Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: TSUHA FOUNDATION

A Employer identification number: 46-1547854

Number and street (or P O box number if mail is not delivered to street address): 1003 BISHOP STREET — Room/suite: 1910

B Telephone number: (808)369-8300

City or town, state or province, country, and ZIP or foreign postal code: HONOLULU, HI 96813

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 6,330,761.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 323,656. | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 133,574. | 133,574. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 169,425. | | | |
| | b Gross sales price for all assets on line 6a 1,128,103. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 169,425. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 626,655. | 302,999. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees STMT 2 | 1,128. | 564. | | 564. |
| | b Accounting fees STMT 3 | 21,694. | 10,847. | | 10,847. |
| | c Other professional fees STMT 4 | 78,080. | 65,380. | | 12,700. |
| | 17 Interest | 55. | 55. | | 0. |
| | 18 Taxes STMT 5 | 15,368. | 15,368. | | 0. |
| | 19 Depreciation and depletion | 6,821. | 6,821. | | |
| | 20 Occupancy | 31,905. | 15,953. | | 15,953. |
| | 21 Travel, conferences, and meetings | 5,918. | 2,959. | | 2,959. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 6 | 11,426. | 5,847. | | 5,583. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 172,395. | 123,794. | | 48,606. |
| | 25 Contributions, gifts, grants paid | 292,000. | | | 292,000. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 464,395. | 123,794. | | 340,606. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 162,260. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 179,205. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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| Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | 241,857. | 312,118. | 312,118. |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | 331,299. | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 8 | 5,146,837. | 5,524,602. | 5,988,367. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ 43,920. | | | |
| | Less accumulated depreciation STMT 9 ▶ 13,644. | 37,097. | 30,276. | 30,276. |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 5,757,090. | 5,866,996. | 6,330,761. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31 | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 5,757,090. | 5,866,996. | |
| | 30 Total net assets or fund balances | 5,757,090. | 5,866,996. | |
| | 31 Total liabilities and net assets/fund balances | 5,757,090. | 5,866,996. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,757,090. |
| 2 | Enter amount from Part I, line 27a | 2 | 162,260. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 5,919,350. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 5 | 52,354. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,866,996. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 1,128,103. | | 1,038,348. | 169,425. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 169,425. |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 169,425. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2013 | 257,176. | 5,740,332. | .044802 |
| 2012 | 0. | 5,412,911. | .000000 |
| 2011 | | | |
| 2010 | | | |
| 2009 | | | |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | .044802 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .022401 |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 5,890,554. |
| 5 Multiply line 4 by line 3 | 5 | 131,954. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,792. |
| 7 Add lines 5 and 6 | 7 | 133,746. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 340,606. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| **1a** Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)** | | | | |
| **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | | 1 | 1,792. |
| **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| **2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| **3** Add lines 1 and 2 | | | 3 | 1,792. |
| **4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| **5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 1,792. |
| **6** Credits/Payments: | | | | |
| **a** 2014 estimated tax payments and 2013 overpayment credited to 2014 | 6a | 6,977. | | |
| **b** Exempt foreign organizations - tax withheld at source | 6b | | | |
| **c** Tax paid with application for extension of time to file (Form 8868) | 6c | 1,500. | | |
| **d** Backup withholding erroneously withheld | 6d | | | |
| **7** Total credits and payments. Add lines 6a through 6d | | | 7 | 8,477. |
| **8** Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached | | | 8 | |
| **9** **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| **10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 6,685. |
| **11** Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ | 6,685. | **Refunded** ▶ | 11 | 0. |

**Part VII-A** **Statements Regarding Activities**

| | | Yes | No |
|---|---|---|---|
| **1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| **b** Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| **c** Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| **d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| **e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| **2** Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| **3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| **4a** Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| **b** If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| **5** Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| **6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| **7** Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| **8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI,HI | | | |
| **b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| **9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| **10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.TSUHAFOUNDATION.ORG

14 The books are in care of ▶ WALLACE K. TSUHA Telephone no. ▶808-369-8300
Located at ▶1003 BISHOP STREET, SUITE 1910, HONOLULU, HI ZIP+4 ▶96813

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | ☐ Yes ☒ No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | |
| *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | X |
| *If "Yes" to 6b, file Form 8870* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| WALLACE TSUHA<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR, PRESIDENT<br>0.00 | 0. | 0. | 0. |
| JENNIFER PELLING<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR, SECRETARY<br>0.00 | 0. | 0. | 0. |
| CHRISTOPHER TSUHA<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR<br>0.00 | 0. | 0. | 0. |
| UI CHUNG KIM TSUHA<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR<br>0.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 5,737,109. |
| b | Average of monthly cash balances | | 1b | 212,589. |
| c | Fair market value of all other assets | | 1c | 30,560. |
| d | **Total** (add lines 1a, b, and c) | | 1d | 5,980,258. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 5,980,258. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 89,704. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 5,890,554. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 294,528. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 294,528. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a 1,792. | | |
| b | Income tax for 2014. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 1,792. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 292,736. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 292,736. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 292,736. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 340,606. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 340,606. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 1,792. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 338,814. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 292,736. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 25,972. | |
| b Total for prior years: ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014: | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 340,606. | | | | |
| a Applied to 2013, but not more than line 2a | | | 25,972. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 292,736. |
| e Remaining amount distributed out of corpus | 21,898. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 21,898. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 21,898. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 21,898. | | | | |

**Part XIV | Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

WALLACE TSUHA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ACE FOUNDATION<br>8919 ROSSASH ROAD<br>CINCINNATI, OH 45236 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 25,000. |
| COMMON GRACE MINISTRIES INC.<br>6010 HILLSIDE LANE<br>GARLAND, TX 75043 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 5,000. |
| END OF THE ROAD INTERNATIONAL<br>MINISTRIES INC.<br>5180 WASHAKIE TRL<br>BRIGHTON, MI 48116-9743 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 14,000. |
| FAITH BAPTIST CHURCH<br>1230 KAILUA ROAD<br>KAILUA, HI 96734 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 6,000. |
| FARRINGTON ALUMNI AND COMMUNITY<br>FOUNDATION<br>PO BOX 4261<br>HONOLULU, HI 96812-4261 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 5,000. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ **3a** | 292,000. |
| **b** *Approved for future payment*<br><br>NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ **3b** | 0. |

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**Part XVI-A** **Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 133,574. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 169,425. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 302,999. | 0. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 302,999.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B** **Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

X Wallace K. Tsuha X 6/30/15 PRESIDENT

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| KIM A.T. JONES | Kim A.T. Jones | 6/25/15 | | P00940140 |
| Firm's name ▶ ACCUITY LLP | | | Firm's EIN ▶ 20-5325889 | |
| Firm's address ▶ 999 BISHOP STREET, STE. 1900 HONOLULU, HI 96813 | | | Phone no. 808-531-3400 | |

Form **990-PF** (2014)

423622
11-24-14

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | GS #833-1 SHORT TERM - ATTACHMENT B | P | VARIOUS | VARIOUS |
| b | GS #833-1 LONG TERM - ATTACHMENT B | P | VARIOUS | VARIOUS |
| c | GS #833-1 LONG TERM - ATTACHMENT B CAPITAL GAIN | P | VARIOUS | VARIOUS |
| d | GS #834-9 SHORT TERM - ATTACHMENT C | P | VARIOUS | VARIOUS |
| e | GS #834-9 LONG TERM - ATTACHMENT C | P | VARIOUS | VARIOUS |
| f | GS #835-6 SHORT TERM - ATTACHMENT D | P | VARIOUS | VARIOUS |
| g | GS #835-6 LONG TERM - ATTACHMENT D | P | VARIOUS | VARIOUS |
| h | GS #836-4 SHORT TERM - ATTACHMENT E | P | VARIOUS | VARIOUS |
| i | GS #836-4 LONG TERM - ATTACHMENT E | P | VARIOUS | VARIOUS |
| j | GS #836-4 LONG TERM CAPITAL GAIN DIVIDEND - ATTAC | P | VARIOUS | VARIOUS |
| k | GS #836-4 LONG TERM - ATTACHMENT E | P | VARIOUS | VARIOUS |
| l | GS #837-2 SHORT TERM - ATTACHMENT F | P | VARIOUS | VARIOUS |
| m | GS #837-2 LONG TERM - ATTACHMENT F | P | VARIOUS | VARIOUS |
| n | GS #842-2 REGULATED FUTURES CONTRACT S/T - ATTACH | P | VARIOUS | VARIOUS |
| o | GS #842-2 REGULATED FUTURES CONTRACT L/T - ATTACH | P | VARIOUS | VARIOUS |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 73,953. | 0. | 70,000. | 3,953. |
| b | 160,159. | 0. | 183,923. | <23,764.> |
| c | | | | 1,538. |
| d | 71,765. | 0. | 72,637. | <872.> |
| e | 147,601. | 0. | 151,042. | <3,441.> |
| f | 119,275. | 0. | 107,854. | 11,421. |
| g | 33,351. | 0. | 30,180. | 3,171. |
| h | 116,187. | 0. | 105,333. | 10,854. |
| i | 57,152. | 0. | 55,301. | 1,851. |
| j | | | | 265. |
| k | | | | 122. |
| l | 8,279. | 0. | 7,924. | 355. |
| m | 7,724. | 0. | 8,152. | <428.> |
| n | | | | 31,098. |
| o | | | | 46,647. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 3,953. |
| b | | | | <23,764.> |
| c | | | | 1,538. |
| d | | | | <872.> |
| e | | | | <3,441.> |
| f | | | | 11,421. |
| g | | | | 3,171. |
| h | | | | 10,854. |
| i | | | | 1,851. |
| j | | | | 265. |
| k | | | | 122. |
| l | | | | 355. |
| m | | | | <428.> |
| n | | | | 31,098. |
| o | | | | 46,647. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | |

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05-01-14

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | MS #50 129 LONG TERM - ATTACHMENT I | P | VARIOUS | VARIOUS |
| b | MS #53 129 SHORT TERM - ATTACHMENT J | P | VARIOUS | VARIOUS |
| c | MS #53 129 LONG TERM - ATTACHMENT J | P | VARIOUS | VARIOUS |
| d | MS #70 129 SHORT TERM - ATTACHMENT K | P | VARIOUS | VARIOUS |
| e | MS #70 129 LONG TERM - ATTACHMENT K | P | VARIOUS | VARIOUS |
| f | MS #71 129 SHORT TERM - ATTACHMENT L | P | VARIOUS | VARIOUS |
| g | MS #71 129 LONG TERM - ATTACHMENT L | P | VARIOUS | VARIOUS |
| h | MS #93 129 SHORT TERM - ATTACHMENT M | P | VARIOUS | VARIOUS |
| i | MS #93 129 LONG TERM - ATTACHMENT M | P | VARIOUS | VARIOUS |
| j | MS #48 129 SHORT TERM - ATTACHMENT N | P | VARIOUS | VARIOUS |
| k | MS #51 129 LONG TERM - ATTACHMENT O | P | VARIOUS | VARIOUS |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 11,497. | 0. | 2,358. | 9,139. |
| b | 16,211. | 0. | 23,249. | <7,038.> |
| c | 56,736. | 0. | 26,062. | 30,674. |
| d | 8,160. | 0. | 7,237. | 923. |
| e | 4,430. | 0. | 3,128. | 1,302. |
| f | 17,113. | 0. | 13,825. | 3,288. |
| g | 25,045. | 0. | 19,908. | 5,137. |
| h | 96,359. | 0. | 89,857. | 6,502. |
| i | 96,612. | 0. | 59,809. | 36,803. |
| j | 487. | 0. | 569. | <82.> |
| k | 7. | 0. | | 7. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | 9,139. |
| b | | | | <7,038.> |
| c | | | | 30,674. |
| d | | | | 923. |
| e | | | | 1,302. |
| f | | | | 3,288. |
| g | | | | 5,137. |
| h | | | | 6,502. |
| i | | | | 36,803. |
| j | | | | <82.> |
| k | | | | 7. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 } | 2 | 169,425. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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05-01-14

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| JOHN CORCORAN FOUNDATION<br>1925 SOUTH COAST HIGHWAY<br>OCEANSIDE, CA 92054 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 75,000. |
| PUNAHOU SCHOOL<br>1601 PUNAHOU STREET<br>HONOLULU, HI 96822-3336 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 65,000. |
| TWR DONOR SERVICES<br>PO BOX 8700<br>CARY, NC 27512-8700 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 2,000. |
| UNITED CEREBRAL PALSY DETROIT<br>23077 GREENFIELD ROAD, SUITE 205<br>SOUTHFIELD, MI 48075 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 10,000. |
| US - JAPAN COUNCIL<br>1819 L STREET, NW<br>WASHINGTON, DC 20036 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 25,000. |
| VISIONS MADE VIABLE<br>17595 HARVARD AVENUE, SUITE C235<br>IRVINE, CA 92614 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 35,000. |
| WORKSHOPS FOR WARRIORS<br>2970 MAIN STREET<br>SAN DIEGO, CA 92113 | N/A | 501(C)(3) | GENERAL PURPOSE GRANT | 25,000. |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | 237,000. |

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05-01-14

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| DOMESTIC DIVIDENDS | 75,110. | 0. | 75,110. | 75,110. | |
| FOREIGN DIVIDENDS | 23,532. | 0. | 23,532. | 23,532. | |
| GOLDMAN SACHS - INTEREST | 34,124. | 0. | 34,124. | 34,124. | |
| MORGAN STANLEY - INTEREST | 15. | 0. | 15. | 15. | |
| NONDIVIDEND DISTRIBUTIONS | 793. | 0. | 793. | 793. | |
| TO PART I, LINE 4 | 133,574. | 0. | 133,574. | 133,574. | |

FORM 990-PF LEGAL FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 1,128. | 564. | | 564. |
| TO FM 990-PF, PG 1, LN 16A | 1,128. | 564. | | 564. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| PRICEWATERHOUSECOOPERS | 18,500. | 9,250. | | 9,250. |
| ACCUITY | 3,194. | 1,597. | | 1,597. |
| TO FORM 990-PF, PG 1, LN 16B | 21,694. | 10,847. | | 10,847. |

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| OTHER PROFESSIONAL FEES | 25,400. | 12,700. | | 12,700. |
| GOLDMAN SACHS | 38,273. | 38,273. | | 0. |
| MORGAN STANLEY | 14,407. | 14,407. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 78,080. | 65,380. | | 12,700. |

FORM 990-PF — TAXES — STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAX | 2,168. | 2,168. | | 0. |
| FEDERAL TAX PAYMENT | 13,200. | 13,200. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 15,368. | 15,368. | | 0. |

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BANK FEES | 65. | 33. | | 33. |
| BUSINESS INSURANCE | 1,637. | 819. | | 819. |
| OFFICE EXPENSES | 4,805. | 2,403. | | 2,403. |
| UTILITIES - TELEPHONE | 2,564. | 1,282. | | 1,282. |
| UTILITIES - INTERNET | 1,954. | 977. | | 977. |
| MISCELLANEOUS EXPENSES | 137. | 69. | | 69. |
| RECLAIMABLE FOREIGN TAX | 264. | 264. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 11,426. | 5,847. | | 5,583. |

| FORM 990-PF | OTHER DECREASES IN NET ASSETS OR FUND BALANCES | STATEMENT 7 |
|---|---|---|

| DESCRIPTION | AMOUNT |
|---|---|
| FMV > COST OF ASSETS DONATED TO FOUNDATION | 45,199. |
| YEAR-END TIMING DIFFERENCES/ACCOUNT ADJUSTMENTS | 7,155. |
| TOTAL TO FORM 990-PF, PART III, LINE 5 | 52,354. |

| FORM 990-PF | CORPORATE STOCK | STATEMENT 8 |
|---|---|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| CORPORATE STOCK - SEE ATTACHMENT B | 1,851,195. | 2,096,438. |
| CORPORATE STOCK - SEE ATTACHMENT D | 210,508. | 222,150. |
| CORPORATE STOCK - SEE ATTACHMENT F | 150,675. | 156,929. |
| CORPORATE STOCK - SEE ATTACHMENT H | 36,169. | 36,169. |
| CORPORATE STOCK - SEE ATTACHMENT I | 119,558. | 233,955. |
| CORPORATE STOCK - SEE ATTACHMENT J | 141,467. | 187,815. |
| CORPORATE STOCK - SEE ATTACHMENT K | 100,332. | 92,305. |
| CORPORATE STOCK - SEE ATTACHMENT L | 103,105. | 129,268. |
| CORPORATE STOCK - SEE ATTACHMENT M | 136,666. | 147,039. |
| CORPORATE STOCK - SEE ATTACHMENT N | 146,122. | 189,000. |
| CORPORATE STOCK - SEE ATTACHMENT O | 119,286. | 122,368. |
| CORPORATE BONDS - SEE ATTACHMENT B | 620,000. | 600,813. |
| CORPORATE BONDS - SEE ATTACHMENT C | 1,789,519. | 1,774,118. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 5,524,602. | 5,988,367. |

| FORM 990-PF | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT 9 |
|---|---|---|

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|---|---|---|---|
| FURNITURE | 34,344. | 9,814. | 24,530. |
| COMPUTER | 9,576. | 3,830. | 5,746. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 43,920. | 13,644. | 30,276. |

## 2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

| Asset No | Description | Date Acquired | Method | Life | Conv | Line No | Unadjusted Cost Or Basis | Bus % Excl | Section 179 Expense | * Reduction In Basis | Basis For Depreciation | Beginning Accumulated Depreciation | Current Sec 179 Expense | Current Year Deduction | Ending Accumulated Depreciation |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 11 | FURNITURE | 01/01/13 | SL | 7.00 | | 16 | 34,344. | | | | 34,344. | 4,908. | | 4,906. | 9,814. |
| 12 | COMPUTER | 01/01/13 | SL | 5.00 | | 16 | 9,576. | | | | 9,576. | 1,915. | | 1,915. | 3,830. |
| | * TOTAL 990-PF PG 1 DEPR | | | | | | 43,920. | | | | 43,920. | 6,823. | | 6,821. | 13,644. |

428111
05-01-14

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization

**(Including Information on Listed Property)** 990-PF

▶ **Attach to your tax return.**

▶ **Information about Form 4562 and its separate instructions is at** www.irs.gov/form4562

OMB No 1545-0172

**2014**

Attachment Sequence No **179**

| Name(s) shown on return | Business or activity to which this form relates | Identifying number |
|---|---|---|
| TSUHA FOUNDATION | FORM 990-PF PAGE 1 | 46-1547854 |

**Part I** **Election To Expense Certain Property Under Section 179** **Note:** *If you have any listed property, complete Part V before you complete Part I*

| | | | |
|---|---|---|---|
| 1 | Maximum amount (see instructions) | 1 | 500,000. |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation | 3 | 2,000,000. |
| 4 | Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| 6 | (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |

| | | | |
|---|---|---|---|
| 7 | Listed property Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2013 Form 4562 | 10 | |
| 11 | Business income limitation Enter the smaller of business income (not less than zero) or line 5 | 11 | |
| 12 | Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2015 Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** *Do not use Part II or Part III below for listed property Instead, use Part V*

**Part II** **Special Depreciation Allowance and Other Depreciation (Do not** include listed property )

| | | | |
|---|---|---|---|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | 6,821. |

**Part III** **MACRS Depreciation (Do not** include listed property ) (See instructions )

**Section A**

| | | | |
|---|---|---|---|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2014 | 17 | |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

**Section B - Assets Placed in Service During 2014 Tax Year Using the General Depreciation System**

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only - see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | / | | 27 5 yrs | MM | S/L | |
| | / | | 27 5 yrs | MM | S/L | |
| i Nonresidential real property | / | | 39 yrs | MM | S/L | |
| | / | | | MM | S/L | |

**Section C - Assets Placed in Service During 2014 Tax Year Using the Alternative Depreciation System**

| | | | | | | |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs | | S/L | |
| c 40-year | / | | 40 yrs | MM | S/L | |

**Part IV** **Summary** (See instructions )

| | | | |
|---|---|---|---|
| 21 | Listed property Enter amount from line 28 | 21 | |
| 22 | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr | 22 | 6,821. |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

416251 01-08-15 LHA **For Paperwork Reduction Act Notice, see separate instructions.** Form **4562** (2014)

**Part V** **Listed Property** (Include automobiles, certain other vehicles, certain aircraft, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

**Section A - Depreciation and Other Information (Caution:** *See the instructions for limits for passenger automobiles.)*

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No | 24b If "Yes," is the evidence written? ☐ Yes ☐ No

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use | | | | | | 25 | | |
| 26 Property used more than 50% in a qualified business use | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| 27 Property used 50% or less in a qualified business use | | | | | | | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| 28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 | | | | | | 28 | | |
| 29 Add amounts in column (i), line 26. Enter here and on line 7, page 1 | | | | | | | 29 | |

**Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

| | (a) Vehicle | | (b) Vehicle | | (c) Vehicle | | (d) Vehicle | | (e) Vehicle | | (f) Vehicle | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 30 Total business/investment miles driven during the year (**do not** include commuting miles) | | | | | | | | | | | | |
| 31 Total commuting miles driven during the year | | | | | | | | | | | | |
| 32 Total other personal (noncommuting) miles driven | | | | | | | | | | | | |
| 33 Total miles driven during the year. Add lines 30 through 32 | | | | | | | | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 34 Was the vehicle available for personal use during off-duty hours? | | | | | | | | | | | | |
| 35 Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| 36 Is another vehicle available for personal use? | | | | | | | | | | | | |

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

| | Yes | No |
|---|---|---|
| 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | | |
| 38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | |
| 39 Do you treat all use of vehicles by employees as personal use? | | |
| 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | |
| 41 Do you meet the requirements concerning qualified automobile demonstration use? | | |

**Note:** *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

**Part VI** **Amortization**

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| 42 Amortization of costs that begins during your 2014 tax year | | | | | |
| | | | | | |
| | | | | | |
| 43 Amortization of costs that began before your 2014 tax year | | | | 43 | |
| 44 **Total.** Add amounts in column (f). See the instructions for where to report | | | | 44 | |

Period Ended December 31, 2014

## CASH, DEPOSITS & MONEY MARKET FUNDS

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **CASH** | | | | | | | | |
| U S DOLLAR | 2,977 72 | 1 0000 | 2,977 72 | | 2,977 72 | | | |
| **DEPOSITS & MONEY MARKET FUNDS** | | | | | | | | |
| DEPOSITS | | | | | | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA)[14] | 166,572 71 | 1 0000 | 166,572 71 | 1 0000 | 166,572 71 | 0 00 | 0 0935 | 155 73 |
| **TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS** | | | **169,550.43** | | **169,550.43** | | | **155.73** |

## FIXED INCOME

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) | | |
|---|---|---|---|---|---|---|---|---|
| **OTHER FIXED INCOME** | | | | | | | | |
| EATON VANCE INCOME FUND OF BOSTON | | | | | | | | |
| EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND CLASS I SHARES | 28,621 527 | 5 8700 | 168,008 36 | | | (6,991 64) | | |
| OPPENHEIMER SENIOR FLOATING RATE FUND | | | | | | | | |
| OPPENHEIMER SENIOR FLOATING RATE FUND Y MUTUAL FUND | 27,428 836 | 8 0900 | 221,899 28 | | | (8,100 72) | | |
| PIMCO INCOME FUND | | | | | | | | |
| PIMCO INCOME FUND INSTITUTIONAL | 17,105 089 | 12 3300 | 210,905 75 | | | (4,094 25) | | |
| | **Quantity / Current Face** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Adjusted Cost / Original Cost** | **Unrealized Gain (Loss)** | **Yield to Maturity in Percentage** | **Estimated Annual Income** |
| **TOTAL OTHER FIXED INCOME** | | | **600,813.39** | | **620,000.00** | **(19,186.61)** | | **32,988.07** |

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co Bank Deposit Account, Certificates of Deposit and Term Deposits offered by Goldman Sachs Bank USA, Member FDIC

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| S&P 500 INDEX FUND (SPDR) | | | | | | | | |
| SPDR S&P 500 ETF TRUST (SPY) | 5,925 00 | 205 5400 | 1,217,824 50<br>6,724 40 | 164 3262 | 973,632 55 | 244,191 95 | 1 8660 | 22,724 80 |
| **GLOBAL EQUITY** | | | | | | | | |
| DOW JONES GLOBAL SELECT REAL EST SECURITIES INDEX FD (SPDR) | | | | | | | | |
| SPDR DJ WILSHIRE GLOBAL REAL ESTATE ETF EXCHANGE TRADED FUND (RWO) | 6,830 00 | 47 7300 | 325,995 90<br>2,833 60 | 46 4801 | 317,459 03 | 8,536 87 | 3 0845 | 10,055 45 |
| **NON-US EQUITY** | | | | | | | | |
| MSCI EAFE INDEX FUND (ISHARES) | | | | | | | | |
| ISHARES MSCI EAFE ETF (EFA) | 4,405 00 | 60 8400 | 268,000 20 | 61 3184 | 270,107 53 | (2,107 33) | 3 7169 | 9,961 40 |
| FTSE EMERGING MARKETS INDEX FUND (VANGUARD) | | | | | | | | |
| VANGUARD FTSE EMERGING MKTS ETF (VWO) | 4,280 00 | 40 0200 | 171,285 60 | 42 0551 | 179,995 64 | (8,710 04) | 2 8561 | 4,892 04 |

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| VIRTUS EMERGING MARKETS OPPORTUNITIES FUND | | | | | | |
| VIRTUS EMERGING MARKETS OPPORTUNITIES FUND I (HIEMX) | 10,492 811 | 9 8900 | 103,773 90 | | | (6,226 10) |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **TOTAL NON-US EQUITY** | | | **543,059.70** | | **560,103.17** | **(17,043.47)** | **2.9477** | **16,007.65** |
| **TOTAL PUBLIC EQUITY** | | | **2,086,880.10**<br>**9,558.00** | | **1,851,194.75** | **235,685.35** | **2.3378** | **48,787.90** |

| | Market Value | Adjusted Cost / Original Cost[6] | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **2,866,801.92** | **2,640,745.18** | **216,498.74** | **81,931.71** |

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41833-1 | 46-1547854 | THE TSUHA FOUNDATION |

# PROCEEDS FROM BROKER TRANSACTIONS

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949. CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b: Date acquired | 1d: Proceeds<br>6. Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f Adjustment Code, if any [1]<br>5. NonCovered security | 1g Adjustments<br>2. Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a. Description |
|---|---|---|---|---|---|---|---|
| 38143H563<br>11,871 51 | 06/23/2014<br>05/08/2013 | 79,064 24<br>G | 85,000 00<br>X | | 0 00<br>LONG-TERM | (5,935 76) | GS INTERNATIONAL REAL ESTATE SECURITIES DE DISCRETION<br>EXERCISED |
| 861647501<br>777 28 | 12/16/2014<br>05/08/2013 | 6,482 50<br>G | 8,923 16<br>X | | 0 00<br>LONG-TERM | (2,440 66) | STONE HARBOR LOCAL MARKETS I MUTUAL FUND DE DISCRETION<br>EXERCISED |
| 861647501<br>3,976 14 | 12/16/2014<br>08/02/2013 | 33,161 03<br>G | 40,000 00<br>X | | 0 00<br>LONG-TERM | (6,838 97) | STONE HARBOR LOCAL MARKETS I MUTUAL FUND DE DISCRETION<br>EXERCISED |
| 861647501<br>4,970 18 | 12/16/2014<br>07/02/2013 | 41,451 29<br>G | 50,000 00<br>X | | 0 00<br>LONG-TERM | (8,548 71) | STONE HARBOR LOCAL MARKETS I MUTUAL FUND DE DISCRETION<br>EXERCISED |
| **ACCOUNT TOTAL (COVERED: LONG-TERM)** | | **160,159.06** | **183,923.16** | | | **(23,764.10)** | |



Goldman Sachs

**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**[1] Shows W for wash sale, C for collectibles, or D for market discount.**

**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**



Attachment B

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b. Date acquired | 1d. Proceeds<br>6 Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f Adjustment Code, if any [1]<br>5 NonCovered security | 1g: Adjustments<br>2 Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 38143H563<br>4,724 41 | 06/23/2014<br>08/02/2013 | 31,464 56<br>G | 30,000 00<br>X | | 0 00<br>SHORT-TERM | 1,464 56 | GS INTERNATIONAL REAL ESTATE SECURITIES DE DISCRETION<br>EXERCISED |
| 38143H563<br>6,379 59 | 06/23/2014<br>07/02/2013 | 42,488 04<br>G | 40,000 00<br>X | | 0 00<br>SHORT-TERM | 2,488 04 | GS INTERNATIONAL REAL ESTATE SECURITIES DE DISCRETION<br>EXERCISED |
| **ACCOUNT TOTAL (COVERED: SHORT-TERM)** | | **73,952.60** | **70,000.00** | | | **3,952.60** | |



Goldman Sachs

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

[1] Shows W for wash sale, C for collectibles, or D for market discount.

[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.

[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41833-1 | 46-1547854 | THE TSUHA FOUNDATION |

# 1099-DIV DETAILS (Continued)

## 1099 DIVIDENDS AND DISTRIBUTIONS

| | Product Identifier | Notes | Settlement Date | Amount |
|---|---|---|---|---|
| **BOX 1A: TOTAL ORDINARY DIVIDENDS** | | | | |
| **1B. QUALIFIED US DIVIDENDS** | | | | |
| VANGUARD FTSE EMERGING MKTS ETF Non-Qualified GS 4HPGB8 GROSS DIV ON 4,280 VANGUARD FTSE EMERGING M | 922042858 | Recharacterized | 12/29/2014 | 306 37 |
| EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND Dividend | 277907200 | Recharacterized Cross Year Adjustment | 12/31/2014 | 4 68 |
| PIMCO INCOME FUND INSTITUTION AL Dividend | 72201F490 | Recharacterized Cross Year Adjustment | 12/31/2014 | 0 28 |
| SPDR DJ WILSHIRE GLOBAL REAL ESTATE ETF EXCHANGE TRADED FUND Non-Qualified Gross Dividend On 6,830 Shs @ 0 41487500 SPDR DJ WILSHIRE GLOBAL REAL | 78463X749 | Spillover Recharacterized | 01/02/2015 | 113 87 |
| SPDR S&P 500 ETF TRUST Non-Qualified Gross Dividend On 5,925 Shs @ 1 13492000 SPDR S&P 500 ETF TRUST | 78462F103 | Spillover Recharacterized | 01/30/2015 | 6,348 09 |
| **TOTAL FOR 1B. QUALIFIED US DIVIDENDS** | | | | **35,107.96** |
| **TOTAL FOR BOX 1A: TOTAL ORDINARY DIVIDENDS** | | | | **79,850.91** |
| **BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS** | | | | |
| **2A. LONG TERM CAPITAL GAINS** | | | | |
| VIRTUS EMERGING MARKETS OPPORT UNITIES FUND I Dividend @ 0 03200000 | 92828T889 | Recharacterized | 06/20/2014 | 965 34 |
| PIMCO INCOME FUND INSTITUTION AL Short Term Capital Gain @ 0 00300000 | 72201F490 | Recharacterized | 12/10/2014 | 425 57 |
| VIRTUS EMERGING MARKETS OPPORT UNITIES FUND I Long Term Capital Gain @ 0 01400000 | 92828T889 | Recharacterized | 12/22/2014 | 146 90 |
| **TOTAL FOR 2A. LONG TERM CAPITAL GAINS** | | | | **1,537.81** |
| **TOTAL FOR BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS** | | | | **1,537.81** |
| **BOX 6: FOREIGN TAX PAID** | | | | |
| **6. FOREIGN TAX PAID** | | | | |
| VANGUARD FTSE EMERGING MKTS ETF Non-Qualified Gross Dividend On 4,280 Shs @ 0 10500000 VANGUARD FTSE EMERGING MKTS | 922042858 | Canada Recharacterized | 03/31/2014 | 18 01 |
| VANGUARD FTSE EMERGING MKTS ETF Non-Qualified Gross Dividend On 4,280 Shs @ 0 10500000 VANGUARD FTSE EMERGING MKTS | 922042858 | Canada Recharacterized | 03/31/2014 | 30 51 |
| VIRTUS EMERGING MARKETS OPPORT UNITIES FUND I Dividend @ 0 03200000 | 92828T889 | Recharacterized | 06/20/2014 | 16 61 |



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## FIXED INCOME (Continued)

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| THE GAP, INC 5 95% 04/12/2021 SR LIEN M-W+40 00BP Next Call Dt 01 12 21 S&P BBB- /Moody's Baa3 | 75,000 00 | 113 8010 | 85,350 75<br>979 27 | 114 4509<br>117 88 | 85,838 14<br>88,410 75 | (487 39)<br>(3,060 00) | 3 2890 | 4,462 50 |
| CA, INC 4 5% 08/15/2023 SR LIEN M-W+30 00BP Next Call Dt 05 15 23 S&P BBB+ /Moody's Baa2 | 50,000 00 | 104 7990 | 52,399 50<br>850 00 | 103 2718<br>103 35 | 51,635 90<br>51,675 00 | 763 60<br>724 50 | 4 0360 | 2,250 00 |
| LEGG MASON, INC 3 95% 07/15/2024 SR LIEN M-W+20 00BP S&P BBB /Moody's Baa1 | 100,000 00 | 101 3970 | 101,397 00<br>2,029 86 | 99 8170 | 99,817 00 | 1,580 00 | 3 9720 | 3,950 00 |
| U S TREASURY NOTE 0 250000% 12/15/2015 JD ON THE RUN Moody's Aaa | 125,000 00 | 100 0080 | 125,010 00<br>13 70 | 99 7812 | 124,726 56 | 283 44 | 0 3359 | 312 50 |
| FHLB 3 125% 03/11/2016 MS SR LIEN S&P AA+ /Moody's Aaa | 75,000 00 | 103 1480 | 77,361 00<br>716 15 | 103 1032<br>107 17 | 77,327 39<br>80,379 75 | 33 61<br>(3,018 75) | 0 5130 | 2,343 75 |
| FHLB 1 0% 06/21/2017 JD S&P AA+ /Moody's Aaa | 50,000 00 | 100 0820 | 50,041 00<br>13 89 | 100 7891<br>101 30 | 50,394 56<br>50,651 50 | (353 56)<br>(610 50) | 0 6776 | 500 00 |
| U S TREASURY NOTE 0 750000% 12/31/2017 JD ON THE RUN Moody's Aaa | 125,000 00 | 98 9450 | 123,681 25 | 100 2007<br>100 31 | 125,250 85<br>125,385 74 | (1,569 60)<br>(1,704 49) | 0 6823 | 937 50 |
| KFW 1 0% 06/11/2018 SR LIEN S&P AAA /Moody's Aaa | 100,000 00 | 98 7010 | 98,701 00<br>52 78 | 100 0000 | 100,000 00 | (1,299 00) | 1 0000 | 1,000 00 |
| FHLB 1 875% 03/13/2020 MS S&P AA+ /Moody's Aaa | 100,000 00 | 100 4920 | 100,492 00<br>562 50 | 99 0560 | 99,056 00 | 1,436 00 | 2 0256 | 1,875 00 |
| BRITISH COLUMBIA 2 0% 10/23/2022 USD SR LIEN S&P AAA /Moody's Aaa | 100,000 00 | 97 8190 | 97,819 00<br>377 78 | 96 4877<br>95 80 | 96,487 65<br>95,797 00 | 1,331 35<br>2,022 00 | 2 5070 | 2,000 00 |
| TOTAL GS GOVERNMENT/CORPORATE FIXED INCOME | | | 1,765,297 77<br>8,819 86 | | 1,769,571 45<br>1,789,518 82 | (4,273 68)<br>(24,221 05) | 1 7972 | 43,442 78 |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **1,774,117.63** | **1,769,571.45**<br>**1,789,518.82** | **(4,273.68)**<br>**(24,221.05)** | **43,442.78** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41834-9 | 46-1547854 | THE TSUHA FOUNDATION |

# PROCEEDS FROM BROKER TRANSACTIONS (Continued)

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949: CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b Date acquired | 1d: Proceeds<br>6. Gross or Net Proceeds reported to IRS [3] | 1e. Cost or other basis<br>3: Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5 NonCovered security | 1g Adjustments<br>2. Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a: Description |
|---|---|---|---|---|---|---|---|
| 03027XAB6<br>75,000 00 | 09/24/2014<br>05/02/2014 | 71,764 50<br>G | 72,525 00<br>X | D | 112 46<br>SHORT-TERM | (872 96) | AMERICAN TOWER CORPORATION 3 5% 01/31/2023<br>0ORDER=FINY68220140924 0 DE |
| **ACCOUNT TOTAL (COVERED: SHORT-TERM)** | | **71,764.50** | **72,525.00** | | | **(872.96)** | |



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[1] Shows W for wash sale, C for collectibles, or D for market discount.

