EXTENDED TO AUGUST 17, 2015

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: THE CARRIGAN FAMILY FOUNDATION

A Employer identification number: 45-4671495

Number and street (or P O box number if mail is not delivered to street address): 333 E TOUHY AVE | Room/suite:

B Telephone number: 877-693-2218

City or town, state or province, country, and ZIP or foreign postal code: DES PLAINES, IL 60018

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 385,188.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received	397,857.		N/A	
2 Check ▶ ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	1.	1.		STATEMENT 1
4 Dividends and interest from securities	6,234.	6,234.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	6,852.			
b Gross sales price for all assets on line 6a 126,806.				
7 Capital gain net income (from Part IV, line 2)		6,852.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	410,944.	13,087.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 3	13,861.	0.		0.
b Accounting fees STMT 4	2,517.	0.		0.
c Other professional fees STMT 5	3,043.	3,043.		0.
17 Interest				
18 Taxes STMT 6	105.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	863.	0.		0.
24 **Total operating and administrative expenses** Add lines 13 through 23	20,389.	3,043.		0.
25 Contributions, gifts, grants paid	112,947.			112,947.
26 **Total expenses and disbursements** Add lines 24 and 25	133,336.	3,043.		112,947.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	277,608.			
b **Net investment income** (if negative, enter -0-)		10,044.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
AUG 28 2015
A055
IRS-OSC
OGDEN, UT

SCANNED AUG 31 2015

8

Part II Balance Sheets Attached schedules and amounts in the description column should be for end of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	26,128.	9,052.	9,052.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	88,783.	383,467.	376,136.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	114,911.	392,519.	385,188.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	114,911.	392,519.	
	30 **Total net assets or fund balances**	114,911.	392,519.	
	31 **Total liabilities and net assets/fund balances**	114,911.	392,519.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	114,911.
2	Enter amount from Part I, line 27a	2	277,608.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	392,519.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	392,519.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NWM #A40-632886 - SEE ATTACHED		VARIOUS	12/31/14
b	NWM #A40-632886 - CAPITAL GAIN DIST		VARIOUS	12/31/14
c	NWM #A40-632886 - CAPITAL GAIN DIST		VARIOUS	12/31/14
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	119,938.		119,954.	-16.
b	6,373.			6,373.
c	495.			495.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-16.
b				6,373.
c				495.
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,852.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	0.	42,411.	.000000
2012			
2011			
2010			
2009			

2 **Total** of line 1, column (d)	2	.000000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.000000
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	261,458.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	100.
7 Add lines 5 and 6	7	100.
8 Enter qualifying distributions from Part XII, line 4	8	112,947.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	100.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	100.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	100.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 200.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	200.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	100.
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ 100. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JULIE CARRIGAN Telephone no. ▶ 847-721-2952

Located at ▶ 333 E TOUHY AVE, DES PLAINES, IL ZIP+4 ▶ 60018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIE CARRIGAN 333 E TOUHY AVE DES PLAINES, IL 60018	DIRECTOR 0.10	0.	0.	0.
RICHARD CARRIGAN 333 E TOUHY AVE DES PLAINES, IL 60018	DIRECTOR 0.10	0.	0.	0.
BRIAN CARRIGAN 333 E TOUHY AVE DES PLAINES, IL 60018	DIRECTOR 0.10	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

423551
11-24-14

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	258,117.
b	Average of monthly cash balances	1b	7,323.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	265,440.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	265,440.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,982.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	261,458.
6	**Minimum investment return.** Enter 5% of line 5	6	13,073.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	13,073.
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 100.		
b	Income tax for 2014. (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	100.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,973.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	12,973.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,973.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	112,947.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	112,947.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	100.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	112,847.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				12,973.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			709.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 112,947.				
a Applied to 2013, but not more than line 2a			709.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				12,973.
e Remaining amount distributed out of corpus	99,265.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	99,265.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015** Subtract lines 7 and 8 from line 6a	99,265.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014 99,265.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AKVILE KISKIS 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
ALEX LESLIE 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,791.
AMERICAN CANCER SOCIETY 820 DAVIS STREET, SUITE 400 EVANSTON, IL 60201		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	10,000.
ANGELICA KULIKOWSKI 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,436.
AUSTREBERTO ZARCO 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	4,842.
Total SEE CONTINUATION SHEET(S)			▶ 3a	112,947.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1.	
4 Dividends and interest from securities			14	6,234.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	6,852.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		13,087.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 13,087.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Julie Carrigan 8/23/15 ▶ OFFICER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOSEPH A. MACHARA	Joseph A. Machara	6.4.15		P00445770

