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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning 2/10/2014, and ending 12/31/2014

Name of foundation HENRY & MIRIAM KAPLAN FOUNDATION			A Employer identification number 46-6991481	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1501, NJ2-130-03-31		Room/suite	B Telephone number (see instructions) 609-274-6834	
City or town PENNINGTON	State NJ	ZIP code 08534-1501		
Foreign country name	Foreign province/state/county	Foreign postal code		
G Check all that apply. ☒ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,571,868		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	5,383,003			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	144,468	143,381		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,043			
	b Gross sales price for all assets on line 6a 1,925,598				
	7 Capital gain net income (from Part IV, line 2)		22,043		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	-9,709	1			
12 Total. Add lines 1 through 11	5,539,805	165,425	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	48,467	29,080		19,387
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,441	2,094		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	695	695		
	24 Total operating and administrative expenses. Add lines 13 through 23	53,603	31,869	0	19,387
	25 Contributions, gifts, grants paid	84,087			84,087
26 Total expenses and disbursements. Add lines 24 and 25	137,690	31,869	0	103,474	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,402,115				
b Net investment income (if negative, enter -0-)		133,556			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			-81,033	-81,033
	2	Savings and temporary cash investments			155,561	155,561
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)			2,817,043	3,023,001
	c	Investments—corporate bonds (attach schedule)			319,835	338,080
	11	Investments—land, buildings, and equipment, basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)			2,197,322	2,136,259	
14	Land, buildings, and equipment, basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	0	5,408,728	5,571,868		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	☐			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	☒			
	27	Capital stock, trust principal, or current funds		5,408,728		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	0	5,408,728		
	31	Total liabilities and net assets/fund balances (see instructions)	0	5,408,728		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2	Enter amount from Part I, line 27a	2	5,402,115
3	Other increases not included in line 2 (itemize) COST BASIS ADJUSTMENT	3	6,683
4	Add lines 1, 2, and 3	4	5,408,798
5	Decreases not included in line 2 (itemize) See Attached Statement	5	70
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,408,728

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	22,043
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013			0.000000
2012			0.000000
2011			0.000000
2010			0.000000
2009			0.000000

2 Total of line 1, column (d)	2	0.000000
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7 Add lines 5 and 6	7	0
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	0

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0
3	Add lines 1 and 2			2,671
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			2,671
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,347	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d			2,347
8	Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			324
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			0
11	Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded			0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ U.S. TRUST A DIVISION OF BANK OF AMERICA Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELVYN ATLAS 17 TILLOU ROAD W. SOUTH ORANGE, NJ 07079	TRUSTEE 3.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,719,016
b	Average of monthly cash balances	1b	135,786
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,854,802
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,854,802
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	87,822
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,766,980
6	Minimum investment return. Enter 5% of line 5 MIR Prorated - Short Year	6	256,749

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	256,749
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,671
b	Income tax for 2014. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,671
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	254,078
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	254,078
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	254,078

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	103,474
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	103,474
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	103,474

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				254,078
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 103,474				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				103,474
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				150,604
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			▶ 3a	84,087
b Approved for future payment NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	144,468	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	22,043	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue. a <u>PARTNERSHIP INCOME</u>			18	-9,709	
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		156,802	0
13	Total. Add line 12, columns (b), (d), and (e)				13	156,802

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2014▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**Name of the organization**

HENRY & MIRIAM KAPLAN FOUNDATION

Employer identification number

46-6991481

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HENRY & MIRIAM KAPLAN FOUNDATION	Employer identification number 46-6991481
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF MIRIAM KAPLAN TOWNSHIP OF EAST BRUNSWICK MIDDLESEX NJ 08846 Foreign State or Province Foreign Country	\$ 5,383,003	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization HENRY & MIRIAM KAPLAN FOUNDATION	Employer identification number 46-6991481
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Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----

Name of organization HENRY & MIRIAM KAPLAN FOUNDATION	Employer identification number 46-6991481
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For	Prov	Country
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For	Prov	Country
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For	Prov	Country
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For	Prov	Country

HENRY & MIRIAM KAPLAN FOUNDATION

46-6991481 Page 1 of 1

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MEMORIAL SLOAN KETERING CANCER CENTER

Street

1275 YORK AVENUE

City

NEW YORK

State

NY

Zip Code

10065

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

28,029

Name

TEXAS HEART INSTITUTE

Street

P O BOX 20345

City

HOUSTON

State

TX

Zip Code

77225

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

28,029

Name

AMERICAN DIABETES ASSOCIATION

Street

333 SEVENTH AVENUE 17TH FLOOR

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

28,029

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Short Term CG Distributions										19,556		Capital Gains/Losses		1,925,598		1,903,555		22,043	
												Other sales		0		0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss					
1	X	DEUTSCHE TELE AG SPN ADR	251566105		2/11/2014		7/2/2014	204	195					9					
2	X	DIAGEO PLC SPSD ADR NEW	25243Q205		2/11/2014		10/30/2014	2,781	2,941					-160					
3	X	DIAGEO PLC SPSD ADR NEW	25243Q205		3/28/2014		10/30/2014	463	500					-37					
4	X	DIAMOND OFFSHORE DRUNG	25271C102		2/11/2014		6/4/2014	2,765	2,682					83					
5	X	DIAMOND OFFSHORE DRUNG	25271C102		2/11/2014		6/9/2014	1,234	1,223					11					
6	X	DIAMOND OFFSHORE DRUNG	25271C102		2/11/2014		6/11/2014	1,295	1,233					-22					
7	X	DIGITAL REALTY TRUST INC	253868889		2/11/2014		3/21/2014	162	154					8					
8	X	DIGITAL REALTY TRUST INC	253868889		2/11/2014		3/24/2014	142	134					8					
9	X	DOMINION RESOURCES CLD	25746U604		2/11/2014		3/20/2014	229	234					-5					
10	X	DOMINION RESOURCES CLD	25746U604		2/11/2014		3/21/2014	229	234					-5					
11	X	DOMINION RESOURCES CLD	25746U604		2/11/2014		5/8/2014	1,988	2,028					-40					
12	X	R R DONNELLEY SONS	257867101		2/11/2014		7/2/2014	516	545					-29					
13	X	GLOBAL PMTS INC GEORGIA	37940X102		2/11/2014		11/10/2014	754	607					147					
14	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		3/4/2014	298	294					4					
15	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		3/5/2014	298	294					4					
16	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		3/6/2014	366	362					4					
17	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		3/7/2014	68	68					0					
18	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		3/14/2014	484	475					9					
19	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		4/23/2014	324	317					7					
20	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		4/24/2014	324	317					7					
21	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		4/30/2014	508	498					10					
22	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		5/23/2014	330	317					13					
23	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		5/27/2014	565	543					22					
24	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		5/27/2014	236	226					10					
25	X	THE GOLDMAN SACHS GRP	38145G209		2/11/2014		5/28/2014	24	23					1					
26	X	GOLDMAN SACHS GROUP INC	38145X111		2/11/2014		7/1/2014	104	101					3					
27	X	GOLDMAN SACHS GROUP INC	38145X111		2/11/2014		7/2/2014	207	203					4					
28	X	GOLDMAN SACHS GROUP INC	38145X111		2/11/2014		7/3/2014	26	25					1					
29	X	GOOGLE INC CL A	30259P508		2/11/2014		5/2/2014	5,358	5,957					-599					
30	X	HSBC HDGS PLC	404280802		2/11/2014		3/21/2014	1,166	1,192					-26					
31	X	HAEMONETICS CORP MASS	405024100		4/28/2014		9/19/2014	244	213					31					
32	X	HALYARD HEALTH INC SHS	40650V100		2/11/2014		11/4/2014	219	245					-26					
33	X	HALYARD HEALTH INC SHS	40650V100		11/12/2014		11/12/2014	29	0					29					
34	X	HANGER INC	41043F208		2/11/2014		5/12/2014	643	710					-67					
35	X	HANGER INC	41043F208		2/11/2014		5/13/2014	213	237					-24					
36	X	HATTERAS FINL CORP	41902R103		2/11/2014		2/26/2014	314	301					13					
37	X	HATTERAS FINL CORP	41902R103		2/11/2014		7/28/2014	335	320					15					
38	X	HEALTH CARE REIT INC COM	42217K106		2/11/2014		7/2/2014	373	344					29					
39	X	HEALTH NET INC	42222G108		2/11/2014		5/7/2014	555	492					63					
40	X	HEALTH NET INC	42222G108		2/11/2014		5/8/2014	584	492					72					
41	X	HEALTH NET INC	42222G108		2/11/2014		5/20/2014	281	230					51					
42	X	HEALTH NET INC	42222G108		2/11/2014		5/21/2014	121	98					23					
43	X	HEALTH NET INC	42222G108		2/11/2014		10/31/2014	335	230					105					
44	X	HEALTH NET INC	42222G108		2/11/2014		11/20/2014	306	197					109					
45	X	HELMERICH PAYNE INC	423452101		2/11/2014		12/11/2014	2,268	3,175					-907					
46	X	HITITE MICROWAVE CORP	43365Y104		2/11/2014		7/28/2014	5,070	3,591					1,479					
47	X	DOW CHEMICAL CO	260543103		2/11/2014		7/2/2014	464	423					41					
48	X	DRIL QUIP	262037104		2/11/2014		11/10/2014	174	200					-26					
49	X	DRIL QUIP	262037104		2/11/2014		11/20/2014	362	399					-37					
50	X	DRIL QUIP	262037104		2/11/2014		11/21/2014	274	299					-25					
51	X	DRIL QUIP	262037104		2/11/2014		12/12/2014	284	399					-115					
52	X	DRIL QUIP	262037104		2/11/2014		12/15/2014	141	205					-64					
53	X	DRIL QUIP	262037104		2/11/2014		12/15/2014	1,764	2,496					-732					
54	X	DRIL QUIP	262037104		4/1/2014		12/15/2014	212	339					-127					
55	X	DUKE ENERGY CORP NEW	26441C204		2/11/2014		7/2/2014	721	715					6					
56	X	DYCOM INDS INC	267475101		2/11/2014		5/12/2014	589	458					131					
57	X	EMERSON ELEC CO	291011104		2/11/2014		4/11/2014	1,115	1,111					4					
58	X	EMERSON ELEC CO	291011104		2/11/2014		10/31/2014	3,653	3,725					-72					
59	X	ENERGY TRANSFER EQUITY	29273V100		2/12/2014		5/19/2014	2,240	1,862					378					
60	X	ENERGY	29275Y102		2/11/2014		3/4/2014	435	428					7					
61	X	ENERGY	29275Y102		2/11/2014		3/5/2014	216	214					2					
62	X	ENERGY	29275Y102		2/11/2014		9/26/2014	1,201	1,428					-227					
63	X	ENERGY	29275Y102		2/11/2014		9/29/2014	595	714					-119					
64	X	ENERGY	29275Y102		2/11/2014		9/30/2014	118	143					-25					
65	X	ENERGY TEXAS INC CLD	29365T203		2/11/2014		3/20/2014	102	104					-2					
66	X	ENERGY TEXAS INC CLD	29365T203		2/11/2014		3/21/2014	102	104					-2					
67	X	ENERGY TEXAS INC CLD	29365T203		2/11/2014		3/24/2014	76	78					-2					
68	X	ENERGY TEXAS INC CLD	29365T203		2/11/2014		3/25/2014	127	130					-3					
69	X	ENERGY TEXAS INC CLD	29365T203		2/11/2014		3/28/2014	25	26					-1					

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals				Net Gain or Loss	
											Capital Gains/Losses					
											Gross Sales	Cost, Other Basis and Expenses	Depreciation	Adjustments		
											1,925,598	1,903,555			22,043	
											0	0			0	
70	X	ENTERGY TEXAS INC. CLD			2/11/2014		6/16/2014	350	363						-13	
71	X	ENTERPRISE PRDTS PRTN L			2/12/2014		5/19/2014	804	733						71	
72	X	EQUINIX INC			2/12/2014		7/29/2014	1,077	954						123	
73	X	EVERCORE PARTNERS INC			2/12/2014		9/26/2014	1,573	1,853						-280	
74	X	EVERCORE PARTNERS INC			2/12/2014		9/29/2014	463	545						-82	
75	X	FACTSET RESH SYS INC			2/12/2014		3/18/2014	5,989	5,513						476	
76	X	FASTENAL COMPANY			2/12/2014		3/31/2014	344	315						29	
77	X	FASTENAL COMPANY			2/12/2014		11/10/2014	711	720						-9	
78	X	FIFTH ST FIN CORP			2/12/2014		4/3/2014	86	88						2	
79	X	FIFTH ST FIN CORP			2/12/2014		4/3/2014	29	29						0	
80	X	FIFTH ST FIN CORP			2/12/2014		4/30/2014	289	303						-14	
81	X	FIFTH ST FIN CORP			2/12/2014		4/30/2014	290	303						-13	
82	X	FIFTH ST FIN CORP			2/12/2014		5/1/2014	222	235						-13	
83	X	FIFTH ST FIN CORP			2/12/2014		7/2/2014	99	98						1	
84	X	FIFTH ST FIN CORP			2/12/2014		7/3/2014	637	625						12	
85	X	FIFTH ST FIN CORP			2/12/2014		7/8/2014	324	312						12	
86	X	FINISAR CORPORATION			2/12/2014		8/25/2014	809	932						-123	
87	X	FINISAR CORPORATION			3/20/2014		8/26/2014	857	1,111						-254	
88	X	FINISAR CORPORATION			2/12/2014		8/26/2014	653	746						-93	
89	X	FIRST HORIZON NTNL CORP			2/12/2014		11/10/2014	602	458						144	
90	X	FIRST HORIZON NTNL CORP			2/12/2014		12/19/2014	617	541						76	
91	X	FIRST HORIZON NTNL CORP			2/12/2014		12/19/2014	493	435						58	
92	X	FIRST NIAGARA FINL GROUP			2/12/2014		12/19/2014	799	842						-43	
93	X	FIRST NIAGARA FINL GROUP			2/12/2014		12/19/2014	1,079	1,131						-52	
94	X	FIRST NIAGARA FINL GROUP			2/12/2014		12/22/2014	496	491						-5	
95	X	FIRST NIAGARA FINL GRP			2/12/2014		12/22/2014	487	511						-24	
96	X	FIRST NIAGARA FINL GRP			2/12/2014		12/22/2014	189	199						-10	
97	X	FLEETCOR TECHNOLOGIS IN			2/12/2014		9/9/2014	1,958	1,607						351	
98	X	FLEETCOR TECHNOLOGIS IN			2/12/2014		9/10/2014	3,353	2,755						598	
99	X	FRANKLIN STR PPTYS CORP			2/12/2014		9/15/2014	258	265						-7	
100	X	GNC HOLDINGS INC SHS			2/12/2014		9/3/2014	2,588	3,462						-874	
101	X	GNC HOLDINGS INC SHS			6/30/2014		9/19/2014	454	377						77	
102	X	GNC HOLDINGS INC SHS			6/30/2014		10/31/2014	681	548						133	
103	X	GNC HOLDINGS INC SHS			6/30/2014		11/5/2014	210	171						39	
104	X	GNC HOLDINGS INC SHS			6/30/2014		12/23/2014	372	274						98	
105	X	GABELLI DIV & INC TR			2/12/2014		5/19/2014	199	192						7	
106	X	GABELLI GLOBAL SMALL AND			7/3/2014		7/3/2014	4	0						4	
107	X	GENWORTH FINL INC COM			2/12/2014		4/23/2014	453	408						45	
108	X	GENWORTH FINL INC COM			2/12/2014		5/7/2014	290	251						39	
109	X	GLATFELTER			2/12/2014		2/18/2014	350	381						-31	
110	X	GLATFELTER			2/12/2014		2/19/2014	175	191						-16	
111	X	GLATFELTER			2/12/2014		2/21/2014	492	540						-48	
112	X	GLATFELTER			2/12/2014		11/14/2014	429	509						-80	
113	X	GLAXOSMITHKLINE PLC ADR			2/12/2014		7/2/2014	434	434						0	
114	X	GLOBAL CASH ACCESS			4/3/2014		6/5/2014	99	78						21	
115	X	GLOBAL CASH ACCESS			4/4/2014		6/5/2014	72	57						15	
116	X	GLOBAL CASH ACCESS			4/7/2014		6/5/2014	225	176						49	
117	X	GLOBAL PMTS INC GEORGIA			2/12/2014		2/27/2014	1,176	1,146						30	
118	X	GLOBAL PMTS INC GEORGIA			2/12/2014		7/15/2014	735	674						61	
119	X	GLOBAL PMTS INC GEORGIA			2/12/2014		7/16/2014	366	337						29	
120	X	GLOBAL PMTS INC GEORGIA			2/12/2014		7/17/2014	287	270						17	
121	X	GLOBAL PMTS INC GEORGIA			2/12/2014		7/18/2014	723	674						49	
122	X	GLOBAL PMTS INC GEORGIA			2/12/2014		7/18/2014	433	404						29	
123	X	GLOBAL PMTS INC GEORGIA			2/12/2014		10/6/2014	540	472						68	
124	X	GLOBAL PMTS INC GEORGIA			2/12/2014		11/5/2014	167	135						32	
125	X	GLOBAL PMTS INC GEORGIA			2/12/2014		11/6/2014	667	539						128	
126	X	GLOBAL PMTS INC GEORGIA			2/12/2014		11/7/2014	331	270						61	
127	X	HITTITE MICROWAVE CORP			5/8/2014		7/28/2014	312	228						84	
128	X	HITTITE MICROWAVE CORP			5/14/2014		7/28/2014	390	283						107	
129	X	HITTITE MICROWAVE CORP			5/20/2014		7/28/2014	390	288						102	
130	X	HONEYWELL INTL INC DEL			2/12/2014		3/4/2014	3,973	3,973						0	
131	X	INCYTE CORPORATION			2/12/2014		2/21/2014	1,392	1,463						-71	
132	X	ING GROUP NV			2/12/2014		4/30/2014	179	176						3	
133	X	ING GROUP NV			2/12/2014		5/1/2014	229	226						3	
134	X	ING GROUP NV			2/12/2014		5/2/2014	77	75						2	
135	X	ING GROUP NV			2/12/2014		5/5/2014	51	50						1	
136	X	ING GROUP NV			2/12/2014		5/6/2014	102	101						1	
137	X	ING GROUP NV			2/12/2014		5/7/2014	26	25						1	
138	X	ING GROUP NV			2/12/2014		6/24/2014	26	25						1	

