EXTENDED TO NOVEMBER 16, 2015

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: NORQUIST-ROBINSON FOUNDATION

A Employer identification number: 43-6038171

Number and street (or P O box number if mail is not delivered to street address): 5301 WEST 67TH STREET | Room/suite:

B Telephone number: 913-432-5301

City or town, state or province, country, and ZIP or foreign postal code: PRAIRIE VILLAGE, KS 66208

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (*from Part II, col (c), line 16*) ▶$ 1,028,063.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc, received | | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 31,286. | 29,261. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 28,554. | | | |
| | b Gross sales price for all assets on line 6a 232,462. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 28,554. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 Total Add lines 1 through 11 | 59,840. | 57,815. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees STMT 2 | 200. | 100. | | 100. |
| | b Accounting fees STMT 3 | 4,450. | 0. | | 4,450. |
| | c Other professional fees STMT 4 | 5,088. | 2,544. | | 2,544. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 5 | 71. | 71. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 6 | 174. | 87. | | 87. |
| | 24 Total operating and administrative expenses Add lines 13 through 23 | 9,983. | 2,802. | | 7,181. |
| | 25 Contributions, gifts, grants paid | 41,750. | | | 41,750. |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 51,733. | 2,802. | | 48,931. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 8,107. | | | |
| | b Net investment income (if negative, enter -0-) | | 55,013. | | |
| | c Adjusted net income (if negative, enter -0-) | | | N/A | |

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LHA For Paperwork Reduction Act Notice, see instructions

Form **990-PF** (2014)

90-29



14 144524 680280 2014.04030 NOROUIST-ROBINSON FOUNDATIO 6802801

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 9,986. | 8,561. | 8,561. |
| | 2 Savings and temporary cash investments | 21,003. | 36,533. | 36,533. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations STMT 7 | 30,000. | 30,000. | 31,525. |
| | b Investments - corporate stock STMT 8 | 460,260. | 505,306. | 817,865. |
| | c Investments - corporate bonds STMT 9 | 189,976. | 138,932. | 133,579. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 711,225. | 719,332. | 1,028,063. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31** | | | |
| | 27 Capital stock, trust principal, or current funds | 711,225. | 719,332. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| | 30 **Total net assets or fund balances** | 711,225. | 719,332. | |
| | 31 **Total liabilities and net assets/fund balances** | 711,225. | 719,332. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 711,225. |
| 2 Enter amount from Part I, line 27a | 2 | 8,107. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 719,332. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 719,332. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE ATTACHED | P | VARIOUS | 12/31/14 |
| b | SEE ATTACHED | P | VARIOUS | 12/31/14 |
| c | CAPITAL GAINS DIVIDENDS | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 19,745. | | 21,383. | <1,638.> |
| b | 212,149. | | 182,525. | 29,624. |
| c | 568. | | | 568. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | <1,638.> |
| b | | | | 29,624. |
| c | | | | 568. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 28,554.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2013 | 50,933. | 924,663. | .055083 |
| 2012 | 37,753. | 906,780. | .041634 |
| 2011 | 41,834. | 900,211. | .046471 |
| 2010 | 42,596. | 858,057. | .049642 |
| 2009 | 45,880. | 809,258. | .056694 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .249524 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049905 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 976,499. |
| 5 | Multiply line 4 by line 3 | 5 | 48,732. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 550. |
| 7 | Add lines 5 and 6 | 7 | 49,282. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 48,931. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 1,100. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 1,100. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 1,100. |
| 6 Credits/Payments: | | | | |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014 | 6a | 757. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 225. | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 982. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached | | | 8 | |
| 9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 10 ▶ | | | 9 | 118. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ **Refunded** ▶ | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A | Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ ANNE PATTERSON Telephone no. ▶ 913-432-5301

