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For calendar year 2014 or tax year beginning

, and ending

Name of foundation THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.		A Employer identification number 39-1688812
Number and street (or P.O. box number if mail is not delivered to street address) C/O GODFREY & KAHN 780 N WATER STREET		B Telephone number (262) 369-9978
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 53202-3590		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 55,619,049.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,590,000.			
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		76,855.	76,855.		STATEMENT 1
4 Dividends and interest from securities		1,228,948.	1,228,948.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,261,484.			
b Gross sales price for all assets on line 6a 54,091,711.					
7 Capital gain net income (from Part IV, line 2)			3,261,484.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		52,292.	52,292.	0.	STATEMENT 3
12 Total Add lines 1 through 11		6,209,579.	4,619,579.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		25,640.	0.	0.	25,640.
c Other professional fees STMT 5		774,108.	634,791.	0.	139,317.
17 Interest		6,881.	6,881.	0.	0.
18 Taxes STMT 6		120,810.	36,982.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		10,848.	0.	0.	10,848.
24 Total operating and administrative expenses. Add lines 13 through 23		938,287.	678,654.	0.	175,805.
25 Contributions, gifts, grants paid		5,636,863.			5,636,863.
26 Total expenses and disbursements. Add lines 24 and 25		6,575,150.	678,654.	0.	5,812,668.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-365,571.			
b Net investment income (if negative, enter -0-)			3,940,925.		
c Adjusted net income (if negative, enter -0-)				0.	

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FAMILY FOUNDATION, INC.**

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,697,751.	5,646,183.	5,646,183.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 2,815,585.			
	Less: allowance for doubtful accounts ▶ 0.	0.	2,815,585.	2,815,585.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	22,144,602.	20,868,762.	24,487,308.
	c Investments - corporate bonds STMT 10	8,656,891.	7,202,328.	7,193,524.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	14,561,515.	10,164,747.	15,476,449.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	47,060,759.	46,697,605.	55,619,049.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	47,060,759.	46,697,605.	
30 Total net assets or fund balances	47,060,759.	46,697,605.		
31 Total liabilities and net assets/fund balances	47,060,759.	46,697,605.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,060,759.
2 Enter amount from Part I, line 27a	2	-365,571.
3 Other increases not included in line 2 (itemize) ▶ NORTHERN TRUST CAMBIAR 26-19281	3	5,424.
4 Add lines 1, 2, and 3	4	46,700,612.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	3,007.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,697,605.

**THEODORE BATTERMAN
FAMILY FOUNDATION, INC.**
Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 54,091,711.		50,830,227.	3,261,484.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,261,484.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,261,484.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	4,384,970.	52,178,046.	.084039
2012	3,900,653.	50,890,892.	.076647
2011	3,191,988.	52,782,622.	.060474
2010	2,610,261.	50,719,917.	.051464
2009	2,597,809.	46,366,662.	.056028

2 Total of line 1, column (d)	2	.328652
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.065730
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	52,127,763.
5 Multiply line 4 by line 3	5	3,426,358.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	39,409.
7 Add lines 5 and 6	7	3,465,767.
8 Enter qualifying distributions from Part XII, line 4	8	5,812,668.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions.

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FAMILY FOUNDATION, INC.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	39,409.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	39,409.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	39,409.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	37,645.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	47,645.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	22.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,214.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☒ 8,214. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>GODFREY & KAHN, S.C.</u> Telephone no. ► <u>(414) 273-3500</u> Located at ► <u>780 NORTH WATER STREET, MILWAUKEE, WI</u> ZIP+4 ► <u>53202</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

O

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,421,447.
b	Average of monthly cash balances	1b	999,844.
c	Fair market value of all other assets	1c	29,500,296.
d	Total (add lines 1a, b, and c)	1d	52,921,587.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	52,921,587.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	793,824.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	52,127,763.
6	Minimum investment return. Enter 5% of line 5	6	2,606,388.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,606,388.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	39,409.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	4,159.
c	Add lines 2a and 2b	2c	43,568.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,562,820.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,562,820.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,562,820.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,812,668.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,812,668.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	39,409.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,773,259.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				2,562,820.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012	464,784.			
e From 2013	1,837,505.			
f Total of lines 3a through e	2,302,289.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	5,812,668.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				2,562,820.
e Remaining amount distributed out of corpus	3,249,848.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,552,137.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015 Subtract lines 7 and 8 from line 6a	5,552,137.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012	464,784.			
d Excess from 2013	1,837,505.			
e Excess from 2014	3,249,848.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- ### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

THEODORE W. BATTERMAN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Form 990-PF (2014)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AGRACE HOSPICE CARE 5395 E CHERYL PARKWAY MADISON, WI 53711	N/A	PUBLIC CHARITY	AGRACE HOSPICECARE ROCK COUNTY CAPITAL CAMPAIGN.	662,500.
BETHEL UNIVERSITY 3900 BETHEL DR. ST. PAUL, MN 55112-6999	N/A	PUBLIC CHARITY	NURSING SCHOLARSHIP ENDOWMENT.	30,000.
BILLY GRAHAM EVANGELISTIC ASSOCIATION 1 BILLY GRAHAM PARKWAY CHARLOTTE, NC 28201	N/A	PUBLIC CHARITY	MISSION SUPPORT.	5,000.
CAMBRIDGE ATHLETIC BOOSTER CLUB 404 WEST MADISON STREET CAMBRIDGE, WI 53523	N/A	PUBLIC CHARITY	PLAYING FIELDS UPGRADES.	10,000.
CARE NET PREGNANCY CENTER OF DANE COUNTY 1350 MACARTHUR ROAD MADISON, WI 53714	N/A	PUBLIC CHARITY	CLINIC EDUCATION.	25,252.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	5,636,863.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

11/13/15

Title

► Assistant Secretary

May the IRS discuss this return with the preparer shown below (see note 2)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

LYNN M. GARDINIER,
CPA

Preparer's signature

LYNN M. GARDINIER

Date	
------	--

11/11/15

Check ☒ self-employed

PTIN

P00073260

Firm's name ► **BAKER TILLY VIRCHOW KRAUSE, LLP**

Firm's EIN ▶ 39-0859910

Firm's address ► 500 MIDLAND COURT, PO BOX 8130
JANESVILLE, WI 53547-8130

Phone no. (608) 752-5835

Form 990-PF (2014)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BATTERMAN IRREVOCABLE TRUST 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 1,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	LINDA C. BATTERMAN JOHNSON 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 20,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	THEODORE W. BATTERMAN 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 70,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

39-1688812

[illegible]

Name of organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST (02906)	P	VARIOUS	VARIOUS
b	NORTHERN TRUST (45512)	P	VARIOUS	VARIOUS
c	NORTHERN TRUST (45512)	P	VARIOUS	VARIOUS
d	NORTHERN TRUST (45514)	P	VARIOUS	VARIOUS
e	NORTHERN TRUST (45514)	P	VARIOUS	VARIOUS
f	NORTHERN TRUST (45516)	P	VARIOUS	VARIOUS
g	NORTHERN TRUST (45516)	P	VARIOUS	VARIOUS
h	NORTHERN TRUST (45517)	P	VARIOUS	VARIOUS
i	NORTHERN TRUST (45517)	P	VARIOUS	VARIOUS
j	NORTHERN TRUST (45570)	P	VARIOUS	VARIOUS
k	NORTHERN TRUST (45571)	P	VARIOUS	VARIOUS
l	NORTHERN TRUST (50042)	P	VARIOUS	VARIOUS
m	NORTHERN TRUST (50042)	P	VARIOUS	VARIOUS
n	NORTHERN TRUST (51398)	P	VARIOUS	VARIOUS
o	NORTHERN TRUST (61354)	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 702,814.		703,173.	-359.
b 720,277.		662,145.	58,132.
c 2,169,249.		1,844,658.	324,591.
d 2,062,247.		1,983,992.	78,255.
e 2,634,167.		1,988,662.	645,505.
f 220,284.		219,923.	361.
g 418,520.		325,385.	93,135.
h 2,848,664.		2,620,976.	227,688.
i 3,770,288.		3,203,800.	566,488.
j 510,807.		577,727.	-66,920.
k 200,904.		184,197.	16,707.
l 3,178,710.		3,178,485.	225.
m 6,470,035.		6,705,512.	-235,477.
n 16,001,944.		16,529,757.	-527,813.
o 64,811.		59,726.	5,085.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-359.
b			58,132.
c			324,591.
d			78,255.
e			645,505.
f			361.
g			93,135.
h			227,688.
i			566,488.
j			-66,920.
k			16,707.
l			225.
m			-235,477.
n			-527,813.
o			5,085.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST (61354)	P	VARIOUS	VARIOUS
b	NORTHERN TRUST (95411)	P	VARIOUS	VARIOUS
c	NORTHERN TRUST PRIVATE EQUITY FUND II K-1	P	VARIOUS	VARIOUS
d	NORTHERN TRUST PRIVATE EQUITY FUND II K-1	P	VARIOUS	VARIOUS
e	NORTHERN TRUST PRIVATE EQUITY FUND III K-1	P	VARIOUS	VARIOUS
f	NORTHERN TRUST PRIVATE EQUITY FUND III K-1	P	VARIOUS	VARIOUS
g	NORTHERN TRUST PRIVATE EQUITY FUND IV K-1	P	VARIOUS	VARIOUS
h	NORTHERN TRUST PRIVATE EQUITY FUND IV K-1	P	VARIOUS	VARIOUS
i	NORTHERN TRUST MULTI ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS
j	NORTHERN TRUST MULTI ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS
k	NORTHERN TRUST -REAL ESTATE PARTNERS	P	VARIOUS	VARIOUS
l	NORTHERN TRUST -REAL ESTATE PARTNERS	P	VARIOUS	VARIOUS
m	NORTHERN TRUST (19281)	P	VARIOUS	VARIOUS
n	NORTHERN TRUST MULTI ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS
o	NORTHERN TRUST MULTI ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 276,041.		221,097.	54,944.
b 875,000.		490,822.	384,178.
c		917.	-917.
d 254,357.			254,357.
e 22,764.			22,764.
f 1,141,548.			1,141,548.
g		4,814.	-4,814.
h 75,962.			75,962.
i		30,973.	-30,973.
j		60,694.	-60,694.
k		742.	-742.
l 1,166.			1,166.
m 7,695,948.		7,950,199.	-254,251.
n 150,770.			150,770.
o 1,215,000.		1,281,851.	-66,851.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			54,944.
b			384,178.
c			-917.
d			254,357.
e			22,764.
f			1,141,548.
g			-4,814.
h			75,962.
i			-30,973.
j			-60,694.
k			-742.
l			1,166.
m			-254,251.
n			150,770.
o			-66,851.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAINS DIVIDENDS				
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 409,434.			409,434.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			409,434.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,261,484.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

39-1688812

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARROLL UNIVERSITY 100 N. EAST AVENUE WAUKESHA, WI 53186	N/A	PUBLIC CHARITY	SIMULATION TECHNOLOGY FOR CU NURSING.	75,000.
CENTER FOR URBAN TEACHING 2600 W. WISCONSIN AVENUE MILWAUKEE, WI 53233	N/A	PUBLIC CHARITY	IDENTIFYING AND PREPARING URBAN TEACHER CANDIDATES FOR MILWAUKEE	95,000.
CHICAGOLAND LUTHERAN EDUCATIONAL FOUNDATION 861 S. CHURCH ROAD BENSENVILLE, IL 60106	N/A	PUBLIC CHARITY	SCHOOL SECURITY IMPROVEMENTS.	182,100.
COMMUNITY FOUNDATION OF SOUTHERN WISCONSIN 26 S. JACKSON ST. JANESVILLE, WI 53548	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM.	106,275.
COMPASSION INTERNATIONAL 12290 VOYAGER PARKWAY COLORADO SPRINGS, CO 80921	N/A	PUBLIC CHARITY	GHANA CHILD DEVELOPMENT CENTER.	25,000.
CONCORDIA UNIVERSITY WISCONSIN 12800 NORTH LAKE SHORE DR. MEQUON, WI 53097-2402	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM.	42,000.
CONCORDIA UNIVERSITY WISCONSIN 12800 NORTH LAKE SHORE DR. MEQUON, WI 53097-2402	N/A	PUBLIC CHARITY	NURSING CLINICAL EDUCATION IN MEXICO	44,089.
CORE CHURCH LOS ANGELES 2037 S. LA CIENEGA BLVD. LOS ANGELES, CA 90034	N/A	PUBLIC CHARITY	CORE CHURCH BUILDING PROJECT.	85,000.
CROSSPOINT COMMUNITY CHURCH 445 N. WARNER ROAD WHITEWATER, WI 53190	N/A	PUBLIC CHARITY	MUSICAL INSTRUMENTS FOR FUZION MINISTRY.	4,324.
DIVINE REDEEMER LUTHERAN CHURCH AND SCHOOL 31385 HILL ROAD HARTLAND, WI 53029	N/A	PUBLIC CHARITY	DIVINE REDEEMER UPDATES.	70,013.
Total from continuation sheets				4,904,111.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ECHO 65 S. HIGH ST. JANESVILLE, WI 53548	N/A	PUBLIC CHARITY	HOMELESS PREVENTION SERVICES.	65,892.
EHLERS DANLOS NATIONAL FOUNDATION 7918 JONES BRANCH DRIVE, SUITE 300 MCLEAN, VA 22102	N/A	PUBLIC CHARITY	GENERAL OPERATIONS.	1,000.
ELMBROOK CHURCH 777 S. BARKER ROAD BROOKFIELD, WI 53045	N/A	PUBLIC CHARITY	DIGITAL EXPANSION.	20,000.
FLYING H YOUTH RANCH 370 FLYING H LOOP NACHES, WA 98937	N/A	PUBLIC CHARITY	GENERAL OPERATIONS	2,000.
FORT ATKINSON COMMUNITY FOUNDATION 244 NORTH MAIN ST. FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM.	60,000.
FORT ATKINSON COMMUNITY FOUNDATION 244 NORTH MAIN ST. FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	FORT ATKINSON BOYS & GIRLS CLUB.	20,000.
FORT ATKINSON FOOD PANTRY 611 GROVE STREET FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	2,500.
FORT ATKINSON SCHOOL DISTRICT 201 PARK ST. FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	TECHNOLOGY FOR INTERMEDIATE CLASSROOMS.	10,000.
HABITAT FOR HUMANITY OF ROCK AND JEFFERSON COUNTIES 320 E. MILWAUKEE ST #110 JANESVILLE, WI 53545	N/A	PUBLIC CHARITY	HVAC AND ELECTRICAL COSTS FOR A HABITAT HOME.	9,350.
HALES CORNERS LUTHERAN CHURCH 12300 W. JANESVILLE ROAD HALES CORNERS, WI 53130	N/A	PUBLIC CHARITY	SANCTUARY REPAIRS/OTHER RENOVATIONS.	75,000.
Total from continuation sheets				

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

39-1688812

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARTLAND AREA FOOD PANTRY 555 S. INDUSTRIAL DRIVE HARTLAND, WI 53029	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	2,500.
HARVEST CHRISTIAN FELLOWSHIP 6115 ARLINGTON AVE. RIVERSIDE, CA 92504	N/A	PUBLIC CHARITY	HARVEST AMERICA	100,000.
HEALTHNET OF ROCK COUNTY 23 W. MILWAUKEE ST #214 JANESVILLE, WI 53548	N/A	PUBLIC CHARITY	MEDICAL STAFF.	40,000.
HOPE CENTER 502 N. EAST AVENUE WAUKESHA, WI 53186	N/A	PUBLIC CHARITY	SECURITY SYSTEM.	23,624.
HOPE CHRISTIAN SCHOOLS 3601 N. PORT WASHINGTON AVE. MILWAUKEE, WI 53212	N/A	PUBLIC CHARITY	PROGRAM UPDATES.	76,000.
HOPE LUTHERAN CHURCH 260 VINCENT ST. FOND DU LAC, WI 54935	N/A	PUBLIC CHARITY	MISSION TRIP/SANCTUARY SCREENS	8,500.
HUMANE ANIMAL WELFARE SOCIETY OF WAUKESHA COUNTY 701 NORTHVIEW ROAD WAUKESHA, WI 53188	N/A	PUBLIC CHARITY	PURCHASE OF DOG/PUPPY SUPPLIES.	500.
HUMANE SOCIETY OF JEFFERSON COUNTY W6127 KIESLING ROAD JEFFERSON, WI 53549	N/A	PUBLIC CHARITY	NEW FACILITY.	50,000.
HYDROCEPHALUS ASSOCIATION 4340 EAST WEST HIGHWAY SUITE 905 BETHESDA, MD 20024	N/A	PUBLIC CHARITY	CSF EXPLORATORY PROGRAM, PATIENT EDUCATION, AND GENERAL OPERATIONS.	183,500.
ICE AGE TRAIL ALLIANCE 2110 MAIN STREET CROSS PLAINS, WI 53528	N/A	PUBLIC CHARITY	SAUNTERS PROGRAM - MORE LITTLE BOOTS ON THE ICE AGE TRAIL.	35,000.
Total from continuation sheets				

