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**Return of Private Foundation**

**2014**

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation

A Employer identification number

**Helen P Bondy Foundation**

**54-6539906**

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

**c/o Sloan, 7 St Paul St- Ste 1300**

B Telephone number

**410 347 8787**

City or town, state or province, country, and ZIP or foreign postal code

**Baltimore, MD 21202**

C If exemption application is pending, check here

G Check all that apply:

- Initial return
- Initial return of a former public charity
- Final return
- Amended return
- Address change
- Name change

D 1. Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Fair market value of all assets at end of year (from Part II, col. (c), line 16)

**\$ 1,827,918.**

J Accounting method:  Cash  Accrual  
 Other (specify)

(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses**  
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	---

1 Contributions, gifts, grants, etc., received			<b>N/A</b>	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	<b>66,733.</b>	<b>66,733.</b>		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<b>62,628.</b>			
b Gross sales price for all assets on line 6a	<b>501,220.</b>			
7 Capital gain net income (from Part IV, line 2)		<b>62,628.</b>		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	<b>129,361.</b>	<b>129,361.</b>		
13 Compensation of officers, directors, trustees, etc	<b>5,818.</b>	<b>2,909.</b>		<b>2,909.</b>
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees Stmt 1	<b>1,500.</b>	<b>1,500.</b>		<b>0.</b>
b Accounting fees				
c Other professional fees				
17 Interest				
18 Taxes Stmt 2	<b>3,102.</b>	<b>987.</b>		<b>0.</b>
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses Stmt 3	<b>815.</b>	<b>815.</b>		<b>0.</b>
24 Total operating and administrative expenses. Add lines 13 through 23	<b>11,235.</b>	<b>6,211.</b>		<b>2,909.</b>
25 Contributions, gifts, grants paid	<b>80,000.</b>			<b>80,000.</b>
26 Total expenses and disbursements. Add lines 24 and 25	<b>91,235.</b>	<b>6,211.</b>		<b>82,909.</b>
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<b>38,126.</b>			
b Net investment income (if negative, enter -0-)		<b>123,150.</b>		
c Adjusted net income (if negative, enter -0-)			<b>N/A</b>	

ENVELOPE POSTMARK DATE MAY 08 2015

REVENUE MAY 15 2015 SCANNED MAY 15 2015 OPERATING AND ADMINISTRATIVE EXPENSES

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	741.	823.	823.
	2 Savings and temporary cash investments	14,546.	35,619.	35,619.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 4	1,399,511.	1,537,541.	1,721,321.
	c Investments - corporate bonds Stmt 5	186,544.	65,000.	67,070.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ Tax credit )	2,600.	3,085.	3,085.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,603,942.	1,642,068.	1,827,918.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,503,780.	1,503,780.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	100,162.	138,288.		
30 Total net assets or fund balances	1,603,942.	1,642,068.		
31 Total liabilities and net assets/fund balances	1,603,942.	1,642,068.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,603,942.
2 Enter amount from Part I, line 27a	2	38,126.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,642,068.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,642,068.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a see attached schedule	P		
b see attached schedule	P		
c capital gain distributions	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 60,667.		64,041.	-3,374.
b 420,167.		374,551.	45,616.
c 20,386.			20,386.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-3,374.
b			45,616.
c			20,386.
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	62,628.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	77,910.	1,745,667.	.044631
2012	81,255.	1,611,409.	.050425
2011	74,811.	1,614,037.	.046350
2010	70,749.	1,567,915.	.045123
2009	88,100.	1,411,282.	.062426

2 Total of line 1, column (d)	2	.248955
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049791
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,844,618.
5 Multiply line 4 by line 3	5	91,845.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,232.
7 Add lines 5 and 6	7	93,077.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	82,909.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,463.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,463.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,463.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,085.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,085.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	622.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 622. Refunded <input type="checkbox"/> 0.	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address N/A
14 The books are in care of Robert Sloan Telephone no. 410 347 8787
Located at 7 St Paul St - Ste 1500, Baltimore, MD ZIP+4 21202
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?
-If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Herman B Rosenthal 7 St Paul St- Ste 1500 Baltimoe, MD 21202	Trustee 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.



**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,856,781.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	13,005.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	2,923.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,872,709.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,872,709.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	28,091.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,844,618.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	92,231.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	92,231.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	2,463.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,463.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	89,768.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	89,768.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	89,768.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	82,909.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	82,909.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	82,909.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				89,768.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			81,984.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 82,909.				
a Applied to 2013, but not more than line 2a			81,984.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				925.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				88,843.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>American Friends of Alyn Hospital 51 E 42nd St-Ste 308 New York, NY 10017</p>			Unrestricted	47,500.
<p>American ORT 817 Broadway, 10th Floor New York, NY 10003</p>			Unrestricted	32,500.
<b>Total</b>				80,000.
<i>b Approved for future payment</i>				
None				
<b>Total</b>				0.





Form 990-PF	Legal Fees			Statement	1
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
WTP	1,500.	1,500.			0.
To Fm 990-PF, Pg 1, ln 16a	1,500.	1,500.			0.

Form 990-PF	Taxes			Statement	2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Excise tax	2,115.	0.			0.
Foreign tax	987.	987.			0.
To Form 990-PF, Pg 1, ln 18	3,102.	987.			0.

Form 990-PF	Other Expenses			Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Investment	815.	815.			0.
To Form 990-PF, Pg 1, ln 23	815.	815.			0.

Form 990-PF	Corporate Stock		Statement	4
Description	Book Value	Fair Market Value		
Total to Form 990-PF, Part II, line 10b	1,537,541.	1,721,321.		

Form 990-PF Corporate Bonds Statement 5

<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Total to Form 990-PF, Part II, line 10c	65,000.	67,070.



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### STOCKS

#### COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALTRIA GROUP INC (MO)	10/17/08	330,000	\$19.964	\$6,588.07	\$12,668.70	\$6,080.63 LT	\$633.60	5.00
Share Price: \$38.390; Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 01/10/14								
AT&T INC (T)	10/28/13	300,000	36.205	10,861.39	10,548.00	(313.39) ST	552.00	5.23
Share Price: \$35.160; Rating: Morgan Stanley: 2, S&P: 2, Next Dividend Payable 02/20/14								
BAXTER INTL INC (BAX)	5/13/05	150,000	38.026	5,703.83	10,432.50	4,728.67 LT	294.00	2.81
Share Price: \$69.550; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 01/03/14								
BCE INC (NEW) (BCE)	11/20/13	225,000	45.500	10,237.57	9,740.25	(497.32) ST	503.33	5.16
Share Price: \$43.290; Rating: Morgan Stanley: 1, S&P: 2, Next Dividend Payable 01/15/14								
BERKSHIRE HATHAWAY CL-B NEW (BRKB)	8/20/04	250,000	43.920	10,980.00	29,640.00	18,660.00 LT 2	—	—
Share Price: \$118.560; Rating: S&P: 2								
CHEVRON CORP (CVX)	8/20/04	100,000	39.775	3,977.50	12,491.00	8,513.50 LT 2	400.00	3.20
Share Price: \$124.910; Rating: Morgan Stanley: 1, S&P: 1, Next Dividend Payable 03/20/14								
CISCO SYS INC (CSCO)	3/29/10	300,000	27.236	8,170.91	6,729.00	(1,441.91) LT	204.00	3.03
Share Price: \$22.430; Rating: S&P: 2, Next Dividend Payable 01/20/14								
CVS CAREMARK CORP (CVS)	8/12/08	350,000	39.205	13,721.61	25,049.50	11,327.89 LT	385.00	1.53
Share Price: \$71.570; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 02/20/14								
FREDDIE MAC (FMCC)	12/13/04	400,000	69.418	27,767.00	1,160.00	(26,607.00) LT	—	—
Share Price: \$2.900								
GENERAL ELECTRIC CO (GE)	8/20/04	100,000	27.735	2,773.50	2,803.00	29.50 LT 2	—	—
Share Price: \$28.030; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 01/27/14								
GULF COAST ULTRA DEEP RTY TR (GULTU)	6/4/13	20,000	2.048	40.95	41.60	0.65 ST	—	—
Share Price: \$2.080								
HONEYWELL INTERNATIONAL INC (HON)	5/13/05	150,000	36.669	5,500.28	13,705.50	8,205.22 LT	270.00	1.97
Share Price: \$91.370; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 03/20/14								
LOCKHEED MARTIN CORP (LMT)	8/20/04	100,000	46.622	4,662.20	14,866.00	10,203.80 LT 2	532.00	3.57
Share Price: \$148.660; Rating: S&P: 2; Next Dividend Payable 03/20/14								
MICROSOFT CORP (MSFT)	8/20/04	400,000	25.860	10,344.00	14,964.00	4,620.00 LT 2	448.00	2.99
Share Price: \$37.410; Rating: Morgan Stanley: 2, S&P: 2, Next Dividend Payable 03/20/14								



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### STOCKS

#### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PEPSICO INC NC (PEP) Share Price: \$82.940; Rating: Morgan Stanley: 1, S&P 1; Next Dividend Payable 01/02/14	8/20/04	200,000	41.105	8,221.00	16,588.00	8,367.00 LT 2	454.00	2.73
PFIZER INC (PFE) Share Price: \$30.630; Rating: Morgan Stanley: 1, S&P 1; Next Dividend Payable 03/20/14	10/17/08	250,000	17.227	4,306.71	7,657.50	3,350.79 LT	260.00	3.39
PG&E CORPORATION (PCG) Share Price: \$40.280; Rating: Morgan Stanley: 2, S&P 2; Next Dividend Payable 01/15/14	3/29/10	200,000	43.779	8,755.89	8,056.00	(699.89) LT	364.00	4.51
PROCTER & GAMBLE (PG) Share Price: \$81.410; Rating: Morgan Stanley: 2, S&P 1; Next Dividend Payable 02/20/14	1/31/06	100,000	60.180	6,018.00	8,141.00	2,123.00 LT	240.60	2.95
REUNION INDUSTRIES INC (RUNI) Share Price: \$0.050	8/20/04	75,000	0.190	14.25	3.75	(10.50) LT 2	---	---
SCHLUMBERGER LTD (SLB) Share Price: \$90.110; Rating: Morgan Stanley: 1, S&P 1; Next Dividend Payable 01/10/14	2/25/05	110,000	38.303	4,213.27	9,912.10	5,698.83 LT	137.50	1.38
SEADRILL LTD (SDRL) Share Price: \$41.080; Rating: Morgan Stanley: 1, S&P 1; Next Dividend Payable 03/20/14	10/24/13	250,000	47.479	11,869.87	10,270.00	(1,599.87) ST	950.00	9.25
TENARIS S.A. (TS) Share Price: \$43.690; Rating: Morgan Stanley 1	8/12/08	300,000	51.656	15,496.70	13,107.00	(2,389.70) LT	258.00	1.96
WAL MART STORES INC (WMT) Share Price: \$78.690; Rating: S&P 1; Next Dividend Payable 01/02/14	9/2/09	250,000	52.076	13,019.06	19,672.50	6,653.44 LT	470.00	2.38
<b>COMMON STOCKS</b>				<b>\$211,357.31</b>	<b>\$283,473.90</b>	<b>\$74,526.52 LT</b> <b>\$(2,409.93) ST</b>	<b>\$8,236.03</b> <b>\$0.00</b>	<b>2.90%</b>

### PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MORGAN STANLEY 6.875%-F (MS.F) Share Price: \$25.030; Moody BAA3 S&P BB+; Next Dividend Payable 01/15/14	12/5/13	400,000	\$25.000	\$10,000.00	\$10,012.00	\$12.00 ST	\$687.60	6.86
PS BUNN PARKS 6.00% SER T (PSB.T) Share Price: \$20.200; Moody BAA2 S&P BBB-; Next Dividend Payable 03/20/14	5/3/12	500,000	25.000	12,500.00	10,100.00	(2,400.00) LT	750.00	7.42
ROYAL BK SCOTLAND GP 6.40 PFD M (RBS.M) Share Price: \$20.900; Moody B1 S&P BB; Next Dividend Payable 03/20/14	1/11/08	650,000	22.913	14,893.45	13,585.00	(1,308.45) LT	1,040.00	7.65
<b>PREFERRED STOCKS</b>				<b>\$37,393.45</b>	<b>\$33,697.00</b>	<b>\$(3,708.45) LT</b> <b>\$12.00 ST</b>	<b>\$2,477.60</b> <b>\$0.00</b>	<b>7.35%</b>

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STOCKS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	17.7%	\$248,750.76	\$317,170.90	\$70,818.07 LT	\$10,713.63	3.38%
				\$(2,397.93) ST	\$0.00	

## EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALPS IDOG (IDOG)	11/20/13	300,000	\$29.895	\$8,968.57	\$8,793.00	\$(175.57) ST	\$252.00	2.86
Share Price: \$29.310; Next Dividend Payable 01/03/14								
ALPS SECTOR DIVIDEND DOGS (SDOG)	10/2/13	400,000	32.834	13,133.68	13,696.00	562.32 ST	472.00	3.44
Share Price: \$34.240; Next Dividend Payable 01/03/14								
CONS DISCRET SEL SECT SPDR FD (XLY)	6/18/09	300,000	23.584	7,075.07	20,049.00	12,973.93 LT	232.80	1.16
Share Price: \$66.830; Next Dividend Payable 03/20/14								
DWS HIGH INCOME OPPORTUNITIES (DHG)	3/22/07	500,000	36.657	18,328.68	7,200.00	(11,128.68) LT	510.00	7.08
Share Price: \$14.400; Next Dividend Payable 01/20/14								
ISHARES CHINA LARGE CAP ETF (FXI)	5/4/06	450,000	27.420	12,339.19	17,266.50	4,927.31 LT	455.85	2.64
Share Price: \$38.370; Next Dividend Payable 06/20/14								
ISHARES EUROPE ETF (IEV)	3/22/06	450,000	44.965	20,229.86	21,352.50	1,122.64 LT	497.70	2.33
Share Price: \$47.450; Next Dividend Payable 06/20/14								
ISHARES MORNINGSTAR MID CP GRW (JKH)	4/2/12	75,000	111.095	8,332.16	10,696.26	2,364.10 LT	39.08	0.36
Share Price: \$142.617; Next Dividend Payable 03/20/14								
ISHARES MORNINGSTAR MID CP VAL (JKI)	4/2/12	100,000	82.513	8,251.31	11,433.00	3,181.69 LT	213.80	1.87
Share Price: \$114.330; Next Dividend Payable 03/20/14								
ISHARES MSCI EMERGING MKTS ETF (EEM)	3/22/06	150,000	32.735	4,910.20	6,269.25	1,359.05 LT		
3/22/06								
Total								
Share Price: \$41.795; Next Dividend Payable 06/20/14								
ISHARES MSCI JAPAN ETF (EWJ)	3/22/06	1,500,000	14.318	21,477.22	18,208.50	(3,268.72) LT		
4/15/13								
Total								
Share Price: \$12.139; Next Dividend Payable 06/20/14								
ISHARES MSCI PAC EX-JPN ETF (EPP)	5/4/06	150,000	38.920	5,838.00	7,009.50	1,171.50 LT		
5/4/06								
Total								
Share Price: \$46.730; Next Dividend Payable 06/20/14								



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## Account Detail

### EXCHANGE-TRADED & CLOSED-END FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES US PHARMACEUTICALS ETF (IHE) <i>Share Price: \$118.098; Next Dividend Payable 03/2014</i>	8/28/13	190,000	105.817	20,105.16	22,438.69	2,333.53 ST	238.07	1.06
ISHARS MORNINGSTAR SML CP VALU (JKL) <i>Share Price: \$120.259; Next Dividend Payable 03/2014</i>	4/2/12	90,000	90.148	8,113.34	10,823.31	2,709.97 LT	195.93	1.81
SPDR S&P INT INDUSTRIALS SEC (IPN) <i>Share Price: \$32.000; Next Dividend Payable 01/03/14</i>	4/14/10	400,000	26.648	10,659.09	12,800.00	2,140.91 LT	177.60	1.38
SPDR S&P INT TECHNOLOGY SECT (IPK) <i>Share Price: \$32.000; Next Dividend Payable 01/03/14</i>	4/14/10	500,000	28.345	14,172.25	16,000.00	1,827.75 LT	218.50	1.36
SPDR S&P INTL FINANCIAL SECT (IPF) <i>Share Price: \$23.350; Next Dividend Payable 01/03/14</i>	4/14/10	500,000	22.161	11,080.44	11,675.00	594.56 LT	412.50	3.53
SPDR S&P INTL HEALTH CARE (IRY) <i>Share Price: \$44.770; Next Dividend Payable 01/03/14</i>	4/14/10	700,000	30.557	21,390.13	31,339.00	9,948.87 LT	539.70	1.72
SPDR S&P INTL TELECOM SECTOR (IST) <i>Share Price: \$29.670; Next Dividend Payable 01/03/14</i>	4/14/10	600,000	24.231	14,538.51	17,802.00	3,263.49 LT	616.80	3.46
THE TECHNOLOGY SEL SEC SPDR FD (XLK) <i>Share Price: \$35.740; Next Dividend Payable 03/2014</i>	3/29/10	500,000	23.637	11,818.35	17,870.00	6,051.65 LT	304.50	1.70
VANGUARD INFO TECH ETF (VGT) <i>Share Price: \$89.540; Next Dividend Payable 12/2014</i>	3/29/10	100,000	57.967	5,796.72	8,954.00	3,157.28 LT	94.40	1.05
VANGUARD SM CAP GROWTH ETF (VBK) <i>Share Price: \$122.300; Next Dividend Payable 12/2014</i>	4/2/12	90,000	89.579	8,062.12	11,007.00	2,944.88 LT	70.02	0.63
<b>EXCHANGE-TRADED &amp; CLOSED-END FUNDS</b>		<b>19.0%</b>		<b>\$286,029.62</b>	<b>\$339,289.26</b>	<b>\$49,942.82 LT</b>	<b>\$7,080.55</b>	<b>2.09%</b>
						<b>3,316.82 ST</b>	<b>\$0.00</b>	

### CORPORATE FIXED INCOME

#### CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost	Adj. Unit Cost	Orig. Total Cost	Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Accrued Interest	Yield %
XL CAPITAL LTD FIXED 6.5% TO 4/2017 FLOATS THEREAFTER -CUSIP 98372PAJ7	9/24/12	18,000,000	\$95.406	\$95.406	\$17,173.10	\$17,173.10	\$17,759.70	\$586.60 LT	\$1,170.00	\$246.99	6.58

Unit Price: \$98.665; Coupon Rate 6.500%; Perpetual Maturity, Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 04/15/17, Yield to Maturity 6.595%, Floater, Moody BAA1 S&P BBB; Issued 03/15/07

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### CORPORATE FIXED INCOME FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
PITNEY BOWES INC 6.70% (PBI.B)	2/26/13	500,000	\$25,000 \$25,000	\$12,500.00 \$12,500.00	\$12,235.00	\$(265.00) ST	\$837.50	6.84
<i>Unit Price: \$24.470; Coupon Rate 6.700%; Matures 03/07/2043; Interest Paid Quarterly Sep 07; Callable \$25.00 on 03/07/18; Moody BAA2 S&amp;P BBB</i>								
QWEST CORP (CTW)	9/14/11	200,000	25,000	5,000.00	4,686.00	(314.00) LT	375.00	8.00
<i>Unit Price: \$23.430; Coupon Rate 7.500%; Matures 09/15/2051; Interest Paid Quarterly Mar 15; Callable \$25.00 on 09/15/16; S&amp;P BBB-</i>								
QWEST CORP 7.00% NOTES DUE 2052 (CTX)	3/22/12	400,000	25,000 25,000	10,000.00 10,000.00	8,776.00	(1,224.00) LT	700.00	7.97
<i>Unit Price: \$21.940; Coupon Rate 7.000%; Matures 04/01/2052; Interest Paid Quarterly Oct 01; Callable \$25.00 on 04/01/17; Moody BAA3 S&amp;P BBB-</i>								
PRUDENTIAL FINANCIAL INC 5.75% (PIH)	11/27/12	500,000	25,000	12,500.00	10,560.00	(1,940.00) LT	718.75	6.80
<i>Unit Price: \$21.120; Coupon Rate 5.750%; Matures 12/15/2052; Interest Paid Quarterly Jun 15; Callable \$25.00 on 12/04/17; Moody BAA2 S&amp;P BBB+</i>								
QWEST CORP 6.125% 06/01/53 (CTY)	5/14/13	500,000	25,000	12,500.00	9,475.00	(3,025.00) ST	765.60	8.08
<i>Unit Price: \$18.950; Coupon Rate 6.125%; Matures 06/01/2053; Interest Paid Quarterly Dec 01; Callable \$25.00 on 06/01/18; Moody BAA3 S&amp;P BBB-</i>								
INTEGRYS ENERGY GRP INC 6.00% FXD TO 8/12/13 8/1/23 FLTS THEREAFTER (IEH)	8/12/13	500,000	25,000	12,500.00	11,975.00	(525.00) ST	750.00	6.26
<i>Unit Price: \$23.950; Coupon Rate 6.000%; Matures 08/01/2073; Interest Paid Quarterly Feb 01; Callable \$25.00 on 08/01/23; Moody BAA2 (+) S&amp;P BBB</i>								
<b>FIXED-RATE CAPITAL SECURITIES</b>				<b>\$65,000.00</b>	<b>\$57,707.00</b>	<b>\$(3,478.00) LT</b> <b>\$(3,815.00) ST</b>	<b>\$4,146.85</b> <b>\$0.00</b>	<b>7.19%</b>

Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
18,000,000	\$82,173.10 \$82,173.10	\$75,466.70	\$(2,891.40) LT \$(3,815.00) ST	\$5,316.85 \$246.99	7.04%
<b>CORPORATE FIXED INCOME</b>		<b>\$75,466.70</b>	<b>\$(2,891.40) LT</b> <b>\$(3,815.00) ST</b>	<b>\$5,316.85</b> <b>\$246.99</b>	<b>7.04%</b>
<b>TOTAL CORPORATE FIXED INCOME</b> (incl.accr.int.)	<b>4.2%</b>	<b>\$75,713.69</b>			

\* Watchlist and CreditWatch Indicators (+) = developing/uncertain (-) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade  
For more information on Watchlist and CreditWatch Indicators, please go to our website at [www.morganstanley.com/bondratings](http://www.morganstanley.com/bondratings) or contact your financial advisor.



