



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

P.O. BOX 1898

City or town, state or province, country, and ZIP or foreign postal code

BURLINGTON, NC 27216-1898

G Check all that apply:

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 4,171,974.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

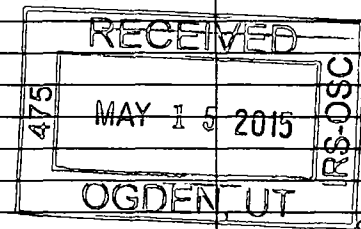
56-2055212

B Telephone number (see instructions)

252-443-0551

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	95,066.	94,262.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	236,161.			
b Gross sales price for all assets on line 6a 1,664,116.		236,161.		
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	7,200.			
12 Total Add lines 1 through 11	338,427.	330,423.		
13 Compensation of officers, directors, trustees, etc.	38,530.	31,595.		6,935.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT. 2	525.	525.	NONE	NONE
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT. 3	2,955.	1,182.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT. 4	1,895.	1,554.		341.
24 Total operating and administrative expenses. Add lines 13 through 23.	43,905.	34,856.	NONE	7,276.
25 Contributions, gifts, grants paid	195,000.			195,000.
26 Total expenses and disbursements Add lines 24 and 25	238,905.	34,856.	NONE	202,276.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	99,522.			
b Net investment income (if negative, enter -0-)		295,567.		
c Adjusted net income (if negative, enter -0-)				



STMT 1

3

SCANNED MAY 21 2015

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	67,900.	78,308.	78,308.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)	417,978.	471,538.	612,381.
	b	Investments - corporate stock (attach schedule)	2,816,290.	2,850,804.	3,481,285.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation ▶ (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation ▶ (attach schedule)			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,302,168.	3,400,650.	4,171,974.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,302,168.	3,400,650.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	3,302,168.	3,400,650.		
31	Total liabilities and net assets/fund balances (see instructions)	3,302,168.	3,400,650.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,302,168.
2	Enter amount from Part I, line 27a	2	99,522.
3	Other increases not included in line 2 (itemize) ▶ 2014 INCOME POSTED IN 2013	3	322.
4	Add lines 1, 2, and 3	4	3,402,012.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	1,362.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,400,650.

