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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Department of the Treasury
Internal Revenue ServiceInformation about Form 990-PF and its separate instructions is at www.irs.gov/form990pf**2014**
Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation

THE PETTUS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

190 CARONDELET PLAZA, SUITE 100

City or town, state or province, country, and ZIP or foreign postal code

ST. LOUIS, MO 63105

G Check all that apply.

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c), line
16) \$ 20,440,674.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

43-6029569

B Telephone number (see instructions)

312-630-6000

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments.				
4 Dividends and interest from securities	493,687.	493,687.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	1,449,077.			
b Gross sales price for all assets on line 6a 5,222,781.				
7 Capital gain net income (from Part IV, line 2)		1,449,077.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-13,814.		-13,814.	STMT 2
12 Total. Add lines 1 through 11	1,928,950.	1,942,764.	-13,814.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	173,218.	155,896.		17,322.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 3	2,000.	1,000.	NONE	1,000.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	14,316.	14,316.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 5	41,457.			41,457.
24 Total operating and administrative expenses. Add lines 13 through 23.	230,991.	171,212.	NONE	59,779.
25 Contributions, gifts, grants paid	901,692.			901,692.
26 Total expenses and disbursements. Add lines 24 and 25	1,132,683.	171,212.	NONE	961,471.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	796,267.			
b Net investment income (if negative, enter -0-)		1,771,552.		
c Adjusted net income (if negative, enter -0-)			-0-	

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AUG 18 2015
OGDEN, UT

FILED
POSTMARK DATE
AUG 12 2015

SCANNED
AUG 19 2015

4

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value -	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	525,084.	387,667.	387,667.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			NONE
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations(attach schedule), .			
	b	Investments - corporate stock (attach schedule) . STMT 6 .	11,492,264.	13,039,378.	18,704,086.
	c	Investments - corporate bonds (attach schedule). STMT 7 .	1,397,232.	982,301.	1,024,988.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	504,789.	306,700.	323,933.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	13,919,369.	14,716,046.	20,440,674.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	13,919,369.	14,716,046.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	Total net assets or fund balances (see instructions)	13,919,369.	14,716,046.		
31	Total liabilities and net assets/fund balances (see instructions)	13,919,369.	14,716,046.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,919,369.
2	Enter amount from Part I, line 27a	2	796,267.
3	Other increases not included in line 2 (itemize) ▶ TAX COST ADJ	3	410.
4	Add lines 1, 2, and 3	4	14,716,046.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,716,046.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 5,222,781.		3,773,704.	1,449,077.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			1,449,077.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	1,449,077.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	970,724.	17,960,974.	0.054046
2012	1,032,475.	17,517,248.	0.058940
2011	1,028,020.	17,615,702.	0.058358
2010	1,028,266.	16,813,245.	0.061158
2009	895,288.	15,616,140.	0.057331
2 Total of line 1, column (d)			2 0.289833
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.057967
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 20,017,135.
5 Multiply line 4 by line 3			5 1,160,333.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 17,716.
7 Add lines 5 and 6			7 1,178,049.
8 Enter qualifying distributions from Part XII, line 4			8 961,471.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	35,431.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	35,431.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	35,431.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	52,633.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	52,633.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	17,202.
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 17,202. Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MO IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>NORTHERN TRUST, FSB</u> Telephone no <u>(312) 630-6000</u> Located at <u>P.O. BOX 803878, CHICAGO, IL</u> ZIP+4 <u>60680</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No	
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **7b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES A FINCH III ST. LOUIS, MO 63141	TRUSTEE 26	88,936.	-0-	-0-
NORTHERN TRUST CHICAGO, IL 60680	TRUSTEE 40	84,282.	-0-	-0-
LISA HAMILTON 323 S. DITMAR ST, OCEANSIDE, CA 92054-3127	TRUSTEE 2	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	20,321,964.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	20,321,964.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	20,321,964.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	304,829.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,017,135.
6	Minimum investment return. Enter 5% of line 5	6	1,000,857.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,000,857.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	35,431.
b	Income tax for 2014. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	35,431.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	965,426.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	965,426.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	965,426.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	961,471.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	961,471.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	961,471.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				965,426.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			NONE	
b Total for prior years 20 <u>12</u> , 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2014				
a From 2009	112,572.			
b From 2010	200,704.			
c From 2011	157,029.			
d From 2012	183,955.			
e From 2013	94,245.			
f Total of lines 3a through e	748,505.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ <u>961,471.</u>				
a Applied to 2013, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2014 distributable amount				961,471.
e Remaining amount distributed out of corpus . . .	NONE			
5 Excess distributions carryover applied to 2014 . (If an amount appears in column (d), the same amount must be shown in column (a))	3,955.			3,955.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	744,550.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	108,617.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	635,933.			
10 Analysis of line 9:				
a Excess from 2010	200,704.			
b Excess from 2011	157,029.			
c Excess from 2012	183,955.			
d Excess from 2013	94,245.			
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization.				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE	NONE	PUBLIC	GENERAL	901,692.
Total			▶ 3a	901,692.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	493,687.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	1,449,077.	
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b DEFERRED INCOME				14	-13,814.	
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)					1,928,950.	
13 Total. Add line 12, columns (b), (d), and (e)						1,928,950.

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
------------	---

[illegible]

THE PETTUS FOUNDATION

43-6029569

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS & INTEREST	493,687.	493,687.
	-----	-----
TOTAL	493,687.	493,687.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	ADJUSTED NET INCOME -----
DEFERRED INCOME	-13,814.	-13,814.
	-----	-----
TOTALS	-13,814.	-13,814.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE	2,000.	1,000.		1,000.
TOTALS	2,000.	1,000.	NONE	1,000.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAX	14,316.	14,316.
	-----	-----
TOTALS	14,316.	14,316.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER SERVICES	21,152.	21,152.
MISC	212.	212.
REIMBURSEMENT	6,891.	6,891.
SUBSCRIPTION RENEWAL	7,187.	7,187.
IL AG	15.	15.
DUES 2014	6,000.	6,000.
TOTALS	41,457.	41,457.
	=====	=====

THE PETTUS FOUNDATION

43-6029569

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED	13,039,378.	18,704,086.
	-----	-----
TOTALS	13,039,378.	18,704,086.
	=====	=====

THE PETTUS FOUNDATION

43-6029569

FORM 990PF, PART II - CORPORATE BONDS
=====

DESCRIPTION -----	ENDING	
	BOOK VALUE -----	FMV ---
SEE ATTACHED	982,301.	1,024,988.
	-----	-----
TOTALS	982,301.	1,024,988.
	=====	=====

. THE .PETTUS FOUNDATION
FORM 990PF, PART XV - LINES 2a - 2d
=====

43-6029569

RECIPIENT NAME:

JAMES A. FINCH III, MANAGING TRUSTEE

ADDRESS:

1175 MILL CORSSING DRIVE #100

CREVE COEUR, MO 63141

RECIPIENT'S PHONE NUMBER: 314-605-6430

FORM, INFORMATION AND MATERIALS:

REQUEST LETTER, FINANCIAL INFORMATION AND A

COPY OF THE 501 (C) 3 APPROVAL LETTER

SUBMISSION DEADLINES:

NONE

STATEMENT 8

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2014

Name of estate or trust

THE PETTUS FOUNDATION

Employer identification number

43-6029569

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	348,611.	382,110.	861.	-32,638.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet			6 ()	
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back			7	-32,638.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	4,808,008.	3,408,745.	1,865.	1,401,128.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.			12	
13 Capital gain distributions.			13	80,587.
14 Gain from Form 4797, Part I.			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet			15 ()	
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back			16	1,481,715.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

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02-08303

25 -

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
17	Net short-term gain or (loss)	17		-32,638.
18	Net long-term gain or (loss):			
a	Total for year	18a		1,481,715.
b	Unrecaptured section 1250 gain (see line 18 of the wrkst.)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a ▶	19		1,449,077.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
a	The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,500	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26	32		
33	Enter the smaller of line 21 or \$12,150	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15% ▶	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20% ▶	41		
42	Figure the tax on the amount on line 27. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2014

Pettus Foundation Distributions 2014				
<u>Organization</u>	<u>Contact</u>	<u>Project Title</u>	<u>Date Grant Sent</u>	<u>Granted</u>
<u>Charities in the St. Louis Region</u>				
Boys Hope Girls Hope	Brian Hipp	operating support	02/04/14 \$	7,500 00
College Summit, C/o St. Louis PS	Leslie Gil	general support	07/30/14 \$	10,000 00
Davenport Foundation, U Of MO	Laura L Carroz	The Pettus Foundation Scholarship	04/18/14 \$	18,692.00
Gateway Center For Giving	Mary McMurtrey	For Support for the mentoring program aimed at the next generation of philanthropic leaders	01/14/14 \$	3,000 00
KidSmart	Jennifer D Miller	Support for kids in a high poverty school in the supply-a-school program	01/31/14 \$	5,000 00
Logos	Krista Mallette	general support	05/12/14 \$	10,000 00
Near Southside Employment Coalition	Ohala Ward	general support	01/31/14 \$	5,000 00
Planned Parenthood Of St. Louis	Paula M. Gianino	general support	04/18/14 \$	10,000 00
Ranken Technical College	Stan H Shoun	Support of the Ben Ernst Scholarship	06/04/14 \$	12,000 00
St Louis Arc	John Taylor	support of job training and placement	08/25/14 \$	10,000 00
St Louis Urban Debate League	Missy Miller Heinemann	general support	07/17/14 \$	10,000 00
St. Louis Public Schools Foundation	Ms Jane Donahue (314) 436-2025	A matching grant for capacity building	05/14/14 \$	15,000 00
St. Louis Public Schools Foundation	Ms Jane Donahue (314) 436-2025	A matching grant for capacity building	09/15/14 \$	10,000 00
Teach For America	Brittany Packnett	the final payment of a three year grant of \$30,000 The grant is for general support	09/10/14 \$	10,000 00
The Little Bit Foundation	Amalia Lottes	general support	04/18/14 \$	5,000 00
The Scholarship Foundation	Laura Winter	Support of the high school to college center	04/08/14 \$	7,000.00
University Of Missouri-Columbia	Ms Lisa Schwartz	support of the MBMI	03/31/14 \$	10,000.00
University of Missouri-St. Louis	Susan C. Lee	Studio Schools for the St. Louis Public Schools	05/14/14 \$	15,000 00
Wyman Center Inc	David Hilliard	general support	07/02/14 \$	10,000 00
Ymca Of Greater St. Louis	Shawna Brinson (314) 436-1177	the Climbing Above Conflict program	03/27/14 \$	10,000 00
Pathways to Independence		Program Services	03/27/14 \$	7,500 00
St. Louis Internship Program		The Saturday Training and Development component	03/27/14 \$	10,000 00
Youth Learning Center		Funding the Materials and Laptops/Software needed	03/27/14 \$	5,000.00
Big Brothers Big Sisters of Eastern MO		A grant for the ABC Today partnership project with the St. Louis Public Schools	01/14/14 \$	14,000 00
<u>Charities in Hawaii</u>				

Organization	Contact	Project Title	Date Grant Sent	Granted
Kalihi Union Church Pre-School	Ms Joy Address	Equipment purchase for gymnasium	06/04/14 \$	10,000 00
YWCA of Oahu	Ms Noriko Namiki	Support of the Womens Business Center	06/04/14 \$	10,000 00
Rehabilitation Hospital of the Pacific Foundation	Ms Jean Nakanishi	Purchase of equipment for therapy programs	06/04/14 \$	20,000 00
Armed Services YMCA	Mr Stan Lum	Support for after school enrichment program	06/04/14 \$	10,000 00
Good Shepherd Pre-School	Mr. Scott Euken	Upgrades for pre school and say Yes! Center	06/04/14 \$	10,000 00
Helping Hands Hawaii	Jan Harada	Support for clearinghouse and read to learn program	06/04/14 \$	10,000 00
Manoa Heritage Center	Mr Sam Cooke	Operating Support	06/04/14 \$	10,000 00
Koolau Baptist Academy	Mr John Goodale, Jr	Project support for the transitional school, summer reading club, and science enhancements	06/04/14 \$	10,000 00
One Love Ministries (Ke Aloha Ho'okahi Pre-School)	Ms Gill Berger	Purchase of equipment and furnishing for classroom	06/04/14 \$	10,000 00
Pacific Buddhist Academy	Mr Pieper J Toyama	Expansion of the Taiko Art Program for Students	06/04/14 \$	10,000 00
St Francis Healthcare Foundation	Sister William Marie Eleniki	Support for Kitchen upgrades for the our lady of Kea'au community center	06/04/14 \$	10,000 00
St Mark Lutheran School	Mr David Gaudi	Purchase of security cameras, library books, and band instruments	06/04/14 \$	10,000 00
Institute For Human Services	Ms Connie Mitchell	Operating Support for Homeless Services	01/09/14 \$	10,000 00
KCAA Pre-Schools Of Hawaii	Christina Cox	Operating Support for 7 Pre-School Sites	01/09/14 \$	10,000 00
Salvation Army - Hawaii & Pacific Islands Division	Major John Chamness	Operating Support for Programs Serving the Poor	01/09/14 \$	10,000.00
Planned Parenthood Of Hawaii	Andrea Anderson	Operating Support for Women's Health Programs	01/09/14 \$	5,000 00
Mediation Center of the Pacific	Tracy Wiltgen	Operating Support for Mediation Training & Services	01/09/14 \$	10,000.00
Catholic Charities	Mr. Jerome Rauckhorst	Operating Support for Services for Low-Income & at-Risk Families	01/09/14 \$	10,000 00
Hawaii Literacy	Ms Suzanne Skypold	Operating Support for Literacy Training	01/02/14 \$	15,000 00
Helping Hands Hawaii	Jan Harada	Operating Support	01/09/14 \$	10,000.00
Holy Nativity School	Mr Timothy P. Spurrier	Betty Pettus Memorial Scholarship Fund	01/09/14 \$	25,000 00
Easter Seals	Christopher Blancher	Support for youth support program	06/04/14 \$	5,000 00
Star of the Sea Early Learning Center	Development Director	Purchase security cameras and a "talk back" intercom	06/04/14 \$	10,000 00
Millilani Presbyterian Pre School	Development Director	Pre School Renovations	08/26/14 \$	3,000 00
Hawaii Foodbank	Development Director	Prepurchase of bulk items	08/26/14 \$	8,000 00
Good Shepherd Preschool	Development Director	Purchase of pre school furniture & equipment for the new pre school	08/26/14 \$	5,000 00
Hanalei Schools	Development Director	Support to purchase robotics kits and replacement of robotic motors	06/04/14 \$	10,000 00
Hawaii Island United Way	Development Director	Emergency Relief & Recovery for the Puna Dist of Hawaii Island	08/18/14 \$	12,000 00

