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DLN: 93491258002215

OMB No 1545-0052

Open to Public Inspection

Department of the Treasury

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

Internal Revenue Service ► Information about Form 990-PF and its instructions is at <u>www.irs.gov/form990pf</u>. , and ending 12-31-2014 For calendar year 2014, or tax year beginning 01-01-2014 Name of foundation A Employer identification number THE TOPFIELD FOUNDATION INC 46-1048017

| | | d street (or P O box number if mail is not delivered to street address | B Telephone number (see instructions) | | | | | | |
|-------------------------|---|--|---|------------|--|---|--------------------------------------|--|--|
| 71 | 54 SAN | BORN ROAD | | | (914) 286-6370 | | | | |
| | | n, state or province, country, and ZIP or foreign postal code H 03307 | I | | C If exemption | application is pendin | g, check here 🕨 | | |
| H Ch S I Fa of | neck to ection or mark year (; | Initial return Final return Address change Address change Address change Name change Ap47(a)(1) nonexempt charitable trust Accounting method from Part II, col. (c), Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not | vate foundation table private foundation Cash Acci | on rual | Foreign of test, check If private for under section If the foundary | rganizations, check h rganizations meeting ick here and attach co undation status was i n 507(b)(1)(A), check ation is in a 60-mont n 507(b)(1)(B), check (c) Adjusted net | the 85% mputation terminated ck here | | |
| | | necessarily equal the amounts in column (a) (see instructions)) | books | | ıncome | ıncome | purposes (cash basıs only) | | |
| | 2 | Contributions, gifts, grants, etc , received (attach schedule) | , , , , , , , , , , , , , , , , , , , | | | | | | |
| Revenue | 3 4 5a b | Interest on savings and temporary cash investments Dividends and interest from securities Gross rents | 4 | | 4 | | | | |
| | 6a b | Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) | | | 0 | | | | |
| | 7 8 9 10a | Net short-term capital gain | | | 0 | | | | |
| | ь с 11 | allowances Less Cost of goods sold Gross profit or (loss) (attach schedule) | | | | | | | |
| | 12 | Total. Add lines 1 through 11 | 1,129,715 | | 4 | | | | |
| | 13 | Compensation of officers, directors, trustees, etc | 0 | | 0 | | (| | |
| Ş. | 14 15 | Other employee salaries and wages | | | | | | | |
| пSе | 16a | Legal fees (attach schedule) | <u> </u> | | 0 | | | | |
| Expenses | b | Accounting fees (attach schedule) | 11,425 | | 0 | | (| | |
| ĭVe | 17 | Interest | | | | | | | |
| Administrative | 18 19 | Taxes (attach schedule) (see instructions) Depreciation (attach schedule) and depletion | | | | | | | |
| Ē | 20 | Occupancy | . | | | | | | |
| Ad | 21 | Travel, conferences, and meetings | | | | | | | |
| and | 22 | Printing and publications | | | | | | | |
| ල පැ | 23 | Other expenses (attach schedule) | 1,394 | | 0 | | (| | |
| Operating | 24 | Total operating and administrative expenses. | | | | | | | |
| era | | Add lines 13 through 23 | 39,007 | | 0 | | | | |
| ă | 25 | Contributions, gifts, grants paid | 1,270,640 | | | | 1,270,640 | | |
| _ | 26 | Total expenses and disbursements. Add lines 24 and 25 | 1,309,647 | | 0 | | 1,270,640 | | |
| | 27 | Subtract line 26 from line 12 | | | | | | | |
| | a | Excess of revenue over expenses and disbursements | -179,932 | | | | | | |
| | ь | Net investment income (If negative, enter -0-) | | | 4 | | | | |
| | c | Adjusted net income (If negative, enter -0-) | | | | | | | |
| | | work Deduction Act Notice are instructions | • | | N - 11200V | <u> </u> | 000 DE (2014 | | |

| Pa | rt II | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | (a) Book Value | (b) Book Value | (c) Fair Market Value |
|--------------|----------|---|--------------------------|------------------|-----------------------|
| | 1 | Cash—non-interest-bearing | 383,193 | 203,261 | |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable | | | |
| | | Less allowance for doubtful accounts | | | |
| | 4 | Pledges receivable 🟲 | | | |
| | - | Less allowance for doubtful accounts ► | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | 0 | disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) | | | |
| | ′ | Less allowance for doubtful accounts | | | |
| | _ | Inventories for sale or use | | | |
| sets | | | | | |
| υň | 9 | Prepaid expenses and deferred charges | | | |
| ∢ | | Investments—U S and state government obligations (attach schedule) | | | |
| | | Investments—corporate stock (attach schedule) | | | |
| | _ | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis - | | | |
| | | Less accumulated depreciation (attach schedule) | | | |
| | | Investments—mortgage loans | | | |
| | | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis | | | |
| | | Less accumulated depreciation (attach schedule) | | | |
| | 15 | Other assets (describe) | | | |
| | 16 | Total assets (to be completed by all filers—see the | | | |
| | | Instructions Also, see page 1, item I) | 383,193 | 203,261 | 203,261 |
| | 17 | Accounts payable and accrued expenses | | | |
| S | 18 | Grants payable | | | |
| ties | 19 | Deferred revenue | | | |
| abiliti | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| La | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | |
| s | | Foundations that follow SFAS 117, check here 🕨 🦵 | | | |
| Fund Balance | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| ılar | 24 | Unrestricted | | | |
| 8 | 25 | Temporarily restricted | | | |
| nd | 26 | Permanently restricted | | | |
| Ξ | | Foundations that do not follow SFAS 117, check here 🕨 🔽 | | | |
| ō | | and complete lines 27 through 31. | | | |
| Assets | 27 | Capital stock, trust principal, or current funds | 0 | 0 | |
| SS | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 383,193 | 203,261 | |
| Net | 30 | Total net assets or fund balances (see instructions) | 383,193 | 203,261 | |
| | 31 | Total liabilities and net assets/fund balances (see instructions) | 383,193 | 203,261 | |
| Pa | rt II | Analysis of Changes in Net Assets or Fund Balances | | | _ |
| 1 | | Total net assets or fund balances at beginning of year—Part II, column | (a), line 30 (must ag | ree | |
| | | with end-of-year figure reported on prior year's return) | | 1 | 383,193 |
| 2 | | Enter amount from Part I, line 27a | | 2 | -179,932 |
| 3 | | Other increases not included in line 2 (itemize) | | _ 3 | 0 |
| 4 | | Add lines 1, 2, and 3 | | 4 | 203,261 |
| 5 | | Decreases not included in line 2 (itemize) ▶ | | _ 5 | 0 |
| 6 | | Total net assets or fund balances at end of year (line 4 minus line 5)—F | Part II, column (b), lii | ne 30 . 6 | 203,261 |

