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2014

Open to Public Inspection

Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2014 or tax year beginning , and ending

Name of foundation  
**DONALD E DAU CHARITABLE TRUST**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**P O BOX 1501, NJ2-130-03-31**

City or town State ZIP code  
**PENNINGTON NJ 08534-1501**

Foreign country name Foreign province/state/county Foreign postal code

**A Employer identification number**  
46-0632784

**B Telephone number (see instructions)**  
609-274-6834

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 1,809,162**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	53,454	48,936		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	75,191			
	b Gross sales price for all assets on line 6a 592,278				
	7 Capital gain net income (from Part IV, line 2)		75,191		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	128,645	124,127	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	46,169	12,780		33,389
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500			2,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	754	306		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	137	122		15
	24 Total operating and administrative expenses. Add lines 13 through 23	49,560	13,208	0	35,904
	25 Contributions, gifts, grants paid	58,587			58,587
26 Total expenses and disbursements. Add lines 24 and 25	108,147	13,208	0	94,491	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	20,498				
b Net investment income (if negative, enter -0-)		110,919			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2014)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	1,056	1,708	1,708	
	2	Savings and temporary cash investments	165,664	9,934	9,934	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	796,829	820,909	1,076,080	
	c	Investments—corporate bonds (attach schedule)		11,163	11,025	
	11	Investments—land, buildings, and equipment, basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	531,667	670,657	710,415		
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe )					
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,495,216	1,514,371	1,809,162		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe )				
23	<b>Total liabilities</b> (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	1,495,216	1,514,371		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds					
30	<b>Total net assets or fund balances</b> (see instructions)	1,495,216	1,514,371			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	1,495,216	1,514,371			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,495,216
2	Enter amount from Part I, line 27a	2	20,498
3	Other increases not included in line 2 (itemize) <input type="checkbox"/> See Attached Statement	3	1,595
4	Add lines 1, 2, and 3	4	1,517,309
5	Decreases not included in line 2 (itemize) <input type="checkbox"/> See Attached Statement	5	2,938
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,514,371

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Attached Statement			
b	See Attached Statement			
c	See Attached Statement			
d	See Attached Statement			
e	See Attached Statement			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b			0	
c			0	
d			0	
e			0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				0
b				0
c				0
d				0
e				0
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	75,191	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	52,143	1,661,073	0.031391
2012	12,427	1,532,893	0.008107
2011			0.000000
2010			0.000000
2009			0.000000
2	Total of line 1, column (d)	2	0.039498
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.019749
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,797,505
5	Multiply line 4 by line 3	5	35,499
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,109
7	Add lines 5 and 6	7	36,608
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	94,491

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	1,109	
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	1,109	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	1,109	
<b>6</b>	Credits/Payments			
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>	448	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	448	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	661	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <b>Refunded</b>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <b>\$</b> _____ <b>(2)</b> On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>IL</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ U.S. Trust, a Division of Bank of America, N.A Telephone no. ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ▶	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to:			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b N/A
	Organizations relying on a current notice regarding disaster assistance check here			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b X
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
U.S. TRUST P.O. BOX 1501, NJ2-130-03-31 PENNINGTON, NJ 08	CO-TRUSTEE 2.00	31,951		
CHARLES R. BARNHART 1209 21ST AVENUE ROCK ISLAND, IL 61201	CO-TRUSTEE 2.00	14,218		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		▶ 0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount	
1 NONE		
2		
All other program-related investments See instructions		
3 NONE		
<b>Total. Add lines 1 through 3</b>		▶ 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,763,268
<b>b</b>	Average of monthly cash balances	<b>1b</b>	61,610
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,824,878
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,824,878
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	27,373
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,797,505
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	89,875

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	89,875
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	1,109
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,109
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	88,766
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	88,766
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	88,766

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	94,491
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	94,491
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	1,109
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	93,382

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				88,766
<b>2</b> Undistributed income, if any, as of the end of 2014:				
<b>a</b> Enter amount for 2013 only			58,587	
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009				
<b>b</b> From 2010				
<b>c</b> From 2011				
<b>d</b> From 2012				
<b>e</b> From 2013				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: $\$$ 94,491				
<b>a</b> Applied to 2013, but not more than line 2a			58,587	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2014 distributable amount				35,904
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2014. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions				
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions				0
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				52,862
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010				
<b>b</b> Excess from 2011				
<b>c</b> Excess from 2012				
<b>d</b> Excess from 2013				
<b>e</b> Excess from 2014				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include.