[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.

[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).



Attachment C

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| PETROBRAS INTERNATIONAL FIN CO 3 875% 01/27/2016 USD SR LIEN M-W+30 00BP (71645WAT8) | 05/09/2013 | 11/20/2014 | 50,000 00 | 50,165 00 | (1,537 37) | 51,204 13 | (1,039 13) |
| **Net NonCovered Long-Term Gains (Losses)** | | | | **147,601.00** | **(1,537.37)** | **151,042.13** | **(3,441.13)** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

# THE TSUHA FOUNDATION - CAMBIAR SMALL CAP

Holdings (Continued)

Period Ended December 31, 2014

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| CAMBIAR SMALL CAP CORE | | | | | | | | |
| THIRD POINT REINSURANCE LTD CMN (TPRE) | 220 00 | 14 4900 | 3,187 80 | 13 3099 | 2,928 17 | 259 63 | | |
| TIVO INC CMN (TIVO) | 335 00 | 11 8400 | 3,966 40 | 12 8109 | 4,291 64 | (325 24) | | |
| UMPQUA HLDGS CORP CMN (UMPQ) | 228 00 | 17 0100 | 3,878 28<br>34 20 | 14 0099 | 3,194 26 | 684 02 | 3 5273 | 136 80 |
| WELLCARE HEALTH PLANS INC CMN (WCG) | 56 00 | 82 0600 | 4,595 36 | 63 1898 | 3,538 63 | 1,056 73 | | |
| AVG TECHNOLOGIES N V CMN (AVG) | 185 00 | 19 7400 | 3,651 90 | 20 5043 | 3,793 30 | (141 40) | | |
| EVERTEC INC CMN (EVTC) | 185 00 | 22 1300 | 4,094 05 | 24 0502 | 4,449 29 | (355 24) | 1 8075 | 74 00 |
| TOTAL CAMBIAR SMALL CAP CORE | | | 221,701 59<br>448 19 | | 210,507 61 | 11,193 98 | 1 8999 | 2,565 21 |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **222,149.78** | **210,507.61** | **11,193.98** | **2,565.21** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

# PROCEEDS FROM BROKER TRANSACTIONS
**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

| Tax Year | Account No | Tax ID Number | Legal Name | Revised On |
|---|---|---|---|---|
| 2014 | 046-41835-6 | 46-1547854 | THE TSUHA FOUNDATION | Apr 01, 2015 |

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
APPLICABLE CHECK BOX ON FORM 8949 CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c. Date sold or disposed<br>1b: Date acquired | 1d Proceeds<br>6. Gross or Net Proceeds reported to IRS[3] | 1e Cost or other basis<br>3. Basis reported to IRS | 1f Adjustment Code, if any[1]<br>5: NonCovered security | 1g. Adjustments<br>2 Type of gain or loss | Gain or (Loss)[2]<br>7. Loss not allowed based on amount in 1d | 1a. Description |
|---|---|---|---|---|---|---|---|
| 00912X302<br>34 00 | 05/21/2014<br>05/09/2013 | 1,302 10<br>G | 1,034 87<br>X | | 0 00<br>LONG-TERM | 267 23 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 144285103<br>35 00 | 06/03/2014<br>05/09/2013 | 2,165 83<br>G | 1,643 75<br>X | | 0 00<br>LONG-TERM | 522 08 | CARPENTER TECHNOLOGY INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 127055101<br>28 00 | 06/30/2014<br>05/09/2013 | 1,624 77<br>G | 1,072 58<br>X | | 0 00<br>LONG-TERM | 552 19 | CABOT CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 127055101<br>3 00 | 07/01/2014<br>05/09/2013 | 175 52<br>G | 114 92<br>X | | 0 00<br>LONG-TERM | 60 60 | CABOT CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>14 00 | 07/15/2014<br>05/09/2013 | 601 22<br>G | 436 10<br>X | | 0 00<br>LONG-TERM | 165 12 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>30 00 | 08/13/2014<br>05/09/2013 | 577 09<br>G | 1,027 15<br>X | | 0 00<br>LONG-TERM | (450 06) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>25 00 | 08/14/2014<br>08/05/2013 | 450 93<br>G | 917 87<br>X | | 0 00<br>LONG-TERM | (466 94) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>26 00 | 08/14/2014<br>05/09/2013 | 468 97<br>G | 890 19<br>X | | 0 00<br>LONG-TERM | (421 22) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>26 00 | 08/14/2014<br>07/03/2013 | 468 97<br>G | 910 63<br>X | | 0 00<br>LONG-TERM | (441 66) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 880770102<br>15 00 | 08/14/2014<br>07/25/2013 | 285 57<br>G | 249 55<br>X | | 0 00<br>LONG-TERM | 36 02 | TERADYNE INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**



Attachment D

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c. Date sold or disposed<br>1b: Date acquired | 1d Proceeds<br>6 Gross or Net Proceeds reported to IRS [3] | 1e. Cost or other basis<br>3 Basis reported to IRS | 1f. Adjustment Code, if any [1]<br>5. NonCovered security | 1g Adjustments<br>2 Type of gain or loss | Gain or (Loss) [2]<br>7. Loss not allowed based on amount in 1d | 1a. Description |
|---|---|---|---|---|---|---|---|
| 880770102<br>50 00 | 08/14/2014<br>07/03/2013 | 951 90<br>G | 864 07<br>X | | 0 00<br>LONG-TERM | 87 83 | TERADYNE INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 880770102<br>55 00 | 08/14/2014<br>08/05/2013 | 1,047 09<br>G | 908 53<br>X | | 0 00<br>LONG-TERM | 138 56 | TERADYNE INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 880770102<br>118 00 | 08/14/2014<br>05/09/2013 | 2,246 49<br>G | 1,972 96<br>X | | 0 00<br>LONG-TERM | 273 53 | TERADYNE INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 959319104<br>15 00 | 09/02/2014<br>08/05/2013 | 685 50<br>G | 461 22<br>X | | 0 00<br>LONG-TERM | 224 28 | WESTERN REFINING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 959319104<br>34 00 | 09/02/2014<br>05/09/2013 | 1,553 80<br>G | 1,059 44<br>X | | 0 00<br>LONG-TERM | 494 36 | WESTERN REFINING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 959319104<br>50 00 | 09/02/2014<br>07/03/2013 | 2,285 01<br>G | 1,316 20<br>X | | 0 00<br>LONG-TERM | 968 81 | WESTERN REFINING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 410867105<br>4 00 | 09/09/2014<br>06/20/2013 | 244 17<br>G | 191 21<br>X | | 0 00<br>LONG-TERM | 52 96 | HANOVER INSURANCE GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 410867105<br>10 00 | 09/09/2014<br>08/05/2013 | 610 42<br>G | 556 45<br>X | | 0 00<br>LONG-TERM | 53 97 | HANOVER INSURANCE GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 410867105<br>18 00 | 09/09/2014<br>07/03/2013 | 1,098 76<br>G | 877 87<br>X | | 0 00<br>LONG-TERM | 220 89 | HANOVER INSURANCE GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 410867105<br>36 00 | 09/09/2014<br>05/09/2013 | 2,197 52<br>G | 1,824 18<br>X | | 0 00<br>LONG-TERM | 373 34 | HANOVER INSURANCE GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b Date acquired | 1d· Proceeds<br>6 Gross or Net Proceeds reported to IRS ³ | 1e. Cost or other basis<br>3: Basis reported to IRS | 1f· Adjustment Code, if any ¹<br>5 NonCovered security | 1g. Adjustments<br>2. Type of gain or loss | Gain or (Loss) ²<br>7: Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 42222G108<br>12 00 | 09/24/2014<br>05/09/2013 | 558 74<br>G | 373 80<br>X | | 0 00<br>LONG-TERM | 184 94 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 92242T101<br>0 83 | 09/29/2014<br>05/09/2013 | 17 29<br>G | 0 00<br>X | | 0 00<br>LONG-TERM | 17 29 | U S DOLLAR Qualified Cash in lieu proceeds on EXELIS INC CMN Stock Spinoff |
| 92242T101<br>2 00 | 10/02/2014<br>08/05/2013 | 40 93<br>G | 46 22<br>X | | 0 00<br>LONG-TERM | (5 29) | VECTRUS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 92242T101<br>3 00 | 10/02/2014<br>07/03/2013 | 61 39<br>G | 49 81<br>X | | 0 00<br>LONG-TERM | 11 58 | VECTRUS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 92242T101<br>6 00 | 10/02/2014<br>05/09/2013 | 122 79<br>G | 91 27<br>X | | 0 00<br>LONG-TERM | 31 52 | VECTRUS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>40 00 | 10/06/2014<br>08/05/2013 | 185 40<br>G | 251 59<br>X | | 0 00<br>LONG-TERM | (66 19) | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>151 00 | 10/06/2014<br>05/09/2013 | 699 88<br>G | 967 55<br>X | | 0 00<br>LONG-TERM | (267 67) | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>156 00 | 10/06/2014<br>07/03/2013 | 723 06<br>G | 977 95<br>X | | 0 00<br>LONG-TERM | (254 89) | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>125 00 | 10/07/2014<br>08/05/2013 | 574 98<br>G | 786 21<br>X | | 0 00<br>LONG-TERM | (211 23) | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 457985208<br>6 00 | 10/20/2014<br>05/09/2013 | 294 11<br>G | 211 80<br>X | | 0 00<br>LONG-TERM | 82 31 | INTEGRA LIFESCIENCES HOLDING CORPORATION AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 33582V108<br>76 00 | 10/27/2014<br>08/05/2013 | 540 02<br>G | 834 23<br>X | | 0 00<br>LONG-TERM | (294 21) | FIRST NIAGARA FINANCIAL GROUP, CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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**¹ Shows W for wash sale, C for collectibles, or D for market discount.**

**² Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**³ Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949: CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c: Date sold or disposed<br>1b Date acquired | 1d Proceeds<br>6: Gross or Net Proceeds reported to IRS [3] | 1e: Cost or other basis<br>3: Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5: NonCovered security | 1g: Adjustments<br>2 Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 33582V108<br>82 00 | 10/27/2014<br>07/03/2013 | 582 65<br>G | 838 86<br>X | | 0 00<br>LONG-TERM | (256 21) | FIRST NIAGARA FINANCIAL GROUP, CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 33582V108<br>202 00 | 10/27/2014<br>05/09/2013 | 1,435 32<br>G | 1,955 20<br>X | | 0 00<br>LONG-TERM | (519 88) | FIRST NIAGARA FINANCIAL GROUP, CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 559079207<br>8 00 | 11/05/2014<br>05/09/2013 | 476 83<br>G | 403 73<br>X | | 0 00<br>LONG-TERM | 73 10 | MAGELLAN HEALTH , INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 46612J507<br>49 00 | 11/13/2014<br>05/09/2013 | 628 64<br>G | 647 78<br>X | | 0 00<br>LONG-TERM | (19 14) | JDS UNIPHASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>8 00 | 12/03/2014<br>05/09/2013 | 415 11<br>G | 249 20<br>X | | 0 00<br>LONG-TERM | 165 91 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>26 00 | 12/03/2014<br>08/05/2013 | 1,349 11<br>G | 810 94<br>X | | 0 00<br>LONG-TERM | 538 17 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>28 00 | 12/03/2014<br>07/03/2013 | 1,452 88<br>G | 901 10<br>X | | 0 00<br>LONG-TERM | 551 78 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>9 00 | 12/04/2014<br>08/05/2013 | 461 18<br>G | 280 71<br>X | | 0 00<br>LONG-TERM | 180 47 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>22 00 | 12/04/2014<br>11/18/2013 | 1,127 32<br>G | 630 22<br>X | | 0 00<br>LONG-TERM | 497 10 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 74267C106<br>12 00 | 12/16/2014<br>10/16/2013 | 561 99<br>G | 542 35<br>X | | 0 00<br>LONG-TERM | 19 64 | PROASSURANCE CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| **ACCOUNT TOTAL (COVERED: LONG-TERM)** | | **33,351.25** | **30,180.26** | | | **3,170.99** | |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b. Date acquired | 1d. Proceeds<br>6 Gross or Net Proceeds reported to IRS [3] | 1e. Cost or other basis<br>3 Basis reported to IRS | 1f Adjustment Code, if any [1]<br>5 NonCovered security | 1g: Adjustments<br>2. Type of gain or loss | Gain or (Loss) [2]<br>7: Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 518415104<br>85 00 | 01/09/2014<br>10/10/2013 | 456 51<br>G | 389 38<br>X | | 0 00<br>SHORT-TERM | 67 13 | LATTICE SEMICONDUCTOR CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 518415104<br>134 00 | 01/09/2014<br>07/03/2013 | 719 67<br>G | 681 95<br>X | | 0 00<br>SHORT-TERM | 37 72 | LATTICE SEMICONDUCTOR CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 518415104<br>140 00 | 01/09/2014<br>08/05/2013 | 751 90<br>G | 735 28<br>X | | 0 00<br>SHORT-TERM | 16 62 | LATTICE SEMICONDUCTOR CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 518415104<br>216 00 | 01/09/2014<br>05/09/2013 | 1,160 07<br>G | 1,059 52<br>X | | 0 00<br>SHORT-TERM | 100 55 | LATTICE SEMICONDUCTOR CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 04270V106<br>35 00 | 01/15/2014<br>10/04/2013 | 921 80<br>G | 600 33<br>X | | 0 00<br>SHORT-TERM | 321 47 | ARRIS GROUP, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 30162A108<br>30 00 | 01/21/2014<br>05/09/2013 | 608 04<br>G | 353 88<br>X | | 0 00<br>SHORT-TERM | 254 16 | EXELIS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| N00985106<br>46 00 | 01/23/2014<br>07/03/2013 | 1,659 59<br>G | 810 11<br>X | | 0 00<br>SHORT-TERM | 849 48 | AERCAP HOLDINGS NV ORD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| N00985106<br>62 00 | 01/23/2014<br>08/05/2013 | 2,236 84<br>G | 1,083 49<br>X | | 0 00<br>SHORT-TERM | 1,153 35 | AERCAP HOLDINGS NV ORD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| N00985106<br>88 00 | 01/23/2014<br>05/09/2013 | 3,174 87<br>G | 1,463 57<br>X | | 0 00<br>SHORT-TERM | 1,711 30 | AERCAP HOLDINGS NV ORD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 049513104<br>130 00 | 01/27/2014<br>05/09/2013 | 1,065 73<br>G | 873 02<br>X | | 0 00<br>SHORT-TERM | 192 71 | ATMEL CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c. Date sold or disposed<br>1b Date acquired | 1d: Proceeds<br>6· Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f Adjustment Code, if any [1]<br>5. NonCovered security | 1g Adjustments<br>2: Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 049513104<br>116 00 | 01/28/2014<br>07/03/2013 | 956 34<br>G | 880 44<br>X | | 0 00<br>SHORT-TERM | 75 90 | ATMEL CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 049513104<br>120 00 | 01/28/2014<br>08/05/2013 | 989 32<br>G | 949 45<br>X | | 0 00<br>SHORT-TERM | 39 87 | ATMEL CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 049513104<br>135 00 | 01/28/2014<br>05/09/2013 | 1,112 99<br>G | 906 60<br>X | | 0 00<br>SHORT-TERM | 206 39 | ATMEL CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 224399105<br>14 00 | 01/28/2014<br>07/03/2013 | 932 70<br>G | 862 06<br>X | | 0 00<br>SHORT-TERM | 70 64 | CRANE CO (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 224399105<br>17 00 | 01/28/2014<br>08/05/2013 | 1,132 57<br>G | 1,051 01<br>X | | 0 00<br>SHORT-TERM | 81 56 | CRANE CO (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 224399105<br>34 00 | 01/28/2014<br>05/09/2013 | 2,265 13<br>G | 1,971 67<br>X | | 0 00<br>SHORT-TERM | 293 46 | CRANE CO (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 28660G106<br>14 00 | 02/10/2014<br>01/16/2014 | 363 05<br>G | 482 97<br>X | | 0 00<br>SHORT-TERM | (119 92) | ELIZABETH ARDEN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 28660G106<br>28 00 | 02/10/2014<br>08/22/2013 | 726 10<br>G | 986 85<br>X | | 0 00<br>SHORT-TERM | (260 75) | ELIZABETH ARDEN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 28660G106<br>56 00 | 02/10/2014<br>08/23/2013 | 1,452 19<br>G | 1,970 30<br>X | | 0 00<br>SHORT-TERM | (518 11) | ELIZABETH ARDEN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 458118106<br>4 00 | 02/12/2014<br>09/27/2013 | 46 24<br>G | 37 50<br>X | | 0 00<br>SHORT-TERM | 8 74 | INTEGRATED DEVICE TECH INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 458118106<br>46 00 | 02/12/2014<br>09/23/2013 | 531 75<br>G | 431 18<br>X | | 0 00<br>SHORT-TERM | 100 57 | INTEGRATED DEVICE TECH INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c: Date sold or disposed<br>1b Date acquired | 1d: Proceeds<br>6: Gross or Net Proceeds reported to IRS [3] | 1e: Cost or other basis<br>3. Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5: NonCovered security | 1g: Adjustments<br>2 Type of gain or loss | Gain or (Loss) [2]<br>7. Loss not allowed based on amount in 1d | 1a: Description |
|---|---|---|---|---|---|---|---|
| 04270V106<br>14 00 | 02/13/2014<br>10/04/2013 | 391 76<br>G | 240 13<br>X | | 0 00<br>SHORT-TERM | 151 63 | ARRIS GROUP, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 049164205<br>20 00 | 02/13/2014<br>01/27/2014 | 642 08<br>G | 740 07<br>X | | 0 00<br>SHORT-TERM | (97 99) | ATLAS AIR WORLDWIDE HOLDINGS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 69370C100<br>22 00 | 02/13/2014<br>05/09/2013 | 826 67<br>G | 528 88<br>X | | 0 00<br>SHORT-TERM | 297 79 | PTC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| H27178104<br>36 00 | 02/18/2014<br>08/05/2013 | 1,147 38<br>G | 810 74<br>X | | 0 00<br>SHORT-TERM | 336 64 | FOSTER WHEELER AG CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| H27178104<br>45 00 | 02/18/2014<br>05/09/2013 | 1,434 23<br>G | 975 15<br>X | | 0 00<br>SHORT-TERM | 459 08 | FOSTER WHEELER AG CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| H27178104<br>48 00 | 02/18/2014<br>07/03/2013 | 1,529 84<br>G | 1,013 76<br>X | | 0 00<br>SHORT-TERM | 516 08 | FOSTER WHEELER AG CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 909214306<br>32 00 | 02/25/2014<br>10/30/2013 | 1,105 44<br>G | 833 20<br>X | | 0 00<br>SHORT-TERM | 272 24 | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 909214306<br>32 00 | 02/26/2014<br>10/30/2013 | 1,098 40<br>G | 833 20<br>X | | 0 00<br>SHORT-TERM | 265 20 | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 415864107<br>25 00 | 03/07/2014<br>08/05/2013 | 564 00<br>G | 669 17<br>X | | 0 00<br>SHORT-TERM | (105 17) | HARSCO CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 415864107<br>38 00 | 03/07/2014<br>07/03/2013 | 857 28<br>G | 896 01<br>X | | 0 00<br>SHORT-TERM | (38 73) | HARSCO CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 415864107<br>86 00 | 03/07/2014<br>05/09/2013 | 1,940 17<br>G | 1,963 13<br>X | | 0 00<br>SHORT-TERM | (22 96) | HARSCO CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

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| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b· Date acquired | 1d. Proceeds<br>6: Gross or Net Proceeds reported to IRS ³ | 1e· Cost or other basis<br>3 Basis reported to IRS | 1f: Adjustment Code, if any ¹<br>5· NonCovered security | 1g: Adjustments<br>2: Type of gain or loss | Gain or (Loss) ²<br>7. Loss not allowed based on amount in 1d | 1a: Description |
|---|---|---|---|---|---|---|---|
| 69370C100<br>9 00 | 03/17/2014<br>07/03/2013 | 340 01<br>G | 230 36<br>X | | 0 00<br>SHORT-TERM | 109 65 | PTC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 69370C100<br>48 00 | 03/17/2014<br>05/09/2013 | 1,813 41<br>G | 1,153 92<br>X | | 0 00<br>SHORT-TERM | 659 49 | PTC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 127055101<br>7 00 | 03/18/2014<br>05/09/2013 | 392 51<br>G | 268 15<br>X | | 0 00<br>SHORT-TERM | 124 36 | CABOT CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 253651103<br>25 00 | 03/18/2014<br>05/09/2013 | 999 98<br>G | 766 12<br>X | | 0 00<br>SHORT-TERM | 233 86 | DIEBOLD INCORPORATED CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 69370C100<br>25 00 | 03/18/2014<br>07/03/2013 | 948 70<br>G | 639 90<br>X | | 0 00<br>SHORT-TERM | 308 80 | PTC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 69370C100<br>30 00 | 03/18/2014<br>08/05/2013 | 1,138 44<br>G | 826 54<br>X | | 0 00<br>SHORT-TERM | 311 90 | PTC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 035623107<br>12 00 | 03/21/2014<br>05/09/2013 | 510 12<br>G | 375 42<br>X | | 0 00<br>SHORT-TERM | 134 70 | ANN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 144285103<br>7 00 | 03/27/2014<br>05/09/2013 | 459 00<br>G | 328 75<br>X | | 0 00<br>SHORT-TERM | 130 25 | CARPENTER TECHNOLOGY INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>29 00 | 03/31/2014<br>01/31/2014 | 1,098 33<br>G | 851 96<br>X | | 0 00<br>SHORT-TERM | 246 37 | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 458118106<br>35 00 | 04/01/2014<br>12/31/2013 | 433 16<br>G | 356 93<br>X | | 0 00<br>SHORT-TERM | 76 23 | INTEGRATED DEVICE TECH INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 458118106<br>246 00 | 04/01/2014<br>09/27/2013 | 3,044 48<br>G | 2,306 43<br>X | | 0 00<br>SHORT-TERM | 738 05 | INTEGRATED DEVICE TECH INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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APPLICABLE CHECK BOX ON FORM 8949. CODE A

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|---|---|---|---|---|---|---|---|
| 116794108<br>48 00 | 04/02/2014<br>05/09/2013 | 1,119 81<br>G | 831 36<br>X | | 0 00<br>SHORT-TERM | 288 45 | BRUKER CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>155 00 | 04/02/2014<br>05/09/2013 | 1,472 32<br>G | 993 18<br>X | | 0 00<br>SHORT-TERM | 479 14 | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 04270V106<br>151 00 | 04/03/2014<br>10/04/2013 | 4,281 31<br>G | 2,590 00<br>X | | 0 00<br>SHORT-TERM | 1,691 31 | ARRIS GROUP, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 116794108<br>30 00 | 04/03/2014<br>05/09/2013 | 699 03<br>G | 519 60<br>X | | 0 00<br>SHORT-TERM | 179 43 | BRUKER CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 116794108<br>50 00 | 04/03/2014<br>08/05/2013 | 1,165 04<br>G | 984 75<br>X | | 0 00<br>SHORT-TERM | 180 29 | BRUKER CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 116794108<br>76 00 | 04/03/2014<br>07/03/2013 | 1,770 86<br>G | 1,181 49<br>X | | 0 00<br>SHORT-TERM | 589 37 | BRUKER CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 03834A103<br>16 00 | 04/24/2014<br>07/03/2013 | 319 54<br>G | 422 54<br>X | | 0 00<br>SHORT-TERM | (103 00) | APPROACH RESOURCES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 03834A103<br>41 00 | 04/24/2014<br>05/09/2013 | 818 83<br>G | 1,099 66<br>X | | 0 00<br>SHORT-TERM | (280 83) | APPROACH RESOURCES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 03834A103<br>12 00 | 04/25/2014<br>07/03/2013 | 238 23<br>G | 316 90<br>X | | 0 00<br>SHORT-TERM | (78 67) | APPROACH RESOURCES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 03834A103<br>20 00 | 04/25/2014<br>11/11/2013 | 397 06<br>G | 499 42<br>X | | 0 00<br>SHORT-TERM | (102 36) | APPROACH RESOURCES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 03834A103<br>35 00 | 04/25/2014<br>08/05/2013 | 694 85<br>G | 896 85<br>X | | 0 00<br>SHORT-TERM | (202 00) | APPROACH RESOURCES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 035623107<br>7 00 | 04/30/2014<br>07/03/2013 | 274 66<br>G | 232 52<br>X | | 0 00<br>SHORT-TERM | 42 14 | ANN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949· CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b· Date acquired | 1d. Proceeds<br>6: Gross or Net Proceeds reported to IRS [3] | 1e: Cost or other basis<br>3. Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5. NonCovered security | 1g· Adjustments<br>2· Type of gain or loss | Gain or (Loss) [2]<br>7: Loss not allowed based on amount in 1d | 1a· Description |
|---|---|---|---|---|---|---|---|
| 035623107<br>50 00 | 04/30/2014<br>05/09/2013 | 1,961 87<br>G | 1,564 23<br>X | | 0 00<br>SHORT-TERM | 397 64 | ANN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>18 00 | 04/30/2014<br>01/31/2014 | 796 22<br>G | 528 80<br>X | | 0 00<br>SHORT-TERM | 267 42 | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 959319104<br>10 00 | 04/30/2014<br>05/09/2013 | 430 51<br>G | 311 60<br>X | | 0 00<br>SHORT-TERM | 118 91 | WESTERN REFINING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 035623107<br>19 00 | 05/01/2014<br>07/03/2013 | 734 24<br>G | 631 13<br>X | | 0 00<br>SHORT-TERM | 103 11 | ANN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 035623107<br>30 00 | 05/01/2014<br>08/05/2013 | 1,159 33<br>G | 1,020 00<br>X | | 0 00<br>SHORT-TERM | 139 33 | ANN INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 09180C106<br>16 00 | 05/02/2014<br>08/22/2013 | 480 93<br>G | 533 28<br>X | | 0 00<br>SHORT-TERM | (52 35) | BJS RESTAURANTS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 34984V100<br>18 00 | 05/02/2014<br>12/19/2013 | 545 22<br>G | 487 44<br>X | | 0 00<br>SHORT-TERM | 57 78 | FORUM ENERGY TECHNOLOGIES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 09180C106<br>16 00 | 05/05/2014<br>08/23/2013 | 480 56<br>G | 528 54<br>X | | 0 00<br>SHORT-TERM | (47 98) | BJS RESTAURANTS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 09180C106<br>28 00 | 05/05/2014<br>08/22/2013 | 840 99<br>G | 933 24<br>X | | 0 00<br>SHORT-TERM | (92 25) | BJS RESTAURANTS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 09180C106<br>30 00 | 05/06/2014<br>08/23/2013 | 891 28<br>G | 991 01<br>X | | 0 00<br>SHORT-TERM | (99 73) | BJS RESTAURANTS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 42222G108<br>28 00 | 05/07/2014<br>05/09/2013 | 982 59<br>G | 872 20<br>X | | 0 00<br>SHORT-TERM | 110 39 | HEALTH NET, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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| CUSIP number / Product Identifier<br>Quantity | 1c· Date sold or disposed<br>1b· Date acquired | 1d· Proceeds<br>6: Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f· Adjustment Code, if any [1]<br>5. NonCovered security | 1g: Adjustments<br>2: Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a· Description |
|---|---|---|---|---|---|---|---|
| 00912X302<br>20 00 | 05/09/2014<br>05/09/2013 | 769 39<br>G | 608 75<br>X | | 0 00<br>SHORT-TERM | 160 64 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 00912X302<br>10 00 | 05/21/2014<br>06/25/2013 | 382 97<br>G | 266 45<br>X | | 0 00<br>SHORT-TERM | 116 52 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 00912X302<br>16 00 | 05/21/2014<br>07/03/2013 | 612 76<br>G | 439 62<br>X | | 0 00<br>SHORT-TERM | 173 14 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 00912X302<br>16 00 | 05/22/2014<br>07/03/2013 | 615 87<br>G | 439 62<br>X | | 0 00<br>SHORT-TERM | 176 25 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 00912X302<br>36 00 | 05/22/2014<br>08/05/2013 | 1,385 70<br>G | 1,003 71<br>X | | 0 00<br>SHORT-TERM | 381 99 | AIR LEASE CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 097793103<br>12 00 | 05/27/2014<br>04/25/2014 | 627 74<br>G | 582 47<br>X | | 0 00<br>SHORT-TERM | 45 27 | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 144285103<br>20 00 | 06/03/2014<br>07/03/2013 | 1,237 62<br>G | 921 95<br>X | | 0 00<br>SHORT-TERM | 315 67 | CARPENTER TECHNOLOGY INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 144285103<br>2 00 | 06/04/2014<br>07/03/2013 | 123 91<br>G | 92 20<br>X | | 0 00<br>SHORT-TERM | 31 71 | CARPENTER TECHNOLOGY INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 144285103<br>10 00 | 06/04/2014<br>08/05/2013 | 619 51<br>G | 536 86<br>X | | 0 00<br>SHORT-TERM | 82 65 | CARPENTER TECHNOLOGY INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 398905109<br>10 00 | 06/05/2014<br>10/28/2013 | 814 96<br>G | 644 14<br>X | | 0 00<br>SHORT-TERM | 170 82 | GROUP 1 AUTOMOTIVE, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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|---|---|---|---|---|---|---|---|
| 097793103<br>19 00 | 06/17/2014<br>04/25/2014 | 1,141 24<br>G | 922 25<br>X | | 0 00<br>SHORT-TERM | 218 99 | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 34984V100<br>16 00 | 06/24/2014<br>12/19/2013 | 577 21<br>G | 433 28<br>X | | 0 00<br>SHORT-TERM | 143 93 | FORUM ENERGY TECHNOLOGIES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 127055101<br>22 00 | 07/01/2014<br>08/05/2013 | 1,287 17<br>G | 867 89<br>X | | 0 00<br>SHORT-TERM | 419 28 | CABOT CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 127055101<br>26 00 | 07/01/2014<br>07/03/2013 | 1,521 20<br>G | 979 70<br>X | | 0 00<br>SHORT-TERM | 541 50 | CABOT CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>12 00 | 07/02/2014<br>01/31/2014 | 654 72<br>G | 352 54<br>X | | 0 00<br>SHORT-TERM | 302 18 | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 30219E103<br>68 00 | 07/07/2014<br>12/17/2013 | 1,145 63<br>G | 1,244 17<br>X | | 0 00<br>SHORT-TERM | (98 54) | EXPRESS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12646R105<br>12 00 | 08/07/2014<br>01/29/2014 | 431 58<br>G | 384 04<br>X | | 0 00<br>SHORT-TERM | 47 54 | CST BRANDS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 483007704<br>8 00 | 08/11/2014<br>10/25/2013 | 631 54<br>G | 531 88<br>X | | 0 00<br>SHORT-TERM | 99 66 | KAISER ALUMINUM CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>20 00 | 08/14/2014<br>09/05/2013 | 360 75<br>G | 588 58<br>X | | 0 00<br>SHORT-TERM | (227 83) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 81282V100<br>30 00 | 08/14/2014<br>07/11/2014 | 541 12<br>G | 861 35<br>X | | 0 00<br>SHORT-TERM | (320 23) | SEAWORLD ENTERTAINMENT, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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|---|---|---|---|---|---|---|---|
| 90346E103<br>24 00 | 09/02/2014<br>01/31/2014 | 1,720 63<br>G | 705 07<br>X | | 0 00<br>SHORT-TERM | 1,015 56 | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 92242T101<br>2 00 | 10/02/2014<br>05/14/2014 | 40 94<br>G | 40 01<br>X | | 0 00<br>SHORT-TERM | 0 93 | VECTRUS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 161150308<br>3 00 | 10/07/2014<br>07/16/2014 | 140 42<br>G | 235 83<br>X | | 0 00<br>SHORT-TERM | (95 41) | CHART INDUSTRIES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 161150308<br>10 00 | 10/07/2014<br>09/03/2014 | 468 08<br>G | 676 66<br>X | | 0 00<br>SHORT-TERM | (208 58) | CHART INDUSTRIES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 161150308<br>12 00 | 10/07/2014<br>07/28/2014 | 561 69<br>G | 939 51<br>X | | 0 00<br>SHORT-TERM | (377 82) | CHART INDUSTRIES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 161150308<br>16 00 | 10/07/2014<br>07/15/2014 | 748 92<br>G | 1,263 64<br>X | | 0 00<br>SHORT-TERM | (514 72) | CHART INDUSTRIES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 161150308<br>25 00 | 10/07/2014<br>07/17/2014 | 1,170 19<br>G | 1,974 47<br>X | | 0 00<br>SHORT-TERM | (804 28) | CHART INDUSTRIES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 440543106<br>19 00 | 10/07/2014<br>05/15/2014 | 586 01<br>G | 787 23<br>X | | 0 00<br>SHORT-TERM | (201 22) | HORNBECK OFFSHORE SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 440543106<br>29 00 | 10/07/2014<br>05/06/2014 | 894 43<br>G | 1,131 41<br>X | | 0 00<br>SHORT-TERM | (236 98) | HORNBECK OFFSHORE SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 440543106<br>57 00 | 10/07/2014<br>05/05/2014 | 1,758 03<br>G | 2,224 39<br>X | | 0 00<br>SHORT-TERM | (466 36) | HORNBECK OFFSHORE SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 492914106<br>65 00 | 10/07/2014<br>06/05/2014 | 298 99<br>G | 534 35<br>X | | 0 00<br>SHORT-TERM | (235 36) | KEY ENERGY SERVICES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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|---|---|---|---|---|---|---|---|
| 909214306<br>5 00 | 10/16/2014<br>10/30/2013 | 95 50<br>G | 130 19<br>X | | 0 00<br>SHORT-TERM | (34 69) | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 909214306<br>29 00 | 10/16/2014<br>07/23/2014 | 553 86<br>G | 612 28<br>X | | 0 00<br>SHORT-TERM | (58 42) | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 909214306<br>40 00 | 10/16/2014<br>04/23/2014 | 763 95<br>G | 1,026 28<br>X | | 0 00<br>SHORT-TERM | (262 33) | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 909214306<br>90 00 | 10/16/2014<br>10/31/2013 | 1,718 89<br>G | 2,372 09<br>X | | 0 00<br>SHORT-TERM | (653 20) | UNISYS CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 33582V108<br>60 00 | 10/27/2014<br>01/16/2014 | 426 33<br>G | 625 69<br>X | | 0 00<br>SHORT-TERM | (199 36) | FIRST NIAGARA FINANCIAL GROUP, CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 33582V108<br>60 00 | 10/27/2014<br>01/27/2014 | 426 33<br>G | 532 34<br>X | | 0 00<br>SHORT-TERM | (106 01) | FIRST NIAGARA FINANCIAL GROUP, CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 676220106<br>230 00 | 11/04/2014<br>02/25/2014 | 1,407 10<br>G | 1,099 40<br>X | | 0 00<br>SHORT-TERM | 307 70 | OFFICE DEPOT INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 049164205<br>16 00 | 11/11/2014<br>01/27/2014 | 663 74<br>G | 592 06<br>X | | 0 00<br>SHORT-TERM | 71 68 | ATLAS AIR WORLDWIDE HOLDINGS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 676220106<br>59 00 | 11/13/2014<br>02/25/2014 | 400 36<br>G | 282 02<br>X | | 0 00<br>SHORT-TERM | 118 34 | OFFICE DEPOT INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12646R105<br>19 00 | 11/18/2014<br>01/29/2014 | 810 28<br>G | 608 06<br>X | | 0 00<br>SHORT-TERM | 202 22 | CST BRANDS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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|---|---|---|---|---|---|---|---|
| 44157R109<br>2 00 | 11/24/2014<br>01/30/2014 | 39 25<br>G | 38 50<br>X | | 0 00<br>SHORT-TERM | 0 75 | HOUGHTON MIFFLIN HARCOURT CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 44157R109<br>40 00 | 11/24/2014<br>01/29/2014 | 784 90<br>G | 760 91<br>X | | 0 00<br>SHORT-TERM | 23 99 | HOUGHTON MIFFLIN HARCOURT CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 44157R109<br>88 00 | 11/24/2014<br>01/28/2014 | 1,726 78<br>G | 1,684 75<br>X | | 0 00<br>SHORT-TERM | 42 03 | HOUGHTON MIFFLIN HARCOURT CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| G0129K104<br>16 00 | 11/25/2014<br>07/31/2014 | 330 00<br>G | 287 07<br>X | | 0 00<br>SHORT-TERM | 42 93 | AIRCASTLE LIMITED CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 44157R109<br>18 00 | 11/25/2014<br>01/30/2014 | 350 46<br>G | 346 47<br>X | | 0 00<br>SHORT-TERM | 3 99 | HOUGHTON MIFFLIN HARCOURT CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 44157R109<br>20 00 | 11/25/2014<br>01/31/2014 | 389 40<br>G | 382 34<br>X | | 0 00<br>SHORT-TERM | 7 06 | HOUGHTON MIFFLIN HARCOURT CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 835898107<br>10 00 | 11/25/2014<br>06/12/2014 | 418 98<br>G | 395 85<br>X | | 0 00<br>SHORT-TERM | 23 13 | SOTHEBY'S CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12467B304<br>7 00 | 11/26/2014<br>10/16/2014 | 130 32<br>G | 139 24<br>X | | 0 00<br>SHORT-TERM | (8 92) | C&J ENERGY SERVICES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12467B304<br>98 00 | 11/26/2014<br>10/13/2014 | 1,824 53<br>G | 2,025 05<br>X | | 0 00<br>SHORT-TERM | (200 52) | C&J ENERGY SERVICES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12467B304<br>96 00 | 11/28/2014<br>10/16/2014 | 1,444 89<br>G | 1,909 63<br>X | | 0 00<br>SHORT-TERM | (464 74) | C&J ENERGY SERVICES, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G)