<u>Organization</u>	<u>Contact</u>	<u>Project Title</u>	<u>Date Grant Sent</u>	<u>Granted</u>
Community Life Resource Center	Development Director	Operating Support	06/04/14 \$	15,000 00
American Renaissance Academy	Development Director	Purchase of equipment for stem program	06/04/14 \$	10,000 00
Waimalu Grace Brethren Church	Development Director	Support of playground upgrades needed for naeyc accreditation	06/04/14 \$	10,000.00
Sacred Hearts Academy	Development Director	Purchase of weights and life fitness equipment	06/04/14 \$	10,000 00
Kawaihau Church School	Development Director	Capital improvements to school facilities	06/04/14 \$	15,000 00
Hawaii Food Bank	Development Director	Operating Support	01/09/14 \$	5,000 00
Charities Designated by the Children of Mr. Pettus				
Blackacre Conservancy Inc	Development Director	general support	11/07/14 \$	12,500 00
Canine Companions For Independence	Development Director	general support	11/07/14 \$	1,500 00
Crossnore School Inc	Development Director	general support	11/07/14 \$	2,000 00
The Nature Conservancy, Ky Chapter	Suzanne Crockett	general support	11/07/14 \$	5,000 00
Pets for Vets, Inc	Development Director	general support	11/07/14 \$	1,500 00
Thoroughbred Retirement Foundation	Development Director	use at the Blackburn Correctional Complex, Lexington, KY	11/07/14 \$	1,500 00
Yew Dell, Inc	Development Director	general support	11/07/14 \$	12,500 00
Alice Lloyd College	Development Director	general support	11/07/14 \$	2,500.00
International Association for Hospice & Palliative Care Inc	Liliana De Luma	Palliative Care	01/03/14 \$	40,000 00
International Association for Hospice & Palliative Care Inc	Liliana De Luma	Per Katherine Pettus	09/30/14 \$	2,000 00
Brother Benno Foundation	Luz Windle	Refrigerator truck fund	08/18/14 \$	3,500 00
Injured Marine Semper Fi Fund	Karen Guenther	general support	08/18/14 \$	4,000 00
Assistance League of North Coast	Helen Clark	"Baby Real Deal"	08/18/14 \$	3,500 00
Community Resource Center, San Diego	Elaine Dodge	Homelessness Prevention and Intervention Services	11/07/14 \$	3,000 00
YMCA Of San Diego County	Shelley McTighe-Rippengate	scholarships (camperships) in the resident camping branch and may be used in any of the Y's 3 resident camps at any time during the year	03/26/14 \$	8,000.00
Meals On Wheels, Greater San Diego Inc.	Matt Topor	an unrestricted grant in memory of Jane W. Pettus	03/26/14 \$	3,000 00
Scripps Health Foundation	Jamie Nelson C S P G.	the education fund at Scripps Polster Breast Care Center	11/07/14 \$	10,000 00
Catholic Charities Community Services	Karen Wallensack	the Catholic Legal Assistance Ministry's Veteran's Advocacy Project These funds are restricted to use for women veterans	03/03/14 \$	8,000 00
Women For Women International	Global Support Center	general support	03/03/14 \$	3,500 00
Voices Education Project (Compassionate Action Network)	Development	Voices in Wartime	03/03/14 \$	3,500 00

<u>Organization</u>	<u>Contact</u>	<u>Project Title</u>	<u>Date Grant Sent</u>	<u>Granted</u>
Maine Media Workshops	Meg Weston	general support	03/03/14 \$	8,000.00
Veterans And Families Foundation	Ted Reed	general support	03/03/14 \$	8,000 00
The Food Project	Development	general support	03/03/14 \$	8,000 00
Mother Seton Academy	Director of Development	general support	10/01/14 \$	21,000 00
Episcopal Housing Corp "Buddy Jones"	Development Director	The Buddy Jones Project	10/01/14 \$	5,000 00
Black Cherry Puppet Theater	Director of Development	general support	10/01/14 \$	16,000.00
East Bay Community Foundation	Janet Y Spears	Fish Fund	11/07/14 \$	17,000 00
Americorps St Louis	Kathleen Becherer	general support	04/18/14 \$	11,000.00
Ready Readers	Lisa Greening	general support	10/06/14 \$	11,000 00
Futures For Children	Development Director	General Support	11/07/14 \$	1,500 00
Doctors Without Borders	Development Director	Fight against Ebola in Africa	11/07/14 \$	10,000 00
Corporate Accountability International	Sarah Deluca	General Support	11/07/14 \$	20,000 00
National Hospice & Palliative Care Organization	Development Director	Fight against Ebola	11/18/14 \$	5,000.00
Doctors Without Borders	Development Director	Fight against Ebola in Africa	11/07/14 \$	3,000 00
San Diego Ceneter for the Blind	Kim Gibbens, CEO	General Support	11/07/14 \$	4,000.00
Americorps St Louis	Kathleen Becherer	One time extra gift to acknowlwdge the fine work you do	10/06/14 \$	10,000 00
American Indian College Fund	Development Director	General Support	11/07/14 \$	1,500 00
YMCA Youth Transitional Housing and Foster Care	Krystal Esquivel	General Support	11/07/14 \$	3,000 00
Funds for Emergencies as seen Necessary by Family				
IRC	Development Director	Reconstruction efforts in the Philippines	01/31/14 \$	25,000.00
		TOTAL	\$	901,692 00

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Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
						Market	Translation	

Equity Securities

Common stock

Australia - USD

ADR BRAMBLES LTD ADR CUSIP 105105100
BIMBY

578 000	17 21	0 00	9,947 38	8,825 10	1,122 28	0 00	1,122 28
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ADR CSL LTD SPONSORED ADR CUSIP 12637N204

472 000	35 35	0 00	16,685 20	11,777 66	4,907 54	0 00	4,907 54
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Total USD		0 00	26,632 58	20,602 76	6,029 82	0 00	6,029 82
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Total Australia		0 00	26,632 58	20,602 76	6,029 82	0 00	6,029 82
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Belgium - USD

ADR ANHEUSER BUSCH INBEV SANV SPONSORED ADR CUSIP 03524A108

179 000	112 32	0 00	20,105 28	15,388 20	4,717 08	0 00	4,717 08
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ADR KBC GROUP NV CUSIP 48241F104

344 000	27 93	0 00	9,607 92	10,351 92	-744 00	0 00	-744 00
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Total USD		0 00	29,713 20	25,740 12	3,973 08	0 00	3,973 08
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Total Belgium		0 00	29,713 20	25,740 12	3,973 08	0 00	3,973 08
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Brazil - USD

ADR CIELO S A SPONSORED ADR CUSIP 171778202
CIOXY

418 000	15 72	0 00	6,570 96	5,529 57	1,041 39	0 00	1,041 39
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Total USD		0 00	6,570 96	5,529 57	1,041 39	0 00	1,041 39
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Total Brazil		0 00	6,570 96	5,529 57	1,041 39	0 00	1,041 39
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Canada - USD

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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

Canada - USD

BANK N S HALIFAX COM STK CUSIP 064149107	BNS							
	132 000	57 08	0 00	7,534 56	7,215 95	318 61	0 00	318 61
CANADIAN NATL RY CO COM CUSIP 136375102	CNI							
	301 000	68 91	0 00	20,741 91	13,272 40	7,469 51	0 00	7,469 51
CENOVUS ENERGY INC COM CUSIP 15135U109	CVE							
	2,666 000	20 62	0 00	54,972 92	33,243 67	21,729 25	0 00	21,729 25
CORUS ENTMT INC CL B NON VTG CUSIP 220874101	CJREF							
	454 000	19 73	0 00	8,957 42	10,583 72	-1,626 30	0 00	-1,626 30
ENBRIDGE INC COM CUSIP 29250N105	ENB							
	179 000	51 41	0 00	9,202 39	8,342 13	860 26	0 00	860 26
IMPERIAL OIL LIMITED COM NEW COM NEW CUSIP 453038408	IMO							
	556 000	43 03	64 59	23,924 68	25,679 57	-1,754 89	0 00	-1,754 89
SUNCOR ENERGY INC NEW COM STK CUSIP 867224107	SU							
	307 000	31 78	0 00	9,756 46	11,598 26	-1,841 80	0 00	-1,841 80
Total USD			64 59	135,090 34	109,935 70	25,154 64	0 00	25,154 64
Total Canada			64 59	135,090 34	109,935 70	25,154 64	0 00	25,154 64
China - USD								
ADR BAIDU INC SPONSORED ADR CUSIP 056752108	BIDU							
	47 000	227 97	0 00	10,714 59	4,423 15	6,291 44	0 00	6,291 44

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Description / Asset ID		Exchange rate/ local market price		Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value						Market	Translation	

Equity Securities

Common stock

China - USD

ADR CHINA MOBILE LTD CUSIP 16941M109
CHL

253 000	58 82	0 00	14,881 46	14,446 72	434 74	0 00	434 74
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ADR CNOOC LTD SPONSORED ADR CUSIP 126132109
CEO

72 000	135 44	0 00	9,751 68	11,044 37	-1,292 69	0 00	-1,292 69
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Total USD

		0 00	35,347 73	29,914 24	5,433 49	0 00	5,433 49
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Total China

		0 00	35,347 73	29,914 24	5,433 49	0 00	5,433 49
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Denmark - USD

ADR NOVO-NORDISK A S ADR CUSIP 670100205

437 000	42 32	0 00	18,493 84	16,643 67	1,850 17	0 00	1,850 17
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Total USD

		0 00	18,493 84	16,643 67	1,850 17	0 00	1,850 17
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Total Denmark

		0 00	18,493 84	16,643 67	1,850.17	0 00	1,850 17
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France - USD

ADR AIR LIQUIDE ADR CUSIP 009126202

1,023 000	24 65	0 00	25,216 95	22,561 85	2,655 10	0 00	2,655 10
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ADR ARKEMA SPONSORED ADR CUSIP 041232109
ARKAY

119 000	66 61	274 93	7,926 59	11,559 61	-3,633 02	0 00	-3,633 02
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ADR AXA SA SPONSORED ADR CUSIP 054536107

344 000	22 89	0 00	7,874 16	9,065 27	-1,191 11	0 00	-1,191 11
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Description / Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss		Total
						Translation		

Equity Securities

Common stock

France - USD

ADR BNP PARIBAS SPONSORED ADR REPSTG CUSIP 05565A202

BNPQY

926 000	29 38	0 00	27,205 88	35,222 00	-8,016 12	0 00	-8,016 12
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ADR DASSAULT SYS S A SPONSORED ADR CUSIP 237545108

470 000	61 00	0 00	28,670 00	24,960 00	3,710 00	0 00	3,710 00
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ADR GDF SUEZ S A SPONSORED ADR ADR CUSIP 36160B105

511 000	23 2975	0 00	11,905 02	13,783 42	-1,878 40	0 00	-1,878 40
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ADR L OREAL CO ADR CUSIP 502117203

LRLCY

931 000	33 33	0 00	31,030 23	24,073 56	6,956 67	0 00	6,956 67
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ADR LVMH MOET HENNESSY LOUIS VUITTON ADR CUSIP 502441306

LVMUY

276 000	34 435	0 00	9,504 06	9,116 61	387 45	0 00	387 45
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ADR PERNOD RICARD S A ADR CUSIP 714264207

PDRDY

456 000	21 96	0 00	10,013 76	10,173 48	-159 72	0 00	-159 72
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ADR PUBLICIS S A NEW SPONSORED ADR CUSIP 74463M106

PUBGY

696 000	17 84	0 00	12,416 64	13,160 07	-743 43	0 00	-743 43
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ADR SAFRAN ADR CUSIP 786584102

SAFRY

640 000	15 26	80 75	9,766 40	6,724 93	3,041 47	0 00	3,041 47
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ADR SCHNEIDER ELECTRIC SE CUSIP 80687P106