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<p><b>Total</b></p>			▶	<b>3a</b> 58,587
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<p><b>Total</b></p>			▶	<b>3b</b> 0





DONALD E DAU CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name MIDLAND UNIVERSITY				
Street 900 NORTH CLARKSON				
City FREMONT	State NE	Zip Code 68025	Foreign Country	
Relationship NONE	Foundation Status PC			
Purpose of grant/contribution general operating				Amount 58,587

Name				
Street				
City	State	Zip Code	Foreign Country	
Relationship	Foundation Status			
Purpose of grant/contribution				Amount

Name				
Street				
City	State	Zip Code	Foreign Country	
Relationship	Foundation Status			
Purpose of grant/contribution				Amount

Name				
Street				
City	State	Zip Code	Foreign Country	
Relationship	Foundation Status			
Purpose of grant/contribution				Amount

Name				
Street				
City	State	Zip Code	Foreign Country	
Relationship	Foundation Status			
Purpose of grant/contribution				Amount

Name				
Street				
City	State	Zip Code	Foreign Country	
Relationship	Foundation Status			
Purpose of grant/contribution				Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Gross Sales	Totals		Net Gain or Loss
										Capital Gains/Losses	Other sales	
								592,278	517,037			75,191
								0	0			0
1	X	ABBOTT LABS			6/14/2012		1/6/2014	118	89			29
2	X	ABBOTT LABS			6/14/2012		1/6/2014	11,727	8,896			2,831
3	X	AMAZON.COM,INC.COM			6/11/2012		1/6/2014	11,697	6,508			5,189
4	X	APPLE INC			6/11/2012		1/6/2014	8,710	9,366			-676
5	X	APPLE INC			6/11/2012		1/6/2014	1,633	1,760			-127
6	X	AUTOMATIC DATA PROC			6/11/2012		1/6/2014	8,277	5,988			2,289
7	X	BCE INC			6/11/2012		4/24/2014	32,457	29,677			2,780
8	X	BCE INC			1/9/2014		4/24/2014	1,769	1,674			95
9	X	BCE INC			6/14/2012		4/24/2014	310	283			27
10	X	BCE INC			6/14/2012		4/24/2014	3,539	3,400			139
11	X	BCE INC			9/5/2013		4/24/2014	44	42			2
12	X	BERKSHIRE HATHAWAY INC			6/11/2012		1/6/2014	5,820	4,050			1,760
13	X	BLACKROCK INC			6/11/2012		1/6/2014	1,566	868			698
14	X	BLACKROCK GLOBAL			6/14/2012		1/6/2014	1	1			0
15	X	BLACKROCK GLOBAL			6/11/2012		1/6/2014	788	680			108
16	X	BLACKROCK FLOATING RATE			4/9/2013		6/26/2014	11	10			1
17	X	BLACKROCK FLOATING RATE			6/14/2012		6/26/2014	11	10			1
18	X	BLACKROCK FLOATING RATE			6/14/2012		6/26/2014	137	131			6
19	X	BLACKROCK FLOATING RATE			4/9/2013		6/26/2014	30,260	30,173			87
20	X	BLACKROCK FLOATING RATE			1/9/2014		6/26/2014	2,509	2,509			0
21	X	BLACKROCK FLOATING RATE			1/9/2014		6/26/2014	9	9			0
22	X	BLACKROCK FLOATING RATE			6/11/2012		6/26/2014	15,441	14,899			572
23	X	BLACKROCK FLOATING RATE			1/5/2014		6/26/2014	6,187	6,181			6