Firm's name ▶ MCGLADREY LLP — Firm's EIN ▶ 42-0714325

Firm's address ▶ 1 S. WACKER DRIVE, STE 800 CHICAGO, IL 60606 — Phone no 312-634-3400

423622
11-24-14

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BART TRUBLOWSKI 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
BIG SHOULDERS FUND 212 WEST VAN BUREN ST. SUITE 900 CHICAGO, IL 60607		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	10,000.
BRANDON HOFFMAN 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
CHRISTIAN BROTHERS OF THE MIDWEST - ST. GEORGE 7650 S. COUNTY LINE RD BURR RIDGE, IL 60527		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
CRYSTAL MARQUEZ 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,413.
CYSTIC FIBROSIS - MIGHTY MAGNER 6931 ARLINGTON RD, SUITE 200 BETHESDA, MD 20814		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
DAISY PATINO 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,737.
DAVID MARRON 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
EMMA MCGINN 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
EMMANUEL ZARCO 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,498.
Total from continuation sheets				89,878.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FEED THE DREAM 1040 KENILWORTH LANE GLENVIEW, IL 60025		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
FREDERICK ROBLES 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
GARRETT HOFFMAN 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
IMMACULATE CONCEPTION 709 FRANKLIN STREET CLARKSVILLE, TN 37040		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	12,000.
JESSE PATINO 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	500.
JUSTYNA JAKUBSZEK 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
KATE PENDERGAST 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,160.
KENNETH WHITTLE 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
KEVIN BOEKENHAUER 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
LES TURNER ALS FOUNDATION 5550 W. TOUHY AVE SUITE 302 SKOKIE, IL 60077		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUCAS LATEN 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
MICHAEL UNDAS 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
MISERACORDIA 6300 NORTH RIDGE CHICAGO, IL 60660		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
MOOSE KRAUSE SCHOLARSHIP PO BOX 230 NOTRE DAME, IN 46556		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
NICOLAS LAURO 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	3,000.
NOTRE DAME 400 MAIN BUILDING NOTRE DAME, IN 46556		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	7,500.
OLPH 1775 GROVE ST GLENVIEW, IL 60025		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
RICARDO VILLALBA 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,624.
SERGIO VILLALBA 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,446.
ST. JOSEPH SERVICES 1501 N. OAKLEY BLVD. CHICAGO, IL 60622		501(C)(3)	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ZAKARY BAYLESS 333 E TOUHY AVE DES PLAINES, IL 60018		I	ALL CONTRIBUTIONS ARE FOR UNRESTRICTED PURPOSES	1,500.
Total from continuation sheets				

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*	OMB No 1545-0047 **2014**

Name of the organization	Employer identification number
THE CARRIGAN FAMILY FOUNDATION	45-4671495

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE CARRIGAN FAMILY FOUNDATION	45-4671495

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	UNITED DISPLAYCRAFT 333 W TOUHY AVE DES PLAINES, IL 60018	$ 230,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	MARY CARRIGAN 333 W TOUHY AVE DES PLAINES, IL 60018	$ 155,737.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: THE CARRIGAN FAMILY FOUNDATION

Employer identification number: 45-4671495

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE CARRIGAN FAMILY FOUNDATION	45-4671495