ADDITIONAL INFORMATION

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals					Net Gain or Loss	
Short Term CG Distributions										19,556		Capital Gains/Losses					1,903,555	
												Other sales					22,043	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
208	X MONDELEZ INTERNATIONAL	609207105			2/12/2014		5/19/2014	1,688	1,505				0	183				
209	X MORGAN STANLEY	617464448			2/11/2014		4/1/2014	3,637	3,559				0	78				
210	X MORGAN STANLEY	617464448			2/11/2014		6/4/2014	4,408	4,350				0	58				
211	X MORGAN STANLEY CP TR III	617460209			2/11/2014		7/17/2014	324	318				0	6				
212	X MORGAN STANLEY CP TR III	617460209			2/11/2014		7/18/2014	174	171				0	3				
213	X MORGAN STANLEY CP TR III	617460209			2/11/2014		10/20/2014	100	98				0	2				
214	X MORGAN STANLEY CP TR III	617460209			2/11/2014		10/21/2014	201	196				0	5				
215	X MORGAN STANLEY CP TR III	617460209			2/11/2014		10/21/2014	226	220				0	6				
216	X MORGAN STANLEY CP TR III	617460209			2/11/2014		10/22/2014	125	122				0	3				
217	X MORGAN STANLEY CP TR III	617460209			2/11/2014		10/23/2014	125	122				0	3				
218	X MORGAN STANLEY CAP TR V	617461207			3/4/2014		4/22/2014	126	126				0	0				
219	X MORGAN STANLEY CAP TR V	617461207			3/5/2014		4/22/2014	50	51				0	-1				
220	X MORGAN STANLEY CAP TR V	617461207			3/6/2014		4/22/2014	101	101				0	0				
221	X MORGAN STANLEY CAP TR V	617461207			3/7/2014		4/22/2014	201	203				0	0				
222	X MORGAN STANLEY CAP TR V	617461207			3/10/2014		4/22/2014	50	51				0	-1				
223	X MORGAN STANLEY CAP TR V	617461207			3/11/2014		4/22/2014	75	76				0	-1				
224	X MORGAN STANLEY CAP TR V	617461207			3/12/2014		4/23/2014	50	51				0	-1				
225	X MORGAN STANLEY CAP TR N	617462205			2/11/2014		7/15/2014	100	98				0	2				
226	X MORGAN STANLEY CAP TR N	617462205			2/11/2014		7/22/2014	175	172				0	3				
227	X INTERSIL CORP CLA	46069S109			2/11/2014		6/23/2014	626	454				0	172				
228	X INTERSIL CORP CLA	46069S109			2/11/2014		9/3/2014	169	125				0	44				
229	X INVESTORS BANK CORP INC	46146L101			2/19/2014		5/28/2014	9	9				0	0				
230	X JPMORGAN CHASE & CO	46625H100			2/11/2014		2/13/2014	638	633				0	5				
231	X JPMORGAN CHASE & CO	46637G124			2/11/2014		3/4/2014	280	277				0	3				
232	X JPMORGAN CHASE & CO	46637G124			2/11/2014		3/5/2014	150	149				0	1				
233	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		2/26/2014	1,141	1,126				0	15				
234	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		2/27/2014	868	863				0	5				
235	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		9/26/2014	74	55				0	19				
236	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		9/26/2014	205	153				0	52				
237	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		9/26/2014	1,918	1,399				0	519				
238	X JANUS CAPITAL GROUP INC	47102X105			2/11/2014		9/26/2014	216	164				0	52				
239	X KILX INC SHS	482539103			12/31/2014		7/2/2014	21	0				0	21				
240	X KIMBERLY CLARK	494368103			2/11/2014		7/2/2014	334	327				0	7				
241	X L-3 COMMUNITNS HLDGS	502424104			2/11/2014		9/19/2014	6,879	6,784				0	95				
242	X LENNAR CORP CLA	526057104			2/11/2014		10/31/2014	5,468	5,186				0	282				
243	X LOCKHEED MARTIN CORP	539830109			2/11/2014		4/11/2014	2,165	2,216				0	-51				
244	X LOCKHEED MARTIN CORP	539830109			2/11/2014		9/10/2014	2,441	2,216				0	225				
245	X LORD ABBETT SHORT	543916464			2/12/2014		2/26/2014	1	1				0	0				
246	X LORD ABBETT SHORT	543916464			2/12/2014		2/26/2014	2	2				0	0				
247	X LORD ABBETT SHORT	543916464			2/12/2014		2/26/2014	466,342	465,319				0	1,023				
248	X LORD ABBETT SHORT	543916464			2/12/2014		12/23/2014	2	2				0	0				
249	X STATE STREET CORP	857477103			2/11/2014		3/28/2014	4,883	4,909				0	-9,582				
250	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		5/2/2014	263	239				0	-26				
251	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		5/12/2014	304	278				0	24				
252	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		5/23/2014	742	690				0	52				
253	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		5/27/2014	333	305				0	28				
254	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		6/5/2014	301	265				0	36				
255	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		5/29/2014	278	252				0	26				
256	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		6/2/2014	309	278				0	31				
257	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		6/4/2014	327	292				0	35				
258	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		8/14/2014	290	265				0	25				
259	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		8/18/2014	337	305				0	32				
260	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		10/26/2014	285	252				0	33				
261	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		12/8/2014	624	504				0	120				
262	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		12/12/2014	167	133				0	34				
263	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		12/12/2014	217	178				0	39				
264	X SUNSTONE HOTEL INVS INC	867892101			2/11/2014		7/29/2014	75	68				0	7				
265	X AG MTG INVT TR INC	001228105			2/11/2014		7/30/2014	150	136				0	14				
266	X AG MTG INVT TR INC	001228105			2/11/2014		6/9/2014	2,819	3,485				0	-666				
267	X AOL INC	00184X105			2/11/2014		7/2/2014	580	500				0	80				
268	X ABBVIE INC SHS	00287Y109			2/11/2014		12/8/2014	3,725	3,432				0	293				
269	X AFFILIATED MANAGERS GRP	008252108			2/11/2014		4/23/2014	3,768	2,825				0	943				
270	X ALLERGAN INC	018490102			2/11/2014		5/5/2014	338	246				0	92				
271	X ALLERGAN INC	018490102			2/11/2014		5/12/2014	794	614				0	180				
272	X ALLIANCE DATA SYS CORP	018581108			2/11/2014		3/12/2014	4,272	4,127				0	145				
273	X ALLIANCE DATA SYS CORP	018581108			2/11/2014		3/13/2014	1,991	1,926				0	65				
274	X ALLIANCE DATA SYS CORP	018581108			2/11/2014		9/26/2014	1,540	1,624				0	-84				
275	X ALLIANZ SE SPD ADR	018805101			4/28/2014		9/26/2014	1,507	1,626				0	-119				
276	X ALLIANZ SE SPD ADR	018805101			4/30/2014		9/26/2014	1,507	1,626				0	-119				

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals														
Capital Gains/Losses				Gross Sales		Cost, Other		Basis and Expenses		Net Gain or Loss				
Long Term CG Distributions				Amount		Other sales		1,925,598		1,903,555		22,043		
Short Term CG Distributions				19,556						0		0		
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
277	X ALLIANZ SE SPD ADR	018805101			4/29/2014		9/26/2014	1,523	1,631					-108
278	X ALTRIA GROUP INC	02208S103			2/11/2014		7/2/2014	964	812					152
279	X AMAZON.COM INC COM	023135106			2/11/2014		10/24/2014	4,327	5,451					-1,124
280	X SUNSTONE HOTEL INVS INC	867892101			8/1/2014		12/1/2014	183	158					25
281	X SVENSKA HANDELSBANKEN	86959C103			2/11/2014		10/8/2014	1,253	1,404					-151
282	X SWIFT ENERGY CO	870738101			2/11/2014		3/21/2014	584	664					-80
283	X SWIFT ENERGY CO	870738101			2/11/2014		3/24/2014	502	570					-68
284	X SWIFT ENERGY CO	870738101			2/11/2014		3/25/2014	261	285					-24
285	X SWIFT ENERGY CO	870738101			2/11/2014		6/13/2014	378	380					-2
286	X SWIFT ENERGY CO	870738101			2/11/2014		6/13/2014	155	154					1
287	X SWIFT ENERGY CO	870738101			2/11/2014		6/20/2014	687	653					34
288	X MOTOROLA SOLUTIONS INC	620076307			2/11/2014		5/14/2014	3,771	3,652					119
289	X MOTOROLA SOLUTIONS INC	620076307			2/11/2014		5/15/2014	1,005	978					27
290	X MORGAN STANLEY CAP VIII	61753R200			2/11/2014		4/28/2014	75	75					0
291	X MOTOROLA SOLUTIONS INC	620076307			2/11/2014		6/20/2014	4,166	4,044					122
292	X MOTOROLA SOLUTIONS INC	620076307			2/11/2014		6/23/2014	671	652					19
293	X MOTOROLA SOLUTIONS INC	620076307			2/11/2014		8/29/2014	4,686	5,152					-466
294	X NATIONAL GRID PLC SP ADR	636274300			2/11/2014		7/2/2014	377	336					41
295	X NATIONAL OILWELL VARCO	637071101			2/11/2014		9/30/2014	7,912	7,084					828
296	X NOVARTIS ADR	66987V109			2/11/2014		2/28/2014	1,580	1,540					40
297	X NOVARTIS ADR	66987V109			2/11/2014		3/3/2014	1,555	1,540					15
298	X NOW INC SHS	67011P100			2/11/2014		6/17/2014	856	797					59
299	X OCEANEERING INTL INC	675232102			2/11/2014		12/16/2014	820	973					-153
300	X OMNICOM GROUP COM	681919106			2/11/2014		2/13/2014	6,717	6,686					31
301	X ORACLE CORP \$0.01 DEL	68389X105			2/11/2014		9/30/2014	3,676	3,647					29
302	X PBF ENERGY INC CL A	69318G106			2/11/2014		5/7/2014	94	74					20
303	X PBF ENERGY INC CL A	69318G106			2/11/2014		6/12/2014	388	322					66
304	X SWIFT ENERGY CO	870738101			2/11/2014		11/11/2014	453	878					-425
305	X SYKES ENTERPRISES INC	871237103			2/11/2014		11/17/2014	187	162					25
306	X SWISSCOM AG ADR	871013108			2/11/2014		7/2/2014	754	732					22
307	X SYMETRA FINANCIAL CORP	87151Q106			2/11/2014		9/5/2014	1,414	1,107					307
308	X SYMETRA FINANCIAL CORP	87151Q106			2/11/2014		9/17/2014	475	382					93
309	X SYMETRA FINANCIAL CORP	87151Q106			2/11/2014		9/18/2014	333	267					66
310	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		8/28/2014	433	407					26
311	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		11/26/2014	230	215					15
312	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		11/28/2014	91	86					5
313	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/19/2014	89	86					3
314	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/22/2014	89	86					3
315	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/23/2014	44	43					1
316	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/24/2014	22	21					1
317	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/26/2014	44	43					1
318	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/29/2014	44	43					1
319	X TELEPHONE & DATA SYSTEM	879433795			2/11/2014		12/31/2014	132	129					3
320	X TELEPHONE & DATA SYSTEM	879433837			2/11/2014		8/26/2014	177	175					2
321	X TELEPHONE & DATA SYSTEM	879433837			2/11/2014		8/27/2014	126	125					1
322	X TELEPHONE & DATA SYSTEM	879433837			2/11/2014		8/28/2014	76	75					1
323	X TELEPHONE & DATA SYSTEM	879433837			2/11/2014		10/28/2014	327	325					2
324	X TELEPHONE & DATA SYSTEM	879433845			2/11/2014		8/26/2014	247	245					2
325	X TELEPHONE & DATA SYSTEM	879433845			2/11/2014		8/27/2014	99	98					1
326	X TELEPHONE & DATA SYSTEM	879433845			2/11/2014		11/19/2014	75	73					2
327	X TELEPHONE & DATA SYSTEM	879433845			2/11/2014		11/21/2014	251	245					6
328	X TENET HEALTHCARE CORP	88033G407			8/29/2014		12/26/2014	2,056	2,462					-406
329	X TENET HEALTHCARE CORP	88033G407			8/29/2014		12/29/2014	563	677					-114
330	X TERNIA SPA-UNSPONSORED	88088L103			2/11/2014		7/2/2014	683	656					27
331	X TESORO CORP	881609101			2/11/2014		6/23/2014	557	441					116
332	X TESORO CORP	881609101			2/11/2014		7/15/2014	487	392					95
333	X AMAZON.COM INC COM	023135106			2/11/2014		12/2/2014	327	363					-36
334	X AMER EAGLE OUTFITTERS	02553E106			2/11/2014		8/19/2014	368	442					-74
335	X AMER EAGLE OUTFITTERS	02553E106			2/11/2014		8/19/2014	184	221					37
336	X AMER EAGLE OUTFITTERS	02553E106			2/11/2014		12/15/2014	551	567					16
337	X AMER EAGLE OUTFITTERS	02553E106			2/11/2014		12/31/2014	308	304					4
338	X AMERIPRISE FINANCIAL CLD	03076C205			2/11/2014		3/20/2014	102	104					-2
339	X AMERIPRISE FINANCIAL CLD	03076C205			2/11/2014		3/21/2014	153	156					-3
340	X AMERIPRISE FINANCIAL CLD	03076C205			2/11/2014		3/24/2014	229	234					5
341	X AMERIPRISE FINANCIAL CLD	03076C205			2/11/2014		3/25/2014	152	156					4
342	X APACHE CORP	037411105			2/11/2014		4/15/2014	4,554	4,489					65
343	X APACHE CORP	037411105			2/11/2014		8/12/2014	1,376	1,143					233
344	X APOLLO INVESTMENT CORP	03761U106			2/11/2014		7/2/2014	201	202					-1
345	X APOLLO INVESTMENT CORP	03761U106			2/11/2014		7/3/2014	79	79					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Capital Gains/Losses		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Amount										Other sales		1,925,598		1,903,555		22,043	
Long Term CG Distributions										Other sales		0		0		0	
Short Term CG Distributions										Other sales		0		0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
346	X	APOLLO INVESTMENT CORP	03761U106		2/11/2014		7/7/2014	382	387					0	-5		
347	X	APOLLO INVESTMENT CORP	03761U106		2/11/2014		7/9/2014	262	264					0	-2		
348	X	AMERIPRISE FINANCIAL CLD	03076C205		2/11/2014		6/16/2014	950	984					0	-34		
349	X	ANHEUSER-BUSCH INBEV AD	03524A108		2/11/2014		9/15/2014	2,057	1,796					0	261		
350	X	ANHEUSER-BUSCH INBEV AD	03524A108		2/11/2014		9/16/2014	1,931	1,696					0	235		
351	X	APOLLO COML REAL ESTATE	03762U105		2/11/2014		7/30/2014	267	265					0	2		
352	X	APOLLO COML REAL ESTATE	03762U105		2/11/2014		9/16/2014	50	50					0	0		
353	X	APOLLO COML REAL ESTATE	03762U105		2/11/2014		9/17/2014	132	132					0	0		
354	X	APOLLO COML REAL ESTATE	03762U105		2/11/2014		9/18/2014	33	33					0	0		
355	X	APOLLO COML REAL ESTATE	03762U105		2/11/2014		9/19/2014	16	17					0	-1		
356	X	APPLE INC	037833100		2/11/2014		5/21/2014	6,031	5,375					0	656		
357	X	APPLE INC	037833100		2/11/2014		9/10/2014	2,394	1,844					0	550		
358	X	ASTRAZENECA PLC SPND AD	046353108		2/11/2014		2/28/2014	1,707	1,641					0	66		
359	X	ASTRAZENECA PLC SPND AD	046353108		2/11/2014		3/3/2014	1,401	1,378					0	23		
360	X	ASTRAZENECA PLC SPND AD	046353108		2/11/2014		4/21/2014	1,726	1,641					0	85		
361	X	ASTRAZENECA PLC SPND AD	046353108		2/11/2014		4/21/2014	2,092	2,034					0	58		
362	X	ASTRAZENECA PLC SPND AD	046353108		2/11/2014		4/29/2014	3,114	2,625					0	489		
363	X	ATHENAHEALTH INC	04685W103		2/11/2014		2/12/2014	548	546					0	2		
364	X	ATHENAHEALTH INC	04685W103		2/11/2014		2/13/2014	366	364					0	2		
365	X	ATHENAHEALTH INC	04685W103		2/11/2014		2/24/2014	1,197	1,092					0	105		
366	X	AUTOMATIC DATA PROC	053015103		2/11/2014		7/2/2014	400	374					0	26		
367	X	AUTOMATION INC	05329W102		2/11/2014		8/29/2014	2,773	2,626					0	147		
368	X	AUTOTONE INC	053332102		2/11/2014		9/2/2014	218	206					0	12		
369	X	AUTOTONE INC NEVADA CO	053332102		2/11/2014		4/15/2014	5,647	5,922					0	-275		
370	X	TESORO CORP	881609101		2/11/2014		8/6/2014	437	343					0	94		
371	X	TESORO CORP	881609101		2/11/2014		8/13/2014	635	490					0	145		
372	X	TESORO CORP	881609101		2/11/2014		9/4/2014	459	343					0	116		
373	X	TIBCO SOFTWARE INC	88632Q103		2/11/2014		6/6/2014	2,567	2,799					0	-232		
374	X	TIFFANY & CO NEW	886547108		2/11/2014		10/24/2014	943	876					0	67		
375	X	TIFFANY & CO NEW	886547108		2/11/2014		11/24/2014	524	438					0	86		
376	X	TIME WARNER INC SHS	887317303		2/11/2014		4/11/2014	3,505	3,580					0	-75		
377	X	TOTAL S A	89151E109		2/11/2014		7/2/2014	433	358					0	75		
378	X	TRAVELERS COS INC	89417E109		2/11/2014		4/3/2014	14,757	14,519					0	238		
379	X	UNITED RENTALS INC COM	911363109		2/11/2014		6/1/2014	1,498	1,152					0	346		
380	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		8/6/2014	477	503					0	-26		
381	X	US CELLULAR CORP (USM)	911684405		2/11/2014		7/16/2014	152	150					0	2		
382	X	US CELLULAR CORP (USM)	911684405		2/11/2014		7/17/2014	101	100					0	1		
383	X	US CELLULAR CORP (USM)	911684405		2/11/2014		7/18/2014	100	100					0	0		
384	X	US CELLULAR CORP (USM)	911684405		2/11/2014		7/12/2014	25	25					0	0		
385	X	US CELLULAR CORP (USM)	911684405		2/11/2014		7/22/2014	50	50					0	0		
386	X	V F CORPORATION	918204108		2/12/2014		5/19/2014	631	596					0	35		
387	X	VALE SA	91912E105		2/13/2014		3/12/2014	10,045	11,188					0	-1,143		
388	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		8/7/2014	604	629					0	-25		
389	X	VERIZON COMMICATNS C	92343V104		2/28/2014		2/28/2014	37	0					0	37		
390	X	VERIZON COMMICATNS C	92343V104		2/28/2014		2/28/2014	28	0					0	28		
391	X	VERIZON COMMICATNS C	92343V104		2/28/2014		2/28/2014	4,728	4,625					0	103		
392	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		8/15/2014	1,064	1,069					0	-5		
393	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		8/18/2014	509	503					0	6		
394	X	VERIZON COMMICATNS C	92343V104		2/11/2014		7/2/2014	844	806					0	38		
395	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		8/18/2014	888	880					0	8		
396	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		10/23/2014	367	377					0	-10		
397	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		10/24/2014	370	377					0	-7		
398	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		10/27/2014	435	440					0	-5		
399	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		10/28/2014	629	629					0	0		
400	X	VERISK ANALYTICS INC	92345Y106		2/11/2014		10/29/2014	430	440					0	-10		
401	X	VINCI SA	927320101		2/11/2014		7/2/2014	388	377					0	11		
402	X	VITAMIN SHOPPE INC	92849E101		7/25/2014		11/6/2014	235	217					0	18		
403	X	VITAMIN SHOPPE INC	92849E101		7/25/2014		11/7/2014	325	303					0	22		
404	X	VITAMIN SHOPPE INC	92849E101		7/25/2014		11/12/2014	289	260					0	29		
405	X	VITAMIN SHOPPE INC	92849E101		7/25/2014		11/24/2014	337	303					0	34		
406	X	VIVENDI SHS UNSP ADR	928521201		2/11/2014		7/2/2014	732	785					0	-53		
407	X	VMWARE INC	928563402		2/12/2014		5/19/2014	503	561					0	-58		
408	X	AXA -SPONS ADR	054937602		2/12/2014		7/29/2014	7,729	9,052					0	-1,323		
409	X	BB & T CORPORATION	054937602		2/11/2014		3/4/2014	124	124					0	0		
410	X	BB & T CORPORATION	054937602		2/11/2014		3/5/2014	124	124					0	0		
411	X	BB & T CORPORATION	054937602		2/11/2014		3/6/2014	123	124					0	-1		
412	X	BB & T CORPORATION	054937602		2/11/2014		3/7/2014	81	83					0	-2		
413	X	BB & T CORPORATION	054937602		2/11/2014		3/10/2014	101	103					0	-2		
414	X	BB & T CORPORATION	054937602		2/11/2014		3/11/2014	40	41					0	-1		

