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Return of Private Foundation

2014

Department of the Treasury Internal Revenue Service

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Open to Public Inspection

For calendar year 2014 or tax year beginning 08/08, 2014, and ending 12/31, 2014

Name of foundation THE DAWE CHARITABLE FOUNDATION, INC. A Employer identification number 47-1504939

Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) (608) 758-0106

City or town, state or province, country, and ZIP or foreign postal code J ANESVILLE, WI 53546 C If exemption application is pending, check here

G Check all that apply X Initial return Initial return of a former public charity Final return Amended return X Address change Name change

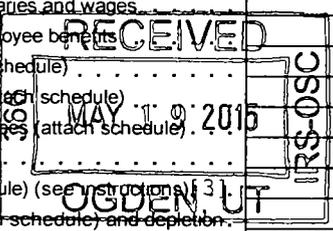
H Check type of organization X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 699,499. J Accounting method X Cash Accrual Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).

SCANNED MAY 26 2015



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		29,445.	29,445.
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5		664,010.	670,054.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less accumulated depreciation (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)			
15		Other assets (describe )			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	0	693,455.	699,499.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe )				
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		693,455.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	0	693,455.		
31	Total liabilities and net assets/fund balances (see instructions)	0	693,455.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2	Enter amount from Part I, line 27a	2	693,455.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	693,455.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	693,455.

Part IV Capital Gains and Losses for Tax on Investment Income

Table with columns for (a) List and describe the kind(s) of property sold, (b) How acquired, (c) Date acquired, (d) Date sold, (e) Gross sales price, (f) Depreciation allowed, (g) Cost or other basis, (h) Gain or (loss), (i) F M V as of 12/31/69, (j) Adjusted basis, (k) Excess of col (i) over col (j), (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)).

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank NOT AVAILABLE FOR INITIAL YEAR RETURNS

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

Table with columns for (a) Base period years, (b) Adjusted qualifying distributions, (c) Net value of noncharitable-use assets, (d) Distribution ratio. Includes lines 2-8 for calculations.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Tax under section 511', 'Add lines 1 and 2', 'Subtitle A (income) tax', 'Tax based on investment income', 'Credits/Payments', and 'Tax due'.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, spending over \$100, filing Form 1120-POL, tax on political expenditures, and state registration.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address N/A
14 The books are in care of ALLAN J. HELGESTAD Telephone no 606-758-0106
Located at 3419 WIDGEON DRIVE JANESVILLE, WI ZIP+4 53546
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
and enter the amount of tax-exempt interest received or accrued during the year.
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here . . . . .  Yes  No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. . . . .  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	676,523.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	21,474.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	697,997.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	697,997.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	10,470.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	687,527.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	13,751.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	13,751.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	21.
<b>b</b>	Income tax for 2014 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	21.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	13,730.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	13,730.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	13,730.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	0

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7 . . . . .				13,730.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only . . . . .				
b Total for prior years 20 12 , 20 11 , 20 10 . . . . .				
3 Excess distributions carryover, if any, to 2014				
a From 2009 . . . . .				
b From 2010 . . . . .				
c From 2011 . . . . .				
d From 2012 . . . . .				
e From 2013 . . . . .				
f Total of lines 3a through e . . . . .				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ . . . . .				
a Applied to 2013, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2014 distributable amount . . . . .				
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2014 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				13,730.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .		0		
10 Analysis of line 9				
a Excess from 2010 . . . . .				
b Excess from 2011 . . . . .				
c Excess from 2012 . . . . .				
d Excess from 2013 . . . . .				
e Excess from 2014 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p>				
<b>Total</b> .....				▶ 3a
<p>b Approved for future payment</p>				
<b>Total</b> .....				▶ 3b



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets, b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations, c Sharing of facilities, equipment, mailing lists, other assets, or paid employees, d If the answer to any of the above is "Yes," complete the following schedule...

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A' for (a) and (c).

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: Allan J. Helgestad, Date: 5-13-15, Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? [ ] Yes [X] No

Paid Preparer Use Only section containing fields for Preparer's name (BERNADETTE DURKIN-ZITA), Signature (Bernadette D. Zita), Date (05/12/15), Firm's name (ERNST & YOUNG US LLP), Firm's address (155 NORTH WACKER DR CHICAGO, IL 60606), Firm's EIN (34-6565596), and Phone no (312-879-2000).

# Schedule of Contributors

2014

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

Name of the organization THE DAWE CHARITABLE FOUNDATION, INC.	Employer identification number 47-1504939
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Organization type (check one)

Filers of:

Section:

- Form 990 or 990-EZ  501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- 527 political organization
- Form 990-PF  501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

Employer identification number  
47-1504939

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROY F DAWE CHARITABLE REMAINDER UNITRUST 3419 WIDGEON DRIVE JANESVILLE, WI 53546	\$ 664,010.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	ROY F DAWE CHARITABLE REMAINDER UNITRUST 3419 WIDGEON DRIVE JANESVILLE, WI 53546	\$ 28,388.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

Employer identification number

47-1504939

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	52 SHS CREDICORP LTD COM CORPORATION STOCK DONOR'S COST BASIS = \$7,189.72	\$ 8,457.	11/07/2014
1	122 SHS EATON CORP PLC CORPORATION STOCK DONOR'S COST BASIS = \$8,264.67	\$ 8,401.	11/07/2014
1	211 SHS TYCO INTL PLC CORPORATION STOCK DONOR'S COST BASIS = \$8,648.39	\$ 9,148.	11/07/2014
1	199 SHS NXP SEMICONDUCTORS CORPORATION STOCK DONOR'S COST BASIS = \$11,461.86	\$ 14,526.	11/07/2014
1	114 SHS YANDEX NV CL A CORPORATION STOCK DONOR'S COST BASIS = \$3,370.30	\$ 3,011.	11/07/2014
1	161 SHS ALLIANCE GLOBAL GROUP INC CORPORATION STOCK DONOR'S COST BASIS = \$4,058.87	\$ 4,511.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	192 SHS AMERICAN EXPRESS CORPORATION STOCK DONOR'S COST BASIS = \$11,610.76	\$ 17,636.	11/07/2014
1	170 SHS ANHEUSER BUSCH INBEV SA NV CORPORATION STOCK DONOR'S COST BASIS = \$10,215.40	\$ 18,364.	11/07/2014
1	259 SHS APPLE INC CORPORATION STOCK DONOR'S COST BASIS = \$19,918.56	\$ 28,214.	11/07/2014
1	537 SHS ASSA ABLOY AB ADR CORPORATION STOCK DONOR'S COST BASIS = \$8,363.26	\$ 14,214.	11/07/2014
1	13 SHS AUTOZONE INC CORPORATION STOCK DONOR'S COST BASIS = 5,035.48	\$ 7,324.	11/07/2014
1	33 SHS BAIDU COM INC SPONS ADR REPSTG CORPORATION STOCK DONOR'S COST BASIS = \$2,838.80	\$ 7,823.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

Employer identification number

47-1504939

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	166 SHS BRISTOL MYERS SQUIBB CO COM CORPORATION STOCK DONOR'S COST BASIS = 7,909.72	\$ 9,662.	11/07/2014
1	88 SHS CVS HEALTH CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$3,695.90	\$ 7,751.	11/07/2014
1	352 SHS CISCO SYSTEMS INC CORPORATION STOCK DONOR'S COST BASIS = \$8,744.69	\$ 8,899.	11/07/2014
1	402 SHS CITIGROUP INC COM NEW CORPORATION STOCK DONOR'S COST BASIS = \$13,158.31	\$ 21,618.	11/07/2014
1	358 SHS CONTINENTAL AG SPONS ADR CORPORATION STOCK DONOR'S COST BASIS = \$13,180.27	\$ 13,890.	11/07/2014
1	690 SHS DAIWA HOUSE IND LTD ADR CORPORATION STOCK DONOR'S COST BASIS = \$13,065.05	\$ 13,010.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