Part XV | **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
IMMANUEL LUTHERAN CHURCH 2317 S. WOLF RD. HILLSIDE, IL 60162-2298	N/A	PUBLIC CHARITY	INCREASE DAY SCHOOL ENROLLMENT.	20,000.
INDIANA UNIVERSITY FOUNDATION 1500 IN-46 BLOOMINGTON, IN 47408	N/A	PUBLIC CHARITY	JACOBS SCHOOL OF MUSIC.	5,000.
LAKE COUNTRY LUTHERAN HIGH SCHOOL 401 CAMPUS DRIVE HARTLAND, WI 53029	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	2,900.
LCMS 1333 S. KIRKWOOD RD. ST. LOUIS, MO 63122-7295	N/A	PUBLIC CHARITY	KRUEGER RETREAT CENTER ROOFING AND HANDICAPPED PARKING.	21,800.
LCMS 1333 S. KIRKWOOD RD. ST. LOUIS, MO 63122-7295	N/A	PUBLIC CHARITY	WITTENBERG PROJECT.	250,000.
LITERACY SERVICES OF WISCONSIN 555 N. PLANKINTON AVENUE MILWAUKEE, WI 53203	N/A	PUBLIC CHARITY	WORKFORCE READINESS INITIATIVE.	10,000.
LIVING WATERS MINISTRIES FOUNDATION 1127 NORVIEW AVENUE NORFOLK, VA 23513	N/A	PUBLIC CHARITY	CASE MANAGER/EQUIPMENT/SUPP	9,964.
LIVING WORD LUTHERAN HIGH SCHOOL 2230 LIVING WORD LANE JACKSON, WI 53037	N/A	PUBLIC CHARITY	STUDENT CENTER CHALLENGE GRANT.	150,000.
LUTHER ACADEMY 6600 N. CLINTON STREET FORT WAYNE, IN 46825	N/A	PUBLIC CHARITY	FELLOW PROGRAM.	30,000.
LUTHERAN ASSOCIATION OF MISSIONARIES AND PILOTS US P.O. BOX 480167 NEW HAVEN, MI 48048	N/A	PUBLIC CHARITY	HASKELL LIGHT CAMPUS MINISTRY MENTORING NATIVE LEADERS.	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUTHERAN HIGH SCHOOL ASSOCIATION OF GREATER MILWAUKEE 5201 S. 76TH STREET GREENDALE, WI 53129	N/A	PUBLIC CHARITY	STUDENT AID FUND.	8,500.
LUTHERAN HOUR MINISTRIES 660 MASON RIDGE CENTER ST. LOUIS, MO 63141	N/A	PUBLIC CHARITY	LUTHERAN HOUR MEDIA MINISTRY.	50,000.
LUTHERAN SPECIAL SCHOOL 9700 W. GRANTOSA DR. MILWAUKEE, WI 53222	N/A	PUBLIC CHARITY	OPERATIONS	7,500.
LUTHERAN URBAN MISSION INITIATIVE 8242 N. GRANVILLE RD. MILWAUKEE, WI 53224	N/A	PUBLIC CHARITY	HEALTH SERVICES COORDINATOR.	70,000.
MAKE-A-WISH FOUNDATION OF WISCONSIN 13195 W. HAMPTON AVENUE BUTLER, WI 53007	N/A	PUBLIC CHARITY	GENERAL OPERATIONS.	900.
MAYO CLINIC 200 FIRST STREET, SW ROCHESTER, MN 55905	N/A	PUBLIC CHARITY	MAGNETIC RESONANCE HYDROCEPHALUS RESEARCH.	100,000.
MEDICAL COLLEGE OF WISCONSIN 8701 W. WATERTOWN PLANK ROAD MILWAUKEE, WI 53226	N/A	PUBLIC CHARITY	DRUG TARGETING OF PANCREATIC CANCER STEM CELLS.	250,000.
MERIT SCHOOL OF MUSIC 38 S. PEORIA STREET CHICAGO, IL 60607	N/A	PUBLIC CHARITY	INSTRUMENTAL MUSIC PROGRAM.	50,000.
MILTON, CITY OF 710 S. JANESVILLE STREET MILTON, WI 53563	N/A	PUBLIC CHARITY	CROSSRIDGE PARK PLAYGROUND EQUIPMENT.	10,000.
MILWAUKEE BALLET COMPANY 504 W. NATIONAL AVENUE MILWAUKEE, WI 53204	N/A	PUBLIC CHARITY	GENERAL OPERATIONS.	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MILWAUKEE RESCUE MISSION 8830 N. 19TH STREET MILWAUKEE, WI 53233	N/A	PUBLIC CHARITY	FLOOR REFINISHING/CARPET REPLACEMENT/BEDDING.	76,994.
MARC LUSTGARTEN PANCREATIC CANCER FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	N/A	PUBLIC CHARITY	PANCREATIC CANCER RESEARCH.	167,500.
MILWAUKEE SCHOOL OF ENGINEERING 1025 NORTH BROADWAY MILWAUKEE, WI 53202-3109	N/A	PUBLIC CHARITY	SCHOLARSHIP RENEWAL	68,845.
MOUNT CALVARY LUTHERAN CHURCH AND SCHOOL 2862 N. 53RD STREET MILWAUKEE, WI 53210	N/A	PUBLIC CHARITY	SCHOOL TECHNOLOGY UPGRADE.	31,566.
MURRAY STATE UNIVERSITY FOUNDATION 102 CURRIS CENTER MURRAY, KY 42071	N/A	PUBLIC CHARITY	WALTER L. WUSTER MEMORIAL SCHOLARSHIP.	23,231.
NORTH PARK UNIVERSITY 3225 W. FOSTER AVENUE CHICAGO, IL 60625	N/A	PUBLIC CHARITY	CONSTRUCTION OF STUDENT FACILITY.	250,000.
OCONOMOWOC FOOD PANTRY W359 N5848 BROWN STREET OCONOMOWOC, WI 53066	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	2,500.
OUTDOOR OUTREACH 5275 MARKET STREET SAN DIEGO, CA 92114	N/A	PUBLIC CHARITY	SUMMER DAY CAMP EXPENSES.	10,000.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE, SUITE 200 MANHATTAN BEACH, CA 90266	N/A	PUBLIC CHARITY	PANCREATIC CANCER RESEARCH.	100,000.
PEWAUKEE INTER-CHURCH LAY COUNCIL W243N2312 SADDLE BROOK DRIVE, APARTMENT 11 PEWAUKEE, WI 53072	N/A	PUBLIC CHARITY	PEWAUKEE FOOD PANTRY.	2,500.
Total from continuation sheets				

THEODORE W. BATTERMAN
FAMIL FOUNDATION, INC.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MACC FUND 10000 W. INNOVATION DRIVE, SUITE 135 MILWAUKEE, WI 53226	N/A	PUBLIC CHARITY	LINKED FOR HOPE - WORLD RECORD ATTEMPT FUNDRAISER.	1,000.
RIDING ON INSULIN P.O. BOX 1516 WHITEFISH, MT 59937	N/A	PUBLIC CHARITY	SKI/SNOWBOARD/BMX EVENTS.	10,000.
RISEN SAVIOR LUTHERAN CHURCH AND SCHOOL 9550 W. BROWN DEER ROAD MILWAUKEE, WI 53224	N/A	PUBLIC CHARITY	COMPUTER LAB.	40,000.
ROCK RIVER HERITAGE 211 S. WATER STREET, STE E FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	RENOVATE FORT ATKINSON CLUB.	125,000.
ROGERS MEMORIAL HOSPITAL FOUNDATION 34700 VALLEY ROAD OCONOMOWOC, WI 53066	N/A	PUBLIC CHARITY	SPIRITUAL CARE PROGRAM.	20,000.
ROTARY GARDENS 1455 PALMER DR. JANESVILLE, WI 53545	N/A	PUBLIC CHARITY	POND MANAGEMENT SYSTEM.	3,500.
SALVATION ARMY JANESVILLE 2826 MILTON AVE. JANESVILLE, WI 53545	N/A	PUBLIC CHARITY	FACILITIES IMPROVEMENTS.	132,104.
SALVATION ARMY MILWAUKEE P.O. BOX 26019 WAUWATOSA, WI 53226-0019	N/A	PUBLIC CHARITY	RED KETTLE CHRISTMAS CAMPAIGN.	110,000.
SALVATION ARMY OF DANE COUNTY 3030 DARBO DRIVE MADISON, WI 53714	N/A	PUBLIC CHARITY	SINGLE WOMEN'S SHELTER.	3,000.
SAMARITAN'S PURSE 801 BAMBOO ROAD P.O. BOX 3000 BOONE, NC 28607-3000	N/A	PUBLIC CHARITY	EBOLA VIRUS ASSISTANCE.	50,000.
Total from continuation sheets				

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAMARITAN'S PURSE 801 BAMBOO ROAD P.O. BOX 3000 BOONE, NC 28607-3000	N/A	PUBLIC CHARITY	MISSION SUPPORT.	5,000.
SCHOOLS THAT CAN MILWAUKEE 111 W. PLEASANT ST #101 MILWAUKEE, WI 53212	N/A	PUBLIC CHARITY	SCHOOL LEADERSHIP COACHING.	50,000.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	3,000.
ST. JOHN'S LUTHERAN SCHOOL 1000 BLUFF ST. BELOIT, WI 53511	N/A	PUBLIC CHARITY	TECHNOLOGY UPGRADES	10,000.
ST. MARCUS LUTHERAN CHURCH AND SCHOOL 2215 NORTH PALMER ST. MILWAUKEE, WI 53212	N/A	PUBLIC CHARITY	CAMPUS EXPANSION.	100,000.
ST. PETER'S EVANGELICAL LUTHERAN CHURCH AND SCHOOL 1600 S. MAIN STREET FOND DU LAC, WI 54937	N/A	PUBLIC CHARITY	DRIVEWAY BLACKTOPPING.	10,000.
ST. VINCENT DE PAUL SOCIETY-FORT ATKINSON 1525 SUMMIT DRIVE SUITE 4 FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	NORTH LAKE FOOD PANTRY.	2,500.
TOMORROW'S HOPE 147 W. ROCKWELL STREET JEFFERSON, WI 53549	N/A	PUBLIC CHARITY	HOPE FEST.	30,000.
UNITED WAY BLACKHAWK REGION 205 N. MAIN STREET, SUITE 101 JANESVILLE, WI 53545	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	10,000.
UNITED WAY OF JEFFERSON AND NORTHERN WALWORTH COUNTIES 734 MADISON AVENUE FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF WISCONSIN BOARD OF REGENTS 21 N. PARK STREET SUITE 6401 MADISON, WI 53715-1218	N/A	PUBLIC CHARITY	HYDROCEPHALUS OVERSHUNTING PROJECT.	150,000.
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVENUE P.O. BOX 8860 MADISON, WI 53708-8860	N/A	PUBLIC CHARITY	CAPITAL CAMPAIGN.	200,000.
WHITEWATER UNIFIED SCHOOL DISTRICT 419 S. ELIZABETH STREET WHITEWATER, WI 53190	N/A	PUBLIC CHARITY	AGRISCIENCE SUPPLIES AND DRINKING FOUNTAIN/WATER BOTTLE FILLER STATIONS	8,898.
WISCONSIN ASSOCIATION OF INDEPENDENT COLLEGES AND UNIVERSITIES 122 W. WASHINGTON AVENUE SUITE 700 MADISON, WI 53703-2723	N/A	PUBLIC CHARITY	WAICU NONPROFIT INTERNSHIP PROGRAM.	62,150.
WISCONSIN CONSERVATORY OF MUSIC 1584 N. PROSPECT AVE. MILWAUKEE, WI 53202-6501	N/A	PUBLIC CHARITY	JAZZ INSTITUTE.	170,250.
WISCONSIN LUTHERAN CHAPEL 220 W. GILMAN ST. MADISON, WI 53703	N/A	PUBLIC CHARITY	MINISTRY EXPANSION	15,000.
WISCONSIN LUTHERAN COLLEGE 8800 WEST BLEMOUND RD. MILWAUKEE, WI 53226-0019	N/A	PUBLIC CHARITY	ALUMNI GIFT CHALLENGE.	12,000.
WISCONSIN LUTHERAN HIGH SCHOOL 330 GLENVIEW AVENUE MILWAUKEE, WI 53213	N/A	PUBLIC CHARITY	CAPITAL CAMPAIGN.	100,000.
WISCONSIN RIGHT TO LIFE EDUCATION FUND 9730 W. BLUEMOUND ROAD, SUITE 200 MILWAUKEE, WI 53226	N/A	PUBLIC CHARITY	VERITAS SOCIETY.	15,000.
WISCONSIN SCHOOL MUSIC ASSOCIATION 1005 QUINN DRIVE WAUNAKEE, WI 53597	N/A	PUBLIC CHARITY	LAUNCHPAD FINALS/MUSIC BIZ DAY	5,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WORLD VISION 5001 W. HARRISON STREET CHICAGO, IL 60644	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	900.
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD, SUITE 300 JACKSONVILLE, FL 32256	N/A	PUBLIC CHARITY	PROGRAM SUPPORT.	5,000.
WYCLIFFE BIBLE TRANSLATORS P.O.BOX 628200 11221 JOHN WHYCLIFFE BLVD, ORLANDO, FL 32862-8200	N/A	PUBLIC CHARITY	MORRIS AND WENDY JOHNSON MINISTRY.	40,000.
YMCA OF NORTHERN ROCK COUNTY 221 DODGE STREET JANESVILLE, WI 53548	N/A	PUBLIC CHARITY	PERMANENT HOUSING REHABILITATION.	66,000.
ZOOLOGICAL SOCIETY OF MILWAUKEE COUNTY 10005 WEST BLUE MOUND ROAD MILWAUKEE, WI 53226	N/A	PUBLIC CHARITY	ANIMAL AMBASSADOR CONTINUUM	13,942.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST FIRST STATE-K-1	7.	7.	7.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	8,916.	8,916.	8,916.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	48,040.	48,040.	48,040.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1	14,822.	14,822.	14,822.
NORTHERN TRUST REAL ASSETS-K-1	5,070.	5,070.	5,070.
TOTAL TO PART I, LINE 3	76,855.	76,855.	76,855.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST COMPANY (02906) - DIVIDENDS	8,672.	7,724.	948.	948.	948.
NORTHERN TRUST COMPANY (17923) - DIVIDENDS	4.	0.	4.	4.	4.
NORTHERN TRUST COMPANY (19281) - DIVIDENDS	40,906.	0.	40,906.	40,906.	40,906.
NORTHERN TRUST COMPANY (19281) - FOREIGN DIVIDENDS	154,454.	0.	154,454.	154,454.	154,454.
NORTHERN TRUST COMPANY (19282) - DIVIDENDS	143,498.	4,203.	139,295.	139,295.	139,295.
NORTHERN TRUST COMPANY (35009) - DIVIDENDS	2.	0.	2.	2.	2.
NORTHERN TRUST COMPANY (35044) - DIVIDENDS	3.	0.	3.	3.	3.
NORTHERN TRUST COMPANY (45512) - DIVIDENDS	8,799.	0.	8,799.	8,799.	8,799.
NORTHERN TRUST COMPANY (45512) - FOREIGN DIVIDENDS	1,227.	0.	1,227.	1,227.	1,227.

NORTHERN TRUST COMPANY (45514) - DIVIDENDS	47,601.	884.	46,717.	46,717.	46,717.
NORTHERN TRUST COMPANY (45514) - FOREIGN DIVIDENDS	1,997.	0.	1,997.	1,997.	1,997.
NORTHERN TRUST COMPANY (45516) - DIVIDENDS	1,478.	0.	1,478.	1,478.	1,478.
NORTHERN TRUST COMPANY (45517) - DIVIDENDS	2.	0.	2.	2.	2.
NORTHERN TRUST COMPANY (45517) - FOREIGN DIVIDENDS	2,128.	0.	2,128.	2,128.	2,128.
NORTHERN TRUST COMPANY (45570) - DIVIDENDS	12,550.	8,800.	3,750.	3,750.	3,750.
NORTHERN TRUST COMPANY (45570) - FOREIGN DIVIDEND	1,511.	0.	1,511.	1,511.	1,511.
NORTHERN TRUST COMPANY (45571) - DIVIDENDS	29,204.	0.	29,204.	29,204.	29,204.
NORTHERN TRUST COMPANY (45571) - DIVIDENDS	73,531.	0.	73,531.	73,531.	73,531.
NORTHERN TRUST COMPANY (50042) - DIVIDENDS	492,939.	107,956.	384,983.	384,983.	384,983.
NORTHERN TRUST COMPANY (51398) - DIVIDENDS	162.	0.	162.	162.	162.
NORTHERN TRUST COMPANY (51398) - FOREIGN DIVIDENDS	66,910.	0.	66,910.	66,910.	66,910.
NORTHERN TRUST COMPANY (61354) - DIVIDENDS	3,971.	0.	3,971.	3,971.	3,971.
NORTHERN TRUST COMPANY (61354) -FOREIGN DIVIDENDS	180.	0.	180.	180.	180.
NORTHERN TRUST COMPANY (95411) - DIVIDENDS	294,991.	279,867.	15,124.	15,124.	15,124.
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL	1,693.	0.	1,693.	1,693.	1,693.
NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL	66,743.	0.	66,743.	66,743.	66,743.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP	32,630.	0.	32,630.	32,630.	32,630.

NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP	99,435.	0.	99,435.	99,435.	99,435.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP	51,161.	0.	51,161.	51,161.	51,161.
TO PART I, LINE 4	<u>1,638,382.</u>	<u>409,434.</u>	<u>1,228,948.</u>	<u>1,228,948.</u>	<u>1,228,948.</u>

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	4,950.	4,950.	0.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	31,482.	31,482.	0.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1	14,956.	14,956.	0.
NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL EMERGING MARKETS FUND K-1	-5,731.	-5,731.	0.
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL VI, LP K-1	6,628.	6,628.	0.
NORTHERN TRUST (02906)	7.	7.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	<u>52,292.</u>	<u>52,292.</u>	<u>0.</u>

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	25,640.	0.	0.	25,640.
TO FORM 990-PF, PG 1, LN 16B	<u>25,640.</u>	<u>0.</u>	<u>0.</u>	<u>25,640.</u>

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	550,474.	550,474.	0.	0.
AGENCY FEES	202,178.	84,317.	0.	117,861.
LEGAL	21,456.	0.	0.	21,456.
TO FORM 990-PF, PG 1, LN 16C	774,108.	634,791.	0.	139,317.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	36,982.	36,982.	0.	0.
EXCISE TAX	83,828.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	120,810.	36,982.	0.	0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	2,648.	0.	0.	2,648.
DUES	8,200.	0.	0.	8,200.
TO FORM 990-PF, PG 1, LN 23	10,848.	0.	0.	10,848.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1 - NON-DEDUCTIBLE EXPENSES	29.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1 - NON-DEDUCTIBLE EXPENSE	2,404.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1 - NON-DEDUCTIBLE EXPENSE	570.
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL VI, LP K-1	4.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,007.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS - SEE ATTACHED STMT	2,965,996.	3,299,710.
EQUITY FUNDS - SEE ATTACHED STMT	17,902,766.	21,187,598.
TOTAL TO FORM 990-PF, PART II, LINE 10B	20,868,762.	24,487,308.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED STMT	7,202,328.	7,193,524.
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,202,328.	7,193,524.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN PARTNERSHIP	COST	10,164,747.	15,476,449.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,164,747.	15,476,449.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THEODORE W. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	PRES/TRES/DIR 0.00	0.	0.	0.
LINDA C. BATTERMAN JOHNSON 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
CHRISTOPHER T. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
LAURA G. BATTERMAN WILKINS 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
ANDREW R. LAURITZEN 780 NORTH WATER STREET MILWAUKEE, WI 53202	ASST SECY/ASST TRES/DIR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

2014 Tax Information Statement

Page 6 of 10
Ref: ENH

Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number:
26-02906
XX-XXX8812

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	04/01/2014	02/28/2014	162,742.00	162,939.55		0.00	-197.55	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	04/14/2014	02/28/2014	66,274.38	66,309.97		0.00	-35.59	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	04/25/2014	02/28/2014	74,000.97	74,022.19		0.00	-21.22	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	05/07/2014	02/28/2014	99,838.97	99,880.81		0.00	-41.84	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	05/23/2014	02/28/2014	50,248.55	50,205.04		0.00	43.51	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	06/02/2014	02/28/2014	149,891.66	149,934.63		0.00	-42.97	0.00	0.00
MFC FLEXSHARES TR READY ACCESS VAR INCOME FD									
33939L886	06/17/2014	02/28/2014	99,817.17	99,880.81		0.00	-63.64	0.00	0.00
Total Short Term Sales									
			702,813.70	703,173.00		0.00	-359.30	0.00	0.00

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2014 Tax Information Statement

Account Number: 26-45512
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
AT&T INC									
00206R102	01/23/2014	08/01/2013	404.39	428.88		0.00	-24.49	0.00	0.00
ABBVIE INC COM USD0.01									
00287Y109	01/23/2014	Various	26,961.43	28,494.06		0.00	-1,532.63	0.00	0.00
APPLE COMPUTER INC									
037833100	01/23/2014	07/02/2013	54,368.51	40,863.13		0.00	13,505.38	0.00	0.00
BCE INC COM NEW									
05534B760	01/23/2014	08/01/2013	588.86	574.68		0.00	14.18	0.00	0.00
CULLEN FROST BANKERS INC COM									
229899109	01/23/2014	Various	78,701.24	74,572.50		0.00	4,128.74	0.00	0.00
HCP, INC									
40414L109	01/23/2014	08/01/2013	461.27	505.11		0.00	-43.84	0.00	0.00
INTEL CORP COM									
458140100	01/23/2014	08/01/2013	125.55	116.23		0.00	9.32	0.00	0.00
LOCKHEED MARTIN CORP									
539830109	01/23/2014	Various	83,132.22	67,627.51		0.00	15,504.71	0.00	0.00
MERCK & CO INC									
58933Y105	01/23/2014	11/15/2013	39,125.77	36,486.50		0.00	2,639.27	0.00	0.00

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2014 Tax Information Statement

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THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
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Description of property

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PAYCHEX INC									
704326107	01/23/2014	Various	39,629.37	36,958.86		0.00	2,670.51	0.00	0.00
QUALCOMM INC									
747525103	01/23/2014	Various	72,924.26	61,443.26		0.00	11,481.00	0.00	0.00
SPECTRA ENERGY CORP COM STK									
847560109	01/23/2014	Various	74,814.09	71,669.63		0.00	3,144.46	0.00	0.00
TUPPERWARE CORP									
899896104	01/23/2014	Various	29,272.48	26,069.16		0.00	3,203.32	0.00	0.00
US BANCORP DEL NEW									
902973304	01/23/2014	Various	83,564.62	69,599.91		0.00	13,964.71	0.00	0.00
VENTAS INC COM									
92276F100	01/23/2014	05/15/2013	23,507.35	31,149.73		0.00	-7,642.38	0.00	0.00
WISCONSIN ENERGY CORP									
976657106	01/23/2014	Various	56,407.66	57,335.98		0.00	-928.32	0.00	0.00
LYONDELLBASELL IND N V COM USD0.01 CL 'A'									
N53745100	01/23/2014	01/09/2014	56,288.01	58,250.05		0.00	-1,962.04	0.00	0.00
Total Short Term Sales			720,277.08	662,145.18		0.00	58,131.90	0.00	0.00

Long Term Sales

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2014 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00206R102	01/09/2014	09/19/2012	14,449.24	16,083.81		0.00	-1,634.57	0.00	0.00
AT&T INC									
494368103	01/09/2014	09/19/2012	6,215.85	4,951.64		0.00	1,264.21	0.00	0.00
KIMBERLY CLARK CORP									
580135101	01/09/2014	09/19/2012	26,684.29	25,519.49		0.00	1,164.80	0.00	0.00
MCDONALDS CORP									
00206R102	01/23/2014	09/19/2012	22,207.92	24,764.56		0.00	-2,556.64	0.00	0.00
AT&T INC									
00287Y109	01/23/2014	09/19/2012	50,425.16	36,280.22		0.00	14,144.94	0.00	0.00
ABBVIE INC COM USD0.01									
02209S103	01/23/2014	09/19/2012	61,581.23	57,002.25		0.00	4,578.98	0.00	0.00
ALTRIA GROUP INC									
032654105	01/23/2014	09/19/2012	41,528.99	33,827.19		0.00	7,701.80	0.00	0.00
ANALOG DEVICES INC									
053015103	01/23/2014	09/19/2012	56,864.89	42,582.78		0.00	14,282.11	0.00	0.00
AUTOMATIC DATA PROCESSING INC									
055348760	01/23/2014	09/19/2012	47,192.74	50,945.19		0.00	-3,752.45	0.00	0.00
BCE INC COM NEW									

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CHICAGO, IL 60680

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Description of property

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BAXTER INTL INC									
071813109	01/23/2014	09/19/2012	45,141.10	38,901.62		0.00	6,239.48	0.00	0.00
BLACKROCK INC CL A									
09247X101	01/23/2014	09/19/2012	40,071.09	22,763.95		0.00	17,307.14	0.00	0.00
BRISTOL MYERS SQUIBB CO									
110122108	01/23/2014	09/19/2012	49,270.78	30,501.70		0.00	18,769.08	0.00	0.00
CHEVRONTEXACO CORP COM									
166764100	01/23/2014	Various	82,481.54	79,469.76		0.00	3,011.78	0.00	0.00
CISCO SYS INC									
17275R102	01/23/2014	Various	75,666.36	66,637.54		0.00	9,028.82	0.00	0.00
COCA COLA CO									
191216100	01/23/2014	09/19/2012	57,201.81	55,147.12		0.00	2,054.69	0.00	0.00
EMERSON ELECTRIC CO									
291011104	01/23/2014	09/19/2012	74,946.35	55,939.62		0.00	19,006.73	0.00	0.00
ARTHUR J GALLAGER & CO									
363576109	01/23/2014	09/19/2012	49,710.88	37,241.03		0.00	12,469.85	0.00	0.00
GENERAL ELECTRIC CO									
369604103	01/23/2014	Various	93,034.22	77,685.24		0.00	15,348.98	0.00	0.00

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26-45512

Account Number:
Recipient's Tax ID Number:

Ref: ENH

THEODORE W BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
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372460105	01/23/2014	09/19/2012	42,436.98	31,783.22		0.00	10,653.76	0.00	0.00
	GENUINE PARTS CO								
37733W105	01/23/2014	09/19/2012	51,978.15	43,140.07		0.00	8,838.08	0.00	0.00
	GLAXO PLC								
	HCP, INC								
40414L109	01/23/2014	09/19/2012	54,968.25	65,818.05		0.00	-10,849.80	0.00	0.00
	HEALTH CARE REIT INC COM								
42217K106	01/23/2014	09/19/2012	57,649.22	58,046.37		0.00	-397.15	0.00	0.00
	INTEL CORP COM								
458140100	01/23/2014	Various	91,524.71	84,822.59		0.00	6,702.12	0.00	0.00
	JOHNSON & JOHNSON								
478160104	01/23/2014	09/19/2012	104,380.92	77,176.96		0.00	27,203.96	0.00	0.00
	KIMBERLY CLARK CORP								
494368103	01/23/2014	09/19/2012	93,363.31	73,284.34		0.00	20,078.97	0.00	0.00
	KRAFT FOODS GROUP INC COM								
50076Q106	01/23/2014	Various	80,370.21	69,005.40		0.00	11,364.81	0.00	0.00
	MATTTEL INC								
577081102	01/23/2014	09/19/2012	64,911.78	52,055.40		0.00	12,856.38	0.00	0.00

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 THEODORE W BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

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580135101	01/23/2014	09/19/2012	77,657.11	74,637.64		0.00	3,019.47	0.00	0.00
MCDONALDS CORP									
58933Y105	01/23/2014	09/19/2012	31,393.40	27,027.42		0.00	4,365.98	0.00	0.00
MERCK & CO INC									
594918104	01/23/2014	Various	71,710.39	54,375.61		0.00	17,334.78	0.00	0.00
MICROSOFT CORP COM									
65339F101	01/23/2014	09/19/2012	30,227.41	23,290.30		0.00	6,937.11	0.00	0.00
NEXTERA ENERGY INC COM									
66987V109	01/23/2014	09/19/2012	70,768.22	51,215.95		0.00	19,552.27	0.00	0.00
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098									
ONEOK INC NEW									
682680103	01/23/2014	09/19/2012	106,254.00	73,008.22		0.00	33,245.78	0.00	0.00
PHILIP MORRIS INTERNATIONAL									
718172109	01/23/2014	09/19/2012	66,687.31	70,973.21		0.00	-4,285.90	0.00	0.00
PROCTER & GAMBLE CO									
742718109	01/23/2014	09/19/2012	67,363.46	58,945.86		0.00	8,417.60	0.00	0.00
RLTY INC CORP COM									
756109104	01/23/2014	09/19/2012	21,964.62	23,154.96		0.00	-1,190.34	0.00	0.00

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THEODORE W BATTERMAN FAMILY
FOUNDATION
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780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45512
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Description of property

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969457100	01/23/2014	09/19/2012	88,964.63	76,651.47		0.00	12,313.16	0.00	0.00
WILLIAMS COS INC									
Total Long Term Sales			2,169,248.52	1,844,657.75		0.00	324,590.77	0.00	0.00

2014 Tax Information Statement

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Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number:
26-45514
XX-XXX8812

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

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Short Term Sales									
WESTERN UNION CO									
959802109	01/13/2014	07/17/2013	15,511.15	16,463.98		0.00	-952.83	0.00	0.00
WESTERN UNION CO									
959802109	01/14/2014	Various	15,534.03	16,317.87		0.00	-783.84	0.00	0.00
ENDO PHARMACEUTICALS HLDGS INC									
29264F205	01/16/2014	01/29/2013	3,153.50	1,284.09		0.00	1,869.41	0.00	0.00
ENDO PHARMACEUTICALS HLDGS INC									
29264F205	01/22/2014	01/29/2013	6,136.69	2,596.72		0.00	3,539.97	0.00	0.00
ENDO PHARMACEUTICALS HLDGS INC									
29264F205	01/23/2014	01/29/2013	6,087.49	2,653.79		0.00	3,433.70	0.00	0.00
ENDO PHARMACEUTICALS HLDGS INC									
29264F205	01/24/2014	01/29/2013	9,364.72	4,080.57		0.00	5,284.15	0.00	0.00
UTD THERAPEUTICS CORP DEL COM STK									
91307C102	02/04/2014	Various	29,611.10	27,793.96		0.00	1,817.14	0.00	0.00
HERBALIFE LTD COM STK									
G4412G101	02/04/2014	Various	28,352.97	34,017.25		0.00	-5,664.28	0.00	0.00
UTD THERAPEUTICS CORP DEL COM STK									
91307C102	02/11/2014	Various	27,963.25	20,162.90		0.00	7,800.35	0.00	0.00

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FOUNDATION
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Description of property

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515098101	03/07/2014	Various	32,639.67	30,888.92		0.00	1,750.75	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/18/2014	08/27/2013	3,186.71	3,005.68		0.00	181.03	0.00	0.00
	LANDSTAR SYS INC								
G4412G101	03/18/2014	12/06/2013	19,500.81	27,367.04		0.00	-7,866.23	0.00	0.00
	HERBALIFE LTD COM STK								
	KELLOGG CO								
487836108	03/19/2014	Various	47,356.21	47,459.98		0.00	-103.77	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/19/2014	Various	3,163.19	2,995.02		0.00	168.17	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/20/2014	08/21/2013	3,076.83	2,921.26		0.00	155.57	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/24/2014	08/21/2013	6,331.81	6,011.06		0.00	320.75	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/25/2014	08/21/2013	3,135.72	2,977.44		0.00	158.28	0.00	0.00
	LANDSTAR SYS INC								
515098101	03/26/2014	Various	3,210.78	3,049.38		0.00	161.40	0.00	0.00

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Account Number: 26-45514
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
LANDSTAR SYS INC									
515098101	03/28/2014	08/16/2013	5,249.53	4,965.11		0.00	284.42	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/10/2014	11/29/2013	4,330.96	3,154.71		0.00	1,176.25	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/11/2014	11/29/2013	4,636.85	3,528.30		0.00	1,108.55	0.00	0.00
WESTERN UNION CO									
959802109	04/11/2014	Various	5,809.02	6,147.86		0.00	-338.84	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/14/2014	11/29/2013	4,698.70	3,528.30		0.00	1,170.40	0.00	0.00
SM ENERGY CO COM									
78454L100	04/15/2014	Various	15,084.04	17,641.09		0.00	-2,557.05	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/15/2014	11/29/2013	4,613.11	3,486.79		0.00	1,126.32	0.00	0.00
WESTERN UNION CO									
959802109	04/15/2014	Various	21,768.12	23,438.12		0.00	-1,670.00	0.00	0.00
SM ENERGY CO COM									
78454L100	04/16/2014	Various	15,888.58	16,888.20		0.00	-999.62	0.00	0.00

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903914109	04/16/2014	Various	9,679.81	7,201.48		0.00	2,478.33	0.00	0.00
903914109	04/17/2014	Various	10,340.71	7,339.43		0.00	3,001.28	0.00	0.00
487836108	04/30/2014	04/14/2014	16,104.69	15,853.33		0.00	251.36	0.00	0.00
626717102	04/30/2014	Various	14,048.14	14,421.45		0.00	-373.31	0.00	0.00
64126X201	04/30/2014	Various	6,471.14	8,761.70		0.00	-2,290.56	0.00	0.00
959802109	04/30/2014	12/02/2013	8,318.15	8,808.80		0.00	-490.65	0.00	0.00
626717102	05/02/2014	Various	14,006.80	14,777.12		0.00	-770.32	0.00	0.00
64126X201	05/02/2014	Various	6,399.08	8,267.84		0.00	-1,868.76	0.00	0.00
626717102	05/05/2014	Various	14,504.65	14,941.19		0.00	-436.54	0.00	0.00

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NEUSTAR INC CL A									
64126X201	05/05/2014	03/24/2014	6,335.53	8,496.01		0.00	-2,160.48	0.00	0.00
KELLOGG CO									
487836108	05/06/2014	Various	15,954.26	15,953.81		0.00	0.45	0.00	0.00
MURPHY OIL CORP									
626717102	05/06/2014	Various	14,401.46	14,733.02		0.00	-331.56	0.00	0.00
NEUSTAR INC CL A									
64126X201	05/06/2014	03/24/2014	4,745.36	6,329.01		0.00	-1,583.65	0.00	0.00
CHEVRONTXACO CORP COM									
166764100	05/16/2014	02/27/2014	2,831.85	2,659.84		0.00	172.01	0.00	0.00
CONTINENTAL RES INC COM									
212015101	05/16/2014	Various	2,656.89	2,053.51		0.00	603.38	0.00	0.00
OASIS PETE INC NEW COM STK									
674215108	05/16/2014	12/06/2013	2,290.39	2,158.39		0.00	132.00	0.00	0.00
NAVIENT CORP COM									
63938C108	05/30/2014	Various	18,187.60	20,217.86		0.00	-2,030.26	0.00	0.00
SLM CORPORATION SECURITIES									
78442P106	05/30/2014	Various	9,962.09	11,258.64		0.00	-1,296.55	0.00	0.00

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UTD THERAPEUTICS CORP DEL COM STK									
91307C102	05/30/2014	Various	16,230.17	17,586.46		0.00	-1,356.29	0.00	0.00
UTD THERAPEUTICS CORP DEL COM STK									
91307C102	06/02/2014	Various	16,320.53	16,904.17		0.00	-583.64	0.00	0.00
ACCENTURE PLC SHS CL A NEW									
G1151C101	07/15/2014	06/18/2014	31,733.06	33,108.04		0.00	-1,374.98	0.00	0.00
CHEVRONTEXACO CORP COM									
166764100	08/01/2014	02/27/2014	32,234.84	29,026.92		0.00	3,207.92	0.00	0.00
LYONDELLBASELL IND N V COM USD0.01 CL 'A'									
N53745100	08/01/2014	02/28/2014	15,457.27	12,768.95		0.00	2,688.32	0.00	0.00
JOY GLOBAL INC									
481165108	08/06/2014	08/27/2013	236.94	204.96		0.00	31.98	0.00	0.00
PBF ENERGY INC CL A CL A									
69318G106	08/11/2014	Various	27,449.37	33,295.55		0.00	-5,846.18	0.00	0.00
SM ENERGY CO COM									
78454L100	08/11/2014	Various	41,419.31	38,750.84		0.00	2,668.47	0.00	0.00
SOUTHWESTERN ENERGY CO COM									
845467109	08/11/2014	06/18/2014	27,963.28	33,106.62		0.00	-5,143.34	0.00	0.00