Form 990-PF (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,664,116.		1,427,955.	236,161.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a			236,161.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	236,161.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	209,969.	3,966,167.	0.052940
2012	179,264.	3,630,492.	0.049377
2011	165,466.	3,647,346.	0.045366
2010	140,775.	3,468,299.	0.040589
2009	189,612.	3,038,229.	0.062409
2 Total of line 1, column (d)			0.250681
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.050136
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4,208,256.
5 Multiply line 4 by line 3			210,985.
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,956.
7 Add lines 5 and 6			213,941.
8 Enter qualifying distributions from Part XII, line 4			202,276.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	5,911.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	5,911.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3 Add lines 1 and 2			
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		4	5,911.
6 Credits/Payments		5	1,773.
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,773.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,773.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	7.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,145.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>EUGENE F. HOLLAND JR.</u> Telephone no <u>(252) 443-0551</u> Located at <u>PO BOX 7608, ROCKY MOUNT, NC</u> ZIP+4 <u>27804</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		38,530.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2014)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,585,442.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	686,899.
d	Total (add lines 1a, b, and c)	1d	4,272,341.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,272,341.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	64,085.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,208,256.
6	Minimum investment return. Enter 5% of line 5	6	210,413.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	210,413.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	5,911.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,911.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	204,502.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	204,502.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	204,502.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	202,276.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	202,276.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	202,276.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				204,502.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			10,015.	
b Total for prior years 20 <u>12</u> , 20 <u>20</u> , 20 <u>20</u>		NONE		
3 Excess distributions carryover, if any, to 2014.				
a From 2009	NONE			
b From 2010	NONE			
c From 2011	NONE			
d From 2012	NONE			
e From 2013	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2014 from Part XII, line 4 ► \$ <u>202,276.</u>				
a Applied to 2013, but not more than line 2a			10,015.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2014 distributable amount				192,261.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				12,241.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2010	NONE			
b Excess from 2011	NONE			
c Excess from 2012	NONE			
d Excess from 2013	NONE			
e Excess from 2014	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CITY OF ROCKY MOUNT DOWNTOWN DEVELOPMENT VANE PO BOX 1180 ROCKY MOUNT NC 27802	NONE	501(C)3	GRANT FOR DOWNTOWN LIVE SERIES	15,000.
CITY OF ROCKY MOUNT PARKS & REC KEVEN YARRELL PO BOX 1180 ROCKY MOUNT NC 27802	NONE	501(C)3	THEATRE LINE SETS TO MOUNT LIGHTS	15,000.
CAROLINAS GATEWAY PARTNERSHIP C/O JOHN R. GES 427 FALLS ROAD ROCKY MOUNT NC 27804	NONE	501(C)3	JOBS CREATION INCENTIVES	25,000.
SPRINGBOARD NC, INC PHIL DIXON 47 FALLS ROAD ROCKY MOUNT NC 27804	NONE	501(C)3	DIGITAL MEDIA SCHOOL	50,000.
DOWN EAST PARTNERSHIP FOR CHILDREN C/O JASON PO BOX 1245 ROCKY MOUNT NC 27802	NONE	501(C)3	RENOVATION CHILDREN FAMILY RESOURCE CENTER	25,000.
ANIMAL CRACKERS C/O TERRY NOBLE PO BOX 8860 ROCKY MOUNT NC 27804	NONE	501(C)3	DOG PARK	27,500.
ROCKY MOUNT FAMILY YMCA ATTN: GERALD BATTS PO BOX 4063 ROCKY MOUNT NC 27803	NONE	501(C)3	HVAC CONTROLS SYSTEM	25,000.
CHRISTIAN FELLOWSHIP HOME ATTN: THOMAS BETTS 301 S. GRACI STREET ROCKY MOUNT NC 27804	NONE	501(C)3	UPGRADE RENOVATIONS TO KITCHEN	12,500.
Total ► 3a				195,000.
b Approved for future payment				
Total ► 3b				

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

18

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
-----	-----

TOTALS	7,200.
	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	525.	525.		
TOTALS	525.	525.	NONE	NONE
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	236.	236.
FEDERAL ESTIMATES - PRINCIPAL	1,773.	
FOREIGN TAXES ON QUALIFIED FOR	566.	566.
FOREIGN TAXES ON NONQUALIFIED	380.	380.
	-----	-----
TOTALS	2,955.	1,182.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	1,895.	1,554.	341.
TOTALS	1,895. =====	1,554. =====	341. =====

FORM '990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT
-----2015 INCOME POSTED IN 2014
MARKET ADJUSTMENT

914.

448.

TOTAL

1,362.
=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

1100 N. WESLEYAN BLVD. SUITE 500

ROCKY MOUNT, NC 27804

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

1100 N. WESLEYAN BLVD. SUITE 500

ROCKY MOUNT, NC 27804

TITLE:

SECRETARY

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

EUGENE F. HOLLAND, JR.

ADDRESS:

2300 PROFESSIONAL DRIVE

ROCKY MOUNT, NC DIRECTOR

TITLE:

1

OFFICER NAME:

JOHN B. KINCHELOE, JR.

ADDRESS:

PO BOX 671

ROCKY MOUNT, NC 27802

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

CHARLES PENNY

ADDRESS:

ONE GOVERNMENT PLAZA

ROCKY MOUNT, NC 27804

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

BILL DAUGHTRIDGE

ADDRESS:

1200 EAST STREET

ROCKY MOUNT, NC 27802

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

CINDY STEWART

ADDRESS:

1100 N. WESLEYAN BLVD, SUITE 200

ROCKY MOUNT, NC 27804

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

TRUST COMPANY OF THE SOUTH

ADDRESS:

3041 SOUTH CHURCH STREET

BURLINGTON, NC 27215

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8

COMPENSATION 38,530.

TOTAL COMPENSATION:

38,530.