Employer identification number

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**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	236 SHS EMC CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$5,677.63	\$ 6,895.	11/07/2014
1	83 SHS EOG RES INC COM CORPORATION STOCK DONOR'S COST BASIS = \$8,311.01	\$ 8,335.	11/07/2014
1	78 SHS EASTMAN CHEM CO COM CORPORATION STOCK DONOR'S COST BASIS = \$5,735.57	\$ 6,385.	11/07/2014
1	24 SHS GOOGLE INC CL A CORPORATION STOCK DONOR'S COST BASIS = \$10,870.78	\$ 13,259.	11/07/2014
1	13 SHS GOOGLE INC CL C CORPORATION STOCK DONOR'S COST BASIS = \$4,978.16	\$ 7,052.	11/07/2014
1	108 SHS HALLIBURTON CO COM CORPORATION STOCK DONOR'S COST BASIS = \$6,934.97	\$ 5,817.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	286 SHS HARTFORD FNL SVCS GROUP INC COM CORPORATION STOCK DONOR'S COST BASIS \$10,222.68	\$ 11,407.	11/07/2014
1	186 SHS HONEYWELL INTL INC COM CORPORATION STOCK DONOR'S COST BASIS = \$9,030.14	\$ 17,959.	11/07/2014
1	218 SHS INTEL CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$5,337.23	\$ 7,324.	11/07/2014
1	45 SHS INTERCONTINENTAL EXCHANGE INC CORPORATION STOCK DONOR'S COST BASIS = \$8,184.48	\$ 9,871.	11/07/2014
1	117 SHS INTERNATIONAL CONS AIRLS GROUP CORPORATION STOCK DONOR'S COST BASIS = \$3,009.40	\$ 3,729.	11/07/2014
1	119 SHS JOY GLOBAL INC COM CORPORATION STOCK DONOR'S COST BASIS - \$6,972.69	\$ 6,465.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	256 SHS KASIKORNBANK PUB CO LTD ADR CORPORATION STOCK DONOR'S COST BASIS = \$5,555.79	\$ 7,323.	11/07/2014
1	1,292 SHS LLYODS BANKING GROUP PLC CORPORATION STOCK DONOR'S COST BASIS = \$6,522.40	\$ 6,202.	11/07/2014
1	149 SHS MASTERCARD INC CL A COM CORPORATION STOCK DONOR'S COST BASIS = \$7,577.38	\$ 12,704.	11/07/2014
1	39 SHS MCKESSON CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$3,499.54	\$ 7,807.	11/07/2014
1	466 SHS MICROSOFT CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$19,356.18	\$ 22,650.	11/07/2014
1	344 SHS MITSUBISHI ESTATE LTD ADR CORPORATION STOCK DONOR'S COST BASIS = \$7,388.67	\$ 7,780.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	410 SHS MOBILE TELESYSTEMS OJSC CORPORATION STOCK DONOR'S COST BASIS = \$7,906.74	\$ 5,611.	11/07/2014
1	64 SHS MOLSON COORS BREWING CO CL B CORPORATION STOCK DONOR'S COST BASIS = \$3,139.40	\$ 4,945.	11/07/2014
1	78 SHS MONSANTO CO NEW COM CORPORATION STOCK DONOR'S COST BASIS - \$8,370.44	\$ 8,939.	11/07/2014
1	190 SHS NOVARTIS AG SPONS ADR CORPORATION STOCK DONOR'S COST BASIS = \$13,555.81	\$ 17,391.	11/07/2014
1	156 SHS NOVO NORDISK AS ADR FRM'LY NOVO CORPORATION STOCK DONOR'S COST BASIS = \$11,098.20	\$ 6,795.	11/07/2014
1	293 SHS PANALPINA WELTTRANSPORT HLDG CORPORATION STOCK DONOR'S COST BASIS = \$8,791.62	\$ 7,808.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,907 SHS PIRAEUS BK SA ADR NEW CORPORATION STOCK DONOR'S COST BASIS = \$9,029.66	\$ 5,158.	11/07/2014
1	84 SHS QUALCOMM INC CORPORATION STOCK DONOR'S COST BASIS = \$5,532.55	\$ 5,873.	11/07/2014
1	126 SHS QUINTILES TRANSNATIONAL HLDGS CORPORATION STOCK DONOR'S COST BASIS = \$6,667.91	\$ 7,251.	11/07/2014
1	216 SHS RED HAT INC COM CORPORATION STOCK DONOR'S COST BASIS = 12,743.12	\$ 13,157.	11/07/2014
1	135 SHS ROSS STORES INC CORPORATION STOCK DONOR'S COST BASIS = \$8,761.85	\$ 11,012.	11/07/2014
1	374 SHS SAMPO OYJ ADR CORPORATION STOCK DONOR'S COST BASIS = \$4,878.66	\$ 8,740.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	133 SHS SCHLUMBERGER LTD COM CORPORATION STOCK DONOR'S COST BASIS = \$10,625.72	\$ 13,114.	11/07/2014
1	186 SHS SCHWAB CHARLES CORP NEW COM CORPORATION STOCK DONOR'S COST BASIS = \$4,570.95	\$ 5,344.	11/07/2014
1	55 SHS SHIRE PLC SPONS ADR CORPORATION STOCK DONOR'S COST BASIS = \$7,892.43	\$ 10,901.	11/07/2014
1	1,927 SHS SUMITOMO MITSUI FINL GROUP CORPORATION STOCK DONOR'S COST BASIS = \$15,677.47	\$ 14,905.	11/07/2014
1	1,877 SHS SUMITOMO MITSUI TR HLDGS INC CORPORATION STOCK DONOR'S STOCK BASIS = \$10,272.63	\$ 7,564.	11/07/2014
1	692 SHS SYMRISE AG ADR CORPORATION STOCK DONOR'S COST BASIS = \$9,035.07	\$ 9,909.	11/07/2014

