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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, and ending

Name of foundation SG SCHIMENTI FOUNDATION, INC.		A Employer identification number 45-4076946
Number and street (or P.O. box number if mail is not delivered to street address) 2 BENEDICT PLACE	Room/suite	B Telephone number 203-629-4473
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06830-5358		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 920,346.	J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 2
4 Dividends and interest from securities		18,564.	18,564.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		271,608.			STATEMENT 1
b Gross sales price for all assets on line 6a		1,003,268.			
7 Capital gain net income (from Part IV, line 2)			775,722.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		290,173.	794,287.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		2,709.	0.		2,709.
c Other professional fees STMT 5		5,888.	5,888.		0.
17 Interest					
18 Taxes STMT 6		15,051.	51.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		23,648.	5,939.		2,709.
25 Contributions, gifts, grants paid		37,950.			37,950.
26 Total expenses and disbursements. Add lines 24 and 25		61,598.	5,939.		40,659.
27 Subtract line 26 from line 12		228,575.			
a Excess of revenue over expenses and disbursements			788,348.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	24,584.	<1,765.>	<1,765.>
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock	938,333.		
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	0.	922,111.	922,111.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	962,917.	920,346.	920,346.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	962,917.	920,346.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	962,917.	920,346.	
	31 Total liabilities and net assets/fund balances	962,917.	920,346.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 962,917.
2 Enter amount from Part I, line 27a	2 228,575.
3 Other increases not included in line 2 (itemize) ▶	3 0.
4 Add lines 1, 2, and 3	4 1,191,492.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5 271,146.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 920,346.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES	D	VARIOUS	VARIOUS
b SALE OF PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 930,632.		198,084.	732,548.
b 30,000.		29,462.	538.
c 42,636.			42,636.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			732,548.
b			538.
c			42,636.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	775,722.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	42,463.	851,149.	.049889
2012	5,688.	358,034.	.015887
2011	0.	306,856.	.000000
2010			
2009			

2 Total of line 1, column (d)	2	.065776
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.021925
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	917,166.
5 Multiply line 4 by line 3	5	20,109.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,883.
7 Add lines 5 and 6	7	27,992.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	40,659.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	7,883.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,883.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,883.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 16,386.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	16,386.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	8,503.
11 Enter the amount of line 10 to be credited to 2015 estimated tax	8,503. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE FOUNDATION Telephone no ► 203 629-4473 Located at ► 2 BENEDICT PLACE, GREENWICH, CT ZIP+4 ► 06830-5358			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b
		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SALVATORE G. SCHIMENTI	DIRECTOR/CHAIRMAN			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.
GLENN ANGIOLILLO	DIRECTOR/PRESIDENT/SECRETARY			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.
THOMAS LIGUORI	DIRECTOR			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	918,129.
b	Average of monthly cash balances	1b	13,004.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	931,133.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	931,133.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,967.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	917,166.
6	Minimum investment return. Enter 5% of line 5	6	45,858.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	45,858.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	7,883.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	7,883.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	37,975.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	37,975.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	37,975.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	40,659.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	40,659.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,883.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	32,776.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				37,975.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			11,625.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 40,659.				
a Applied to 2013, but not more than line 2a			11,625.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				29,034.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				8,941.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Form 990-PF (2014)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN HEART ASSOCIATION, INC. 7272 GREENVILLE AVENUE DALLAS, TX 75231	N/A	PC	GENERAL SUPPORT	100.
CHURCH OF OUR LADY OF THE ROSARY 22 DON BOSCO PLACE PORT CHESTER, NY 10573-5046	N/A	PC	GENERAL SUPPORT - FOOD PANTRY	36,000.
CONNECTICUT FOOD BANK, INC. PO BOX 8686 NEW HAVEN, CT 06531-0686	N/A	PC	GENERAL SUPPORT	50.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON, CT 06111-1593	N/A	PC	GENERAL SUPPORT	100.
GREENWICH COUNCIL, BOY SCOUTS OF AMERICA 63 MASON STREET GREENWICH, CT 06830-5501	N/A	PC	GENERAL SUPPORT	100.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	37,950.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see Instr.)? ☒ Yes ☐ No
	Signature of officer or trustee	Date <u>4/15/15</u>	Title <u>Pres + Director</u>		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	THOMAS F. BLANEY, CPA, CFE		<u>4/9/15</u>	☐	P00234022
	Firm's name ▶ O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
	Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022			Phone no 212-286-2600	

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065-6007	N/A	PC	GENERAL SUPPORT	100.
PATHWAYS, INC. 175 MILBANK AVENUE GREENWICH, CT 06830-6636	N/A	PC	GENERAL SUPPORT	100.
SPECIAL OLYMPICS CONNECTICUT, INC. 2666 STATE STREET 1 HAMDEN, CT 06517-2232	N/A	PC	GENERAL SUPPORT	200.
STAMFORD MUSEUM AND NATURE CENTER INCORPORATED 39 SCOFIELDTOWN ROAD STAMFORD, CT 06903-4023	N/A	PC	GENERAL SUPPORT	100.
THIRTEEN 825 EIGHTH AVENUE NEW YORK, NY 10019-7416	N/A	PC	GENERAL SUPPORT	100.
WAVENY CARE NETWORK 3 FARM ROAD NEW CANAAN, CT 06840-6626	N/A	PC	GENERAL SUPPORT	1,000.
Total from continuation sheets				1,600.

