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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation
HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC
% JOHN R PERKINSON JR

A Employer identification number
51-0172567

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O PERKINSON LAW PLLC
4622 COUNTRY CLUB ROAD STE 270 Sui

B Telephone number (see instructions)
(336) 794-6020

City or town, state or province, country, and ZIP or foreign postal code
WINSTONSALEM, NC 27104

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,060,452

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	0			
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	14,334	14,334		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	172,797			
b	Gross sales price for all assets on line 6a 607,899				
7	Capital gain net income (from Part IV, line 2)		172,797		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	187,131	187,131		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	8,191	0	0	8,191
b	Accounting fees (attach schedule)	3,995	1,998	0	1,997
c	Other professional fees (attach schedule)	8,672	8,672		
17	Interest				
18	Taxes (attach schedule) (see instructions)	4,500			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	35	18		17
24	**Total operating and administrative expenses.** Add lines 13 through 23	25,393	10,688	0	10,205
25	Contributions, gifts, grants paid	140,000			140,000
26	**Total expenses and disbursements.** Add lines 24 and 25	165,393	10,688	0	150,205
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	21,738			
b	**Net investment income** (if negative, enter -0-)		176,443		
c	**Adjusted net income** (if negative, enter -0-)				

	Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	8,615	4,094	4,094
2	Savings and temporary cash investments	85,340	73,563	73,563
3	Accounts receivable ▶ ________			
	Less allowance for doubtful accounts ▶ ________			
4	Pledges receivable ▶ ________			
	Less allowance for doubtful accounts ▶ ________			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ________			
	Less allowance for doubtful accounts ▶ ________			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	658,515	696,551	982,795
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ ________			
	Less accumulated depreciation (attach schedule) ▶ ________			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ ________			
	Less accumulated depreciation (attach schedule) ▶ ________			
15	Other assets (describe ▶ ________)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	752,470	774,208	1,060,452
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ________)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	752,470	774,208	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	752,470	774,208	
31	**Total liabilities and net assets/fund balances** (see instructions)	752,470	774,208	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	752,470
2	Enter amount from Part I, line 27a	2	21,738
3	Other increases not included in line 2 (itemize) ▶ ________	3	
4	Add lines 1, 2, and 3	4	774,208
5	Decreases not included in line 2 (itemize) ▶ ________	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	774,208

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	USB FINANCIAL SERVICES SEE ATTACHED	P		
b	USB FINANCIAL SERVICES SEE ATTACHED	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	186,313		177,629	9,664
b	421,586		258,453	163,133
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				8,684
b				163,133
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	172,797
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	110,093	1,056,019	0 104253
2012	108,779	1,006,699	0 108055
2011	104,802	1,007,556	0 104016
2010	113,339	970,707	0 116759
2009	99,625	1,004,390	0 09919

2	**Total** of line 1, column (d).	2	0 532273
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 106455
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	1,121,627
5	Multiply line 4 by line 3.	5	119,403
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,764
7	Add lines 5 and 6.	7	121,167
8	Enter qualifying distributions from Part XII, line 4.	8	150,205

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,764
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,764
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,764
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,064		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,064
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,300
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,300 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶JOHN R PERKINSON JR Telephone no ▶(336) 794-6020

Located at ▶4622 COUNTRY CLUB ROAD STE 270 WINSTONSALEM NC ZIP+4 ▶27104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required ***(continued)***

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ADRIENNE HERMES C/O PERKINSON LAW PLLC WINSTONSALEM, NC 27104	SECRETARY 0	0	0	0
GWENDOLYN SMITH C/O PERKINSON LAW PLLC WINSTONSALEM, NC 27104	DIRECTOR 0	0	0	0
IRMA SMART C/O PERKINSON LAW PLLC WINSTONSALEM, NC 27104	VICE PRESIDENT 0	0	0	0
DORIS CRUMPLER C/O PERKINSON LAW PLLC WINSTONSALEM, NC 27104	DIRECTOR/PRESIDENT 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,086,798
b	Average of monthly cash balances	1b	51,910
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,138,708
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,138,708
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,081
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,121,627
6	**Minimum investment return.** Enter 5% of line 5	6	56,081

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	56,081
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,764		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,764
3	Distributable amount before adjustments Subtract line 2c from line 1			3	54,317
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	54,317
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	54,317

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	150,205
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	150,205
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,764
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	148,441

