Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2014**

**Open to Public Inspection**

For calendar year 2014, or tax year beginning , 2014, and ending ,

Name of foundation: The Margaret Blanke Grigg Foundation

A Employer identification number: 43-1699657

Number and street (or P O box number if mail is not delivered to street address): c/o William H. Hobson, 8909 Ladue Rd.

Room/suite:

B Telephone number (see instructions): (314) 991-4999

City or town state or province country and ZIP or foreign postal code: Saint Louis MO 63124

C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 9,388,459.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| REVENUE | 1 Contributions, gifts, grants, etc received (attach schedule) | | | | |
| | 2 Ck ► ☒ if the foundn is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 61. | 61. | N/A | |
| | 4 Dividends and interest from securities | 302,103. | 302,103. | N/A | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 493,747. | L-6a Stmt | | |
| | b Gross sales price for all assets on line 6a 2,988,581. | | | | |
| | 7 Capital gain net income (from Part IV line 2) | | 493,747. | | |
| | 8 Net short-term capital gain | | | N/A | |
| | 9 Income modifications | | | N/A | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | N/A | |
| | 11 Other income (attach schedule) | | | N/A | |
| | 12 **Total** Add lines 1 through 11 | 795,911. | 795,911. | N/A | |
| OPERATING AND ADMINISTRATIVE EXPENSES | 13 Compensation of officers, directors, trustees etc | 750. | 750. | N/A | 750. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans employee benefits | | | | |
| | 16a Legal fees (attach schedule) | 9,175. | 9,175. | N/A | 9,175. |
| | b Accounting fees (attach sch) | 100. | 100. | N/A | 100. |
| | c Other prof fees (attach sch) | 78,299. | 78,299. | N/A | 78,299. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule)(see instrs) See attached schedule | 16,011. | 4,138. | N/A | 4,138. |
| | 19 Depreciation (attach sch) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel conferences and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) See attached schedule | 943. | 943. | N/A | 943. |
| | 24 **Total operating and administrative expenses** Add lines 13 through 23 | 105,278. | 93,405. | N/A | 93,405. |
| | 25 Contributions, gifts, grants paid | 570,500. | | | 570,500. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 675,778. | 93,405. | N/A | 663,905. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | 120,133. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 702,506. | | |
| | c **Adjusted net income** (if negative enter -0-) | | | N/A | |

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| **Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|---|---|---|---|
| | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **ASSETS** 1 Cash — non-interest-bearing | 124,979. | 232,254. | 232,254. |
| 2 Savings and temporary cash investments | | | |
| 3 Accounts receivable ► 11,900. | | | |
| Less: allowance for doubtful accounts ► | 3,736. | 11,900. | 11,900. |
| 4 Pledges receivable ► | | | |
| Less. allowance for doubtful accounts ► | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 Other notes and loans receivable (attach sch) ► | | | |
| Less: allowance for doubtful accounts ► | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10a Investments — U.S. and state government obligations (attach schedule) | | | |
| b Investments — corporate stock (attach schedule) | 3,395,687. | 3,158,596. | 4,731,154. |
| c Investments — corporate bonds (attach schedule) | 1,693,718. | 1,227,000. | 1,233,464. |
| 11 Investments — land, buildings, and equipment: basis ► | | | |
| Less accumulated depreciation (attach schedule) ► | | | |
| 12 Investments — mortgage loans | | | |
| 13 Investments — other (attach schedule) | 2,465,788. | 3,171,856. | 3,179,687. |
| 14 Land, buildings, and equipment: basis ► | | | |
| Less accumulated depreciation (attach schedule) ► | | | |
| 15 Other assets (describe ► ) | | | |
| 16 **Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I) | 7,683,908. | 7,801,606. | 9,388,459. |
| **LIABILITIES** 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, & other disqualified persons | | | |
| 21 Mortgages and other notes payable (attach schedule) | | | |
| 22 Other liabilities (describe ► ) | | | |
| 23 **Total liabilities** (add lines 17 through 22) | | | |
| **NET ASSETS OR FUND BALANCES** **Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 Unrestricted | | | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.** | | | |
| 27 Capital stock, trust principal, or current funds | 7,683,908. | 7,801,606. | |
| 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 **Total net assets or fund balances** (see instructions) | 7,683,908. | 7,801,606. | |
| 31 **Total liabilities and net assets/fund balances** (see instructions) | 7,683,908. | 7,801,606. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,683,908. |
| 2 | Enter amount from Part I, line 27a | 2 | 120,133. |
| 3 | Other increases not included in line 2 (itemize) ► See Other Increases Stmt | 3 | 5,364. |
| 4 | Add lines 1, 2, and 3 | 4 | 7,809,405. |
| 5 | Decreases not included in line 2 (itemize) ► See Other Decreases Stmt | 5 | 7,799. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 | 6 | 7,801,606. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|---|---|---|---|---|
| 1a | Publicly Traded Securities - Short Term (covered) | P | various | various |
| b | Publicly Traded Securities - Long Term (covered) | P | various | various |
| c | Publicly Traded Securities - Short Term (non-covered) | P | various | various |
| d | Publicly Traded Securities - Long Term (non-covered) | P | various | various |
| e | See Columns (a) thru (d) | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 494,965. | | 498,282. | -3,317. |
| b | 857,759. | | 699,518. | 158,241. |
| c | 1,409. | | 814. | 595. |
| d | 1,557,760. | | 1,296,220. | 261,540. |
| e | See Columns (e) thru (h) | | 0. | 76,688. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|---|---|---|---|
| a | | | | -3,317. |
| b | 0. | 0. | 0. | 158,241. |
| c | 0. | 0. | 0. | 595. |
| d | 0. | 0. | 0. | 261,540. |
| e | See Columns (i) thru (l) | 0. | 0. | 76,688. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 | 2 | 493,747. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | 6,144. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
|---|---|---|---|
| 2013 | 666,873. | 9,520,279. | 0.070048 |
| 2012 | 563,180. | 9,067,205. | 0.062112 |
| 2011 | 531,734. | 9,199,618. | 0.057800 |
| 2010 | 611,439. | 9,004,894. | 0.067901 |
| 2009 | 579,921. | 8,930,483. | 0.064937 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.322798 |
| 3 | Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.064560 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 9,740,162. |
| 5 | Multiply line 4 by line 3 | 5 | 628,825. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 7,025. |
| 7 | Add lines 5 and 6 | 7 | 635,850. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 663,905. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | | |
|---|---|---|---|---|
| 1 a | Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1<br>Date of ruling or determination letter (attach copy of letter if necessary – see instrs) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b | | 1 | 7,025. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 7,025. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 7,025. |
| 6 | Credits/Payments | | | |
| a | 2014 estimated tax pmts and 2013 overpayment credited to 2014 | 6 a 11,900. | | |
| b | Exempt foreign organizations – tax withheld at source | 6 b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6 c | | |
| d | Backup withholding erroneously withheld | 6 d | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 7 | 11,900. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► | | 10 | 4,875. |
| 11 | Enter the amount of line 10 to be **Credited to 2015 estimated tax** ► 4,875. | **Refunded** ► | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1 a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1 a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1 b | | X |
| | *If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1 c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ► $ **(2)** On foundation managers ► $ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If 'Yes,' attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* | 3 | | X |
| 4 a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4 a | | X |
| b | If 'Yes,' has it filed a tax return on **Form 990-T** for this year? | 4 b | | N/A |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If 'Yes,' attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV* | 7 | X | |
| 8 a | Enter the states to which the foundation reports or with which it is registered (see instructions) ► | | | |
| b | If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* | 8 b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| | Website address ► N/A | | | |

14 The books are in care of ► William H. Hobson Telephone no ► (314) 991-4999
Located at ► 8909 Ladue Road St. Louis MO ZIP + 4 ► 63124

