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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2014**

Department of the Treasury  
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

**For calendar year 2014 or tax year beginning , 2014, and ending , 20**

Name of foundation <b>THE VICKERS FOUNDATION</b>		A Employer identification number <b>45-0339038</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>1093 SAGE VALLEY CIRCLE</b>	Room/suite	B Telephone number (see instructions) <b>336 692-5073</b>
City or town, state or province, country, and ZIP or foreign postal code <b>MOUNTAIN CITY, TN 37683</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input checked="" type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here . . . <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here . . . <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>800,142</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	<b>SCHEDULE B</b>			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	<b>SEE ATTACHED</b>	<b>SHEET 1</b>		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		<b>1421</b>		
	8 Net short-term capital gain			<b>1421</b>	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total.</b> Add lines 1 through 11					
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23				
	25 Contributions, gifts, grants paid				
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25					
27 Subtract line 26 from line 12:					
a <b>Excess of revenue over expenses and disbursements</b>	<b>(10,237)</b>				
b <b>Net investment income</b> (if negative, enter -0-)		<b>25,063</b>			
c <b>Adjusted net income</b> (if negative, enter -0-)					

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	59,435	84,587	84,587
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>4</b> Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .			
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	776,678	741,288	715,555
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
<b>15</b> Other assets (describe ▶ )				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	836,112	825,875	800,142	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ )			
<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .				
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	836,112	825,875	
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .				
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .				

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>			
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .		<b>1</b>	836,112
<b>2</b> Enter amount from Part I, line 27a . . . . .		<b>2</b>	(10,237)
<b>3</b> Other increases not included in line 2 (itemize) ▶		<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .		<b>4</b>	825,875
<b>5</b> Decreases not included in line 2 (itemize) ▶		<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .		<b>6</b>	825,875

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a (SEE ATTACHED STATEMENT - GAINKEEPER)</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>1421</b>
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>1421</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	87,319	727,915	.1200
2012	54,105	695,410	.0778
2011	354,861	802,381	.4423
2010	132,336	762,981	.1734
2009	33,428	619,319	.0540
<b>2</b>	Total of line 1, column (d)		<b>2</b> .8675
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> .1735
<b>4</b>	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5		<b>4</b> 809,670
<b>5</b>	Multiply line 4 by line 3		<b>5</b> 140,478
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b> 251
<b>7</b>	Add lines 5 and 6		<b>7</b> 140,729
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b> 46,680

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		501
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		501
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		501
<b>6</b>	Credits/Payments:			
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>		
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	28	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		28
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		14
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		487
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ _____ <b>(2)</b> On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		✓
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <b>NORTH DAKOTA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	✓	
14	The books are in care of ▶ <b>DEBORAH L MAXEY</b> Telephone no. ▶ <b>336 692-5073</b> Located at ▶ <b>1093 SAGE VALLEY CIRCLE, MOUNTAIN CITY, TN</b> ZIP+4 ▶ <b>37683</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here. . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	✓
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? . . . . .	1c	✓
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5):		
<b>a</b>	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	✓
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) . . . . .	3b	✓
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  Yes  No

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
*If "Yes," attach the statement required by Regulations section 53.4945–5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
*If "Yes" to 6b, file Form 8870.*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SHEET 10				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000**  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

<b>1</b>	FINANCIAL ASSISTANCE TO CHRISTIAN ORGANIZATIONS (19)	
	.....	
	.....	
<b>2</b>	.....	
	.....	
<b>3</b>	.....	
	.....	
<b>4</b>	.....	
	.....	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

<b>1</b>	NONE	
	.....	
	.....	
<b>2</b>	.....	
	.....	
All other program-related investments. See instructions		
<b>3</b>	.....	
	.....	
Total. Add lines 1 through 3		▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	775,000
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	47,000
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	822,000
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	822,000
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	12,330
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	809,670
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	40,484

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	40,484
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5 . . . . .	<b>2a</b>	501
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	501
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	39,983
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	39,983
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	39,983

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	46,680
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	46,680
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	46,680

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7 . . . . .				<b>39,983</b>
<b>2</b> Undistributed income, if any, as of the end of 2014:				
<b>a</b> Enter amount for 2013 only . . . . .			<b>0</b>	
<b>b</b> Total for prior years: 20__ ,20__ ,20__		<b>0</b>		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009 . . . . .	<b>2866</b>			
<b>b</b> From 2010 . . . . .	<b>97,979</b>			
<b>c</b> From 2011 . . . . .	<b>315,732</b>			
<b>d</b> From 2012 . . . . .	<b>20,644</b>			
<b>e</b> From 2013 . . . . .	<b>54,105</b>			
<b>f</b> Total of lines 3a through e . . . . .	<b>491,326</b>			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ► \$ <b>46,680</b>				
<b>a</b> Applied to 2013, but not more than line 2a . . . . .			<b>0</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		<b>0</b>		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .	<b>0</b>			
<b>d</b> Applied to 2014 distributable amount . . . . .				<b>46,680</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>6697</b>			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	<b>0</b>			
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>498,023</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		<b>0</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		<b>0</b>		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		<b>0</b>		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			<b>0</b>	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 . . . . .				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	<b>0</b>			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .	<b>2866</b>			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .	<b>495,157</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010 . . . . .	<b>97,979</b>			
<b>b</b> Excess from 2011 . . . . .	<b>315,732</b>			
<b>c</b> Excess from 2012 . . . . .	<b>20,644</b>			
<b>d</b> Excess from 2013 . . . . .	<b>54,105</b>			
<b>e</b> Excess from 2014 . . . . .	<b>6697</b>			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i></p> <p><b>SEE ATTACHED SHEET 3</b></p>				
<b>Total</b> . . . . . ▶				<b>3a</b>
<p><b>b</b> <i>Approved for future payment</i></p>				
<b>Total</b> . . . . . ▶				<b>3b</b>