24	X	CDK GLOBAL INC SHS			1/9/2014		10/10/2014	9	10			-1
25	X	CHEVRON CORP			6/11/2012		1/6/2014	1,960	1,622			358
26	X	CLEARBRIDGE ENERGY MLP			6/11/2012		1/6/2014	844	673			171
27	X	DEERE CO			2/4/19/2015		1/6/2014	90	74			16
28	X	DEERE CO			2/4/19/2015		5/15/2014	41,121	33,196			7,925
29	X	DEERE CO			6/14/2012		5/15/2014	365	288			67
30	X	DEERE CO			11/15/2012		5/15/2014	20,150	18,888			1,262
31	X	DEERE CO			2/4/19/2015		5/15/2014	3,009	2,940			69
32	X	DEUTSCHE GLOBAL			10/8/2013		1/6/2014	6	7			-1
33	X	DEUTSCHE GLOBAL			10/8/2013		1/6/2014	422	407			15
34	X	DEUTSCHE GLOBAL			10/9/2013		1/6/2014	4	4			0
35	X	EMERSON ELEC CO			6/11/2012		1/6/2014	10,313	7,010			3,303
36	X	EMERSON ELEC CO			2/9/11/1104		11/13/2014	15,542	11,497			4,045
37	X	EMERSON ELEC CO			2/9/11/1104		11/13/2014	24,324	21,300			3,024
38	X	EMERSON ELEC CO			1/9/2014		11/13/2014	1,895	2,064			-169
39	X	FRANKLIN CONVERTIBLE			6/14/2012		1/6/2014	5	4			1
40	X	FRANKLIN CONVERTIBLE			6/11/2012		1/6/2014	1,770	1,392			378
41	X	HALLIBURTON COMPANY			6/11/2012		1/6/2014	7,936	4,367			3,569
42	X	HALLIBURTON COMPANY			1/14/2014		1/17/2014	10	0			10
43	X	HALLIBURTON COMPANY			6/14/2012		11/13/2014	38	27			11
44	X	HALLIBURTON COMPANY			6/11/2012		11/13/2014	938	677			261
45	X	HALLIBURTON COMPANY			1/9/2014		11/13/2014	38	34			4
46	X	ISHARES COMEX GOLD TR			1/9/2014		1/31/2014	0	0			0
47	X	ISHARES COMEX GOLD TR			1/6/2014		1/31/2014	4	4			0
48	X	ISHARES COMEX GOLD TR			1/9/2014		2/28/2014	0	0			0
49	X	ISHARES COMEX GOLD TR			1/6/2014		2/28/2014	3	3			0
50	X	ISHARES COMEX GOLD TR			1/6/2014		3/31/2014	4	4			0
51	X	ISHARES COMEX GOLD TR			1/9/2014		3/31/2014	0	0			0
52	X	ISHARES COMEX GOLD TR			1/9/2014		4/30/2014	0	0			0
53	X	ISHARES COMEX GOLD TR			1/6/2014		4/30/2014	4	4			0
54	X	ISHARES COMEX GOLD TR			1/9/2014		5/31/2014	0	0			0
55	X	ISHARES COMEX GOLD TR			1/6/2014		5/31/2014	4	4			0
56	X	ISHARES COMEX GOLD TR			1/9/2014		6/30/2014	0	0			0
57	X	ISHARES COMEX GOLD TR			1/6/2014		6/30/2014	4	3			1
58	X	ISHARES COMEX GOLD TR			1/6/2014		7/31/2014	4	4			0
59	X	ISHARES COMEX GOLD TR			1/9/2014		7/31/2014	0	0			0
60	X	ISHARES COMEX GOLD TR			1/6/2014		8/31/2014	4	4			0
61	X	ISHARES COMEX GOLD TR			1/9/2014		8/31/2014	0	0			0
62	X	ISHARES COMEX GOLD TR			1/6/2014		9/30/2014	4	4			0
63	X	ISHARES COMEX GOLD TR			1/9/2014		9/30/2014	4	4			0
64	X	ISHARES COMEX GOLD TR			1/6/2014		10/31/2014	4	4			0
65	X	ISHARES COMEX GOLD TR			1/9/2014		10/31/2014	0	0			0
66	X	ISHARES COMEX GOLD TR			1/9/2014		11/30/2014	0	0			0
67	X	ISHARES COMEX GOLD TR			1/6/2014		11/30/2014	3	3			0
68	X	ISHARES COMEX GOLD TR			1/9/2014		12/4/2014	818	832			-14
69	X	ISHARES COMEX GOLD TR			1/6/2014		12/4/2014	16,763	17,197			-434



Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Gross Sales/Losses Other sales	Totals		Net Gain or Loss
										Gross Sales	Cost, Other Basis and Expenses	
	Long Term CG Distributions	12,147								592,278	517,087	75,191
	Short Term CG Distributions									0	0	0
139	X PIMCO TOTAL RETURN FUND	72201M552			1/9/2014		1/23/2014	10				0
140	X PIMCO TOTAL RETURN FUND	72201M552			1/9/2014		1/23/2014	2,531				19
141	X PIMCO TOTAL RETURN FUND	72201M552			1/6/2014		1/23/2014	11				0
142	X PIMCO TOTAL RETURN FUND	72201M552			1/6/2014		1/23/2014	9,200				51
143	X PIMCO TOTAL RETURN FUND	72201M552			6/14/2012		1/23/2014	11				0
144	X PIMCO TOTAL RETURN FUND	72201M552			6/14/2012		1/23/2014	398				-18
145	X PIMCO TOTAL RETURN FUND	72201M552			6/11/2012		1/23/2014	42,595				-1,991
146	X PRINCIPAL GLOBAL DIVERSI	74255L860			10/8/2013		1/6/2014	13,076				196
147	X PRINCIPAL GLOBAL DIVERSI	74255L860			10/8/2013		1/6/2014	10				0
148	X PROCTER & GAMBLE CO	742718109			6/11/2012		1/6/2014	4,025				876
149	X SPDR S.P. DIVID ETF	78664A763			10/10/2013		1/6/2014	215				8
150	X VANGUARD SHORT TERM BO	921937827			6/14/2012		1/6/2014	240				-3
151	X VANGUARD SHORT TERM BO	921937827			6/11/2012		1/6/2014	29,961				-388
152	X VOYA MIDCAP	92913K850			1/3/2013		1/6/2014	2,913				405
153	X VOYA MIDCAP	92913K850			1/3/2013		1/6/2014	21				3
154	X WISDOMTREE EMERGING MF	9717W315			6/11/2012		12/4/2014	13,023				-1,806
155	X WISDOMTREE EMERGING MF	9717W315			1/9/2014		12/4/2014	766				-55
156	X WISDOMTREE EMERGING MF	9717W315			1/9/2014		12/4/2014	2,784				-232
157	X WISDOMTREE EMERGING MF	9717W315			6/14/2012		12/4/2014	135				-19
158	X WISDOMTREE EMERGING MF	9717W315			6/14/2012		12/4/2014	154				0

**Part I, Line 16b (990-PF) - Accounting Fees**

		2,500	0	0	2,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,500			2,500

**Part I, Line 18 (990-PF) - Taxes**

		754	306	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	306	306		
2	ESTIMATED EXCISE PAYMENTS	448			

**Part I, Line 23 (990-PF) - Other Expenses**

		137	122	0	15
<i>Description</i>		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INVESTMENT FEES	122	122		
2	STATE AG FEES	15			15