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

IMPORTANT MESSAGE Certain types of securities (e g , mutual funds, REMICs, REITs) are more likely to receive adjustments to dividend payments that will reduce your dividend income and the purchase price of your securities The final information needed typically becomes available between January and March Additionally, for the 2014 tax year, many adjustments are being made to properly reflect amortization and accretion on bonds In an effort to reduce the need to refile your taxes, Pershing will not accelerate the 1099 mailing for accounts holding these types of assets Tax statement mailings will occur in a four-phased approach January 31, February 17, February 28 and March 16 As a result of this phased mailing, you and members of your household may receive 1099 tax statements at different times based on the types of securities held in each account For additional information, please visit mytaxhandbook com

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3)

Description (Box 1a) AMERICAN CENTURY DIVERSIFIED BOND INVEST OR CLASS CUSIP 024932402

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
SELL	AVERAGE COST	59 690	12/27/2013	04/14/2014	641 07	632 07		9 00
SELL	AVERAGE COST	18 156	12/27/2013	04/16/2014	195 00	192 26		2 74
SELL	AVERAGE COST	135 626	12/27/2013	07/18/2014	1,468 83	1,445 77		23 06
SELL	AVERAGE COST	0 45	12/31/2013	07/18/2014	0 49	0 48		0 01
SELL	AVERAGE COST	329 321	01/16/2014	07/18/2014	3,566 55	3,510 55		56 00
SELL	AVERAGE COST	844	01/31/2014	07/18/2014	9 14	9 00		0 14
SELL	AVERAGE COST	1 175	02/28/2014	07/18/2014	12 73	12 53		0 20
SELL	AVERAGE COST	1 112	03/31/2014	07/18/2014	12 04	11 86		0 18
SELL	AVERAGE COST	1 102	04/30/2014	07/18/2014	11 93	11 75		0 18
SELL	AVERAGE COST	1 039	05/30/2014	07/18/2014	11 25	11 08		0 17

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a)	AMERICAN CENTURY DIVERSIFIED BOND INVESTOR CLASS				CUSIP 024932402 *(Continued)*			
SELL	AVERAGE COST	962	06/30/2014	07/18/2014	10 42	10 26		0 16
SELL	AVERAGE COST	217 861	07/16/2014	07/18/2014	2,359 43	2,322 39		37 04
SALE DATE TOTAL		689 087	VARIOUS	07/18/2014	7,462 81	7,345 67		117 14
SECURITY TOTAL					8,298 88	8,170 00		128 88
Description (Box 1a)	BROWN CAPITAL MANAGEMENT SMALL COMPANY FUND INSTITUTIONAL CL				CUSIP 115291403			
SELL	AVERAGE COST	4 081	12/27/2013	07/17/2014	255 00	306 62		(51 62)
Description (Box 1a)	COLUMBIA ACORN INTERNATIONAL FUND CLASS R4				CUSIP 197199466			
SELL	AVERAGE COST	15 392	12/27/2013	04/14/2014	721 87	719 89		1 98
SELL	AVERAGE COST	4 605	12/27/2013	04/16/2014	217 00	215 38		1 62
SELL	AVERAGE COST	11 075	12/27/2013	07/17/2014	540 00	518 16		21 84
SECURITY TOTAL					1,478 87	1,453 43		25 44
Description (Box 1a)	DODGE & COX INCOME FUND				CUSIP 256210105			
SELL	AVERAGE COST	88 791	12/27/2013	02/21/2014	1,193 54	1,212 17		(18 63)
SELL	AVERAGE COST	75 689	12/27/2013	04/16/2014	1,013 00	1,033 34	3 12 W	(17 22)
SELL	AVERAGE COST	116 562	12/27/2013	09/23/2014	1,590 21	1,607 88	17 67 W	0 00
SELL	AVERAGE COST	89 866	12/27/2013	10/21/2014	1,220 93	1,240 60	19 67 W	0 00

