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For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation John & Carolyn Peterson Charitable Foundation Inc		A Employer identification number 43-1974269	
Number and street (or P O box number if mail is not delivered to street address) PO Box 1507		B Telephone number (see instructions) (262) 821-5378	
City or town, state or province, country, and ZIP or foreign postal code Brookfield, WI 53005		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,997,698		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	191,353	191,353		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	160,232			
	b Gross sales price for all assets on line 6a 1,979,058				
	7 Capital gain net income (from Part IV, line 2) . . .		160,232		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	-5,427	-3,176		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	346,158	348,409		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,500	4,250		4,250
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	5,600	2,800		2,800
	c Other professional fees (attach schedule) . . . . .	39,999	39,999		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . .	6,691	3,355		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	232			224
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	61,022	50,404		7,274
	25 Contributions, gifts, grants paid . . . . .	312,000			312,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	373,022	50,404		319,274
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-26,864			
	b <b>Net investment income</b> (if negative, enter -0-)		298,005		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	5,214	5,214	5,214
	2	Savings and temporary cash investments . . . . .	166,452	130,609	130,609
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	365,642	377,869	380,086
	b	Investments—corporate stock (attach schedule) . . . . .	2,810,877	3,268,600	5,128,560
	c	Investments—corporate bonds (attach schedule). . . . .	1,741,687	1,280,716	1,353,229
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,089,872	5,063,008	6,997,698	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	5,089,872	5,063,008	
	30	Total net assets or fund balances (see instructions) . . . . .	5,089,872	5,063,008	
31	Total liabilities and net assets/fund balances (see instructions) . . .	5,089,872	5,063,008		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 5,089,872
2	Enter amount from Part I, line 27a . . . . .	2 -26,864
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3 . . . . .	4 5,063,008
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 5,063,008

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	160,232
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	239,191	6,357,984	0 03762
2012	233,393	4,901,322	0 04762
2011	221,292	4,598,904	0 04812
2010	213,415	4,517,946	0 04724
2009	216,143	4,129,058	0 05235

2	Total of line 1, column (d). . . . .	2	0 23294
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 04659
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	6,893,594
5	Multiply line 4 by line 3. . . . .	5	321,159
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,980
7	Add lines 5 and 6. . . . .	7	324,139
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	319,274

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	5,960
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	5,960
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	5,960
6		Credits/Payments			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,000		
b	Exempt foreign organizations—tax withheld at source . . . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c			
d	Backup withholding erroneously withheld . . . . .	6d			
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	3,000
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	12
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	2,972
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of Michael Guzniczak Telephone no (262) 821-5378 Located at PO Box 1507 Brookfield WI ZIP+4 53005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes No If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes  No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes  No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes  No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes  No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes  No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	6,993,359
b	Average of monthly cash balances. . . . .	1b	5,214
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	6,998,573
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	6,998,573
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	104,979
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,893,594
6	Minimum investment return. Enter 5% of line 5. . . . .	6	344,680

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	344,680
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	5,960
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	5,960
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	338,720
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	338,720
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	338,720

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	319,274
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	319,274
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	319,274

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				338,720
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only. . . . .			298,111	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009. . . . .				
b From 2010. . . . .				
c From 2011. . . . .				
d From 2012. . . . .				
e From 2013. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 319,274				
a Applied to 2013, but not more than line 2a			298,111	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2014 distributable amount. . . . .				21,163
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				317,557
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	312,000
b Approved for future payment				
Total . . . . .			3b	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2015-07-14	*****	May the IRS discuss this return with the preparer shown below (see instr )? <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Lynn M Braden CPA	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00274171
	Firm's name <input checked="checked" type="checkbox"/> WINTER KLOMAN MOTER & REPP SC			Firm's EIN <input checked="checked" type="checkbox"/>	
	Firm's address <input checked="checked" type="checkbox"/> 235 N EXECUTIVE DR STE 160 BROOKFIELD, WI 530056064			Phone no (262) 797-9050	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
Account 472 Summary	P	2013-01-01	2014-12-31
Account 771 Summary	P	2013-01-01	2014-12-31
Account 776 Summary	P	2013-01-01	2014-12-31
Account 779 Summary	P	2013-01-01	2014-12-31
Account 782 Summary	P	2013-01-01	2014-12-31
Capital Gain - Distributions	P	2013-01-01	2014-12-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
90,219		73,577	16,642
831,795		713,943	117,852
255,263		217,852	37,411
123,055		177,259	-54,204
678,549		636,195	42,354
177			177

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) <b>or</b> Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			16,642
			117,852
			37,411
			-54,204
			42,354
			177

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mark L Peterson	Dir/Vice Pres 1 00	0		
PO Box 1507 Brookfield, WI 53005				
Elinor Guzniczak	Dir/President 1 00	0		
PO Box 1507 Brookfield, WI 53005				
Michael J Guzniczak	Dir/Treasurer 5 00	8,500		
PO Box 1507 Brookfield, WI 53005				
Ryia R Peterson	Dir/Secretary 1 00	0		
PO Box 1507 Brookfield, WI 53005				
Emily C Guzniczak	Director 1 00	0		
PO Box 1507 Brookfield, WI 53005				
Lauren A Guzniczak	Director 1 00	0		
PO Box 1507 Brookfield, WI 53005				
Jake Peterson	Director 1 00	0		
PO Box 1507 Brookfield, WI 53005				



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Token Creek Festival PO Box 55142 Madison, WI 53705	None	PC	Token Creek Music Festival	7,000
St Louis Artwoks 2703 N 14th St St Louis, MO 63106	None	PC	Summer program	20,000
Madison Symphony Orchestra 222 W Washington Ave Madison, WI 53703	None	PC	Up close and musical - symphony soup	15,000
Madison Opera Inc 3414 Monroe Street Madison, WI 53711	None	PC	Opera in the Park	25,000
Birch Creek PO Box 230 Egg Harbor, WI 54209	None	PC	Foundation Scholarship	20,000
M-Slice 4116 West Carter St Louis, MO 63115	None	PC	Provide opportunities for hard to employ individuals through art	15,000
Madison Jazz Consortium 318 Elmside Boulevard Madison, WI 53704	None	PC	Sustain current programs and launch new initiatives	40,000
Prison Performing Arts 3547 Olive St Ste 250 St Louis, MO 63103	None	PC	Program funding	15,000
UW-Milwaukee 2131 E Hartford Ave Milwaukee, WI 53211	None	PC	Frank Lloyd Wright initiative	20,000
Wisconsin Chamber Orchestra 22 N Carroll St Ste 104 Madison, WI 53701	none	PC	Collaborate for "Side by Side Concert"	25,000
Wisconsin Public Television 821 University Ave Madison, WI 53701	None	PC	Collaborate to record and braodcast two television programs	7,500
Grinnell College 1108 Park St Grinnell, IA 50112	None	PC	Permanent art installation	100,000
Golden Retriever Rescue of Wisconsin PO Box 286 Cedar Grove, WI 53013	None	PC	Operation Support	2,500
<b>Total . . . . .</b>  <b>3a</b>				312,000

**TY 2014 Accounting Fees Schedule**

**Name:** John & Carolyn Peterson Charitable  
Foundation Inc

**EIN:** 43-1974269

**Software ID:** 14000265

**Software Version:** 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WKMR Tax Preparation Fees	5,600	2,800	0	2,800

**TY 2014 Other Expenses Schedule**

**Name:** John & Carolyn Peterson Charitable  
Foundation Inc

**EIN:** 43-1974269

**Software ID:** 14000265

**Software Version:** 2014v5.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Financial Institution Fee	224			224
Tax "	8			

**TY 2014 Other Income Schedule**

**Name:** John & Carolyn Peterson Charitable  
Foundation Inc

**EIN:** 43-1974269

**Software ID:** 14000265

**Software Version:** 2014v5.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 Ordinary Loss	-3,176	-3,176	
K-1 Distributions	-2,251		

**TY 2014 Other Professional Fees Schedule**

**Name:** John & Carolyn Peterson Charitable  
Foundation Inc

**EIN:** 43-1974269

**Software ID:** 14000265

**Software Version:** 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Morgan Stanley, Management Fees	39,999	39,999	0	0

**TY 2014 Taxes Schedule**

**Name:** John & Carolyn Peterson Charitable  
Foundation Inc

**EIN:** 43-1974269

**Software ID:** 14000265

**Software Version:** 2014v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2013 Extension - Excise Tax	336			
2014 Estimate - Excise Tax	3,000			
Foreign Tax Wittheld	3,355	3,355		

**Application for Extension of Time To File an  
Exempt Organization Return**► **File a separate application for each return.**

OMB No. 1545-1709

► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

<b>Type or print</b>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	JOHN & CAROLYN PETERSON CHARITABLE FOUNDATION INC.	43-1974269
<b>File by the due date for filing your return. See instructions</b>	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	PO BOX 1507	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	BROOKFIELD, WI 53005	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MICHAEL GUZNICZAK

Telephone No. ► 262-821-5378 Fax No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
  - ☒ calendar year 2014 or
  - ☐ tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

- If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	5,915.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	3,000.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	2,915.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**John & Carolyn Peterson Charitable Foundation**  
**Form 990-PF**  
**Page 2, Part II - Balance Sheet**  
**12/31/2014**

**BOOK VALUE**

	Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
<b>472</b>		11,883		41,486		
Corp Stock			143,913			
MF			24,571			221,853
<b>771</b>		56,060		336,383		
Corp Stock			696,604			
ETF			208,758			1,297,805
<b>776</b>		15,238	650,807			666,045
<b>779</b>		19,101	768,315			787,416
<b>782</b>		28,327			1,280,716	
Corp Stock			224,569			
Closed End Funds			83,264			
Mutual Funds			79,440			
Unit Inv Trst			388,359			2,084,675
<b>ckg</b>	5,214					5,214
	5,214	130,609	3,268,600	377,869	1,280,716	5,063,008

**FAIR MARKET VALUE**

	Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
<b>472</b>		11,883		42,208		
Corp Stock			176,206			
MF			24,827			255,124
<b>771</b>		56,060		337,878		
Corp Stock			872,384			
ETF			208,711			1,475,033
<b>776</b>		15,238	662,339			677,577
<b>779</b>		19,101	2,254,050			2,273,151
<b>782</b>		28,327			1,353,229	
Corp Stock			266,810			
Closed End Funds			206,568			
Mutual Funds			74,529			
Unit Inv Trst			382,136			2,311,599
<b>ckg</b>	5,214					5,214
	5,214	130,609	5,128,560	380,086	1,353,229	6,997,698





Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Investment Objectives†: Capital Appreciation, Income

Investment Advisory Account  
Manager: ALLIANCE BERNSTEIN REGENT

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$27.10			
MORGAN STANLEY BANK N.A. #	11,856.03	6.00	—	0.050

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest
CASH, BDP, AND MMFs	4.7%	\$11,883.13	\$6.00 \$0.00

# Bank Deposits are held at either (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

004950 MSGDT342 048161

# Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

## STOCKS

### COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ABBOTT LABORATORIES (ABT)	11/4/14	45.000	\$43.434	\$1,954.52	\$2,025.90	\$71.38 ST		
	12/18/14	35.000	45.004	1,575.15	1,575.70	0.55 ST		
	<b>Total</b>	<b>80.000</b>		<b>3,529.67</b>	<b>3,601.60</b>	<b>71.93 ST</b>	<b>77.00</b>	<b>2.13</b>
Share Price \$45.020, Next Dividend Payable 02/2015								
AMAZON COM INC (AMZN)	3/4/13	2.000	269.395	538.79	620.70	81.91 LT		
	4/15/13	3.000	269.877	809.63	931.05	121.42 LT		
	4/23/13	2.000	265.870	531.74	620.70	88.96 LT		
	4/26/13	2.000	257.515	515.03	620.70	105.67 LT		
	5/10/13	2.000	262.580	525.16	620.70	95.54 LT		
	<b>Total</b>	<b>11.000</b>		<b>2,920.35</b>	<b>3,413.85</b>	<b>493.50 LT</b>	<b>—</b>	<b>—</b>
Share Price \$310.350								
AMERICAN EXPRESS CO (AXP)	2/27/13	28.000	62.540	1,751.12	2,605.12	854.00 LT		
	6/26/13	3.000	73.593	220.78	279.12	58.34 LT		
	<b>Total</b>	<b>31.000</b>		<b>1,971.90</b>	<b>2,884.24</b>	<b>912.34 LT</b>	<b>32.00</b>	<b>1.10</b>
Share Price \$93.040, Next Dividend Payable 02/2015								
ANHEUSER BUSCH INBEV SA SPON (BUD)	10/31/13	26.000	103.878	2,700.84	2,920.32	219.48 LT		
	8/6/14	4.000	107.970	431.88	449.28	17.40 ST		
	<b>Total</b>	<b>30.000</b>		<b>3,132.72</b>	<b>3,369.60</b>	<b>219.48 LT</b>	<b>80.00</b>	<b>2.37</b>
Share Price \$112.320								
APPLE INC (AAPL)	4/10/13	2.000	62.227	124.45	220.76	96.31 LT		
	4/15/13	14.000	60.353	844.94	1,545.32	700.38 LT		
	4/17/13	7.000	57.353	401.47	772.66	371.19 LT		
	6/20/13	7.000	60.010	420.07	772.66	352.59 LT		
	<b>Total</b>	<b>30.000</b>		<b>1,790.93</b>	<b>3,311.40</b>	<b>1,520.47 LT</b>	<b>56.00</b>	<b>1.69</b>
Share Price \$110.380, Next Dividend Payable 02/2015								
AUTODESK INC DELAWARE (ADSK)	2/27/13	47.000	36.390	1,710.33	2,822.82	1,112.49 LT	—	—
Share Price \$60.060								
AVAGO TECH (AVGO)	9/20/13	33.000	42.283	1,395.32	3,319.47	1,924.15 LT		
	11/27/13	7.000	44.717	313.02	704.13	391.11 LT		
	<b>Total</b>	<b>40.000</b>		<b>1,708.34</b>	<b>4,023.60</b>	<b>2,315.26 LT</b>	<b>56.00</b>	<b>1.39</b>
Share Price \$100.590, Next Dividend Payable 03/2015								
BRISTOL MYERS SQUIBB CO (BMY)	2/27/13	36.000	37.180	1,338.48	2,125.08	786.60 LT		
	3/4/13	11.000	36.734	404.07	649.33	245.26 LT		
	10/4/13	1.000	47.370	47.37	59.03	11.66 LT		

# Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

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## STOCKS

### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		48 000		1,789.92	2,833.44	1,043.52 LT	71.00	2.50
<i>Share Price \$59.030, Next Dividend Payable 02/02/15</i>								
BRUKER CORPORATION (BRKR)	3/12/14	74.000	23.884	1,767.41	1,451.88	(315.53) ST		
	8/7/14	27.000	21.707	586.09	529.74	(56.35) ST		
	12/18/14	21.000	18.834	395.52	412.02	16.50 ST		
<b>Total</b>		122.000		2,749.02	2,393.64	(355.38) ST	—	—
<i>Share Price \$19.620</i>								
CHARLES SCHWAB NEW (SCHW)	2/27/13	60.000	16.410	984.60	1,811.40	826.80 LT		
	4/16/13	47.000	16.682	784.07	1,418.93	634.86 LT		
	10/4/13	1.000	21.520	21.52	30.19	8.67 LT		
<b>Total</b>		108.000		1,790.19	3,260.52	1,470.33 LT	26.00	0.79
<i>Share Price \$30.190, Next Dividend Payable 02/20/15</i>								
COLGATE PALMOLIVE CO (CL)	8/6/14	46.000	64.818	2,981.65	3,182.74	201.09 ST	66.00	2.07
<i>Share Price. \$69.190, Next Dividend Payable 02/20/15</i>								
COMCAST CORP (NEW) CLASS A (CMCSA)	11/20/14	49.000	54.359	2,663.58	2,842.49	178.91 ST		
	12/18/14	19.000	55.846	1,061.08	1,102.19	41.11 ST		
<b>Total</b>		68.000		3,724.66	3,944.68	220.02 ST	61.00	1.54
<i>Share Price \$58.010, Next Dividend Payable 01/20/15</i>								
COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	11/19/14	280.000	8.730	2,444.40	2,483.60	39.20 ST	24.00	0.96
<i>Share Price. \$8.870</i>								
CONCHO RES INC (CXO)	6/20/13	16.000	84.863	1,357.81	1,596.00	238.19 LT		
	9/19/14	10.000	132.355	1,323.55	997.50	(326.05) ST		
	12/1/14	9.000	91.956	827.60	897.75	70.15 ST		
<b>Total</b>		35.000		3,508.96	3,491.25	238.19 LT (255.90) ST	—	—
<i>Share Price \$99.750</i>								
CUMMINS INC (CMI)	2/27/13	16.000	116.440	1,863.04	2,306.72	443.68 LT		
	8/6/14	2.000	139.590	279.18	288.34	9.16 ST		
<b>Total</b>		18.000		2,142.22	2,595.06	443.68 LT 9.16 ST	56.00	2.15
<i>Share Price. \$144.170, Next Dividend Payable 03/20/15</i>								
CVS HEALTH CORP COM (CVS)	12/2/13	35.000	67.184	2,351.44	3,370.85	1,019.41 LT		
	1/23/14	13.000	68.572	891.43	1,252.03	360.60 ST		

