Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning 02/05 **, 2014, and ending** 12/31 **, 20**14

Name of foundation HOWIND CHARITABLE TRUST
C/O LAMB & BARNOSKY, LLP

Number and street (or P O box number if mail is not delivered to street address) 534 BROADHOLLOW ROAD

Room/suite 210

City or town, state or province, country, and ZIP or foreign postal code MELVILLE, NY 11747

A Employer identification number 46-4550482

B Telephone number (see instructions) (   )   -

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☒ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 2,642,421.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	2,468,902.			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	69,813.	68,717.		ATCH 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	40,137.			
	b	Gross sales price for all assets on line 6a 189,187.				
	7	Capital gain net income (from Part IV, line 2)		40,137.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	2,578,852.	108,854.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) ATCH 2	22,716.	6,815.		15,901.
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) [3]	19,785.	19,785.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) [4]	1,500.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	860.	10.		850.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	44,861.	26,610.		16,751.
	25	Contributions, gifts, grants paid	123,018.			123,018.
	26	**Total expenses and disbursements** Add lines 24 and 25	167,879.	26,610.	0	139,769.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	2,410,973.			
	b	**Net investment income** (if negative, enter -0-)		82,244.		
	c	**Adjusted net income** (if negative, enter -0-)				

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		Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments		46,416.	46,416.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6		2,128,077.	2,594,614.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ATCH 7)		1,391.	1,391.
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	0	2,175,884.	2,642,421.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds		2,175,884.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	0	2,175,884.	
	31	Total liabilities and net assets/fund balances (see instructions)	0	2,175,884.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2	Enter amount from Part I, line 27a	2	2,410,973.
3	Other increases not included in line 2 (itemize) ▶ ATCH 8	3	25,888.
4	Add lines 1, 2, and 3	4	2,436,861.
5	Decreases not included in line 2 (itemize) ▶ ATCH 9	5	260,977.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,175,884.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 40,137.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . . 3 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank. NOT AVAILABLE FOR INITIAL YEAR RETURNS

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013			
2012			
2011			
2010			
2009			

2 Total of line 1, column (d) 2

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3

4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 4

5 Multiply line 4 by line 3 5

6 Enter 1% of net investment income (1% of Part I, line 27b) 6

7 Add lines 5 and 6 7

8 Enter qualifying distributions from Part XII, line 4 8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI 'Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _______________ **(attach copy of letter if necessary - see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,645.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,645.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,645.
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — **6a** 1,500.		
b	Exempt foreign organizations - tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c** 2,145.		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments Add lines 6a through 6d	7	3,645.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,000.
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,000. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c	N/A	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* ATCH 10	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARCUM, LLP Telephone no. ▶ 631-414-4000

Located at ▶ 10 MELVILLE PARK ROAD MELVILLE, NY ZIP+4 ▶ 11747

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ▶ , , ,			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . N/A . . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?. . ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,542,161.
b	Average of monthly cash balances	1b	28,308.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,570,469.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,570,469.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	38,557.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,531,912.
6	**Minimum investment return.** Enter 5% of line 5	6	114,456.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	114,456.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,645.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,645.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	112,811.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	112,811.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	112,811.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	139,769.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	139,769.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	139,769.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					112,811.
2	Undistributed income, if any, as of the end of 2014					
a	Enter amount for 2013 only					
b	Total for prior years 20 12 ,20 11 ,20 10					
3	Excess distributions carryover, if any, to 2014					
a	From 2009					
b	From 2010					
c	From 2011					
d	From 2012					
e	From 2013					
f	Total of lines 3a through e					
4	Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 139,769.					
a	Applied to 2013, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2014 distributable amount					112,811.
e	Remaining amount distributed out of corpus		26,958.			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		26,958.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		26,958.			
10	Analysis of line 9					
a	Excess from 2010					
b	Excess from 2011					
c	Excess from 2012					
d	Excess from 2013					
e	Excess from 2014	26,958.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 12				
Total . . . . ▶ **3a**				123,018.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	69,813.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	40,137.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				109,950.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 109,950.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 6-5-15 Date | ▶ TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RONALD FINKELSTEIN	Ronald M Finkelstein	6-4-15		P00301744