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) DODGE & COX INCOME FUND					CUSIP 256210105 *(Continued)*			
SELL	AVERAGE COST	72 879	12/27/2013	12/11/2014	99808	1,00969	11 61 W	0 00
SELL	AVERAGE COST	60 454	01/16/2014	12/11/2014	82792	83782	9 90 W	0 00
SALE DATE TOTAL		133 333	VARIOUS	12/11/2014	1,826 00	1,847 51	21 51 W	0 00
SECURITY TOTAL					6,843 68	6,941 50	61 97 W	(35 85)
Description (Box 1a) EATON VANCE FLOATING RATE HIGH INCOME FUND ADVISOR CLASS					CUSIP 277911574			
SELL	AVERAGE COST	221 239	12/27/2013	03/14/2014	1,99779	2,00264	0 03 W	(4 82)
SELL	AVERAGE COST	277	12/31/2013	03/14/2014	2 50	2 50		0 00
SELL	AVERAGE COST	015	12/31/2013	03/14/2014	0 14	0 14		0 00
SELL	AVERAGE COST	343 866	01/16/2014	03/14/2014	3,10511	3,11269		(7 58)
SELL	AVERAGE COST	1 044	01/31/2014	03/14/2014	9 43	9 45		(0 02)
SELL	AVERAGE COST	1 555	02/28/2014	03/14/2014	14 03	14 07		(0 04)
Wash sale 77 day(s) added to holding period								
SALE DATE TOTAL		567 996	VARIOUS	03/14/2014	5,129 00	5,141 49	0 03 W	(12 46)

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a) EUROPACIFIC GROWTH FUND CLASS F-2					CUSIP 29875E100			
SELL	AVERAGE COST	13 921	12/27/2013	04/14/2014	67392	68322		(9 30)
SELL	AVERAGE COST	5 576	12/27/2013	04/16/2014	27200	27366		(1 66)
SELL	AVERAGE COST	10 801	12/27/2013	07/17/2014	54100	53010		10 90
SECURITY TOTAL					1,486 92	1,486 98		(0 06)
Description (Box 1a) FIDELITY ADVISOR STRATEGIC INCOME CLASS I					CUSIP 315920801			
SELL	AVERAGE COST	170 658	12/27/2013	04/14/2014	2,13835	2,10465		33 70
SELL	AVERAGE COST	13 015	12/27/2013	04/16/2014	16308	16051		2 57
SELL	AVERAGE COST	36 227	01/03/2014	04/16/2014	45392	44678		7 14
SALE DATE TOTAL		49 242	VARIOUS	04/16/2014	617 00	607 29		9 71
SELL	AVERAGE COST	56 053	01/03/2014	07/17/2014	71300	69146		21 54
SELL	AVERAGE COST	90 359	01/03/2014	09/23/2014	1,13039	1,11916		11 23
SELL	AVERAGE COST	60 585	01/03/2014	12/11/2014	74095	75097	10 02 W	0 00
SELL	AVERAGE COST	25 353	01/16/2014	12/11/2014	31007	31437	4 30 W	0 00
SALE DATE TOTAL		85 938	VARIOUS	12/11/2014	1,051 02	1,065 34	14 32 W	0 00
SECURITY TOTAL					5,649 76	5,587 90	14 32 W	76 18

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a)	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I				CUSIP 316071604			
SELL	AVERAGE COST	38 131	12/27/2013	02/21/2014	1,04859	1,02416		24 43
SELL	AVERAGE COST	11 354	12/27/2013	04/16/2014	30600	30496		1 04
SELL	AVERAGE COST	31 170	12/27/2013	07/17/2014	87400	83719		36 81
SELL	AVERAGE COST	26 724	12/27/2013	12/11/2014	77607	74355		32 52
SECURITY TOTAL					3,004 66	2,909 86		94 80
Description (Box 1a)	FRANKLIN HIGH INCOME FUND ADVISOR CLASS				CUSIP 353538309			
SELL	AVERAGE COST	353 224	12/27/2013	04/14/2014	75590	74750		8 40
SELL	AVERAGE COST	101 869	12/27/2013	04/16/2014	21800	21558		2 42
SELL	AVERAGE COST	90 187	12/27/2013	07/17/2014	19300	19090		2 10
SECURITY TOTAL					1,166 90	1,153 98		12 92
Description (Box 1a)	HARBOR BOND FUND INSTITUTIONAL CLASS				CUSIP 411511108			
SELL	AVERAGE COST	109 934	12/27/2013	02/21/2014	1,33460	1,31686		17 74
SELL	AVERAGE COST	82 907	12/27/2013	04/16/2014	97400	99313	1 31 W	(17 82)
SELL	AVERAGE COST	352 004	12/27/2013	07/16/2014	4,29272	4,21740		75 32
SELL	AVERAGE COST	841 797	01/16/2014	07/16/2014	10,26579	10,08566		180 13
SELL	AVERAGE COST	5 680	03/27/2014	07/16/2014	69 27	68 06		1 21