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If 'Yes,' enter the name of the foreign country ► | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1 a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | 1 b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ► ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | | 1 c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? | ☐ Yes ☒ No | | | |
| | If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions ) | | 2 b | | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ | | | | |
| 3 a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If 'Yes,' did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014 )* | | 3 b | | N/A |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4 a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | | 4 b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | |
|---|---|---|---|
| **5 a** During the year did the foundation pay or incur any amount to | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| (4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) | ☐ Yes ☒ No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| **b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | 5 b | N/A |
| Organizations relying on a current notice regarding disaster assistance check here ► ☐ | | | |
| **c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☐ Yes ☐ No | | |
| *If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6 b | X |
| *If 'Yes' to 6b, file Form 8870* | | | |
| **7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| **b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7 b | N/A |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE ATTACHED SCHEDULE | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** number of other employees paid over $50,000 ► | | | | None |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services | | None |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | 0. |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | 0. |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| **Total.** Add lines 1 through 3 | None |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions:)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: | | |
| a | Average monthly fair market value of securities | 1a | 9,496,489. |
| b | Average of monthly cash balances | 1b | 392,000. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 9,888,489. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 9,888,489. |
| 4 | Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 148,327. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 9,740,162. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 487,008. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 487,008. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a | 7,025. | | |
| b | Income tax for 2014 (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 7,025. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 479,983. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 479,983. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 479,983. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: | | |
| a | Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 | 1a | 663,905. |
| b | Program-related investments — total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 663,905. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 7,025. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 656,880. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 479,983. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 0. | |
| b Total for prior years 20 __, 20 __, 20 __ | | | | |
| 3 Excess distributions carryover, if any, to 2014 | | | | |
| a From 2009 — 134,468. | | | | |
| b From 2010 — 167,742. | | | | |
| c From 2011 — 75,380. | | | | |
| d From 2012 — 113,341. | | | | |
| e From 2013 — 202,732. | | | | |
| f Total of lines 3a through e | 693,663. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ► $ 663,905. | | | | |
| a Applied to 2013, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required — see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required — see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 479,983. |
| e Remaining amount distributed out of corpus | 183,922. | | | |
| 5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 877,585. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount — see instructions | | 0. | | |
| e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount — see instructions | | | 0. | |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) | 134,468. | | | |
| 9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 743,117. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 — 167,742. | | | | |
| b Excess from 2011 — 75,380. | | | | |
| c Excess from 2012 — 113,341. | | | | |
| d Excess from 2013 — 202,732. | | | | |
| e Excess from 2014 — 183,922. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | Organization is not a private operating foundation. | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a 'Assets' alternative test – enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| See attached schedule | | | | 570,500. |
| **Total** . . . . . . . ► 3a | | | | 570,500. |
| b *Approved for future payment* | | | | |
| None | | | | |
| **Total** . . . . . . . ► 3b | | | | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 61. | |
| 4 Dividends and interest from securities | | | 14 | 302,103. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 493,747. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 795,911. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 795,911.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.) |
|---|---|
| | N/A |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1 a (1) | | X |
| (2) Other assets | 1 a (2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1 b (1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1 b (2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1 b (3) | | X |
| (4) Reimbursement arrangements | 1 b (4) | | X |
| (5) Loans or loan guarantees | 1 b (5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1 b (6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1 c | | X |

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

William H Hobson | 5/4/15 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| William H. Hobson | William H Hobson | 5/4/15 | | P01203215 |

Firm's name ► Summers Compton Wells LLC — Firm's EIN ► 46-3084251

Firm's address ► 8909 Ladue Road, Saint Louis MO 63124-2075 — Phone no (314) 991-4999

**Form 990-PF Part I, Line 6a**

## Net Gain or Loss From Sale of Assets

**2014**

Name: The Margaret Blanke Grigg Foundation

Employer Identification Number: 43-1699657

### Asset Information:

Description of Property: Marketable Securities
Date Acquired: Various
How Acquired: Purchased
Date Sold: Various
Name of Buyer:
Sales Price: 2,988,581.
Cost or other basis (do not reduce by depreciation): 2,494,834.
Sales Expense:
Valuation Method:
Total Gain (Loss): 493,747.
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Form 990-PF, Page 2, Part III, Line 3
**Other Increases Stmt**

| | |
|---|---|
| Basis Adjustment to securities for 2013 transactions | 5,361. |
| Correction to Lonza basis 2014 | 3. |
| Total | 5,364. |

Form 990-PF, Page 2, Part III, Line 5
**Other Decreases Stmt**

| | |
|---|---|
| Basis Adjustment to securities for 2013 transactions | 1,335. |
| Amortized bond premium | 6,463. |
| Rounding adjustment | 1. |
| Total | 7,799. |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
**Columns (a) thru (d)**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|---|---|---|---|
| Capital Gain Distributions - Short Term | P | various | various |
| Capital Gain Distributions - Long Term | P | various | various |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
**Columns (e) thru (h)**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,866. | | 0. | 8,866. |
| 67,822. | | 0. | 67,822. |
| Total | | 0. | 76,688. |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
**Columns (i) thru (l)**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h)) |
|---|---|---|---|
| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | |
| 0. | 0. | 0. | 8,866. |
| 0. | 0. | 0. | 67,822. |
| Total | 0. | 0. | 76,688. |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part I**

Line 6a Net gain or (loss) from sale of assets not on line 10 per books

| Asset | Book-Carrying Value | Sale Price | Book Profit/Loss |
|---|---|---|---|
| See following U S. Bank schedule | $ 2,494,834 | $ 2,911,893 | $ 417,059 |
| Aberdeen Funds Emerging Markets | | | 7,914 |
| Loomis Sayles Global Bond | | | 1,812 |
| Nuveen High Income Bond Fd | | | 1,624 |
| Nuveen Real Estate Securit Cl I | | | 20,032 |
| Driehaus Select Credit Fund | | | 1,062 |
| Neuberger Berman Genesis Instl Fd | | | 20,060 |
| Scout International Fund | | | 16,993 |
| T Rowe Price Intl Oil & Gas | | | 7,191 |
| | | | $ 493,747 |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part I**

| | | | |
|---|---|---|---|
| Line 13 | Compensation of Officers, Directors, and Trustees | | |
| | Harry Langenberg | | 250 |
| | William Hobson | | 250 |
| | Thomas Venker | | 250 |
| | | $ | 750 |
| Line 16a | Legal fees to Summers Compton Wells LLC | | 9,175 |
| | | $ | 9,175 |
| Line 16 b | U S. Bank, accounting fees | $ | 100 |
| Line 16c | U S Bank Investment Management Services | $ | 78,299 |
| Line 18 | Taxes | | |
| | a Column (a) | | |
| | Excise Tax Based on Investment Income | | 11,873 |
| | Foreign Tax | | 4,138 |
| | | $ | 16,011 |
| | b. Column (b) | | |
| | Foreign Tax | | 4,138 |
| | | $ | 4,138 |
| | c Column (d) | | |
| | Foreign Tax | | 4,138 |
| | | $ | 4,138 |
| Line 23 | Other expenses | | |
| | Annual Report Fee | | 10 |
| | Fee on payment of foreign dividends | | 933 |
| | | $ | 943 |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part II**

| | Beginning of year (a) Book Value | End of Year (b) Book Value | End of Year (c) Fair Market Value |
|---|---|---|---|
| Line 10a - Investments-US and state government obligations | $0 | $0 | $0 |
| Line 10b - Investments-corporate stock | | | |
| Abbvie Inc | 41,089 | 41,089 | 111,248 |
| Accenture PLC Cl A | 64,137 | 0 | 0 |
| Aegon NV | 0 | 11,601 | 9,975 |
| Aia Group Ltd Adr | 11,258 | 13,121 | 19,536 |
| Air Liquide S A | 15,040 | 15,038 | 16,836 |
| Allianz SE | 22,726 | 22,584 | 27,904 |
| Amazon Com Inc | 64,813 | 0 | 0 |
| American Express Co | 64,526 | 64,526 | 130,256 |
| Amphenol Corp Cl A | 76,314 | 15,789 | 32,286 |
| Anheuser Busch Invbev Nv ADR | 13,095 | 12,969 | 21,004 |
| Apple Inc | 76,595 | 57,816 | 220,760 |
| Arm Holdings PLC | 39,178 | 7,256 | 11,992 |
| AT&T Inc | 38,070 | 38,070 | 50,385 |
| Atlas Copco AB ADR Cl B | 4,127 | 4,013 | 8,074 |
| Atlas Copco AB Spons ADR | 7,932 | 7,932 | 9,259 |
| Axa | 5,212 | 5,095 | 4,990 |
| BAE Systems PLC | 4,057 | 0 | 0 |
| BASF AG ADR | 13,870 | 4,687 | 5,671 |
| BG Group PLC | 11,209 | 16,672 | 11,129 |
| BNP Paribas SA | 4,859 | 4,770 | 4,701 |
| BT Group PLC ADR | 8,645 | 8,414 | 20,333 |
| Baidu Com Inc | 7,821 | 2,843 | 7,067 |
| Banco Bilboa Vizcaya Argen ADR | 8,428 | 8,428 | 8,038 |
| Barclays PLC ADR | 6,281 | 0 | 0 |
| Bayerische Motoren Werke Unspn ADR | 17,884 | 17,642 | 24,827 |
| Boc Hong Kong Hldgs Ltd ADR | 4,544 | 4,452 | 6,433 |
| Borg Warner Inc | 76,538 | 0 | 0 |
| British Amern Tob PLC | 10,131 | 6,229 | 9,812 |
| Brookfield Asset Management | 4,826 | 4,695 | 7,169 |
| Bunge Limited | 10,694 | 8,520 | 10,727 |
| Canadian Natl Ry Co | 7,737 | 7,737 | 13,644 |
| Canadian Pacific Railway Ltd | 4,267 | 2,207 | 5,781 |
| CBS Corp Cl B | 0 | 51,478 | 47,039 |
| Centurylink Inc | 0 | 50,632 | 50,465 |
| Chevron Corporation | 67,110 | 67,110 | 112,180 |
| China Construct | 4,956 | 0 | 0 |
| Citigroup Inc | 122,434 | 0 | 0 |
| Coca Cola Company | 94,757 | 94,757 | 118,216 |
| Cochlear Ltd | 7,220 | 0 | 0 |
| Copa Holdings Sa Cl A | 4,605 | 8,462 | 7,255 |
| Csl Limited | 5,626 | 5,626 | 11,135 |
| Daiwa Securities Group | 5,619 | 8,278 | 8,680 |
| Daussault Systemes Sa | 12,748 | 12,748 | 19,032 |
| DBS Group Holdings Ltd | 17,599 | 17,318 | 24,519 |
| Deutsche Bank Ag | 9,237 | 0 | 0 |
| Directv | 0 | 52,032 | 52,020 |
| Dover Corp | 57,116 | 47,709 | 71,720 |
| Dow Chemical Co | 0 | 77,355 | 68,415 |
| Exxon Mobil Corp | 86,734 | 0 | 0 |
| Facebook Inc | 0 | 64,260 | 74,119 |
| Fanuc Corporation | 17,946 | 17,946 | 16,656 |
| Fomento Economico Mex Sp ADR | 1,892 | 0 | 0 |
| Fresnius Med Care | 12,226 | 8,664 | 8,319 |
| Fuchs Petrolub SE | 0 | 7,495 | 6,301 |