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	497 SHS TAIWAN SEMICONDUCTOR MFG CO CORPORATION STOCK DONOR'S COST BASIS = \$6,803.56	\$ 10,805.	11/07/2014
1	56 SHS THERMO FISHER SCIENTIFIC INC CORPORATION STOCK DONOR'S COST BASIS = \$6,929.05	\$ 6,580.	11/07/2014
1	2,469 SHS TOPDANMARK AS ADR CORPORATION STOCK DONOR'S COST BASIS = \$7,166.03	\$ 7,617.	11/07/2014
1	320 SHS UNILEVER PLC SPON ADR NEW CORPORATION STOCK DONOR'S COST BASIS = \$12,911.91	\$ 12,731.	11/07/2014
1	133 SHS UNITED TECHNOLOGIES CORP COM CORPORATION STOCK DONOR'S COST BASIS = \$10,852.33	\$ 14,432.	11/07/2014
1	137 SHS UNITEDHEALTH GROUP INC COM CORPORATION STOCK DONOR'S COST BASIS = \$8,678.59	\$ 12,928.	11/07/2014

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**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	78 SHS VERTEX PHARMACEUTICALS INC CORPORATION STOCK DONOR'S COST BASIS = \$5,731.56	\$ 9,008.	11/07/2014
1	189 SHS VIACOM INC NEW CL B CORPORATION STOCK DONOR'S COST BASIS = \$10,097.80	\$ 13,330.	11/07/2014
1	42 SHS VISA INC COM CL A CORPORATION STOCK DONOR'S COST BASIS = \$8,127.32	\$ 10,531.	11/07/2014
1	600 SHS XEROX CORPORATION CORPORATION STOCK DONOR'S COST BASIS = \$7,055.94	\$ 8,016.	11/07/2014
1	205 SHS ZOETIS INC CL A CORPORATION STOCK DONOR'S COST BASIS = \$6,429.51	\$ 8,192.	11/07/2014
		\$	

Name of organization THE DAWE CHARITABLE FOUNDATION, INC.

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**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions ) ▶ \$ \_\_\_\_\_**  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHLAND SECURITIES - DIVIDENDS	1,058.	1,058.
TOTAL	<u>1,058.</u>	<u>1,058.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHLAND SECURITIES - NON DIVIDEND DIST	60.	60.
TOTALS	<u>60.</u>	<u>60.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHLAND SEC - FOREIGN TAXES	53.	53.
TOTALS	<u>53.</u>	<u>53.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NORTHLAND SEC - BANK FEES	8.	8.
TOTALS	<u>8.</u>	<u>8.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHLAND SECURITIES	664,010.	670,054.
TOTALS	<u>664,010.</u>	<u>670,054.</u>

THE DAWE CHARITABLE FOUNDATION, INC.

47-1504939

FORM 990PF, PART VII-A - SUBSTANTIAL CONTRIBUTORS

ROY F DAWE CHARITABLE REMAINDER UNITRUST  
3419 WIDGEON DRIVE  
JANESVILLE, WI 53546

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ALLAN HELGESTAD 3419 WIDGEON DRIVE JANESVILLE, WI 53546	PRESIDENT .20	0	0	0
KAREN HELGESTAD 3419 WIDGEON DRIVE JANESVILLE, WI 53546	VICE PRESIDENT .10	0	0	0
PATRICK HELGESTAD 2236 S ARCH ST. JANESVILLE, WI 53546	SECRETARY .10	0	0	0
<u>GRAND TOTALS</u>		<u>0</u>	<u>0</u>	<u>0</u>

THE DAWE CHARITABLE FOUNDATION, INC.

47-1504939

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
NORTHLAND SECURITIES - NON DIVIDEND DISTRIBUTIONS			14	60.	
TOTALS				<u>60.</u>	