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7					54,317
2 Undistributed income, if any, as of the end of 2014					
a Enter amount for 2013 only.				0	
b Total for prior years 2012 , 2011 , 2010			0		
3 Excess distributions carryover, if any, to 2014					
a From 2009.	87,752				
b From 2010.	66,292				
c From 2011.	56,504				
d From 2012.	60,512				
e From 2013.	59,848				
f **Total** of lines 3a through e.		330,908			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 150,205					
a Applied to 2013, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2014 distributable amount.					54,317
e Remaining amount distributed out of corpus		95,888			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		426,796			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .		87,752			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		339,044			
10 Analysis of line 9					
a Excess from 2010. . . .	66,292				
b Excess from 2011. . . .	56,504				
c Excess from 2012. . . .	60,512				
d Excess from 2013. . . .	59,848				
e Excess from 2014. . . .	95,888				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MS DONNIE JONES PERKINSON LAW
4622 COUNTRY CLUB ROAD STE 270
WINSTONSALEM,NC 27104
(336) 794-6020

b The form in which applications should be submitted and information and materials they should include

LETTER SPECIFYING REASONS FOR APPLICATION (I E , HOW FUNDS ARE TO BE USED)

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ONLY SEC 501(C)(3) AND SEC 170(C) ENTITIES ARE CONSIDERED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				140,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	14,334	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	172,797	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				187,131	

13 Total. Add line 12, columns (b), (d), and (e). **13** 187,131

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2015-05-12 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN R PERKINSON JR		2015-05-15		P00967463