| | 0-PF (2014) | | | | | | | Page 3 |
|-----------------------|-------------------------------|----------------|--|--------------------------|---------------------------------------|----------------|-----------------------------|----------------------------------|
| Part 1 | (a) List and describe | the kınd(s | ses for Tax on Invest of property sold (e g , restance) ommon stock, 200 shs M | al estate, | (b) How acquire P—Purchase D—Donation | (c) Da | ate acquired , day, yr) | (d) Date sold (mo , day, yr) |
| 1a | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | + | | | |
| | | | (h) Gain | or (loss) | | | | |
| (e) Gross sales price | | | | 1 1 | | | |) minus (g) |
| | | | | | | | | |
| b | | | | | | | | |
| С | | | | | | | | |
| d | | | | | | | | |
| e | | | | | | | | |
| Cor | nplete only for assets : | showing ga | ın ın column (h) and owne | d by the foundation | on on 12/31/69 | (1 |) Gains (Col | (h) gaın mınus |
| (i) | F M V as of 12/31/69 | | (j) Adjusted basis | | cess of col (ı) | col | | less than -0-) or |
| (1) | | | as of 12/31/69 | over | col (j), ıf any | _ | Losses (fr | om col (h)) |
| а | | | | | | | | |
| b | | | | | | | | |
| С | | | | | | | | |
| d | | | | | | | | |
| e | | | | | | | | |
| 2 | Capital gain net inco | | capital loss) { | |)- ın Part İ, lıne 7 | 2 | | |
| 3 | | | | | | , | | |
| | | | 8, column (c) (see instru · · · · · · | | enter - 0 - • • | } 3 | | |
| Part \ | Qualification U | Jnder Se | ction 4940(e) for R | educed Tax o | n Net Investm | ent In | come | |
| (For opti | onal use by domestic p | orivate four | ndations subject to the se | ction 4940(a) tax | x on net investmen | t income |) | |
| Ifsectio | n 4940(d)(2) applies, | leave this | part blank | | | | | |
| | | | 942 tax on the distributander section 4940(e) | | | eriod? | | ┌ Yes ┌ No |
| 1 Ent | er the appropriate amo | unt in each | column for each year, se | ee instructions be | fore making any en | tries | | |
| | (a) | | · · · | | | | (d) | |
| | period years Calendar | Adjuste: | (b) d qualifying distributions | (c Net value of nonch | | , | Distribution | |
| year (o | r tax year beginning in) 2013 | | 1,260,934 | | 468,282 | (| col (b) divided | 2 692681 |
| | 2012 | | 1,200,554 | | 0 | | | 0 000000 |
| | 2011 | | | | | | | 0 00000 |
| | 2010 | | | | | | | |
| | 2009 | | | | | | | |
| 2 | Total of line 1, colum | ın (d) | | | | 2 | | 2 692681 |
| 3 | | | e 5-year base period—div ion has been in existence | | | 3 | | 1 346341 |
| 4 | | | able-use assets for 2014 | | | 4 | | 293,101 |
| 5 | Multiply line 4 by line | e 3 | | | | 5 | | 394,614 |
| 6 | | | ome (1% of Part I, line 27 | | | 6 | | C |
| 7 | | | | | | 7 | | 394,614 |
| 8 | | | om Part XII, line 4 | | | 8 | | 1,270,640 |
| | · · · · · | | an line 7, check the box i | | L | | ing a 1% tox | |