## Part II, Line 10b (990-PF) - Investments - Corporate Stock

1	Description	Num Shares/ Face Value	796,829		820,909		0		1,076,080	
			Book Value Beg. of Year	Book Value End of Year	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year		
2	AMAZON COM INC	0	0	13,353	0	0	18,311	0	0	18,311
3	APPLE COMPUTER INC COM	0	0	33,182	0	0	51,768	0	0	51,768
4	AUTOMATIC DATA PROCESSING INC COM	0	0	19,239	0	0	33,348	0	0	33,348
5	BERKSHIRE HATHAWAY INC	0	0	38,840	0	0	70,420	0	0	70,420
6	BLACKROCK INC CL A	0	0	23,594	0	0	46,840	0	0	46,840
7	CDK GLOBAL INC SHS	0	0	2,773	0	0	5,421	0	0	5,421
8	CATERPILLAR INC	0	0	25,472	0	0	23,340	0	0	23,340
9	CHEVRONTEXACO CORP	0	0	30,101	0	0	32,981	0	0	32,981
10	COCA-COLA CO USD	0	0	64,925	0	0	71,478	0	0	71,478
11	DISNEY (WALT) COMPANY HOLDING CO.	0	0	55,949	0	0	62,731	0	0	62,731
12	E I DU PONT DE NEMOURS & CO COMM	0	0	19,079	0	0	22,108	0	0	22,108
13	FREEMONT-MCMORAN COPPER & GOLD	0	0	48,786	0	0	43,123	0	0	43,123
14	GENERAL ELECTRIC CO	0	0	41,775	0	0	40,002	0	0	40,002
15	GENERAL MILLS INC COM	0	0	21,753	0	0	29,438	0	0	29,438
16	GILEAD SCIENCES INC	0	0	18,049	0	0	23,282	0	0	23,282
17	HALLIBURTON COMPANY COM	0	0	15,564	0	0	21,356	0	0	21,356
18	INTEL CORPORATION	0	0	23,599	0	0	38,649	0	0	38,649
19	INTERNATIONAL BUSINESS MACHINES C	0	0	28,061	0	0	23,424	0	0	23,424
20	JOHNSON & JOHNSON COM	0	0	27,450	0	0	46,429	0	0	46,429
21	KIMBERLY-CLARK CORP COM	0	0	18,152	0	0	26,112	0	0	26,112
22	MC DONALDS CORPORATION COMMON	0	0	54,549	0	0	57,626	0	0	57,626
23	MEDTRONIC INC	0	0	14,762	0	0	27,797	0	0	27,797
24	MERCK AND CO INC SHS	0	0	17,875	0	0	25,953	0	0	25,953
25	MICROSOFT CORP COM	0	0	24,628	0	0	38,925	0	0	38,925
26	PAYCHEX INC COM	0	0	17,468	0	0	23,454	0	0	23,454
27	PEPSICO INC	0	0	19,206	0	0	26,193	0	0	26,193
28	PROCTER & GAMBLE CO COM	0	0	32,453	0	0	46,365	0	0	46,365
29	VERIZON COMMUNICATIONS INC	0	0	21,581	0	0	26,197	0	0	26,197
30	WELLS FARGO & CO NEW	0	0	31,571	0	0	43,692	0	0	43,692
31	ABBVIE INC SHS	0	0	17,120	0	0	29,317	0	0	29,317

**Part II, Line 10c (990-PF) - Investments - Corporate Bonds**

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1				0	11,163	0	11,025
2	EXXON MOBIL CORPORATION			0	11,163		11,025

**Part II, Line 13 (990-PF) - Investments - Other**

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1			0	0	710,415
2	BLACKROCK GLOBAL		0	31,554	33,858
3	BLACKROCK EMERGING		0	40,175	34,993
4	CLEARBRIDGE ENERGY MLP F		0	14,639	19,016
5	DEUTSCHE GNMA		0	15,401	14,485
6	DEUTSCHE GLOBAL		0	35,021	41,001
7	DEUTSCHE CROCI		0	34,509	30,817
8	DEUTSCHE REAL ESTATE		0	18,638	21,767
9	FIDELITY ADV II GRWTH OPP INSTL 688		0	18,092	20,518
10	FIDELITY ADVISOR STRATEGIC INCOME		0	54,765	53,628
11	FT NASDAQ TECH DVD INDEX		0	126,414	141,656
12	FRANKLIN CONVERTIBLE		0	14,465	18,056
13	ISHARES TR RUSSELL MIDCAP INDEX FD		0	14,630	20,713
14	LORD ABBETT ALPHA		0	22,962	29,551
15	LORD ABBETT BOND		0	15,015	15,346
16	PRINCIPAL GLOBAL DIVERSI		0	72,495	68,788
17	SPDR S P DIVID		0	17,564	20,015
18	VOYA MIDCAP		0	15,649	17,670
19	VOYA INTERMEDIATE		0	54,604	55,878
20	VOYA GLOBAL BOND FUND		0	54,065	52,659