=====

=====

RECIPIENT NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

P.O. BOX 8105

ROCKY MOUNT, NC 27804-1105

RECIPIENT'S PHONE NUMBER: 252-446-6131

FORM, INFORMATION AND MATERIALS:

WRITTEN

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

RESTRICTED TO THE ROCKY MOUNT AREA

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2014

Name of estate or trust

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

Employer identification number

56-2055212

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	412,566.	411,206.		1,360.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet				()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				1,360.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	1,236,937.	1,016,749.		220,188.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				
13 Capital gain distributions				14,613.
14 Gain from Form 4797, Part I				
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet				()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				234,801.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		1,360.
18 Net long-term gain or (loss):			
a Total for year	18a		234,801.
b Unrecaptured section 1250 gain (see line 18 of the wrksh.)	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a ▶	19		236,161.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,500	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶		30	
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$12,150	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% ▶		37	
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶		41	
42 Figure the tax on the amount on line 27. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶		45	

Schedule D (Form 1041) 2014

Department of the Treasury
Internal Revenue Service

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► **File with your Schedule D** to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number	
--	--

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

56-2055212

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	36.675 DFA INTL SMALL COMP PORTFOLIO	11/14/2014	12/05/2014	662.00	662.00			
	12987.333 DFA 2 YEAR GLOBA PORTFOLIO	09/27/2013	05/13/2014	130,003.00	130,523.00			-520.00
	3617.687 JP MORGAN CORE BO	05/15/2014	11/14/2014	42,472.00	42,472.00			
	452.906 JP MORGAN CORE BON	05/15/2014	12/05/2014	5,313.00	5,317.00			-4.00
	170. MARKET VECTORS GOLD M	09/11/2014	12/01/2014	3,236.00	4,074.00			-838.00
	9153.318 PIMCO UNCONSTRAIN FUND, INST CL	09/27/2013	05/15/2014	103,158.00	102,883.00			275.00
	. PIMCO UNCONSTRAINED BOND CL	09/27/2013	05/15/2014	117.00				117.00
	300. TARGET CORP.	02/14/2014	04/29/2014	18,583.00	16,884.00			1,699.00
	5440.736 VANGUARD TOTAL BD INSTL	09/24/2014	11/14/2014	59,032.00	58,597.00			435.00
	263.511 VANGUARD TOTAL BD INSTL	09/24/2014	12/05/2014	2,859.00	2,838.00			21.00
	1434.848 VANGUARD TOTAL IN BOND INDEX	10/21/2014	11/14/2014	45,097.00	44,939.00			158.00
	64.414 VANGUARD TOTAL INTE BOND INDEX	10/21/2014	12/05/2014	2,034.00	2,017.00			17.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtr- act negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶				412,566	411,206.			1,360.

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

Form **8949** (2014)

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

56-2055212

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	200. APACHE CORP	03/25/2013	12/16/2014	10,982.00	14,989.00			-4,007.00
	500. COCA COLA CO	03/22/2010	10/07/2014	21,933.00	11,770.00			10,163.00
	2363 906 DFA INTL REAL EST	06/11/2012	05/13/2014	13,001.00	16,703.00			-3,702.00
	48.619 DFA INTL REAL ESTAT	06/11/2012	11/14/2014	272.00	229.00			43.00
	35.283 DFA INTL REAL ESTAT	06/11/2012	12/05/2014	197.00	166.00			31.00
	9.907 DFA EMERGING MKTS VA	05/14/2009	11/14/2014	269.00	211.00			58.00
	14.525 DFA EMERGING MKTS V	05/14/2009	12/05/2014	393.00	310.00			83.00
	1.671 DFA EMERGING MKTS SM	05/31/2013	11/14/2014	35.00	36.00			-1.00
	7.693 DFA EMERGING MKTS SM	05/31/2013	12/05/2014	162.00	168.00			-6.00
	12999.261 DFA 2 YEAR GLOBA PORTFOLIO	09/27/2013	10/21/2014	130,253.00	130,643.00			-390.00
	1287.658 DFA INTL SMALL CA PORTFOLIO	11/15/2007	05/13/2014	27,762.00	28,638.00			-876.00
	5509.692 DFA INTL SMALL CA PORTFOLIO	12/21/2011	11/14/2014	105,951.00	80,222.00			25,729.00
	935.443 DFA US SMALL CAP V PORTFOLIO	04/08/2009	05/13/2014	33,012.00	9,206.00			23,806.00
	635.564 DFA US SMALL CAP V PORTFOLIO	04/08/2009	11/14/2014	22,868.00	8,281.00			14,587.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