The Margaret Blanke Grigg Foundation
EIN: 43-1699657
2014 Form 990-PF, Part II

| | Beginning of year (a) Book Value | End of Year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|
| General Electric Co | 83,300 | 0 | 0 |
| General Mills Corp | 57,270 | 57,270 | 95,994 |
| Gilead Sciences Inc | 0 | 140,711 | 127,251 |
| Goldman Sachs Group Inc | 41,712 | 41,712 | 53,303 |
| Google Inc Cl A | 82,052 | 41,092 | 79,599 |
| Google Inc Cl C | 0 | 40,960 | 78,960 |
| Grainger W W Inc | 107,154 | 0 | 0 |
| Gran Tierra Energy Inc | 5,668 | 5,579 | 2,895 |
| Hartford Financial Services Group | 0 | 30,170 | 31,268 |
| Hitachi Ltd | 9,942 | 9,889 | 11,706 |
| Home Retail Group | 0 | 5,770 | 5,431 |
| Honda Motor Co Ltd ADR | 6,636 | 6,433 | 5,609 |
| Hong Kong Exchanges | 6,241 | 6,241 | 8,559 |
| Hsbc Holdings PLC Spons ADR | 8,033 | 7,771 | 7,179 |
| Huaneng Power International | 0 | 11,374 | 13,434 |
| Hunt JB Trans Svcs Inc | 47,165 | 0 | 0 |
| ING Groep Nv | 11,277 | 16,975 | 16,433 |
| Iberdrola S | 0 | 5,320 | 5,112 |
| Icici Bank Ltd | 12,908 | 12,908 | 15,593 |
| Imperial Oil Ltd | 8,255 | 12,060 | 11,231 |
| Intesa Sanpaolo | 0 | 5,782 | 4,867 |
| Invesco Ltd | 0 | 35,913 | 44,460 |
| Itau Unibanco Holdings Sa | 16,330 | 10,215 | 9,992 |
| Jgc Corp | 12,542 | 12,542 | 8,213 |
| J P Morgan Chase Co | 117,161 | 117,161 | 187,740 |
| Jarden Corp | 0 | 51,608 | 55,637 |
| Keppel Corp Ltd Spons ADR | 4,858 | 0 | 0 |
| Lafarge SA | 5,145 | 4,880 | 5,459 |
| Linde Ag | 0 | 6,036 | 5,813 |
| Lonza Group AG | 5,239 | 4,994 | 7,182 |
| Loreal Co | 10,037 | 10,037 | 14,032 |
| Lukoil Spon ADR | 8,362 | 0 | 0 |
| Lvmh Moet Hennessy Lou Vuitt ADR | 6,349 | 6,349 | 6,233 |
| Magna Intl Inc | 4,899 | 13,992 | 20,760 |
| Mastercard Inc | 104,228 | 0 | 0 |
| McDonald's Corp | 50,846 | 0 | 0 |
| McKesson Corp | 0 | 138,804 | 150,496 |
| Merck & Co , Inc | 90,150 | 90,150 | 141,975 |
| Mitsubishi Estate Ltd | 8,581 | 8,581 | 6,074 |
| Monotaro Co Ltd | 2,743 | 2,743 | 2,367 |
| Morgan Stanley | 0 | 19,863 | 25,220 |
| Mtn Group Ltd | 5,664 | 10,244 | 9,341 |
| National Grid PLC | 3,954 | 3,855 | 5,511 |
| Nestle SA Sponsored ADR | 32,469 | 32,035 | 41,582 |
| Nike Inc | 55,328 | 55,328 | 192,300 |
| Nissan Motor Ltd | 8,805 | 8,708 | 8,001 |
| Nokian Tyres Oyl Unspon ADR | 7,916 | 0 | 0 |
| Novartis | 5,833 | 5,670 | 9,637 |
| Novo Nordisk AS ADR | 15,181 | 19,488 | 24,884 |
| Nxp Semiconductors | 0 | 8,396 | 9,550 |
| Oao Gazprom | 7,234 | 0 | 0 |
| Orange | 0 | 11,331 | 12,927 |
| Orix Corp | 8,423 | 8,078 | 10,880 |
| PNC Financial Services Group Inc | 0 | 62,415 | 66,142 |
| P P G Inds Inc | 88,266 | 88,266 | 231,150 |
| Panasonic Corp | 0 | 11,316 | 10,852 |
| Petroleo Brasileiro | 5,954 | 0 | 0 |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part II**

| | Beginning of year | End of Year | |
|---|---|---|---|
| | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Pfizer, Inc | 49,647 | 49,647 | 93,450 |
| Philip Morris Intl | 72,564 | 72,564 | 162,900 |
| Precision Castparts Corp | 72,028 | 72,028 | 144,528 |
| Prudential Financial Inc | 104,454 | 104,454 | 162,828 |
| Qualcomm Inc | 60,881 | 0 | 0 |
| Rio Tinto PLC | 7,143 | 6,936 | 6,172 |
| Roche Hldg Ltd | 19,527 | 19,242 | 30,761 |
| Royal Dutch Shell PLC | 8,771 | 15,622 | 14,327 |
| Sands China Ltd | 0 | 16,661 | 11,376 |
| Sanofi Aventis | 7,602 | 12,848 | 14,048 |
| Sap AG | 20,025 | 17,226 | 19,781 |
| Sasol Ltd | 5,130 | 5,130 | 3,797 |
| Sperbank | 9,521 | 0 | 0 |
| Schlumberger Ltd | 67,883 | 67,883 | 97,111 |
| Schneider Elect Sa | 11,970 | 11,878 | 11,499 |
| Sekisui House Ltd | 5,638 | 5,526 | 5,193 |
| Seven & I Hldgs Unspn | 9,832 | 9,694 | 10,313 |
| Shire PLC | 8,945 | 17,179 | 26,993 |
| Siemens AG | 3,645 | 3,549 | 4,144 |
| Sonova Holding | 3,981 | 4,062 | 7,046 |
| Sumitomo Mitsui Finl Group | 10,434 | 0 | 0 |
| Suncor Energy Inc | 4,084 | 9,723 | 8,803 |
| Svenska Handelsbanken A | 4,271 | 4,271 | 6,061 |
| Swatch Group AG | 6,275 | 6,275 | 5,553 |
| Swedbank AB | 4,493 | 4,293 | 5,903 |
| Symrise | 0 | 6,068 | 6,700 |
| Sysmex Corp | 7,372 | 7,372 | 16,226 |
| Taiwan Semiconductor | 12,705 | 16,265 | 24,484 |
| Techtronic Industries Sp | 4,979 | 9,012 | 13,476 |
| Tesco Plc | 7,291 | 0 | 0 |
| Total SA | 13,604 | 13,263 | 12,032 |
| Transocean Ltd | 4,155 | 0 | 0 |
| Turkiye Garanti Bankasi | 10,269 | 10,269 | 9,060 |
| Unicharm Corporation | 10,267 | 10,267 | 16,146 |
| Unilever PLC | 4,796 | 4,796 | 6,072 |
| United Technologies Corp | 74,460 | 74,460 | 138,000 |
| Vale Sa | 10,461 | 0 | 0 |
| Valeosa | 9,183 | 8,742 | 20,870 |
| Visa Inc | 91,798 | 0 | 0 |
| Vodafone Group PLC | 10,183 | 0 | 0 |
| Wells Fargo Co | 79,250 | 79,250 | 137,050 |
| Western Digital Corp | 0 | 26,942 | 30,443 |
| Wpp Plc | 10,314 | 10,314 | 18,634 |
| Xerox Corp | 0 | 19,787 | 22,869 |
| Xinyl Glass Holdings | 2,018 | 2,018 | 1,763 |
| Total Stock | $3,395,687 | $3,158,596 | $4,731,154 |
| Line 10c - Investments-corporate bonds | | | |
| Berkshire Hathaway 2 00 8/15/2018 | $200,940 | $200,710 | $202,258 |
| Shell International Fin 4 000 03/21/2014 | 256,530 | 0 | 0 |
| Bellsouth Corp 5 200 09/15/2014 | 204,534 | 0 | 0 |
| Praxair Inc 4 625 03/30/2015 | 253,993 | 253,240 | 252,525 |
| United Technologies Corp 4 875 05/01/2015 | 260,973 | 258,984 | 253,500 |
| Oracle Corp Ozark Hldg Inc 5 250 1/15/16 | 258,438 | 257,080 | 261,978 |