SBGSY

3,543 000	14 41	0 00	51,054 63	50,879 47	175 16	0 00	175 16
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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID	Shares/PAR value							
Equity Securities								
Common stock								
France - USD								
ADR TECHNIP SPONSORED ADR CUSIP 878546209								
TKPPY	1,076 000	14 795	0 00	15 919 42	26 355 14	-10,436 72	0 00	-10,436 72
ADR TOTAL SA CUSIP 89151E109								
TOT	289 000	51 20	183 54	14,796 80	18,510 28	-3,713 48	0 00	-3,713 48
Germany - USD								
ADR BAYER A G SPONSORED ADR CUSIP 072730302								
BAYRY	834 000	45 61	0 00	38,038 74	42,476 73	-4,437 99	0 00	-4,437 99
Total USD			539 22	301,339 28	318,623 42	-17,284 14	0 00	-17,284 14
Total France			539 22	301,339 28	318,623 42	-17,284 14	0 00	-17,284 14
Germany - USD								
ADR BAYER A G SPONSORED ADR CUSIP 072730302								
BAYRY	166 000	136 84	0 00	22,715 44	20,729 30	1,986 14	0 00	1,986 14
ADR BAYERISCHE MOTOREN WERKE A G ADR CUSIP 072743206								
BAMXY	519 000	35 62	0 00	18,486 78	17,287 46	1,199 32	0 00	1,199 32
ADR CONTINENTAL AG SPONSORED ADR ISIN #US2107712000 CUSIP 210771200								
CTTAY	266 000	41 83	0 00	11,126 78	12,632 53	-1,505 75	0 00	-1,505 75
ADR DEUTSCHE BOERSE ADR CUSIP 251542106								
DBOEY	1,375 000	7 08	0 00	9,735 00	7,470 70	2,264 30	0 00	2,264 30
ADR FUCHS PETROLUB SE FORMERLY FUCHS PETROLUB AG TO 08/19/2013 ADR CUSIP 35952Q106								
	959 000	10 0972	0 00	9,683 21	11,494 06	-1,810 85	0 00	-1,810 85

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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID	Shares/PAR value							

Equity Securities

Common stock

Germany - USD

ALLIANZ SE ADR EACH REP 1/10 ORD SHS CUSIP 018805101

1,601 000	16 57	0 00	26,528 57	19,995 97	6,532 60	0 00	6,532 60
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DAIMLER AG SPONSORED ADR CUSIP 233825108

124 000	82 79	0 00	10,265 96	11,720 48	-1,454 52	0 00	-1,454 52
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FRESENIUS MED CARE AG & CO KGAA CUSIP 358029106
FMS

353 000	37 14	0 00	13,110 42	11,908 00	1,202 42	0 00	1,202 42
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LINDE AG-SPONSORED ADR CUSIP 535223200
LNEGY

2,463 000	18 455	0 00	45,454 65	46,200 61	-745 96	0 00	-745 96
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SAP SE-SPONSORED ADR CUSIP 803054204

692 000	69 65	0 00	48,197 80	51,063 01	-2,865 21	0 00	-2,865 21
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SAP

Total USD		0 00	215,304 61	210,502 12	4,802 49	0 00	4,802 49
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Total Germany		0 00	215,304 61	210,502 12	4,802 49	0 00	4,802 49
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Hong Kong - USD

ADR AIA GROUP LTD SPONSORED ADR CUSIP 001317205

1,338 000	22 15	0 00	29,636 70	21,889 37	7,747 33	0 00	7,747 33
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AAGY

ADR HONG KONG EXCHANGES & CLEARING LTD ADR CUSIP 43858F109

581 000	22 23	0 00	12,915 63	9,315 11	3,600 52	0 00	3,600 52
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ADR JARDINE MATHESON HLDGS LTD ADR CUSIP 471115402

223 000	60 70	0 00	13,536 10	14,645 54	-1,109 44	0 00	-1,109 44
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JMHLY

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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value						Translation		

Equity Securities

Common stock

Hong Kong - USD

ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS CUSIP 98418R100

263 000	9 96	0 00	2,619 48	2,075 16	544 32	0 00	544 32
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Total USD		0 00	58,707 91	47,925 18	10,782 73	0 00	10,782 73
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Total Hong Kong		0 00	58,707 91	47,925 18	10,782 73	0 00	10,782 73
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India - USD

ADR ICICI BK LTD CUSIP 45104G104
IBN

2,015 000	11 55	0 00	23,273 25	15,991 90	7,281 35	0 00	7,281 35
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Total USD		0 00	23,273 25	15,991 90	7,281 35	0 00	7,281 35
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Total India		0 00	23,273 25	15,991 90	7,281 35	0 00	7,281 35
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Ireland - USD

ADR RYANAIR HLDGS PLC SPONSORED ADR CUSIP 783513104
RYAAY

85 000	71 27	0 00	6,057 95	4,897 76	1,160 19	0 00	1,160 19
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Total USD		0 00	6,057 95	4,897 76	1,160 19	0 00	1,160 19
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Total Ireland		0 00	6,057 95	4,897 76	1,160 19	0 00	1,160 19
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Israel - USD

ADR PARTNER COMMUNICATIONS CO LTD ADR ISIN US70211M1099 CUSIP 70211M109
PTNR

1,305 000	5 06	0 00	6,608 36	7,816 70	-1,208 34	0 00	-1,208 34
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CHECK PT SOFTWARE TECHNOLOGIES ORDLIS 01 CUSIP M22465104
CHKP

332 000	78 57	0 00	26,085 24	15,129 77	10,955 47	0 00	10,955 47
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Total USD		0 00	32,693 60	22,946 47	9,747 13	0 00	9,747 13
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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAZ value					Market	Translation	

Equity Securities

Common stock

Total Israel 0 00 32,893 60 22,946 47 9,747 13 0 00 9,747 13

Japan - USD

ADR EAST JAPAN RY CO ADR CUSIP 273202101
EJPRY 989 000 12 61 12,471 29 12,533 52 -62 23 0 00 -62 23

ADR HITACHI LTD ADR FOR 10 COM CUSIP 433578507
HTHIY 216 000 74 56 16,104 96 15,871 43 233 53 0 00 233 53

ADR JGC CORP CUSIP 466140100
519 000 41 27 21,419 13 34,509 41 -13,090 28 0 00 -13,090 28

ADR KDDI CORP ADR CUSIP 48667L106
1,542 000 15 80 24,363 60 21,896 40 2,467 20 0 00 2,467 20

ADR KOMATSU LTD SPONSORED ISIN US5004584018 CUSIP 500458401
472 000 22 15 10,454 80 9,817 02 637 78 0 00 637 78

ADR MITSUBISHI ESTATE LTD ADR MITSUBISHIEST ADR + CUSIP 606783207
440 000 21 09 9,279 60 13,070 59 -3,790 99 0 00 -3,790 99

ADR MONOTARO CO LTD ADR CUSIP 61022V107
177 000 20 06 3,550 62 4,055 05 -504 43 0 00 -504 43

ADR NIKON CORP ADR CUSIP 654111202
1,050 000 13 27 13,933 50 17,094 00 -3,160 50 0 00 -3,160 50

ADR SANTEN PHARMACEUTICAL CO LTD CUSIP 80287P100
SNPHY 361 000 26 73 9,649 53 10,406 91 -757 38 0 00 -757 38

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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

Japan - USD

ADR SHIN ETSU CHEM CO LTD ADR CUSIP 824551105

820 000	16 23	0 00	13,308 60	11,799 80	1,508 80	0 00	1,508 80
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ADR SMC CORP JAPAN SPONSORED ADR CUSIP 78445W306
SMCAY

747 000	13 265	0 00	9,908 95	10,083 67	-174 72	0 00	-174 72
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ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR CUSIP 86562M209

1,725 000	7 28	0 00	12,558 00	14,528 28	-1,970 28	0 00	-1,970 28
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ADR TOYOTA MTR CORP SPONSORED ADR CUSIP 892331307
TM

293 000	125 48	0 00	36,765 64	29,682 29	7,083 35	0 00	7,083 35
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FANUC CORPORATION CUSIP 307305102

FANDY

1,509 000	27 53	0 00	41,542 77	40,322 42	1,220 35	0 00	1,220 35
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SYSMEX CORP ADR CUSIP 87184P109

1,106 000	22 35	0 00	24,719 10	12,750 94	11,968 16	0 00	11,968 16
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Total USD		0 00	260,030 09	258,421 73	1,608 36	0 00	1,608 36
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Total Japan		0 00	260,030 09	258,421 73	1,608 36	0 00	1,608 36
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KOREA, REPUBLIC OF - USD

ADR SK TELECOM LTD SPONSORED ADR CUSIP 78440P108
SKM

800 000	27 01	0 00	21,608 00	18,244 64	3,363 36	0 00	3,363 36
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Total USD		0 00	21,608 00	18,244 64	3,363 36	0 00	3,363 36
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Total KOREA, REPUBLIC OF		0 00	21,608 00	18,244 64	3,363 36	0 00	3,363 36
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Investment Mgr ID	Shares/PAR value							

Equity Securities

Common stock

Mexico - USD

ADR GRUPO FINANCIERO BANORTE S A B DE C CUSIP 40052P107

GBOOY

250 000 27 545 0 00 6,886 25 9,010 32 -2,124 07 0 00 -2,124 07

Total USD

6,886 25 9,010 32 -2,124 07 0 00 -2,124 07

Total Mexico

6,886 25 9,010 32 -2,124 07 0 00 -2,124 07

Netherlands - USD

ADR AKZO NOBEL N V SPONSORED ADR CUSIP 010199305

AKZOY

1,855 000 22 80 0 00 44,962 51 -2,668 51 0 00 -2,668 51

ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210

ASML

177 000 107 83 0 00 19,085 91 13,371 85 5,714 06 0 00 5,714 06

ADR REED ELSEVIER N V SPONSORED ADR NEW CUSIP 758204200

ENL

593 000 47 64 0 00 28,250 52 25,397 54 2,852 98 0 00 2,852 98

Total USD

89,630 43 83,731 90 5,898 53 0 00 5,898 53

Total Netherlands

89,630 43 83,731 90 5,898 53 0 00 5,898 53

Norway - USD

DNB NOR ASA SPONSORED ADR CUSIP 23328E106

DNHBY

45 000 149 03 0 00 6,706 35 8,357 10 -1,650 75 0 00 -1,650 75

Total USD

6,706 35 8,357 10 -1,650 75 0 00 -1,650 75

Total Norway

6,706 35 8,357 10 -1,650 75 0 00 -1,650 75

Singapore - USD

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Unrealized gain/loss		Total	
Investment Mgr ID	Shares/PAR value			Market Value	Cost		Market Translation

Equity Securities

Common stock

Singapore - USD

ADR DBS GROUP HLDGS LTD SPONSORED ADR CUSIP 23304Y100

DBSDY

237 000	62 23	0 00	14,748 51	11,037 56	3,710 95	0 00	3,710 95
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ADR UNITED OVERSEAS BK LTD SPONSORED ADRISIN #US9112713022 CUSIP 911271302

UOVEY

223 000	36 97	0 00	8,244 31	6,825 36	1,418 95	0 00	1,418 95
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Total USD

		0 00	22,992 82	17,862 92	5,129 90	0 00	5,129 90
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Total Singapore

South Africa - USD

ADR MTN GROUP LTD SPONSORED ADR CUSIP 62474M108

MTNOY

758 000	18 87	0 00	14,303 46	14,897 60	-594 14	0 00	-594 14
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ADR SASOL LTD SPONSORED ADR CUSIP 803866300

SSL

151 000	37 97	0 00	5,733 47	6,548 00	-814 53	0 00	-814 53
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Total USD

		0 00	20,036 93	21,445 60	-1,408 67	0 00	-1,408 67
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Total South Africa

		0 00	20,036 93	21,445 60	-1,408 67	0 00	-1,408 67
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Spain - USD

ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR CUSIP 05946K101

BBVA

1,280 000	9 39	101 04	12,019 20	12,473 19	-453 99	0 00	-453 99
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Total USD

		101 04	12,019 20	12,473 19	-453 99	0 00	-453 99
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Total Spain

		101 04	12,019 20	12,473 19	-453 99	0 00	-453 99
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Sweden - USD

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◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value								

Equity Securities

Common stock

Sweden - USD

ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A CUSIP 049255706

ATLK

495 000	27 973	0 00	13,846 63	11,894 52	1,952 11	0 00	1,952 11
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ERICSSON CUSIP 294821608

ERIC

1,096 000	12 10	0 00	13,261 60	9,793 41	3,468 19	0 00	3,468 19
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SVENSKA HANDELSBANKEN AB ADR CUSIP 86959C103

392 000	23 40	0 00	9,172 80	6,991 28	2,181 52	0 00	2,181 52
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Total USD		0 00	36,281 03	28,679 21	7,601 82	0 00	7,601 82
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Total Sweden		0 00	36,281 03	28,679 21	7,601 82	0 00	7,601 82
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Switzerland - USD

ADR CLARIANT AG ADR COM STK CUSIP 18047P101

488 000	16 827	0 00	8,211 57	9,563 58	-1,352 01	0 00	-1,352 01
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ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR CUSIP 204319107

CFRUY

773 000	8 87	0 00	6,856 51	7,852 37	-995 86	0 00	-995 86
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ADR CREDIT SUISSE GROUP AG SPONSORED ADR SIN US2254011081 CUSIP 225401108

CS

354 000	25 08	0 00	8,878 32	11,095 37	-2,217 05	0 00	-2,217 05
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ADR GIVAUDAN SA ADR CUSIP 37636P108

GVDNY

879 000	35 91	0 00	31,564 89	21,629 56	9,935 33	0 00	9,935 33
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ADR JULIUS BAER GROUP LTD-UN ADR CUSIP 48137C108

JBAXY

1,359 000	9 06	0 00	12,312 54	12,820 36	-507 82	0 00	-507 82
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Shares/PAR value								