CLIENT STATEMENT | For the Period December 1-31, 2013

Active Assets Account  
509-054067-279  
HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/(Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>AMERICAN CAP WRLD GR &amp; INC A (CWGIX)</b>								
	7/13/12	572.246	\$34.950	\$20,000.00	\$25,934.19	\$5,934.19 LT		
	8/22/12	136.054	36.750	5,000.00	6,165.97	1,165.97 LT		
	1/14/13	278.199	39.540	11,000.00	12,607.98	1,607.98 ST		
<b>Purchases</b>		<b>986.499</b>		<b>36,000.00</b>	<b>44,708.14</b>	<b>7,100.16 LT</b> <b>1,607.98 ST</b>		
Long Term Reinvestments		8.297		305.41	376.02	70.61 LT		
Short Term Reinvestments		24.579		1,013.75	1,113.92	100.17 ST		
<b>Total</b>		<b>1,019,375</b>		<b>37,319.16</b>	<b>46,198.08</b>	<b>7,170.77 LT</b> <b>1,708.15 ST</b>	<b>897.00</b>	<b>1.94</b>
<b>Total Purchases vs Market Value</b>								
Net Value Increase/(Decrease)								
Share Price: \$45.320, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest								
<b>AMERICAN INTL GRW &amp; INC A (IGAAX)</b>								
	7/13/12	735.215	27.630	20,314.00	25,754.58	5,440.58 LT		
	8/14/12	332.447	30.080	10,000.00	11,645.61	1,645.61 LT		
	8/22/12	165.017	30.300	5,000.00	5,780.54	780.54 LT		
	10/2/12	215.296	31.120	6,700.00	7,541.81	841.81 LT		
	10/12/12	420.576	30.910	13,000.00	14,732.77	1,732.77 LT		
<b>Total</b>		<b>1,868,551</b>		<b>55,014.00</b>	<b>65,455.34</b>	<b>10,441.31 LT</b>	<b>1,717.00</b>	<b>2.62</b>
<b>Total Purchases vs Market Value</b>								
Cumulative Cash Distributions								
Net Value Increase/(Decrease)								
Share Price: \$35.030; Dividend Cash, Capital Gains Cash								
<b>AMERICAN INV CO OF AMER A (AIVSX)</b>								
	2/28/11	436.200	30.860	13,461.14	16,008.54	2,547.40 LT		
	5/23/11	831.947	30.050	25,000.00	30,532.45	5,532.45 LT		
	1/4/12	210.084	28.560	6,000.00	7,710.08	1,710.08 LT		
	2/22/12	659.413	30.330	20,000.00	24,200.46	4,200.46 LT		
<b>Total</b>		<b>2,137,644</b>		<b>64,461.14</b>	<b>78,451.53</b>	<b>13,990.39 LT</b>	<b>1,176.00</b>	<b>1.49</b>
<b>Total Purchases vs Market Value</b>								
Cumulative Cash Distributions								
Net Value Increase/(Decrease)								
Share Price: \$36.700, Dividend Cash, Capital Gains Cash								

CLIENT STATEMENT | For the Period December 1-31, 2013

Active Assets Account  
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HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>AMERICAN MUTUAL A (AMRMX)</b>								
	7/13/12	744.375	27.290	20,314.00	25,911.69	5,597.69 LT		
	8/16/12	169.837	29.440	5,000.00	5,912.02	912.02 LT		
	8/27/12	170.999	29.240	5,000.00	5,952.47	952.47 LT		
<b>Total</b>		1,085.211		30,314.00	37,776.19	7,462.18 LT	727.00	1.92
Total Purchases vs Market Value								
Cumulative Cash Distributions								
Net Value Increase/(Decrease)								
Share Price: \$34.810; Dividend Cash; Capital Gains Cash								
<b>AMERICAN WA MUTUAL A (AWSHX)</b>								
	7/13/12	674.436	30.120	20,314.00	26,593.01	6,279.01 LT		
	8/16/12	154.560	32.350	5,000.00	6,094.30	1,094.30 LT		
	8/27/12	124.494	32.130	4,000.00	4,908.79	908.79 LT		
	6/27/13	176.343	36.860	6,500.00	6,953.20	453.20 ST		
<b>Total</b>		1,129.833		35,814.00	44,549.32	8,282.10 LT	797.00	1.78
Total Purchases vs Market Value								
Cumulative Cash Distributions								
Net Value Increase/(Decrease)								
Share Price: \$39.430; Dividend Cash; Capital Gains Cash								
<b>GUGGENHEIM FLOATING RT STRAT A (GIFAX)</b>								
	4/2/12	387.597	25.800	10,000.00	10,375.97	375.97 LT		
	4/3/12	746.124	26.805	20,000.00	19,973.74	(26.26) LT		
	4/13/12	595.746	26.857	16,000.00	15,948.12	(51.88) LT		
	4/23/12	567.002	26.930	15,000.00	14,910.94	(89.06) LT		
	4/24/12	371.049	26.951	10,000.00	9,932.98	(67.02) LT		
<b>Purchases</b>		2,657.518		71,000.00	71,141.75	141.75 LT		
		100.119		2,600.11	2,680.18	80.07 LT		
		145.451		3,880.74	3,893.72	12.98 ST		
<b>Total</b>		2,903.088		77,480.85	77,715.67	221.82 LT	3,852.00	4.95
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$26.770; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>GUGGENHEIM MNGD FUTRS STRAT A (RYMTX)</b>								
	12/18/08	10.737	30.528	327.78	237.82	(89.96) LT		
	1/13/09	202.842	29.580	6,000.00	4,492.95	(1,507.05) LT		
<b>Purchases</b>		213.579		6,327.78	4,730.77	(1,597.01) LT		
		10.555		300.17	233.79	(66.38) LT		
<b>Total</b>		224.134		6,627.95	4,964.57	(1,663.39) LT		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Long Term Reinvestments								
Security Mark at Right								



# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2013

Active Assets Account  
509-054067-279  
HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### MUTUAL FUNDS OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$22.150; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>LOOMIS SAYLES STRAT INC A (NEFX)</b>	7/8/09	472.772	12.691	6,000.00	7,734.54	1,734.54 LT		
	10/27/09	991.130	14.125	14,000.00	16,214.88	2,214.88 LT		
	11/13/09	350.018	14.285	5,000.00	5,726.29	726.29 LT		
	12/3/09	348.066	14.365	5,000.00	5,694.35	694.35 LT		
	12/10/09	1,388.524	14.404	20,000.00	22,716.25	2,716.25 LT		
	12/15/09	1,037.699	14.455	15,000.00	16,976.75	1,976.75 LT		
	12/17/09	1,383.720	14.454	20,000.00	22,637.65	2,637.65 LT		
	12/22/09	208.403	14.395	3,000.00	3,409.47	409.47 LT		
	6/3/10	449.827	14.450	6,500.00	7,359.16	859.16 LT		
	12/7/10	655.308	15.260	10,000.00	10,720.83	720.83 LT		
	5/27/11	1,554.726	16.080	25,000.00	25,435.31	435.31 LT		
	6/14/11	814.536	15.960	13,000.00	13,325.80	325.80 LT		
	7/5/11	499.688	16.010	8,000.00	8,174.89	174.89 LT		
	7/14/11	439.147	15.940	7,000.00	7,184.44	184.44 LT		
	7/18/11	1,318.267	15.930	21,000.00	21,566.84	566.84 LT		
	7/9/12	1,302.083	15.360	20,000.00	21,302.07	1,302.07 LT		
	1/9/13	528.278	16.090	8,500.00	8,642.62	142.62 ST		
	7/30/13	245.603	16.286	4,000.00	4,018.06	18.06 ST		
	8/20/13	1,244.925	16.065	20,000.00	20,366.97	366.97 ST		
	11/11/13	837.934	16.708	14,000.00	13,708.60	(291.40) ST		
<b>Purchases</b>		16,070.654		245,000.00	262,915.77	17,679.52 LT		
						236.25 ST		
Long Term Reinvestments		1,860.518		27,494.59	30,438.07	2,943.48 LT		
Short Term Reinvestments		800.401		12,792.94	13,094.56	301.62 ST		
<b>Total</b>		18,731.573		285,287.53	306,448.53	20,623.00 LT	12,700.00	4.14
						537.87 ST		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$16.360; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>PIMCO HIGH YIELD A (PHDAX)</b>	8/5/13	2,626.050	9.520	25,000.00	25,236.34	236.34 ST		
<b>Purchases</b>		2,626.050		25,000.00	25,236.34	236.34 ST		
		47.728		455.30	458.67	3.37 ST		
<b>Total</b>		2,673.778		25,455.30	25,695.01	239.71 ST	1,438.00	5.59



Active Assets Account  
509-054067-279

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### MUTUAL FUNDS OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$9.610, Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>PIMCO INCOME A (PONAX)</b>								
	12/12/11	620.018	11.290	7,000.00	7,601.42	601.42 LT		
	1/9/12	1,333.333	11.250	15,000.00	16,346.66	1,346.66 LT		
	1/11/12	2,654.867	11.300	30,000.00	32,548.67	2,548.67 LT		
	5/22/12	426.621	11.720	5,000.00	5,230.37	230.37 LT		
	6/26/12	2,122.241	11.780	25,000.00	26,018.67	1,018.67 LT		
	6/28/12	1,696.353	11.790	20,000.00	20,797.28	797.28 LT		
	10/4/13	1,195.654	12.545	15,000.00	14,658.71	(341.29) ST		
	10/15/13	1,599.480	12.504	20,000.00	19,609.62	(390.38) ST		
<b>Purchases</b>		<b>11,648.567</b>		<b>137,000.00</b>	<b>142,811.40</b>	<b>6,543.07 LT</b>		
						<b>(731.67) ST</b>		
Long Term Reinvestments		451.000		5,374.78	5,529.26	154.48 LT		
Short Term Reinvestments		513.312		6,363.29	6,293.20	(70.09) ST		
<b>Total</b>		<b>12,612.879</b>		<b>148,738.07</b>	<b>154,633.90</b>	<b>6,697.55 LT</b>	<b>7,845.00</b>	<b>5.07</b>
						<b>(801.76) ST</b>		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$12.260, Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>PIMCO INV GRADE CORP BD A (PBDAX)</b>								
	7/29/10	1,022.937	11.880	12,152.49	10,474.87	(1,677.62) LT		
	11/10/10	1,539.708	12.340	19,000.00	15,766.61	(3,233.39) LT		
	12/7/10	1,254.181	11.960	15,000.00	12,842.81	(2,157.19) LT		
	8/19/11	1,350.135	11.110	15,000.00	13,825.38	(1,174.62) LT		
<b>Purchases</b>		<b>5,166.961</b>		<b>61,152.49</b>	<b>52,909.67</b>	<b>(8,242.82) LT</b>		
Long Term Reinvestments		2,833.682		30,267.46	29,016.90	(1,250.56) LT		
Short Term Reinvestments		636.467		6,798.86	6,517.42	(281.44) ST		
<b>Total</b>		<b>8,637.110</b>		<b>98,218.81</b>	<b>88,444.01</b>	<b>(9,493.38) LT</b>	<b>3,127.00</b>	<b>3.53</b>
						<b>(281.44) ST</b>		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$10.240, Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
<b>PIMCO INV GRADE CORP BD A (PBDAX)</b>								
	7/29/10	1,022.937	11.880	12,152.49	10,474.87	(1,677.62) LT		
	11/10/10	1,539.708	12.340	19,000.00	15,766.61	(3,233.39) LT		
	12/7/10	1,254.181	11.960	15,000.00	12,842.81	(2,157.19) LT		
	8/19/11	1,350.135	11.110	15,000.00	13,825.38	(1,174.62) LT		
<b>Purchases</b>		<b>5,166.961</b>		<b>61,152.49</b>	<b>52,909.67</b>	<b>(8,242.82) LT</b>		
Long Term Reinvestments		2,833.682		30,267.46	29,016.90	(1,250.56) LT		
Short Term Reinvestments		636.467		6,798.86	6,517.42	(281.44) ST		
<b>Total</b>		<b>8,637.110</b>		<b>98,218.81</b>	<b>88,444.01</b>	<b>(9,493.38) LT</b>	<b>3,127.00</b>	<b>3.53</b>
						<b>(281.44) ST</b>		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$10.240, Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								



Active Assets Account  
509-054067-279

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U7/A 4/25/89

## Account Detail

MUTUAL FUNDS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	52.0%	\$864,730.81	\$930,332.15	\$63,732.35 LT \$1,868.71 ST	\$34,276.00	3.68%

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

### UNIT INVESTMENT TRUSTS

#### CORPORATE TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT VAN KAMPEN INSD INCOME 146 MONTHLY	3/23/09	19,000	\$710.003	\$13,490.05	\$14,575.09	\$1,085.04 LT	\$849.11 \$61.75	5.82

