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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation

THE KRONTHAL FAMILY FOUNDATION INC

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

C/O JEFFREY KRONTHAL, 150 HARTSHORN DR

City or town, state or province, country, and ZIP or foreign postal code

SHORT HILLS, NJ 07078

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c), line
16) \$ 10,508,351.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

46-1243824

B Telephone number (see instructions)

(212) 905-0888

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	623,755.			
	2	Check ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,413.	1,413.		ATCH 1
	4	Dividends and interest from securities	227,497.	57,690.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-555.			
	b	Gross sales price for all assets on line 6a	732,491.			
	7	Capital gain net income (from Part IV, line 2)		8,155.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH. 3	368,297.	368,297.		
	12	Total. Add lines 1 through 11	1,220,407.	435,555.		
	13	Compensation of officers, directors, trustees, etc.	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,500.	3,750.		3,750.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	64,984.	45,784.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publication				
	23	Other expenses (attach schedule) ATCH. 5	9,915.	9,848.		55.
	24	Total operating and administrative expenses. Add lines 13 through 23.	82,399.	59,382.		3,805.
	25	Contributions, gifts, grants paid	344,307.			344,307.
	26	Total expenses and disbursements. Add lines 24 and 25	426,706.	59,382.		348,112.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	793,701.			
	b	Net investment income (if negative, enter -0-)		376,173.		
	c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	2,331,803.	1,841,977.	1,841,977.	
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule) [6]	3,903,027.	3,200,949.	3,432,026.	
	b	Investments - corporate stock (attach schedule) ATCH 7	1,018,465.	2,406,592.	2,312,406.	
	c	Investments - corporate bonds (attach schedule)				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 8	2,581,906.	3,173,071.	2,921,942.	
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,835,201.	10,622,589.	10,508,351.	
17		Accounts payable and accrued expenses	7,313.	1,000.		
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	7,313.	1,000.		
Foundations that follow SFAS 117, check here ▶ ☐	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ ☒	check here and complete lines 27 through 31.				
		27	Capital stock, trust principal, or current funds			
		28	Paid-in or capital surplus, or land, bldg, and equipment fund			
		29	Retained earnings, accumulated income, endowment, or other funds	9,827,888.	10,621,589.	
		30	Total net assets or fund balances (see instructions)	9,827,888.	10,621,589.	
31	Total liabilities and net assets/fund balances (see instructions)	9,835,201.	10,622,589.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,827,888.
2	Enter amount from Part I, line 27a	2	793,701.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,621,589.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,621,589.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	8,155.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	390,940.	4,345,576.	0.089963
2012	61,928.	74,105.	0.835679
2011			
2010			
2009			
2 Total of line 1, column (d)			2 0.925642
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.462821
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 9,862,237.
5 Multiply line 4 by line 3			5 4,564,450.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,762.
7 Add lines 5 and 6			7 4,568,212.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 348,112.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	7,523.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	7,523.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,523.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 10,077.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 8,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	18,077.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	10,554.
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 10,554. Refunded ☐ ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE, NJ, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of JEFFREY KRONTHAL Telephone no 212-905-0888 Located at 150 HARTSHORN DR SHORT HILLS, NJ ZIP+4 07078			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,306,930.
b	Average of monthly cash balances	1b	2,096,634.
c	Fair market value of all other assets (see instructions).	1c	2,608,859.
d	Total (add lines 1a, b, and c)	1d	10,012,423.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,012,423.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	150,186.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,862,237.
6	Minimum investment return. Enter 5% of line 5	6	493,112.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	493,112.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	7,523.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	7,523.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	485,589.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4.	5	485,589.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	485,589.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	348,112.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	348,112.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	348,112.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				485,589.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20 <u>12</u> , 20 <u>11</u> , 20 <u>10</u>				
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012 61,108.				
e From 2013 183,591.				
f Total of lines 3a through e	244,699.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ <u>348,112.</u>				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				348,112.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	137,477.			137,477.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	107,222.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	107,222.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013 107,222.				
e Excess from 2014				

Form **990-PF** (2014)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED	NONE	PC	GENERAL SUPPORT	344,307.
Total			3a	344,307.
b Approved for future payment				
Total			3b	NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,413.	
4 Dividends and interest from securities			14	227,497.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income			15	367,055.	
8 Gain or (loss) from sales of assets other than inventory			18	-555.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a <u>THRU PARTNERSHIPS</u>			01	1,242.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)				596,652.	
13 Total. Add line 12, columns (b), (d), and (e)				13	596,652.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2014▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990**Name of the organization**