Equity Securities

Common stock

Switzerland - USD

ADR NESTLE S A SPONSORED ADR REPSTG REG SH CUSIP 641069406

NSRGY

3,140 000 72 95 0 00 229,063 00 227,257 34 1,805 66 0 00 1,805 66

ADR NOVARTIS AG CUSIP 66987V109

NVS

1,790 000 92 66 0 00 165,861 40 108,506 33 57,355 07 0 00 57,355 07

ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 CUSIP 771195104

RHHBY

2,185 000 33 99 0 00 74,268 15 60,780 02 13,488 13 0 00 13,488 13

ADR SONOVA HLDG AG UNSP ADR CUSIP 83569C102

SONVY

702 000 29 36 0 00 20,610 72 15,013 81 5,596 91 0 00 5,596 91

ADR SWATCH GROUP AG ADR CUSIP 870123106

382 000 22 125 0 00 8,451 75 8,254 28 197 47 0 00 197 47

ADR ZURICH INS GROUP LTD SPONSORED CUSIP 969825104

560 000 31 20 0 00 17,472 00 15,964 15 1,507 85 0 00 1,507 85

SGS SA CUSIP 818800104

SGSOY

786 000 20 42 0 00 16,050 12 17,099 56 -1,049 44 0 00 -1,049 44

UBS GROUP AG COMMON STOCK CUSIP H42097107

2,009 000 17 05 0 00 34,253 45 40,284 90 -6,031 45 0 00 -6,031 45

Total USD

633,854 42 556,121 63 77,732 79 0 00 77,732 79

Total Switzerland

633,854 42 556,121 63 77,732 79 0 00 77,732 79

Taiwan - USD

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss Translation	Total
Shares/PAR value						

Equity Securities

Common stock

Taiwan - USD

TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR CUSIP 874039100

TSM

1,872 000 22 38 0 00 37,419 36 27,851 62 9,567 74 9,567 74

Total USD 37,419 36 27,851 62 9,567 74 9,567 74

Total Taiwan

Turkey - USD

ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR REPSTG 2000 SHS CUSIP 900148701

3,357 000 3 97 0 00 13,327 29 16,670 82 -3,343 53 -3,343 53

Total USD 13,327 29 16,670 82 -3,343 53 -3,343 53

Total Turkey

United Kingdom - USD

ADR ARM HLDS PLC SPONSORED ISIN US0420681068 CUSIP 042068106

398 000 46 30 0 00 18,427 40 11,537 27 6,890 13 6,890 13

ADR AVIVA PLC ADR CUSIP 05382A104

AV

1,040 000 14 90 0 00 15,496 00 16,359 35 -863 35 -863 35

ADR BALFOUR BEATTY PLC SPONSORED ADR REPSTG 2 SHS CUSIP 05845R306

693 000 6 45 0 00 4,469 85 7,061 67 -2,591 82 -2,591 82

ADR BARCLAYS PLC A D R CUSIP 06738E204

BCS

2,138 000 15 01 0 00 32,091 38 34,603 35 -2,511 97 -2,511 97

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID	Shares/PA	value	price					

Equity Securities

Common stock

United Kingdom - USD

ADR BG PLC ADR FINAL INSTMT NEW CUSIP 055434203

2,012 000	13 36	0 00	26,880 32	36,574 96	-9,694 64	0 00	-9,694 64
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ADR BRIT AMERN TOB PLC SPONSORED COM STK CUSIP 110448107
BTI

221 000	107 82	0 00	23,828 22	24,512 70	-684 48	0 00	-684 48
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ADR BUNZL PLC SPONSORED ADR NEW CUSIP 120738406
BZLFY

805 000	27 11	134 41	21,823 55	13,729 17	8,094 38	0 00	8,094 38
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ADR CARNIVAL PLC ADR CUSIP 14365C103

343 000	44 99	0 00	15,431 57	12,871 82	2,559 75	0 00	2,559 75
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ADR COMPASS GROUP PLC SPONSORED ADR NEW JUNE 2014 CUSIP 20449X302

353 000	17 04	0 00	6,015 12	5,861 78	153 34	0 00	153 34
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ADR GLAXOSMITHKLINE PLC SPONSORED ADR CUSIP 37733W105

348 000	42 74	0 00	14,873 52	15,798 61	-925 09	0 00	-925 09
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ADR HSBC HLDGS PLC SPONSORED ADR NEW CUSIP 404280406
HBC

369 000	47 23	0 00	17,427 87	18,783 19	-1,355 32	0 00	-1,355 32
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ADR KINGFISHER PLC SPONSORED ADR PAR 15 5/7 PENCE CUSIP 495724403
KGFHY

1,229 000	10 595	0 00	13,021 25	12,023 66	997 59	0 00	997 59
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ADR PRUDENTIAL PLC ADR ISIN #US74435K2042 CUSIP 74435K204

297 000	46 17	0 00	13,712 49	13,458 57	253 92	0 00	253 92
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Investment Mgr ID	Shares/PAR value							

Equity Securities

Common stock

United Kingdom - USD

ADR REED ELSEVIER P L C SPONSORED ADR NEW CUSIP 758205207

RUK

326 000	68 05	0 00	22,184 30	14,029 22	8,155 08	0 00	8,155 08
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ADR REXAM PLC SPONSORED ADR NEW 2014 ADRNEW 2014 CUSIP 761655604

231 000	34 84	0 00	8,048 04	10,636 73	-2,588 69	0 00	-2,588 69
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ADR RIO TINTO PLC SPONSORED ADR CUSIP 767204100

RIO

241 000	46 06	0 00	11,100 46	13,077 48	-1,977 02	0 00	-1,977 02
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ADR ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS CUSIP 780259206

65 000	66 95	0 00	4,351 75	4,752 47	-400 72	0 00	-400 72
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ADR SABMILLER PLC SPONSORED ADR CUSIP 78572M105

SBMRY

203 000	51 56	0 00	10,466 68	10,125 26	337 42	0 00	337 42
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ADR SPONSORED ADR CUSIP 78467K107

271 000	25 26	0 00	6,845 46	6,856 63	-11 17	0 00	-11 17
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ADR UNILEVER N V NEW YORK SHS NEW CUSIP 904784709

UN

538 000	39 04	0 00	21,003 52	20,707 87	295 65	0 00	295 65
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ADR UNILEVER PLC SPONSORED ADR NEW CUSIP 904767704

226 000	40 48	0 00	9,148 48	8,467 45	681 03	0 00	681 03
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ADR VODAFONE GROUP PLC NEW SPONSORED ADRNO PAR CUSIP 92857W308

368 000	34 17	202 19	12,574 56	13,384 79	-810 23	0 00	-810 23
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Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID							
Shares/PAR value							

Equity Securities

Common stock

United Kingdom - USD

ADR WPP PLC ADR DR EACH REPR 5 SHS CUSIP 92937A102

266 000	104 10	0 00	27,690 60	17,749 07	9,941 53	0 00	9,941 53
LLOYDS BANKING GROUP PLC-ADR LYG							

3,191 000	4 64	0 00	14,806 24	16,082 64	-1,276 40	0 00	-1,276 40
SHIRE PLC ADR CUSIP 82481R106 SHPG							

43 000	212 54	0 00	9,139 22	7,840 46	1,298 76	0 00	1,298 76
Total USD		336 60	380,857 85	366,890 17	13,967 68	0 00	13,967 68

Total United Kingdom		336 60	380,857 85	366,890 17	13,967 68	0 00	13,967 68
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United States - USD

#REORG/COVAN CSH AND STK MERGER LABOR CORP OF AMERICAN HOLDIN 2461167 02-20-15 CUSIP 222816100
CVD

115 000	103 84	0 00	11,941 60	5,838 70	6,102 90	0 00	6,102 90
3M CO COM CUSIP 88579Y101							

1,850 000	164 32	0 00	303,992 00	12,379 48	291,612 52	0 00	291,612 52
ABBOTT LAB COM CUSIP 002824100							

2,250 000	45 02	0 00	101,295 00	42,260 80	59,034 20	0 00	59,034 20
ACTIVISION BLIZZARD INC COM STK CUSIP 00507V109							

482 000	20 15	0 00	9,712 30	5,356 39	4,355 91	0 00	4,355 91
ADR HENKEL AG & CO KGAA SPONSORED ADR REPSTG PFD SHS CUSIP 42550U208 HEN0Y							

154 000	106 78	0 00	16,444 12	15,342 62	1,101 50	0 00	1,101 50
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Investment Mgr ID	Shares/PAR value					Market	Translation	
Equity Securities								
Common stock								
United States - USD								
ADR INTERNATIONAL CONS AIRLS GROUP SA SPONSORED ADR CUSIP 459348108								
	496 000	37 02	0 00	18,361 92	16,819 44	1,542 48	0 00	1,542 48
ADR KONINKLIJKE AHOLD NV CUSIP 500467105								
	883 000	17 774	0 00	15,694 44	11,982 15	3,712 29	0 00	3,712 29
ADR NORDEA BK AB SWEDEN CUSIP 65557A206								
NRBAY								
	1,271 000	11 55	0 00	14,680 05	12,210 15	2,469 90	0 00	2,469 90
ADR SANDS CHINA LTD UNSPONSORED ADR CUSIP 80007R105								
SCHYY								
	213 000	49 195	0 00	10,478 53	17,050 18	-6,571 65	0 00	-6,571 65
ADR SOFTBANK CORP ADR CUSIP 83404D109								
SFTBY								
	511 000	29 70	0 00	15,176 70	11,415 73	3,760 97	0 00	3,760 97
ADR SYMRISE AG ADR CUSIP 87155N109								
	677 000	15 165	0 00	10,266 70	9,236 98	1,029 72	0 00	1,029 72
ADR UNICHARM CORP SPONSORED ADR CUSIP 90460M204								
UNICY								
	4,887 000	4 875	0 00	23,824 12	17,767 47	6,056 65	0 00	6,056 65
AGILENT TECHNOLOGIES INC COM CUSIP 00846U101								
	142 000	40 94	0 00	5,813 48	3,872 37	1,941 11	0 00	1,941 11
AKAMAI TECHNOLOGIES INC COM STK CUSIP 00971T101								
	224 000	62 96	0 00	14,103 04	8,387 00	5,716 04	0 00	5,716 04

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Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

United States - USD

ALLEGHENY TECHNOLOGIES INC COM CUSIP 01741R102

213 000	34 77	0 00	7,406 01	6,590 23	815 78	0 00	815 78
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AMERICAN EXPRESS CO CUSIP 025816109

3,000 000	93 04	0 00	279,120 00	145,650 00	133,470 00	0 00	133,470 00
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AMERICAN WTR WKS CO INC NEW COM CUSIP 030420103

1,500 000	53 30	0 00	79,950 00	55,541 25	24,408 75	0 00	24,408 75
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AMERISOURCEBERGEN CORP COM CUSIP 03073E105

145 000	90 16	0 00	13,073 20	6,968 48	6,104 72	0 00	6,104 72
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ANSYS INC COM CUSIP 03662Q105

113 000	82 00	0 00	9,266 00	7,925 16	1,340 84	0 00	1,340 84
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AON PLC COM CUSIP G0408V102

147 000	94 83	0 00	13,940 01	9,582 93	4,357 08	0 00	4,357 08
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APPLE INC COM STK CUSIP 037833100

7,350 000	110 38	0 00	811,293 00	166,123 75	645,169 25	0 00	645,169 25
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AUTODESK INC COM CUSIP 052769106

190 000	60 06	0 00	11,411 40	6,183 25	5,228 15	0 00	5,228 15
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AUTOMATIC DATA PROCESSING INC COM CUSIP 053015103

1,300 000	83 37	637 00	108,381 00	51,931 70	56,449 30	0 00	56,449 30
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Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

United States - USD

BIO RAD LABS INC CL A CUSIP 090572207	BIO							
48 000	120 56	0 00	5,786 88	4,998 48	788 40	0 00		788 40
BORG WARNER INC COM CUSIP 098724106								
236 000	54 95	0 00	12,968 20	7,739 76	5,228 44	0 00		5,228 44
BUNGE LTD CUSIP G16962105								
181 000	90 91	0 00	16,454 71	13,091 36	3,363 35	0 00		3,363 35
C R BARD CUSIP 067383109								
55 000	166 62	0 00	9,164 10	5,486 57	3,677 53	0 00		3,677 53
CABOT CORP COM CUSIP 127055101								
120 000	43 86	0 00	5,263 20	5,068 81	196 39	0 00		196 39
CBRE GROUP INC CL A CL A CUSIP 125041109								
443 000	34 25	0 00	15,172 75	8,458 84	6,713 91	0 00		6,713 91
CHEVRON CORP COM CUSIP 166764100								
1,650 000	112 18	0 00	185,097 00	182,192 67	2,904 33	0 00		2,904 33
CSX CORP COM CUSIP 126408103								
421 000	36 23	0 00	15,252 83	8,739 02	6,513 81	0 00		6,513 81
CUMMINS INC CUSIP 231021106								
102 000	144 17	0 00	14,705 34	9,613 02	5,092 32	0 00		5,092 32

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Investment Mgr ID	Shares/PAR value					Market	Translation	
Equity Securities								
Common stock								
United States - USD								
CVS HEALTH CORP COM CUSIP 126650100	CVS	96 31	0 00	303,376 50	143,466 43	159,910 07	0 00	159,910 07
D R HORTON INC COM CUSIP 23331A109	DHI	25 29	0 00	17,323 65	14,541 98	2,781 67	0 00	2,781 67
DANAHER CORP COM CUSIP 235851102		85 71	225 00	192,847 50	135,922 50	56,925 00	0 00	56,925 00
DARDEN RESTAURANTS INC COM CUSIP 237194105		58 63	0 00	8,735 87	8,021 00	714 87	0 00	714 87
DOVER CORP COM CUSIP 260003108		71 72	0 00	7,100 28	8,479 61	-1,379 33	0 00	-1,379 33
EASTMAN CHEM CO COM CUSIP 277432100		75 86	70 00	13,275 50	10,429 65	2,845 85	0 00	2,845 85
EATON VANCE CORP COM NON VTG CUSIP 278265103		40 93	0 00	10,641 80	7,542 18	3,099 62	0 00	3,099 62
ECHOSTAR CORPORATION CUSIP 278768106		52 50	0 00	9,450 00	7,034 70	2,415 30	0 00	2,415 30
EMC CORP COM CUSIP 268648102	EMC	29 74	776 25	200,745 00	169,627 50	31,117 50	0 00	31,117 50