2014 Form 1099-B   Proceeds From Broker and Barter Exchange Transactions   OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) HARBOR BOND FUND INSTITUTIONAL CLASS					CUSIP 411511108 *(Continued)*			
Wash sale. 110 day(s) added to holding period								
SELL	AVERAGE COST	5 041	06/26/2014	07/16/2014	61 48	60 40		1 08
SALE DATE TOTAL		1,204 522	VARIOUS	07/16/2014	14,689 26	14,431 52		257 74
SECURITY TOTAL					16,997 86	16,741 51	1 31 W	257 66
Description (Box 1a) THE OAKMARK INTERNATIONAL FUND CLASS I					CUSIP 413838202			
SELL	AVERAGE COST	28 885	12/27/2013	04/16/2014	764 00	762 55		1 45
SELL	AVERAGE COST	9 342	12/27/2013	07/17/2014	250 00	246 63		3 37
SECURITY TOTAL					1,014 00	1,009 18		4 82
Description (Box 1a) MFS VALUE FUND CLASS I					CUSIP 552983694			
SELL	AVERAGE COST	33 261	12/27/2013	04/14/2014	1,089 31	1,105 74	1 56 W	(14 87)
SELL	AVERAGE COST	18 373	12/27/2013	04/16/2014	610 00	610 87		(0 87)
SELL	AVERAGE COST	29 168	12/27/2013	07/17/2014	1,006 00	969 97		36 03
SELL	AVERAGE COST	28 063	12/27/2013	09/23/2014	972 10	941 68		30 42
SELL	AVERAGE COST	31 593	12/27/2013	12/11/2014	1,098 19	1,084 49		13 70
SECURITY TOTAL					4,775 60	4,712 75	1 56 W	64 41

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a)	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS M				CUSIP 592905103			
SELL	AVERAGE COST	129 780	12/27/2013	04/14/2014	1,392 54	1,375 03		17 51
SELL	AVERAGE COST	39 236	12/27/2013	04/16/2014	421 00	415 71		5 29
SECURITY TOTAL					1,813 54	1,790 74		22 80
Description (Box 1a)	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I				CUSIP 592905509			
SELL	AVERAGE COST	111 080	12/27/2013	09/23/2014	1,170 78	1,191 06	20 28 W	0 00
SELL	AVERAGE COST	134 066	12/27/2013	12/11/2014	1,434 00	1,448 04	14 04 W	0 00
SECURITY TOTAL					2,604 78	2,639 10	34 32 W	0 00
Description (Box 1a)	NEUBERGER BERMAN MID CAP GROWTH FUND CLASS A				CUSIP 641224266			
SELL	AVERAGE COST	23 855	12/27/2013	04/16/2014	521 00	535 47		(14 47)
SELL	AVERAGE COST	88 002	12/27/2013	07/16/2014	1,992 36	1,975 39		16 97
SELL	AVERAGE COST	172 450	01/16/2014	07/16/2014	3,904 27	3,871 00		33 27
SALE DATE TOTAL		260 452	VARIOUS	07/16/2014	5,896 63	5,846 39		50 24
SECURITY TOTAL					6,417 63	6,381 86		35 77
Description (Box 1a)	OPPENHEIMER INTERNATIONAL GROWTH FUND CL ASS Y				CUSIP 68380L407			
SELL	AVERAGE COST	8 517	01/16/2014	04/16/2014	325 00	321 74		3 26
SELL	AVERAGE COST	6 135	01/16/2014	07/17/2014	236 00	231 76		4 24