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ACCENTURE PLC SHS CL A NEW									
G1151C101	08/11/2014	Various	32,604.85	32,636.55		0.00	-31.70	0.00	0.00
ENDO INTL LTD COM STK									
G30401106	08/26/2014	Various	32,636.54	32,729.63		0.00	-93.09	0.00	0.00
COPA HOLDINGS SA COM STK									
P31076105	08/27/2014	Various	16,833.05	20,824.86		0.00	-3,991.81	0.00	0.00
COPA HOLDINGS SA COM STK									
P31076105	08/28/2014	Various	16,854.22	20,320.84		0.00	-3,466.62	0.00	0.00
AES CORP									
00130H105	09/08/2014	Various	30,227.65	30,630.14		0.00	-402.49	0.00	0.00
AMERICAN CAP AGY CORP									
02503X105	09/08/2014	03/20/2014	34,567.49	32,158.01		0.00	2,409.48	0.00	0.00
AMERISOURCE BERGEN CORP									
03073E105	09/08/2014	Various	73,044.99	65,114.52		0.00	7,930.47	0.00	0.00
BAXTER INTL INC									
071813109	09/08/2014	Various	33,169.61	31,337.81		0.00	1,831.80	0.00	0.00
CATERPILLAR INC									
149123101	09/08/2014	Various	68,990.60	65,644.77		0.00	3,345.83	0.00	0.00

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COACH INC									
189754104	09/08/2014	Various	28,296.87	33,630.88		0.00	-5,334.01	0.00	0.00
COLGATE PALMOLIVE CO									
194162103	09/08/2014	Various	30,790.33	31,827.94		0.00	-1,037.61	0.00	0.00
CONOCOPHILLIPS									
20825C104	09/08/2014	Various	69,882.48	64,874.56		0.00	5,007.92	0.00	0.00
CONTINENTAL RES INC COM									
212015101	09/08/2014	Various	82,750.89	55,194.07		0.00	27,556.82	0.00	0.00
GILEAD SCIENCES INC									
375558103	09/08/2014	Various	82,515.96	65,167.44		0.00	17,348.52	0.00	0.00
INTL BUSINESS MACHINES CORP									
459200101	09/08/2014	Various	54,493.54	53,866.35		0.00	627.19	0.00	0.00
KELLOGG CO									
487836108	09/08/2014	Various	76,396.87	76,501.76		0.00	-104.89	0.00	0.00
MARATHON PETE CORP COM									
56585A102	09/08/2014	01/16/2014	5,364.06	4,983.68		0.00	380.38	0.00	0.00
MOODYS CORP									
615369105	09/08/2014	Various	71,766.99	65,847.84		0.00	5,919.15	0.00	0.00

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NATIONSTAR MTG HLDGS INC COM STK									
63861C109	09/08/2014	Various	30,199.54	32,847.03		0.00	-2,647.49	0.00	0.00
OASIS PETE INC NEW COM STK									
674215108	09/08/2014	Various	62,501.46	59,996.42		0.00	2,505.04	0.00	0.00
ROCKWELL COLLINS INC									
774341101	09/08/2014	Various	30,436.80	29,494.31		0.00	942.49	0.00	0.00
ROSS STORES INC									
778296103	09/08/2014	Various	63,467.94	59,604.89		0.00	3,863.05	0.00	0.00
SM ENERGY CO COM									
78454L100	09/08/2014	Various	85,695.81	82,882.53		0.00	2,813.28	0.00	0.00
UTD THERAPEUTICS CORP DEL COM STK									
91307C102	09/08/2014	Various	32,423.86	26,788.14		0.00	5,635.72	0.00	0.00
VERIZON COMMUNICATIONS									
92343V104	09/08/2014	Various	34,410.73	32,632.00		0.00	1,778.73	0.00	0.00
VERISK ANALYTICS INC CL A CL A									
92345Y106	09/08/2014	08/11/2014	34,607.99	32,720.76		0.00	1,887.23	0.00	0.00
WESTERN UNION CO									
959802109	09/08/2014	Various	70,822.70	67,327.18		0.00	3,495.52	0.00	0.00

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LYONDELLBASELL IND N V COM USD0.01 CL 'A'									
N53745100	09/08/2014	02/28/2014	24,272.94	18,757.14		0.00	5,515.80	0.00	0.00
COPA HOLDINGS SA COM STK									
P31076105	09/08/2014	04/10/2014	20,541.49	23,839.76		0.00	-3,298.27	0.00	0.00
Total Short Term Sales			2,062,247.16	1,983,992.14		0.00	78,255.02	0.00	0.00

Long Term Sales

WESTERN DIGITAL CORP COM									
958102105	01/13/2014	09/10/2012	30,592.02	15,045.26		0.00	15,546.76	0.00	0.00
ALTRIA GROUP INC									
02209S103	01/16/2014	09/10/2012	3,423.52	3,176.38		0.00	247.14	0.00	0.00
JOY GLOBAL INC									
481165108	01/16/2014	09/10/2012	4,353.04	4,296.94		0.00	56.10	0.00	0.00
LOCKHEED MARTIN CORP									
539830109	01/16/2014	09/10/2012	3,059.66	1,846.60		0.00	1,213.06	0.00	0.00
NEWMARKET CORP COM									
651587107	01/16/2014	12/04/2012	3,666.65	2,944.21		0.00	722.44	0.00	0.00

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PHILIP MORRIS INTERNATIONAL									
718172109	01/16/2014	09/10/2012	3,116.30	3,282.51		0.00	-166.21	0.00	0.00
YUM BRANDS INC									
988498101	01/16/2014	09/10/2012	3,116.33	2,863.21		0.00	253.12	0.00	0.00
RPC INC									
749660106	02/04/2014	09/10/2012	10,950.13	8,855.40		0.00	2,094.73	0.00	0.00
RPC INC									
749660106	02/05/2014	09/10/2012	3,612.59	2,921.10		0.00	691.49	0.00	0.00
RPC INC									
749660106	02/07/2014	09/10/2012	12,108.24	9,631.73		0.00	2,476.51	0.00	0.00
PATTERSON-UTI ENERGY INC COM									
703481101	02/27/2014	09/21/2012	4,547.55	2,741.64		0.00	1,805.91	0.00	0.00
RPC INC									
749660106	02/27/2014	09/10/2012	4,685.10	3,368.47		0.00	1,316.63	0.00	0.00
PATTERSON-UTI ENERGY INC COM									
703481101	02/28/2014	09/21/2012	3,166.97	1,903.44		0.00	1,263.53	0.00	0.00
RPC INC									
749660106	02/28/2014	09/10/2012	3,165.37	2,263.19		0.00	902.18	0.00	0.00

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PATTERSON-UTI ENERGY INC COM									
703481101	03/03/2014	09/21/2012	15,341.78	9,429.86		0.00	5,911.92	0.00	0.00
RPC INC									
749660106	03/03/2014	09/10/2012	3,088.35	2,250.04		0.00	838.31	0.00	0.00
PATTERSON-UTI ENERGY INC COM									
703481101	03/04/2014	Various	15,654.98	9,403.87		0.00	6,251.11	0.00	0.00
RPC INC									
749660106	03/04/2014	09/10/2012	3,104.81	2,250.04		0.00	854.77	0.00	0.00
PATTERSON-UTI ENERGY INC COM									
703481101	03/05/2014	Various	46,418.97	28,151.38		0.00	18,267.59	0.00	0.00
RPC INC									
749660106	03/05/2014	09/10/2012	9,576.93	6,973.79		0.00	2,603.14	0.00	0.00
RPC INC									
749660106	03/07/2014	09/10/2012	21,186.53	15,079.19		0.00	6,107.34	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/17/2014	03/01/2013	9,585.49	5,475.56		0.00	4,109.93	0.00	0.00
ULTRA PETE CORP COM									
903914109	04/21/2014	Various	21,780.60	12,052.30		0.00	9,728.30	0.00	0.00

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HOLLYFRONTIER CORP COM									
436106108	04/30/2014	09/10/2012	18,749.69	14,240.20		0.00	4,509.49	0.00	0.00
HOLLYFRONTIER CORP COM									
436106108	05/02/2014	09/10/2012	18,511.77	14,200.31		0.00	4,311.46	0.00	0.00
HOLLYFRONTIER CORP COM									
436106108	05/05/2014	09/10/2012	19,539.22	15,037.96		0.00	4,501.26	0.00	0.00
HOLLYFRONTIER CORP COM									
436106108	05/06/2014	09/10/2012	13,807.13	10,690.12		0.00	3,117.01	0.00	0.00
AMERICAN CAP AGY CORP									
02503X105	05/16/2014	09/10/2012	3,277.01	5,038.13		0.00	-1,761.12	0.00	0.00
APACHE CORP									
037411105	05/16/2014	09/10/2012	2,297.46	2,344.16		0.00	-46.70	0.00	0.00
APPLE COMPUTER INC									
037833100	05/16/2014	09/10/2012	2,980.65	3,340.55		0.00	-359.90	0.00	0.00
NAVIENT CORP COM									
63938C108	05/30/2014	05/20/2013	1,379.75	1,291.56		0.00	88.19	0.00	0.00
SLM CORPORATION SECURITIES									
78442P106	05/30/2014	Various	10,820.89	10,193.03		0.00	627.86	0.00	0.00

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Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

26-45514

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
63938C108	06/02/2014	Various	18,550.23	17,012.71		0.00	1,537.52	0.00	0.00
NAVIENT CORP COM									
02503X105	06/18/2014	09/10/2012	36,056.10	54,816.29		0.00	-18,760.19	0.00	0.00
AMERICAN CAP AGY CORP									
COACH INC									
189754104	06/18/2014	09/10/2012	30,387.14	48,344.87		0.00	-17,957.73	0.00	0.00
INTL BUSINESS MACHINES CORP									
459200101	07/15/2014	09/10/2012	32,279.38	34,493.35		0.00	-2,213.97	0.00	0.00
CHEVRONTEXACO CORP COM									
166764100	08/01/2014	09/10/2012	58,048.40	51,561.99		0.00	6,486.41	0.00	0.00
SOUTHERN COPPER CORP DEL COM									
84265V105	08/01/2014	11/26/2012	6,234.84	7,034.18		0.00	-799.34	0.00	0.00
SOUTHERN COPPER CORP DEL COM									
84265V105	08/04/2014	11/26/2012	7,308.52	8,091.12		0.00	-782.60	0.00	0.00
JOY GLOBAL INC									
481165108	08/06/2014	Various	77,538.21	69,272.81		0.00	8,265.40	0.00	0.00
AFLAC INC									
001055102	09/08/2014	09/10/2012	85,469.20	67,658.06		0.00	17,811.14	0.00	0.00

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ALTRIA GROUP INC									
02209S103	09/08/2014	Various	82,587.93	65,452.63		0.00	17,135.30	0.00	0.00
AMERICAN CAP AGY CORP									
02503X105	09/08/2014	Various	5,485.40	7,921.07		0.00	-2,435.67	0.00	0.00
APACHE CORP									
037411105	09/08/2014	Various	69,970.70	64,168.21		0.00	5,802.49	0.00	0.00
APT INVT & MGMT CO CL A									
03748R101	09/08/2014	Various	76,808.41	59,207.28		0.00	17,601.13	0.00	0.00
APPLE COMPUTER INC									
037833100	09/08/2014	Various	110,789.32	83,261.20		0.00	27,528.12	0.00	0.00
BAXTER INTL INC									
071813109	09/08/2014	04/26/2013	28,049.47	26,197.79		0.00	1,851.68	0.00	0.00
CBOE HOLDINGS INC COM									
12503M108	09/08/2014	Various	91,484.27	49,160.81		0.00	42,323.46	0.00	0.00
CF INDS HLDGS INC COM									
125269100	09/08/2014	Various	67,843.35	57,384.32		0.00	10,459.03	0.00	0.00
CAMPBELL SOUP CO									
134429109	09/08/2014	Various	55,759.79	47,683.15		0.00	8,076.64	0.00	0.00

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CATERPILLAR INC									
149123101	09/08/2014	Various	8,623.83	6,968.40		0.00	1,655.43	0.00	0.00
COACH INC									
189754104	09/08/2014	Various	11,892.88	19,852.05		0.00	-7,959.17	0.00	0.00
COLGATE PALMOLIVE CO									
194162103	09/08/2014	09/10/2012	45,867.42	37,384.14		0.00	8,483.28	0.00	0.00
DISCOVER FINL SVCS COM STK									
254709108	09/08/2014	09/10/2012	91,656.78	55,574.42		0.00	36,082.36	0.00	0.00
EATON VANCE CORP									
278265103	09/08/2014	09/10/2012	60,302.70	43,737.05		0.00	16,565.65	0.00	0.00
EXXON MOBIL CORP									
30231G102	09/08/2014	Various	67,582.38	62,047.60		0.00	5,534.78	0.00	0.00
INTL BUSINESS MACHINES CORP									
459200101	09/08/2014	Various	21,265.78	22,535.99		0.00	-1,270.21	0.00	0.00
LOCKHEED MARTIN CORP									
539830109	09/08/2014	09/10/2012	100,813.00	53,274.41		0.00	47,538.59	0.00	0.00
MARATHON PETE CORP COM									
56585A102	09/08/2014	09/10/2012	90,634.18	51,530.36		0.00	39,103.82	0.00	0.00

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580645109	09/08/2014	Various	71,102.74	38,992.13		0.00	32,110.61	0.00	0.00
MCGRAW HILL COMPANIES INC									
594918104	09/08/2014	02/12/2013	88,372.13	53,180.74		0.00	35,191.39	0.00	0.00
MICROSOFT CORP COM									
628530107	09/08/2014	Various	81,337.42	51,962.26		0.00	29,375.16	0.00	0.00
MYLAN LABS INC COM RTS EXP 11/14/2006									
651587107	09/08/2014	Various	74,873.58	47,706.59		0.00	27,166.99	0.00	0.00
NEWMARKET CORP COM									
718172109	09/08/2014	Various	58,589.29	61,792.23		0.00	-3,202.94	0.00	0.00
PHILIP MORRIS INTERNATIONAL									
774341101	09/08/2014	08/15/2013	29,437.61	27,866.12		0.00	1,571.49	0.00	0.00
ROCKWELL COLLINS INC									
872540109	09/08/2014	09/10/2012	97,824.08	74,640.41		0.00	23,183.67	0.00	0.00
TJX COS INC NEW									
911312106	09/08/2014	Various	62,011.55	52,616.30		0.00	9,395.25	0.00	0.00
UNITED PARCEL SERVICE INC CL B									
91307C102	09/08/2014	Various	22,535.74	12,536.83		0.00	9,998.91	0.00	0.00
UTD THERAPEUTICS CORP DEL COM STK									

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930059100	09/08/2014	Various	73,873.14	41,824.35		0.00	32,048.79	0.00	0.00
WADDELL & REED FINL INC CL A									
958102105	09/08/2014	09/10/2012	97,528.58	40,356.22		0.00	57,172.36	0.00	0.00
WESTERN DIGITAL CORP COM									
988498101	09/08/2014	09/10/2012	57,724.39	53,202.46		0.00	4,521.93	0.00	0.00
YUM BRANDS INC									
N53745100	09/08/2014	05/15/2013	49,001.72	27,776.75		0.00	21,224.97	0.00	0.00
LYONDELLBASELL IND N V COM USD0.01 CL 'A'									
Total Long Term Sales			2,634,167.06	1,988,661.78		0.00	645,505.28	0.00	0.00

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Account Number: 26-45516
XX-XXX8812

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THE NORTHERN TRUST COMPANY
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2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
ENVESTNET INC COM STK									
29404K106	01/13/2014	08/21/2013	8,448.79	5,439.93		0.00	3,008.86	0.00	0.00
MIMEDX GROUP INC COM									
602496101	02/24/2014	Various	3,338.73	2,929.50		0.00	409.23	0.00	0.00
EPAM SYS INC COM STK									
29414B104	02/25/2014	Various	11,157.13	5,893.96		0.00	5,263.17	0.00	0.00
EPAM SYS INC COM STK									
29414B104	02/26/2014	04/15/2013	1,935.96	1,010.94		0.00	925.02	0.00	0.00
8X8 INC NEW COM									
282914100	03/18/2014	10/07/2013	5,034.04	4,555.49		0.00	478.55	0.00	0.00
AKORN INC COM									
009728106	04/14/2014	03/04/2014	3,720.38	4,123.62		0.00	-403.24	0.00	0.00
#REORG/QUESTCOR CASH AND STOCK MERGER MALLINCKRODT PUB LTD C2Q1WAD1 15 AUG 04/14/2014 Various									
74835Y101			18,875.39	7,138.08		0.00	11,737.31	0.00	0.00
LIVEPERSON INC COM STK ISIN# US5381461012									
538146101	06/09/2014	11/25/2013	4,012.35	5,085.48		0.00	-1,073.13	0.00	0.00
REALPAGE INC COM STK									
75606N109	06/09/2014	12/30/2013	2,576.08	2,742.26		0.00	-166.18	0.00	0.00