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Investment Mgr ID	Shares/PAR value					Market	Translation
Total							
Equity Securities							
Common stock							
United States - USD							
EMERSON ELECTRIC CO COM CUSIP 291011104							
2,700 000		61 73	0 00	166,671 00	16,180 87	150,490 13	0 00
EXPRESS SCRIPTS HLDG CO COM CUSIP 30219G108							
3,056 000		84 67	0 00	258,751 52	186,542 88	72,208 64	0 00
EXXON MOBIL CORP COM CUSIP 30231G102							
XOM		92 45	0 00	249,615 00	202,878 00	46,737 00	0 00
FRKLN RES INC COM CUSIP 354613101							
3,600 000		55 37	2,340 00	199,332 00	115,488 48	83,843 52	0 00
GATX CORP COM CUSIP 361448103							
191 000		57 54	0 00	10,990 14	8,521 29	2,468 85	0 00
GENERAL DYNAMICS CORP COM CUSIP 369550108							
84 000		137 62	0 00	11,560 08	5,589 87	5,970 21	0 00
GENERAL ELECTRIC CO CUSIP 369604103							
GE		25 27	1,564 00	171,836 00	147,112 56	24,723 44	0 00
GLOBAL PMTS INC COM CUSIP 37940X102							
115 000		80 73	0 00	9,283 95	4,917 28	4,366 67	0 00
GOOGLE INC CL A CUSIP 38259P508							
GOOG		530 66	0 00	119,398 50	77,035 09	42,363 41	0 00
225 000							

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Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID							
Shares/PAR value							

Equity Securities

Common stock

United States - USD

GOOGLE INC COM USD0 001 CL'C' CUSIP 38259P706

225 000	526 40	0 00	118,440 00	76,788 97	41,651 03	0 00	41,651 03
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HOME DEPOT INC COM CUSIP 437076102

2,400 000	104 97	0 00	251,928 00	150,359 28	101,568 72	0 00	101,568 72
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INTEGRYS ENERGY GROUP INC COM STK CUSIP 45822P105

151 000	77 85	0 00	11,755 35	8,191 84	3,563 51	0 00	3,563 51
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INTEL CORP COM CUSIP 458140100

INTC

4,100 000	36 29	0 00	148,789 00	101,615 22	47,173 78	0 00	47,173 78
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INTERCONTINENTAL EXCHANGE INC COM CUSIP 45866F104

66 000	219 29	0 00	14,473 14	8,627 64	5,845 50	0 00	5,845 50
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INTERNATIONAL BUSINESS MACHS CORP COM CUSIP 459200101

IBM

600 000	160 44	0 00	96,264 00	69,874 50	26,389 50	0 00	26,389 50
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INTL GAME TECH COM CUSIP 459902102

372 000	17 25	40 92	6,417 00	5,009 51	1,407 49	0 00	1,407 49
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INTUIT COM CUSIP 461202103

213 000	92 19	0 00	19,636 47	12,906 62	6,729 85	0 00	6,729 85
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JOHNSON & JOHNSON COM USD1 CUSIP 478160104

JNJ

1,100 000	104 57	0 00	115,027 00	14,877 50	100,149 50	0 00	100,149 50
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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

United States - USD

JOY GLOBAL INC COM CUSIP 481165108

162 000	46 52	0 00	7,536 24	9,765 71	-2,229 47	0 00	-2,229 47
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JPMORGAN CHASE & CO COM CUSIP 46625H100
JPM

5,250 000	62 58	0 00	328,545 00	172,174 27	156,370 73	0 00	156,370 73
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KEYCORP NEW COM CUSIP 493267108
KEY

753 000	13 90	0 00	10,466 70	6,336 46	4,130 24	0 00	4,130 24
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KEYSIGHT TECHNOLOGIES INC COM CUSIP 49338L103

71 000	33 77	0 00	2,397 67	1,476 94	920 73	0 00	920 73
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MASCO CORP COM CUSIP 574599106

457 000	25 20	0 00	11,516 40	9,201 51	2,314 89	0 00	2,314 89
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MC DONALDS CORP COM CUSIP 560135101

2,250 000	93 70	0 00	210,825 00	133,342 65	77,482 35	0 00	77,482 35
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MEDNAX INC COM CUSIP 58502B106

140 000	66 11	0 00	9,255 40	5,100 53	4,154 87	0 00	4,154 87
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MERCK & CO INC NEW COM CUSIP 58933Y105

3,900 000	56 79	1,755 00	221,481 00	171,167 50	50,313 50	0 00	50,313 50
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MONSANTO CO NEW COM CUSIP 61166W101

1,500 000	119 47	0 00	179,205 00	149,970 60	29,234 40	0 00	29,234 40
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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	
Equity Securities								
Common stock								
United States - USD								
MURPHY OIL CORP COM	CUSIP 626717102							
98 000	50 52	0 00	4,950 96	5,159 04	-208 08	0 00	-208 08	
NABORS INDUSTRIES COM USD0 10 CUSIP G6359F103								
578 000	12 98	0 00	7,502 44	8,686 70	-1,184 26	0 00	-1,184 26	
NATIONAL OILWELL VARCO COM STK CUSIP 637071101								
NOV								
2,600 000	65 53	0 00	170,378 00	116,065 32	54,312 68	0 00	54,312 68	
NEWFIELD EXPLORATION CUSIP 651290108								
NFX								
256 000	27 12	0 00	6,942 72	7,414 29	-471 57	0 00	-471 57	
NIELSEN N V CUSIP N63218106								
330 000	44 73	0 00	14,760 90	12,859 04	1,901 86	0 00	1,901 86	
NIKE INC CL B CUSIP 654106103								
3,500 000	96 15	980 00	336,525 00	75,519 67	261,005 33	0 00	261,005 33	
NORFOLK SOUTHN CORP COM CUSIP 655844108								
NSC								
2,000 000	109 61	0 00	219,220 00	145,731 60	73,488 40	0 00	73,488 40	
ORACLE CORP COM CUSIP 68389X105								
ORCL								
5,250 000	44 97	0 00	236,092 50	88,305 00	147,787 50	0 00	147,787 50	
PEPSICO INC COM CUSIP 713448108								
3,000 000	94 56	1,965 00	283,680 00	129,768 00	153,912 00	0 00	153,912 00	

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<u>Description / Asset ID</u>		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	

Equity Securities

Common stock

United States - USD

PFIZER INC COM CUSIP 717081103								
	9,300 000	31 15	0 00	289,695 00	247,566 00	42,129 00	0 00	42,129 00
POSTNL N V SPONSORED ADR CUSIP 73753A103								
	1,374 000	3 73	0 00	5,125 02	6,526 50	-1,401 48	0 00	-1,401 48
PRAXAIR INC COM CUSIP 74005P104								
	1,100 000	129 56	0 00	142,516 00	31,481 34	111,034 66	0 00	111,034 66
PROCTER & GAMBLE COM NPV CUSIP 742718109								
	1,500 000	91 09	0 00	136,635 00	79,552 50	57,082 50	0 00	57,082 50
PROGRESSIVE CORP OH COM CUSIP 743315103								
	307 000	26 99	0 00	8,285 93	6,993 06	1,292 87	0 00	1,292 87
QUALCOMM INC COM CUSIP 747525103								
	3,000 000	74 33	0 00	222,990 00	161,251 70	61,738 30	0 00	61,738 30
RAYMOND JAMES FNCL INC COM STK CUSIP 754730109								
	215 000	57 29	38 70	12,317 35	8,207 17	4,110 18	0 00	4,110 18
REINSURANCE GROUP AMER INC COM NEW STK CUSIP 759351604								
	119 000	87 62	0 00	10,426 78	6,732 72	3,694 06	0 00	3,694 06
RENAISSANCE RE HLDGS LTD COM CUSIP G7496G103								
	85 000	97 22	0 00	8,263 70	7,837 57	426 13	0 00	426 13

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Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID	Shares/PAR value						

Equity Securities

Common stock

United States - USD

REPUBLIC SVCS INC COM CUSIP 760759100								
RSG	352 000	40 25	98 56	14,168 00	9,797 74	4,370 26	0 00	4,370 26
SCHLUMBERGER LTD COM COM CUSIP 806857108								
SLB	2,454 000	85 41	981 60	209,596 14	112,020 31	97,575 83	0 00	97,575 83
SCOTT'S MIRACLE-GRO CLASS A COM NPV CUSIP 810186106								
SMG	123 000	62 32	0 00	7,665 36	5,293 76	2,371 60	0 00	2,371 60
SEALED AIR CORP NEW COM STK CUSIP 81211K100								
	385 000	42 43	0 00	16,335 55	6,425 21	9,910 34	0 00	9,910 34
SENSATA TECHNOLOGIES HLDG NV CUSIP N7902X106								
ST	296 000	52 41	0 00	15,513 36	11,659 64	3,853 72	0 00	3,853 72
SNAP-ON INC COM CUSIP 833034101								
	141 000	136 74	0 00	19,280 34	10,635 42	8,644 92	0 00	8,644 92
SODEXO CUSIP 833792104								
SDXAY	183 000	97 935	0 00	17,922 10	14,675 38	3,246 72	0 00	3,246 72
ST JUDE MED INC COM CUSIP 790849103								
STJ	2,500 000	65 03	675 00	162,575 00	97,268 50	65,306 50	0 00	65,306 50
STARBUCKS CORP COM CUSIP 855244109								
	2,450 000	82 05	0 00	201,022 50	122,269 70	78,752 80	0 00	78,752 80

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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	
Equity Securities								
Common stock								
United States - USD								
STIFEL FINL CORP COM	CUSIP 860630102							
229 000	51 02	0 00	11,683 58	9,415 23	2,268 35	0 00		2,268 35
SYNOPSYS INC COM CUSIP 871607107								
197 000	43 47	0 00	8,563 59	6,207 31	2,356 28	0 00		2,356 28
TJX COS INC COM NEW CUSIP 872540109								
314 000	68 58	0 00	21,534 12	13,240 39	8,293 73	0 00		8,293 73
TRAVELERS COS INC COM STK CUSIP 89417E109								
1,500 000	105 85	0 00	158,775 00	73,753 50	85,021 50	0 00		85,021 50
UNITED TECHNOLOGIES CORP COM CUSIP 913017109								
2,100 000	115 00	0 00	241,500 00	113,221 50	128,278 50	0 00		128,278 50
UNITEDHEALTH GROUP INC COM CUSIP 91324P102								
2,400 000	101 09	0 00	242,616 00	131,040 00	111,576 00	0 00		111,576 00
US BANCORP CUSIP 902973304								
4,100 000	44 95	1,004 50	184,295 00	103,279 00	81,016 00	0 00		81,016 00
V F CORP COM CUSIP 918204108								
4,200 000	74 90	0 00	314,580 00	160,333 63	154,246 37	0 00		154,246 37
VALSPAR CORP COM CUSIP 920355104								
191 000	86 48	0 00	16,517 68	11,149 03	5,368 65	0 00		5,368 65

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Equity Securities

Common stock

United States - USD

VERIZON COMMUNICATIONS COM CUSIP 92343V104 VZ	46 78	0 00	79,526 00	62,826 56	16,699 44	0 00	16,699 44
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WALT DISNEY CO CUSIP 254687106 DIS	94 19	2,587 50	211,927 50	111,885 52	100,041 98	0 00	100,041 98
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WELLS FARGO & CO NEW COM STK CUSIP 949746101 WFC	54 82	0 00	312,474 00	133,377 43	179,096 57	0 00	179,096 57
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WHITING PETE CORP COM STK CUSIP 966387102	33 00	0 00	4,422 00	6,273 14	-1,851 14	0 00	-1,851 14
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XLINX INC COM CUSIP 983919101 XLNX	43 29	0 00	10,865 79	8,499 88	2,365 91	0 00	2,365 91
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Total USD		15,739 03	10,510,048 20	5,748,126 86	4,761,921 34	0 00	4,761,921 34
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Total United States		15,739 03	10,510,048 20	5,748,126 86	4,761,921 34	0 00	4,761,921 34
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Total Common Stock		16,780 48	12,940,923 47	8,003,140 62	4,937,782 85	0 00	4,937,782 85
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Preferred stock

Brazil - USD

ADR ITAU UNIBANCO HLDG SA SPONSORED ADR REPSTG 500 PFD ADR CUSIP 465562106 ITUB	13 01	6 83	15,104 61	14,478 98	625 63	0 00	625 63
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Total USD		6 83	15,104 61	14,478 98	625 63	0 00	625 63
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Total Brazil		6 83	15,104 61	14,478 98	625 63	0 00	625 63
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Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Equity Securities								
Preferred stock								
Germany - USD								
ADR VOLKSWAGEN A G SPONSORED ADR REPSTG PREF SH CUSIP 928662402 VLKPY								
	270 000	44 02	0 00	11,885 40	12,582 99	-697 59	0 00	-697 59
Total USD			0 00	11,885 40	12,582 99	-697 59	0 00	-697 59
Total Germany			0 00	11,885 40	12,582 99	-697 59	0 00	-697 59
Total Preferred Stock	1,431,000		6.93	26,990.01	27,061.97	-71.96	0.00	-71.96