## Account Detail

Fiduciary Services Active Assets Account  
357-010472-031JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		48.000		3,242.87	<b>4,622.88</b>	1,019.41 LT 360.60 ST	67.00	1.44
<i>Share Price. \$96.310, Next Dividend Payable 02/2015</i>								
<b>DELPHI AUTOMOTIVE PLC (DLPH)</b>	1/30/14	32.000	60.854	1,947.32	2,327.04	379.72 ST		
	3/4/14	12.000	67.003	804.03	872.64	68.61 ST		
	8/6/14	6.000	67.978	407.87	436.32	28.45 ST		
	12/18/14	3.000	71.417	214.25	218.16	3.91 ST		
<b>Total</b>		53.000		3,373.47	<b>3,854.16</b>	480.69 ST	53.00	1.37
<i>Share Price. \$72.720, Next Dividend Payable 02/2015</i>								
<b>EOG RESOURCES INC (EOG)</b>	10/3/14	35.000	96.981	3,394.33	<b>3,222.45</b>	(171.88) ST	23.00	0.71
<i>Share Price. \$92.070, Next Dividend Payable 01/2015</i>								
<b>ESTEE LAUDER CO INC CL A (EL)</b>	2/27/13	23.000	63.940	1,470.62	1,752.60	281.98 LT		
	5/13/13	13.000	70.893	921.61	990.60	68.99 LT		
	7/17/13	2.000	66.380	132.76	152.40	19.64 LT		
	10/4/13	1.000	70.030	70.03	76.20	6.17 LT		
	3/4/14	6.000	69.858	419.15	457.20	38.05 ST		
<b>Total</b>		45.000		3,014.17	<b>3,429.00</b>	376.78 LT 38.05 ST	43.00	1.25
<i>Share Price. \$76.200, Next Dividend Payable 03/2015</i>								
<b>GOLDMAN SACHS GRP INC (GS)</b>	2/27/13	10.000	151.930	1,519.30	1,938.30	419.00 LT		
	4/15/13	10.000	147.630	1,476.30	1,938.30	462.00 LT		
	10/4/13	1.000	156.180	156.18	193.83	37.65 LT		
<b>Total</b>		21.000		3,151.78	<b>4,070.43</b>	918.65 LT	50.00	1.22
<i>Share Price. \$193.830, Next Dividend Payable 03/2015</i>								
<b>GOOGLE INC CL C (GOOG)</b>	2/27/13	3.000	401.106	1,203.32	1,579.20	375.88 LT		
	8/26/14	5.000	578.476	2,892.38	2,632.00	(260.38) ST		
<b>Total</b>		8.000		4,095.70	<b>4,211.20</b>	375.88 LT (260.38) ST	—	—
<i>Share Price. \$526.400</i>								
<b>HARLEY DAVIDSON INC (HOG)</b>	7/15/13	15.000	55.401	831.02	988.65	157.63 LT		
	7/16/13	26.000	55.097	1,432.53	1,713.66	281.13 LT		
	3/4/14	10.000	66.590	665.90	659.10	(6.80) ST		
<b>Total</b>		51.000		2,929.45	<b>3,361.41</b>	438.76 LT (6.80) ST	56.00	1.66
<i>Share Price. \$65.910, Next Dividend Payable 03/2015</i>								

Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HERSHEY COMPANY (HSY)	7/15/13	10.000	92.088	920.88	1,039.30	118.42 LT		
	7/16/13	11.000	92.397	1,016.37	1,143.23	126.86 LT		
	10/4/13	1.000	91.670	91.67	103.93	12.26 LT		
	6/27/14	5.000	97.028	485.14	519.65	34.51 ST		
	8/6/14	6.000	89.793	538.76	623.58	84.82 ST		
	<b>Total</b>	<b>33.000</b>		<b>3,052.82</b>	<b>3,429.69</b>	<b>257.54 LT</b> <b>119.33 ST</b>	<b>71.00</b>	<b>2.07</b>
Share Price \$103.930, Next Dividend Payable 03/2015								
HEXCEL CORP NEW (HXL)	11/4/14	10.000	42.544	425.44	414.90	(10.54) ST		
	11/5/14	10.000	42.539	425.39	414.90	(10.49) ST		
	11/5/14	10.000	42.475	424.75	414.90	(9.85) ST		
	11/6/14	5.000	42.656	213.28	207.45	(5.83) ST		
	11/7/14	10.000	43.019	430.19	414.90	(15.29) ST		
	11/7/14	17.000	43.014	731.24	705.33	(25.91) ST		
	<b>Total</b>	<b>62.000</b>		<b>2,650.29</b>	<b>2,572.38</b>	<b>(77.91) ST</b>	<b>—</b>	<b>—</b>
Share Price \$41.490								
ILLUMINA INC (ILMN)	2/27/13	17.000	49.160	835.72	3,137.86	2,302.14 LT		
	10/4/13	2.000	81.150	162.30	369.16	206.86 LT		
	12/18/14	2.000	186.545	373.09	369.16	(3.93) ST		
	<b>Total</b>	<b>21.000</b>		<b>1,371.11</b>	<b>3,876.18</b>	<b>2,509.00 LT</b> <b>(3.93) ST</b>	<b>—</b>	<b>—</b>
Share Price \$184.580								
INTERCONTINENTALEXCHANGE GROUP (ICE)	2/27/13	14.000	155.080	2,171.12	3,070.06	898.94 LT	36.00	1.17
Share Price \$219.290, Next Dividend Payable 03/2015								
LAS VEGAS SANDS CORPORATION (LVS)	6/26/13	30.000	51.207	1,536.20	1,744.80	208.60 LT R		
	7/17/13	1.000	54.620	54.62	58.16	3.54 LT R		
	7/25/13	13.000	54.095	703.23	756.08	52.85 LT R		
	6/24/14	10.000	75.192	751.92	581.60	(170.32) ST		
	<b>Total</b>	<b>54.000</b>		<b>3,045.97</b>	<b>3,140.64</b>	<b>264.99 LT</b> <b>(170.32) ST</b>	<b>108.00</b>	<b>3.43</b>
Share Price \$58.160, Next Dividend Payable 03/2015								
LINKEDIN CORP-A (LNKD)	2/27/13	6.000	168.870	1,013.22	1,378.26	365.04 LT		
	11/27/13	2.000	223.235	446.47	459.42	12.95 LT		
	12/19/13	4.000	218.798	875.19	918.84	43.65 LT		
	4/4/14	3.000	166.123	498.37	689.13	190.76 ST		

# Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
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INTERESTED PARTY COPY

## STOCKS

### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		15 000		2,833.25	3,445.65	421 64 LT 190 76 ST	—	—
<i>Share Price. \$229.710</i>								
<b>MEAD JOHNSON NUTRITION COMPANY (MJN)</b>	6/20/13	33.000	78 179	2,579.92	3,317.82	737 90 LT		
	6/24/14	7 000	93.314	653.20	703 78	50.58 ST		
	<b>Total</b>	40 000		3,233 12	<b>4,021.60</b>	737.90 LT 50.58 ST	60.00	1.49
<i>Share Price. \$100.540, Next Dividend Payable 01/02/15</i>								
<b>MOBILEYE N.V. (MBLY)</b>	8/6/14	23 000	36.482	839.08	932 88	93.80 ST		
	11/20/14	7.000	44.077	308.54	283 92	(24 62) ST		
	12/11/14	21.000	41.860	879.06	851.76	(27.30) ST		
	<b>Total</b>	51.000		2,026.68	<b>2,068.56</b>	41 88 ST	—	—
<i>Share Price. \$40.560</i>								
<b>MONSANTO CO/NEW (MON)</b>	2/27/13	16 000	100.600	1,609.60	1,911.52	301 92 LT		
	6/20/13	6.000	104.807	628 84	716.82	87 98 LT		
	11/27/13	3 000	113.997	341.99	358 41	16 42 LT		
	1/29/14	5 000	106 632	533 16	597.35	64 19 ST		
	12/18/14	4.000	119.483	477 93	477 88	(0 05) ST		
	<b>Total</b>	34.000		3,591.52	<b>4,061.98</b>	406 32 LT 64 14 ST	67 00	1 64
<i>Share Price. \$119 470, Next Dividend Payable 01/2015</i>								
<b>MONSTER BEVERAGE CORP COM (MNST)</b>	12/19/13	28.000	66 083	1,850 32	3,033.80	1,183 48 LT		
	1/10/14	11.000	69.535	764.88	1,191.85	426.97 ST		
	<b>Total</b>	39.000		2,615.20	<b>4,225.65</b>	1,183 48 LT 426 97 ST	—	—
<i>Share Price. \$108 350</i>								
<b>NETSUITE INC (N)</b>	2/27/13	3 000	69 580	208 74	327 51	118 77 LT		
	6/20/13	5.000	85 792	428 96	545.85	116 89 LT		
	3/27/14	6.000	93 712	562 27	655 02	92 75 ST		
	4/4/14	6 000	84 632	507 79	655 02	147 23 ST		
	12/18/14	3.000	109.067	327 20	327.51	0 31 ST		
	<b>Total</b>	23 000		2,034.96	<b>2,510.91</b>	235 66 LT 240 29 ST	—	—
<i>Share Price. \$109 170</i>								
<b>NIKE INC B (NKE)</b>	7/15/13	15.000	63.409	951 14	1,442.25	491 11 LT		
	7/16/13	19 000	63.255	1,201 84	1,826 85	625 01 LT		
	7/17/13	1 000	63 440	63.44	96 15	32 71 LT		

## Account Detail

Fiduciary Services Active Assets Account  
357-010472-031JOHN & CAROLYN PETERSON CH. FD C/O  
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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		35.000		2,216.42	3,365.25	1,148.83 LT	39.00	1.15
<i>Share Price. \$96.150, Next Dividend Payable 01/05/15</i>								
<b>NOBLE ENERGY INC (NBL)</b>	2/27/13	50.000	55.205	2,760.25	2,371.50	(388.75) LT		
	10/4/13	1.000	67.580	67.58	47.43	(20.15) LT		
	7/24/14	8.000	71.843	574.74	379.44	(195.30) ST		
<b>Total</b>		59.000		3,402.57	2,798.37	(408.90) LT (195.30) ST	42.00	1.50
<i>Share Price. \$47.430, Next Dividend Payable 02/2015</i>								
<b>NVIDIA CORPORATION (NVDA)</b>	2/27/13	84.000	12.645	1,062.15	1,684.20	622.05 LT R		
	3/4/13	32.000	12.719	407.00	641.60	234.60 LT R		
	4/17/13	32.000	12.838	410.81	641.60	230.79 LT R		
	11/20/14	21.000	20.333	427.00	421.05	(5.95) ST		
<b>Total</b>		169.000		2,306.96	3,388.45	1,087.44 LT (5.95) ST	57.00	1.68
<i>Share Price. \$20.050, Next Dividend Payable 03/2015</i>								
<b>NXP SEMICONDUCTORS NV (NXPI)</b>	1/30/14	35.000	48.054	1,681.90	2,674.00	992.10 ST	—	—
<i>Share Price. \$76.400</i>								
<b>OCEANEERING INTL INC (OII)</b>	7/15/13	10.000	79.211	792.11	588.10	(204.01) LT		
	7/16/13	24.000	78.055	1,873.33	1,411.44	(461.89) LT		
	3/4/14	7.000	71.916	503.41	411.67	(91.74) ST		
<b>Total</b>		41.000		3,168.85	2,411.21	(665.90) LT (91.74) ST	44.00	1.82
<i>Share Price. \$58.810, Next Dividend Payable 03/2015</i>								
<b>PALO ALTO NETWORKS INC (PANW)</b>	11/3/14	17.000	106.074	1,803.25	2,083.69	280.44 ST		
	11/20/14	4.000	108.888	435.55	490.28	54.73 ST		
	12/18/14	2.000	124.415	248.83	245.14	(3.69) ST		
<b>Total</b>		23.000		2,487.63	2,819.11	331.48 ST	—	—
<i>Share Price. \$122.570</i>								
<b>PERRIGO CO LTD (PRGO)</b>	5/28/14	13.000	136.439	1,773.71	2,173.08	399.37 ST		
	8/6/14	2.000	142.935	285.87	334.32	48.45 ST		
	12/18/14	5.000	161.104	805.52	835.80	30.28 ST		
<b>Total</b>		20.000		2,865.10	3,343.20	478.10 ST	8.00	0.23
<i>Share Price. \$167.160, Next Dividend Payable 03/2015</i>								
<b>PRICELINE.COM INC NEW (PCLN)</b>	4/11/13	1.000	731.510	731.51	1,140.21	408.70 LT		
	4/15/13	1.000	723.600	723.60	1,140.21	416.61 LT		

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357-010472-031

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## STOCKS

### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	<b>Total</b>	2.000		1,455.11	<b>2,280.42</b>	825.31 LT	—	—
<i>Share Price: \$1,140.210</i>								
<b>QUINTILES TRANSNATIONAL HLDGS (Q)</b>	9/19/13	10.000	44.255	442.55	588.70	146.15 LT		
	9/20/13	24.000	44.497	1,067.93	1,412.88	344.95 LT		
	10/4/13	2.000	43.540	87.08	117.74	30.66 LT		
	12/18/13	12.000	45.399	544.79	706.44	161.65 LT		
	<b>Total</b>	48.000		2,142.35	<b>2,825.76</b>	683.41 LT	—	—
<i>Share Price: \$58.870</i>								
<b>ROCHE HOLDINGS ADR (RHHBY)</b>	2/27/13	58.000	28.545	1,655.61	1,971.42	315.81 LT		
	12/18/14	17.000	36.712	624.11	577.83	(46.28) ST		
	<b>Total</b>	75.000		2,279.72	<b>2,549.25</b>	315.81 LT (46.28) ST	69.00	2.70
<i>Share Price: \$33.990</i>								
<b>ROCKWELL AUTOMATION INC (ROK)</b>	2/27/13	21.000	90.690	1,904.49	2,335.20	430.71 LT		
	6/26/13	5.000	83.748	418.74	556.00	137.26 LT		
	7/17/13	1.000	92.230	92.23	111.20	18.97 LT		
	8/6/14	3.000	111.713	335.14	333.60	(1.54) ST		
	<b>Total</b>	30.000		2,750.60	<b>3,336.00</b>	586.94 LT (1.54) ST	78.00	2.33
<i>Share Price: \$111.200, Next Dividend Payable 03/2015</i>								
<b>SALESFORCE.COM, INC. (CRM)</b>	2/27/13	34.000	41.900	1,424.60	2,016.54	591.94 LT		
	10/4/13	1.000	53.140	53.14	59.31	6.17 LT		
	4/4/14	8.000	54.448	435.58	474.48	38.90 ST		
	11/20/14	18.000	58.327	1,049.88	1,067.58	17.70 ST		
	<b>Total</b>	61.000		2,963.20	<b>3,617.91</b>	598.11 LT 56.60 ST	—	—
<i>Share Price: \$59.310</i>								
<b>SCHLUMBERGER LTD (SLB)</b>	2/27/13	23.000	78.110	1,796.53	1,964.43	167.90 LT		
	6/26/13	4.000	71.708	286.83	341.64	54.81 LT		
	7/17/13	1.000	77.600	77.60	85.41	7.81 LT		
	9/16/13	7.000	86.959	608.71	597.87	(10.84) LT		
	10/18/13	5.000	93.898	469.49	427.05	(42.44) LT		
	<b>Total</b>	40.000		3,239.16	<b>3,416.40</b>	177.24 LT	64.00	1.87
<i>Share Price: \$85.410, Next Dividend Payable 01/09/15</i>								



# Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

## STOCKS

### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
SERVICENOW INC (NOW)	6/20/13	22.000	38.178	839.92	1,492.70	652.78 LT		
	7/17/13	1.000	43.810	43.81	67.85	24.04 LT		
	3/27/14	9.000	59.038	531.34	610.65	79.31 ST		
	4/4/14	8.000	52.386	419.09	542.80	123.71 ST		
	12/18/14	4.000	67.013	268.05	271.40	3.35 ST		
	<b>Total</b>	<b>44.000</b>		<b>2,102.21</b>	<b>2,985.40</b>	<b>676.82 LT</b> <b>206.37 ST</b>	<b>—</b>	<b>—</b>
Share Price. \$67.850								
SKYWORKS SOLUTIONS INC (SWKS)	9/19/14	30.000	57.586	1,727.57	2,181.30	453.73 ST		
	10/3/14	14.000	55.509	777.13	1,017.94	240.81 ST		
	<b>Total</b>	<b>44.000</b>		<b>2,504.70</b>	<b>3,199.24</b>	<b>694.54 ST</b>	<b>23.00</b>	<b>0.71</b>
Share Price. \$72.710, Next Dividend Payable 03/2015								
SPLUNK INC (SPLK)	12/18/14	30.000	58.694	1,760.81	<b>1,768.50</b>	7.69 ST	<b>—</b>	<b>—</b>
Share Price. \$58.950								
STARBUCKS CORP WASHINGTON (SBUX)	5/13/13	24.000	62.937	1,510.48	1,969.20	458.72 LT		
	6/20/13	10.000	65.834	658.34	820.50	162.16 LT		
	3/4/14	7.000	71.801	502.61	574.35	71.74 ST		
	<b>Total</b>	<b>41.000</b>		<b>2,671.43</b>	<b>3,364.05</b>	<b>620.88 LT</b> <b>71.74 ST</b>	<b>52.00</b>	<b>1.54</b>
Share Price. \$82.050, Next Dividend Payable 02/2015								
STATE STREET CORP (STT)	2/27/13	38.000	57.000	2,166.00	2,983.00	817.00 LT		
	7/17/13	3.000	69.420	208.26	235.50	27.24 LT		
	3/4/14	3.000	66.610	199.83	235.50	35.67 ST		
	<b>Total</b>	<b>44.000</b>		<b>2,574.09</b>	<b>3,454.00</b>	<b>844.24 LT</b> <b>35.67 ST</b>	<b>53.00</b>	<b>1.53</b>
Share Price. \$78.500, Next Dividend Payable 01/16/15								
UNITEDHEALTH GP INC (UNH)	12/3/14	19.000	101.051	1,919.96	1,920.71	0.75 ST		
	12/18/14	9.000	100.483	904.35	909.81	5.46 ST		
	<b>Total</b>	<b>28.000</b>		<b>2,824.31</b>	<b>2,830.52</b>	<b>6.21 ST</b>	<b>42.00</b>	<b>1.48</b>
Share Price. \$101.090, Next Dividend Payable 03/2015								
VISA INC CL A (V)	4/25/13	3.000	169.953	509.86	786.60	276.74 LT		
	6/20/13	3.000	180.523	541.57	786.60	245.03 LT		
	7/17/13	4.000	189.510	758.04	1,048.80	290.76 LT		
	9/16/13	2.000	189.380	378.76	524.40	145.64 LT		
	10/4/13	1.000	190.450	190.45	262.20	71.75 LT		