| Form | 990-PF (2014) | | Р | age 4 |
|------|---|--|-------|--------------|
| Par | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the installand | ructio | ns) | |
| 1a | Exempt operating foundations described in section 4940(d)(2), check here 🟲 🦵 and enter "N/A" | | | |
| | on line 1 | | | |
| | Date of ruling or determination letter(attach copy of letter if necessary-see instructions) | | | |
| ь | Domestic foundations that meet the section 4940(e) requirements in Part V, check | | | 0 |
| | here ► 🗸 and enter 1% of Part I, line 27b | | | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of | | | |
| | Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 0 |
| 3 | Add lines 1 and 2 | | | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others | | | |
| - | enter -0-) 4 | | | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0 | | | 0 |
| 6 | Credits/Payments | | | |
| а | 2014 estimated tax payments and 2013 overpayment credited to 2014 6a | | | |
| b | Exempt foreign organizations—tax withheld at source 6b | | | |
| C | Tax paid with application for extension of time to file (Form 8868) 6c | | | |
| d | Backup withholding erroneously withheld | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 0 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here T if Form 2220 is attached. | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 | | | 0 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid • 10 | | | |
| 11 | Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded 11 | | | |
| Par | t VII-A Statements Regarding Activities | | | |
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did | | Yes | No |
| | it participate or intervene in any political campaign? | . 1a | | No |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions | | | |
| | for definition)? | 1b | | No |
| | If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials | | | |
| | published or distributed by the foundation in connection with the activities. | ١. | | |
| С. | Did the foundation file Form 1120-POL for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year | | | |
| | (1) On the foundation \$\bigs\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed | | | |
| _ | on foundation managers 🕨 \$0 | _ | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | No |
| _ | If "Yes," attach a detailed description of the activities. | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles | _ | | N1 - |
| 4- | of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | . 5 | | No |
| _ | If "Yes," attach the statement required by General Instruction T. | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or | \ | | 1 |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions | | | |
| | that conflict with the state law remain in the governing instrument? | 6 |) | No |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), | ٺ | | |
| • | and Part XV. | . , | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | |
| Ju | DE | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney | | | |
| - | General (or designate) of each state as required by General Instruction G? If "No," attach explanation. | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) | | | |
| | or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? | | | |
| | If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names | | | |
| | and addresses. 📆 | . 10 | Yes | _ |

| Pai | rt VII-A Statements Regarding Activities (continued) | | | - 3 |
|-----|---|---------------|------|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the | | | |
| | meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had | | | |
| | advisory privileges? If "Yes," attach statement (see instructions) | . 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |
| | Website address ►N/A | | | |
| | | | | |
| 14 | The books are in care of ►JESSICA GALLIGAN GOLDSMITH ESQ Telephone no ►(914 | <u>) 286-</u> | 6370 | |
| | Located at ►1 NORTH BROADWAY WHITE PLAINS NY ZIP+4 ►10601 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here | | 1 | ▶┌ |
| | and enter the amount of tax-exempt interest received or accrued during the year | | | |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over | | Yes | No |
| | a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial | | | 1.0 |
| | Accounts (FBAR) If "Yes", enter the name of the foreign country | | | |
| Pai | rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | • |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) | | | |
| | a disqualified person? | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes V No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes V No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available | | | |
| | for the benefit or use of a disqualified person)? 🔽 Yes 🔽 No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" | | | |
| | ıf the foundatıon agreed to make a grant to or to employ the official for a period | | | |
| | after termination of government service, if terminating within 90 days) $	extstyle 	ext$ | | | |
| b | If any answer is "Yes" to $1a(1)-(6)$, did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | O rganizations relying on a current notice regarding disaster assistance check here | | | |
| С | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, | | | |
| | that were not corrected before the first day of the tax year beginning in 2014? | 1 c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | | | |
| | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| а | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d | | | |
| | and 6e, Part XIII) for tax year(s) beginning before 2014? | | | |
| | If "Yes," list the years ▶ 20, 20, 20, 20 | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | | |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) | | | |
| | to all years listed, answer "No" and attach statement—see instructions) | 2b | | |
| С | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | | |
| | ► 20, 20, 20 | | | |
| За | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at | | | |
| | any time during the year? | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation | | | |
| | or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved | | | |
| | by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) | | | |
| | the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine | | | |
| | ıf the foundation had excess business holdings in 2014.) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | | | |
| | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | | No |