The Margaret Blanke Grigg Foundation
EIN: 43-1699657
2014 Form 990-PF, Part II

| | Beginning of year | End of Year | |
|---|---|---|---|
| | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Wyeth 5 500 02/15/2016 | 258,310 | 256,986 | 263,203 |
| Total Corporate Bonds | $1,693,718 | $1,227,000 | $1,233,464 |
| Line 13 - Investments-other | | | |
| Fixed Income Funds | | | |
| Driehaus Active Income Fund | $0 | $108,000 | $104,587 |
| Driehaus Select Credit Fund | 280,000 | 280,000 | $256,456 |
| Goldman Sachs Comm Strat Ins | 110,000 | 0 | $0 |
| Ishares Tr Iboxx H Y Corp Bd ETF HYG | 177,431 | 177,431 | 179,200 |
| Loomis Sayles Glbl Bond Fund Instl | 0 | 200,000 | 188,313 |
| Mainstay Floating Rate I Fund | 0 | 200,000 | 194,555 |
| Nuveen high Income bond Fd I | 0 | 200,000 | 181,976 |
| Oppenheimer Sr Float Rate Y | 200,000 | 200,000 | 194,005 |
| Total Fixed Income Funds | $767,431 | $1,365,431 | $1,299,092 |
| Equity Funds | | | |
| Aberdeen Funds Emerging Mkts Instl | $0 | $261,110 | $241,921 |
| Causeway Emerging Markets Instl | 0 | 261,110 | $250,113 |
| Ell Intl Property I | 200,000 | 200,000 | 150,624 |
| Inv Balance Risk Comm Str Y | 0 | 105,000 | 96,476 |
| Ishares Msci Emerging Mkts ETF | 521,450 | 0 | 0 |
| Neuberger Berman Genesis Instl Fd | 188,720 | 188,720 | 231,279 |
| Nuveen Real Estate Securit Cl I | 400,000 | 398,665 | 464,382 |
| Scout International Fund | 200,000 | 200,000 | 215,116 |
| Spdr S P Emerging Small Cap | 0 | 11,887 | 10,597 |
| T Rowe Price International Gr & Inc Adv Fund | 161,767 | 168,958 | 209,433 |
| Wisdomtree EUR S/C Dividend Fd | 11,254 | 10,975 | 10,654 |
| Widomtree Japan Hedged | 15,166 | 0 | 0 |
| Total Funds | $1,698,357 | $1,806,425 | $1,880,595 |
| Total Investments-other | $2,465,788 | $3,171,856 | $3,179,687 |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part IV**

Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold | (b) How acquired | (c) Date Acquired | (d) Date Sold | (e) Gross Sales Price | (f) Depreciation | (g) Cost or other basis | (h) Gain or Loss Long Term | (h) Gain or Loss Short Term |
|---|---|---|---|---|---|---|---|---|---|
| 1a | See U S Bank Schedule | Purchase | Various | Various | 494,965 | | 498,282 | | (3,317) |
| b | See U S Bank Schedule | Purchase | Various | Various | 857,759 | | 699,518 | 158,241 | |
| c | See U S Bank Schedule | Purchase | Various | Various | 1,409 | | 814 | | 595 |
| d | See U S Bank Schedule | Purchase | Various | Various | 1,557,760 | | 1,296,220 | 261,540 | |
| g | Capital Gain Distributions | | | | 76,688 | | | 67,822 | 8,866 |
| | Total Gains (Losses) from Sales | | | | | | | $ 419,781 | $ (2,722) |
| | Total Gains (Losses) | | | | | | | $ 487,603 | $ 6,144 |
| 2 | Capital gain net income or (net capital loss) | | | | | | | | $ 493,747 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | | | | | | | | $6,144 |
| | Total Sales Price | | | | $2,988,581 | | | | |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part VIII**

Information About Officers, Directors, Trustees, Etc

1 List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and Address | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account other allowances |
|---|---|---|---|---|
| William H Hobson<br>8909 Ladue Road<br>St Louis, MO 63124 | President<br>Member: Board of Directors<br>20-30 hours per year | $250 | $0 | $0 |
| Charles R Grigg<br>P O Box 807<br>Haverhill, MA 01831 | Vice-President<br>Member Board of Directors<br>5-10 hours per year | $0 | $0 | $0 |
| Kaatri Grigg<br>2500 Steiner St , Apt 4<br>San Francisco, CA 94115 | Vice-President<br>Member Board of Directors<br>5-10 hours per year | $0 | $0 | $0 |
| Harry H Langenberg II<br>7777 Bonhomme<br>Suite 2400<br>Clayton, MO 63105 | Vice-President<br>Member. Board of Directors<br>5-10 hours per year | $250 | $0 | $0 |
| Thomas E Venker, Jr<br>190 Carondelet Plaza<br>Suite 600<br>St Louis, MO 63105 | Secretary<br>Treasurer<br>Member Board of Directors<br>15-20 hours per year | $250 | $0 | $0 |

**The Margaret Blanke Grigg Foundation**
**E.I.N. 43-1699657**
**2014 Form 990-PF, Part X**
**Average Month End Asset Values, Lines 1a and 1b**

| | U S Bank Brokerage Cash Balance | U S Bank Checking Balance | Est Tax Payment | Adjusted Cash Balance | US Bank Brokerage Total Account | U S Bank Checking Account | Est Tax Payment | Adjusted Total Account | Market Value of Securities |
|---|---|---|---|---|---|---|---|---|---|
| January | $311,406 | $240 | $3,736 | $315,382 | 9,502,887 | 240 | $3,736 | $9,506,863 | $9,191,481 |
| February | 472,584 | 240 | 3,736 | 476,560 | 9,786,900 | 240 | 3,736 | 9,790,876 | 9,314,316 |
| March | 732,314 | 240 | 3,736 | 736,290 | 9,813,111 | 240 | 3,736 | 9,817,087 | 9,080,797 |
| April | 117,388 | 240 | 3,736 | 121,364 | 9,870,351 | 240 | 3,736 | 9,874,327 | 9,752,963 |
| May | 108,048 | 240 | 11,900 | 120,188 | 9,989,672 | 240 | 11,900 | 10,001,812 | 9,881,624 |
| June | 128,215 | 240 | 11,900 | 140,355 | 10,115,004 | 240 | 11,900 | 10,127,144 | 9,986,789 |
| July | 515,923 | 240 | 11,900 | 528,063 | 9,961,876 | 240 | 11,900 | 9,974,016 | 9,445,953 |
| August | 527,665 | 240 | 11,900 | 539,805 | 10,133,701 | 240 | 11,900 | 10,145,841 | 9,606,036 |
| September | 346,253 | 240 | 11,900 | 358,393 | 9,897,678 | 240 | 11,900 | 9,909,818 | 9,551,425 |
| October | 434,262 | 240 | 11,900 | 446,402 | 10,011,269 | 240 | 11,900 | 10,023,409 | 9,577,007 |
| November | 664,899 | 240 | 11,900 | 677,039 | 10,090,083 | 240 | 11,900 | 10,102,223 | 9,425,184 |
| December | 232,014 | 240 | 11,900 | 244,154 | 9,376,312 | 240 | 11,900 | 9,388,452 | 9,144,298 |
| Total | $4,590,971 | $2,880 | $110,144 | $4,703,995 | $118,548,844 | $2,880 | $110,144 | $118,661,868 | $113,957,873 |
| Months | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 |
| Ave. Monthly Bal | $382,581 | $240 | $9,179 | $392,000 | $9,879,070 | $240 | $9,179 | $9,888,489 | $9,496,489 |

The Margaret Blanke Grigg Foundation
EIN: 43-1699657
2014 Form 990-PF, Part XV, Line 3a
Contributions



| Name and Address | Purpose | Amount |
|---|---|---|
| Artist Guild of St Louis<br>2 Oak Knoll Park<br>St Louis, MO 63105 | Operational Support | $1,500 |
| Bethesda Health Home Foundation<br>1630 Des Peres Road, Suite 290<br>St. Louis, MO 63131 | Operational Support | 25,000 |
| Character Plus<br>1460 Craig Road<br>St Louis, Missouri 63146 | Operational Support | 15,000 |
| Community Music School of Webster<br>470 East Lockwood Avenue<br>St. Louis, MO 63119 | Operational Support | 3,500 |
| Eugene Field House<br>634 South Broadway<br>St. Louis, MO 63102 | Operational Support | 2,500 |
| Forest Park Forever<br>5595 Grand Drive<br>St Louis, MO 63112 | Operational Support | 3,000 |
| Foundation Fighting Blindness<br>1580 S Milwaukee Ave , Suite 606<br>Libertyville, IL 60048 | Operational Support | 15,000 |
| Grand Center<br>634 North Grand, Suite 10A<br>St Louis, MO 63103 | Operational Support | 5,000 |
| Missouri Botanical Garden<br>P O. Box 299<br>St Louis, MO 63166 | Operational Support | 50,000 |
| Missouri Historical Society<br>P O. Box 11940<br>St. Louis, MO 63112 | Operational Support | 50,000 |
| Oasis Institute<br>7710 Carondelet Ave , Suite 125<br>St Louis, MO 63105 | Operational Support | 2,500 |
| Opera Theatre of St Louis<br>P O Box 191910<br>Webster Groves, MO 63119 | Operational Support | 50,000 |