THE KRONTHAL FAMILY FOUNDATION INC

Employer identification number

46-1243824

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE KRONTHAL FAMILY FOUNDATION INC

Employer identification number
46-1243824**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF ELLIN KRONTHAL 150 HARTSHORN DR SHORT HILLS, NJ 07078	\$ 623,755.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE KRONTHAL FAMILY FOUNDATION INC

Employer identification number

46-1243824

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----

Name of organization THE KRONTHAL FAMILY FOUNDATION INC

Employer identification number

46-1243824

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

THE KRONTHAL FAMILY FOUNDATION, INC.
EIN 46-1243824
For the Year 2014

FORM 990-PF, PART XV
GRANTS & CONTRIBUTIONS PAID

RECIPIENT		IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NAME AND ADDRESS					
All Stars Project, Inc	543 West 42nd St, New York, NY 10036	None	PC	GENERAL SUPPORT	50,000
Bucknell University	112 Marts Hall, Lewisburg, PA 17837	None	PC	GENERAL SUPPORT	1,000
Carolyn Dorfman Dance Co	2780 Morris Ave 1-A, Union, NJ 07083	None	PC	GENERAL SUPPORT	10,000
Linville - Central Rescue Squad	Po Box 716, Newland, NC 28657	None	PC	GENERAL SUPPORT	50
City Meals on Wheels	355 Lexington Avenue, New York, NY 10017	None	PC	GENERAL SUPPORT	257
Cliffs Resident outreach	8 Plumley Summit Rd., Marilyn Neves, Landrum, SC 29356	None	PC	GENERAL SUPPORT	1,000
ECLC of New Jersey	100 Passaic Ave Ste 1, Chatham, NJ 07928	None	PC	GENERAL SUPPORT	500
Far Brook School	52 Great Hills Road, Short Hills, NJ 07078	None	PC	GENERAL SUPPORT	175,000
Georgetown University	37th and O Sts NW, Washington, DC 20007	None	PC	GENERAL SUPPORT	3,000
Homeless Solutions Inc	6 Dumont Pl Ste 3, Morristown, NJ 07960	None	PC	GENERAL SUPPORT	500
HomeTowne Television	70 Maple Street Summit, NJ 07901	None	PC	GENERAL SUPPORT	250
Homework Helpers	C/O Susan Steinhart 791 Park Ave, New York, NY 10021	None	PC	GENERAL SUPPORT	3,600
International Essential Tremor Foundation IETF	PO Box 14005, Lenexa, KS 66214	None	PC	GENERAL SUPPORT	500
Melanoma Research Foundation (MRF)	1411 K Street NW Suite 500, Washington, DC 20005	None	PC	GENERAL SUPPORT	2,500
Memorial Sloan Kettering - Amy Kronthal Fund for Liver Cancer Research	275 York Ave, New York, NY 10021	None	PC	GENERAL SUPPORT	250
Michael J Fox Foundation for Parkinson's Research	Grand Central Station, PO Box 4777, New York, NY 10163 4777	None	PC	GENERAL SUPPORT	200
Millburn Fireman's Welfare Association	459 Essex St, Millburn, NJ 07041	None	PC	GENERAL SUPPORT	50
Millburn Police Officer Benevolent Association Local 34	P O Box 321, Millburn, New Jersey 07041	None	PC	GENERAL SUPPORT	50
Millburn-Short Hills Volunteer First Aid Squad	PO Box 226, Millburn, NJ 07041	None	PC	GENERAL SUPPORT	100
MS Hope For A Cure	2250 Broadway Apt 1k, New York, NY 10024	None	PC	GENERAL SUPPORT	4,300
Mt Pleasant Animal Shelter	194 New Jersey 10, East Hanover, NJ 07936	None	PC	GENERAL SUPPORT	250
Muscular Dystrophy Association	3300 East Sunrise Drive, Tucson, AZ 85718	None	PC	GENERAL SUPPORT	50