Unit Price: \$767.110, Par Value \$15,375.56, Reinvest Full

#### EQUITY TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT AAM HIGH 50 DIVIDEND STRATEGY 13-2Q	8/5/13	2,337,000	\$10.697	\$24,999.82	\$24,509.99	\$(489.83) ST		
Purchases		2,337,000		24,999.82	24,509.99	(489.83) ST		
Short Term Reinvestments		71,000		727.96	744.63	16.67 ST		
Total		2,408,000		25,727.78	25,254.62	(473.16) ST	1,270.70	5.03

Unit Price: \$10.488, Reinvest Full

UNIT FIRST TRUST EQUITY INCOME SELECT 31	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT FIRST TRUST EQUITY INCOME SELECT 31	6/20/12	2,471,000	9.680	23,920.26	29,128.14	5,207.88 LT		
Purchases		2,471,000		23,920.26	29,128.14	5,207.88 LT		
Long Term Reinvestments		42,000		420.92	495.09	74.17 LT		
Short Term Reinvestments		142,000		1,603.01	1,673.89	70.88 ST		
Total		2,655,000		25,944.19	31,297.14	5,282.05 LT 70.88 ST	1,036.25	3.31

Unit Price: \$11.788, Reinvest Full

UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 2ND QTR 13	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 2ND QTR 13	5/9/13	1,853,000	10.366	19,208.57	19,778.73	570.16 ST	905.01	4.57

Unit Price: \$10.674, Reinvest Principal

UNIT GUGGENHEIM ZACKS INDICATOR DEFINED OUTCOME 1	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT GUGGENHEIM ZACKS INDICATOR DEFINED OUTCOME 1	9/25/13	2,000,000	10.000	20,000.00	21,101.20	1,101.20 ST	61.80	0.29

Unit Price: \$10.551, Reinvest Full

EQUITY TRUSTS	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	\$90,880.54	\$97,431.69	\$5,282.05 LT \$1,269.08 ST	\$3,273.76 \$0.00	3.36%

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Active Assets Account  
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HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

UNIT INVESTMENT TRUSTS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
TOTAL UNIT INVESTMENT TRUSTS (incl.accr.int.)	6.3%	\$104,370.59	\$112,006.78	\$6,367.09 LT \$1,269.08 ST	\$4,122.87 \$61.75	3.68%
TOTAL MARKET VALUE	100.0%	\$1,586,054.88	\$1,788,811.31	\$187,968.93 LT \$241.68 ST	\$61,512.91 \$308.74	3.44%

TOTAL VALUE (includes accrued interest) \$1,789,120.05

2 - You, or a third party, have provided the transaction details for this position.  
Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
11/29	Dividend	PIMCO INCOME A				\$649.70
		DIV PAYMENT				
11/29	Dividend	GUGGENHEIM FLOATING RT STRAT A				299.38
		DIV PAYMENT				
11/29	Dividend	PIMCO INV GRADE CORP BD A				208.74
		DIV PAYMENT				
11/29	Dividend	PIMCO HIGH YIELD A				118.36
		DIV PAYMENT				
11/29	Dividend Reinvestment	PIMCO INCOME A	REINVESTMENT	52.778	12.3100	(649.70)
11/29	Dividend Reinvestment	GUGGENHEIM FLOATING RT STRAT A	REINVESTMENT	11.183	26.7700	(299.38)
11/29	Dividend Reinvestment	PIMCO INV GRADE CORP BD A	REINVESTMENT	19.618	10.6400	(208.74)
11/29	Dividend Reinvestment	PIMCO HIGH YIELD A	REINVESTMENT	12.304	9.6200	(118.36)
12/2	Interest Income	QWEST CORPORATION 6125 *53JUN01	CUSIP: 74913G600			191.41
12/2	Qualified Dividend	TENARIS S.A.				78.00
12/2	Qualified Dividend	BB&T CORPORATION 5.2%-F				65.00
12/3	Qualified Dividend	PFIZER INC				120.00
12/5	Long Term Capital Gain	GUGGENHEIM FLOATING RT STRAT A				72.15
12/5	Short Term Capital Gain	GUGGENHEIM FLOATING RT STRAT A				72.30
12/5	Dividend Reinvestment	GUGGENHEIM FLOATING RT STRAT A	REINVESTMENT	5.406	26.7200	(144.45)
12/5	Bought	MORGAN STANLEY 6.875%-F	ACTED AS PRINCIPAL	400.000	25.0000	(10,000.00)
12/9	Interest Income	PITNEY BOWES INC 6700 *43MH07	CUSIP: 724479506			209.38



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89  
HERMAN ROSENTHAL, TTEE  
SEVEN SAINT PAUL ST, STE 1300  
BALTIMORE MD 21202-1636

Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX9906  
Account Number: 509 054067 279

**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

#### Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMGEN INC	100,000	06/12/14	08/20/14	\$12,965.89	\$11,840.49	\$0.00	\$1,125.40	\$0.00
CUSIP: 031162100 Symbol: AMGN								
AT&T INC	300,000	10/28/13	04/21/14	\$10,616.28	\$10,861.39	\$0.00	(\$245.11)	\$0.00
	300,000	02/27/14	04/21/14	\$10,616.27	\$9,886.47	\$0.00	\$729.80	\$0.00
	600,000			\$21,232.55	\$20,747.86	\$0.00	\$484.69	\$0.00
CUSIP: G7945E105 Symbol: SDRL								
SEADRILL LTD	250,000	08/08/14	12/03/14	\$3,271.40	\$9,380.16	\$0.00	(\$6,108.76)	\$0.00
CUSIP: 00771H828 Symbol: AH502Q13								
UNIT AAM HIGH 50 DIVIDEND 13	9,000	08/25/13	08/06/14	\$98.18	\$89.16	\$0.00	\$9.02	\$0.00
	10,000	09/25/13	08/06/14	\$109.09	\$101.38	\$0.00	\$7.71	\$0.00
	9,000	10/25/13	08/06/14	\$98.18	\$94.02	\$0.00	\$4.16	\$0.00
	9,000	11/25/13	08/06/14	\$98.18	\$93.38	\$0.00	\$4.80	\$0.00
	9,000	12/25/13	08/06/14	\$98.18	\$92.12	\$0.00	\$6.06	\$0.00
	25,000	12/27/13	08/06/14	\$272.71	\$257.90	\$0.00	\$14.81	\$0.00
	9,000	01/25/14	08/06/14	\$98.18	\$93.66	\$0.00	\$4.52	\$0.00
	9,000	02/25/14	08/06/14	\$98.18	\$93.78	\$0.00	\$4.40	\$0.00
	9,000	03/25/14	08/06/14	\$98.18	\$96.03	\$0.00	\$2.15	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNIT AAM HIGH 50 DIVIDEND 13(Cont.) CUSIP: 00771H828 Symbol: AH502Q13								
	9.000	04/25/14	08/06/14	\$98.18	\$97.60	\$0.00	\$0.58	\$0.00
	9.000	05/25/14	08/06/14	\$98.15	\$97.68	\$0.00	\$0.47	\$0.00
<b>Security Subtotal</b>	<b>116.000</b>			<b>\$1,265.39</b>	<b>\$1,206.71</b>	<b>\$0.00</b>	<b>\$58.68</b>	<b>\$0.00</b>
UNIT FT EQUITY INCOME SELECT31 CUSIP: 30280A303 Symbol: EQ2Y31								
	7.000	03/25/13	03/14/14	\$81.42	\$74.72	\$0.00	\$6.70	\$0.00
	10.000	04/25/13	03/14/14	\$116.31	\$109.05	\$0.00	\$7.26	\$0.00
	7.000	05/25/13	03/14/14	\$81.42	\$78.28	\$0.00	\$3.14	\$0.00
	9.000	06/25/13	03/14/14	\$104.68	\$94.68	\$0.00	\$10.00	\$0.00
	8.000	07/25/13	03/14/14	\$93.05	\$88.33	\$0.00	\$4.72	\$0.00
	7.000	08/25/13	03/14/14	\$81.42	\$74.35	\$0.00	\$7.07	\$0.00
	7.000	09/25/13	03/14/14	\$81.42	\$77.72	\$0.00	\$3.70	\$0.00
	9.000	10/25/13	03/14/14	\$104.68	\$102.78	\$0.00	\$1.90	\$0.00
	4.000	11/25/13	03/14/14	\$46.52	\$46.49	\$0.00	\$0.03	\$0.00
	61.000	12/25/13	03/14/14	\$709.50	\$705.14	\$0.00	\$4.36	\$0.00
	6.000	01/25/14	03/14/14	\$69.79	\$69.81	\$0.00	(\$0.02)	\$0.00
	6.000	02/25/14	03/14/14	\$69.76	\$69.94	\$0.00	(\$0.18)	\$0.00
<b>Security Subtotal</b>	<b>141.000</b>			<b>\$1,639.97</b>	<b>\$1,591.29</b>	<b>\$0.00</b>	<b>\$48.68</b>	<b>\$0.00</b>

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Security Mark at Right



# Morgan Stanley

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Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

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HERMAN ROSENTHAL, TTEE  
SEVEN SAINT PAUL ST, STE 1300  
BALTIMORE MD 21202-1636

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX9906  
Account Number: 509 054067 279

**Customer Service: 866-324-6088**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNIT FT GLB DIV LDR 2ND QRT 13	1,853.000	05/09/13	04/08/14	\$20,231.41	\$19,208.57	\$0.00	\$1,022.84	\$0.00
				<b>\$60,606.61</b>	<b>\$63,975.08</b>	<b>\$0.00</b>	<b>(\$3,368.47)</b>	<b>\$0.00</b>

Total Short Term Covered Securities

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Noncovered Securities** # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNIT GUGG ZACKS IND OUTCOME 1	3.000	01/25/14	10/01/14	\$30.32	\$32.51	\$0.00	(\$2.19)	\$0.00
	3.000	06/25/14	10/01/14	\$30.32	\$33.69	\$0.00	(\$3.37)	\$0.00
Security Subtotal	6.000			\$60.64	\$66.20	\$0.00	(\$5.56)	\$0.00
<b>Total Short Term Noncovered Securities</b>				<b>\$60.64</b>	<b>\$66.20</b>	<b>\$0.00</b>	<b>(\$5.56)</b>	<b>\$0.00</b>
<b>Total Short Term Covered and Noncovered Securities</b>				<b>\$60,667.25</b>	<b>\$64,041.28</b>	<b>\$0.00</b>	<b>(\$3,374.03)</b>	<b>\$0.00</b>