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals				Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
										Capital Gains/Losses		Other sales		1,925,598		1,903,555		0	
415	X	BAE SYS PLC SPN ADR	05523R107			2/11/2014		7/2/2014	498		493								
416	X	BASF SE SPONSORED ADR	055262505			2/11/2014		7/2/2014	819		768								
417	X	BCE INC	05534B760			2/11/2014		5/29/2014	497		471								
418	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/1/2014	587		590								
419	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/1/2014	77		77								
420	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/1/2014	207		205								
421	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/14/2014	155		154								
422	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/15/2014	103		103								
423	X	BARCLAYS BANK PLC	06739H511			2/11/2014		7/16/2014	52		51								
424	X	BARCLAYS BANK PLC	06739H511			2/11/2014		9/23/2014	154		154								
425	X	BARCLAYS BANK PLC	06739H511			2/11/2014		9/24/2014	154		154								
426	X	BARCLAYS BANK PLC	06739H511			2/11/2014		9/25/2014	102		103								
427	X	BARCLAYS BANK PLC	06739H511			2/11/2014		9/26/2014	51		51								
428	X	BARCLAYS BANK PLC	06739H511			2/11/2014		9/29/2014	51		51								
429	X	BARCLAYS BANK PLC	06739H511			2/11/2014		10/2/2014	206		205								
430	X	BARCLAYS BANK PLC	06739H511			2/11/2014		10/22/2014	181		180								
431	X	BARCLAYS BANK PLC	06739H511			2/11/2014		10/23/2014	284		282								
432	X	BARCLAYS BANK PLC	06739H511			2/11/2014		10/24/2014	26		26								
433	X	BBCN BANCORP INC	07329S107			2/11/2014		11/17/2014	443		474								
434	X	B/E AEROSPACE INC	073302101			2/11/2014		3/1/2014	2,212		2,085								
435	X	B/E AEROSPACE INC	073302101			2/11/2014		5/5/2014	593		481								
436	X	B/E AEROSPACE INC	073302101			2/11/2014		5/5/2014	1,087		882								
437	X	BRINKER INTL INC	109641100			2/11/2014		11/11/2014	386		351								
438	X	BRINKER INTL INC	109641100			2/11/2014		11/13/2014	495		451								
439	X	BRINKER INTL INC	109641100			2/11/2014		12/15/2014	504		451								
440	X	BRINKER INTL INC	109641100			2/11/2014		12/15/2014	508		451								
441	X	BROADRIDGE FINL	11133T103			2/11/2014		6/3/2014	497		448								
442	X	PPL CORPORATION	69351T106			2/11/2014		7/2/2014	411		375								
443	X	PAREXEL INTRNL CORP	699462107			2/11/2014		12/4/2014	1,236		1,054								
444	X	PAREXEL INTRNL CORP	699462107			2/11/2014		12/5/2014	1,160		1,004								
445	X	PEARSON SPONSORED AD	705015105			2/11/2014		7/2/2014	254		245								
446	X	PEARSON SPONSORED AD	705015105			2/11/2014		7/3/2014	177		170								
447	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		2/25/2014	561		532								
448	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		5/2/2014	554		501								
449	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		5/27/2014	461		407								
450	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		6/5/2014	292		250								
451	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		6/24/2014	185		157								
452	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		6/25/2014	74		63								
453	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		6/27/2014	148		125								
454	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		7/7/2014	593		501								
455	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		7/10/2014	113		94								
456	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		7/22/2014	150		125								
457	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		7/23/2014	75		63								
458	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		8/7/2014	520		438								
459	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		9/17/2014	229		188								
460	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		9/18/2014	76		63								
461	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/11/2014		12/8/2014	353		250								
462	X	PEBBLEBROOK HOTEL TRUS	70509V100			2/19/2014		12/8/2014	353		257								
463	X	PEPSICO INC	713448108			2/11/2014		10/23/2014	3,765		3,255								
464	X	PFIZER INC	717081103			2/12/2014		5/19/2014	12,537		13,526								
465	X	BROADRIDGE FINL	11133T103			2/11/2014		8/6/2014	489		448								
466	X	CDK GLOBAL INC SHS	12508E101			2/11/2014		10/2/2014	516		483								
467	X	CDK GLOBAL INC SHS	12508E101			2/11/2014		10/10/2014	9		9								
468	X	CNO FINL GROUP INC	12621E103			2/11/2014		3/18/2014	298		279								
469	X	VMWARE INC	928563402			2/11/2014		11/20/2014	1,834		2,057								
470	X	VMWARE INC	928563402			2/11/2014		12/30/2014	2,316		2,617								
471	X	VODAFONE GROUP PLC SHS	92857W308			2/12/2014		3/19/2014	28		49								
472	X	VODAFONE GROUP PLC SHS	92857W308			2/11/2014		3/19/2014	24		43								
473	X	VODAFONE GROUP PLC SHS	92857W308			2/11/2014		7/2/2014	535		1,075								
474	X	VODAFONE GROUP PLC SHS	92857W308			2/12/2014		10/21/2014	6,242		13,460								
475	X	VODAFONE GROUP PLC SHS	92857W308			5/19/2014		10/21/2014	5,743		6,667								
476	X	VORNADO RTLY LP	929043602			2/11/2014		3/20/2014	129		131								
477	X	VORNADO RTLY LP	929043602			2/11/2014		3/21/2014	129		131								
478	X	VORNADO RTLY LP	929043602			2/11/2014		3/21/2014	129		131								
479	X	VORNADO RTLY LP	929043602			2/11/2014		3/25/2014	26		26								
480	X	VORNADO RTLY LP	929043602			2/11/2014		4/8/2014	284		289								
481	X	VORNADO RTLY LP	929043602			2/11/2014		10/1/2014	3,225		3,381								
482	X	WEINGARTEN REALTY	94874T848			2/11/2014		4/8/2014	765		787								
483	X	WEINGARTEN REALTY	94874T889			2/11/2014		12/30/2014	479		454								

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount														
Long Term CG Distributions														
Short Term CG Distributions														
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Cost, Other Basis and Expenses		Net Gain or Loss	
								Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
484	X	WELLS FARGO & COMPANY	949746721		2/11/2014		3/4/2014	220	220				0	0
485	X	WELLS FARGO & COMPANY	949746721		2/11/2014		3/5/2014	131	132				-1	0
486	X	WELLS FARGO & COMPANY	949746721		2/11/2014		3/6/2014	196	198				0	-2
487	X	WELLS FARGO & COMPANY	949746721		2/11/2014		3/7/2014	108	110				0	8
488	X	WESTPAC BANKING ADR	961214301		2/11/2014		7/2/2014	96	88				0	8
489	X	WESTPAC BANKING ADR	961214301		2/11/2014		7/3/2014	257	235				0	22
490	X	WHOLE FOODS MKT INC COM	966837106		2/11/2014		5/8/2014	1,521	2,176				0	-655
491	X	WHOLE FOODS MKT INC COM	966837106		2/11/2014		5/8/2014	156	211				0	-55
492	X	PHARMERICA CORP	71714F104		2/11/2014		3/18/2014	754	641				0	113
493	X	PHARMERICA CORP	71714F104		2/11/2014		6/9/2014	508	389				0	119
494	X	PHARMERICA CORP	71714F104		2/11/2014		5/2/2014	200	160				0	40
495	X	PHARMERICA CORP	71714F104		2/11/2014		6/25/2014	429	343				0	86
496	X	PHARMERICA CORP	71714F104		2/11/2014		6/29/2014	284	229				0	55
497	X	PHILIP MORRIS INTL INC	718172109		2/11/2014		5/19/2014	1,197	1,105				0	92
498	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		3/3/2014	300	232				0	68
499	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		3/4/2014	2,023	1,537				0	486
500	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		3/4/2014	304	232				0	72
501	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		3/5/2014	259	197				0	62
502	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		3/6/2014	479	358				0	121
503	X	PIONEER ENERGY SERVICES	723664108		2/11/2014		2/13/2014	207	161				0	46
504	X	WILEY JOHN & SONS CL A	968223206		2/11/2014		2/21/2014	228	225				0	3
505	X	WILEY JOHN & SONS CL A	968223206		2/11/2014		2/24/2014	286	281				0	5
506	X	WILEY JOHN & SONS CL A	968223206		2/11/2014		7/2/2014	427	393				0	34
507	X	WISDOMTREE INVT INC	97717P104		2/11/2014		6/4/2014	1,882	2,250				0	-568
508	X	WYNDHAM WORLDWIDE COR	98310W108		2/11/2014		7/22/2014	1,004	912				0	92
509	X	WYNDHAM WORLDWIDE COR	98310W108		2/11/2014		9/4/2014	408	351				0	57
510	X	WYNDHAM WORLDWIDE COR	98310W108		2/11/2014		9/9/2014	246	210				0	36
511	X	WYNDHAM WORLDWIDE COR	98310W108		2/11/2014		9/18/2014	328	281				0	47
512	X	WYNDHAM WORLDWIDE COR	98310W108		2/11/2014		12/8/2014	424	351				0	73
513	X	YARA INTL ASA SP ADR	984851204		2/11/2014		9/11/2014	960	883				0	77
514	X	YARA INTL ASA SP ADR	984851204		2/11/2014		10/7/2014	187	177				0	10
515	X	YARA INTL ASA SP ADR	984851204		2/11/2014		10/8/2014	1,351	1,281				0	70
516	X	DAIMLER A	D1668R123		2/11/2014		7/2/2014	476	449				0	27
517	X	JAZZ PHARMACEUTICALS P L	G50871105		2/11/2014		2/21/2014	1,347	1,255				0	92
518	X	CNO FINL GROUP INC	12621E103		2/11/2014		3/19/2014	430	401				0	29
519	X	CYS INVESTMENTS INC	12673A108		2/11/2014		2/19/2014	265	256				0	9
520	X	CYS INVESTMENTS INC	12673A108		2/11/2014		2/26/2014	220	214				0	6
521	X	CYS INVESTMENTS INC	12673A108		2/11/2014		2/26/2014	589	573				0	16
522	X	CYS INVESTMENTS INC	12673A108		2/11/2014		3/18/2014	403	393				0	10
523	X	CYS INVESTMENTS INC	12673A108		2/11/2014		3/25/2014	779	804				0	-25
524	X	CYS INVESTMENTS INC	12673A108		2/11/2014		5/22/2014	931	889				0	42
525	X	CYS INVESTMENTS INC	12673A108		2/11/2014		6/13/2014	543	504				0	39
526	X	CYS INVESTMENTS INC	12673A108		2/11/2014		6/16/2014	83	77				0	6
527	X	CYS INVESTMENTS INC	12673A108		2/11/2014		6/26/2014	884	855				0	29
528	X	CALIFORNIA RESOURCES	13057Q107		2/11/2014		12/2/2014	415	468				0	-53
529	X	CALIFORNIA RESOURCES	13057Q107		2/11/2014		12/10/2014	355	508				0	-153
530	X	CALIFORNIA RESOURCES	13057Q107		2/11/2014		12/10/2014	2	3				0	-1
531	X	CALIFORNIA RESOURCES	13057Q107		12/10/2014		12/10/2014	1	0				0	1
532	X	CARDOTRONICS INC	14161H108		5/29/2014		7/30/2014	37	29				0	8
533	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		5/13/2014	602	514				0	88
534	X	CARDOTRONICS INC	14161H108		5/28/2014		7/30/2014	445	350				0	95
535	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		5/7/2014	182	154				0	28
536	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		7/2/2014	655	514				0	141
537	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		7/16/2014	257	206				0	51
538	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		7/17/2014	636	514				0	122
539	X	CARDOTRONICS CORP DEL SER A	150870103		2/11/2014		7/17/2014	319	257				0	62
540	X	CENTURYLINK INC SHS	156700106		2/12/2014		5/19/2014	2,899	2,331				0	568
541	X	CENTURYLINK INC SHS	156700106		2/11/2014		12/18/2014	359	298				0	61
542	X	CHATHAM LODGING TR	16208T102		2/11/2014		12/19/2014	207	146				0	61
543	X	CHATHAM LODGING TR	16208T102		2/11/2014		12/19/2014	896	648				0	248
544	X	CHATHAM LODGING TR	16208T102		2/11/2014		12/19/2014	145	105				0	40
545	X	CHATHAM LODGING TR	16208T102		2/11/2014		12/22/2014	410	293				0	117
546	X	CHATHAM LODGING TR	16208T102		2/11/2014		12/23/2014	117	84				0	33
547	X	CHIQUITA BRANDS INTL INC	170032809		2/19/2014		8/11/2014	237	207				0	30
548	X	CHIQUITA BRANDS INTL INC	170032809		2/11/2014		8/11/2014	1,251	977				0	274
549	X	CISCO SYSTEMS INC COM	17275R102		2/11/2014		2/13/2014	10,907	11,349				0	-442
550	X	CITIGROUP INC	172967366		2/11/2014		3/5/2014	380	372				0	8
551	X	CITIGROUP INC	172967366		2/11/2014		3/6/2014	488	482				0	6
552	X	CITIGROUP INC	172967366		2/11/2014		3/7/2014	417	416				0	1

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals				Net Gain or Loss												
Short Term CG Distributions										19,556		Capital Gains/Losses				1,925,598		22,043										
Description										CUSIP #		Purchaser		Check "X" if Purchaser is a Business		Date Acquired		Acquisition Method		Date Sold		Gross Sales Price		Cost, Other Basis and Expenses		Net Gain or Loss		
Check "X" to include in Part IV																												
553	X	CITIGROUP INC	172967366																									
554	X	CITIGROUP INC	172967366																									
555	X	CITIGROUP INC	172967366																									
556	X	PARTNERRE LTD	G68603409																									
557	X	PARTNERRE LTD	G68603409																									
558	X	PARTNERRE LTD	G68603409																									
559	X	PARTNERRE LTD	G68603409																									
560	X	PARTNERRE LTD	G68603409																									
561	X	PARTNERRE LTD	G68603409																									
562	X	PARTNERRE LTD	G68603409																									
563	X	SIGNET JEWELERS LTD SHS	G81276100																									
564	X	ACE LIMITED	H0023R105																									
565	X	INTERXION HOLDING N V	N47279109																									
566	X	NXP SEMICONDUCTORS N V	N6596X109																									
567	X	COSTAMARE INC	Y1711G102																									
568	X	CITIGROUP INC	172967366																									
569	X	CITIGROUP INC	172967366																									
570	X	CITIGROUP INC	172967366																									
571	X	CITIGROUP CAP TRUST CLD	173066200																									
572	X	CITIGROUP CAPITAL XI CLD	17307Q205																									
573	X	CITIGROUP CAPITAL XV CLD	17311H209																									
574	X	COLGATE PALMOLIVE	194162103																									
575	X	COLONY FINANCIAL INC	19624R106																									
576	X	COLONY FINANCIAL INC	19624R106																									
577	X	COLONY FINANCIAL INC	19624R106																									
578	X	COLONY FINANCIAL INC	19624R106																									
579	X	COLONY FINANCIAL INC	19624R106																									
580	X	COLUMBIA SPORTSWEAR CO	198516106																									
581	X	COLUMBIA SPORTSWEAR CO	198516106																									
582	X	COMCAST CRP NEW CL A SPI	20030N200																									
583	X	COMPASS GROUP PLC SHS	20449X302																									
584	X	COMPASS GROUP PLC SHS	20449X302																									
585	X	COMPASS GROUP PLC SHS	20449X302																									
586	X	COMPASS GROUP PLC SHS	20449X302																									
587	X	COMPASS GROUP PLC SHS	20449X302																									
588	X	COMPUTER PROGRAMS & SY	205306103																									
589	X	COMPUTER PROGRAMS & SY	205306103																									
590	X	COMPUTER PROGRAMS & SY	205306103																									
591	X	COMPUTER PROGRAMS & SY	205306103																									
592	X	COMPUTER PROGRAMS & SY	205306103																									
593	X	COMPUTER PROGRAMS & SY	205306103																									
594	X	CONVERGYS CORP	212485106																									
595	X	CONVERGYS CORP	212485106																									
596	X	CONVERGYS CORP	212485106																									
597	X	PIONEER ENERGY SERVICES	723664108																									</

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Capital Gains/Losses		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss													
Long Term CG Distributions										Short Term CG Distributions		Amount		19,556		22,043													
Check "X" to include in Part IV		Description		CUSIP #		Purchaser		Check "X" if Purchaser is a Business		Date Acquired		Acquisition Method		Date Sold		Gross Sales Price		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improvements		Depreciation		Adjustments		Net Gain or Loss	
622	X	PRIVATE BANCORP INC DEL	742982103			2/11/2014				11/11/2014				426	370														56
623	X	PRIVATE BANCORP INC DEL	742982103			2/11/2014				12/19/2014				760	654														106
624	X	PRUDENTIAL FINANCIAL INC	744320102			2/11/2014				3/28/2014				3,408	3,476														-68
625	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				6/5/2014				156	151														5
626	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				6/6/2014				78	75														3
627	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				6/10/2014				154	151														3
628	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				6/13/2014				51	50														1
629	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				7/25/2014				25	25														0
630	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				7/25/2014				185	176														9
631	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				7/28/2014				53	50														3
632	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				7/29/2014				53	50														3
633	X	PUBLIC STORAGE PFD SHR	74460D141			2/11/2014				7/30/2014				53	50														3
634	X	PUBLIC STORAGE (PSA)	74460W404			2/11/2014				11/24/2014				177	155														22
635	X	PUBLIC STORAGE (PSA)	74460W404			2/11/2014				11/25/2014				101	89														12
636	X	PUBLIC STORAGE (PSA)	74460W404			2/11/2014				11/26/2014				101	89														12
637	X	PUBLIC STORAGE (PSA)	74460W404			2/11/2014				11/28/2014				101	89														12
638	X	PUTNAM INCOME FUND CL Y	746792407			2/27/2014				10/8/2014				437,878	436,691														1,187
639	X	PUTNAM INCOME FUND CL Y	746792407			2/27/2014				10/8/2014				1	1														0
640	X	QUALCOMM INC	747523103			2/11/2014				3/27/2014				237	227														10
641	X	REALTY INCOME CORP	756109708			2/11/2014				9/23/2014				478	476														2
642	X	REGAL ENTMT GROUP CL A	758766109			2/11/2014				7/2/2014				596	555														41
643	X	REYNOLDS AMERICAN INC	761713106			2/11/2014				7/2/2014				782	629														153
644	X	ROCHE HDG LTD SPN ADR	771195104			2/11/2014				7/2/2014				481	468														13
645	X	ROYAL BK SCOTLND GRP PLC	780937739			2/11/2014				10/31/2014				2,681	2,626														53
646	X	ROYAL BK SCOTLND GRP PLC	780937739			2/11/2014				4/8/2014				232	230														2
647	X	ROYAL BK SCOTLND GRP PLC	780937739			2/11/2014				4/9/2014				257	253														4
648	X	ROYAL BK OF SCOT GRP PLC	780937788			2/11/2014				2/18/2014				21	21														0
649	X	ROYAL BK OF SCOT GRP PLC	780937788			2/11/2014				2/19/2014				724	724														0
650	X	ROYAL BK OF SCOT GRP PLC	780937796			2/11/2014				3/14/2014				180	181														0
651	X	ROYAL BK OF SCOT GRP PLC	780937796			2/11/2014				3/17/2014				249	249														-1
652	X	ROYAL BK OF SCOT GRP PLC	780937796			2/11/2014				3/18/2014				225	226														0
653	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				7/29/2014				392	329														63
654	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				7/30/2014				338	288														50
655	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				8/7/2014				333	288														45
656	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				8/13/2014				339	288														51
657	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				8/14/2014				291	247														44
658	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				8/28/2014				296	247														43
659	X	RYMAN HOSPITALITY PPTYS	783771107			2/11/2014				9/16/2014				283	247														36
660	X	SPDR S&P 500 ETF TRUST	78462F103			2/11/2014				12/9/2014				4,127	3,648														479
661	X	SPDR S&P 500 ETF TRUST	78462F103			7/2/2014				12/9/2014				8,047	7,692														355
662	X	SSE PLC SPONSORED ADR	78467K107			2/11/2014				3/3/2014				1,677	1,639														38
663	X	SSE PLC SPONSORED ADR	78467K107			2/11/2014				3/3/2014				1,327	1,297														30
664	X	SALIX PHRMCTCLS LTD COM	795435106			2/11/2014				2/21/2014				621	615														6
665	X	SALIX PHRMCTCLS LTD COM	795435106			2/11/2014				12/3/2014				2,284	2,255														29
666	X	SALIX PHRMCTCLS LTD COM	795435106			6/2/2014				12/3/2014				934	1,029														-95
667	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				6/5/2014				754	886														0
668	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				6/6/2014				302	354														66
669	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/10/2014				138	148														-52
670	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/10/2014				413	443														0
671	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/24/2014				88	89														-30
672	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/27/2014				380	384														-1
673	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/28/2014				582	591														-4
674	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				10/30/2014				263	266														-9
675	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				11/6/2014				264	266														-3
676	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				11/12/2014				118	118														-2
677	X	SALLY BEAUTY HLDGS INC	79546E104			2/11/2014				11/13/2014				176	177														0
678	X	SANOFI ADR	80105N105			2/11/2014				7/2/2014				479	446														-1
679	X	SCHLUMBERGER LTD	806857108			2/11/2014				3/28/2014				1,273	1,179														33
680	X	SCHLUMBERGER LTD	806857108			2/11/2014				10/2/2014				1,171	1,088														94
681	X	SCHLUMBERGER LTD	806857108			2/11/2014				11/21/2014				1,175	1,088														83
682	X	SCHLUMBERGER LTD	806857108			2/11/2014				12/17/2014				335	363														87
683	X	SHAW COMMUNICATIONS B C	82028K200			2/11/2014				7/2/2014				493	443														-28
684	X	SHAW COMMUNICATIONS B C	82028K200			2/11/2014				7/3/2014				182	163														50
685	X	SILVER WHEATON CORP	828336107			2/11/2014				2/24/2014				706	662														19
686	X	SILVER WHEATON CORP	828336107			2/11/2014				3/24/2014				142	147														44
687	X	SILVER WHEATON CORP	828336107			2/11/2014				4/15/2014				2,327	2,574														-5
688	X	SOUTHERN COMPANY	842587107			2/11/2014				3/26/2014				2,080	2,007														-247
689	X	SOUTHERN COMPANY	842587107			2/11/2014				3/27/2014																			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals									
		19,556		Capital Gains/Losses Other sales									
				Gross Sales		Cost, Other Basis and Expenses		Valuation Method		Expense of Sale and Cost of Improvements		Adjustments	
				1,925,598		1,903,555		0		0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Adjustments	Net Gain or Loss
691	SPLUNK INC	848637104			2/11/2014		11/12/2014	405	499			94	0
692	STARWOOD PPTY TR INC	85571B105			2/11/2014		5/7/2014	168	169			0	-1
693	STARWOOD PPTY TR INC	85571B105			2/11/2014		5/23/2014	315	314			0	1
694	STARWOOD PPTY TR INC	85571B105			2/11/2014		5/29/2014	267	266			0	1
695	STARWOOD PPTY TR INC	85571B105			2/11/2014		7/29/2014	72	72			0	0
696	STARWOOD PPTY TR INC	85571B105			2/11/2014		7/29/2014	238	238			0	0
697	STARWOOD PPTY TR INC	85571B105			2/11/2014		8/14/2014	471	477			0	-6
698	STARWOOD PPTY TR INC	85571B105			2/11/2014		8/28/2014	332	334			0	-2
699	STARWOOD PPTY TR INC	85571B105			2/11/2014		9/12/2014	282	286			0	-4
700	PARTNERSHIP GAIN/LOSS	000000000			VARIOUS		VARIOUS	403					403
701	PARTNERSHIP GAIN/LOSS	000000000			VARIOUS		VARIOUS	2,311					2,311