New York University	105 East 17th Street - 4th Floor, New York, NY 10003	None	PC	GENERAL SUPPORT	500
Overlook Foundation	P O Box 220, 36 Upper Overlook Road, Summit, NJ 07902	None	PC	GENERAL SUPPORT	5,000
Penn State Dance Marathon	210 HUB University Park, PA 16802	None	PC	GENERAL SUPPORT	1,000
Jonathan Plutzik and Lesley Goldwasser Family Foundation Inc	1841 Broadway, Suite 800, New York, NY 10023	None	PC	GENERAL SUPPORT	500
Riverdale Country School	5250 Fieldston Rd, Bronx, NY 10471	None	PC	GENERAL SUPPORT	1,000
Rubin Museum of Art	150 W 17th Street, New York, NY 10011	None	PC	GENERAL SUPPORT	2,500
Rutgers University Foundation	7 College Ave, New Brunswick, NJ 08901	None	PC	GENERAL SUPPORT	10,000
Smile Train	41 Madison Ave 28th Floor, New York, NY 10010	None	PC	GENERAL SUPPORT	250
St Jude Children's Research Hospital	501 St Jude Place, Memphis, TN 38105	None	PC	GENERAL SUPPORT	250
The Tommy Fund for Childhood Cancer	20 York St, New Haven, CT 06510	None	PC	GENERAL SUPPORT	500
The World Union for Progressive Judaism (WUPJ)	633 Third Ave 7th Fl, New York, NY 10017	None	PC	GENERAL SUPPORT	2,500
Tomorrows Hope's Foundation	Po Box 9023, Rockville Ct, NY 11571	None	PC	GENERAL SUPPORT	10,000
Township Beautification League	P O Box 46, Millburn, NJ 07041	None	PC	GENERAL SUPPORT	50
University of Pennsylvania	3451 Walnut Street, 433 Franklin Building, Philadelphia, PA	None	PC	GENERAL SUPPORT	1,000
Washington University in St Louis	700 Rosedale Ave Box 1000, St Louis, MO 63112	None	PC	GENERAL SUPPORT	50,000
Write on Sports	21 Clonavor Rd, West Orange, NJ 07052	None	PC	GENERAL SUPPORT	1,000
Active Network	10182 Telesis Court, Suite 300, San Diego, CA 92121	None	PC	GENERAL SUPPORT	1,000
American Heart Association	7272 Greenville Avenue, Dallas, TX 75231	None	PC	GENERAL SUPPORT	200
Carolyn Dorfman Dance Co	2780 Morris Ave, 1-A, Union, NJ 07083	None	PC	GENERAL SUPPORT	500
Leukemia & Lymphoma Society National Capital Area Chapter	, 5845 Richmond Highway, Suite 630, Alexandria, VA 2230	None	PC	GENERAL SUPPORT	250
MyTributeGift Foundation	8505 Dunwoody Place, BLDG 12, Atlanta, Georgia 3035	None	PC	GENERAL SUPPORT	500
Our House Inc	76 Floral Ave, Murray Hill, NJ 07974	None	PC	GENERAL SUPPORT	1,000
Saint Michaels Foundation Inc	111 Central Ave, Newark, NJ 07102	None	PC	GENERAL SUPPORT	100
Shatterproof	101 Merritt 7 Corporate Park, 1ST FL Norwalk, CT 06851	None	PC	GENERAL SUPPORT	500
Southern Poverty Law Center	400 Washington Ave, Montgomery, AL 36104	None	PC	GENERAL SUPPORT	250
Susan G Komen for the Cure	5005 Lbj Freeway, Dallas, TX 75244	None	PC	GENERAL SUPPORT	500
Susan G Komen Breast Cancer Foundation	2 Princess Rd Ste D, Lawrenceville, NJ 08641	None	PC	GENERAL SUPPORT	50
TOTAL					344,307

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					2,665.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					649.	
696,953.		SCHEDULE ATTACHED - UBS A/C 63196 PROPERTY TYPE: SECURITIES 693,367.				D	VARIOUS	VARIOUS
							3,586.	
32,224.		SCHEDULE ATTACHED - FIDELITY A/C 656-180 PROPERTY TYPE: SECURITIES 30,969.				P	VARIOUS	VARIOUS
							1,255.	
TOTAL GAIN(LOSS)							<u>8,155.</u>	