## Account Detail

Fiduciary Services Active Assets Account  
357-010472-031JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		13.000		2,378.68	3,408.60	1,029.92 LT	25.00	0.73
<i>Share Price: \$262.200, Next Dividend Payable 03/2015</i>								
<b>WELLS FARGO &amp; CO NEW (WFC)</b>	2/27/13	38.000	35.120	1,334.56	2,083.16	748.60 LT		
	7/17/13	9.000	43.469	391.22	493.38	102.16 LT		
<b>Total</b>		47.000		1,725.78	2,576.54	850.76 LT	66.00	2.56
<i>Share Price: \$54.820, Next Dividend Payable 03/2015</i>								
<b>YUM BRANDS INC (YUM)</b>	2/27/13	41.000	65.570	2,688.37	2,986.85	298.48 LT	67.00	2.24
<i>Share Price: \$72.850, Next Dividend Payable 02/2015</i>								
		<b>Percentage of Assets %</b>		<b>Total Cost</b>	<b>Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Annual Income</b>	<b>Yield %</b>
STOCKS		69.1%		\$143,913.02	\$176,205.90	\$28,483.60 LT \$3,809.28 ST	\$1,968.00 \$0.00	1.12%

## GOVERNMENT SECURITIES

## TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
<b>UNITED STATES TREASURY NOTE</b> CUSIP 912828NV8	2/27/13	3,000.000	\$102.375 \$100.629	\$3,071.25 \$3,018.87				
	7/22/13	1,000.000	101.895 100.595	1,018.95 1,005.95	\$3,021.33 1,007.11	\$2.46 LT 1.16 LT		
<b>Total</b>		4,000.000		4,090.20 4,024.82	4,028.44	3.62 LT	50.00 16.98	1.24
<i>Unit Price: \$100.711, Coupon Rate: 1.250%, Matures 08/31/2015, Int. Semi-Annually Feb/Aug 28, Moody AAA, Issued 08/31/10</i>								
<b>UNITED STATES TREASURY NOTE</b> CUSIP 912828RF9	2/27/13	1,000.000	101.852 100.882	1,018.52 1,008.82				
	4/4/14	3,000.000	100.907 100.629	3,027.20 3,018.88	1,007.50 3,022.50	(1.32) LT 3.62 ST		
	10/3/14	4,000.000	100.840 100.734	4,033.60 4,029.36	4,030.00	0.64 ST		
<b>Total</b>		8,000.000		8,079.32 8,057.06	8,060.00	(1.32) LT 4.26 ST	80.00 26.95	0.99
<i>Unit Price: \$100.750, Coupon Rate: 1.000%, Matures 08/31/2016, Int. Semi-Annually Feb/Aug 29, Yield to Maturity: 5.47%, Moody AAA, Issued 08/31/11</i>								

# Account Detail

Fiduciary Services Active Assets Account  
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

## GOVERNMENT SECURITIES

### TREASURY SECURITIES (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNITED STATES TREASURY NOTE CUSIP 912828G46	12/3/14	5,000 000	99 903	4,995.13			25 00	0 50
			99 903	4,995 13	<b>4,987.50</b>	(7 63) ST	2 12	
<i>Unit Price \$99.750, Coupon Rate 0.500%, Matures 11/30/2016, Int. Semi-Annually May/Nov 30, Yield to Maturity .632%, Moody AAA, Issued 11/30/14</i>								
UNITED STATES TREASURY NOTE CUSIP 912828SC5	2/27/13	1,000.000	101.254	1,012 54				
			100.669	1,006 69	1,002 89	(3.80) LT		
	7/22/13	1,000 000	100 188	1,001 88				
			100 111	1,001 11	1,002.89	1.78 LT		
<b>Total</b>		2,000 000		2,014 42	<b>2,005.78</b>	(2.02) LT	18 00	0 89
				2,007 80			7 27	
<i>Unit Price \$100.289, Coupon Rate 0.875%, Matures 01/31/2017, Int. Semi-Annually Jan/Jul 31, Yield to Maturity .735%, Moody AAA, Issued 01/31/12</i>								
UNITED STATES TREASURY NOTE CUSIP 912828TWO	7/22/13	1,000.000	98 485	984.85			8 00	0 80
			98 485	984 85	<b>992.03</b>	7 18 LT	1 27	
<i>Unit Price. \$99.203, Coupon Rate 0.750%, Matures 10/31/2017, Int. Semi-Annually Apr/Oct 30, Yield to Maturity 1.036%, Moody AAA, Issued 10/31/12</i>								
UNITED STATES TREASURY NOTE CUSIP 912828UZ1	9/3/13	1,000 000	95 707	957.07			6.00	0.61
			95.707	957 07	<b>979.69</b>	22 62 LT	1 05	
<i>Unit Price. \$97.969, Coupon Rate 0.625%, Matures 04/30/2018, Int. Semi-Annually Apr/Oct 31, Yield to Maturity 1.249%, Moody AAA, Issued 04/30/13</i>								
UNITED STATES TREASURY NOTE CUSIP 912828C65	4/4/14	2,000.000	99.645	1,992 90				
			99 645	1,992.90	2,008.90	16.00 ST		
	6/3/14	2,000.000	100.254	2,005.08				
			100.225	2,004.49	2,008.90	4 41 ST		
<b>Total</b>		4,000.000		3,997 98	<b>4,017.80</b>	20.41 ST	65 00	1 61
				3,997 39			16.42	
<i>Unit Price \$100.445, Coupon Rate 1.625%, Matures 03/31/2019, Int. Semi-Annually Mar/Sep 30, Yield to Maturity 1.516%, Moody AAA, Issued 03/31/14</i>								
UNITED STATES TREASURY NOTE CUSIP 912828RR3	2/27/13	3,000.000	102.750	3,082.50				
			102 201	3,066.04	3,011.01	(55 03) LT		
	7/22/13	2,000 000	98.462	1,969.23				
			98.462	1,969 23	2,007.34	38 11 LT		
<b>Total</b>		5,000.000		5,051 73	<b>5,018.35</b>	(16.92) LT	100 00	1 99
				5,035 27			12 70	
<i>Unit Price \$100.367, Coupon Rate 2.000%, Matures 11/15/2021, Int. Semi-Annually May/Nov 15, Yield to Maturity 1.943%, Moody AAA, Issued 11/15/11</i>								

## Account Detail

Fiduciary Services Active Assets Account  
357-010472-031JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

## GOVERNMENT SECURITIES

## TREASURY SECURITIES (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNITED STATES TREASURY NOTE CUSIP 912828B66	8/1/14	1,000.000	102.360 102.270	1,023.60 1,022.70	1,052.27	29.57 ST	28.00 10.31	2.66
Unit Price \$105.227; Coupon Rate 2.750%, Matures 02/15/2024, Int. Semi-Annually Feb/Aug 15, Yield to Maturity 2.117%, Moody AAA, Issued 02/15/14								
UNITED STATES TREASURY BOND CUSIP 912810QW1	2/27/13	3,000.000	98.313	2,949.38	3,153.27	203.89 LT		
	7/22/13	1,000.000	90.309	903.09	1,051.09	148.00 LT		
	4/4/14	1,000.000	89.786	897.86	1,051.09	153.23 ST		
			89.786	897.86				
<b>Total</b>		5,000.000		4,750.33	5,255.45	351.89 LT 153.23 ST	150.00 19.06	2.85
Unit Price \$105.109, Coupon Rate 3.000%, Matures 05/15/2042, Int. Semi-Annually May/Nov 15, Yield to Maturity 2.734%, Moody AAA, Issued 05/15/12								
<b>TREASURY SECURITIES</b>		<b>36,000.000</b>		<b>\$35,944.63</b>			<b>\$530.00</b>	<b>1.46%</b>
				<b>\$35,832.42</b>	<b>\$36,397.31</b>	<b>\$365.05 LT</b> <b>\$199.84 ST</b>	<b>\$114.13</b>	

## FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
FED NATL MTG ASSN CUSIP 31398ADM1	8/20/13	2,000.000	\$115.197 \$109.848	\$2,303.93 \$2,196.96	\$2,211.14	\$14.18 LT	\$108.00 \$5.67	4.88
Unit Price \$110.557, Coupon Rate 5.375%, Matures 06/12/2017, Int. Semi-Annually Jun/Dec 12, Yield to Maturity .997%, Moody AAA S&P AA+, Issued 06/08/07								
FED NATL MTG ASSN CUSIP 3135G0PQ0	2/27/13	2,000.000	100.237	2,004.74	1,983.10	(19.79) LT	18.00 3.15	0.90
			100.145	2,002.89				
Unit Price \$99.155, Coupon Rate 0.875%, Matures 10/26/2017, Int. Semi-Annually Apr/Oct 26, Yield to Maturity 1.181%, Moody AAA S&P AA+, Issued 09/24/12								
FED NATL MTG ASSN CUSIP 31359MGK3	2/27/13	1,000.000	149.386	1,493.86	1,484.85	31.07 LT	66.00 8.46	4.44
			145.378	1,453.78				
Unit Price \$148.485, Coupon Rate 6.625%, Matures 11/15/2030, Int. Semi-Annually May/Nov 15, Yield to Maturity 2.816%, Moody AAA S&P AA+, Issued 11/03/00								
<b>FEDERAL AGENCIES</b>		<b>5,000.000</b>		<b>\$5,802.53</b>			<b>\$192.00</b>	<b>3.38%</b>
				<b>\$5,653.63</b>	<b>\$5,679.09</b>	<b>\$25.46 LT</b>	<b>\$17.28</b>	



## Account Detail

Fiduciary Services Active Assets Account  
357-010472-031JOHN & CAROLYN PETERSON CH. FD C/O  
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
GOVERNMENT SECURITIES	41,000.000	\$41,747.16 \$41,486.05	\$42,076.40	\$390.51 LT \$199.84 ST	\$722.00 \$131.41	1.72%
TOTAL GOVERNMENT SECURITIES (incl. accr int )	16.5%		\$42,207.81			

## MUTUAL FUNDS

## OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALLIANCEBER CORPORATE INC SHS (ACISX)	2/27/13	1,739.922	\$11.230	\$19,539.32	\$19,591.52	\$52.20 LT		
	7/22/13	465.000	10.820	5,031.30	5,235.90	204.60 LT		
	Total	2,204.922		24,570.62	24,827.42	256.80 LT	937.00	3.77
Total Purchases vs Market Value				24,570.62	24,827.42			
Cumulative Cash Distributions					1,551.65			
Net Value Increase/(Decrease)					1,808.45			

Share Price: \$11.260, Dividend Cash, Capital Gains Cash

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	9.7%	\$24,570.62	\$24,827.42	\$256.80 LT	\$937.00 \$0.00	3.77%

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$209,969.69	\$254,992.85	\$29,130.91 LT \$4,009.12 ST	\$3,633.00 \$131.41	1.42%

TOTAL VALUE (includes accrued interest)

\$221,853.75 \$255,124.26

R - The cost basis for this tax lot was adjusted due to a reclassification of income

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

INTERESTED PARTY COPY

Investment Objectives†: Income, Capital Appreciation

Investment Advisory Account

Manager: ATALANTA SOSNOFF CAPITAL, LLC

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$445.88			
MS LIQUID ASSET FUND	59,561.32	5.96	0.010	—
	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest	
CASH, BDP, AND MMFs		\$60,007.20	\$5.96	\$0.00
NET UNSETTLED PURCHASES/SALES		\$(3,947.09)		
CASH, BDP, AND MMFs (PROJECTED SETTLED BALANCE)	3.8%	\$56,060.11		

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

The "Projected Settled Balance" includes accrued interest on deposits and reflects the impact of unsettled purchases/sales.

CLIENT STATEMENT | For the Period December 1-31, 2014

## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ACTAVIS PLC (ACT)	11/3/14	17 000	\$248.048	\$4,216.82	\$4,375.97	\$159 15 ST		
	11/5/14	9.000	246.530	2,218.77	2,316.69	97 92 ST		
	11/6/14	9 000	252.571	2,273 14	2,316.69	43 55 ST		
	11/14/14	10 000	244.682	2,446.82	2,574 10	127.28 ST		
	11/18/14	16 000	259.358	4,149.73	4,118 56	(31.17) ST		
	<b>Total</b>	61.000		15,305 28	<b>15,702.01</b>	396.73 ST	—	—
<i>Share Price. \$257.410</i>								
ALIBABA GROUP HLDG LTD (BABA)	11/20/14	80.000	111 802	8,944 19	8,315 20	(628 99) ST		
	12/12/14	45.000	106 013	4,770 57	4,677 30	(93.27) ST		
	12/16/14	41.000	105 676	4,332 71	4,261.54	(71 17) ST		
	<b>Total</b>	166.000		18,047 47	<b>17,254.04</b>	(793 43) ST	—	—
<i>Share Price. \$103.940</i>								
ALLERGAN INC (AGN)	11/17/14	33.000	212 998	7,028 93	<b>7,015.47</b>	(13.46) ST	7 00	0 09
<i>Share Price: \$212.590, Next Dividend Payable 03/2015</i>								
ALLSTATE CORP (ALL)	6/6/13	83 000	46.045	3,821.77	5,830 75	2,008 98 LT		
	6/7/13	82.000	47.226	3,872 52	5,760 50	1,887 98 LT		
	6/21/13	78 000	47 225	3,683 53	5,479 50	1,795 97 LT		
	6/26/13	78 000	47.756	3,724.96	5,479 50	1,754 54 LT		
	7/8/13	71.000	50 179	3,562 72	4,987.75	1,425.03 LT		
	5/16/14	56.000	57.515	3,220.84	3,934.00	713 16 ST		
	<b>Total</b>	448.000		21,886 34	<b>31,472.00</b>	8,872 50 LT 713 16 ST	502 00	1.59
<i>Share Price: \$70.250; Next Dividend Payable 01/02/15</i>								
AMERICAN EXPRESS CO (AXP)	6/22/11	76.000	49.991	3,799 32	7,071.04	3,271.72 LT		
	12/12/12	31.000	58.060	1,799 86	2,884 24	1,084.38 LT		
	2/26/13	25 000	61.850	1,546.25	2,326.00	779 75 LT		
	4/17/14	37.000	86.322	3,193.92	3,442.48	248.56 ST		
	<b>Total</b>	169.000		10,339 35	<b>15,723.76</b>	5,135.85 LT 248 56 ST	176 00	1 11
<i>Share Price. \$93.040, Next Dividend Payable 02/2015</i>								
AMERICAN TOWER REIT COM (AMT)	9/8/10	49 000	49.355	2,418.40	4,843.65	2,425.25 LT		
	9/9/11	36.000	52.460	1,888.57	3,558.60	1,670 03 LT		
	12/12/12	22.000	76.380	1,680.36	2,174 70	494 34 LT		
	2/26/13	6.000	75 490	452 94	593.10	140 16 LT		
	10/9/14	38.000	94.918	3,606.88	3,756.30	149.42 ST		
	10/10/14	51.000	95.979	4,894.94	5,041.35	146 41 ST		



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		202.000		14,942.09	19,967.70	4,729.78 LT 295.83 ST	307.00	1.53
<i>Share Price: \$98.850; Next Dividend Payable 01/13/15</i>								
<b>AMGEN INC (AMGN)</b>	10/23/14	29.000	147.436	4,275.65	4,619.41	343.76 ST		
	10/28/14	29.000	155.523	4,510.16	4,619.41	109.25 ST		
<b>Total</b>		58.000		8,785.81	9,238.82	453.01 ST	183.00	1.98
<i>Share Price: \$159.290; Next Dividend Payable 03/20/15</i>								
<b>APPLE INC (AAPL)</b>	10/21/13	105.000	74.489	7,821.38	11,589.90	3,768.52 LT		
	10/24/13	63.000	75.845	4,778.22	6,953.94	2,175.72 LT		
	4/29/14	35.000	84.787	2,967.54	3,863.30	895.76 ST		
	4/30/14	91.000	85.349	7,766.75	10,044.58	2,277.83 ST		
	5/5/14	49.000	85.311	4,180.23	5,408.62	1,228.39 ST		
	8/22/14	33.000	100.769	3,325.38	3,642.54	317.16 ST		
<b>Total</b>		376.000		30,839.50	41,502.88	5,944.24 LT 4,719.14 ST	707.00	1.70
<i>Share Price: \$110.380; Next Dividend Payable 02/20/15</i>								
<b>BANK OF AMERICA CORP (BAC)</b>	11/27/12	7.000	9.897	69.28	125.23	55.95 LT		
	12/12/12	42.000	10.600	445.20	751.38	306.18 LT		
	2/12/13	259.000	12.127	3,140.94	4,633.51	1,492.57 LT		
	2/26/13	89.000	11.100	987.90	1,592.21	604.31 LT		
	5/23/13	159.000	13.261	2,108.53	2,844.51	735.98 LT		
	7/18/13	260.000	14.832	3,856.42	4,651.40	794.98 LT		
	1/15/14	463.000	17.212	7,969.11	8,283.07	313.96 ST		
	9/19/14	176.000	17.025	2,996.47	3,148.64	152.17 ST		
<b>Total</b>		1,455.000		21,573.85	26,029.95	3,989.97 LT 466.13 ST	291.00	1.11
<i>Share Price: \$17.890; Next Dividend Payable 03/20/15</i>								
<b>BIOGEN IDEC INC (BIIB)</b>	12/24/13	14.000	280.555	3,927.77	4,752.30	824.53 LT		
	1/13/14	14.000	293.825	4,113.55	4,752.30	638.75 ST		
<b>Total</b>		28.000		8,041.32	9,504.60	824.53 LT 638.75 ST	—	—
<i>Share Price: \$339.450</i>								
<b>BOEING CO (BA)</b>	5/20/13	27.000	98.860	2,669.22	3,509.46	840.24 LT		
	6/26/13	20.000	100.769	2,015.37	2,599.60	584.23 LT		
	9/23/13	24.000	117.036	2,808.86	3,119.52	310.66 LT		
	9/24/13	30.000	119.459	3,583.78	3,899.40	315.62 LT		