**Schedule of Contributors**

**2014**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization <b>THE VICKERS FOUNDATION</b>	Employer identification number <b>45-0339038</b>
---	---

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b>	<b>Employer identification number</b>
-----------------------------	---------------------------------------

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROLLIN C & PATRICIA C VICKERS ----- 167 THISTLEWOOD TRAIL ----- MOUNTAIN CITY, TN 37683 -----	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

**PART I ANALYSIS OF REVENUE AND EXPENSES**

REVENUE		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
1	CONTRIBUTIONS RECEIVED	\$ 10,000 00	
3	INTEREST ON SAVINGS	\$ 9 00	\$ 9.00
4	DIVIDENDS AND INTEREST BOND SETTLEMENT OID	\$ 23,560 00	\$ 23,560.00
6	NET GAIN (LOSS) FROM SALE OF ASSETS	\$ 1,453.00	\$ 1,453.00
7	CAPITAL GAIN NET INCOME	\$ 1,421.00	\$ 1,421.00
12	TOTAL	\$ 36,443.00	\$ 26,443.00

**EXPENSES**

16b	ACCOUNTING FEES		
18	EXCISE TAXES	\$ 800.00	
19	DEPRECIATION (SEE SCHEDULE SHEET 2)	\$ -	\$ -
22	PRINTING AND PUBLICATIONS	\$ -	\$ -
23	OTHER EXPENSES OFFICE SUPPLIES, FEES	\$ 10.00	\$ 10.00
24	MANAGEMENT FEES TOTAL OPERATING AND ADMINISTRATIVE	\$ 570 00 \$ 1,380 00	\$ 1,380 00
25	CONTRIBUTIONS PAID	\$ 45,300.00	
26	TOTAL EXPENSES & DISBURSEMENTS	\$ 46,680.00	\$ 1,380 00
27a	EXCESS REVENUE OVER EXPENSES	\$ (10,237.00)	
27b	NET INVESTMENT INCOME		\$ 25,063 00

**PART III ANALYSIS OF CHANGES IN NET ASSETS**

TOTAL NET ASSETS BEGINNING OF YEAR	\$ 836,112.48
EXCESS REVENUE OVER EXPENSES	\$ (10,237.00)
TOTAL CURRENT NET ASSETS	\$ 825,875 48
TOTAL NET ASSETS PER BALANCE SHEET	\$ 825,875 00
CORRECTION	\$ 0.48

0

DECEMBER 31, 2014

**PART II BALANCE SHEETS**

**ASSETS**

CASH (MONEY MARKET)

INVESTMENTS-EQUITIES

INVESTMENTS-BONDS

INVESTMENTS-OTHER

EQUIPMENT, LESS  
DEPRECIATION

PREPAID INTEREST

TOTAL ASSETS

**LIABILITIES**

**TOTAL NET ASSETS**

	BEGINNING OF YEAR	END OF YEAR	
	BOOK VALUE	BOOK VALUE	MARKET VALUE
CASH (MONEY MARKET)	\$ 59,434.70	\$84,587.00	\$ 84,587.00
INVESTMENTS-EQUITIES	\$ 776,677.78	\$ 741,288.00	\$ 715,555.00
INVESTMENTS-BONDS			
INVESTMENTS-OTHER			
EQUIPMENT, LESS DEPRECIATION	\$ -		\$ -
PREPAID INTEREST			
TOTAL ASSETS	\$ 836,112.48	\$ 825,875.00	\$ 800,142.00
LIABILITIES	\$ -	\$ -	
<b>TOTAL NET ASSETS</b>	<b>\$ 836,112.48</b>	<b>\$ 825,875.00</b>	<b>\$ 800,142.00</b>

CAPITAL GAIN (LOSS)