Located at ▶ 5301 WEST 67TH STREET, PRAIRIE VILLAGE, KS ZIP+4 ▶ 66208

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required**

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | **Yes** | **No** |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d)* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ANNE N. PATTERSON<br>5301 WEST 67TH STREET<br>PRAIRIE VILLAGE, KS 66208 | PRESIDENT<br>0.00 | 0. | 0. | 0. |
| MARK E. PATTERSON<br>4145 TERRACE<br>KANSAS CITY, MO 64112 | ASSISTANT SECRETARY<br>0.00 | 0. | 0. | 0. |
| CRAIG PATTERSON<br>5301 WEST 67TH STREET<br>PRAIRIE VILLAGE, KS 66208 | VICE-PRESIDENT<br>0.00 | 0. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 938,458. |
| b | Average of monthly cash balances | 1b | 52,912. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 991,370. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 991,370. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 14,871. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 976,499. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 48,825. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 48,825. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 2a 1,100. | | |
| b | Income tax for 2014. (This does not include the tax from Part VI.) 2b | | |
| c | Add lines 2a and 2b | 2c | 1,100. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 47,725. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 47,725. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 47,725. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 48,931. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 48,931. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions** Subtract line 5 from line 4 | 6 | 48,931. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 47,725. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 38,378. | |
| b Total for prior years: ___, ___, ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014: | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 48,931. | | | | |
| a Applied to 2013, but not more than line 2a | | | 38,378. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 10,553. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2014 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 37,172. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2015** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 | | | | |

**Part XIV | Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ *if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If* the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ANNE PATTERSON
5301 WEST 67TH ST, PRAIRIE VILLAGE, KS 66208

b The form in which applications should be submitted and information and materials they should include:

ORGANIZATIONS SHOULD INCLUDE AN OUTLINE OF THEIR

c Any submission deadlines:

APPLICATIONS ARE ACCEPTED AT ANY TIME DURING THE YEAR. MAJOR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE ATTACHED LIST | | | | 41,750. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3a | 41,750. |
| b *Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 31,286. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 28,554. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a MISCELLANEOUS INCOME | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 59,840. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 59,840.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anne N. Patterson — Signature of officer or trustee | 11-11-15 Date | President Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| ANN M. SWARTS | Ann M Swarts | 11/6/15 | | P00435991 |

Firm's name ▶ CBIZ MHM, LLC — Firm's EIN ▶ 34-1874260

Firm's address ▶ 700 WEST 47TH STREET, SUITE 1100
KANSAS CITY, MO 64112 — Phone no. 816-945-5500

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| DIV AND INT | 29,829. | 568. | 29,261. | 29,261. | |
| TAX EX INT | 2,025. | 0. | 2,025. | 0. | |
| TO PART I, LINE 4 | 31,854. | 568. | 31,286. | 29,261. | |

FORM 990-PF LEGAL FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 200. | 100. | | 100. |
| TO FM 990-PF, PG 1, LN 16A | 200. | 100. | | 100. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 4,450. | 0. | | 4,450. |
| TO FORM 990-PF, PG 1, LN 16B | 4,450. | 0. | | 4,450. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | 5,088. | 2,544. | | 2,544. |
| TO FORM 990-PF, PG 1, LN 16C | 5,088. | 2,544. | | 2,544. |

| FORM 990-PF | TAXES | STATEMENT 5 |
|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAX EXPENSE | 71. | 71. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 71. | 71. | | 0. |

| FORM 990-PF | OTHER EXPENSES | STATEMENT 6 |
|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| UBS ACCOUNT FEE | 150. | 75. | | 75. |
| BANK SERVICE FEE | 24. | 12. | | 12. |
| TO FORM 990-PF, PG 1, LN 23 | 174. | 87. | | 87. |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT 7 |
|---|---|---|

| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| SEE ATTACHED UBS STATEMENT | | X | 30,000. | 31,525. |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | | |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | 30,000. | 31,525. |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 30,000. | 31,525. |

FORM 990-PF CORPORATE STOCK STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED UBS STATEMENT | 505,306. | 817,865. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 505,306. | 817,865. |

FORM 990-PF CORPORATE BONDS STATEMENT 9

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED UBS STATEMENT | 138,932. | 133,579. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 138,932. | 133,579. |

FORM 990-PF INTEREST AND PENALTIES STATEMENT 10

| | |
|---|---|
| TAX DUE FROM FORM 990-PF, PART VI | 118. |
| LATE PAYMENT INTEREST | 5. |
| LATE PAYMENT PENALTY | 12. |
| TOTAL AMOUNT DUE | 135. |

FORM 990-PF LATE PAYMENT PENALTY STATEMENT 11

| DESCRIPTION | DATE | AMOUNT | BALANCE | MONTHS | PENALTY |
|---|---|---|---|---|---|
| TAX DUE | 05/15/15 | 343. | 343. | 7 | 12. |
| DATE FILED | 11/16/15 | | 343. | | |
| TOTAL LATE PAYMENT PENALTY | | | | | 12. |

# Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

## Cash

### Cash and money balances

*Cash and money balances* may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

| Holding | Opening balance on Dec 1 ($) | Closing balance on Dec 31 ($) | Price per share on Dec 31 ($) | Average rate | Dividend/Interest period | Days in period | Cap amount ($) |
|---|---|---|---|---|---|---|---|
| UBS BANK USA BUS ACCT | 104,747 94 | 36,533 59 | | | | | 250,000 00 |

## Equities

### Common stock

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| AT&T INC | | | | | | | | |
| Symbol T Exchange. NYSE | | | | | | | | |
| EAI $564 Current yield 5 60% | Jan 14, 08 | 135 000 | 38 739 | 5,229 80 | 33 590 | 4,534 65 | -695 15 | LT |
| | May 2, 13 | 165 000 | 37 890 | 6,251 97 | 33 590 | 5,542 35 | -709 62 | LT |
| Security total | | 300 000 | 38 273 | 11,481 77 | | 10,077 00 | -1,404 77 | |
| BAXTER INTL INC | | | | | | | | |
| Symbol BAX Exchange NYSE | | | | | | | | |
| EAI $312 Current yield 2 84% | Feb 11, 14 | 150 000 | 68 768 | 10,315 30 | 73 290 | 10,993 50 | 678 20 | ST |
| CHEVRON CORP | | | | | | | | |
| Symbol CVX Exchange NYSE | | | | | | | | |
| EAI $1,070 Current yield 3 82% | | 250 000 | 14428 | 3,606.87 | 112 180 | 28,045 00 | | |
| CME GROUP INC | | | | | | | | |
| Symbol CME Exchange OTC | | | | | | | | |
| EAI $291 Current yield 2 12% | Aug 28, 12 | 155 000 | 54 152 | 8,393 59 | 88 650 | 13,740 75 | 5,347 16 | LT |

*continued next page*

## Your assets › **Equities** › **Common stock** (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| COMMERCE BANCSHARES<br>Symbol CBSH Exchange OTC<br>EAI $362 Current yield 2 07% | May 11, 05 | 402 000 | 30 001 | 12,060 53 | 43 490 | 17,482 98 | 5,422 45 | LT |
| DANAHER CORP<br>Symbol DHR Exchange NYSE<br>EAI $160 Current yield 0 47% | May 17, 01 | 400 000 | 15 412 | 6,164 85 | 85 710 | 34,284 00 | 28,119 15 | LT |
| DU PONT DE NEMOURS<br>Symbol· DD Exchange NYSE<br>EAI $235 Current yield 2 54% | Oct 9, 06 | 125 000 | 45 825 | 5,728 24 | 73 940 | 9,242 50 | 3,514 26 | LT |
| DUKE ENERGY CORP NEW<br>Symbol DUK Exchange NYSE<br>EAI $318 Current yield 3 81% | Oct 6, 10 | 100 000 | 53 675 | 5,367 50 | 83 540 | 8,354 00 | 2,986 50 | LT |
| ECOLAB INC<br>Symbol ECL Exchange NYSE<br>EAI $148 Current yield 1 26% | May 15, 02 | 112 000 | 23 443 | 2,625 72 | 104 520 | 11,706 24 | 9,080 52 | LT |
| EMERSON ELECTRIC CO<br>Symbol EMR Exchange NYSE<br>EAI $564 Current yield 3 05% | May 6, 09 | 140 000 | 36 408 | 5,097 18 | 61 730 | 8,642 20 | 3,545 02 | LT |
| | May 2, 13 | 160 000 | 55 817 | 8,930 73 | 61 730 | 9,876 80 | 946 07 | LT |
| Security total | | 300 000 | 46 760 | 14,027 91 | | 18,519 00 | 4,491.09 | |
| EXXON MOBIL CORP<br>Symbol XOM Exchange NYSE<br>EAI $552 Current yield 2 99% | Dec 10, 03 | 200 000 | 38 170 | 7,634 00 | 92 450 | 18,490 00 | 10,856 00 | LT |
| FORD MOTOR CO COM NEW<br>Symbol F Exchange NYSE<br>EAI $600 Current yield 3 23% | May 8, 13 | 1,200 000 | 14 301 | 17,162 25 | 15 500 | 18,600 00 | 1,437 75 | LT |
| GENL ELECTRIC CO<br>Symbol GE Exchange NYSE<br>EAI $400 Current yield 3 64% | Dec 10, 10 | 435 000 | 17 417 | 7,576 66 | 25 270 | 10,992 45 | 3,415 79 | LT |
| GENL MILLS INC<br>Symbol GIS Exchange NYSE<br>EAI $328 Current yield. 3 08% | May 20, 10 | 200.000 | 36 321 | 7,264 20 | 53 330 | 10,666 00 | 3,401 80 | LT |
| INTEL CORP<br>Symbol INTC Exchange OTC<br>EAI $450 Current yield 2 48% | Feb 5, 09 | 375 000 | 14 209 | 5,328 56 | 36 290 | 13,608 75 | 8,280 19 | LT |