**The Margaret Blanke Grigg Foundation**
**EIN: 43-1699657**
**2014 Form 990-PF, Part XV, Line 3a**
**Contributions**

| Name and Address | Purpose | Amount |
|---|---|---|
| Sheldon Art Foundation<br>3658 Washington Blvd<br>St Louis, MO 63108 | Operational Support | 15,000 |
| St Andrews Society for Seniors<br>6633 Delmar Blvd.<br>St. Louis, MO 63130 | Operational Support | 15,000 |
| St Louis Area Food Bank<br>70 Corporate Woods Drive<br>Bridgeton, MO 63044 | Operational Support | 5,000 |
| St Louis Art Museum<br>1 Fine Arts Drive<br>St. Louis, MO 63110 | Operational Support | 50,000 |
| St Louis Science Center<br>5050 Oakland<br>St Louis, MO 63110 | Operational Support | 40,000 |
| St. Louis Symphony Foundation<br>718 North Grand Avenue<br>St Louis, MO 63103 | Operational support | 200,000 |
| Shakespeare Festival of St. Louis<br>5715 Elizabeth Ave<br>St. Louis, MO 63110 | Operational Support | 2,500 |
| Washington University<br>1 Brookings Drive<br>St Louis, MO 63130 | Operational Support | 20,000 |
| Total Contributions | | $570,500 |

# 2014 Tax Information Statement



**Payer's Name and Address:**
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

| | |
|---|---|
| **Account Number:** | 274890L |
| **Recipient's Tax ID Number:** | XX-XXX9657 |
| **Payer's Federal ID Number:** | 43-6300400 |
| **Payer's State ID Number:** | |
| **State:** | MO |
| **Questions?** | (314)418-2649 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
**Covered (Box 5 is not checked)**

| Description of property (Box 1a)<br>Cusip Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code if any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | | | |
| 51.0 | BAIDU COM INC A D R | | | | | | | | | |
| 056752108 | 01/14/2014 | 06/12/2013 | A | 8,801.02 | 4,977.42 | | 0.00 | 3,823.60 | 0.00 | 0.00 |
| 1750.0 | CITIGROUP INC | | | | | | | | | |
| 172967424 | 02/05/2014 | 10/02/2013 | A | 82,335.71 | 85,703.80 | | 0.00 | -3,368.09 | 0.00 | 0.00 |
| 650.0 | HUNT J B TRANS SVCS INC | | | | | | | | | |
| 445658107 | 02/05/2014 | 06/14/2013 | A | 47,639.76 | 47,164.51 | | 0.00 | 475.25 | 0 00 | 0.00 |
| 700 0 | ARM HLDGS PLC A D R | | | | | | | | | |
| 042068106 | 02/25/2014 | 11/14/2013 | A | 33,826.63 | 31,921.97 | | 0.00 | 1,904.66 | 0.00 | 0.00 |
| 750.0 | CITIGROUP INC | | | | | | | | | |
| 172967424 | 02/25/2014 | 10/02/2013 | A | 36,606.11 | 36,730.20 | | 0.00 | -124.09 | 0.00 | 0.00 |
| 0.3594 | VERIZON COMMUNICATIONS | | | | | | | | | |
| 92343V104 | 03/13/2014 | 02/24/2014 | A | 16.64 | 17.29 | | 0.00 | -0.65 | 0.00 | 0.00 |
| 103.0 | VERIZON COMMUNICATIONS | | | | | | | | | |
| 92343V104 | 03/25/2014 | 02/24/2014 | A | 4,822.38 | 4,955.85 | | 0.00 | -133.47 | 0.00 | 0.00 |
| 235.0 | AMAZON COM INC COM | | | | | | | | | |
| 023135106 | 04/03/2014 | 06/14/2013 | A | 78,091.21 | 64,813.00 | | 0.00 | 13,278.21 | 0.00 | 0.00 |

**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.**

# 2014 Tax Information Statement



**Payer's Name and Address:**
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

| | |
|---|---|
| **Account Number:** | 274890L |
| **Recipient's Tax ID Number:** | XX-XXX9657 |
| **Payer's Federal ID Number:** | 43-6300400 |
| **Payer's State ID Number:** | |
| **State:** | MO |
| **Questions?** | (314)418-2649 |

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
**Covered (Box 5 is not checked)**

| Description of property (Box 1a) / Cusip Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code if any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| 925.0 SALESFORCE COM INC | | | | | | | | | | |
| 79466L302 | 04/30/2014 | 02/25/2014 | A | 46,562.93 | 58,955.52 | | 0.00 | -12,392.59 | 0.00 | 0.00 |
| 551.0 DAIWA SECURITIES GR SPON A D R | | | | | | | | | | |
| 234064301 | 06/13/2014 | 11/25/2013 | A | 4,551.60 | 5,618.60 | | 0.00 | -1,067.00 | 0.00 | 0.00 |
| 109.0 WISDOMTREE JAPAN HEDGED | | | | | | | | | | |
| 97717W851 | 06/20/2014 | 08/09/2013 | A | 5,497.07 | 4,967.12 | | 0.00 | 529.95 | 0.00 | 0.00 |
| 397.0 VALE SA SP A D R | | | | | | | | | | |
| 91912E204 | 07/17/2014 | Various | A | 4,982.24 | 5,803.33 | | 0.00 | -821.09 | 0.00 | 0.00 |
| 28.0 AEGON N V NY REG SHR | | | | | | | | | | |
| 007924103 | 09/02/2014 | 03/10/2014 | A | 223.99 | 248.63 | | 0.00 | -24.64 | 0.00 | 0.00 |
| 8.0 CEMIG SA A D R | | | | | | | | | | |
| 204409601 | 09/02/2014 | 08/01/2014 | A | 67.20 | 66.74 | | 0.00 | 0.46 | 0.00 | 0.00 |
| 12.0 GRAN TIERRA ENERGY INC | | | | | | | | | | |
| 38500T101 | 09/02/2014 | 11/18/2013 | A | 78.60 | 89.03 | | 0.00 | -10.43 | 0.00 | 0.00 |
| 1.0 HUANENG PWR INTL INC SPON ADR SER N | | | | | | | | | | |
| 443304100 | 09/02/2014 | 07/02/2014 | A | 47.92 | 47.07 | | 0.00 | 0.85 | 0.00 | 0.00 |

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# 2014 Tax Information Statement

**Payer's Name and Address:**
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

**Account Number:** 274890L
**Recipient's Tax ID Number:** XX-XXX9657
**Payer's Federal ID Number:** 43-6300400
**Payer's State ID Number:**
**State:** MO
**Questions?** (314)418-2649

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
**Covered (Box 5 is not checked)**

| Cusip Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code if any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| 31.0 | I N G GROEP NV SPONSORED ADR | | | | | | | | | |
| 456837103 | 09/02/2014 | 03/10/2014 | A | 426.86 | 439.41 | | 0.00 | -12.55 | 0.00 | 0 00 |
| 2.0 | INTESA SANPAOLO A D R | | | | | | | | | |
| 46115H107 | 09/02/2014 | 05/06/2014 | A | 35.34 | 41.15 | | 0.00 | -5.81 | 0.00 | 0.00 |
| 12.0 | NOMURA HOLDINGS INC A D R | | | | | | | | | |
| 65535H208 | 09/02/2014 | 06/13/2014 | A | 78.12 | 83.64 | | 0.00 | -5.52 | 0.00 | 0.00 |
| 7.0 | ORANGE SPON A D R | | | | | | | | | |
| 684060106 | 09/02/2014 | 07/17/2014 | A | 101.78 | 108.33 | | 0.00 | -6.55 | 0.00 | 0.00 |
| 4.0 | RIO TINTO PLC A D R | | | | | | | | | |
| 767204100 | 09/02/2014 | 12/13/2013 | A | 213.87 | 207.04 | | 0.00 | 6.83 | 0.00 | 0.00 |
| 1.0 | ROYAL DUTCH SHELL PLC A D R | | | | | | | | | |
| 780259206 | 09/02/2014 | 08/01/2014 | A | 80.23 | 81.56 | | 0.00 | -1.33 | 0.00 | 0.00 |
| 2.0 | SPDR S P EMERGING SMALL CAP | | | | | | | | | |
| 78463X756 | 09/02/2014 | 06/20/2014 | A | 101.88 | 99.47 | | 0.00 | 2.41 | 0.00 | 0.00 |
| 8.0 | SEKISUI HOUSE LTD A D R | | | | | | | | | |
| 816078307 | 09/02/2014 | 11/05/2013 | A | 100.48 | 112.20 | | 0.00 | -11.72 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



**Payer's Name and Address:**
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

**Account Number:** 274890L
**Recipient's Tax ID Number:** XX-XXX9657
**Payer's Federal ID Number:** 43-6300400
**Payer's State ID Number:**
**State:** MO
**Questions?** (314)418-2649