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c· Date sold or disposed<br>1b: Date acquired | 1d. Proceeds<br>6 Gross or Net Proceeds reported to IRS[3] | 1e: Cost or other basis<br>3: Basis reported to IRS | 1f. Adjustment Code, if any[1]<br>5. NonCovered security | 1g: Adjustments<br>2: Type of gain or loss | Gain or (Loss)[2]<br>7 Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 94946T106<br>13 00 | 12/03/2014<br>03/14/2014 | 994 46<br>G | 821 47<br>X | | 0 00<br>SHORT-TERM | 172 99 | WELLCARE HEALTH PLANS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 097793103<br>9 00 | 12/09/2014<br>11/05/2014 | 179 00<br>G | 380 95<br>X | | 0 00<br>SHORT-TERM | (201 95) | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 097793103<br>16 00 | 12/09/2014<br>05/13/2014 | 318 22<br>G | 674 85<br>X | | 0 00<br>SHORT-TERM | (356 63) | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 097793103<br>17 00 | 12/09/2014<br>10/14/2014 | 338 10<br>G | 640 52<br>X | | 0 00<br>SHORT-TERM | (302 42) | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 097793103<br>59 00 | 12/09/2014<br>04/25/2014 | 1,173 42<br>G | 2,863 83<br>X | | 0 00<br>SHORT-TERM | (1,690 41) | BONANZA CREEK ENERGY, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12646R105<br>37 00 | 12/09/2014<br>01/29/2014 | 1,556 61<br>G | 1,184 11<br>X | | 0 00<br>SHORT-TERM | 372 50 | CST BRANDS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 12646R105<br>64 00 | 12/09/2014<br>01/30/2014 | 2,692 52<br>G | 2,055 44<br>X | | 0 00<br>SHORT-TERM | 637 08 | CST BRANDS, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>18 00 | 12/09/2014<br>11/05/2014 | 509 98<br>G | 746 71<br>X | | 0 00<br>SHORT-TERM | (236 73) | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>24 00 | 12/09/2014<br>10/14/2014 | 679 97<br>G | 994 57<br>X | | 0 00<br>SHORT-TERM | (314 60) | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 90346E103<br>61 00 | 12/09/2014<br>01/31/2014 | 1,728 27<br>G | 1,792 06<br>X | | 0 00<br>SHORT-TERM | (63 79) | U S SILICA HLDGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

| Tax Year | Account No | Tax ID Number | Legal Name | Revised On |
|---|---|---|---|---|
| 2014 | 046-41835-6 | 46-1547854 | THE TSUHA FOUNDATION | Apr 01, 2015 |

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
APPLICABLE CHECK BOX ON FORM 8949: CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c: Date sold or disposed<br>1b: Date acquired | 1d: Proceeds<br>6. Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3: Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5. NonCovered security | 1g: Adjustments<br>2: Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a: Description |
|---|---|---|---|---|---|---|---|
| 049164205<br>10 00 | 12/16/2014<br>01/27/2014 | 458 88<br>G | 370 04<br>X | | 0 00<br>SHORT-TERM | 88 84 | ATLAS AIR WORLDWIDE HOLDINGS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 676220106<br>116 00 | 12/16/2014<br>02/25/2014 | 917 77<br>G | 554 48<br>X | | 0 00<br>SHORT-TERM | 363 29 | OFFICE DEPOT INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 368736104<br>13 00 | 12/30/2014<br>11/11/2014 | 617 15<br>G | 552 33<br>X | | 0 00<br>SHORT-TERM | 64 82 | GENERAC HOLDINGS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| **ACCOUNT TOTAL (COVERED: SHORT-TERM)** | | **119,275.19** | **107,853.82** | | | **11,421.37** | |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949· CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c. Date sold or disposed<br>1b Date acquired | 1d: Proceeds<br>6 Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f Adjustment Code, if any [1]<br>5. NonCovered security | 1g Adjustments<br>2. Type of gain or loss | Gain or (Loss) [2]<br>7. Loss not allowed based on amount in 1d | 1a Description |
|---|---|---|---|---|---|---|---|
| 112463104<br>12 00 | 02/27/2014<br>09/20/2013 | 393 03<br>G | 318 06<br>X | | 0 00<br>SHORT-TERM | 74 97 | BROOKDALE SENIOR LIVING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 112463104<br>3 00 | 06/16/2014<br>09/27/2013 | 97 42<br>G | 79 88<br>X | | 0 00<br>SHORT-TERM | 17 54 | BROOKDALE SENIOR LIVING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 112463104<br>3 00 | 06/16/2014<br>09/20/2013 | 97 42<br>G | 79 52<br>X | | 0 00<br>SHORT-TERM | 17 90 | BROOKDALE SENIOR LIVING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 11120U105<br>11 00 | 06/23/2014<br>02/05/2014 | 245 51<br>G | 223 94<br>X | | 0 00<br>SHORT-TERM | 21 57 | BRIXMOR PROPERTY GROUP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 11120U105<br>59 00 | 06/23/2014<br>11/01/2013 | 1,316 85<br>G | 1,225 40<br>X | | 0 00<br>SHORT-TERM | 91 45 | BRIXMOR PROPERTY GROUP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 112463104<br>12 00 | 06/23/2014<br>09/27/2013 | 405 71<br>G | 319 54<br>X | | 0 00<br>SHORT-TERM | 86 17 | BROOKDALE SENIOR LIVING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 112463104<br>30 00 | 06/23/2014<br>11/06/2013 | 1,014 27<br>G | 803 49<br>X | | 0 00<br>SHORT-TERM | 210 78 | BROOKDALE SENIOR LIVING, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 292104106<br>24 00 | 06/23/2014<br>03/26/2014 | 404 43<br>G | 356 43<br>X | | 0 00<br>SHORT-TERM | 48 00 | EMPIRE STATE REALTY TRUST,INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 292104106<br>29 00 | 06/23/2014<br>01/24/2014 | 488 68<br>G | 425 63<br>X | | 0 00<br>SHORT-TERM | 63 05 | EMPIRE STATE REALTY TRUST,INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
APPLICABLE CHECK BOX ON FORM 8949· CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c. Date sold or disposed<br>1b. Date acquired | 1d. Proceeds<br>6· Gross or Net Proceeds reported to IRS [3] | 1e. Cost or other basis<br>3: Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5· NonCovered security | 1g: Adjustments<br>2 Type of gain or loss | Gain or (Loss) [2]<br>7. Loss not allowed based on amount in 1d | 1a Description |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 292104106<br>88 00 | 06/23/2014<br>10/16/2013 | 1,482 89<br>G | 1,207 67<br>X | | 0 00<br>SHORT-TERM | 275 22 | EMPIRE STATE REALTY TRUST,INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 939647103<br>1 00 | 06/23/2014<br>10/10/2013 | 19 25<br>G | 18 18<br>X | | 0 00<br>SHORT-TERM | 1 07 | WASHINGTON PRIME GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 939647103<br>1 00 | 06/23/2014<br>10/22/2013 | 19 25<br>G | 19 14<br>X | | 0 00<br>SHORT-TERM | 0 11 | WASHINGTON PRIME GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 939647103<br>2 00 | 06/23/2014<br>01/24/2014 | 38 50<br>G | 46 01<br>X | | 0 00<br>SHORT-TERM | (7 51) | WASHINGTON PRIME GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 939647103<br>8 00 | 06/23/2014<br>08/09/2013 | 154 00<br>G | 142 67<br>X | | 0 00<br>SHORT-TERM | 11 33 | WASHINGTON PRIME GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 939647103<br>12 00 | 06/23/2014<br>07/03/2013 | 230 99<br>G | 239 66<br>X | | 0 00<br>SHORT-TERM | (8 67) | WASHINGTON PRIME GROUP INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| **ACCOUNT TOTAL (COVERED: SHORT-TERM)** | | **6,408.20** | **5,505.22** | | | **902.98** | |



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**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 902 98 | Net Covered Long-Term Gains (Losses) | (58 92) | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 9,950 79 | Net NonCovered Long-Term Gains (Losses) | 1,909 27 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **10,853.77** | **Total Long-Term Gains (Losses)** | **1,850.35** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| BROOKDALE SENIOR LIVING, INC CMN (112463104) | 09/20/2013 | 02/27/2014 | 12 00 | 393 03 | 0 00 | 318 06 | 74 97 |
| BROOKDALE SENIOR LIVING, INC CMN (112463104) | 09/27/2013 | 06/16/2014 | 3 00 | 97 42 | 0 00 | 79 88 | 17 54 |
| BROOKDALE SENIOR LIVING, INC CMN (112463104) | 09/20/2013 | 06/16/2014 | 3 00 | 97 42 | 0 00 | 79 52 | 17 90 |
| BRIXMOR PROPERTY GROUP CMN (11120U105) | 02/05/2014 | 06/23/2014 | 11 00 | 245 51 | 0 00 | 223 94 | 21 57 |
| BRIXMOR PROPERTY GROUP CMN (11120U105) | 11/01/2013 | 06/23/2014 | 59 00 | 1,316 85 | 0 00 | 1,225 40 | 91 45 |
| BROOKDALE SENIOR LIVING, INC CMN (112463104) | 09/27/2013 | 06/23/2014 | 12 00 | 405 71 | 0 00 | 319 54 | 86 17 |
| BROOKDALE SENIOR LIVING, INC CMN (112463104) | 11/06/2013 | 06/23/2014 | 30 00 | 1,014 27 | 0 00 | 803 49 | 210 78 |
| EMPIRE STATE REALTY TRUST,INC CMN (292104106) | 03/26/2014 | 06/23/2014 | 24 00 | 404 43 | 0 00 | 356 43 | 48 00 |
| EMPIRE STATE REALTY TRUST,INC CMN (292104106) | 01/24/2014 | 06/23/2014 | 29 00 | 488 68 | 0 00 | 425 63 | 63 05 |
| EMPIRE STATE REALTY TRUST,INC CMN (292104106) | 10/16/2013 | 06/23/2014 | 88 00 | 1,482 89 | 0 00 | 1,207 67 | 275 22 |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 10/10/2013 | 06/23/2014 | 1 00 | 19 25 | 0 00 | 18 18 | 1 07 |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 10/22/2013 | 06/23/2014 | 1 00 | 19 25 | 0 00 | 19 14 | 0 11 |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 01/24/2014 | 06/23/2014 | 2 00 | 38 50 | 0 00 | 46 01 | (7 51) |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 08/09/2013 | 06/23/2014 | 8 00 | 154 00 | 0 00 | 142 67 | 11 33 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 07/03/2013 | 06/23/2014 | 12 00 | 230 99 | 0 00 | 239 66 | (8 67) |
| **Net Covered Short-Term Gains (Losses)** | | | | **6,408.20** | **0.00** | **5,505.22** | **902.98** |
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| ACADIA REALTY TRUST CMN (004239109) | 08/09/2013 | 01/24/2014 | 5 00 | 124 53 | 0 00 | 128 55 | (4 02) |
| ACADIA REALTY TRUST CMN (004239109) | 07/03/2013 | 01/24/2014 | 10 00 | 249 05 | 0 00 | 248 30 | 0 75 |
| ACADIA REALTY TRUST CMN (004239109) | 05/09/2013 | 01/24/2014 | 25 00 | 622 63 | 0 00 | 724 09 | (101 46) |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 01/24/2014 | 3 00 | 315 51 | 0 00 | 330 33 | (14 82) |
| CUBESMART CMN (229663109) | 07/03/2013 | 01/24/2014 | 10 00 | 155 43 | 0 00 | 162 30 | (6 87) |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 05/09/2013 | 01/24/2014 | 9 00 | 169 28 | 0 00 | 165 06 | 4 22 |
| LASALLE HOTEL PROPERTIES CMN (517942108) | 09/20/2013 | 01/24/2014 | 4 00 | 123 19 | 0 00 | 115 35 | 7 84 |
| UDR INC CMN (902653104) | 05/09/2013 | 01/24/2014 | 8 00 | 189 62 | 0 00 | 201 76 | (12 14) |
| VENTAS, INC CMN (92276F100) | 05/09/2013 | 01/28/2014 | 4 00 | 246 54 | 0 00 | 316 96 | (70 42) |
| VENTAS, INC CMN (92276F100) | 07/03/2013 | 01/28/2014 | 18 00 | 1,109 43 | 0 00 | 1,246 32 | (136 89) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 01/29/2014 | 11 00 | 622 23 | 0 00 | 810 28 | (188 05) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) Disallowed Loss | | | | | | | 51 29 |
| MACERICH COMPANY CMN (554382101) | 07/03/2013 | 02/03/2014 | 1 00 | 55 67 | 0 00 | 61 25 | (5 58) |
| MACERICH COMPANY CMN (554382101) | 05/09/2013 | 02/03/2014 | 26 00 | 1,447 36 | 0 00 | 1,816 36 | (369 00) |
| SENIOR HOUSING PROPERTIES TR CMN (81721M109) | 05/09/2013 | 02/10/2014 | 12 00 | 264 26 | 0 00 | 340 86 | (76 60) |
| SENIOR HOUSING PROPERTIES TR CMN (81721M109) | 07/03/2013 | 02/10/2014 | 35 00 | 770 74 | 0 00 | 904 21 | (133 47) |
| MACERICH COMPANY CMN (554382101) | 07/03/2013 | 03/05/2014 | 9 00 | 556 70 | 0 00 | 550 40 | 6 30 |
| MACERICH COMPANY CMN (554382101) | 07/03/2013 | 03/12/2014 | 9 00 | 539 52 | 0 00 | 550 40 | (10 88) |
| MACERICH COMPANY CMN (554382101) | 07/03/2013 | 03/21/2014 | 4 00 | 242 08 | 0 00 | 244 62 | (2 54) |
| MACERICH COMPANY CMN (554382101) | 08/09/2013 | 03/21/2014 | 12 00 | 726 24 | 0 00 | 740 83 | (14 59) |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 06/19/2013 | 06/16/2014 | 21 00 | 252 79 | 0 00 | 238 76 | 14 03 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 06/19/2013 | 06/17/2014 | 5 00 | 60 46 | 0 00 | 56 85 | 3 61 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 07/24/2013 | 06/17/2014 | 6 00 | 72 56 | 0 00 | 65 49 | 7 07 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 07/03/2013 | 06/17/2014 | 19 00 | 229 75 | 0 00 | 209 62 | 20 13 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 10/22/2013 | 06/23/2014 | 1 00 | 141 86 | 0 00 | 134 85 | 7 01 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 06/16/2014 | 06/23/2014 | 1 00 | 141 86 | 0 00 | 139 09 | 2 77 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 08/16/2013 | 06/23/2014 | 2 00 | 283 71 | 0 00 | 249 15 | 34 56 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 01/24/2014 | 06/23/2014 | 3 00 | 425 57 | 0 00 | 358 68 | 66 89 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 06/17/2014 | 06/23/2014 | 3 00 | 425 57 | 0 00 | 418 45 | 7 12 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 08/22/2013 | 06/23/2014 | 3 00 | 425 57 | 0 00 | 375 45 | 50 12 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 07/03/2013 | 06/23/2014 | 12 00 | 1,702 28 | 0 00 | 1,632 00 | 70 28 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 08/09/2013 | 06/23/2014 | 35 00 | 4,964 99 | 0 00 | 4,681 22 | 283 77 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 08/16/2013 | 06/23/2014 | 3 00 | 353 72 | 0 00 | 305 04 | 48 68 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 08/07/2013 | 06/23/2014 | 13 00 | 1,532 80 | 0 00 | 1,334 10 | 198 70 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 07/03/2013 | 06/23/2014 | 41 00 | 4,834 20 | 0 00 | 4,341 49 | 492 71 |
| COUSINS PPTYS INC CMN (222795106) | 08/09/2013 | 06/23/2014 | 11 00 | 135 96 | 0 00 | 115 39 | 20 57 |
| COUSINS PPTYS INC CMN (222795106) | 03/13/2014 | 06/23/2014 | 16 00 | 197 76 | 0 00 | 179 69 | 18 07 |
| COUSINS PPTYS INC CMN (222795106) | 02/05/2014 | 06/23/2014 | 24 00 | 296 63 | 0 00 | 255 82 | 40 81 |
| COUSINS PPTYS INC CMN (222795106) | 03/05/2014 | 06/23/2014 | 25 00 | 308 99 | 0 00 | 289 14 | 19 85 |
| COUSINS PPTYS INC CMN (222795106) | 10/28/2013 | 06/23/2014 | 51 00 | 630 35 | 0 00 | 575 86 | 54 49 |
| COUSINS PPTYS INC CMN (222795106) | 03/06/2014 | 06/23/2014 | 57 00 | 704 50 | 0 00 | 644 39 | 60 11 |
| COUSINS PPTYS INC CMN (222795106) | 08/01/2013 | 06/23/2014 | 70 00 | 865 18 | 0 00 | 716 09 | 149 09 |
| CUBESMART CMN (229663109) | 08/09/2013 | 06/23/2014 | 25 00 | 467 49 | 0 00 | 433 75 | 33 74 |
| CUBESMART CMN (229663109) | 07/03/2013 | 06/23/2014 | 85 00 | 1,589 46 | 0 00 | 1,379 55 | 209 91 |
| DDR CORP CMN (23317H102) | 08/16/2013 | 06/23/2014 | 12 00 | 212 14 | 0 00 | 189 13 | 23 01 |
| DDR CORP CMN (23317H102) | 08/09/2013 | 06/23/2014 | 51 00 | 901 61 | 0 00 | 830 30 | 71 31 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| DDR CORP CMN (23317H102) | 10/10/2013 | 06/23/2014 | 79 00 | 1,396 60 | 0 00 | 1,238 75 | 157 85 |
| DDR CORP CMN (23317H102) | 07/03/2013 | 06/23/2014 | 86 00 | 1,520 35 | 0 00 | 1,388 07 | 132 28 |
| DDR CORP CMN (23317H102) | 01/24/2014 | 06/23/2014 | 97 00 | 1,714 81 | 0 00 | 1,477 35 | 237 46 |
| EASTGROUP PROPERTIES INC CMN (277276101) | 08/09/2013 | 06/23/2014 | 23 00 | 1,470 58 | 0 00 | 1,431 76 | 38 82 |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 02/05/2014 | 06/23/2014 | 2 00 | 368 59 | 0 00 | 321 64 | 46 95 |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 08/09/2013 | 06/23/2014 | 5 00 | 921 48 | 0 00 | 791 00 | 130 48 |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 07/03/2013 | 06/23/2014 | 9 00 | 1,658 66 | 0 00 | 1,438 56 | 220 10 |
| FEDERAL RLTY INVT TR SBI CMN (313747206) | 08/16/2013 | 06/23/2014 | 2 00 | 240 18 | 0 00 | 202 91 | 37 27 |
| FEDERAL RLTY INVT TR SBI CMN (313747206) | 08/07/2013 | 06/23/2014 | 4 00 | 480 35 | 0 00 | 414 50 | 65 85 |
| FEDERAL RLTY INVT TR SBI CMN (313747206) | 02/03/2014 | 06/23/2014 | 8 00 | 960 70 | 0 00 | 860 12 | 100 58 |
| FEDERAL RLTY INVT TR SBI CMN (313747206) | 07/03/2013 | 06/23/2014 | 19 00 | 2,281 66 | 0 00 | 1,965 20 | 316 46 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 02/05/2014 | 06/23/2014 | 10 00 | 238 19 | 0 00 | 200 66 | 37 53 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 06/19/2014 | 06/23/2014 | 11 00 | 262 01 | 0 00 | 260 91 | 1 10 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 09/11/2013 | 06/23/2014 | 18 00 | 428 75 | 0 00 | 348 54 | 80 21 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 07/03/2013 | 06/23/2014 | 25 00 | 595 49 | 0 00 | 499 50 | 95 99 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 10/08/2013 | 06/23/2014 | 41 00 | 976 60 | 0 00 | 819 89 | 156 71 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 08/09/2013 | 06/23/2014 | 71 00 | 1,691 18 | 0 00 | 1,476 80 | 214 38 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 10/22/2013 | 06/23/2014 | 3 00 | 190 76 | 0 00 | 194 79 | (4 03) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/28/2014 | 06/23/2014 | 5 00 | 317 95 | 0 00 | 312 06 | 5 89 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 10/21/2013 | 06/23/2014 | 7 00 | 445 12 | 0 00 | 443 51 | 1 61 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 07/01/2013 | 06/23/2014 | 9 00 | 572 30 | 0 00 | 589 51 | (17 21) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 08/21/2013 | 06/23/2014 | 14 00 | 890 24 | 0 00 | 841 25 | 48 99 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 07/03/2013 | 06/23/2014 | 27 00 | 1,716 89 | 0 00 | 1,758 31 | (41 42) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 08/09/2013 | 06/23/2014 | 50 00 | 3,179 43 | 0 00 | 3,150 06 | 29 37 |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 08/09/2013 | 06/23/2014 | 12 00 | 303 35 | 0 00 | 289 49 | 13 86 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 10/11/2013 | 06/23/2014 | 18 00 | 455 03 | 0 00 | 411 56 | 43 47 |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 07/03/2013 | 06/23/2014 | 19 00 | 480 31 | 0 00 | 470 71 | 9 60 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 11/04/2013 | 06/23/2014 | 20 00 | 246 99 | 0 00 | 227 28 | 19 71 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 10/14/2013 | 06/23/2014 | 28 00 | 345 79 | 0 00 | 303 89 | 41 90 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 01/28/2014 | 06/23/2014 | 58 00 | 716 29 | 0 00 | 621 00 | 95 29 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 08/09/2013 | 06/23/2014 | 60 00 | 740 98 | 0 00 | 643 97 | 97 01 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 07/24/2013 | 06/23/2014 | 62 00 | 765 68 | 0 00 | 676 68 | 89 00 |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 08/09/2013 | 06/23/2014 | 58 00 | 1,303 23 | 0 00 | 1,034 72 | 268 51 |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 07/03/2013 | 06/23/2014 | 109 00 | 2,449 18 | 0 00 | 1,848 64 | 600 54 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 08/19/2013 | 06/23/2014 | 10 00 | 258 09 | 0 00 | 195 83 | 62 26 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 07/03/2013 | 06/23/2014 | 14 00 | 361 33 | 0 00 | 294 79 | 66 54 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 11/04/2013 | 06/23/2014 | 20 00 | 516 19 | 0 00 | 410 03 | 106 16 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 01/24/2014 | 06/23/2014 | 26 00 | 671 04 | 0 00 | 559 72 | 111 32 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 08/09/2013 | 06/23/2014 | 40 00 | 1,032 38 | 0 00 | 833 85 | 198 53 |
| LASALLE HOTEL PROPERTIES CMN (517942108) | 03/07/2014 | 06/23/2014 | 6 00 | 212 64 | 0 00 | 191 49 | 21 15 |
| LASALLE HOTEL PROPERTIES CMN (517942108) | 04/28/2014 | 06/23/2014 | 24 00 | 850 54 | 0 00 | 770 02 | 80 52 |
| LASALLE HOTEL PROPERTIES CMN (517942108) | 09/20/2013 | 06/23/2014 | 41 00 | 1,453 00 | 0 00 | 1,182 32 | 270 68 |
| LASALLE HOTEL PROPERTIES CMN (517942108) | 10/29/2013 | 06/23/2014 | 45 00 | 1,594 76 | 0 00 | 1,405 29 | 189 47 |
| LIBERTY PROPERTY TRUST SBI CMN (531172104) | 01/29/2014 | 06/23/2014 | 14 00 | 534 23 | 0 00 | 501 46 | 32 77 |
| LIBERTY PROPERTY TRUST SBI CMN (531172104) | 12/17/2013 | 06/23/2014 | 42 00 | 1,602 69 | 0 00 | 1,405 71 | 196 98 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 02/05/2014 | 06/23/2014 | 3 00 | 215 97 | 0 00 | 193 30 | 22 67 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 10/23/2013 | 06/23/2014 | 5 00 | 359 94 | 0 00 | 331 70 | 28 24 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 07/03/2013 | 06/23/2014 | 13 00 | 935 85 | 0 00 | 876 83 | 59 02 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 10/07/2013 | 06/23/2014 | 15 00 | 1,079 82 | 0 00 | 924 74 | 155 08 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 06/26/2013 | 06/23/2014 | 22 00 | 1,583 74 | 0 00 | 1,452 15 | 131 59 |