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	PY LATE PSOTING MUTUAL FUNDS	1	151
2	FEDERAL EXCISE TAX REFUND	2	1,444
3	Total	3	1,595

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**Line 5 - Decreases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	2,690
2	CY LATE POSTING MUTUAL FUNDS	2	190
3	PURCHASE OF ACCRUED INTEREST C/O TO NY	3	58
4	Total	4	2,938

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adj. Basis	Gain, Minus Excess of FMV Over Adjusted Basis on Losses
85 ISHARES SILVER TR	464280109		1/6/2014	1/31/2014	3	0	0	3	0	0	0	0	0
86 ISHARES SILVER TR	464280109		1/6/2014	2/28/2014	3	0	0	3	0	0	0	0	0
87 ISHARES SILVER TR	464280109		6/11/2012	2/28/2014	4	0	0	5	-1	0	0	0	-1
88 ISHARES SILVER TR	464280109		1/6/2014	2/28/2014	0	0	0	0	0	0	0	0	0
89 ISHARES SILVER TR	464280109		1/6/2014	2/28/2014	0	0	0	0	0	0	0	0	0
90 ISHARES SILVER TR	464280109		1/6/2014	5/31/2014	3	0	0	3	0	0	0	0	0
91 ISHARES SILVER TR	464280109		1/6/2014	3/31/2014	0	0	0	0	0	0	0	0	0
92 ISHARES SILVER TR	464280109		6/11/2012	3/31/2014	5	0	0	7	-2	0	0	0	-2
93 ISHARES SILVER TR	464280109		1/6/2014	4/30/2014	3	0	0	3	-2	0	0	0	-2
94 ISHARES SILVER TR	464280109		6/11/2012	4/30/2014	4	0	0	5	0	0	0	0	0
95 ISHARES SILVER TR	464280109		1/6/2014	4/30/2014	0	0	0	0	0	0	0	0	0
96 ISHARES SILVER TR	464280109		1/6/2014	4/30/2014	0	0	0	0	0	0	0	0	0
97 ISHARES SILVER TR	464280109		1/6/2014	5/31/2014	3	0	0	3	0	0	0	0	0
98 ISHARES SILVER TR	464280109		1/6/2014	5/31/2014	0	0	0	0	0	0	0	0	0
99 ISHARES SILVER TR	464280109		1/6/2014	5/31/2014	0	0	0	0	0	0	0	0	0
100 ISHARES SILVER TR	464280109		6/11/2012	5/31/2014	4	0	0	6	-2	0	0	0	-2
101 ISHARES SILVER TR	464280109		1/6/2014	6/30/2014	3	0	0	3	0	0	0	0	0
102 ISHARES SILVER TR	464280109		1/6/2014	6/30/2014	0	0	0	0	0	0	0	0	0
103 ISHARES SILVER TR	464280109		1/6/2014	6/30/2014	0	0	0	0	0	0	0	0	0
104 ISHARES SILVER TR	464280109		6/11/2012	6/30/2014	4	0	0	6	-2	0	0	0	-2
105 ISHARES SILVER TR	464280109		1/6/2014	7/31/2014	0	0	0	0	0	0	0	0	0
106 ISHARES SILVER TR	464280109		1/6/2014	7/31/2014	3	0	0	3	0	0	0	0	0
107 ISHARES SILVER TR	464280109		6/11/2012	7/31/2014	5	0	0	6	-1	0	0	0	-1
108 ISHARES SILVER TR	464280109		1/6/2014	7/31/2014	0	0	0	0	0	0	0	0	0
109 ISHARES SILVER TR	464280109		1/6/2014	8/31/2014	3	0	0	3	0	0	0	0	0
110 ISHARES SILVER TR	464280109		6/11/2012	8/31/2014	4	0	0	5	-2	0	0	0	-2