Firm's name ▶ PERKINSON LAW PLLC

Firm's EIN ▶

Firm's address ▶ 4622 COUNTRY CLUB ROAD 270 WINSTONSALEM, NC 27104

Phone no (336) 794-6020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN DIABETES ASSOCIATION 1081 SPRUCE STREET MARTINSVILLE,VA 24112	NONE	PC	CHARITABLE	1,000
AMERICAN RED CROSS-GREENSBORO CHAPTER 1501 YANCEYVILLE STREET GREENSBORO,NC 27415	NONE	PC	CHARITABLE	2,000
BOYS AND GIRLS CLUB - MARTINSVILLEHENRY COUNTY 6 EAST MAIN STREET SUITE A MARTINSVILLE,VA 24112	NONE	PC	CHARITABLE	800
CARLISLE SCHOOL - ANNUAL GIVING PO BOX 5388 MARTINSVILLE,VA 24115	NONE	PC	CHARITABLE	11,000
CRISIS CONTROL MINISTRY 200 E 10TH ST WINSTONSALEM,NC 27101	NONE	PC	CHARITBLE	1,500
DANVILLE SCIENCE CENTER PO BOX 167 DANVILLE,VA 24543	NONE	PC	CHARITABLE	750
DEACON CLUB - WAKE FOREST UNIVERSITY ATHLECTIC DEV 499 DEACON BOULEVARD WINSTONSALEM,NC 27105	NONE	PC	CHARITABLE	500
DUKE ENDOWMENT FUND DEVELOPMENT OFFICE - DUKE UNIVERSIT DURHAM,NC 27706	NONE	PC	CHARITABLE	3,000
DUKE UNIVERSITY IRON DUKES BOX 90542 116 CAMERON INDOOR STADI DURHAM,NC 27708	NONE	PC	CHARITABLE	8,500
DUKE UNIVERSITY MEDICAL SCHOOL BOX 90581 DURHAM,NC 27701	NONE	PC	CHARITABLE	2,000
DYER'S STORE FIRE DEPARTMENT 3230 CHATHAM ROAD MARTINSVILLE,VA 24112	NONE	PC	CHARTIABLE	250
FERRUM COLLEGE SCHOLARSHIP FUND FERRUM,VA 24088	NONE	PC	CHARITABLE	5,000
FORK MOUNTAIN FIRE DEPARTMENT 2889 FORM MOUNTAIN ROAD BASSETT,VA 24055	NONE	PC	CHARITABLE	750
FORK MOUNTAIN RESCUE SQUAD 2805 VIRGIL H GOODE HIGHWAY ROCKY MOUNT,VA 24151	NONE	PC	CHARITABLE	750
FRANKLIN COUNTY PUBLIC LIBRARY 355 FRANKLIN COUNTY ROCKY MOUNT,VA 24151	NONE	NC	CHARITABLE	500
Total . . . . ► **3a**				140,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRANKLIN COUNTRY HIGH SCHOOL 700 TANYARD ROAD ROCKY MOUNT, VA 24151	NONE	NC	CHARITABLE	10,000
HUMANE SOCIETY OF THE PIEDMONT 4527 W WENDOVER AVENUE GREENSBORO, NC 27409	NONE	PC	CHARITABLE	1,000
KENTUCKY STATE FAIR HORSE SHOW C/O EXPOSITION CENTER 937 PHILLIPS LANE LOUISVILLE, KY 40209	NONE	NC	CHARITABLE	4,000
MARTINSVILLE AREA COMMUNITY FOUNDATION PO BOX 1124 MARTINSVILLE, VA 24114	NONE	PF	CHARITABLE	2,500
MARTINSVILLE CEMETARY ASSOCIATION PO BOX 246 MARTINSVILLE, VA 24114	NONE	NC	CHARITABLE	500
MORAVIAN CHURCH PO BOX 730 CLEMMONS, NC 27012	NONE	PC	CHARITABLE	750
OAK RIDGE PRESBYTERIAN CHURCH 2614 OAK RIDGE RD OAK RIDGE, NC 27310	NONE	PC	CHARITABLE	3,000
PATRICK HENRY COMMUNITY COLLEGE FOUNDATION PO BOX 5311 MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	2,500
PIEDMONT ARTS ASSOCIATION 215 STARLING AVENUE MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	2,500
RIVERWOOD THERAPEUTIC RIDING CENTER 6825 ROLLING VIEW DRIVE TOBACCOVILLE, NC 27050	NONE	PC	CHARITABLE	1,000
ROANOKE COLLEGE 211 COLLEGE LANE SALEM, VA 24153	NONE	PC	CHARITABLE	5,000
SALVATION ARMY-BOYS AND GIRLS CLUB 1311 S EUGENE STREET GREENSBORO, NC 27406	NONE	PC	CHARITABLE	1,000
SOUTHERN AREA AGENCY ON AGING 204 CLEVELAND AVENUE MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	1,000
SPCA 132 JOSEPH MARTIN HIGHWAY MARTINSVILLE, VA 24078	NONE	PC	CHARITABLE	7,000
ST JUDE'S CHILDREN'S HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	NONE	PC	CHARITABLE	3,500
Total . . . . ▶ **3a**				140,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SUMMERFIELD FIRE DEPARTMENT 7400 SUMMERFIELD ROAD SUMMERFIELD,NC 27358	NONE	PC	CHARITABLE	1,000
THE CHILDREN'S CENTER 2315 COLISEUM DRIVE WINSTONSALEM,NC 27106	NONE	PC	CHARITABLE	3,000
THE SUMMIT SCHOOL 2100 REYNOLDA ROAD WINSTONSALEM,NC 27106	NONE	PC	CHARITABLE	4,000
VIRGINIA MUSEUM OF NATURAL HISTORY 21 STARLING AVENUE MARTINSVILLE,NC 24112	NONE	PC	CHARITABLE	4,000
VIRGINIA TECH ATHLECTIC FUND-HOKIE CLUB POK BOX 10307 BLACKSBURG,VA 24062	NONE	PC	CHARITABLE	2,000
VOICE OF THE BLUE RIDGE 3435 MELROSE AVENUE ROANOKE,VA 24017	NONE	PC	CHARITABLE	750
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTONSALEM,NC 27157	NONE	PC	CHARITABLE	8,000
WALNUT COVE VFD & RESUE SQUAD PO BOX 532 WALNUT COVE,NC 27052	NONE	PC	CHARITABLE	1,000
WALNUT COVE PUBLIC LIBRARY PO BOX 706 WALNUT COVE,NC 27052	NONE	NC	CHARITABLE	1,000
YMCA MARTINSVILLEHENRY COUNTY 3 STARLING AVENUE MARTINSVILLE,NC 24112	NONE	PC	CHARITABLE	500
BLUE RIDGE MOUNTAINS COUNCIL BSA P O BOX 7606 ROANOKE,VA 24019	NONE	PC	CHARITABLE	500
CONE HEALTH 1200 NORTH ELM STREET GREENSBORO,NC 274011020	NONE	PC	CHARITABLE	9,000
HOLY TRINITY LUTHERAN CHURCH 1527 CHURCH STREET EXTENSION PO BOX 5184 MARTINSVILLE,VA 24115	NONE	PC	CHARITABLE	700
NEW COLLEGE FOUNDATION 191 FAYETTE STREET MARTINSVILLE,VA 24112	NONE	PC	CHARITABLE	4,000
OAK RIDGE ELEMENTARY SCHOOL 2050 OAK RIDGE ROAD OAK RIDGE,NC 27310	NONE	PC	CHARITABLE	2,000
Total . . . . ▶ **3a**				140,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PIEDMONT VA DENTAL HEALTH FOUNDATION 407 STARLING AVE MARTINSVILLE,VA 24112	NONE	PC	CHARITABLE	4,000
SUMMERFIELD ELEMENTARY SCHOOL 7501 SUMMERFIELD ROAD SUMMERFIELD,NC 27358	NONE	PC	CHARITABLE	2,000
WFU BRENNERS CHILDREN'S HOSPITAL PO BOX 571021 WINSTONSALEM,NC 271571021	NONE	PC	CHARITABLE	2,000
BOYS AND GIRLS CLUB 22 EAST CHURCH STREET STE 312 MARTINSVILLE,VA 24112	NONE	PC	CHARITIABLE	3,500
DUKE EYE CENTER OF WINSTON-SALEM DUKE UNIVERSITY BOX 90581 DURHAM,NC 27708	NONE	PC	CHARITABLE	3,500
Total . . . . ▶ **3a**				140,000