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF PUBLICLY TRADED SECURITIES			DONATED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
930,632.	702,198.	0.	0.	228,434.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF PUBLICLY TRADED SECURITIES			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
30,000.	29,462.	0.	0.	538.	

CAPITAL GAINS DIVIDENDS FROM PART IV	42,636.
TOTAL TO FORM 990-PF, PART I, LINE 6A	271,608.

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO ADVISORS, LLC	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO ADVISORS, LLC	61,200.	42,636.	18,564.	18,564.	
TO PART I, LINE 4	61,200.	42,636.	18,564.	18,564.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES, LLP	2,709.	0.		2,709.
TO FORM 990-PF, PG 1, LN 16B	2,709.	0.		2,709.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WELLS FARGO ADVISORS, LLC	5,888.	5,888.		0.
TO FORM 990-PF, PG 1, LN 16C	5,888.	5,888.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	51.	51.		0.
EXCISE TAX	15,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	15,051.	51.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
UNREALIZED DEPRECIATION ON INVESTMENTS	271,146.
TOTAL TO FORM 990-PF, PART III, LINE 5	271,146.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	FMV	922,111.	922,111.
TOTAL TO FORM 990-PF, PART II, LINE 13		922,111.	922,111.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DEUTSCHE GLOBAL/INTL									
FD INC GLOBAL SMALL CAP FD CL S									
SGSCX									
On Reinvestment		390.18300	47.67	18,600.00		15,732.17	-2,867.83		
Acquired 03/05/14 S Reinvestments S		37.51600	40.33	1,513.37		1,512.65	-0.72		
Total	1.86	427.69900	\$47.03	\$20,113.37	40.3200	\$17,244.82	-\$2,868.55	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$18,600.00			
						-\$1,355.18			
ARTISAN FDS INC									
INTERNATIONAL VALUE FD INV SHS									
ARTKX									
On Reinvestment		1,215.40700	37.18	45,188.84		41,579.06	-3,609.78		
Acquired 03/05/14 S Reinvestments S		83.20700	34.47	2,868.96		2,846.52	-22.44		
Total	4.80	1,298.61400	\$37.01	\$48,057.80	34.2100	\$44,425.58	-\$3,632.22	\$710.34	1.60
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$45,188.84			
						-\$763.26			
BARON SELECT FUNDS									
PARTNERS FD INSTL SHS BPTIX									
On Reinvestment									
Acquired 03/05/14 S	3.09	766.48400	36.40	27,900.00	37.2800	28,574.52	674.52	N/A	N/A
Reinvestments S									
WILLIAM BLAIR FDS									
SMALL CAP GROWTH FD CL I WBSIX									
On Reinvestment									
Acquired 03/05/14 S		845.45500	33.00	27,900.00		23,097.82	-4,802.18		
Reinvestments S		160.83000	26.96	4,337.57		4,393.88	56.31		

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.97	1,006.28500	\$32.04	\$32,237.57	27.3200	\$27,491.70	-\$4,745.87	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
BUFFALO SMALL CAP FD									
BUFSX									
On Reinvestment									
Acquired 03/05/14 S		732.86100	38.07	27,900.00		23,165.72	-4,734.28		
Reinvestments S		75.74700	31.55	2,390.56		2,394.37	3.81		
Total	2.76	808.60800	\$37.46	\$30,290.56	31.6100	\$25,560.09	-\$4,730.47	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COHEN & STEERS PFD									
SECS & INCOME FD INC									
CLASS I									
CPXIX									
On Reinvestment									
Acquired 03/05/14 S		6,525.14200	13.31	86,849.62		88,611.36	1,761.74		
Reinvestments S		337.54100	13.61	4,594.73		4,583.87	-10.86		
Total	10.07	6,862.68300	\$13.32	\$91,444.35	13.5800	\$93,195.23	\$1,750.88	\$5,586.22	5.99
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COLUMBIA SELECT LARGE									
CAP GROWTH FUND CL Z									
UMLGX									
On Reinvestment									
Acquired 03/05/14 S		1,336.84700	20.87	27,900.00		23,835.97	-4,064.03		
Reinvestments S		228.05200	17.62	4,018.35		4,066.17	47.82		
Total	3.02	1,564.89900	\$20.40	\$31,918.35	17.8300	\$27,902.14	-\$4,016.21	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$27,900.00			
						\$2.14			