CUSIP: 40168F100 Symbol: ZDOT1



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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES US PHARMACEUTICALS ETF	40.000	08/28/13	12/03/14	\$5,957.51	\$4,232.67	\$0.00	\$1,724.84	\$0.00
		CUSIP: 464288836	Symbol: IHE					
		CUSIP: 72201F474	Symbol: PONAX					
PIMCO INCOME A	553.879	01/09/12	06/03/14	\$7,014.61	\$6,231.14	\$0.00	\$783.47	\$0.00
	779.454	01/09/12	07/30/14	\$9,896.49	\$8,768.86	\$0.00	\$1,127.63	\$0.00
	1,189.562	01/11/12	07/30/14	\$15,103.51	\$13,442.05	\$0.00	\$1,661.46	\$0.00
	1,465.305	01/11/12	08/08/14	\$18,530.07	\$16,557.95	\$0.00	\$1,972.12	\$0.00
	13.004	01/31/12	08/08/14	\$164.45	\$144.08	\$0.00	\$20.37	\$0.00
	21.417	02/29/12	08/08/14	\$270.84	\$239.87	\$0.00	\$30.97	\$0.00
	21.398	03/30/12	08/08/14	\$270.60	\$240.94	\$0.00	\$29.66	\$0.00
	21.316	04/30/12	08/08/14	\$269.56	\$242.15	\$0.00	\$27.41	\$0.00
	39.102	05/22/12	08/08/14	\$494.48	\$458.28	\$0.00	\$36.20	\$0.00
	387.519	05/22/12	11/21/14	\$4,904.71	\$4,541.72	\$0.00	\$362.99	\$0.00
	21.876	05/31/12	11/21/14	\$276.88	\$248.29	\$0.00	\$28.59	\$0.00
	1,565.842	06/26/12	11/21/14	\$19,818.41	\$18,445.62	\$0.00	\$1,372.79	\$0.00
<b>Security Subtotal</b>	<b>6,079.674</b>			<b>\$77,014.61</b>	<b>\$69,560.95</b>	<b>\$0.00</b>	<b>\$7,453.66</b>	<b>\$0.00</b>
SEADRILL LTD		CUSIP: G7945E105	Symbol: SDRL					
	250.000	10/24/13	12/03/14	\$3,271.40	\$11,869.87	\$0.00	(\$8,598.47)	\$0.00
UNIT AAM HIGH 50 DIVIDEND 13		CUSIP: 00771H828	Symbol: AH502Q13					
	2,337.000	08/05/13	08/06/14	\$25,493.16	\$24,999.82	\$0.00	\$493.34	\$0.00
UNIT FT EQUITY INCOME SELECT31		CUSIP: 30280A303	Symbol: EQ2Y31					
	2,471.000	06/20/12	03/14/14	\$28,740.44	\$23,920.26	\$0.00	\$4,820.18	\$0.00
	7.000	07/25/12	03/14/14	\$81.42	\$67.25	\$0.00	\$14.17	\$0.00

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

## 2014 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 25-4310632  
Taxpayer ID Number: XX-XXX9906  
Account Number: 509 054067 279

HELEN P BONDY FOUNDATION  
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HERMAN ROSENTHAL, TTEE  
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BALTIMORE MD 21202-1636

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

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**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNIT FT EQUITY INCOME SELECT31(Cont.)		CUSIP: 30280A303 Symbol: EQ2Y31						
	6.000	08/25/12	03/14/14	\$69.79	\$58.69	\$0.00	\$11.10	\$0.00
	8.000	09/25/12	03/14/14	\$93.05	\$79.72	\$0.00	\$13.33	\$0.00
	8.000	10/25/12	03/14/14	\$93.05	\$79.04	\$0.00	\$14.01	\$0.00
	5.000	11/25/12	03/14/14	\$58.16	\$47.46	\$0.00	\$10.70	\$0.00
	8.000	12/25/12	03/14/14	\$93.05	\$78.88	\$0.00	\$14.17	\$0.00
	7.000	01/25/13	03/14/14	\$81.42	\$70.26	\$0.00	\$11.16	\$0.00
	6.000	02/25/13	03/14/14	\$59.79	\$62.14	\$0.00	\$7.65	\$0.00
Security Subtotal	2,526.000			\$29,380.17	\$24,463.70	\$0.00	\$4,916.47	\$0.00
Total Long Term Covered Securities				\$141,116.85	\$135,127.01	\$0.00	\$5,989.84	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALTRIA GROUP INC	330,000	10/17/08	05/27/14	\$13,175.00	\$6,588.07	\$0.00	\$6,586.93	\$0.00
AMERICAN INV CO OF AMER A	361,515	02/28/11	11/21/14	\$15,000.00	\$11,156.35	\$0.00	\$3,843.65	\$0.00
CONS DISCRET SEL SECT SPDR FD	300,000	06/18/09	07/16/14	\$19,865.11	\$7,075.07	\$0.00	\$12,790.04	\$0.00
CVS HEALTH CORP COM	100,000	08/12/08	01/10/14	\$6,761.69	\$3,920.46	\$0.00	\$2,841.23	\$0.00
	100,000	08/12/08	12/10/14	\$8,849.32	\$3,920.46	\$0.00	\$4,928.86	\$0.00
<b>Security Subtotal</b>	<b>200,000</b>			<b>\$15,611.01</b>	<b>\$7,840.92</b>	<b>\$0.00</b>	<b>\$7,770.09</b>	<b>\$0.00</b>
DWS HIGH INCOME OPPORTUNITIES	500,000	03/22/07	01/10/14	\$7,056.88	\$18,328.68	\$0.00	(\$11,271.80)	\$0.00
GUGGENHEIM MNGD FUTRS STRAT A	10,737	12/18/08	02/07/14	\$222.05	\$327.78	\$0.00	(\$105.73)	\$0.00
	10,555	12/30/08	02/07/14	\$218.29	\$300.17	\$0.00	(\$81.88)	\$0.00
	202,842	01/13/09	02/07/14	\$4,194.98	\$6,000.00	\$0.00	(\$1,805.02)	\$0.00
<b>Security Subtotal</b>	<b>224,134</b>			<b>\$4,635.32</b>	<b>\$6,627.95</b>	<b>\$0.00</b>	<b>(\$1,992.63)</b>	<b>\$0.00</b>
HONEYWELL INTERNATIONAL INC	150,000	05/13/05	03/11/14	\$13,816.36	\$5,500.28	\$0.00	\$8,316.08	\$0.00
ISHARES MSCI JAPAN ETF	700,000	03/22/06	12/10/14	\$7,795.07	\$10,022.71	\$0.00	(\$2,227.64)	\$0.00

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## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

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**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOCKHEED MARTIN CORP	100 000	08/20/04	02/26/14	\$15,802.85	\$4,662.20	\$0.00	\$11,140.65	\$0.00
CUSIP: 539830109 Symbol: LMT								
LOOMIS SAYLES STRAT INC A	472 772	07/08/09	11/21/14	\$8,021.45	\$6,000.00	\$0.00	\$2,021.45	\$0.00
CUSIP: 543487284 Symbol: NEFZX								
	2 463	07/28/09	11/21/14	\$41.79	\$30.59	\$0.00	\$11.20	\$0.00
	2 617	08/25/09	11/21/14	\$44.40	\$33.55	\$0.00	\$10.85	\$0.00
	2 224	09/22/09	11/21/14	\$37.73	\$29.53	\$0.00	\$8.20	\$0.00
	2 415	10/27/09	11/21/14	\$40.97	\$32.55	\$0.00	\$8.42	\$0.00
	991 130	10/27/09	11/21/14	\$16,816.35	\$14,000.00	\$0.00	\$2,816.35	\$0.00
	350 018	11/13/09	11/21/14	\$5,938.70	\$5,000.00	\$0.00	\$938.70	\$0.00
	8 978	11/24/09	11/21/14	\$152.33	\$122.55	\$0.00	\$29.78	\$0.00
	230 229	12/03/09	11/21/14	\$3,906.28	\$3,307.26	\$0.00	\$599.02	\$0.00
<b>Security Subtotal</b>	<b>2,062,846</b>			<b>\$35,000.00</b>	<b>\$28,556.03</b>	<b>\$0.00</b>	<b>\$6,443.97</b>	<b>\$0.00</b>
PIMCO INCOME A	620 018	12/12/11	06/03/14	\$7,852.22	\$7,000.00	\$0.00	\$852.22	\$0.00
CUSIP: 72201F474 Symbol: PONAX								
	8 884	12/28/11	06/03/14	\$112.51	\$96.21	\$0.00	\$16.30	\$0.00
	1 531	12/30/11	06/03/14	\$20.66	\$17.70	\$0.00	\$2.96	\$0.00
<b>Security Subtotal</b>	<b>630 533</b>			<b>\$7,985.39</b>	<b>\$7,113.91</b>	<b>\$0.00</b>	<b>\$871.48</b>	<b>\$0.00</b>
PIMCO INV GRADE CORP BD A	1,022 937	07/29/10	03/25/14	\$10,655.54	\$12,045.47	\$0.00	(\$1,389.93)	\$0.00
CUSIP: 722008307 Symbol: PBDAX								
	20 995	07/30/10	03/25/14	\$218.70	\$238.82	\$0.00	(\$20.12)	\$0.00
	25 827	08/31/10	03/25/14	\$269.03	\$299.99	\$0.00	(\$30.96)	\$0.00
	25 930	09/30/10	03/25/14	\$270.10	\$304.56	\$0.00	(\$34.46)	\$0.00

CONTINUED ON NEXT PAGE

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX9906  
Account Number: 509 054067 279  
**Customer Service: 866-324-6088**

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89  
HERMAN ROSENTHAL, TTEE  
SEVEN SAINT PAUL ST, STE 1300  
BALTIMORE MD 21202-1636

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 722008307 Symbol: PBDAX								
PIMCO INV GRADE CORP BD A (Cont.)	26 034	10/29/10	03/25/14	\$271.19	\$308.12	\$0.00	(\$36.93)	\$0.00
	798.287	11/10/10	03/25/14	\$8,315.44	\$9,767.36	\$0.00	(\$1,451.92)	\$0.00
	741.421	11/10/10	03/26/14	\$7,742.81	\$9,071.59	\$0.00	(\$1,328.78)	\$0.00
	26 724	11/30/10	03/26/14	\$279.08	\$308.80	\$0.00	(\$29.72)	\$0.00
	3.129	11/30/10	03/26/14	\$32.68	\$36.16	\$0.00	(\$3.48)	\$0.00
	186.286	12/07/10	03/26/14	\$1,945.43	\$2,208.49	\$0.00	(\$263.06)	\$0.00
	956.644	12/07/10	03/31/14	\$10,000.00	\$11,223.53	\$0.00	(\$1,223.53)	\$0.00
	111.251	12/07/10	05/20/14	\$1,184.05	\$1,305.21	\$0.00	(\$121.16)	\$0.00
	350.503	12/08/10	05/20/14	\$3,730.43	\$3,575.91	\$0.00	\$154.52	\$0.00
	477.823	12/08/10	05/20/14	\$5,085.52	\$4,874.84	\$0.00	\$210.68	\$0.00
<b>Security Subtotal</b>	<b>4,773.791</b>			<b>\$50,000.00</b>	<b>\$55,568.85</b>	<b>\$0.00</b>	<b>(\$5,568.85)</b>	<b>\$0.00</b>
CUSIP: 780097796 Symbol: RBS.M								
ROYAL BK SCOTLND GP 6 40 PFD M	350 000	01/11/08	02/07/14	\$7,700.89	\$8,019.55	\$0.00	(\$318.66)	\$0.00
CUSIP: 78463X681 Symbol: IRY								
SPDR S&P INTL HEALTH CARE	200 000	04/14/10	11/21/14	\$9,469.40	\$6,111.47	\$0.00	\$3,357.93	\$0.00
	100.000	04/14/10	12/03/14	\$4,727.54	\$3,055.73	\$0.00	\$1,671.81	\$0.00
<b>Security Subtotal</b>	<b>300.000</b>			<b>\$14,196.94</b>	<b>\$9,167.20</b>	<b>\$0.00</b>	<b>\$5,029.74</b>	<b>\$0.00</b>
CUSIP: 88031M109 Symbol: TS								
TENARIS S.A.	300.000	08/12/08	06/10/14	\$13,337.63	\$15,496.70	\$0.00	(\$2,159.07)	\$0.00
CUSIP: 40168F100 Symbol: ZDOT1								
UNIT GUGG ZACKS IND OUTCOME 1	2,000 000	09/25/13	10/01/14	\$20,214.80	\$20,000.00	\$0.00	\$214.80	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX9906  
Account Number: 509 054067 279  
**Customer Service: 866-324-6088**

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89  
HERMAN ROSENTHAL, TTEE  
SEVEN SAINT PAUL ST, STE 1300  
BALTIMORE MD 21202-1636

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked )(Continued)