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*
▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0123

2014

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
	51-0172567

Before you check Box A, B, or C below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.
Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☑ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
USB FINANCIA			186,313	(177,629)		980	9,664
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . . ▶			186,313	(177,629)		980	9,664

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side
HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

Social security number or taxpayer identification number
51-0172567

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.
Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☑ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
USB FINANCIA			421,586	(258,453)			163,133
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . . ▶			421,586	(258,453)			163,133

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

TY 2014 Accounting Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PERKINSON LAW PLLC	3,995	1,998		1,997

TY 2014 All Other Program Related Investments Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

TY 2014 Investments Corporate Stock Schedule

Name: HERMES FAMILY FOUNDATION

C/O PERKINSON LAW PLLC

EIN: 51-0172567

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS FINANCIAL-SEE ATTACHED	696,551	982,795

TY 2014 Legal Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PERKINSON LAW PLLC	8,191			8,191

TY 2014 Other Expenses Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	35	18		17

TY 2014 Other Professional Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC
EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	8,672	8,672		

TY 2014 Taxes Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT	4,500			

UBS FINANCIAL SERVICES INC. Account Y1 08023

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMER EXPRESS CO / CUSIP: 025816109 / Symbol AXP							
10/17/14	21.000	1,733 79	02/03/14	1,745 36	0.55 W	-11 02	Sale
BOEING COMPANY COMMON STOCK / CUSIP· 097023105 / Symbol. BA							
10/17/14	113.000	13,899.09	02/03/14	13,954 53	0.00	-55 44	Sale
CSX CORPORATION COMMON STOCK / CUSIP: 126408103 / Symbol. CSX							
	4 tax lots for 10/13/14.						
	4 000	*131.34*	*12/13/13*	*110.10*	*0.00*	*21.24*	*Sale*
	4.000	*131 34*	*03/14/14*	*113.71*	*0.00*	*17.63*	*Sale*
	4.000	*131 35*	*06/13/14*	*121.34*	*0.00*	*10.01*	*Sale*
	4 000	*131.34*	*09/15/14*	*125.05*	*0.00*	*6.29*	*Sale*
10/13/14	16.000	525.37	VARIOUS	470 20	0.00	55.17	Total of 4 lots
CHUBB CORP COMMON STOCK / CUSIP: 171232101 / Symbol. CB							
	4 tax lots for 02/03/14.						
	1 000	*83 25*	*04/02/13*	*88 07*	*0.00*	*-4 82*	*Sale*
	2.000	*166 51*	*07/09/13*	*174 22*	*0.00*	*-7.71*	*Sale*
	1.000	*83 25*	*10/08/13*	*88 90*	*0.00*	*-5.65*	*Sale*
	1 000	*83.25*	*01/07/14*	*93.54*	*0 00*	*-10.29*	*Sale*
02/03/14	5.000	416.26	VARIOUS	444.73	0.00	-28 47	Total of 4 lots
COCA COLA CO COM COMMON STOCK / CUSIP. 191216100 / Symbol: KO							
	4 tax lots for 11/20/14.						
	3.000	*132 77*	*12/16/13*	*118.32*	*0.00*	*14.45*	*Sale*
	4 000	*177 02*	*04/01/14*	*155.20*	*0.00*	*21.82*	*Sale*
	3 000	*132 77*	*07/01/14*	*126.66*	*0.00*	*6 11*	*Sale*
	3 000	*132.77*	*10/01/14*	*128.04*	*0.00*	*4.73*	*Sale*
11/20/14	13.000	575 33	VARIOUS	528 22	0.00	47 11	Total of 4 lots
COGNIZANT TECH SOLUTIONS CRP / CUSIP 192446102 / Symbol. CTSH							
10/17/14	30.000	1,331.79	07/21/14	1,478 06	0.00	-146.27	Sale
ECOLAB INC COMMON STOCK / CUSIP· 278865100 / Symbol: ECL							
10/17/14	30.000	3,257.84	03/18/14	3,315 86	0.00	-58 02	Sale