ROCKY MOUNT COMMUNITY FOUNDATION, INC

56-2055212

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	742.942 DFA US LARGE CAP V PORTFOLIO	12/24/2003	06/27/2014	25,000.00	12,542.00			12,458.00
	227.815 DFA US LARGE CAP V PORTFOLIO	12/24/2003	12/05/2014	7,837.00	3,846.00			3,991.00
	15.423 DFA REAL ESTATE SEC PORTFOLIO	05/24/2005	12/05/2014	507.00	361.00			146.00
	2147.716 DFA LARGE CAP INTL	12/21/2011	05/13/2014	49,634.00	36,113.00			13,521.00
	78.757 DFA LARGE CAP INTL	12/21/2011	12/05/2014	1,701.00	1,311.00			390.00
	4173.359 DFA INTERNATIONAL PORTFOLIO	06/08/2005	05/13/2014	84,051.00	66,356.00			17,695.00
	200.179 DFA INTERNATIONAL PORTFOLIO	06/08/2005	12/05/2014	3,701.00	3,183.00			518.00
	25. GOOGLE INC CL A	04/27/2011	01/31/2014	29,286.00	13,393.00			15,893.00
	715. HOSPIRA INC W/I	02/15/2013	07/28/2014	38,501.00	20,961.00			17,540.00
	660. MARKET VECTORS GOLD M	10/29/2013	12/01/2014	12,562.00	17,651.00			-5,089.00
	1436.732 PIMCO TOTAL RETUR SHS	09/24/2007	05/13/2014	15,660.00	15,014.00			646.00
	. PIMCO TOTAL RETURN FD IN	09/24/2007	05/13/2014	35.00				35.00
	27875.464 PIMCO TOTAL RETU SHS	06/11/2012	09/24/2014	303,285.00	292,497.00			10,788.00
	. PIMCO TOTAL RETURN FD IN	09/24/2007	09/24/2014	651.00				651.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

56-2055212

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	6018.37 TEMPLETON GLOBAL B CL	05/27/2010	10/21/2014	78,901.00	77,517.00			1,384.00
	807.948 TEMPLETON GLOBAL B CL	05/27/2010	11/14/2014	10,649.00	10,406.00			243.00
	42.531 TEMPLETON GLOBAL BO	05/27/2010	12/05/2014	557.00	548.00			9.00
	210. 3M CORPORATION	10/25/2011	07/16/2014	30,527.00	16,197.00			14,330.00
	814.208 VANGUARD SHORT TER GRADE FD	05/27/2010	05/13/2014	8,769.00	8,688.00			81.00
	3692.511 VANGUARD SHORT TE INVESTMENT GRADE FD	05/27/2010	11/14/2014	39,584.00	39,399.00			185.00
	191 613 VANGUARD SHORT TER GRADE FD	05/27/2010	12/05/2014	2,048.00	2,045.00			3.00
	749.559 VANGUARD SMALL CAP INDEX FUND INSTITUTIONA	05/27/2010	05/13/2014	25,035.00	13,537.00			11,498.00
	1560.425 VANGUARD GROWTH I INSTITUTIONAL SHARES	12/21/2007	05/13/2014	76,008.00	48,726.00			27,282.00
	129.389 VANGUARD GROWTH IN INSTITUTIONAL SHARES	12/21/2007	12/05/2014	7,028.00	4,344.00			2,684.00
	390. WASTE MANAGEMENT INC	10/08/2001	10/09/2014	18,330.00	10,542.00			7,788.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				1,236,937.	1,016,749.			220,188.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Business Date 4/21/2015 Time Printed. 4/21/2015 10 09 17 AM