Equity funds

Emerging Markets Region - USD

MFB NORTHN FUNDS EMERGING MKTS EQTY INDEX FD CUSIP 665162582

	91,795 140	10 61	0 00	973,946 43	668,268 63	305,677 80	0 00	305,677 80
Total USD			0 00	973,946 43	668,268 63	305,677 80	0 00	305,677 80
Total Emerging Markets Region			0 00	973,946 43	668,268 63	305,677 80	0 00	305,677 80

Global Region - USD

MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD CUSIP 338391407

	18,900 000	30 62	16,189 55	578,718 00	645,307 65	-66,589 65	0 00	-66,589 65
Total USD			16,189 55	578,718 00	645,307 65	-66,589 65	0 00	-66,589 65
Total Global Region			16,189 55	578,718 00	645,307 65	-66,589 65	0 00	-66,589 65

International Region - USD

MFO DFA INVT DIMENSIONS GROUP INC INTL SMALL CAP VALUE PORTFOLIO CUSIP 233203736

	5,862 900	18 60	0 00	109,049 94	123,482 20	-14,432 26	0 00	-14,432 26
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Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Equity Securities

Equity funds

International Region - USD

MFO DIMENSIONAL FD ADVISORS INTL VALUE PORTFOLIO CUSIP 254340203

20,703,930	17.65	0.00	365,424.36	400,000.00	-34,575.64	0.00	-34,575.64
Total USD							

Total International Region

United States - USD

MFB NORTHERN FDS SMALL CAP INDEX FD CUSIP 665162723

42,145,060	12.15	0.00	512,062.47	367,379.71	144,682.76	0.00	144,682.76
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MFB NORTHERN MULTI MANAGER GLOBAL LISTEDINFRASTRUCTURE FUND CUSIP 665162384

39,456,370	12.29	0.00	484,918.78	469,587.09	15,331.69	0.00	15,331.69
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MFB NORTHN MID CAP INDEX FD CUSIP 665130100

33,968,680	17.51	0.00	594,791.58	424,041.54	170,750.04	0.00	170,750.04
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MFO DFA INVT DIMENSIONS GROUP INC U S CORE EQUITY 1 PORT CUSIP 233203413

107,356,150	17.92	0.00	1,923,822.20	1,783,476.40	140,345.80	0.00	140,345.80
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Total USD

Total United States

Total Equity Funds

360,188,230			5,542,733.76	4,881,543.22	661,190.54	0.00	661,190.54
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Other equity

United States - USD

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Description / Asset ID

Investment Mgr ID

Exchange rate/
local market price

Accrued
income/expense

Market Value

Cost

Market

Unrealized gain/loss

Translation

Total

Equity Securities

Other equity

United States - USD

AMERICAN TOWER CORP CUSIP 03027X100

209 000	98 85	79 42	20,659 65	15,635 40	5,023 25	0 00	5,023 25
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BSTN PPTY'S INC CUSIP 101121101

69 000	128 69	355 35	8,879 61	7,435 71	1,443 90	0 00	1,443 90
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SIMON PROPERTY GROUP INC COM CUSIP 828806109

900 000	182 11	0 00	163,899 00	104,559 63	59,339 37	0 00	59,339 37
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Total USD

	434 77		193,438 26	127,631 74	65,806 52	0 00	65,806 52
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Total United States

	434 77		193,438 26	127,631 74	65,806 52	0 00	65,806 52
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Total Other Equity

1,178,000		434.77	193,438.26	127,631.74	65,806.52	0.00	65,806.52
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Total Equity Securities

610,966,230		33,411.63	18,704,085.50	13,039,377.55	5,654,707.95	0.00	5,654,707.95
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Fixed Income Securities

Corporate/government

United States - USD

MFB NORTHN HI YIELD FXD INC FD CUSIP 665162699

89,034 670	7 08	0 00	630,365 46	596,375 74	33,989 72	0 00	33,989 72
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Issue Date 25 Aug 08

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Description / Asset ID	Investment Mgr/ ID	Shares/PAF value	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
							Market	Translation	

Fixed Income Securities

Corporate/government

United States - USD

MFO PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL CUSIP 693390841

Issue Date	14,512 500	9 14	0 00	135,339 99	132,644 25	-2,695 74	0 00	-2,695 74
Issue Date	29 Aug 08							

ORACLE CORP 5 25% DUE 01-15-2016 CUSIP 68402LAC8

Issue Date	250,000 000	104 7913	6,052 08	250,585 00	261,978 25	11,393 25	0 00	11,393 25
Issue Date	13 Jan 06	Rate 5 25%	Yield to Maturity 0 512%	Maturity Date 15 Jan 16				

Total USD			6,052 08	982,300 73	1,024,987 96	42,687 23	0 00	42,687 23
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Total United States			6,052 08	982,300 73	1,024,987 96	42,687 23	0 00	42,687 23
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Total Corporate/Government

353,547.170			6,052.08	982,300.73	1,024,987.96	42,687.23	0.00	42,687.23
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Total Fixed Income Securities

353,547.170			6,052.08	982,300.73	1,024,987.96	42,687.23	0.00	42,687.23
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Real Estate

Real estate funds

United States - USD

MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD CUSIP 665162541

32,328 650	10 02	0 00	323,933 07	306,700 00	17,233 07	0 00	17,233 07
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Total USD			0 00	306,700 00	323,933 07	17,233 07	0 00	17,233 07
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Total United States			0 00	306,700 00	323,933 07	17,233 07	0 00	17,233 07
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Account number Multiple Accounts

02-08303,02-19858,02-79761,02-79791,02-79792

Page 34 of 34

◆ Asset Detail - Base Currency

Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Investment Mgr ID							
Shares/PAF value							

Real Estate

Total Real Estate Funds							
32,328.650	0.00		323,933.07	306,700.00	17,233.07	0.00	17,233.07
Total Real Estate							
32,328.650	0.00		323,933.07	306,700.00	17,233.07	0.00	17,233.07

Cash and Short Term Investments

Short term

USD - United States dollar

	1.00	15.42	387,667.39	387,667.39	0.00	0.00	0.00
Total short term - all currencies		15.42	387,667.39	387,667.39	0.00	0.00	0.00
Total short term - all countries		15.42	387,667.39	387,667.39	0.00	0.00	0.00
Total Short Term		15.42	387,667.39	387,667.39	0.00	0.00	0.00
Total Cash and Short Term Investments							
387,667.390	15.42		387,667.39	387,667.39	0.00	0.00	0.00
Total		39,479.13	20,440,873.92	14,716,045.67	5,724,628.25	0.00	5,724,628.25

Although this report has been prepared using information believed to be reliable, it may contain information provided by third parties or derived from third party information, and/or information that may have been obtained from, categorized or otherwise reported based upon client direction. The Northern Trust Company does not guarantee the accuracy, timeliness or completeness of any such information. The information included in this report is intended to assist clients with their financial reporting needs, but you must consult with your accountants, auditors and/or legal counsel to ensure your accounting and financial reporting complies with applicable laws, regulations and accounting guidance. The Northern Trust Company and its affiliates shall have no responsibility for the consequences of investment decisions made in reliance on information contained in this report.

IRS CIRCULAR 230 NOTICE To the extent that this message or any attachment concerns tax matters, it is not intended to be used and cannot be used by a taxpayer for the purpose of avoiding penalties that may be imposed by law. For more information about this notice, see <http://www.northerntrust.com/circular230>

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 26 Mar 15 B003

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2014Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE PETTUS FOUNDATION

Social security number or taxpayer identification number

43-6029569

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
189.	ABB LTD SPONSORED ADR	03/28/2014	05/01/2014	4,493.00	4,839.00			-346.00
154.	ABB LTD SPONSORED ADR	03/28/2014	06/02/2014	3,615.00	3,943.00			-328.00
229.	ABB LTD SPONSORED ADR	03/28/2014	06/03/2014	5,394.00	5,864.00			-470.00
38.	AGRIUM INC	04/25/2014	12/01/2014	3,662.00	3,571.00			91.00
30.	AKZO N V SPONSORED ADR	03/28/2014	07/02/2014	735.00	813.00			-78.00
83.	BG PLC ADR FIN INST N	03/28/2014	05/07/2014	1,771.00	1,536.00			235.00
77.	BAIDU COM INC SPONSORE	06/12/2013	01/15/2014	13,218.00	7,526.00			5,692.00
13.	BAYER A G 00	03/28/2014	11/26/2014	1,914.00	1,775.00			139.00
4.	BRITISH AMERN TOB PLC S	03/28/2014	07/02/2014	485.00	444.00			41.00
402.	PEUGEOT SA RIGHT EXP 12-31-2049	06/23/2014	06/27/2014	891.00				891.00
6.	CNOOC LTD SPND ADR 00	03/28/2014	08/21/2014	1,170.00	920.00			250.00
9.	CNOOC LTD SPND ADR 00	03/28/2014	09/02/2014	1,770.00	1,379.00			391.00
578.	BRAMBLES LTD RIGHTS		05/27/2014	873.00				873.00
578.	BRAMBLES LTD RIGHTS	01/23/2014	05/28/2014	832.00	873.00			-41.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2014Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

THE PETTUS FOUNDATION

43-6029569

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
315.	ADR CREDIT AGRICOLE S	03/28/2014	06/26/2014	2,266.00	2,468.00			-202.00
456.	ADR CREDIT AGRICOLE S	03/28/2014	09/15/2014	3,451.00	3,573.00			-122.00
190.	ADR DAIHATSU MTR CO L	05/01/2013	02/03/2014	5,882.00	7,528.00			-1,646.00
38.	DAIMLER AG SPONSORED A	03/28/2014	07/18/2014	3,357.00	3,592.00			-235.00
38.	DAIMLER AG SPONSORED A	03/28/2014	07/28/2014	3,303.00	3,592.00			-289.00
26.	DAIMLER AG SPONSORED A	03/28/2014	11/12/2014	1,977.00	2,458.00			-481.00
13.	DAIMLER AG SPONSORED A	03/28/2014	12/12/2014	1,089.00	1,229.00			-140.00
113.	ADR DEUTSCHE LUFTHANS SPONSORED	04/07/2014	06/24/2014	2,396.00	3,129.00			-733.00
294.	ADR DEUTSCHE LUFTHANS SPONSORED	04/07/2014	07/03/2014	6,113.00	8,125.00			-2,012.00
402.	PEUGEOT SA WARRANT MA	06/27/2014	06/30/2014	680.00				680.00
48.	ADR ENN ENERGY HLDGS L	01/20/2014	11/20/2014	7,126.00	8,580.00			-1,454.00
7.	ADR EUTELSAT COMMUNICAT	08/20/2013	03/05/2014	55.00	53.00			2.00
518.	ADR EUTELSAT COMMUNIC	08/22/2013	03/06/2014	4,106.00	3,884.00			222.00
789.	ADR EUTELSAT COMMUNIC	08/22/2013	05/14/2014	6,618.00	5,861.00			757.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
4X2615 2 000Form **8949** (2014)

Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2014Attachment
Sequence No **12A**

Name(s) shown on return

THE PETTUS FOUNDATION

Social security number or taxpayer identification number

43-6029569

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	2. ADR FUCHS PETROLUB SE F FUCHS PETROLUB AG TO 08	03/18/2014	06/06/2014	50.00	51.00			-1.00
	37. ADR GIVAUDAN SA ADR	03/28/2014	11/25/2014	1,274.00	1,137.00			137.00
	8. ADR HENKEL AG & CO KGAA ADR REPSTG PFD SHS	09/19/2013	02/06/2014	896.00	829.00			67.00
	10. ADR HONG KONG EXCHANGE LTD ADR	06/14/2013	06/06/2014	187.00	160.00			27.00
	37. IMPERIAL OIL LTD NEW	03/28/2014	04/21/2014	1,755.00	1,724.00			31.00
	30. IMPERIAL OIL LTD NEW	03/28/2014	04/24/2014	1,444.00	1,398.00			46.00
	39. IMPERIAL OIL LTD NEW	03/28/2014	05/06/2014	1,925.00	1,817.00			108.00
	30. IMPERIAL OIL LTD NEW	03/28/2014	09/02/2014	1,569.00	1,398.00			171.00
	119. ARKEMA SPONSORED RIGH	12/24/2014	12/26/2014	277.00				277.00
	96. ADR INTERNATIONAL CONS SA SPONSORED ADR	03/28/2014	08/13/2014	2,658.00	3,329.00	W	671.00	
	110. ADR INTERNATIONAL CON GROUP SA SPONSORED ADR	03/28/2014	11/07/2014	3,505.00	3,819.00			-314.00
	37. ADR INTERNATIONAL CONS SA SPONSORED ADR	03/28/2014	12/12/2014	1,343.00	1,283.00			60.00
	155. ADR KDDI CORP ADR	07/09/2014	10/29/2014	2,433.00	2,361.00			72.00
	92. ADR KDDI CORP ADR	06/17/2014	11/11/2014	1,526.00	1,351.00			175.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)JSA
4X2615 2 000

ANS318 549D

02-08303

29

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2014Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

