	
02/18/14	02/29/12	3 5	\$37 96	\$38 89 *	\$-0 93		\$0 00		\$0 00	\$0 00	
02/18/14	03/30/12	4 34	\$47 07	\$48 08 *	\$-1 01		\$0 00		\$0 00	\$0 00	
02/18/14	04/30/12	3 98	\$43 18	\$44 63 *	\$-1 45		\$0 00		\$0 00	\$0 00	
02/18/14	05/31/12	4 41	\$47 79	\$49 66 *	\$-1 87		\$0 00		\$0 00	\$0 00	
02/18/14	06/29/12	3 94	\$42 69	\$44 44 *	\$-1 75		\$0 00		\$0 00	\$0 00	
02/18/14	07/31/12	3 15	\$34 19	\$36 12 *	\$-1 93		\$0 00		\$0 00	\$0 00	
02/18/14	08/31/12	3 4	\$36 84	\$39 02 *	\$-2 18		\$0 00		\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP						Portion Subject to 988 and Market Discount (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
PIMCO FDS TOTAL RETURN INSTL FD		693390700									
02/18/14	09/28/12	2 37	\$25 75	\$27 46 *	\$-1 71		\$0 00		\$0 00	\$0 00	
02/18/14	10/31/12	3 27	\$35 51	\$37 91 *	\$-2 40		\$0 00		\$0 00	\$0 00	
02/18/14	11/30/12	3 36	\$36 41	\$38 98 *	\$-2 57		\$0 00		\$0 00	\$0 00	
02/18/14	12/12/12	27 48	\$298 10	\$311 93 *	\$-13 83		\$0 00		\$0 00	\$0 00	
02/18/14	12/27/12	12 1	\$131 27	\$135 91 *	\$-4 64		\$0 00		\$0 00	\$0 00	
02/18/14	12/31/12	2 63	\$28 48	\$29 48 *	\$-1 00		\$0 00		\$0 00	\$0 00	
02/18/14	12/31/09	11 98	\$129 99	\$129 32 *	\$0 67		\$0 00		\$0 00	\$0 00	
PIMCO ALL ASSETS ALL AUTH-IS		72200Q182									
02/18/14	12/27/12	54 16	\$540 00	\$606 07	\$-66 07		\$0 00		\$0 00	\$0 00	
PIMCO UNCONSTRAINED BOND-INS		72201M487									
02/18/14	12/31/12	1 31	\$14 71	\$15 05 *	\$-0 34		\$0 00		\$0 00	\$0 00	
02/18/14	01/31/13	1 07	\$12 04	\$12 38 *	\$-0 34		\$0 00		\$0 00	\$0 00	
02/18/14	08/19/10	26 98	\$302 46	\$303 70 *	\$-1 24		\$0 00		\$0 00	\$0 00	
02/18/14	11/30/12	2 01	\$22 52	\$23 46 *	\$-0 94		\$0 00		\$0 00	\$0 00	
02/18/14	12/27/12	30 75	\$344 73	\$352 90 *	\$-8 17		\$0 00		\$0 00	\$0 00	
02/18/14	12/12/12	2 1	\$23 54	\$24 46 *	\$-0 92		\$0 00		\$0 00	\$0 00	
08/28/14	03/28/13	1 48	\$16 72	\$17 03 *	\$-0 31		\$0 00		\$0 00	\$0 00	
08/28/14	08/19/10	79 72	\$902 40	\$896 57 *	\$5 83		\$0 00		\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
PIMCO UNCONSTRAINED BOND-INS		72201M487								
08/28/14	07/31/13	1 06	\$11 95	\$11 89 *	\$0 06		\$0 00	\$0 00	\$0 00	
08/28/14	06/28/13	1 06	\$11 97	\$11 92 *	\$0 05		\$0 00	\$0 00	\$0 00	
08/28/14	05/31/13	1 75	\$19 84	\$20 17 *	\$-0 33		\$0 00	\$0 00	\$0 00	
08/28/14	02/28/13	1 57	\$17 74	\$18 10 *	\$-0 36		\$0 00	\$0 00	\$0 00	
08/28/14	04/30/13	1 71	\$19 38	\$19 81 *	\$-0 43		\$0 00	\$0 00	\$0 00	
09/15/14	08/19/10	309 74	\$3,500 00	\$3,483 59 *	\$16 41		\$0 00	\$0 00	\$0 00	
09/30/14	12/28/11	13 08	\$147 43	\$142 16 *	\$5 27		\$0 00	\$0 00	\$0 00	