**TOTAL \$ 1,421.00**

DATE ACQD	DATE SOLD	SOLD	BASIS
--------------	--------------	------	-------

SEE ATTACHED - GAINSKEEPER

**PART XV (3) CONTRIBUTIONS PAID (ALL PUBLIC CHARITIES)**

ACI INTERNATIONAL	\$	1,200.00
ALLIANCE DEFENDING FREEDOM	\$	1,000.00
AMERICAN CENTER FOR LAW & JUSTICE	\$	1,000.00
BOARD OF WORLD MISSIONS, BETHLEHEM, PA	\$	2,000.00
CAMPUS CRUSADE FOR CHRIST, SAN BERNARDINO, CA	\$	4,000.00
E FREE CHURCH OF AMERICA, MINNEAPOLIS, MN	\$	3,600.00
E FREE CHURCH, NO. PLAINS DISTRICT, BISMARCK, ND	\$	1,000.00
EVANTELL, INC., DALLAS, TX	\$	2,000.00
FRONTLINE FELLOWSHIP, MANITOU SPRINGSD, CO 80829	\$	2,000.00
GLOBAL MEDIA OUTREACH LOS GATOS, CA	\$	2,000.00
GOSPEL FOR ASIA, CARROLLTON, TX	\$	2,300.00
HANSI MINISTRIES, KAILUA-KONA, HI	\$	1,200.00
INTERNATIONAL COMMISSION, LEWISVILLE, TX	\$	6,900.00
JESUS FILM PROJECT, ORLANDO, FL	\$	2,000.00
PIONEERS, ORLANDO, FL	\$	1,200.00
SALAAM INTERNATIONAL, GIG HARBOR, WA	\$	2,900 00
STEER, INC., BISMARCK, ND	\$	2,000 00
SAT-7, EASTON, MD	\$	6,000.00
YOUTH FOR CHRIST,	\$	1,000.00
	\$	<b>45,300.00</b>

TOTAL

DECEMBER 31. 2014

EQUITIES						
INCISIVE SURGICAL, INC. 18,750 SHARES	OCT 00	INCIS	\$	20,375 00	\$	20,375 00
PANGRAC & ASSOCIATES, INC. 150,000 SHS	1-Jun	PANG	\$	90,000 00		\$90,000
PLAIN SIGHT SYSTEMS, INC 4,014 SHARES	Nov-15		\$	25,000 00		20,000.00
TDAMERITRADE - SECURITIES & ETF'S			\$	505,913.00		\$474,012.00
SEE ATTACHED - GAINSKEEPER						
BONDS						
NACKENSIE, 12% CO		12/20/13	\$	100,000.00		111,168.00
TOTAL INVESTMENTS						
			\$	741,288.00	\$	715,555.00

**THE VICKERS FOUNDATION  
OFFICERS AND DIRECTORS  
DECEMBER 31, 2014**

<b>NAME &amp; ADDRESS</b>	<b>HOURS PER WEEK</b>	<b>COMSENSATION, BENEFITS, ETC.</b>
<b>DEBORAH L MAXEY-PRESIDENT 1093 SAGE VALLEY CIRCLE MOUNTAIN CITY, TN 37683</b>	<b>2</b>	<b>0</b>
<b>ROLLIN C VICKERS-VICE PRESIDENT 167 THISTLEWOOD TRAIL MOUNTAIN CITY, TN 37683</b>	<b>1</b>	<b>0</b>
<b>PATRICIA C VICKERS-SEC/TREAS 167 THISTLEWOOD TRAIL MOUNTAIN CITY, TN 37683</b>	<b>1</b>	<b>0</b>
<b>JEFFREY P VICKERS-DIRECTOR 1814 14<sup>TH</sup> AVENUE WEST WILLISTON, ND 58801</b>	<b>0</b>	<b>0</b>
<b>AMY L TABOR-DIRECTOR 5005 CARBINE COURT WINSTON-SALEM, NC 27101</b>	<b>0</b>	<b>0</b>
<b>BRADY P VICKERS-DIRECTOR 205 11<sup>TH</sup> STREET EAST WILLISTON, ND 58801</b>	<b>0</b>	<b>0</b>

Unrealized Positions As of: 12/31/2014 Created 8/14/2015 8 30 46 AM

Long Investments	Shares or Units	Unit Cost	Ong Cost	WS Adj	Cost Basis	Mkt Price	Mkt Value	ST Gain/Loss	LT Gain/Loss	% Change
AMERICAN CAPITAL AGENCY COR (AGNC)	5,600	\$29 8555	\$167,190 77		\$167,190 77	\$21 83	\$122,248 00		\$-44,942 77	-26 88
FANNIE MAE (FNMA)	1,553	\$3 0964	\$4,808 72		\$4,808 72	\$2 0550	\$3,191 42	\$-1,617 30		-33 63
IMMERSION CORP (IMMR)	10,000	\$6 1308	\$61,307 79		\$61,307 79	\$9 47	\$94,700 00		\$33,392 21	54 47
KAYNE ANDERSON MLP INVESTME (KYN)	3,000	\$36 9834	\$110,950 28		\$110,950 28	\$38 18	\$114,540 00	\$3,589 72		3 24
LIBERTY ALL-STAR GROWTH FUN (ASG)	6,182 674	\$5 4340	\$33,596 52		\$33,596 52	\$5 16	\$31,902 60	\$-1,693 92		-5 04
NEW YORK MORTGAGE TRUST INC (NYMT)	6,000	\$7 3574	\$44,144 42		\$44,144 42	\$7 71	\$48,260 00	\$2,115 58		4 79
THE GABELLI MULTIMEDIA TRUS (GGT)	5,000	\$11 3302	\$56,651 14		\$56,651 14	\$10 01	\$50,050 00	\$-6,601 14		-11 65
WHITING USA TRUST II (WHZ)	2,000	\$13 6315	\$27,263 00		\$27,263 00	\$5 56	\$11,120 00	\$-16,143 00		-59 21
<b>TOTAL</b>			\$505,912 64		\$505,912 64		\$474,012 02	\$-20,350 06	\$-11,550 56	-6 31