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POWERSECURE INTL INC COM STK									
73936N105	06/17/2014	Various	4,886.80	11,242.23		0.00	-6,355.43	0.00	0.00
POWERSECURE INTL INC COM STK									
73936N105	06/18/2014	Various	6,094.47	11,345.33		0.00	-5,250.86	0.00	0.00
COMSCORE INC COM									
20564W105	06/19/2014	09/30/2013	4,654.99	3,856.55		0.00	798.44	0.00	0.00
#REORG/MEASUREMENT SPECIALTIES INC CASH MERGER 10/10/2014									
583421102	06/20/2014	Various	20,873.06	14,942.67		0.00	5,930.39	0.00	0.00
AKORN INC COM									
009728106	06/30/2014	03/04/2014	1,530.99	1,126.80		0.00	404.19	0.00	0.00
PROTO LABS INC COM									
743713109	07/01/2014	Various	18,701.02	13,464.68		0.00	5,236.34	0.00	0.00
REALPAGE INC COM STK									
75606N109	07/18/2014	Various	11,179.61	14,135.25		0.00	-2,955.64	0.00	0.00
ADVANCED EMISSIONS SOLUTIONS INC COM STK									
00770C101	09/04/2014	06/16/2014	2,613.08	2,582.41		0.00	30.67	0.00	0.00
#REORG/MEASUREMENT SPECIALTIES INC CASH MERGER 10/10/2014									
583421102	09/12/2014	Various	22,213.97	15,146.47		0.00	7,067.50	0.00	0.00

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CAVIUM INC COM									
14964U108	09/22/2014	Various	10,058.49	9,702.45		0.00	356.04	0.00	0.00
QUALYS INC COM USD0.001									
74758T303	10/20/2014	01/13/2014	4,143.11	3,872.60		0.00	270.51	0.00	0.00
ENVESTNET INC COM STK									
29404K106	10/21/2014	Various	11,168.71	10,816.68		0.00	352.03	0.00	0.00
ELLIE MAE INC COM STK									
28849P100	11/03/2014	04/14/2014	7,296.55	4,695.18		0.00	2,601.37	0.00	0.00
WAGEWORKS INC COM USD0.001									
930427109	11/05/2014	09/03/2014	9,780.50	7,353.40		0.00	2,427.10	0.00	0.00
BARRETT BUSINESS SVCS INC COM									
068463108	11/19/2014	Various	10,741.38	34,526.50		0.00	-23,785.12	0.00	0.00
SYNTEL INC COM									
87162H103	12/02/2014	Various	8,284.93	8,397.82		0.00	-112.89	0.00	0.00
RETAILMENOT INC COM SER 1 COM SER 1									
76132B106	12/29/2014	Various	6,963.92	13,797.19		0.00	-6,833.27	0.00	0.00
Total Short Term Sales			220,284.43	219,923.47		0.00	360.96	0.00	0.00

Long Term Sales

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501889208	01/13/2014	09/10/2012	13,366.39	8,187.07		0.00	5,179.32	0.00	0.00
LQ CORP COM LKQ CORP									
ANSYS INC									
03662Q105	01/22/2014	09/10/2012	8,777.67	7,546.02		0.00	1,231.65	0.00	0.00
BIO-REFERENCE LABS INC COM PAR \$0.01 NEWNEU COM PAR \$0.01 NEW									
09057G602	01/22/2014	09/10/2012	12,984.31	13,888.42		0.00	-904.11	0.00	0.00
ANSYS INC									
03662Q105	01/23/2014	09/10/2012	18,186.16	15,890.18		0.00	2,295.98	0.00	0.00
BUFFALO WILD WINGS INC COM STK									
119848109	02/04/2014	09/10/2012	11,683.97	6,808.91		0.00	4,875.06	0.00	0.00
MONRO MUFLER BRAKE INC COM									
610236101	02/04/2014	09/10/2012	9,077.73	6,117.62		0.00	2,960.11	0.00	0.00
GENTHERM INC COM NPV									
37253A103	02/24/2014	09/10/2012	8,025.60	3,637.01		0.00	4,388.59	0.00	0.00
EXLSERVICE COM INC COM STK									
302081104	02/25/2014	09/10/2012	18,390.43	17,781.81		0.00	608.62	0.00	0.00
EPAM SYS INC COM STK									
29414B104	02/26/2014	12/19/2012	9,890.22	4,871.50		0.00	5,018.72	0.00	0.00

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ECHO GLOBAL LOGISTICS INC COM									
27875T101	03/19/2014	09/10/2012	17,486.52	18,129.75		0.00	-643.23	0.00	0.00
EPAM SYS INC COM STK									
29414B104	03/26/2014	12/19/2012	9,162.34	5,721.42		0.00	3,440.92	0.00	0.00
COSTAR GROUP INC.									
22160N109	03/31/2014	09/10/2012	10,618.92	4,682.48		0.00	5,936.44	0.00	0.00
BUFFALO WILD WINGS INC COM STK									
119848109	04/15/2014	09/10/2012	5,779.89	3,363.44		0.00	2,416.45	0.00	0.00
GENTHERM INC COM NPV									
37253A103	04/21/2014	09/10/2012	17,109.90	5,858.94		0.00	11,250.96	0.00	0.00
MWI VETERINARY SUPPLY INC COM STK									
55402X105	05/15/2014	09/10/2012	12,065.72	9,355.53		0.00	2,710.19	0.00	0.00
GENTHERM INC COM NPV									
37253A103	06/04/2014	09/10/2012	6,709.18	2,072.97		0.00	4,636.21	0.00	0.00
HIBBETT SPORTS INC COM STK									
428567101	06/04/2014	09/10/2012	3,913.34	4,370.59		0.00	-457.25	0.00	0.00
LIVEPERSON INC COM STK ISIN# US5381461012									
538146101	06/05/2014	01/25/2013	216.85	323.87		0.00	-107.02	0.00	0.00

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538146101	06/06/2014	01/25/2013	6,893.42	10,321.59		0.00	-3,428.17	0.00	0.00
LIVEPERSON INC COM STK ISIN# US5381461012									
538146101	06/09/2014	01/25/2013	638.97	957.53		0.00	-318.56	0.00	0.00
LIVEPERSON INC COM STK ISIN# US5381461012									
75606N109	06/09/2014	Various	11,871.44	13,270.89		0.00	-1,399.45	0.00	0.00
REALPAGE INC COM STK									
29414B104	06/17/2014	Various	5,540.76	2,591.11		0.00	2,949.65	0.00	0.00
EPAM SYS INC COM STK									
20564W105	06/19/2014	09/10/2012	6,859.99	2,956.76		0.00	3,903.23	0.00	0.00
COMSCORE INC COM									
009728106	06/30/2014	01/15/2013	3,257.41	1,426.13		0.00	1,831.28	0.00	0.00
AKORN INC COM									
44984A105	06/30/2014	09/10/2012	8,624.16	9,007.95		0.00	-383.79	0.00	0.00
IPC HEALTHCARE INC COM STK USD0.001									
74835Y101	07/21/2014	04/25/2013	7,368.23	2,314.09		0.00	5,054.14	0.00	0.00
#REORG/QUESTCOR CASH AND STOCK MERGER MALLINCKRODT PUB LTD C2Q1WAD1 15 AUG									
74835Y101	08/14/2014	04/25/2013	14,326.00	4,539.19		0.00	9,786.81	0.00	0.00
#REORG/QUESTCOR CASH AND STOCK MERGER MALLINCKRODT PUB LTD C2Q1WAD1 15 AUG									

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FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45516
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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428567101	08/19/2014	09/10/2012	2,777.99	3,543.73		0.00	-765.74	0.00	0.00
HIBBETT SPORTS INC COM STK									
00770C101	09/04/2014	09/10/2012	1,638.71	892.81		0.00	745.90	0.00	0.00
ADVANCED EMISSIONS SOLUTIONS INC COM STK									
428567101	09/09/2014	09/10/2012	8,777.64	12,107.73		0.00	-3,330.09	0.00	0.00
HIBBETT SPORTS INC COM STK									
14964U108	09/22/2014	09/10/2012	1,727.22	1,177.06		0.00	550.16	0.00	0.00
CAVIUM INC COM									
148887102	10/06/2014	09/10/2012	27,322.35	29,909.75		0.00	-2,587.40	0.00	0.00
CATAMARAN CORP COM									
74758T303	10/20/2014	08/20/2013	7,264.24	4,990.98		0.00	2,273.26	0.00	0.00
QUALYS INC COM USD0.001									
009728106	10/22/2014	01/15/2013	20,535.38	7,045.08		0.00	13,490.30	0.00	0.00
AKORN INC COM									
74758T303	10/28/2014	08/20/2013	6,022.51	3,814.40		0.00	2,208.11	0.00	0.00
QUALYS INC COM USD0.001									
28849P100	11/03/2014	Various	11,613.36	7,050.89		0.00	4,562.47	0.00	0.00
ELLIE MAE INC COM STK									

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WAGEWORKS INC COM USD0.001									
930427109	11/05/2014	04/22/2013	1,889.42	858.29		0.00	1,031.13	0.00	0.00
ADVANCED EMISSIONS SOLUTIONS INC COM STK									
00770C101	11/12/2014	Various	7,893.70	4,497.38		0.00	3,396.32	0.00	0.00
TANGOE INC COM STK									
87582Y108	11/13/2014	Various	8,947.08	9,780.90		0.00	-833.82	0.00	0.00
TANGOE INC COM STK									
87582Y108	11/14/2014	Various	3,604.79	3,647.22		0.00	-42.43	0.00	0.00
SYNTEL INC COM									
87162H103	12/02/2014	Various	1,737.16	1,190.29		0.00	546.87	0.00	0.00
CAVIUM INC COM									
14964U108	12/19/2014	09/10/2012	7,761.27	4,396.68		0.00	3,364.59	0.00	0.00
COMSCORE INC COM									
20564W105	12/19/2014	09/10/2012	18,218.25	5,928.60		0.00	12,289.65	0.00	0.00
RETAILMENOT INC COM SER 1 COM SER 1									
76132B106	12/26/2014	Various	4,739.93	10,146.70		0.00	-5,406.77	0.00	0.00
TILLYS INC CL A									
886885102	12/26/2014	12/19/2012	1,858.57	2,747.65		0.00	-889.08	0.00	0.00

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RETAILMENOT INC COM SER 1 COM SER 1									
761328106	12/29/2014	08/06/2013	5,241.34	10,898.61		0.00	-5,657.27	0.00	0.00
TILLYS INC CL A									
886885102	12/29/2014	Various	10,123.61	14,767.26		0.00	-4,643.65	0.00	0.00
Total Long Term Sales			418,520.04	325,384.78		0.00	93,135.26	0.00	0.00

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2014 Proceeds from Broker and Barter Exchange Transactions

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Short Term Sales									
BAIDU COM INC SPONSORED ADR SHS									
056752108	01/15/2014	06/12/2013	105,569.13	60,107.09		0.00	45,462.04	0.00	0.00
ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR									
71654V408	01/15/2014	03/01/2013	13,733.72	15,897.79		0.00	-2,164.07	0.00	0.00
ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS									
98418R100	01/16/2014	Various	6,911.51	0.00		0.00	6,911.51	0.00	0.00
ADR AIA GROUP LTD SPONSORED ADR									
001317205	01/23/2014	03/01/2013	60,228.61	55,429.08		0.00	4,799.53	0.00	0.00
ADR AIR LIQUIDE ADR									
009126202	01/23/2014	03/01/2013	40,701.54	37,681.65		0.00	3,019.89	0.00	0.00
ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR REPSTG 1/10 SH									
018805101	01/23/2014	Various	96,498.75	76,493.38		0.00	20,005.37	0.00	0.00
ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR									
03524A108	01/23/2014	03/01/2013	49,338.98	45,378.80		0.00	3,960.18	0.00	0.00
ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A									
049255706	01/23/2014	03/01/2013	36,922.39	38,987.64		0.00	-2,065.25	0.00	0.00
BG PLC ADR FIN INST N									
055434203	01/23/2014	03/01/2013	41,399.86	33,757.50		0.00	7,642.36	0.00	0.00

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BAIDU COM INC SPONSORED ADR SHS									
056752108	01/23/2014	Various	61,246.88	35,470.49		0.00	25,776.39	0.00	0.00
ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR									
05946K101	01/23/2014	Various	131,494.58	100,406.24		0.00	31,088.34	0.00	0.00
ADR BAYERISCHE MOTOREN WERKE AG ADR									
072743206	01/23/2014	Various	162,736.23	138,819.64		0.00	23,916.59	0.00	0.00
#REORG/CSL LTD-UNSPON ADR MAND EXCH CSL LTD 2W1AAW1 EFF 09 JUNE 2014									
12637N105	01/23/2014	03/01/2013	38,698.69	38,978.32		0.00	-279.63	0.00	0.00
CANADIAN NATL RY CO COM									
136375102	01/23/2014	03/01/2013	43,485.40	40,941.48		0.00	2,543.92	0.00	0.00
ADR COCHLEAR LTD ADR UNSPON									
191459205	01/23/2014	03/01/2013	19,932.41	27,259.52		0.00	-7,327.11	0.00	0.00
DBS GROUP HOLDINGS LTD-SPONSORED ADR									
23304Y100	01/23/2014	03/01/2013	33,282.22	31,200.26		0.00	2,081.96	0.00	0.00
ADR DASSAULT SYS S A SPONSORED ADR									
237545108	01/23/2014	03/01/2013	76,947.15	72,826.40		0.00	4,120.75	0.00	0.00
ADR ENN ENERGY HLDGS LTD ADR									
26876F102	01/23/2014	Various	66,177.41	68,482.41		0.00	-2,305.00	0.00	0.00

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307305102	01/23/2014	Various	85,586.26	81,689.23		0.00	3,897.03	0.00	0.00
FANUC CORPORATION									
358029106	01/23/2014	Various	102,694.02	95,354.30		0.00	7,339.72	0.00	0.00
FRESENIUS MED CARE AG & CO.KGAA EACH REPR 1/3 NPV									
368287207	01/23/2014	Various	38,125.69	41,042.47		0.00	-2,916.78	0.00	0.00
GAZPROM O A O SPONSORED ADR									
43858F109	01/23/2014	06/14/2013	75,494.49	75,707.34		0.00	-212.85	0.00	0.00
ADR HONG KONG EXCHANGES & CLEARING LTD ADR									
45104G104	01/23/2014	03/01/2013	38,671.35	45,530.17		0.00	-6,858.82	0.00	0.00
ADR ICICI BK LTD									
453038408	01/23/2014	03/01/2013	30,032.08	30,193.67		0.00	-161.59	0.00	0.00
IMPERIAL OIL LTD NEW									
465562106	01/23/2014	Various	69,683.27	87,556.47		0.00	-17,873.20	0.00	0.00
ADR ITAU UNIBANCO HLDG SA SPONSORED ADR REPSTG 500 PFD PFD ADR									
466140100	01/23/2014	Various	98,940.31	75,576.33		0.00	23,363.98	0.00	0.00
ADR JGC CORP									
502117203	01/23/2014	03/01/2013	59,598.00	51,522.77		0.00	8,075.23	0.00	0.00
ADR L OREAL CO ADR									

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502441306	01/23/2014	03/01/2013	25,344.42	25,496.80		0.00	-152.38	0.00	0.00
ADR LVMH MOET HENNESSY LOUIS VUITTON ADR									
606783207	01/23/2014	10/01/2013	96,194.72	106,376.83		0.00	-10,182.11	0.00	0.00
ADR MITSUBISHI ESTATE LTD ADR MITSUBISHIEST ADR +									
61022V107	01/23/2014	Various	31,499.71	33,019.17		0.00	-1,519.46	0.00	0.00
ADR MONOTARO CO LTD ADR									
62474M108	01/23/2014	03/01/2013	20,439.68	21,376.64		0.00	-936.96	0.00	0.00
ADR MTN GROUP LTD SPONSORED ADR									
641069406	01/23/2014	03/01/2013	89,972.27	81,877.14		0.00	8,095.13	0.00	0.00
NESTLE S A SPONSORED ADR REPSTG REG SH									
65528V107	01/23/2014	03/01/2013	33,387.81	32,507.01		0.00	880.80	0.00	0.00
ADR NOKIAN TYRES OYJ ADR ADR									
670100205	01/23/2014	06/12/2013	88,695.59	77,927.94		0.00	10,767.65	0.00	0.00
NOVO NORDISK A S ADR									
771195104	01/23/2014	03/01/2013	72,957.84	60,010.47		0.00	12,947.37	0.00	0.00
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104									
803054204	01/23/2014	03/01/2013	87,078.23	86,396.76		0.00	681.47	0.00	0.00
SAP AKTIENGESELLSCHAFT SPONSORED ADR									

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8038666300	ADR SASOL LTD SPONSORED ADR	01/23/2014	20,355.91	17,779.87		0.00	2,576.04	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	01/23/2014	49,525.75	42,558.93		0.00	6,966.82	0.00	0.00
80687P106	ADR SCHNEIDER ELECTRIC SE	01/23/2014	55,297.02	49,515.99		0.00	5,781.03	0.00	0.00
86959C103	SVENSKA HANDELSBANKEN AB ADR	01/23/2014	26,387.72	23,081.76		0.00	3,305.96	0.00	0.00
870123106	ADR SWATCH GROUP AG ADR	01/23/2014	60.84	57.08		0.00	3.76	0.00	0.00
87184P109	SYSMEX CORP ADR	01/23/2014	41,455.83	38,041.63		0.00	3,414.20	0.00	0.00
874039100	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	01/23/2014	131,692.21	132,837.41		0.00	-1,145.20	0.00	0.00
881575302	TESCO PLC SPONSORED ADR	01/23/2014	24,941.54	25,473.63		0.00	-532.09	0.00	0.00
900148701	ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR REPSTG 2000 SHS	01/23/2014	26,169.14	45,120.00		0.00	-18,950.86	0.00	0.00

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ADR UNICHARM CORP SPONSORED ADR									
90460M204	01/23/2014	03/01/2013	45,989.20	51,771.60		0.00	-5,782.40	0.00	0.00
UNILEVER PLC SPONSORED ADR NEW									
904767704	01/23/2014	03/01/2013	25,217.49	24,286.07		0.00	931.42	0.00	0.00
ADR WPP PLC ADR DR EACH REPR 5 SHS									
92937A102	01/23/2014	03/01/2013	78,689.53	56,927.52		0.00	21,762.01	0.00	0.00
BUNGE LIMITED									
G16962105	01/23/2014	Various	93,180.99	86,245.69		0.00	6,935.30	0.00	0.00
Total Short Term Sales			2,848,664.37	2,620,976.38		0.00	227,687.99	0.00	0.00

Long Term Sales

ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR									
71654V408	01/15/2014	10/04/2012	27,990.74	50,629.14		0.00	-22,638.40	0.00	0.00
ADR AIA GROUP LTD SPONSORED ADR									
001317205	01/23/2014	Various	122,717.45	95,188.16		0.00	27,529.29	0.00	0.00
ADR AIR LIQUIDE ADR									
009126202	01/23/2014	09/19/2012	160,937.89	147,614.40		0.00	13,323.49	0.00	0.00

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018805101	01/23/2014	09/19/2012	130,208.29	88,906.56		0.00	41,301.73	0.00	0.00
ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR REPSTG 1/10 SH									
03524A108	01/23/2014	09/19/2012	100,520.48	82,947.96		0.00	17,572.52	0.00	0.00
ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR									
042068106	01/23/2014	09/19/2012	157,848.64	85,535.89		0.00	72,312.75	0.00	0.00
ARM HLDGS PLC SPONSORED ADR									
049255706	01/23/2014	09/19/2012	75,175.33	62,701.44		0.00	12,473.89	0.00	0.00
ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A									
055434203	01/23/2014	09/19/2012	84,387.89	80,841.92		0.00	3,545.97	0.00	0.00
BG PLC ADR FIN INST N									
12637N105	01/23/2014	09/19/2012	78,862.30	58,734.32		0.00	20,127.98	0.00	0.00
#REORG/CSL LTD-UNSPON ADR MAND EXCH CSL LTD 2W1AAW1 EFF 09 JUNE 2014									
136375102	01/23/2014	09/19/2012	88,692.98	76,391.06		0.00	12,301.92	0.00	0.00
CANADIAN NATL RY CO COM									
191459205	01/23/2014	09/19/2012	40,595.33	53,759.80		0.00	-13,164.47	0.00	0.00
ADR COCHLEAR LTD ADR UNSPON									
23304Y100	01/23/2014	09/19/2012	67,818.41	61,239.64		0.00	6,578.77	0.00	0.00
DBS GROUP HOLDINGS LTD-SPONSORED ADR									

This is important tax information and is being furnished to you.