| Form 990-PF (2014) | | | | | | | _ | | Page 6 |
|---|-------------|------------------------------|--------|------------------------|--------|---------------------------------|--|----------|--------------------|
| Part VII-B Statements Rega | | _ | | ich Form 4720 | May | Be Required (cont | inue | d) | |
| 5a During the year did the foundatio | | | | | | | | | |
| (1) Carry on propaganda, or othe | rwise | attempt to influence | e leg | ıslatıon (section 494 | 45 (e |)) [?] | No | | |
| (2) Influence the outcome of any | spec | ific public election (| see | section 4955), or to | car | ry | | | |
| on, directly or indirectly, any | vote | r registration drive?. | | | | \ Yes \ | No | | |
| (3) Provide a grant to an individu | al for | travel, study, or oth | ers | ımılar purposes? | | ┌ Yes ┌ | √ No | | |
| (4) Provide a grant to an organiza | atıon | other than a charital | ble, | etc , organization de: | scrib | ped | | | |
| ın section 4945(d)(4)(A)? (s | ee in: | structions) | | | | \ Yes \ | √ No | | |
| (5) Provide for any purpose other | r thar | religious, charitable | e, sc | ientific, literary, or | | | | | |
| educational purposes, or for t | he pr | evention of cruelty t | o ch | ıldren or anımals?. | | Yes 🖟 | √ No | | |
| b If any answer is "Yes" to 5a(1)-(| (5), d | ıd any of the transac | tion | s fail to qualify unde | r the | exceptions described in | | | |
| Regulations section 53 4945 or | ın a c | urrent notice regard | ıng d | disaster assistance (| see | instructions)? | | 5b | |
| Organizations relying on a currer | nt not | ice regarding disast | eras | ssistance check here | · . | ▶↑ | _ | | |
| c If the answer is "Yes" to question | | | | | | | | | |
| tax because it maintained expend | - | | | • | | | - No | | |
| If "Yes," attach the statement requ | | | _ | | | , , | | | |
| 6a Did the foundation, during the year | | | | | prer | miums on | | | |
| a personal benefit contract? | | | | | | | - No | | |
| b Did the foundation, during the year | | | | | | · · | | 6b | No |
| If "Yes" to 6b, file Form 8870. | ai, pu | y premianis, ancerry | 011 | manectry, on a perso | ilui i | benefit contract. | • | | - 110 |
| | was t | ha faundation a narti | , to . | nrobibited tay chel | tor t | ransaction? TYes F | - No | | |
| | | | | | | · | | 7b | |
| | | | | | | | | | <u> </u> |
| Part VIII and Contractors | t Oi | licers, Directors | , 11 | rustees, rounda | uoi | n Managers, Highly | Paiu | Emp | ioyees, |
| 1 List all officers, directors, trustee | s, fo | undation managers a | nd t | heir compensation (s | see i | nstructions). | | | |
| | 1 | Title, and average | | c) Compensation | | (d) Contributions to | (-) [| | |
| (a) Name and address | | hours per week | (| If not paid, enter | | mployee benefit plans | on other allowances | | |
| | + | evoted to position | | -0-) | and | deferred compensation | | | |
| F COLIN CABOT | DIR 0 00 | ECTOR | | 0 | | 0 | | | 0 |
| 7097 SANBORN ROAD LOUDON,NH 03307 | 10 00 | J | | | | | | | |
| ANNE P CABOT | DIB | ECTOR | | 0 | | 0 | | | 0 |
| 7154 SANBORN ROAD | 10.00 | | | U | | 0 | | | U |
| LOUDON, NH 03307 | | | | | | | | | |
| 2 Compensation of five highest-paid | d emi | olovees (other than t | thos | e included on line 1— | see | instructions). If none, e | nter "I | NONE." | |
| | | | | | | (d) Contributions to | | | |
| (a) Name and address of each emplo | yee | (b) Title, and avera | | (c) Compensatio | n | employee benefit | | | e account, |
| paid more than \$50,000 | | devoted to position | | (5, 55 | | plans and deferred compensation | ot | her allo | owances |
| NONE | | | | | | Compensation | | | |
| NONE | | 1 | | | | | | | |
| | | | | | | | | | |
| | | - | | | | | | | |
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| | | 1 | | | | | | | |
| Total number of other employees paid | | ± F O O O O | | I. | | <u> </u> | | | |

| Part VIII Information About Officers, Directors, Transcription and Contractors (continued) | ustees, Foundation Managers, Highly | Paid Employees, |
|--|---|------------------|
| 3 Five highest-paid independent contractors for professional serv | ices (see instructions). If none, enter "NONE". | |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional servi | ces | 0 |
| Part IX-A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct chantable activities during the tax year. Inclu | do relevant statistical information such as the number of | |
| organizations and other beneficiaries served, conferences convened, research paper | | Expenses |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| Part IX-B Summary of Program-Related Investmen | | |
| Describe the two largest program-related investments made by the foundation d | luring the tax year on lines 1 and 2 | A mount |
| 1 | | |
| | | |
| 2 | | |
| | | |
| | | |
| All other program-related investments See instructions | | |
| 3 | | |
| | | |
| | | |
| Total. Add lines 1 through 3 | | 0 |

Page **8**

| Pa | rt X Minimum Investment Return (All domestic foundations must complete this part. For see instructions.) | reign | foundations, |
|----------|---|----------|--------------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , | | |
| | purposes | | |
| а | Average monthly fair market value of securities | 1a | 0 |
| b | Average of monthly cash balances | 1b | 297,564 |
| C | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 297,564 |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) | <u>.</u> | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 297,564 |
| 4 | Cash deemed held for charitable activities $$ Enter 1 $$ 1/2 $$ 0 of line 3 (for greater amount, see | | |
| | ınstructions) | 4 | 4,463 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 293,101 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 14,655 |
| Pai | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private oper | atıng | foundations and |
| | certain foreign organizations check here 🕨 📗 and do not complete this part.) | | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 14,655 |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | - | |
| b | Income tax for 2014 (This does not include the tax from Part VI) 2b | ┧ ! | |
| C | Add lines 2a and 2b | 2c | 0 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 14,655 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0 |
| 5 | Add lines 3 and 4 | 5 | 14,655 |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0 |
| <u>7</u> | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 14,655 |
| Par | Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| а | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 1,270,640 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , | | |
| | purposes | 2 | |
| 3 | A mounts set aside for specific charitable projects that satisfy the | | |
| а | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,270,640 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | |
| | ıncome Enter 1% of Part I, line 27b (see instructions) | 5 | o |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,270,640 |
| | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whet the section 4940(e) reduction of tax in those years | her the | foundation qualifies for |