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS Y					CUSIP 68380L407 *(Continued)*			
SECURITY TOTAL					561 00	553 50		7 50
Description (Box 1a) OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y					CUSIP 683974505			
SELL	AVERAGE COST	35 518	12/27/2013	04/14/2014	1,333 70	1,315 32		18 38
SELL	AVERAGE COST	10 676	12/27/2013	04/16/2014	398 00	395 36		2 64
SELL	AVERAGE COST	29 123	12/27/2013	07/17/2014	1,155 00	1,078 49		76 51
SECURITY TOTAL					2,886 70	2,789 17		97 53
Description (Box 1a) PIMCO INCOME FUND CLASS A					CUSIP 72201F474			
SELL	AVERAGE COST	246 428	12/27/2013	01/03/2014	3,018 74	3,018 74		0 00
Description (Box 1a) PIMCO INCOME FUND CLASS P					CUSIP 72201M719			
SELL	AVERAGE COST	117 685	12/27/2013	04/14/2014	1,469 89	1,450 39		19 50
SELL	AVERAGE COST	34 908	12/27/2013	04/16/2014	436 00	430 22		5 78
SELL	AVERAGE COST	159 402	12/27/2013	07/17/2014	2,026 00	1,965 18		60 82
SELL	AVERAGE COST	94 638	12/27/2013	10/21/2014	1,196 23	1,178 60		17 63
SELL	AVERAGE COST	267	12/31/2013	10/21/2014	3 37	3 33		0 04
SELL	AVERAGE COST	2 818	01/16/2014	10/21/2014	35 62	35 10		0 52
SALE DATE TOTAL		97 723	VARIOUS	10/21/2014	1,235 22	1,217 03		18 19

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) PIMCO INCOME FUND CL ASSP					CUSIP 72201M719 *(Continued)*			
SELL	AVERAGE COST	61 844	01/16/2014	12/11/2014	769 96	773 87	3 91 W	0 00
SECURITY TOTAL					5,937 07	5,836 69	3 91 W	104 29
Description (Box 1a) PIMCO COMMODITIESPL US STRATEGY FUND CLASSA					CUSIP 72201P159			
SELL	AVERAGE COST	113 670	12/27/2013	02/21/2014	1,242 41	1,197 93		44 48
Description (Box 1a) PIMCO COMMODITIESPL US STRATEGY FUND CLASSP					CUSIP 72201P167			
SELL	AVERAGE COST	78 471	12/27/2013	04/16/2014	893 00	829 98		63 02
SELL	AVERAGE COST	10 437	12/27/2013	07/17/2014	117 00	110 49		6 51
SELL	AVERAGE COST	168 581	12/27/2013	11/18/2014	1,569 49	1,790 30		(220 81)
SELL	AVERAGE COST	609 488	01/16/2014	11/18/2014	5,674 34	6,472 68		(798 34)
SELL	AVERAGE COST	6 938	06/19/2014	11/18/2014	64 59	73 68		(9 09)
SELL	AVERAGE COST	195 192	09/05/2014	11/18/2014	1,817 24	2,072 91		(255 67)
SELL	AVERAGE COST	8 408	09/18/2014	11/18/2014	78 28	89 29		(11 01)
SALE DATE TOTAL		988 607	VARIOUS	11/18/2014	9,203 94	10,498 86		(1,294 92)
SECURITY TOTAL					10,213 94	11,439 33		(1,225 39)