2014 Tax Information Statement

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Ref: ENH

Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45517
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
237545108	ADR DASSAULT SYS S A SPONSORED ADR	01/23/2014	156,811.74	127,555.20		0.00	29,256.54	0.00	0.00
	FANUC CORPORATION								
307305102	01/23/2014	09/19/2012	118,857.28	117,210.58		0.00	1,646.70	0.00	0.00
	FRESENIUS MED CARE AG & CO.KGAA EACH REPR 1/3 NPV								
358029106	01/23/2014	09/19/2012	42,761.60	41,977.96		0.00	783.64	0.00	0.00
	GAZPROM O A O SPONSORED ADR								
368287207	01/23/2014	09/19/2012	23,445.78	28,845.45		0.00	-5,399.67	0.00	0.00
	ADR ICICI BK LTD								
45104G104	01/23/2014	09/19/2012	78,805.36	75,547.80		0.00	3,257.56	0.00	0.00
	IMPERIAL OIL LTD NEW								
453038408	01/23/2014	09/19/2012	61,147.15	71,300.76		0.00	-10,153.61	0.00	0.00
	ADR ITAU UNIBANCO HLDG SA SPONSORED ADR REPSTG 500 PFD PFD ADR								
465562106	01/23/2014	Various	87,384.43	102,937.74		0.00	-15,553.31	0.00	0.00
	ADR JGC CORP								
466140100	01/23/2014	Various	97,286.05	80,070.39		0.00	17,215.66	0.00	0.00
	ADR L OREAL CO ADR								
502117203	01/23/2014	09/19/2012	121,351.81	86,342.60		0.00	35,009.21	0.00	0.00

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2014 Tax Information Statement

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Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION

Account Number:
26-45517
XX-XXX8812

Recipient's Tax ID Number:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

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502441306	01/23/2014	09/19/2012	51,646.53	50,841.70		0.00	804.83	0.00	0.00
ADR LVMH MOET HENNESSY LOUIS VUITTON ADR									
62474M108	01/23/2014	09/19/2012	41,617.69	40,997.88		0.00	619.81	0.00	0.00
ADR MTN GROUP LTD SPONSORED ADR									
641069406	01/23/2014	09/19/2012	183,369.89	149,574.81		0.00	33,795.08	0.00	0.00
NESTLE S A SPONSORED ADR REPSTG REG SH									
65528V107	01/23/2014	09/10/2012	68,051.75	64,086.05		0.00	3,965.70	0.00	0.00
ADR NOKIAN TYRES OYJ ADR ADR									
771195104	01/23/2014	09/19/2012	148,617.81	99,485.10		0.00	49,132.71	0.00	0.00
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104									
803054204	01/23/2014	09/19/2012	177,331.61	153,297.08		0.00	24,034.53	0.00	0.00
SAP AKTIENGESELLSCHAFT SPONSORED ADR									
803866300	01/23/2014	09/19/2012	41,463.90	38,723.03		0.00	2,740.87	0.00	0.00
ADR SASOL LTD SPONSORED ADR									
806857108	01/23/2014	09/19/2012	100,404.65	81,573.77		0.00	18,830.88	0.00	0.00
SCHLUMBERGER LTD ISIN #AN8068571086									
80687P106	01/23/2014	09/19/2012	112,653.41	86,642.71		0.00	26,010.70	0.00	0.00
ADR SCHNEIDER ELECTRIC SE									

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2014 Tax Information Statement

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Account Number: 26-45517
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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ADR SONOVA HLDG AG UNSP ADR									
83569C102	01/23/2014	09/19/2012	81,762.97	59,815.80		0.00	21,947.17	0.00	0.00
SVENSKA HANDELSBANKEN AB ADR									
86959C103	01/23/2014	09/19/2012	53,802.88	37,830.14		0.00	15,972.74	0.00	0.00
ADR SWATCH GROUP AG ADR									
870123106	01/23/2014	09/19/2012	94,787.06	68,231.68		0.00	26,555.38	0.00	0.00
SYSMEX CORP ADR									
87184P109	01/23/2014	Various	87,896.66	70,637.56		0.00	17,259.10	0.00	0.00
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR									
874039100	01/23/2014	Various	108,403.56	94,549.39		0.00	13,854.17	0.00	0.00
TESCO PLC SPONSORED ADR									
881575302	01/23/2014	09/19/2012	50,819.01	51,263.73		0.00	-444.72	0.00	0.00
ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR REPSTG 2000 SHS									
900148701	01/23/2014	09/19/2012	53,327.16	81,228.03		0.00	-27,900.87	0.00	0.00
ADR UNICHARM CORP SPONSORED ADR									
90460M204	01/23/2014	09/19/2012	93,700.36	103,250.68		0.00	-9,550.32	0.00	0.00
UNILEVER PLC SPONSORED ADR NEW									
904767704	01/23/2014	09/19/2012	51,348.97	44,397.12		0.00	6,951.85	0.00	0.00

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2014 Tax Information Statement

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780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number:
26-45517
XX-XXX8812

Recipient's Tax ID Number:

☐ 2nd TIN notice

☐ Corrected

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

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ADR WPP PLC ADR DR EACH REPR 5 SHS									
92937A102	01/23/2014	09/19/2012	160,240.49	98,221.76		0.00	62,018.73	0.00	0.00
ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS									
98418R100	01/23/2014	09/19/2012	36,122.57	12,562.49		0.00	23,560.08	0.00	0.00
BUNGE LIMITED									
G16962105	01/23/2014	09/19/2012	49,312.28	40,311.04		0.00	9,001.24	0.00	0.00
Total Long Term Sales			3,770,288.14	3,203,800.32		0.00	566,487.82	0.00	0.00

2014 Tax Information Statement

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THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45570
XX-XXX8812

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
MFO OPPENHEIMER DEVELOPING MKTS FDS CL I									
683974604	12/18/2014	Various	510,807.27	577,727.00		0.00	-66,919.73	0.00	0.00
Total Short Term Sales			510,807.27	577,727.00		0.00	-66,919.73	0.00	0.00

2014 Tax Information Statement

Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45571
Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
MFC FLEXSHARES TR STOXX GLOBAL BROAD INFRASTRUCTURE INDEX FD									
33939L795	06/23/2014	12/09/2013	200,903.75	184,196.67		0.00	16,707.08	0.00	0.00
Total Short Term Sales			200,903.75	184,196.67		0.00	16,707.08	0.00	0.00

2014 Tax Information Statement

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Recipient's Name and Address:

Account Number:

Recipient's Tax ID Number:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

Ref: ENH

2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
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Short Term Sales

MFC ISHARES 1-3 YEAR CREDIT BOND ETF

464288646	03/11/2014	10/24/2013	1,952,709.73	1,951,379.17		0.00	1,330.56	0.00	0.00
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MFO VANGUARD LTD TERM FD ADMIRAL SH #531

922907886	03/18/2014	03/13/2014	1,000.00	999.99		0.00	0.01	0.00	0.00
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MFO VANGUARD LTD TERM FD ADMIRAL SH #531

922907886	09/09/2014	03/13/2014	1,025,000.00	1,025,925.15		0.00	-925.15	0.00	0.00
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MFO VANGUARD LTD TERM FD ADMIRAL SH #531

922907886	10/07/2014	03/13/2014	200,000.00	200,180.49		0.00	-180.49	0.00	0.00
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Total Short Term Sales

3,178,709.73	3,178,484.80	0.00	224.93	0.00	0.00
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Long Term Sales

MFC ISHARES 1-3 YEAR CREDIT BOND ETF

464288646	03/11/2014	02/27/2013	1,226,257.42	1,229,473.86		0.00	-3,216.44	0.00	0.00
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PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL

693390841	12/31/2014	Various	5,243,777.74	5,476,038.22		0.00	-232,260.48	0.00	0.00
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Total Long Term Sales

6,470,035.16	6,705,512.08	0.00	-235,476.92	0.00	0.00
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This is important tax information and is being furnished to you.

2014 Tax Information Statement

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Ref: ENH

Recipient's Name and Address:
THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-51398
Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
MFO FIDELITY ADVISOR INTL SMALL CAP CL									
315910679	05/02/2014	Various	5,755,055.30	5,523,510.34		0.00	231,544.96	0.00	0.00
MFO DFA INVT DIMENSIONS GROUP INC INTL SMALL CAP VALUE PORTFOLIO									
233203736	09/09/2014	05/02/2014	5,540,116.53	5,733,623.00		0.00	-193,506.47	0.00	0.00
MFC FLEXSHARES TR INTL QUALITY DIVIDEND DYNAMIC INDEX FD									
33939L829	11/20/2014	09/09/2014	1,385,688.18	1,505,576.16		0.00	-119,887.98	0.00	0.00
MFC FLEXSHARES TR INTL QUALITY DIVIDEND DYNAMIC INDEX FD									
33939L829	12/17/2014	09/09/2014	3,321,084.29	3,767,047.84		0.00	-445,963.55	0.00	0.00
Total Short Term Sales			16,001,944.30	16,529,757.34		0.00	-527,813.04	0.00	0.00

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Northern Trust

2014 Tax Information Statement

THEODORE W BATTERMAN FAMILY FOUNDATION

Account Number:

26-61354

Tax ID Number:

XX-XXX8812

Ref: ENH

Tax Summary

Listed below is a summarization of all items posted to your account. It includes information you may need in the preparation of your federal and state income tax returns.

INCOME

Domestic Dividends:	
Total for year.....	
Qualified.....	\$3,970.85 ✓
Foreign Dividends:	
Total for year.....	\$3,932.84
Qualified.....	\$179.83 ✓
Short Term Gains and Losses:	
Other Short Term:	
Sales Price.....	\$179.83
Cost or other basis.....	\$64,811.48
Net gain/loss.....	\$59,725.83
Long Term Gains and Losses:	
Other Long Term:	
Sales Price.....	\$5,085.65 ✓
Cost or other basis.....	\$276,041.01
Net gain/loss.....	\$221,097.33
	\$54,943.68 ✓

DEDUCTIONS

Agency Fees.....		Total
Other Deductions Subject to 2% Limitation.....		\$1,156.50
	Agency Fees	\$44.93
	Investment Expenses	



Northern Trust

2014 Tax Information Statement

THEODORE W BATTERMAN FAMILY FOUNDATION

26-61354

XX-XXX8812

Ref: ENH

Account Number:
Tax ID Number:

MISCELLANEOUS

Total

Nondividend Distributions.....

NTE-Return of Capital

\$94.08



Northern Trust

2014 Tax Information Statement
THEODORE W BATTERMAN FAMILY FOUNDATION

Page 6 of 28
Account Number:
26-61354
Tax ID Number:
XX-XXX8812
Ref: ENH

Summary of Foreign Investments

Country	Foreign Dividends Qualified	Non-Qualified	Foreign Interest	Total Income	Foreign Tax Paid
NETHERLANDS	69.58			69.58	
UNITED KINGDOM	110.25			110.25	
Total Foreign Investments	179.83			179.83	

Important Tax Information

2014 Tax Information Statement

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Ref: ENH

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THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-61354
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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

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Short Term Sales									
ST JUDE MEDICAL INC									
790849103	01/14/2014	03/12/2013	2,782.42	1,811.04		0.00	971.38	0.00	0.00
V F CORP									
918204108	01/14/2014	03/12/2013	424.43	284.83		0.00	139.60	0.00	0.00
ST JUDE MEDICAL INC									
790849103	01/15/2014	03/12/2013	66.01	43.12		0.00	22.89	0.00	0.00
ST JUDE MEDICAL INC									
790849103	01/15/2014	03/12/2013	528.48	344.96		0.00	183.52	0.00	0.00
V F CORP									
918204108	01/15/2014	03/12/2013	544.74	366.21		0.00	178.53	0.00	0.00
V F CORP									
918204108	01/16/2014	03/12/2013	415.66	284.83		0.00	130.83	0.00	0.00
HOME DEPOT INC									
437076102	01/29/2014	03/12/2013	1,005.93	918.19		0.00	87.74	0.00	0.00
V F CORP									
918204108	01/29/2014	03/12/2013	1,101.60	773.11		0.00	328.49	0.00	0.00
PETSMART INC									
716768106	06/12/2014	Various	1,384.09	1,789.42		0.00	-405.33	0.00	0.00

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2014 Tax Information Statement

Page 8 of 28
Ref: ENH

Account Number: 26-61354
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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☐ Corrected

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716768106	06/13/2014	Various	1,330.56	1,695.28		0.00	-364.72	0.00	0.00
	PETSMART INC								
716768106	06/16/2014	Various	809.88	934.62		0.00	-124.74	0.00	0.00
	PETSMART INC								
716768106	06/17/2014	01/29/2014	349.54	379.03		0.00	-29.49	0.00	0.00
	ALTERA CORP								
021441100	09/08/2014	Various	5,104.08	4,733.58		0.00	370.50	0.00	0.00
	AMERICAN TOWER CORP								
03027X100	09/08/2014	09/23/2013	1,389.61	1,034.20		0.00	355.41	0.00	0.00
	APACHE CORP								
037411105	09/08/2014	04/01/2014	4,317.96	3,676.06		0.00	641.90	0.00	0.00
	C R BARD INC								
067383109	09/08/2014	Various	1,193.01	1,084.36		0.00	108.65	0.00	0.00
	BAXTER INTL INC								
071813109	09/08/2014	Various	4,971.73	4,494.19		0.00	477.54	0.00	0.00
	CISCO SYS INC								
17275R102	09/08/2014	09/18/2013	969.23	956.96		0.00	12.27	0.00	0.00

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2014 Tax Information Statement

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MILWAUKEE, WI 53202-3590

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172967424	09/08/2014	Various	9,103.47	8,747.04		0.00	356.43	0.00	0.00
CITIGROUP INC COM NEW COM NEW									
253393102	09/08/2014	Various	4,716.26	4,595.35		0.00	120.91	0.00	0.00
DICK'S SPORTING GOODS INC									
30219G108	09/08/2014	Various	1,420.79	1,247.90		0.00	172.89	0.00	0.00
EXPRESS SCRIPTS HLDG CO COM									
46625H100	09/08/2014	Various	2,517.42	2,454.82		0.00	62.60	0.00	0.00
J P MORGAN CHASE & CO									
59156R108	09/08/2014	09/23/2013	1,589.45	1,375.54		0.00	213.91	0.00	0.00
METLIFE INC									
609207105	09/08/2014	09/18/2013	4,451.48	4,083.57		0.00	367.91	0.00	0.00
MONDELEZ INTL INC COM									
740189105	09/08/2014	07/30/2014	1,693.68	1,629.46		0.00	64.22	0.00	0.00
PRECISION CASTPARTS CORP									
STARBUCKS CORP									
855244109	09/08/2014	Various	1,398.21	1,341.63		0.00	56.58	0.00	0.00
TWENTY-FIRST CENTY FOX INC CL A CL A									
90130A101	09/08/2014	Various	5,603.40	4,987.63		0.00	615.77	0.00	0.00

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2014 Tax Information Statement

Account Number: 26-61354
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☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 THEODORE W BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
ZIMMER HLDGS INC									
98956P102	09/08/2014	Various	3,628.36	3,658.90		0.00	-30.54	0.00	0.00
Total Short Term Sales			64,811.48	59,725.83		0.00	5,085.65	0.00	0.00
Long Term Sales									
CHEVRONTEXACO CORP COM									
166764100	04/01/2014	03/12/2013	1,429.52	1,419.48		0.00	10.04	0.00	0.00
EXXON MOBIL CORP									
30231G102	04/01/2014	03/12/2013	2,144.38	1,961.48		0.00	182.90	0.00	0.00
NOW INC COM									
67011P100	06/02/2014	03/12/2013	25.16	19.88		0.00	5.28	0.00	0.00
APPLE COMPUTER INC									
037833100	06/03/2014	03/12/2013	1,270.48	858.84		0.00	411.64	0.00	0.00
CITRIX SYS INC									
177376100	06/03/2014	03/12/2013	791.15	959.92		0.00	-168.77	0.00	0.00
APPLE COMPUTER INC									
037833100	06/04/2014	03/12/2013	644.78	429.42		0.00	215.36	0.00	0.00