*continued next page*

## Your assets › **Equities** › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| | Apr 17, 12 | 125 000 | 28 781 | 3,597 63 | 36 290 | 4,536 25 | 938 62 | LT |
| Security total | | 500 000 | 17 852 | 8,926 19 | | 18,145 00 | 9,218 81 | |
| JOHNSON & JOHNSON COM<br>Symbol JNJ Exchange NYSE<br>EAI $840 Current yield 2 68% | May 3, 01 | 300 000 | 48 375 | 14,512 50 | 104 570 | 31,371 00 | 16,858 50 | LT |
| MCDONALDS CORP<br>Symbol MCD Exchange NYSE<br>EAI $391 Current yield 3 63% | Oct 23, 08 | 50 000 | 53 265 | 2,663 27 | 93 700 | 4,685 00 | 2,021 73 | LT |
| | Jan 21, 09 | 65 000 | 58 140 | 3,779 13 | 93 700 | 6,090 50 | 2,311 37 | LT |
| Security total | | 115 000 | 56 021 | 6,442 40 | | 10,775 50 | 4,333 10 | |
| MICROSOFT CORP<br>Symbol MSFT Exchange OTC<br>EAI $620 Current yield 2 67% | Dec 11, 02 | 250 000 | 27 412 | 6,853 04 | 46 450 | 11,612 50 | 4,759 46 | LT |
| | Jan 26, 07 | 250 000 | 31 050 | 7,762 50 | 46 450 | 11,612 50 | 3,850 00 | LT |
| Security total | | 500 000 | 29 231 | 14,615 54 | | 23,225 00 | 8,609 46 | |
| NIKE INC CL B<br>Symbol NKE Exchange NYSE<br>EAI $336 Current yield 1 16% | Feb 4, 13 | 155 000 | 53 956 | 8,363 29 | 96 150 | 14,903 25 | 6,539 96 | LT |
| | May 2, 13 | 145 000 | 63 424 | 9,196 54 | 96 150 | 13,941 75 | 4,745 21 | LT |
| Security total | | 300 000 | 58 533 | 17,559 83 | | 28,845 00 | 11,285 17 | |
| ORACLE CORP<br>Symbol ORCL Exchange NYSE<br>EAI $240 Current yield 1 07% | Oct 1, 10 | 175 000 | 27 558 | 4,822 65 | 44 970 | 7,869 75 | 3,047 10 | LT |
| | Apr 17, 12 | 125 000 | 29 559 | 3,694 93 | 44 970 | 5,621 25 | 1,926 32 | LT |
| | May 2, 13 | 200 000 | 33 867 | 6,773 41 | 44 970 | 8,994 00 | 2,220 59 | LT |
| Security total | | 500 000 | 30 582 | 15,290 99 | | 22,485 00 | 7,194 01 | |
| PEPSICO INC<br>Symbol PEP Exchange NYSE<br>EAI $524 Current yield 2 77% | Feb 17, 99 | 200 000 | 38 847 | 7,769 50 | 94 560 | 18,912 00 | 11,142 50 | LT |
| PFIZER INC<br>Symbol PFE Exchange NYSE<br>EAI $426 Current yield 3 60% | Jun 4, 14 | 380 000 | 29 740 | 11,301 43 | 31 150 | 11,837 00 | 535 57 | ST |