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# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| NATIONAL HEALTH INVESTORS, INC CMN (63633D104) | 02/07/2014 | 06/23/2014 | 7 00 | 442 67 | 0 00 | 430 62 | 12 05 |
| NATIONAL HEALTH INVESTORS, INC CMN (63633D104) | 11/25/2013 | 06/23/2014 | 21 00 | 1,328 01 | 0 00 | 1,241 86 | 86 15 |
| PEBBLEBROOK HOTEL TRUST CMN (70509V100) | 08/09/2013 | 06/23/2014 | 7 00 | 257 38 | 0 00 | 194 32 | 63 06 |
| PEBBLEBROOK HOTEL TRUST CMN (70509V100) | 07/03/2013 | 06/23/2014 | 12 00 | 441 23 | 0 00 | 308 64 | 132 59 |
| PIEDMONT OFFICE REALTY TRUST I CMN (720190206) | 08/16/2013 | 06/23/2014 | 12 00 | 227 18 | 0 00 | 202 60 | 24 58 |
| PIEDMONT OFFICE REALTY TRUST I CMN (720190206) | 08/09/2013 | 06/23/2014 | 23 00 | 435 43 | 0 00 | 407 06 | 28 37 |
| PIEDMONT OFFICE REALTY TRUST I CMN (720190206) | 07/03/2013 | 06/23/2014 | 38 00 | 719 41 | 0 00 | 678 82 | 40 59 |
| PIEDMONT OFFICE REALTY TRUST I CMN (720190206) | 11/01/2013 | 06/23/2014 | 41 00 | 776 20 | 0 00 | 725 37 | 50 83 |
| PROLOGIS INC CMN (74340W103) | 10/22/2013 | 06/23/2014 | 2 00 | 82 66 | 0 00 | 81 10 | 1 56 |
| PROLOGIS INC CMN (74340W103) | 06/16/2014 | 06/23/2014 | 3 00 | 123 99 | 0 00 | 122 61 | 1 38 |
| PROLOGIS INC CMN (74340W103) | 08/14/2013 | 06/23/2014 | 4 00 | 165 32 | 0 00 | 148 70 | 16 62 |
| PROLOGIS INC CMN (74340W103) | 06/26/2013 | 06/23/2014 | 5 00 | 206 65 | 0 00 | 185 50 | 21 15 |
| PROLOGIS INC CMN (74340W103) | 06/26/2013 | 06/23/2014 | 8 00 | 330 63 | 0 00 | 295 26 | 35 37 |
| PROLOGIS INC CMN (74340W103) | 06/17/2014 | 06/23/2014 | 8 00 | 330 63 | 0 00 | 326 36 | 4 27 |
| PROLOGIS INC CMN (74340W103) | 01/24/2014 | 06/23/2014 | 12 00 | 495 95 | 0 00 | 439 54 | 56 41 |
| PROLOGIS INC CMN (74340W103) | 08/09/2013 | 06/23/2014 | 43 00 | 1,777 15 | 0 00 | 1,658 94 | 118 21 |
| PROLOGIS INC CMN (74340W103) | 07/03/2013 | 06/23/2014 | 57 00 | 2,355 76 | 0 00 | 2,136 36 | 219 40 |
| PUBLIC STORAGE CMN (74460D109) | 02/05/2014 | 06/23/2014 | 1 00 | 171 82 | 0 00 | 157 40 | 14 42 |
| PUBLIC STORAGE CMN (74460D109) | 08/16/2013 | 06/23/2014 | 3 00 | 515 46 | 0 00 | 470 85 | 44 61 |
| PUBLIC STORAGE CMN (74460D109) | 08/09/2013 | 06/23/2014 | 6 00 | 1,030 92 | 0 00 | 984 54 | 46 38 |
| PUBLIC STORAGE CMN (74460D109) | 07/03/2013 | 06/23/2014 | 10 00 | 1,718 21 | 0 00 | 1,526 90 | 191 31 |
| RETAIL OPPORTUNITY INVTS CORP CMN (76131N101) | 08/09/2013 | 06/23/2014 | 15 00 | 233 99 | 0 00 | 204 28 | 29 71 |
| RETAIL OPPORTUNITY INVTS CORP CMN (76131N101) | 07/03/2013 | 06/23/2014 | 25 00 | 389 99 | 0 00 | 332 37 | 57 62 |
| RETAIL OPPORTUNITY INVTS CORP CMN (76131N101) | 06/18/2014 | 06/23/2014 | 34 00 | 530 39 | 0 00 | 531 60 | (1 21) |
| RLJ LODGING TRUST CMN (74965L101) | 03/19/2014 | 06/23/2014 | 5 00 | 142 35 | 0 00 | 134 85 | 7 50 |

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## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| RLJ LODGING TRUST CMN (74965L101) | 08/16/2013 | 06/23/2014 | 9 00 | 256 22 | 0 00 | 213 88 | 42 34 |
| RLJ LODGING TRUST CMN (74965L101) | 08/09/2013 | 06/23/2014 | 11 00 | 313 16 | 0 00 | 268 73 | 44 43 |
| RLJ LODGING TRUST CMN (74965L101) | 11/01/2013 | 06/23/2014 | 11 00 | 313 16 | 0 00 | 274 65 | 38 51 |
| RLJ LODGING TRUST CMN (74965L101) | 01/24/2014 | 06/23/2014 | 11 00 | 313 16 | 0 00 | 270 73 | 42 43 |
| RLJ LODGING TRUST CMN (74965L101) | 07/03/2013 | 06/23/2014 | 19 00 | 540 92 | 0 00 | 428 45 | 112 47 |
| RLJ LODGING TRUST CMN (74965L101) | 07/03/2013 | 06/23/2014 | 33 00 | 939 49 | 0 00 | 745 32 | 194 17 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 10/10/2013 | 06/23/2014 | 2 00 | 334 24 | 0 00 | 285 56 | 48 68 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 10/22/2013 | 06/23/2014 | 2 00 | 334 24 | 0 00 | 300 67 | 33 57 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 01/24/2014 | 06/23/2014 | 5 00 | 835 62 | 0 00 | 722 83 | 112 79 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 08/09/2013 | 06/23/2014 | 15 00 | 2,506 86 | 0 00 | 2,241 44 | 265 42 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 07/03/2013 | 06/23/2014 | 25 00 | 4,178 10 | 0 00 | 3,765 42 | 412 68 |
| SL GREEN REALTY CORP CMN (78440X101) | 08/16/2013 | 06/23/2014 | 3 00 | 332 96 | 0 00 | 264 30 | 68 66 |
| SL GREEN REALTY CORP CMN (78440X101) | 08/09/2013 | 06/23/2014 | 11 00 | 1,220 86 | 0 00 | 998 91 | 221 95 |
| SL GREEN REALTY CORP CMN (78440X101) | 06/26/2013 | 06/23/2014 | 14 00 | 1,553 82 | 0 00 | 1,222 03 | 331 79 |
| SL GREEN REALTY CORP CMN (78440X101) | 07/03/2013 | 06/23/2014 | 20 00 | 2,219 75 | 0 00 | 1,780 80 | 438 95 |
| UDR INC CMN (902653104) | 08/09/2013 | 06/23/2014 | 27 00 | 761 38 | 0 00 | 664 20 | 97 18 |
| UDR INC CMN (902653104) | 07/03/2013 | 06/23/2014 | 45 00 | 1,268 97 | 0 00 | 1,163 70 | 105 27 |
| **Net NonCovered Short-Term Disallowed Losses** | | | | | | | **51.29** |
| **Net NonCovered Short-Term Gains (Losses)** | | | | **109,727.97** | **0.00** | **99,828.47** | **9,950.79** |

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 05/09/2013 | 05/29/2014 | 0 50 | 9 55 | 0 00 | 0 00 | 9 55 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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# REALIZED GAINS AND LOSSES (Continued)

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## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 05/29/2013 | 06/23/2014 | 7 00 | 134 74 | 0 00 | 134 08 | 0 66 |
| WASHINGTON PRIME GROUP INC CMN (939647103) | 05/09/2013 | 06/23/2014 | 26 00 | 500 49 | 0 00 | 569 62 | (69 13) |
| **Net Covered Long-Term Gains (Losses)** | | | | **644.78** | **0.00** | **703.70** | **(58.92)** |
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 05/16/2014 | 4 00 | 259 87 | 0 00 | 292 97 | (33 10) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) Disallowed Loss | | | | | | | 33 10 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 05/09/2013 | 05/16/2014 | 2 00 | 350 62 | 0 00 | 359 21 | (8 59) |
| PUBLIC STORAGE CMN (74460D109) | 05/09/2013 | 05/28/2014 | 1 00 | 170 87 | 0 00 | 165 39 | 5 48 |
| DDR CORP CMN (23317H102) | 05/09/2013 | 06/02/2014 | 30 00 | 520 29 | 0 00 | 557 32 | (37 03) |
| UDR INC CMN (902653104) | 05/09/2013 | 06/03/2014 | 16 00 | 442 07 | 0 00 | 403 52 | 38 55 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 06/10/2014 | 4 00 | 250 53 | 0 00 | 292 97 | (42 44) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) Disallowed Loss | | | | | | | 42 44 |
| DDR CORP CMN (23317H102) | 05/09/2013 | 06/16/2014 | 8 00 | 138 34 | 0 00 | 147 68 | (9 34) |
| HEALTHCARE TR OF AMER INC CMN CLASS A (42225P105) | 05/09/2013 | 06/16/2014 | 27 00 | 325 02 | 0 00 | 346 64 | (21 62) |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 05/16/2013 | 06/23/2014 | 7 00 | 993 00 | 0 00 | 972 67 | 20 33 |
| AVALONBAY COMMUNITIES INC CMN (053484101) | 05/09/2013 | 06/23/2014 | 24 00 | 3,404 56 | 0 00 | 3,178 89 | 225 67 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/23/2013 | 06/23/2014 | 4 00 | 471 63 | 0 00 | 449 22 | 22 41 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 06/23/2014 | 13 00 | 1,532 80 | 0 00 | 1,431 43 | 101 37 |
| DDR CORP CMN (23317H102) | 05/09/2013 | 06/23/2014 | 154 00 | 2,722 49 | 0 00 | 2,842 74 | (120 25) |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 05/09/2013 | 06/23/2014 | 20 00 | 3,685 92 | 0 00 | 3,169 20 | 516 72 |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 05/09/2013 | 06/23/2014 | 20 00 | 476 39 | 0 00 | 458 00 | 18 39 |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/25/2013 | 06/23/2014 | 3 00 | 190 76 | 0 00 | 221 39 | (30 63) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/21/2013 | 06/23/2014 | 4 00 | 254 35 | 0 00 | 282 74 | (28 39) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 06/23/2014 | 4 00 | 254 35 | 0 00 | 292 08 | (37 73) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 06/23/2014 | 35 00 | 2,225 60 | 0 00 | 2,563 52 | (337 92) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 05/09/2013 | 06/23/2014 | 53 00 | 1,339 81 | 0 00 | 1,537 37 | (197 56) |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 05/29/2013 | 06/23/2014 | 64 00 | 1,438 05 | 0 00 | 1,154 59 | 283 46 |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 05/09/2013 | 06/23/2014 | 122 00 | 2,741 28 | 0 00 | 2,237 48 | 503 80 |
| HUDSON PACIFIC PROPERTIES, INC CMN (444097109) | 05/09/2013 | 06/23/2014 | 37 00 | 954 95 | 0 00 | 853 13 | 101 82 |
| MID-AMERICA APT CMNTYS INC CMN (59522J103) | 05/09/2013 | 06/23/2014 | 14 00 | 1,007 84 | 0 00 | 1,000 00 | 7 84 |
| PEBBLEBROOK HOTEL TRUST CMN (70509V100) | 05/09/2013 | 06/23/2014 | 9 00 | 330 92 | 0 00 | 250 46 | 80 46 |
| PIEDMONT OFFICE REALTY TRUST I CMN (720190206) | 05/09/2013 | 06/23/2014 | 101 00 | 1,912 11 | 0 00 | 2,055 52 | (143 41) |
| PROLOGIS INC CMN (74340W103) | 06/14/2013 | 06/23/2014 | 3 00 | 123 99 | 0 00 | 115 66 | 8 33 |
| PROLOGIS INC CMN (74340W103) | 05/29/2013 | 06/23/2014 | 9 00 | 371 96 | 0 00 | 377 96 | (6 00) |
| PROLOGIS INC CMN (74340W103) | 05/22/2013 | 06/23/2014 | 38 00 | 1,570 50 | 0 00 | 1,703 90 | (133 40) |
| PROLOGIS INC CMN (74340W103) | 05/09/2013 | 06/23/2014 | 85 00 | 3,512 97 | 0 00 | 3,646 50 | (133 53) |
| PUBLIC STORAGE CMN (74460D109) | 06/13/2013 | 06/23/2014 | 3 00 | 515 46 | 0 00 | 447 99 | 67 47 |
| PUBLIC STORAGE CMN (74460D109) | 05/29/2013 | 06/23/2014 | 7 00 | 1,202 74 | 0 00 | 1,105 32 | 97 42 |
| PUBLIC STORAGE CMN (74460D109) | 05/09/2013 | 06/23/2014 | 15 00 | 2,577 31 | 0 00 | 2,480 85 | 96 46 |
| RETAIL OPPORTUNITY INVTS CORP CMN (76131N101) | 05/09/2013 | 06/23/2014 | 67 00 | 1,045 18 | 0 00 | 1,009 00 | 36 18 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 05/29/2013 | 06/23/2014 | 13 00 | 2,172 61 | 0 00 | 2,106 59 | 66 02 |
| SIMON PROPERTY GROUP INC CMN (828806109) | 05/09/2013 | 06/23/2014 | 53 00 | 8,857 56 | 0 00 | 8,949 43 | (91 87) |
| SL GREEN REALTY CORP CMN (78440X101) | 05/09/2013 | 06/23/2014 | 34 00 | 3,773 57 | 0 00 | 3,069 52 | 704 05 |
| UDR INC CMN (902653104) | 05/09/2013 | 06/23/2014 | 82 00 | 2,312 35 | 0 00 | 2,068 04 | 244 31 |
| **Net NonCovered Long-Term Disallowed Losses** | | | | | | | **75.54** |
| **Net NonCovered Long-Term Gains (Losses)** | | | | **56,430.62** | **0.00** | **54,596.89** | **1,909.27** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

## BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS

### 2A. LONG TERM CAPITAL GAINS

| | Product Identifier | Notes | Settlement Date | Amount |
|---|---|---|---|---|
| DDR CORP CMN Non-Qualified Gross Dividend On 517 Shs @ 0 15500000 DDR CORP CMN | 23317H102 | Recharacterized | 04/08/2014 | 11 79 |
| AVALONBAY COMMUNITIES INC CMN Non-Qualified Gross Dividend On 87 Shs @ 1 16000000 AVALONBAY COMMUNITIES INC CM | 053484101 | Recharacterized | 04/15/2014 | 29 27 |
| CUBESMART CMN Non-Qualified Gross Dividend On 110 Shs @ 0 13000000 CUBESMART CMN | 229663109 | Recharacterized | 04/15/2014 | 0 96 |
| FEDERAL RLTY INVT TR SBI CMN Non-Qualified Gross Dividend On 33 Shs @ 0 78000000 FEDERAL RLTY INVT TR SBI CMN | 313747206 | Recharacterized | 04/15/2014 | 0 26 |
| HOST HOTELS & RESORTS INC CMN Non-Qualified Gross Dividend On 353 Shs @ 0 14000000 HOST HOTELS & RESORTS INC CM | 44107P104 | Recharacterized | 04/15/2014 | 10 87 |
| LASALLE HOTEL PROPERTIES CMN Non-Qualified Gross Dividend On 92 Shs @ 0 28000000 LASALLE HOTEL PROPERTIES CMN | 517942108 | Recharacterized | 04/15/2014 | 4 70 |
| SL GREEN REALTY CORP CMN Non-Qualified Gross Dividend On 82 Shs @ 0 50000000 SL GREEN REALTY CORP CMN | 78440X101 | Recharacterized | 04/15/2014 | 12 74 |
| BOSTON PROPERTIES INC COMMON STOCK Non-Qualified Gross Dividend On 74 Shs @ 0 65000000 BOSTON PROPERTIES INC COMMON | 101121101 | Recharacterized | 04/30/2014 | 42 42 |
| GENERAL GROWTH PROPERTIES INC CMN Non-Qualified Gross Dividend On 185 Shs @ 0 15000000 GENERAL GROWTH PROPERTIES IN | 370023103 | Recharacterized | 04/30/2014 | 4 55 |
| UDR INC CMN Non-Qualified Gross Dividend On 170 Shs @ 0 26000000 UDR INC CMN | 902653104 | Recharacterized | 04/30/2014 | 4 56 |
| HEALTH CARE REIT INC (DEL) CMN Non-Qualified Gross Dividend On 156 Shs @ 0 79500000 HEALTH CARE REIT INC (DEL) C | 42217K106 | Recharacterized | 05/20/2014 | 6 40 |
| RETAIL OPPORTUNITY INVTS CORP CMN Non-Qualified Gross Dividend On 107 Shs @ 0 16000000 RETAIL OPPORTUNITY INVTS COR | 76131N101 | Recharacterized | 06/27/2014 | 2 01 |
| EASTGROUP PROPERTIES INC CMN Non-Qualified Gross Dividend On 23 Shs @ 0 54000000 EASTGROUP PROPERTIES INC CMN | 277276101 | Recharacterized | 06/30/2014 | 0 06 |
| PROLOGIS INC CMN Non-Qualified Gross Dividend On 266 Shs @ 0 33000000 PROLOGIS INC CMN | 74340W103 | Recharacterized | 06/30/2014 | 25 79 |
| DDR CORP CMN Non-Qualified Gross Dividend On 487 Shs @ 0 15500000 DDR CORP CMN | 23317H102 | Recharacterized | 07/08/2014 | 11 10 |
| FEDERAL RLTY INVT TR SBI CMN Non-Qualified Gross Dividend On 33 Shs @ 0 78000000 FEDERAL RLTY INVT TR SBI CMN | 313747206 | Recharacterized | 07/15/2014 | 0 26 |
| **TOTAL FOR 2A. LONG TERM CAPITAL GAINS** | | | | **265.03** |



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## BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS

### 2B. SEC. 1250 GAIN

| | Product Identifier | Notes | Settlement Date | Amount |
|---|---|---|---|---|
| ESSEX PROPERTY TRUST INC CMN Non-Qualified Gross Dividend On 34 Shs @ 1 21000000 ESSEX PROPERTY TRUST INC CMN | 297178105 | Recharacterized | 01/15/2014 | 3 30 |
| LIBERTY PROPERTY TRUST SBI CMN Non-Qualified Gross Dividend On 42 Shs @ 0 47500000 LIBERTY PROPERTY TRUST SBI C | 531172104 | Recharacterized | 01/15/2014 | 3 90 |
| BOSTON PROPERTIES INC COMMON STOCK Non-Qualified Gross Dividend On 77 Shs @ 0 65000000 BOSTON PROPERTIES INC COMMON | 101121101 | Recharacterized | 01/29/2014 | 0 94 |
| BOSTON PROPERTIES INC COMMON STOCK Non-Qualified Gross Dividend On 77 Shs @ 2 25000000 BOSTON PROPERTIES INC COMMON | 101121101 | Recharacterized | 01/29/2014 | 3 26 |
| UDR INC CMN Non-Qualified Gross Dividend On 178 Shs @ 0 23500000 UDR INC CMN | 902653104 | Recharacterized | 01/31/2014 | 3 15 |
| HEALTH CARE REIT INC (DEL) CMN Non-Qualified Gross Dividend On 153 Shs @ 0 79500000 HEALTH CARE REIT INC (DEL) C | 42217K106 | Recharacterized | 02/20/2014 | 15 04 |
| COUSINS PPTYS INC CMN Non-Qualified Gross Dividend On 156 Shs @ 0 07500000 COUSINS PPTYS INC CMN | 222795106 | Recharacterized | 02/24/2014 | 0 02 |
| MACERICH COMPANY CMN Non-Qualified Gross Dividend On 34 Shs @ 0 62000000 MACERICH COMPANY CMN | 554382101 | Recharacterized | 03/07/2014 | 0 43 |
| RETAIL OPPORTUNITY INVTS CORP CMN Non-Qualified Gross Dividend On 107 Shs @ 0 16000000 RETAIL OPPORTUNITY INVTS COR | 76131N101 | Recharacterized | 03/28/2014 | 0 14 |
| EASTGROUP PROPERTIES INC CMN Non-Qualified Gross Dividend On 23 Shs @ 0 54000000 EASTGROUP PROPERTIES INC CMN | 277276101 | Recharacterized | 03/31/2014 | 0 53 |
| ESSEX PROPERTY TRUST INC CMN Non-Qualified Gross Dividend On 36 Shs @ 1 21000000 ESSEX PROPERTY TRUST INC CMN | 297178105 | Recharacterized | 03/31/2014 | 3 49 |
| PROLOGIS INC CMN Non-Qualified Gross Dividend On 266 Shs @ 0 33000000 PROLOGIS INC CMN | 74340W103 | Recharacterized | 03/31/2014 | 19 90 |
| AVALONBAY COMMUNITIES INC CMN Non-Qualified Gross Dividend On 87 Shs @ 1 16000000 AVALONBAY COMMUNITIES INC CM | 053484101 | Recharacterized | 04/15/2014 | 9 08 |
| CUBESMART CMN Non-Qualified Gross Dividend On 110 Shs @ 0 13000000 CUBESMART CMN | 229663109 | Recharacterized | 04/15/2014 | 0 10 |
| HOST HOTELS & RESORTS INC CMN Non-Qualified Gross Dividend On 353 Shs @ 0 14000000 HOST HOTELS & RESORTS INC CM | 44107P104 | Recharacterized | 04/15/2014 | 6 42 |
| LIBERTY PROPERTY TRUST SBI CMN Non-Qualified Gross Dividend On 56 Shs @ 0 47500000 LIBERTY PROPERTY TRUST SBI C | 531172104 | Recharacterized | 04/15/2014 | 5 20 |



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**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

## BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS

| | Product Identifier | Notes | Settlement Date | Amount |
|---|---|---|---|---|
| **2B. SEC. 1250 GAIN** | | | | |
| SL GREEN REALTY CORP CMN Non-Qualified Gross Dividend On 82 Shs @ 0 50000000 SL GREEN REALTY CORP CMN | 78440X101 | Recharacterized | 04/15/2014 | 5 43 |
| BOSTON PROPERTIES INC COMMON STOCK Non-Qualified Gross Dividend On 74 Shs @ 0 65000000 BOSTON PROPERTIES INC COMMON | 101121101 | Recharacterized | 04/30/2014 | 5 68 |
| GENERAL GROWTH PROPERTIES INC CMN Non-Qualified Gross Dividend On 185 Shs @ 0 15000000 GENERAL GROWTH PROPERTIES IN | 370023103 | Recharacterized | 04/30/2014 | 1 69 |
| UDR INC CMN Non-Qualified Gross Dividend On 170 Shs @ 0 26000000 UDR INC CMN | 902653104 | Recharacterized | 04/30/2014 | 3 33 |
| HEALTH CARE REIT INC (DEL) CMN Non-Qualified Gross Dividend On 156 Shs @ 0 79500000 HEALTH CARE REIT INC (DEL) C | 42217K106 | Recharacterized | 05/20/2014 | 15 33 |
| RETAIL OPPORTUNITY INVTS CORP CMN Non-Qualified Gross Dividend On 107 Shs @ 0 16000000 RETAIL OPPORTUNITY INVTS COR | 76131N101 | Recharacterized | 06/27/2014 | 0 14 |
| EASTGROUP PROPERTIES INC CMN Non-Qualified Gross Dividend On 23 Shs @ 0 54000000 EASTGROUP PROPERTIES INC CMN | 277276101 | Recharacterized | 06/30/2014 | 0 53 |
| PROLOGIS INC CMN Non-Qualified Gross Dividend On 266 Shs @ 0 33000000 PROLOGIS INC CMN | 74340W103 | Recharacterized | 06/30/2014 | 14 77 |
| PUBLIC STORAGE CMN Non-Qualified Gross Dividend On 45 Shs @ 1 40000000 PUBLIC STORAGE CMN | 74460D109 | Recharacterized | 06/30/2014 | 0 14 |
| **TOTAL FOR 2B. SEC. 1250 GAIN** | | | | **121.94** |
| **TOTAL FOR BOX 2A: TOTAL CAPITAL GAIN DISTRIBUTIONS** | | | | **386.97** |

## BOX 3: NONDIVIDEND DISTRIBUTIONS

| | Product Identifier | Notes | Settlement Date | Amount |
|---|---|---|---|---|
| **3. NONDIVIDEND DISTRIBUTIONS** | | | | |
| DDR CORP CMN Non-Qualified Gross Dividend On 420 Shs @ 0 13500000 DDR CORP CMN | 23317H102 | Recharacterized | 01/07/2014 | 43 16 |
| LIBERTY PROPERTY TRUST SBI CMN Non-Qualified Gross Dividend On 42 Shs @ 0 47500000 LIBERTY PROPERTY TRUST SBI C | 531172104 | Recharacterized | 01/15/2014 | 2 87 |
| MID-AMERICA APT CMNTYS INC CMN Non-Qualified Gross Dividend On 69 Shs @ 0 73000000 MID-AMERICA APT CMNTYS INC C | 59522J103 | Recharacterized | 01/31/2014 | 2 82 |
| HEALTH CARE REIT INC (DEL) CMN Non-Qualified Gross Dividend On 153 Shs @ 0 79500000 HEALTH CARE REIT INC (DEL) C | 42217K106 | Recharacterized | 02/20/2014 | 32 01 |

# THE TSUHA FOUNDATION - HARDING LOEVNER
Holdings (Continued)

Period Ended December 31, 2014

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **NON-US EQUITY** | | | | | | | | |
| HARDING LOEVNER NON-US EQUITY | | | | | | | | |
| SYMRISE AG UNSPONSORED ADR CMN (SYIEY) | 127 00 | 15 1650 | 1,925 96 | 13 4076 | 1,702 76 | 223 19 | 1 0420 | 20 07 |
| SYSMEX CORP ADR CMN (SSMXY) | 208 00 | 22 4780 | 4,675 42 | 16 6135 | 3,455 60 | 1,219 82 | 0 5093 | 23 81 |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (TSM) | 311 00 | 22 3800 | 6,960 18 | 18 5972 | 5,783 73 | 1,176 45 | 1 7854 | 124 26 |
| TURKIYE GARANTI BANKASI AS GDS CMN (TKGBY) | 644 00 | 4 0300 | 2,595 32 | 5 3599 | 3,451 78 | (856 46) | 2 3409 | 60 75 |
| UNICHARM CORP SPONSORED ADR CMN (UNICY) | 912 00 | 4 8580 | 4,430 50 | 4 0907 | 3,730 70 | 699 80 | 0 3745 | 16 59 |
| UNILEVER PLC (NEW) SPONSORED ADR CMN (UL) | 42 00 | 40 4800 | 1,700 16 | 42 1879 | 1,771 89 | (71 73) | 3 6860 | 62 67 |
| WPP PLC ADR CMN (WPPGY) | 50 00 | 104 1000 | 5,205 00 | 87 3694 | 4,368 47 | 836 53 | 2 7973 | 145 60 |
| FUCHS PETROLUB SE ADR CMN (FUPBY) | 179 00 | 10 0720 | 1,802 89 | 11 6193 | 2,079 85 | (276 96) | 1 5994 | 28 84 |
| ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (ITUB) | 219 00 | 13 0100 | 2,849 19<br>1 22 | 13 1039 | 2,869 76 | (20 57) | 0 5556 | 15 83 |
| TOTAL HARDING LOEVNER NON-US EQUITY | | | 156,909 31<br>19 72 | | 150,675 07 | 6,234 23 | 1 8294 | 2,774 81 |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **156,929.03** | **150,675.07** | **6,234.23** | **2,774.81** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41837-2 | 46-1547854 | THE TSUHA FOUNDATION |

# PROCEEDS FROM BROKER TRANSACTIONS

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## NONCOVERED SECURITIES TRANSACTIONS: BASIS NOT REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE X

| CUSIP number / Product Identifier | 1c Date sold or disposed | 1d Proceeds | 1e Cost or other basis | 1f: Adjustment Code, if any [1] | 1g Adjustments | Gain or (Loss) [2] | 1a Description |
|---|---|---|---|---|---|---|---|
| Quantity | 1b. Date acquired | 6· Gross or Net Proceeds reported to IRS [3] | 3 Basis reported to IRS | 5 NonCovered security | 2. Type of gain or loss | 7: Loss not allowed based on amount in 1d | |
| 98418R100 | 01/16/2014 | 158 25 | | | | | XINYI GLASS HLDGS LTD ADR CMN Gross Proceeds On 49 XINYI GLASS |
| 0 00 | | | | X | | | HLDGS LTD ADR CM @ 3 22967700 |
| **ACCOUNT TOTAL (NONCOVERED)** | | **158.25** | | | | | |



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**[1] Shows W for wash sale, C for collectibles, or D for market discount.**

**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949. CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c: Date sold or disposed<br>1b Date acquired | 1d Proceeds<br>6 Gross or Net Proceeds reported to IRS[3] | 1e. Cost or other basis<br>3 Basis reported to IRS | 1f: Adjustment Code, if any[1]<br>5 NonCovered security | 1g Adjustments<br>2. Type of gain or loss | Gain or (Loss)[2]<br>7: Loss not allowed based on amount in 1d | 1a. Description |
|---|---|---|---|---|---|---|---|
| 803054204<br>20 00 | 05/14/2014<br>05/09/2013 | 1,536 87<br>G | 1,638 20<br>X | | 0 00<br>LONG-TERM | (101 33) | SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 358029106<br>25 00 | 05/19/2014<br>05/09/2013 | 812 90<br>G | 883 25<br>X | | 0 00<br>LONG-TERM | (70 35) | FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 009126202<br>0 60 | 05/28/2014<br>05/09/2013 | 15 06<br>G | 0 00<br>X | | 0 00<br>LONG-TERM | 15 06 | AIR LIQUIDE ADR ADR CMN Qualified Cash in lieu proceeds on AIR LIQUIDE ADR ADR CMN Stock Dividend |
| 465562106<br>0 30 | 06/06/2014<br>05/09/2013 | 4 43<br>G | 0 00<br>X | | 0 00<br>LONG-TERM | 4 43 | ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN Qualified Cash in lieu proceeds on ITAU UNIBANCO BANCO HLDNG S Stock Dividend |
| G16962105<br>7 00 | 07/11/2014<br>05/09/2013 | 516 81<br>G | 506 24<br>X | | 0 00<br>LONG-TERM | 10 57 | BUNGE LIMITED ORD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 881575302<br>29 00 | 08/06/2014<br>08/05/2013 | 358 62<br>G | 492 13<br>X | | 0 00<br>LONG-TERM | (133 51) | TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 881575302<br>77 00 | 08/06/2014<br>05/09/2013 | 952 19<br>G | 1,349 81<br>X | | 0 00<br>LONG-TERM | (397 62) | TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 191459205<br>2 00 | 09/04/2014<br>05/09/2013 | 66 25<br>G | 69 62<br>X | | 0 00<br>LONG-TERM | (3 37) | COCHLEAR LIMITED UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 191459205<br>6 00 | 09/05/2014<br>08/05/2013 | 195 81<br>G | 158 76<br>X | | 0 00<br>LONG-TERM | 37 05 | COCHLEAR LIMITED UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



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**[1] Shows W for wash sale, C for collectibles, or D for market discount.**

**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

## LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE D

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b Date acquired | 1d Proceeds<br>6 Gross or Net Proceeds reported to IRS [3] | 1e Cost or other basis<br>3 Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5. NonCovered security | 1g. Adjustments<br>2. Type of gain or loss | Gain or (Loss) [2]<br>7 Loss not allowed based on amount in 1d | 1a. Description |
|---|---|---|---|---|---|---|---|
| 191459205<br>37 00 | 09/05/2014<br>05/09/2013 | 1,207 49<br>G | 1,287 97<br>X | | 0 00<br>LONG-TERM | (80 48) | COCHLEAR LIMITED UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 191459205<br>11 00 | 09/08/2014<br>08/05/2013 | 354 37<br>G | 291 06<br>X | | 0 00<br>LONG-TERM | 63 31 | COCHLEAR LIMITED UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 465562106<br>103 00 | 10/08/2014<br>05/09/2013 | 1,544 71<br>G | 1,474 66<br>X | | 0 00<br>LONG-TERM | 70 05 | ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| **ACCOUNT TOTAL (COVERED: LONG-TERM)** | | **7,565.51** | **8,151.70** | | | **(586.19)** | |



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**[1] Shows W for wash sale, C for collectibles, or D for market discount.**

**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c Date sold or disposed<br>1b Date acquired | 1d Proceeds<br>6· Gross or Net Proceeds reported to IRS [3] | 1e· Cost or other basis<br>3. Basis reported to IRS | 1f· Adjustment Code, if any [1]<br>5· NonCovered security | 1g. Adjustments<br>2· Type of gain or loss | Gain or (Loss) [2]<br>7· Loss not allowed based on amount in 1d | 1a· Description |
|---|---|---|---|---|---|---|---|
| 056752108<br>4 00 | 01/13/2014<br>06/20/2013 | 707 80<br>G | 374 18<br>X | | 0 00<br>SHORT-TERM | 333 62 | BAIDU, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 056752108<br>11 00 | 01/13/2014<br>06/11/2013 | 1,946 44<br>G | 1,105 54<br>X | | 0 00<br>SHORT-TERM | 840 90 | BAIDU, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 71654V408<br>21 00 | 01/13/2014<br>08/05/2013 | 264 89<br>G | 291 48<br>X | | 0 00<br>SHORT-TERM | (26 59) | PETROLEO BRASILEIRO S A - PET SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 71654V408<br>55 00 | 01/13/2014<br>05/09/2013 | 693 75<br>G | 1,057 65<br>X | | 0 00<br>SHORT-TERM | (363 90) | PETROLEO BRASILEIRO S A - PET SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 65528V107<br>22 00 | 02/28/2014<br>05/09/2013 | 489 39<br>G | 478 72<br>X | | 0 00<br>SHORT-TERM | 10 67 | NOKIAN RENKAAT OY ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 65528V107<br>26 00 | 03/03/2014<br>08/05/2013 | 542 07<br>G | 594 62<br>X | | 0 00<br>SHORT-TERM | (52 55) | NOKIAN RENKAAT OY ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 65528V107<br>51 00 | 03/03/2014<br>05/09/2013 | 1,063 30<br>G | 1,109 76<br>X | | 0 00<br>SHORT-TERM | (46 46) | NOKIAN RENKAAT OY ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 368287207<br>46 00 | 03/05/2014<br>08/05/2013 | 323 17<br>G | 357 88<br>X | | 0 00<br>SHORT-TERM | (34 71) | GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| 368287207<br>122 00 | 03/05/2014<br>05/09/2013 | 857 10<br>G | 999 18<br>X | | 0 00<br>SHORT-TERM | (142 08) | GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |



Goldman Sachs

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**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| **2014** | **046-41837-2** | **46-1547854** | **THE TSUHA FOUNDATION** |

# PROCEEDS FROM BROKER TRANSACTIONS (Continued)

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949: CODE A

| CUSIP number / Product Identifier<br>Quantity | 1c: Date sold or disposed<br>1b Date acquired | 1d: Proceeds<br>6. Gross or Net Proceeds reported to IRS [3] | 1e. Cost or other basis<br>3: Basis reported to IRS | 1f: Adjustment Code, if any [1]<br>5: NonCovered security | 1g Adjustments<br>2: Type of gain or loss | Gain or (Loss) [2]<br>7: Loss not allowed based on amount in 1d | 1a: Description |
|---|---|---|---|---|---|---|---|
| 26876F102<br>9 00 | 11/17/2014<br>01/13/2014 | 1,390 69<br>G | 1,554 66<br>X | | 0 00<br>SHORT-TERM | (163 97) | ENN ENERGY HOLDINGS LTD ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS |
| **ACCOUNT TOTAL (COVERED: SHORT-TERM)** | | **8,278.60** | **7,923.67** | | | **354.93** | |



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**[1] Shows W for wash sale, C for collectibles, or D for market discount.**

**[2] Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.**

**[3] Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).**

Goldman Sachs



# THE TSUHA FOUNDATION GOAS PUT WRITING

Holdings

Period Ended December 31, 2014

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| GS US EQUITY YIELD ENHANCEMENT (PUT WRITING) | | | | | | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14] | 50,961 91 | 1 0000 | 50,961 91 | 1 0000 | 50,961 91 | | 0 0937 | 47 74 |
| | **Quantity** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Cost Basis** | **Unrealized Gain (Loss)** | **Dividend Yield** | **Estimated Annual Income** |
| PUT/XSP @ 188 EXP 01/17/2015 (XSP 150117P00188000) | (14 00) | 0 3500 | (490 00) | 0 3500 | (490 00) | | | |
| PUT/XSP @ 196 EXP 03/20/2015 (XSP 150320P00196000) | (15 00) | 3 4250 | (5,137 50) | 3 4250 | (5,137 50) | | | |
| PUT/XSP @ 197 EXP 02/20/2015 (XSP 150220P00197000) | (15 00) | 2 4100 | (3,615 00) | 2 4100 | (3,615 00) | | | |
| PUT/XSP @ 198 EXP 01/17/2015 (XSP 150117P00198000) | (15 00) | 0 9350 | (1,402 50) | 0 9350 | (1,402 50) | | | |
| PUT/XSP @ 199 EXP 02/20/2015 (XSP 150220P00199000) | (15 00) | 2 7650 | (4,147 50) | 2 7650 | (4,147 50) | | | |
| TOTAL GS US EQUITY YIELD ENHANCEMENT (PUT WRITING) | | | 36,169 41 | | 36,169 41 | | 0 0937 | 47 74 |
| | | | **Market Value** | | **Adjusted Cost /[6] Original Cost** | **Unrealized Gain (Loss)** | | **Estimated Annual Income** |
| **TOTAL PORTFOLIO** | | | **36,169.41** | | **36,169.41** | **0.00** | | **47.74** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

# PROCEEDS FROM BROKER TRANSACTIONS

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| **2014** | **046-41842-2** | **46-1547854** | **THE TSUHA FOUNDATION** |

## REGULATED FUTURES CONTRACTS

| 1a· Description<br>CUSIP number / Product Identifier | 8 Profit or (Loss) realized in current year on closed contracts | 9 Unrealized profit or (loss) on open contracts - previous year | 10 Unrealized profit or (loss) on open contracts - current year | 11· Aggregate profit or (loss) on contracts |
|---|---|---|---|---|
| **(REGULATED FUTURES CONTRACTS) ACCOUNT TOTAL** | **73,090.54** | **11,484.00** | **4,655.10** | **66,261.64** |
| PUT/XSP @ 168 EXP 02/22/2014<br>9EDGR15D8 | 740 00 | 1,926 00 | 0 00 | (1,186 00) |
| PUT/XSP @ 169 EXP 01/18/2014<br>9EDGR13X6 | 258 00 | 3,636 00 | 0 00 | (3,378 00) |
| PUT/XSP @ 171 EXP 01/18/2014<br>9EDGR1415 | 252 00 | 3,222 00 | 0 00 | (2,970 00) |
| PUT/XSP @ 171 EXP 02/22/2014<br>9EDGR1E63 | 1,134 00 | 2,700 00 | 0 00 | (1,566 00) |
| PUT/XSP @ 173 EXP 05/17/2014<br>9EDH58B63 | 3,128 00 | 0 00 | 0 00 | 3,128 00 |
| PUT/XSP @ 173 EXP 07/19/2014<br>9EDHICBW3 | 3,944 00 | 0 00 | 0 00 | 3,944 00 |
| PUT/XSP @ 174 EXP 04/19/2014<br>9EDGZSGL0 | 3,417 00 | 0 00 | 0 00 | 3,417 00 |
| PUT/XSP @ 175 EXP 03/22/2014<br>9EDGUDFF2 | 2,482 00 | 0 00 | 0 00 | 2,482 00 |
| PUT/XSP @ 175 EXP 06/21/2014<br>9EDHAXT09 | 4,536 11 | 0 00 | 0 00 | 4,536 11 |
| PUT/XSP @ 177 EXP 03/22/2014<br>9EDGUDFJ4 | 2,805 00 | 0 00 | 0 00 | 2,805 00 |
| PUT/XSP @ 178 EXP 06/21/2014<br>9EDHAY724 | 3,162 00 | 0 00 | 0 00 | 3,162 00 |
| PUT/XSP @ 178 EXP 08/16/2014<br>9EDHP6D48 | 3,696 00 | 0 00 | 0 00 | 3,696 00 |



Goldman Sachs

| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41842-2 | 46-1547854 | THE TSUHA FOUNDATION |

# PROCEEDS FROM BROKER TRANSACTIONS (Continued)

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## REGULATED FUTURES CONTRACTS

| 1a Description<br>CUSIP number / Product Identifier | 8. Profit or (Loss) realized in current year on closed contracts | 9 Unrealized profit or (loss) on open contracts - previous year | 10 Unrealized profit or (loss) on open contracts - current year | 11: Aggregate profit or (loss) on contracts |
|---|---|---|---|---|
| PUT/XSP @ 178 EXP 12/20/2014<br>9EDHKJ4Q6 | 5,829 56 | 0 00 | 0 00 | 5,829 56 |
| PUT/XSP @ 179 EXP 04/19/2014<br>9EDGZN6C2 | 1,647 00 | 0 00 | 0 00 | 1,647 00 |
| PUT/XSP @ 180 EXP 05/17/2014<br>9EDH59501 | 2,669 00 | 0 00 | 0 00 | 2,669 00 |
| PUT/XSP @ 181 EXP 07/19/2014<br>9EDHIC1K0 | 3,978 00 | 0 00 | 0 00 | 3,978 00 |
| PUT/XSP @ 183 EXP 10/18/2014<br>9EDI4LHZ3 | 4,288 00 | 0 00 | 0 00 | 4,288 00 |
| PUT/XSP @ 184 EXP 08/16/2014<br>9EDHP83U7 | 2,823 69 | 0 00 | 0 00 | 2,823 69 |
| PUT/XSP @ 188 EXP 01/17/2015<br>9EDIPX5H7 | 0 00 | 0 00 | 3,150 00 | 3,150 00 |
| PUT/XSP @ 188 EXP 09/20/2014<br>9EDHFMJR7 | 3,396 00 | 0 00 | 0 00 | 3,396 00 |
| PUT/XSP @ 188 EXP 10/18/2014<br>9EDI4LI90 | 1,236 75 | 0 00 | 0 00 | 1,236 75 |
| PUT/XSP @ 190 EXP 08/29/2014<br>9EDI8HDS8 | 1,360 00 | 0 00 | 0 00 | 1,360 00 |
| PUT/XSP @ 190 EXP 11/22/2014<br>9EDIATZD8 | 3,599 35 | 0 00 | 0 00 | 3,599 35 |
| PUT/XSP @ 190 EXP 12/20/2014<br>9EDHKIKS6 | 3,247 36 | 0 00 | 0 00 | 3,247 36 |
| PUT/XSP @ 191 EXP 08/08/2014<br>9EDI5MWF7 | (150 88) | 0 00 | 0 00 | (150 88) |



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| Tax Year | Account No | Tax ID Number | Legal Name |
|---|---|---|---|
| 2014 | 046-41842-2 | 46-1547854 | THE TSUHA FOUNDATION |

# PROCEEDS FROM BROKER TRANSACTIONS (Continued)

**SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

## REGULATED FUTURES CONTRACTS

| 1a Description<br>CUSIP number / Product Identifier | 8. Profit or (Loss) realized in current year on closed contracts | 9 Unrealized profit or (loss) on open contracts - previous year | 10 Unrealized profit or (loss) on open contracts - current year | 11. Aggregate profit or (loss) on contracts |
|---|---|---|---|---|
| PUT/XSP @ 191 EXP 09/20/2014<br>9EDHFNVD2 | 3,361 28 | 0 00 | 0 00 | 3,361 28 |
| PUT/XSP @ 193 EXP 11/22/2014<br>9EDIATZJ5 | 4,256 32 | 0 00 | 0 00 | 4,256 32 |
| PUT/XSP @ 194 EXP 10/31/2014<br>9EDILM8X7 | 1,995 00 | 0 00 | 0 00 | 1,995 00 |
| PUT/XSP @ 196 EXP 03/20/2015<br>9EDJ33WQ5 | 0 00 | 0 00 | (592 35) | (592 35) |
| PUT/XSP @ 197 EXP 02/20/2015<br>9EDJ34PZ1 | 0 00 | 0 00 | 990 00 | 990 00 |
| PUT/XSP @ 198 EXP 01/17/2015<br>9EDIPX6G8 | 0 00 | 0 00 | 2,299 95 | 2,299 95 |
| PUT/XSP @ 199 EXP 02/20/2015<br>9EDJ34Q72 | 0 00 | 0 00 | (1,192 50) | (1,192 50) |



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Morgan Stanley



# Account Detail

**Fiduciary Services Active Assets Account**
**272-023650-129**

**THE TSUHA FOUNDATION**
**C/O WALLACE K TSUHA, TTEE**

## STOCKS

**COMMON STOCKS** (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **THE ADT CORPORATION COM (ADT)** | 9/17/04 | 21 000 | 30 138 | 632 90 | 760 83 | 127 93 LT C | | |
| | 5/27/05 | 25 000 | 29 874 | 746 86 | 905 75 | 158 89 LT C | | |
| | 6/9/05 | 15 000 | 29 207 | 438 11 | 543 45 | 105 34 LT C | | |
| | **Total** | 61 000 | | 1,817 87 | **2,210.03** | 392 16 LT | 49 00 | 2 21 |
| *Share Price $36 230, Next Dividend Payable 02/2015* | | | | | | | | |
| **TYCO INTL PLC SHS (TYC)** | 9/17/04 | 41 000 | 23 898 | 979 80 | 1,798 26 | 818.46 LT | | |
| | 5/27/05 | 51 000 | 22 674 | 1,156 35 | 2,236 86 | 1,080 51 LT | | |
| | 6/9/05 | 29 000 | 23 397 | 678 51 | 1,271 94 | 593 43 LT | | |
| | 1/24/14 | 20 000 | 38 015 | 760 30 | 877 20 | 116 90 ST | | |
| | **Total** | 141 000 | | 3,574 96 | **6,184.26** | 2,492 40 LT<br>116 90 ST | 102 00 | 1 64 |
| *Share Price $43 860* | | | | | | | | |
| **UNITEDHEALTH GP INC (UNH)** | 4/29/08 | 33 000 | 33 391 | 1,101 91 | 3,335 97 | 2,234 06 LT | | |
| | 4/30/08 | 40 000 | 33 172 | 1,326 88 | 4,043 60 | 2,716.72 LT | | |
| | 5/1/08 | 90 000 | 32 959 | 2,966 35 | 9,098 10 | 6,131 75 LT | | |
| | **Total** | 163 000 | | 5,395 14 | **16,477.67** | 11,082 53 LT | 245 00 | 1 48 |
| *Share Price $101 090, Next Dividend Payable 03/2015* | | | | | | | | |
| **VERTEX PHARMACEUTICALS (VRTX)** | 11/12/08 | 24 000 | 26 729 | 641 50 | 2,851 20 | 2,209 70 LT | | |
| | 11/13/08 | 6 000 | 26 628 | 159 77 | 712 80 | 553 03 LT | | |
| | 11/17/08 | 30 000 | 26 963 | 808 88 | 3,564 00 | 2,755 12 LT | | |
| | 11/6/13 | 1 000 | 63 660 | 63 66 | 118 80 | 55 14 LT | | |
| | **Total** | 61 000 | | 1,673 81 | **7,246.80** | 5,572 99 LT | — | — |
| *Share Price $118 800* | | | | | | | | |
| **WEATHERFORD INTL LTD (WFT)** | 9/17/04 | 400.000 | 12 175 | 4,870.00 | 4,580 00 | (290 00) LT | | |
| | 5/27/05 | 160 000 | 13 100 | 2,096 00 | 1,832 00 | (264 00) LT | | |
| | 6/9/05 | 140 000 | 14 238 | 1,993 25 | 1,603 00 | (390 25) LT | | |
| | 11/13/14 | 70 000 | 15 496 | 1,084 69 | 801 50 | (283 19) ST | | |
| | **Total** | 770 000 | | 10,043 94 | **8,816.50** | (944 25) LT<br>(283 19) ST | — | — |
| *Share Price $11 450* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / *Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **97.0%** | **$119,557.80** | **$233,954.73** | **$115,697.21 LT**<br>**$(1,338.30) ST** | **$2,755.00**<br>**$0.00** | **1.18%** |



Attachment I

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| FOREST STK 30122 | CUSIP: 34STK8106 | Symbol: | | | | | | |
| | 67 000 | 04/04/11 | 07/01/14 | $1,719 91 | $0 00 | $0 00 | $1,719 91 | $0 00 |
| | 33 000 | 04/05/11 | 07/01/14 | $847 12 | $0 00 | $0 00 | $847 12 | $0 00 |
| | 50.000 | 04/07/11 | 07/01/14 | $1,283.51 | $0.00 | $0.00 | $1,283.51 | $0.00 |
| **Security Subtotal** | **150.000** | | | **$3,850.54** | **$0.00** | **$0.00** | **$3,850.54** | **$0.00** |
| **Total Long Term Covered Securities** | | | | **$3,850.54** | **$0.00** | **$0.00** | **$3,850.54** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| ACTAVIS PLC | **CUSIP:** G0083B108 | | **Symbol (Box 1d):** ACT | | | | | |
| | 0 172 | 06/09/05 | 07/01/14 | $38 27 | $19 89 | $0 00 | $18 38 | $0 00 |
| | 4 000 | 05/27/05 | 11/25/14 | $1,075 12 | $425 70 | $0 00 | $649 42 | $0 00 |
| | 12 000 | 06/09/05 | 11/25/14 | $3,225 34 | $1,353 09 | $0 00 | $1,872 25 | $0 00 |
| **Security Subtotal** | **16.172** | | | **$4,338.73** | **$1,798.68** | **$0.00** | **$2,540.05** | **$0.00** |
| FOREST LABORATORIES INC | **CUSIP:** 345838106 | | **Symbol (Box 1d):** | | | | | |
| | 14 000 | 05/27/05 | 05/06/14 | $1,295 87 | $541 80 | $0 00 | $754 07 | $0 00 |
| FOREST STK 30122 | **CUSIP:** 34STK8106 | | **Symbol (Box 1d):** | | | | | |
| | 11 000 | 05/27/05 | 07/01/14 | $282 37 | $0 00 | $0 00 | $282 37 | $0 00 |
| | 65.000 | 06/09/05 | 07/01/14 | $1,668.57 | $0.00 | $0.00 | $1,668.57 | $0.00 |
| **Security Subtotal** | **76.000** | | | **$1,950.94** | **$0.00** | **$0.00** | **$1,950.94** | **$0.00** |
| LIBERTY BROADBAND CORP S-A | **CUSIP:** 530307107 | | **Symbol (Box 1d):** LBRDA | | | | | |
| | 0 500 | 06/27/08 | 11/04/14 | $22 70 | $2 21 | $0 00 | $20 49 | $0 00 |
| LIBERTY INTER CO VENTURE SER A | **CUSIP:** 53071M880 | | **Symbol (Box 1d):** LVNTA | | | | | |
| | 0 458 | 06/09/05 | 10/20/14 | $13 42 | $8 50 | $0 00 | $4 92 | $0 00 |

**CONTINUED ON NEXT PAGE**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| NOW INC | CUSIP: 67011P100 | | Symbol (Box 1d): DNOW | | | | | |
| | 0 750 | 10/24/08 | 05/30/14 | $25 20 | $6 67 | $0 00 | $18 53 | $0 00 |
| **Total Long Term Noncovered Securities** | | | | **$7,646.86** | **$2,357.86** | **$0.00** | **$5,289.00** | **$0.00** |
| **Total Long Term Covered and Noncovered Securities** | | | | **$11,497.40** | **$2,357.86** | **$0.00** | **$9,139.54** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | |
|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$11,497.40** | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$0.00** | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations Please consult your tax advisor regarding any adjustments to your original cost basis

## Account Detail

**Fiduciary Services Active Assets Account**
**272-023653-129**

**THE TSUHA FOUNDATION**
**C/O WALLACE K TSUHA, TTEE**

### STOCKS

**COMMON STOCKS** (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 37 000 | | 3,626 00 | **4,493.65** | 867 65 ST | 6 00 | 0 13 |
| *Share Price $121 450, Next Dividend Payable 01/07/15* | | | | | | | | |
| **VERISK ANALYTICS CL A (VRSK)** | 10/8/09 | 50 000 | 26 940 | 1,346 99 | 3,202 50 | 1,855 51 LT | | |
| | 1/11/10 | 65 000 | 29 976 | 1,948 47 | 4,163 25 | 2,214 78 LT | | |
| | **Total** | 115 000 | | 3,295 46 | **7,365.75** | 4,070 29 LT | — | — |
| *Share Price $64 050* | | | | | | | | |
| **WPX ENERGY INC (WPX)** | 1/15/09 | 31 666 | 7 772 | 246 10 | 368 28 | 122.18 LT | | |
| | 2/19/09 | 18 333 | 6 999 | 128 31 | 213 21 | 84.90 LT | | |
| | 3/23/12 | 20 000 | 19 172 | 383 44 | 232 60 | (150 84) LT | | |
| | 3/27/12 | 40 000 | 19 396 | 775.84 | 465.20 | (310 64) LT | | |
| | 4/9/12 | 95 001 | 16 938 | 1,609 12 | 1,104 86 | (504 26) LT | | |
| | 4/9/13 | 87 000 | 17 347 | 1,509 19 | 1,011.81 | (497 38) LT | | |
| | **Total** | 292 000 | | 4,652 00 | **3,395.96** | (1,256.04) LT | — | — |
| *Share Price $11 630* | | | | | | | | |
| **XYLEM INC COM (XYL)** | 12/7/11 | 52 000 | 24 440 | 1,270 90 | 1,979 64 | 708 74 LT | | |
| | 12/8/11 | 25 000 | 24 374 | 609 35 | 951 75 | 342 40 LT | | |
| | 12/19/11 | 70 000 | 24 520 | 1,716 41 | 2,664 90 | 948 49 LT | | |
| | 8/13/12 | 20 000 | 24 488 | 489 75 | 761 40 | 271 65 LT | | |
| | 1/31/14 | 1 000 | 32 830 | 32 83 | 38 07 | 5 24 ST | | |
| | **Total** | 168 000 | | 4,119 24 | **6,395.76** | 2,271 28 LT<br>5 24 ST | 86 00 | 1 34 |
| *Share Price $38 070, Next Dividend Payable 03/2015* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **96.4%** | **$141,466.96** | **$187,814.53** | **$46,312.45 LT**<br>**$35.12 ST** | **$2,772.00**<br>**$0.00** | **1.48%** |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | **100.0%** | **$141,466 96** | **$194,883 29** | **$46,312.45 LT**<br>**$35.12 ST** | **$2,776.00**<br>**$0.00** | **1.42%** |

TOTAL VALUE (includes accrued interest) **$194,883.29**

*R - The cost basis for this tax lot was adjusted due to a reclassification of income*
*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023653 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CINTAS CORP | **CUSIP:** 172908105 | **Symbol:** CTAS | | | | | | |
| | 11 000 | 01/31/14 | 10/14/14 | $744 86 | $628 56 | $0 00 | $116 30 | $0 00 |
| CIVEO CORP | **CUSIP:** 178787107 | **Symbol:** CVEO | | | | | | |
| | 16 000 | 06/12/14 | 09/30/14 | $206 28 | $405 34 | $0 00 | ($199 06) | $0 00 |
| | 112.000 | 06/12/14 | 10/14/14 | $1,364.28 | $2,837.39 | $0.00 | ($1,473.11) | $0.00 |
| **Security Subtotal** | **128.000** | | | **$1,570.56** | **$3,242.73** | **$0.00** | **($1,672.17)** | **$0.00** |
| FOREST OIL CP 0 01 | **CUSIP:** 346091705 | **Symbol:** | | | | | | |
| | 130 000 | 07/17/13 | 02/20/14 | $402 74 | $686 88 | $0 00 | ($284 14) | $0 00 |
| | 239 000 | 07/30/13 | 02/20/14 | $740 44 | $1,211.51 | $0.00 | ($471 07) | $0 00 |
| **Security Subtotal** | **369.000** | | | **$1,143.18** | **$1,898.39** | **$0.00** | **($755.21)** | **$0.00** |
| INGREDION INC COM | **CUSIP:** 457187102 | **Symbol:** INGR | | | | | | |
| | 25 000 | 10/30/13 | 04/22/14 | $1,704 47 | $1,621 44 | $0 00 | $83 03 | $0 00 |
| | 10.000 | 01/31/14 | 04/22/14 | $681.78 | $622.13 | $0.00 | $59.65 | $0.00 |
| **Security Subtotal** | **35.000** | | | **$2,386.25** | **$2,243.57** | **$0.00** | **$142.68** | **$0.00** |
| INTERVAL LEISURE GROUP INC | **CUSIP:** 46113M108 | **Symbol:** IILG | | | | | | |
| | 38 000 | 04/03/14 | 05/09/14 | $761 71 | $1,039 33 | $277 62 W | ($277 62) | $0 00 |
| | 24 000 | 04/03/14 | 05/14/14 | $482 52 | $656 41 | $173 89 W | ($173 89) | $0 00 |
| | 13 000 | 04/03/14 | 05/14/14 | $261 36 | $355 56 | $94 20 W | ($94 20) | $0 00 |
| | 38 000 | 04/03/14 | 05/14/14 | $763 98 | $1,271 70 | $507 72 W | ($507 72) | $0 00 |
| | 18 000 | 04/15/14 | 05/14/14 | $361 89 | $470 88 | $108 99 W | ($108 99) | $0 00 |

**CONTINUED ON NEXT PAGE**

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023653 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) **(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| INTERVAL LEISURE GROUP INC (Cont ) | **CUSIP:** 46113M108 | **Symbol:** IILG | | | | | | |
| | 24 000 | 04/03/14 | 05/21/14 | $471 09 | $795 18 | $0 00 | ($324 09) | $0 00 |
| | 13 000 | 04/03/14 | 05/21/14 | $255 17 | $434 28 | $0 00 | ($179 11) | $0 00 |
| | 38 000 | 04/15/14 | 05/21/14 | $745 89 | $1,491 42 | $0 00 | ($745 53) | $0 00 |
| | 18 000 | 04/15/14 | 05/21/14 | $353 32 | $574 95 | $0 00 | ($221 63) | $0 00 |
| | 4.000 | 05/01/14 | 05/21/14 | $78.52 | $103 55 | $0 00 | ($25 03) | $0 00 |
| **Security Subtotal** | **228.000** | | | **$4,535.45** | **$7,193.26** | **$1,162.42** | **($2,657.81)** | **$0.00** |
| IRON MOUNTAIN INC (DE) | **CUSIP:** 462846106 | **Symbol:** | | | | | | |
| | 0 476 | 11/04/14 | 11/04/14 | $17 43 | $16 92 | $0 00 | $0 51 | $0 00 |
| KLA TENCOR CORP | **CUSIP:** 482480100 | **Symbol:** KLAC | | | | | | |
| | 5 000 | 01/31/14 | 11/20/14 | $404 65 | $305 34 | $0 00 | $99 31 | $0 00 |
| OCWEN FINANCIAL CORP NEW | **CUSIP:** 675746309 | **Symbol:** OCN | | | | | | |
| | 52 000 | 05/06/14 | 10/21/14 | $1,098 57 | $1,674 28 | $0 00 | ($575 71) | $0 00 |
| | 64 000 | 05/06/14 | 10/22/14 | $1,268 81 | $2,060 65 | $0 00 | ($791 84) | $0 00 |
| **Security Subtotal** | **116.000** | | | **$2,367.38** | **$3,734.93** | **$0.00** | **($1,367.55)** | **$0.00** |
| OIL STATES INTL INC | **CUSIP:** 678026105 | **Symbol:** OIS | | | | | | |
| | 4 000 | 01/31/14 | 12/03/14 | $195 90 | $212 90 | $0 00 | ($17 00) | $0 00 |
| | 56.000 | 06/05/14 | 12/03/14 | $2,742.59 | $3,612.97 | $0.00 | ($870.38) | $0.00 |
| **Security Subtotal** | **60.000** | | | **$2,938.49** | **$3,825.87** | **$0.00** | **($887.38)** | **$0.00** |
| **Total Short Term Covered Securities** | | | | **$16,108.25** | **$23,089.57** | **$1,162.42** | **($6,981.32)** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Noncovered Securities** # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part I with box B checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CIVEO CORP | | CUSIP: 178787107 | | Symbol: CVEO | | | | |
| | 8 000 | 01/31/14 | 09/30/14 | $103 14 | $159 09 | $0 00 | ($55 95) | $0 00 |
| **Total Short Term Noncovered Securities** | | | | **$103.14** | **$159.09** | **$0.00** | **($55.95)** | **$0.00** |
| **Total Short Term Covered and Noncovered Securities** | | | | **$16,211.39** | **$23,248.66** | **$1,162.42** | **($7,037.27)** | **$0.00** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CINTAS CORP | **CUSIP:** 172908105 | **Symbol:** CTAS | | | | | | |
| | 90 000 | 04/21/11 | 10/14/14 | $6,094 38 | $2,773 02 | $0 00 | $3,321 36 | $0 00 |
| | 20.000 | 09/21/11 | 10/14/14 | $1,354.31 | $611.00 | $0.00 | $743.31 | $0.00 |
| **Security Subtotal** | **110.000** | | | **$7,448.69** | **$3,384.02** | **$0.00** | **$4,064.67** | **$0.00** |
| FOREST OIL CP 0 01 | **CUSIP:** 346091705 | **Symbol:** | | | | | | |
| | 14 000 | 05/14/12 | 02/20/14 | $43 37 | $142 28 | $0 00 | ($98 91) | $0 00 |
| | 110.000 | 11/27/12 | 02/20/14 | $340.78 | $723.00 | $0.00 | ($382.22) | $0.00 |
| **Security Subtotal** | **124.000** | | | **$384.15** | **$865.28** | **$0.00** | **($481.13)** | **$0.00** |
| GENWORTH FINANCIAL INC CL A | **CUSIP:** 37247D106 | **Symbol:** GNW | | | | | | |
| | 61 000 | 02/03/12 | 03/20/14 | $1,092 20 | $560 01 | $0 00 | $532 19 | $0 00 |
| | 25 000 | 02/03/12 | 05/06/14 | $442 29 | $229 51 | $0 00 | $212 78 | $0 00 |
| | 39.000 | 03/07/12 | 05/06/14 | $689.97 | $338.36 | $0.00 | $351.61 | $0.00 |
| **Security Subtotal** | **125.000** | | | **$2,224.46** | **$1,127.88** | **$0.00** | **$1,096.58** | **$0.00** |
| HILLSHIRE BRANDS COMPANY | **CUSIP:** 432589109 | **Symbol:** | | | | | | |
| | 75 000 | 12/13/12 | 05/27/14 | $3,386 25 | $2,138 03 | $0 00 | $1,248 22 | $0 00 |
| | 25 000 | 12/13/12 | 06/10/14 | $1,551 64 | $712 68 | $0 00 | $838 96 | $0 00 |
| | 55.000 | 01/11/13 | 06/10/14 | $3,413.60 | $1,620.53 | $0.00 | $1,793.07 | $0.00 |
| **Security Subtotal** | **155.000** | | | **$8,351.49** | **$4,471.24** | **$0.00** | **$3,880.25** | **$0.00** |
| INGREDION INC COM | **CUSIP:** 457187102 | **Symbol:** INGR | | | | | | |
| | 55 000 | 07/20/12 | 04/22/14 | $3,749 83 | $2,597 72 | $0 00 | $1,152 11 | $0 00 |
| KEYCORP NEW | **CUSIP:** 493267108 | **Symbol:** KEY | | | | | | |
| | 110 000 | 05/23/12 | 05/06/14 | $1,489 58 | $817 32 | $0 00 | $672 26 | $0 00 |

**CONTINUED ON NEXT PAGE**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| KLA TENCOR CORP | CUSIP: 482480100 | Symbol: KLAC | | | | | | |
| | 20 000 | 10/17/12 | 11/20/14 | $1,618 61 | $921 65 | $0 00 | $696 96 | $0 00 |
| LIVE NATION ENTERTAINMENT INC | CUSIP: 538034109 | Symbol: LYV | | | | | | |
| | 131 000 | 03/05/13 | 07/02/14 | $3,254 30 | $1,443 69 | $0 00 | $1,810 61 | $0 00 |
| OIL STATES INTL INC | CUSIP: 678026105 | Symbol: OIS | | | | | | |
| | 15 000 | 11/06/12 | 10/16/14 | $846 23 | $607 69 | $0 00 | $238 54 | $0 00 |
| | 17 000 | 01/17/13 | 10/16/14 | $959 05 | $748 17 | $0 00 | $210 88 | $0 00 |
| | 3 000 | 01/17/13 | 12/03/14 | $146 92 | $132.03 | $0 00 | $14 89 | $0.00 |
| **Security Subtotal** | **35.000** | | | **$1,952.20** | **$1,487.89** | **$0.00** | **$464.31** | **$0.00** |
| **Total Long Term Covered Securities** | | | | **$30,473.31** | **$17,116.69** | **$0.00** | **$13,356.62** | **$0.00** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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C/O WALLACE K TSUHA, TTEE
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**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CIVEO CORP | CUSIP: 178787107 | | Symbol (Box 1d): CVEO | | | | | |
| | 30 000 | 11/06/12 | 09/30/14 | $386 77 | $454 10 | $0 00 | ($67 33) | $0 00 |
| | 40 000 | 01/17/13 | 09/30/14 | $515 69 | $657 73 | $0 00 | ($142.04) | $0.00 |
| **Security Subtotal** | **70.000** | | | **$902.46** | **$1,111.83** | **$0.00** | **($209.37)** | **$0.00** |
| HERTZ GLOBAL HOLDINGS, INC | CUSIP: 42805T105 | | Symbol (Box 1d): HTZ | | | | | |
| | 95 000 | 05/20/10 | 08/21/14 | $2,912 88 | $991 44 | $0 00 | $1,921 44 | $0 00 |
| | 72 000 | 06/23/10 | 08/21/14 | $2,207 65 | $728 94 | $0 00 | $1,478 71 | $0 00 |
| **Security Subtotal** | **167.000** | | | **$5,120.53** | **$1,720.38** | **$0.00** | **$3,400.15** | **$0.00** |
| IRON MOUNTAIN INC (DE) | CUSIP: 462846106 | | Symbol (Box 1d): | | | | | |
| | 8 000 | 09/29/10 | 06/26/14 | $284 11 | $177 61 | $0 00 | $106 50 | $0 00 |
| | 45 000 | 09/30/10 | 06/26/14 | $1,598 10 | $999 97 | $0 00 | $598 13 | $0 00 |
| | 8.000 | 10/29/10 | 06/26/14 | $284.11 | $173.96 | $0.00 | $110.15 | $0.00 |
| **Security Subtotal** | **61.000** | | | **$2,166.32** | **$1,351.54** | **$0.00** | **$814.78** | **$0.00** |
| KLA TENCOR CORP | CUSIP: 482480100 | | Symbol (Box 1d): KLAC | | | | | |
| | 50 000 | 07/14/10 | 11/20/14 | $4,046 52 | $1,546 79 | $0 00 | $2,499 73 | $0 00 |
| ONE GAS INC | CUSIP: 68235P108 | | Symbol (Box 1d): OGS | | | | | |
| | 0 750 | 08/25/08 | 01/31/14 | $24 80 | $8 22 | $0 00 | $16 58 | $0 00 |
| | 18 000 | 08/25/08 | 03/04/14 | $631.08 | $197.38 | $0.00 | $433.70 | $0.00 |
| **Security Subtotal** | **18.750** | | | **$655.88** | **$205.60** | **$0.00** | **$450.28** | **$0.00** |
| SBA COMMUNICATIONS CORP | CUSIP: 78388J106 | | Symbol (Box 1d): SBAC | | | | | |
| | 22 000 | 09/14/09 | 07/23/14 | $2,294 85 | $571 27 | $0 00 | $1,723 58 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 023653 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| SLM CORPORATION | CUSIP: 78442P106 | | Symbol (Box 1d): SLM | | | | | |
| | 73 000 | 04/15/09 | 01/17/14 | $1,815 97 | $434 21 | $0 00 | $1,381 76 | $0 00 |
| | 90 000 | 05/13/09 | 01/17/14 | $2,238 87 | $500 78 | $0 00 | $1,738 09 | $0 00 |
| **Security Subtotal** | **163.000** | | | **$4,054.84** | **$934.99** | **$0.00** | **$3,119.85** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| WILLIAMS CO INC | CUSIP: 969457100 | | Symbol (Box 1d): WMB | | | | | |
| | 52 000 | 01/15/09 | 04/08/14 | $2,092 51 | $594 24 | $0 00 | $1,498 27 | $0 00 |
| | 30 000 | 01/15/09 | 06/17/14 | $1,739 55 | $342 83 | $0 00 | $1,396 72 | $0 00 |
| | 55 000 | 02/19/09 | 06/17/14 | $3,189.17 | $565 99 | $0 00 | $2,623.18 | $0 00 |
| **Security Subtotal** | **137.000** | | | **$7,021.23** | **$1,503.06** | **$0.00** | **$5,518.17** | **$0.00** |
| **Total Long Term Noncovered Securities** | | | | **$26,262.63** | **$8,945.46** | **$0.00** | **$17,317.17** | **$0.00** |
| **Total Long Term Covered and Noncovered Securities** | | | | **$56,735.94** | **$26,062.15** | **$0.00** | **$30,673.79** | **$0.00** |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | **$72,947.33** | **$49,310.81** | **$1,162.42** | **$23,636.52** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | |
|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$72,947.33** | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$40,206.26** | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$1,162.42** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations Please consult your tax advisor regarding any adjustments to your original cost basis