Firm's name ▶ MARCUM LLP Firm's EIN ▶ 11-1986323

Firm's address ▶ 10 MELVILLE PARK RD
MELVILLE, NY 11747 Phone no 631 414-4000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.***

OMB No 1545-0047

2014

Name of the organization
HOWIND CHARITABLE TRUST
C/O LAMB & BARNOSKY, LLP

Employer identification number
46-4550482

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ _______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. **Schedule B (Form 990, 990-EZ, or 990-PF) (2014)**

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Name of organization	Employer identification number
HOWIND CHARITABLE TRUST C/O LAMB & BARNOSKY, LLP	46-4550482

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BETTY B. HOWIND 2007 REVOCABLE TRUST 534 BROADHOLLOW ROAD MELVILLE, NY 11747	$ 47,393.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	BETTY B. HOWIND 2007 REVOCABLE TRUST 534 BROADHOLLOW ROAD MELVILLE, NY 11747	$ 2,421,509.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
HOWIND CHARITABLE TRUST C/O LAMB & BARNOSKY, LLP	46-4550482

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	SEE ATTACHMENT D	$ 2,421,509.	VAR

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
HOWIND CHARITABLE TRUST C/O LAMB & BARNOSKY, LLP	46-4550482

Part III ***Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME - 08744	5.	5.
DIVIDEND INCOME - 08744	67,301.	67,301.
DIVIDEND INCOME - 08764	1,411.	1,411.
TAX-EXEMPT DIVIDEND INCOME - 08744	1,096.	
TOTAL	69,813.	68,717.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES		22,716.	6,815.		15,901.
	TOTALS	22,716.	6,815.		15,901.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT ADVISORY FEES-08744	19,785.	19,785.
TOTALS	19,785.	19,785.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FEDERAL EXCISE TAXES	1,500.
TOTALS	1,500.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FOREIGN ADR FEES - 08744	10.	10.	
IRS USER FEE	850.		850.
TOTALS	860.	10.	850.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UBS 08744 - SEE ATTACHMENT C	2,051,437.	2,509,364.
5,000 SHS UBS GROUP AG CHF	76,640.	85,250.
TOTALS	2,128,077.	2,594,614.

ATTACHMENT 7

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ACCRUED DIVIDENDS - 08744	1,391.	1,391.
TOTALS	1,391.	1,391.

ATTACHMENT 8

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ADJUSTMENT DUE TO APPRECIATION ON NON-CASH DONATION		25,888.
	TOTAL	25,888.

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ADJUSTMENT DUE TO APPRECIATION ON DONATED STOCK		260,977.
	TOTAL	260,977.

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 10

NAME AND ADDRESS

BETTY B. HOWIND 2007 REVOCABLE TRUST
534 BROADHOLLOW ROAD
MELVILLE, NY 11747

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL LAMB 534 BROADHOLLOW ROAD 210 MELVILLE, NY 11747	TRUSTEE 1.00	0	0	0
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SUFFOLK GARDEN CLUB STONY BROOK, NY	NONE PC	GENERAL GRANT	748.
SUFFOLK GARDEN CLUB - SEE ATTACHMENT B STONY BROOK, NY	NONE PC	GENERAL GRANT	39,251.
GALLERY NORTH STONY BROOK, NY	NONE PC	GENERAL GRANT	966.
GALLERY NORTH - SEE ATTACHMENT B STONY BROOK, NY	NONE PC	GENERAL GRANT	82,053.
		TOTAL CONTRIBUTIONS PAID	123,018.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2014

Name of estate or trust: HOWIND CHARITABLE TRUST
C/O LAMB & BARNOSKY, LLP

Employer identification number: 46-4550482

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	29,702.	28,666.		1,036.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . ▶	7	1,036.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	158,109.	120,384.		37,725.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	1,376.			1,376.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13 Capital gain distributions.	13	
14 Gain from Form 4797, Part I.	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . ▶	16	39,101.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