*continued next page*

**Account name:** NORQUIST ROBINSON FDTN
**Friendly account name.** n-robfdn
**Account number:**

**Your Financial Advisor:**
STEVE HUGHES
816-751-5200/800-877-8200

## Your assets › Equities › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| PHILIP MORRIS INTL INC<br>Symbol PM Exchange NYSE<br>EAI $400 Current yield 4 91% | Apr 16, 08 | 100 000 | 49 472 | 4,947 20 | 81 450 | 8,145 00 | 3,197 80 | LT |
| PROCTER & GAMBLE CO<br>Symbol PG Exchange NYSE<br>EAI $515 Current yield 2 83% | May 12, 05 | 200 000 | 55 825 | 11,165 00 | 91 090 | 18,218 00 | 7,053 00 | LT |
| RLJ LODGING TRUST SBI<br>Symbol RLJ Exchange NYSE<br>EAI $720 Current yield 3 58% | Oct 22, 14 | 600 000 | 31 013 | 18,608 01 | 33 530 | 20,118 00 | 1,509 99 | ST |
| SPECTRA ENERGY CORP<br>Symbol SE Exchange NYSE<br>EAI $592 Current yield 4 08% | Aug 28, 09 | 235 000 | 19 065 | 4,480 31 | 36 300 | 8,530 50 | 4,050 19 | LT |
| | Apr 17, 12 | 165 000 | 30 600 | 5,049 07 | 36 300 | 5,989 50 | 940 43 | LT |
| Security total | | 400 000 | 23 823 | 9,529 38 | | 14,520 00 | 4,990 62 | |
| TRAVELERS COS INC/THE<br>Symbol TRV Exchange NYSE<br>EAI. $275 Current yield. 2 08% | May 11, 05 | 125 000 | 37 902 | 4,737 76 | 105 850 | 13,231 25 | 8,493 49 | LT |
| UNION PACIFIC CORP<br>Symbol UNP Exchange NYSE<br>EAI $300 Current yield 1 68% | Oct 24, 14 | 150 000 | 114 758 | 17,213 75 | 119 130 | 17,869 50 | 655 75 | ST |
| UNITEDHEALTH GROUP INC<br>Symbol UNH Exchange NYSE<br>EAI $240 Current yield 1 48% | May 15, 13 | 160 000 | 61 786 | 9,885 77 | 101 090 | 16,174 40 | 6,288 63 | LT |
| UNTD TECHNOLOGIES CORP<br>Symbol UTX Exchange NYSE<br>EAI $590 Current yield 2 05% | Dec 4, 00 | 250 000 | 35 483 | 8,870 94 | 115 000 | 28,750 00 | 19,879 06 | LT |
| US BANCORP DEL (NEW)<br>Symbol USB Exchange NYSE<br>EAI $358 Current yield 2 18% | Oct 14, 09 | 365 000 | 23 395 | 8,539 36 | 44 950 | 16,406 75 | 7,867 39 | LT |
| VERIZON COMMUNICATIONS INC<br>Symbol VZ Exchange NYSE<br>EAI $880 Current yield 4 70% | Dec 4, 06 | 225 000 | 32 618 | 7,339 08 | 46 780 | 10,525 50 | 3,186 42 | LT |
| | May 2, 13 | 175 000 | 52 724 | 9,226 82 | 46 780 | 8,186 50 | -1,040 32 | LT |
| Security total | | 400 000 | 41 415 | 16,565 90 | | 18,712 00 | 2,146 10 | |

*continued next page*

## Your assets › **Equities** › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| VF CORP<br>Symbol VFC Exchange NYSE<br>EAI $256 Current yield 1 71% | Nov 19, 10 | 200 000 | 20 632 | 4,126 58 | 74 900 | 14,980 00 | 10,853 42 | LT |
| WAL MART STORES INC<br>Symbol WMT Exchange NYSE<br>EAI $384 Current yield 2 24% | Jun 20, 11 | 200 000 | 53 240 | 10,648 00 | 85 880 | 17,176 00 | 6,528 00 | LT |
| WALT DISNEY CO (HOLDING CO)<br>DISNEY COM<br>Symbol DIS Exchange NYSE<br>EAI $155 Current yield 1 22% | May 7, 14 | 135 000 | 81 458 | 10,996 84 | 94 190 | 12,715 65 | 1,718 81 | ST |
| WELLS FARGO & CO NEW<br>Symbol WFC Exchange NYSE<br>EAI $560 Current yield 2 55% | Oct 3, 12 | 240 000 | 35 766 | 8,583 94 | 54 820 | 13,156 80 | 4,572 86 | LT |
| | May 2, 13 | 160 000 | 37 751 | 6,040 23 | 54 820 | 8,771 20 | 2,730 97 | LT |
| Security total | | 400 000 | 36 560 | 14,624 17 | | 21,928 00 | 7,303 83 | |
| 3M CO<br>Symbol MMM Exchange NYSE<br>EAI. $328 Current yield. 2 50% | Apr 5, 13 | 80.000 | 105 803 | 8,464.25 | 164 320 | 13,145 60 | 4,681 35 | LT |
| **Total** | | | | 374,750.68 | | **$638,879.07** | **$239,690.26** | |