111 ISHARES SILVER TR	464280109		1/6/2014	8/31/2014	0	0	0	0	0	0	0	0	0
112 ISHARES SILVER TR	464280109		6/11/2012	8/31/2014	0	0	0	0	0	0	0	0	0
113 ISHARES SILVER TR	464280109		1/6/2014	8/31/2014	0	0	0	0	0	0	0	0	0
114 ISHARES SILVER TR	464280109		6/11/2012	9/30/2014	4	0	0	6	-2	0	0	0	-2
115 ISHARES SILVER TR	464280109		1/6/2014	9/30/2014	0	0	0	0	0	0	0	0	0
116 ISHARES SILVER TR	464280109		1/6/2014	9/30/2014	0	0	0	0	0	0	0	0	0
117 ISHARES SILVER TR	464280109		1/6/2014	9/30/2014	2	0	0	0	0	0	0	0	0
118 ISHARES SILVER TR	464280109		6/11/2012	10/31/2014	4	0	0	7	-3	0	0	0	-3
119 ISHARES SILVER TR	464280109		1/6/2014	10/31/2014	0	0	0	0	0	0	0	0	0
120 ISHARES SILVER TR	464280109		1/6/2014	10/31/2014	2	0	0	3	-1	0	0	0	-1
121 ISHARES SILVER TR	464280109		6/11/2012	11/30/2014	3	0	0	6	-3	0	0	0	-3
122 ISHARES SILVER TR	464280109		1/6/2014	11/30/2014	0	0	0	0	0	0	0	0	0
123 ISHARES SILVER TR	464280109		1/6/2014	11/30/2014	0	0	0	0	0	0	0	0	0
124 ISHARES SILVER TR	464280109		1/6/2014	11/30/2014	0	0	0	0	0	0	0	0	0
125 ISHARES SILVER TR	464280109		1/6/2014	11/30/2014	2	0	0	3	-1	0	0	0	-1
126 ISHARES SILVER TR	464280109		1/6/2014	12/4/2014	697	0	0	823	-126	0	0	0	-126
127 ISHARES SILVER TR	464280109		1/6/2014	12/4/2014	5,900	0	0	6,700	-1,200	0	0	0	-1,200
128 ISHARES SILVER TR	464280109		6/11/2012	12/4/2014	79	0	0	137	-58	0	0	0	-58
129 ISHARES SILVER TR	464280109		6/11/2012	12/4/2014	8,559	0	0	14,679	-6,120	0	0	0	-6,120
130 JOHNSON AND JOHNSON COM	478160104		2/25/2011	1/6/2014	10,501	0	0	6,862	3,639	0	0	0	3,639
131 KIMBERLY CLARK	494368103		6/11/2012	1/6/2014	2,294	0	0	1,792	502	0	0	0	502
132 LORD ABBETT ALPHA	543915532		6/14/2012	1/6/2014	12	0	0	8	4	0	0	0	4
133 LORD ABBETT ALPHA	543915532		6/11/2012	1/6/2014	6,693	0	0	4,768	1,925	0	0	0	1,925
134 MEDTRONIC INC COM	585055106		6/11/2012	1/6/2014	8,015	0	0	2,979	2,979	0	0	0	2,979
135 MERCK AND CO INC SHS	589331105		6/11/2012	1/6/2014	2,486	0	0	1,930	556	0	0	0	556
136 MICROSOFT CORP	594918104		6/11/2012	1/6/2014	1,918	0	0	1,558	360	0	0	0	360
137 PAYCHEX INC	704326107		6/11/2012	1/6/2014	34,847	0	0	26,407	8,440	0	0	0	8,440
138 PEPSICO INC	713448108		6/11/2012	1/6/2014	658	0	0	549	109	0	0	0	109
139 PIMCO TOTAL RETURN FUND	72201M552		1/9/2014	1/23/2014	10	0	0	10	0	0	0	0	0
140 PIMCO TOTAL RETURN FUND	72201M552		1/9/2014	1/23/2014	2,531	0	0	2,512	19	0	0	0	19