# Account Detail

**Fiduciary Services Active Assets Account**
**272-024770-129**

**THE TSUHA FOUNDATION**
**C/O WALLACE K TSUHA, TTEE**

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **WEICHAI PWR CO LTD UNSPON ADR (WEICY)** | 4/24/13 | 111 000 | 14 550 | 1,615 05 | **1,874.79** | 259 74 LT | 14 00 | 0 74 |
| *Share Price $16 890* | | | | | | | | |
| **WOOLWORTHS HLDGS LTD (WLWHY)** | 4/24/13 | 240 000 | 7 558 | 1,813 92 | **1,641.60** | (172 32) LT | 46 00 | 2 80 |
| *Share Price $6 840* | | | | | | | | |
| **WYNN MACAU LTD UNSPON ADR (WYNMY)** | 4/24/13 | 49 000 | 28 330 | 1,388 17 | 1,371 51 | (16 66) LT | | |
| | 11/5/14 | 3 000 | 34 177 | 102 53 | 83 97 | (18 56) ST | | |
| | **Total** | 52 000 | | 1,490 70 | **1,455.48** | (16 66) LT<br>(18 56) ST | 107.00 | 7 35 |
| *Share Price $27 990* | | | | | | | | |
| **YPF SOCIEDAD ADS REP 1 CL-D SH (YPF)** | 4/24/13 | 15 000 | 12 790 | 191 85 | 397 05 | 205 20 LT | | |
| | 7/24/13 | 65 000 | 16 797 | 1,091 82 | 1,720 55 | 628 73 LT | | |
| | **Total** | 80 000 | | 1,283 67 | **2,117.60** | 833 93 LT | 15 00 | 0 70 |
| *Share Price $26 470* | | | | | | | | |
| **COMMON STOCKS** | | | | **$98,650.63** | **$91,542.30** | **$(5,985.87) LT**<br>**$(1,122.47) ST** | **$2,778.00**<br>**$0.00** | **3.03%** |

### PREFERRED STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **VALE S.A SP (VALE'P)** | 4/24/13 | 105 000 | $16 010 | $1,681 05 | **$762.30** | $(918 75) LT | $14 00 | 1 83 |
| *Share Price $7 260* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **95 8%** | **$100,331.68** | **$92,304.60** | **$(6,904 62) LT**<br>**$(1,122.47) ST** | **$2,792.00**<br>**$0.00** | **3.02%** |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | **100.0%** | **$100,331.68** | **$96,374.98** | **$(6,904.62) LT**<br>**$(1,122.47) ST** | **$2,794.00**<br>**$0.00** | **2.90%** |

TOTAL VALUE (includes accrued interest) **$96,374.98**

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
LAZARD EMERGING MARKETS
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024770 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BIDVEST GROUP LTD SPONS ADR | CUSIP: 088836309 | Symbol: BDVSY | | | | | | |
| | 16 000 | 04/24/13 | 04/04/14 | $864 89 | $816 00 | $0 00 | $48 89 | $0 00 |
| CIELO SA SPONSORED ADR NEW | CUSIP: 171778202 | Symbol: CIOXY | | | | | | |
| | 23 000 | 04/24/13 | 02/10/14 | $615 66 | $615 44 | $0 00 | $0 22 | $0 00 |
| | 2.000 | 04/24/13 | 02/27/14 | $54.73 | $53.52 | $0.00 | $1.21 | $0.00 |
| **Security Subtotal** | **25.000** | | | **$670.39** | **$668.96** | **$0.00** | **$1.43** | **$0.00** |
| GRUPO TELEVISA S A GLOBAL DEP | CUSIP: 40049J206 | Symbol: TV | | | | | | |
| | 55 000 | 04/24/13 | 02/07/14 | $1,612 27 | $1,420 10 | $0 00 | $192 17 | $0 00 |
| KIMBERLY CLARK SPON ADR | CUSIP: 494386204 | Symbol: KCDMY | | | | | | |
| | 59 000 | 04/24/13 | 01/08/14 | $805 33 | $1,026 60 | $0 00 | ($221 27) | $0 00 |
| | 2.000 | 04/24/13 | 01/09/14 | $27.15 | $34.80 | $0.00 | ($7.65) | $0.00 |
| **Security Subtotal** | **61.000** | | | **$832.48** | **$1,061.40** | **$0.00** | **($228.92)** | **$0.00** |
| NEDBANK GRP LTD SPON ADR | CUSIP: 63975K104 | Symbol: NDBKY | | | | | | |
| | 41 000 | 04/24/13 | 04/07/14 | $849 57 | $844 60 | $0 00 | $4 97 | $0 00 |
| PT BK MANDIRI PERSERO TBK UNSP | CUSIP: 69367U105 | Symbol: PPERY | | | | | | |
| | 62 000 | 04/24/13 | 03/13/14 | $498 03 | $677 66 | $0 00 | ($179 63) | $0 00 |
| SANLAM LTD ADR | CUSIP: 80104Q208 | Symbol: SLLDY | | | | | | |
| | 13 000 | 04/24/13 | 04/09/14 | $340 18 | $324 48 | $0 00 | $15 70 | $0 00 |
| | 1 000 | 04/24/13 | 04/23/14 | $25 82 | $24.96 | $0.00 | $0 86 | $0 00 |
| **Security Subtotal** | **14.000** | | | **$366.00** | **$349.44** | **$0.00** | **$16.56** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
LAZARD EMERGING MARKETS
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024770 129

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| SHINHAN FINANCIAL GROUP CO LTD | CUSIP: 824596100 | | Symbol: SHG | | | | | |
| | 12 000 | 04/24/13 | 01/10/14 | $509 59 | $423 51 | $0 00 | $86 08 | $0 00 |
| WYNN MACAU LTD UNSPON ADR | CUSIP: 98313R106 | | Symbol: WYNMY | | | | | |
| | 20 000 | 04/24/13 | 02/28/14 | $953 79 | $566 60 | $0 00 | $387 19 | $0 00 |
| YPF SOCIEDAD ADS REP 1 CL-D SH | CUSIP: 984245100 | | Symbol: YPF | | | | | |
| | 32 000 | 04/24/13 | 04/16/14 | $945 13 | $409 28 | $0 00 | $535 85 | $0 00 |
| **Total Short Term Covered Securities** | | | | **$8,102.14** | **$7,237.55** | **$0.00** | **$864.59** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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LAZARD EMERGING MARKETS
1051 YOUNG PL
ANN ARBOR MI 48105

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Noncovered Securities** # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part I with box B checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AMBEV S A SPONSORED ADR | | CUSIP: 02319V103 | | Symbol: ABEV | | | | |
| | 0 000 | 06/19/14 | 06/19/14 | $0 10 | $0 00 | $0 00 | $0 10 | $0 00 |
| WOOLWORTHS HLDGS LTD | | CUSIP: 98088R505 | | Symbol: WLWHY | | | | |
| | 0 000 | 11/06/14 | 11/06/14 | $57 72 | $0 00 | $0 00 | $57 72 | $0 00 |
| **Total Short Term Noncovered Securities** | | | | **$57.82** | **$0.00** | **$0.00** | **$57.82** | **$0.00** |
| **Total Short Term Covered and Noncovered Securities** | | | | **$8,159.96** | **$7,237.55** | **$0.00** | **$922.41** | **$0.00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations Please consult your tax advisor regarding any adjustments to your original cost basis

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**Tax Year 2014**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS    OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BAIDU INC ADS | **CUSIP:** 056752108 | **Symbol:** BIDU | | | | | | |
| | 3 000 | 04/24/13 | 12/03/14 | $700 30 | $264 04 | $0 00 | $436 26 | $0 00 |
| BANCO MACRO S A SPONS ADR | **CUSIP:** 05961W105 | **Symbol:** BMA | | | | | | |
| | 16 000 | 04/24/13 | 04/30/14 | $485 30 | $249 16 | $0 00 | $236 14 | $0 00 |
| | 11 000 | 04/24/13 | 07/14/14 | $505 67 | $171 30 | $0 00 | $334 37 | $0 00 |
| | 1.000 | 04/24/13 | 07/15/14 | $43.22 | $15.57 | $0.00 | $27.65 | $0.00 |
| **Security Subtotal** | **28.000** | | | **$1,034.19** | **$436.03** | **$0.00** | **$598.16** | **$0.00** |
| CIELO SA SPONSORED ADR NEW | **CUSIP:** 171778202 | **Symbol:** CIOXY | | | | | | |
| | 32 000 | 04/24/13 | 07/21/14 | $624 78 | $428 13 | $0 00 | $196 65 | $0 00 |
| CLICKS GROUP LTD SPONS ADR | **CUSIP:** 18682W205 | **Symbol:** CLCGY | | | | | | |
| | 22 000 | 04/24/13 | 06/05/14 | $251 10 | $284 68 | $0 00 | ($33 58) | $0 00 |
| COMMERCIAL INTL BNK LTD SP ADR | **CUSIP:** 201712304 | **Symbol:** CIBEY | | | | | | |
| | 84 000 | 04/24/13 | 06/11/14 | $415 79 | $248 08 | $0 00 | $167 71 | $0 00 |
| | 11.000 | 04/24/13 | 07/15/14 | $55.60 | $32 49 | $0 00 | $23 11 | $0.00 |
| **Security Subtotal** | **95.000** | | | **$471.39** | **$280.57** | **$0.00** | **$190.82** | **$0.00** |
| PT BK MANDIRI PERSERO TBK UNSP | **CUSIP:** 69367U105 | **Symbol:** PPERY | | | | | | |
| | 26 000 | 04/24/13 | 08/04/14 | $223 80 | $284 18 | $0 00 | ($60 38) | $0 00 |
| PT TELEKOMUNIKASI INDONESIA | **CUSIP:** 715684106 | **Symbol:** TLK | | | | | | |
| | 11 000 | 04/24/13 | 06/13/14 | $443 76 | $534 49 | $0 00 | ($90 73) | $0 00 |
| SANLAM LTD ADR | **CUSIP:** 80104Q208 | **Symbol:** SLLDY | | | | | | |
| | 14 000 | 04/24/13 | 12/03/14 | $173 45 | $139 78 | $0 00 | $33 67 | $0 00 |
| | 7 000 | 04/24/13 | 12/05/14 | $84 57 | $69 89 | $0 00 | $14 68 | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| SANLAM LTD ADR (Cont ) | CUSIP: 80104Q208 | Symbol: SLLDY | | | | | | |
| | 1.000 | 04/24/13 | 12/18/14 | $11.96 | $9.98 | $0.00 | $1.98 | $0.00 |
| **Security Subtotal** | **22.000** | | | **$269.98** | **$219.65** | **$0.00** | **$50.33** | **$0.00** |
| TIGER BRANDS LTD SPON ADR NEW | CUSIP: 88673M201 | Symbol: TBLMY | | | | | | |
| | 13 000 | 04/24/13 | 12/18/14 | $410 52 | $397 54 | $0 00 | $12 98 | $0 00 |
| **Total Long Term Covered Securities** | | | | **$4,429.82** | **$3,129.31** | **$0.00** | **$1,300.51** | **$0.00** |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | **$12,589.78** | **$10,366.86** | **$0.00** | **$2,222.92** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | | |
|---|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$12,589.78** | | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$10,366.86** | | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | | |
| **Total Fed Tax Withheld (Box 4)** | | | | | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Account Detail

**Fiduciary Services Active Assets Account**
**272-024771-129**

**THE TSUHA FOUNDATION**
**C/O WALLACE K TSUHA, TTEE**

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 94.7% | $103,105.48 | $129,267.54 | $25,241.74 LT<br>$920.31 ST | $736.00<br>$0.00 | 0.57% |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $103,105.48 | $136,508.32 | $25,241.74 LT<br>$920.31 ST | $740.00<br>$0.00 | 0.54% |

TOTAL VALUE (includes accrued interest) $136,508.32

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available  Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/1 | 12/1 | Qualified Dividend | IAC INTERACTIVECORP COM | | | | $14 96 |
| 12/1 | 12/1 | Qualified Dividend | HELMERICH & PAYNE | | | | 13 75 |
| 12/1 | 12/4 | Sold | PAREXEL INTL CORP | ACTED AS AGENT | 23 000 | 57 8768 | 1,331 14 |
| 12/1 | 12/4 | Bought | VERINT SYSTEMS INC | ACTED AS AGENT | 22.000 | 60 1888 | (1,324 15) |
| 12/2 | 12/5 | Sold | SALIX PHARM LTD (DE) | ACTED AS AGENT | 16 000 | 102 8886 | 1,646.18 |
| 12/2 | 12/5 | Bought | MEDIVATION INC | ACTED AS AGENT | 4.000 | 113 3637 | (453 45) |
| 12/2 | 12/5 | Bought | FOOT LOCKER INC | ACTED AS AGENT | 6 000 | 56 5710 | (339 43) |
| 12/2 | 12/2 | Service Fee | BITAUTO HLDGS LTD SPON ADS | AGENT CUSTODY FEE $0 0200/SH | 24 000 | | (0 48 |
| 12/4 | 12/4 | Qualified Dividend | AUTOLIV INC | | | | 14 04 |
| 12/11 | 12/11 | Qualified Dividend | EXPEDIA INC NEW | | | | 7 74 |
| 12/11 | 12/16 | Sold | AFFILIATED MGRS GROUP INC | ACTED AS AGENT | 10 000 | 204 3579 | 2,043 53 |
| 12/11 | 12/16 | Sold | CULLEN FROST BANKERS INC | ACTED AS AGENT | 20.000 | 71 7361 | 1,434 68 |
| 12/11 | 12/16 | Bought | HD SUPPLY HOLDINGS | ACTED AS AGENT | 59 000 | 27 8205 | (1,641 41) |
| 12/12 | 12/12 | Qualified Dividend | INTERACTIVE BROKERS GROUP INC | | | | 2 60 |
| 12/15 | 12/15 | Qualified Dividend | ROBERT HALF INT | | | | 11 16 |
| 12/15 | 12/15 | Qualified Dividend | CULLEN FROST BANKERS INC | | | | 10 20 |
| 12/15 | 12/15 | Qualified Dividend | POLARIS INDUSTRIES INC | | | | 8 64 |
| 12/15 | 12/15 | Qualified Dividend | SILGAN HLDGS INC | | | | 4 95 |
| 12/15 | 12/15 | Qualified Dividend | HEARTLAND PAYMENT SYS INC | | | | 3 06 |
| 12/16 | 12/16 | Qualified Dividend | WYNDHAM WORLDWIDE CORP | | | | 8 75 |
| 12/16 | 12/16 | Qualified Dividend | UNIVERSAL HEALTH SERVICES B | | | | 3 00 |
| 12/19 | 12/24 | Sold | HELMERICH & PAYNE | ACTED AS AGENT | 20 000 | 70.0329 | 1,400 62 |
| 12/19 | 12/24 | Bought | YY INC ADS | ACTED AS AGENT | 10 000 | 65 9300 | (659 30) |
| 12/19 | 12/24 | Bought | ALIGN TECHNOLOGY | ACTED AS AGENT | 7.000 | 56 9336 | (398 54) |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024771 129

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| ALLIANCE DATA SYSTEMS CORP | **CUSIP:** 018581108 | **Symbol:** ADS | | | | | | |
| | 13 000 | 04/24/13 | 03/11/14 | $3,708 13 | $2,158 26 | $0 00 | $1,549 87 | $0 00 |
| AOL INC | **CUSIP:** 00184X105 | **Symbol:** AOL | | | | | | |
| | 29 000 | 06/13/13 | 06/13/14 | $1,073 64 | $1,032 78 | $0 00 | $40 86 | $0 00 |
| | 12.000 | 11/07/13 | 06/13/14 | $444.26 | $507.28 | $0.00 | ($63.02) | $0.00 |
| **Security Subtotal** | **41.000** | | | **$1,517.90** | **$1,540.06** | **$0.00** | **($22.16)** | **$0.00** |
| DANA HOLDING CORP | **CUSIP:** 235825205 | **Symbol:** DAN | | | | | | |
| | 63 000 | 09/26/13 | 09/09/14 | $1,418 57 | $1,447 60 | $0 00 | ($29 03) | $0 00 |
| FINISAR COM NEW | **CUSIP:** 31787A507 | **Symbol:** FNSR | | | | | | |
| | 40 000 | 12/20/13 | 08/26/14 | $827 76 | $919 22 | $0 00 | ($91 46) | $0 00 |
| | 21.000 | 03/21/14 | 08/26/14 | $434.58 | $580.96 | $0.00 | ($146.38) | $0.00 |
| **Security Subtotal** | **61.000** | | | **$1,262.34** | **$1,500.18** | **$0.00** | **($237.84)** | **$0.00** |
| GNC HOLDINGS,INC COM CL A | **CUSIP:** 36191G107 | **Symbol:** GNC | | | | | | |
| | 26 000 | 10/21/13 | 09/03/14 | $1,008 46 | $1,456 16 | $0 00 | ($447 70) | $0 00 |
| | 10 000 | 12/20/13 | 09/03/14 | $387 87 | $583 55 | $0.00 | ($195 68) | $0 00 |
| **Security Subtotal** | **36.000** | | | **$1,396.33** | **$2,039.71** | **$0.00** | **($643.38)** | **$0.00** |
| INCYTE CORP | **CUSIP:** 45337C102 | **Symbol:** INCY | | | | | | |
| | 12 000 | 04/24/13 | 02/20/14 | $765 62 | $264 72 | $0 00 | $500 90 | $0 00 |
| JANUS CAPITAL GROUP INC | **CUSIP:** 47102X105 | **Symbol:** JNS | | | | | | |
| | 100 000 | 04/24/13 | 03/03/14 | $1,082 11 | $876 00 | $0 00 | $206 11 | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024771 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| JAZZ PHARMACEUTICALS PLC | CUSIP: G50871105 | | Symbol: JAZZ | | | | | |
| | 7 000 | 07/15/13 | 01/24/14 | $1,050 10 | $507 82 | $0 00 | $542 28 | $0 00 |
| | 4.000 | 07/15/13 | 02/20/14 | $681 56 | $290 18 | $0 00 | $391 38 | $0 00 |
| **Security Subtotal** | **11.000** | | | **$1,731.66** | **$798.00** | **$0.00** | **$933.66** | **$0.00** |
| NXP SEMICONDUCTORS NV | CUSIP: N6596X109 | | Symbol: NXPI | | | | | |
| | 44 000 | 04/24/13 | 03/21/14 | $2,548 11 | $1,156 76 | $0 00 | $1,391 35 | $0 00 |
| SALIX PHARM LTD (DE) | CUSIP: 795435106 | | Symbol: SLXP | | | | | |
| | 3 000 | 07/11/13 | 02/20/14 | $313 19 | $207 54 | $0 00 | $105 65 | $0 00 |
| | 4.000 | 06/02/14 | 12/02/14 | $411.54 | $455.08 | $0.00 | ($43.54) | $0.00 |
| **Security Subtotal** | **7.000** | | | **$724.73** | **$662.62** | **$0.00** | **$62.11** | **$0.00** |
| WISDOMTREE INVSTS INC | CUSIP: 97717P104 | | Symbol: WETF | | | | | |
| | 80 000 | 12/20/13 | 06/05/14 | $957 08 | $1,381 10 | $0 00 | ($424 02) | $0 00 |
| **Total Short Term Covered Securities** | | | | **$17,112.58** | **$13,825.01** | **$0.00** | **$3,287.57** | **$0.00** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024771 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AFFILIATED MGRS GROUP INC — **CUSIP:** 008252108 **Symbol:** AMG | 10 000 | 04/24/13 | 12/11/14 | $2,043 53 | $1,487 00 | $0 00 | $556 53 | $0 00 |
| AUTONATION INC — **CUSIP:** 05329W102 **Symbol:** AN | 31 000 | 04/24/13 | 09/04/14 | $1,656 27 | $1,366 17 | $0 00 | $290 10 | $0 00 |
| CULLEN FROST BANKERS INC — **CUSIP:** 229899109 **Symbol:** CFR | 20 000 | 04/24/13 | 12/11/14 | $1,434 68 | $1,207 60 | $0 00 | $227 08 | $0 00 |
| ENERSYS — **CUSIP:** 29275Y102 **Symbol:** ENS | 17 000 | 04/24/13 | 09/22/14 | $1,058 30 | $760 24 | $0 00 | $298 06 | $0 00 |
| EVERCORE PARTNERS INC CLASS A — **CUSIP:** 29977A105 **Symbol:** EVR | 24 000 | 04/24/13 | 10/10/14 | $1,122 69 | $872 40 | $0 00 | $250 29 | $0 00 |
| FLEETCOR TECHNOLOGIES — **CUSIP:** 339041105 **Symbol:** FLT | 22 000 | 04/24/13 | 09/12/14 | $3,114 99 | $1,676 18 | $0 00 | $1,438 81 | $0 00 |
| HANGER INC — **CUSIP:** 41043F208 **Symbol:** HGR | 16 000 | 04/24/13 | 05/16/14 | $467 22 | $487 52 | $0 00 | ($20 30) | $0 00 |
| HELMERICH & PAYNE — **CUSIP:** 423452101 **Symbol:** HP | 20 000 | 04/24/13 | 12/19/14 | $1,400 62 | $1,227 80 | $0 00 | $172 82 | $0 00 |
| INTERNATIONAL GAME TECH — **CUSIP:** 459902102 **Symbol:** IGT | 90 000 | 05/09/13 | 06/06/14 | $1,129 25 | $1,579 33 | $0 00 | ($450 08) | $0 00 |
| INTERXION HOLDING NV — **CUSIP:** N47279109 **Symbol:** INXN | 30 000 | 04/24/13 | 06/09/14 | $789 40 | $706 80 | $0 00 | $82 60 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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Account Number 272 024771 129

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| MERCADOLIBRE INC | CUSIP: 58733R102 | Symbol: MELI | | | | | | |
| | 12 000 | 04/24/13 | 06/13/14 | $1,051 84 | $1,131 12 | $0 00 | ($79 28) | $0 00 |
| | 9.000 | 04/24/13 | 06/24/14 | $827.58 | $848.34 | $0.00 | ($20.76) | $0.00 |
| **Security Subtotal** | **21.000** | | | **$1,879.42** | **$1,979.46** | **$0.00** | **($100.04)** | **$0.00** |
| PAREXEL INTL CORP | CUSIP: 699462107 | Symbol: PRXL | | | | | | |
| | 23 000 | 04/24/13 | 12/01/14 | $1,331 14 | $934 95 | $0 00 | $396 19 | $0 00 |
| SALIX PHARM LTD (DE) | CUSIP: 795435106 | Symbol: SLXP | | | | | | |
| | 12 000 | 07/11/13 | 12/02/14 | $1,234 64 | $830 15 | $0 00 | $404 49 | $0 00 |
| SALLY BEAUTY HLDGS INC | CUSIP: 79546E104 | Symbol: SBH | | | | | | |
| | 22 000 | 04/24/13 | 06/13/14 | $545 08 | $653 40 | $0 00 | ($108 32) | $0 00 |
| SIGNET JEWELERS LIMITED | CUSIP: G81276100 | Symbol: SIG | | | | | | |
| | 8 000 | 04/24/13 | 06/06/14 | $859 51 | $538 16 | $0 00 | $321 35 | $0 00 |
| TIBCO SOFTWARE INC | CUSIP: 88632Q103 | Symbol: | | | | | | |
| | 71 000 | 04/24/13 | 06/03/14 | $1,475 43 | $1,337 63 | $0 00 | $137 80 | $0 00 |
| UNITED RENTALS INC | CUSIP: 911363109 | Symbol: URI | | | | | | |
| | 9 000 | 04/24/13 | 06/06/14 | $951 71 | $453 24 | $0 00 | $498 47 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 024771 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| VISTEON CORP | CUSIP: 92839U206 Symbol: VC | | | | | | | |
| | 19 000 | 09/24/13 | 12/23/14 | $2,019 65 | $1,419 09 | $0 00 | $600 56 | $0 00 |
| | 5.000 | 10/22/13 | 12/23/14 | $531.49 | $391.11 | $0.00 | $140.38 | $0.00 |
| **Security Subtotal** | **24.000** | | | **$2,551.14** | **$1,810.20** | **$0.00** | **$740.94** | **$0.00** |
| **Total Long Term Covered Securities** | | | | **$25,045.02** | **$19,908.23** | **$0.00** | **$5,136.79** | **$0.00** |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | **$42,157.60** | **$33,733.24** | **$0.00** | **$8,424.36** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | |
|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$42,157.60** | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$33,733.24** | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

# Account Detail

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 12/2/14 | 35 000 | 85 338 | 2,986 82 | 2,989 35 | 2 53 ST | | |
| | **Total** | 83 000 | | 7,115 96 | **7,089.03** | 117.43 LT<br>(144 36) ST | 133 00 | 1 87 |
| *Share Price $85 410, Next Dividend Payable 01/09/15* | | | | | | | | |
| **STERICYCLE INC (SRCL)** | 6/20/14 | 57 000 | 118 816 | 6,772 50 | **7,471.56** | 699 06 ST | — | — |
| *Share Price: $131 080* | | | | | | | | |
| **VARIAN MEDICAL SYS INC (VAR)** | 6/20/14 | 61 000 | 84 220 | 5,137 42 | **5,277.11** | 139 69 ST | — | — |
| *Share Price $86 510* | | | | | | | | |
| **VERISK ANALYTICS CL A (VRSK)** | 6/20/14 | 85 000 | 60 510 | 5,143.35 | **5,444.25** | 300 90 ST | — | — |
| *Share Price $64 050* | | | | | | | | |
| **VISA INC CL A (V)** | 6/20/14 | 22 000 | 209 630 | 4,611 86 | **5,768.40** | 1,156.54 ST | 42 00 | 0 72 |
| *Share Price $262 200; Next Dividend Payable 03/2015* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / *Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **94.2%** | **$136,665.85** | **$147,039.08** | **$1,876.26 LT**<br>**$8,496.97 ST** | **$1,562.00**<br>**$0.00** | **1.06%** |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / *Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | **100.0%** | **$136,665.85** | **$156,069.05** | **$1,876.26 LT**<br>**$8,496.97 ST** | **$1,566.00**<br>**$0.00** | **1.00%** |

TOTAL VALUE (includes accrued interest) **$156,069.05**

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/1 | 12/1 | Qualified Dividend | CUMMINS INC | | | | $21 84 |
| 12/2 | 12/2 | Qualified Dividend | VISA INC CL A | | | | 10 56 |
| 12/2 | 12/5 | Bought | SCHLUMBERGER LTD | ACTED AS AGENT | 35 000 | 85 3378 | (2,986 82) |
| 12/3 | 12/8 | Sold | APPLE INC | ACTED AS AGENT | 12 000 | 115 4616 | 1,385 50 |
| 12/4 | 12/9 | Bought | NATIONAL OILWELL VARCO INC | ACTED AS AGENT | 40 000 | 67 0309 | (2,681 24) |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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C/O WALLACE K TSUHA, TTEE
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ANN ARBOR MI 48105

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Identification Number 26-4310632

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Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

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|---|---|---|---|---|---|---|---|---|
| ACE LTD | **CUSIP:** H0023R105 | **Symbol:** ACE | | | | | | |
| | 7 000 | 08/15/13 | 03/05/14 | $683 44 | $626 92 | $0 00 | $56 52 | $0 00 |
| | 7 000 | 08/15/13 | 03/27/14 | $681.99 | $626.92 | $0 00 | $55 07 | $0.00 |
| **Security Subtotal** | **14.000** | | | **$1,365.43** | **$1,253.84** | **$0.00** | **$111.59** | **$0.00** |
| ALLSTATE CORP | **CUSIP:** 020002101 | **Symbol:** ALL | | | | | | |
| | 13 000 | 06/21/13 | 06/20/14 | $763 77 | $613 92 | $0 00 | $149 85 | $0 00 |
| | 12 000 | 06/26/13 | 06/20/14 | $705 01 | $573 07 | $0 00 | $131 94 | $0 00 |
| | 13 000 | 07/08/13 | 06/20/14 | $763 77 | $652 33 | $0 00 | $111 44 | $0 00 |
| | 11.000 | 05/16/14 | 06/20/14 | $646.25 | $633.79 | $0 00 | $12 46 | $0.00 |
| **Security Subtotal** | **49.000** | | | **$2,878.80** | **$2,473.11** | **$0.00** | **$405.69** | **$0.00** |
| AMAZON COM INC | **CUSIP:** 023135106 | **Symbol:** AMZN | | | | | | |
| | 2 000 | 09/24/13 | 04/29/14 | $600 00 | $633 12 | $0 00 | ($33 12) | $0 00 |
| AMER INTL GP INC NEW | **CUSIP:** 026874784 | **Symbol:** AIG | | | | | | |
| | 9 000 | 05/23/13 | 03/13/14 | $438 95 | $401 00 | $0 00 | $37 95 | $0 00 |
| | 13.000 | 06/21/13 | 03/13/14 | $634.03 | $570.14 | $0.00 | $63.89 | $0.00 |
| **Security Subtotal** | **22.000** | | | **$1,072.98** | **$971.14** | **$0.00** | **$101.84** | **$0.00** |
| AMERICAN EXPRESS CO | **CUSIP:** 025816109 | **Symbol:** AXP | | | | | | |
| | 7 000 | 04/17/14 | 06/20/14 | $668 97 | $604 26 | $0 00 | $64 71 | $0 00 |
| APPLE INC | **CUSIP:** 037833100 | **Symbol:** AAPL | | | | | | |
| | 14 000 | 10/21/13 | 07/09/14 | $1,332 00 | $1,043 77 | $0 00 | $288 23 | $0 00 |