**Total estimated annual income: $16,284**

### Closed end funds & Exchange traded products

*Total reinvested* is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

*Cost basis* is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

*Investment return* is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| ISHARES RUSSELL 2000 GROWTH<br>ETF<br>Symbol IWO | | | | | | | | | |

*continued next page*

## Your assets › Equities › Closed end funds & Exchange traded products (continued)

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| Trade date Nov 17, 04 | 100 000 | 65 490 | 6,549 00 | 6,549 00 | 142 380 | 14,238 00 | 7,689 00 | | LT |
| Trade date Apr 17, 12 | 100 000 | 93 509 | 9,350 90 | 9,350 90 | 142 380 | 14,238 00 | 4,887 10 | | LT |
| EAI $207 Current yield 0 73% | | | | | | | | | |
| Security total | 200 000 | 79 500 | 15,899 90 | 15,899 90 | | 28,476 00 | 12,576 10 | 12,576 10 | |
| SPDR S&P DIVIDEND ETF | | | | | | | | | |
| Symbol SDY | | | | | | | | | |
| Trade date Feb 1, 12 | 500 000 | 55 399 | 27,699 55 | 27,699 55 | 78 800 | 39,400 00 | 11,700 45 | 11,700 45 | LT |
| EAI $893 Current yield 2 27% | | | | | | | | | |
| SPDR S&P MIDCAP 400 ETF TR | | | | | | | | | |
| Symbol MDY | | | | | | | | | |
| Trade date May 23, 01 | 50 000 | 98 630 | 4,931 50 | 4,931 50 | 263 970 | 13,198 50 | 8,267 00 | | LT |
| Trade date Jul 24, 03 | 100 000 | 91 100 | 9,110 00 | 9,110 00 | 263 970 | 26,397 00 | 17,287 00 | | LT |
| EAI $465 Current yield 1 17% | | | | | | | | | |
| Security total | 150 000 | 93 610 | 14,041 50 | 14,041 50 | | 39,595 50 | 25,554 00 | 25,554 00 | |
| **Total** | | | **$57,640.95** | **$57,640.95** | | **$107,471.50** | **$49,830.55** | **$49,830.55** | |

**Total estimated annual income: $1,565**

## Fixed income

### Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

| Holding | Trade date | Total face value at maturity ($) | Purchase price ($) | Adjusted cost basis ($) | Price on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| GENL ELEC CPTL CORP | | | | | | | | |
| MED TERM NTS | | | | | | | | |
| RATE 02 250% MATURES 11/09/15 | | | | | | | | |
| ACCRUED INTEREST $65 00 | | | | | | | | |
| CUSIP 36962G4T8 | | | | | | | | |
| Moody. A1 S&P. AA+ | | | | | | | | |
| EAI $450 Current yield 2 22% | | | | | | | | |
| Original cost basis: $20,504 65 | Apr 23, 12 | 20,000 000 | 100.619 | 20,123 83 | 101 372 | 20,274 40 | 150 57 | LT |

*continued next page*

## Your assets › Fixed income › Corporate bonds and notes (continued)

| Holding | Trade date | Total face value at maturity ($) | Purchase price ($) | Adjusted cost basis ($) | Price on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS GROUP INC<br>B/E<br>RATE 03 625% MATURES 02/07/16<br>ACCRUED INTEREST $435 00<br>CUSIP 38143USC6<br>Moody Baa1 S&P A-<br>EAI $1,088 Current yield 3 53%<br>Original cost basis $30,066 60 | Feb 02, 11 | 30,000 000 | 100 052 | 30,015 63 | 102 606 | 30,781 80 | 766 17 | LT |
| PRUDENTIAL FINANCIAL INC<br>NTS<br>RATE 05 500% MATURES 03/15/16<br>ACCRUED INTEREST $647 77<br>CUSIP 74432QAJ4<br>Moody Baa1 S&P A<br>EAI $2,200 Current yield 5 22%<br>Original cost basis $42,449 65 | Dec 01, 14 | 40,000 000 | 105 716 | 42,286 48 | 105 355 | 42,142 00 | -144 48 | ST |
| JP MORGAN CHASE NTS B/E<br>RATE 02 000% MATURES 08/15/17<br>ACCRUED INTEREST $302 22<br>CUSIP 48126EAA5<br>Moody A3 S&P A<br>EAI $800 Current yield 1 98%<br>Original cost basis $40,540 85 | Sep 16, 14 | 40,000 000 | 101 218 | 40,487 20 | 100 951 | 40,380 40 | -106 80 | ST |
| **Total** | | **$130,000.000** | | **$132,913.14** | | **$133,578.60** | **$665.46** | |

**Total accrued interest: $1,449.99**

**Total estimated annual income: $4,538**

## Your assets › **Fixed income** (continued)

### Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue discount for securities issued at a discount When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

| Holding | Trade date | Total face value at maturity ($) | Purchase price($) | Adjusted cost basis ($) | Price on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| LAWRENCE KS INDL<br>SR A RV BE/R/<br>RATE 06 750% MATURES 12/01/27<br>CALLABLE 06/01/21 @ 100 00<br>ACCRUED INTEREST $168 75<br>CUSIP 520138NJ3<br>Moody Not rated S&P Not rated<br>EAI $2,025 Current yield 6 42% | Feb 28, 11 | 30,000 000 | 100 000 | 30,000 00 | 105 083 | 31,524 90 | 1,524 90 | LT |

### Closed end funds & Exchange traded products

*Total reinvested* is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

*Cost basis* is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

*Investment return* is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| ISHARES IBOXX HIGH YIELD | | | | | | | | | |
| CORPORATE BOND ETF | | | | | | | | | |
| Symbol HYG | | | | | | | | | |
| Trade date Oct 1, 12 | 300 000 | 92.301 | 27,690 44 | 27,690 44 | 89.600 | 26,880 00 | -810 44 | -810 44 | LT |
| EAI $1,141 Current yield· 4 24% | | | | | | | | | |
| POWERSHARES ETF TRUST II | | | | | | | | | |
| Symbol BKLN | | | | | | | | | |
| Trade date Nov 27, 12 | 300 000 | 24 749 | 7,424 83 | 7,424 83 | 24 030 | 7,209 00 | -215 83 | | LT |
| Trade date May 2, 13 | 500 000 | 25 316 | 12,658 42 | 12,658 42 | 24 030 | 12,015 00 | -643 42 | | LT |
| EAI $792 Current yield 4 12% | | | | | | | | | |
| Security total | 800 000 | 25 104 | 20,083 25 | 20,083 25 | | 19,224 00 | -859 25 | -859 25 | |

*continued next page*

## Your assets › Fixed income › Closed end funds & Exchange traded products (continued)

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| **Total** | | | **$47,773.69** | **$47,773 69** | | **$46,104.00** | **-$1,669.69** | **-$1,669.69** | |

**Total estimated annual income: $1,933**

### Preferred securities

| Holding | Trade date | Number of shares | Purchase price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| COMMERCE BANCSHARES DEP SHS SER B 6 000% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol CBSHP Exchange OTC EAI $1,500 Current yield 5 90% | Aug 28, 14 | 1,000 000 | 25 100 | 25,100 75 | 25 410 | 25,410 00 | 309 25 | ST |

## Your total assets

| | | Value on Dec 31 ($) | Percentage of your account | Cost basis ($) | Estimated annual income ($) | Unrealized gain or loss ($) |
|---|---|---|---|---|---|---|
| **Cash** | **Cash and money balances** | **36,533.59** | **3.58%** | **36,533.59** | | |
| **Equities** | * Common stock | 638,879 07 | | 374,750.68 | 16,284 00 | 239,690 26 |
| | Closed end funds & Exchange traded products | 107,471 50 | | 57,640 95 | 1,565 00 | 49,830.55 |
| | **Total equities** | **746,350.57** | **73.09%** | 432,391.63 | **17,849.00** | **289,520.81** |
| **Fixed income** | Corporate bonds and notes | 133,578 60 | | 132,913 14 | 4,538 00 | 665 46 |
| | Municipal securities | 31,524 90 | | 30,000 00 | 2,025 00 | 1,524 90 |
| | Closed end funds & Exchange traded products | 46,104 00 | | 47,773 69 | 1,933 00 | -1,669 69 |
| | Preferred securities | 25,410 00 | | 25,100 75 | 1,500 00 | 309 25 |
| | Total accrued interest | 1,618 74 | | | | |
| | **Total fixed income** | **238,236.24** | **23.33%** | **235,787.58** | **9,996.00** | **829.92** |
| **Total** | | **$1,021,120.40** | **100.00%** | $704,712.80 | **$27,845.00** | **$290,350.73** |