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Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
52.	KOREA ELEC PWR CO SPON	03/28/2014	08/06/2014	1,097.00	908.00			189.00
61.	KOREA ELEC PWR CO SPON	03/28/2014	08/07/2014	1,289.00	1,065.00			224.00
107.	KOREA ELEC PWR CO SPO	03/28/2014	08/26/2014	2,202.00	1,868.00			334.00
68.	KOREA ELEC PWR CO SPON	03/28/2014	08/27/2014	1,397.00	1,187.00			210.00
389.	KOREA ELEC PWR CO SPO	03/28/2014	08/28/2014	8,026.00	6,790.00			1,236.00
603.	LLOYDS TSB GROUP PLC ADR	03/28/2014	11/26/2014	2,982.00	3,039.00			-57.00
6.	ADR MITSUBISHI ESTATE L MITSUBISHIEST ADR +	10/01/2013	06/06/2014	146.00	178.00			-32.00
2.	ADR MONOTARO CO LTD ADR	11/19/2013	06/06/2014	50.00	47.00			3.00
39.	ADR NOVARTIS AG SPONSO #US66987V1098	03/28/2014	05/21/2014	3,480.00	3,181.00			299.00
14.	ADR NOVARTIS AG SPONSO #US66987V1098	03/28/2014	11/11/2014	1,296.00	1,142.00			154.00
11.	ADR NOVARTIS AG SPONSO #US66987V1098	03/28/2014	12/12/2014	1,041.00	897.00			144.00
3.	NOVO NORDISK A S ADR	03/04/2014	06/06/2014	130.00	143.00			-13.00
33.	ADR PETROFAC LTD ADS	10/01/2014	12/18/2014	184.00	269.00			-85.00
497.	ADR PETROFAC LTD ADS	10/02/2014	12/19/2014	2,679.00	4,033.00			-1,354.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
4X2615 2 000Form **8949** (2014)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2014Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

THE PETTUS FOUNDATION

43-6029569

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
498.	ADR PEUGEOT SA	06/24/2014	07/21/2014	7,234.00	8,986.00			-1,752.00
553.55	PIMCO COMMODITY REA STRATEGY FUND	12/11/2013	03/24/2014	3,227.00	3,089.00			138.00
31.	ADR PUBLICIS S A NEW S	05/31/2013	02/06/2014	695.00	563.00			132.00
3820.61	MFO RS INVT TR GLO RES FD CL Y	03/24/2014	12/04/2014	108,925.00	137,745.00			-28,820.00
10.	ADR REED ELSEVIER N V ADR NEW STK NEW	03/28/2014	07/02/2014	457.00	428.00			29.00
16.	ADR REED ELSEVIER N V ADR NEW STK NEW	08/06/2014	08/13/2014	716.00	697.00			19.00
4.	ADR REED ELSEVIER N V S NEW STK NEW	03/28/2014	08/14/2014	180.00	171.00			9.00
55.	ADR REED ELSEVIER N V ADR NEW STK NEW	03/28/2014	09/30/2014	2,486.00	2,356.00			130.00
14.	ADR REED ELSEVIER N V ADR NEW STK NEW	03/28/2014	11/11/2014	652.00	600.00			52.00
6.	ADR REED ELSEVIER N V S NEW STK NEW	03/28/2014	11/12/2014	278.00	257.00			21.00
.11	ADR REXAM PLC SPONSORE 2014 ADRNEW 2014	03/28/2014	06/02/2014	5.00	5.00			
41.	ROYAL DUTCH SHELL PLC	03/28/2014	05/01/2014	3,229.00	2,998.00			231.00
40.	ROYAL DUTCH SHELL PLC	03/28/2014	06/17/2014	3,209.00	2,925.00			284.00
37.	ROYAL DUTCH SHELL PLC	03/28/2014	06/25/2014	3,022.00	2,705.00			317.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2014)JSA
4X2615 2 000

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02-08303

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Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE PETTUS FOUNDATION

Social security number or taxpayer identification number

43-6029569

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I Short-Term. Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
32.	ROYAL DUTCH SHELL PLC	03/28/2014	08/29/2014	2,585.00	2,340.00			245.00
8.	SANOFI-AVENTIS SPONSORE	03/28/2014	07/02/2014	423.00	417.00			6.00
30.	SANOFI-AVENTIS SPONSOR	03/28/2014	11/11/2014	1,412.00	1,564.00			-152.00
47.	SANOFI-AVENTIS SPONSOR	03/28/2014	11/12/2014	2,187.00	2,451.00			-264.00
46.	SAP AKTIENGESSELLSCHAFT ADR	03/28/2014	08/06/2014	3,535.00	3,699.00	W	164.00	
259.	ADR SHIN ETSU CHEM CO	03/28/2014	10/02/2014	4,123.00	3,727.00			396.00
11.	ADR SHIN ETSU CHEM CO	03/28/2014	11/11/2014	179.00	158.00			21.00
72.	ADR SHIN ETSU CHEM CO	03/28/2014	11/12/2014	1,169.00	1,036.00			133.00
46.	SIEMENS A G SPONSORED	03/28/2014	05/19/2014	6,034.00	6,231.00			-197.00
11.	SIEMENS A G SPONSORED	03/28/2014	06/03/2014	1,452.00	1,490.00			-38.00
5.	SIEMENS A G SPONSORED A	03/28/2014	07/30/2014	620.00	677.00			-57.00
22.	SIEMENS A G SPONSORED	03/28/2014	07/31/2014	2,733.00	2,980.00			-247.00
15.	SIEMENS A G SPONSORED	03/28/2014	09/23/2014	1,815.00	2,032.00			-217.00
1.	SIEMENS A G SPONSORED A	03/28/2014	10/06/2014	113.00	135.00			-22.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2014Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE PETTUS FOUNDATION

Social security number or taxpayer identification number

43-6029569

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Part I Short-Term. Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
14.	SIEMENS A G SPONSORED	03/28/2014	10/07/2014	1,575.00	1,897.00			-322.00
17.	SIEMENS A G SPONSORED	03/28/2014	10/22/2014	1,846.00	2,303.00			-457.00
69.	SIEMENS A G SPONSORED	03/28/2014	11/04/2014	7,688.00	9,347.00			-1,659.00
73.	ADR SVENSKA CELLULOZA SCA SPONSORED ADR	04/23/2014	08/01/2014	1,795.00	2,048.00			-253.00
81.	ADR TECHNIP SPONSORED TECHNIP-COLEXIP SEC#285	06/17/2014	11/24/2014	1,427.00	2,205.00			-778.00
64.	ADR TECHNIP SPONSORED TECHNIP-COLEXIP SEC#285	06/17/2014	11/25/2014	1,121.00	1,715.00			-594.00
284.	TESCO PLC SPONSORED A	03/28/2014	04/08/2014	4,028.00	4,240.00			-212.00
18.	TESCO PLC SPONSORED AD	03/28/2014	04/30/2014	266.00	269.00			-3.00
375.	TESCO PLC SPONSORED A	03/28/2014	05/01/2014	5,446.00	5,599.00			-153.00
267.	TOSHIBA CORP ADR	07/17/2013	04/23/2014	6,579.00	7,750.00			-1,171.00
31.	ADR TOTAL SA	03/28/2014	05/07/2014	2,219.00	2,048.00			171.00
1378.	ADR TURKIYE GARANTI SPONSORED ADR REPSTG 20	04/15/2013	01/15/2014	4,161.00	7,450.00			-3,289.00
.	ADR XINYI GLASS HLDGS LT 20 SHS		01/16/2014	859.00				859.00
152.	DELPHI AUTOMOTIVE PLC	04/23/2014	05/29/2014	10,524.00	9,493.00			1,031.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

THE PETTUS FOUNDATION

43-6029569

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Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
19.	ADR AIA GROUP LTD SPON	11/09/2012	06/06/2014	379.00	300.00			79.00
122.	AARON RENTS INC-CL A	11/09/2012	03/18/2014	3,841.00	3,560.00			281.00
80.	AARON RENTS INC-CL A	10/17/2012	03/19/2014	2,485.00	2,242.00			243.00
750.	ABBOTT LABORATORIES	05/19/2003	03/24/2014	28,747.00	14,087.00			14,660.00
21.	AGRIUM INC	10/17/2012	11/24/2014	2,099.00	2,200.00			-101.00
30.	AGRIUM INC	11/09/2012	12/01/2014	2,891.00	2,899.00			-8.00
3.	ADR AIR LIQUIDE ADR	10/17/2012	05/28/2014	8.00	7.00			1.00
14.	ADR AIR LIQUIDE ADR	10/17/2012	06/06/2014	374.00	323.00			51.00
27.	ALLIANZ AKTIENGESELLSC SPONSORED ADR REPSTG 1/	02/05/2013	06/06/2014	452.00	383.00			69.00
1000.	AMERICAN EXPRESS CO	08/03/2011	03/24/2014	90,501.00	48,550.00			41,951.00
500.	AMERICAN WTR WKS CO I	08/31/2012	03/24/2014	22,465.00	18,514.00			3,951.00
3.	ADR ANHEUSER BUSCH INBE SPONSOREDADR	10/17/2012	06/06/2014	333.00	266.00			67.00
350.	APPLE COMPUTER INC	09/09/2008	03/24/2014	188,012.00	55,375.00			132,637.00
6.	ARM HLDGS PLC SPONSORED	10/17/2012	06/06/2014	271.00	174.00			97.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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THE PETTUS FOUNDATION

43-6029569

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
9.	ADR ATLAS COPCO AB SPON NEW REPSTG COM SER A	11/09/2012	06/06/2014	263.00	218.00			45.00
400.	AUTOMATIC DATA PROCES	02/15/2007	03/24/2014	30,539.00	18,291.00			12,248.00
13.	BASF AG SPONSORED ADR	10/17/2012	12/11/2014	1,157.00	1,118.00			39.00
87.	BASF AG SPONSORED ADR	11/09/2012	12/12/2014	7,608.00	7,225.00			383.00
12.	BG PLC ADR FIN INST N	10/17/2012	06/06/2014	255.00	262.00			-7.00
48.	BAIDU COM INC SPONSORE	11/09/2012	04/23/2014	7,643.00	5,289.00			2,354.00
22.	ADR BANCO BILBAO VIZCA ARGENTARIA S A SPONSORE	02/22/2013	06/06/2014	297.00	219.00			78.00
6.	ADR BAYERISCHE MOTOREN ADR	02/13/2013	06/06/2014	253.00	194.00			59.00
300000.	BELLSOUTH CORP 5.2 09-15-2014 20140915 5.2	09/09/2008	07/15/2014	302,472.00	300,303.00			2,169.00
.33	CDK GLOBAL INC COM	02/15/2007	10/01/2014	9.00	6.00			3.00
433.	CDK GLOBAL INC COM	02/15/2007	12/04/2014	17,532.00	7,508.00			10,024.00
33.	CNOOC LTD SPND ADR 00	11/09/2012	04/23/2014	5,377.00	6,846.00	W	1,469.00	
12.	CNOOC LTD SPND ADR 00	10/17/2012	08/11/2014	2,228.00	2,385.00			-157.00
8.	CNOOC LTD SPND ADR 00	11/09/2012	08/14/2014	1,493.00	1,584.00			-91.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
13.	CNOOC LTD SPND ADR 00	11/09/2012	08/21/2014	2,536.00	2,557.00			-21.00
8.	#REORG/CSL LTD-UNSPON A CSL LTD 2W1AAW1 EFF 06-	11/09/2012	06/06/2014	263.00	201.00			62.00
1050.	CVS CORP	08/31/2012	03/24/2014	77,782.00	47,822.00			29,960.00
5.	CANADIAN NATL RY CO COM	10/17/2012	06/06/2014	308.00	224.00			84.00
42.	CANON INC ADR REPSTG 5	10/17/2012	01/15/2014	1,299.00	1,360.00			-61.00
146.	CANON INC ADR REPSTG	11/09/2012	01/16/2014	4,490.00	4,623.00			-133.00
850.	CENOVUS ENERGY INC CO	04/20/2004	03/24/2014	23,009.00	8,678.00			14,331.00
22.00103	CENOVUS ENERGY IN	10/17/2012	07/25/2014	671.00	773.00			-102.00
73.99897	CENOVUS ENERGY IN	10/17/2012	07/25/2014	2,258.00	2,601.00	W	343.00	
550.	CHEVRONTXACO CORP CO	01/22/2013	03/24/2014	63,768.00	61,695.00			2,073.00
69.	CHINA MOBILE HONG KONG SPONSORED ADR	11/09/2012	05/14/2014	3,383.00	3,831.00			-448.00
45.	CHINA MOBILE HONG KONG SPONSORED ADR	10/17/2012	05/27/2014	2,195.00	2,427.00			-232.00
43.	CHINA MOBILE HONG KONG SPONSORED ADR	10/17/2012	06/10/2014	2,121.00	2,319.00			-198.00
129.	CHINA MOBILE HONG KONG SPONSORED ADR	03/19/2013	08/01/2014	7,036.00	6,710.00			326.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	187. ADR CIELO S A SPONSOR	12/17/2012	07/08/2014	3,811.00	2,072.00			1,739.00
	113. COACH INC	01/09/2013	02/03/2014	5,245.00	6,535.00			-1,290.00
	5. ADR COCHLEAR LTD ADR UN	11/09/2012	06/06/2014	139.00	194.00			-55.00
	11. ADR COCHLEAR LTD ADR U	11/09/2012	09/18/2014	332.00	426.00			-94.00
	126. ADR COCHLEAR LTD ADR	11/09/2012	09/19/2014	3,738.00	4,867.00			-1,129.00
	147. ADR COCHLEAR LTD ADR	10/17/2012	09/22/2014	4,330.00	5,560.00			-1,230.00
	36. CORUS ENTMT INC CL B N	10/17/2012	02/06/2014	800.00	856.00			-56.00
	4. DBS GROUP HOLDINGS LTD- ADR	10/17/2012	06/06/2014	219.00	187.00			32.00
	750. DANAHER CORP	01/22/2013	03/24/2014	55,628.00	45,308.00			10,320.00
	4. ADR DASSAULT SYS S A SP	10/17/2012	06/06/2014	513.00	433.00			80.00
	750. WALT DISNEY CO	08/31/2012	03/24/2014	59,883.00	37,295.00			22,588.00
	2250. EMC CORP MASS	08/03/2011	03/24/2014	62,211.00	56,543.00			5,668.00
	900. EMERSON ELECTRIC CO	04/02/1985	03/24/2014	58,516.00	5,394.00			53,122.00
	2800. ENERGIZER HLDGS INC	04/30/1997	03/24/2014	274,310.00	40,790.00			233,520.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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THE PETTUS FOUNDATION