09/30/14	12/30/11	1 74	\$19 58	\$18 89 *	\$0 69		\$0 00	\$0 00	\$0 00	
09/30/14	02/29/12	2 4	\$27 06	\$26 45 *	\$0 61		\$0 00	\$0 00	\$0 00	
09/30/14	03/30/12	3 91	\$44 03	\$43 07 *	\$0 96		\$0 00	\$0 00	\$0 00	
09/30/14	04/30/12	3 54	\$39 90	\$39 47 *	\$0 43		\$0 00	\$0 00	\$0 00	
09/30/14	08/30/13	1 2	\$13 57	\$13 44 *	\$0 13		\$0 00	\$0 00	\$0 00	
09/30/14	11/30/11	2 44	\$27 54	\$26 63 *	\$0 91		\$0 00	\$0 00	\$0 00	
09/30/14	10/31/11	2 06	\$23 16	\$22 49 *	\$0 67		\$0 00	\$0 00	\$0 00	
09/30/14	09/30/11	2 2	\$24 74	\$23 98 *	\$0 76		\$0 00	\$0 00	\$0 00	
09/30/14	08/31/11	2 02	\$22 71	\$22 13 *	\$0 58		\$0 00	\$0 00	\$0 00	
09/30/14	07/29/11	2 46	\$27 77	\$27 30 *	\$0 47		\$0 00	\$0 00	\$0 00	
09/30/14	06/30/11	3 13	\$35 30	\$34 72 *	\$0 58		\$0 00	\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
PIMCO UNCONSTRAINED BOND-INS		72201M487								
09/30/14	05/31/11	3 51	\$39 58	\$39 01 *	\$0 57		\$0 00	\$0 00	\$0 00	
09/30/14	04/29/11	3 06	\$34 50	\$34 15 *	\$0 35		\$0 00	\$0 00	\$0 00	
09/30/14	03/31/11	3 17	\$35 68	\$35 32 *	\$0 36		\$0 00	\$0 00	\$0 00	
09/30/14	02/28/11	2 85	\$32 15	\$31 81 *	\$0 34		\$0 00	\$0 00	\$0 00	
09/30/14	01/31/11	3 51	\$39 58	\$39 01 *	\$0 57		\$0 00	\$0 00	\$0 00	
09/30/14	12/31/10	3 92	\$44 13	\$43 41 *	\$0 72		\$0 00	\$0 00	\$0 00	
09/30/14	12/08/10	4 26	\$48 06	\$47 23 *	\$0 83		\$0 00	\$0 00	\$0 00	
09/30/14	11/30/10	4 17	\$47 03	\$46 72 *	\$0 31		\$0 00	\$0 00	\$0 00	
09/30/14	08/19/10	938 46	\$10,576 49	\$10,554 90 *	\$21 59		\$0 00	\$0 00	\$0 00	
09/30/14	01/31/12	1 14	\$12 86	\$12 55 *	\$0 31		\$0 00	\$0 00	\$0 00	
POWERSHARES FTSE RAFI EMRGIN		73936T763								
09/30/14	02/28/11	225	\$4,508 02	\$5,789 00	\$-1,280 98		\$0 00	\$0 00	\$0 00	
PROFESSIONALLY MANAGED		742935489								
02/18/14	09/29/10	5 92	\$70 83	\$69 17	\$1 66		\$0 00	\$0 00	\$0 00	
02/18/14	02/28/11	32 65	\$390 53	\$386 94	\$3 59		\$0 00	\$0 00	\$0 00	
02/18/14	03/15/11	8 48	\$101 44	\$99 32	\$2 12		\$0 00	\$0 00	\$0 00	
02/18/14	06/15/11	13 98	\$167 20	\$163 57	\$3 63		\$0 00	\$0 00	\$0 00	
09/30/14	09/29/10	5 58	\$65 29	\$65 13	\$0 16		\$0 00	\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
PROFESSIONALLY MANAGED		742935489									
09/30/14	03/15/13	11 99	\$140 36	\$141 68	\$-1 32		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/17/13	15 71	\$184 01	\$184 95	\$-0 94		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/19/10	519 66	\$6,085 17	\$6,048 80	\$36 37		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	09/16/13	10 69	\$125 17	\$125 92	\$-0 75		\$0 00		\$0 00	\$0 00	\$0 00