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
**Covered (Box 5 is not checked)**

| Description of property (Box 1a)<br>Cusip Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code If Any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| 3.0 | SUNCOR ENERGY INC | | | | | | | | | |
| 867224107 | 09/02/2014 | 06/13/2014 | A | 119.64 | 128.16 | | 0.00 | -8.52 | 0.00 | 0.00 |
| 5.0 | WISDOMTREE EUR S/C DIVIDEND FUND | | | | | | | | | |
| 97717W869 | 09/02/2014 | 12/20/2013 | A | 280.39 | 278.40 | | 0.00 | 1.99 | 0.00 | 0.00 |
| 1.0 | COPA HOLDINGS SA CL A | | | | | | | | | |
| P31076105 | 09/02/2014 | 07/17/2014 | A | 123.62 | 153.00 | | 0.00 | -29.38 | 0.00 | 0.00 |
| 1500.0 | BORG-WARNER AUTOMOTIVE INC COM | | | | | | | | | |
| 099724106 | 09/30/2014 | 11/14/2013 | A | 77,942.77 | 76,537.50 | | 0.00 | 1,405.27 | 0.00 | 0.00 |
| 400.0 | BOEING CO | | | | | | | | | |
| 097023105 | 10/23/2014 | 07/23/2014 | A | 48,679.69 | 50,652.00 | | 0.00 | -1,972.31 | 0.00 | 0.00 |
| 847.0 | NOMURA HOLDINGS INC A D R | | | | | | | | | |
| 65535H208 | 10/27/2014 | 06/13/2014 | A | 4,662.72 | 5,903.50 | | 0.00 | -1,240.78 | 0.00 | 0.00 |
| 31.0 | ENN ENERGY HOLDINGS LTD A D R | | | | | | | | | |
| 26876F102 | 11/20/2014 | Various | A | 4,569.34 | 5,490.13 | | 0.00 | -920.79 | 0.00 | 0.00 |
| 0.5 | JARDEN CORP | | | | | | | | | |
| 471109108 | 12/04/2014 | 11/24/2014 | A | 22.29 | 22.21 | | 0.00 | 0.08 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



**Payer's Name and Address:**
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PO BOX 0634
MILWAUKEE, WI 53201-0634

| | |
|---|---|
| **Account Number:** | **274890L** |
| **Recipient's Tax ID Number:** | **XX-XXX9657** |
| **Payer's Federal ID Number:** | **43-6300400** |
| **Payer's State ID Number:** | |
| **State:** | **MO** |
| **Questions?** | **(314)418-2649** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

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|---|---|---|---|---|---|---|---|---|---|---|
| 703.0 CEMIG SA A D R | | | | | | | | | | |
| 204409601 | 12/17/2014 | 08/01/2014 | A | 3,275.07 | 5,864.56 | | 0.00 | -2,589.49 | 0.00 | 0.00 |
| **Total Short Term Sales Reported on 1099-B** | | | | **494,965.10** | **498,282.34** | | **0.00** | **-3,317.24** | **0.00** | **0.00** |

**Report on Form 8949, Part I, with Box A checked**

**Long Term Sales Reported on 1099-B**

| Description of property (Box 1a) / Cusip Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code if any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| 263.0 PETROLEO BRASILEIRO S A A D R | | | | | | | | | | |
| 71654V408 | 01/14/2014 | 10/04/2012 | D | 3,263.61 | 5,953.98 | | 0.00 | -2,690.37 | 0.00 | 0.00 |
| 1000.0 QUALCOMM INC | | | | | | | | | | |
| 747525103 | 01/14/2014 | Various | D | 73,208.72 | 60,880.83 | | 0.00 | 12,327.89 | 0.00 | 0.00 |
| 600.0 W W GRAINGER INC COM W/RIGHTS ATTACHED EXP 5/15/2009 | | | | | | | | | | |
| 384802104 | 01/24/2014 | 11/04/2011 | D | 149,145.47 | 107,154.00 | | 0.00 | 41,991 47 | 0.00 | 0.00 |
| 29.0 COPA HOLDINGS SA CL A | | | | | | | | | | |
| P31076105 | 03/03/2014 | 03/26/2012 | D | 3,873.84 | 2,263.66 | | 0.00 | 1,610.18 | 0.00 | 0.00 |
| 593.0 OAO GAZPROM SPON A D R | | | | | | | | | | |
| 368287207 | 03/06/2014 | Various | D | 4,011.51 | 7,233.84 | | 0.00 | -3,222.33 | 0.00 | 0.00 |
| 291.0 CHINA CONSTRUCT UNSPON A D R | | | | | | | | | | |
| 168919108 | 03/10/2014 | 02/15/2013 | D | 3,809.15 | 4,956.20 | | 0.00 | -1,147.05 | 0.00 | 0.00 |

# 2014 Tax Information Statement

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| **Payer's Federal ID Number:** | 43-6300400 |
| **Payer's State ID Number:** | |
| **State:** | MO |
| **Questions?** | (314)418-2649 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
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C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

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|---|---|---|---|---|---|---|---|---|---|---|
| 0.3634 VODAFONE GROUP PLC A D R | | | | | | | | | | |
| 92857W308 | 03/13/2014 | 03/11/2013 | D | 13.81 | 18.48 | | 0.00 | -4.67 | 0.00 | 0.00 |
| 361.0 NOKIAN TYRES OYJ UNSPON A D R | | | | | | | | | | |
| 65528V107 | 03/17/2014 | Various | D | 7,065.33 | 7,915.52 | | 0.00 | -850.19 | 0.00 | 0.00 |
| 688.0 SBERBANK SPONSORED A D R | | | | | | | | | | |
| 80585Y308 | 05/06/2014 | Various | D | 5,896.03 | 9,520.96 | | 0.00 | -3,624.93 | 0.00 | 0.00 |
| 73.0 SAP SE SPONSORED A D R | | | | | | | | | | |
| 803054204 | 05/15/2014 | Various | D | 5,514.85 | 4,394.76 | | 0.00 | 1,120.09 | 0.00 | 0.00 |
| 234.0 B A E SYSTEMS P L C A D R | | | | | | | | | | |
| 05523R107 | 05/19/2014 | 12/12/2011 | D | 6,465.28 | 4,057.11 | | 0.00 | 2,408.17 | 0.00 | 0.00 |
| 92.0 FRESENIUS MED AKTIENGESELLSCHAFT ADR | | | | | | | | | | |
| 358029106 | 05/19/2014 | 07/19/2011 | D | 2,967.61 | 3,561.32 | | 0.00 | -593.71 | 0.00 | 0.00 |
| 102.0 TRANSOCEAN LTD | | | | | | | | | | |
| H8817H100 | 05/19/2014 | 01/11/2012 | D | 4,230.20 | 4,155.47 | | 0.00 | 74.73 | 0.00 | 0.00 |
| 95.0911 VODAFONE GROUP PLC A D R | | | | | | | | | | |
| 92857W308 | 06/13/2014 | 03/11/2013 | D | 3,120.83 | 4,836.02 | | 0.00 | -1,715.19 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



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PO BOX 0634
MILWAUKEE, WI 53201-0634

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| **State:** | **MO** |
| **Questions?** | **(314)418-2649** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

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Description of property (Box 1a)

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|---|---|---|---|---|---|---|---|---|---|---|
| 211.0 | WISDOMTREE JAPAN HEDGED | | | | | | | | | |
| 97717W851 | 06/20/2014 | Various | D | 10,641.13 | 10,198.85 | | 0.00 | 442.28 | 0.00 | 0.00 |
| 0.5 | ITAU UNIBANCO HOLDINGS SA A D R | | | | | | | | | |
| 465562106 | 07/01/2014 | 07/19/2011 | D | 7.24 | 8.50 | | 0.00 | -1.26 | 0.00 | 0.00 |
| 29.0 | BUNGE LIMITED | | | | | | | | | |
| G16962105 | 07/14/2014 | 02/15/2013 | D | 2,147.99 | 2,173.55 | | 0.00 | -25.56 | 0.00 | 0.00 |
| 500.0 | KNOWLES CORP | | | | | | | | | |
| 49926D109 | 07/22/2014 | 08/15/2012 | D | 14,782.48 | 9,407.07 | | 0.00 | 5,375.41 | 0.00 | 0.00 |
| 2500.0 | MASTERCARD INC | | | | | | | | | |
| 57636Q104 | 07/23/2014 | Various | D | 193,646.21 | 104,228.48 | | 0.00 | 89,417.73 | 0.00 | 0.00 |
| 0.1 | AIR LIQUIDE S A A D R | | | | | | | | | |
| 009126202 | 07/24/2014 | 07/19/2011 | D | 2.66 | 2.20 | | 0.00 | 0.46 | 0.00 | 0.00 |
| 500.0 | VISA INC | | | | | | | | | |
| 92826C839 | 07/25/2014 | 06/28/2013 | D | 106,227.64 | 91,798.15 | | 0.00 | 14,429.49 | 0.00 | 0.00 |
| 28.0 | CANADIAN PACIFIC RAILWAY LTD | | | | | | | | | |
| 13645T100 | 08/01/2014 | 05/11/2012 | D | 5,222.37 | 2,059.77 | | 0.00 | 3,162.60 | 0.00 | 0.00 |

# 2014 Tax Information Statement

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PO BOX 0634
MILWAUKEE, WI 53201-0634

**Account Number:** 274890L
**Recipient's Tax ID Number:** XX-XXX9657
**Payer's Federal ID Number:** 43-6300400
**Payer's State ID Number:**
**State:** MO
**Questions?** (314)418-2649