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CITRIX SYS INC									
177376100	06/04/2014	03/12/2013	483.68	590.72		0.00	-107.04	0.00	0.00
CITRIX SYS INC									
177376100	06/05/2014	03/12/2013	365.14	443.04		0.00	-77.90	0.00	0.00
APPLE COMPUTER INC									
037833100	06/06/2014	03/12/2013	649.37	429.42		0.00	219.95	0.00	0.00
CITRIX SYS INC									
177376100	06/06/2014	03/12/2013	309.13	369.20		0.00	-60.07	0.00	0.00
NOW INC COM									
67011P100	06/12/2014	03/12/2013	132.22	106.01		0.00	26.21	0.00	0.00
NOW INC COM									
67011P100	06/13/2014	03/12/2013	133.85	106.02		0.00	27.83	0.00	0.00
NOW INC COM									
67011P100	06/16/2014	03/12/2013	66.16	53.01		0.00	13.15	0.00	0.00
NOW INC COM									
67011P100	06/17/2014	03/12/2013	65.79	53.00		0.00	12.79	0.00	0.00
US BANCORP DEL NEW									
902973304	07/17/2014	03/12/2013	5,409.30	4,425.35		0.00	983.95	0.00	0.00

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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 Recipient's Name and Address:
 THEODORE W BATTERMAN FAMILY
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 MILWAUKEE, WI 53202-3590
 Ref: ENH

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
EMC CORP MASS									
268648102	07/30/2014	03/12/2013	1,715.61	1,416.94		0.00	298.67	0.00	0.00
UNITED TECHNOLOGIES CORP									
913017109	07/30/2014	03/12/2013	2,454.74	2,132.10		0.00	322.14	0.00	0.00
UNITED TECHNOLOGIES CORP									
913017109	07/31/2014	03/12/2013	952.39	834.30		0.00	118.09	0.00	0.00
PARAGON OFFSHORE COMMON STOCK									
G6S01W108	08/04/2014	03/12/2013	6.32	8.72		0.00	-2.40	0.00	0.00
V F CORP									
918204108	08/27/2014	03/12/2013	833.16	528.97		0.00	304.19	0.00	0.00
V F CORP									
918204108	08/28/2014	03/12/2013	638.02	406.90		0.00	231.12	0.00	0.00
V F CORP									
918204108	08/28/2014	03/12/2013	63.81	40.69		0.00	23.12	0.00	0.00
AMERICAN EXPRESS CO									
025816109	09/08/2014	03/12/2013	7,381.59	5,413.26		0.00	1,968.33	0.00	0.00
AMERICAN TOWER CORP									
03027X100	09/08/2014	06/25/2013	3,871.05	2,822.86		0.00	1,048.19	0.00	0.00

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780 NORTH WATER STREET
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26-61354

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AMERICAN WTR WKS CO INC NEW COM									
030420103	09/08/2014	Various	2,454.85	1,970.31		0.00	484.54	0.00	0.00
AMGEN INC									
031162100	09/08/2014	03/12/2013	5,971.14	3,935.36		0.00	2,035.78	0.00	0.00
APPLE COMPUTER INC									
037833100	09/08/2014	03/12/2013	13,074.51	8,158.98		0.00	4,915.53	0.00	0.00
C R BARD INC									
067383109	09/08/2014	Various	4,473.80	3,045.29		0.00	1,428.51	0.00	0.00
CVS CORP									
126650100	09/08/2014	03/12/2013	8,054.46	5,146.02		0.00	2,908.44	0.00	0.00
CHEVRONTEXACO CORP COM									
166764100	09/08/2014	03/12/2013	5,172.86	4,849.89		0.00	322.97	0.00	0.00
CISCO SYS INC									
17275R102	09/08/2014	Various	5,840.21	5,085.06		0.00	755.15	0.00	0.00
COCA COLA CO									
191216100	09/08/2014	03/12/2013	5,590.36	5,220.31		0.00	370.05	0.00	0.00
COSTCO WHSL CORP NEW									
22160K105	09/08/2014	03/12/2013	4,042.15	3,313.92		0.00	728.23	0.00	0.00

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235851102	09/08/2014	03/12/2013	8,926.00	7,210.56		0.00	1,715.44	0.00	0.00
DANAHER CORP									
254687106	09/08/2014	03/12/2013	6,153.86	3,867.84		0.00	2,286.02	0.00	0.00
WALT DISNEY CO									
268648102	09/08/2014	03/12/2013	4,819.54	4,030.95		0.00	788.59	0.00	0.00
EMC CORP MASS									
291011104	09/08/2014	03/12/2013	4,346.86	3,798.90		0.00	547.96	0.00	0.00
EMERSON ELECTRIC CO									
EXELON CORP									
30161N101	09/08/2014	Various	3,537.14	3,908.23		0.00	-371.09	0.00	0.00
EXPRESS SCRIPTS HLDG CO COM									
30219G108	09/08/2014	03/12/2013	6,057.04	4,811.81		0.00	1,245.23	0.00	0.00
EXXON MOBIL CORP									
30231G102	09/08/2014	03/12/2013	6,063.83	5,527.80		0.00	536.03	0.00	0.00
FRANKLIN RESOURCES INC									
354613101	09/08/2014	03/12/2013	4,114.92	3,597.44		0.00	517.48	0.00	0.00
GENERAL ELECTRIC CO									
369604103	09/08/2014	03/12/2013	6,199.76	5,566.58		0.00	633.18	0.00	0.00

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GILEAD SCIENCES INC									
375558103	09/08/2014	05/06/2013	4,354.12	2,246.33		0.00	2,107.79	0.00	0.00
GOOGLE INC CL A									
38259P508	09/08/2014	03/12/2013	4,205.30	2,893.87		0.00	1,311.43	0.00	0.00
GOOGLE INC COM USD0.001 CL'C									
38259P706	09/08/2014	03/12/2013	4,122.56	2,884.63		0.00	1,237.93	0.00	0.00
HOME DEPOT INC									
437076102	09/08/2014	03/12/2013	6,986.06	5,438.51		0.00	1,547.55	0.00	0.00
INTEL CORP COM									
458140100	09/08/2014	03/12/2013	4,339.34	2,656.80		0.00	1,682.54	0.00	0.00
INTERCONTINENTAL EXCHANGE INC COM COM									
45866F104	09/08/2014	03/12/2013	4,133.27	3,490.74		0.00	642.53	0.00	0.00
INTL BUSINESS MACHINES CORP									
459200101	09/08/2014	03/12/2013	3,607.59	3,990.57		0.00	-382.98	0.00	0.00
J P MORGAN CHASE & CO									
46625H100	09/08/2014	03/12/2013	8,810.99	7,382.34		0.00	1,428.65	0.00	0.00
MCDONALDS CORP									
580135101	09/08/2014	03/12/2013	5,080.79	5,429.60		0.00	-348.81	0.00	0.00

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58933Y105	09/08/2014	Various	7,385.67	5,434.05		0.00	1,951.62	0.00	0.00
MERCK & CO INC									
59156R108	09/08/2014	03/12/2013	4,658.75	3,360.05		0.00	1,298.70	0.00	0.00
METLIFE INC									
61166W101	09/08/2014	Various	4,353.56	4,022.12		0.00	331.44	0.00	0.00
MONSANTO CO COM									
637071101	09/08/2014	03/12/2013	4,209.61	3,107.64		0.00	1,101.97	0.00	0.00
NATIONAL-OILWELL INC									
654106103	09/08/2014	03/12/2013	4,126.41	2,670.50		0.00	1,455.91	0.00	0.00
NIKE INC CLASS B									
655844108	09/08/2014	03/12/2013	4,450.45	3,048.76		0.00	1,401.69	0.00	0.00
NORFOLK SOUTHN CORP COM									
670346105	09/08/2014	03/12/2013	2,601.86	2,218.38		0.00	383.48	0.00	0.00
NUCOR CORP									
68389X105	09/08/2014	03/12/2013	4,983.85	4,343.75		0.00	640.10	0.00	0.00
ORACLE CORPORATION									
717081103	09/08/2014	03/12/2013	8,015.10	7,641.27		0.00	373.83	0.00	0.00
PFIZER INC									

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PRECISION CASTPARTS CORP									
740189105	09/08/2014	03/12/2013	4,355.18	3,398.53		0.00	956.65	0.00	0.00
PROCTER & GAMBLE CO									
742718109	09/08/2014	03/12/2013	2,913.69	2,699.90		0.00	213.79	0.00	0.00
QUALCOMM INC									
747525103	09/08/2014	03/12/2013	7,883.02	6,948.24		0.00	934.78	0.00	0.00
SCHLUMBERGER LTD ISIN #AN8068571086									
806857108	09/08/2014	03/12/2013	4,804.59	3,553.96		0.00	1,250.63	0.00	0.00
STARBUCKS CORP									
855244109	09/08/2014	03/12/2013	5,748.19	4,300.14		0.00	1,448.05	0.00	0.00
V F CORP									
918204108	09/08/2014	03/12/2013	3,786.15	2,360.02		0.00	1,426.13	0.00	0.00
VERIZON COMMUNICATIONS									
92343V104	09/08/2014	03/12/2013	2,719.24	2,653.75		0.00	65.49	0.00	0.00
WELLS FARGO & CO NEW									
949746101	09/08/2014	03/12/2013	9,418.87	6,725.25		0.00	2,693.62	0.00	0.00
EATON CORP PLC COM USD0.50									
G29183103	09/08/2014	03/12/2013	4,402.46	3,880.01		0.00	522.45	0.00	0.00

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FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
NOBLE CORP PLC COMMON STOCK									
G65431101	09/08/2014	03/12/2013	2,592.04	3,026.50		0.00	-434.46	0.00	0.00
PARAGON OFFSHORE COMMON STOCK									
G6S01W108	09/08/2014	03/12/2013	262.71	416.34		0.00	-153.63	0.00	0.00
Total Long Term Sales			276,041.01	221,097.33		0.00	54,943.68	0.00	0.00

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Account Number: 26-95411
Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
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2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Long Term Sales									
EDGEWOOD GROWTH FUND									
0075W0759	06/23/2014	12/22/2010	875,000.00	490,821.64		0.00	384,178.36	0.00	0.00
Total Long Term Sales			875,000.00	490,821.64		0.00	384,178.36	0.00	0.00

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Account Number: 26-19281
Recipient's Tax ID Number: XX-XXX8812

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2014 Proceeds from Broker and Barter Exchange Transactions

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
ADR HITACHI LTD A.D.R. FOR 10 COM									
433578507	02/03/2014	01/31/2014	51,876.48	52,505.25		0.00	-628.77	0.00	0.00
TESCO PLC SPONSORED ADR									
881575302	02/03/2014	01/31/2014	74,758.26	76,399.50		0.00	-1,641.24	0.00	0.00
ADR ACCOR S A ADR									
00435F200	02/12/2014	02/05/2014	20,713.89	20,185.01		0.00	528.88	0.00	0.00
ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR									
05946K101	02/12/2014	01/31/2014	22,826.40	22,430.09		0.00	396.31	0.00	0.00
ADR CAP GEMINI S A ADR ADR									
139098107	02/12/2014	02/05/2014	23,473.12	22,288.31		0.00	1,184.81	0.00	0.00
ADR SONY FINL HLDGS INC ADR									
835707100	02/12/2014	01/31/2014	913.11	927.96		0.00	-14.85	0.00	0.00
ADR SONY FINL HLDGS INC ADR									
835707100	02/13/2014	Various	105,468.76	106,780.62		0.00	-1,311.86	0.00	0.00
ADR DEUTSCHE BOERSE ADR									
251542106	02/19/2014	01/31/2014	17,421.02	16,800.09		0.00	620.93	0.00	0.00
ADR GEA GROUP AG ADR									
361592108	02/19/2014	01/31/2014	17,245.94	17,187.85		0.00	58.09	0.00	0.00

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GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-19281
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
SCHLUMBERGER LTD ISIN #AN8068571086									
806857108	02/19/2014	01/31/2014	11,646.51	11,261.44		0.00	385.07	0.00	0.00
ADR VODAFONE GROUP PLC NEW SPONSORED ADRNO PAR									
92857W308	02/24/2014	01/31/2014	30.09	49.79		0.00	-19.70	0.00	0.00
AISIN SEIKI CO LTD ADR									
00956Q106	02/27/2014	Various	97,489.27	105,404.94		0.00	-7,915.67	0.00	0.00
VERIZON COMMUNICATIONS									
92343V104	02/28/2014	02/24/2014	41,424.23	40,875.63		0.00	548.60	0.00	0.00
ADR ASAH KASEI CORP ADR									
043400100	03/05/2014	01/31/2014	45,802.21	50,393.80		0.00	-4,591.59	0.00	0.00
ADR ASAH KASEI CORP ADR									
043400100	03/06/2014	Various	57,625.70	62,102.32		0.00	-4,476.62	0.00	0.00
DBS GROUP HOLDINGS LTD-SPONSORED ADR									
23304Y100	03/12/2014	Various	97,988.72	100,544.54		0.00	-2,555.82	0.00	0.00
ADR MITSUI & CO LTD ADR ISIN #US6068272029									
606827202	03/12/2014	Various	114,446.37	105,294.56		0.00	9,151.81	0.00	0.00
KOMATSU LTD SPON ADR NEW									
500458401	03/24/2014	01/31/2014	1,494.88	1,609.68		0.00	-114.80	0.00	0.00

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KOMATSU LTD SPON ADR NEW									
500458401	03/24/2014	01/31/2014	63,776.93	68,644.38		0.00	-4,867.45	0.00	0.00
KOMATSU LTD SPON ADR NEW									
500458401	03/25/2014	Various	30,068.53	30,680.97		0.00	-612.44	0.00	0.00
SAP AKTIENGESELLSCHAFT SPONSORED ADR									
803054204	04/22/2014	Various	122,864.91	117,786.75		0.00	5,078.16	0.00	0.00
SCHLUMBERGER LTD ISIN #AN8068571086									
806857108	04/29/2014	01/31/2014	15,761.61	13,636.90		0.00	2,124.71	0.00	0.00
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR									
874039100	04/29/2014	01/31/2014	27,481.70	23,400.03		0.00	4,081.67	0.00	0.00
ADR KAO CORP SPONSORED ADR REPSTG 10 SHSCOM									
485537302	05/02/2014	01/31/2014	28,372.34	23,733.60		0.00	4,638.74	0.00	0.00
ADR CAP GEMINI S A ADR ADR									
139098107	05/08/2014	Various	110,111.33	108,026.41		0.00	2,084.92	0.00	0.00
ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03									
878546209	05/28/2014	02/05/2014	3,560.90	2,880.04		0.00	680.86	0.00	0.00
ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03									
878546209	05/29/2014	Various	122,508.52	98,499.95		0.00	24,008.57	0.00	0.00

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ADR NIPPON TELEG & TEL CORP SPONSORED ADR									
654624105	06/06/2014	01/31/2014	77,663.78	69,947.33		0.00	7,716.45	0.00	0.00
KONINKLIJKE PHILIPS ELECTRS NV									
500472303	06/13/2014	01/31/2014	5.04	5.58		0.00	-0.54	0.00	0.00
ADR ICAP PLC SPONSORED ADR REPSTG 2 ORD SH ADR									
450936109	06/19/2014	Various	44,719.84	45,309.84		0.00	-590.00	0.00	0.00
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098									
66987V109	06/19/2014	01/31/2014	7,989.76	6,982.48		0.00	1,007.28	0.00	0.00
SUNCOR ENERGY INC NEW COM STK									
867224107	06/19/2014	01/31/2014	32,974.62	25,495.06		0.00	7,479.56	0.00	0.00
DAIMLERCHRYSLER AG									
D1668R123	06/19/2014	01/31/2014	39,713.38	34,872.45		0.00	4,840.93	0.00	0.00
ADR ICAP PLC SPONSORED ADR REPSTG 2 ORD SH ADR									
450936109	06/20/2014	01/31/2014	57,452.83	58,909.96		0.00	-1,457.13	0.00	0.00
PORTUGAL TELECOM S A SPONSORED ADR									
737273102	07/14/2014	Various	70,292.46	114,396.39		0.00	-44,103.93	0.00	0.00
ADR KAO CORP SPONSORED ADR REPSTG 10 SHSCOM									
485537302	08/07/2014	Various	121,877.67	95,730.46		0.00	26,147.21	0.00	0.00

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ADR IPSEN S A SPONSORED ADR									
462629205	08/19/2014	01/31/2014	1,866.97	1,856.80		0.00	10.17	0.00	0.00
ADR IPSEN S A SPONSORED ADR									
462629205	08/20/2014	01/31/2014	31,943.71	31,288.08		0.00	655.63	0.00	0.00
ADR IPSEN S A SPONSORED ADR									
462629205	08/21/2014	01/31/2014	35,429.88	35,684.62		0.00	-254.74	0.00	0.00
ADR SEVEN & I HLDGS CO LTD ADR									
81783H105	08/21/2014	01/31/2014	21,825.96	20,490.36		0.00	1,335.60	0.00	0.00
ADR SUNTORY BEVERAGE & FOOD LTD ADS									
86803T104	08/21/2014	02/19/2014	16,115.65	14,615.58		0.00	1,500.07	0.00	0.00
CHECK POINT SOFTWARE TECH ORD									
M22465104	08/21/2014	01/31/2014	19,047.27	18,268.92		0.00	778.35	0.00	0.00
ADR IPSEN S A SPONSORED ADR									
462629205	08/22/2014	Various	26,595.61	26,901.89		0.00	-306.28	0.00	0.00
ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR									
05946K101	08/25/2014	03/05/2014	53,241.22	55,746.92		0.00	-2,505.70	0.00	0.00
ADR IPSEN S A SPONSORED ADR									
462629205	08/25/2014	02/05/2014	6,206.95	6,071.64		0.00	135.31	0.00	0.00