<1,618.74>
$1,019,501.66

* Missing cost basis information

NORQUIST-ROBINSON FOUNDATION
2014 DISTRIBUTIONS

| | |
|---|---|
| Barstow School | $ 5,000 00 |
| Children's Center for the Visually Impaired | $ 5,000 00 |
| Crittenton Children's Center | $ 6,500 00 |
| Harvesters | $ 5,500 00 |
| Heart of America Council, BSA | $ 500.00 |
| Historic Kansas City Foundation | $ 1,000.00 |
| Kansas Preservation Alliance | $ 250 00 |
| KCPT | $ 3,500.00 |
| Muscular Dystrophy Association | $ 500.00 |
| Paws for Freedom | $ 1,000.00 |
| Pembroke Hill School | $ 2,000.00 |
| Powell Gardens | $ 1,500.00 |
| Real Partners Uganda | $ 2,500.00 |
| Salvation Army | $ 2,000.00 |
| The Jewel Ball | $ 1,500.00 |
| The Nelson Gallery Foundation | $ 1,000.00 |
| Villge Shalom | $ 2,500.00 |
| Total | $ 41,750 00 |

## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| VERIZON GLOBAL FDG CORP 04 900% 091515 DTD091305 FC031506 NTS B/E MW+20BP | FIFO | 35,000 000 | Feb 03, 09 | Nov 24, 14 | 36,286 95 | 33,841 85 | | | 2,445 10 |
| VODAFONE GROUP PLC 05 375% 013015 DTD121802 FC073003 | FIFO | 40,000 000 | Jul 07, 09 | Mar 26, 14 | 41,700 40 | 40,192 60 | | | 1,507 80 |
| **Total** | | | | | **$212,148.62** | **$182,524.58** | | **-$618.59** | **$30,242.63** |
| **Net long-term capital gains or losses** | | | | | | | | | **$29,624.04** |
| **Net capital gains/losses:** | | | | | | | | | **$27,986.43** |

# Realized gains and losses

Estimated 2014 gains and losses for transactions with trade dates through 12/31/14 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

## Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| JOHNSON CONTROLS INC | FIFO | 210 000 | Jan 08, 14 | Sep 05, 14 | 9,974 89 | 10,837 72 | | -862 83 | |
| TORTOISE ENERGY INFRASTRUCTURE CORP | Adjustment | 0 092 | May 14, 14 | Jun 24, 14 | 4 69 | 4 28 | | | 0 41 |
| | FIFO | 224 751 | May 14, 14 | Dec 09, 14 | 9,668 77 | 10,433 94 | | -765 17 | |
| | FIFO | 2 248 | May 30, 14 | Dec 09, 14 | 96 73 | 106 75 | | -10 02 | |
| **Total** | | | | | **$19,745.08** | **$21,382.69** | | **-$1,638.02** | **$0.41** |
| **Net short-term capital gains and losses** | | | | | | | | **-$1,637.61** | |

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| COMMERCE BANCSHARES | FIFO | 0 150 | May 11, 05 | Dec 15, 14 | 6 42 | 4 50 | | | 1 92 |
| CORRECTIONS CORP OF AMER NEW | FIFO | 300 000 | Sep 23, 13 | Oct 17, 14 | 10,081 02 | 10,489 36 | | -408 34 | |
| COVIDIEN PLC | Adjustment | 110 000 | Feb 04, 08 | Aug 01, 14 | 9,516 34 | 4,427 85 | | | 5,088 49 |
| | Adjustment | 100 000 | Oct 07, 09 | Aug 01, 14 | 8,651 21 | 3,868 99 | | | 4,782 22 |
| ECOLAB INC | FIFO | 28 000 | May 15, 02 | Feb 03, 14 | 2,755 71 | 656 43 | | | 2,099 28 |
| INTL BUSINESS MACH | FIFO | 170 000 | Feb 01, 99 | Oct 21, 14 | 27,647 04 | 15,440 67 | | | 12,206 37 |
| J P MORGAN CHASE & CO 05 125% 091514 DTD091504 FC031505 Original cost basis $64,423 05 | FIFO | 60,000 000 | Sep 05, 12 | Sep 15, 14 | 60,000 00 | 60,000 00 | | | |
| POWERSHARES ETF TRUST II | FIFO | 200 000 | Nov 27, 12 | Dec 11, 14 | 4,739 64 | 4,949 89 | | -210 25 | |
| THOMSON REUTERS CORP CANADA CAD | FIFO | 285 000 | May 03, 12 | Sep 05, 14 | 10,763 89 | 8,652 44 | | | 2,111 45 |

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