43-6029569

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	900. EXPRESS SCRIPTS HLDG	08/31/2012	03/24/2014	67,957.00	56,096.00			11,861.00
	900. EXXON MOBIL CORP COM	02/15/2007	03/24/2014	85,289.00	67,626.00			17,663.00
	11. FANUC CORPORATION	10/17/2012	06/06/2014	317.00	295.00			22.00
	1200. FRANKLIN RESOURCES I	08/26/2010	03/24/2014	62,579.00	38,496.00			24,083.00
	6. FRESENIUS MED CARE AG & EACH REPR 1/3 NPV	10/17/2012	05/19/2014	194.00	228.00			-34.00
	134. FRESENIUS MED CARE AG EACH REPR 1/3 NPV	11/09/2012	05/20/2014	4,300.00	4,838.00			-538.00
	911. GAZPROM O A O SPONSOR	02/06/2013	03/07/2014	6,175.00	8,733.00			-2,558.00
	2200. GENERAL ELEC CO COM	11/06/2012	03/24/2014	55,791.00	47,595.00			8,196.00
	18. ADR GIVAUDAN SA ADR	10/17/2012	02/06/2014	534.00	373.00			161.00
	192. ADR GIVAUDAN SA ADR	10/17/2012	10/10/2014	5,921.00	3,978.00			1,943.00
	75. GOOGLE INC CL A	08/31/2012	03/24/2014	86,262.00	51,275.00			34,987.00
	55. ADR GRUPO FINANCIERO B DE C	04/01/2013	10/02/2014	1,727.00	2,175.00			-448.00
	800. HOME DEPOT INC	11/06/2012	03/24/2014	63,514.00	50,120.00			13,394.00
	396. ADR ICAP PLC SPONSORE 2 ORD SH ADR	11/09/2012	05/21/2014	5,060.00	3,974.00			1,086.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
179.	ADR ICICI BK LTD	03/08/2013	05/16/2014	9,117.00	7,955.00			1,162.00
7.	ADR ICICI BK LTD	10/17/2012	06/06/2014	363.00	282.00			81.00
3.	IMPERIAL OIL LTD NEW	10/17/2012	06/06/2014	148.00	140.00			8.00
593.	ADR IND & COMM BK OF- ADR IND & COMM BK OF-UN	12/21/2012	04/23/2014	7,122.00	8,211.00			-1,089.00
1400.	INTEL CORP COM	08/31/2012	03/24/2014	34,859.00	34,698.00			161.00
250.	INTL BUSINESS MACHINE	09/09/2008	03/24/2014	46,943.00	29,114.00			17,829.00
21.	ADR ITAU UNIBANCO HLDG SPONSORED ADR REPSTG 50	12/13/2012	06/06/2014	303.00	275.00			28.00
.5	ADR ITAU UNIBANCO HLDG ADR REPSTG 500 PFD PFD	12/13/2012	06/06/2014	8.00	7.00			1.00
550.	ADR ITAU UNIBANCO HLD SPONSORED ADR REPSTG 50	12/13/2012	10/10/2014	8,070.00	6,960.00			1,110.00
5.	ADR JGC CORP	10/17/2012	06/06/2014	298.00	351.00	W	53.00	
1750.	J P MORGAN CHASE & C	08/31/2012	03/24/2014	106,048.00	61,756.00			44,292.00
12.	ADR JARDINE MATHESON H	01/31/2013	02/06/2014	633.00	778.00			-145.00
400.	JOHNSON & JOHNSON	12/22/1994	03/24/2014	37,907.00	5,410.00			32,497.00
.38	ADR KONINKLIJKE Ahold	10/17/2012	04/03/2014	7.00	5.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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43-6029569

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☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
48.	#REORG/KONINKLIJKE AHO STK SPLIT KONINKLIJKE O	10/17/2012	02/06/2014	828.00	609.00			219.00
11.	ADR L OREAL CO ADR	10/17/2012	06/06/2014	380.00	294.00			86.00
4.	ADR LVMH MOET HENNESSY VUITTON ADR	10/17/2012	06/06/2014	156.00	135.00			21.00
750.	MCDONALDS CORP	12/31/2007	03/24/2014	72,247.00	44,448.00			27,799.00
1300.	MERCK & CO INC	03/15/2013	03/24/2014	69,730.00	57,056.00			12,674.00
500.	MONSANTO CO COM	09/09/2008	03/24/2014	56,686.00	49,990.00			6,696.00
900.	NATIONAL-OILWELL INC	06/13/2007	03/24/2014	66,555.00	44,545.00			22,010.00
7.	NESTLE S A SPONSORED AD SH	10/17/2012	06/06/2014	540.00	474.00			66.00
313.	NEW GOLD INC CDA COM	10/17/2012	05/05/2014	1,600.00	3,759.00			-2,159.00
324.	NEW GOLD INC CDA COM	10/17/2012	05/06/2014	1,633.00	3,891.00			-2,258.00
173.	NEW GOLD INC CDA COM	11/09/2012	05/07/2014	850.00	1,872.00			-1,022.00
286.	NEW GOLD INC CDA COM	11/09/2012	05/08/2014	1,392.00	3,070.00			-1,678.00
1200.	NIKE INC CLASS B	11/21/2005	03/24/2014	90,164.00	25,892.00			64,272.00
4.	ADR NOKIAN TYRES OYJ AD	11/09/2012	03/14/2014	79.00	80.00			-1.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
540.	ADR NOKIAN TYRES OYJ	11/09/2012	03/17/2014	10,428.00	10,605.00			-177.00
340.	ADR NOKIAN TYRES OYJ	04/08/2013	04/14/2014	6,301.00	7,602.00			-1,301.00
700.	NORFOLK SOUTHN CORP C	11/17/2011	03/24/2014	67,191.00	51,006.00			16,185.00
31692.14	MFB NORTHN FUNDS MKTS EQTY EQTY INDEX FD	03/15/2013	03/24/2014	338,472.00	348,890.00			-10,418.00
2464.39	MFB NORTHN FUNDS E EQTY EQTY INDEX FD	04/13/2009	12/04/2014	27,749.00	17,941.00			9,808.00
450.	ADR NOVARTIS AG SPONS ISIN #US66987V1098	04/08/2011	03/24/2014	36,470.00	25,036.00			11,434.00
650.	NOW INC COM	06/13/2007	06/19/2014	22,052.00	12,621.00			9,431.00
1750.	ORACLE CORPORATION	02/15/2007	03/24/2014	65,974.00	29,435.00			36,539.00
13862.43	PIMCO FDS PAC INV HIGH YIELD FD INSTL CL	07/13/2012	12/04/2014	131,000.00	129,336.00			1,664.00
1000.	PEPSICO INC	05/19/2003	03/24/2014	81,632.00	43,256.00			38,376.00
407.	ADR PETROLEO BRASILEI PETROBRAS SPONSORED ADR	11/09/2012	01/15/2014	5,195.00	9,000.00			-3,805.00
3200.	PFIZER INC COM	01/22/2013	03/24/2014	100,737.00	85,184.00			15,553.00
33890.58	PIMCO COMMODITY R STRATEGY FUND	03/21/2013	03/24/2014	197,582.00	223,000.00			-25,418.00
400.	PRAXAIR INC	05/19/2003	03/24/2014	52,831.00	11,448.00			41,383.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
	500. PROCTER & GAMBLE CO	04/20/2004	03/24/2014	39,829.00	26,518.00			13,311.00
	1000. QUALCOMM INC	11/30/2011	03/24/2014	77,356.00	54,724.00			22,632.00
	5719.42 MFO RS INVT TR GLO RES FD CL Y	03/21/2013	12/04/2014	163,060.00	223,000.00			-59,940.00
	13. ADR ROCHE HLDG LTD SPO ISIN #US771195104	10/17/2012	06/06/2014	487.00	326.00			161.00
	26. ADR SABMILLER PLC SPON	02/07/2013	09/15/2014	1,570.00	1,297.00			273.00
	900. ST JUDE MEDICAL INC	11/06/2012	03/24/2014	58,499.00	35,017.00			23,482.00
	118. SAP AKTIENGESELLSCHAFT ADR	10/17/2012	05/15/2014	8,923.00	8,452.00			471.00
	3. ADR SASOL LTD SPONSORED	10/17/2012	06/06/2014	172.00	133.00			39.00
	35. ADR SBERBANK RUSSIA SP	10/17/2012	04/11/2014	314.00	426.00			-112.00
	846. ADR SBERBANK RUSSIA S	11/09/2012	04/14/2014	7,460.00	9,812.00			-2,352.00
	750. SCHLUMBERGER LTD ISIN 86	08/12/2005	03/24/2014	70,116.00	32,549.00			37,567.00
	3. SCHLUMBERGER LTD ISIN #	10/17/2012	06/06/2014	318.00	224.00			94.00
	20. ADR SCHNEIDER ELECTRIC	10/17/2012	06/06/2014	385.00	261.00			124.00
	149. SILVER WHEATON CORP C	11/09/2012	05/27/2014	3,070.00	5,989.00			-2,919.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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	198. SILVER WHEATON CORP C	12/18/2012	08/01/2014	5,137.00	7,591.00			-2,454.00
	350. SIMON PPTY GROUP INC	11/17/2011	03/24/2014	55,905.00	43,225.00			12,680.00
	6. ADR SONOVA HLDG AG UNSP	10/17/2012	06/06/2014	183.00	128.00			55.00
	850. STARBUCKS CORP	08/31/2012	03/24/2014	64,279.00	42,420.00			21,859.00
	301. ADR SVENSKA CELLULOSA T SCA SPONSORED ADR	11/09/2012	08/01/2014	7,403.00	5,650.00			1,753.00
	7. SVENSKA HANDELSBANKEN A	10/17/2012	06/06/2014	178.00	127.00			51.00
	6. ADR SWATCH GROUP AG ADR	11/09/2012	06/06/2014	178.00	131.00			47.00
	18. SYSMEX CORP ADR	12/06/2012	06/06/2014	322.00	213.00			109.00
	18. TAIWAN SEMICONDUCTOR M	12/06/2012	06/06/2014	379.00	307.00			72.00
	10. TESCO PLC SPONSORED AD	11/09/2012	06/06/2014	145.00	155.00			-10.00
	565. TESCO PLC SPONSORED A	11/09/2012	08/07/2014	6,936.00	8,752.00			-1,816.00
	650. 3M CO	10/04/1979	03/24/2014	86,024.00	4,350.00			81,674.00
	7. TOYOTA MTR CORP ADR REP	11/09/2012	02/06/2014	812.00	551.00			261.00
	500. THE TRAVELERS COMPANI	08/26/2010	03/24/2014	41,875.00	24,585.00			17,290.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side

Social security number or taxpayer identification number

THE PETTUS FOUNDATION

43-6029569

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
768.	ADR TULLOW OIL PLC AD	11/09/2012	04/30/2014	5,637.00	8,773.00			-3,136.00
57.	ADR TURKIYE GARANTI BA SPONSORED ADR REPSTG 20	11/09/2012	06/06/2014	234.00	298.00			-64.00
1400.	US BANCORP DEL NEW	08/03/2011	03/24/2014	60,479.00	35,266.00			25,213.00
134.	URS CORP NEW	11/09/2012	10/27/2014	7,235.00	4,732.00			2,503.00
593.	ADR UNICHARM CORP SPO	04/30/2013	06/03/2014	7,173.00	7,680.00			-507.00
28.	ADR UNICHARM CORP SPON	10/17/2012	06/06/2014	343.00	314.00			29.00
4.	ADR UNILEVER PLC SPONSO	10/17/2012	06/06/2014	177.00	152.00			25.00
65.	UNILEVER N V	10/17/2012	01/21/2014	2,593.00	2,431.00			162.00
700.	UNITED TECHNOLOGIES C	09/09/2008	03/24/2014	79,294.00	42,322.00			36,972.00
900.	UNITEDHEALTH GROUP IN	03/15/2013	03/24/2014	72,943.00	49,140.00			23,803.00
1400.	V F CORP	08/31/2012	03/24/2014	86,572.00	53,445.00			33,127.00
600.	VERIZON COMMUNICATION	11/17/2011	03/24/2014	27,869.00	22,174.00			5,695.00
4.	ADR WPP PLC ADR DR EACH	10/17/2012	06/06/2014	434.00	275.00			159.00
450.	#REORG WP GLIMCHER NA GLIMCHER INC 0002916AB1	11/17/2011	06/12/2014	8,593.00	6,589.00			2,004.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on other side

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THE PETTUS FOUNDATION

43-6029569

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Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1900. WELLS FARGO & CO NEW	05/19/2003	03/24/2014	92,927.00	44,970.00			47,957.00
	3. ADR XINYI GLASS HLDGS L REPSTG 20 SHS.	10/17/2012	06/06/2014	43.00	33.00			10.00
	79. ACCENTURE PLC SHS CL A	11/09/2012	06/30/2014	6,430.00	5,332.00			1,098.00
	4. BUNGE LIMITED	02/15/2013	06/06/2014	306.00	300.00			6.00
	37. BUNGE LIMITED	02/15/2013	07/14/2014	2,733.00	2,778.00			-45.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				4,808,008.	3,408,745.		1,865.	1,401,128.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.