Short Investments	Shares or Units	Unit Proc	Ong Proceeds	WS Adj	Mkt Price	Mkt Value	ST Gain/Loss	LT Gain/Loss	% Change
AMGN Jan 23 2015 147 0 Put	100	\$0 8910	\$89 10		\$0 90	\$90 00	\$-0 90		-1 00
AXP Jan 17 2015 85 0 Put	100	\$1 1437	\$114 37		\$0 15	\$15 00	\$99 37		662 47
BHI Jan 15 2016 55 0 Put	500	\$6 4210	\$3,210 50		\$6 90	\$3,450 00	\$-239 50		-6 94
BX Mar 20 2015 31 0 Put	500	\$2 5334	\$1,266 70		\$0 62	\$310 00	\$956 70		308 61
CHK Apr 17 2015 25 0 Put	700	\$2 1934	\$1,535 38		\$6 05	\$4,235 00	\$-2,699 62		-63 75
CMCSA Jan 09 2015 54 5 Put	300	\$0 4661	\$139 83		\$0 08	\$24 00	\$115 83		482 62
COH May 15 2015 46 0 Put	300	\$12 3246	\$3,697 39		\$8 90	\$2,670 00	\$1,027 39		38 48
DAL Jan 17 2015 40 0 Put	600	\$0 7843	\$470 58		\$0 02	\$12 00	\$458 56		3,821 33
DOW Jan 23 2015 43 5 Put	300	\$0 3311	\$99 33		\$0 39	\$117 00	\$-17 67		-15 10
EBAY Feb 20 2015 52 5 Put	300	\$1 3640	\$409 20		\$0 73	\$219 00	\$190 20		86 85
GM Jan 09 2015 32 0 Put	500	\$0 4419	\$220 97		\$0 03	\$15 00	\$205 97		1,373 13
HOG Feb 20 2015 60 0 Put	300	\$1 0267	\$308 01		\$0 65	\$195 00	\$113 01		57 95
JPM Jan 02 2015 58 0 Put	200	\$0 4990	\$99 79		\$0 02	\$4 00	\$95 79		2,394 75
MET Jan 09 2015 54 5 Put	300	\$0 5218	\$156 54		\$0 48	\$144 00	\$12 54		8 71
MPC Jan 30 2015 81 0 Put	200	\$0 9024	\$180 48		\$0 75	\$150 00	\$30 49		20 33
NE Mar 20 2015 24 0 Put	700	\$2 1171	\$1,481 97		\$7 90	\$5,530 00	\$-4,048 03		-73 20
OXY1 May 15 2015 97 5 Put	100	\$12 3882	\$1,238 82		\$15 75	\$1,575 00	\$-336 18		-21 34
T Jan 17 2015 33 0 Put	400	\$0 4970	\$198 81		\$0 39	\$156 00	\$42 81		27 44
VLO Jan 15 2016 45 0 Put	300	\$5 7768	\$1,733 04		\$4 15	\$1,245 00	\$488 04		39 20
VZ Jan 17 2015 45 0 Put	300	\$0 6223	\$186 69		\$0 24	\$72 00	\$114 69		159 29
WLL Jan 15 2016 40 0 Put	900	\$11 2956	\$10,166 01		\$12 26	\$11,034 00	\$-867 99		-7 87
YUM Apr 17 2015 70 0 Put	200	\$3 1581	\$631 23		\$2 50	\$500 00	\$131 23		28 25
<b>TOTAL</b>			\$27,634 73			\$31,762 00	\$-4,127 27		-12 99

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Realized Sale Activity Summary 01/01/2014 -- 12/31/2014 Created: 8/6/2015 4:46 54 PM