Part I, Line 11 (990-PF) - Other Income

		-9,709	1	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	OTHER INCOME	1	1	
2	INCOME / LOSS FROM P-SHIP	-9,710		

Part I, Line 16c (990-PF) - Other Professional Fees

		48,467	29,080	0	19,387
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	US TRUST FEES AS AGENT	48,467	29,080		19,387

Part I, Line 18 (990-PF) - Taxes

		4,441	2,094	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	2,094	2,094		
2	ESTIMATED TAX PAYMENTS	2,347			

Part I, Line 23 (990-PF) - Other Expenses

		695	695	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INVESTMENT FEES	385	385		
2	PARTNERSHIP DEDUCTIONS	284	284		
3	ADR FEES	26	26		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1						3,023,001
2	MATTEL INC	0		5,380		4,456
3	MC DONALDS CORPORATION COMMON	0		4,015		3,935
4	MERCK AND CO INC SHS	0		4,456		4,543
5	MICROSOFT CORP COM	0		3,880		4,831
6	MICROCHIP TECHNOLOGY INC	0		4,448		4,466
7	MUENCHENER RUECK-UNSPON	0		10,306		9,781
8	NATIONAL GRID PLC SP ADR	0		10,795		11,376
9	NESTLE SA SPONSORED ADR	0		3,893		3,793
10	NOVARTIS AG SPNSRD ADR	0		6,006		6,857
11	KLA TENCOR CORP	0		3,635		4,008
12	KIMBERLY-CLARK CORP COM	0		5,883		6,239
13	KINDER MORGAN INC DEL	0		10,282		10,958
14	LOCKHEED MARTIN CORP	0		5,855		7,125
15	LORILLARD INC	0		9,633		12,084
16	OCCIDENTAL PETROLEUM CORPORATIO	0		4,981		4,837
17	ORKLA AS A SHS ADR	0		5,312		4,415
18	PPL CORP	0		9,044		10,536
19	PEARSON PLC	0		5,319		5,221
20	PEOPLES UNITED FNL INC	0		4,332		4,372
21	PEPSICO INC	0		3,334		3,877
22	PHILIP MORRIS INTL INC	0		7,472		7,656
23	PHILIPPINE LONG DISTANCE TEL SPONS	0		3,535		3,734
24	POTASH CORP SASK INC	0		8,019		8,406
25	REGAL ENTMT GROUP	0		4,588		4,956
26	REYNOLDS AMERN INC	0		9,514		12,661
27	RIO TINTO PLC SPON ADR	0		4,686		3,869
28	ROCHE HLDG LTD SPONSORED ADR	0		4,890		4,487
29	ROGERS COMMUNICATIONS INC CL B	0		5,224		4,858
30	ROYAL DUTCH SHELL PLC	0		9,553		9,038
31	SSE PLC SPONSORED ADR	0		10,590		11,367
32	SANOFI-SYNTHELABO	0		4,409		4,059
33	SEVERN TRENT PLC SHS ADR	0		4,360		4,555
34	SHAW COMMUNICATIONS INC CL B	0		5,019		5,830
35	SOUTHERN COMPANY COMMON	0		4,550		5,353
36	STATOIL ASA SPONSORED ADR	0		5,096		3,487
37	SVENSKA HANDELSBANKEN AB	0		5,335		4,867
38	SWISSCOM	0		11,758		10,961
39	TECO ENERGY INC	0		7,105		8,872
40	TELSTRA LTD SPONSORED ADR FINAL	0		9,029		9,556
41	TERNA SPA-UNSPONSORED	0		9,798		8,795
42	TOTAL FINA ELF S A ADR	0		10,740		9,216
43	UNIBAIL-RODAMCO SE	0		6,587		6,184
44	UNILEVER PLC AMER SHS ADR NEW	0		4,744		4,493
45	UNITED UTILS GROUP ADR	0		8,861		10,120
46	VERIZON COMMUNICATIONS INC	0		10,480		10,292

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
47	VINCI SA ADR	0		10,487		7,937
48	VIVENDI SHS UNSP ADR	0		6,190		5,882
49	VODAFONE GROUP PLC SHS	0		17,042		11,447
50	WM MORRISON SUPERMARKETS	0		4,774		4,140
51	WASTE MANAGEMENT INC NEW	0		3,578		4,260
52	WELLS FARGO & CO NEW	0		4,937		5,866
53	WESTPAC BKG CORP SPONSORED ADR	0		5,171		4,654
54	WISCONSIN ENERGY CORP	0		3,891		4,588
55	WOLTERS KLUWER N V SPONSORED AD	0		3,824		4,087
56	YARA INTL ADR	0		5,998		5,992
57	AT&T INC	0		14,351		14,578
58	ALLSTATE CORP COM	0		14,410		19,108
59	BAXTER INTL INC COM	0		14,495		15,244
60	BROADCOM CORP CL A	0		14,501		20,322
61	CVS CORP	0		14,450		20,225
62	CARDINAL HEALTH INC COM	0		14,482		16,873
63	CHEVRONTXACO CORP	0		14,341		14,135
64	CISCO SYS INC	0		14,497		17,635
65	CONOCOPHILLIPS	0		14,531		15,262
66	E I DU PONT DE NEMOURS & CO COMM	0		14,325		16,415
67	EDISON INTL	0		14,488		19,120
68	HALLIBURTON COMPANY COM	0		16,294		11,917
69	INTEL CORPORATION	0		14,532		21,484
70	JOHNSON & JOHNSON COM	0		14,466		16,313
71	JOHNSON CONTROLS INC	0		16,907		17,451
72	KRAFT FOODS GROUP INC	0		14,572		17,106
73	LOWES COMPANIES INC COM	0		14,563		21,328
74	MARATHON OIL CORP	0		14,606		12,561
75	MARSH & MCLENNAN COS INC	0		14,532		17,744
76	MERCK AND CO INC SHS	0		14,481		14,765
77	ARCHER DANIELS MIDLAND CO COM	0		14,545		18,668
78	BB&T CORP COM	0		15,242		14,584
79	BANK NEW YORK MELLON	0		14,549		18,419
80	MONDELEZ INTERNATIONAL	0		14,532		15,801
81	NORTHROP GRUMMAN CORP	0		14,541		18,276
82	OCCIDENTAL PETROLEUM CORPORATIO	0		14,019		12,736
83	PFIZER INC COM	0		14,390		14,018
84	QUEST DIAGNOSTICS INC	0		14,482		18,509
85	RAYTHEON CO	0		14,501		16,550
86	VERIZON COMMUNICATIONS INC	0		14,387		14,221
87	WASTE MANAGEMENT INC NEW	0		14,356		17,090
88	XEROX CORPORATION COM	0		14,429		18,697
89	NABORS INDUSTRIES LTD COM	0		1,534		1,843
90	WHITE MOUNTAINS INS GROUP INC	0		4,704		5,041
91	AG MTG INVT TR INC	0		1,674		1,801
92	ACI WORLDWIDE INC	0		5,515		5,970

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
93	ACTUANT CORP	0		5,283		4,331
94	AMERICAN EAGLE OUTFITTERS INC	0		2,611		2,693
95	APOLLO INVT CORP	0		3,614		3,146
96	APOLLO COML REAL ESTATE	0		2,756		2,732
97	BANCORP INC DEL	0		3,104		2,047
98	BBCN BANCORP INC	0		6,819		6,428
99	BE AEROSPACE INC	0		7,564		5,454
100	BRINKER INTL INC	0		2,628		3,111
101	BRINKS CO	0		1,592		1,269
102	BROADRIDGE FINL	0		5,940		7,250
103	CNO FINL GROUP INC	0		6,330		6,320
104	CARDTRONICS INC	0		2,350		3,009
105	CELANESE CORP DEL SER A	0		3,348		3,837
106	CHATHAM LODGING TR	0		1,669		2,318
107	CIVEO CORP SHS	0		1,912		855
108	CLEAN HBRS INC	0		4,329		4,180
109	COLONY FINANCIAL INC	0		5,259		5,502
110	COLUMBIA SPORTSWEAR CO	0		1,882		2,227
111	COMMERCIAL METALS CO	0		5,771		4,952
112	COMPUTER PROGRAMS & SYS INC	0		2,388		2,187
113	CONVERGYS CORP	0		9,183		9,554
114	COPART INC	0		6,788		7,225
115	DYCOM INDUSTRIES INC	0		1,422		1,965
116	EL PASO ELEC CO	0		4,990		5,568
117	FIFTH ST FIN CORP	0		3,311		2,731
118	FIRST HORIZON NATL CORP	0		3,831		4,414
119	FIRST NIAGARA FINL GROUP INC	0		8,117		7,848
120	FRANKLIN STR PPTYs CORP	0		3,729		3,767
121	GNC HOLDINGS INC SHS	0		3,014		4,132
122	GENWORTH FINL INC	0		6,139		3,936
123	GLATFELTER USD 0.01	0		6,883		5,983
124	GLOBAL CASH ACCES LLC	0		1,484		1,580
125	GLOBAL PMTS INC	0		4,178		4,925
126	GUESS INC	0		1,101		801
127	HCC INS HLDGS INC	0		11,119		13,648
128	HAEMONETICS CORP MASS	0		2,775		3,368
129	HARMONIC INC	0		3,157		3,323
130	HATTERAS FINL CORP	0		1,406		1,364
131	HEALTH NET INC	0		2,391		3,801
132	INLAND REAL ESTATE CORP	0		2,871		2,956
133	INTERNATIONAL RECTIFIER CORP COM	0		1,887		2,953
134	INTERSIL CORPORATION	0		1,755		2,228
135	INVESTMENT TECHNOLOGY GROUP	0		2,997		3,748
136	INVESTORS BANCORP INC	0		9,212		10,204
137	JANUS CAP GROUP INC	0		1,798		2,581
138	KLX INC SHS	0		1,087		3,011

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		0	2,817,043	0	3,023,001
Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
139 KENAMETAL INC	0		4,371		4,187
140 KOPPERS HLDGS INC	0		4,354		3,014
141 MRC GLOBAL INC	0		7,311		4,772
142 MTS SYS CORP	0		4,364		4,577
143 PBF ENERGY INC CL A	0		2,326		2,584
144 PATTERSON-UTI ENERGY INC	0		4,070		3,434
145 PHARMERICA CORP	0		746		663
146 PIEMONT NATURAL GAS CO COM	0		8,333		9,774
147 PRIVATEBANCORP INC	0		2,929		3,440
148 RTI INTL METALS INC.	0		2,663		2,425
149 RAYONIER ADVANCED	0		4,758		3,323
150 RYMAN HOSPITALITY PPTYS	0		1,302		1,635
151 SALLY BEAUTY HLDGS INC	0		2,853		3,258
152 STANCORP FINL GROUP INC	0		4,592		4,960
153 STARWOOD PPTY TR INC	0		1,854		1,766
154 STARWOOD WAYPOINT	0		924		844
155 SYKES ENTERPRISES INC	0		3,271		3,779
156 SYMETRA FINANCIAL CORP	0		5,287		6,200
157 TEREX CORP NEW	0		5,452		4,182
158 TESORO PETROLEUM CORPORATION CO	0		2,636		3,941
159 TETRA TECHNOLOGIES INC DEL	0		4,708		3,327
160 TUPPERWARE CORP	0		3,906		3,150
161 VITAMIN SHOPPE INC	0		1,920		2,380
162 JOHN WILEY & SONS CL A	0		1,684		1,777
163 WYNDHAM WORLDWIDE CORP W	0		1,233		1,458
164 ICON PLC	0		2,536		2,346
165 JAZZ PHARMACEUTICALS PLC	0		3,200		3,438
166 SIGNET JEWELERS LTD SHS	0		4,323		7,105
167 XL GROUP PLC SHS	0		3,092		3,609
168 CONSTELLUM HOLDCO B V	0		1,216		789
169 CORE LABORATORIES N V	0		2,435		1,805
170 ADVENT SOFTWARE INC COM	0		2,455		2,390
171 AKORN INC	0		2,704		3,729
172 ALBEMARLE CORP COM	0		2,249		2,105
173 ALIGN TECHNOLOGY INC	0		3,113		3,131
174 AUTOLIV INC COM	0		4,477		4,988
175 BE AEROSPACE INC	0		2,966		2,147
176 BITAUTO HLDGS LTD ADR	0		1,905		3,239
177 CBRE GROUP INC	0		4,042		5,206
178 CARRIZO OIL & GAS INC	0		2,829		2,787
179 CENTENE CORP DEL	0		2,384		3,323
180 CIENA CORP	0		2,949		2,446
181 DECKERS OUTDOOR CORP	0		3,070		2,822
182 DEXCOM INC	0		1,784		2,257
183 DILLARD'S INC CL A COM	0		1,961		2,754
184 DOLBY LABORATORIES INC	0		2,483		2,587

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
185	ENTEGRIS INC	0		2,078		2,325
186	EXPEDIA INC	0		5,791		6,573
187	FEI CO	0		3,290		2,982
188	MASIMO CORP	0		4,663		4,583
189	MATSON INC	0		2,559		3,590
190	F5 NETWORKS INC	0		4,260		4,827
191	FIRST REP BK SAN FRANCISCO	0		3,664		3,805
192	FOOT LOCKER INC	0		3,633		4,270
193	GARTNER INC NEW CLASS A	0		3,884		4,968
194	GENTEX CORP COM	0		2,362		2,710
195	GLOBAL PMTS INC	0		3,526		3,956
196	HD SUPPLY HLDGS INC	0		3,155		3,155
197	HAIN CELESTIAL GROUP INC	0		1,066		2,157
198	HEARTLAND PMT SYS INC	0		2,698		3,561
199	IAC INTERACTIVE CORP	0		5,456		4,985
200	INFORMATICA CORP	0		2,186		2,097
201	INSULET CORP	0		977		1,198
202	INTERACTIVE BROKERS GROUP	0		1,094		1,458
203	ISIS PHARMACEUTICALS	0		3,586		4,384
204	KLX INC SHS	0		0		742
205	KAPSTONE PAPER AND PACKAGING	0		3,160		3,195
206	KERYX BIOPHARMACEUTICALS INC	0		1,902		1,670
207	MANHATTAN ASSOCIATES INC	0		1,332		1,629
208	MARKETAXESS HLDGS INC	0		970		1,147
209	MEDIVATION INC	0		3,867		4,383
210	NETSCOUT SYS INC	0		2,255		1,973
211	NEUSTAR INC CLASS A	0		2,274		1,974
212	NORDSON CORP	0		2,887		3,196
213	OLD DOMINION FREIGHT LINES INC	0		3,762		5,124
214	PETSMART INC COM	0		4,648		5,691
215	POLARIS INDUSTRIES INC COM	0		4,168		4,991
216	POWER INTEGRATIONS INC	0		2,840		2,328
217	PROTO LABS INC SHS	0		1,187		1,209
218	ROBERT HALF INTERNATIONAL INC	0		4,413		6,480
219	ROVI CORP	0		1,751		1,739
220	SILGAN HLDGS INC	0		2,802		3,162
221	SPLUNK INC	0		2,288		2,653
222	TABLEAU SOFTWARE INC	0		2,396		3,390
223	TANDEM DIABETES CARE ORD	0		598		572
224	TENET HEALTHCARE CORP	0		676		557
225	TOWERS WATSON & COMPANY CLASS A	0		3,837		3,961
226	TOTAL SYSTEMS SERVICES INC	0		4,226		4,822
227	UNITED RENTALS INC	0		4,604		5,713
228	UNITED THERAPEUTICS CORP DEL	0		1,393		1,813
229	UNIVERSAL HEALTH SERVICES INC CLASS B	0		4,365		6,008
230	VALMONT INDUSTRIES INC	0		2,808		2,413

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
231	VERINT SYSTEMS INC	0		2,507		2,448
232	VISTEON CORP COM STK	0		3,569		4,702
233	WUXI PHARMATECH CAYMAN I	0		2,742		2,626
234	WABTEC CORP	0		4,637		5,474
235	WHITING PETE CORP NEW	0		3,196		1,815
236	WILLIAMS SONOMA INC COM	0		3,248		4,389
237	WYNDHAM WORLDWIDE CORP W	0		3,294		4,031
238	YY INC ADR	0		3,181		3,055
239	YELP INC CL A	0		2,372		1,970
240	ZULILY INC CLASS A	0		1,864		1,240
241	ACE LIMITED	0		7,939		9,420
242	ALEXION PHARMACEUTICALS INC	0		5,079		4,996
243	ALLERGAN INC COM	0		3,906		6,165
244	AMAZON COM INC	0		5,450		4,655
245	AMERICAN TOWER REIT INC	0		9,507		11,467
246	ARM HLDGS PLC	0		6,551		6,575
247	ATHENAHEALTH INC	0		8,555		7,431
248	BIOMARIN PHARMACEUTICAL INC	0		5,385		6,870
249	CELGENE CORP	0		6,690		9,396
250	CERNER CORP COM	0		9,027		10,152
251	CHIPOTLE MEXICAN GRILL	0		4,974		6,161
252	COSTCO WHSL CORP NEW	0		4,946		6,095
253	EQUINIX INC	0		5,916		7,029
254	FACEBOOK INC	0		6,756		7,022
255	FASTENAL CO COMMON	0		3,550		3,757
256	GOOGLE INC SHS CL C	0		11,745		11,054
257	ILLUMINA INC	0		6,091		7,568
258	LINKEDIN CORP	0		6,283		7,121
259	MEAD JOHNSON NUTRTION CO	0		5,443		6,937
260	OCEANEERING INTERNATIONAL INC	0		4,069		3,411
261	PRECISION CASTPARTS CORP CO	0		8,903		8,190
262	PRICELINE COM INC	0		9,598		9,122
263	QUALCOMM INC	0		7,347		7,210
264	SALESFORCE COM INC	0		12,973		12,633
265	SCHLUMBERGER LIMITED COM	0		6,183		5,808
266	SCHWAB CHARLES CORP NEW	0		4,242		5,012
267	SERVICENOW INC	0		6,603		7,328
268	SPLUNK INC	0		6,976		5,247
269	STARBUCKS CORP COM	0		8,975		9,846
270	TIFFANY & CO NEW	0		4,727		5,770
271	VISA INC CL A SHRS	0		9,353		11,012
272	VMWARE, INC.	0		2,616		2,311
273	SEADRILL LTD	0		24,155		9,636
274	ACE LIMITED	0		13,222		15,509
275	COSTAMARE INC	0		12,886		11,341
276	APOLLO GLOBAL MGMT LLC	0		14,923		13,488