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATLANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	3/14/14	33.000	123.335	4,070.04	4,289.34	219.30 ST		
	<b>Total</b>	134.000		15,147.27	<b>17,417.32</b>	2,050.75 LT 219.30 ST	488.00	2.80
<i>Share Price: \$129.980; Next Dividend Payable 03/2015</i>								
CAPITAL ONE FINANCIAL CORP (COF)	7/16/14	10.000	84.514	845.14	825.50	(19.64) ST		
	8/1/14	59.000	79.190	4,672.22	4,870.45	198.23 ST		
	9/15/14	54.000	81.601	4,406.47	4,457.70	51.23 ST		
	10/8/14	51.000	81.449	4,153.90	4,210.05	56.15 ST		
	<b>Total</b>	174.000		14,077.73	<b>14,363.70</b>	285.97 ST	209.00	1.45
<i>Share Price: \$82.550; Next Dividend Payable 02/2015</i>								
CDN PACIFIC RY LTD NEW (CP)	5/20/13	27.000	137.480	3,711.97	5,202.63	1,490.66 LT		
	6/4/13	28.000	128.312	3,592.74	5,395.32	1,802.58 LT		
	12/20/13	16.000	153.521	2,456.33	3,083.04	626.71 LT		
	1/14/14	3.000	148.930	446.79	578.07	131.28 ST		
	1/30/14	28.000	152.590	4,272.53	5,395.32	1,122.79 ST		
	4/29/14	25.000	151.016	3,775.40	4,817.25	1,041.85 ST		
	<b>Total</b>	127.000		18,255.76	<b>24,471.63</b>	3,919.95 LT 2,295.92 ST	152.00	0.62
<i>Share Price: \$192.690; Next Dividend Payable 01/26/15</i>								
CELGENE CORP (CELG)	4/12/13	32.000	61.379	1,964.14	3,579.52	1,615.38 LT		
	4/23/13	28.000	64.000	1,791.99	3,132.08	1,340.09 LT		
	<b>Total</b>	60.000		3,756.13	<b>6,711.60</b>	2,955.47 LT	—	—
<i>Share Price: \$111.860</i>								
CHARLES SCHWAB NEW (SCHW)	3/7/12	59.000	13.872	818.45	1,781.21	962.76 LT		
	3/9/12	182.000	14.114	2,568.82	5,494.58	2,925.76 LT		
	12/12/12	29.000	13.740	398.46	875.51	477.05 LT		
	2/26/13	122.000	16.200	1,976.40	3,683.18	1,706.78 LT		
	4/15/14	129.000	25.953	3,348.00	3,894.51	546.51 ST		
	8/26/14	107.000	28.961	3,098.82	3,230.33	131.51 ST		
	<b>Total</b>	628.000		12,208.95	<b>18,959.32</b>	6,072.35 LT 678.02 ST	151.00	0.79
<i>Share Price: \$30.190; Next Dividend Payable 02/2015</i>								
CISCO SYS INC (CSCO)	7/25/14	167.000	25.934	4,330.99	4,645.10	314.11 ST		
	7/30/14	167.000	25.588	4,273.20	4,645.10	371.90 ST		
	<b>Total</b>	334.000		8,604.19	<b>9,290.21</b>	686.01 ST	254.00	2.73
<i>Share Price: \$27.815; Next Dividend Payable 01/2015</i>								



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATLANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CITIGROUP INC NEW (C)	12/12/12	24.000	37.610	902.64	1,298.64	396.00 LT		
	9/19/13	89.000	52.335	4,657.79	4,815.79	158.00 LT		
	9/19/13	67.000	52.278	3,502.60	3,625.37	122.77 LT		
	<b>Total</b>	<b>180.000</b>		<b>9,063.03</b>	<b>9,739.80</b>	<b>676.77 LT</b>	<b>7.00</b>	<b>0.07</b>
Share Price: \$54.110; Next Dividend Payable 02/2015								
COMCAST CORP (NEW) CLASS A (CMCSA)	7/11/12	75.000	31.548	2,366.08	4,350.75	1,984.67 LT		
	9/6/12	74.000	34.458	2,549.87	4,292.74	1,742.87 LT		
	9/14/12	73.000	35.294	2,576.44	4,234.73	1,658.29 LT		
	11/7/12	36.000	36.509	1,314.32	2,088.36	774.04 LT		
	12/12/12	56.000	37.520	2,101.12	3,248.56	1,147.44 LT		
	2/26/13	49.000	39.640	1,942.36	2,842.49	900.13 LT		
	1/31/14	20.000	54.494	1,089.88	1,160.20	70.32 ST		
	5/5/14	85.000	52.279	4,443.72	4,930.85	487.13 ST		
	9/9/14	65.000	56.320	3,660.81	3,770.65	109.84 ST		
	10/10/14	93.000	53.462	4,972.01	5,394.93	422.92 ST		
	<b>Total</b>	<b>626.000</b>		<b>27,016.61</b>	<b>36,314.26</b>	<b>8,207.44 LT</b>	<b>563.00</b>	<b>1.55</b>
						<b>1,090.21 ST</b>		
Share Price: \$58.010; Next Dividend Payable 01/2015								
CONOCOPHILLIPS (COP)	4/16/14	15.000	73.426	1,101.40	1,035.90	(65.50) ST		
	4/29/14	119.000	74.843	8,906.29	8,218.14	(688.15) ST		
	<b>Total</b>	<b>134.000</b>		<b>10,007.69</b>	<b>9,254.04</b>	<b>(753.65) ST</b>	<b>391.00</b>	<b>4.22</b>
Share Price: \$69.060; Next Dividend Payable 03/2015								
CVS HEALTH CORP COM (CVS)	12/12/12	66.000	47.700	3,148.20	6,356.46	3,208.26 LT		
	2/26/13	46.000	50.620	2,328.52	4,430.26	2,101.74 LT		
	12/24/13	25.000	71.185	1,779.63	2,407.75	628.12 LT		
	1/27/14	110.000	67.280	7,400.81	10,594.10	3,193.29 ST		
	1/31/14	31.000	67.947	2,106.36	2,985.61	879.25 ST		
	10/10/14	29.000	83.266	2,414.71	2,792.99	378.28 ST		
	<b>Total</b>	<b>307.000</b>		<b>19,178.23</b>	<b>29,567.17</b>	<b>5,938.12 LT</b>	<b>430.00</b>	<b>1.45</b>
						<b>4,450.82 ST</b>		
Share Price: \$96.310; Next Dividend Payable 02/2015								
DOW CHEMICAL CO (DOW)	12/3/14	90.000	49.396	4,445.63	4,104.90	(340.73) ST		
	12/5/14	85.000	50.412	4,284.99	3,876.85	(408.14) ST		
	<b>Total</b>	<b>175.000</b>		<b>8,730.62</b>	<b>7,981.75</b>	<b>(748.87) ST</b>	<b>294.00</b>	<b>3.68</b>
Share Price: \$45.610; Next Dividend Payable 01/30/15								

## Account Detail

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357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATLANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FACEBOOK INC CL-A (FB)	1/30/14	94.000	61.113	5,744.59	7,333.88	1,589.29 ST		
	2/13/14	15.000	66.780	1,001.70	1,170.30	168.60 ST		
	3/4/14	68.000	68.667	4,669.38	5,305.36	635.98 ST		
	<b>Total</b>	<b>177.000</b>		<b>11,415.67</b>	<b>13,809.54</b>	<b>2,393.87 ST</b>	—	—
Share Price: \$78.020								
GILEAD SCIENCE (GILD)	5/30/12	35.000	25.197	881.89	3,299.10	2,417.21 LT		
	10/4/12	14.000	34.824	487.54	1,319.64	832.10 LT		
	12/12/12	108.000	38.235	4,129.38	10,180.08	6,050.70 LT		
	2/26/13	35.000	42.030	1,471.05	3,299.10	1,828.05 LT		
	<b>Total</b>	<b>192.000</b>		<b>6,969.86</b>	<b>18,097.92</b>	<b>11,128.06 LT</b>	—	—
Share Price: \$94.260								
GOOGLE INC CL C (GOOG)	12/12/12	1.000	350.523	350.52	526.40	175.88 LT		
	2/26/13	12.000	395.620	4,747.44	6,316.80	1,569.36 LT		
	3/8/13	3.000	413.363	1,240.09	1,579.20	339.11 LT		
	<b>Total</b>	<b>16.000</b>		<b>6,338.05</b>	<b>8,422.40</b>	<b>2,084.35 LT</b>	—	—
Share Price: \$526.400								
GOOGLE INC-CL A (GOOGL)	8/11/11	1.000	282.407	282.41	530.66	248.25 LT		
	8/30/11	4.000	269.528	1,078.11	2,122.64	1,044.53 LT		
	9/15/11	4.000	272.525	1,090.10	2,122.64	1,032.54 LT		
	9/20/11	4.000	276.558	1,106.23	2,122.64	1,016.41 LT		
	12/12/12	3.000	351.647	1,054.94	1,591.98	537.04 LT		
	2/26/13	12.000	396.888	4,762.65	6,367.92	1,605.27 LT		
	3/8/13	3.000	414.687	1,244.06	1,591.98	347.92 LT		
	<b>Total</b>	<b>31.000</b>		<b>10,618.50</b>	<b>16,450.46</b>	<b>5,831.96 LT</b>	—	—
Share Price: \$530.660								
HEWLETT PACKARD (HPQ)	5/5/14	128.000	32.503	4,160.38	5,136.64	976.26 ST		
	6/13/14	118.000	34.690	4,093.41	4,735.34	641.93 ST		
	6/23/14	131.000	34.429	4,510.25	5,257.03	746.78 ST		
	8/26/14	92.000	37.772	3,474.98	3,691.96	216.98 ST		
	<b>Total</b>	<b>469.000</b>		<b>16,239.02</b>	<b>18,820.97</b>	<b>2,581.95 ST</b>	300.00	1.59
Share Price: \$40.130; Next Dividend Payable 01/07/15								
HOME DEPOT INC (HD)	10/18/11	11.000	35.513	390.64	1,154.67	764.03 LT		
	10/21/11	61.000	36.330	2,216.13	6,403.17	4,187.04 LT		
	11/23/11	59.000	36.594	2,159.03	6,193.23	4,034.20 LT		
	12/12/12	56.000	63.030	3,529.68	5,878.32	2,348.64 LT		
	2/26/13	30.000	67.250	2,017.50	3,149.10	1,131.60 LT		



## Account Detail

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357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		217.000		10,312.98	<b>22,778.49</b>	12,465.51 LT	408.00	1.79
<i>Share Price: \$104.970; Next Dividend Payable 03/2015</i>								
<b>HONEYWELL INTERNATIONAL INC (HON)</b>	1/27/14	12.000	90.359	1,084.30	1,199.04	114.74 ST		
	2/4/14	49.000	88.989	4,360.44	4,896.08	535.64 ST		
	4/2/14	35.000	93.895	3,286.34	3,497.20	210.86 ST		
	<b>Total</b>	96.000		8,731.08	<b>9,592.32</b>	861.24 ST	199.00	2.07
<i>Share Price: \$99.920; Next Dividend Payable 03/2015</i>								
<b>JOHNSON &amp; JOHNSON (JNJ)</b>	3/26/13	43.000	80.211	3,449.08	4,496.51	1,047.43 LT		
	10/23/13	38.000	91.851	3,490.33	3,973.66	483.33 LT		
	<b>Total</b>	81.000		6,939.41	<b>8,470.17</b>	1,530.76 LT	227.00	2.67
<i>Share Price: \$104.570; Next Dividend Payable 03/2015</i>								
<b>JPMORGAN CHASE &amp; CO (JPM)</b>	10/31/12	59.000	41.507	2,448.90	3,692.22	1,243.32 LT		
	12/12/12	99.000	43.090	4,265.91	6,195.42	1,929.51 LT		
	12/18/12	93.000	43.878	4,080.64	5,819.94	1,739.30 LT		
	2/26/13	32.000	47.620	1,523.84	2,002.56	478.72 LT		
	<b>Total</b>	283.000		12,319.29	<b>17,710.14</b>	5,390.85 LT	453.00	2.55
<i>Share Price: \$62.580; Next Dividend Payable 01/2015</i>								
<b>KINDER MORGAN INCORP (KMI)</b>	8/13/14	111.000	38.813	4,308.24	4,696.41	388.17 ST		
	8/19/14	100.000	41.413	4,141.34	4,231.00	89.66 ST		
	10/16/14	141.000	36.015	5,078.10	5,965.71	887.61 ST		
	<b>Total</b>	352.000		13,527.68	<b>14,893.12</b>	1,365.44 ST	620.00	4.16
<i>Share Price: \$42.310; Next Dividend Payable 02/2015</i>								
<b>MACY'S INC (M)</b>	3/24/14	71.000	58.371	4,144.36	4,668.25	523.89 ST		
	3/31/14	70.000	59.401	4,158.06	4,602.50	444.44 ST		
	4/3/14	68.000	60.490	4,113.32	4,471.00	357.68 ST		
	<b>Total</b>	209.000		12,415.74	<b>13,741.75</b>	1,326.01 ST	261.00	1.89
<i>Share Price: \$65.750; Next Dividend Payable 01/02/15</i>								
<b>MARSH &amp; MCLENNAN COS INC (MMC)</b>	7/27/12	74.000	33.381	2,470.22	4,235.76	1,765.54 LT		
	8/7/12	76.000	33.941	2,579.49	4,350.24	1,770.75 LT		
	12/12/12	33.000	34.840	1,149.72	1,888.92	739.20 LT		
	2/26/13	16.000	35.860	573.76	915.84	342.08 LT		
	<b>Total</b>	199.000		6,773.19	<b>11,390.76</b>	4,617.57 LT	223.00	1.95
<i>Share Price: \$57.240; Next Dividend Payable 02/2015</i>								
<b>MASTERCARD INC CL A (MA)</b>	9/20/11	12.000	35.859	430.30	1,033.92	603.62 LT		
	9/23/11	80.000	33.672	2,693.79	6,892.80	4,199.01 LT		
	12/12/12	50.000	48.710	2,435.50	4,308.00	1,872.50 LT		

## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031

JOHN & CAROLYN PETERSON  
CHAR FD. (ATLANTA SOSNOFF).

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### STOCKS

#### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/26/13	20.000	51.028	1,020.56	1,723.20	702.64 LT		
	<b>Total</b>	162.000		6,580.15	<b>13,957.92</b>	7,377.77 LT	104.00	0.74
<i>Share Price: \$86.160; Next Dividend Payable 02/20/15</i>								
MCKESSON CORP (MCK)	2/3/14	11.000	171.020	1,881.22	2,283.38	402.16 ST		
	2/10/14	24.000	173.146	4,155.51	4,981.92	826.41 ST		
	7/1/14	45.000	189.618	8,532.81	9,341.10	808.29 ST		
	7/31/14	23.000	194.322	4,469.40	4,774.34	304.94 ST		
	10/9/14	11.000	197.942	2,177.36	2,283.38	106.02 ST		
	<b>Total</b>	114.000		21,216.30	<b>23,664.12</b>	2,447.82 ST	109.00	0.46
<i>Share Price: \$207.580; Next Dividend Payable 01/02/15</i>								
MEDTRONIC INC (MDT)	6/18/14	70.000	62.330	4,363.07	5,054.00	690.93 ST		
	6/19/14	30.000	64.461	1,933.82	2,166.00	232.18 ST		
	6/23/14	68.000	64.437	4,381.71	4,909.60	527.89 ST		
	8/7/14	74.000	61.292	4,535.62	5,342.80	807.18 ST		
	9/9/14	24.000	65.620	1,574.89	1,732.80	157.91 ST		
	10/8/14	61.000	65.322	3,984.65	4,404.20	419.55 ST		
	<b>Total</b>	327.000		20,773.76	<b>23,609.40</b>	2,835.64 ST	399.00	1.69
<i>Share Price: \$72.200; Next Dividend Payable 01/16/15</i>								
MERCK & CO INC NEW COM (MRK)	5/9/14	76.000	55.267	4,200.31	4,316.04	115.73 ST		
	6/5/14	71.000	58.369	4,144.23	4,032.09	(112.14) ST		
	6/23/14	72.000	58.678	4,224.79	4,088.88	(135.91) ST		
	<b>Total</b>	219.000		12,569.33	<b>12,437.01</b>	(132.32) ST	394.00	3.16
<i>Share Price: \$56.790; Next Dividend Payable 01/08/15</i>								
METLIFE INCORPORATED (MET)	9/19/14	156.000	56.496	8,813.41	<b>8,438.04</b>	(375.37) ST	218.00	2.58
<i>Share Price: \$54.090; Next Dividend Payable 03/20/15</i>								
MICRON TECH INC (MU)	6/11/14	146.000	31.006	4,526.89	5,111.46	584.57 ST		
	6/17/14	121.000	32.165	3,891.97	4,236.21	344.24 ST		
	7/16/14	113.000	34.393	3,886.39	3,956.13	69.74 ST		
	10/21/14	151.000	30.511	4,607.21	5,286.51	679.30 ST		
	<b>Total</b>	531.000		16,912.46	<b>18,590.31</b>	1,677.85 ST	—	—
<i>Share Price: \$35.010</i>								
MICROSOFT CORP (MSFT)	1/27/14	104.000	36.354	3,780.80	4,830.80	1,050.00 ST		
	1/31/14	113.000	37.737	4,264.33	5,248.85	984.52 ST		
	3/24/14	172.000	40.000	6,879.97	7,989.40	1,109.43 ST		
	3/31/14	101.000	41.425	4,183.93	4,691.45	507.52 ST		
	7/23/14	89.000	45.040	4,008.53	4,134.05	125.52 ST		



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATLANTA SOSNOFF).