**CONTINUED ON NEXT PAGE**

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
**(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| APPLE INC (Cont ) | **CUSIP:** 037833100 | | **Symbol:** AAPL | | | | | |
| | 6 000 | 10/24/13 | 07/09/14 | $570 86 | $454 98 | $0 00 | $115 88 | $0 00 |
| | 8 000 | 10/24/13 | 10/24/14 | $839 59 | $606 63 | $0 00 | $232 96 | $0 00 |
| | 12 000 | 04/30/14 | 10/24/14 | $1,259 39 | $1,024 18 | $0 00 | $235 21 | $0 00 |
| | 2 000 | 04/30/14 | 12/03/14 | $230 92 | $170 70 | $0 00 | $60 22 | $0 00 |
| | 7 000 | 04/30/14 | 12/03/14 | $808 21 | $594 02 | $0 00 | $214 19 | $0 00 |
| | 3 000 | 05/05/14 | 12/03/14 | $346 37 | $255 94 | $0.00 | $90 43 | $0 00 |
| **Security Subtotal** | **52.000** | | | **$5,387.34** | **$4,150.22** | **$0.00** | **$1,237.12** | **$0.00** |
| BANK OF AMERICA CORP | **CUSIP:** 060505104 | | **Symbol:** BAC | | | | | |
| | 48 000 | 07/18/13 | 06/20/14 | $741 58 | $711 96 | $0 00 | $29 62 | $0 00 |
| | 86 000 | 01/15/14 | 06/20/14 | $1,328 67 | $1,480 22 | $0.00 | ($151.55) | $0 00 |
| **Security Subtotal** | **134.000** | | | **$2,070.25** | **$2,192.18** | **$0.00** | **($121.93)** | **$0.00** |
| BERKSHIRE HATHAWAY CL-B NEW | **CUSIP:** 084670702 | | **Symbol:** BRK'B | | | | | |
| | 20 000 | 06/20/14 | 11/14/14 | $2,914 07 | $2,533 11 | $0 00 | $380 96 | $0 00 |
| BIOGEN IDEC INC | **CUSIP:** 09062X103 | | **Symbol:** BIIB | | | | | |
| | 2 000 | 12/24/13 | 06/20/14 | $635 16 | $563 89 | $0 00 | $71 27 | $0 00 |
| | 2 000 | 01/13/14 | 06/20/14 | $635.17 | $587.65 | $0.00 | $47 52 | $0 00 |
| **Security Subtotal** | **4.000** | | | **$1,270.33** | **$1,151.54** | **$0.00** | **$118.79** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
**(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BOEING CO | **CUSIP:** 097023105 | | **Symbol:** BA | | | | | |
| | 3 000 | 06/26/13 | 06/20/14 | $396 74 | $302 31 | $0 00 | $94 43 | $0 00 |
| | 5 000 | 09/23/13 | 06/20/14 | $661 24 | $586 09 | $0 00 | $75 15 | $0 00 |
| | 5 000 | 09/24/13 | 06/20/14 | $661 24 | $597 29 | $0 00 | $63 95 | $0 00 |
| | 6.000 | 03/14/14 | 06/20/14 | $793.48 | $740.01 | $0.00 | $53.47 | $0.00 |
| **Security Subtotal** | **19.000** | | | **$2,512.70** | **$2,225.70** | **$0.00** | **$287.00** | **$0.00** |
| BRISTOL MYERS SQUIBB CO | **CUSIP:** 110122108 | | **Symbol:** BMY | | | | | |
| | 14 000 | 10/28/13 | 06/05/14 | $660 30 | $725 04 | $0 00 | ($64 74) | $0 00 |
| | 6 000 | 11/13/13 | 06/05/14 | $282 99 | $310.37 | $0 00 | ($27 38) | $0 00 |
| **Security Subtotal** | **20.000** | | | **$943.29** | **$1,035.41** | **$0.00** | **($92.12)** | **$0.00** |
| CDN PACIFIC RY LTD NEW | **CUSIP:** 13645T100 | | **Symbol:** CP | | | | | |
| | 3 000 | 12/20/13 | 06/20/14 | $552 89 | $461 99 | $0 00 | $90 90 | $0 00 |
| | 2 000 | 01/14/14 | 06/20/14 | $368 59 | $299 10 | $0 00 | $69 49 | $0 00 |
| | 4 000 | 01/30/14 | 06/20/14 | $737.18 | $610.36 | $0 00 | $126 82 | $0 00 |
| **Security Subtotal** | **9.000** | | | **$1,658.66** | **$1,371.45** | **$0.00** | **$287.21** | **$0.00** |
| CELGENE CORP | **CUSIP:** 151020104 | | **Symbol:** CELG | | | | | |
| | 2 000 | 02/11/13 | 01/13/14 | $331 62 | $200 67 | $0 00 | $130 95 | $0 00 |
| | 3 000 | 02/11/13 | 01/27/14 | $465 14 | $301 00 | $0 00 | $164 14 | $0 00 |
| | 1 000 | 02/20/13 | 01/27/14 | $155.04 | $102 96 | $0 00 | $52 08 | $0 00 |
| **Security Subtotal** | **6.000** | | | **$951.80** | **$604.63** | **$0.00** | **$347.17** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CHARLES SCHWAB NEW | **CUSIP:** 808513105 | **Symbol:** SCHW | | | | | | |
| | 33 000 | 04/15/14 | 06/20/14 | $904 99 | $856 47 | $0 00 | $48 52 | $0 00 |
| CHEVRON CORP | **CUSIP:** 166764100 | **Symbol:** CVX | | | | | | |
| | 4 000 | 04/11/13 | 01/31/14 | $447 52 | $483 67 | $0 00 | ($36 15) | $0 00 |
| | 5 000 | 04/25/13 | 01/31/14 | $559.40 | $599 16 | $0.00 | ($39 76) | $0 00 |
| **Security Subtotal** | **9.000** | | | **$1,006.92** | **$1,082.83** | **$0.00** | **($75.91)** | **$0.00** |
| CITIGROUP INC NEW | **CUSIP:** 172967424 | **Symbol:** C | | | | | | |
| | 14 000 | 09/19/13 | 06/20/14 | $662 26 | $731 48 | $0 00 | ($69 22) | $0 00 |
| | 14.000 | 09/19/13 | 06/20/14 | $662.25 | $732.82 | $0.00 | ($70.57) | $0.00 |
| **Security Subtotal** | **28.000** | | | **$1,324.51** | **$1,464.30** | **$0.00** | **($139.79)** | **$0.00** |
| CME GROUP INC | **CUSIP:** 12572Q105 | **Symbol:** CME | | | | | | |
| | 8 000 | 06/25/13 | 01/15/14 | $610 74 | $612 11 | $0 00 | ($1 37) | $0 00 |
| | 8 000 | 06/27/13 | 01/15/14 | $610 74 | $613.26 | $0.00 | ($2 52) | $0 00 |
| **Security Subtotal** | **16.000** | | | **$1,221.48** | **$1,225.37** | **$0.00** | **($3.89)** | **$0.00** |
| COMCAST CORP (NEW) CLASS A | **CUSIP:** 20030N101 | **Symbol:** CMCSA | | | | | | |
| | 11 000 | 01/31/14 | 06/20/14 | $579 03 | $599 58 | $0 00 | ($20 55) | $0 00 |
| | 14 000 | 05/05/14 | 06/20/14 | $736.95 | $731.91 | $0 00 | $5.04 | $0 00 |
| **Security Subtotal** | **25.000** | | | **$1,315.98** | **$1,331.49** | **$0.00** | **($15.51)** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
**(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CONOCOPHILLIPS | **CUSIP:** 20825C104 | **Symbol:** COP | | | | | | |
| | 19 000 | 04/11/14 | 06/20/14 | $1,620 66 | $1,350 66 | $0 00 | $270 00 | $0 00 |
| | 9 000 | 04/16/14 | 06/20/14 | $767 68 | $660 84 | $0 00 | $106 84 | $0 00 |
| | 9 000 | 04/16/14 | 06/20/14 | $767 68 | $662 26 | $0 00 | $105 42 | $0 00 |
| | 21.000 | 04/30/14 | 06/20/14 | $1,791.27 | $1,563.48 | $0.00 | $227.79 | $0.00 |
| **Security Subtotal** | **58.000** | | | **$4,947.29** | **$4,237.24** | **$0.00** | **$710.05** | **$0.00** |
| CVS CAREMARK CORP | **CUSIP:** 126650100 | **Symbol:** CVS | | | | | | |
| | 5 000 | 12/24/13 | 06/20/14 | $383 94 | $355 28 | $0 00 | $28 66 | $0 00 |
| | 18 000 | 01/27/14 | 06/20/14 | $1,382 19 | $1,210 43 | $0 00 | $171 76 | $0 00 |
| | 5 000 | 01/31/14 | 06/20/14 | $383 93 | $339 69 | $0 00 | $44.24 | $0.00 |
| **Security Subtotal** | **28.000** | | | **$2,150.06** | **$1,905.40** | **$0.00** | **$244.66** | **$0.00** |
| DELTA AIR LINES INC NEW | **CUSIP:** 247361702 | **Symbol:** DAL | | | | | | |
| | 20 000 | 03/25/14 | 06/20/14 | $790 78 | $684 79 | $0 00 | $105 99 | $0 00 |
| | 19 000 | 03/27/14 | 06/20/14 | $751 24 | $637 99 | $0 00 | $113 25 | $0 00 |
| | 22 000 | 04/07/14 | 06/20/14 | $869 86 | $775 76 | $0 00 | $94 10 | $0 00 |
| | 20 000 | 04/08/14 | 06/20/14 | $790 78 | $668 66 | $0 00 | $122 12 | $0 00 |
| | 19 000 | 04/30/14 | 06/20/14 | $751 25 | $695.80 | $0 00 | $55 45 | $0.00 |
| **Security Subtotal** | **100.000** | | | **$3,953.91** | **$3,463.00** | **$0.00** | **$490.91** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| EMC CORP MASS | **CUSIP:** 268648102 | | **Symbol:** EMC | | | | | |
| | 175 000 | 06/20/14 | 11/24/14 | $5,203 96 | $4,607 75 | $0 00 | $596 21 | $0 00 |
| FACEBOOK INC CL-A | **CUSIP:** 30303M102 | | **Symbol:** FB | | | | | |
| | 13 000 | 01/06/14 | 04/04/14 | $739 82 | $742 83 | $0 00 | ($3 01) | $0 00 |
| | 11 000 | 01/21/14 | 04/04/14 | $626 00 | $635 91 | $0 00 | ($9 91) | $0 00 |
| | 1 000 | 01/21/14 | 06/20/14 | $64 12 | $57 81 | $0 00 | $6 31 | $0 00 |
| | 12 000 | 01/30/14 | 06/20/14 | $769 42 | $732 90 | $0 00 | $36 52 | $0 00 |
| | 7 000 | 02/13/14 | 06/20/14 | $448 83 | $467 83 | $0 00 | ($19 00) | $0 00 |
| | 10.000 | 03/04/14 | 06/20/14 | $641.18 | $686.89 | $0 00 | ($45 71) | $0 00 |
| **Security Subtotal** | **54.000** | | | **$3,289.37** | **$3,324.17** | **$0.00** | **($34.80)** | **$0.00** |
| FREEPORT MCMORAN CP&GLD | **CUSIP:** 35671D857 | | **Symbol:** FCX | | | | | |
| | 20 000 | 05/13/14 | 06/20/14 | $696 58 | $713 24 | $0 00 | ($16 66) | $0 00 |
| GENERAL ELECTRIC CO | **CUSIP:** 369604103 | | **Symbol:** GE | | | | | |
| | 21 000 | 04/08/13 | 03/04/14 | $537 68 | $483 84 | $0 00 | $53 84 | $0 00 |
| GENERAL MTRS CO | **CUSIP:** 37045V100 | | **Symbol:** GM | | | | | |
| | 17 000 | 07/15/13 | 06/20/14 | $616 40 | $621 11 | $0 00 | ($4 71) | $0 00 |
| | 17.000 | 09/04/13 | 06/20/14 | $616.41 | $605.94 | $0.00 | $10.47 | $0.00 |
| **Security Subtotal** | **34.000** | | | **$1,232.81** | **$1,227.05** | **$0.00** | **$5.76** | **$0.00** |

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Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) **(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS GRP INC | **CUSIP:** 38141G104 | **Symbol:** GS | | | | | | |
| | 4 000 | 01/07/14 | 05/05/14 | $624 73 | $722 72 | $0 00 | ($97 99) | $0 00 |
| HALLIBURTON CO | **CUSIP:** 406216101 | **Symbol:** HAL | | | | | | |
| | 12 000 | 11/06/13 | 06/20/14 | $843 92 | $653 17 | $0 00 | $190 75 | $0 00 |
| HEWLETT PACKARD | **CUSIP:** 428236103 | **Symbol:** HPQ | | | | | | |
| | 21 000 | 05/05/14 | 06/20/14 | $719 65 | $682 56 | $0 00 | $37 09 | $0 00 |
| | 21.000 | 06/16/14 | 06/20/14 | $719.65 | $729.92 | $0.00 | ($10.27) | $0.00 |
| **Security Subtotal** | **42.000** | | | **$1,439.30** | **$1,412.48** | **$0.00** | **$26.82** | **$0.00** |
| HONEYWELL INTERNATIONAL INC | **CUSIP:** 438516106 | **Symbol:** HON | | | | | | |
| | 15 000 | 10/07/13 | 06/20/14 | $1,421 82 | $1,247 78 | $0 00 | $174 04 | $0 00 |
| | 8 000 | 11/14/13 | 06/20/14 | $758 30 | $706 90 | $0 00 | $51 40 | $0 00 |
| | 5 000 | 12/24/13 | 06/20/14 | $473 94 | $451 49 | $0 00 | $22 45 | $0 00 |
| | 8 000 | 01/27/14 | 06/20/14 | $758 30 | $722 87 | $0 00 | $35 43 | $0 00 |
| | 8 000 | 02/05/14 | 06/20/14 | $758 30 | $711 42 | $0 00 | $46 88 | $0 00 |
| | 6.000 | 04/02/14 | 06/20/14 | $568 73 | $563.37 | $0.00 | $5.36 | $0 00 |
| **Security Subtotal** | **50.000** | | | **$4,739.39** | **$4,403.83** | **$0.00** | **$335.56** | **$0.00** |
| JOHNSON & JOHNSON | **CUSIP:** 478160104 | **Symbol:** JNJ | | | | | | |
| | 8 000 | 10/23/13 | 06/20/14 | $842 80 | $735 50 | $0 00 | $107 30 | $0 00 |

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**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

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|---|---|---|---|---|---|---|---|---|
| LAS VEGAS SANDS CORPORATION | CUSIP: 517834107 | Symbol: LVS | | | | | | |
| | 13 000 | 08/28/13 | 06/20/14 | $978 10 | $728 13 | $0 00 | $249 97 | $0 00 |
| | 5.000 | 10/18/13 | 06/20/14 | $376 19 | $363 06 | $0.00 | $13 13 | $0 00 |
| **Security Subtotal** | **18.000** | | | **$1,354.29** | **$1,091.19** | **$0.00** | **$263.10** | **$0.00** |
| LINKEDIN CORP-A | CUSIP: 53578A108 | Symbol: LNKD | | | | | | |
| | 3 000 | 10/10/13 | 02/07/14 | $617 98 | $677 51 | $0 00 | ($59 53) | $0 00 |
| LOWES COMPANIES INC | CUSIP: 548661107 | Symbol: LOW | | | | | | |
| | 16 000 | 05/08/13 | 04/08/14 | $745 49 | $658 75 | $0 00 | $86 74 | $0 00 |
| MACY'S INC | CUSIP: 55616P104 | Symbol: M | | | | | | |
| | 12 000 | 03/24/14 | 06/20/14 | $697 78 | $700 46 | $0 00 | ($2 68) | $0 00 |
| | 11 000 | 03/31/14 | 06/20/14 | $639 63 | $653 41 | $0 00 | ($13 78) | $0 00 |
| | 12 000 | 04/03/14 | 06/20/14 | $697 79 | $726 51 | $0.00 | ($28 72) | $0 00 |
| **Security Subtotal** | **35.000** | | | **$2,035.20** | **$2,080.38** | **$0.00** | **($45.18)** | **$0.00** |
| MCKESSON CORP | CUSIP: 58155Q103 | Symbol: MCK | | | | | | |
| | 4 000 | 02/04/14 | 06/20/14 | $741 78 | $679 23 | $0 00 | $62 55 | $0 00 |
| | 4.000 | 02/10/14 | 06/20/14 | $741 78 | $692 58 | $0 00 | $49 20 | $0.00 |
| **Security Subtotal** | **8.000** | | | **$1,483.56** | **$1,371.81** | **$0.00** | **$111.75** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| MEDTRONIC INC | CUSIP: 585055106 | | Symbol: | | | | | |
| | 12 000 | 06/18/14 | 06/20/14 | $765 34 | $747 95 | $0 00 | $17 39 | $0 00 |
| | 4 000 | 06/19/14 | 06/20/14 | $255 11 | $259.57 | $0 00 | ($4 46) | $0.00 |
| **Security Subtotal** | **16.000** | | | **$1,020.45** | **$1,007.52** | **$0.00** | **$12.93** | **$0.00** |
| MERCK & CO INC NEW COM | CUSIP: 58933Y105 | | Symbol: MRK | | | | | |
| | 12 000 | 05/09/14 | 06/20/14 | $707 74 | $663 21 | $0 00 | $44 53 | $0 00 |
| | 13 000 | 06/05/14 | 06/20/14 | $766 72 | $758.80 | $0 00 | $7 92 | $0 00 |
| **Security Subtotal** | **25.000** | | | **$1,474.46** | **$1,422.01** | **$0.00** | **$52.45** | **$0.00** |
| MICRON TECH INC | CUSIP: 595112103 | | Symbol: MU | | | | | |
| | 25 000 | 06/11/14 | 06/20/14 | $793 23 | $777 75 | $0 00 | $15 48 | $0 00 |
| | 20.000 | 06/17/14 | 06/20/14 | $634.58 | $642.85 | $0.00 | ($8.27) | $0.00 |
| **Security Subtotal** | **45.000** | | | **$1,427.81** | **$1,420.60** | **$0.00** | **$7.21** | **$0.00** |
| MICROSOFT CORP | CUSIP: 594918104 | | Symbol: MSFT | | | | | |
| | 18 000 | 01/27/14 | 06/11/14 | $734 25 | $660 21 | $0 00 | $74 04 | $0 00 |
| | 1 000 | 01/27/14 | 06/20/14 | $41 79 | $36 68 | $0 00 | $5 11 | $0 00 |
| | 19 000 | 01/27/14 | 06/20/14 | $793 99 | $690 72 | $0 00 | $103 27 | $0 00 |
| | 19 000 | 01/31/14 | 06/20/14 | $793 99 | $716 92 | $0 00 | $77 07 | $0 00 |
| | 17 000 | 03/24/14 | 06/20/14 | $710 41 | $679 92 | $0 00 | $30 49 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) **(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| MICROSOFT CORP (Cont ) | **CUSIP:** 594918104 | **Symbol:** MSFT | | | | | | |
| | 10 000 | 03/25/14 | 06/20/14 | $417 89 | $401 11 | $0 00 | $16 78 | $0 00 |
| | 19 000 | 03/31/14 | 06/20/14 | $794.00 | $787.08 | $0 00 | $6 92 | $0 00 |
| **Security Subtotal** | **103.000** | | | **$4,286.32** | **$3,972.64** | **$0.00** | **$313.68** | **$0.00** |
| MORGAN STANLEY | **CUSIP:** 617446448 | **Symbol:** MS | | | | | | |
| | 23 000 | 04/30/14 | 06/20/14 | $743 80 | $705 02 | $0 00 | $38 78 | $0 00 |
| | 23 000 | 04/30/14 | 06/20/14 | $743.80 | $710 33 | $0 00 | $33 47 | $0.00 |
| **Security Subtotal** | **46.000** | | | **$1,487.60** | **$1,415.35** | **$0.00** | **$72.25** | **$0.00** |
| NEWS CORPORATION CL A | **CUSIP:** 65249B109 | **Symbol:** NWSA | | | | | | |
| | 52 000 | 07/10/13 | 02/05/14 | $815 22 | $805 21 | $0 00 | $10 01 | $0 00 |
| PERRIGO CO LTD | **CUSIP:** G97822103 | **Symbol:** PRGO | | | | | | |
| | 11 000 | 06/20/14 | 10/08/14 | $1,632 69 | $1,578 83 | $0 00 | $53 86 | $0 00 |
| PRECISION CASTPARTS CORP | **CUSIP:** 740189105 | **Symbol:** PCP | | | | | | |
| | 1 000 | 06/27/13 | 06/20/14 | $265 41 | $225 39 | $0 00 | $40 02 | $0 00 |
| RED HAT INC | **CUSIP:** 756577102 | **Symbol:** RHT | | | | | | |
| | 6 000 | 02/24/14 | 03/31/14 | $317 87 | $353 61 | $0 00 | ($35 74) | $0 00 |
| | 6 000 | 02/25/14 | 03/31/14 | $317 87 | $353 47 | $0 00 | ($35 60) | $0 00 |
| | 12.000 | 02/28/14 | 03/31/14 | $635.72 | $711.06 | $0.00 | ($75.34) | $0.00 |
| **Security Subtotal** | **24.000** | | | **$1,271.46** | **$1,418.14** | **$0.00** | **($146.68)** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
**(Continued)**

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| REGENERON PHARM | **CUSIP:** 75886F107 | | **Symbol:** REGN | | | | | |
| | 1 000 | 11/27/13 | 01/27/14 | $271 87 | $293 45 | $0 00 | ($21 58) | $0 00 |
| | 1.000 | 11/29/13 | 01/27/14 | $271 86 | $293 91 | $0.00 | ($22 05) | $0 00 |
| **Security Subtotal** | **2.000** | | | **$543.73** | **$587.36** | **$0.00** | **($43.63)** | **$0.00** |
| ROCHE HOLDINGS ADR | **CUSIP:** 771195104 | | **Symbol:** RHHBY | | | | | |
| | 19 000 | 03/21/14 | 06/20/14 | $713 53 | $712 55 | $0 00 | $0 98 | $0 00 |
| | 18 000 | 04/01/14 | 06/20/14 | $675 97 | $675 20 | $0 00 | $0.77 | $0 00 |
| **Security Subtotal** | **37.000** | | | **$1,389.50** | **$1,387.75** | **$0.00** | **$1.75** | **$0.00** |
| SALESFORCE COM,INC | **CUSIP:** 79466L302 | | **Symbol:** CRM | | | | | |
| | 12 000 | 10/10/13 | 03/13/14 | $706 39 | $613 02 | $0 00 | $93 37 | $0 00 |
| | 1 000 | 10/10/13 | 03/25/14 | $55 69 | $51 08 | $0 00 | $4 61 | $0 00 |
| | 5 000 | 01/21/14 | 03/25/14 | $278 47 | $303 39 | $0 00 | ($24 92) | $0 00 |
| | 6 000 | 01/21/14 | 03/31/14 | $338 89 | $364 07 | $0 00 | ($25 18) | $0 00 |
| | 11.000 | 02/06/14 | 03/31/14 | $621 31 | $687.19 | $0 00 | ($65.88) | $0 00 |
| **Security Subtotal** | **35.000** | | | **$2,000.75** | **$2,018.75** | **$0.00** | **($18.00)** | **$0.00** |
| STARWOOD HTLS & RSTS WW INC | **CUSIP:** 85590A401 | | **Symbol:** HOT | | | | | |
| | 10 000 | 11/18/13 | 06/20/14 | $810 68 | $746 24 | $0 00 | $64 44 | $0 00 |
| | 8 000 | 12/19/13 | 06/20/14 | $648 54 | $624 77 | $0 00 | $23 77 | $0 00 |
| | 5.000 | 12/24/13 | 06/20/14 | $405 34 | $396.08 | $0 00 | $9 26 | $0.00 |
| **Security Subtotal** | **23.000** | | | **$1,864.56** | **$1,767.09** | **$0.00** | **$97.47** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| TE CONNECTIVITY LTD NEW | **CUSIP:** H84989104 | | **Symbol:** TEL | | | | | |
| | 12 000 | 08/21/13 | 06/20/14 | $747 22 | $597 94 | $0 00 | $149 28 | $0 00 |
| | 13 000 | 09/10/13 | 06/20/14 | $809 49 | $686 41 | $0 00 | $123 08 | $0 00 |
| | 13.000 | 10/18/13 | 06/20/14 | $809.49 | $694.43 | $0.00 | $115.06 | $0.00 |
| **Security Subtotal** | **38.000** | | | **$2,366.20** | **$1,978.78** | **$0.00** | **$387.42** | **$0.00** |
| TEXAS INSTRUMENTS | **CUSIP:** 882508104 | | **Symbol:** TXN | | | | | |
| | 16 000 | 08/15/13 | 05/13/14 | $739 31 | $619 85 | $0 00 | $119 46 | $0 00 |
| | 16 000 | 09/10/13 | 05/13/14 | $739 30 | $644 13 | $0.00 | $95 17 | $0.00 |
| **Security Subtotal** | **32.000** | | | **$1,478.61** | **$1,263.98** | **$0.00** | **$214.63** | **$0.00** |
| UNITED TECHNOLOGIES CORP | **CUSIP:** 913017109 | | **Symbol:** UTX | | | | | |
| | 4 000 | 03/31/14 | 06/20/14 | $471 99 | $466 29 | $0 00 | $5 70 | $0 00 |
| | 7 000 | 05/01/14 | 06/20/14 | $825 99 | $824 95 | $0.00 | $1 04 | $0 00 |
| **Security Subtotal** | **11.000** | | | **$1,297.98** | **$1,291.24** | **$0.00** | **$6.74** | **$0.00** |
| VALEANT PHARMACEUTIC INTL INC | **CUSIP:** 91911K102 | | **Symbol:** VRX | | | | | |
| | 1 000 | 03/05/14 | 06/20/14 | $121 93 | $144 93 | $0 00 | ($23 00) | $0 00 |
| | 4 000 | 03/06/14 | 06/20/14 | $487 71 | $576 87 | $0 00 | ($89 16) | $0 00 |
| | 3 000 | 04/23/14 | 06/20/14 | $365 78 | $398 09 | $0 00 | ($32 31) | $0 00 |
| | 6.000 | 04/29/14 | 06/20/14 | $731 56 | $799 87 | $0 00 | ($68 31) | $0.00 |
| **Security Subtotal** | **14.000** | | | **$1,706.98** | **$1,919.76** | **$0.00** | **($212.78)** | **$0.00** |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| VERIZON COMMUNICATIONS | CUSIP: 92343V104 | | Symbol: VZ | | | | | |
| | 27 000 | 10/22/13 | 01/06/14 | $1,314 34 | $1,380 59 | $0 00 | ($66 25) | $0 00 |
| | 14 000 | 10/23/13 | 01/06/14 | $681.51 | $717 16 | $0 00 | ($35 65) | $0 00 |
| **Security Subtotal** | **41.000** | | | **$1,995.85** | **$2,097.75** | **$0.00** | **($101.90)** | **$0.00** |
| YAHOO INC | CUSIP: 984332106 | | Symbol: YHOO | | | | | |
| | 19 000 | 11/25/13 | 06/20/14 | $647 31 | $691 35 | $0 00 | ($44 04) | $0 00 |
| | 17 000 | 12/05/13 | 06/20/14 | $579 18 | $658.48 | $0 00 | ($79 30) | $0 00 |
| **Security Subtotal** | **36.000** | | | **$1,226.49** | **$1,349.83** | **$0.00** | **($123.34)** | **$0.00** |
| **Total Short Term Covered Securities** | | | | **$96,358.89** | **$89,857.18** | **$0.00** | **$6,501.71** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| ALLSTATE CORP | CUSIP: 020002101 | Symbol: ALL | | | | | | |
| | 13 000 | 06/06/13 | 06/20/14 | $763 77 | $598 59 | $0 00 | $165 18 | $0 00 |
| | 13.000 | 06/07/13 | 06/20/14 | $763.77 | $613.94 | $0.00 | $149.83 | $0.00 |
| **Security Subtotal** | **26.000** | | | **$1,527.54** | **$1,212.53** | **$0.00** | **$315.01** | **$0.00** |
| AMAZON COM INC | CUSIP: 023135106 | Symbol: AMZN | | | | | | |
| | 1 000 | 08/16/12 | 04/04/14 | $319 56 | $238 38 | $0 00 | $81 18 | $0 00 |
| | 2 000 | 09/14/12 | 04/04/14 | $639 11 | $525 27 | $0 00 | $113 84 | $0 00 |
| | 1 000 | 01/25/13 | 04/29/14 | $300 00 | $281 79 | $0 00 | $18 21 | $0 00 |
| | 2.000 | 01/28/13 | 04/29/14 | $600.00 | $554.12 | $0.00 | $45.88 | $0.00 |
| **Security Subtotal** | **6.000** | | | **$1,858.67** | **$1,599.56** | **$0.00** | **$259.11** | **$0.00** |
| AMER INTL GP INC NEW | CUSIP: 026874784 | Symbol: AIG | | | | | | |
| | 12 000 | 01/22/13 | 02/14/14 | $578 45 | $431 85 | $0 00 | $146 60 | $0 00 |
| | 6.000 | 01/22/13 | 03/13/14 | $292.63 | $215.93 | $0.00 | $76.70 | $0.00 |
| **Security Subtotal** | **18.000** | | | **$871.08** | **$647.78** | **$0.00** | **$223.30** | **$0.00** |
| AMERICAN EXPRESS CO | CUSIP: 025816109 | Symbol: AXP | | | | | | |
| | 10 000 | 05/24/11 | 06/20/14 | $955 68 | $506 46 | $0 00 | $449 22 | $0 00 |
| | 11 000 | 06/16/11 | 06/20/14 | $1,051 24 | $529 53 | $0 00 | $521 71 | $0 00 |
| | 10 000 | 06/22/11 | 06/20/14 | $955.68 | $499 91 | $0.00 | $455 77 | $0.00 |
| **Security Subtotal** | **31.000** | | | **$2,962.60** | **$1,535.90** | **$0.00** | **$1,426.70** | **$0.00** |
| AMERICAN TOWER REIT COM | CUSIP: 03027X100 | Symbol: AMT | | | | | | |
| | 6 000 | 09/09/11 | 06/20/14 | $533 75 | $314 76 | $0 00 | $218 99 | $0 00 |
| BANK OF AMERICA CORP | CUSIP: 060505104 | Symbol: BAC | | | | | | |
| | 44 000 | 11/27/12 | 04/16/14 | $701 84 | $435 49 | $0 00 | $266 35 | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BANK OF AMERICA CORP (Cont ) | CUSIP: 060505104 | Symbol: BAC | | | | | | |
| | 10 000 | 11/27/12 | 06/20/14 | $154 50 | $98 97 | $0 00 | $55 53 | $0 00 |
| | 43 000 | 02/12/13 | 06/20/14 | $664 33 | $521 47 | $0 00 | $142 86 | $0 00 |
| | 27.000 | 05/23/13 | 06/20/14 | $417.14 | $359.70 | $0.00 | $57.44 | $0.00 |
| **Security Subtotal** | **124.000** | | | **$1,937.81** | **$1,415.63** | **$0.00** | **$522.18** | **$0.00** |
| BIOGEN IDEC INC | CUSIP: 09062X103 | Symbol: BIIB | | | | | | |
| | 2 000 | 04/11/12 | 03/24/14 | $618 58 | $253 91 | $0 00 | $364 67 | $0 00 |
| | 3 000 | 05/30/12 | 06/20/14 | $952 75 | $392 66 | $0 00 | $560 09 | $0 00 |
| | 2.000 | 10/31/12 | 06/20/14 | $635.16 | $277.62 | $0.00 | $357.54 | $0.00 |
| **Security Subtotal** | **7.000** | | | **$2,206.49** | **$924.19** | **$0.00** | **$1,282.30** | **$0.00** |
| BOEING CO | CUSIP: 097023105 | Symbol: BA | | | | | | |
| | 7 000 | 04/29/11 | 01/30/14 | $902 92 | $557 99 | $0 00 | $344 93 | $0 00 |
| | 7 000 | 12/27/11 | 02/11/14 | $911 72 | $520 82 | $0 00 | $390 90 | $0 00 |
| | 3 000 | 07/02/12 | 02/11/14 | $390 73 | $218 18 | $0 00 | $172 55 | $0 00 |
| | 3 000 | 07/02/12 | 06/20/14 | $396 74 | $218 18 | $0 00 | $178 56 | $0 00 |
| | 6 000 | 05/06/13 | 06/20/14 | $793 48 | $566 63 | $0 00 | $226 85 | $0 00 |
| | 7 000 | 05/14/13 | 06/20/14 | $925 73 | $665 62 | $0 00 | $260 11 | $0 00 |
| | 6 000 | 05/20/13 | 06/20/14 | $793 48 | $593 16 | $0.00 | $200 32 | $0.00 |
| **Security Subtotal** | **39.000** | | | **$5,114.80** | **$3,340.58** | **$0.00** | **$1,774.22** | **$0.00** |
| CDN PACIFIC RY LTD NEW | CUSIP: 13645T100 | Symbol: CP | | | | | | |
| | 2 000 | 04/05/13 | 06/20/14 | $368 59 | $233 96 | $0 00 | $134 63 | $0 00 |
| | 2 000 | 04/08/13 | 06/20/14 | $368 59 | $245 40 | $0 00 | $123 19 | $0 00 |
| | 5 000 | 04/10/13 | 06/20/14 | $921 48 | $611 12 | $0 00 | $310 36 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CDN PACIFIC RY LTD NEW(Cont ) | CUSIP: 13645T100 | Symbol: CP | | | | | | |
| | 3 000 | 04/23/13 | 06/20/14 | $552 89 | $371 33 | $0 00 | $181 56 | $0 00 |
| | 2 000 | 04/23/13 | 06/20/14 | $368 59 | $244 21 | $0 00 | $124 38 | $0 00 |
| | 5 000 | 05/20/13 | 06/20/14 | $921 48 | $687 40 | $0 00 | $234 08 | $0 00 |
| | 4.000 | 06/04/13 | 06/20/14 | $737.18 | $513.25 | $0.00 | $223.93 | $0.00 |
| **Security Subtotal** | **23.000** | | | **$4,238.80** | **$2,906.67** | **$0.00** | **$1,332.13** | **$0.00** |
| CELGENE CORP | CUSIP: 151020104 | Symbol: CELG | | | | | | |
| | 5 000 | 02/20/13 | 03/24/14 | $711 47 | $514 81 | $0 00 | $196 66 | $0 00 |
| | 4 000 | 03/05/13 | 03/31/14 | $559 70 | $433 74 | $0 00 | $125 96 | $0 00 |
| | 1 000 | 03/05/13 | 04/08/14 | $138 12 | $108 44 | $0 00 | $29 68 | $0 00 |
| | 3 000 | 03/12/13 | 04/08/14 | $414 36 | $340 33 | $0 00 | $74 03 | $0 00 |
| | 2 000 | 03/12/13 | 04/09/14 | $285 67 | $226 88 | $0 00 | $58 79 | $0 00 |
| | 5 000 | 04/12/13 | 06/20/14 | $855.17 | $615 12 | $0 00 | $240 05 | $0.00 |
| **Security Subtotal** | **20.000** | | | **$2,964.49** | **$2,239.32** | **$0.00** | **$725.17** | **$0.00** |
| CHARLES SCHWAB NEW | CUSIP: 808513105 | Symbol: SCHW | | | | | | |
| | 38 000 | 03/07/12 | 06/20/14 | $1,042 11 | $527 14 | $0 00 | $514 97 | $0 00 |
| | 37 000 | 03/09/12 | 06/20/14 | $1,014 69 | $522 23 | $0 00 | $492 46 | $0 00 |
| **Security Subtotal** | **75.000** | | | **$2,056.80** | **$1,049.37** | **$0.00** | **$1,007.43** | **$0.00** |
| CHEVRON CORP | CUSIP: 166764100 | Symbol: CVX | | | | | | |
| | 5 000 | 06/07/12 | 01/15/14 | $597 42 | $505 17 | $0 00 | $92 25 | $0 00 |
| | 3.000 | 07/17/12 | 01/31/14 | $335 64 | $321 45 | $0.00 | $14 19 | $0 00 |
| **Security Subtotal** | **8.000** | | | **$933.06** | **$826.62** | **$0.00** | **$106.44** | **$0.00** |