| | olidistributed fricollie (see ilistro | ictions | | | |
|----|---|----------------------|-----------------------------------|--------------------|--------------------|
| | | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
| 1 | Distributable amount for 2014 from Part XI, line 7 | Сограз | rears prior to 2015 | 2013 | 14,655 |
| | Undistributed income, if any, as of the end of 2014 | | | | 1.,000 |
| | Enter amount for 2013 only | | | 0 | |
| | · · · · · · · · · · · · · · · · · · · | | 0 | - | |
| 3 | Total for prior years 20, 20, 20 Excess distributions carryover, if any, to 2014 | | | | |
| | From 2009 | | | | |
| | From 2010 | | | | |
| | From 2011 | | | | |
| | From 2012 | | | | |
| | From 2013 | | | | |
| | Total of lines 3a through e | 1,237,768 | | | |
| | Qualifying distributions for 2014 from Part | | | | |
| | XII, line 4 🕨 \$ 1,270,640 | | | | |
| а | Applied to 2013, but not more than line 2a | | | 0 | |
| | Applied to undistributed income of prior years | | 0 | | |
| | (Election required—see instructions) | | | | |
| C | Treated as distributions out of corpus (Election | 0 | | | |
| | required—see instructions) | | | | |
| | Applied to 2014 distributable amount | | | | 14,655 |
| е | Remaining amount distributed out of corpus | 1,255,985 | | | |
| 5 | Excess distributions carryover applied to 2014 | 0 | | | (|
| | (If an amount appears ın column (d), the same amount must be shown ın column (a).) | | | | |
| 6 | Enter the net total of each column as indicated below: | | | | |
| а | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 2,493,753 | | | |
| | Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c | Enter the amount of prior years' undistributed | | | | |
| | income for which a notice of deficiency has | | | | |
| | been issued, or on which the section 4942(a) | | 0 | | |
| | tax has been previously assessed Subtract line 6c from line 6b Taxable amount | | | | |
| u | —see instructions | | 0 | | |
| e | Undistributed income for 2013 Subtract line | | | | |
| | 4a from line 2a Taxable amount—see | | | | |
| | instructions | | | 0 | |
| f | Undistributed income for 2014 Subtract | | | | |
| | lines 4d and 5 from line 1 This amount must be distributed in 2015 | | | | (|
| 7 | Amounts treated as distributions out of | | | | |
| • | corpus to satisfy requirements imposed by | | | | |
| | section 170(b)(1)(F) or 4942(g)(3) (Election may | 0 | | | |
| | be required - see instructions) | | | | |
| 8 | Excess distributions carryover from 2009 not | 0 | | | |
| ^ | applied on line 5 or line 7 (see instructions) | | | | |
| 9 | Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a | 2,493,753 | | | |
| 10 | Analysis of line 9 | | | | |
| | Excess from 2010 | | | | |
| b | Excess from 2011 | | | | |
| c | Excess from 2012 | | | | |
| d | Excess from 2013 1,237,768 | | | | |
| е | Excess from 2014 1,255,985 | | | | |

Check here F if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or email address of the person to whom applications should be addressed
- b The form in which applications should be submitted and information and materials they should include
- c Any submission deadlines
- **d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

| Recipient | If recipient is an individual, show any relationship to any foundation manager | Foundation status of | Purpose of grant or contribution | A mount |
|--|--|-------------------------|----------------------------------|-----------|
| | or substantial contributor | recipient | | |
| a Paid during the year See Additional Data Table | any foundation manager or substantial contributor | recipient | Contribution | |
| | | | | |
| | | | | |
| Total | <u> </u> | <u> </u> | | 1,270,640 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| | A Analysis of Income-Producin | | | Evoluded by assets | S E 1 2 0 - E 1 4 | (0) |
|---|--|--------------------------------|----------------------|-------------------------------------|-------------------|--|
| nter gross a | mounts unless otherwise indicated | (a) | (b) | Excluded by section (c) | (d) | (e) Related or exempt function income |
| 1 Program s | ervice revenue | Business code | A mount | Exclusion code | A mount | (See instructions) |
| | | | | | | |
| | | | | | | |
| | _ | | | | | |
| | | | | | | |
| _ | d contracts from government agencies hip dues and assessments | | | | | |
| Interest | on savings and temporary cash | | | 14 | | 4 |
| | s and interest from securities I income or (loss) from real estate | | | | | |
| | nanced property | | | - | | - |
| 6 Net renta | Il income or (loss) from personal | | | | | |
| | estment income | | | | | |
| • | oss) from sales of assets other than | | | | | |
| | ne or (loss) from special events | | | | | |
| | ofit or (loss) from sales of inventory renue a | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| d | | | | | | |
| d e 2 Subtotal | Add columns (b), (d), and (e). | | | | | |
| d e 2 Subtotal 3 Total. Ad | | | | | 3_ | 4 (|
| d e 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca | Iculations) the Accomp | olishment of E | xempt Purpos | .3 es | 4 |
| d e 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e Subtotal Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| e 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| de 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |
| de 2 Subtotal 3 Total. Ad (See wor | Add columns (b), (d), and (e) d line 12, columns (b), (d), and (e) ksheet in line 13 instructions to verify ca Relationship of Activities to Explain below how each activity for which the accomplishment of the foundation's each activity. | | Dishment of E | Exempt Purpos (e) of Part XVI-A co | es | 4 antly to |