DESCRIPTION (Box 6)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
XL CAPITAL LTD 6500 *54JLRG	18,000.000	09/24/12	07/16/14	\$17,857.30	\$17,699.60	\$0.00	\$157.70	\$0.00
CUSIP: 98372PAJ7 Symbol:								
<b>Total Long Term Noncovered Securities</b>				\$279,050.55	\$239,424.07	\$0.00	\$39,626.48	\$0.00
<b>Total Long Term Covered and Noncovered Securities</b>				\$420,167.40	\$374,551.08	\$0.00	\$45,616.32	\$0.00
<b>Total Short and Long Term, Covered and Noncovered Securities</b>				\$480,834.65	\$438,592.36	\$0.00	\$42,242.29	\$0.00
<b>Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities</b>								
<b>Total IRS Reportable Proceeds (Box 1d)</b>	\$480,834.65							
<b>Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)</b>	\$199,102.09							
<b>Total IRS Reportable Adjustments (Box 1g)</b>	\$0.00							
<b>Total Fed Tax Withheld (Box 4)</b>	\$0.00							

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

CLIENT STATEMENT | For the Period December 1-31, 2014

Active Assets Account  
509-054067-279

HELEN P BONDY FOUNDATION

HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### STOCKS

#### COMMON STOCKS

Morgan Stanley & Co LLC (Morgan Stanley) and Standard & Poor's equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and not infer its contents. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>AMERICAN WATER WORKS CO (AWK)</b> Share Price: \$53.300, Next Dividend Payable 03/2015	300,000	\$47.829	\$14,348.75	\$15,990.00	\$1,641.25 ST	\$372.00	2.32
<b>BAXTER INTL INC (BAX)</b> Share Price: \$73.290, Rating: Morgan Stanley 2, S&P 1, Next Dividend Payable 01/02/15	150,000	38.026	5,703.83	10,993.50	5,289.67 LT	312.00	2.83
<b>BCE INC (NEW) (BCE)</b> Share Price: \$45.860, Rating: Morgan Stanley 1, S&P 2, Next Dividend Payable 01/15/15	225,000	45.500	10,237.57	10,318.50	80.93 LT	488.00	4.72
<b>BERKSHIRE HATHAWAY CL-B NEW (BRK'B)</b> Share Price: \$150.150, Rating: S&P 1	250,000	43.920	10,980.00	37,537.50	26,557.50 LT 2	—	—
<b>CHEVRON CORP (CVX)</b> Share Price: \$112.180, Rating: Morgan Stanley 2, S&P 1, Next Dividend Payable 03/2015	100,000	39.775	3,977.50	11,218.00	7,240.50 LT 2	428.00	3.81
<b>CISCO SYS INC (CSCO)</b> Share Price: \$27.815, Rating: Morgan Stanley 1, S&P 2, Next Dividend Payable 01/2015	300,000	27.236	8,170.91	8,344.50	173.59 LT	228.00	2.73
<b>CVS HEALTH CORP COM (CVS)</b> Share Price: \$96.310, Rating: Morgan Stanley 1, S&P 1, Next Dividend Payable 02/2015	150,000	39.205	5,880.69	14,446.50	8,565.81 LT	210.00	1.45
<b>FREDDIE MAC (FMCC)</b> Share Price: \$2.060	400,000	69.418	27,767.00	824.00	(26,943.00) LT	—	—
<b>GENERAL ELECTRIC CO (GE)</b> Share Price: \$25.270, Rating: Morgan Stanley 2, S&P 1, Next Dividend Payable 01/26/15	100,000	27.735	2,773.50	2,527.00	(246.50) LT 2	—	—
<b>GULF COAST ULTRA DEEP RTY TR (GULTU)</b> Share Price: \$1.270	900,000	20.126	18,113.75	22,743.00	4,629.25 LT	—	—
<b>HESS CORPORATION (HES)</b> Share Price: \$73.820, Rating: Morgan Stanley 2, S&P 2, Next Dividend Payable 03/2015	1,000,000	20.887 25	20,887 25	25,270.00	4,382.75 LT	920.00	3.64
<b>MICROSOFT CORP (MSFT)</b> Share Price: \$46.450, Rating: Morgan Stanley 2, S&P 2, Next Dividend Payable 03/2015	20,000	2.048	40.95	25.40	(15.55) LT	—	—
<b>PEPSICO INC NC (PEP)</b> Share Price: \$94.560, Rating: Morgan Stanley 1, S&P 2, Next Dividend Payable 01/07/15	130,000	102.510	13,326.29	9,596.60	(3,729.69) ST	130.00	1.35
<b>PFIZER INC (PFE)</b> Share Price: \$31.150, Rating: Morgan Stanley 2, S&P 1, Next Dividend Payable 03/2015	400,000	25.860	10,344.00	18,580.00	8,236.00 LT 2	496.00	2.66
<b>PG&amp;E CORPORATION (PCG)</b> Share Price: \$53.240, Rating: Morgan Stanley 1, S&P 2, Next Dividend Payable 01/15/15	200,000	41.105	8,221.00	18,912.00	10,691.00 LT 2	524.00	2.77
	250,000	17.227	4,306.71	7,787.50	3,480.79 LT	280.00	3.59
	200,000	43.779	8,755.89	10,648.00	1,892.11 LT	364.00	3.41



CLIENT STATEMENT | For the Period December 1-31, 2014

Active Assets Account  
509-054067-279

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### STOCKS

#### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PROCTER & GAMBLE (PG) Share Price: \$21.090, Rating: Morgan Stanley, 2, S&P: 2, Next Dividend Payable 02/2015	1/31/06	100,000	60.180	6,018.00	9,109.00	3,091.00 LT	257.00	2.82
REUNION INDUSTRIES INC (RUNI) Share Price: \$0.055	8/20/04	75,000	0.190	14.25	4.12	(10.13) LT 2	—	—
SCHLUMBERGER LTD (SLB) Share Price: \$85.410, Rating: Morgan Stanley, 1, S&P: 1, Next Dividend Payable 01/09/15	2/25/05	110,000	38.303	4,213.27	9,395.10	5,181.83 LT	176.00	1.87
TORONTO DOM BK NEW (TD) Share Price: \$47.780, Rating: S&P: 1, Next Dividend Payable 01/2015	2/7/14	250,000	45.043	11,260.64	11,945.00	684.36 ST	414.00	3.46
VODAFONE GROUP PLC (VOD) Share Price: \$34.170, Rating: S&P: 1, Next Dividend Payable 02/04/15	4/21/14	600,000	36.693	22,015.87	20,502.00	(1,513.87) ST	1,081.00	5.27
WAL MART STORES INC (WMT) Share Price: \$85.880, Rating: Morgan Stanley, 1, S&P: 2, Next Dividend Payable 01/05/15	9/2/09	250,000	52.076	13,019.06	21,470.00	8,450.94 LT	480.00	2.23
<b>COMMON STOCKS</b>				<b>\$209,489.43</b>	<b>\$272,917.22</b>	<b>\$66,345.74 LT</b> <b>\$(2,917.95) ST</b>	<b>\$7,160.00</b> <b>\$0.00</b>	<b>2.62%</b>

### PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MORGAN STANLEY 6.875%-F (MS.F) Share Price: \$26.610, Moody BA3	12/5/13	400,000	\$25.000	\$10,000.00	\$10,644.00	\$644.00 LT	\$688.00	6.46
PS BUSN PARKS 6.00% SER T (PSB.T) Share Price: \$24.700, Moody BAA2	5/3/12	500,000	25.000	12,500.00	12,350.00	(150.00) LT	750.00	6.07
ROYAL BK SCOTLAND GP 6.40 PFD M (RBS.M) Share Price: \$24.660, Moody B2	1/11/08	300,000	22.913	6,873.90	7,398.00	524.10 LT	480.00	6.48
<b>PREFERRED STOCKS</b>				<b>\$29,373.90</b>	<b>\$30,392.00</b>	<b>\$1,018.10 LT</b>	<b>\$1,918.00</b> <b>\$0.00</b>	<b>6.31%</b>
<b>STOCKS</b>				<b>\$238,863.33</b>	<b>\$303,309.22</b>	<b>\$67,363.84 LT</b> <b>\$(2,917.95) ST</b>	<b>\$9,078.00</b> <b>\$0.00</b>	<b>2.99%</b>

CLIENT STATEMENT | For the Period December 1-31, 2014

Account Detail  
 Active Assets Account  
 509-054067-279  
 HELEN P BONDY FOUNDATION  
 HELEN P BONDY REV TR U/T/A 4/25/89

## EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALPS IDOG (IDOG)	11/20/13	300,000	\$29.895	\$8,968.57	\$7,803.00	\$(1,165.57) LT		
	3/25/14	300,000	30.596	9,178.75	7,803.00	(1,375.75) ST		
<b>Total</b>		600,000		18,147.32	15,606.00	(1,165.57) LT (1,375.75) ST	715.00	4.58
<i>Share Price: \$26.010, Next Dividend Payable 01/02/15</i>								
ALPS SECTOR DIVIDEND DOGS (SDOG)	10/2/13	400,000	32.834	13,133.68	15,216.00	2,082.32 LT		
	3/25/14	300,000	35.515	10,654.43	11,412.00	757.57 ST		
<b>Total</b>		700,000		23,788.11	26,628.00	2,082.32 LT 757.57 ST	896.00	3.36
<i>Share Price: \$38.040, Next Dividend Payable 01/02/15</i>								
FIRST TRUST DJ GL SEL DVD (FGD)	4/10/14	750,000	28.627	21,470.06	19,027.50	(2,442.56) ST	985.00	5.17
<i>Share Price: \$25.370, Next Dividend Payable 03/20/15</i>								
FT TR ETF MULTI-ASSET DVRS INC (MDIV)	3/31/14	500,000	21.834	10,917.14	10,635.00	(282.14) ST		
	6/3/14	500,000	22.467	11,233.28	10,635.00	(598.28) ST		
<b>Total</b>		1,000,000		22,150.42	21,270.00	(880.42) ST	1,284.00	6.03
<i>Share Price: \$21.270, Next Dividend Payable 01/20/15</i>								
GUGGENHEIM S&P 500 EQU WEIGHT (RSP)	2/28/14	100,000	74.990	7,499.00	8,005.00	506.00 ST	116.00	1.44
<i>Share Price: \$80.050, Next Dividend Payable 03/20/15</i>								
ISHARES CHINA LARGE CAP ETF (FXI)	5/4/06	450,000	27.420	12,339.19	18,729.00	6,389.81 LT	471.00	2.51
<i>Share Price: \$41.620, Next Dividend Payable 06/20/15</i>								
ISHARES CORE HIGH DIVIDEND ETF (HDV)	3/26/14	165,000	72.230	11,917.88	12,629.10	711.22 ST	404.00	3.19
<i>Share Price: \$76.540, Next Dividend Payable 03/20/15</i>								
ISHARES EUROPE ETF (IEV)	3/22/06	450,000	44.955	20,229.86	19,138.50	(1,091.36) LT	725.00	3.78
<i>Share Price: \$42.530, Next Dividend Payable 06/20/15</i>								
ISHARES MORNINGSTAR MID CP GRW (JKH)	4/2/12	75,000	111.095	8,332.16	11,741.43	3,409.27 LT		
	7/30/14	50,000	152.415	7,620.75	7,827.62	206.87 ST		
<b>Total</b>		125,000		15,952.91	19,569.05	3,409.27 LT 206.87 ST	156.00	0.79
<i>Share Price: \$156.552, Next Dividend Payable 03/20/15</i>								
ISHARES MORNINGSTAR MID CP VAL (JKI)	4/2/12	100,000	82.513	8,251.31	12,454.00	4,202.69 LT		
	7/30/14	50,000	125.423	6,271.16	6,227.00	(44.16) ST		
<b>Total</b>		150,000		14,522.47	18,681.00	4,202.69 LT (44.16) ST	365.00	1.95
<i>Share Price: \$124.540, Next Dividend Payable 03/20/15</i>								
ISHARES MSCI EMERGING MKTS ETF (EEM)	3/22/06	150,000	32.735	4,910.20	5,893.50	983.30 LT		
	3/22/06	250,000	32.743	8,185.86	9,822.50	1,636.64 LT		