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Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			1,036.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			39,101.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			40,137.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or b** $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24. If zero or less, enter -0-			26			
27	Subtract line 26 from line 21. If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,500			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶					30	
31	Enter the **smaller** of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $12,150			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33. If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38. If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2014

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
HOWIND CHARITABLE TRUST	46-4550482

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments your bought in 2014 or later)

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** **(f)** Code(s) from instructions	**(g)** Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
ST CAP GAIN - UBS 087 - SEE ATTACHMENT A			29,702.	28,666.			1,036.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked)▶			29,702.	28,666.			1,036.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
4X2615 2 000

Form 8949 (2014) Attachment Sequence No **12A** Page **2**

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	**Social security number or taxpayer identification number**
HOWIND CHARITABLE TRUST	46-4550482

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later)

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	**(g)** Amount of adjustment	
LT CAP GAIN - UBS 0874 - SEE ATTACHED			158,109.	120,384.			37,725.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			158,109.	120,384.			37,725.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	**Social security number or taxpayer identification number**
HOWIND CHARITABLE TRUST	46-4550482

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** **(f)** Code(s) from instructions	 **(g)** Amount of adjustment	**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
LONG-TERM CAPITAL GAIN DIST - 08744			1,376.				1,376.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			1,376.				1,376.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

EIN. 46-4550482

HOWIND CHARITABLE TRUST
TAX YEAR ENDING: DECEMBER 31, 2014
ATTACHMENT A

SHORT-TERM

Security	# of Shares	Date Acquired	Proceeds	Date Sold	Cost Basis	ST Cap Gain
Kraft Foods Group Inc	267	4/18/2013	14,130.89	2/10/2014	13,444.45	686.44
APPLE INC		VAR	851.09	VAR	-0-	851.09
Google Inc	25	3/10/2014	14,719.99	7/1/2014	15,221.06	(501.07)
TOTAL			**29,701.97**		**28,665.51**	**1,036.46**

LONG-TERM

Month	# of Shares	Date Acquired	Proceeds	Date Sold	Cost Basis	LT Cap Gain
Apple Inc	80	Various	42,219.01	2/19/2014	31,563.49	10,655.52
Kraft Foods Group Inc	332.996	Various	17,623.91	2/10/2014	16,767.80	856.11
Blackrock Muniyield Quality Fund III Inc	1000	Various	13,259.87	3/11/2014	13,245.00	14.87
Apple Inc	190	2/18/2011	17,846.31	7/1/2014	10,709.04	7,137.27
Apple Inc	100	2/18/2011	9,374.79	7/3/2014	5,636.34	3,738.45
Mondelez Intl Inc	999.996	Various	37,929.16	7/1/2014	31,190.00	6,739.16
Apple Inc	200	Various	19,855.56	8/1/2014	11,272.68	8,582.88
TOTAL			**158,108.61**		**120,384.35**	**37,724.26**

SCHEDULE OF REALIZED GAINS/LOSSES- ATTCH A

EIN. 46-4550482

HOWIND CHARITABLE TRUST
FYE 12/31/2014
ATTACHMENT B

Date	Symbol	Donee	Company	# of Shs	Cost per share	COST BASIS	AVG FMV
DONATION TO SUFFOLK GARDEN CLUB:							
2/28/2014	MMM	NSGC	3M CO. INC	100.00	105.49	10,548.50	13,456.00
2/28/2014	ABT	NSGC	ABBOTT LABS	320.00	36.66	11,729.60	12,704.00
2/28/2014	AMP	NSGC	AMERIPRISE FINANCIAL INC	120.00	71.37	8,563.80	13,091.40
GALLERY NORTH:							
2/28/2014	MMM	GN	3M CO. INC	205.00	105.49	21,624.43	27,584.80
2/28/2014	ABT	GN	ABBOTT LABS	685.00	36.66	25,108.68	27,194.50
2/28/2014	AMP	GN	AMERIPRISE FINANCIAL INC	250.00	71.37	17,841.25	27,273.75
						95,416.25	121,304.45

ATTACHMENT B; GRANTS AND CONTRIBUTIONS PAID
DURING THE YEAR

HOWIND CHARITABLE TRUST
TAX YEAR ENDING: DECEMBER 31, 2014
ATTACHMENT C

Security	Ticker/Cusip	Date Acquired	# of Shares	Basis	Fair Market Value
Abbott Labs	ABT	4/12/2010	295	10,813.23	13,280.90
Abbott Labs	ABT	12/29/2010	300	10,996.50	13,506.00
Abbott Labs	ABT	7/1/2011	400	14,662.00	18,008.00
Ameriprise Financial Inc	AMP	5/9/2011	230	16,413.95	30,417.50
Ameriprise Financial Inc	AMP	7/8/2011	100	7,136.50	13,225.00
Ameriprise Financial Inc	AMP	1/19/2012	100	7,136.50	13,225.00
Ameriprise Financial Inc	AMP	1/23/2012	200	14,273.00	26,450.00
Apple Inc	AAPL	2/18/2011	910	51,290.68	100,445.80
Apple Inc	AAPL	12/16/2011	1050	59,181.55	115,899.00
Apple Inc	AAPL	12/20/2011	350	19,727.18	38,633.00
Apple Inc	AAPL	3/5/2012	490	27,618.06	54,086.20
British American Tobacco Plc	BTI	3/17/2011	400	38,272.00	43,128.00
British American Tobacco Plc	BTI	3/17/2011	100	9,568.00	10,782.00
Celanese Corp New Ser A	CE	2/10/2014	100	5,160.00	5,996.00
Celanese Corp New Ser A	CE	7/7/2014	125	8,225.00	7,495.00
Celanese Corp New Ser A	CE	9/18/2014	75	4,492.50	4,497.00
Cobalt Intl Energy	CIE	7/1/2014	1425	26,077.50	12,668.25
Comcast Corp New Cl A	CMCSA	5/13/2011	1000	40,285.00	58,010.00
Comcast Corp New Cl A	CMCSA	10/24/2012	300	12,085.50	17,403.00
CVS Caremark Corp	CVS	6/7/2005	399.786	23,128.62	38,503.39
CVS Caremark Corp	CVS	12/17/2009	297	17,182.19	28,604.07
CVS Caremark Corp	CVS	2/22/2011	800	46,282.00	77,048.00
CVS Caremark Corp	CVS	Earnings	3.214	185.94	309.54
Escrow Mirant Corp - Plan of Re-org			1833	-	-
Express Scripts Holdings Co	ESRX	4/16/2004	157	8,711.93	13,293.19

HOWIND CHARITABLE TRUST
TAX YEAR ENDING: DECEMBER 31, 2014
ATTACHMENT C

Security	Ticker/Cusip	Date Acquired	# of Shares	Basis	Fair Market Value
Express Scripts Holdings Co	ESRX	4/12/2010	243	13,484.07	20,574.81
Express Scripts Holdings Co	ESRX	7/1/2014	365	25,221.50	30,904.55
Express Scripts Holdings Co	ESRX	7/3/2014	115	7,992.50	9,737.05
General Electric Co	GE	9/18/2009	2000	43,215.00	50,540.00
General Electric Co	GE	4/12/2010	2000	43,215.00	50,540.00
Google Inc Cl C	GOOG	3/10/2014	25	15,174.19	13,160.00
Google Inc Cl C	GOOG	8/1/2014	15	8,788.50	7,896.00
Johnson & Johnson Co	JNJ	2/18/2011	400	33,716.50	41,828.00
Kla-Tencor Corp	KLAC	1/23/2012	200	10,611.00	14,064.00
Kla-Tencor Corp	KLAC	3/1/2012	200	10,611.00	14,064.00
Kla-Tencor Corp	KLAC	10/23/2012	425	22,548.38	29,886.00
McDonalds Corp	MCD	4/12/2010	1000	94,440.00	93,700.00
Merck & Co Inc	MRK	12/2/2004	200	9,465.00	11,358.00
Merck & Co Inc	MRK	12/2/2004	199.442	9,438.59	11,326.31
Merck & Co Inc	MRK	9/30/2009	174	8,234.55	9,881.46
Merck & Co Inc	MRK	4/12/2010	400	18,930.00	22,716.00
Merck & Co Inc	MRK	Earnings	26.558	1,256.86	1,508.23
Natural Fuel Gas Co	NFG	2/16/2012	225	13,203.56	15,644.25
Natural Fuel Gas Co	NFG	6/18/2012	175	10,269.44	12,167.75
NRG Energy Inc New	NRG	4/15/2013	750	20,353.13	20,212.50
Pfizer Inc	PFE	11/8/2011	200	6,151.00	6,230.00
Pfizer Inc	PFE	1/20/2012	300	9,226.50	9,345.00
Pfizer Inc	PFE	4/18/2013	200	6,151.00	6,230.00
Pfizer Inc	PFE	4/15/2014	325	10,140.00	10,123.75

HOWIND CHARITABLE TRUST
TAX YEAR ENDING: DECEMBER 31, 2014
ATTACHMENT C

Security	Ticker/Cusip	Date Acquired	# of Shares	Basis	Fair Market Value
Qualcomm Inc	QCOM	4/15/2013	300	19,149.00	22,299.00
Qualcomm Inc	QCOM	5/16/2013	200	13,209.16	14,866.00
Schlumberger Ltd Netherlands Antilles	SLB	8/17/1995	399.526	28,377.33	34,123.52
Schlumberger Ltd Netherlands Antilles	SLB	12/11/2009	98	6,960.70	8,370.18
Schlumberger Ltd Netherlands Antilles	SLB	4/12/2010	250	17,756.88	21,352.50
Schlumberger Ltd Netherlands Antilles	SLB	Earnings	2.474	175.72	211.30
United Rentals Inc	URI	3/10/2014	280	25,480.00	28,562.80
Wal Mart Stores Inc	WMT	3/10/2014	335	24,967.55	28,769.80
Walt Disney Co	DIS	3/10/2014	300	24,669.00	28,257.00
Walt Disney Co	DIS	7/1/2014	100	8,665.00	9,419.00
3M Co	MMM	12/17/2009	195	20,569.58	32,042.40
3M Co	MMM	4/12/2010	125	13,185.63	20,540.00
iShares MSCI Pacific Ex Japan ETF	EPP	8/3/2009	400	17,780.36	17,580.00
iShares MSCI Pacific Ex Japan ETF	EPP	8/4/2009	1600	71,121.44	70,320.00
iShares North Amer Nat Resources ETF	IGE	8/3/2009	2000	74,555.00	76,640.00
Sector SPDR Tr Shs Ben Int - Materials	XLB	2/4/2010	1000	37,760.00	48,580.00
SPDR Dow Jones REIT ETF	RWR	9/18/2009	900	66,748.50	81,810.00
SPDR S&P 500 ETF Tr	SPY	1/21/2010	300	46,572.00	61,662.00
SPDR S&P 500 ETF Tr	SPY	2/3/2010	200	31,048.00	41,108.00
SPDR S&P 500 ETF Tr	SPY	2/4/2010	200	31,048.00	41,108.00
SPDR S&P 500 ETF Tr	SPY	9/1/2010	300	46,572.00	61,662.00
iShares iBoxx $ Invt Grade Corp Bond ETF	LQD	10/5/2009	500	57,862.50	59,705.00
iShares iBoxx $ Invt Grade Corp Bond ETF	LQD	12/16/2011	500	57,862.50	59,705.00

SCHEDULE OF ASSETS· ATTCH C

HOWIND CHARITABLE TRUST
TAX YEAR ENDING: DECEMBER 31, 2014
ATTACHMENT C

Security	Ticker/Cusip	Date Acquired	# of Shares	Basis	Fair Market Value
Pimco Strategic Global Govt Fund Inc	RCS	9/23/2010	1800	18,549.00	17,046.00
Federated Municipal UltraShort Fund Cl A	FMUUX	10/16/2010	8000.249	80,322.50	80,322.49
Fidelity Advisor Strategic Income Fund Cl A	FSTAX	11/9/2009	6114.585	75,309.66	73,925.33
Fidelity Advisor Strategic Income Fund Cl A	FSTAX	8/30/2010	1966.737	24,223.11	23,777.85
Fidelity Advisor Strategic Income Fund Cl A	FSTAX	Reinvested	566.2802534	6,974.54	6,846.33
Fidelity Advisor Strategic Income Fund Cl A	FSTAX	Reinvested	117.7267466	1,449.97	1,423.32
Fidelity Advisor Strategic Inc Fd (Reinvest)	FSTAX	12/29/2014	136.415	1,647.89	1,649.26
Templeton Global Bond Fd Cl A	TGBAX	11/9/2009	3099.074	39,763.94	38,459.50
Templeton Global Bond Fd Cl A	TGBAX	Reinvested	60.3	773.68	748.32
Templeton Global Bond Fd Cl A	TGBAX	Reinvested	0.748	9.63	9.28
Templeton Global Bond Fd Cl A (Reinvest)	TGBAX	12/17/2014	7.225	88.80	89.66
Lord Abbett Short Duration Tax Free Cl A	LSDAX	9/28/2010	3162.555	49,841.87	49,873.49
Lord Abbett Short Duration Tax Free Cl A	LSDAX	10/5/2010	1899.937	29,943.01	29,962.01
Lord Abbett Short Duration Tax Free Cl A	LSDAX	Reinvested	5.722	90.18	90.29
Lord Abbett Short Duration Tax Free Cl A	LSDAX	Reinvested	3.904	61.53	61.61
Pimco Low Duration Fund Cl A	PTLAX	3/29/2010	2396.932	24,928.09	24,065.19
Pimco Low Duration Fund Cl A	PTLAX	8/30/2010	2367.424	24,621.21	23,768.93
TOTAL				**2,051,437.02**	**2,509,363.86**

HOWIND CHARITABLE TRUST
FYE 12/31/2014
ATTACHMENT D

Date	Symbol	Company	# of Shs	Cost per share	COST BASIS	AVG FMV
2/6/2014	UBS	UBS AG REGS ORD CHF	5,000.00	15.33	76,639.69	101,950.00
2/6/2014	ABT	ABBOTT LABS	995.00	37.00	36,812.76	36,471.73
2/6/2014	ABT	ABBOTT LABS	1,005.00	37.00	37,182.74	36,838.28
2/6/2014	AMP	AMERIPRISE FINANCIAL INC	630.00	71.37	44,959.95	65,901.15
2/6/2014	AMP	AMERIPRISE FINANCIAL INC	370.00	71.37	26,405.05	38,703.85
2/6/2014	CMCSA	COMCAST CORP	1,300.00	40.29	52,370.50	69,907.50
2/6/2014	AAPL	APPLE INC	550.00	394.54	216,999.02	280,860.25
2/6/2014	MYI	BLACKROCK MUNIYIELD QUALITY FUND III INC	1,000.00	15.26	15,260.00	13,245.00
2/6/2014	BTI	BRITISH AMER TOBACCO PLC	500.00	107.25	53,626.38	47,840.00
2/6/2014	CVS	CVS CAREMARK CORP	1,500.00	57.85	86,778.75	98,587.50
2/6/2014	ESRX	EXPRESS SCRIPTS HLDG CO	400.00	55.49	22,196.00	29,566.00
2/6/2014	GE	GENERAL ECLECTRIC CO	4,000.00	21.61	86,430.00	99,440.00
2/6/2014	EPP	ISHARES MSCI PAC EX JAPAN	2,000.00	49.05	98,107.50	88,901.80
2/6/2014	IGE	ISHARES NORTH AMERN NAT RESOURCES	2,000.00	37.28	74,555.00	82,190.00
2/6/2014	LQD	ISHARES IBOXX $ INVT GRADE CORPORATION	1,000.00	121.68	121,678.75	115,725.00
2/6/2014	JNJ	JOHNSON & JOHNSON	400.00	84.29	33,716.50	35,262.00
2/6/2014	KLAC	KLA - TENCOR CORP	825.00	53.06	43,770.38	50,958.19
2/6/2014	KRFT	KRAFT FOODS GROUP	600.00	50.35	30,212.25	30,888.00
2/6/2014		ESCROW MIRANT CORP - PLAN OF RE-ORG	1,833.00	0.00	0.00	0.00
2/6/2014	MDLZ	MONDELEZ INTL INC	1,000.00	31.19	31,190.00	32,470.00
2/6/2014	MCD	MCDONALDS CORP	1,000.00	99.73	99,732.50	94,440.00
2/6/2014	MRK	MERCK & CO INC	1,000.00	47.33	47,325.00	53,332.50
2/6/2014	NFG	NATL FUEL GAS CO	400.00	58.68	23,473.00	29,846.00
2/6/2014	RCS	PIMCO STRATEGIC GLOBAL GOVT FUND INC	1,800.00	11.46	20,628.00	18,549.00
2/6/2014	PFE	PFIZER INC	700.00	30.88	21,616.86	21,528.50
2/6/2014	QCOM	QUALCOMM INC	500.00	64.72	32,358.16	36,413.50
2/6/2014	RWR	SPDR DOW JONES REIT ETF	900.00	81.04	72,933.75	66,748.50
2/6/2014	SLB	SCHLUMBERGER LTD NETHERLANDS ANTILLES	750.00	71.03	53,270.63	65,722.50
2/6/2014	XLB	SECTOR SPDR TRUST SHS BEN INT-MATERIALS	1,000.00	37.76	37,760.00	43,825.00
2/6/2014	NRG	NRG ENERGY INC	750.00	27.14	20,353.13	20,640.00
2/6/2014	MMM	3M CO	320.00	105.49	33,755.20	41,008.00
2/6/2014	MMM	3M CO	305.00	105.49	32,172.93	39,085.75

HOWIND CHARITABLE TRUST
FYE 12/31/2014
ATTACHMENT D

Date	Symbol	Company	# of Shs	Cost per share	COST BASIS	AVG FMV
2/6/2014	SPY	SPDR S&P 500 ETF TR	1,000.00	155.24	155,240.00	176,350.45
2/7/2014	LSDCX	LORD ABBETT SHORT DURATION TAX FREE	5,072.12	15.96	80,950.33	79,936.58
2/7/2014	FSTAX	FIDELITY ADVISOR STRATEGIC INCOME	8,878.07	12.69	112,700.67	107,957.28
2/7/2014	PTLAX	PIMCO LOW DURATION FUND	4,764.36	10.51	50,073.38	49,549.30
2/7/2014	FMUUX	FEDERATED MUNICIPAL ULTRASHORT FUND	8,000.25	10.06	80,482.50	80,322.50
2/7/2014	TPINX	FRANKLIN/TEMPLETON GLOBAL BOND FUND	3,150.52	13.60	42,846.63	40,547.24
					2,206,563.88	2,421,508.85

SCHEDULE OF STOCK DONATED IN- ATTCH D

HOWIND CHARITABLE TRUST 46-4550482

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		LONG-TERM CAPITAL GAIN DIST - 08744					VAR	VAR
1,376.							1,376.	
		ST CAP GAIN - UBS 08744 - SEE ATTACHMENT					VAR	VAR
29,702.		28,666.					1,036.	
		LT CAP GAIN - UBS 08744 - SEE ATTACHED					VAR	VAR
158,109.		120,384.					37,725.	
TOTAL GAIN(LOSS)							40,137.	

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions HOWIND CHARITABLE TRUST C/O LAMB & BARNOSKY, LLP	Employer identification number (EIN) or 46-4550482
	Number, street, and room or suite no If a P O box, see instructions 534 BROADHOLLOW ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions MELVILLE, NY 11747	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶MARCUM, LLP, 10 MELVILLE PARK ROAD MELVILLE, NY 11747

Telephone No ▶ 631 414-4000 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 15, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning 02/05, 20 14, and ending 12/31, 20 14

2 If the tax year entered in line 1 is for less than 12 months, check reason ☒ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**3a**	$ 3,645.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 1,500.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	**3c**	$ 2,145.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)