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
277	ATLAS ENERGY LP	0		12,476		10,902
278	ATLAS PIPELINE PARTNERS L P UNIT	0				13,603
279	BLACKROCK INC CL A	0		18,862		22,169
280	BLACKSTONE GROUP LP	0		19,269		21,279
281	BOOZ ALLEN HAMILTON HLDG	0		12,501		15,016
282	CENTURYTEL INC	0		11,177		14,645
283	CISCO SYS INC	0		13,936		16,021
284	COLGATE PALMOLIVE CO COM	0		17,301		19,304
285	CRESTWOOD EQUITY	0		21,728		16,970
286	ENERGY TRANSFER EQUITY L	0		10,402		15,665
287	ENTERPRISE PRODS PARTNERS L P	0		11,038		13,726
288	HONEYWELL INTL INC	0		16,780		17,786
289	INTEL CORPORATION	0		13,268		19,560
290	KKR & CO L P DEL	0		15,390		14,228
291	LOCKHEED MARTIN CORP	0		15,900		19,257
292	MARKWEST ENERGY PARTNERS L P	0		12,638		14,849
293	MONDELEZ INTERNATIONAL	0		16,521		17,981
294	NATIONAL GRID PLC SP ADR	0		12,863		13,143
295	NESTLE S A SPONSORED ADR	0		13,112		12,693
296	OCH-ZIFF CAPTL MANGMT GR	0		14,915		12,509
297	PHILIP MORRIS INTL INC	0		21,378		22,073
298	V F CORPORATION COM	0		21,925		27,563
299	WILLIAMS CO INC	0		23,249		22,785
300	ORACLE CORPORATION	0		12,933		15,335
301	PEPSICO INC	0		9,758		11,347
302	PHILIP MORRIS INTL INC	0		4,372		4,480
303	PRICE T ROWE GROUP INC	0		12,200		12,879
304	PRUDENTIAL FINL INC	0		6,269		6,694
305	QUALCOMM INC	0		28,632		28,097
306	SABMILLER PLC	0		6,000		6,703
307	SCHLUMBERGER LIMITED COM	0		14,314		13,495
308	SOUTHWESTERN ENERGY CO	0		5,632		3,684
309	STARBUCKS CORP COM	0		6,121		6,974
310	STATE ST CORP COM	0		15,475		17,584
311	TEMPUR-PEDIC INTL INC	0		10,560		11,970
312	UNITED PARCEL SVC INC CL B	0		7,422		8,560
313	WELLS FARGO & CO NEW	0		5,905		7,017
314	ALIBABA GROUP HOLDING LT	0		1,348		1,559
315	AMAZON COM INC	0		36,697		31,345
316	AMERICAN EXPRESS COMPANY	0		6,395		6,699
317	AMERICAN INTERNATIONAL	0		17,606		19,996
318	AMGEN INC	0		9,943		13,380
319	APACHE CORPORATION COM	0		10,601		8,147
320	APPLE COMPUTER INC COM	0		27,409		39,406
321	CATERPILLAR INC	0		5,905		5,675
322	CHEVRONTXACO CORP	0		5,008		4,936

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
323	CITIGROUP INC COM NEW	0		17,272		19,642
324	DANAHER CORP COMMON	0		6,703		7,200
325	DIAGEO PLC SPON ADR NEW	0		9,553		8,899
326	EMC CORP(MASS) USD 0 01	0		16,730		19,837
327	EBAY INC	0		9,808		9,653
328	EXXON MOBIL CORP	0		6,485		6,472
329	FACEBOOK INC	0		23,864		28,321
330	GENERAL ELECTRIC CO	0		12,700		12,559
331	GOLDMAN SACHS GROUP INC	0		26,885		31,594
332	GOOGLE INC	0		16,081		14,328
333	GOOGLE INC SHS CL C	0		16,030		14,213
334	IHS INC	0		7,562		7,516
335	J P MORGAN CHASE & CO	0		35,955		39,112
336	LENNAR CORP	0		18,797		20,657
337	LINKEDIN CORP	0		9,285		14,012
338	LOWES COMPANIES INC COM	0		10,429		15,274
339	MC DONALDS CORPORATION COMMON	0		9,273		9,089
340	MEDTRONIC INC	0		8,874		11,335
341	MERCK AND CO INC SHS	0		12,587		12,835
342	MORGAN STANLEY DEAN WITTER & CO	0		9,350		11,950
343	NVR INC COM	0		12,900		14,029
344	NOVARTIS AG SPNSRD ADR	0		10,850		12,416
345	OCCIDENTAL PETROLEUM CORPORATIO	0		13,422		11,769
346	DAIMLER-CHRYSLER AG	0		8,527		7,828
347	SEAGATE TECH PLC SHS	0		4,860		5,120
348	CABOT OIL & GAS CORP	0		6,234		5,419
349	CARMAX INC	0		8,297		12,384
350	AT&T INC	0		10,481		10,480
351	ABBVIE INC SHS	0		4,444		5,824
352	ALTRIA GROUP INC	0		9,652		13,500
353	AMEREN CORP	0		9,305		11,163
354	APPLE COMPUTER INC COM	0		3,532		5,077
355	ASTRAZENECA PLC SPONS ADR	0		3,540		3,801
356	AUTOMATIC DATA PROCESSING INC COM	0		3,397		4,335
357	BAE SYS PLC	0		9,528		9,592
358	BASF AG	0		8,336		6,338
359	BCE INC	0		11,770		12,566
360	BHP BILLITON LIMITED	0		4,451		3,123
361	BRITISH AMERICAN TOBACCO	0		6,557		6,900
362	CME GROUP INC	0		9,207		10,461
363	CENTRICA PLC	0		8,881		7,128
364	CENTURYTEL INC	0		7,352		9,776
365	COMMONWEALTH BK AUS-SP	0		4,577		4,531
366	COMPASS GROUP PLC SHS	0		5,496		5,197
367	CONOCOPHILLIPS	0		5,457		5,732
368	CORRECTIONS CORP AMER NEW	0		7,466		8,286

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
369	DEUTSCHE TELEKOM AG SPONSORED A	0		10,488		10,281
370	DEUTSCHE POST AG SHS	0		3,656		3,342
371	DOMINION RES INC VA NEW	0		3,594		3,999
372	R R DONNELLEY & SONS COMPANY COM	0		4,729		4,537
373	DOW CHEMICAL COMPANY COMMON	0		5,636		5,473
374	E I DU PONT DE NEMOURS & CO COMM	0		3,807		4,362
375	DUKE ENERGY CORP NEW	0		10,216		11,946
376	ELECTRICITE DE FRANCE	0		10,984		8,286
377	GALLAGHER ARTHUR J & CO	0		3,664		3,766
378	GLAXO SMITHKLINE PLC	0		11,772		9,275
379	HEALTH CARE REIT INC	0		9,131		12,032
380	IMPERIAL TOB GROUP PLC SPONSORED	0		10,763		12,688
381	JOHNSON & JOHNSON COM	0		3,616		4,078
				2,817,043	0	3,023,001

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1				0	319,835	0	338,080
2	ING GROEP NV			0	3,820		4,018
3	ING GROEP N V			0	1,204		1,251
4	INTERGRYS ENERGY GRP, INC			0	4,638		5,046
5	INTERSTATE POWER & LIGHT			0	1,120		1,226
6	JPMORGAN CHASE & CO			0	2,891		3,223
7	JPMORGAN CHASE & CO			0	1,718		1,764
8	JPMORGAN CHASE & CO			0	4,520		5,099
9	JP MORGAN CHASE & CO			0	3,708		3,910
10	KIMCO REALTY CORP			0	698		797
11	KIMCO REALTY CORP			0	644		750
12	KIMCO REALTY CORP (KIM)			0	2,055		2,284
13	KIMCO REALTY CORP			0	1,657		1,694
14	LLOYDS BANKING GRP PLC			0	9,138		8,765
15	MORGAN STANLEY CAP TR III 6.25% PFD			0	1,347		1,402
16	MORGAN STANLEY CAP TR IV PFD			0	1,694		1,748
17	MORGAN STANLEY CAP TR V PFD			0	965		1,028
18	MORGAN STANLEY			0	1,405		1,437
19	MORGAN STANLEY			0	3,125		3,196
20	MORGAN STANLEY			0	3,658		3,724
21	MORGAN STANLEY			0	2,450		2,422
22	NATL RETAIL PTY INC			0	1,860		1,984
23	NATIONAL RETAIL PROPERTI			0	1,481		1,746
24	NEXTERA ENERGY CAPITAL			0	668		739
25	NEXTERA ENERGY CAPITAL			0	943		1,058
26	NEXTERA ENERGY CAPITAL			0	2,490		2,797
27	NEXTERA ENERGY CAP HLDGS			0	954		1,094
28	PNC FINANCIAL SERVICES			0	8,058		8,717
29	PPL CAPITAL FUNDING INC			0	742		822
30	PS BUSINESS PARKS INC			0	838		904
31	PS BUSINESS PARKS INC			0	427		435
32	PROTECTIVE LIFE CORP			0	1,634		1,797
33	PROTECTIVE LIFE CORP			0	839		912
34	PRUDENTIAL FINCL INC			0	1,743		1,953
35	PRUDENTIAL FINANCIAL INC			0	2,159		2,448
36	PUBLIC STORAGE (PSA)			0	2,095		2,210
37	PUBLIC STORAGE PFD SHR			0	651		686
38	PUBLIC STORAGE			0	407		411
39	PUBLIC STORAGE			0	670		763
40	PUBLIC STORAGE (PSA)			0	2,243		2,503
41	PUBLIC STORAGE (PSA)			0	1,083		1,212
42	PUBLIC STORAGE			0	650		736
43	PUBLIC STORAGE			0	1,414		1,421
44	PUBLIC STORAGE			0	394		446
45	PUBLIC STORAGE			0	670		682
46	PUBLIC STORAGE			0	972		1,022

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

Description		Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
47	PUBLIC STORAGE			0	1,554		1,767
48	PS BUSINESS PARKS,INC			0	1,954		2,248
49	PS BUSINESS PARKS INC			0	1,778		1,916
50	QWEST CORP			0	1,999		2,117
51	QWEST CORP (CTL) \$25 PAR			0	2,922		3,096
52	QWEST CORPORATION			0	1,467		1,565
53	QWEST CORPORATION			0	732		778
54	RAYMOND JAMES FINANCIAL			0	2,005		2,129
55	REALTY INCOME			0	3,243		3,409
56	REGENCY CENTERS CORP			0	1,387		1,468
57	REGENCY CENTERS CORP			0	408		469
58	REGIONS FINANCIAL CORP			0	2,131		2,156
59	ROYAL BK SCOTLND GRP PLC			0	505		547
60	ROYAL BK SCOTLAND GROUP			0	1,056		1,143
61	ROYAL BK OF SCOT GRP PLC			0	2,157		2,376
62	ROYAL BK SCOTLAND GROUP PLC			0	5,668		6,285
63	SCANA CORPORATION			0	342		331
64	THE CHARLES SCHWAB			0	2,262		2,381
65	SENIOR HOUSING PPTY			0	1,333		1,590
66	STANLEY BLACK&DECKER			0	2,244		2,475
67	STATE STREET CORP			0	2,060		2,110
68	STATE STREET CORP			0	915		931
69	STATE STREET CORPORATION			0	3,898		3,937
70	TCF FINANCIAL CO			0	1,244		1,258
71	TELEPHONE & DATA SYSTEMS			0	384		394
72	TELEPHONE & DATA SYSTEM			0	699		690
73	TORCHMARK CORP			0	444		475
74	UBS PFD FDG TR IV			0	2,645		2,996
75	US BANCORP			0	629		687
76	U.S. BANCORP			0	1,136		1,265
77	US BANCORP			0	971		949
78	US BANCORP			0	2,765		2,974
79	VENTAS REALTY LP			0	1,935		2,124
80	VERIZON COMM INC (VZ)			0	4,155		4,267
81	VORNADO REALTY TRUST			0	675		759
82	VORNADO REALTY TR			0	916		1,035
83	VORNADO REALTY TR SER J			0	979		1,032
84	WEINGARTEN REALTY			0	453		482
85	WELLS FARGO & COMPANY			0	3,211		3,273
86	WELLS FARGO COMPANY			0	5,054		5,284
87	WELLS FARGO & CO NEW			0	1,018		1,021
88	ARCH CAPITAL GROUP LTD			0	3,029		3,290
89	ASPEN INSURANCE HLDG LTD			0	1,079		1,100
90	ASPEN INSURANCE HLDG LTD			0	1,542		1,591
91	PARTNERRE LTD			0	1,660		1,704
92	PARTNERRE LTD (PRE)SER E			0	1,184		1,199

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
93	RENAISSANCE HLDGS LTD			0	1,705		1,935
94	RENAISSANCE HLDGS LTD			0	761		842
95	AFLAC INC			0	2,319		2,431
96	AEGON NV			0	6,929		7,166
97	AEGON NV			0	1,426		1,597
98	AEGON N.V. (AEG)			0	3,569		3,599
99	AFFILIATED MANAGERS GROU			0	485		488
100	AFFILIATED MANAGERS			0	752		829
101	ALEXANDRIA REAL ESTATE			0	418		466
102	THE ALLSTATE CORP FIXED-			0	465		481
103	ALLSTATE CORP			0	433		475
104	ALLSTATE CORP			0	2,100		2,156
105	ALLSTATE CORP			0	852		874
106	THE ALLSTATE CORPORATION			0	3,464		3,555
107	AMERICAN FINANCIAL			0	752		796
108	AMERICAN FINANCIAL			0	783		843
109	AMERICAN FINANCIAL GROUP			0	1,596		1,608
110	BB&T CORPORATION			0	4,816		5,298
111	BB&T CORPORATION			0	3,581		3,995
112	BANK OF NEW YORK MELLON			0	4,579		4,859
113	BARCLAYS BANK PLC			0	2,561		2,602
114	BARCLAYS BANK PLC			0	3,186		3,226
115	W R BERKLEY CORPORATION			0	1,592		1,758
116	BOSTON PROPERTIES INC			0	559		627
117	CAPITAL ONE FINANCIAL			0	5,551		5,880
118	CAPITAL ONE FINCL CORP			0	2,620		2,650
119	CAPITAL ONE FINANCIAL CO			0	1,413		1,450
120	CITIGROUP INC			0	3,106		3,200
121	CITIGROUP INC			0	4,030		4,093
122	CITIGROUP INC			0	2,092		2,142
123	CITIGROUP CAPITAL XIII			0	3,605		3,509
124	COMCAST CORPORATION			0	1,450		1,622
125	DTE ENERGY CO. (DTE)			0	1,023		1,043
126	DTE ENERGY CO. SER C			0	402		467
127	DB CONT CAP TRST II			0	7,212		7,505
128	DIGITAL REALTY TRUST INC			0	1,323		1,402
129	DIGITAL REALTY TRUST INC			0	953		1,096
130	DIGITAL REALTY TRUST INC			0	1,351		1,411
131	DIGITAL REALTY TRUST INC			0	613		737
132	DUKE ENERGY CORP			0	997		1,145
133	ENERGY ARKANSAS INC			0	746		827
134	ENERGY ARKANSAS INC			0	422		466
135	ENERGY ARKANSAS			0	798		816
136	ENERGY MISSISSIPPI INC			0	846		881
137	ENERGY LOUISIANA LLC			0	1,152		1,162
138	ENERGY LA LLC			0	419		432

0 319,835 0 338,080

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
139	AXIS CAPITAL HLDGS LTD			0	1,355		1,560
140	AXIS CAPITAL HLDGS LTD			0	2,440		2,565
141	PARTNERRE LTD SERIES F			0	1,610		1,852
142	ENTERGY LOUISIANA LLC			0	462		529
143	FIRST NIAGARA FINCL GRP			0	1,105		1,053
144	GENERAL ELECTRIC CAPITAL			0	3,856		4,277
145	GENERAL ELEC CAP CORP			0	3,669		4,118
146	GENERAL ELEC CAP CORP			0	3,467		3,937
147	GOLDMAN SACHS GROUP INC			0	557		549
148	GOLDMAN SACHS GP			0	4,065		4,170
149	THE GOLDMAN SACHS GROUP			0	3,869		3,953
150	GOLDMAN SACHS GROUP INC			0	5,028		5,146
151	GOLDMAN SACHS GRP INC			0	3,391		3,445
152	HSBC HLDGS PLC ADR			0	1,204		1,234
153	HSBC HLDGS PLC			0	9,891		9,725
154	HARTFORD FINL SVCS GRP			0	1,648		1,678
155	HEALTH CARE REIT INC			0	1,856		2,053
156	HOSPITALITY PROP TRUST			0	2,548		2,665
157	ING GROEP N V PERPETUAL DEBT SECS			0	4,282		4,384
158	ING GROEP N V			0	1,358		1,390

0 319,835 0 338,080

Part II, Line 13 (990-PF) - Investments - Other

		0	2,197,322	2,136,259
		0	2,197,322	2,136,259
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year
1				FMV End of Year
2	SPDR TR UTS		0	12,127
3	ARBITRAGE FUNDS CL I		0	266,014
4	BLACKROCK STRATEGIC		0	259,504
5	LORD ABBETT INCOME		0	427,848
6	MAINSTAY MARKETFIELD		0	226,619
7	PERMANENT PORT FD INC PERMANENT		0	231,952
8	TCW CORE FIXED		0	438,167
9	TEMPLETON GLOBAL BD FD ADVISOR CL		0	252,600
10	GABELLI DIVID & INCOME TR		0	20,447
11	GABELLI GLOBAL SMALL AND		0	981

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	6,683
2	Total	2	6,683

Line 5 - Decreases not included in Part III, Line 2

1	BOND AMORTIZATION	1	13
2	PURCHAE OF ACCRUED INTEREST C/O TO NEXT YEAR	2	57
3	Total	3	70

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount				
Short Term CG Distributions										19,556	0			
	Description of Property Sold	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	3,355	1,906,910	2,487	0	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis of Losses
1	DEUTSCHE TELE AG SPN ADR		2/11/2014	7/22/2014	204			0	195	9	0	0	0	0
2	DIAGEO PLC SPSD ADR NEW		2/11/2014	10/30/2014	2,781			0	2,941	-160	0	0	0	-160
3	DIAGEO PLC SPSD ADR NEW		3/28/2014	10/30/2014	463			0	500	-37	0	0	0	-37
4	DIAMOND OFFSHORE DRILING		2/11/2014	8/4/2014	2,765			0	2,682	83	0	0	0	83
5	DIAMOND OFFSHORE DRILING		2/11/2014	8/9/2014	1,234			0	1,234	11	0	0	0	11
6	DIAMOND OFFSHORE DRILING		2/11/2014	6/1/2014	1,295			0	1,317	-22	0	0	0	-22
7	DIGITAL REALTY TRUST INC		2/11/2014	3/21/2014	162			0	154	8	0	0	0	8
8	DIGITAL REALTY TRUST INC		2/11/2014	3/21/2014	142			0	134	8	0	0	0	8
9	DOMINION RESOURCES CLD		2/11/2014	3/20/2014	279			0	234	-5	0	0	0	-5
10	DOMINION RESOURCES CLD		2/11/2014	3/21/2014	279			0	234	-5	0	0	0	-5
11	DOMINION RESOURCES CLD		2/11/2014	5/6/2014	1,988			0	2,028	-40	0	0	0	-40
12	R DONNELLEY SONS		2/11/2014	7/22/2014	516			0	607	147	0	0	0	147
13	GLOBAL PMTS INC GEORGIA		2/11/2014	11/07/2014	754			0	294	4	0	0	0	4
14	THE GOLDMAN SACHS GRP		2/11/2014	3/4/2014	298			0	294	4	0	0	0	4
15	THE GOLDMAN SACHS GRP		2/11/2014	3/5/2014	298			0	294	4	0	0	0	4
16	THE GOLDMAN SACHS GRP		2/11/2014	3/6/2014	368			0	362	4	0	0	0	4
17	THE GOLDMAN SACHS GRP		2/11/2014	3/7/2014	68			0	68	0	0	0	0	0
18	THE GOLDMAN SACHS GRP		2/11/2014	3/14/2014	484			0	475	9	0	0	0	9
19	THE GOLDMAN SACHS GRP		2/11/2014	4/23/2014	324			0	317	7	0	0	0	7
20	THE GOLDMAN SACHS GRP		2/11/2014	4/24/2014	324			0	317	7	0	0	0	7
21	THE GOLDMAN SACHS GRP		2/11/2014	4/30/2014	508			0	498	10	0	0	0	10
22	THE GOLDMAN SACHS GRP		2/11/2014	5/23/2014	330			0	317	13	0	0	0	13
23	THE GOLDMAN SACHS GRP		2/11/2014	5/27/2014	565			0	543	22	0	0	0	22
24	THE GOLDMAN SACHS GRP		2/11/2014	5/27/2014	236			0	226	10	0	0	0	10
25	THE GOLDMAN SACHS GRP		2/11/2014	5/28/2014	24			0	23	1	0	0	0	1
26	GOLDMAN SACHS GROUP INC		2/11/2014	7/2/2014	104			0	101	3	0	0	0	3
27	GOLDMAN SACHS GROUP INC		2/11/2014	7/2/2014	207			0	203	4	0	0	0	4
28	GOLDMAN SACHS GROUP INC		2/11/2014	7/3/2014	26			0	25	1	0	0	0	1
29	GOOGLE INC CL A		2/11/2014	5/22/2014	5,358			0	5,957	-599	0	0	0	-599
30	HSC HDGS PLC		2/11/2014	3/21/2014	1,186			0	1,192	-6	0	0	0	-6
31	HAEWONETICS CORP MASS		4/28/2014	9/19/2014	244			0	213	31	0	0	0	31
32	HALYARD HEALTH INC SHS		4/28/2014	11/4/2014	219			0	245	-26	0	0	0	-26
33	HALYARD HEALTH INC SHS		11/1/2014	11/2/2014	29			0	0	29	0	0	0	29
34	HANGER INC		2/11/201											