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) T ROWE PRICE BLUE CHIP GROWTH					CUSIP 77954Q106			
SELL	AVERAGE COST	8 609	12/27/2013	04/16/2014	511 00	559 07		(48 07)
SELL	AVERAGE COST	9 876	12/27/2013	07/17/2014	623 00	641 35		(18 35)
SECURITY TOTAL					1,134 00	1,200 42		(66 42)
Description (Box 1a) T ROWE PRICE REAL ESTATE					CUSIP 779919109			
SELL	AVERAGE COST	52 408	12/27/2013	02/21/2014	1,168 58	1,134 85		33 73
SELL	AVERAGE COST	37 765	12/27/2013	04/16/2014	862 00	818 02		43 98
SELL	AVERAGE COST	34 151	12/27/2013	07/17/2014	819 00	740 27		78 73
SELL	AVERAGE COST	38 993	12/27/2013	10/21/2014	949 89	872 33		77 56
SELL	AVERAGE COST	24 565	12/27/2013	12/11/2014	637 48	584 31		53 17
SELL	AVERAGE COST	21 647	01/16/2014	12/11/2014	561 76	514 90		46 86
SALE DATE TOTAL		46 212	VARIOUS	12/11/2014	1,199 24	1,099 21		100 03
SECURITY TOTAL					4,998 71	4,664 68		334 03
Description (Box 1a) RUSSELL TAX EXEMPT BOND FUND CLASSS					CUSIP 782493837			
SELL	AVERAGE COST	40 713	07/18/2014	10/21/2014	945 77	935 04		10 73
SELL	AVERAGE COST	29 756	07/18/2014	12/11/2014	690 04	684 77		5 27
SECURITY TOTAL					1,635 81	1,619 81		16 00

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a) SCOUT INTERNATIONAL FUND					CUSIP 81063U503			
SELL	AVERAGE COST	8 223	12/27/2013	04/16/2014	30300	30415		(1 15)
SELL	AVERAGE COST	45 802	12/27/2013	07/16/2014	1,73132	1,69463		36 69
SELL	AVERAGE COST	15 438	01/16/2014	07/16/2014	58356	57120		12 36
SELL	AVERAGE COST	630	06/20/2014	07/16/2014	23 81	23 31		0 50
SALE DATE TOTAL		61 870	VARIOUS	07/16/2014	2,338 69	2,289 14		49 55
SECURITY TOTAL					2,641 69	2,593 29		48 40
Description (Box 1a) TEMPLETON GLOBAL BOND FUND ADVISOR CLASS					CUSIP 880208400			
SELL	AVERAGE COST	73 474	12/27/2013	04/14/2014	96177	95761		4 16
SELL	AVERAGE COST	18 865	12/27/2013	04/16/2014	24600	24588		0 12
SELL	AVERAGE COST	30 038	12/27/2013	07/17/2014	39800	39154		6 46
SECURITY TOTAL					1,605 77	1,595 03		10 74
Description (Box 1a) VANGUARD SELECTED VALUE FUND					CUSIP 921946109			
SELL	AVERAGE COST	26 418	12/27/2013	04/16/2014	72000	74928		(29 28)
SELL	AVERAGE COST	33 011	12/27/2013	07/17/2014	96000	93628		23 72
SECURITY TOTAL					1,680 00	1,685 56		(5 56)

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 TAX and
YEAR-END STATEMENT
As of 02/23/2015

2014 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked								
Covered (Box 3) *(Continued)*								
Description (Box 1a)	VANGUARD SHORT-TERM INVESTMENT GRADE FUND INVESTOR SHARES				CUSIP 922031406			
SELL	AVERAGE COST	176 048	12/27/2013	02/21/2014	1,86076	1,88807	0 97 W	(26 34)
SELL	AVERAGE COST	200 308	12/27/2013	04/14/2014	2,12331	2,15084	19 49 W	(8 04)
SELL	AVERAGE COST	76 723	12/27/2013	04/16/2014	79400	82450		(30 50)
SELL	AVERAGE COST	49 907	12/27/2013	07/17/2014	50700	53634	3 89 W	(25 45)
SELL	AVERAGE COST	162 856	12/27/2013	09/23/2014	1,71582	1,75223	36 41 W	0 00
SELL	AVERAGE COST	120 197	12/27/2013	10/21/2014	1,26332	1,29514	31 82 W	0 00
SELL	AVERAGE COST	148 540	12/27/2013	12/11/2014	1,56234	1,59965	37 31 W	0 00
SELL	AVERAGE COST	100	12/31/2013	12/11/2014	1 05	1 07	0 02 W	0 00
SELL	AVERAGE COST	25 767	01/16/2014	12/11/2014	27102	27775	6 73 W	0 00
	SALE DATE TOTAL	174 407	VARIOUS	12/11/2014	1,834 41	1,878 47	44 06 W	0 00
	SECURITY TOTAL				10,098 62	10,325 59	136 64 W	(90 33)
Description (Box 1a)	VANGUARD SMALL-CAP INDEX SIGNAL FUND				CUSIP 922908421			
SELL	AVERAGE COST	12 045	12/27/2013	04/16/2014	54300	57913	0 02 W	(36 11)
SELL	AVERAGE COST	1 476	12/27/2013	07/17/2014	42 00	70 96		(28 96)
	SECURITY TOTAL				585 00	650 09	0 02 W	(65 07)