Account# A027533 ROCKY MOUNT COMMUNITY FDN - VAL

Select	Account A
As Of Date	12/31/2014

Location.	All
Registration.	All
Trade Date Basis	No
Include Transactions:	None

CUSIP	Ticker	Security Description	P/I	Shares	Price	Local Mkt Value	%Port	EAInc	Accruals	Cost Basis	Unlted G/L	Yield
Account Number A027633 [USD] - ROCKY MOUNT COMMUNITY FDN - VAL												
Security Type CASH EQUIVALENT- TAXABLE												
09248U551	TTXX	BLACKROCK TREAS TRUST INST	P	78,308,2700	1 0000	78,308 27	USD	11 34	0 00	1 05	78,308 27	0 00 0 00
Security Type COMMON STOCK												
060505104	BAC	BANK OF AMERICA CORP	P	1,500 0000	17 8900	26,835 00	USD	3 89	300 00	0 00	23,257 08	3,577 92 1 12
097023105	BA	BOEING COMPANY	P	200 0000	129 9800	25,996 00	USD	3 76	728 00	0 00	14,729 46	11,266 54 2 80
166764100	CVX	CHEVRON CORP	P	165 0000	112 1900	18,509 70	USD	2 68	706 20	0 00	20,370 04	-1,860 34 3 82
17275R102	CSCO	CISCO SYSTEMS INC	P	750 0000	27 8150	20,861 25	USD	3 02	570 00	0 00	12,754 44	8,106 81 2 73
20825C104	COP	CONOCOPHILLIPS COM	P	250 0000	69 0600	17,265 00	USD	2 50	730 00	0 00	9,065 46	8,199 54 4 23
244199105	DE	DEERE & CO	P	245 0000	88 4700	21,675 15	USD	3 14	588 00	147 00	21,239 16	435 99 2 71
25179M103	DVN	DEVON ENERGY CORP	P	200 0000	61 2100	12,242 00	USD	1 77	192 00	0 00	10,550 47	1,691 53 1 57
253651103	DBD	DIEBOLD INC	P	515 0000	34 6400	17,839 60	USD	2 58	592 25	0 00	17,915 70	-76 10 3 32
263534109	DD	E I DUPONT & CO	P	300 0000	73 9400	22,182 00	USD	3 21	564 00	0 00	10,057 94	12,124 06 2 54
30231G102	XOM	EXXON MOBIL CORP	P	185 0000	92 4500	17,103 25	USD	2 48	510 60	0 00	7,129 03	9,574 22 2 99
36960A103	GE	GENERAL ELECTRIC CO	P	800 0000	25 2700	20,216 00	USD	2 93	736 00	184 00	13,569 33	6,946 67 3 64
459200101	IBM	IBM CORP	P	100 0000	160 4400	16,044 00	USD	2 32	440 00	0 00	17,329 84	-1,285 84 2 74
46625H100	JPM	J P MORGAN CHASE &	P	350 0000	62 5800	21,903 00	USD	3 17	560 00	0 00	14,303 48	7,599 52 2 56
478160104	JNJ	JOHNSON & JOHNSON	P	250 0000	104 5700	26,142 50	USD	3 78	700 00	0 00	14,362 01	11,780 49 2 68