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014

(continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
FIFTH THIRD BANCORP COMMON STOCK / CUSIP. 316773100 / Symbol FITB							
	4 tax lots for 06/04/14						
	1,200 000	*25,268.64*	*09/19/13*	*22,126.59*	*0.00*	*3,142.05*	*Sale*
	7.000	*147.40*	*10/17/13*	*130.89*	*0.00*	*16 51*	*Sale*
	6.000	*126.35*	*01/23/14*	*128.74*	*0.00*	*-2 39*	*Sale*
	6 000	*126.34*	*04/17/14*	*126.16*	*0.00*	*0.18*	*Sale*
06/04/14	1,219.000	25,668.73	VARIOUS	22,512 38	0.00	3,156 35	Total of 4 lots
FRANKLIN RESOURCES INC COMMON STOCK / CUSIP. 354613101 / Symbol. BEN							
10/17/14	42.000	2,167.15	03/31/14	2,270.50	2.46 W	-100 89	Sale
	3 tax lots for 11/20/14						
	578.000	*32,706.95*	*03/31/14*	*31,246.42*	*0.00*	*1,460 53*	*Sale*
	1.000	*56.58*	*07/11/14*	*56.81*	*0.00*	*-0.23*	*Sale*
	1.000	*56.59*	*10/10/14*	*53.67*	*0.00*	*2.92*	*Sale*
11/20/14	580 000	32,820.12	VARIOUS	31,356.90	0.00	1,463.22	Total of 3 lots
	Security total	34,987.27		33,627.40	2.46 W	1,362 33	
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD							
	4 tax lots for 01/22/14.						
	2.000	*161.13*	*03/28/13*	*139.22*	*0.00*	*21.91*	*Sale*
	2.000	*161.13*	*06/20/13*	*150.54*	*0.00*	*10.59*	*Sale*
	2.000	*161.13*	*09/19/13*	*156.81*	*0.00*	*4.32*	*Sale*
	1.000	*80.56*	*12/19/13*	*80.07*	*0.00*	*0.49*	*Sale*
01/22/14	7.000	563.95	VARIOUS	526.64	0.00	37 31	Total of 4 lots
PHILLIPS 66 / CUSIP. 718546104 / Symbol: PSX							
01/17/14	300.000	21,569.62 N	06/18/13	19,422.86	0.00	2,146.76	Sale Proceeds adjusted for option premium of $569.99
	2 tax lots for 01/22/14.						
	1.000	*77.54*	*09/03/13*	*58 08*	*0.00*	*19.46*	*Sale*
	1.000	*77.54*	*12/02/13*	*70 45*	*0.00*	*7.09*	*Sale*
01/22/14	2.000	155.08	VARIOUS	128.53	0.00	26.55	Total of 2 lots
	Security total	21,724.70		19,551.39	0.00	2,173.31	

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014 (continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property / Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
QUALCOMM INC COMMON STOCK / CUSIP: 747525103 / Symbol: QCOM							
10/17/14	26 000	1,886.78	10/22/13	1,796.08	0.00	90.70	Sale
ROCKWELL COLLINS INC / CUSIP 774341101 / Symbol: COL							
10/17/14	13 000	993.95	01/22/14	1,028.80	0.00	-34.85	Sale
SANDISK CORP / CUSIP. 80004C101 / Symbol· SNDK							
	2 tax lots for 07/18/14.						
	78.000	*6,197 64 N*	*08/05/13*	*4,466 18*	*0 00*	*1,731.46*	*Sale* *Proceeds adjusted for option premium of $542 76*
	422.000	*33,530.79 N*	*08/05/13*	*24,210 05*	*0 00*	*9,320 74*	*Sale* *Proceeds adjusted for option premium of $2,936.47*
07/18/14	500.000	39,728 43	VARIOUS	28,676 23	0 00	11,052.20	Total of 2 lots
SOUTHWESTERN ENERGY CO / CUSIP: 845467109 / Symbol· SWN							
10/13/14	780 000	25,978.06	02/03/14	31,650 84	0 00	-5,672.78	Sale
US BANCORP DEL (NEW) / CUSIP· 902973304 / Symbol: USB							
	4 tax lots for 03/31/14						
	6 000	*257.20*	*04/15/13*	*203.74*	*0.00*	*53 46*	*Sale*
	6 000	*257.20*	*07/15/13*	*224.52*	*0.00*	*32.68*	*Sale*
	6.000	*257.20*	*10/15/13*	*222.11*	*0.00*	*35 09*	*Sale*
	6.000	*257.20*	*01/15/14*	*247.66*	*0.00*	*9 54*	*Sale*
03/31/14	24.000	1,028.80	VARIOUS	898.03	0.00	130.77	Total of 4 lots
05/14/14	6 000	242.29	04/15/14	244.85	0.00	-2.56	Sale
	Security total·	1,271.09		1,142.88	0.00	128 21	
WHOLE FOODS MARKET INC COMMON STOCK / CUSIP· 966837106 / Symbol: WFM							
	3 tax lots for 03/31/14.						
	1.000	*50.84*	*07/16/13*	*56.18*	*0.00*	*-5.34*	*Sale*
	1.000	*50 85*	*10/08/13*	*59.95*	*0.00*	*-9.10*	*Sale*
	1.000	*50 85*	*01/28/14*	*51 61*	*0.00*	*-0.76*	*Sale*
03/31/14	3.000	152.54	VARIOUS	167.74	0.00	-15.20	Total of 3 lots

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014 (continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property / Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL ACTAVIS PLC DUE 05/17/14 240.000 / CUSIP: / Symbol: ACT 05/17/14 C 240.000							
04/01/14	1.000	169.99 N	03/31/14	0.00	0.00	169 99	Short sale closed- option Proceeds adjusted for option premium of -$100 00
CALL ACTAVIS PLC DUE 11/22/14 240.000 / CUSIP: / Symbol: ACT 11/22/14 C 240.000							
11/21/14	1.000	-1,847.01 N	11/20/14	0.00	0.00	-1,847.01	Short sale closed- option Proceeds adjusted for option premium of -$2,427.00
INVESCO LTD FOREIGN STOCK / CUSIP. G491BT108 / Symbol. IVZ							
10/17/14	380 000	13,919.13	08/19/14	15,011.42	977 31 W	-114 98	Sale
CALL INTERCONTINENTALEXC DUE 06/21/14 230.000 / CUSIP. / Symbol: ICE 06/21/14 C 230.000							
04/02/14	2 000	169.99 N	04/01/14	0 00	0.00	169 99	Short sale closed- option Proceeds adjusted for option premium of -$170.00
CALL INTERCONTINENTALEXC DUE 06/21/14 250.000 / CUSIP: / Symbol: ICE 06/21/14 C 250.000							
2 tax lots for 03/21/14.							
	1.000	*466.61 N*	*03/20/14*	*0.00*	*0 00*	*466 61*	*Short sale closed- option Proceeds adjusted for option premium of -$53.38*
	1.000	*469.99 N*	*03/20/14*	*0.00*	*0.00*	*469.99*	*Short sale closed- option Proceeds adjusted for option premium of -$50.00*
03/21/14	2.000	936 60	VARIOUS	0.00	0.00	936.60	Total of 2 lots
CALL SCHLUMBERGER LTD DUE 02/22/14 100.000 / CUSIP: / Symbol. SLB 02/22/14 C 100.000							
01/08/14	3.000	368 99 N	01/07/14	0.00	0.00	368.99	Short sale closed- option Proceeds adjusted for option premium of -$42 00
CALL SCHLUMBERGER LTD DUE 08/16/14 100.000 / CUSIP: / Symbol· SLB 08/16/14 C 100.000							
08/13/14	3.000	-1,878.85 N	08/12/14	0.00	0.00	-1,878 85	Short sale closed- option Proceeds adjusted for option premium of -$2,313.84

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014

(continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description of property / Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALL SCHLUMBERGER LTD DUE 02/20/15 115 000 / CUSIP: / Symbol: SLB 02/20/15 C 115 000							
10/09/14	3.000	724.24 N	10/08/14	0.00	0 00	724.24	Short sale closed- option Proceeds adjusted for option premium of -$300.00
CALL SANDISK CORP DUE 01/18/14 67.500 / CUSIP: / Symbol SNDK 01/18/14 C 67.500							
	3 tax lots for 01/21/14.						
	1.000	*-502 01 N*	*01/17/14*	*0 00*	*0 00*	*-502.01*	*Short sale closed- option Proceeds adjusted for option premium of -$640.00*
	1.000	*-504 00 N*	*01/17/14*	*0 00*	*0.00*	*-504.00*	*Short sale closed- option Proceeds adjusted for option premium of -$640.00*
	3.000	*-1,526.06 N*	*01/17/14*	*0.00*	*0 00*	*-1,526.06*	*Short sale closed- option Proceeds adjusted for option premium of -$1,934 05*
01/21/14	5.000	-2,532.07	VARIOUS	0.00	0.00	-2,532.07	Total of 3 lots
CALL THERMO FISHER SCIEN DUE 03/22/14 120.000 / CUSIP: / Symbol: TMO 03/22/14 C 120.000							
03/20/14	4.000	-980.01 N	03/19/14	0.00	0.00	-980 01	Short sale closed- option Proceeds adjusted for option premium of -$1,680.00
CALL THERMO FISHER SCIEN DUE 04/19/14 120.000 / CUSIP: / Symbol· TMO 04/19/14 C 120 000							
04/08/14	4.000	1,621.41 N	04/07/14	0 00	0.00	1,621 41	Short sale closed- option Proceeds adjusted for option premium of -$260 00
CALL THERMO FISHER SCIEN DUE 09/20/14 125.000 / CUSIP: / Symbol· TMO 09/20/14 C 125 000							
	2 tax lots for 09/22/14.						
	1.000	*239 99*	*09/22/14*	*0 00*	*0.00*	*239.99*	*Short sale closed- call expired*
	3.000	*705.25*	*09/22/14*	*0 00*	*0 00*	*705.25*	*Short sale closed- call expired*
09/22/14	4.000	945.24	VARIOUS	0 00	0.00	945 24	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014

(continued)

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
	Totals:	**186,312.62**		**177,628.76**	**980.32 W**	**9,664.18**	

LONG-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ANADARKO PETROLEUM CORP COMMON STOCK / CUSIP: 032511107 / Symbol. APC							
	2 tax lots for 04/30/14.						
	300.000	*29,591.46*	*11/12/09*	*18,730.83*	*0 00*	*10,860.63*	*Sale*
	100.000	*9,863.82*	*05/04/10*	*6,366.64*	*0.00*	*3,497.18*	*Sale*
04/30/14	400.000	39,455.28	VARIOUS	25,097.47	0.00	14,357 81	Total of 2 lots
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
10/17/14	100.000	9,836.79	11/24/09	2,929.24	0.00	6,907 55	Sale
CSX CORPORATION COMMON STOCK / CUSIP: 126408103 / Symbol: CSX							
	4 tax lots for 10/13/14.						
	900.000	*29,552.31*	*08/16/12*	*20,865.39*	*0.00*	*8,686.92*	*Sale*
	5.000	*164.18*	*03/15/13*	*119.28*	*0.00*	*44.90*	*Sale*
	5.000	*164.18*	*06/14/13*	*125.45*	*0.00*	*38.73*	*Sale*
	5.000	*164.18*	*09/13/13*	*129.29*	*0.00*	*34.89*	*Sale*
10/13/14	915.000	30,044.85	VARIOUS	21,239.41	0.00	8,805.44	Total of 4 lots
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS							
08/19/14	370.000	29,400.40	02/23/12	16,267.33	0.00	13,133.07	Sale
CHUBB CORP COMMON STOCK / CUSIP. 171232101 / Symbol: CB							
	2 tax lots for 02/03/14.						
	200 000	*16,650.41*	*12/12/05*	*9,483.65*	*0.00*	*7,166 76*	*Sale*
	200.000	*16,650.41*	*01/12/06*	*9,825.00*	*0.00*	*6,825.41*	*Sale*
02/03/14	400 000	33,300.82	VARIOUS	19,308.65	0.00	13,992.17	Total of 2 lots