CLIENT STATEMENT | For the Period December 1-31, 2014

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

Active Assets Account  
509-054067-279

## Account Detail

### EXCHANGE-TRADED & CLOSED-END FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		400,000		13,096.06	15,716.00	2,619.94 LT	350.00	2.22
Share Price: \$39.290, Next Dividend Payable 01/05/15								
ISHARES MSCI JAPAN ETF (EWJ)	3/22/06	800,000	14.318	11,454.52	8,992.00	(2,462.52) LT		
	4/15/13	1,000,000	11.542	11,542.46	11,240.00	(302.46) LT		
<b>Total</b>		1,800,000		22,996.98	20,232.00	(2,764.98) LT	268.00	1.32
Share Price: \$11.240, Next Dividend Payable 01/05/15								
ISHARES MSCI PAC EX-JPN ETF (EPP)	5/4/06	150,000	38.920	5,838.00	6,592.50	754.50 LT		
	5/4/06	300,000	38.938	11,681.25	13,185.00	1,503.75 LT		
<b>Total</b>		450,000		17,519.25	19,777.50	2,258.25 LT	856.00	4.32
Share Price: \$43.950, Next Dividend Payable 01/05/15								
ISHARES SELECT DIVIDEND ETF (DVV)	5/27/14	170,000	76.160	12,947.23	13,498.00	550.77 ST	409.00	3.03
Share Price: \$79.400, Next Dividend Payable 03/20/15								
ISHARES US PHARMACEUTICALS ETF (IHE)	8/28/13	150,000	105.817	15,872.49	22,710.00	6,837.51 LT	273.00	1.20
Share Price: \$151.400, Next Dividend Payable 03/20/15								
ISHARS MORNINGSTAR SML CP VALU (JKL)	4/2/12	90,000	90.148	8,113.34	11,607.30	3,493.96 LT		
	7/30/14	50,000	127.683	6,384.16	6,448.50	64.34 ST		
<b>Total</b>		140,000		14,497.50	18,055.80	3,493.96 LT 64.34 ST	432.00	2.39
Share Price: \$128.970, Next Dividend Payable 03/20/15								
SPDR S&P INT INDUSTRIALS SEC (IPN)	4/14/10	400,000	26.648	10,659.09	11,497.20	838.11 LT	264.00	2.29
Share Price: \$28.743, Next Dividend Payable 01/02/15								
SPDR S&P INT TECHNOLOGY SECT (IPK)	4/14/10	500,000	28.345	14,172.25	15,700.00	1,527.75 LT	206.00	1.31
Share Price: \$31.400, Next Dividend Payable 01/02/15								
SPDR S&P INTL FINANCIAL SECT (IPF)	4/14/10	500,000	22.161	11,080.44	10,365.00	(715.44) LT	330.00	3.18
Share Price: \$20.730, Next Dividend Payable 01/02/15								
SPDR S&P INTL HEALTH CARE (IRY)	4/14/10	400,000	30.557	12,222.93	18,704.00	6,481.07 LT	322.00	1.72
Share Price: \$46.760, Next Dividend Payable 01/02/15								
SPDR S&P INTL TELECOM SECTOR (IST)	4/14/10	600,000	24.231	14,538.51	15,042.00	503.49 LT	1,985.00	13.19
Share Price: \$25.070, Next Dividend Payable 01/02/15								
THE TECHNOLOGY SEL SEC SPDR FD (XLK)	3/29/10	500,000	23.637	11,818.35	20,675.00	8,856.65 LT	362.00	1.75
Share Price: \$41.350, Next Dividend Payable 03/20/15								
VANGUARD DIVIDEND APPRECIATION (VIG)	6/30/14	125,000	79.620	9,952.45	10,145.00	192.55 ST		
	8/13/14	150,000	78.057	11,708.57	12,174.00	465.43 ST		
	12/17/14	120,000	81.773	9,812.71	9,739.20	(73.51) ST		
<b>Total</b>		395,000		31,473.73	32,058.20	584.47 ST	626.00	1.95
Share Price: \$81.160, Next Dividend Payable 03/20/15								

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HELEN P BONDY FOUNDATION  
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## Account Detail

### EXCHANGE-TRADED & CLOSED-END FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
VANGUARD GROWTH ETF (VUG)	7/17/14	100,000	100.855	10,085.53	10,439.00	353.47 ST	126.00	1.20
<i>Share Price: \$104.390, Next Dividend Payable 03/2015</i>								
VANGUARD INFO TECH ETF (VGT)	3/29/10	100,000	57.967	5,796.72	10,448.00	4,651.28 LT	117.00	1.11
<i>Share Price: \$104.480, Next Dividend Payable 12/2015</i>								
VANGUARD REIT ETF (VNQ)	3/11/14	200,000	71.827	14,365.30	16,200.00	1,834.70 ST	584.00	3.60
<i>Share Price \$81.000, Next Dividend Payable 03/2015</i>								
VANGUARD SM CAP GROWTH ETF (VBK)	4/2/12	90,000	89.579	8,062.12	11,334.60	3,272.48 LT		
	7/30/14	40,000	127.867	5,114.68	5,037.60	(77.08) ST		
<b>Total</b>		130,000	13,176.80	13,176.80	16,372.20	3,272.48 LT (77.08) ST	165.00	1.00
<i>Share Price \$125.940, Next Dividend Payable 12/2015</i>								
VANGUARD VALUE ETF INDEX (VTI)	7/17/14	125,000	83.805	10,475.67	10,561.25	85.58 ST		
	12/17/14	120,000	84.720	10,166.40	10,138.80	(27.60) ST		
<b>Total</b>		245,000	20,642.07	20,642.07	20,700.05	57.98 ST	459.00	2.21
<i>Share Price \$84.490, Next Dividend Payable 03/2015</i>								

Security Description	Trade Date	Face Value	Orig. Unit Cost	Orig. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
<b>EXCHANGE-TRADED &amp; CLOSED-END FUNDS</b>								
		500,000	\$25,000	\$12,500.00	\$487,473.10	\$51,687.23 LT	\$14,251.00	2.92%
		200,000	\$25,000	\$12,500.00		807.42 ST	\$0.00	
<b>PERCENTAGE OF ASSETS %</b>								
		26.7%		\$434,978.45				

### CORPORATE FIXED INCOME FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost	Orig. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
PITNEY BOWES INC 6.70% (PBI.B)	2/26/13	500,000	\$25,000	\$12,500.00	\$13,330.00	\$830.00 LT	\$638.00	6.28
<i>Unit Price \$26.660, Coupon Rate 6.700%, Matures 03/07/2043, Interest Paid Quarterly Sep 07, Callable \$25.00 on 03/07/18, Moody BAA2 S&amp;P BBB</i>								
QWEST CORP (CTW)	9/14/11	200,000	25,000	5,000.00	5,384.00	384.00 LT	375.00	6.96
<i>Unit Price: \$26.920, Coupon Rate 7.500%, Matures 09/15/2051, Interest Paid Quarterly Mar 15, Callable \$25.00 on 09/15/16, S&amp;P BBB-</i>								
QWEST CORP 7.00% NOTES DUE 2052 (CTX)	3/22/12	400,000	25,000	10,000.00	10,436.00	436.00 LT	700.00	6.70
<i>Unit Price: \$26.090, Coupon Rate 7.000%, Matures 04/01/2052, Interest Paid Quarterly Oct 01, Callable \$25.00 on 04/01/17, Moody BAA3 S&amp;P BBB-</i>								



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### CORPORATE FIXED INCOME FIXED-RATE CAPITAL SECURITIES (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
PRUDENTIAL FINANCIAL INC 5.75% (PJH)	11/27/12	500,000	25,000	12,500.00	12,520.00	20.00 LT	719.00	5.74
<i>Unit Price: \$25.040, Coupon Rate 5.750%, Matures 12/15/2052, Interest Paid Quarterly Jun 15, Callable \$25.00 on 12/04/17, Moody BAA2 S&amp;P BBB+</i>								
QWEST CORP 6.125% 06/01/53 (CTY)	5/14/13	500,000	25,000	12,500.00	12,050.00	(450.00) LT	766.00	6.35
<i>Unit Price: \$24.100, Coupon Rate 6.125%, Matures 06/01/2053, Interest Paid Quarterly Dec 01, Callable \$25.00 on 06/01/18, Moody BAA3 S&amp;P BBB-</i>								
INTEGRYS ENERGY GRP INC 6.00% FXD TO 8/12/13	8/12/13	500,000	25,000	12,500.00	13,350.00	850.00 LT	750.00	5.61
<i>Unit Price: \$26.700, Coupon Rate 6.000%, Matures 08/01/2073, Interest Paid Quarterly Feb 01, Callable \$25.00 on 08/01/23, Moody BAA1 S&amp;P BBB</i>								

Face Value Percentage of Assets	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
—	\$65,000.00	\$67,070.00	\$2,070.00 LT	\$4,148.00	6.18%
3.7%	\$65,000.00	\$67,070.00	\$2,070.00 LT	\$0.00	

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN CAP WRLD GR & INC A (CWGIX)	7/13/12	572.246	\$34.950	\$20,000.00	\$26,374.82	\$6,374.82 LT		
	8/22/12	136.054	36.750	5,000.00	6,270.72	1,270.72 LT		
	1/14/13	278.199	39.540	11,000.00	12,822.19	1,822.19 LT		
Purchases		986.499		36,000.00	45,467.73	9,467.73 LT		
Long Term Reinvestments		32.876		1,319.16	1,515.25	196.09 LT		
Short Term Reinvestments		23.217		1,078.98	1,070.07	(8.91) ST		
<b>Total</b>		<b>1,042.592</b>		<b>38,398.14</b>	<b>48,053.07</b>	<b>9,663.82 LT</b> (8.91) ST	<b>917.00</b>	<b>1.90</b>

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## Account Detail

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value Net Value Increase/(Decrease)								
<i>Share Price \$46.090, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
<b>AMERICAN INTL GRW &amp; INC A (IGAX)</b>	7/13/12	735,215	27.630	20,314.00	23,401.89	3,087.89 LT		
	8/14/12	332,447	30.080	10,000.00	10,581.78	581.78 LT		
	8/22/12	165,017	30.300	5,000.00	5,252.49	252.49 LT		
	10/2/12	215,296	31.120	6,700.00	6,852.87	152.87 LT		
	10/12/12	420,576	30.910	13,000.00	13,386.93	386.93 LT		
<b>Total</b>		1,868,551		55,014.00	<b>59,475.98</b>	4,461.96 LT	2,139.00	3.59
Total Purchases vs Market Value Cumulative Cash Distributions Net Value Increase/(Decrease)								
<i>Share Price \$31.830, Dividend Cash, Capital Gains Cash</i>								
<b>AMERICAN INV CO OF AMER A (AIVSX)</b>	2/28/11	74,685	30.860	2,304.79	2,769.31	464.52 LT		
	5/23/11	831,947	30.050	25,000.00	30,848.59	5,848.59 LT		
	1/4/12	210,084	28.560	6,000.00	7,789.91	1,789.91 LT		
	2/22/12	659,413	30.330	20,000.00	24,451.03	4,451.03 LT		
<b>Total</b>		1,776,129		53,304.79	<b>65,858.86</b>	12,554.05 LT	1,021.00	1.55
Total Purchases vs Market Value Cumulative Cash Distributions Net Value Increase/(Decrease)								
<i>Share Price \$37.080, Dividend Cash, Capital Gains Cash</i>								
<b>AMERICAN MUTUAL A (AMRMX)</b>	7/13/12	744,375	27.290	20,314.00	27,646.09	7,332.09 LT		
	8/16/12	169,837	29.440	5,000.00	6,307.75	1,307.75 LT		
	8/27/12	170,999	29.240	5,000.00	6,350.90	1,350.90 LT		
<b>Total</b>		1,085,211		30,314.00	<b>40,304.74</b>	9,990.74 LT	776.00	1.92
Total Purchases vs Market Value Cumulative Cash Distributions Net Value Increase/(Decrease)								
<i>Share Price \$37.140, Dividend Cash, Capital Gains Cash</i>								
<b>AMERICAN WA MUTUAL A (AWSHX)</b>	7/13/12	674,436	30.120	20,314.00	27,618.15	7,304.15 LT		
	8/16/12	154,560	32.350	5,000.00	6,329.23	1,329.23 LT		
	8/27/12	124,494	32.130	4,000.00	5,098.02	1,098.02 LT		
	6/27/13	176,343	36.860	6,500.00	7,221.24	721.24 LT		
	1/6/14	375,025	39.997	15,000.00	15,357.27	357.27 ST		
<b>Total</b>		1,504,858		50,814.00	<b>61,623.94</b>	10,452.64 LT	1,091.00	1.77
						357.27 ST		