481165108	JOY	JOY GLOBAL INC	P	310 0000	46 5200	14,421 20	USD	2 09	248 00	0 00	17,069 44	-2,648 24 1 72
540424108	L	LOEWS CORP	P	460 0000	42 0200	19,329 20	USD	2 80	115 00	0 00	19,763 26	-434 06 0 59
58933Y105	MRK	MERCK & CO INC NEWCOM	P	440 0000	56 7900	24,987 60	USD	3 62	792 00	198 00	19,947 65	5,039 95 3 17
59156R108	MET	METLIFE INC	P	355 0000	54 0900	19,201 95	USD	2 78	497 00	0 00	16,380 34	2,821 61 2 59
61945C103	MOS	MOSAIK COMPANY NEW	P	420 0000	45 6500	19,173 00	USD	2 78	420 00	0 00	20,226 22	-1,053 22 2 19
636180101	NFG	NATIONAL FUEL GAS CO (NJ)	P	375 0000	69 5300	26,073 75	USD	3 78	577 50	144 38	18,617 53	7,456 22 2 21
670346105	PUE	NUCOR CORP	P	380 0000	49 0500	18,639 00	USD	2 70	566 20	141 55	18,982 81	-353 81 3 04
71708R103	PFE	PFIZER INC	P	810 0000	31 1500	25,231 50	USD	3 65	907 20	0 00	15,345 74	9,885 76 3 60
747525103	QCOM	QUALCOMM INC	P	250 0000	74 3300	18,582 50	USD	2 69	420 00	0 00	17,580 45	1,002 05 2 26
806857108	SLB	SCHLUMBERGER LTD	P	200 0000	85 4100	17,082 00	USD	2 47	320 00	80 00	8,905 89	8,176 11 1 87
857477103	STT	STATE STREET CORP	P	270 0000	78 5000	21,195 00	USD	3 07	324 00	81 00	17,546 23	3,648 77 1 53
911312106	UPS	UNITED PARCEL SVC	P	200 0000	111 1700	22,234 00	USD	3 22	536 00	0 00	14 130 04	8,103 96 2 41
931142103	WMT	WAL-MART STORES INC	P	250 0000	85 8800	21 470 00	USD	3 11	480 00	120 00	13,620 77	7,849 23 2 24
Security Type Total						552,435 15	79 98	14,119 95	1,095 93	424 759 81	127,675 34	2 56
Security Type FOREIGN EQUITIES												
37733W105	GSK	GLAXO WELLCOME PLC	P	425 0000	42 7400	18,164 50	USD	2 63	1 126 63	260 53	19 341 29	-1,176 79 6 20
66987V109	NVS	NOVARTIS AG	P	230 0000	92 6600	21,311 80	USD	3 09	537 81	0 00	8,739 48	12,572 32 2 52
835699307	SNE	SONY CORP	P	1,000 0000	20 4700	20,470 00	USD	2 96	215 70	0 00	18,697 72	1,772 28 1 05
Security Type Total						59,946 30	8 68	1,880 14	260 53	46,778 49	13,167 81	3 13
Account Subtotal						690,689 72	100 00	16,000 09	1,357 51	549 846 57	140,843 15	2 32