Securities Sold	Purchased	Units Sold	Proceeds	Ong Cost	WS Adj	Cost Basis	ST Gain/Loss	LT Gain/Loss
AAPL Aug 22 2014 91 0 Put		200	\$108 93	\$0 00		\$0 00	\$108 93	
AAPL Sep 12 2014 96 0 Put		100	\$34 22	\$0 00		\$0 00	\$34 22	
AAPL7 Feb 07 2014 545 0 Put		30	\$392 67	\$801 62		\$801 62	\$-408 95	
AAPL7 Jun 13 2014 83 57 Put		210	\$95 67	\$0 00		\$0 00	\$95 67	
AAPL7 May 17 2014 520 0 Put		30	\$848 45	\$0 00		\$0 00	\$848 45	
AET Aug 01 2014 78 0 Put		300	\$120 45	\$114 59		\$114 59	\$5 86	
AET Aug 29 2014 75 0 Put		300	\$218 67	\$0 00		\$0 00	\$218 67	
AET Mar 22 2014 65 0 Put		200	\$131 79	\$0 00		\$0 00	\$131 79	
AGU Apr 19 2014 90 0 Put		200	\$272 33	\$0 00		\$0 00	\$272 33	
AGU Jun 13 2014 90 0 Put		100	\$66 34	\$0 00		\$0 00	\$66 34	
AIG Aug 01 2014 54 0 Put		300	\$84 15	\$561 02		\$561 02	\$-476 87	
AIG Dec 26 2014 52 5 Put		300	\$164 16	\$15 08		\$15 08	\$149 08	
AIG Jun 13 2014 52 0 Put		400	\$137 78	\$0 00		\$0 00	\$137 78	
AIG Oct 18 2014 52 5 Put		300	\$600 86	\$105 32		\$105 32	\$495 54	
AMERICAN CAPITAL AGENCY COR (AGNC)		4,000	\$81,363 52	\$113,105 48		\$113,105 48		\$-31,741 86
AMGN Jul 25 2014 114 0 Put		100	\$36 62	\$0 00		\$0 00	\$36 62	
AMGN May 30 2014 108 0 Put		200	\$192 45	\$0 00		\$0 00	\$192 45	
APA Jul 19 2014 95 0 Put		200	\$131 61	\$0 00		\$0 00	\$131 61	
AXP Dec 20 2014 89 0 Put		100	\$58 14	\$85 88		\$85 88	\$-27 74	
BA Dec 12 2014 120 0 Put		100	\$92 20	\$0 00		\$0 00	\$92 20	
BA Sep 05 2014 121 0 Put		100	\$72 34	\$19 77		\$19 77	\$52 57	
BAKER HUGHES INC (BHI)		200	\$11,272 26	\$12,910 69		\$12,910 69	\$-1,638 43	
BAX Jul 11 2014 72 0 Put		300	\$116 46	\$0 00		\$0 00	\$116 46	
BEN Apr 19 2014 50 0 Put		300	\$177 33	\$0 00		\$0 00	\$177 33	
BHI Apr 17 2015 57 5 Put		400	\$2,161 30	\$2,178 69		\$2,178 69	\$-17 39	
BHI Aug 16 2014 72 5 Put		200	\$109 31	\$976 50		\$976 50	\$-867 19	
BRKB Aug 16 2014 125 0 Put		100	\$50 44	\$0 00		\$0 00	\$50 44	
BRKB Jul 19 2014 125 0 Put		100	\$60 32	\$0 00		\$0 00	\$60 32	
BRKB Jun 21 2014 125 0 Put		100	\$44 16	\$0 00		\$0 00	\$44 16	
BX Aug 16 2014 34 0 Put		500	\$178 18	\$337 31		\$337 31	\$-159 13	
BX Jul 19 2014 32 0 Put		700	\$325 62	\$0 00		\$0 00	\$325 62	
BX Mar 22 2014 30 0 Put		500	\$280 88	\$0 00		\$0 00	\$280 88	
BX Sep 26 2014 33.0 Put		500	\$431 07	\$900 61		\$900 61	\$-469 54	
CBOE Dec 20 2014 57 5 Put		300	\$201 90	\$15 08		\$15 08	\$186 82	
CHIMERA INVESTMENT CORP (CIM)		6,500	\$19,691 16	\$23,324 88		\$23,324 88		\$-3,633 72
CHK Jun 21 2014 26 0 Put		500	\$180 73	\$0 00		\$0 00	\$180 73	
CHK Mar 22 2014 23 0 Put		800	\$327 80	\$0 00		\$0 00	\$327 80	
CHK Sep 20 2014 27 0 Put		700	\$645 02	\$1,352 76		\$1,352 76	\$-707.74	
CHK1 Jul 25 2014 29 0 Put		700	\$234 27	\$295 41		\$295 41	\$-61 14	
CMCSA Apr 19 2014 49 0 Put		300	\$218 88	\$89 18		\$89 18	\$129 70	
CMCSA Aug 16 2014 53 5 Put		300	\$116 85	\$0 00		\$0 00	\$116 85	
CMCSA Dec 12 2014 51 5 Put		300	\$136 32	\$15 08		\$15 08	\$121 24	
CMCSA Jul 25 2014 52 5 Put		400	\$149 98	\$0 00		\$0 00	\$149 98	
CMCSA Jun 21 2014 50 0 Put		400	\$189 78	\$0 00		\$0 00	\$189 78	
CMCSA May 17 2014 49 0 Put		300	\$330 36	\$0 00		\$0 00	\$330 36	
CMCSA Nov 14 2014 50 0 Put		300	\$158 31	\$11 24		\$11 24	\$147 07	
CMCSA Sep 20 2014 53 5 Put		300	\$93 75	\$0 00		\$0 00	\$93 75	
CNQ Apr 19 2014 36 0 Put		500	\$297 88	\$0 00		\$0 00	\$297 88	
TOTAL			\$350,637 34	\$367,378 65		\$367,378.65	\$1,421 08	\$-18,162.39