**CONTINUED ON NEXT PAGE**

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CITIGROUP INC NEW | **CUSIP:** 172967424 | **Symbol:** C | | | | | | |
| | 3 000 | 03/09/11 | 01/24/14 | $147 88 | $160 15 | $0 00 | ($12 27) | $0 00 |
| | 11 000 | 10/31/11 | 01/24/14 | $542 39 | $355 98 | $0 00 | $186 41 | $0 00 |
| | 3 000 | 03/14/12 | 01/24/14 | $147 93 | $105 21 | $0 00 | $42 72 | $0 00 |
| | 11 000 | 03/14/12 | 01/24/14 | $542 22 | $385 75 | $0 00 | $156 47 | $0 00 |
| | 4 000 | 03/09/11 | 03/28/14 | $188 50 | $213 52 | $0 00 | ($25 02) | $0 00 |
| | 17 000 | 07/27/12 | 03/28/14 | $801 11 | $453 68 | $0 00 | $347 43 | $0 00 |
| | 12 000 | 09/26/12 | 03/28/14 | $565 49 | $392 75 | $0 00 | $172 74 | $0 00 |
| | 7 000 | 09/26/12 | 06/19/14 | $331 76 | $229 11 | $0 00 | $102 65 | $0 00 |
| | 6 000 | 10/31/12 | 06/19/14 | $284 37 | $221 88 | $0 00 | $62 49 | $0 00 |
| | 3 000 | 10/31/12 | 06/20/14 | $141.91 | $110 94 | $0 00 | $30 97 | $0 00 |
| **Security Subtotal** | **77.000** | | | **$3,693.56** | **$2,628.97** | **$0.00** | **$1,064.59** | **$0.00** |
| COMCAST CORP (NEW) CLASS A | **CUSIP:** 20030N101 | **Symbol:** CMCSA | | | | | | |
| | 16 000 | 07/11/12 | 06/20/14 | $842 22 | $504 76 | $0 00 | $337 46 | $0 00 |
| | 15 000 | 09/06/12 | 06/20/14 | $789 58 | $517 32 | $0 00 | $272 26 | $0 00 |
| | 15 000 | 09/14/12 | 06/20/14 | $789 58 | $529 94 | $0 00 | $259 64 | $0 00 |
| | 8 000 | 11/07/12 | 06/20/14 | $421.11 | $291.77 | $0 00 | $129 34 | $0 00 |
| **Security Subtotal** | **54.000** | | | **$2,842.49** | **$1,843.79** | **$0.00** | **$998.70** | **$0.00** |
| CVS CAREMARK CORP | **CUSIP:** 126650100 | **Symbol:** CVS | | | | | | |
| | 3 000 | 09/06/11 | 05/13/14 | $229 60 | $107 11 | $0 00 | $122 49 | $0 00 |
| | 7 000 | 09/15/11 | 05/13/14 | $535 73 | $257 40 | $0 00 | $278 33 | $0 00 |
| | 4 000 | 09/15/11 | 06/17/14 | $304 79 | $147 09 | $0 00 | $157 70 | $0 00 |
| | 1 000 | 09/15/11 | 06/19/14 | $77 48 | $36 77 | $0 00 | $40 71 | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

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Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CVS CAREMARK CORP (Cont ) | **CUSIP:** 126650100 | **Symbol:** CVS | | | | | | |
| | 4 000 | 05/03/12 | 06/19/14 | $309 93 | $184 30 | $0 00 | $125 63 | $0 00 |
| | 8 000 | 05/03/12 | 06/20/14 | $614 31 | $368 60 | $0 00 | $245 71 | $0 00 |
| | 12.000 | 08/21/12 | 06/20/14 | $921.46 | $549.35 | $0.00 | $372.11 | $0.00 |
| **Security Subtotal** | **39.000** | | | **$2,993.30** | **$1,650.62** | **$0.00** | **$1,342.68** | **$0.00** |
| EBAY INC | **CUSIP:** 278642103 | **Symbol:** EBAY | | | | | | |
| | 3 000 | 06/01/12 | 01/23/14 | $163 30 | $116 53 | $0 00 | $46 77 | $0 00 |
| | 13 000 | 06/15/12 | 01/23/14 | $707 62 | $528 23 | $0 00 | $179 39 | $0 00 |
| | 6 000 | 06/21/12 | 01/23/14 | $326 59 | $251 32 | $0 00 | $75 27 | $0 00 |
| | 4 000 | 01/17/13 | 01/23/14 | $217 72 | $218.53 | $0.00 | ($0 81) | $0.00 |
| **Security Subtotal** | **26.000** | | | **$1,415.23** | **$1,114.61** | **$0.00** | **$300.62** | **$0.00** |
| EXPRESS SCRIPTS HLDG CO COM | **CUSIP:** 30219G108 | **Symbol:** ESRX | | | | | | |
| | 2 000 | 08/02/11 | 02/21/14 | $147 46 | $104 48 | $0 00 | $42 98 | $0 00 |
| | 8 000 | 02/06/12 | 02/21/14 | $589 84 | $399 75 | $0 00 | $190 09 | $0 00 |
| | 6 000 | 02/06/12 | 04/30/14 | $398 66 | $299 82 | $0 00 | $98 84 | $0 00 |
| | 6.000 | 05/25/12 | 04/30/14 | $398 66 | $314.23 | $0 00 | $84 43 | $0.00 |
| **Security Subtotal** | **22.000** | | | **$1,534.62** | **$1,118.28** | **$0.00** | **$416.34** | **$0.00** |
| GENERAL ELECTRIC CO | **CUSIP:** 369604103 | **Symbol:** GE | | | | | | |
| | 27 000 | 12/21/11 | 01/21/14 | $705 90 | $474 23 | $0 00 | $231 67 | $0 00 |
| | 5 000 | 05/21/12 | 01/28/14 | $127 60 | $95 32 | $0 00 | $32 28 | $0 00 |
| | 15 000 | 06/21/12 | 01/28/14 | $382 81 | $293 44 | $0 00 | $89 37 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| GENERAL ELECTRIC CO (Cont ) | CUSIP: 369604103 | Symbol: GE | | | | | | |
| | 6 000 | 11/07/12 | 01/28/14 | $153 13 | $127 08 | $0 00 | $26 05 | $0 00 |
| | 7.000 | 11/07/12 | 03/04/14 | $179.23 | $148.26 | $0.00 | $30.97 | $0.00 |
| **Security Subtotal** | **60.000** | | | **$1,548.67** | **$1,138.33** | **$0.00** | **$410.34** | **$0.00** |
| GENERAL MTRS CO | CUSIP: 37045V100 | Symbol: GM | | | | | | |
| | 20 000 | 09/07/12 | 01/27/14 | $725 91 | $466 69 | $0 00 | $259 22 | $0 00 |
| | 2 000 | 09/07/12 | 03/25/14 | $68 57 | $46 67 | $0 00 | $21 90 | $0 00 |
| | 7 000 | 10/03/12 | 03/25/14 | $240 00 | $171 81 | $0 00 | $68 19 | $0 00 |
| | 13 000 | 10/03/12 | 03/31/14 | $448 64 | $319 08 | $0 00 | $129 56 | $0 00 |
| | 16 000 | 10/31/12 | 03/31/14 | $552 18 | $405 79 | $0 00 | $146 39 | $0 00 |
| | 7 000 | 10/31/12 | 06/20/14 | $253 81 | $177.53 | $0 00 | $76 28 | $0.00 |
| **Security Subtotal** | **65.000** | | | **$2,289.11** | **$1,587.57** | **$0.00** | **$701.54** | **$0.00** |
| GILEAD SCIENCE | CUSIP: 375558103 | Symbol: GILD | | | | | | |
| | 10 000 | 04/13/12 | 04/09/14 | $706 10 | $229 48 | $0 00 | $476 62 | $0 00 |
| | 11 000 | 04/13/12 | 06/20/14 | $890 65 | $252 43 | $0 00 | $638 22 | $0 00 |
| | 12 000 | 04/19/12 | 06/20/14 | $971 62 | $313 29 | $0 00 | $658 33 | $0 00 |
| | 16 000 | 05/30/12 | 06/20/14 | $1,295 49 | $404 91 | $0 00 | $890 58 | $0 00 |
| | 4 000 | 10/04/12 | 06/20/14 | $323 87 | $139.33 | $0 00 | $184 54 | $0.00 |
| **Security Subtotal** | **53.000** | | | **$4,187.73** | **$1,339.44** | **$0.00** | **$2,848.29** | **$0.00** |
| GOLDMAN SACHS GRP INC | CUSIP: 38141G104 | Symbol: GS | | | | | | |
| | 1 000 | 10/15/12 | 04/17/14 | $157 69 | $123 86 | $0 00 | $33 83 | $0 00 |
| | 3 000 | 12/21/12 | 04/17/14 | $473 06 | $383 99 | $0 00 | $89 07 | $0 00 |
| | 1 000 | 01/16/13 | 04/17/14 | $157 69 | $140 66 | $0 00 | $17 03 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
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ANN ARBOR MI 48105

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS GRP INC (Cont ) | CUSIP: 38141G104 | Symbol: GS | | | | | | |
| | 3 000 | 01/16/13 | 04/29/14 | $473 57 | $421 97 | $0 00 | $51 60 | $0 00 |
| | 1 000 | 01/18/13 | 04/29/14 | $157 86 | $144 39 | $0 00 | $13 47 | $0 00 |
| | 2 000 | 01/18/13 | 05/05/14 | $312 37 | $288 78 | $0 00 | $23 59 | $0 00 |
| | 4.000 | 01/22/13 | 05/05/14 | $624.74 | $584.42 | $0.00 | $40.32 | $0.00 |
| **Security Subtotal** | **15.000** | | | **$2,356.98** | **$2,088.07** | **$0.00** | **$268.91** | **$0.00** |
| HALLIBURTON CO | CUSIP: 406216101 | Symbol: HAL | | | | | | |
| | 1 000 | 08/02/12 | 06/20/14 | $70 33 | $32 57 | $0 00 | $37 76 | $0 00 |
| | 16 000 | 08/03/12 | 06/20/14 | $1,125 26 | $543 76 | $0 00 | $581 50 | $0 00 |
| | 14 000 | 08/21/12 | 06/20/14 | $984 60 | $492 82 | $0 00 | $491 78 | $0 00 |
| | 9 000 | 12/06/12 | 06/20/14 | $632 96 | $300 83 | $0 00 | $332 13 | $0 00 |
| | 9 000 | 12/12/12 | 06/20/14 | $632 96 | $306 78 | $0 00 | $326 18 | $0 00 |
| | 16 000 | 04/29/13 | 06/20/14 | $1,125.26 | $668 72 | $0 00 | $456 54 | $0 00 |
| **Security Subtotal** | **65.000** | | | **$4,571.37** | **$2,345.48** | **$0.00** | **$2,225.89** | **$0.00** |
| HOME DEPOT INC | CUSIP: 437076102 | Symbol: HD | | | | | | |
| | 13 000 | 10/18/11 | 06/20/14 | $1,040 36 | $461 67 | $0 00 | $578 69 | $0 00 |
| | 12 000 | 10/21/11 | 06/20/14 | $960 34 | $435 96 | $0 00 | $524 38 | $0 00 |
| | 11 000 | 11/23/11 | 06/20/14 | $880 31 | $402 53 | $0 00 | $477 78 | $0 00 |
| **Security Subtotal** | **36.000** | | | **$2,881.01** | **$1,300.16** | **$0.00** | **$1,580.85** | **$0.00** |
| JOHNSON & JOHNSON | CUSIP: 478160104 | Symbol: JNJ | | | | | | |
| | 7 000 | 01/14/13 | 06/20/14 | $737 45 | $508 65 | $0 00 | $228 80 | $0 00 |
| | 15 000 | 03/26/13 | 06/20/14 | $1,580 25 | $1,203 17 | $0 00 | $377 08 | $0 00 |
| **Security Subtotal** | **22.000** | | | **$2,317.70** | **$1,711.82** | **$0.00** | **$605.88** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| JPMORGAN CHASE & CO | CUSIP: 46625H100 | Symbol: JPM | | | | | | |
| | 4 000 | 03/21/12 | 05/16/14 | $212 45 | $180 72 | $0 00 | $31 73 | $0 00 |
| | 7 000 | 08/21/12 | 05/16/14 | $371 79 | $267 32 | $0 00 | $104 47 | $0 00 |
| | 6 000 | 08/21/12 | 06/20/14 | $345 11 | $229 13 | $0 00 | $115 98 | $0 00 |
| | 8 000 | 09/06/12 | 06/20/14 | $460 15 | $308 63 | $0 00 | $151 52 | $0 00 |
| | 7 000 | 09/07/12 | 06/20/14 | $402 63 | $275 27 | $0 00 | $127 36 | $0 00 |
| | 15 000 | 09/26/12 | 06/20/14 | $862 78 | $607 53 | $0 00 | $255 25 | $0 00 |
| | 4 000 | 10/16/12 | 06/20/14 | $230 07 | $171 96 | $0 00 | $58 11 | $0 00 |
| | 13 000 | 10/31/12 | 06/20/14 | $747 74 | $539 55 | $0 00 | $208 19 | $0 00 |
| | 13 000 | 12/18/12 | 06/20/14 | $747 75 | $570 63 | $0.00 | $177 12 | $0 00 |
| **Security Subtotal** | **77.000** | | | **$4,380.47** | **$3,150.74** | **$0.00** | **$1,229.73** | **$0.00** |
| LAS VEGAS SANDS CORPORATION | CUSIP: 517834107 | Symbol: LVS | | | | | | |
| | 2 000 | 02/09/12 | 04/29/14 | $157 40 | $104 81 | $0 00 | $52 59 | $0 00 |
| | 7 000 | 02/28/12 | 04/29/14 | $550 90 | $382 67 | $0 00 | $168 23 | $0 00 |
| | 2 000 | 02/28/12 | 06/20/14 | $150 48 | $109 33 | $0 00 | $41 15 | $0 00 |
| | 10 000 | 05/03/13 | 06/20/14 | $752.38 | $576.09 | $0.00 | $176 29 | $0.00 |
| **Security Subtotal** | **21.000** | | | **$1,611.16** | **$1,172.90** | **$0.00** | **$438.26** | **$0.00** |
| LIBERTY GLOBAL PLC CL A NEW | CUSIP: G5480U104 | Symbol: LBTYA | | | | | | |
| | 2 000 | 02/06/13 | 04/07/14 | $76 67 | $67 08 | $0 00 | $9 59 | $0 00 |
| | 4 000 | 03/18/13 | 04/07/14 | $153 34 | $138 47 | $0 00 | $14 87 | $0 00 |
| | 9 000 | 03/26/13 | 04/07/14 | $345 01 | $324 10 | $0 00 | $20 91 | $0 00 |
| | 3.000 | 03/27/13 | 04/07/14 | $114.99 | $108.23 | $0.00 | $6.76 | $0.00 |
| **Security Subtotal** | **18.000** | | | **$690.01** | **$637.88** | **$0.00** | **$52.13** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| LOWES COMPANIES INC | CUSIP: 548661107 | Symbol: LOW | | | | | | |
| | 15 000 | 12/18/12 | 04/08/14 | $698 89 | $538 03 | $0 00 | $160 86 | $0 00 |
| MARSH & MCLENNAN COS INC | CUSIP: 571748102 | Symbol: MMC | | | | | | |
| | 15 000 | 07/27/12 | 06/20/14 | $781 93 | $500 72 | $0 00 | $281 21 | $0 00 |
| | 16.000 | 08/07/12 | 06/20/14 | $834.06 | $543.05 | $0.00 | $291.01 | $0.00 |
| **Security Subtotal** | **31.000** | | | **$1,615.99** | **$1,043.77** | **$0.00** | **$572.22** | **$0.00** |
| MASTERCARD INC CL A | CUSIP: 57636Q104 | Symbol: MA | | | | | | |
| | 20 000 | 09/16/11 | 06/20/14 | $1,470 17 | $698 84 | $0 00 | $771 33 | $0 00 |
| | 10 000 | 09/20/11 | 06/20/14 | $735 08 | $358 58 | $0 00 | $376 50 | $0 00 |
| | 10 000 | 09/23/11 | 06/20/14 | $735 08 | $336.72 | $0.00 | $398 36 | $0.00 |
| **Security Subtotal** | **40.000** | | | **$2,940.33** | **$1,394.14** | **$0.00** | **$1,546.19** | **$0.00** |
| PFIZER INC | CUSIP: 717081103 | Symbol: PFE | | | | | | |
| | 24 000 | 03/15/11 | 05/09/14 | $695 12 | $473 96 | $0 00 | $221 16 | $0 00 |
| | 5 000 | 03/15/11 | 05/12/14 | $145 30 | $98 74 | $0 00 | $46 56 | $0 00 |
| | 5 000 | 05/03/11 | 05/12/14 | $145 29 | $100 87 | $0 00 | $44 42 | $0 00 |
| | 23 000 | 05/03/11 | 06/17/14 | $678 33 | $464 02 | $0 00 | $214 31 | $0 00 |
| | 1 000 | 05/03/11 | 06/20/14 | $29 73 | $20 17 | $0 00 | $9 56 | $0 00 |
| | 49 000 | 10/01/12 | 06/20/14 | $1,456.73 | $1,226.90 | $0 00 | $229 83 | $0 00 |
| **Security Subtotal** | **107.000** | | | **$3,150.50** | **$2,384.66** | **$0.00** | **$765.84** | **$0.00** |

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**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| PRECISION CASTPARTS CORP | **CUSIP:** 740189105 | **Symbol:** PCP | | | | | | |
| | 2 000 | 05/21/12 | 06/20/14 | $530 81 | $342 66 | $0 00 | $188 15 | $0 00 |
| | 4.000 | 05/23/13 | 06/20/14 | $1,061.61 | $839.82 | $0.00 | $221.79 | $0.00 |
| **Security Subtotal** | **6.000** | | | **$1,592.42** | **$1,182.48** | **$0.00** | **$409.94** | **$0.00** |
| TWENTY-FIRST CENTURY FOX CL A | **CUSIP:** 90130A101 | **Symbol:** FOXA | | | | | | |
| | 24 000 | 08/12/11 | 04/07/14 | $760 91 | $344 58 | $0 00 | $416 33 | $0 00 |
| | 34 000 | 08/12/11 | 06/20/14 | $1,175 35 | $488 15 | $0 00 | $687 20 | $0 00 |
| | 27 000 | 08/24/11 | 06/20/14 | $933 37 | $397 87 | $0 00 | $535 50 | $0 00 |
| | 8 000 | 07/02/12 | 06/20/14 | $276 55 | $160 94 | $0 00 | $115 61 | $0 00 |
| | 23 000 | 08/21/12 | 06/20/14 | $795 10 | $476.40 | $0 00 | $318 70 | $0 00 |
| **Security Subtotal** | **116.000** | | | **$3,941.28** | **$1,867.94** | **$0.00** | **$2,073.34** | **$0.00** |
| UNITED TECHNOLOGIES CORP | **CUSIP:** 913017109 | **Symbol:** UTX | | | | | | |
| | 7 000 | 08/12/11 | 06/20/14 | $825 98 | $498 54 | $0 00 | $327 44 | $0 00 |
| | 10 000 | 02/01/12 | 06/20/14 | $1,179 97 | $800 77 | $0 00 | $379 20 | $0 00 |
| | 6 000 | 01/02/13 | 06/20/14 | $707 98 | $500 34 | $0 00 | $207 64 | $0 00 |
| | 3 000 | 01/09/13 | 06/20/14 | $353 99 | $253 97 | $0 00 | $100 02 | $0 00 |
| | 6 000 | 06/18/13 | 06/20/14 | $707 98 | $576 10 | $0 00 | $131 88 | $0 00 |
| **Security Subtotal** | **32.000** | | | **$3,775.90** | **$2,629.72** | **$0.00** | **$1,146.18** | **$0.00** |
| WALT DISNEY CO HLDG CO | **CUSIP:** 254687106 | **Symbol:** DIS | | | | | | |
| | 13 000 | 03/01/11 | 06/20/14 | $1,077 55 | $562 17 | $0 00 | $515 38 | $0 00 |
| | 11 000 | 01/25/12 | 06/20/14 | $911 77 | $433 13 | $0 00 | $478 64 | $0 00 |
| | 12 000 | 02/09/12 | 06/20/14 | $994 66 | $490 46 | $0 00 | $504 20 | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

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Account Number 272 027493 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f)) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| WALT DISNEY CO HLDG CO (Cont ) | CUSIP: 254687106 | Symbol: DIS | | | | | | |
| | 10.000 | 05/31/12 | 06/20/14 | $828.87 | $459.90 | $0.00 | $368.97 | $0.00 |
| **Security Subtotal** | **46.000** | | | **$3,812.85** | **$1,945.66** | **$0.00** | **$1,867.19** | **$0.00** |
| **Total Long Term Covered Securities** | | | | **$88,047.46** | **$55,827.97** | **$0.00** | **$32,219.49** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

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**Tax Year 2014**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AMERICAN TOWER REIT COM | CUSIP: 03027X100 | | Symbol (Box 1d): AMT | | | | | |
| | 3 000 | 05/24/06 | 06/20/14 | $266 87 | $91 25 | $0 00 | $175 62 | $0 00 |
| | 10 000 | 09/08/10 | 06/20/14 | $889.58 | $493.55 | $0 00 | $396 03 | $0.00 |
| **Security Subtotal** | **13.000** | | | **$1,156.45** | **$584.80** | **$0.00** | **$571.65** | **$0.00** |
| BOEING CO | CUSIP: 097023105 | | Symbol (Box 1d): BA | | | | | |
| | 1 000 | 03/10/10 | 01/30/14 | $128 99 | $69 39 | $0 00 | $59 60 | $0 00 |
| GOOGLE INC CL C | CUSIP: 38259P706 | | Symbol (Box 1d): GOOG | | | | | |
| | 1 000 | 08/10/11 | 04/29/14 | $527 06 | $278 53 | $0 00 | $248 53 | $0 00 |
| | 1 000 | 08/11/11 | 04/29/14 | $527 06 | $281 50 | $0 00 | $245 56 | $0 00 |
| | 1.000 | 08/30/11 | 04/29/14 | $527.07 | $269.15 | $0.00 | $257.92 | $0.00 |
| **Security Subtotal** | **3.000** | | | **$1,581.19** | **$829.18** | **$0.00** | **$752.01** | **$0.00** |
| LIBERTY GLOBAL PLC CL C NEW | CUSIP: G5480U120 | | Symbol (Box 1d): LBTYK | | | | | |
| | 2 000 | 02/06/13 | 04/07/14 | $74 81 | $64 78 | $0 00 | $10 03 | $0 00 |
| | 4 000 | 03/18/13 | 04/07/14 | $149 63 | $133 73 | $0 00 | $15 90 | $0 00 |
| | 9 000 | 03/26/13 | 04/07/14 | $336 67 | $313 02 | $0 00 | $23 65 | $0 00 |
| | 3 000 | 03/27/13 | 04/07/14 | $112 22 | $104 52 | $0 00 | $7 70 | $0 00 |
| **Security Subtotal** | **18.000** | | | **$673.33** | **$616.05** | **$0.00** | **$57.28** | **$0.00** |
| NEWS CORPORATION CL A | CUSIP: 65249B109 | | Symbol (Box 1d): NWSA | | | | | |
| | 14 000 | 08/12/11 | 02/05/14 | $219 48 | $107 68 | $0 00 | $111 80 | $0 00 |
| | 7 000 | 08/24/11 | 02/05/14 | $109 74 | $51 45 | $0 00 | $58 29 | $0 00 |
| | 2 000 | 07/02/12 | 02/05/14 | $31 35 | $20 81 | $0 00 | $10 54 | $0 00 |
| | 6 000 | 08/21/12 | 02/05/14 | $94 06 | $61 60 | $0 00 | $32 46 | $0.00 |
| **Security Subtotal** | **29.000** | | | **$454.63** | **$241.54** | **$0.00** | **$213.09** | **$0.00** |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| PRECISION CASTPARTS CORP | **CUSIP:** 740189105 | | **Symbol (Box 1d):** PCP | | | | | |
| | 4 000 | 12/21/09 | 06/20/14 | $1,061 61 | $453 47 | $0 00 | $608 14 | $0 00 |
| UNION PACIFIC CORP | **CUSIP:** 907818108 | | **Symbol (Box 1d):** UNP | | | | | |
| | 4 000 | 03/24/09 | 06/17/14 | $397 54 | $85 29 | $0 00 | $312 25 | $0 00 |
| | 8 000 | 03/24/09 | 06/20/14 | $809 26 | $170 59 | $0 00 | $638 67 | $0 00 |
| | 12.000 | 08/03/09 | 06/20/14 | $1,213.89 | $362.26 | $0.00 | $851.63 | $0.00 |
| **Security Subtotal** | **24.000** | | | **$2,420.69** | **$618.14** | **$0.00** | **$1,802.55** | **$0.00** |
| UNITED TECHNOLOGIES CORP | **CUSIP:** 913017109 | | **Symbol (Box 1d):** UTX | | | | | |
| | 5 000 | 10/14/10 | 06/20/14 | $589 99 | $368 64 | $0 00 | $221 35 | $0 00 |

**CONTINUED ON NEXT PAGE**

Morgan Stanley

**Corporate Tax Statement**
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities #** (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| WALT DISNEY CO HLDG CO | CUSIP: 254687106 | | Symbol (Box 1d): DIS | | | | | |
| | 6 000 | 03/09/10 | 06/20/14 | $497 33 | $199 66 | $0 00 | $297 67 | $0 00 |
| **Total Long Term Noncovered Securities** | | | | **$8,564.21** | **$3,980.87** | **$0.00** | **$4,583.34** | **$0.00** |
| **Total Long Term Covered and Noncovered Securities** | | | | **$96,611.67** | **$59,808.84** | **$0.00** | **$36,802.83** | **$0.00** |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | **$192,970.56** | **$149,666.02** | **$0.00** | **$43,304.54** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | |
|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$192,970.56** | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$145,685.15** | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations Please consult your tax advisor regarding any adjustments to your original cost basis

# Account Detail

**Fiduciary Services Active Assets Account**
**272-030848-129**

**THE TSUHA FOUNDATION**
**C/O WALLACE K TSUHA, TTEE**

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 7/15/08 | 35 000 | 13 197 | 461 91 | 485 10 | 23 19 LT | | |
| | 7/16/08 | 15 000 | 13 164 | 197 46 | 207 90 | 10 44 LT | | |
| | 2/9/12 | 115 000 | 8 010 | 921 13 | 1,593 90 | 672 77 LT | | |
| | **Total** | 320 000 | | 3,679 59 | **4,435.20** | 755 61 LT | 80 00 | 1 80 |

*Share Price $13 860, Next Dividend Payable 01/31/15*

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **97.2%** | **$146,122.13** | **$189,000.16** | **$40,992.44 LT**<br>**$1,885.48 ST** | **$5,607.00**<br>**$0.00** | **2.97%** |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | **100 0%** | **$146,122.13** | **$194,423.66** | **$40,992.44 LT**<br>**$1,885.48 ST** | **$5,611.00**<br>**$0.00** | **2 89%** |

TOTAL VALUE (includes accrued interest) **$194,423.66**

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/29 | 12/29 | Cash Transfer | TRANSFD FRM 272-115414 | | | | $8,670 91 |
| 12/29 | 1/2 | Bought | FREEPORT-MCMORAN INC | ACTED AS AGENT | 79 000 | 23 4940 | (1,856 03) |
| 12/30 | 1/5 | Sold | OCCIDENTAL PETROLEUM CORP DE | ACTED AS AGENT | 6 000 | 81 1808 | 487 06 |
| 12/31 | 12/31 | Interest Income | MORGAN STANLEY BANK N A (Period 12/30-12/31) | | | | 0 02 |
| 12/31 | 1/6 | Bought | DOW CHEMICAL CO | ACTED AS AGENT | 41 000 | 45 8160 | (1,878 46) |
| **NET CREDITS/(DEBITS)** | | | | | | | **$5,423.50** |

*Purchase and Sale transactions above may have received an average price execution Details regarding the actual prices are available upon request*

Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| OCCIDENTAL PETROLEUM CORP DE | CUSIP: 674599105 | | Symbol: OXY | | | | | |
| | 6 000 | 05/28/14 | 12/30/14 | $487 06 | $569 44 | $0 00 | ($82 38) | $0 00 |
| **Total Short Term Covered Securities** | | | | **$487.06** | **$569.44** | **$0.00** | **($82.38)** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | |
|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$487.06** | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$569.44** | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Account Detail

Fiduciary Services Active Assets Account
272-030851-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| TOTAL S A SPON ADR (TOT) | 3/5/14 | 3 000 | 64 623 | 193 87 | 153 60 | (40 27) ST | | |
| | 3/6/14 | 17 000 | 64 866 | 1,102 72 | 870 40 | (232 32) ST | | |
| | 3/14/14 | 3 000 | 64 240 | 192 72 | 153 60 | (39 12) ST | | |
| | 3/17/14 | 2 000 | 64 905 | 129.81 | 102 40 | (27 41) ST | | |
| | 4/3/14 | 5.000 | 66 172 | 330 86 | 256 00 | (74.86) ST | | |
| | 4/17/14 | 5 000 | 68 052 | 340 26 | 256 00 | (84 26) ST | | |
| | 10/2/14 | 5 000 | 62 942 | 314 71 | 256 00 | (58 71) ST | | |
| | **Total** | 40 000 | | 2,604 95 | **2,048.00** | (556 95) ST | 107 00 | 5 22 |
| *Share Price $51 200, Next Dividend Payable 01/07/15* | | | | | | | | |
| TOYOTA MOTOR CP ADR NEW (TM) | 10/21/10 | 5 000 | 71 580 | 357 90 | 627 40 | 269 50 LT | | |
| | 3/19/13 | 10 000 | 103 451 | 1,034 51 | 1,254 80 | 220 29 LT | | |
| | 1/28/14 | 3 000 | 117 633 | 352 90 | 376 44 | 23 54 ST | | |
| | 9/25/14 | 2 000 | 118.565 | 237 13 | 250.96 | 13 83 ST | | |
| | **Total** | 20 000 | | 1,982 44 | **2,509.60** | 489 79 LT<br>37 37 ST | 58 00 | 2 31 |
| *Share Price $125 480* | | | | | | | | |
| UBS GROUP AG SHS (UBS) | 1/31/14 | 32 000 | 19 794 | 633 42 | 545 60 | (87 82) ST | | |
| | 2/11/14 | 6.000 | 20 800 | 124 80 | 102 30 | (22 50) ST | | |
| | 2/12/14 | 25 000 | 20 803 | 520 08 | 426 25 | (93 83) ST | | |
| | 3/13/14 | 29 000 | 21 011 | 609 32 | 494.45 | (114 87) ST | | |
| | 4/30/14 | 17 000 | 20 880 | 354 96 | 289 85 | (65 11) ST | | |
| | 5/14/14 | 16 000 | 20.538 | 328 60 | 272.80 | (55 80) ST | | |
| | 7/10/14 | 19 000 | 18 227 | 346 32 | 323 95 | (22 37) ST | | |
| | **Total** | 144 000 | | 2,917 50 | **2,455.20** | (462 30) ST | — | — |
| *Share Price $17 050* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | **98.0%** | **$119,285.88** | **$122,368.08** | **$5,996.95 LT**<br>**$(2,887.34) ST** | **$2,022.00**<br>**$0.00** | **1.65%** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2014**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
1051 YOUNG PL
ANN ARBOR MI 48105

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number XX-XXX7854
Account Number 272 030851 129

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Long Term - Noncovered Securities** # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| INDIVIOR PLC SPONSORED ADR | CUSIP: 45579E105 | | Symbol (Box 1d): INVVY | | | | | |
| | 0 000 | 03/19/13 | 12/30/14 | $6 57 | $0 00 | $0 00 | $6 57 | $0 00 |
| **Total Long Term Noncovered Securities** | | | | **$6.57** | **$0.00** | **$0.00** | **$6.57** | **$0.00** |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis or adjustment amounts for noncovered securities

| | | | | | |
|---|---|---|---|---|---|
| **Total IRS Reportable Proceeds (Box 1d)** | **$6.57** | | | | |
| **Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)** | | **$0.00** | | | |
| **Total IRS Reportable Adjustments (Box 1g)** | | | **$0.00** | | |
| **Total Fed Tax Withheld (Box 4)** | | | | | **$0.00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any adjustments resulting from a wash sale or market discount will not be reported to the IRS The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations Please consult your tax advisor regarding any adjustments to your original cost basis

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**