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014 (continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol· KO							
10/17/14	52 000	2,218.79	10/21/10	1,601.08	0.00	617.71	Sale
	5 tax lots for 11/20/14						
	48.000	*2,124.29*	*10/21/10*	*1,477.92*	*0.00*	*646.37*	*Sale*
	400.000	*17,702 39*	*11/18/10*	*12,719 99*	*0.00*	*4,982.40*	*Sale*
	3.000	*132 77*	*04/01/13*	*121 22*	*0.00*	*11.55*	*Sale*
	3.000	*132.76*	*07/01/13*	*122.33*	*0.00*	*10.43*	*Sale*
	3 000	*132.77*	*10/01/13*	*113 03*	*0.00*	*19.74*	*Sale*
11/20/14	457.000	20,224 98	VARIOUS	14,554.49	0.00	5,670.49	Total of 5 lots
	Security total:	22,443 77		16,155 57	0.00	6,288.20	
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol. CMCSA							
10/17/14	81.000	4,114 71	03/01/11	2,088 86	0 00	2,025.85	Sale
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP. 254687106 / Symbol: DIS							
10/17/14	43.000	3,597.30	09/19/13	2,817.78	0 00	779.52	Sale
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG							
	2 tax lots for 10/17/14						
	30.000	*15,557.06*	*06/03/10*	*7,568.98*	*0.00*	*7,988 08*	*Sale*
	50.000	*25,928.42*	*08/30/11*	*13,542.84*	*0.00*	*12,385 58*	*Sale*
10/17/14	80.000	41,485.48	VARIOUS	21,111.82	0 00	20,373.66	Total of 2 lots
HOME DEPOT INC / CUSIP: 437076102 / Symbol. HD							
01/17/14	400.000	32,371 43 N	06/21/12	20,674.07	0 00	11,697 36	Sale Proceeds adjusted for option premium of $371.99
INTERCONTINENTALEXCHANGE GROUP / CUSIP. 45866F104 / Symbol· ICE							
10/17/14	50.000	9,850 28	02/18/11	6,401.50	0.00	3,448 78	Sale
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM							
01/17/14	100.000	18,619.67 N	11/21/06	9,320 22	0.00	9,299 45	Sale Proceeds adjusted for option premium of $119.99

UBS FINANCIAL SERVICES INC.

Account Y1 08023

Proceeds Not Reported to the IRS

2014 (continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property / Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ITC HOLDINGS CORP / CUSIP: 465685105 / Symbol: ITC							
10/17/14	54.000	1,979 60	10/16/13	1,724 03	0 00	255.57	Sale
JPMORGAN CHASE & CO / CUSIP 46625H100 / Symbol: JPM							
10/17/14	300.000	17,001 58	04/30/09	10,371 03	0 00	6,630.55	Sale
MCKESSON CORP / CUSIP. 58155Q103 / Symbol· MCK							
10/17/14	100.000	19,114 61	04/15/10	6,477 44	0 00	12,637.17	Sale
MEDTRONIC INC / CUSIP 585055106 / Symbol: MDT							
10/17/14	24.000	1,499.97	07/22/10	888.24	0.00	611.73	Sale
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE							
10/17/14	100.000	9,393.29	05/26/10	4,883 84	0.00	4,509.45	Sale
ROCKWELL COLLINS INC / CUSIP: 774341101 / Symbol: COL							
	2 tax lots for 10/17/14.						
	1 000	*76.46*	*06/03/13*	*64 79*	*0 00*	*11.67*	*Sale*
	1 000	*76.46*	*09/03/13*	*70.56*	*0 00*	*5.90*	*Sale*
10/17/14	2.000	152.92	VARIOUS	135.35	0.00	17 57	Total of 2 lots
SIMON PROPERTY GROUP INC SBI / CUSIP. 828806109 / Symbol: SPG							
01/22/14	100.000	15,752 45	11/21/12	14,960.50	0.00	791.95	Sale
THERMO FISHER SCIENTIFIC INC / CUSIP 883556102 / Symbol TMO							
10/17/14	40.000	4,509 10	11/26/08	1,383.46	0.00	3,125 64	Sale
US BANCORP DEL (NEW) / CUSIP· 902973304 / Symbol: USB							
	3 tax lots for 03/31/14.						
	600.000	*25,720 11*	*08/12/10*	*13,447.18*	*0.00*	*12,272 93*	*Sale*
	300.000	*12,860 06*	*03/01/11*	*8,225 92*	*0.00*	*4,634.14*	*Sale*
	200.000	*8,573 37*	*02/02/12*	*5,729 80*	*0.00*	*2,843.57*	*Sale*
03/31/14	1,100.000	47,153.54	VARIOUS	27,402.90	0.00	19,750 64	Total of 3 lots

UBS FINANCIAL SERVICES INC.

Account Y1 08023

2014

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WHOLE FOODS MARKET INC COMMON STOCK / CUSIP. 966837106 / Symbol: WFM							
	2 tax lots for 03/31/14						
	400 000	*20,339 05*	*03/22/12*	*16,673.65*	*0.00*	*3,665.40*	*Sale*
	200 000	*10,169 53*	*10/05/12*	*10,141.01*	*0.00*	*28.52*	*Sale*
03/31/14	600.000	30,508.58	VARIOUS	26,814 66	0 00	3,693.92	Total of 2 lots
	Totals:	**421,586.42**		**258,453.37**	**0.00**	**163,133.05**	