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		579.000		23,117.56	26,894.55	3,776.99 ST	718.00	2.66
<i>Share Price: \$46.450; Next Dividend Payable 03/2015</i>								
<b>MORGAN STANLEY (MS)</b>	4/29/14	138.000	30.505	4,209.63	5,354.40	1,144.77 ST		
	4/30/14	134.000	30.884	4,138.47	5,199.20	1,060.73 ST		
	8/25/14	118.000	34.423	4,061.91	4,578.40	516.49 ST		
	9/15/14	130.000	35.199	4,575.86	5,044.00	468.14 ST		
<b>Total</b>		520.000		16,985.87	20,176.00	3,190.13 ST	208.00	1.03
<i>Share Price: \$38.800; Next Dividend Payable 02/2015</i>								
<b>ORACLE CORP (ORCL)</b>	12/18/14	106.000	44.992	4,769.18	4,766.82	(2.36) ST		
	12/29/14	86.000	45.896	3,947.09	3,867.42	(79.67) ST		
<b>Total</b>		192.000		8,716.27	8,634.24	(82.03) ST	92.00	1.06
<i>Share Price: \$44.970; Next Dividend Payable 01/2015</i>								
<b>RALPH LAUREN CORP CL A (RL)</b>	9/4/14	25.000	172.422	4,310.56	4,629.00	318.44 ST		
	9/10/14	25.000	174.320	4,357.99	4,629.00	271.01 ST		
<b>Total</b>		50.000		8,668.55	9,258.00	589.45 ST	90.00	0.97
<i>Share Price: \$185.160; Next Dividend Payable 01/09/15</i>								
<b>SCHLUMBERGER LTD (SLB)</b>	7/19/13	8.000	82.569	660.55	683.28	22.73 LT		
	3/4/14	48.000	92.309	4,430.84	4,099.68	(331.16) ST		
	3/21/14	47.000	93.065	4,374.06	4,014.27	(359.79) ST		
<b>Total</b>		103.000		9,465.45	8,797.23	22.73 LT (690.95) ST	165.00	1.87
<i>Share Price: \$85.410; Next Dividend Payable 01/09/15</i>								
<b>T-MOBILE US INC COM (TMUS)</b>	10/22/14	156.000	27.203	4,243.62	4,202.64	(40.98) ST		
	10/28/14	151.000	28.595	4,317.86	4,067.94	(249.92) ST		
<b>Total</b>		307.000		8,561.48	8,270.58	(290.90) ST	—	—
<i>Share Price: \$26.940</i>								
<b>TE CONNECTIVITY LTD NEW (TEL)</b>	9/10/13	64.000	52.801	3,379.24	4,048.00	668.76 LT		
	10/18/13	77.000	53.418	4,113.19	4,870.25	757.06 LT		
<b>Total</b>		141.000		7,492.43	8,918.25	1,425.82 LT	164.00	1.83
<i>Share Price: \$63.250; Next Dividend Payable 03/2015</i>								
<b>TEVA PHARMACEUTICALS ADR (TEVA)</b>	11/3/14	77.000	57.383	4,418.51	4,428.27	9.76 ST		
	12/1/14	77.000	56.975	4,387.08	4,428.27	41.19 ST		
<b>Total</b>		154.000		8,805.59	8,856.54	50.95 ST	177.00	1.99
<i>Share Price: \$57.510; Next Dividend Payable 03/2015</i>								
<b>THERMO FISHER SCIENTIFIC (TMO)</b>	7/30/14	69.000	124.379	8,582.12	8,645.01	62.89 ST	41.00	0.47
<i>Share Price: \$125.290; Next Dividend Payable 01/15/15</i>								

## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
TIME WARNER INC NEW (TWX)	7/28/14	50 000	85 280	4,264.00	4,271 00	7 00 ST		
	7/29/14	51 000	84 074	4,287.78	4,356 42	68 64 ST		
	10/15/14	64 000	71 977	4,606.52	5,466 88	860 36 ST		
	<b>Total</b>	<b>165 000</b>		<b>13,158.30</b>	<b>14,094.30</b>	<b>936 00 ST</b>	<b>210 00</b>	<b>1 48</b>
<i>Share Price: \$85.420; Next Dividend Payable 03/2015</i>								
TJX COS INC NEW (TJX)	10/10/14	141 000	61 826	8,717 49	9,669 78	952 29 ST		
	10/22/14	65 000	62.009	4,030.56	4,457 70	427 14 ST		
	<b>Total</b>	<b>206.000</b>		<b>12,748 05</b>	<b>14,127.48</b>	<b>1,379 43 ST</b>	<b>144 00</b>	<b>1 01</b>
<i>Share Price: \$68.580, Next Dividend Payable 03/2015</i>								
TWENTY-FIRST CENTURY FOX CL A (FOXA)	12/12/12	16.000	22 093	353 49	614.48	260 99 LT		
	2/26/13	105.000	25.077	2,633 08	4,032.52	1,399.44 LT		
	8/8/14	123.000	34 378	4,228.46	4,723 81	495 35 ST		
	8/22/14	136 000	35.845	4,874.87	5,223.08	348 21 ST		
	<b>Total</b>	<b>380.000</b>		<b>12,089.90</b>	<b>14,593.90</b>	<b>1,660.43 LT</b>	<b>95.00</b>	<b>0.65</b>
<i>Share Price: \$38.405; Next Dividend Payable 04/2015</i>								
UNION PACIFIC CORP (UNP)	8/3/09	48 000	30 188	1,449 04	5,718.24	4,269 20 LT		
	12/12/12	42.000	62.525	2,626.05	5,003 46	2,377 41 LT		
	2/26/13	26.000	66.900	1,739.40	3,097 38	1,357 98 LT		
	<b>Total</b>	<b>116 000</b>		<b>5,814 49</b>	<b>13,819.08</b>	<b>8,004 59 LT</b>	<b>232 00</b>	<b>1 67</b>
<i>Share Price: \$119.130, Next Dividend Payable 01/02/15</i>								
UNITED TECHNOLOGIES CORP (UTX)	1/2/13	36.000	83.382	3,001.75	4,140 00	1,138.25 LT		
	1/9/13	13.000	84.657	1,100.54	1,495 00	394.46 LT		
	2/26/13	20.000	89 090	1,781 80	2,300.00	518 20 LT		
	6/18/13	32.000	96.016	3,072 52	3,680.00	607 48 LT		
	3/31/14	25 000	116 573	2,914.32	2,875 00	(39 32) ST		
	4/29/14	35.000	117 839	4,124.37	4,025 00	(99.37) ST		
	<b>Total</b>	<b>161 000</b>		<b>15,995 30</b>	<b>18,515.00</b>	<b>2,658 39 LT</b>	<b>380 00</b>	<b>2 05</b>
<i>Share Price: \$115.000, Next Dividend Payable 03/2015</i>								
UNITEDHEALTH GP INC (UNH)	11/24/14	90.000	96.863	8,717.65	9,098.10	380 45 ST		
	12/1/14	43.000	98 961	4,255 32	4,346.87	91 55 ST		
	<b>Total</b>	<b>133.000</b>		<b>12,972 97</b>	<b>13,444.97</b>	<b>472 00 ST</b>	<b>200 00</b>	<b>1 48</b>
<i>Share Price: \$101.090; Next Dividend Payable 03/2015</i>								



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
WALT DISNEY CO HLDG CO (DIS)	1/25/12	39.000	39.375	1,535.64	3,673.41	2,137.77 LT		
	2/9/12	60.000	40.871	2,452.28	5,651.40	3,199.12 LT		
	5/31/12	54.000	45.648	2,464.98	5,086.26	2,621.28 LT		
	12/12/12	57.000	49.880	2,843.16	5,368.83	2,525.67 LT		
	2/26/13	34.000	53.870	1,831.58	3,202.46	1,370.88 LT		
	<b>Total</b>	<b>244.000</b>		<b>11,127.64</b>	<b>22,982.36</b>	<b>11,854.72 LT</b>	<b>281.00</b>	<b>1.22</b>

Share Price: \$94.190; Next Dividend Payable 01/08/15

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	59.1%	\$696,604.00	\$872,384.36	\$135,371.23 LT \$40,409.11 ST	\$12,224.00 \$0.00	1.40%

## EXCHANGE-TRADED &amp; CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES INTERM CREDIT BD ETF (CIU)	5/28/13	940.000	\$110.635	\$103,996.90	\$102,770.20	\$(1,226.70) LT		
	9/25/13	41.000	107.998	4,427.92	4,482.53	54.61 LT		
	12/5/13	928.000	108.117	100,332.76	101,458.24	1,125.48 LT		
	<b>Total</b>	<b>1,909.000</b>		<b>208,757.58</b>	<b>208,710.97</b>	<b>(46.61) LT</b>	<b>5,133.00</b>	<b>2.45</b>

Share Price \$109.330; Next Dividend Payable 01/2015

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	14.2%	\$208,757.58	\$208,710.97	\$(46.61) LT	\$5,133.00 \$0.00	2.46%

## GOVERNMENT SECURITIES

## TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNITED STATES TREASURY NOTE CUSIP 912828WD8	12/4/13	163,000.000	\$99.211	\$161,714.37	\$161,943.76	\$229.39 LT	\$2,038.00	1.25
			\$99.211	\$161,714.37			\$347.04	

Unit Price: \$99.352; Coupon Rate 1.250%; Matures 10/31/2018; Int. Semi-Annually Apr/Oct 30; Yield to Maturity 1.424%; Moody AAA, Issued 10/31/13



## Account Detail

Fiduciary Services Basic Securities Account  
357-897771-031JOHN & CAROLYN PETERSON  
CHAR FD. (ATALANTA SOSNOFF).

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## GOVERNMENT SECURITIES

## FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
FED NATL MTG ASSN	8/28/14	177,000.000	\$98.683	\$174,668.91			\$1,549.00	0.88
CUSIP 3135G0TG8			\$98.683	\$174,668.91	\$174,971.58	\$302.67 ST	\$615.19	
Unit Price: \$98.854, Coupon Rate 0.875%, Matures 02/08/2018, Int. Semi-Annually Feb/Aug 08, Yield to Maturity 1.253%; Moody AAA S&P AA+, Issued 01/07/13								

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
GOVERNMENT SECURITIES	340,000.000	\$336,383.28	\$336,915.34	\$229.39 LT	\$3,587.00	1.06%
		\$336,383.28		\$302.67 ST	\$962.23	
TOTAL GOVERNMENT SECURITIES (incl accr int)	22.9%		\$337,877.57			

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$1,241,744.86	\$1,474,070.78	\$135,554.01 LT	\$20,949.96	1.42%
				\$40,711.78 ST	\$962.23	

TOTAL VALUE (includes accrued interest) \$1,297,805.77 \$1,475,033.01

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included

## ACTIVITY

## INVESTMENT RELATED ACTIVITY

## PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	12/4	Sold	EOG RESOURCES INC	ACTED AS AGENT	87 000	\$85.5098	\$7,439.18
12/1	12/4	Sold	CONOCOPHILLIPS	ACTED AS AGENT	94 000	65.9600	6,200.10
12/1	12/4	Sold	SCHLUMBERGER LTD	ACTED AS AGENT	56 000	84.5958	4,737.25
12/1	12/4	Bought	TEVA PHARMACEUTICALS ADR	ACTED AS AGENT	77 000	56.9750	(4,387.08)
12/1	12/4	Bought	UNITEDHEALTH GP INC	ACTED AS AGENT	43 000	98.9610	(4,255.32)
12/3	12/8	Sold	CDN PACIFIC RY LTD NEW	ACTED AS AGENT	4 000	195.9391	783.74
12/3	12/8	Bought	DOW CHEMICAL CO	ACTED AS AGENT	90 000	49.3959	(4,445.63)
12/5	12/10	Bought	DOW CHEMICAL CO	ACTED AS AGENT	85 000	50.4117	(4,284.99)
12/9	12/12	Sold	CDN PACIFIC RY LTD NEW	ACTED AS AGENT	25 000	181.7597	4,543.88
12/10	12/15	Sold	JPMORGAN CHASE & CO	ACTED AS AGENT	106 000	61.2184	6,489.00

Security Mark  
at Right

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

INTERESTED PARTY COPY

Investment Objectives†: Capital Appreciation, Income

Investment Advisory Account  
Manager: PHILADELPHIA INT'L ADVISORS LP

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MS LIQUID ASSET FUND	\$15,238.25	\$1.52	0.010	—

	Percentage of Assets %	Market Value	Estimated Annual Income
CASH, BDP, AND MMFS	2.2%	\$15,238.25	\$1.52
			\$0.00

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.



## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AGRICULTURAL BK CHINA LTD ADR (ACGBY)	11/19/13	858.000	\$13.020	\$11,171.50	\$10,827.96	\$(343.54) LT		
	7/3/14	112.000	11.467	1,284.30	1,413.44	129.14 ST		
	<b>Total</b>	<b>970.000</b>		<b>12,455.80</b>	<b>12,241.40</b>	<b>(343.54) LT</b> <b>129.14 ST</b>	<b>579.00</b>	<b>4.72</b>
Share Price: \$12.620								
ALLIANZ SE ADS (AZSEY)	9/6/07	159.000	21.230	3,375.57	2,634.63	(740.94) LT		
	1/22/10	110.000	11.400	1,254.00	1,822.70	568.70 LT		
	9/15/10	177.000	11.201	1,982.61	2,932.89	950.28 LT		
	12/12/12	152.000	13.560	2,061.12	2,518.64	457.52 LT		
	2/26/13	255.000	13.490	3,439.95	4,225.35	785.40 LT		
	<b>Total</b>	<b>853.000</b>		<b>12,113.25</b>	<b>14,134.21</b>	<b>2,020.96 LT</b>	<b>461.00</b>	<b>3.26</b>
Share Price: \$16.570								
ASAHI KAISEI CORP ADR (AHKSY)	9/6/07	53.000	15.170	804.01	968.84	164.83 LT		
	1/22/10	175.000	10.640	1,862.00	3,199.00	1,337.00 LT		
	7/13/12	313.000	10.640	3,330.41	5,721.64	2,391.23 LT		
	12/12/12	160.000	11.660	1,865.60	2,924.80	1,059.20 LT		
	2/26/13	193.000	11.950	2,306.35	3,528.04	1,221.69 LT		
	<b>Total</b>	<b>894.000</b>		<b>10,168.37</b>	<b>16,342.32</b>	<b>6,173.95 LT</b>	<b>232.00</b>	<b>1.41</b>
Share Price: \$18.280								
AXA ADS (AXAHY)	6/3/13	318.000	20.466	6,508.11	7,279.02	770.91 LT		
	10/9/13	259.000	23.816	6,168.43	5,928.51	(239.92) LT		
	<b>Total</b>	<b>577.000</b>		<b>12,676.54</b>	<b>13,207.53</b>	<b>530.99 LT</b>	<b>—</b>	<b>—</b>
Share Price: \$22.890								
BANK RAKYAT INDONESIA ADR (BKRKY)	7/18/13	221.000	16.089	3,555.56	4,064.19	508.63 LT		
	10/9/13	142.000	13.671	1,941.30	2,611.38	670.08 LT		
	7/3/14	220.000	17.232	3,791.11	4,045.80	254.69 ST		
	<b>Total</b>	<b>583.000</b>		<b>9,287.97</b>	<b>10,721.37</b>	<b>1,178.71 LT</b> <b>254.69 ST</b>	<b>189.00</b>	<b>1.76</b>
Share Price: \$18.390								
BARCLAYS PLC ADR (BCS)	9/17/12	403.000	13.625	5,490.73	6,049.03	558.30 LT		
	12/12/12	94.000	14.925	1,402.94	1,410.94	8.00 LT		
	2/26/13	115.000	16.659	1,915.81	1,726.15	(189.66) LT		
	5/2/13	174.000	16.584	2,885.64	2,611.74	(273.90) LT		
	9/24/13	196.000	17.062	3,344.16	2,941.96	(402.20) LT		
	<b>Total</b>	<b>982.000</b>		<b>15,039.28</b>	<b>14,739.82</b>	<b>(299.46) LT</b>	<b>409.00</b>	<b>2.77</b>
Share Price: \$15.010								