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ADR IPSEN S A SPONSORED ADR									
462629205	08/26/2014	02/05/2014	6,234.35	6,051.29		0.00	183.06	0.00	0.00
BAE SYS PLC SPONSORED ADR									
05523R107	09/04/2014	Various	117,510.09	109,043.47		0.00	8,466.62	0.00	0.00
TRANSOCEAN LTD									
H8817H100	09/17/2014	05/28/2014	92,790.01	111,449.17		0.00	-18,659.16	0.00	0.00
OTSUKA HLDGS CO LTD ADR									
689164101	09/26/2014	01/31/2014	17,128.76	14,966.85		0.00	2,161.91	0.00	0.00
OTSUKA HLDGS CO LTD ADR									
689164101	09/29/2014	01/31/2014	7,197.36	6,368.55		0.00	828.81	0.00	0.00
ADR LVMH MOET HENNESSY LOUIS VUITTON ADR									
502441306	10/30/2014	Various	107,638.20	116,204.02		0.00	-8,565.82	0.00	0.00
SONY CORP ADR									
835699307	11/07/2014	03/24/2014	21,979.81	19,850.76		0.00	2,129.05	0.00	0.00
CHECK POINT SOFTWARE TECH ORD									
M22465104	11/10/2014	01/31/2014	4,783.28	4,190.72		0.00	592.56	0.00	0.00
CHECK POINT SOFTWARE TECH ORD									
M22465104	11/11/2014	01/31/2014	10,016.37	8,774.32		0.00	1,242.05	0.00	0.00

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00435F200	ADR ACCOR S A ADR	11/20/2014	Various	37,464.61	40,927.36	0.00	-3,462.75	0.00	0.00
007924103	AEGON NV ADR ORD AMER REG	11/20/2014	04/22/2014	31,753.26	37,471.03	0.00	-5,717.77	0.00	0.00
009279100	ADR AIRBUS GROUP ADR	11/20/2014	01/31/2014	53,754.63	65,554.20	0.00	-11,799.57	0.00	0.00
02364W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES	11/20/2014	01/31/2014	37,558.21	34,204.48	0.00	3,353.73	0.00	0.00
054536107	AXA S A SPONSORED ADR	11/20/2014	01/31/2014	34,733.56	39,788.59	0.00	-5,055.03	0.00	0.00
055434203	BG PLC ADR FIN INST N	11/20/2014	05/08/2014	28,273.81	37,582.28	0.00	-9,308.47	0.00	0.00
05946K101	ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR	11/20/2014	Various	31,258.74	36,743.90	0.00	-5,485.16	0.00	0.00
06738E204	BARCLAYS PLC ADR	11/20/2014	01/31/2014	36,971.57	45,352.56	0.00	-8,380.99	0.00	0.00
072730302	BAYER A G 00	11/20/2014	08/25/2014	39,711.42	37,190.17	0.00	2,521.25	0.00	0.00

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#REORG/BRITISH NAME CHANGE ADR SKY PLC 2Z1PAA1 11-24-2014									
111013108	11/20/2014	Various	50,317.38	53,936.24		0.00	-3,618.86	0.00	0.00
ADR DENSO CORP ADR									
248728100	11/20/2014	06/06/2014	37,231.74	37,670.34		0.00	-438.60	0.00	0.00
ADR DEUTSCHE BOERSE ADR									
251542106	11/20/2014	01/31/2014	32,207.44	36,237.00		0.00	-4,029.56	0.00	0.00
DEUTSCHE POST AG SPONSORED ADR									
25157Y202	11/20/2014	10/30/2014	35,616.61	35,305.63		0.00	310.98	0.00	0.00
ADR GEA GROUP AG ADR									
361592108	11/20/2014	01/31/2014	37,119.03	37,954.54		0.00	-835.51	0.00	0.00
ADR HSBC HLDGS PLC SPONSORED ADR NEW									
404280406	11/20/2014	09/23/2014	33,556.30	36,502.68		0.00	-2,946.38	0.00	0.00
ADR HEINEKEN N V SPONSORED ADR LEVEL 1									
423012301	11/20/2014	Various	40,931.01	32,614.89		0.00	8,316.12	0.00	0.00
ADR HITACHI LTD A.D.R. FOR 10 COM									
433578507	11/20/2014	01/31/2014	35,810.65	36,408.75		0.00	-598.10	0.00	0.00
ADR HOLCIM LTD ADR									
434741203	11/20/2014	Various	33,441.89	35,916.84		0.00	-2,474.95	0.00	0.00

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ADR JULIUS BAER GROUP LTD-UN ADR									
48137C108	11/20/2014	01/31/2014	39,604.12	43,387.50		0.00	-3,783.38	0.00	0.00
KONINKLIJKE PHILIPS ELECTRS NV									
500472303	11/20/2014	01/31/2014	34,519.69	42,130.87		0.00	-7,611.18	0.00	0.00
ADR MITSUBISHI ESTATE LTD ADR MITSUBISHIEST ADR +									
606783207	11/20/2014	Various	31,542.91	33,996.40		0.00	-2,453.49	0.00	0.00
ADR NIPPON TELEG & TEL CORP SPONSORED ADR									
654624105	11/20/2014	01/31/2014	34,100.99	33,836.75		0.00	264.24	0.00	0.00
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098									
66987V109	11/20/2014	01/31/2014	40,171.86	33,484.14		0.00	6,687.72	0.00	0.00
OTSUKA HLDGS CO LTD ADR									
689164101	11/20/2014	01/31/2014	34,547.98	31,690.02		0.00	2,857.96	0.00	0.00
ADR PORSCHE AUTOMOBIL HLDG SE ADR ADR									
73328P106	11/20/2014	01/31/2014	32,393.58	39,810.28		0.00	-7,416.70	0.00	0.00
REPSOL YPF SPONSORED ADR									
76026T205	11/20/2014	01/31/2014	33,343.66	35,342.06		0.00	-1,998.40	0.00	0.00
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104									
771195104	11/20/2014	01/31/2014	42,178.49	38,590.43		0.00	3,588.06	0.00	0.00

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780249108	11/20/2014	01/31/2014	36,600.18	37,448.10		0.00	-847.92	0.00	0.00
ADR KONINKLIJKE DSM NV-SPONSORED ADR									
780641205	11/20/2014	01/31/2014	32,365.62	37,578.76		0.00	-5,213.14	0.00	0.00
ADR ROYAL KPN NV SPONSORED ADR NEDERLAND NV TO 07/06/1998 SPONSORED ADR									
806857108	11/20/2014	01/31/2014	34,937.29	32,112.70		0.00	2,824.59	0.00	0.00
SCHLUMBERGER LTD ISIN #AN8068571086									
813113206	11/20/2014	01/31/2014	34,882.61	34,291.20		0.00	591.41	0.00	0.00
SECOM LTD UNSPONSORED ADR									
81783H105	11/20/2014	01/31/2014	49,986.42	52,317.93		0.00	-2,331.51	0.00	0.00
ADR SEVEN & I HLDGS CO LTD ADR									
83364L109	11/20/2014	09/04/2014	32,869.92	37,821.52		0.00	-4,951.60	0.00	0.00
ADR SOCIETE GENERALE FRANCE SPONSORED ADR									
835699307	11/20/2014	Various	55,523.03	47,566.07		0.00	7,956.96	0.00	0.00
SONY CORP ADR									
85562M209	11/20/2014	01/31/2014	50,862.60	63,102.20		0.00	-12,239.60	0.00	0.00
ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR									
867224107	11/20/2014	Various	34,512.07	33,298.53		0.00	1,213.54	0.00	0.00
SUNCOR ENERGY INC NEW COM STK									

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ADR SUNTORY BEVERAGE & FOOD LTD ADS									
86803T104	11/20/2014	02/19/2014	34,819.01	33,564.25		0.00	1,254.76	0.00	0.00
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR									
874039100	11/20/2014	01/31/2014	41,843.10	31,548.11		0.00	10,294.99	0.00	0.00
ADR TORAY INDS INC ADR									
890880206	11/20/2014	01/31/2014	40,061.37	33,964.25		0.00	6,097.12	0.00	0.00
ADR UNIBAIL-RODAMCO SE ADR REPSTG SHS NEW JCE ISSUE 2008									
904587102	11/20/2014	Various	53,730.49	57,268.80		0.00	-3,538.31	0.00	0.00
ADR VODAFONE GROUP PLC NEW SPONSORED ADRNO PAR									
92857W308	11/20/2014	Various	59,828.14	114,590.20		0.00	-54,762.06	0.00	0.00
ADR WPP PLC ADR DR EACH REPR 5 SHS									
92937A102	11/20/2014	01/31/2014	35,249.77	36,367.71		0.00	-1,117.94	0.00	0.00
ADR WILLIAM HILL PLC-UNSPON									
96925P104	11/20/2014	03/12/2014	33,629.25	37,493.02		0.00	-3,863.77	0.00	0.00
DAIMLERCHRYSLER AG									
D1668R123	11/20/2014	01/31/2014	53,630.41	57,140.40		0.00	-3,509.99	0.00	0.00
CHECK POINT SOFTWARE TECH ORD									
M22465104	11/20/2014	01/31/2014	36,370.21	31,299.44		0.00	5,070.77	0.00	0.00

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2014 Tax Information Statement

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Ref: ENH

Recipient's Name and Address:

THEODORE W BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

26-19281

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ 2nd TIN notice

☐ Corrected

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
ADR TORAY INDS INC ADR									
890880206	11/28/2014	01/31/2014	8,780.00	7,386.40		0.00	1,393.60	0.00	0.00
SCHLUMBERGER LTD ISIN #AN8068571086									
808857108	12/04/2014	Various	65,855.14	66,030.64		0.00	-175.50	0.00	0.00
SONY CORP ADR									
835699307	12/04/2014	02/12/2014	48,685.13	38,333.04		0.00	10,352.09	0.00	0.00
SUNCOR ENERGY INC NEW COM STK									
867224107	12/09/2014	Various	60,027.40	65,860.70		0.00	-5,833.30	0.00	0.00
ADR ACCOR S A ADR									
00435F200	12/18/2014	Various	78,725.13	82,189.83		0.00	-3,464.70	0.00	0.00
AEGON NV ADR ORD AMER REG									
007924103	12/18/2014	04/22/2014	65,433.63	77,543.60		0.00	-12,109.97	0.00	0.00
ADR AIRBUS GROUP ADR									
009279100	12/18/2014	Various	97,088.15	117,685.76		0.00	-20,597.61	0.00	0.00
AMERICA MOVIL S A DE CV SPON ADR SER L SHARES									
02364W105	12/18/2014	Various	72,057.00	70,621.51		0.00	1,435.49	0.00	0.00
AXA S A SPONSORED ADR									
054536107	12/18/2014	Various	73,698.71	82,120.23		0.00	-8,421.52	0.00	0.00

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BG PLC ADR FIN INST N									
055434203	12/18/2014	05/08/2014	48,852.27	77,884.76		0.00	-29,032.49	0.00	0.00
ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR									
05946K101	12/18/2014	Various	61,098.80	75,398.73		0.00	-14,299.93	0.00	0.00
BARCLAYS PLC ADR									
06738E204	12/18/2014	Various	75,929.27	89,171.43		0.00	-13,242.16	0.00	0.00
BAYER A G 00									
072730302	12/18/2014	Various	80,782.97	74,315.58		0.00	6,467.39	0.00	0.00
ADR DENSO CORP ADR									
24872B100	12/18/2014	06/06/2014	78,435.85	77,808.16		0.00	627.69	0.00	0.00
ADR DEUTSCHE BOERSE ADR									
251542106	12/18/2014	Various	69,210.47	74,392.19		0.00	-5,181.72	0.00	0.00
DEUTSCHE POST AG SPONSORED ADR									
25157Y202	12/18/2014	10/30/2014	78,426.08	72,871.30		0.00	5,554.78	0.00	0.00
ADR GEA GROUP AG ADR									
361592108	12/18/2014	Various	74,124.49	77,551.69		0.00	-3,427.20	0.00	0.00
ADR HSBC HLDGS PLC SPONSORED ADR NEW									
404280406	12/18/2014	09/23/2014	65,727.15	75,353.49		0.00	-9,626.34	0.00	0.00

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ADR HEINEKEN N V SPONSORED ADR LEVEL 1									
423012301	12/18/2014	01/31/2014	80,079.05	67,792.68		0.00	12,286.37	0.00	0.00
ADR HITACHI LTD A.D.R. FOR 10 COM									
433578507	12/18/2014	Various	73,911.87	75,762.12		0.00	-1,850.25	0.00	0.00
ADR HOLCIM LTD ADR									
434741203	12/18/2014	08/07/2014	68,225.69	73,666.44		0.00	-5,440.75	0.00	0.00
INFINEON TECHNOLOGIES AG SPONSORED ADR									
45662N103	12/18/2014	Various	76,007.21	75,883.56		0.00	123.65	0.00	0.00
ADR JULIUS BAER GROUP LTD-UN ADR									
48137C108	12/18/2014	Various	83,096.91	88,456.34		0.00	-5,359.43	0.00	0.00
KONINKLIJKE PHILIPS ELECTRS NV									
500472303	12/18/2014	Various	65,855.52	78,434.29		0.00	-12,578.77	0.00	0.00
ADR MITSUBISHI ESTATE LTD ADR MITSUBISHIEST ADR +									
606783207	12/18/2014	01/31/2014	58,925.37	69,720.13		0.00	-10,794.76	0.00	0.00
ADR NIPPON TELEG & TEL CORP SPONSORED ADR									
654624105	12/18/2014	Various	67,724.36	68,483.87		0.00	-759.51	0.00	0.00
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098									
66987V109	12/18/2014	Various	81,749.67	68,971.47		0.00	12,778.20	0.00	0.00

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689164101	12/18/2014	Various	65,553.93	64,814.45		0.00	739.48	0.00	0.00
OTSUKA HLDGS CO LTD ADR									
73328P106	12/18/2014	Various	68,075.44	81,194.21		0.00	-13,118.77	0.00	0.00
ADR PORSCHE AUTOMOBIL HLDG SE ADR ADR									
76026T205	12/18/2014	Various	59,675.54	73,463.36		0.00	-13,787.82	0.00	0.00
REPSOL YPF SPONSORED ADR									
771195104	12/18/2014	Various	85,901.49	80,200.63		0.00	5,700.86	0.00	0.00
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104									
780249108	12/18/2014	Various	70,853.10	76,634.70		0.00	-5,781.60	0.00	0.00
ADR KONINKLIJKE DSM NV-SPONSORED ADR									
780641205	12/18/2014	Various	63,983.71	77,322.05		0.00	-13,338.34	0.00	0.00
ADR ROYAL KPN NV SPONSORED ADR NEDERLAND NV TO 07/06/1998									
813113206	12/18/2014	Various	73,381.78	70,736.90		0.00	2,644.88	0.00	0.00
SECOM LTD UNSPONSORED ADR									
81783H105	12/18/2014	Various	98,763.44	104,369.96		0.00	-5,606.52	0.00	0.00
ADR SEVEN & I HLDGS CO LTD ADR									
83084V106	12/18/2014	06/13/2014	103,501.00	110,001.42		0.00	-6,500.42	0.00	0.00
ADR SKY PLC SPONSORED									

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83364L109	12/18/2014	09/04/2014	64,171.78	77,984.02		0.00	-13,812.24	0.00	0.00
ADR SOCIETE GENERALE FRANCE SPONSORED ADR									
SONY CORP ADR									
835699307	12/18/2014	02/12/2014	71,260.90	58,562.93		0.00	12,697.97	0.00	0.00
ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR									
86562M209	12/18/2014	Various	98,167.56	120,120.85		0.00	-21,953.29	0.00	0.00
ADR SUNTORY BEVERAGE & FOOD LTD ADS									
86803T104	12/18/2014	02/19/2014	69,169.13	69,174.67		0.00	-5.54	0.00	0.00
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR									
874039100	12/18/2014	Various	82,974.41	64,590.36		0.00	18,384.05	0.00	0.00
ADR TORAY INDS INC ADR									
890880206	12/18/2014	Various	74,273.56	62,918.88		0.00	11,354.68	0.00	0.00
ADR UNIBAIL-RODAMCO SE ADR REPSTG SHS NEW JCE ISSUE 2008									
904587102	12/18/2014	Various	110,085.62	108,237.35		0.00	1,848.27	0.00	0.00
ADR VODAFONE GROUP PLC NEW SPONSORED ADRNO PAR									
92857W308	12/18/2014	Various	122,636.33	128,592.16		0.00	-5,955.83	0.00	0.00
ADR WPP PLC ADR DR EACH REPR 5 SHS									
92937A102	12/18/2014	Various	73,455.17	75,815.52		0.00	-2,360.35	0.00	0.00

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96925P104	12/18/2014	03/12/2014	66,832.60	77,502.53		0.00	-10,669.93	0.00	0.00
ADR WILLIAM HILL PLC-UNSPON									
D1668R123	12/18/2014	Various	118,483.76	115,917.12		0.00	2,566.64	0.00	0.00
DAIMLERCHRYSLER AG									
M22465104	12/18/2014	Various	77,024.86	64,148.19		0.00	12,876.67	0.00	0.00
CHECK POINT SOFTWARE TECH ORD									
Total Short Term Sales			7,695,947.59	7,950,199.24		0.00	-254,251.65	0.00	0.00

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE BOND FUNDS
As of December 31, 2014

Shares	Description	End of Year Book Value	End of Year Fair Value
666,181	MFO BLACKROCK FDS HIGH YIELD BD	\$ 5,249,508	\$ 5,249,508
176,088	MFO VANGUARD LTD TERM FD ADMIRAL	1,952,820	1,944,016
	Total Corporate Bond Funds	\$ 7,202,328	\$ 7,193,524