RIDGEWORTH SEIX FLTG RATE INCOME FD CI		76628T678									
02/18/14	11/30/12	1 1	\$9 95	\$9 82	\$0 13		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/19/12	0 02	\$0 19	\$0 19	\$0 00		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	12/31/12	1 15	\$10 41	\$10 30	\$0 11		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	01/31/13	1 09	\$9 93	\$9 88	\$0 05		\$0 00		\$0 00	\$0 00	\$0 00
02/18/14	09/10/09	7 11	\$64 51	\$59 97	\$4 54		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	07/31/13	0 99	\$8 83	\$8 95	\$-0 12		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	06/28/13	0 98	\$8 70	\$8 75	\$-0 05		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	08/30/13	0 98	\$8 74	\$8 82	\$-0 08		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	09/10/09	182 73	\$1,629 94	\$1,542 23	\$87 71		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	05/31/13	1 02	\$9 09	\$9 23	\$-0 14		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	02/28/13	1	\$8 91	\$9 01	\$-0 10		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	03/28/13	1 13	\$10 04	\$10 20	\$-0 16		\$0 00		\$0 00	\$0 00	\$0 00
09/30/14	04/30/13	0 99	\$8 84	\$9 01	\$-0 17		\$0 00		\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION		CUSIP								Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
THIRD AVE REAL ESTATE VALUE FD		884116401									
09/30/14	12/18/12	6.43	\$200.00	\$161.82	\$38.18		\$0.00		\$0.00	\$0.00	\$0.00
TOUCHSTONE SANDS CAP IN GRW		89155J104									
09/30/14	09/10/09	590.11	\$13,749.49	\$5,735.84	\$8,013.65		\$0.00		\$0.00	\$0.00	\$0.00
09/30/14	12/06/12	57.25	\$1,333.85	\$976.63	\$357.22		\$0.00		\$0.00	\$0.00	\$0.00
09/30/14	12/27/12	0.72	\$16.66	\$12.10	\$4.56		\$0.00		\$0.00	\$0.00	\$0.00
TWEEDY BROWNE FD INC WORLDWIDE		901165308									
02/18/14	02/28/11	1051.53	\$12,439.54	\$10,000.00	\$2,439.54		\$0.00		\$0.00	\$0.00	\$0.00
02/18/14	06/29/11	13.14	\$155.49	\$126.18	\$29.31		\$0.00		\$0.00	\$0.00	\$0.00
02/18/14	12/15/11	1022.22	\$12,092.88	\$9,200.00	\$2,892.88		\$0.00		\$0.00	\$0.00	\$0.00
02/18/14	12/29/11	13.21	\$156.32	\$121.04	\$35.28		\$0.00		\$0.00	\$0.00	\$0.00
02/18/14	06/28/12	29.9	\$353.75	\$273.01	\$80.74		\$0.00		\$0.00	\$0.00	\$0.00
02/18/14	12/27/12	6.27	\$74.11	\$63.90	\$10.21		\$0.00		\$0.00	\$0.00	\$0.00
09/30/14	06/27/13	24.14	\$287.05	\$254.22	\$32.83		\$0.00		\$0.00	\$0.00	\$0.00
<i>Total Long Term Gain/Loss</i>			<i>\$169,519.53</i>	<i>\$148,786.92</i>	<i>\$20,732.61</i>		<i>\$0.00</i>		<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>