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031

JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>BASF SE SP ADR (BASFY)</b>	1/22/10	81.000	56.707	4,593.27	6,754.59	2,161.32 LT		
	7/18/12	24.000	69.281	1,662.74	2,001.36	338.62 LT		
	12/12/12	18.000	93.240	1,678.32	1,501.02	(177.30) LT		
	2/26/13	32.000	94.340	3,018.88	2,668.48	(350.40) LT		
<b>Total</b>		155.000		10,953.21	12,925.45	1,972.24 LT	421.00	3.25
<i>Share Price: \$83.390</i>								
<b>BAYER AG SPON ADR (BAYRY)</b>	9/15/11	1.000	54.470	54.47	136.84	82.37 LT		
	10/20/11	48.000	59.412	2,851.79	6,568.32	3,716.53 LT		
	12/12/12	31.000	94.040	2,915.24	4,242.04	1,326.80 LT		
	2/26/13	16.000	95.610	1,529.76	2,189.44	659.68 LT		
<b>Total</b>		96.000		7,351.26	13,136.64	5,785.38 LT	203.00	1.54
<i>Share Price: \$136.840</i>								
<b>BG GROUP PLC (BRGY)</b>	9/26/12	285.000	20.381	5,808.56	3,807.60	(2,000.96) LT		
	12/12/12	183.000	17.050	3,120.15	2,444.88	(675.27) LT		
	7/10/13	179.000	17.200	3,078.80	2,391.44	(687.36) LT		
	11/1/13	215.000	20.182	4,339.09	2,872.40	(1,466.69) LT		
<b>Total</b>		862.000		16,346.60	11,516.32	(4,830.28) LT	259.00	2.24
<i>Share Price: \$13.360</i>								
<b>BHP BILLITON LTD (BHP)</b>	3/17/09	75.000	41.077	3,080.76	3,549.00	468.24 LT		
	10/11/10	28.000	80.836	2,263.40	1,324.96	(938.44) LT		
	8/31/11	24.000	85.400	2,049.59	1,135.68	(913.91) LT		
	12/12/12	32.000	75.310	2,409.92	1,514.24	(895.68) LT		
	2/26/13	35.000	74.400	2,604.00	1,656.20	(947.80) LT		
	4/3/14	92.000	69.596	6,402.86	4,353.44	(2,049.42) ST		
	7/3/14	16.000	71.255	1,140.08	757.12	(382.96) ST		
<b>Total</b>		302.000		19,950.61	14,290.64	(3,227.59) LT (2,432.38) ST	731.00	5.11
<i>Share Price: \$47.320; Next Dividend Payable 03/2015</i>								
<b>BNP PARIBAS SP ADR REPSTG (BNPQY)</b>	2/21/12	66.000	24.798	1,636.70	1,939.08	302.38 LT		
	12/12/12	86.000	28.236	2,428.32	2,526.68	98.36 LT		
	2/26/13	115.000	27.304	3,140.01	3,378.70	238.69 LT		
<b>Total</b>		267.000		7,205.03	7,844.46	639.43 LT	191.00	2.43
<i>Share Price: \$29.380</i>								
<b>BP PLC ADS (BP)</b>	6/14/12	23.000	38.950	895.84	876.76	(19.08) LT		
	7/18/12	31.000	41.661	1,291.48	1,181.72	(109.76) LT		
	7/30/12	49.000	41.904	2,053.28	1,867.88	(185.40) LT		



## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/12/12	86.000	41.530	3,571.58	3,278.32	(293.26) LT		
	2/26/13	38.000	40.670	1,545.46	1,448.56	(96.90) LT		
	12/4/13	114.000	46.702	5,324.06	4,345.68	(978.38) LT		
	<b>Total</b>	<b>341.000</b>		<b>14,681.70</b>	<b>12,998.92</b>	<b>(1,682.78) LT</b>	<b>818.00</b>	<b>6.29</b>
<i>Share Price: \$38.120</i>								
<b>C &amp; C GRP PLC SPONS ADR (CCGGY)</b>	6/17/14	378.000	19.516	7,377.16	4,886.78	(2,490.38) ST		
	8/28/14	218.000	17.019	3,710.12	2,818.30	(891.82) ST		
	<b>Total</b>	<b>596.000</b>		<b>11,087.28</b>	<b>7,705.08</b>	<b>(3,382.20) ST</b>	<b>221.00</b>	<b>2.86</b>
<i>Share Price: \$12.928</i>								
<b>CHINA RY CONSTR CORP ADR (CWYCY)</b>	11/19/13	129.000	11.382	1,468.32	1,624.82	156.50 LT		
	2/18/14	375.000	9.018	3,381.64	4,723.31	1,341.67 ST		
	4/14/14	220.000	9.076	1,996.68	2,771.01	774.33 ST		
	7/3/14	175.000	9.060	1,585.50	2,204.21	618.71 ST		
	<b>Total</b>	<b>899.000</b>		<b>8,432.14</b>	<b>11,323.35</b>	<b>156.50 LT</b> <b>2,734.71 ST</b>	<b>155.00</b>	<b>1.36</b>
<i>Share Price: \$12.596</i>								
<b>CNOOC LTD ADS (CEO)</b>	8/23/12	19.000	191.588	3,640.17	2,573.36	(1,066.81) LT		
	10/18/12	5.000	207.710	1,038.55	677.20	(361.35) LT		
	12/12/12	5.000	217.080	1,085.40	677.20	(408.20) LT		
	2/26/13	8.000	195.760	1,566.08	1,083.52	(482.56) LT		
	7/18/13	17.000	178.526	3,034.95	2,302.48	(732.47) LT		
	<b>Total</b>	<b>54.000</b>		<b>10,365.15</b>	<b>7,313.76</b>	<b>(3,051.39) LT</b>	<b>357.00</b>	<b>4.88</b>
<i>Share Price: \$135.440</i>								
<b>DAIMLER AG SPONSORED ADR (DDAIY)</b>	5/2/14	115.000	92.577	10,646.32	9,520.85	(1,125.47) ST		
	8/12/14	51.000	80.279	4,094.22	4,222.29	128.07 ST		
	10/31/14	41.000	77.788	3,189.31	3,394.39	205.08 ST		
	<b>Total</b>	<b>207.000</b>		<b>17,929.85</b>	<b>17,137.53</b>	<b>(792.32) ST</b>	<b>471.00</b>	<b>2.74</b>
<i>Share Price: \$82.790</i>								
<b>DANSKE BK A/S SPON ADR (DNSKY)</b>	7/7/14	536.000	14.233	7,628.89	7,211.87	(417.02) ST		
	7/18/14	131.000	13.910	1,822.21	1,762.60	(59.61) ST		
	8/12/14	306.000	14.001	4,284.28	4,117.22	(167.06) ST		
	10/1/14	206.000	13.550	2,791.26	2,771.72	(19.54) ST		
	<b>Total</b>	<b>1,179.000</b>		<b>16,526.64</b>	<b>15,863.44</b>	<b>(663.23) ST</b>	<b>136.00</b>	<b>0.85</b>
<i>Share Price: \$13.455</i>								

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031

JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

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### STOCKS

#### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
DBS GROUP HOLDINGS LTD SP (DBSDY)	3/7/13	124.000	49.537	6,142.56	7,716.52	1,573.96 LT		
	5/10/13	85.000	57.634	4,898.88	5,289.55	390.67 LT		
	10/9/13	79.000	52.588	4,154.48	4,916.17	761.69 LT		
	<b>Total</b>	288.000		15,195.92	<b>17,922.24</b>	2,726.32 LT	518.00	2.89
Share Price: \$62.230								
DELHAIZE GROUP (DEG)	3/7/13	249.000	12.418	3,092.18	4,511.88	1,419.70 LT		
	5/24/13	188.000	16.151	3,036.48	3,406.56	370.08 LT		
	2/18/14	272.000	17.220	4,683.77	4,928.64	244.87 ST		
	<b>Total</b>	709.000		10,812.43	<b>12,847.08</b>	1,789.78 LT 244.87 ST	268.00	2.08
Share Price: \$18.120								
DEUTSCHE LUFTHANSA ADR (DLAKY)	2/26/13	38.000	19.510	741.38	632.89	(108.49) LT		
	11/1/13	182.000	19.288	3,510.34	3,031.21	(479.13) LT		
	<b>Total</b>	220.000		4,251.72	<b>3,664.10</b>	(587.62) LT	97.00	2.64
Share Price: \$16.655								
FUJITSU LTD ADR NEW (FJTSY)	11/20/14	330.000	28.300	9,338.84	<b>8,844.00</b>	(494.84) ST	91.00	1.02
Share Price: \$26.800								
GDF SUEZ-SPON ADR (GDFZY)	5/8/14	392.000	26.612	10,431.99	9,132.62	(1,299.37) ST		
	5/21/14	147.000	27.385	4,025.55	3,424.73	(600.82) ST		
	<b>Total</b>	539.000		14,457.54	<b>12,557.35</b>	(1,900.19) ST	564.00	4.49
Share Price: \$23.298								
HITACHI 10 COM NEW ADR (HTHIY)	2/8/13	30.000	56.858	1,705.75	2,236.80	531.05 LT		
	2/26/13	42.000	55.880	2,346.96	3,131.52	784.56 LT		
	6/2/14	65.000	68.691	4,464.93	4,846.40	381.47 ST		
	<b>Total</b>	137.000		8,517.64	<b>10,214.72</b>	1,315.61 LT 381.47 ST	122.00	1.19
Share Price: \$74.560								
HONDA MOTOR COMPANY LTD ADR (HMC)	5/9/13	160.000	40.375	6,459.94	4,723.20	(1,736.74) LT		
	5/24/13	81.000	39.226	3,177.33	2,391.12	(786.21) LT		
	7/29/13	101.000	37.490	3,786.44	2,981.52	(804.92) LT		
	10/9/13	102.000	38.839	3,961.59	3,011.04	(950.55) LT		
	<b>Total</b>	444.000		17,385.30	<b>13,106.88</b>	(4,278.42) LT	312.00	2.38
Share Price: \$29.520								
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	1/27/12	120.000	42.512	5,101.47	5,667.60	566.13 LT		
	12/12/12	37.000	51.850	1,918.45	1,747.51	(170.94) LT		
	2/26/13	50.000	54.200	2,710.00	2,361.50	(348.50) LT		



CLIENT STATEMENT | For the Period December 1-31, 2014

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/18/13	60.000	54.944	3,296.63	2,833.80	(462.83) LT		
	<b>Total</b>	<b>267.000</b>		<b>13,026.55</b>	<b>12,610.41</b>	<b>(416.14) LT</b>	<b>654.00</b>	<b>5.18</b>
<i>Share Price: \$47.230</i>								
INFOSYS LIMITED ADR (INFY)	6/12/14	206.000	27.185	5,600.17	6,480.76	880.59 ST	119.00	1.83
<i>Share Price: \$31.460</i>								
ING GROEP NV ADR (ING)	2/22/12	603.000	8.763	5,283.97	7,820.91	2,536.94 LT		
	12/12/12	159.000	9.360	1,488.24	2,062.23	573.99 LT		
	2/26/13	308.000	7.870	2,423.96	3,994.76	1,570.80 LT		
	10/18/13	34.000	12.924	439.42	440.98	1.56 LT		
	<b>Total</b>	<b>1,104.000</b>		<b>9,635.59</b>	<b>14,318.88</b>	<b>4,683.29 LT</b>	<b>—</b>	<b>—</b>
<i>Share Price: \$12.970</i>								
KB FINANCIAL GRP INC SONS ADR (KB)	7/8/14	264.000	34.818	9,191.93	8,611.68	(580.25) ST		
	7/18/14	3.000	35.017	105.05	97.86	(7.19) ST		
	<b>Total</b>	<b>267.000</b>		<b>9,296.98</b>	<b>8,709.54</b>	<b>(587.44) ST</b>	<b>101.00</b>	<b>1.15</b>
<i>Share Price: \$32.620</i>								
KDDI CORP UNSPON ADR (KDDIY)	6/11/13	202.000	11.277	2,277.99	3,191.60	913.61 LT		
	7/30/13	313.000	12.852	4,022.74	4,945.40	922.66 LT		
	1/29/14	264.000	14.268	3,766.70	4,171.20	404.50 ST		
	<b>Total</b>	<b>779.000</b>		<b>10,067.43</b>	<b>12,308.20</b>	<b>1,836.27 LT</b>	<b>205.00</b>	<b>1.66</b>
						<b>404.50 ST</b>		
<i>Share Price: \$15.800</i>								
MARUBENI CORP ADR (MARUY)	11/15/13	95.000	74.243	7,053.09	5,707.60	(1,345.49) LT	188.00	3.29
<i>Share Price: \$60.080</i>								
MITSUBISHI CORP SPONS ADR NEW (MSBHY)	11/16/06	29.000	35.721	1,035.91	1,066.09	30.18 LT 1		
	12/4/06	25.000	38.250	956.25	919.04	(37.21) LT 1		
	1/22/07	33.000	37.481	1,236.88	1,213.14	(23.74) LT 1		
	5/1/07	29.000	43.034	1,247.99	1,066.09	(181.90) LT 1		
	9/6/07	100.000	54.950	5,495.00	3,676.19	(1,818.81) LT		
	12/12/12	9.000	37.650	338.85	330.85	(8.00) LT		
	2/26/13	51.000	40.040	2,042.04	1,874.86	(167.18) LT		
	<b>Total</b>	<b>276.000</b>		<b>12,352.92</b>	<b>10,146.31</b>	<b>(2,206.66) LT</b>	<b>338.00</b>	<b>3.33</b>
<i>Share Price: \$36.762</i>								
MITSUBISHI UFJ FINCL GRP ADS (MTU)	7/30/12	518.000	4.947	2,562.39	2,864.54	302.15 LT		
	12/12/12	251.000	4.570	1,147.07	1,388.03	240.96 LT		
	12/20/12	1,025.000	5.212	5,342.30	5,668.25	325.95 LT		
	2/26/13	420.000	5.590	2,347.80	2,322.60	(25.20) LT		

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/4/14	506.000	5.610	2,838.46	2,798.18	(40.28) ST		
	<b>Total</b>	2,720.000		14,238.02	<b>15,041.60</b>	843.86 LT (40.28) ST	389.00	2.58
<i>Share Price: \$5.530</i>								
NATL AUST BK LTD SPNS ADR (NABZY)	1/30/09	154.000	6.073	935.18	2,100.56	1,165.38 LT		
	12/12/12	238.000	13.035	3,102.33	3,246.32	143.99 LT		
	2/26/13	60.000	15.345	920.70	818.40	(102.30) LT		
	6/11/13	604.000	13.340	8,057.39	8,238.56	181.17 LT		
	<b>Total</b>	1,056.000		13,015.60	<b>14,403.84</b>	1,388.24 LT	900.00	6.24
<i>Share Price: \$13.640</i>								
NIPPON STL&SUMITOMO METAL ADR (NSSMY)	11/4/14	400.000	27.050	10,820.08	<b>9,984.00</b>	(836.08) ST	144.00	1.44
<i>Share Price: \$24.960</i>								
NOVARTIS AG ADR (NVS)	4/30/09	49.000	38.191	1,871.37	4,540.34	2,668.97 LT		
	8/31/11	43.000	58.204	2,502.77	3,984.38	1,481.61 LT		
	7/27/12	36.000	58.293	2,098.54	3,335.76	1,237.22 LT		
	12/12/12	29.000	63.250	1,834.25	2,687.14	852.89 LT		
	2/26/13	27.000	66.760	1,802.52	2,501.82	699.30 LT		
	<b>Total</b>	184.000		10,109.45	<b>17,049.44</b>	6,939.99 LT	430.00	2.52
<i>Share Price: \$92.660</i>								
PETROFAC LTD LONDON UNSPON ADR (POFCY)	10/22/14	1,200.000	8.612	10,334.40	<b>6,420.00</b>	(3,914.40) ST	355.00	5.52
<i>Share Price: \$5.350</i>								
PETROLEUM GEO-SVCS ASA ADS (PGSVY)	5/6/14	892.000	12.533	11,179.44	4,959.60	(6,219.84) ST		
	7/25/14	491.000	9.024	4,430.64	2,730.00	(1,700.64) ST		
	<b>Total</b>	1,383.000		15,610.08	<b>7,689.61</b>	(7,920.48) ST	434.00	5.64
<i>Share Price: \$5.560</i>								
PREMIER OIL PLC ADR (PMOIY)	12/23/13	1,411.000	5.029	7,095.50	3,555.72	(3,539.78) LT		
	2/28/14	1,377.000	5.344	7,358.14	3,470.04	(3,888.10) ST		
	<b>Total</b>	2,788.000		14,453.64	<b>7,025.76</b>	(3,539.78) LT (3,888.10) ST	207.00	2.94
<i>Share Price: \$2.520</i>								
PT INDOFOOD SUKSES MAKMUR TBK (PIFMY)	11/3/14	252.000	28.063	7,071.98	<b>6,746.04</b>	(325.94) ST	110.00	1.63
<i>Share Price: \$26.770</i>								





## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
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## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
REED ELSEVIER NV-SPONS ADR (ENL)	9/6/07	123.000	41.584	5,114.81	5,859.72	744.91 LT		
	1/22/10	65.000	24.867	1,616.37	3,096.60	1,480.23 LT		
	12/12/12	8.000	29.240	233.92	381.12	147.20 LT		
	2/26/13	92.000	29.510	2,714.92	4,382.88	1,667.96 LT		
	<b>Total</b>	<b>288.000</b>		<b>9,680.02</b>	<b>13,720.32</b>	<b>4,040.30 LT</b>	<b>347.00</b>	<b>2.52</b>
Share Price: \$47.640								
RHEINMETALL AG UNSPON ADR (RNMBY)	2/7/14	666.000	13.244	8,820.77	5,754.90	(3,065.87) ST		
	8/12/14	337.000	10.801	3,639.84	2,912.01	(727.83) ST		
	<b>Total</b>	<b>1,003.000</b>		<b>12,460.61</b>	<b>8,666.92</b>	<b>(3,793.70) ST</b>	<b>72.00</b>	<b>0.83</b>
Share Price: \$8.641								
RIO TINTO PLC SPON ADR (RIO)	10/11/11	70.000	50.749	3,552.44	3,224.20	(328.24) LT		
	12/21/11	78.000	48.205	3,760.01	3,592.68	(167.33) LT		
	12/12/12	39.000	53.320	2,079.48	1,796.34	(283.14) LT		
	2/26/13	36.000	53.230	1,916.28	1,658.16	(258.12) LT		
	12/4/13	87.000	53.480	4,652.79	4,007.22	(645.57) LT		
	<b>Total</b>	<b>310.000</b>		<b>15,961.00</b>	<b>14,278.60</b>	<b>(1,682.40) LT</b>	<b>628.00</b>	<b>4.39</b>
Share Price: \$46.060								
ROCHE HOLDINGS ADR (RHHBY)	6/12/09	125.000	17.091	2,136.37	4,248.75	2,112.38 LT		
	1/22/10	30.000	22.068	662.03	1,019.70	357.67 LT		
	9/24/10	180.000	17.056	3,070.14	6,118.20	3,048.06 LT		
	12/12/12	104.000	25.255	2,626.52	3,534.96	908.44 LT		
	2/26/13	50.000	28.440	1,422.00	1,699.50	277.50 LT		
	<b>Total</b>	<b>489.000</b>		<b>9,917.06</b>	<b>16,621.11</b>	<b>6,704.05 LT</b>	<b>447.00</b>	<b>2.68</b>
Share Price: \$33.990								
ROYAL DSM NV SPONSORED ADR (RDSMY)	3/6/14	544.000	16.583	9,020.99	8,214.40	(806.59) ST	265.00	3.22
Share Price: \$15.100								
SIEMENS AKTIENGESELLSCHAFT (SIEGY)	6/1/11	3.000	128.602	385.81	336.00	(49.81) LT		
	10/18/12	12.000	100.101	1,201.21	1,344.00	142.79 LT		
	12/12/12	10.000	103.427	1,034.27	1,120.00	85.73 LT		
	2/26/13	14.000	98.757	1,382.60	1,568.00	185.40 LT		
	3/7/13	70.000	103.762	7,263.37	7,840.00	576.63 LT		
	<b>Total</b>	<b>109.000</b>		<b>11,267.26</b>	<b>12,208.00</b>	<b>940.74 LT</b>	<b>325.00</b>	<b>2.66</b>
Share Price: \$112.000								
SMITH & NEPHEW PLC ADR (SNN)	12/8/10	132.000	20.615	2,721.20	4,849.68	2,128.48 LT		
	7/25/12	100.000	20.339	2,033.90	3,674.00	1,640.10 LT		
	12/12/12	85.000	21.860	1,858.10	3,122.90	1,264.80 LT		

## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/26/13	98.000	21 060	2,063.88	3,600 52	1,536 64 LT		
	<b>Total</b>	415 000		8,677 08	<b>15,247.10</b>	6,570 02 LT	173 00	1 13
<i>Share Price: \$36.740</i>								
SSE PLC SPON ADR (SSEZY)	11/12/13	269.000	22 403	6,026.51	6,794 94	768 43 LT		
	1/29/14	170 000	21 933	3,728 59	4,294 20	565.61 ST		
	<b>Total</b>	439.000		9,755.10	<b>11,089.14</b>	768 43 LT 565 61 ST	607 00	5 47
<i>Share Price: \$25.260</i>								
SUNCOR ENERGY INC NEW COM (SU)	4/22/14	196.000	36 653	7,184.03	6,228.88	(955.15) ST		
	5/22/14	133 000	38.955	5,181 07	4,226.74	(954.33) ST		
	<b>Total</b>	329.000		12,365.10	<b>10,455.62</b>	(1,909 48) ST	317 00	3 03
<i>Share Price \$31.780, Next Dividend Payable 03/2015</i>								
TAIWAN SMCNDCR MFG CO LTD ADR (TSM)	8/27/13	359 000	16 092	5,776 95	<b>8,034.42</b>	2,257 47 LT	144 00	1 79
<i>Share Price: \$22.380</i>								
TELENOR ASA ADS (TELNY)	9/17/13	204 000	67.421	13,753 92	<b>12,350.97</b>	(1,402 95) LT	608 00	4 92
<i>Share Price: \$60.544</i>								
TELIASONERA AB UNSPON ADR (TLSNY)	10/3/14	660 000	13 521	8,923.60	8,500 80	(422 80) ST		
	11/3/14	148.000	13.618	2,015 51	1,906 24	(109 27) ST		
	12/17/14	193.000	12 871	2,484 16	2,485.84	1.68 ST		
	<b>Total</b>	1,001.000		13,423 27	<b>12,892.88</b>	(530 39) ST	719 00	5 57
<i>Share Price: \$12.880</i>								
TENAGA NATIONAL BERHAD SP ADR (TNABY)	2/26/13	47.000	9.040	424 88	740 16	315 28 LT		
	3/1/13	678.000	8 978	6,087 36	10,677 14	4,589 78 LT		
	<b>Total</b>	725 000		6,512 24	<b>11,417.30</b>	4,905 06 LT	228 00	1 99
<i>Share Price \$15.748, Next Dividend Payable 01/12/15</i>								
TOSHIBA CORP UNSPONS ADR (TOSYY)	9/19/13	404 000	25.639	10,358 24	10,273 72	(84 52) LT		
	9/26/13	124 000	26 274	3,257 96	3,153 32	(104 64) LT		
	11/4/14	108 000	26 617	2,874 64	2,746 44	(128 20) ST		
	<b>Total</b>	636.000		16,490 84	<b>16,173.48</b>	(189 16) LT (128 20) ST	215 00	1 32
<i>Share Price: \$25.430</i>								
TOYOTA MOTOR CP ADR NEW (TM)	10/15/13	121 000	130 364	15,774 04	15,183 08	(590 96) LT		
	2/18/14	35.000	116.331	4,071 58	4,391.80	320 22 ST		



## Account Detail

Fiduciary Services Basic Securities Account  
357-897776-031JOHN & CAROLYN PETERSON  
CHAR. FD (PHILA. INTL ADVISOR)

INTERESTED PARTY COPY

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>Total</b>		156.000		19,845.62	19,574.88	(590.96) LT 320.22 ST	450.00	2.29

Share Price, \$125.480

<b>VOLKSWAGEN AG SPON ADR (VLKAY)</b>	1/20/11	50.000	30.404	1,520.18	2,153.00	632.82 LT		
	3/15/12	54.000	34.233	1,848.59	2,325.24	476.65 LT		
	12/12/12	29.000	41.730	1,210.17	1,248.74	38.57 LT		
	2/26/13	112.000	40.250	4,508.00	4,822.72	314.72 LT		
<b>Total</b>		245.000		9,086.94	10,549.70	1,462.76 LT	198.00	1.87

Share Price, \$43.060

<b>ZURICH INSURANCE GRP LTD ADR (ZURVY)</b>	9/6/07	84.000	26.900	2,259.60	2,620.80	361.20 LT		
	1/22/10	25.000	19.684	492.11	780.00	287.89 LT		
	12/12/12	128.000	25.810	3,303.68	3,993.60	689.92 LT		
	2/26/13	70.000	26.960	1,887.20	2,184.00	296.80 LT		
	11/15/13	129.000	28.328	3,654.35	4,024.80	370.45 LT		
<b>Total</b>		436.000		11,596.94	13,603.20	2,006.26 LT	—	—

Share Price, \$31.200

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
<b>STOCKS</b>	97.8%	\$650,806.99	\$662,338.64	\$39,961.99 LT \$(28,430.44) ST	\$18,122.00 \$0.00	2.74%

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
<b>TOTAL MARKET VALUE</b>	100.0%	\$650,806.99	\$677,576.89	\$39,961.99 LT \$(28,430.44) ST	\$18,123.52 \$0.00	2.67%

TOTAL VALUE (includes accrued interest)

\$ 666,045.70 \$677,576.89

1 - This information reflects your requested adjustments to the transaction details.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## CLIENT STATEMENT | For the Period December 1-31, 2014

## Account Detail

Investment Management Services Basic Securities Acct.  
357-897779-031JOHN & CAROLYN PETERSON CH. FD  
(CONNORS)

INTERESTED PARTY COPY

Investment Objectives†: Income, Capital Appreciation

Investment Advisory Account  
Manager: CONNORS INVESTOR SERVICES

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MS LIQUID ASSET FUND	\$19,100.72	\$1.91	0.010	—
	Percentage of Assets %	Market Value	Estimated Annual Income	Accrued Interest
CASH, BDP, AND MMFS	0.8%	\$19,100.72	\$1.91	\$0.00

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

## STOCKS

## COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ILL TOOL WORKS INC (ITW)	7/23/03	24,000.000	\$33.435	\$802,440.00	\$2,272,800.00	\$1,470,360.00 LT 1	\$46,560.00	2.04
Share Price: \$94.700; Next Dividend Payable 01/06/15								

## Account Detail

Investment Management Services Basic Securities Acct.  
357-897779-031

JOHN & CAROLYN PETERSON CH. FD  
(CONNORS)

INTERESTED PARTY COPY

### STOCKS

### OPTIONS

Security Description	Trade Date	Number of Contracts	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL ILL TOOL WKS AT 97.500 EXPIRES 02/20/2015 (ITW 150220C00097500)	12/23/14	(150.000)	\$2.275	\$(34,125.48)	\$(18,750.00)	\$15,375.48 ST
Contract Price: \$1.250, Short Position						

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	99.2%	\$768,314.52	\$2,254,050.00	\$1,470,360.00 LT \$15,375.48 ST	\$46,560.00 \$0.00	2.07%
TOTAL STOCKS (LONG)			\$2,272,800.00			
TOTAL STOCKS (SHORT)			\$(18,750.00)			

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is \$0. In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss. For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$768,314.52	\$2,273,150.72	\$1,470,360.00 LT \$15,375.48 ST	\$46,561.91 \$0.00	2.05%

TOTAL VALUE (includes accrued interest)

\$787,416.72 \$2,273,150.72

1 - This information reflects your requested adjustments to the transaction details.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## ACTIVITY

### INVESTMENT RELATED ACTIVITY

#### PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/23	12/24	Sold	CALL ITW 02/20/15 97.500	OPENING	150.000	\$23.200	\$34,125.48
12/23	12/24	Bought	CALL ITW 01/17/15 95.000	CLOSING	150.000	27.200	(41,511.25)
TOTAL PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS							\$(7,385.77)
TOTAL PURCHASES							\$(41,511.25)
TOTAL SALES AND REDEMPTIONS							\$34,125.48

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.



## Account Detail

Active Assets Account  
357-897782-031

JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

Investment Objectives†: Capital Appreciation, Income, Speculation

Brokerage Account

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MS ACTIVE ASSETS MONEY TRUST	\$28,326.63	\$2.83	0.010	—

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest
CASH, BDP, AND MMFS	1.2%	\$28,326.63	\$2.83 \$0.00

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

Active Assets Account  
357-897782-031

JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

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## Account Detail

### STOCKS

#### COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and not infer its contents. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALTRIA GROUP INC (MO)	10/13/11	200.000	\$27.999	\$5,599.76	\$9,854.00	\$4,254.24 LT		
	6/27/12	300.000	34.437	10,331.07	14,781.00	4,449.93 LT		
<b>Total</b>		500.000		15,930.83	<b>24,635.00</b>	8,704.17 LT	1,040.00	4.22
Share Price: \$49.270, Rating: Morgan Stanley: 2, S&P: 2, Next Dividend Payable 01/09/15								
AT&T INC (T)	9/15/10	400.000	28.532	11,412.80	<b>13,436.00</b>	2,023.20 LT	752.00	5.59
Share Price: \$33.590, Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 02/20/15								
CHEVRON CORP (CVX)	4/15/14	200.000	121.195	24,238.91	<b>22,436.00</b>	(1,802.91) ST	856.00	3.81
Share Price: \$112.180, Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 03/20/15								
CONOCOPHILLIPS (COP)	10/13/11	100.000	52.093	5,209.26	6,906.00	1,696.74 LT		
	5/16/12	200.000	53.537	10,707.38	13,812.00	3,104.62 LT		
<b>Total</b>		300.000		15,916.64	<b>20,718.00</b>	4,801.36 LT	876.00	4.22
Share Price: \$69.060, Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 03/20/15								
CONS EDISON INC (HLDG CO) (ED)	10/13/11	100.000	57.297	5,729.69	<b>6,601.00</b>	871.31 LT	252.00	3.81
Share Price: \$66.010, Rating: Morgan Stanley: 3, S&P: 3, Next Dividend Payable 03/20/15								
CRESTWOOD MIDSTREAM PARTNERS (CMLP)	8/1/14	1,000.000	21.624	21,624.10	<b>15,180.00</b>	(6,444.10) ST	1,640.00	10.80
Share Price: \$15.180, Rating: Morgan Stanley: 2, Next Dividend Payable 02/20/15								
DU PONT EI DE NEMOURS & CO (DD)	6/27/12	200.000	50.587	10,117.38	<b>14,788.00</b>	4,670.62 LT	376.00	2.54
Share Price: \$73.940, Rating: Morgan Stanley: 2, S&P: 2, Next Dividend Payable 03/20/15								
DUKE ENERGY CORP NEW (DUK)	10/13/11	83.000	60.252	5,000.91	<b>6,933.82</b>	1,932.91 LT	264.00	3.80
Share Price: \$83.540, Rating: Morgan Stanley: 2, S&P: 3, Next Dividend Payable 03/20/15								
JOHNSON & JOHNSON (JNJ)	10/15/14	200.000	98.588	19,717.50	<b>20,914.00</b>	1,196.50 ST	560.00	2.67
Share Price: \$104.570, Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 03/20/15								
PFIZER INC (PFE)	9/15/10	600.000	17.529	10,517.58	<b>18,690.00</b>	8,172.42 LT	672.00	3.59
Share Price: \$31.150, Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 03/20/15								
TALLGRASS ENERGY PTNRS LP (TEP)	8/8/13	1,000.000	23.014	23,014.24	<b>44,700.00</b>	21,685.76 LT	1,640.00	3.66
Share Price: \$44.700, Next Dividend Payable 02/20/15								



JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

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**COMMON STOCKS (CONTINUED)**

Share Price: \$46.780, Rating: Morgan Stanley 1, S&P: 2, Next Dividend Payable 02/2015

## EXCHANGE-TRADED & CLOSED-END FUNDS

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	8.9%	\$83,264.25	\$206,567.70	\$123,303.45 LT	\$3,854.00 \$0.00	1.87%



## Account Detail

Active Assets Account  
357-897782-031JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

## CORPORATE FIXED INCOME

## CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
JPMORGAN CHASE & CO 6% FIXED TO 08/01/23 FLOATS THEREAFTER CUSIP 48126HAA8	7/31/13	50,000.000	\$100.113 \$100.113	\$50,056.50 \$50,056.50	<b>\$49,375.00</b>	\$(681.50) LT	\$3,000.00 \$1,250.00	6.07
Unit Price: \$98.750; Coupon Rate 6.000%; Perpetual Maturity; Int. Semi-Annually Feb/Aug 01, Callable \$100.00 on 08/01/23, Yield to Maturity 6.084%, Floater, Moody BA1 S&P BBB-, Issued 07/29/13								
ST PAUL TRAVELERS SR NOTES CUSIP 792860AH1	9/14/07	25,000.000	101.845 100.247	25,461.25 25,061.69	<b>26,032.50</b>	970.81 LT	1,375.00 114.58	5.28
Unit Price: \$104.130; Coupon Rate 5.500%; Matures 12/01/2015; Int. Semi-Annually Jun/Dec 01, Yield to Maturity .963%; Moody A2 S&P A, Issued 11/28/05								
HSBC FINANCE CORP CUSIP 40429CFN7	9/11/07	25,000.000	99.782 99.782	24,945.50 24,945.50	26,137.75	1,192.25 LT		
	10/4/07	50,000.000	100.286 100.044	50,143.00 50,021.85	52,275.50	2,253.65 LT		
<b>Total</b>		<b>75,000.000</b>		<b>75,088.50 74,967.35</b>	<b>78,413.25</b>	<b>3,445.90 LT</b>	<b>4,125.00 1,856.25</b>	<b>5.26</b>
Unit Price: \$104.551; Coupon Rate 5.500%; Matures 01/19/2016, Int. Semi-Annually Jan/Jul 19, Yield to Maturity 1.127%, Moody BAA1 S&P A, Issued 01/19/06								
WACHOVIA BANK NA CUSIP 92976GAE1	9/14/07	25,000.000	100.681 100.117	25,170.25 25,029.17	<b>26,366.50</b>	1,337.33 LT	1,400.00 412.22	5.30
Unit Price: \$105.466; Coupon Rate 5.600%; Matures 03/15/2016, Int. Semi-Annually Mar/Sep 15, Yield to Maturity 1.025%, Moody A1 S&P A+, Issued 03/09/06								
SUNOCO INC CUSIP 86764PAD1	1/21/10	50,000.000	105.225 101.713	52,612.50 50,856.41	<b>54,057.00</b>	3,200.59 LT	2,875.00 1,325.69	5.31
Unit Price: \$108.114; Coupon Rate 5.750%; Matures 01/15/2017, Int. Semi-Annually Jan/Jul 15, Yield to Maturity 1.685%, Moody BAA3 S&P BBB-, Issued 12/14/06								
BERKSHIRE HATHAWAY FIN CUSIP 084664BEO	4/16/09	95,000.000	103.949 101.672	98,751.85 96,588.32	<b>106,297.40</b>	9,709.08 LT	5,130.00 655.50	4.82
Unit Price: \$111.892; Coupon Rate 5.400%; Matures 05/15/2018, Int. Semi-Annually May/Nov 15, Yield to Maturity 1.752%; Moody AA2 S&P AA, Issued 11/15/08								
GENERAL ELECTRIC CAPITAL CORP CUSIP 36966RW28	5/22/12	50,000.000	111.710 107.468	55,855.00 53,734.09	<b>55,114.00</b>	1,379.91 LT	2,550.00 963.33	4.62
Unit Price: \$110.228; Coupon Rate 5.100%; Matures 02/15/2019, Int. Semi-Annually Feb/Aug 15, Yield to Maturity 2.474%, Moody A1 S&P AA+, Issued 02/14/08								
ALLSTATE CORP CUSIP 020002AX9	2/1/12	50,000.000	127.624 117.353	63,812.00 58,676.35	<b>60,547.50</b>	1,871.15 LT	3,725.00 465.62	6.15
Unit Price: \$121.095; Coupon Rate 7.450%; Matures 05/16/2019, Int. Semi-Annually May/Nov 16, Yield to Maturity 2.347%, Moody A3 S&P A-, Issued 05/13/09								
GENERAL ELECTRIC CAPITAL CORP CUSIP 36962G4J0	6/11/13	50,000.000	116.981 113.241	58,490.50 56,620.27	<b>57,229.00</b>	608.73 LT	2,750.00 1,321.52	4.80
Unit Price: \$114.458; Coupon Rate 5.500%; Matures 01/08/2020, Int. Semi-Annually Jan/Jul 08, Yield to Maturity 2.423%, Moody A1 S&P AA+, Issued 01/08/10								



CLIENT STATEMENT | For the Period December 1-31, 2014

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## Account Detail

Active Assets Account  
357-897782-031JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

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## CORPORATE FIXED INCOME

## CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
<b>GOLDMAN SACHS GROUP INC</b> CUSIP 38141EA58	1/21/11	50,000.000	104.112	52,056.00				
			102.558	51,279.00	56,035.00	4,756.00 LT		
	5/25/11	50,000.000	103.600	51,800.00				
			102.312	51,156.01	56,035.00	4,878.99 LT		
	1/20/12	50,000.000	101.147	50,573.50				
			100.787	50,393.64	56,035.00	5,641.36 LT		
<b>Total</b>		150,000.000		154,429.50	<b>168,105.00</b>	15,276.35 LT	8,063.00	4.79
				152,828.65			2,373.95	
<i>Unit Price: \$112.070; Coupon Rate 5.375%; Matures 03/15/2020, Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.862%, Moody BAA1 S&amp;P A-; Issued 03/08/10</i>								
<b>GOLDMAN SACHS GROUP INC</b> CUSIP 38141EA66	7/11/12	50,000.000	110.512	55,256.00			3,000.00	5.19
			107.625	53,812.44	<b>57,801.50</b>	3,989.06 LT	133.33	
<i>Unit Price: \$115.603; Coupon Rate 6.000%; Matures 06/15/2020, Int. Semi-Annually Jun/Dec 15; Yield to Maturity 2.888%, Moody BAA1 S&amp;P A-; Issued 06/03/10</i>								
<b>TOYOTA MOTOR CREDIT CORP</b> CUSIP 89233P4C7	6/11/13	50,000.000	113.627	56,813.50			2,250.00	4.08
			110.809	55,404.61	<b>55,129.00</b>	(275.61) LT	87.50	
<i>Unit Price: \$110.258; Coupon Rate 4.500%; Matures 06/17/2020, Int. Semi-Annually Jun/Dec 17, Yield to Maturity 2.480%, Moody AA3 S&amp;P AA-; Issued 06/17/10</i>								
<b>JP MORGAN CHASE &amp; CO</b> CUSIP 46625HHS2	12/7/11	50,000.000	102.776	51,388.00			2,200.00	4.05
			101.898	50,949.12	<b>54,199.00</b>	3,249.88 LT	971.66	
<i>Unit Price: \$108.398; Coupon Rate 4.400%; Matures 07/22/2020, Int. Semi-Annually Jan/Jul 22, Yield to Maturity 2.760%, Moody A3 S&amp;P A; Issued 07/22/10</i>								
<b>VERIZON COMMUNICATIONS</b> CUSIP 92343VBQ6	9/13/13	50,000.000	106.179	53,089.30			2,250.00	4.14
			105.151	52,575.29	<b>54,288.00</b>	1,712.71 LT	662.49	
<i>Unit Price: \$108.576; Coupon Rate 4.500%; Matures 09/15/2020; Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.860%; Moody BAA1 S&amp;P BBB+; Issued 09/18/13</i>								
<b>GENERAL ELECTRIC CAPITAL CORP</b> CUSIP 36966R4N3	6/30/11	25,000.000	107.759	26,939.75			1,388.00	4.91
			105.210	26,302.59	<b>28,262.50</b>	1,959.91 LT	292.91	
<i>Unit Price: \$113.050; Coupon Rate 5.550%; Matures 10/15/2020; Int. Semi-Annually Apr/Oct 15, Yield to Maturity 3.071%; Moody A1 S&amp;P AA+, Issued 10/01/09</i>								
<b>JP MORGAN CHASE &amp; CO</b> CUSIP 46625HHU7	12/12/12	50,000.000	112.939	56,469.50			2,125.00	3.95
			109.798	54,899.18	<b>53,755.00</b>	(1,144.18) LT	448.61	
<i>Unit Price: \$107.510; Coupon Rate 4.250%; Matures 10/15/2020; Int. Semi-Annually Apr/Oct 15, Yield to Maturity 2.834%, Moody A3 S&amp;P A; Issued 10/21/10</i>								
<b>JP MORGAN CHASE &amp; CO</b> CUSIP 46625HJC5	6/12/14	50,000.000	110.357	55,178.50			2,175.00	4.00
			109.644	54,822.16	<b>54,334.00</b>	(488.16) ST	821.66	
<i>Unit Price: \$108.668; Coupon Rate 4.350%; Matures 08/15/2021; Int. Semi-Annually Feb/Aug 15, Yield to Maturity 2.902%; Moody A3 S&amp;P A; Issued 08/10/11</i>								
<b>TOYOTA MOTOR CREDIT CORP</b> CUSIP 89233P5F9	6/17/13	50,000.000	105.477	52,738.50			1,700.00	3.23
			104.546	52,273.10	<b>52,588.00</b>	314.90 LT	500.55	
<i>Unit Price: \$105.176; Coupon Rate 3.400%; Matures 09/15/2021; Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.555%, Moody AA3 S&amp;P AA-, Issued 09/15/11</i>								
<b>HSBC HOLDINGS PLC</b> CUSIP 404280AL3	2/11/14	60,000.000	111.376	66,825.50			2,925.00	4.37
			110.248	66,148.57	<b>66,879.00</b>	730.43 ST	1,356.87	
<i>Unit Price: \$111.465; Coupon Rate 4.875%; Matures 01/14/2022; Int. Semi-Annually Jan/Jul 14, Yield to Maturity 3.052%, Moody AA3 S&amp;P A+, Issued 11/17/11</i>								

010611 MSGDD342 016545

## Account Detail

Active Assets Account  
357-897782-031

JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

### CORPORATE FIXED INCOME

#### CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
BERKSHIRE HATHAWAY INC CUSIP 084670BF4	12/18/12	50,000.000	108.448 106 720	54,224.00 53,360 20	52,061.00	(1,299 20) LT	1,700.00 708 33	3 26
Unit Price: \$104.122, Coupon Rate 3.400%, Matures 01/31/2022, Int Semi-Annually Jan/Jul 31, Yield to Maturity 2 755%, Moody AA2 S&P AA, Issued 01/31/12								
FORD MOTOR CREDIT CO LLC CUSIP 345397WK5	9/19/13	40,000 000	102 016 101 800	40,806 50 40,719 89	42,761.60	2,041 71 LT	1,750 00 704 86	4 09
Unit Price: \$106.904, Coupon Rate 4 375%, Matures 08/06/2023, Int Semi-Annually Feb/Aug 06, Yield to Maturity 3 440%, Moody BAA3 S&P BBB-, Issued 08/06/13								
GOLDMAN SACHS GROUP INC CUSIP 38143YAC7	7/26/11	25,000 000	98.352 98 352	24,588 00 24,588 00	30,217.50	5,629.50 LT	1,613 00 268 75	5 33
Unit Price \$120 870; Coupon Rate 6 450%, Matures 05/01/2036; Int. Semi-Annually May/Nov 01; Yield to Maturity 4 866%; Moody BAA2 S&P BBB+, Issued 04/18/06								
CORPORATE BONDS		1,170,000.000		\$1,254,044.90 \$1,230,273.95	\$1,283,813.25	\$53,297.03 LT \$242.27 ST	\$60,069.00 \$17,696.18	4.68%

#### FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
GOLDMAN SACHS GROUP INC (GSF)	10/21/11	1,000.000	\$24 600 \$24.600	\$24,600.00 \$24,600.00	\$25,860.00	\$1,260.00 LT		
	4/4/12	1,000.000	25.850 25.841	25,850 00 25,840 95	25,860.00	19 05 LT		
Total		2,000.000		50,450.00 50,440.95	51,720.00	1,279 05 LT	3,063 00 —	5.92
Unit Price: \$25.860; Coupon Rate 6.125%; Matures 11/01/2060; Interest Paid Quarterly Apr 20; Callable \$25.00 on 11/01/15, Moody BAA1 S&P A-								
		Face Value Percentage of Assets %		Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME		1,170,000.000		\$1,304,494.90 \$1,280,714.90	\$1,335,533.25	\$54,576.08 LT \$242.27 ST	\$63,132.00 \$17,696 18	4.73%

TOTAL CORPORATE FIXED INCOME  
(incl. accr int )

58.5%

\$1,353,229.43



## Account Detail

Active Assets Account  
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JOHN & CAROLYN PETERSON  
CHAR. FD (CHECKING)

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## MUTUAL FUNDS

### OTHER MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LEGG MASON BW INTL GL OPP BD C (LGOCX)	12/12/12	4,291.845	\$11.578	\$49,692.66	\$46,866.94	\$(2,825.72) LT R		
	5/1/13	2,093.802	11.890	24,895.56	22,864.31	(2,031.25) LT R		
	<b>Purchases</b>	6,385.647		74,588.22	<b>69,731.25</b>	(4,856.97) LT		
Long Term Reinvestments		175.801		1,956.48	1,919.74	(36.74) LT		
Short Term Reinvestments		263.528		2,895.48	2,877.72	(17.76) ST		
	<b>Total</b>	6,824.976		79,440.18	<b>74,528.74</b>	(4,893.71) LT (17.76) ST	2,634.00	3.53
Total Purchases vs Market Value				74,588.22	74,528.74			
Net Value Increase/(Decrease)					(59.48)			

Share Price \$10.920; Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	3.2%	\$79,440.18	\$74,528.74	\$(4,893.71) LT \$(17.76) ST	\$2,634.00 \$0.00	3.53%

## UNIT INVESTMENT TRUSTS

### EQUITY TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 1ST QTR 14	3/4/14	3,313.000	\$9.814	\$32,514.77	\$31,947.92	\$(566.85) ST		
	<b>Purchases</b>	3,313.000		32,514.77	<b>31,947.92</b>	(566.85) ST		
Short Term Reinvestments		169.000		1,709.18	1,629.70	(79.48) ST		
	<b>Total</b>	3,482.000		34,223.95	<b>33,577.62</b>	(646.33) ST	475.00	1.41

Unit Price: \$9.643; Matures 04/09/2015, Reinvest Full

UNIT FIRST TRUST STRATEGIC DIVIDEND PLUS 12	6/11/13	5,002.000	9.689	48,464.37	53,328.31	4,863.94 LT		
	<b>Purchases</b>	5,002.000		48,464.37	<b>53,328.31</b>	4,863.94 LT		

## Account Detail

Active Assets Account  
357-897782-031

JOHN & CAROLYN PETERSON  
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### UNIT INVESTMENT TRUSTS

#### EQUITY TRUSTS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
Long Term Reinvestments		119.000		1,194.55	1,268.70	74.15 LT		
Short Term Reinvestments		405.000		4,360.62	4,317.86	(42.76) ST		
<b>Total</b>		<b>5,526.000</b>		<b>54,019.54</b>	<b>58,914.89</b>	<b>4,938.09 LT</b> <b>(42.76) ST</b>	<b>860.00</b> <b>—</b>	<b>1.45</b>
<i>Unit Price: \$10.661; Matures 04/27/2015; Reinvest Full</i>								
<b>UNIT FIRST TRUST DOW TARGET 10 MAY 2014</b>	5/12/14	5,534.000	9.900	54,786.60	55,798.20	1,011.60 ST		
	<b>Purchases</b>	5,534.000		54,786.60	<b>55,798.20</b>	1,011.60 ST		
Short Term Reinvestments		97.000		980.61	978.03	(2.58) ST		
<b>Total</b>		<b>5,631.000</b>		<b>55,767.21</b>	<b>56,776.24</b>	<b>1,009.02 ST</b>	<b>782.00</b> <b>—</b>	<b>1.37</b>
<i>Unit Price: \$10.083; Matures 06/09/2015; Reinvest Full</i>								
<b>UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 2ND QTR 14</b>	5/6/14	4,902.000	10.172	49,863.63	46,704.78	(3,158.85) ST		
	<b>Purchases</b>	4,902.000		49,863.63	<b>46,704.78</b>	(3,158.85) ST		
Short Term Reinvestments		179.000		1,792.88	1,705.45	(87.43) ST		
<b>Total</b>		<b>5,081.000</b>		<b>51,656.51</b>	<b>48,410.24</b>	<b>(3,246.28) ST</b>	<b>1,325.00</b> <b>—</b>	<b>2.73</b>
<i>Unit Price: \$9.528; Matures 07/09/2015; Reinvest Full</i>								
<b>UNIT FIRST TRUST DOW TARGET 10 JUL 2014</b>	7/10/14	3,840.000	9.900	38,016.00	37,401.60	(614.40) ST		
	<b>Purchases</b>	3,840.000		38,016.00	<b>37,401.60</b>	(614.40) ST		
Short Term Reinvestments		43.000		421.39	418.82	(2.57) ST		
<b>Total</b>		<b>3,883.000</b>		<b>38,437.39</b>	<b>37,820.42</b>	<b>(616.97) ST</b>	<b>735.00</b> <b>—</b>	<b>1.94</b>
<i>Unit Price: \$9.740; Matures 08/07/2015; Reinvest Full</i>								
<b>UNIT FIRST TRUST R BERNSTEIN ADVISORS GLOBAL DIV KINGS 7</b>	11/4/13	4,697.000	10.527	49,445.79	49,078.01	(367.78) LT		
	<b>Purchases</b>	4,697.000		49,445.79	<b>49,078.01</b>	(367.78) LT		
Long Term Reinvestments		24.000		237.77	250.77	13.00 LT		
Short Term Reinvestments		238.000		2,474.04	2,486.81	12.77 ST		



## Account Detail

Active Assets Account  
357-897782-031JOHN & CAROLYN PETERSON  
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## UNIT INVESTMENT TRUSTS

## EQUITY TRUSTS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
<b>Total</b>		4,959.000		52,157.60	51,815.59	(354.78) LT 12 77 ST	1,222.00	2 35
<i>Unit Price: \$10.449; Matures 08/31/2015; Reinvest Full</i>								
<b>UNIT FIRST TRUST HIGH DIVIDEND EQUITY 18</b>	6/24/14	9,335.000	10 709	99,970.38	92,706.82	(7,263.56) ST		
<b>Purchases</b>		9,335.000		99,970.38	92,706.82	(7,263.56) ST		
Short Term Reinvestments		213.000		2,126.72	2,115.32	(11.40) ST		
<b>Total</b>		9,548.000		102,097.10	94,822.14	(7,274.96) ST	3,931.00	4.14

*Unit Price: \$9.931; Matures 04/11/2016; Reinvest Full*

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
UNIT INVESTMENT TRUSTS	16.5%	\$388,359.30	\$382,137.14	\$4,583.31 LT \$(10,805.51) ST	\$9,330.00 \$0.00	2.44%

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$2,062,638.12	\$2,293,903.28	\$235,427.10 LT \$(32,488.64) ST	\$91,880.83 \$17,696.18	3.97%

TOTAL VALUE (includes accrued interest)

k-1 Items (0.2900)

\$2,084,675.17

\$2,311,599.46

1 - This information reflects your requested adjustments to the transaction details.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## ACTIVITY

## INVESTMENT RELATED ACTIVITY

## PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/10	12/10	Dividend Reinvestment	LEGG MASON BW INTL GL OPP BD C	REINVESTMENT	15.924	\$11.0900	\$(176.60)
12/23	12/23	Dividend Reinvestment	LEGG MASON BW INTL GL OPP BD C	REINVESTMENT	134.741	10.8700	(1,464.64)
12/25	12/25	Dividend Reinvestment	UNIT FT STRATEGIC DIV PLUS 12	REINVESTMENT	51.000	10.7210	(546.79)
12/25	12/25	Dividend Reinvestment	UNIT FT HIGH DIV EQUITY 18	REINVESTMENT	41.000	9 9170	(406.63)