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
DELAWARE GROUP GLOBAL & INTL FDS EMERGING MKTS FD CL INSTL CL DEMIX										
On Reinvestment Acquired 03/05/14 S Reinvestments S		1,025.87900 31.74800	15.78 14.67	16,188.37 465.75		14,864.98 460.03	-1,323.39 -5.72			
Total	1.66	1,057.62700	\$15.75	\$16,654.12	14.4900	\$15,325.01	-\$1,329.11	\$112.10	0.73	
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
						\$16,188.37				
						-\$863.36				
DELAWARE GROUP ADVISER FDS INC US GROWTH PORT CL INSTL CL DEUX										
On Reinvestment Acquired 03/05/14 S Reinvestments S		1,378.72100 40.68600	25.80 27.55	35,571.01 1,120.90		37,639.07 1,110.74	2,068.06 -10.16			
Total	4.19	1,419.40700	\$25.85	\$36,691.91	27.3000	\$38,749.81	\$2,057.90	\$167.49	0.43	
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
						\$35,571.01				
						\$3,178.80				
DIAMOND HILL SMALL CAP FUND CL-I DHSIX										
On Reinvestment Acquired 03/05/14 S Reinvestments S		551.43800 27.87200	33.73 32.27	18,600.00 899.54		18,219.51 920.89	-380.49 21.35			
Total	2.07	579.31000	\$33.66	\$19,499.54	33.0400	\$19,140.40	-\$359.14	\$31.68	0.17	
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
						\$18,600.00				
						\$540.40				
DODGE & COX STK FD DODGX										
On Reinvestment Acquired 03/05/14 S Reinvestments S		375.95500 11.69200	171.94 178.03	64,641.65 2,081.63		68,025.29 2,115.55	3,383.64 33.92			
Total	7.58	387.64700	\$172.12	\$66,723.28	180.9400	\$70,140.84	\$3,417.56	\$1,250.93	1.78	

Open End Mutual Funds continued

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FRANKLIN VALUE INVS TR									
SMALL CAP VALUE FD									
ADVISOR CL									
FVADX									
On Reinvestment		451 01800	61.86	27,900.00		25,207.39	-2,692.61		
Acquired 03/05/14 S		46 05600	52.82	2,432.70		2,574.07	141.37		
Reinvestments S									
Total	3.00	497.07400	\$61.02	\$30,332.70	55.8900	\$27,781.46	-\$2,551.24	\$151.60	0.55
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$64,641.65			
						\$5,499.19			
HANCOCK JOHN CAP SER									
CLASSIC VALUE FD CL I									
JCVIX									
On Reinvestment		2,646 46600	24.55	64,970.72		70,607.69	5,636.97		
Acquired 03/05/14 S		30 10500	25.26	760.75		803.22	42.47		
Reinvestments S									
Total	7.72	2,676.57100	\$24.56	\$65,731.47	26.6800	\$71,410.91	\$5,679.44	\$768.17	1.08
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$64,970.72			
						\$6,440.19			
HARBOR FD									
HIGH YIELD BD FD									
INSTL CL									
HYFAX									
On Reinvestment		3,348 33500	11.11	37,200.00		34,387.37	-2,812.63		
Acquired 03/05/14 S		250 55200	10.55	2,643.71		2,573.19	-70.52		
Reinvestments S									
Total	3.99	3,598.88700	\$11.07	\$39,843.71	10.2700	\$36,960.56	-\$2,883.15	\$1,979.38	5.36
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$37,200.00			
						-\$239.44			

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I HWMIX									
On Reinvestment Acquired 03/05/14 S Reinvestments S		625.84400 68.20000	41.99 38.90	26,279.18 2,653.00		25,709.66 2,801.66	-569.52 148.66		
Total	3.08	694.04400	\$41.69	\$28,932.18	41.0800	\$28,511.32	-\$420.86	\$185.30	0.65
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
						\$26,279.18 \$2,232.14			
JANUS INVT FD FLEXIBLE BD FD CLASS I JFLEX									
On Reinvestment Acquired 03/05/14 S Reinvestments S		3,368.38100 76.64100	10.52 10.59	35,435.36 811.70		35,570.07 809.36	134.71 -2.34		
Total	3.93	3,445.02200	\$10.52	\$36,247.06	10.5600	\$36,379.43	\$132.37	\$1,102.40	3.03
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
						\$35,435.36 \$944.07			
KINETICS MUT FDS INC PARADIGM FUND INSTL CL KNPYX									
On Reinvestment Acquired 03/05/14 S	1.91	519.84300	35.78	18,600.00	34.0800	17,716.24	-883.76	136.71	0.77
LEGG MASON PARTNERS CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I SAGYX									
On Reinvestment Acquired 03/05/14 S Reinvestments S		165.13700 3.05000	211.97 216.76	35,003.99 661.12		36,463.90 673.47	1,459.91 12.35		
Total	4.01	168.18700	\$212.06	\$35,665.11	220.8100	\$37,137.37	\$1,472.26	N/A	N/A
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
						\$35,003.99 \$2,133.38			