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount								
		19,556	0									
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
85. FIFTH ST FIN CORP	31876A103		2/11/2014	7/6/2014	324		0	312	12	0	0	0
86. FINISAR CORPORATION	31787A507		2/11/2014	8/25/2014	869		0	832	-123	0	0	-123
87. FINISAR CORPORATION	31787A507		3/20/2014	8/26/2014	857		0	1,111	-254	0	0	-254
88. FINISAR CORPORATION	31787A507		2/11/2014	8/26/2014	653		0	746	-93	0	0	-93
89. FIRST HORIZON NTNL CORP	320517105		2/11/2014	11/10/2014	502		0	458	44	0	0	44
90. FIRST HORIZON NTNL CORP	320517105		2/11/2014	12/19/2014	617		0	541	76	0	0	76
91. FIRST HORIZON NTNL CORP	320517105		2/11/2014	12/19/2014	493		0	435	58	0	0	58
92. FIRST HORIZON NTNL CORP	320517105		2/11/2014	12/19/2014	799		0	842	-43	0	0	-43
93. FIRST NIAGARA FINL GROUP	33582V108		2/11/2014	12/19/2014	1,079		0	1,131	-52	0	0	-52
94. FIRST NIAGARA FINL GROUP	33582V108		2/11/2014	12/22/2014	465		0	491	-25	0	0	-25
95. FIRST NIAGARA FINL GROUP	33582V207		2/11/2014	12/18/2014	487		0	511	-24	0	0	-24
96. FIRST NIAGARA FINL GRP	33582V207		2/11/2014	12/22/2014	189		0	199	-10	0	0	-10
97. FLEETCOR TECHNOLOGIS INC	339041105		2/11/2014	9/10/2014	1,958		0	1,607	351	0	0	351
98. FLEETCOR TECHNOLOGIS INC	339041105		2/11/2014	9/10/2014	3,353		0	2,755	598	0	0	598
99. FRANKLIN STR PTY'S CORP	35471R106		2/11/2014	9/15/2014	2,588		0	2,85	-7	0	0	-7
100. GNC HOLDINGS INC SHS	36191G107		2/11/2014	9/3/2014	454		0	377	-874	0	0	-874
101. GNC HOLDINGS INC SHS	36191G107		6/30/2014	10/31/2014	681		0	548	133	0	0	133
102. GNC HOLDINGS INC SHS	36191G107		6/30/2014	11/5/2014	210		0	171	39	0	0	39
103. GNC HOLDINGS INC SHS	36191G107		6/30/2014	12/3/2014	372		0	274	98	0	0	98
104. GNC HOLDINGS INC SHS	36191G107		2/12/2014	5/19/2014	199		0	192	7	0	0	7
105. GABELLI DIV & INC TR	36242H104		7/3/2014	7/3/2014	4		0	0	4	0	0	4
106. GABELLI GLOBAL SMALL AND	37427D106		2/11/2014	4/7/2014	453		0	408	45	0	0	45
107. GENWORTH FINL INC COM	37427D106		2/11/2014	4/7/2014	290		0	251	39	0	0	39
108. GENWORTH FINL INC COM	37316104		2/11/2014	2/18/2014	350		0	381	-31	0	0	-31
109. GLATFELTER	37316104		2/11/2014	2/18/2014	175		0	191	-16	0	0	-16
110. GLATFELTER	37316104		2/11/2014	2/21/2014	492		0	540	-48	0	0	-48
111. GLATFELTER	37316104		2/11/2014	11/4/2014	429		0	509	-80	0	0	-80
112. GLATFELTER	37316104		2/11/2014	7/2/2014	434		0	434	0	0	0	0
113. GLAXOSMITHKLINE PLC ADR	373967105		4/3/2014	6/5/2014	99		0	78	21	0	0	21
114. GLOBAL CASH ACCESS	378967103		4/4/2014	6/5/2014	72		0	57	15	0	0	15
115. GLOBAL CASH ACCESS	378967103		4/7/2014	6/5/2014	225		0	176	49	0	0	49
116. GLOBAL PIMTS INC GEORGIA	37940X102		2/11/2014	7/15/2014	1,176		0	1,146	30	0	0	30
117. GLOBAL PIMTS INC GEORGIA	37940X102		2/11/2014	7/15/2014	735		0	674	61	0	0	61

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount			
Short Term CG Distributions										19,556			
										0			
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gain Minus Expense of FMV Over Adjusted Basis or Losses
169 INTEL CORP	458140100		2/11/2014	4/21/2014	1,076		0	984	92	0	0	0	2,487
170 INTEL CORP	458140100		2/12/2014	5/19/2014	247		0	247	0	0	0	0	0
171 INTEL CORP	458140100		2/11/2014	5/21/2014	5		0	5,561	330	0	0	0	11
172 INTERGYS ENERGY GROUP	45922P105		2/11/2014	6/23/2014	3,969		0	3,947	0	0	0	0	330
173 INTL GAME TECHNOLOGY	459902102		2/11/2014	6/23/2014	1,983		0	2,314	1,022	0	0	0	1,022
174 INTERSIL CORP	46095S109		2/11/2014	4/3/2014	443		0	375	68	0	0	0	331
175 INTERSIL CORP	46095S109		2/11/2014	6/13/2014	332		0	250	68	0	0	0	68
176 INTERSIL CORP	46095S109		2/11/2014	6/16/2014	91		0	68	23	0	0	0	82
177 INTERSIL CORP	46095S109		2/11/2014	6/18/2014	242		0	182	60	0	0	0	23
178 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	275		0	197	78	0	0	0	60
179 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	231		0	175	56	0	0	0	78
180 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/29/2014	274		0	197	77	0	0	0	77
181 JPMORGAN CHASE & CO	48126E750		2/11/2014	3/4/2014	521		0	513	8	0	0	0	8
182 JPMORGAN CHASE & CO	48126E750		2/11/2014	3/5/2014	152		0	150	2	0	0	0	2
183 JPMORGAN CHASE & CO	48126E750		2/11/2014	3/14/2014	362		0	363	-1	0	0	0	-1
184 JPMORGAN CHASE & CO	48126E750		2/11/2014	3/17/2014	129		0	128	1	0	0	0	1
185 KLA TENCOR CORP PV\$0.001	482480100		2/11/2014	4/11/2014	1,647		0	1,596	51	0	0	0	51
186 LORILLARD INC	544147101		2/11/2014	7/2/2014	673		0	553	120	0	0	0	120
187 M T S SYSTEMS CORP COM	553777103		2/11/2014	7/2/2014	140		0	144	-4	0	0	0	-4
188 M T S SYSTEMS CORP COM	553777103		2/11/2014	7/2/2014	70		0	72	0	0	0	0	0
189 M T S SYSTEMS CORP COM	553777103		2/11/2014	7/2/2014	140		0	144	0	0	0	0	0
190 M T S SYSTEMS CORP COM	553777103		2/11/2014	9/3/2014	1,584		0	1,580	-18	0	0	0	-18
191 MASIMO CORP	574795100		2/11/2014	11/20/2014	416		0	466	-50	0	0	0	-50
192 MASIMO CORP	57686S105		2/11/2014	9/19/2014	109		0	101	8	0	0	0	8
193 MATTEL INC	577081102		2/11/2014	7/2/2014	314		0	295	19	0	0	0	19
194 MERCADOLIBRE INC	58733R102		2/11/2014	6/5/2014	1,630		0	2,114	-184	0	0	0	-184
195 MERCADOLIBRE INC	58733R102		2/11/2014	6/25/2014	1,543		0	1,634	-91	0	0	0	-91
196 MERCK AND CO INC SHS	58933V105		2/11/2014	7/2/2014	707		0	659	38	0	0	0	38
197 MET LIFE INC	59156R603		2/11/2014	3/26/2014	151		0	152	-1	0	0	0	-1
198 MET LIFE INC	59156R603		2/11/2014	3/27/2014	101		0	101	0	0	0	0	0
199 MET LIFE INC	59156R603		2/11/2014	3/28/2014	76		0	76	0	0	0	0	0
200 MET LIFE INC	59156R603		2/11/2014	3/31/2014	354		0		0	0	0	0	0
201 MET LIFE INC	59156R603		2/11/2014	4/10/2014			0		0	0	0	0	0
202 MET LIFE INC	59156R603		2/11/2014	4/11/2014	50		0	354	0	0	0	0	0
203 MICROCHIP TECHNOLOGY INC	595017104		2/11/2014	7/2/2014	495		0	443	52	0	0	0	52
204 MOMENTA PHARMIA INC	608771100		2/11/2014	11/21/2014	264		0	411	-147	0	0	0	-147
205 MOMENTA PHARMIA INC	608771100		2/11/2014	11/24/2014	162		0	250	-88	0	0	0	-88
206 MOMENTA PHARMIA INC	608771100		2/11/2014	11/25/2014	209		0	322	-113	0	0	0	-113
207 MOMENTA PHARMIA INC	608771100		2/11/2014	11/26/2014	71		0	107	-36	0	0	0	-36
208 MONDELEZ INTERNATIONAL	609207105		2/12/2014	5/19/2014	1,688		0	1,505	183	0	0	0	183
209 MORGAN STANLEY	617448448		2/11/2014	4/1/2014	3,637		0	3,559	78	0	0	0	78
210 MORGAN STANLEY	617448448		2/11/2014	6/4/2014	4,408		0	4,350	58	0	0	0	58
211 MORGAN STANLEY	617460209		2/11/2014	7/17/2014	324		0	318	6	0	0	0	6
212 MORGAN STANLEY CP TR III	617460209		2/11/2014	7/18/2014	174		0	171	3	0	0	0	3
213 MORGAN STANLEY CP TR III	617460209		2/11/2014	7/21/2014	100		0	98	2	0	0	0	2
214 MORGAN STANLEY CP TR III	617460209		2/11/2014	10/20/2014	201		0	196	5	0	0	0	5
215 MORGAN STANLEY CP TR III	617460209		2/11/2014	10/21/2014	228		0	220	6	0	0	0	6
216 MORGAN STANLEY CP TR III	617460209		2/11/2014	10/22/2014	125		0	122	3	0	0	0	3
217 MORGAN STANLEY CP TR III	617460209		2/11/2014	10/23/2014	125		0	122	3	0	0	0	3
218 MORGAN STANLEY CAP TR VI	617461207		3/4/2014	4/22/2014	126		0	126	0	0	0	0	0
219 MORGAN STANLEY CAP TR VI	617461207		3/5/2014	4/22/2014	50		0	51	-1	0	0	0	-1
220 MORGAN STANLEY CAP TR VI	617461207		3/6/2014	4/22/2014	101		0	101	0	0	0	0	0
221 MORGAN STANLEY CAP TR VI	617461207		3/7/2014	4/22/2014	201		0	203	-2	0	0	0	-2
222 MORGAN STANLEY CAP TR VI	617461207		3/10/2014	4/22/2014	50		0	51	-1	0	0	0	-1
223 MORGAN STANLEY CAP TR VI	617461207		3/11/2014	4/22/2014	75		0	76	-1	0	0	0	-1
224 MORGAN STANLEY CAP TR VI	617461207		3/12/2014	4/22/2014	50		0	51	-1	0	0	0	-1
225 MORGAN STANLEY CAP TR IV	617462205		2/11/2014	7/15/2014	100		0	98	2	0	0	0	2
226 MORGAN STANLEY CAP TR IV	617462205		2/11/2014	7/22/2014	175		0	172	3	0	0	0	3
227 INTERSIL CORP	46095S109		2/11/2014	6/23/2014	628		0	454	172	0	0	0	172
228 INTERSIL CORP	46095S109		2/11/2014	9/3/2014	169		0	125	44	0	0	0	44
229 INVESTORS BANK CORP INC	461461101		2/19/2014	5/28/2014	9		0	9	0	0	0	0	0
230 JPMORGAN CHASE & CO	46625H100		2/11/2014	7/13/2014	638		0	633	5	0	0	0	5
231 JPMORGAN CHASE & CO	46617G124		2/11/2014	3/4/2014	280		0	277	3	0	0	0	3
232 JPMORGAN CHASE & CO	46617G124		2/11/2014	3/5/2014	150		0	149	1	0	0	0	1
233 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	2/26/2014	1,141		0	1,128	15	0	0	0	15
234 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	2/27/2014	868		0	863	5	0	0	0	5
235 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	74		0	55	19	0	0	0	19
236 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	205		0	153	52	0	0	0	52
237 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	1,918		0	1,399	519	0	0	0	519
238 JANUS CAPITAL GROUP INC	47102X105		2/11/2014	9/26/2014	216		0	164	52	0	0	0	52
239 KIX INC SHS	482539103		12/31/2014	12/31/2014	21		0	21	0	0	0	0	0
240 KIMBERLY CLARK	494368103		2/11/2014	7/2/2014	334		0	327	7	0	0	0	7
241 L3 COMMUNITCS HLDGS	502424104		2/11/2014	9/19/2014	6,879		0	6,784	95	0	0	0	95
242 LENNAR CORP	526057104		2/11/2014	10/31/2014	5,468		0	5,188	282	0	0	0	282
243 LOCKHEED MARTIN CORP	539830109		2/11/2014	9/10/2014	2,165		0	2,216	-51	0	0	0	-51
244 LOCKHEED MARTIN CORP	539830109		2/11/2014	9/10/2014	2,441		0	2,216	225	0	0	0	225
245 LORD ABBETT SHORT	543916484		2/12/2014	2/26/2014	1		0	1	0	0	0	0	0
246 LORD ABBETT SHORT	543916484		2/12/2014	2/26/2014	2		0	2	0	0	0	0	0
247 LORD ABBETT SHORT	543916484		2/12/2014	2/26/2014	486,342		0	465,319	1,023	0	0	0	1,023
248 LORD ABBETT SHORT	543916484		2/12/2014	2/26/2014	2		0	2	0	0	0	0	0
249 LORD ABBETT SHORT	543916484		2/12/2014	12/23/2014	428,354		0	435,936	-9,582	0	0	0	-9,582
250 STATE STREET CORP	857477103		2/11/2014	12/31/2014	4,883		0	4,909	-26	0	0	0	-26
251 SUNSTONE HOTEL INVS INC	867692101		2/11/2014	5/2/2014	239		0	239	24	0	0	0	24
252 SUNSTONE HOTEL INVS INC	867692101		2/11/2014	5/2/2014	304		0	278	26	0	0	0	26

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Long Term CG Distributions		Short Term CG Distributions		Amount								
		19,556	0									
Description of Property Sold	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses
353 SUNSTONE HOTEL INVS INC		2/11/2014	5/23/2014	742		0	690	52	0	0	0	2,487
354 SUNSTONE HOTEL INVS INC		2/11/2014	5/27/2014	333		0	305	28	0	0	0	0
355 SUNSTONE HOTEL INVS INC		2/11/2014	6/5/2014	301		0	265	36	0	0	0	0
356 SUNSTONE HOTEL INVS INC		2/11/2014	5/29/2014	278		0	252	26	0	0	0	0
357 SUNSTONE HOTEL INVS INC		2/11/2014	6/2/2014	309		0	278	31	0	0	0	0
358 SUNSTONE HOTEL INVS INC		2/11/2014	6/4/2014	327		0	292	35	0	0	0	0
359 SUNSTONE HOTEL INVS INC		2/11/2014	8/14/2014	290		0	265	25	0	0	0	0
360 SUNSTONE HOTEL INVS INC		2/11/2014	8/13/2014	337		0	305	32	0	0	0	0
361 SUNSTONE HOTEL INVS INC		2/11/2014	10/28/2014	285		0	252	33	0	0	0	0
362 SUNSTONE HOTEL INVS INC		2/11/2014	12/9/2014	624		0	504	120	0	0	0	0
363 SUNSTONE HOTEL INVS INC		2/11/2014	12/12/2014	167		0	133	34	0	0	0	0
364 SUNSTONE HOTEL INVS INC		3/27/2014	12/12/2014	217		0	178	39	0	0	0	0
365 AG MGT INVT TR INC		2/11/2014	7/29/2014	75		0	68	7	0	0	0	0
366 AOL INC		2/11/2014	7/30/2014	150		0	136	14	0	0	0	0
367 AOL INC		2/11/2014	6/9/2014	2,819		0	3,485	-666	0	0	0	0
368 ABBVIE INC SHS		02/28/71/09	7/22/2014	580		0	500	80	0	0	0	0
369 AFFILIATED MANAGERS GRP		02/28/71/09	7/22/2014	3,725		0	3,432	293	0	0	0	0
370 ALLERGAN INC		2/11/2014	4/73/2014	3,768		0	2,825	943	0	0	0	0
371 ALLERGAN INC		2/11/2014	5/5/2014	338		0	246	92	0	0	0	0
372 ALLERGAN INC		2/11/2014	5/12/2014	794		0	614	180	0	0	0	0
373 ALLIANCE DATA SYS CORP		2/11/2014	3/12/2014	4,272		0	4,127	145	0	0	0	0
374 ALLIANCE DATA SYS CORP		2/11/2014	3/13/2014	1,991		0	1,926	65	0	0	0	0
375 ALLIANT SE SPD ADR		4/28/2014	9/26/2014	1,540		0	1,624	-84	0	0	0	0
376 ALLIANT SE SPD ADR		4/30/2014	9/26/2014	1,507		0	1,626	-119	0	0	0	0
377 ALLIANT SE SPD ADR		4/29/2014	9/26/2014	1,523		0	1,631	-108	0	0	0	0
378 ALTRIA GROUP INC		2/11/2014	7/22/2014	964		0	812	152	0	0	0	0
379 AMAZON COM INC COM		2/11/2014	10/24/2014	4,327		0	5,451	-1,124	0	0	0	0
380 SUNSTONE HOTEL INVS INC		3/12/2014	12/12/2014	183		0	158	25	0	0	0	0
381 SVENSKA HANDELSBANKEN AB		2/11/2014	10/8/2014	1,253		0	1,404	-151	0	0	0	0
382 SWIFT ENERGY CO		2/11/2014	3/12/2014	584		0	664	-80	0	0	0	0
383 SWIFT ENERGY CO		2/11/2014	3/24/2014	502		0	570	-68	0	0	0	0
384 SWIFT ENERGY CO		2/11/2014	3/25/2014	261		0	285	-24	0	0	0	0
385 SWIFT ENERGY CO		2/11/2014	6/12/2014	378		0	380	-2	0	0	0	0
386 SWIFT ENERGY CO		2/11/2014	6/13/2014	155		0	154	1	0	0	0	0
387 SWIFT ENERGY CO		2/11/2014	6/20/2014	687		0	653	34	0	0	0	0
388 MOTOROLA SOLUTIONS INC		2/11/2014	5/14/2014	3,771		0	3,652	119	0	0	0	0
389 MOTOROLA SOLUTIONS INC		2/11/2014	5/15/2014	1,005		0	978	27	0	0	0	0
390 MORGAN STANLEY CAP VII		2/11/2014	4/7/2014	75		0	75	0	0	0	0	0
391 MOTOROLA SOLUTIONS INC		2/11/2014	6/20/2014	4,166		0	4,044	122	0	0	0	0
392 MOTOROLA SOLUTIONS INC		2/11/2014	6/23/2014	671		0	652	19	0	0	0	0
393 MOTOROLA SOLUTIONS INC		2/11/2014	8/29/2014	4,686		0	5,152	-466	0	0	0	0
394 NATIONAL GRID PLC SP ADR		2/11/2014	7/22/2014	377		0	336	41	0	0	0	0
395 NATIONAL GRID PLC SP ADR		2/11/2014	9/30/2014	7,912		0	7,084	828	0	0	0	0
396 NOVARTIS ADR		2/11/2014	2/16/2014	1,580		0	1,540	40	0	0	0	0
397 NOVARTIS ADR		2/11/2014	3/32/2014	1,555		0	1,540	15	0	0	0	0
398 NOV INC SHS		2/11/2014	6/17/2014	856		0	797	59	0	0	0	0
399 OCEANEERING INTL INC		2/11/2014	12/16/2014	820		0	973	-153	0	0	0	0
400 OMNICOM GROUP COM		2/11/2014	2/13/2014	6,717		0	6,686	31	0	0	0	0
401 ORACLE CORP \$0.01 DEL		2/11/2014	9/30/2014	3,676		0	3,647	29	0	0	0	0
402 PBF ENERGY INC CL A		2/11/2014	2/11/2014	94		0	74	20	0	0	0	0
403 PBF ENERGY INC CL A		2/11/2014	5/7/2014	388		0	322	66	0	0	0	0
404 SWIFT ENERGY CO		2/11/2014	6/12/2014	453		0	878	-425	0	0	0	0
405 STOKES ENTERPRISES INC		2/11/2014	11/11/2014	187		0	162	25	0	0	0	0
406 SWISSCOM AG ADR		2/11/2014	11/7/2014	754		0	732	22	0	0	0	0
407 SYMETRA FINANCIAL CORP		2/11/2014	9/5/2014	1,414		0	1,107	307	0	0	0	0
408 SYMETRA FINANCIAL CORP		2/11/2014	9/17/2014	475		0	382	93	0	0	0	0
409 SYMETRA FINANCIAL CORP		2/11/2014	9/18/2014	333		0	267	66	0	0	0	0
410 TELEPHONE & DATA SYSTEMS		2/11/2014	9/26/2014	433		0	407	26	0	0	0	0
411 TELEPHONE & DATA SYSTEMS		2/11/2014	11/26/2014	230		0	215	15	0	0	0	0
412 TELEPHONE & DATA SYSTEMS		2/11/2014	11/28/2014	91		0	86	5	0	0	0	0
413 TELEPHONE & DATA SYSTEMS		2/11/2014	12/19/2014	89		0	86	3	0	0	0	0
414 TELEPHONE & DATA SYSTEMS		2/11/2014	12/22/2014	89		0	86	3	0	0	0	0
415 TELEPHONE & DATA SYSTEMS		2/11/2014	12/24/2014	44		0	43	1	0	0	0	0
416 TELEPHONE & DATA SYSTEMS		2/11/2014	12/24/2014	22		0	21	1	0	0	0	0
417 TELEPHONE & DATA SYSTEMS		2/11/2014	12/26/2014	44		0	43	1	0	0	0	0
418 TELEPHONE & DATA SYSTEMS		2/11/2014	12/26/2014	44		0	43	1	0	0	0	0
419 TELEPHONE & DATA SYSTEMS		2/11/2014	12/29/2014	132		0	129	3	0	0	0	0
420 TELEPHONE & DATA SYSTEMS		2/11/2014	12/31/2014	177		0	175	2	0	0	0	0
421 TELEPHONE & DATA SYSTEM		2/11/2014	8/26/2014	126		0	125	1	0	0	0	0
422 TELEPHONE & DATA SYSTEM		2/11/2014	8/27/2014	76		0	75	1	0	0	0	0
423 TELEPHONE & DATA SYSTEM		2/11/2014	8/28/2014	327		0	325	2	0	0	0	0
424 TELEPHONE & DATA SYSTEM		2/11/2014	8/26/2014	247		0	245	2	0	0	0	0
425 TELEPHONE & DATA SYSTEM		2/11/2014	8/27/2014	99		0	98	1	0	0	0	0
426 TELEPHONE & DATA SYSTEM		2/11/2014	11/19/2014	75		0	73	2	0	0	0	0
427 TELEPHONE & DATA SYSTEM		2/11/2014	11/21/2014	251		0	245	6	0	0	0	0
428 TENET HEALTHCARE CORP		8/29/2014	12/26/2014	2,056		0	2,462	-406	0	0	0	0
429 TENET HEALTHCARE CORP		8/29/2014	12/29/2014	563		0	677	-114	0	0	0	0
430 TENA SPA/UNSPONSORED		2/11/2014	7/22/2014	683		0	656	27	0	0	0	0
431 TESORO CORP		2/11/2014	6/23/2014	557		0	441	116	0	0	0	0
432 TESORO CORP		2/11/2014	7/15/2014	487		0	441	46	0	0	0	0
433 AMAZON COM INC COM		2/11/2014	12/22/2014	327		0	363	-36	0	0	0	0
434 AMER EAGLE OUTFITTERS		2/11/2014	8/19/2014	368		0	442	-74	0	0	0	0
435 AMER EAGLE OUTFITTERS		2/11/2014	8/19/2014	184		37	221	0	0	0	0	0
436 AMER EAGLE OUTFITTERS		2/11/2014	12/15/2014	551		0	567	-16	0	0	0	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Amount	
Short Term CG Distributions													0	
CUSIP #	Description of Property Sold	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	3,355	1,906,910	2,487	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses
337 AMER EAGLE OUTFITTERS	AMER EAGLE OUTFITTERS		2/11/2014	12/31/2014	308		0	0	304	4	0	0	0	4
338 AMERIPRISE FINANCIAL CLD	AMERIPRISE FINANCIAL CLD		2/11/2014	3/20/2014	102		0	0	104	-2	0	0	0	-2
339 AMERIPRISE FINANCIAL CLD	AMERIPRISE FINANCIAL CLD		2/11/2014	3/21/2014	153		0	0	156	-3	0	0	0	-3
340 AMERIPRISE FINANCIAL CLD	AMERIPRISE FINANCIAL CLD		2/11/2014	3/24/2014	228		0	0	234	-5	0	0	0	-5
341 AMERIPRISE FINANCIAL CLD	AMERIPRISE FINANCIAL CLD		2/11/2014	3/25/2014	152		0	0	156	-4	0	0	0	-4
342 APACHE CORP	APACHE CORP		2/11/2014	4/15/2014	4,554		0	0	4,489	65	0	0	0	65
343 APACHE CORP	APACHE CORP		2/11/2014	8/2/2014	1,376		0	0	1,143	233	0	0	0	233
344 APOLLO INVESTMENT CORP	APOLLO INVESTMENT CORP		2/11/2014	7/2/2014	201		0	0	202	-1	0	0	0	-1
345 APOLLO INVESTMENT CORP	APOLLO INVESTMENT CORP		2/11/2014	7/3/2014	79		0	0	79	0	0	0	0	0
346 APOLLO INVESTMENT CORP	APOLLO INVESTMENT CORP		2/11/2014	7/7/2014	382		0	0	387	-5	0	0	0	-5
347 APOLLO INVESTMENT CORP	APOLLO INVESTMENT CORP		2/11/2014	7/9/2014	262		0	0	264	-2	0	0	0	-2
348 AMERIPRISE FINANCIAL CLD	AMERIPRISE FINANCIAL CLD		2/11/2014	8/15/2014	950		0	0	984	-34	0	0	0	-34
349 ANHEUSER-BUSCH INBEV ADR	ANHEUSER-BUSCH INBEV ADR		2/11/2014	9/15/2014	2,057		0	0	1,796	261	0	0	0	261
350 ANHEUSER-BUSCH INBEV ADR	ANHEUSER-BUSCH INBEV ADR		2/11/2014	9/16/2014	1,931		0	0	1,696	235	0	0	0	235
351 APOLLO COML REAL ESTATE	APOLLO COML REAL ESTATE		2/11/2014	7/30/2014	267		0	0	285	2	0	0	0	2
352 APOLLO COML REAL ESTATE	APOLLO COML REAL ESTATE		2/11/2014	9/15/2014	50		0	0	50	0	0	0	0	0
353 APOLLO COML REAL ESTATE	APOLLO COML REAL ESTATE		2/11/2014	9/17/2014	132		0	0	132	0	0	0	0	0
354 APOLLO COML REAL ESTATE	APOLLO COML REAL ESTATE		2/11/2014	9/18/2014	33		0	0	33	0	0	0	0	0
355 APOLLO COML REAL ESTATE	APOLLO COML REAL ESTATE		2/11/2014	9/19/2014	17		0	0	17	-1	0	0	0	-1
356 APPLE INC	APPLE INC		2/11/2014	5/21/2014	6,031		0	0	5,375	656	0	0	0	656
357 APPLE INC	APPLE INC		2/11/2014	9/10/2014	2,394		0	0	1,844	550	0	0	0	550
358 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	2/28/2014	1,707		0	0	1,641	66	0	0	0	66
359 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	3/3/2014	1,401		0	0	1,378	23	0	0	0	23
360 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	4/21/2014	1,726		0	0	1,641	85	0	0	0	85
361 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	9/4/2014	459		0	0	343	116	0	0	0	116
362 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	6/6/2014	2,567		0	0	2,799	-232	0	0	0	-232
363 ASTRAZENECA PLC SPND ADR	ASTRAZENECA PLC SPND ADR		2/11/2014	10/24/2014	943		0	0	876	67	0	0	0	67
364 ATHENAHEALTH INC	ATHENAHEALTH INC		2/11/2014	11/24/2014	524		0	0	438	86	0	0	0	86
365 ATHENAHEALTH INC	ATHENAHEALTH INC		2/11/2014	21/2/2014	368		0	0	350	-18	0	0	0	-18
366 AUTOMATIC DATA PROC	AUTOMATIC DATA PROC		2/11/2014	2/24/2014	1,197		0	0	1,092	105	0	0	0	105
367 AUTOMATION INC	AUTOMATION INC		2/11/2014	7/22/2014	4,00		0	0	374	26	0	0	0	26
368 AUTOMATION INC	AUTOMATION INC		2/11/2014	8/29/2014	2,773		0	0	2,626	147	0	0	0	147
369 AUTOZONE INC NEVADA COM	AUTOZONE INC NEVADA COM		2/11/2014	9/22/2014	218		0	0	206	12	0	0	0	12
370 TESORO CORP	TESORO CORP		2/11/2014	4/15/2014	5,647		0	0	5,922	-275	0	0	0	-275
371 TESORO CORP	TESORO CORP		2/11/2014	8/6/2014	437		0	0	343	94	0	0	0	94
372 TESORO CORP	TESORO CORP		2/11/2014	8/13/2014	635		0	0	490	145	0	0	0	145
373 TIBCO SOFTWARE INC	TIBCO SOFTWARE INC		2/11/2014	9/4/2014	459		0	0	343	116	0	0	0	116
374 TIFANY & CO NEW	TIFANY & CO NEW		2/11/2014	6/6/2014	2,567		0	0	2,799	-232	0	0	0	-232
375 TIFANY & CO NEW	TIFANY & CO NEW		2/11/2014	10/24/2014	943		0	0	876	67	0	0	0	67
376 TIME WARNER INC SHS	TIME WARNER INC SHS		2/11/2014	11/24/2014	524		0	0	438	86	0	0	0	86
377 TOTAL SA	TOTAL SA		2/11/2014	7/22/2014	433		0	0	358	75	0	0	0	75
378 TRAVELERS COS INC	TRAVELERS COS INC		2/11/2014	4/3/2014	14,757		0	0	14,519	238	0	0	0	238
379 UNITED RENTALS INC COM	UNITED RENTALS INC COM		2/11/2014	7/22/2014	1,498		0	0	1,152	346	0	0	0	346
380 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	8/6/2014	477		0	0	503	-26	0	0	0	-26
381 US CELLULAR CORP (USM)	US CELLULAR CORP (USM)		2/11/2014	7/16/2014	152		0	0	150	2	0	0	0	2
382 US CELLULAR CORP (USM)	US CELLULAR CORP (USM)		2/11/2014	7/17/2014	101		0	0	100	1	0	0	0	1
383 US CELLULAR CORP (USM)	US CELLULAR CORP (USM)		2/11/2014	7/18/2014	100		0	0	100	0	0	0	0	0
384 US CELLULAR CORP (USM)	US CELLULAR CORP (USM)		2/11/2014	7/19/2014	25		0	0	25	0	0	0	0	0
385 US CELLULAR CORP (USM)	US CELLULAR CORP (USM)		2/11/2014	7/22/2014	50		0	0	50	0	0	0	0	0
386 V F CORPORATION	V F CORPORATION		2/12/2014	5/19/2014	631		0	0	596	35	0	0	0	35
387 VALE SA	VALE SA		2/13/2014	3/1/2014	10,045		0	0	11,188	-1,143	0	0	0	-1,143
388 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/13/2014	8/7/2014	604		0	0	629	-25	0	0	0	-25
389 VERIZON COMMUNICATNS COM	VERIZON COMMUNICATNS COM		2/28/2014	2/28/2014	28		0	0	0	37	0	0	0	37
390 VERIZON COMMUNICATNS COM	VERIZON COMMUNICATNS COM		2/28/2014	2/28/2014	28		0	0	0	28	0	0	0	28
391 VERIZON COMMUNICATNS COM	VERIZON COMMUNICATNS COM		2/28/2014	5/19/2014	4,728		0	0	4,625	103	0	0	0	103
392 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	8/15/2014	1,064		0	0	1,069	-5	0	0	0	-5
393 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	8/16/2014	509		0	0	503	6	0	0	0	6
394 VERIZON COMMUNICATNS COM	VERIZON COMMUNICATNS COM		2/11/2014	7/22/2014	844		0	0	806	38	0	0	0	38
395 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	8/16/2014	888		0	0	880	8	0	0	0	8
396 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	10/23/2014	367		0	0	377	-10	0	0	0	-10
397 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	10/24/2014	370		0	0	377	-7	0	0	0	-7
398 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	10/27/2014	435		0	0	440	-5	0	0	0	-5
399 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	10/28/2014	629		0	0	629	0	0	0	0	0
400 VERISK ANALYTICS INC	VERISK ANALYTICS INC		2/11/2014	10/29/2014	430		0	0	440	-10	0	0	0	-10
401 VINCI SA	VINCI SA		2/11/2014	7/22/2014	388		0	0	377	11	0	0	0	11
402 VITAMIN SHOPPE INC	VITAMIN SHOPPE INC		7/25/2014	1/15/2014	235		0	0	217	18	0	0	0	18
403 VITAMIN SHOPPE INC	VITAMIN SHOPPE INC		7/25/2014	1/17/2014	325		0	0	303	22	0	0	0	22
404 VITAMIN SHOPPE INC	VITAMIN SHOPPE INC		7/25/2014	1/17/2014	289		0	0	260	29	0	0	0	29
405 VITAMIN SHOPPE INC	VITAMIN SHOPPE INC		7/25/2014	1/24/2014	337		0	0	303	34	0	0	0	34
406 VIVENDI SHS UNSP ADR	VIVENDI SHS UNSP ADR		2/12/2014	7/22/2014	732		0	0	765	-33	0	0	0	-33
407 VMWARE INC	VMWARE INC		2/12/2014	5/19/2014	503		0	0	561	-58	0	0	0	-58
408 AXA SPONS ADR	AXA SPONS ADR		2/12/2014	7/19/2014	7,729		0	0	9,052	-1,323	0	0	0	-1,323
409 BB & T CORPORATION	BB & T CORPORATION		2/12/2014	3/4/2014	124		0	0	124	0	0	0	0	0
410 BB & T CORPORATION	BB & T CORPORATION		2/12/2014	3/5/2014	124		0	0	124	0	0	0	0	0
411 BB & T CORPORATION	BB & T CORPORATION		2/11/2014	3/6/2014	123		0	0	124	-1	0	0	0	-1
412 BB & T CORPORATION	BB & T CORPORATION		2/11/2014	3/7/2014	81		0	0	83	-2	0	0	0	-2
413 BB & T CORPORATION	BB & T CORPORATION		2/11/2014	3/10/2014	101		0	0	103	-2	0	0	0	-2
414 BB & T CORPORATION	BB & T CORPORATION		2/11/2014	3/11/2014	41		0	0	41	0	0	0	0	0
415 BAE SYS PLC SPN ADR	BAE SYS PLC SPN ADR		2/11/2014	7/22/2014	498		0	0	493	5	0	0	0	5
416 BASF SE SPONSORED ADR	BASF SE SPONSORED ADR		2/11/2014	7/22/2014	819		0	0	768	51	0	0	0	51
417 BCE INC	BCE INC		2/11/2014	7/22/2014	471		0	0	471	26	0	0	0	26
418 BARCLAYS BANK PLC	BARCLAYS BANK PLC		2/11/2014	5/29/2014	587		0	0	590	-3	0	0	0	-3
419 BARCLAYS BANK PLC	BARCLAYS BANK PLC		2/11/2014	5/30/2014	77		0	0	77	0	0	0	0	0
420 BARCLAYS BANK PLC	BARCLAYS BANK PLC		2/11/2014	7/11/2014	207		0	0	205	2	0	0	0	2

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions									
Amount										Amount									
Description of Property Sold										Description of Property Sold									
CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adj. Basis or Losses							
421 BARCLAY'S BANK PLC	06739H511	2/11/2014	7/14/2014	153			154	1				2,487							
422 BARCLAY'S BANK PLC	06739H511	2/11/2014	7/15/2014	103			103					0							
423 BARCLAY'S BANK PLC	06739H511	2/11/2014	7/16/2014	51			51					0							
424 BARCLAY'S BANK PLC	06739H511	2/11/2014	9/23/2014	154			154					0							
425 BARCLAY'S BANK PLC	06739H511	2/11/2014	9/24/2014	154			154					0							
426 BARCLAY'S BANK PLC	06739H511	2/11/2014	9/25/2014	102			103	-1				-1							
427 BARCLAY'S BANK PLC	06739H511	2/11/2014	9/26/2014	51			51					0							
428 BARCLAY'S BANK PLC	06739H511	2/11/2014	9/29/2014	51			51					0							
429 BARCLAY'S BANK PLC	06739H511	2/11/2014	10/21/2014	205			205					0							
430 BARCLAY'S BANK PLC	06739H511	2/11/2014	10/22/2014	181			180	1				1							
431 BARCLAY'S BANK PLC	06739H511	2/11/2014	10/23/2014	284			282	2				2							
432 BARCLAY'S BANK PLC	06739H511	2/11/2014	10/24/2014	26			26					0							
433 BCBN BANCORP INC	073295107	2/11/2014	11/17/2014	443			474	-31				-31							
434 B/E AEROSPACE INC	073302101	2/11/2014	11/17/2014	2,212			2,085	127				127							
435 B/E AEROSPACE INC	073302101	2/11/2014	5/5/2014	593			481	112				112							
436 B/E AEROSPACE INC	073302101	2/11/2014	5/5/2014	1,087			882	205				205							
437 BRINKER INTL INC	109641100	2/11/2014	11/1/2014	388			351	35				35							
438 BRINKER INTL INC	109641100	2/11/2014	11/13/2014	495			451	44				44							
439 BRINKER INTL INC	109641100	2/11/2014	12/15/2014	504			451	53				53							
440 BRINKER INTL INC	109641100	2/11/2014	12/15/2014	508			451	57				57							
441 BROADRIDGE FINL	111331103	2/11/2014	6/2/2014	497			448	49				49							
442 PPL CORPORATION	693511108	2/11/2014	7/2/2014	411			375	36				36							
443 PAREXEL INTRNL CORP	699482107	2/11/2014	12/5/2014	1,238			1,054	182				182							
444 PAREXEL INTRNL CORP	699482107	2/11/2014	12/5/2014	1,160			1,004	156				156							
445 PEARSON SPONSORED ADR	705015105	2/11/2014	7/2/2014	254			245	9				9							
446 PEARSON SPONSORED ADR	705015105	2/11/2014	7/2/2014	177			170	7				7							
447 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	2/25/2014	561			532	29				29							
448 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	5/2/2014	554			501	53				53							
449 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	5/2/2014	461			407	54				54							
450 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	6/2/2014	292			250	42				42							
451 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	6/2/2014	185			157	28				28							
452 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	6/25/2014	74			63	11				11							
453 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	6/27/2014	148			125	23				23							
454 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	7/7/2014	593			501	92				92							
455 PEBBLEBROOK HOTEL TRUST	705059V100	2/11/2014	7/10/2014	113			94	19											

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Amount	
Short Term CG Distributions													19	598
	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	
595 WILEY JOHN & SONS CL A	986223206		2/11/2014	2/24/2014	285			281	5	0	0	0	2,487	
596 WILEY JOHN & SONS CL A	986223206		2/11/2014	7/2/2014	427			393	34	0	0	0	34	
597 WILSON WORLDWIDE CORP W	977177104		2/11/2014	6/4/2014	1,682			2,250	-568	0	0	0	-568	
598 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	7/2/2014	912			912	0	0	0	0	92	
599 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	9/4/2014	1,084			351	57	0	0	0	57	
600 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	9/8/2014	318			210	36	0	0	0	36	
601 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	9/8/2014	318			281	47	0	0	0	47	
602 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	1/8/2014	424			351	73	0	0	0	73	
603 WANDHAM WORLDWIDE CORP W	983104108		2/11/2014	1/8/2014	960			953	77	0	0	0	77	
604 VARA INTL ASA SP ADR	984851204		2/11/2014	10/7/2014	1,187			1,277	90	0	0	0	90	
605 VARA INTL ASA SP ADR	984851204		2/11/2014	10/7/2014	1,351			1,261	90	0	0	0	90	
606 VARA INTL ASA SP ADR	984851204		2/11/2014	10/7/2014	476			481	-5	0	0	0	-5	
607 JAZZ PHARMACEUTICALS PLC	D168689123		2/11/2014	7/2/2014	1,347			1,255	92	0	0	0	92	
608 KNO FINL GROUP INC	G50871105		2/11/2014	3/19/2014	430			401	29	0	0	0	29	
609 CYS INVESTMENTS INC	12673A108		2/11/2014	2/19/2014	265			256	9	0	0	0	9	
610 CYS INVESTMENTS INC	12673A108		2/11/2014	2/26/2014	220			214	6	0	0	0	6	
611 CYS INVESTMENTS INC	12673A108		2/11/2014	2/26/2014	589			573	16	0	0	0	16	
612 CYS INVESTMENTS INC	12673A108		2/11/2014	3/18/2014	403			393	10	0	0	0	10	
613 CYS INVESTMENTS INC	12673A108		2/11/2014	3/25/2014	779			804	-25	0	0	0	-25	
614 CYS INVESTMENTS INC	12673A108		2/11/2014	5/22/2014	931			889	42	0	0	0	42	
615 CYS INVESTMENTS INC	12673A108		2/11/2014	6/13/2014	543			504	39	0	0	0	39	
616 CYS INVESTMENTS INC	12673A108		2/11/2014	6/16/2014	83			77	6	0	0	0	6	
617 CYS INVESTMENTS INC	12673A108		2/11/2014	6/26/2014	884			855	29	0	0	0	29	
618 CALIFORNIA RESOURCES	13057Q107		2/11/2014	12/2/2014	415			468	-53	0	0	0	-53	
619 CALIFORNIA RESOURCES	13057Q107		2/11/2014	12/2/2014	355			508	-153	0	0	0	-153	
620 CALIFORNIA RESOURCES	13057Q107		2/11/2014	12/10/2014	2			3	-1	0	0	0	-1	
621 CALIFORNIA RESOURCES	13057Q107		2/11/2014	12/10/2014	1			0	0	0	0	0	0	
622 CARDIONICS INC	14161H108		5/29/2014	7/30/2014	37			29	8	0	0	0	8	
623 PELANESE CORP DEL SER A	150870103		2/11/2014	5/13/2014	602			514	88	0	0	0	88	
624 CARDIONICS INC	14161H108		5/28/2014	7/30/2014	445			350	95	0	0	0	95	
625 CARDIONICS INC	150870103		2/11/2014	5/7/2014	182			154	28	0	0	0	28	
626 PELANESE CORP DEL SER A	150870103		2/11/2014	7/2/2014	655			514	141	0	0	0	141	
627 PELANESE CORP DEL SER A	150870103		2/11/2014	7/16/2014	257			206	51	0	0	0	51	
628 PELANESE CORP DEL SER A	150870103		2/11/2014	7/17/2014	636			514	122	0	0	0	122	
629 PELANESE CORP DEL SER A	150870103		2/11/2014	7/17/2014	319			257	62	0	0	0	62	
630 PELANESE CORP DEL SER A	150870103		2/12/2014	5/19/2014	2,899			2,331	568	0	0	0	568	
631 CENTURYLINK INC SHS	156700106		2/11/2014	7/2/2014	359			298	61	0	0	0	61	
632 CENTURYLINK INC SHS	156700106		2/11/2014	7/2/2014	207			146	61	0	0	0	61	
633 CHATHAM LODGING TR	16208T102		2/11/2014	12/18/2014	896			648	248	0	0	0	248	
634 CHATHAM LODGING TR	16208T102		2/11/2014	12/19/2014	145			105	40	0	0	0	40	
635 CHATHAM LODGING TR	16208T102		2/11/2014	12/22/2014	410			283	117	0	0	0	117	
636 CHATHAM LODGING TR	16208T102		2/11/2014	12/23/2014	117			84	33	0	0	0	33	
637 CHIQUITA BRANDS INTL INC	170032809		2/19/2014	8/11/2014	237			207	30	0	0	0	30	
638 CHIQUITA BRANDS INTL INC	170032809		2/11/2014	8/11/2014	1,251			977	274	0	0	0	274	
639 DISCO SYSTEMS INC COM	17275R102		2/11/2014	2/13/2014	10,907			11,349	-442	0	0	0	-442	
640 CITIGROUP INC	172967366		2/11/2014	3/5/2014	380			372	8	0	0	0	8	
641 CITIGROUP INC	172967366		2/11/2014	3/6/2014	488			482	6	0	0	0	6	
642 CITIGROUP INC	172967366		2/11/2014	3/7/2014	417			416	1	0	0	0	1	
643 CITIGROUP INC	172967366		2/11/2014	3/11/2014	219			219	0	0	0	0	0	
644 CITIGROUP INC	172967366		2/11/2014	3/12/2014	153			153	0	0	0	0	0	
645 CITIGROUP INC	172967366		2/11/2014	3/12/2014	412			416	-4	0	0	0	-4	
646 PARTNERRE LTD	G86603409		2/11/2014	5/15/2014	76			74	2	0	0	0	2	
647 PARTNERRE LTD	G86603409		2/11/2014	5/16/2014	101			99	2	0	0	0	2	
648 PARTNERRE LTD	G86603409		2/11/2014	5/19/2014	51			50	1	0	0	0	1	
649 PARTNERRE LTD	G86603409		2/11/2014	5/20/2014	75			74	1	0	0	0	1	
650 PARTNERRE LTD	G86603409		2/11/2014	5/22/2014	50			50	0	0	0	0	0	
651 PARTNERRE LTD	G86603409		2/11/2014	5/22/2014	75			74	1	0	0	0	1	
652 PARTNERRE LTD	G86603409		2/11/2014	5/23/2014	25			25	0	0	0	0	0	
653 SONEI JEWELERS LTD SHS	G81276102		2/11/2014	6/11/2014	1,504			1,122	382	0	0	0	382	
654 KELLWOOD LODGING NV	H0235R105		2/11/2014	3/28/2014	293			291	2	0	0	0	2	
655 KELLWOOD LODGING NV	H0235R105		2/11/2014	3/28/2014	1,492			1,414	78	0	0	0	78	
656 NYS SEMICONDUCTORS NV	N8562A102		2/11/2014	9/26/2014	4,685			4,242	544	0	0	0	544	
657 KOSTAMARE INC	Y1762A102		2/11/2014	3/13/2014	134			642	-508	0	0	0	-508	
658 CITIGROUP INC	172967366		2/11/2014	3/13/2014	197			175	-1	0	0	0	-1	
659 CITIGROUP INC	172967366		2/11/2014	3/14/2014	262			263	-1	0	0	0	-1	
660 CITIGROUP INC	172967366		2/11/2014	3/14/2014	197			197	0	0	0	0	0	
661 CITIGROUP CAP TRUST CLD	17366E200		2/11/2014	4/28/2014	3,975			3,991	-16	0	0	0	-16	
662 CITIGROUP CAPITAL XCLD	17307D205		2/11/2014	4/28/2014	1,375			1,391	-16	0	0	0	-16	
663 CITIGROUP CAPITAL XV CLD	17311H209		2/11/2014	4/28/2014	1,335			1,363	-28	0	0	0	-28	
664 COLGATE PALMOLIVE	19416Z103		2/12/2014	5/19/2014	732			683	49	0	0	0	49	
665 COLONY FINANCIAL INC	19624R106		2/11/2014	6/2/2014	1,164			1,210	-46	0	0	0	-46	
666 COLONY FINANCIAL INC	19624R106		2/11/2014	6/4/2014	375			388	-13	0	0	0	-13	
667 COLONY FINANCIAL INC	19624R106		2/11/2014	7/7/2014	322			320	2	0	0	0	2	
668 COLONY FINANCIAL INC	19624R106		2/11/2014	7/8/2014	686			639	47	0	0	0	47	
669 COLUMBIA SPORTSWEAR CO	198516106		2/11/2014	1/17/2014	88			88	0	0	0	0	0	
670 COLUMBIA SPORTSWEAR CO	198516106		2/11/2014	2/19/2014	263			275	-12	0	0	0	-12	
671 COMCAST CORP NEW CL A SPL	2003DN200		2/11/2014	4/30/2014	4,319			4,441	-122	0	0	0	-122	
672 COMPASS GROUP PLC SHS	2049X3302		6/27/2014	7/25/2014	7			6	1	0	0	0	1	
673 COMPASS GROUP PLC SHS	2049X3302		7/2/2014	7/28/2014	5			5	0	0	0	0	0	
674 COMPASS GROUP PLC SHS	2049X3302		7/7/2014	7/29/2014	9			10	-1	0	0	0	-1	
675 COMPASS GROUP PLC SHS	2049X3302		2/11/2014	8/13/2014	1,358			1,375	-17	0	0	0	-17	
676 COMPASS GROUP PLC SHS	2049X3302		2/11/2014	8/21/2014	1,512			1,521	-9	0	0	0	-9	
677 COMPUTER PROGRAMS & SYS	205306103		2/11/2014	2/18/2014	211			198	12	0	0	0	12	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															19,598	Short Term CG Distributions														
Amount															0	Amount														
CUSIP #	Description of Property Sold	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	3,355	1,908,910	2,487	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess, of FMV Over Adjusted Basis or Losses																
689	COMPUTER PROGRAMS & SYS		2/1/2014	2/20/2014	70		0	0	66	4	0	0	0	4																
690	COMPUTER PROGRAMS & SYS		2/1/2014	1/1/2014	185		0	0	199	-14	0	0	0	-14																
691	COMPUTER PROGRAMS & SYS		2/1/2014	1/12/2014	355		0	0	398	-43	0	0	0	-43																
692	COMPUTER PROGRAMS & SYS		2/1/2014	1/12/2014	177		0	0	199	-22	0	0	0	-22																
693	COMPUTER PROGRAMS & SYS		2/1/2014	1/23/2014	178		0	0	199	-21	0	0	0	-21																
694	CONVERGYS CORP		2/1/2014	5/2/2014	393		0	0	353	40	0	0	0	40																
695	CONVERGYS CORP		2/1/2014	5/2/2014	504		0	0	451	53	0	0	0	53																
696	CONVERGYS CORP		2/1/2014	5/3/2014	727		0	0	628	99	0	0	0	99																
697	CONVERGYS CORP		2/1/2014	3/14/2014	254		0	0	197	57	0	0	0	57																
698	PIONEER ENERGY SERVICES		2/1/2014	3/18/2014	313		0	0	313	121	0	0	0	121																
699	PIONEER ENERGY SERVICES		2/1/2014	3/21/2014	428		0	0	295	131	0	0	0	131																
700	PIONEER ENERGY SERVICES		2/1/2014	6/2/2014	81		0	0	45	36	0	0	0	36																
701	CONVERGYS CORP		2/1/2014	1/10/2014	86		0	0	79	7	0	0	0	7																
702	CONVERGYS CORP		2/1/2014	1/12/2014	193		0	0	177	16	0	0	0	16																
703	CULLEN FIRST BKRS PV\$0.01		2/1/2014	1/28/2014	2,705		0	0	2,750	-45	0	0	0	-45																
704	DANA HLDG CORP		2/1/2014	9/1/2014	1,987		0	0	1,765	222	0	0	0	222																
705	DANA HLDG CORP		2/1/2014	9/12/2014	502		0	0	451	51	0	0	0	51																
706	DEERE CO		2/1/2014	10/2/2014	3,174		0	0	3,341	-167	0	0	0	-167																
707	DB CONT CAP TRUST III		2/1/2014	2/18/2014	437		0	0	441	-4	0	0	0	-4																
708	PIONEER ENERGY SERVICES		2/1/2014	8/3/2014	334		0	0	337	-3	0	0	0	-3																
709	PIONEER ENERGY SERVICES		2/1/2014	8/3/2014	216		0	0	116	100	0	0	0	100																
710	PIONEER ENERGY SERVICES		2/1/2014	6/16/2014	182		0	0	98	84	0	0	0	84																
711	PIONEER ENERGY SERVICES		2/1/2014	6/23/2014	408		0	0	214	194	0	0	0	194																
712	PIONEER ENERGY SERVICES		2/1/2014	6/30/2014	525		0	0	288	257	0	0	0	257																
713	PIONEER ENERGY SERVICES		2/1/2014	7/1/2014	106		0	0	54	52	0	0	0	52																
714	PRAXAIR INC		2/1/2014	2/24/2014	638		0	0	644	-6	0	0	0	-6																
715	PRAXAIR INC		2/1/2014	4/8/2014	4,625		0	0	4,634	-9	0	0	0	-9																
716	PRAXAIR INC		2/1/2014	4/9/2014	1,033		0	0	1,030	3	0	0	0	3																
717	PRIVATE BANCORP INC DEL		2/1/2014	9/9/2014	424		0	0	398	26	0	0	0	26																
718	PRIVATE BANCORP INC DEL		2/1/2014	9/1/2014	487		0	0	455	32	0	0	0	32																
719	PRIVATE BANCORP INC DEL		2/1/2014	9/12/2014	431		0	0	398	33	0	0	0	33																
720	PRIVATE BANCORP INC DEL		2/1/2014	10/28/2014	279		0	0	256	23	0	0	0	23																
721	PRIVATE BANCORP INC DEL		2/1/2014	11/10/2014	295		0	0	258	39	0	0	0	39																
722	PRIVATE BANCORP INC DEL		2/1/2014	11/1/2014	426		0	0	370	56	0	0	0	56																
723	PRIVATE BANCORP INC DEL		2/1/2014	12/19/2014	760		0	0	654	106	0	0	0	106																
724	PRUDENTIAL FINANCIAL INC		2/1/2014	3/28/2014	3,408		0	0	3,478	-68	0	0	0	-68																
725	PUBLIC STORAGE PFD SHR		2/1/2014	6/5/2014	156		0	0	151	5	0	0	0	5																
726	PUBLIC STORAGE PFD SHR		2/1/2014	6/6/2014	78		0	0	75	3	0	0	0	3																
727	PUBLIC STORAGE PFD SHR		2/1/2014	6/10/2014	154		0	0	151	3	0	0	0	3																
728	PUBLIC STORAGE PFD SHR		2/1/2014	6/11/2014	51		0	0	50	1	0	0	0	1																
729	PUBLIC STORAGE PFD SHR		2/1/2014	6/13/2014	25		0	0	25	0	0	0	0	0																
730	PUBLIC STORAGE PFD SHR		2/1/2014	7/25/2014	185		0	0	178	9	0	0	0	9																
731	PUBLIC STORAGE PFD SHR		2/1/2014	7/28/2014	53		0	0	50	3	0	0	0	3																
732	PUBLIC STORAGE PFD SHR		2/1/2014	7/29/2014	53		0	0	50	3	0	0	0	3																
733	PUBLIC STORAGE PFD SHR		2/1/2014	7/30/2014	53		0	0	50	3	0	0	0	3																
734	PUBLIC STORAGE (PSA)		2/1/2014	11/24/2014	177		0	0	155	22	0	0	0	22																
735	PUBLIC STORAGE (PSA)		2/1/2014	11/25/2014	101		0	0	89	12	0	0	0	12																
736	PUBLIC STORAGE (PSA)		2/1/2014	11/26/2014	101		0	0	89	12	0	0	0	12																
737	PUBLIC STORAGE (PSA)		2/1/2014	11/28/2014	101		0	0	89	12	0	0	0	12																
738	PUBLIC STORAGE (PSA)		2/2/2014	10/8/2014	437,878		0	0	436,691	1,187	0	0	0	1,187																
739	PUBLIC STORAGE (PSA)		2/2/2014	10/8/2014	1		0	0	1	0	0	0	0	0																
740	QUALCOMM INC		2/1/2014	3/27/2014	237		0	0	227	10	0	0	0	10																
741	REALTY INCOME CORP		2/1/2014	9/3/2014	478		0	0	476	2	0	0	0	2																
742	REGAL ENTMT GROUP CL A		2/1/2014	7/2/2014	596		0	0	555	41	0	0	0	41																
743	REYNOLDS AMERICAN INC		2/1/2014	7/2/2014	782		0	0	629	153	0	0	0	153																
744	ROCHE HLDG LTD SPN ADR		2/1/2014	7/2/2014	481		0	0	468	13	0	0	0	13																
745	ROCHE HLDG LTD SPN ADR		2/1/2014	10/31/2014	2,681		0	0	2,626	55	0	0	0	55																
746	ROYAL BK SCOTLAND GRP PLC		2/1/2014	4/8/2014	232		0	0	230	2	0	0	0	2																
747	ROYAL BK SCOTLAND GRP PLC		2/1/2014	4/9/2014	257		0	0	253	4	0	0	0	4																
748	ROYAL BK OF SCOT GRP PLC		2/1/2014	4/18/2014	21		0	0	21	0	0	0	0	0																
749	ROYAL BK OF SCOT GRP PLC		2/1/2014	4/19/2014	724		0	0	724	0	0	0	0	0																
750	ROYAL BK OF SCOT GRP PLC		2/1/2014	3/14/2014	180		0	0	181	-1	0	0	0	-1																
751	ROYAL BK OF SCOT GRP PLC		2/1/2014	3/17/2014	249		0	0	249	0	0	0	0	0																
752	ROYAL BK OF SCOT GRP PLC		2/1/2014	3/18/2014	225		0	0	226	-1	0	0	0	-1																
753	RYMAN HOSPITALITY PPTYs		2/1/2014	7/5/2014	392		0	0	329	63	0	0	0	63																
754	RYMAN HOSPITALITY PPTYs		2/1/2014	7/9/2014	338		0	0	288	50	0	0	0	50																
755	RYMAN HOSPITALITY PPTYs		2/1/2014	8/7/2014	333		0	0	288	45	0	0	0	45																
756	RYMAN HOSPITALITY PPTYs		2/1/2014	8/13/2014	339		0	0	288	51	0	0	0	51																
757	RYMAN HOSPITALITY PPTYs		2/1/2014	8/14/2014	291		0	0	247	44	0	0	0	44																
758	RYMAN HOSPITALITY PPTYs		2/1/2014	8/28/2014	296		0	0	247	49	0	0	0	49																
759	RYMAN HOSPITALITY PPTYs		2/1/2014	8/28/2014	283		0	0	247	36	0	0	0	36																
760	SPDR S&P 500 ETF TRUST		2/1/2014	12/9/2014	4,127		0	0	3,648	479	0	0	0	479																
761	SPDR S&P 500 ETF TRUST		7/2/2014	12/9/2014	8,047		0	0	7,692	355	0	0	0	355																
762	SSE PLC SPONSORED ADR		2/1/2014	3/3/2014	1,677		0	0	1,639	38	0	0	0	38																
763	SSE PLC SPONSORED ADR		2/1/2014	3/3/2014	1,327		0	0	1,297	30	0	0	0	30																
764	SALIX PHRMCTCLS LTD COM		2/1/2014	2/1/2014	621		0	0	615	6	0	0	0	6																
765	SALIX PHRMCTCLS LTD COM		2/1/2014	2/1/2014	2,284		0	0	2,255	29	0	0	0	29																
766	SALIX PHRMCTCLS LTD COM		2/1/2014	12/3/2014	934		0	0	1,029	-95	0	0	0	-95																
767	SALLY BEAUTY HDGS INC		2/1/2014	6/5/2014	754		66	66	886	-66																				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		19,556		0		0		1,906,042		0		3,355		1,906,910		2,487		0		2,487		0		0		Gain Minus Excess of FMV Over Adjusted Basis or Losses		
Long Term CG Distributions	Short Term CG Distributions	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj. Basis																		
673 SALLY BEAUTY HDGS INC			2/11/2014	10/28/2014	562	562	0	591	-9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
674 SALLY BEAUTY HDGS INC			2/11/2014	10/30/2014	263	263	0	266	-3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
79546E104			2/11/2014	11/6/2014	266	266	0	266	-2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
675 SALLY BEAUTY HDGS INC			2/11/2014	11/12/2014	118	118	0	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
676 SALLY BEAUTY HDGS INC			2/11/2014	11/13/2014	176	176	0	177	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
677 SALLY BEAUTY HDGS INC			2/11/2014	7/2/2014	446	479	0	446	33	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
80105N105			2/11/2014	3/28/2014	1,273	1,273	0	1,179	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
606857108			2/11/2014	10/22/2014	1,171	1,171	0	1,083	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
630 SCHLUMBERGER LTD			2/11/2014	12/1/2014	1,171	1,171	0	1,083	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
631 SCHLUMBERGER LTD			2/11/2014	12/1/2014	1,171	1,171	0	1,083	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
632 SCHLUMBERGER LTD			2/11/2014	7/2/2014	335	335	0	353	-18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
683 SHAW COMMUNICATIONS B CV			2/11/2014	7/2/2014	493	493	0	443	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
82028K200			2/11/2014	7/2/2014	187	187	0	163	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
82028K200			2/11/2014	7/2/2014	187	187	0	163	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
685 SILVER WHEATON CORP			2/11/2014	3/24/2014	706	706	0																							

[illegible]

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	2,347
7 Total	7	2,347

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only. ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	HENRY & MIRIAM KAPLAN FOUNDATION	46-6991481
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	P O BOX 1501, NJ2-130-03-31	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PENNINGTON, NJ 08534-1501	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► U.S. TRUST, A DIVISION OF BANK OF AMERICA N.A.

Telephone No. ► 609-274-6834 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box. ☐ . If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year _____ or

► ☒ tax year beginning 2/10/2014, and ending 12/31/2014

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☒ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,671
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,347
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	324

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions