



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>THE PLUM SPRING FOUNDATION</b>		A Employer identification number <b>46-4170079</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>1498 KING CHARLES DRIVE</b>	Room/suite	B Telephone number <b>(412) 594-5569</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PITTSBURGH, PA 15237</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 4,925,886.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,585.	1,585.		STATEMENT 1
4 Dividends and interest from securities		83,036.	83,036.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,532.			
b Gross sales price for all assets on line 6a <b>674,121.</b>					
7 Capital gain net income (from Part IV, line 2)			1,532.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		86,153.	86,153.		
13 Compensation of officers, directors, trustees, etc		24,272.	0.		24,272.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		478.	0.		478.
b Accounting fees		5,060.	0.		5,060.
c Other professional fees					
17 Interest					
18 Taxes		250.	0.		250.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		17,345.	16,706.		639.
24 Total operating and administrative expenses. Add lines 13 through 23		47,405.	16,706.		30,699.
25 Contributions, gifts, grants paid		100,000.			100,000.
26 Total expenses and disbursements. Add lines 24 and 25		147,405.	16,706.		130,699.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-61,252.			
b Net investment income (if negative, enter -0-)			69,447.		
c Adjusted net income (if negative, enter -0-)				N/A	

423501  
11-24-14

LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2014)

SCANNED AUG 28 2015

Revenue

Operating and Administrative Expenses

RECEIVED  
AUG 26 2015  
OCCIDENTAL

14

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		169,875.	169,875.
	2 Savings and temporary cash investments	5,000,383.	351,061.	351,061.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	0.	4,418,195.	4,404,950.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)	5,000,383.	4,939,131.	4,925,886.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,000,383.	4,939,131.	
	30 Total net assets or fund balances	5,000,383.	4,939,131.	
	31 Total liabilities and net assets/fund balances	5,000,383.	4,939,131.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,000,383.
2 Enter amount from Part I, line 27a	2	-61,252.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,939,131.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,939,131.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JP MORGAN - SEE ATTACHED	P	01/01/14	12/31/14
b JP MORGAN - SEE ATTACHED	P	01/01/14	12/31/14
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 548,973.		578,238.	-29,265.
b 77,378.		94,351.	-16,973.
c 47,770.			47,770.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-29,265.
b			-16,973.
c			47,770.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,532.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2013	0.	2,462,500.	.000000
2012			
2011			
2010			
2009			

2 Total of line 1, column (d)	2	.000000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.000000
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	4,935,784.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	694.
7 Add lines 5 and 6	7	694.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	130,699.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	694.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	694.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	694.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,350.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,350.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	16.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	640.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input checked="" type="checkbox"/> 640. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> PA, CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2014)

**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► SHIHRUEY T CHEN Telephone no. ► (412) 594-5569			
Located at ► 1498 KING CHARLES DR, PITTSBURGH, PA ZIP+4 ► 15237				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►				X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ►			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		24,272.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

Form 990-PF (2014)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2014)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,186,999.
b	Average of monthly cash balances	1b	1,823,949.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	5,010,948.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,010,948.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	75,164.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,935,784.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	246,789.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	246,789.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	694.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	694.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	246,095.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	246,095.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	246,095.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	130,699.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	130,699.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	694.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	130,005.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				246,095.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			13,821.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 130,699.				
a Applied to 2013, but not more than line 2a			13,821.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				116,878.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				129,217.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
UNIVERSITY OF PITTSBURGH CHINESE NATIONALITY ROOM 128 NORTH CRAIG STREET PITTSBURGH, PA 15260		NC	EDUCATION	25,000.
NURSE FAMILY PARTNERSHIP 1900 GRANT STREET DENVER, CO 80203		PC	MATERNAL AND CHILDHOOD HEALTH	25,000.
STEP UP WOMENS NETWORK 510 SOUTH HEWITT STREET #111 LOS ANGELES, CA 90013		PC	COMMUNITY RESOURCES FOR WOMEN AND GIRLS	25,000.
PEDIATRIC CANCER FOUNDATION 5550 W. EXECUTIVE DR. STE. 300 TAMPA, FL 33609		PC	HEALTH CARE	25,000.
<b>Total</b>			<b>3a</b>	100,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.



<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

- |          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|          | (1) Cash   |     | X  |
|          | (2) Other assets   |     | X  |
| <b>b</b> | Other transactions:  |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|          | (3) Rental of facilities, equipment, or other assets   |     | X  |
|          | (4) Reimbursement arrangements   |     | X  |
|          | (5) Loans or loan guarantees   |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No	
	Signature of officer or trustee <i>[Signature]</i>		Date <i>08/14/15</i>		Title <b>OFFICER</b>	
<b>Paid Preparer Use Only</b>	Print/Type preparer's name  <b>JODY MARSH</b>		Preparer's signature <i>[Signature]</i>		Date <b>08/14/15</b>	
	Check <input type="checkbox"/> if self-employed		PTIN <b>P00366752</b>			
	Firm's name ▶ <b>CALIBER ACCOUNTING &amp; TAX, LLP</b>				Firm's EIN ▶ <b>26-2060565</b>	
	Firm's address ▶ <b>575 PRICE STREET, SUITE 312 PISMO BEACH, CA 93449</b>				Phone no <b>(805) 888-0200</b>	



# Capital Gain and Loss Schedule

THE PLUM SPRING FOUNDATION  
Account Number: S 42444-00-0

## Short-Term Covered

\* Code: W indicates Wash Sale  
L indicates a Nondeductible Loss other than a Wash Sale  
\*\* Ordinary Income D indicates Ordinary Income gain or loss  
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
EATON VANCE FLOATING RATE-I	EIBL	02/03/14	5,108.696	46,744.57	47,000.00		-255.43
	277911491	06/30/14					
EATON VANCE FLOATING-RATE ADVANTAGE I	EIFA	05/05/14	4,175.469	46,556.48	46,472.97		83.51
	277923637	06/19/14					
ISHARES MSCI EAFE INDEX FUND	EFA	07/03/14	1,212.000	75,058.97	83,684.96		-8,625.99
	464287465	12/22/14					
ISHARES MSCI EAFE INDEX FUND	EFA	Various	1,051.000	65,088.16	71,866.64		-6,778.48
	464287465	12/22/14					
JPM UNCONSTRAINED DEBT FD - SELECT FUND 2130	JSIS	Various	9,005.188	91,132.50	93,744.00		-2,611.50
	48121A290	10/15/14					
PIMCO COMMODITYPL STRAT-P	PCLP	07/03/14	4,261.317	47,513.68	48,962.53		-1,448.85
	72201P167	07/18/14					
RIDGEWORTH SEIX FLOATING-I	SAMB	02/03/14	5,181.918	47,000.00	47,000.00		.00
	76628T678	06/26/14					
RIVERPARK/WEDGEWOOD-INS WEDGEWD INSTL	RWGI	04/03/14	2,580.283	46,986.96	46,986.96		.00
	76882K306	06/02/14					
VANGUARD FTSE EUROPE ETF	VGK	05/05/14	402.000	21,518.78	24,096.85		-2,578.07
	922042874	10/08/14					
VANGUARD FTSE EUROPE ETF	VGK	Various	519.000	27,773.10	30,999.45		-3,226.35
	922042874	10/08/14					
VANGUARD FTSE EUROPE ETF	VGK	04/03/14	223.000	11,932.36	13,204.81		-1,272.45
	922042874	10/08/14					
VANGUARD FTSE EUROPE ETF	VGK	04/03/14	297.000	15,784.42	17,586.68		-1,802.26
	922042874	10/09/14					



THE PLUM SPRING FOUNDATION  
Account Number: S 42444-00-0

Capital Gain and Loss Schedule

Short-Term Covered

\* Code: W Indicates Wash Sale  
L Indicates a Nondeductible Loss other than a Wash Sale  
\*\* Ordinary Income D Indicates Ordinary Income gain or loss  
F Indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
VANGUARD FTSE EUROPE ETF	VGK		04/03/14	112.000	5,882.74	6,632.01		-749.27
	922042874		10/09/14					
Total Short-Term Covered					548,972.72	578,237.86		-29,265.14





**Capital Gain and Loss Schedule**  
THE PLUM SPRING FOUNDATION  
Account Number: S 42444-00-0

**Short-Term Noncovered**

\* Code W Indicates Wash Sale  
L Indicates a Nondeductible Loss other than a Wash Sale  
\*\* Ordinary Income D Indicates Ordinary Income gain or loss  
F Indicates Foreign Exchange gain or loss on Capital transactions

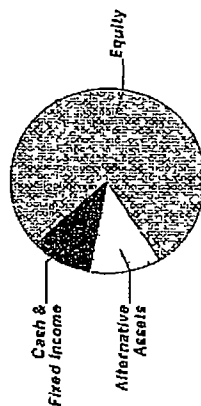
Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM ENHANCED BETA DAILY RETURN NOTE LNKD TO JBACDJUST MATURITY DATE 11/27/18 DD 11/22/13	48126NTE7		07/11/14 11/21/14	66,000.000	59,915.06	69,350.82		-9,435.76
JPM LEV CONT BUFF EQ WTI 12/21/15 85% BARRIER - 10% CAP - 0% CPN 150% LEVERAGE - 15% MAX PAYMENT INITIAL LEVEL-9/26/14 CL1:93.54	48127DNU6		09/26/14 12/08/14	25,000.000	17,462.50	25,000.00		-7,537.50
<b>Total Short-Term Noncovered</b>					<b>77,377.56</b>	<b>94,350.82</b>		<b>-16,973.26</b>



THE PLUM SPRING FOUNDATION ACCT. S42444000  
 For the Period 12/1/14 to 12/31/14

## Account Summary

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	3,739,260.16	3,593,907.93	(145,352.23)	54,158.46	78%
Alternative Assets	608,914.70	571,826.78	(37,087.92)	12,983.46	12%
Cash & Fixed Income	372,103.48	484,454.01	112,350.53	6,165.41	10%
Market Value	\$4,720,278.34	\$4,650,188.72	(\$70,089.62)	\$73,307.33	100%
Accruals	92.00	4,171.21	4,079.21		
Market Value with Accruals	\$4,720,370.34	\$4,654,359.93	(\$66,010.41)		

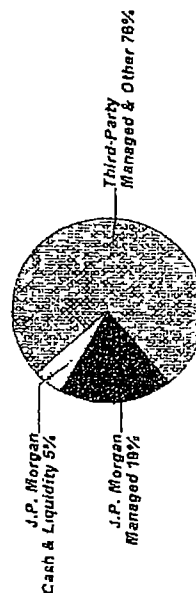


\* J.P. Morgan Managed includes (to the extent permitted under applicable law) mutual funds, other registered funds and hedge funds managed by J.P. Morgan and structured products issued by J.P. Morgan. J.P. Morgan Cash & Liquidity Funds includes cash, J.P. Morgan deposit sweeps and J.P. Morgan money market mutual funds. Third-Party Managed & Other includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J.P. Morgan; structured products and exchange traded notes issued by parties other than J.P. Morgan; and other investments not managed or issued by J.P. Morgan. See Important Information about investment principles and conflicts in the disclosures section.

## Portfolio Activity

	Current Period Value	Year-to-Date Value
Beginning Market Value	4,720,278.34	0.00
Contributions		4,600,000.00
Withdrawals & Fees		(16,710.15)
Net Contributions/Withdrawals	\$0.00	\$4,583,289.85
Income & Distributions	47,382.42	78,612.38
Change In Investment Value	(117,472.04)	(11,713.51)
Ending Market Value	\$4,650,188.72	\$4,650,188.72
Accruals	4,171.21	4,171.21
Market Value with Accruals	\$4,654,359.93	\$4,654,359.93

## Manager Allocation \*



J.P. Morgan



THE PLUM SPRING FOUNDATION ACCT. S42444000  
For the Period 12/1/14 to 12/31/14

## Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
JOHN HANCOCK III-DISCLN V-I 47803U-64-0 JVLI X	18.97	5,084.269	96,448.58	93,517.16	2,931.42	747.38	0.77%
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	29.40	9,251.651	271,998.54	255,714.42	16,284.12	1,776.31	0.65%
4812A2-38-9 JLPS X							
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	15.70	21,378.843	335,647.84	325,895.77	9,752.07	3,142.68	0.94%
RIVERPARK/WEDGEWOOD-INS WEDGEWD INSTL	18.47	2,531.370	46,754.40	46,096.25	658.15	20.25	0.04%
76882K-30-6 RWGI X							
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	205.54	3,671.000	754,537.34	701,342.77	53,194.57	14,078.28	1.87%
						4,166.29	
<b>Total US Large Cap Equity</b>			<b>\$1,505,386.70</b>	<b>\$1,422,566.37</b>	<b>\$82,820.33</b>	<b>\$19,764.90</b> <b>\$4,166.29</b>	<b>1.31%</b>
<b>US Mid Cap Equity</b>							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	144.80	1,999.000	289,455.20	274,187.97	15,267.23	3,882.05	1.34%
JPM MID CAP VALUE FD - INSTL FUND 758	37.15	2,547.198	94,628.41	93,517.16	1,111.25	1,021.42	1.08%
339128-10-0 FLMV X							
<b>Total US Mid Cap Equity</b>			<b>\$384,083.61</b>	<b>\$367,705.13</b>	<b>\$16,378.48</b>	<b>\$4,903.47</b>	<b>1.28%</b>

J.P. Morgan



THE PLUM SPRING FOUNDATION ACCT. S42444000  
For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>EAFE Equity</b>							
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT 14042Y-60-1 CNUS X	11.35	23,978.389	272,154.72	280,033.47	(7,878.75)	3,332.99	1.22%
CAUSEWAY INTERNATL VALUE-INS 14949P-20-8 CIVI X	14.79	17,427.917	257,758.89	280,286.72	(22,527.83)	8,605.18	2.56%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	60.84	3,702.000	225,229.68	244,386.70	(19,157.02)	8,370.22	3.72%
T ROWE PRICE OVERSEAS STOCK 77956H-75-7 TROS X	9.42	27,128.467	255,550.16	281,368.04	(25,817.88)		
Total EAFE Equity			\$1,010,693.45	\$1,086,074.93	(\$75,381.48)	\$18,308.39	1.81%

**Japanese Large Cap Equity**

BROWN ADV JAPAN ALPHA OPP-AS 115233-57-9 BAFJ X	10.78	4,638.616	50,004.28	46,386.16	3,618.12	2,361.05	4.72%
--	-------	-----------	-----------	-----------	----------	----------	-------

**Asia ex-Japan Equity**

ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 003021-69-8 AAPJ X	11.36	18,827.589	213,881.41	225,479.63	(11,598.22)	2,805.31	1.31%
--	-------	------------	------------	------------	-------------	----------	-------



THE PLUM SPRING FOUNDATION ACCT. S42444000  
 For the Period 12/1/14 to 12/31/14

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>Emerging Market Equity</b>							
NEUBERGER BERMAN EQUITY FDS GCHINA EQ INST 64122Q-46-S NCEI X	12.68	4,142.664	52,528.98	47,723.49	4,805.49	389.41	0.74%
<b>Global Equity</b>							
JPM GLBL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	18.31	20,607.837	377,329.50	372,130.55	5,198.95	5,625.93	1.49%

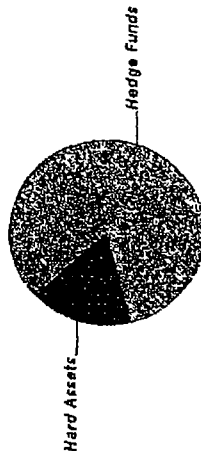


THE PLUM SPRING FOUNDATION ACCT. S42444000  
 For the Period 12/1/14 to 12/31/14

## Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	475,948.67	470,821.09	(5,127.58)	10%
Hard Assets	132,966.03	101,005.99	(31,960.34)	2%
<b>Total Value</b>	<b>\$608,914.70</b>	<b>\$571,826.78</b>	<b>(\$37,087.92)</b>	<b>12%</b>

### Asset Categories



Alternative Assets as a percentage of your portfolio - 12 %

## Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
<b>Hedge Funds</b>				
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9.31	15,105.868	140,635.63	141,240.65
GATEWAY FUND-Y 367829-88-4 GTEY X	29.57	4,817.470	142,452.59	141,811.86

J.P.Morgan



THE PLUM SPRING FOUNDATION ACCT. S42444000  
 For the Period 12/1/14 to 12/31/14

	Price	Quantity	Estimated Value	Cost
<b>Hedge Funds</b>				
<b>GOLDMAN SACHS TR</b>				
STRG INCM INST	10.28	8,869.301	91,176.41	94,279.49
38145C-64-6 GSZI X				
<b>GOTHAM ABSOLUTE RETURN FUND</b>				
360873-13-7 GARI X	13.90	6,946.508	96,556.46	93,083.21
<b>Total Hedge Funds</b>			<b>\$470,821.09</b>	<b>\$470,415.21</b>

	Price	Quantity	Estimated Value	Cost	Est. Annual Income Accrued Income
<b>Hard Assets</b>					
<b>DB MARKET PLUS WT1 07/21/15</b>					
79.9% BARRIER- 6.5%CPN	55.29	25,000.000	13,822.50	25,000.00	
INITIAL LEVEL 05/23/14 CL1 104.35					
25152R-KH-5					
<b>JPM DAILY RETURN NOTE</b>					
LNKD TO BCOMF3	90.23	45,000.000	40,603.50	45,000.00	
MATURITY DATE 11/25/16					
DD 11/21/14					
48127D-PJ-1					



THE PLUM SPRING FOUNDATION ACCT. S42444000  
 For the Period 12/1/14 to 12/31/14



00540738880250271808  
 00640730250010271808

	Price	Quantity	Estimated Value	Cost	Est. Annual Income
					Accrued Income
Hard Assets					
PIMCO COMMODITY PL STRAT-P	7.65	6,088.848	46,579.69	69,095.68	2,526.87
72201P-16-7 PCLP X					
Total Hard Assets			\$101,005.69	\$139,095.68	\$2,526.87





THE PLUM SPRING FOUNDATION ACCT. S42444000  
For the Period 12/1/14 to 12/31/14

Note: <sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

## Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost	Unrealized	Est. Annual Income	Yield
				Original Cost	Gain/Loss	Accrued Interest	
Cash							
US DOLLAR	1.00	249,409.71	249,409.71	249,409.71		74.82	0.03 % <sup>1</sup>
						4.92	
US Fixed Income							
JPM STRAT INC OPP FD - SEL FUND 3844 4812A4-35-1	11.72	3,949.58	46,289.08	47,000.00	(710.92)	734.62	1.59 %
JPM SHORT DURATION BD FD - SEL FUND 3133 4812C1-33-0	10.86	8,756.61	95,096.73	95,446.99	(350.26)	796.85	0.84 %
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.97	8,537.69	93,658.49	94,000.00	(341.51)	4,559.12	4.87 %
Total US Fixed Income			\$235,044.30	\$236,446.99	(\$1,402.69)	\$6,090.59	2.59 %



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN (2444)	321.	321.	
JP MORGAN (9972)	1,264.	1,264.	
TOTAL TO PART I, LINE 3	1,585.	1,585.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN (2444)	83,036.	0.	83,036.	83,036.	
JP MORGAN (2444)	47,770.	47,770.	0.	0.	
TO PART I, LINE 4	130,806.	47,770.	83,036.	83,036.	

## FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	478.	0.		478.
TO FM 990-PF, PG 1, LN 16A	478.	0.		478.

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,060.	0.		5,060.
TO FORM 990-PF, PG 1, LN 16B	5,060.	0.		5,060.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PA BCO-10	250.	0.		250.	
TO FORM 990-PF, PG 1, LN 18	250.	0.		250.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	639.	0.		639.	
JP MORGAN ACCOUNT MANAGEMENT FEE	16,706.	16,706.		0.	
TO FORM 990-PF, PG 1, LN 23	17,345.	16,706.		639.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
EQUITY AND OTHER INVESTMENTS	4,418,195.	4,404,950.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,418,195.	4,404,950.		

---



---

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
-------------	---	-----------	---

---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DAISY HUTTON 1498 KING CHARLES DR PITTSBURGH, PA 15237	PRESIDENT 20.00	24,272.	0.	0.
EVELYN KOW 1498 KING CHARLES DR PITTSBURGH, PA 15237	SECRETARY 0.00	0.	0.	0.
JEFF HUTTON 1498 KING CHARLES DR PITTSBURGH, PA 15237	TREASURER 0.00	0.	0.	0.
DONNY YALE CHEN 1498 KING CHARLES DR PITTSBURGH, PA 15237	VICE PRESIDENT 0.00	0.	0.	0.
SHIHRUEY THOMAS CHEN 1498 KING CHARLES DR PITTSBURGH, PA 15237	DIRECTOR 0.00	0.	0.	0.
LIHWA WANG CHEN 1498 KING CHARLES DR PITTSBURGH, PA 15237	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		24,272.	0.	0.

---



---

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	9
-------------	--	-----------	---

---

NAME OF MANAGER
SHIHRUEY THOMAS CHEN
LIHWA WANG CHEN