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

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|---|---|---|---|---|---|---|---|---|---|---|
| 375.0 TESCO PLC A D R | | | | | | | | | | |
| 881575302 | 08/07/2014 | Various | D | 4,618.03 | 7,290.80 | | 0.00 | -2,672.77 | 0.00 | 0.00 |
| 10.0 ALLIANZ SE A D R | | | | | | | | | | |
| 018805101 | 09/02/2014 | 02/25/2011 | D | 171.20 | 142.14 | | 0.00 | 29.06 | 0.00 | 0.00 |
| 5.0 AXA A D R | | | | | | | | | | |
| 054536107 | 09/02/2014 | 08/09/2013 | D | 123.45 | 116.86 | | 0.00 | 6.59 | 0.00 | 0.00 |
| 5.0 B A S F A G A D R | | | | | | | | | | |
| 055262505 | 09/02/2014 | 09/14/2012 | D | 511.84 | 435.55 | | 0.00 | 76.29 | 0.00 | 0.00 |
| 3.0 B N P PARIBAS S A A D R | | | | | | | | | | |
| 05565A202 | 09/02/2014 | 01/15/2013 | D | 101.85 | 89.43 | | 0.00 | 12.42 | 0.00 | 0.00 |
| 4.0 BROOKFIELD ASSET MANAGE CL A | | | | | | | | | | |
| 112585104 | 09/02/2014 | 06/01/2011 | D | 191.24 | 131.33 | | 0.00 | 59.91 | 0.00 | 0.00 |
| 1.0 HITACHI LTD ADR 10 COM | | | | | | | | | | |
| 433578507 | 09/02/2014 | 03/24/2011 | D | 76.89 | 53.50 | | 0.00 | 23.39 | 0.00 | 0.00 |
| 17.0 LAFARGE S A A D R | | | | | | | | | | |
| 505861401 | 09/02/2014 | 04/26/2013 | D | 318.40 | 265.05 | | 0.00 | 53.35 | 0.00 | 0.00 |

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PO BOX 0634
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**State:** MO
**Questions?** (314)418-2649

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
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## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

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|---|---|---|---|---|---|---|---|---|---|---|
| 11.0 LONZA GROUP AG UNSPON A D R | | | | | | | | | | |
| 54338V101 | 09/02/2014 | 07/22/2013 | D | 126.83 | 88.11 | | 0.00 | 38.72 | 0.00 | 0.00 |
| 4.0 MAGNA INTL INC CL A | | | | | | | | | | |
| 559222401 | 09/02/2014 | 02/22/2013 | D | 450.87 | 208.47 | | 0.00 | 242.40 | 0.00 | 0.00 |
| 2.0 NATIONAL GRID PLC SP A D R | | | | | | | | | | |
| 636274300 | 09/02/2014 | 11/21/2011 | D | 148.60 | 98.85 | | 0.00 | 49.75 | 0.00 | 0.00 |
| 7.0 NESTLE SA SPONSORED A D R | | | | | | | | | | |
| 641069406 | 09/02/2014 | 08/20/2012 | D | 543.89 | 433.30 | | 0.00 | 110.59 | 0.00 | 0.00 |
| 10.0 NOVO-NORDISK A S ADR | | | | | | | | | | |
| 670100205 | 09/02/2014 | 05/04/2012 | D | 452.79 | 295.29 | | 0.00 | 157.50 | 0.00 | 0.00 |
| 5.0 ORIX CORP SPONS A D R | | | | | | | | | | |
| 686330101 | 09/02/2014 | 08/26/2013 | D | 383.24 | 345.29 | | 0.00 | 37.95 | 0.00 | 0.00 |
| 13.0 ROCHE HLDG LTD SPONSORED ADR | | | | | | | | | | |
| 771195104 | 09/02/2014 | 02/16/2012 | D | 473.97 | 285.18 | | 0.00 | 188.79 | 0.00 | 0.00 |
| 2.0 SAP SE SPONSORED A D R | | | | | | | | | | |
| 803054204 | 09/02/2014 | 07/13/2012 | D | 156.72 | 121.37 | | 0.00 | 35.35 | 0.00 | 0.00 |

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|---|---|---|---|---|---|---|---|---|---|---|
| 2.0 SEVEN & I HLDGS UNSPN A D R | | | | | | | | | | |
| 81783H105 | 09/02/2014 | 06/05/2013 | D | 161.00 | 137.89 | | 0.00 | 23.11 | 0.00 | 0.00 |
| 5.0 SHIRE PHARMACEUTICALS GR SPONSORED ADR | | | | | | | | | | |
| 82481R106 | 09/02/2014 | 05/12/2011 | D | 1,217.67 | 482.19 | | 0.00 | 735.48 | 0.00 | 0.00 |
| 29.0 SUMITOMO MITSUI FINL GROUP A D R | | | | | | | | | | |
| 86562M209 | 09/02/2014 | 05/15/2013 | D | 239.24 | 287.10 | | 0.00 | -47.86 | 0.00 | 0.00 |
| 11.0 SWEDBANK A B A D R | | | | | | | | | | |
| 870195104 | 09/02/2014 | 09/13/2012 | D | 275.76 | 199.26 | | 0.00 | 76.50 | 0.00 | 0.00 |
| 342.0 VALE SA SP A D R | | | | | | | | | | |
| 91912E204 | 09/02/2014 | 08/26/2013 | D | 3,912.39 | 4,657.22 | | 0.00 | -744.83 | 0.00 | 0.00 |
| 17.0 VALEOSA SPON ADR | | | | | | | | | | |
| 919134304 | 09/02/2014 | 02/03/2012 | D | 1,000.77 | 441.72 | | 0.00 | 559.05 | 0.00 | 0.00 |
| 7.0 DEUTSCHE BANK AG | | | | | | | | | | |
| D18190898 | 09/02/2014 | 03/11/2013 | D | 239.04 | 311.75 | | 0.00 | -72.71 | 0.00 | 0.00 |
| 55.0 COCHLEAR LTD UNSPON A D R | | | | | | | | | | |
| 191459205 | 09/18/2014 | 07/19/2011 | D | 1,660.06 | 2,101.00 | | 0.00 | -440.94 | 0.00 | 0.00 |

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|---|---|---|---|---|---|---|---|---|---|---|
| 134.0 | COCHLEAR LTD UNSPON A D R | | | | | | | | | |
| 191459205 | 09/19/2014 | 07/19/2011 | D | 3,974.10 | 5,118.80 | | 0.00 | -1,144.70 | 0.00 | 0.00 |
| 48.0 | B A S F A G A D R | | | | | | | | | |
| 055262505 | 09/29/2014 | 09/14/2012 | D | 4,402.84 | 4,181.28 | | 0.00 | 221.56 | 0.00 | 0.00 |
| 26.0 | SHIRE PHARMACEUTICALS GR SPONSORED ADR | | | | | | | | | |
| 82481R106 | 09/29/2014 | 05/12/2011 | D | 6,768.86 | 2,507.38 | | 0.00 | 4,261.48 | 0.00 | 0.00 |
| 359.0 | ITAU UNIBANCO HOLDINGS SA A D R | | | | | | | | | |
| 465562106 | 10/10/2014 | 07/19/2011 | D | 5,252.66 | 6,106.24 | | 0.00 | -853.58 | 0.00 | 0.00 |
| 19366.197 | GOLDMAN SACHS COMM STRAT INS | | | | | | | | | |
| 38143H381 | 10/21/2014 | 08/22/2013 | D | 95,281.69 | 110,000.00 | | 0.00 | -14,718.31 | 0.00 | 0.00 |
| 227.0 | DEUTSCHE BANK AG | | | | | | | | | |
| D18190898 | 11/14/2014 | Various | D | 6,761.14 | 8,925.20 | | 0.00 | -2,164.06 | 0.00 | 0.00 |
| 1100.0 | EXXON MOBIL CORP | | | | | | | | | |
| 30231G102 | 11/24/2014 | Various | D | 104,739.68 | 86,733.95 | | 0.00 | 18,005.73 | 0.00 | 0.00 |
| 1025.0 | SUMITOMO MITSUI FINL GROUP A D R | | | | | | | | | |
| 86562M209 | 11/26/2014 | 05/15/2013 | D | 7,758.16 | 10,147.39 | | 0.00 | -2,389.23 | 0.00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | | 857,758.83 | 699,517.61 | | 0.00 | 158,241.22 | 0.00 | 0.00 |

**Report on Form 8949, Part II, with Box D checked**

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# 2014 Tax Information Statement



Account Number: 274890L
Recipient's Tax ID Number: XX-XXX9657
Payer's Federal ID Number: 43-6300400
Payer's State ID Number:
State: MO
Questions? (314)418-2649
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

Recipient's Name and Address:
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

## 2014 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is proceeds less commissions and option premiums.
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| Description of property (Box 1a) CUSIP Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code, if any (Box 1f) | Adjustments (Box 1g) | Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | | | |
| 234.0 DEUTSCHE BANK AG RT 6/24/14 | | | | | | | | | | |
| D17693107 | 06/13/2014 | 06/06/2014 | B | 456.29 | 0.00 | | 0.00 | 456.29 | 0.00 | 0.00 |
| 66.0 BARCLAYS PLC A D R | | | | | | | | | | |
| 06738E204 | 07/17/2014 | 10/01/2013 | B | 953.09 | 814.44 | | 0.00 | 138.65 | 0.00 | 0.00 |
| **Total Short Term Sales Reported on 1099-B** | | | | **1,409.38** | **814.44** | | **0.00** | **594.94** | **0.00** | **0.00** |
| **Report on Form 8949, Part I, with Box B checked** | | | | | | | | | | |
| **Long Term Sales Reported on 1099-B** | | | | | | | | | | |
| 471.0 KEPPEL CORP LTD SPONS A D R | | | | | | | | | | |
| 492051305 | 01/30/2014 | 02/23/2010 | E | 7,620.79 | 5,164.76 | | 0.00 | 2,456.03 | 0.00 | 0.00 |
| 625.0 AMPHENOL CORP CL A | | | | | | | | | | |
| 032095101 | 02/05/2014 | 12/28/2010 | E | 53,268.57 | 32,893.75 | | 0.00 | 20,374.82 | 0.00 | 0.00 |
| 54.0 BRITISH AMER SPON ADR | | | | | | | | | | |
| 110448107 | 03/10/2014 | 02/23/2010 | E | 5,992.38 | 3,696.49 | | 0.00 | 2,295.89 | 0.00 | 0.00 |
| 250000.0 SHELL INTL FIN 4.000% 3/21/14 | | | | | | | | | | |
| 822582AF9 | 03/21/2014 | 04/08/2009 | E | 250,000.00 | 256,231.46 | | 0.00 | -6,231.46 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