Holding List

Business Date 4/21/2015 Time Printed 4/21/2015 10:22:44 AM

Select Account A077533 ROCKY MOUNT COMMUNITY FDN - DFA
As Of Date 12/31/2014
Location All
Registration All
Trade Date Basis No
Include Transactions None

CUSIP	Ticker	Security Description	P/I	Shares	Price	Local Mkt Value	%Port	EAtrc	Accruals	Cost Basis	Unbrd G/L	Yield
Account Number - A077533 [USD] - ROCKY MOUNT COMMUNITY FDN - DFA												
Security Type: CASH EQUIVALENT- TAXABLE												
09248U18	TSTXX	BLACKROCK T-FUND INST	P	35,539,1700	1.0000	35,539.17	USD	1.02	3.55	0.52	35,539.17	0.00 0.01
Security Type: MUTUAL FUNDS - REIT												
233203348	DFITX	DFA INTL REAL ESTATE SEC PORT	P	3,820,5330	5.2400	20,019.59	USD	0.58	1,090.76	173.87	18,074.37	1,945.22 5.45
233203835	DFREX	DFA REAL ESTATE SECURITIES	P	1,311,6370	33.0700	43,375.84	USD	1.25	1,124.73	0.00	34,422.84	8,953.00 2.59
						63,395.43	USD	1.82	2,215.49	173.87	52,497.21	10,898.22 3.49
						Security Type Total						
Security Type: MUTUAL FUNDS-EQUITY												
233203819	DFSVX	DFA US SMALL CAP VALUE	P	4,712,5270	34.9700	164,797.07	USD	4.73	1,178.13	0.00	76,773.72	88,023.35 0.71
233203827	DFLVX	DFA US LARGE CAP VALUE	P	18,134,0510	33.9900	616,376.39	USD	17.71	10,610.23	0.00	368,069.95	248,306.44 1.72
922908819	VSGIX	VANGUARD SM CAP GR INDEX FD INST SHS	P	1,351,5070	35.4500	47,910.92	USD	1.38	486.54	0.00	31,623.34	16,287.58 1.02
922908868	VIGIX	VANGUARD GROWTH INDEX FD INST	P	11,685,9390	53.7000	627,534.92	USD	18.03	7,630.92	0.00	401,181.11	226,353.81 1.22
						1,456,619.30	USD	41.84	19,905.82	0.00	877,648.12	578,971.18 1.37
						Security Type Total						
Security Type: MUTUAL FUNDS-FIXED INCOME												
4812C0381	WOBDX	JP MORGAN CORE BOND FD SEL	P	39,874,5870	11.7500	468,526.40	USD	13.46	11,842.75	0.00	468,127.65	398.75 2.53
880208400	TGBAX	TEMPLETON GLOBAL BOND FD ADV CL	P	4,638,5270	12.4100	57,564.12	USD	1.65	1,370.22	0.00	60,605.93	-3,041.81 2.38
921937504	VBTX	VANGUARD TOTAL BD MKT INDEX INSTL	P	22,507,6870	10.8700	244,658.56	USD	7.03	6,009.55	518.77	242,407.83	2,250.73 2.46
922031877	VFSIX	VANGUARD SHORT TERM INVESTMENT GRADE FD INSTI	P	12,343,8010	10.6600	131,584.92	USD	3.78	2,474.93	211.42	131,723.22	-138.30 1.88
922031209	VTIFX	VANGUARD TOTAL INTERNATIONAL BOND INDEX FD IN	P	5,178,6880	31.8400	164,889.43	USD	4.74	2,641.13	0.00	162,195.51	2,692.92 1.60
						1,067,223.43	USD	30.66	24,338.58	730.19	1,065,061.14	2,162.29 2.28
						Security Type Total						
Security Type: MUTUAL FUNDS-INTERNATIONAL												
233203587	DFEVX	DFA EMERGING MKTS VALUE	P	3,522,9420	25.7500	90,715.76	USD	2.61	2,380.80	0.00	88,389.15	2,326.61 2.62
233203611	DEMEX	DFA EMERGING MKTS SML CAP PORT	P	1,513,4800	19.8900	30,103.12	USD	0.86	647.16	0.00	32,592.30	-2,489.18 2.15
233203629	DFISX	DFA INTL SMALL COMPANY	P	6,483,4390	16.9800	110,088.79	USD	3.16	2,890.32	0.00	117,026.08	-6,937.29 2.63
233203868	DFALX	DFA LARGE CAP INTL PORTFOLIO	P	8,915,3880	20.7200	184,726.84	USD	5.31	6,547.46	0.00	162,692.53	22,034.31 3.54
254340203	DFVIX	DFA INTERNATIONAL VALUE	P	21,048,0740	17.6500	371,408.51	USD	10.67	18,147.65	0.00	347,270.57	24,227.94 4.88
						787,133.02	USD	22.61	30,613.39	0.00	747,970.63	39,162.39 3.89
						Security Type Total						
Security Type: U.S. TREASURY BONDS & NOTES												
912810DS4		U S T-BOND 10.625% DUE 08/15/15	P	66,000,0000	106.3906	70,217.80	USD	2.02	7,012.50	2,612.88	72,087.32	-1,869.52 9.99
						3,480,128.15	USD	99.97	84,089.33	3,517.46	2,850,803.59	629,324.56 2.42
						Account Subtotal						
						268,581,9770						
						Principal Cash						
						1,156.36	USD	0.03				
						Income Cash						
						0.00	USD	0.00				
						Net Cash						
						1,156.36	USD	0.03				
						Total Value						
						3,481,284.51	USD	100.00				