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## Account Detail

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value				50,814.00	61,623.94			
Cumulative Cash Distributions					6,503.07			
Net Value Increase/(Decrease)					17,313.01			
<i>Share Price: \$40.950, Dividend Cash, Capital Gains Cash</i>								
<b>GUGGENHEIM FLOATING RT STRAT A (GIFAX)</b>								
	4/2/12	387.597	25.800	10,000.00	10,089.15	89.15 LT		
	4/3/12	746.124	26.805	20,000.00	19,421.60	(578.40) LT		
	4/13/12	595.746	26.857	16,000.00	15,507.26	(492.74) LT		
	4/23/12	557.002	26.930	15,000.00	14,498.76	(501.24) LT		
	4/24/12	371.049	26.951	10,000.00	9,658.40	(341.60) LT		
<b>Purchases</b>		<b>2,657.518</b>		<b>71,000.00</b>	<b>69,175.17</b>	<b>(1,824.83) LT</b>		
Long Term Reinvestments		245.570		6,480.85	6,392.18	(88.67) LT		
Short Term Reinvestments		154.439		4,116.65	4,020.04	(96.61) ST		
<b>Total</b>		<b>3,057.527</b>		<b>81,597.50</b>	<b>79,587.43</b>	<b>(1,913.50) LT</b>	<b>3,978.00</b>	<b>4.99</b>
<i>Share Price: \$26.030, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest</i>								
<b>LOOMIS SAYLES STRAT INC A (NEFX)</b>								
	12/3/09	117.837	14.365	1,692.74	1,919.56	226.82 LT		
	12/10/09	1,388.524	14.404	20,000.00	22,619.06	2,619.06 LT		
	12/15/09	1,037.699	14.455	15,000.00	16,904.12	1,904.12 LT		
	12/17/09	1,383.720	14.454	20,000.00	22,540.80	2,540.80 LT		
	12/22/09	208.403	14.395	3,000.00	3,394.88	394.88 LT		
	6/3/10	449.827	14.450	6,500.00	7,327.68	827.68 LT		
	12/7/10	655.308	15.260	10,000.00	10,674.97	674.97 LT		
	5/27/11	1,554.726	16.080	25,000.00	25,326.49	326.49 LT		
	6/14/11	814.536	15.960	13,000.00	13,268.79	268.79 LT		
	7/5/11	499.688	16.010	8,000.00	8,139.92	139.92 LT		
	7/14/11	439.147	15.940	7,000.00	7,153.70	153.70 LT		
	7/18/11	1,318.267	15.930	21,000.00	21,474.57	474.57 LT		
	7/9/12	1,302.083	15.360	20,000.00	21,210.93	1,210.93 LT		
	1/9/13	528.278	16.090	8,500.00	8,605.65	105.65 LT		
	7/30/13	245.603	16.286	4,000.00	4,000.87	0.87 LT		
	8/20/13	1,244.925	16.065	20,000.00	20,279.83	279.83 LT		
	11/11/13	837.934	16.708	14,000.00	13,649.94	(350.06) LT		
<b>Purchases</b>		<b>14,026.505</b>		<b>216,692.74</b>	<b>228,491.76</b>	<b>11,799.02 LT</b>		
Long Term Reinvestments		2,642.222		40,038.76	43,041.80	3,003.04 LT		

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### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Short Term Reinvestments		1,075.116		17,673.74	17,513.64	(160.10) ST		
<b>Total</b>		17,743.843		274,405.24	289,047.20	14,802.06 LT (160.10) ST	10,700.00	3.70
Total Purchases vs Market Value Net Value Increase/(Decrease)				216,692.74	289,047.20	72,354.46		
Share Price: \$16.290, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest								
<b>PIMCO HIGH YIELD A (PHDAX)</b>								
	8/5/13	2,626.050	9.520	25,000.00	24,002.10	(997.90) LT		
<b>Purchases</b>		2,626.050		25,000.00	24,002.10	(997.90) LT		
Long Term Reinvestments		47.728		455.30	436.23	(19.07) LT		
Short Term Reinvestments		218.867		2,075.82	2,000.44	(75.38) ST		
<b>Total</b>		2,892.645		27,531.12	26,438.78	(1,016.97) LT (75.38) ST	1,504.00	5.68
Total Purchases vs Market Value Net Value Increase/(Decrease)				25,000.00	26,438.78	1,438.78		
Share Price \$9.140, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest								
<b>PIMCO INCOME A (PONAX)</b>								
	6/26/12	556.399	11.780	6,554.38	6,860.40	306.02 LT		
	6/28/12	1,696.353	11.790	20,000.00	20,916.03	916.03 LT		
	10/4/13	1,195.654	12.545	15,000.00	14,742.41	(257.59) LT		
	10/15/13	1,599.480	12.504	20,000.00	19,721.59	(278.41) LT		
<b>Purchases</b>		5,047.886		61,554.38	62,240.43	686.05 LT		
Long Term Reinvestments		854.786		10,508.83	10,539.51	30.68 LT		
Short Term Reinvestments		622.158		7,801.64	7,671.21	(130.43) ST		
<b>Total</b>		6,524.830		79,864.85	80,451.15	716.73 LT (130.43) ST	4,045.00	5.02
Total Purchases vs Market Value Net Value Increase/(Decrease)				61,554.38	80,451.15	18,896.77		
Share Price: \$12.330, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest								
<b>PIMCO INV GRADE CORP BD A (PBDAX)</b>								
	8/19/11	1,350.135	11.110	15,000.00	14,243.92	(756.08) LT		
<b>Purchases</b>		1,350.135		15,000.00	14,243.92	(756.08) LT		
Long Term Reinvestments		2,513.184		26,916.98	26,514.09	(402.89) LT		
Short Term Reinvestments		255.151		2,693.34	2,691.84	(1.50) ST		
<b>Total</b>		4,118.470		44,610.32	43,449.86	(1,158.97) LT (1.50) ST	1,491.00	3.43
Total Purchases vs Market Value Net Value Increase/(Decrease)				15,000.00	43,449.86	28,449.86		
Share Price: \$10.550, Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest								





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MUTUAL FUNDS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	43.5%	\$735,853.96	\$794,291.01	\$58,552.56 LT \$(115.66) ST	\$27,662.00 \$0.00	3.48%

### UNIT INVESTMENT TRUSTS

#### CORPORATE TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT VAN KAMPEN INSD INCOME 146 MONTHLY	3/23/09	19,000	\$655.593	\$12,456.27	\$14,856.86	\$2,400.59 LT	\$790.00 \$57.19	5.31

Unit Price \$781.940, Matures 09/01/2040, Par Value \$14,339.49, Reinvest Full

#### EQUITY TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT FIRST TRUST SABRIENT BAKERS DOZEN 2014	1/13/14	1,500,000	\$10.000	\$15,000.00	\$17,859.15	\$2,859.15 ST		
Purchases		1,500,000		15,000.00	17,859.15	2,859.15 ST		
Total		7,000		75.58	83.34	7.76 ST		
Short Term Reinvestments		1,507,000		15,075.58	17,942.49	2,866.91 ST	11.00	0.06

Unit Price \$1.906, Matures 02/17/2015, Reinvest Full

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT AAM HIGH 50 DIVIDEND STRATEGY 14-2Q	8/6/14	2,718,000	9.842	26,751.37	27,245.77	494.40 ST		
Purchases		2,718,000		26,751.37	27,245.77	494.40 ST		
Total		47,000		462.51	471.13	8.62 ST		
Short Term Reinvestments		2,765,000		27,213.88	27,716.91	503.02 ST	966.00	3.48

Unit Price \$10.024, Matures 09/11/2015, Reinvest Full

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT FIRST TRUST UNCOMMON VALUES 2014	7/16/14	1,994,000	10.026	19,991.64	20,690.73	699.09 ST		
Purchases		1,994,000		19,991.64	20,690.73	699.09 ST		
Total		9,000		91.47	93.38	1.91 ST		
Short Term Reinvestments		2,003,000		20,083.11	20,784.12	701.00 ST	221.00	1.06

Unit Price \$10.376, Matures 10/16/2015, Reinvest Full

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT GUGGENHEIM ZACKS RANK STRONG BUY 50 STRATEGY 1	10/1/14	2,047,000	9.900	20,265.30	20,806.93	541.63 ST		
Purchases		2,047,000		20,265.30	20,806.93	541.63 ST		
Total		2,000		19.97	20.32	0.35 ST		
Short Term Reinvestments		2,047,000		19.97	20.32	0.35 ST		

Short Term Reinvestments

CLIENT STATEMENT | For the Period December 1-31, 2014

Active Assets Account  
509-054067-279

HELEN P BONDY FOUNDATION  
HELEN P BONDY REV TR U/T/A 4/25/89

## Account Detail

### UNIT INVESTMENT TRUSTS EQUITY TRUSTS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
<b>Total</b>		2,049,000		20,285.27	20,827.26	541.98 ST	166.00	0.79
<i>Unit Price: \$10.165, Matures 01/04/2016, Reinvest Full</i>								
UNIT FIRST TRUST EQUITY INCOME SELECT	3/14/14	100,000	10.000	1,000.00	1,033.32	33.32 ST		
39	3/14/14	3,133,000	9.900	31,016.70	32,374.22	1,357.52 ST		
Purchases		3,233,000		32,016.70	33,407.54	1,390.84 ST		
Short Term Reinvestments		69,000		714.27	712.99	(1.28) ST		
<b>Total</b>		3,302,000		32,730.97	34,120.55	1,389.56 ST	1,106.00	3.24

### UNIT INVESTMENT TRUSTS EQUITY TRUSTS

<i>Unit Price: \$10.333, Matures 03/14/2016, Reinvest Full</i>								
<b>Total</b>				\$115,388.81	\$121,391.33	\$6,002.47 ST	\$2,470.00	2.03%
		Percentage of Assets %				Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT INVESTMENT TRUSTS				\$127,845.08	\$136,248.19	\$2,400.59 LT	\$3,260.00	2.39%
TOTAL UNIT INVESTMENT TRUSTS (incl.accr int.)		7.5%			\$136,305.38	\$6,002.47 ST	\$57.19	

### TOTAL MARKET VALUE

<b>Total</b>		100.0%		\$1,602,540.82	\$1,824,010.44	\$182,074.22 LT	\$58,406.05	3.20%
						\$3,776.28 ST	\$57.19	

### TOTAL VALUE (includes accrued interest)

\$1,824,067.63

2 - You, or a third party, have provided the transaction details for this position.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included