Securities Sold	Purchased	Units Sold	Proceeds	Ong Cost	WS Adj	Cost Basis	ST Gain/Loss	LT Gain/Loss
COACH INC (COH)		300	\$10,258.25	\$11,996.65		\$11,996.65	\$-1,738.40	
COH May 17 2014 48 0 Put		300	\$350.13	\$1,675.85		\$1,675.85	\$-1,325.72	
COO Jun 21 2014 120 0 Put		100	\$50.65	\$0.00		\$0.00	\$50.65	
DAL Dec 20 2014 35 0 Put		600	\$2,049.58	\$751.86		\$751.86	\$1,297.72	
DAL Jul 19 2014 38 0 Put		600	\$204.95	\$1,870.44		\$1,870.44	\$-1,665.49	
DAL Mar 20 2015 34 0 Put		600	\$1,030.62	\$410.73		\$410.73	\$619.89	
DIS Nov 22 2014 85 0 Put		100	\$84.72	\$3.27		\$3.27	\$81.45	
DOW Jul 19 2014 50 0 Put		400	\$176.18	\$0.00		\$0.00	\$176.18	
DOW Mar 22 2014 45 0 Put		300	\$262.38	\$0.00		\$0.00	\$262.38	
EBAY Apr 17 2015 50 0 Put		300	\$859.34	\$391.43		\$391.43	\$467.91	
EBAY Aug 16 2014 55 0 Put		300	\$1,799.16	\$325.55		\$325.55	\$1,473.61	
EBAY Feb 22 2014 52 5 Put		300	\$172.59	\$0.00		\$0.00	\$172.59	
EBAY Jun 21 2014 55 0 Put		300	\$809.63	\$1,706.15		\$1,706.15	\$-896.52	
EBAY Mar 22 2014 55 0 Put		300	\$206.67	\$0.00		\$0.00	\$206.67	
EBAY Mar 28 2014 57 5 Put		300	\$233.40	\$739.82		\$739.82	\$-506.42	
EBAY Nov 28 2014 52 5 Put		300	\$1,524.48	\$662.63		\$662.63	\$861.85	
EBAY Oct 18 2014 52 5 Put		300	\$429.27	\$1,435.40		\$1,435.40	\$-1,006.13	
ESRX Aug 01 2014 64 5 Put		300	\$147.99	\$0.00		\$0.00	\$147.99	
ESRX Dec 20 2014 75 0 Put		200	\$204.55	\$10.05		\$10.05	\$194.50	
ESRX Mar 22 2014 70 0 Put		200	\$196.75	\$0.00		\$0.00	\$196.75	
ESRX Oct 31 2014 70 0 Put		200	\$274.04	\$0.00		\$0.00	\$274.04	
ESRX Sep 12 2014 74 0 Put		200	\$79.99	\$53.60		\$53.60	\$26.39	
ESRX Sep 26 2014 72 5 Put		200	\$82.78	\$182.22		\$182.22	\$-99.44	
FB Jul 25 2014 54 5 Put		400	\$198.98	\$18.10		\$18.10	\$180.88	
GILD Aug 08 2014 82 0 Put		200	\$131.67	\$0.00		\$0.00	\$131.67	
GILD Aug 29 2014 96 0 Put		200	\$77.33	\$0.00		\$0.00	\$77.33	
GILD Dec 20 2014 92 5 Put		100	\$105.72	\$0.00		\$0.00	\$105.72	
GILD Jun 13 2014 79 0 Put		200	\$132.13	\$0.00		\$0.00	\$132.13	
GILD Nov 14 2014 95 0 Put		100	\$63.21	\$0.00		\$0.00	\$63.21	
GILD Sep 20 2014 100 0 Put		100	\$43.73	\$0.00		\$0.00	\$43.73	
GPS Dec 20 2014 36 0 Put		400	\$153.02	\$20.10		\$20.10	\$132.92	
GS Aug 29 2014 165 0 Put		100	\$145.11	\$0.00		\$0.00	\$145.11	
GS Sep 20 2014 172 5 Put		100	\$81.87	\$0.00		\$0.00	\$81.87	
HAL Apr 19 2014 52 5 Put		300	\$232.62	\$0.00		\$0.00	\$232.62	
HAL Feb 22 2014 49 0 Put		300	\$147.41	\$0.00		\$0.00	\$147.41	
HAL Jun 21 2014 62 5 Put		300	\$200.16	\$0.00		\$0.00	\$200.16	
HATTERAS FINANCIAL CORP (HTS)		3,500	\$62,019.19	\$87,501.71		\$87,501.71		\$-25,482.52
IMMERSON CORP (IMMR)		7,800	\$87,511.49	\$49,083.64		\$49,083.64		\$38,427.85
IP May 17 2014 45 0 Put		400	\$297.30	\$0.00		\$0.00	\$297.30	
JPM Apr 19 2014 55 0 Put		300	\$299.67	\$85.04		\$85.04	\$214.63	
JPM Aug 16 2014 52 5 Put		300	\$391.32	\$15.02		\$15.02	\$376.30	
JPM May 17 2014 55 0 Put		300	\$332.22	\$222.50		\$222.50	\$109.72	
MA Apr 19 2014 75 0 Put		200	\$499.88	\$694.04		\$694.04	\$-194.16	
MA Feb 22 2014 77 0 Put		200	\$210.71	\$291.10		\$291.10	\$-80.39	
MA Oct 18 2014 70 0 Put		200	\$865.23	\$122.30		\$122.30	\$742.93	
MET Aug 16 2014 52 5 Put		300	\$115.23	\$127.46		\$127.46	\$-12.23	
MET Sep 20 2014 50 0 Put		300	\$143.34	\$45.32		\$45.32	\$98.02	
MPC Dec 26 2014 88 0 Put		200	\$110.49	\$10.05		\$10.05	\$100.44	
MPC Jan 17 2015 77 5 Put		200	\$280.18	\$61.55		\$61.55	\$218.63	
TOTAL			\$350,637.34	\$367,378.65		\$367,378.65	\$1,421.08	\$-18,162.39