THE KRONTHAL FAMILY FOUNDATION, INC									
EIN 46-1243824									
FOR THE YEAR 2014									
FORM 990-PF PART IV									
CAPITAL GAIN AND LOSS SCHEDULE FOR TAX ON INVESTMENT INCOME									
# Shares	Description of property	Maturity Date	Date acquired	Date sold	Sales price	Book Cost or other basis	Tax Cost or other basis	Book Gain or (loss)	Tax Gain or (loss)
75 000	Hempstead Town NY Ser B 4 5%	08/15/30	INHERITED	4/28/2014	78,153 75	77,930 25	77,930 25	223 50	223 50
125 000	Hempstead Town NY Ser B 4 5%	08/15/31	INHERITED	4/28/2014	130,264 75	129,472 50	129,472 50	792 25	792 25
100 000	New York St Thruway Auth Ser H 5%	01/01/32	INHERITED	4/28/2014	108,534 75	105,964 00	105,964 00	2,570 75	2,570 75
100 000	NYC HSG Dev 4 95%	11/01/33	INHERITED	11/3/2014	100,000 00	100,852 00	100,000 00	-852 00	0 00
15 000	Dormitory Auth Pref SR A 4 5%	03/15/34	INHERITED	3/17/2014	15,000 00	15,426 60	15,000 00	-426 60	0 00
15 000	Dormitory Auth SR A RV 4 5%	03/15/34	INHERITED	4/28/2014	15,000 00	15,000 00	15,000 00	0 00	0 00
250 000	NYS Envir FRA 5%	06/15/34	INHERITED	6/18/2014	250,000 00	257,432 50	250,000 00	-7,432 50	0 00
	Total UBS A/C 63196				696,953 25	702,077 85	693,366 75	-5,124 60	3,586 50
226 000	DIVERSIFIED REAL ASSET INCOME		8/7/2014	11/14/2014	4,490 51	4 045 22	4 045 22	445 29	445 29
	DIVERSIFIED REAL ASSET INCOME CASH IN LIEU		8/7/2014	11/14/2014	23 10			23 10	23 10
120 000	BLACKROCK ENHANCED		1/3/2014	12/9/2014	1,765 18	1 677 96	1 677 96	87 22	87 22
994 000	EATON VANCE LTD DURATION INCOME FD (EVV)		12/27/2013	6/18/2014	15,469 94	15,044 63	15,044 63	425 31	425 31
674 000	EATON VANCE LTD DURATION INCOME FD (EVV)		12/27/2013	5/15/2014	10,475 70	10,201 29	10,201 29	274 41	274 41
	Total Fidelity A/C 656-180753				32,224 43	30,969 10	30,969 10	1,255 33	1,255 33