Recipient's Name and Address

CARRIGAN FAMILY FOUNDATION

2014 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals, report details on Form 8949)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Adjustments D=Market Discount O=Option Premium W=Wash Sale Loss	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) *(Continued)*								
Description (Box 1a) WASHINGTON MUTUAL INVESTORS FUND CLASS F-2					CUSIP 939330825			
SELL	AVERAGE COST	31 294	12/27/2013	04/14/2014	1,22486	1,22909	0 24W	(3 99)
SELL	AVERAGE COST	16 119	12/27/2013	04/16/2014	64200	63310		8 90
SELL	AVERAGE COST	25 877	12/27/2013	07/17/2014	1,07700	1,01667		60 33
SELL	AVERAGE COST	24 923	12/27/2013	09/23/2014	1,04901	99280		56 21
SELL	AVERAGE COST	18 010	12/27/2013	12/11/2014	76847	73934		29 13
SECURITY TOTAL					4,761 34	4,611 00	0 24W	150 58
Short-Term Covered Total					119,937 88	120,207 73	254 32W	(15 53)
Covered Total					119,937 88	120,207 73	254 32W	(15 53)
Total					119,937 88	120,207 73	254 32W	(15 53)

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

Type of Gain or Loss (Box 2) The section headings within the 1099B indicate the type of gain or loss for the transactions, short-term or long-term.

Covered (Box 3) or Noncovered (Box 5) Security. The section headings within the 1099B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description and Quantity (Box 1a). Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction This column will denote the type of transaction, for example, SELL.

Disposition Method The method used to select which lot will be disposed for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

THE CARRIGAN FAMILY FOUNDATION 45-4671495

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHWESTERN MUTUAL ACCT #NM1-319521	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
NORTHWESTERN MUTUAL ACCT #A40-632886	6,234.	0.	6,234.	6,234.	
TO PART I, LINE 4	6,234.	0.	6,234.	6,234.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	13,861.	0.		0.
TO FM 990-PF, PG 1, LN 16A	13,861.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,517.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,517.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	3,043.	3,043.		0.
TO FORM 990-PF, PG 1, LN 16C	3,043.	3,043.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	105.	0.		0.
TO FORM 990-PF, PG 1, LN 18	105.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE EXPENSE	712.	0.		0.
BANK FEE	25.	0.		0.
IL ANNUAL FILING FEE	10.	0.		0.
IL LATE FILING FEE	100.	0.		0.
OTHER EXPENSE	16.	0.		0.
TO FORM 990-PF, PG 1, LN 23	863.	0.		0.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHWESTERN MUTUAL ACCT A40-632886 - MUTUAL FUND	COST	383,467.	376,136.
TOTAL TO FORM 990-PF, PART II, LINE 13		383,467.	376,136.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 9
NAME OF CONTRIBUTOR	ADDRESS	
UNITED DISPLAYCRAFT	333 W TOUHY AVE DES PLAINES, IL 60018	
MARY CARRIGAN	333 W TOUHY AVE DES PLAINES, IL 60018	

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions THE CARRIGAN FAMILY FOUNDATION	Employer identification number (EIN) or 45-4671495
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 333 E TOUHY AVE	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions DES PLAINES, IL 60018	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ JULIE CARRIGAN 333 E TOUHY AVE - DES PLAINES, IL 60018
  Telephone No ▶ 847-721-2952 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2015, to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2014 or
▶ [ ] tax year beginning ______, and ending ______

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 200.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 200.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions