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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, **and ending** , 20

Name of foundation
CHARLES HOCKENBERRY FOUNDATION

A Employer identification number
42-1382905

Number and street (or P O box number if mail is not delivered to street address)
CENTURY BANK 116 S BLOSSOM

Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
SHENANDOAH, IA 51601

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . . . . ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation . . . . ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,410,436

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	30,705	30,705		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	261,311			
	b Gross sales price for all assets on line 6a 1,556,460				
	7 Capital gain net income (from Part IV, line 2)		261,311		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	292,016	292,016		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,200			8,200
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STM108	1,910			1,910
	c Other professional fees (attach schedule) STM109	13,630	13,630		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	2,828	528		2,300
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	26,568	14,158		12,410
	25 Contributions, gifts, grants paid	69,394			69,394
	26 **Total expenses and disbursements.** Add lines 24 and 25 .	95,962	14,158		81,804
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements** .	196,054			
	b **Net investment income** (if negative, enter -0-)		277,858		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Form **990-PF** (2014)

EEA

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	32,944	40,445	40,445
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	825,522	929,906	944,333
	c Investments - corporate bonds (attach schedule) STM138	359,860	442,647	425,658
	11 Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,218,326	1,412,998	1,410,436
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,094,346	1,094,346	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	123,980	318,652	
	30 **Total net assets or fund balances** (see instructions)	1,218,326	1,412,998	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,218,326	1,412,998	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,218,326
2	Enter amount from Part I, line 27a	2	196,054
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,414,380
5	Decreases not included in line 2 (itemize) ► STM116	5	1,382
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,412,998

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See STM~134			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,556,460		1,295,149	261,311
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				261,311
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	261,311
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	(17,884)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	74,260	1,377,283	0.053918
2012	61,049	1,278,027	0.047768
2011	71,338	1,290,075	0.055298
2010	70,785	1,236,686	0.057238
2009	58,779	1,117,565	0.052596

2	**Total** of line 1, column (d)	2	0.266817
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053363
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,413,676
5	Multiply line 4 by line 3	5	75,438
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,779
7	Add lines 5 and 6	7	78,217
8	Enter qualifying distributions from Part XII, line 4	8	81,804

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	2,779
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2		3	2,779
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	2,779
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a 1,160		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	1,160
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	1,619
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers. ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CENTURY BANK Telephone no. ▶ 712-246-2205

Located at ▶ 116 S BLOSSOM, Shenandoah, IA ZIP+4 ▶ 51601

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90.22.1). If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☐ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☒ Yes ☐ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CENTURY BANK 116 S BLOSSOM, SHENANDOAH, IA 51601	MANAGER 2.00	8,200	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,418,755
b	Average of monthly cash balances	1b	16,449
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,435,204
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,435,204
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,528
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,413,676
6	**Minimum investment return.** Enter 5% of line 5	6	70,684

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	70,684
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,779		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,779
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	67,905
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	67,905
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	67,905

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	81,804
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	81,804
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,779
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	79,025

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7				67,905
2	Undistributed income, if any, as of the end of 2014:				
a	Enter amount for 2013 only				
b	Total for prior years: _____, _____, _____				
3	Excess distributions carryover, if any, to 2014:				
a	From 2009				
b	From 2010				
c	From 2011				
d	From 2012				
e	From 2013 3,521				
f	**Total** of lines 3a through e	3,521			
4	Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 81,804				
a	Applied to 2013, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2014 distributable amount				67,905
e	Remaining amount distributed out of corpus	13,899			
5	Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,420			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount - see instructions				
e	Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	17,420			
10	Analysis of line 9:				
a	Excess from 2010				
b	Excess from 2011				
c	Excess from 2012				
d	Excess from 2013 3,521				
e	Excess from 2014 13,899				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

990APP

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHDULE ATTACHED	NONE		SCHOLARSHIP/ASSISTANCE	66,902
Total ▶ 3a				66,902
b Approved for future payment SEE SCHDULE ATTACHED	NONE		SCHOLARSHIP/ASSISTANCE	0
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	30,705	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18		
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				30,705	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	30,705

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X [signature] X 8-11-15 X President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see inst.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BRUCE BALDWIN	[signature] CPA	08-10-2015		P00295504

Firm's name ▶ MILLER SHEARER LASHIER & CO | Firm's EIN ▶

Firm's address ▶ PO BOX 307, SHENANDOAH IA 51601 | Phone no 712-246-2029

2014 Form 990-PF, Part XV, Supplementary Information, line 3

Hockenberry Grants - 2014				
NAME	CITY	PURPOSE	AMOUNT	
Michelle Keeler (Kaitlyn Kirby)	Shenandoah	CNA Class	$ 260.00	
David and Tonya Stoaks (Macayla Stoaks)	Shenandaoh	CNA Class	$ 135.00	
David Hu	Shenandoah	College App Fee	$ 40.00	
Darcy Sunderman	Clarinda	College Scholarship	$ 1,000.00	
Aaron Noel	Essex	College Scholarship	$ 1,000.00	
Mirtha Gutierrez	Shenandoah	College Scholarship	$ 1,000.00	
Leslie Lopez	Shen	College Scholarship	$ 1,000.00	
Shenandoah Community Schools-Tennis Court Canopie	Shenandoah	Donation	$ 800.00	$1800 total, $1000 dedicated in 2013
Southwest Iowa Families - Christmas Box Program	Clarinda	Donation	$ 575.00	
Page County Sheriff - Toy Fund	Clarinda	Donation	$ 1,500.00	
Operation Blessing	Clarinda	Donation	$ 500.00	
Essex Police Dept - Christmas Wish List Program	Essex	Donation	$ 400.00	
Adopt-A-Family	Shenandoah	Donation	$ 1,500.00	
Shenandoah Food Pantry	Shenandoah	Donation	$ 5,000.00	
Clarinda Community Center - Food Pantry	Clarinda	Donation	$ 3,000.00	
United Methodist Church - Sow & Share Food Pantry	Clarinda	Donation	$ 2,000.00	
Hispanic Center	Shenandoah	Donation	$ 500.00	
Community Blessing & Children's Christmas Store	Essex	Donation	$ 500.00	
First United Methodist Church - Early Out Wednesday P	Shenandoah	Donation	$ 1,000.00	$500 July, $500 December
First United Methodist Church Men's Group - Helping Ha	Shenandoah	Donation	$ 750.00	
SWIA Families/Stork Nest	Clarinda	Donation	$ 1,000.00	
Clarinda Community Schools PTA	Clarinda	Donation	$ 750.00	
South Page Schools Elementary Fund	College Springs	Donation	$ 400.00	
Essex Community Schools - Elementary & HS Funds	Essex	Donation	$ 400.00	
Shenandoah PTO	Shenandoah	Donation	$ 750.00	
Toys for Girls & Boys (Doug Meyer/Elks Club)	Shenandoah	Donation	$ 500.00	
SCIA - Breakfast with Mrs. Claus	Shenandoah	Donation	$ 800.00	
Shenandoah Youth Boys and Girls Basketball	Shenandoah	Donation	$ 500.00	
M.A.Y. Mentoring Program	Shenandoah	Donation	$ 5,000.00	
Wabash Arts Camp	Shenandoah	Donation	$ 1,000.00	
Shenandoah Ecumenical Vacation Bible School	Shenandoah	Donation	$ 500.00	
Marco and Eunice Manzo (Jesus, Alexis, Diego)	Shenandoah	Elks Wrestling	$ 90.00	
Christy Studey (Jaden, Peyton)	Shenandoah	Elks Wrestling	$ 60.00	
Raven Rogers (Hunter Swaink)	Shenandoah	Elks Wrestling	$ 30.00	
Celia Rendon (David Rendon)	Shenandoah	Elks Wrestling	$ 30.00	
Chris and Kim Mosier (Madilyn & Molly Cunningham, Isa	Essex	Essex Youth Sports	$ 40.00	

Chris and Kim Mosier (Molly)	Shenandoah	Fall Sports	$ 30.00	
Luis Dominguez (Joselyn, Jose)	Shenandoah	Fall Sports	$ 90.00	
Treavor Hodge (Courtney)	Shenandoah	Fall Sports	$ 30.00	
Adam and Mary Jacobs (Jebediah, Elijah)	Shenandoah	Fall Sports	$ 60.00	
Rogelio and Geri Gomez (Aleigha, Anthony)	Shenandoah	Fall Sports	$ 40 00	
Christy Studey (Peyton)	Shenandoah	Fall Sports	$ 30.00	
Peggy Weeden (Jerry)	Shenandoah	Fall Sports	$ 30.00	
Amy Parker (Serena Parker)	Shenandoah	IWCC Textbook	$ 125.00	
Jeri Jensen (LeAnne Jensen)	Shenandoah	Japanese Exchange Trip	$ 300.00	
Cristina Aguero (Andrea Montes)	Shenandoah	LIVE Leadership Camp	$ 50.00	
September McElroy (Madisyn Rostro)	Shenandoah	LIVE Leadership Camp	$ 50.00	
Geri Gomez (Andrea, Aleigha, Anthony Gomez)	Shenandoah	Living Expenses	$ 700.00	
Turnbull Child Development Center	Shenandoah	Parking Lot Resurface	$ 15,217.50	
September McElroy (Mikayla and Madisyn Rostro)	Shenandoah	Pool Pass	$ 120.00	
David and Tonya Stoaks (Dalton Stoaks)	Shenandoah	Pool Pass	$ 60.00	
Eric and Dana Johnson (Landon Conyac, Christene Joh	Shenandoah	Pool Pass	$ 150.00	
Patsy Schenck (Savanna Schenck)	Shenandoah	Pool Pass	$ 60.00	
Denise Blake (Hayley Blake, Gracie Hopkins)	Shenandoah	Pool Pass	$ 120.00	
Christy Studey (Jaden, Peyton, Rylee Studey	Shenandoah	Pool Pass	$ 100.00	
Tim and Kim Head (Keanu, Kirsten, Kiah)	Shenandoah	Pool Pass	$ 120.00	
Joseph Culley (Jordan, Joshua Culley)	Shenandoah	Pool Pass	$ 120.00	
Jack and Tiffany Donnelly (Isabella, Katelyn, Zachary, M	Shenandoah	Pool Pass	$ 150.00	
Valerie Jones (Nicholas Waters)	Shenandoah	Pool Pass	$ 60.00	
Blu Raven Rogers (Roman Rivers, Hunter Swaink, Bren	Shenandoah	Pool Pass	$ 100.00	
Victor and Andrea Slape (Wyatt & Mason Miller, Natalie	Shenandoah	Pool Pass	$ 120.00	
Tosha Hanseling (Taven and Rebecka Hanseling)	Shenandoah	Pool Pass	$ 150.00	
Barbara and Charles Johnson (Phoebe & Xavier Johnso	Shenandoah	Pool Pass	$ 150.00	
Amy and Dustin Paris (Noah, Madelynn, Owen)	Shenandoah	Pool Pass	$ 150.00	
Lora Pritchett & Anthony Dumler (Seth,Riley,Dayton Prit	Shenandoah	Pool Pass	$ 150.00	
Joshua Jordan & Stephanie Chaney (Tyler Chaney, Josi	Shenandoah	Pool Pass	$ 120.00	
Aaron and Wendy Widger (Amy and Kaitlyn)	Shenandoah	Pool Pass	$ 120.00	
Renae Gray (Devan, Jake, Kyndra)	Shenandoah	Pool Pass	$ 150.00	
Chris and Kim Mosier (Madilyn & Molly Cunningham, Isa	Essex	Pool Pass	$ 150.00	
Kathy Stanley (Jeremy Miller)	Shenandoah	Pool Pass	$ 60.00	
Dayna Dickerson (Madison, Mallory, Parker Dickerson, I	Shenandoah	Pool Pass	$ 150.00	
Cindy Webster (Lauryn, Vanessa, Tucker)	Shenandoah	Pool Pass	$ 75.00	
Jason Manrose (Anna, Carlie, Jessie, Laney)	Shenandoah	Pool Pass	$ 100.00	
Heather Foster (Zachary, Treyten, Chris, Heather)	Shenandoah	Pool Pass	$ 150.00	
Chris and Shonna Rakes (Ashley, Anna)	Shenandoah	Pool Pass	$ 50.00	
Turnbull Child Development Center	Shenandoah	Scholarship Fund	$ 5,000.00	

Grandma's House Daycare	Clarinda	Scholarship Fund	$ 2,500.00	
September McElroy (Madisyn Rostro)	Shenandoah	Soccer Camp	$ 124.00	
Cindy Webster (Lauryn)	Shenandoah	Softball Program	$ 60.00	
Jarrod and Heidi Crawley (Kaylee)	Shenandoah	Softball Program	$ 60.00	
Aaron and Cristy Major (Sydney Barnhart)	Shenandoah	Softball Program	$ 60.00	
Dean and Kris Adkins (Mikayla and Claire)	Shenandoah	Softball Program	$ 60.00	
Craig and Traci Morris (Katie and Cassidy)	Shenandoah	Summer Sports	$ 60.00	
Dayna Dickerson (Madison, Mallory and Parker Dickers	Shenandoah	Summer Sports	$ 50.00	
Jason Manrose (Anna, Carlie, Jessie, Laney)	Shenandoah	Summer Sports	$ 40.00	
Heather Foster (Treyton)	Shenandoah	Summer Sports	$ 30.00	
Raven Rogers (Roman, Brenna, Hunter Swaink)	Shenandoah	Summer Sports	$ 190.00	
Lesa Wake (Anakin, Andrew, Gabriel, Gavin)	Shenandoah	Summer Sports	$ 120.00	
Robert and Amy Parker (Mia and Aliyah)	Shenandoah	Summer Sports	$ 35.00	
Chris and Kim Mosier (Madilyn & Molly Cunningham, Isa	Shenandoah	Summer Sports	$ 120.00	
Aaron and Cristy Major (Lukus)	Shenandoah	Summer Sports	$ 30.00	
Jennifer Cunningham (Richard Tunnell)	Shenandoah	Summer Sports	$ 60.00	
Kim Copeland (Keisha and Isaiah)	Shenandoah	Summer Sports	$ 120.00	
Ben and Amanda Johnson (Christian, Keagan Aspedon)	Shenandoah	Summer Sports	$ 120.00	
Thomas Henderson (Ashley and Erin)	Shenandoah	Summer Sports	$ 60.00	
Christy Studey (Jaden, Peyton, Rylee Studey	Shenandoah	Summer Sports	$ 180.00	
Kealy Anderson (Madelyne)	Shenandoah	Summer Sports	$ 30.00	
Peggy Weeden (Jerry)	Shenandoah	Summer Sports	$ 60.00	
Joseph and Hailey Johnson (Ayden)	Shenandoah	Summer Sports	$ 90.00	
John and Kathi Chandler (Jonah)	Shenandoah	Summer Sports	$ 5.00	
Logan Roberts	Shenandoah	SWIA Honor Band Trip	$ 800.00	
Pamela Roberts (Bella)	Shenandoah	Swim Lesson	$ 30.00	
Dawn Palmer (Adrian)	Shenandoah	Swim Lesson	$ 30.00	
Patsy Schenck (Savanna Schenck)	Shenandoah	Swim Lesson	$ 30.00	
Heather Foster (Zachary)	Shenandoah	Tackle Football	$ 100.00	
Jennifer Cunningham (Richard Tunnell)	Shenandoah	Tackle Football	$ 100.00	
Marco and Eunice Manzo (Alexis)	Shenandoah	Tackle Football	$ 100.00	
Seth and Heather Baldwin (Benjamin)	Shenandoah	Tackle Football	$ 100.00	
Christy Studey (Jaden)	Shenandoah	Tackle Football	$ 100.00	
Dustin and Amy Paris (Noah)	Shenandoah	Tackle Football	$ 100.00	
Lesa Wake (Gabriel)	Shenandoah	Tackle Football	$ 100.00	
Celia Rendon (David Rendon)	Shenandoah	Tackle Football	$ 100.00	
Allison Shade (Brody Mattes, Bastian Lewis)	Shenandoah	Tackle Football	$ 200.00	
Dayna Dickerson (Madison Dickerson)	Shenandoah	Wabash Arts Camp	$ 120.00	
Heather Foster (Zachary)	Shenandoah	Wabash Arts Camp	$ 120.00	
Raven Rogers (Roman)	Shenandoah	Wabash Arts Camp	$ 120.00	

Patsy Schenck (Savanna Schenck)	Shenandoah	Wabash Arts Camp	$ 120.00	
Lesa Wake (Gabriel, Gavin)	Shenandoah	Wabash Arts Camp	$ 180.00	
Robert and Amy Parker (Mia and Aliyah)	Shenandoah	Wabash Arts Camp	$ 180.00	
Sandi Booker (Dylan Greene)	Shenandoah	Wabash Arts Camp	$ 60.00	
Kip and Joni Kalkas (Cydnee and Kallee)	Shenandoah	Wabash Arts Camp	$ 180.00	
Stacy Jones (Caitlyn)	Shenandoah	Wabash Arts Camp	$ 120.00	
Traci Waters (Cassidy)	Shenandoah	Wabash Arts Camp	$ 120.00	
John and Kathi Chandler (Jonah)	Shenandoah	Wabash Arts Camp	$ 120.00	
Stacy Farrell (Derik)	Shenandoah	Wabash Arts Camp	$ 120.00	
Santana Sands (Jaylyn)	Shenandoah	Wabash Arts Camp	$ 120.00	
			$ 66,901.50	

Name(s) as shown on return: CHARLES HOCKENBERRY FOUNDATION

FEIN: 42-1382905

Form 990PF, Part III, Line 5
Other Decreases Schedule

Statement #116

DIFFERENCE IN TAX BASIS GAIN	1,382
Total	1,382

PG 01

Form 990PF, Part II, Line 10(b)
Investments: Corporate Stock Schedule

Statement #137

Category	BOY	Book Value	EOY FMV
US BANK	825,522	929,906	944,333
Totals	825,522	929,906	944,333

PG 01

Form 990PF, Part II, Line 10(c)
Investments: Corporate Bond Schedule

Statement #138

Category	BOY	Book Value	EOY FMV
US BANK	359,860	442,647	425,658
Totals	359,860	442,647	425,658

Federal Supporting Statements

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Name(s) as shown on return

CHARLES HOCKENBERRY FOUNDATION

Your Social Secunty Number

42-1382905

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Statement #108

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
ACCOUNTING FEE	1,610	0	0	1,610
US BANK TAX PREP FEES	300	0	0	300
Totals	1,910	0	0	1,910

Form 990PF, Part I, Line 16(c) - Other Professional Fees Schedule

Statement #109

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
INVESTMENT FEES	13,630	13,630	0	0
Totals	13,630	13,630	0	0

Federal Supporting Statements

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Name(s) as shown on return

CHARLES HOCKENBERRY FOUNDATION

Your Social Security Number

42-1382905

Form 990PF, Part I, Line 18 - Taxes Schedule

Statement #110

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
FEDERAL EXCISE AND FOREIGN TAX	2,828	528	0	2,300
Totals	2,828	528	0	2,300

Federal Supporting Statements

2014 PG 01

Name(s) as shown on return

CHARLES HOCKENBERRY FOUNDATION

Your Social Secunty Number

42-1382905

Form 990-PF, Part IV, Capital Gains And Losses Information (Overflow)

Statement #134

Description	P-Purchase D-Donation	Date Acquired	Date Sold	Sales Price	Depreciation	Cost or other basis	Gain or Loss	Gains Minus Excess or Losses
AQR MANAGED FUTURES STR I	P	10-04-2013	07-10-2014	32,013		31,631	382	382
ABERDEEN FDS EMRGN	P	07-10-2014	08-25-2014	630		619	11	11
CAMBIAR INTL EQUITY FUND INS	P	07-10-2014	08-25-2014	385		388	(3)	(3)
BAIRD AGGREGATE BOND FD INSTL	P	07-10-2014	08-25-2014	434		432	2	2
FEDERATED INST HI YLD BD FD	P	07-10-2014	11-13-2014	10,000		10,227	(227)	(227)
GOLDMAN SACHS COMM STRAT INS	P	07-10-2014	08-25-2014	593		624	(31)	(31)
GOLDMAN SACHS COMM STRAT INS	P	07-10-2014	10-13-2014	28,574		32,866	(4,292)	(4,292)
JOHN HANCOCK FDS III DISCPLN V I	P	07-10-2014	08-25-2014	965		947	18	18
LOOMIS SYLES STRATEGIC ALPHA FUND Y	P	07-10-2014	08-25-2014	708		704	4	4
NEUBERGER BERMAN LONG SH INS	P	07-16-2014	08-25-2014	246		243	3	3
NUVEEN HIGH INCOME BOND FD CL I	P	07-10-2014	08-25-2014	441		445	(4)	(4)
NUVEEN INTERMEDIATE GOVT BD FD CL I	P	07-10-2014	08-25-2014	491		491		
NUVEEN INFLATION PRO SEC CL I	P	07-10-2014	08-25-2014	520		520		
PRINCIPAL PREFERRED SEC INS	P	06-30-2014	07-10-2014	5,334		5,215	119	119
PRINCIPAL PREFERRED SEC INS	P	12-18-2013	07-10-2014	144		135	9	9
ROBECO BOSTON PARTNERS L S RSRCH	P	07-10-2014	08-25-2014	372		370	2	2
T ROWE PRICE MID CAP GROWTH FD 64	P	07-10-2014	08-25-2014	407		398	9	9
T ROWE PRICE INTL BOND FUND	P	07-10-2014	10-13-2014	44,441		46,487	(2,046)	(2,046)
T ROWE PRICE INTL GR&INC FD	P	07-10-2014	10-13-2014	46,770		51,782	(5,012)	(5,012)
T ROWE PRICE MID CAP VALUE FD INC	P	07-10-2014	08-25-2014	426		417	9	9
SCOUT INTERNATIONAL FUND	P	07-10-2014	10-14-2014	4,766		5,292	(526)	(526)
MORGAN DEMPSEY SMALL MICRO CAP VALU	P	07-10-2014	08-25-2014	370		383	(13)	(13)
MORGAN DEMPSEY SMALL MICRO CAP VALU	P	07-10-2014	10-13-2014	30,992		34,878	(3,886)	(3,886)
LS OPPORTUNITY	P	07-10-2014	08-25-2014	619		612	7	7
LS OPPORTUNITY	P	07-10-2014	10-13-2014	31,239		33,678	(2,439)	(2,439)
VANGUARD 500 INDEX FD ADMIRAL	P	07-10-2014	08-25-2014	1,021		1,001	20	20
AMERICAN CENTY SMALL CP GROWTH INST	P	12-20-2007	07-10-2014	73,314		51,777	21,537	21,537
AMERICAN CENTURY HERITAGE INSTL	P	09-20-2007	07-10-2014	30,848		30,000	848	848
NUVEEN REAL ESTATE SECS I	P	09-11-2012	07-10-2014	39,515		37,480	2,035	2,035
NUVEEN REAL ESTATE SECS I	P	09-11-2012	08-25-2014	524		490	34	34
NUVEEN MID CAP GRWTH OPP FD CL I	P	10-29-2003	07-10-2014	50,178		28,883	21,295	21,295
PIMCO TOTAL RETURN FUND INST	P	08-26-2010	07-10-2014	308,366		308,192	174	174
PIMCO TOTAL RETURN FUND INST	P	12-08-2010	08-25-2014	228		224	4	4
T ROWE PRICE GROWTH STOCK 40	P	10-25-2006	07-10-2014	195,635		112,719	82,916	82,916

Federal Supporting Statements

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Name(s) as shown on return

CHARLES HOCKENBERRY FOUNDATION

Your Social Secunty Number

42-1382905

Form 990-PF, Part IV, Capital Gains And Losses Information (Overflow)

Statement #134

Description	P-Purchase D-Donation	Date Acquired	Date Sold	Sales Price	Depreciation	Cost or other basis	Gain or Loss	Gains Minus Excess or Losses
T ROWE PRICE GROWTH STOCK 40	P	10-25-2006	07-16-2014	3,744		2,146	1,598	1,598
T ROWE PRICE GROWTH STOCK 40	P	10-25-2006	08-25-2014	1,279		710	569	569
T ROWE PRICE GROWTH STOCK 40	P	10-25-2006	09-11-2014	15,000		8,362	6,638	6,638
T ROWE PRICE GROWTH STOCK 40	P	10-25-2006	11-13-2014	10,000		5,411	4,589	4,589
SBC COMMUNICATIONS	P	03-26-2009	07-15-2014	27,369		25,148	2,221	2,221
LAUDUS INTERNATIONAL MARKETMASTERS	P	01-17-2007	07-10-2014	218,757		186,237	32,520	32,520
SCOUT INTERNATIONAL FUND	P	06-22-2012	10-14-2014	1,036		857	179	179
SCOUT INTERNATIONAL FUND	P	09-04-2013	10-14-2014	101,743		90,585	11,158	11,158
VANGUARD EQUITY INCOME ADM	P	05-16-2012	07-10-2014	203,105		145,143	57,962	57,962
CAPITAL GAIN DISTRIBUTIONS	P			32,918			32,918	32,918
Total				1,556,460		1,295,149	261,311	261,311

Name(s) as shown on return: CHARLES HOCKENBERRY FOUNDATION

Your Social Security Number: 42-1382905

Form 990PF, Part XV, Line 2
Application Submission Information

Grant Program

Applicant Name
CAROLINE HARVEY CENTURY BANK

Address
116 S BLOSSOM
SHENANDOAH, IA 51601

Telephone
712-246-2205

Email Address

Form & Content
SEE APPLICATION ATTACHED

Submission Deadline
NONE

Restrictions on Award
DISADVANTAGED YOUTH IN PAGE COUNTY IOWA

Form 990-PF, 2014, Part XV, Supplementary Information, Line 2

CHARLES HOCKENBERRY FOUNDATION
GRANT APPLICATION

Name _____ Date _____

Address _____ Age of Applicant (if child) _____

_____ Phone _____

Amount applied for $_____ Approximate date needed _____

Purpose of Grant (in as much detail as possible; use additional sheets as necessary): _____

_____

_____

How would this grant assist a child or children in Page County? _____

_____

_____

Would this grant assist an economically, physically, or mentally disadvantaged child or children? _____

If so, explain: _____

_____

_____

If economic disadvantage is indicated, and the application is on the behalf of an individual, please attach a copy of the parents' most recent federal tax return and complete the remainder of the application.

Parent(s) or guardian's name(s) _____

_____ Married _____ Divorced _____ Single

Place of employment _____

Names and ages of other children in the household: _____

_____

_____

Do you receive benefits from any of the following and, if so, how much?

Child Support	$_____	S.S.I.	$_____
Disability	$_____	W.I.C.	$_____
F.I.P.	$_____	Other	$_____

_____ _____

Signature of Applicant

If applicant is a child, signature of parent, guardian or sponsor

Please return to: Charlotte Thompson, City National Bank, P.O. Box 279, Shenandoah, IA 51601

Additional information, including recommendations may be included with application.

Revised 9/98