141 PIMCO TOTAL RETURN FUND	72201M552		1/6/2014	1/23/2014	11	0	0	11	0	0	0	0	0
142 PIMCO TOTAL RETURN FUND	72201M552		6/14/2012	1/23/2014	9,251	0	0	9,200	51	0	0	0	51
143 PIMCO TOTAL RETURN FUND	72201M552		6/14/2012	1/23/2014	11	0	0	11	0	0	0	0	0
144 PIMCO TOTAL RETURN FUND	72201M552		6/14/2012	1/23/2014	398	0	0	416	-18	0	0	0	-18
145 PIMCO TOTAL RETURN FUND	72201M552		6/11/2012	1/23/2014	42,595	0	0	44,566	-1,991	0	0	0	-1,991
146 PRINCIPAL GLOBAL DIVERSI	742551860		10/8/2013	1/6/2014	13,076	0	0	12,880	196	0	0	0	196
147 PRINCIPAL GLOBAL DIVERSI	742551860		10/8/2013	1/6/2014	10	0	0	10	0	0	0	0	0
148 PROCTER & GAMBLE CO	747118109		6/11/2012	1/6/2014	4,025	0	0	3,149	876	0	0	0	876
149 SPDR S P DIVID ETF	82464A163		10/10/2013	1/6/2014	215	0	0	207	8	0	0	0	8
150 VANGUARD SHORT TERM BOND	91937827		6/14/2012	1/6/2014	240	0	0	243	-3	0	0	0	-3
151 VANGUARD SHORT TERM BOND	921937827		6/11/2012	1/6/2014	29,361	0	0	29,749	-388	0	0	0	-388
152 VOYA MIDCAP	92913K850		1/3/2013	1/6/2014	2,913	0	0	2,508	405	0	0	0	405
153 VOYA MIDCAP	92913K850		1/3/2013	1/6/2014	21	0	0	18	3	0	0	0	3
154 VOYA MIDCAP	92913K850		1/3/2013	1/6/2014	0	0	0	0	0	0	0	0	0
155 WISDOMTREE EMERGING MKRT	97717M015		6/11/2012	1/24/2014	13,023	0	0	14,825	-1,806	0	0	0	-1,806
156 WISDOMTREE EMERGING MKRT	97717M015		1/9/2014	1/24/2014	766	0	0	821	-55	0	0	0	-55
157 WISDOMTREE EMERGING MKRT	97717M015		1/6/2014	1/24/2014	2,794	0	0	3,026	-232	0	0	0	-232
158 WISDOMTREE EMERGING MKRT	97717M015		6/14/2012	1/24/2014	135	0	0	154	-19	0	0	0	-19

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		46,169										0	
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	
1	U S TRUST	X	P O BOX 1501, NJ2-130-03-31	PENNINGTON	NJ	08534-1501		CO-TRUSTEE	2 00	31,951			
2	CHARLES R BARNHART	X	1209 21ST AVENUE	ROCK ISLAND	IL	61201		CO-TRUSTEE	2 00	14,218			

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return . . . . .		0
2 First quarter estimated tax payment . . . . .		
3 Second quarter estimated tax payment . . . . .		
4 Third quarter estimated tax payment . . . . .		
5 Fourth quarter estimated tax payment . . . . .		
6 Other payments . . . . .		448
7 Total . . . . .		448

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2013 that remained undistributed at the beginning of the 2014 tax year . . .	1	58,587
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	58,587