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS NOMINEE	169.	169.
ENCORE HOUSING OPPORTUNITY FUND	1,244.	1,244.
TOTAL	<u>1,413.</u>	<u>1,413.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS NOMINEE	30,665.	30,665.
UBS TAX-EXEMPT INTEREST	167,643.	
FIDELITY NOMINEE	27,025.	27,025.
FIDELITY NOMINEE - NONDIVIDEND DISTRIBUT	2,164.	
TOTAL	<u>227,497.</u>	<u>57,690.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OIL & GAS ROYALTY APACHE CORP	283,422.	283,422.
OIL & GAS ROYALTY URBAN	83,633.	83,633.
ENCORE HOUSING OPPORTUNITY FUND II LP	1,242.	1,242.
TOTALS	<u>368,297.</u>	<u>368,297.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
EXCISE TAX	19,200.	
ROYALTY: SEVERANCE TAX	45,784.	45,784.
TOTALS	<u>64,984.</u>	<u>45,784.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FILING FEES	55.		55.
INVESTMENT ADVISORY FEE	3,515.	3,515.	
ENCORE HOUSING OPP FD II LP:			
PORTFOLIO DEDUCTIONS	6,333.	6,333.	
NONDEDUCTIBLE EXPENSES	12.		
TOTALS	9,915.	9,848.	55.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OR BRD HGR EDU SR 5% 05/01/24	114,648.	114,648.	118,614.
PR PUB FIN 6% 08/01/26	632,890.	632,890.	644,525.
HOUSTON TEX WTR 5.5% 12/01/29	1,978,080.	1,978,080.	2,177,776.
HEMPSTEAD NY SER B4.5%08/15/30	77,930.		
HEMPSTEAD NY SER B4.5%08/15/31	129,473.		
NYS THRUWAY AUTH H 5% 01/01/32	105,964.		
NYC HSG DEV 4.95% 11/01/33	100,852.		
DORM AUTH SR A 4.5% 03/15/34	15,426.		
DORM AUTH SR A RV 4.5%03/15/34	35,146.	20,146.	20,032.
NYS ENVIR FRA 5% 06/15/34	257,433.		
DORM AUTH SER A 5.25% 07/01/34	258,749.	258,749.	267,879.
NYS DORM AUTH REVS 4.5% 070135	196,436.	196,436.	203,200.
STATE OBLIGATIONS TOTAL	<u>3,903,027.</u>	<u>3,200,949.</u>	<u>3,432,026.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BLACKROCK ENHANCED EQUITY DIV		261,034.	265,443.
BLACKROCK GLOBAL FLOATING RATE		103,868.	102,800.
EATON VANCE LTD DURATION INCOM		317,739.	294,120.
EATON VANCE SENIOR FLOATING		110,048.	109,520.
MAINSTAY MARKETFIELD FD CL A		700,087.	624,701.
NUVEEN FLOATING RATE INCOME FD	700,087.	97,478.	98,910.
WELLS FARGO ADVANTAGE INCOME		105,127.	106,680.
WESTERN ASSET HIGH INC FD II		88,664.	91,410.
WESTERN ASSET MANAGED HIGH INC		103,686.	102,200.
ALLIANCEBERNSTEIN INCOME FD	30,274.	30,274.	31,695.
DIVERSIFIED REAL ASSET INCOME		22,440.	21,991.
APOLLO TACTICAL INCOME FD	20,837.	20,837.	19,024.
BLACKROCK LTD DURATION INCOME		5,148.	5,250.
BLACKROCK CREDIT ALL INC TR	25,381.	51,023.	50,866.
BLACKROCK ENHANCED		8,418.	8,585.
BLACKSTONE GSO LONG		10,288.	9,380.
BLACKROCK MULTI SECTOR INCOME	5,179.	15,065.	14,741.
EATON VANCE LTD DURATION INC	25,246.	5,692.	5,620.
FIRST TR INTER DURATION PFD	15,124.	15,124.	16,465.
GABELLI GLOBAL UTILITY & INCOM	15,072.	61,545.	61,276.
KAYNE ANDERSON MLP		24,986.	26,068.
MFS CHARTER INCOME TRUST	20,099.	25,186.	25,548.
MFS INTER INCOME TR SH BEN	30,549.	30,549.	28,116.
MFS MULTIMARKET INCOME TR	30,330.	30,330.	30,901.
MFS GOVT MKTS INCOME TR	6,881.	6,881.	6,861.
PUTNAM PREMIER INC TR SH BEN	30,288.	30,288.	29,169.
PUTNAM MASTER INTER INCOME TR	12,722.	12,722.	12,083.
TCW STRATEGIC INCOME FD INC		5,243.	5,196.
VANGUARD BD INDEX FD INC TOTAL	24,947.	81,373.	82,699.

ATTACHMENT 7 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WESTERN ASSET GLOBAL CORP	25,449.	25,449.	25,088.
TOTALS	<u>1,018,465.</u>	<u>2,406,592.</u>	<u>2,312,406.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CAYMAN NEW VERNON INDIA DI	60,000.	63,397.	77,876.
ENCORE HOUSING OPPORTUNITY	93,468.	181,236.	178,586.
KLS DIVERSIFIED FUND LTD	1,000,000.	1,000,000.	1,090,027.
NEW VERNON GLOBAL OPP FD		500,000.	495,167.
LAND, OIL & GAS MINERALS	1,428,438.	1,428,438.	1,080,286.
TOTALS	<u>2,581,906.</u>	<u>3,173,071.</u>	<u>2,921,942.</u>

THE KRONTHAL FAMILY FOUNDATION INC

46-1243824

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JEFFREY KRONTHAL 150 HARTSHORN DR SHORT HILLS, NJ 07078	PRESIDENT/TREASURER 1.00	0	0	0
LEAH KRONTHAL 150 HARTSHORN DR SHORT HILLS, NJ 07078	VICE PRESIDENT/ SECRETARY 1.00	0	0	0
MELISSA KRONTHAL 150 HARTSHORN DR SHORT HILLS, NJ 07078	DIRECTOR .25	0	0	0
LAUREN KRONTHAL 460 L STREET NW, APT. 731 WASHINGTON, DC 20001	DIRECTOR .25	0	0	0
DANIEL KRONTHAL 150 HARTSHORN DR SHORT HILLS, NJ 10017	DIRECTOR .25	0	0	0
GRAND TOTALS		0	0	0