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Recipient's Tax ID Number: XX-XXX9657
Payer's Federal ID Number: 43-6300400
Payer's State ID Number:
State: MO
Questions? (314)418-2649
☐ Corrected ☐ 2nd TIN notice

**Payer's Name and Address:**
U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

**Recipient's Name and Address:**
THE MARGARET BLANKE GRIGG FND
C/O WILLIAM HOBSON
8909 LADUE ROAD
SAINT LOUIS, MO 63124

Reported to the IRS is proceeds less commissions and option premiums.
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| Description of property (Box 1a) / CUSIP Number | Date Sold or Disposed (Box 1c) | Date Acquired (Box 1b) | Form 8949 Checkbox | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Code, if any (Box 1f) | Adjustments (Box 1g) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 16) |
|---|---|---|---|---|---|---|---|---|---|---|
| 45.0 | FOMENTO ECONOMICO MEX SP A D R | | | | | | | | | |
| 344419106 | 05/06/2014 | 02/23/2010 | E | 4,252.86 | 1,892.13 | | 0.00 | 2,360.73 | 0.00 | 0.00 |
| 525.0 | AMPHENOL CORP CL A | | | | | | | | | |
| 032095101 | 06/03/2014 | 12/28/2010 | E | 50,539.42 | 27,630.75 | | 0.00 | 22,908.67 | 0.00 | 0.00 |
| 118.9089 | VODAFONE GROUP PLC A D R | | | | | | | | | |
| 92857W308 | 06/13/2014 | 08/06/2010 | E | 3,902.51 | 5,328.51 | | 0.00 | -1,426.00 | 0.00 | 0.00 |
| 200000.0 | BELLSOUTH CORP 5.200% 9/15/14 | | | | | | | | | |
| 079860AG7 | 07/15/2014 | 04/08/2009 | E | 201,648.01 | 204,021.95 | | 0.00 | -2,373.94 | 0.00 | 0.00 |
| 287.0 | BARCLAYS PLC A D R | | | | | | | | | |
| 06738E204 | 07/17/2014 | Various | E | 4,144.50 | 5,466.18 | | 0.00 | -1,321.68 | 0.00 | 0 00 |
| 161.0 | LUKOIL SPON A D R | | | | | | | | | |
| 677862104 | 08/01/2014 | 02/23/2010 | E | 8,953.01 | 8,362.34 | | 0.00 | 590.67 | 0.00 | 0.00 |
| 2.0 | ANHEUSER BUSCH INBEV NV A D R | | | | | | | | | |
| 03524A108 | 09/02/2014 | 10/15/2010 | E | 222.67 | 125.27 | | 0.00 | 97.40 | 0 00 | 0.00 |
| 9.0 | ATLAS COPCO AB A D R CL B | | | | | | | | | |
| 049255805 | 09/02/2014 | 02/23/2010 | E | 236.65 | 113.94 | | 0.00 | 122.71 | 0.00 | 0.00 |
| 9.0 | B T GROUP P L C A D R | | | | | | | | | |
| 05577E101 | 09/02/2014 | 11/09/2010 | E | 572.03 | 230.87 | | 0.00 | 341.16 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



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U.S. BANK N.A. TRUST SERVICES
PO BOX 0634
MILWAUKEE, WI 53201-0634

Account Number: 274890L
Recipient's Tax ID Number: XX-XXX9657
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State: MO
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☐ Corrected ☐ 2nd TIN notice

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SAINT LOUIS, MO 63124

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|---|---|---|---|---|---|---|---|---|---|---|
| 10.0 BAYERISCHE MOTOREN WERKE UNSPN A D R | | | | | | | | | | |
| 072743206 | 09/02/2014 | Various | E | 383.19 | 242.48 | | 0.00 | 140.71 | 0.00 | 0.00 |
| 2.0 BOC HONG KONG HLDGS LTD A D R | | | | | | | | | | |
| 096813209 | 09/02/2014 | 02/23/2010 | E | 133.78 | 92.74 | | 0.00 | 41.04 | 0.00 | 0.00 |
| 3.0 BRITISH AMER SPON ADR | | | | | | | | | | |
| 110448107 | 09/02/2014 | 02/23/2010 | E | 353.72 | 205.36 | | 0.00 | 148.36 | 0.00 | 0.00 |
| 7.0 DBS GROUP HLDGS LTD | | | | | | | | | | |
| 23304Y100 | 09/02/2014 | 02/23/2010 | E | 398.99 | 280.84 | | 0.00 | 118.15 | 0.00 | 0.00 |
| 5.0 HSBC HLDGS PLC SPONSORED ADR NEW | | | | | | | | | | |
| 404280406 | 09/02/2014 | 10/12/2010 | E | 266.14 | 262.26 | | 0.00 | 3.88 | 0.00 | 0.00 |
| 6.0 HONDA MOTOR CO LTD A D R | | | | | | | | | | |
| 438128308 | 09/02/2014 | 02/23/2010 | E | 202.20 | 203.14 | | 0.00 | -0.94 | 0.00 | 0.00 |
| 5.0 NISSAN MTR LTD SPONSORED ADR | | | | | | | | | | |
| 654744408 | 09/02/2014 | 12/15/2010 | E | 96.01 | 97.19 | | 0.00 | -1.18 | 0.00 | 0.00 |
| 3.0 NOVARTIS AG SPONSORED ADR | | | | | | | | | | |
| 66987V109 | 09/02/2014 | 02/23/2010 | E | 280.55 | 163.55 | | 0.00 | 117.00 | 0.00 | 0.00 |
| 1.0 SIEMENS A G SPONSORED ADR | | | | | | | | | | |
| 826197501 | 09/02/2014 | 07/28/2010 | E | 125.87 | 95.92 | | 0.00 | 29.95 | 0.00 | 0.00 |

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# 2014 Tax Information Statement



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MILWAUKEE, WI 53201-0634

| | |
|---|---|
| **Account Number:** | 274890L |
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| **Payer's State ID Number:** | |
| **State:** | MO |
| **Questions?** | (314)418-2649 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

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|---|---|---|---|---|---|---|---|---|---|---|
| 6.0 TOTAL SA SPON A D R | | | | | | | | | | |
| 89151E109 | 09/02/2014 | 02/23/2010 | E | 391.97 | 340.77 | | 0.00 | 51.20 | 0.00 | 0.00 |
| 6.0 B A S F A G A D R | | | | | | | | | | |
| 055262505 | 09/29/2014 | 10/15/2010 | E | 550.35 | 428.29 | | 0.00 | 122.06 | 0.00 | 0 00 |
| 58.0 B A S F A G A D R | | | | | | | | | | |
| 055262505 | 10/21/2014 | Various | E | 5,121.29 | 4,138.00 | | 0.00 | 983.29 | 0.00 | 0.00 |
| 12000.0 ISHARES MSCI EMERGING MARKETS ETF | | | | | | | | | | |
| 464287234 | 10/21/2014 | Various | E | 492,229.10 | 521,449.50 | | 0.00 | -29,220.40 | 0.00 | 0.00 |
| 1500.0 ACCENTURE PLC CL A | | | | | | | | | | |
| G1151C101 | 10/21/2014 | 01/11/2010 | E | 116,121.43 | 64,136.70 | | 0.00 | 51,984.73 | 0.00 | 0.00 |
| 5000.0 GENERAL ELEC CO | | | | | | | | | | |
| 369604103 | 11/07/2014 | 09/27/2010 | E | 131,572.09 | 83,300.00 | | 0.00 | 48,272.09 | 0.00 | 0.00 |
| 625.0 APPLE COMPUTER INC COM | | | | | | | | | | |
| 037833100 | 11/20/2014 | 01/11/2010 | E | 72,789.57 | 18,779.07 | | 0.00 | 54,010.50 | 0.00 | 0.00 |
| 1500.0 MCDONALDS CORP | | | | | | | | | | |
| 580135101 | 11/20/2014 | Various | E | 145,227.68 | 50,845.70 | | 0.00 | 94,381.98 | 0.00 | 0.00 |
| 0.0 AMERICAN INTL GROUP INC | | | | | | | | | | |
| 026874107 | 11/25/2014 | Various | E | 162.32 | 0.00 | | 0.00 | 162.32 | 0.00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | | **1,557,759.65** | **1,296,219.91** | | **0.00** | **261,539.74** | **0.00** | **0.00** |

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Description of property (Box 1a)

| CUSIP Number | Date Sold or Disposed | Date Acquired | Form 8949 Checkbox | Proceeds | Cost or Other Basis | [illegible] | Adjustments | Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| | *(Box 1c)* | *(Box 1b)* | | *(Box 1d)* | *(Box 1e)* | *(Box 1f)* | *(Box 1g)* | | *(Box 4)* | *(Box 16)* |

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