Open End Mutual Funds continued

Open End Mutual Funds Continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
OPPENHEIMER SENIOR FLOATING RATE FD CL Y									
OOSYX									
On Reinvestment		7,453.47500	8.40	62,609.19		60,298.54	-2,310.65		
Acquired 03/05/14 S Reinvestments S		252.15100	8.33	2,100.86		2,039.97	-60.89		
Total	6.74	7,705.62600	\$8.40	\$64,710.05	8.0900	\$62,338.51	-\$2,371.54	\$2,928.13	4.70
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$62,609.19			
						-\$270.68			
PRINCIPAL FDS INC									
HIGH YIELD FD INSTL CL									
PHYTX									
On Reinvestment		2,372.44900	7.84	18,600.00		17,437.48	-1,162.52		
Acquired 03/05/14 S Reinvestments S		138.48800	7.64	1,059.42		1,017.90	-41.52		
Total	1.99	2,510.93700	\$7.83	\$19,659.42	7.3500	\$18,455.38	-\$1,204.04	\$1,212.78	6.57
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$18,600.00			
						-\$144.62			
RUSSELL SHORT DUR BD									
CLASS S									
RFBSX									
On Reinvestment		957.77500	19.42	18,600.00		18,350.96	-249.04		
Acquired 03/05/14 S Reinvestments S		16.55000	19.28	319.15		317.10	-2.05		
Total	2.02	974.32500	\$19.42	\$18,919.15	19.1600	\$18,668.06	-\$251.09	\$240.65	1.29
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$18,600.00			
						\$68.06			
SMALLCAP WORLD FD INC									
CL F2									
SMCFX									
On Reinvestment		541.58300	51.51	27,900.00		24,696.18	-3,203.82		
Acquired 03/05/14 S Reinvestments S		56.20500	45.77	2,572.52		2,562.95	-9.57		
Total	2.95	597.78800	\$50.98	\$30,472.52	45.6000	\$27,259.13	-\$3,213.39	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$27,259.13			
						-\$3,213.39			

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
TOUCHSTONE INSTL FDS TR									
SANDS CAP INSTL GROWTH									
FD									
CISGX									
On Reinvestment		1,555.83400	23.91	37,200.00		34,617.29	-2,582.71		
Acquired 03/05/14 S		137.34400	22.01	3,024.31		3,055.92	31.61		
Reinvestments S									
Total	4.07	1,693.17800	\$23.76	\$40,224.31	22.2500	\$37,673.21	-\$2,551.10	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$37,200.00			
						\$473.21			
VICTORY PORTFOLIOS									
SMALL CO OPPTY FD									
CL I SHS									
VSOIX									
On Reinvestment		450.58100	41.28	18,600.00		17,784.42	-815.58		
Acquired 03/05/14 S		41.97200	39.99	1,678.85		1,656.64	-22.21		
Reinvestments S									
Total	2.10	492.55300	\$41.17	\$20,278.85	39.4700	\$19,441.06	-\$837.79	\$46.44	0.24
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$18,600.00			
						\$841.06			
WT MUT FD CRM MID CAP									
VALUE FD INSTL CL									
CRIMX									
On Reinvestment		789.69700	35.33	27,900.00		22,332.62	-5,567.38		
Acquired 03/05/14 S		235.14900	27.60	6,492.44		6,650.02	157.58		
Reinvestments S									
Total	3.13	1,024.84600	\$33.56	\$34,392.44	28.2800	\$28,982.64	-\$5,409.80	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$27,900.00			
						\$1,082.64			

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO FDS TR ADVANTAGE ENTERPRISE FD CL1									
WFEIX									
On Reinvestment		858.56700	54.16	46,500.00		40,472.84	-6,027.16		
Acquired 03/05/14 S		109.73900	46.31	5,083.09		5,173.10	90.01		
Reinvestments S									
Total	4.93	968.30600	\$53.27	\$51,583.09	47.1400	\$45,645.94	-\$5,937.15	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$46,500.00			
Gain/Loss on Client Investment (Including Reinvestments)						-\$854.06			
Total Open End Mutual Funds	99.66			\$957,122.91		\$922,111.36	-\$35,011.55	\$16,810.32	1.80
Total Mutual Funds	99.66			\$957,122.91		\$922,111.36	-\$35,011.55	\$16,810.32	1.80