| Form 99 | | · · · · · · · · · · · · · · · · · · · | | | | | | | | | | Pa | ge 13 |
|----------------------|---------------------------------|---|------------------------|----------------------|------------------------|--|------------|-------------|------------------------------|---------------------------|----------------|--------|--------------|
| Part) | (VII | Information Re Noncharitable | | | | | sactio | ns and | Relationships | With | | | |
| | | ganization directly or ii | ndırectly e | engage | ın any of | the following wi | | | | | | Yes | No |
| orgai | nızatı | ons? | | | | | | | | | | | |
| | | from the reporting four | | | | | | | | | | | |
| | | | | | | | | | | | 1a(1) | | No |
| | | assets | | | | | | | | | 1a(2) | | No |
| | | sactions | | | | _ | | | | | 16/1 | | NI- |
| | | of assets to a noncha ases of assets from a | | | | | | | | | | | No No |
| | | il of facilities, equipme | | | | | | | | | | | No |
| | | oursement arrangemen | | | | | | | | | 1b(4) | | No |
| | | s or loan guarantees. | | | | | | | | | 1b(5) | | No |
| | | mance of services or m | | | | | | | | | 1b(6) | | No |
| c Shar | ıng of | facılıtıes, equipment, i | maılıng lıs | · sts, othe | er assets | , or paid employ | ees | | | | 1c | | No |
| of the in an | e goo y trai | wer to any of the above ds, other assets, or se nsaction or sharing arm | rvices giv angement | en by tl , show i | he report In columr | ing foundation o (d) the value o | If the fo | undation i | eceived less tha | n fair marke | et value | | |
| (a) Line N | 10 | (b) Amount involved | (c) Name | of nonch | arıtable ex | empt organization | (d) | Description | of transfers, transac | tions, and sha | rıng arra | ngemer | nts |
| | | | | | | | | | | | | | |
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| 25 In th | o fo | -d-tdt | ra atly afful | linkad iii | | atad ta ana ar | | | | | | | |
| desc | rıbed | ndation directly or indii in section 501(c) of the complete the following s (a) Name of organization | ne Code (d schedule | | an sectio | | 'ın sect | | | ption of relation | .厂Y€ onship | es F | No |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | |
| Sign | the l | er penalties of perjury, pest of my knowledge a mation of which prepar | ınd belief, | ıt ıs tru | ie, correc | | | | | an taxpaye | r) is bas | sed on | |
| Here | | ·**** | | | | 2015-07-27 | k | ***** | • | May the return - with the | IRS disc | | |
| | Signature of officer or trustee | | rustee | | | Date | _ , | Title | _ | below | r)? 🔽 1 | | |
| | | Print/Type preparer's SPENCER V WISSINGER CPA | name | Prepa | rer's Sıgı | nature | Date | | Check if self- employed ▶ | PTIN P(| 006421 | 156 | |
| Paid Prepa Use | rer | Firm's name ► FISCHER BARR & WIS | SSINGER | LLC | | | 1 | | Firm's EIN ▶ 2 | 7-311541 | 1 | | |
| Use Only | | Firm's address ► 1719 ROUTE 10 EAS NJ 07054 | T SUITE | 102 P | ARSIPP | ANY, | | | Phone no (97 | 3)267-140 | 00 | | |

| Recipient | If recipient is an individual, show any relationship to | Foundation status of | Purpose of grant or contribution | A mount |
|---|---|-------------------------|----------------------------------|---------|
| Name and address (home or business) | any foundation manager or substantial contributor | recipient | | |
| a Paid during the year | | | | |
| 564 PARK AVENUE PRESERVATION FOUNDATION 564 PARK AVE NEW YORK,NY 10065 | NONE | PC | GENERAL FUND | 5,000 |
| ALLIANCE FOR NEW YORK STATE PARKS 291 HUDSON AVE ALBANY,NY 12210 | NONE | PC | GENERAL FUND | 1,000 |
| AMERICAN CONSERVATION ASSOCIATION 30 ROCKEFLLER PLAZA NEWYORK,NY 10112 | NONE | PC | GENERAL FUND | 10,000 |
| AMERICAN MUSEUM OF NATURAL HISTORY 15 WEST 77TH ST NEW YORK,NY 10132 | NONE | PC | GENERAL FUND | 1,000 |
| AMERICAN RED CROSS POB 40025018 DES MOINES,IA 50540 | NONE | PC | GENERAL FUND | 500 |
| BARNARD FUND 3009 BROADWAY NEW YORK,NY 10027 | NONE | PC | GENERAL FUND | 200 |
| BOSCOBEL RESTORATION INC 1601 NY-9D GARRISON,NY 10524 | NONE | PC | GENERAL FUND | 500 |
| BREARLEY SCHOOL 610 E 83RD ST NEW YORK, NY 10028 | NONE | PC | GENERAL FUND | 200 |
| CAREY INSTITUTE FOR GLOBAL GOOD 63 HUYCK ROAD RENSSELAERVILLE,NY 12147 | NONE | PC | GENERAL FUND | 10,000 |
| CHAPEL OF OUR LADY RESTORATION 45 MARKET ST COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 500 |
| CHATHAM HALL 800 CHATHAM HALL CIR CHATHAM,VA 24531 | NONE | PC | GENERAL FUND | 200 |
| CONSTITUTION ISLAND ASSOC PO BOX 126 COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 275 |
| CONSTITUTION MARSH AUDOBON CENTER POB 174 COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 1,000 |
| FRIENDS OF FAHNESTOCK & HUDSON HIGHLANDS POB 194 COLD SPRING, NY 10516 | NONE | PC | GENERAL FUND | 500 |
| GARRISON ART CENTER 23 | NONE | PC | GENERAL FUND | 75 |

| | | | THE TEAT OF APPROVED TO T | |
|---|---|-------------------------|--|-----------|
| Recipient | If recipient is an individual, show any relationship to | Foundation status of | Purpose of grant or contribution | A mount |
| Name and address (home or business) | any foundation manager or substantial contributor | recipient | | |
| a Paid during the year | | | | |
| GLYNWOOD CENTER 362 GLYNWOOD ROAD COLD SPRING,NY 10516 | NONE | PC | SALARY OF VP OF PROGRAM FOR \$81,000, FARM BUSINESS INCUBATOR FOR \$100,000 | 181,000 |
| HALDANE SCHOOL FOUNDATION PO BOX 364 COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 500 |
| HUDSON HIGHLANDS LAND TRUST POB 226 GARRISON,NY 10524 | NONE | PC | GENERAL FUND | 7,500 |
| HUDSON HIGHLANDS NATURE MUSEUM 120 MUSER DR CORNWALL,NY 12518 | NONE | PC | GENERAL FUND | 1,000 |
| HUDSON VALLEY SHAKESPEARE FESTIVAL 140 MAIN STREET COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 100 |
| INTERSCHOOL ORCHESTRAS OF NY 121 W 27TH ST NEW YORK,NY 10001 | NONE | PC | GENERAL FUND | 100 |
| JULIA L BUTTERFIELD MEMORIAL LIBRARY 10 MORRIS AVE COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 100 |
| MANITOGA 584 NY-9D GARRISON,NY 10524 | NONE | PC | GENERAL FUND | 250 |
| MANNES COLLEGE THE NEW SCHOOL 150 W 85TH ST NEW YORK, NY 10024 | NONE | PC | GENERAL FUND | 250 |
| MERCK FOREST & FARMLAND CENTER POB 86 RUPERT,VT 05768 | NONE | PC | GENERAL FUND | 5,000 |
| METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK, NY 10028 | NONE | PC | GENERAL FUND | 550 |
| NATIONAL FDN FOR CELIAC AWARENESS 124 SOUTH MAPLE STREET AMBLER,PA 19002 | NONE | PC | GENERAL FUND | 500 |
| NATIONAL PARKS CONSERVATION ASSOCIATION 777 6TH ST NW 700 WASHINGTON, DC 20001 | NONE | PC | GENERAL FUND | 1,000 |
| NATIONAL WILDLIFE FEDERATION PO BOX 1583 MERRIFIELD,VA 22042 | NONE | PC | GENERAL FUND | 100 |
| NATURE CONSERVANCY POB 6016 ALBERT LEA,MN 56007 | NONE | PC | GENERAL FUND | 5,000 |
| Total | | | ▶ 3a | 1,270,640 |

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager | Foundation status of recipient | Purpose of grant or contribution | A mount |
|---|--|--------------------------------------|--|-----------|
| · · · · · · · · · · · · · · · · · · · | or substantial contributor | | | |
| a Paid during the year | | | | |
| NEW YORK PRESBYTERIAN FUND 654 WEST 170TH ST NEW YORK,NY 10032 | NONE | PC | GENERAL FUND | 1,000 |
| NEW YORK-NEW JERSEY TRAIL CONFERENCE 156 RAMAPO VALLEY ROAD MAHWAH, NJ 07430 | NONE | PC | GENERAL FUND | 50,000 |
| NY PRESBYTERIAN HOSPITAL AUXILIARY 170 WILLIAM ST NEW YORK,NY 10038 | NONE | PC | GENERAL FUND | 40 |
| OPEN SPACE INSTITUTE 1350 BROADWAY 201 NEW YORK,NY 10018 | NONE | PC | \$37,500 FOR FAHNSTOCK ACCESS ROAD, \$5,000 FOR GENERAL FUND | 42,500 |
| PALISADES INTERSTATE PARK PO BOX 155 ALPINE,NJ 07620 | NONE | PC | \$50,000 FOR OPERATING EXPENSES MERRY GO ROUND | 50,000 |
| PALISADES PARK CONSERVANCY INC 1 PALISADES PARK CONSERVANCY BEAR MOUNTAIN,NY 10911 | NONE | PC | GENERAL FUND | 15,000 |
| PARKS & TRAILS NEW YORK 29 ELK STREET ALBANY,NY 12207 | NONE | PC | GENERAL FUND | 7,500 |
| PLANNED PARENTHOOD HUDSON PECONIC CHAPTER 4 SKYLINE DRIVE HAWTHORNE,NY 10532 | NONE | PC | GENERAL FUND | 1,000 |
| PLAYWORKS (SPORTS FOR KIDS) 380 WASHINGTON STREET OAKLAND,CA 94607 | NONE | PC | GENERAL FUND | 5,000 |
| PUTNAM COUNTY CHILDREN'S COMMITTEE PO BOX 187 CARMEL,NY 10512 | NONE | PC | GENERAL FUND | 100 |
| SANBORN MILLS INC 7097 SANBORN ROAD LOUDON,NH 03307 | NONE | PC | GENERAL FUND | 150,000 |
| SCENIC HUDSON 1 CIVIC CENTER PLAZA SUITE 200 POUGHKEEPSIE,NY 12601 | NONE | PC | \$10,000 FOR PALISADES LITIGATION, \$10,000 FOR GENERAL FUND | 20,000 |
| SMITHSONIAN INSTITUTE POB 37012 WASHINGTON, DC 20013 | NONE | PC | GENERAL FUND | 1,000 |
| ST PHILIPS CHURCH POB 158 GARRISON,NY 10524 | NONE | PC | GENERAL FUND | 10,000 |
| TANDANA FOUNDATION 2933 LOWER BELLBROOK ROAD SPRING VALLEY,OH 45370 | NONE | PC | ENDOWMENT | 500 |
| Total | | | | 1,270,640 |

| Recipient | If recipient is an individual, show any relationship to | Foundation status of | Purpose of grant or contribution | A mount |
|---|---|-------------------------|--|-----------|
| Name and address (home or business) | any foundation manager or substantial contributor | recipient | | |
| a Paid during the year | | | | |
| THE GARDEN CONSERVANCY POB 219 COLD SPRING,NY 10516 | NONE | PC | ENDOWMENT FUND FOR \$25,000, GENERAL FUND FOR \$20,000 | 50,000 |
| THERAPEUTIC EQUESTRIAN CENTER POB 267 COLD SPRING,NY 10516 | NONE | PC | GENERAL FUND | 600,000 |
| TRUST FOR PUBLIC LAND 101 MONTGOMERY ST SAN FRANCISCO,CA 94104 | NONE | PC | GENERAL FUND | 2,500 |
| UNITED HOSPITAL FUND 1411 BROADWAY NEW YORK,NY 10018 | NONE | PC | GENERAL FUND | 1,000 |
| UNITED WAY OF WESTCHESTER & PUTNAM COUNTIES 336 CENTRAL PARRK AVE WHITE PLAINS, NY 10606 | NONE | PC | GENERAL FUND | 1,000 |
| US EQUESTRIAN TEAM FOUNDATION PO BOX 355 GLADSTONE,NJ 07934 | NONE | PC | GENERAL FUND | 500 |
| WALKWAY OVER THE HUDSON 61 PARKER AVE POUGHKEEPSIE,NY 12601 | NONE | PC | GENERAL FUND | 100 |
| WAVE HILL GARDENS 675 WEST 252ND ST BRONX,NY 10471 | NONE | PC | GENERAL FUND | 20,000 |
| WILDERNESS SOCIETY 1615 M ST NW WASHINGTON,DC 20036 | NONE | PC | GENERAL FUND | 2,500 |
| WMHT PUBLIC SERVICE MEDIA FOR EASTERN NEW YORK 4 GLOBAL VIEW TROY,NY 121808368 | NONE | PC | GENERAL FUND | 500 |
| WNET CHANNEL 13 825 8TH AVE NEW YORK,NY 10019 | NONE | PC | GENERAL FUND | 5,000 |
| Total | | | | 1,270,640 |

TY 2014 Accounting Fees Schedule

Name: THE TOPFIELD FOUNDATION INC

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|--------------------------|------------------------|---|
| ACCOUNTING FEES | 11,425 | 0 | | 0 |

TY 2014 Legal Fees Schedule

Name: THE TOPFIELD FOUNDATION INC

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------|--------|--------------------------|------------------------|---|
| LEGAL FEES | 26,188 | 0 | | 0 |

TY 2014 Other Expenses Schedule

Name: THE TOPFIELD FOUNDATION INC

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------|-----------------------------------|--------------------------|---------------------|--|
| CORPORATE FEES | 889 | 0 | | 0 |
| FEDERAL TAX | 129 | 0 | | 0 |
| OFFICE EXPENSE | 376 | 0 | | 0 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - DLN: 93491258002215

TY 2014 Substantial Contributors Schedule

Name: THE TOPFIELD FOUNDATION INC

| Name | Address |
|--------------|--------------------------------------|
| | PO BOX 222 COLD SPRING,NY 10516 |
| ANNE P CABOT | 7154 SANBORN ROAD LOUDON,NH 03307 |

efile GRAPHIC print - DO NOT PROCESS Schedule B

As Filed Data -

DLN: 93491258002215

OMB No 1545-0047

Schedule of Contributors

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

2014

| Name of the organization THE TOPFIELD FOUNDATION INC | | Employer identification number | |
|--|--|---|--|
| Organization | on type (check one) | | 46-1048017 |
| Filers of: | Se | ction: | |
| Form 990 or | 990-EZ | 501(c)() (enter number) organization | |
| | Г | 4947(a)(1) nonexempt charitable trust not treated as a private foundation | |
| | Г | 527 political organization | |
| Form 990-Pf | <u>-</u> | 501(c)(3) exempt private foundation | |
| | Г | 4947(a)(1) nonexempt charitable trust treated as a private foundation | 1 |
| | Г | 501(c)(3) taxable private foundation | |
| | an organization filing F er property) from any (| form 990, 990-EZ, or 990-PF that received, during the year, contribution one contributor. Complete Parts I and II. See instructions for determining | |
| For und | an organization descr er sections 509(a)(1) eived fromany one co | bed in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 ¹ /3% and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Intributor, during the year, total contributions of the greater of (1) \$5,000 m 990-EZ, line 1 Complete Parts I and II | Part II, line 13, 16a, or 16b, and that |
| durı | ng the year, total cont | bed in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that recibutions of more than \$1,000 exclusively for religious, charitable, scier ty to children or animals. Complete Parts I, II, and III | |
| durıı this purp | ng the year, contribution box is checked, enter bose. Do not complete | bed in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that recons exclusively for religious, charitable, etc., purposes, but no such conhere the total contributions that were received during the year for an early of the parts unless the General Rule applies to this organization contributions totaling \$5,000 or more during the year | ontributions totaled more than \$1,000 If exclusively religious, charitable, etc, because it received nonexclusively |

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE TOPFIELD FOUNDATION INC

Employer identification number 46-1048017

| Part I | Contributors (see instructions) Use duplicate copies of Part I if additional space is needed | | |
|------------|--|----------------------------|--|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | PO BOX 222 COLD SPRING, NY 10516 | \$14,711 | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 2 | ANNE CABOT 7154 SANBORN ROAD LOUDON, NH 0 3 3 0 7 | \$1,115,000 | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person |

Schedule B (Form 990, 990-EZ, or 990-PF) (2014) Name of organization Employer identification number THE TOPFIELD FOUNDATION INC 46-1048017 Noncash Property (see instructions) Use duplicate copies of Part II if additional space is Part II (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions)

| | | \$ | |
|---------------------------|--|--|----------------------|
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| | | | |

Schedule B (Form 990, 990-EZ, or 990-PF) (2014) Page 4 Name of organization **Employer identification number** THE TOPFIELD FOUNDATION INC 46-1048017 Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) Use duplicate copies of Part III if additional space is needed (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP 4 Relationship of transferor to transferee (a) No from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP 4 Relationship of transferor to transferee (a) No. (c) Use of gift from (b) Purpose of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP 4 Relationship of transferor to transferee