Securities Sold	Purchased	Units Sold	Proceeds	Orig Cost	WS Adj	Cost Basis	ST Gain/Loss	LT Gain/Loss
MPC Jun 21 2014 85 0 Put		200	\$100 47	\$0 00		\$0 00	\$100 47	
MPC Nov 28 2014 80 0 Put		200	\$657 17	\$187 90		\$187 90	\$469 27	
MPC Oct 10 2014 84 0 Put		200	\$157 64	\$567 78		\$567 78	\$-410 14	
MPC Sep 20 2014 87 5 Put		200	\$128 53	\$52 10		\$52 10	\$76 43	
MS Dec 20 2014 34 0 Put		400	\$200 86	\$0 00		\$0 00	\$200 86	
MS Oct 18 2014 33 0 Put		500	\$171 58	\$46 82		\$46 82	\$124 76	
MS Oct 31 2014 32 5 Put		500	\$248 72	\$0 00		\$0 00	\$248 72	
MSFT Apr 19 2014 37 0 Put		400	\$189 38	\$0 00		\$0 00	\$189 38	
MSFT Jul 25 2014 40 5 Put		500	\$198 58	\$0 00		\$0 00	\$198 58	
MSFT Jun 13 2014 39 0 Put		400	\$180 62	\$0 00		\$0 00	\$180 62	
MSFT Oct 24 2014 44 5 Put		300	\$107 85	\$0 00		\$0 00	\$107 85	
MU Dec 12 2014 30 5 Put		500	\$212 62	\$25 12		\$25 12	\$187 50	
NE Jul 11 2014 31 0 Put		700	\$287 40	\$0 00		\$0 00	\$287 40	
NE Sep 20 2014 26 0 Put		700	\$349 28	\$1,168 66		\$1,168 66	\$-819 38	
NE1 Aug 16 2014 30 0 Put		600	\$262 85	\$194 16		\$194 16	\$68 69	
NKE Dec 20 2014 92 5 Put		100	\$52 34	\$0 00		\$0 00	\$52 34	
NOC Nov 22 2014 125 0 Put		100	\$84 02	\$0 00		\$0 00	\$84 02	
NOC Sep 20 2014 125 0 Put		100	\$84 64	\$0 00		\$0 00	\$84 64	
ORCL Aug 16 2014 40 0 Put		500	\$454 71	\$168 86		\$168 86	\$285 85	
ORCL Dec 20 2014 38 0 Put		400	\$203 66	\$0 00		\$0 00	\$203 66	
ORCL Jun 21 2014 41 0 Put		500	\$211 23	\$332 31		\$332 31	\$-121 08	
ORCL Sep 20 2014 39 0 Put		500	\$331 17	\$0 00		\$0 00	\$331 17	
OXY Aug 08 2014 97 0 Put		200	\$177 80	\$0 00		\$0 00	\$177 80	
OXY Jul 19 2014 100 0 Put		200	\$150 89	\$50 80		\$50 80	\$100 09	
OXY Jun 06 2014 93 0 Put		200	\$81 89	\$0 00		\$0 00	\$81 89	
OXY May 17 2014 92 5 Put		100	\$102 62	\$0 00		\$0 00	\$102 62	
OXY Nov 22 2014 97 5 Put		100	\$302 23	\$1,069 46		\$1,069 46	\$-767 23	
OXY Sep 12 2014 100 0 Put		100	\$67 02	\$241 65		\$241 65	\$-174 63	
PSX Apr 19 2014 72 5 Put		200	\$245 89	\$0 00		\$0 00	\$245 89	
QCOM Aug 16 2014 74 5 Put		200	\$103 65	\$91 10		\$91 10	\$12 55	
QCOM Aug 29 2014 73 5 Put		200	\$142 78	\$0 00		\$0 00	\$142 78	
QCOM Dec 26 2014 68 5 Put		200	\$133 89	\$0 00		\$0 00	\$133 89	
RESOURCE CAPITAL CORP (RSO)		3,600	\$21,301 99	\$17,034 03		\$17,034 03		\$4,267 96
SLB Mar 22 2014 87 5 Put		200	\$189 93	\$0 00		\$0 00	\$189 93	
T Oct 31 2014 34 0 Put		400	\$127 18	\$14 54		\$14 54	\$112 64	
TLT Aug 01 2014 111 5 Put		200	\$144 85	\$0 00		\$0 00	\$144 85	
TLT Aug 22 2014 113 0 Put		200	\$138 51	\$0 00		\$0 00	\$138 51	
TLT Nov 07 2014 115 0 Put		100	\$85 22	\$0 00		\$0 00	\$85 22	
TLT Oct 03 2014 111 5 Put		100	\$55 20	\$0 00		\$0 00	\$55 20	
TLT Oct 10 2014 113 5 Put		100	\$47 22	\$0 00		\$0 00	\$47 22	
TLT Oct 18 2014 114 5 Put		100	\$59 14	\$0 00		\$0 00	\$59 14	
TWX Dec 20 2014 75 0 Put		200	\$260 57	\$0 00		\$0 00	\$260 57	
TWX Jul 19 2014 65 0 Put		300	\$142 47	\$0 00		\$0 00	\$142 47	
TWX Oct 10 2014 72 5 Put		200	\$96 03	\$87 86		\$87 86	\$8 17	
TWX Oct 31 2014 70 0 Put		200	\$181 50	\$0 00		\$0 00	\$181 50	
TWX Sep 12 2014 73 5 Put		300	\$105 93	\$0 00		\$0 00	\$105 93	
UNH Jun 21 2014 72 5 Put		200	\$190 85	\$0 00		\$0 00	\$190 85	
UNH Mar 28 2014 75 0 Put		200	\$101 03	\$0 00		\$0 00	\$101 03	
VIAB Dec 20 2014 70 0 Put		200	\$211 13	\$0 00		\$0 00	\$211 13	
TOTAL			\$350,637 34	\$367,378 65		\$367,378 65	\$1,421 08	\$-18,162 39

Securities Sold	Purchased	Units Sold	Proceeds	Orig Cost	WS Adj	Cost Basis	ST Gain/Loss	LT Gain/Loss
VLO Aug 22 2014 47 5 Put		400	\$155 06	\$0 00		\$0 00	\$155 06	
VLO Jul 25 2014 48 0 Put		400	\$160 82	\$0 00		\$0 00	\$160 82	
VLO Mar 20 2015 50 0 Put		300	\$1,684 35	\$1,631 33		\$1,631 33	\$53 02	
VLO Mar 22 2014 47 0 Put		300	\$366 00	\$0 00		\$0 00	\$366 00	
VLO Sep 26 2014 52 0 Put		300	\$138 63	\$1,400 54		\$1,400 54	-\$1,261 91	
VZ Apr 19 2014 45 0 Put		300	\$206 94	\$0 00		\$0 00	\$206 94	
VZ Jul 19 2014 48 0 Put		400	\$228 30	\$0 00		\$0 00	\$228 30	
VZ Mar 22 2014 45 0 Put		400	\$211 06	\$0 00		\$0 00	\$211 06	
VZ Oct 10 2014 48 0 Put		300	\$143 67	\$15 08		\$15 08	\$128 59	
VZ Oct 31 2014 48 5 Put		300	\$120 21	\$0 00		\$0 00	\$120 21	
VZ Sep 05 2014 48 0 Put		300	\$104 19	\$0 00		\$0 00	\$104 19	
WLL Apr 19 2014 65 0 Put		200	\$232 35	\$0 00		\$0 00	\$232 35	
WLL Dec 20 2014 80 0 Put		200	\$823 77	\$8,079 04		\$8,079 04	-\$7,255 27	
WLL Jan 15 2016 80 0 Put		200	\$8,138 57	\$10,159 40		\$10,159 40	-\$2,020 83	
WLL Jun 21 2014 67 5 Put		200	\$218 45	\$0 00		\$0 00	\$218 45	
WLL Mar 20 2015 35 0 Put		700	\$5,218 73	\$3,927 56		\$3,927 56	\$1,291 17	
WLL Sep 20 2014 85 0 Put		200	\$99 25	\$616 76		\$616 76	-\$517 51	
WLP Apr 19 2014 90 0 Put		100	\$137 55	\$0 00		\$0 00	\$137 55	
WLP Aug 16 2014 106 0 Put		100	\$71 44	\$0 00		\$0 00	\$71 44	
WLP Aug 29 2014 109 0 Put		200	\$79 53	\$0 00		\$0 00	\$79 53	
WLP Jul 19 2014 105 0 Put		200	\$137 27	\$0 00		\$0 00	\$137 27	
WLP Jun 21 2014 105 0 Put		200	\$87 97	\$0 00		\$0 00	\$87 97	
WLP Nov 28 2014 120 0 Put		100	\$68 23	\$0 00		\$0 00	\$68 23	
WLP Oct 18 2014 112 0 Put		100	\$44 99	\$0 00		\$0 00	\$44 99	
WLP Sep 20 2014 112 0 Put		100	\$40 48	\$0 00		\$0 00	\$40 48	
YUM Aug 08 2014 76 0 Put		200	\$116 34	\$1,285 60		\$1,285 60	-\$1,169 26	
YUM Jan 17 2015 72 5 Put		200	\$628 93	\$531 50		\$531 50	\$97 43	
YUM Jul 19 2014 77 5 Put		200	\$162 85	\$47 00		\$47 00	\$115 85	
YUM Oct 18 2014 75 0 Put		200	\$1,307 70	\$621 92		\$621 92	\$685 78	
<b>TOTAL</b>			<b>\$350,637 34</b>	<b>\$367,378 65</b>		<b>\$367,378 65</b>	<b>\$1,421 08</b>	<b>-\$18,162 39</b>

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